


ANNEXURE 'B' – RISK ASSESSMENT EXAMPLE

 Total Risk Solutions	PLANT SAFETY HAZARDS ADVICE TO PURCHASERS OF AUCTIONED PLANT or PLANT FOR SALE	 Slattery <small>ASSET MANAGEMENT & AUCTIONEERING</small>
Lot No: 008	Assessment Date: 14 MARCH 2016	TRS Ref:
Plant Description: QTY SAFETY HARNESSES & SLINGS		ID or Serial No:
Location: HEXHAM		Assessor: KEN

This document is provided to meet obligations as set out in NSW Work Health and Safety Regulation (2011) *Part 5.1 General duties for plant and structures, Division 5: Duties of persons conducting business or undertaking that supply plant*. The safety hazards associated with operating and maintaining this plant have been identified as far as is practicable based on a visual inspection only and are listed on subsequent pages.

This Plant Hazard Assessment Report has been provided by the plant supplier or seller, as required by the NSW Work Health and Safety Regulation (2011) *Part 5.1 General duties for plant and structures, Division 5: Duties of persons conducting business or undertaking that supply plant*.

In providing this Plant Hazard Assessment Report, the sellers or transferor of the plant is complying with the NSW Work Health and Safety Regulation (2011) *Part 5.1 General duties for plant and structures, Division 5: Duties of persons conducting business or undertaking that supply plant* in providing information for the safe use of the plant by the new owner.

This plant is being sold in an as-is condition with known and unknown safety hazards. No physical testing has been conducted (e.g. Wire rope testing, stress testing, structural/non-destructive testing, noise testing, vibration testing, brake testing, insulation testing, electrical, controls, etc).

This hazard assessment of the plant was undertaken as it appeared on the day of the inspection, and while the plant was in a static condition (not operating), other hazards may be introduced under operational conditions and must be addressed by the purchaser at a time prior to use.

The condition of the plant may have changed since its inspection. Consequently, it is the responsibility of the purchaser, prior to operating, maintaining, modifying and/or transporting this plant, to ensure that it complies with the NSW Work Health and Safety Regulation (2011) *Part 5.1 General duties for plant and structures, Division 5: Duties of persons conducting business or undertaking that supply plant* and with full regards to the NSW Work Health & Safety Act (2011), and relevant Australian Standards.

NOTE: This document is not intended to provide information on, nor warrant the mechanical or electrical condition of plant and must be read in conjunction with the TRS limitations statement.

These regulations require that plant be specifically assessed for hazards which may include:

Electrical hazards	Ergonomic issues	Inadequate operator controls
Inadequate machine guarding	Plant noise exposure	Chemical exposure and controls
Modification to original design	Unserviceable components or conditions	

IMPORTANT NOTICE: This plant should be further assessed, tested, inspected or dismantled as necessary to gauge any further hazards and/or risks relating to workplace use, which are currently unknown.

Under the NSW Work Health and Safety Act, Regulations, Codes of Practice and appropriate Australian Standards, there is a requirement for the plant owner, employer and operator to exercise a duty of care in the safe operation and maintenance of this plant. Accordingly, before this item is supplied to, or used at any workplace, it must be inspected in order to ensure it is in a fully operational, safe and serviceable condition and that operators are trained in the use of this piece of plant.

Prior to use, operation, maintenance and/or transportation of this piece of equipment, a comprehensive risk assessment needs to be undertaken to ensure appropriate risk controls are implemented and are proven as adequate.

ACKNOWLEDGEMENT: PURCHASER TO COMPLETE (1) OR PURCHASER AGENT TO COMPLETE (2)

(1) I have read the above and understand my responsibilities with respect to the identified hazards.

Purchaser: _____ Date: _____
NAME (Please print) SIGNATURE

(2) I have read the above information and undertake to pass this information and/or all supporting documentation on to the purchaser on whose behalf I act.

Total Risk Solutions Pty Limited

**NON COMPLIANT CONDITIONS FOUND IN THE ASSESSMENT**

The following conditions were found to be non compliant when assessed according to the requirements of the NSW Work Health and Safety Act (2011). These conditions were determined during a plant hazard assessment and require relevant risk control measures to be both developed and implemented by the new owner of the plant prior to its use in the workplace together with the development of a workplace and work task risk assessment.

There are no certification details or inspection data¹ available for this item of plant. There are no manuals, operating instructions or maintenance instructions available for this item of plant, therefore: ITEMS IS TO BE SOLD AS SCRAP OR AS PARTS AND IS TO BE SOLD IN A NON-OPERATIONAL STATE.

1. **CONDITION UNKNOWN.** – USE WITHOUT INSPECTION AND CERTIFICATION BY OEM OR ACCREDITED REPAIRER MAY RESULT IN INJURY: Prior to use it is recommended that the unit be inspected and certified by the original equipment manufacturer (OEM) or an accredited person in order to determine the integrity of the unit.

¹ WorkCover NSW Position Paper, *Requirements for Auctioneers Selling Used Plant in NSW*, (dated 16th December 2005)