## CS221 Spring 2019 Homework 2

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By turning in this assignment, I agree by the Stanford honor code and declare that all of this is my own work.

# Problem 1: Building intuition

(a) Given:

$$Loss(x, y, w) = max\{1 - w \cdot \phi(x)y, 0\} \tag{1}$$

and

$$margin = w\phi(x)y \tag{2}$$

$$\nabla_w Loss_{hinge}(x, y, w) = \begin{cases} -\phi(x)y & margin < 1\\ 0 & margin \ge 1 \end{cases}$$
 (3)

w = [0, 0, 0, 0, 0, 0]

1. 
$$\phi(x) = [1, 0, 1, 0, 0, 0]; y = -1; \phi(x)y = [-1, 0, -1, 0, 0, 0]; w = [-0.5, 0, -0.5, 0, 0, 0]$$

2. 
$$\phi(x) = [0, 1, 0, 1, 0, 0]; y = 1; \phi(x)y = [0, 1, 0, 1, 0, 0]; w = [-0.5, 0.5, -0.5, 0.5, 0, 0]$$

3. 
$$\phi(x) = [0, 1, 0, 0, 1, 0]; y = -1; \phi(x)y = [0, -1, 0, 0, -1, 0]; w = [-0.5, 0, -0.5, 0.5, -0.5, 0]$$

4. 
$$\phi(x) = [1, 0, 0, 0, 0, 1]; y = 1; \phi(x)y = [1, 0, 0, 0, 0, 1]; w = [0, 0, -0.5, 0.5, -0.5, 0.5]$$

After the classifier is trained on the four data points, the weights of the six words are: [0, 0, -0.5, 0.5, -0.5, 0.5].

- (b) Mini-reviews:
  - 1. (1) good
  - 2. (-1) bad
  - 3. (-1) not good
  - 4. (1) not bad

If we want to get zero error on the dataset, we'll need to have all "good", "bad", "not" be classified correctly. Assume we already assigned positive weights to "good" and negative weights to "bad". If we assign negative weights to "not", then "not bad" will have negative value; if we assign positive weights to "not", then "not good" will have positive value.

To fix the problem, we can add feature called "not good". The feature set becomes:

Assume w = [a, b, c, d], we have:

- 1. a = 1
- 2. b = -1
- 3. a + c + d = -1
- 4. b + c = 1

Thus, w = [1, -1, 2, -4], which means  $w = \{\text{'good':1,'bad':-1,'not':2,'not good':-4}\}$ 

## Problem 2: Predicting Movie Ratings

(a)  $Loss(x, y, \mathbf{w}) = (\sigma(\mathbf{w} \cdot \phi(x)) - y)^2 = (\frac{1}{1 + e^{-\mathbf{w} \cdot \phi(x)}} - y)^2$  (4)

(b)

$$\nabla_w Loss(x, y, \mathbf{w}) = 2\phi(x) \left(\frac{1}{1 + e^{-w\phi(x)}} - y\right) \cdot \frac{e^{-w\phi(x)}}{(1 + e^{-w\phi(x)})^2} = 2\phi(x)(p - y)p(1 - p) \quad (5)$$

 $p = \frac{1}{1 + e^{-w\phi(x)}}$  and  $p \in (0, 1)$ 

(c) Given y = 1, the gradient of the loss becomes:

$$2\phi(x)(p-1)p(1-p) = -2\phi(x)p(1-p)^2 \tag{6}$$

To make the gradient small, we can make p tend to 1 or 0, by making w tend to  $\infty$  or  $-\infty$  multiple of  $\phi(x)$ , so the minimum magnitude of the gradient tends to be 0, but it cannot be 0.

(d) To make the gradient large, we can maximize  $p(1-p)^2$ . Let  $G(p) = \ln p(1-p)^2$ , we can get  $G(p) = \ln p + \ln (1-p)^3 = \ln p + 2\ln (1-p)$ . Let  $G'(p) = \frac{1}{p} - \frac{2}{1-p} = 0$ , we can get  $p = \frac{1}{3}$ . As 0 , this value will maximize the result.

Given  $p = \frac{1}{3}$ , the max gradient of the loss is  $\frac{8}{27} \parallel \phi(x) \parallel$ .

(e) There exists a w to make Loss(x,y,w) = 0:

$$\frac{1}{1 + e^{-\mathbf{w} \cdot \phi(x)}} - y = 0 \tag{7}$$

$$1 + e^{-\mathbf{w} \cdot \phi(x)} = \frac{1}{y} \tag{8}$$

$$e^{-\mathbf{w}\cdot\phi(x)} = \frac{1}{y} - 1\tag{9}$$

$$\mathbf{w} \cdot \phi(x) = \log \frac{y}{1 - y} \tag{10}$$

Thus, by making  $y \to \log \frac{y}{1-y}$ , we are able to create D' where w still yield 0 loss.

# **Problem 3: Sentiment Classification**

- (a) N/A
- (b) N/A
- (c) N/A

	Sentence	Wrong Reason
(d)	home alone goes hollywood, a funny premise	Positive words like "funny",
	until the kids start pulling off stunts not even	"real" contributed to the positive
	steven spielberg would know how to do . be-	results, words performs as turn-
	sides, real movie producers aren't this nice.	ing points like "not even" and
	(Truth: -1, Prediction: 1)	"aren't" cannot outweigh them.
	a perfectly competent and often imaginative	Words like "lacks" and "little"
	film that lacks what little lilo & stitch had	are treated as negative words
	in spades – charisma .(Truth: 1, Prediction:	wrongly.
	-1)	
	a heady , biting , be-bop ride through night-	Neutral words "around" and
	time manhattan , a loquacious videologue of	"male" are treated as negative
	the modern male and the lengths to which	words.
	he'll go to weave a protective cocoon around	
	his own ego .(Truth: 1, Prediction: -1)	
	it's painful to watch witherspoon's talents	Negative word "painful" is
	wasting away inside unnecessary films like	treated as positive word, "sweet"
	legally blonde and sweet home abomination	has the highest positive weight,
	, i mean , alabama . (Truth: -1, Prediction:	but it's just part of a movie name
	1)	
	wickedly funny, visually engrossing, never	'never boring' are treated as two
	boring, this movie challenges us to think	negative words.
	about the ways we consume pop culture	
	.(Truth: 1, Prediction: -1)	

To improve the accuracy of the classifier, we could add N continuous words as a feature instead of just using a single word.

- (e) N/A
- (f) The test passed when n > 3, and got smallest value when n = 5. Explanation: By using N gram, we are able to capture more than one word in a feature, words like 'not

good' or 'not bad' will be classified correctly.

An example review: "This movie is not good". In this case, word features may assign a positive result to it if "good" outweights "not". However, n-gram will be able to capture it and give 'notgood' a negative weight.

## Problem 4: K-means clustering

- (a)  $\mu_1$  has  $(x_1, x_3)$ ,  $\mu_2$  has  $(x_2, x_4)$ , then we update  $\mu_1 = [2, 0]$ ,  $\mu_2 = [2, 1]$ . Final assignments stay the same.  $\mu_1$  has  $(x_1, x_2)$ ,  $\mu_2$  has  $(x_3, x_4)$ , then we update  $\mu_1 = [0, \frac{1}{0}]$ ,  $\mu_2 = [1, \frac{2}{3}]$ . Final assignments stay the same.
- (b) N/A
- (c) Given

$$Loss = \sum_{i=1}^{n} \| \mu_{z_i} - \phi(x) \|^2$$
 (11)

When setting centroid, given z is fixed, to minimize loss, we'll have

$$2\sum_{x \in cluster_{\mu}} (\mu - \phi(x)) = 0 \tag{12}$$

$$\mu = \frac{\sum_{x \in cluster_{\mu}} \phi(x)}{|x|^2} \tag{13}$$

During assignment, when  $\mu$  is fixed, to minimize loss with z, we can do

$$z_i = argmin \sum_{j(g_i = g_j)} \| \phi(x_j) - \mu_z \|^2$$

$$\tag{14}$$

where  $g_i$  means group that i is assigned to. If  $(i, j) \in S$  and  $(j, k) \in S$ , then  $g_i = g_j = g_k$ .

- (d) K-means can only converge to local minimum. By running k-means multiple times on the same dataset with different random initializations, we'll be able to find the global minimum easier.
- (e) If we scale all dimensions in our initial centroids and data points by some factor, we are guarenteed to retrieve the same clusters after running k-means because the loss / distance will be scaled by the same factor.
  - However, if we just scale some dimensions, the clustring results may be different. For example, assume centroids are [0, 1] and [1, 1]. If  $x \to 0.0001x$ , then centroids become [0, 1] and [0.0001, 0], which are so closed that we cannot treat them as two clusters at all.