

# A Multi-Population Genetic Algorithm for UAV Path Re-Planning under Critical Situation

Jesimar S. Arantes (USP)

Márcio S. Arantes (USP)

Claudio F. M. Toledo (USP)

Brian C. Williams (MIT)



São Carlos, SP

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# Outline

1 Introduction

2 Problem Description

3 Methods

4 Computational Results

# Introduction

## Overview

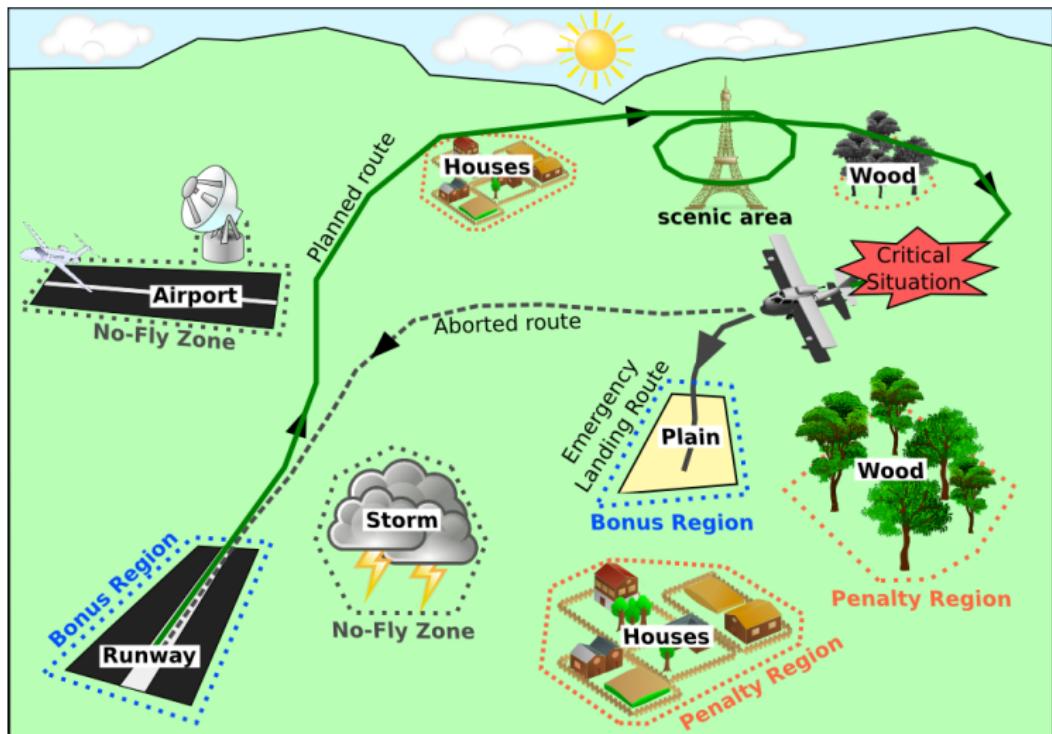


Figure 1: Illustrative scenario for mission planning.

# Introduction

## Overview

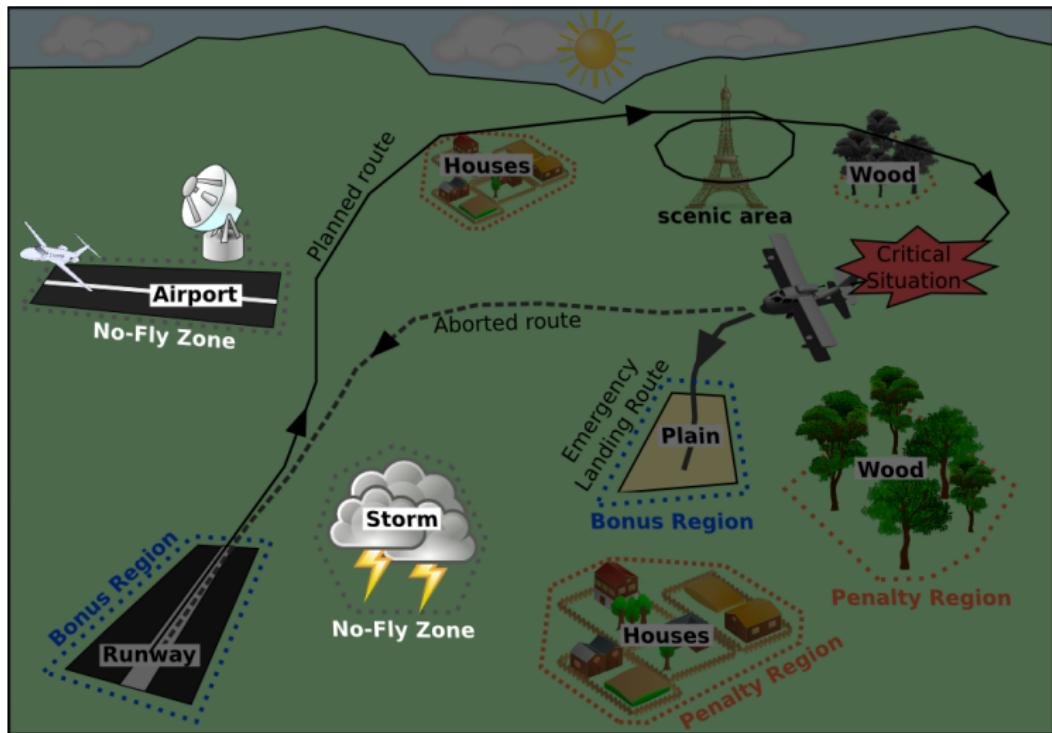


Figure 2: Illustrative scenario for mission planning.

# Introduction

## Overview

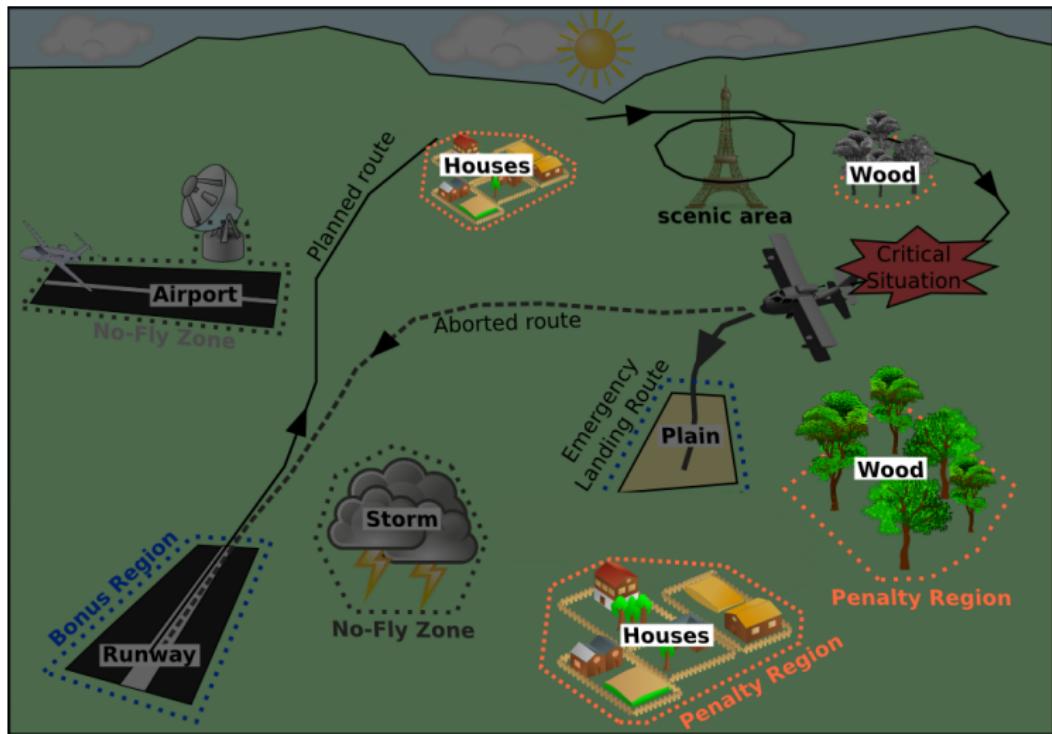


Figure 3: Illustrative scenario for mission planning.

# Introduction

## Overview

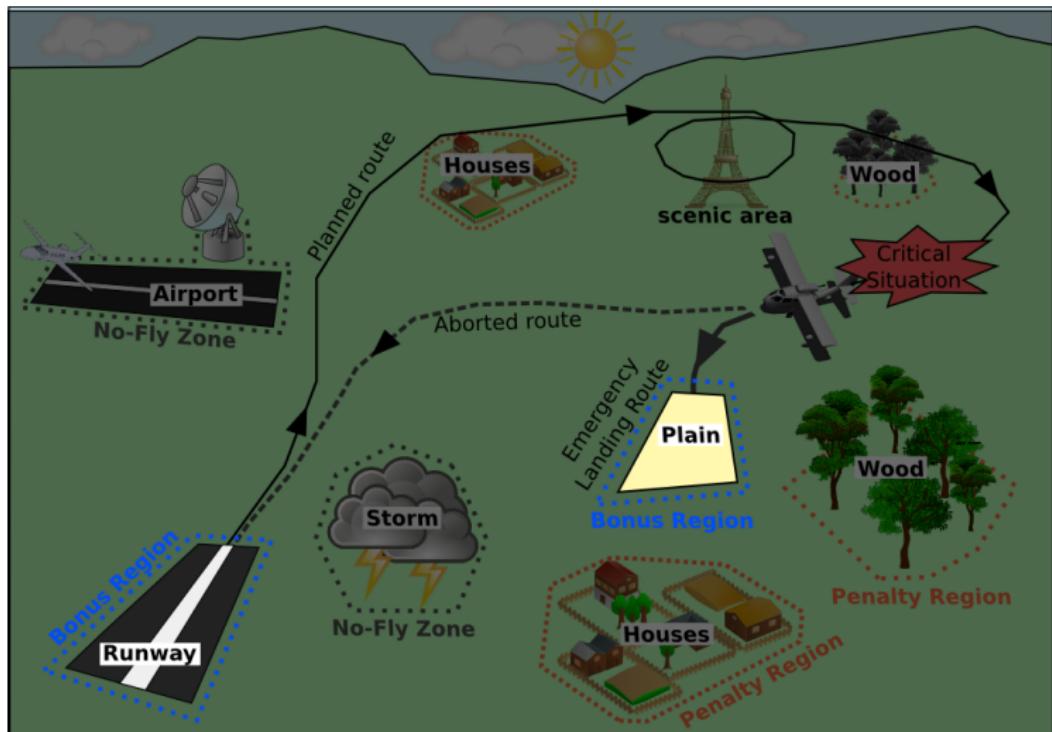


Figure 4: Illustrative scenario for mission planning.

# Introduction

## Overview

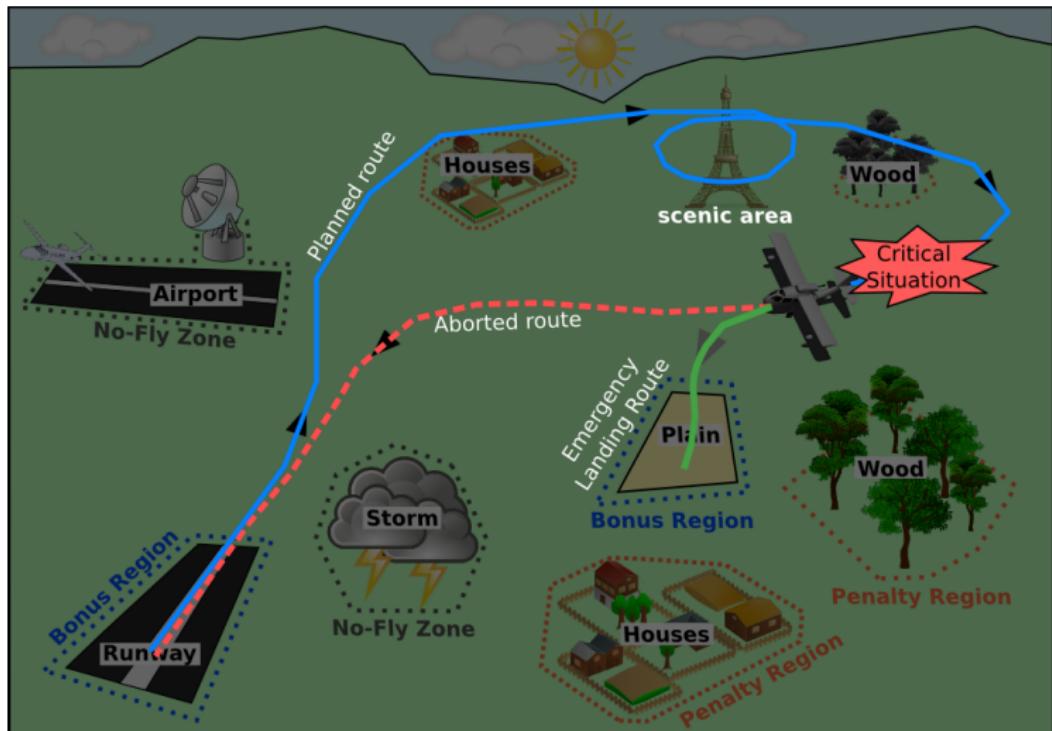


Figure 5: Illustrative scenario for mission planning.

# Introduction

## Overview

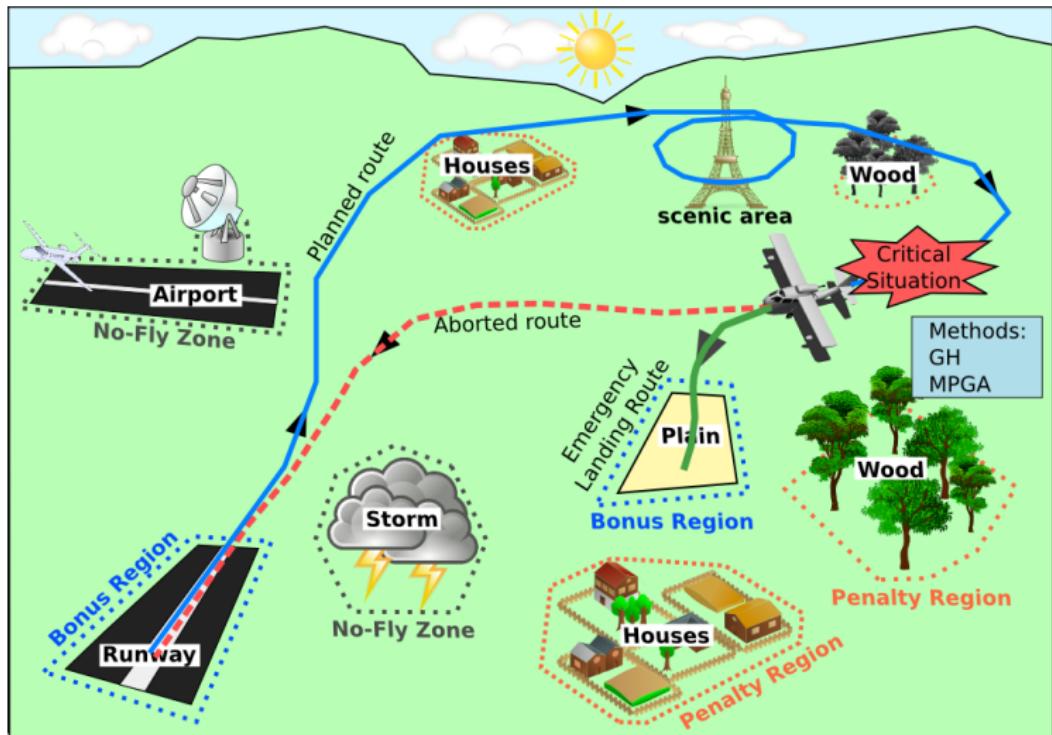


Figure 6: Illustrative scenario for mission planning.

# Problem Description

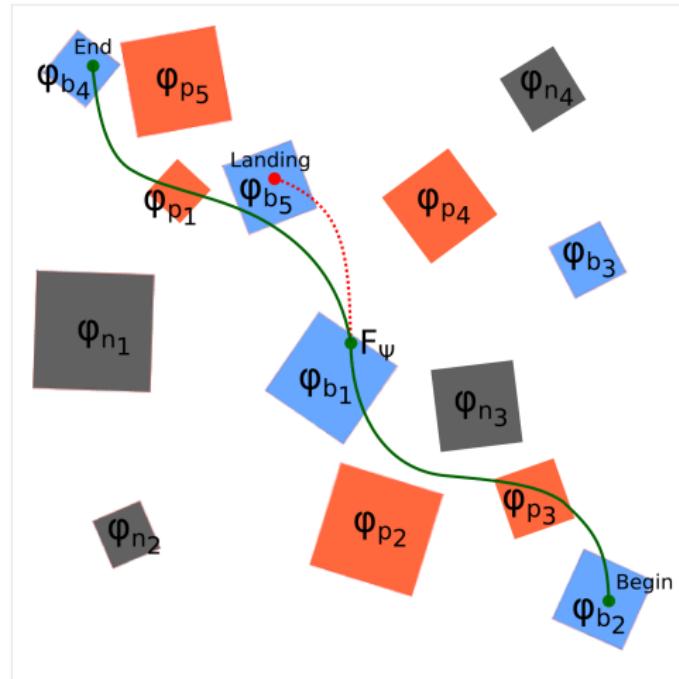
## Types of Regions and Critical Situation

- Regions

- 1 No-Fly Zone ( $\phi_n$ ) ■
- 2 Penalty Region ( $\phi_p$ ) □
- 3 Bonus Region ( $\phi_b$ ) ▲
- 4 Remainder Region ( $\phi_r$ ) ▢

- Critical Situation

- Motor Failure ( $\psi_m$ )
- Battery Failure ( $\psi_b$ )
- Aerodynamic Surfaces Failure type 1 ( $\psi_{s^1}$ )
- Aerodynamic Surfaces Failure type 2 ( $\psi_{s^2}$ )



# Problem Description

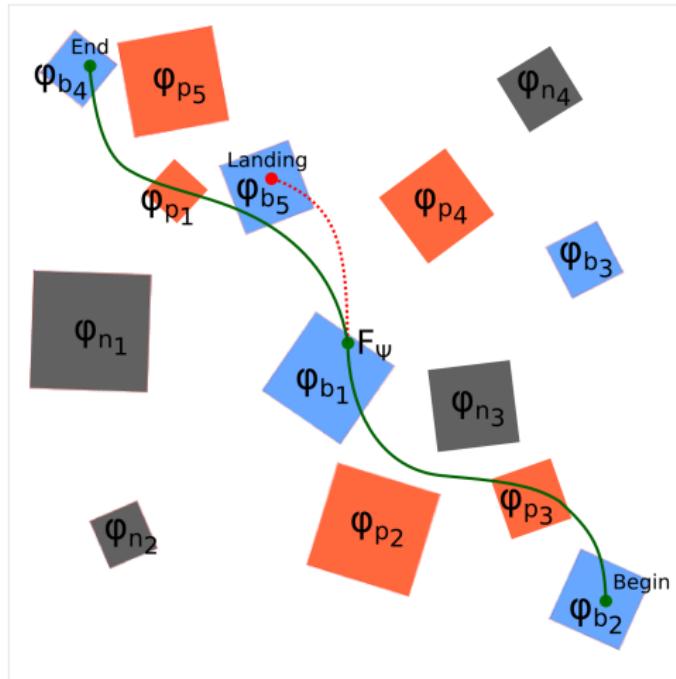
## Types of Regions and Critical Situation

- Regions

- 1 No-Fly Zone ( $\phi_n$ ) ■
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- Critical Situation

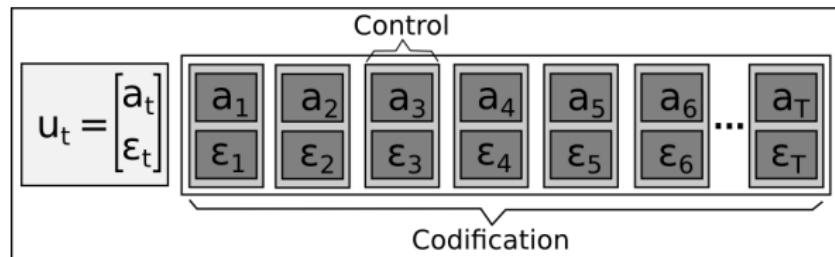
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- 2 Battery Failure ( $\psi_b$ )
- 3 Aerodynamic Surfaces Failure type 1 ( $\psi_{s^1}$ )
- 4 Aerodynamic Surfaces Failure type 2 ( $\psi_{s^2}$ )



# Methods

## Codification, Decodification and Solution

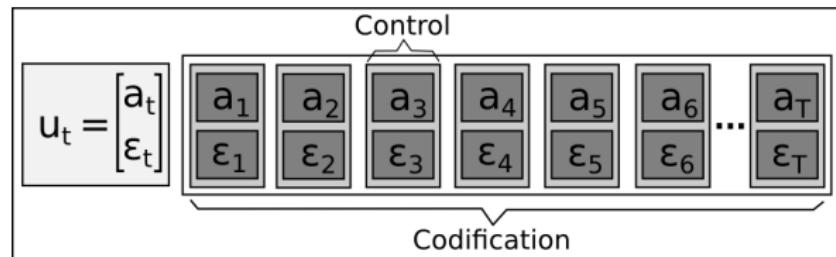
- Codification  $u_t$ :



# Methods

## Codification, Decodification and Solution

- Codification  $u_t$ :



- Decodification  $F_\Psi$ :

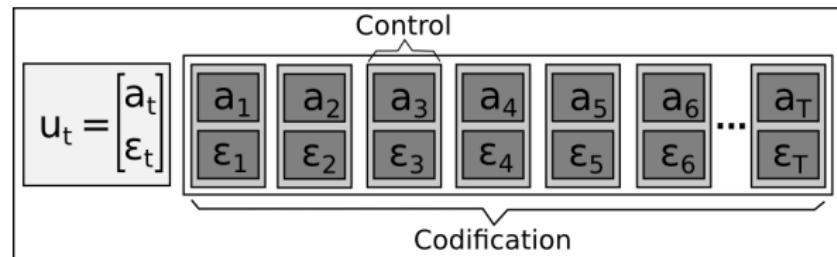
$$x_{t+1} = F_\Psi(x_t, u_t)$$

$$\begin{bmatrix} p_{t+1}^x \\ p_{t+1}^y \\ v_{t+1} \\ \alpha_{t+1} \end{bmatrix} = \begin{bmatrix} p_t^x + v_t \cdot \cos(\alpha_t) \cdot \Delta T + a_t \cdot \cos(\alpha_t) \cdot (\Delta T)^2 / 2 \\ p_t^y + v_t \cdot \sin(\alpha_t) \cdot \Delta T + a_t \cdot \sin(\alpha_t) \cdot (\Delta T)^2 / 2 \\ v_t + a_t \cdot \Delta T - F_t^d \\ \alpha_t + \varepsilon_t \cdot \Delta T \end{bmatrix}$$

# Methods

## Codification, Decodification and Solution

- Codification  $u_t$ :

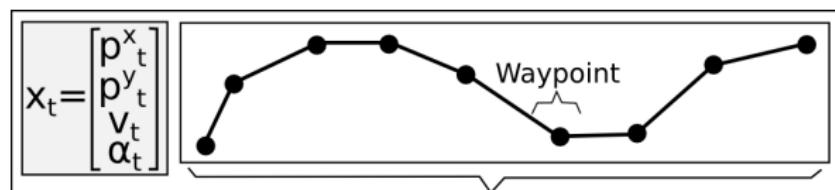


- Decodification  $F_\Psi$ :

$$x_{t+1} = F_\Psi(x_t, u_t)$$

$$\begin{bmatrix} p_{t+1}^x \\ p_{t+1}^y \\ v_{t+1} \\ \alpha_{t+1} \end{bmatrix} = \begin{bmatrix} p_t^x + v_t \cdot \cos(\alpha_t) \cdot \Delta T + a_t \cdot \cos(\alpha_t) \cdot (\Delta T)^2 / 2 \\ p_t^y + v_t \cdot \sin(\alpha_t) \cdot \Delta T + a_t \cdot \sin(\alpha_t) \cdot (\Delta T)^2 / 2 \\ v_t + a_t \cdot \Delta T - F_t^d \\ \alpha_t + \varepsilon_t \cdot \Delta T \end{bmatrix}$$

- Solution  $x_t$ :

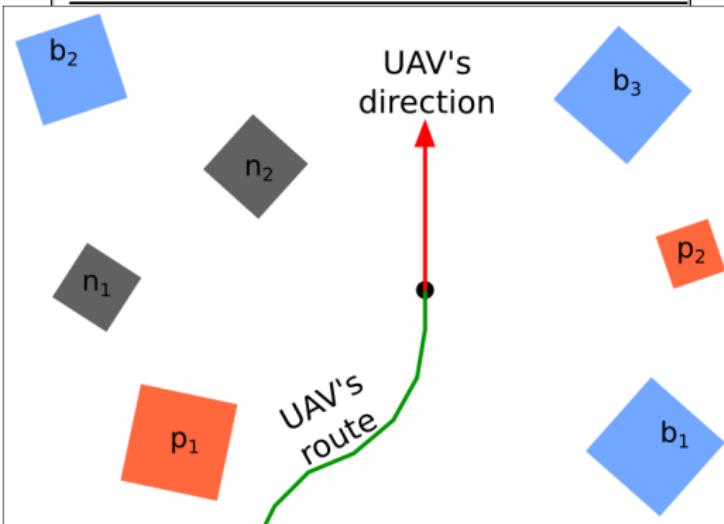


# Methods

## Greedy Heuristic

### Algorithm 1: Greedy Heuristic.

```
1 begin
2     RouteLanding route[]  $\leftarrow$  RouteLanding(map[| $\phi_b$ |]);
3     for i = 1 to map.| $\phi_b$ | do
4         initialize(route[i], map. $Z_{\phi_b}^i$ );
5         evaluate(route[i]);
6     RouteLanding bestRoute  $\leftarrow$  getBestRoute(route);
7     return bestRoute;
```

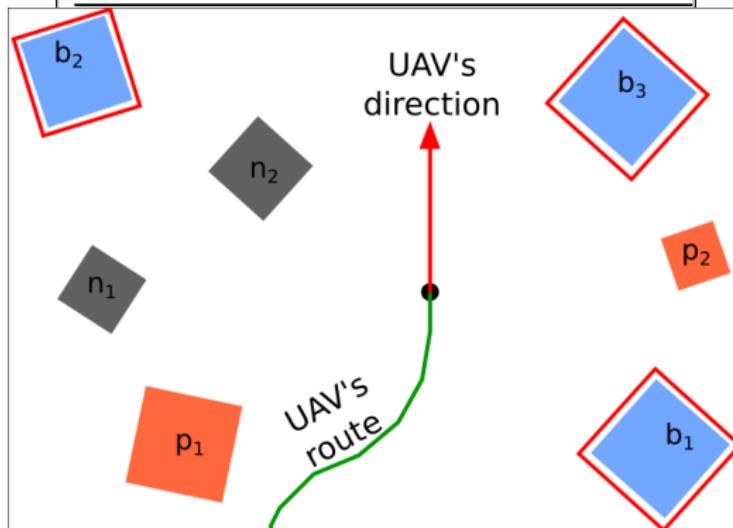


# Methods

## Greedy Heuristic

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```



# Methods

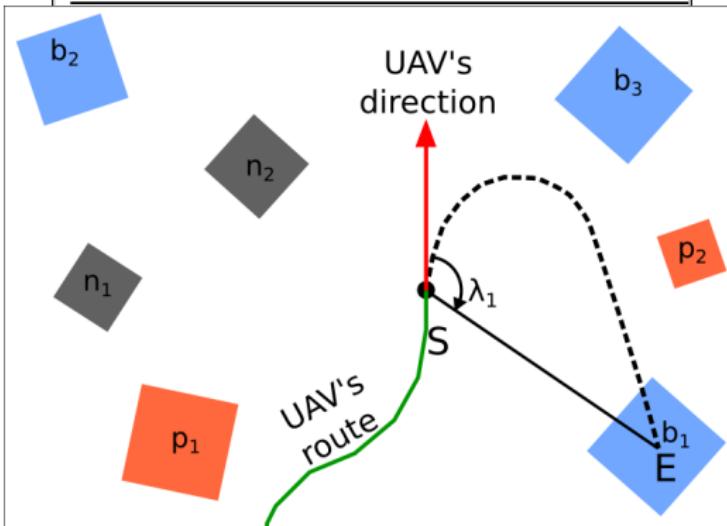
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7   return bestRoute;
```

$i = 1$

$Z^1_{\phi_b} = b_1$



# Methods

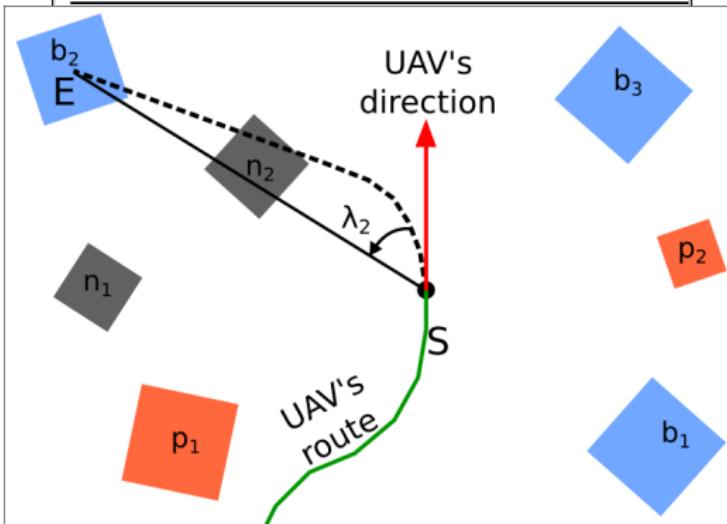
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5     evaluate(route[i]);
6   RouteLanding bestRoute ← getBestRoute(route);
7   return bestRoute;
```

$i = 2$

$Z^2_{\phi_b} = b_2$



# Methods

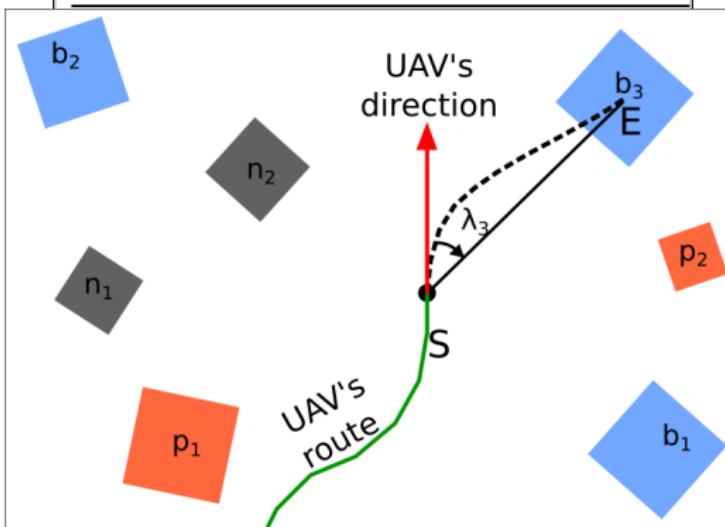
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4     initialize(route[i], map. $Z_{\phi_b}^i$ );
5     evaluate(route[i]);
6   RouteLanding bestRoute ← getBestRoute(route);
7   return bestRoute;
```

$i = 3$

$Z_{\phi_b}^3 = b_3$

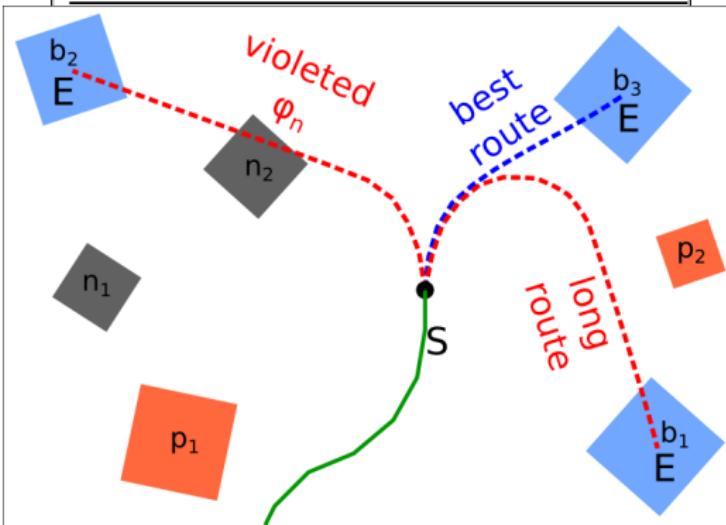


# Methods

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4     initialize(route[i], map.Z $^i_{\phi_b}$ );
5     evaluate(route[i]); bestRoute = b3
6   RouteLanding bestRoute ← getBestRoute(route);
7   return bestRoute;
```

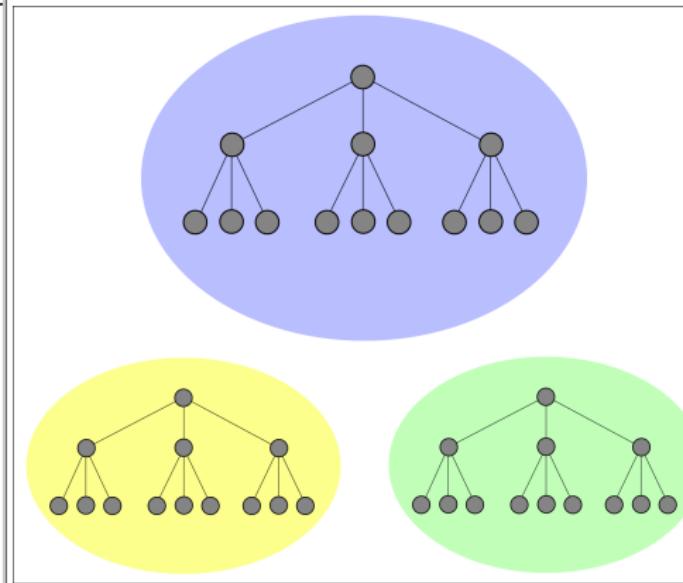


# Methods

## Multi-Population Genetic Algorithm

**Algorithm 2:** Multi-Population Genetic Algorithm.

```
1 begin
2   repeat
3     for i = 1 to numPop do
4       for j = 1 to numIndividuals do
5         initialize(pop(i).ind(j));
6         evaluate(pop(i).ind(j));
7
8         organize(pop(i));
9         repeat
10            for j = 1 to rateCross × numIndividuals do
11              select(parents);
12              child ← crossover(parents);
13              mutation(child);
14              evaluate(child);
15              add(child, pop(i));
16
17            organize(pop(i));
18            until converge(pop(i));
19
20            for i = 1 to numPop do
21              migrate(pop(i));
22
23  until reach(stoppingCriterion);
24  RouteLanding bestRoute ← getBestRoute(pop);
25  return bestRoute;
```

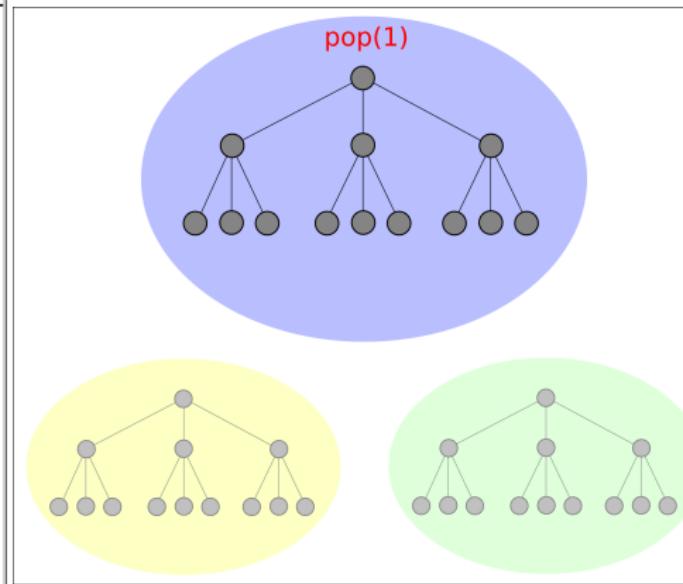


# Methods

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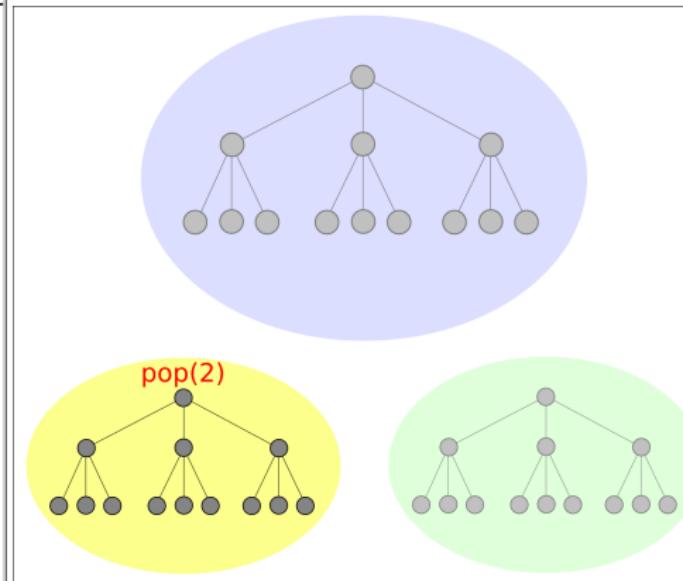


# Methods

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```



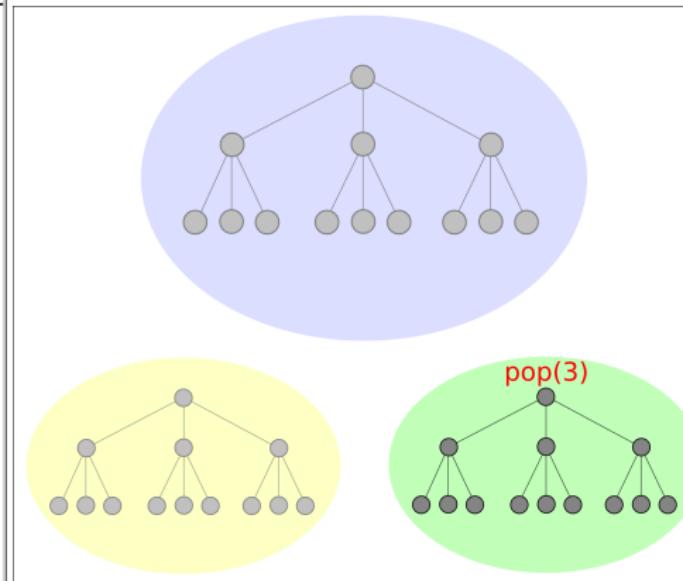
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18      until converge(pop(i));
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23  until reach(stoppingCriterion);
24  RouteLanding bestRoute ← getBestRoute(pop);
25  return bestRoute;
```

i = 3

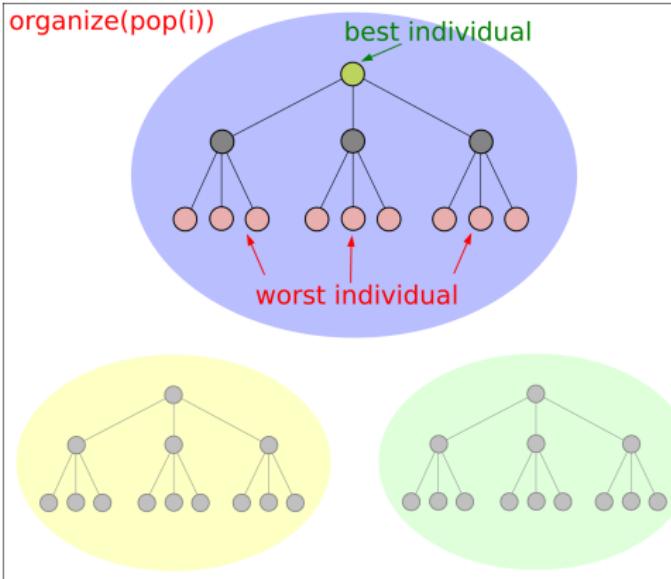


# Methods

## Multi-Population Genetic Algorithm

### Algorithm 2: Multi-Population Genetic Algorithm.

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1 begin
2   repeat
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4       for j = 1 to numIndividuals do
5         initialize(pop(i).ind(j));
6         evaluate(pop(i).ind(j));
7         organize(pop(i)); i = 1, 2, 3
8       repeat
9         for j = 1 to rateCross × numIndividuals do
10           select(parents);
11           child ← crossover(parents);
12           mutation(child);
13           evaluate(child);
14           add(child, pop(i));
15         organize(pop(i));
16       until converge(pop(i));
17       for i = 1 to numPop do
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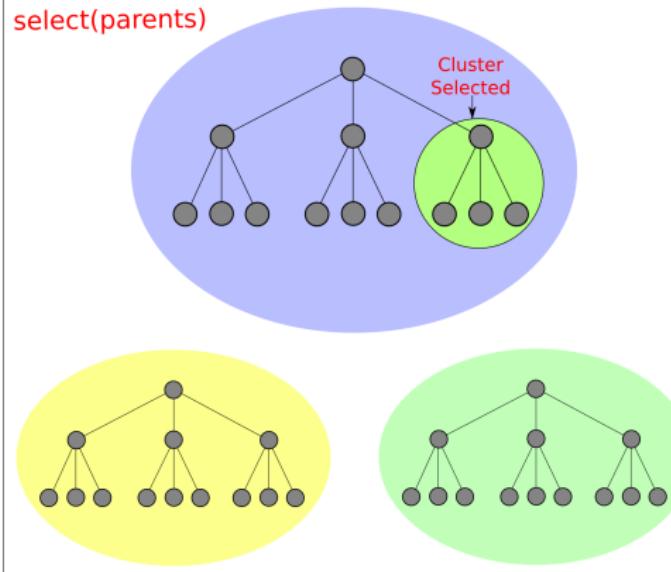


# Methods

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```

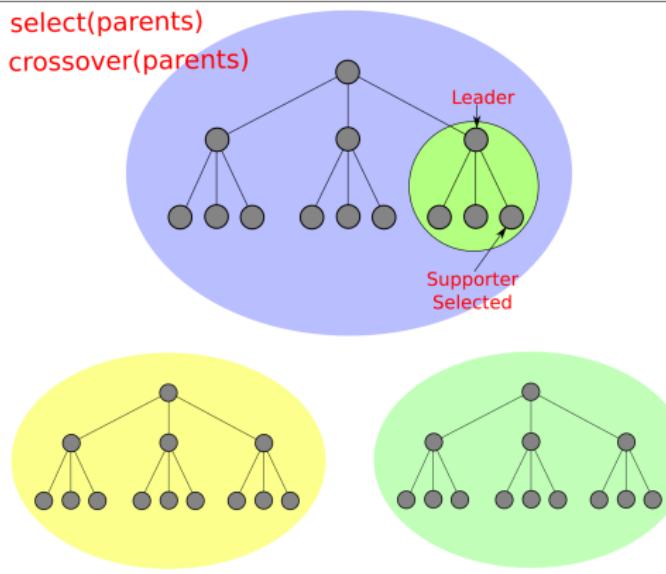


# Methods

## Multi-Population Genetic Algorithm

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25  return bestRoute;
```

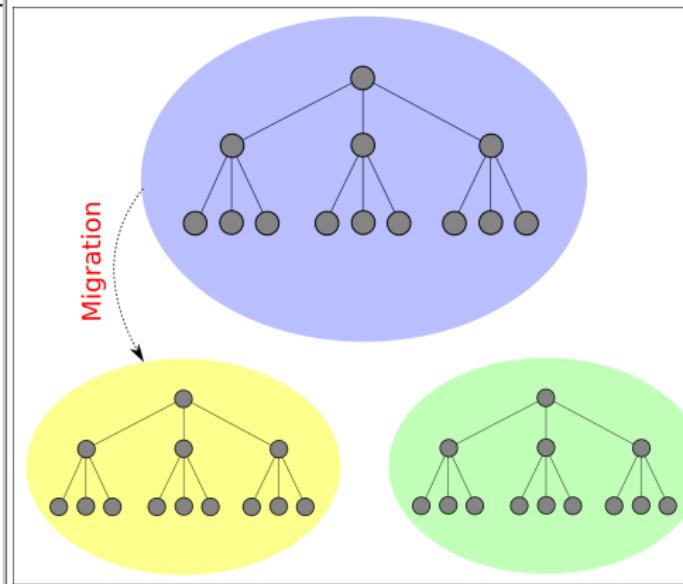


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```

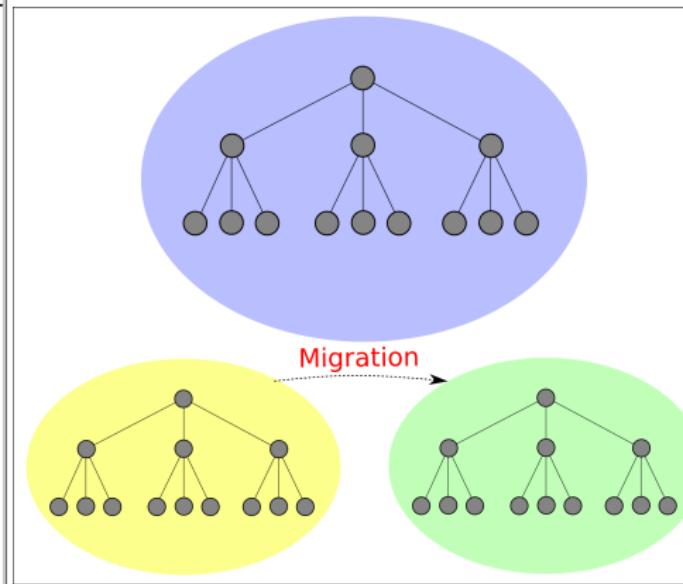


# Methods

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20            for i = 1 to numPop do
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i = 2
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RouteLanding bestRoute ← getBestRoute(pop);
return bestRoute;
```

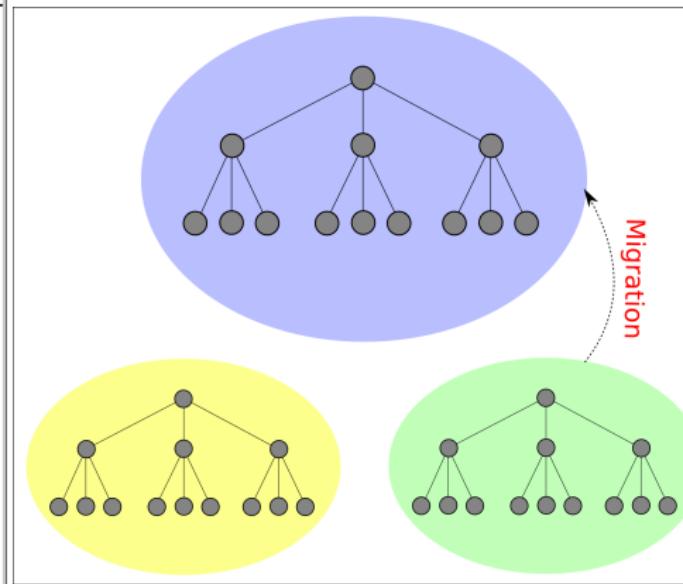


# Methods

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```



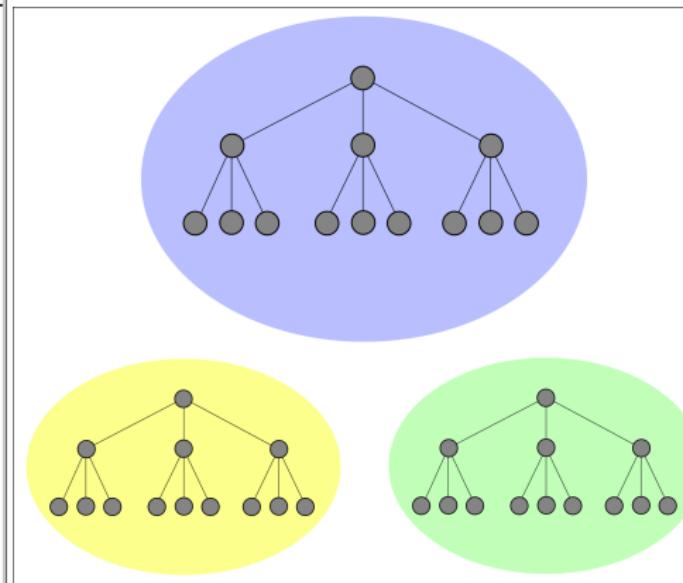
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24  RouteLanding bestRoute ← getBestRoute(pop);
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```

number of evaluations

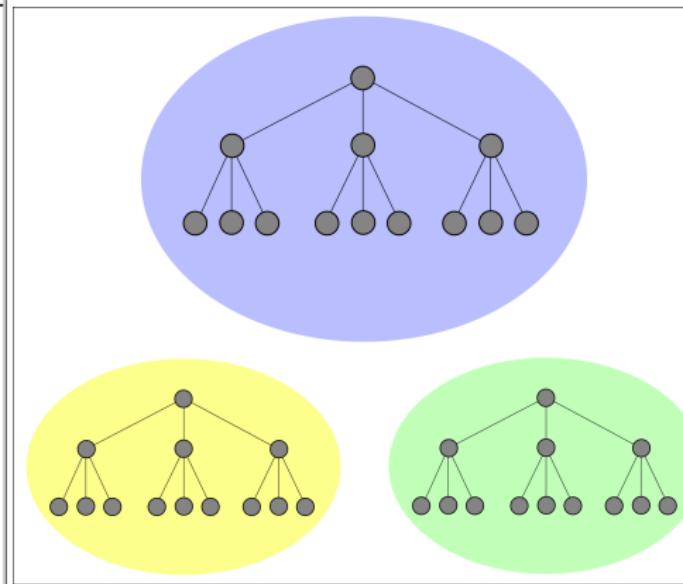


# Methods

## Multi-Population Genetic Algorithm

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```



# Methods

## Objective Function

$$\begin{aligned} \text{minimize fitness} = & -C_{\phi_b} \cdot \sum_{i=1}^{|{\phi}_b|} (P(x_K \in Z_{\phi_b}^i)) + C_{\phi_p} \cdot \sum_{i=1}^{|{\phi}_p|} (P(x_K \in Z_{\phi_p}^i)) + \\ & C_{\phi_n} \cdot \max(0, 1 - \Delta - P(\bigwedge_{t=0}^K \bigwedge_{i=1}^{|{\phi}_n|} x_t \notin Z_{\phi_n}^i)) + \frac{1}{|\varepsilon_{max}|} \cdot \sum_{t=0}^K \|u_t\| \cdot |\varepsilon_t| + \\ & \text{shortestDist}(\bar{x}_K, Z_{\phi_b}) + \begin{cases} C_{\phi_b} & , v_K - v_{min} > 0 \\ 0 & , \text{otherwise} \end{cases} + \begin{cases} C_{\phi_b} \cdot 2^{\frac{(K-T)}{10}} & , \psi = \psi_b \\ 0 & , \text{otherwise} \end{cases} \end{aligned}$$

- Landing on  $\phi_b$
- Landing on  $\phi_p$
- Landing and fly on  $\phi_n$
- Curves of the UAV
- Distance to  $\phi_b$
- Time violation
- Battery failure

# Methods

## Methods Used

In this work, the following methods were used.

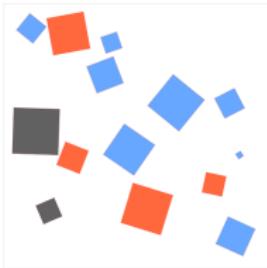
- **GH:** Greedy Heuristic
- **MPGA1(−GH):** Multi-Population Genetic Algorithm 1
  - Without greedy operator
- **MPGA2(+GH):** Multi-Population Genetic Algorithm 2
  - With greedy operator

# Computational Results

## Automatically Generated Maps

- Level of Difficulty

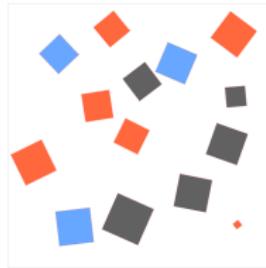
- ①  $M_E$ : a), b)
- ②  $M_N$ : c), d)
- ③  $M_H$ : e), f)



(a)



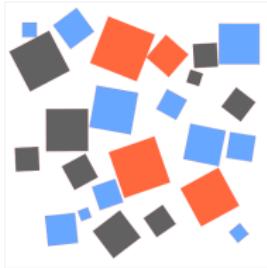
(b)



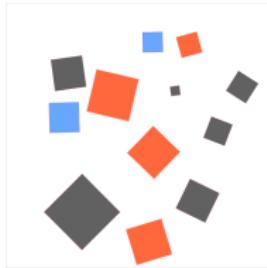
(c)

- Level of Coverage

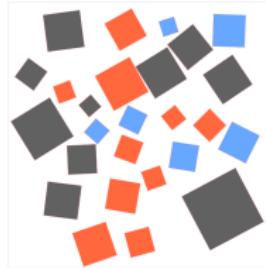
- ①  $C_{25\%}$ : a), c), e)
- ②  $C_{50\%}$ : b), d), f)



(d)



(e)



(f)

- Legend Colors

- ①  $\square \phi^b$
- ②  $\square \phi^o$
- ③  $\square \phi^n$
- ④  $\square \phi^r$

# Computational Results

## Parameters and Settings used in the Experiments

Model	Parameters	Value
Map	Dimension X [m]	1000
	Dimension Y [m]	1000
UAV	Initial Position ( $p_0^x, p_0^y$ ) [m]	(0; 0)
	Initial Velocity ( $v_0$ ) [m/s]	24
	Initial Angle ( $\alpha_0$ ) [°]	90
	Linear Velocity ( $v_{min}; v_{max}$ ) [m/s]	[11; 30]
	Angular Variation ( $\varepsilon_{min}; \varepsilon_{max}$ ) [°/s]	[-3; 3]
	Acceleration ( $a_{min}; a_{max}$ ) [m/s <sup>2</sup> ]	[0; 2]
	Number of time steps to land ( $T$ ) [s]	60
	Time Discretization ( $\Delta T$ ) [s]	1
	Probability of failure ( $\Delta$ )	0.001
MPGA	Populations	3
	Individuals/Pop	13
	Individuals Total	39
	Mutation Rate	0.5
	Crossover Rate	0.75
	Stop Criterion	10000

# Computational Results

Experiments: Result obtained for the GH, MPGA1(-GH) and MPGA2(+GH)

$\Psi$	Instance	GH			MPGA1(-GH)			MPGA2(+GH)		
		$\phi_b$	$\phi_r$	Inf.	$\phi_b$	$\phi_r$	Inf.	$\phi_b$	$\phi_r$	Inf.
$\psi_m$	$M_E$ and $C_{25\%}$	79	21	0	81	19	0	90	10	0
	$M_E$ and $C_{50\%}$	92	6	2	92	7	1	96	3	1
	$M_N$ and $C_{25\%}$	58	39	3	60	39	1	71	28	1
	$M_N$ and $C_{50\%}$	86	12	2	84	16	0	96	4	0
	$M_H$ and $C_{25\%}$	30	52	18	36	64	0	40	60	0
	$M_H$ and $C_{50\%}$	62	28	10	60	33	7	82	15	3
	Avg	67.8	26.3	5.8	68.8	29.7	1.5	79.2	20.00	0.83

# Computational Results

Experiments: Result obtained for the GH, MPGA1(-GH) and MPGA2(+GH)

$\Psi$	Instance	GH			MPGA1(-GH)			MPGA2(+GH)		
		$\phi_b$	$\phi_r$	Inf.	$\phi_b$	$\phi_r$	Inf.	$\phi_b$	$\phi_r$	Inf.
$\psi_b$	$M_E$ and $C_{25\%}$	99	0	1	100	0	0	100	0	0
	$M_E$ and $C_{50\%}$	97	0	3	99	0	1	99	0	1
	$M_N$ and $C_{25\%}$	93	3	4	94	5	1	99	0	1
	$M_N$ and $C_{50\%}$	98	0	2	99	0	1	100	0	0
	$M_H$ and $C_{25\%}$	67	5	28	73	27	0	94	6	0
	$M_H$ and $C_{50\%}$	83	0	17	68	17	15	95	2	3
	Avg	89.5	1.3	9.2	88.8	8.2	3.0	97.8	1.3	0.8

# Computational Results

Experiments: Result obtained for the GH, MPGA1(-GH) and MPGA2(+GH)

$\Psi$	Instance	GH			MPGA1(-GH)			MPGA2(+GH)		
		$\phi_b$	$\phi_r$	Inf.	$\phi_b$	$\phi_r$	Inf.	$\phi_b$	$\phi_r$	Inf.
$\psi_{s^1}$	$M_E$ and $C_{25\%}$	81	8	11	90	8	2	91	7	2
	$M_E$ and $C_{50\%}$	88	0	12	89	0	11	93	0	7
	$M_N$ and $C_{25\%}$	68	16	16	76	18	6	86	8	6
	$M_N$ and $C_{50\%}$	82	1	17	84	3	13	89	0	11
	$M_H$ and $C_{25\%}$	41	23	36	49	46	5	67	28	5
	$M_H$ and $C_{50\%}$	56	0	44	46	23	31	78	4	18
	Avg	69.3	8.0	22.7	72.3	16.3	11.3	84.0	7.8	8.2

# Computational Results

Experiments: Result obtained for the GH, MPGA1(-GH) and MPGA2(+GH)

$\Psi$	Instance	GH			MPGA1(-GH)			MPGA2(+GH)		
		$\phi_b$	$\phi_r$	Inf.	$\phi_b$	$\phi_r$	Inf.	$\phi_b$	$\phi_r$	Inf.
$\psi_{s^2}$	$M_E$ and $C_{25\%}$	90	4	6	94	4	2	99	0	1
	$M_E$ and $C_{50\%}$	90	0	10	95	1	4	95	1	4
	$M_N$ and $C_{25\%}$	70	20	10	79	16	5	92	5	3
	$M_N$ and $C_{50\%}$	87	1	12	83	8	9	94	0	6
	$M_H$ and $C_{25\%}$	40	17	43	62	35	3	74	24	2
	$M_H$ and $C_{50\%}$	61	3	36	57	13	30	76	4	20
	Avg	73.0	7.5	19.5	78.3	12.8	8.8	88.3	5.7	6.0
	Avg Final	74.9	10.8	14.3	77.1	16.7	6.2	87.3	8.7	4.0

Time (Sec)		
GH	MPGA1	MPGA2
0.07	1.017	0.874

# Computational Results

## Experiments: Example of Routes

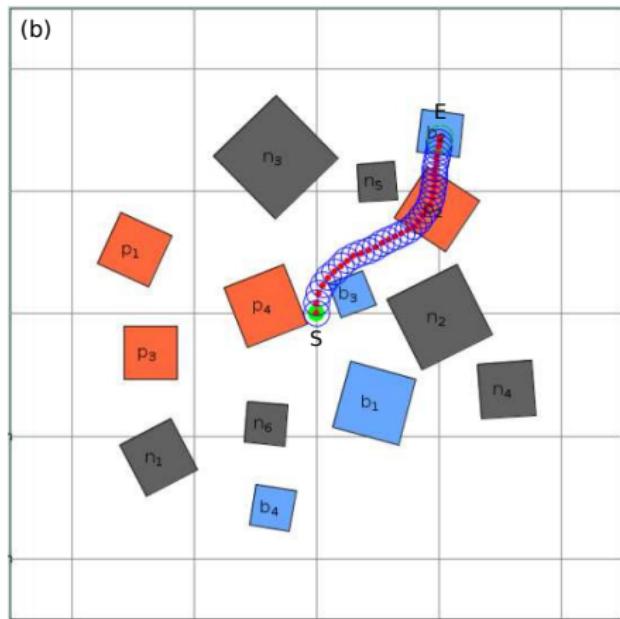
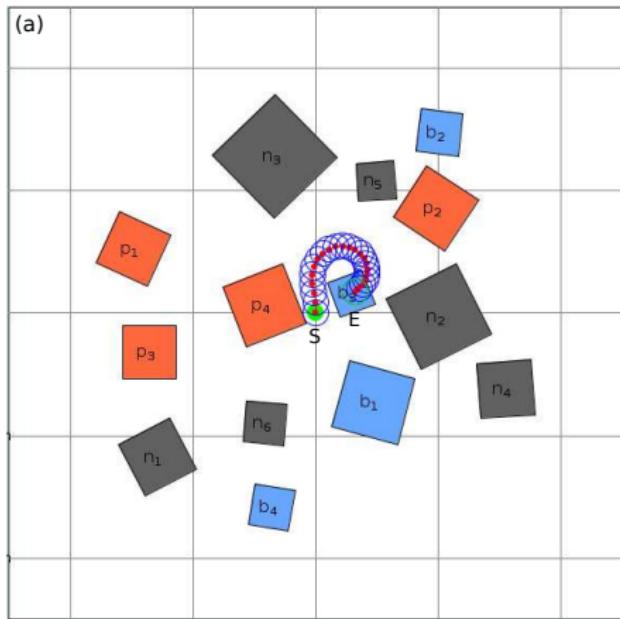


Figure 7: Routes determined by the planner MPGAA2(+GH) in a map  $M_N$  with coverage  $C_{25\%}$ : (a)  $\psi_m$ . (b)  $\psi_b$ .

# Computational Results

## Experiments: Example of Routes

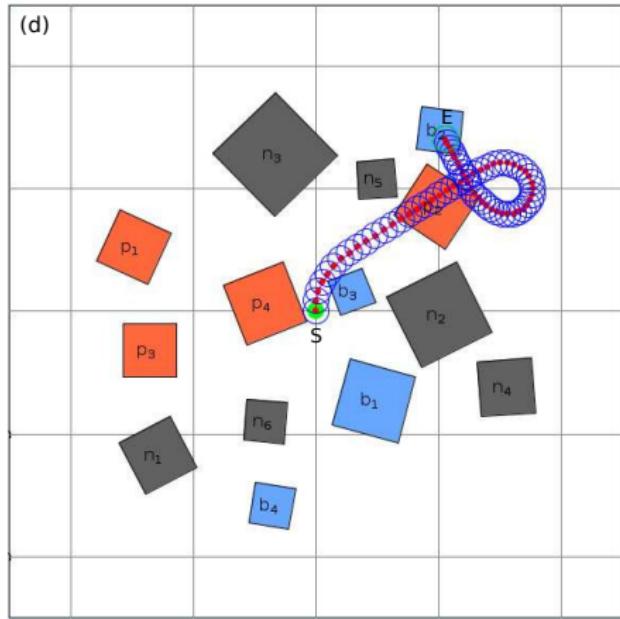
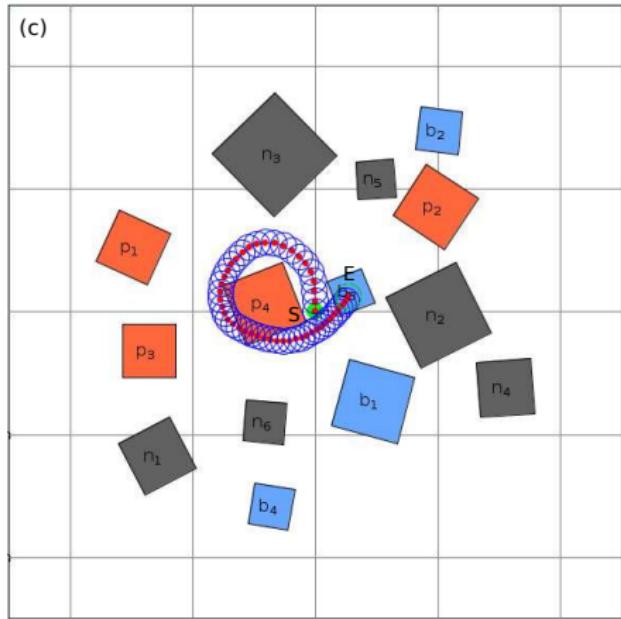


Figure 8: Routes determined by the planner MPGA2(+GH) in a map  $M_N$  with coverage  $C_{25\%}$ : (c)  $\psi_{s^1}$ . (d)  $\psi_{s^2}$ .

# Computational Results

## Experiments: Example of Routes

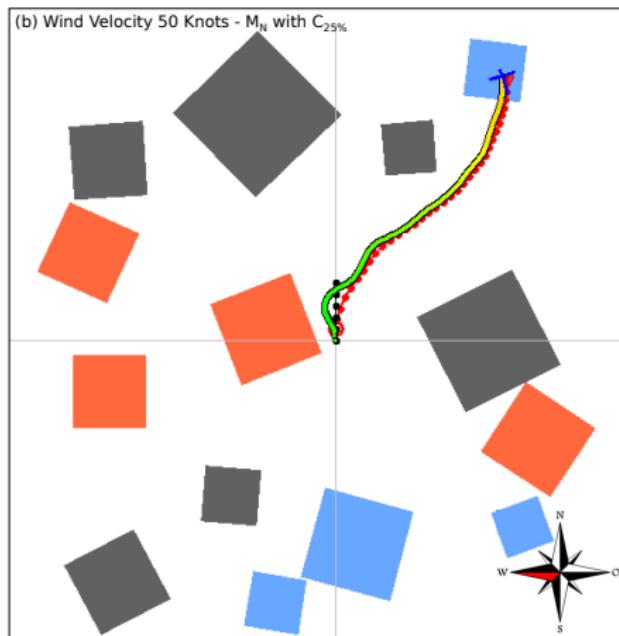
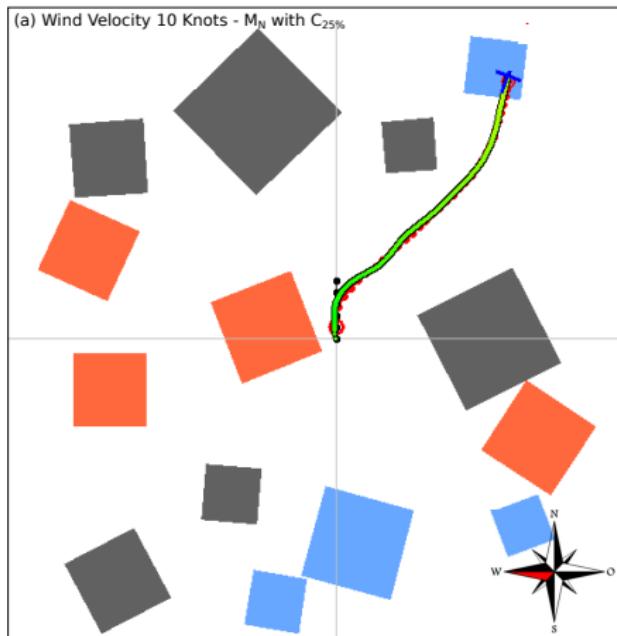


Figure 9: (a), (b) FG simulation with winds 10 and 50 knots. Wind direction: west.

# Computational Results

## Video FlightGear Simulator

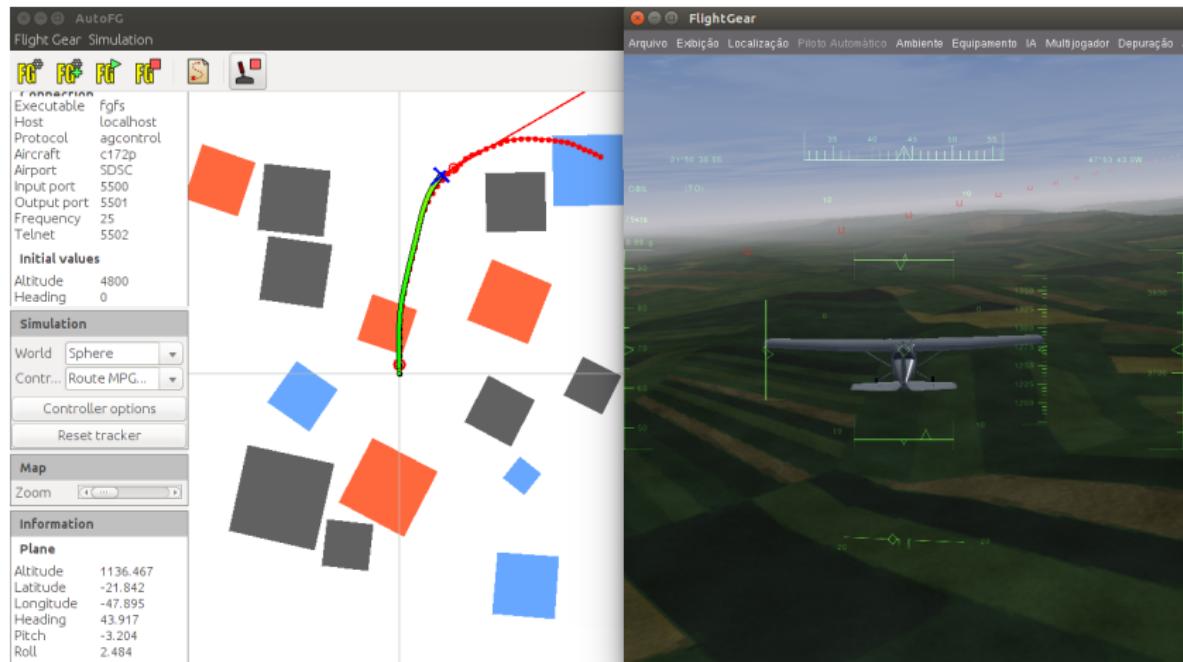


Figure 10: Video FlightGear Simulator.

# Acknowledgements

Questions send email to:

jesimar.arantes@usp.br

marcio, claudio@icmc.usp.br

williams@mit.edu

Thank You!