



A division of R.J.O'Brien & Associates Canada Inc

For Official Use Only
Broker Code: _____
Account Number: _____

INDIVIDUAL FUTURES ACCOUNT APPLICATION

The parties hereto confirm that it is their wish that this Application, as well as all other documents relating hereto, including notices, have been and shall be drawn up in English only. Les parties aux présentes confirment leur volonté que cette convention de même que tous les documents, y compris tout avis, s'y rattachant, soient rédigés en anglais seulement.

SECTION 1. GENERAL INFORMATION

Client Name:	Brightman	Jesse	Russell
	Last Name	First Name	Middle Name
Social Insurance Number:	731735866	Date of Birth: 05/10/1981	Citizenship: Canadian
		MM/DD/YYYY	

Do you have a spouse? Yes No Spouse's Name: _____ No. of Dependents: _____

Residence Address:

445 East 15th street	North Vancouver	BC	V7L2R9	Canada
Street	City/Town	Province/State	Postal Code/Zip Code	Country

Send Account Statements to : Same Address Below Email Address (Refer to Section 8)

For mailed statements, refer to "Fee Schedule" attached as charges apply.

Street	City/Town	Province/State	Postal Code/Zip Code	Country
Residence Telephone: (_____)		Cell Phone Number: (_____)	778 8665372	
Business Telephone: (_____)		E-mail: jessebrightman@hotmail.com		

SECTION 2. EMPLOYMENT INFORMATION

Please complete the information below. If you have been with your current employer for less than two years please complete the information for your previous employer as well.

Name of Employer	Address	Position	Years of Employment
Loomo Marketing	Victoria	Developer	2

Spouse's Position: _____ Spouse's Employer: _____

SECTION 3. POLITICALLY EXPOSED FOREIGN PERSONS

I certify that:

I am I am not

a Politically Exposed Foreign Person (PEP) as defined below.

PEP is defined as a person who holds or has held offices or positions in or on behalf of a foreign state:

- | | |
|---|---|
| (a) Head of state or head of government; | (f) President of a state-owned company or a state-owned bank; |
| (b) Member of the executive council of government or member of a legislature; | (g) Head of government agency; |
| (c) Deputy minister or equivalent rank; | (h) Judge; |
| (d) Ambassador or attaché or counsellor of an ambassador; | (i) Leader or president of a political party represented in a legislature; or |
| (e) Military officer with a rank of general or above. | (j) Holder of any prescribed office or position. |

as well as the spouse, common-law partner, child, mother, father, brother, sister, spouse's or common-law partner's mother or father of any such person.

If Yes, please indicate why: _____

6.6 Are you an "Affiliated Person" of a Futures Commission Merchant? Yes No
(The CFTC definition of an "Affiliated Person" is - "Any general partner, officer, director, owner of more than ten percent of the equity interest, correspondent agent or person associated therewith, and any relative or spouse of any either foregoing persons, or any relative of such spouse, who shares the same home as any of the foregoing persons.")

6.7 Are you or your employer registered with the Commodity Futures Trading Commission or other regulatory body as a Futures Commission Merchant, Commodity Pool Operator, Trading Advisor or Associated Person? Yes No

You _____ (Specify) Employer _____ (Specify)

6.8 Does the account executive have a direct or indirect interest in the account other than an interest in the commission charged?

Yes No If yes, specify the nature of the interest: _____

(An indirect interest would include an interest by way of a spouse or any immediate family of the account executive sharing the same house as the account executive)

6.9 Are you, or are you related to anyone who is employed by a futures commission merchant, stockbroker, or investment dealer? Yes No

If yes, please specify: _____

SECTION 7. TYPES OF TRANSACTIONS

What is the purpose of the account? Speculative Hedge
Currency: Canadian U.S. Other (Please Specify) _____
Options: Calls Buy Sell Strategies: Spreads Straddles
 Puts Buy Sell Other (Please Specify) **strangle, naked**

SECTION 8. CONSENT FOR DELIVERY OF STATEMENTS

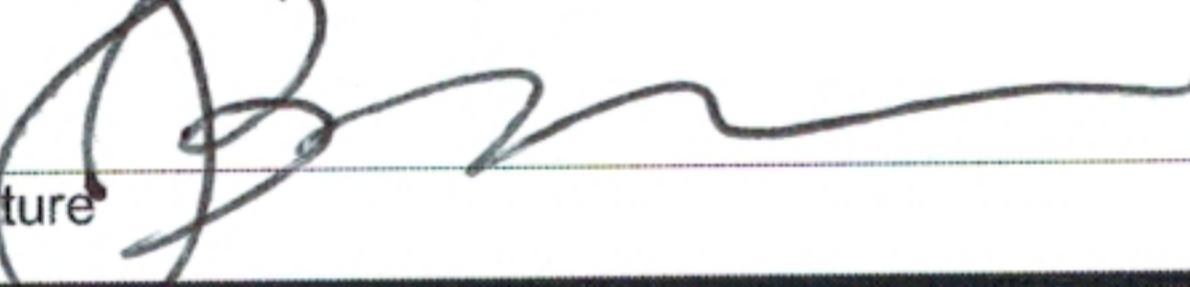
The undersigned Customer ("Customer") requests that you provide confirmation statements of activity solely by electronic transmission to the E-mail address indicated below. Please do not mail hard copies of such statements.

Customer warrants and represents that the below referenced E-mail will promptly print out the relevant Customer statements in the form you transmit. Customer understands that there is a risk of failure of any electronic transmission, and will not hold you liable directly or indirectly for such failure. If Customer fails to receive any confirmation statement that reflects activity of which Customer is aware in the account, Customer will contact an RJO customer service representative by 8:00 a.m (CST) on the business day following the day of any such activity.

This consent shall be effective until revoked by Customer in writing, signed by the undersigned and delivered to R.J. O'Brien & Associates Canada Inc. at 195 Commerce Drive, Winnipeg, MB R3P 1A2. In addition, Customer acknowledges that for its protection and the protection of RJO Canada, any request to change the E-mail address listed below must be in writing and must bear the signature of the undersigned. In the event such a request is received from a legal entity, such as a corporation, LLC or partnership, the request must be accompanied by appropriate documentation establishing that the person signing the request possesses the requisite authority to bind the entity. By signing below, Customer represents that the delivery and execution of this consent has been duly authorized.

jessebrightman@hotmail.com

Customer Email (Please print) _____


Signature

Jesse Brightman

Customer Name

April 27, 2017.

Date

SECTION 9. SIGNATURE AUTHENTICATION

This section must be completed by an RJO Canada representative.

Pursuant to the implementation by the Federal Government of the *Proceeds of Crime (Money Laundering) and Terrorist Financing Act* R.J. O'Brien & Associates Canada Inc. ("RJO Canada") is required to verify the identity of any person(s) involved with an account. This includes any individual authorized to give instructions in respect of an account held with RJO Canada, including persons authorized under a third party trading authorization and any beneficial owner of more than 10% of a corporation, directly or indirectly, or other similar entity.

Person Being Identified: _____
First Name _____ Last Name _____

SECTION 4. FINANCIAL INFORMATION

Annual Income \$ 50,000	Spouse's Annual Income \$ _____
Net Worth \$ 115,000	Liquid Net Worth \$ 115,000
Maximum Net Loss Tolerance Figure (Profits & losses will be netted over the life of your account, and your account will not be permitted to trade beyond this tolerance figure if breached)	

ASSETS

Residence \$ _____	Mortgage \$ _____
Cash \$ 50,000	Credit Cards \$ _____
Gov't Securities \$ _____	Other Loans, Specify: \$ _____
Term Deposits \$ _____	\$ _____
RRSP's \$ 5,000	\$ _____
Automobile \$ 10,000	\$ _____
Listed Securities (Market Value) \$ 50,000	\$ _____

LIST OF OTHER ASSETS

TOTAL ASSETS \$ 115,000

LIABILITIES

Residence \$ _____	Mortgage \$ _____
Cash \$ _____	Credit Cards \$ _____
Gov't Securities \$ _____	Other Loans, Specify: \$ _____
Term Deposits \$ _____	\$ _____
RRSP's \$ 5,000	\$ _____
Automobile \$ 10,000	\$ _____
Listed Securities (Market Value) \$ 50,000	\$ _____

LIST OF OTHER LIABILITIES

TOTAL LIABILITIES \$ 0

SECTION 5. BANKING INFORMATION

Bank Name: Royal Bank of Canada

Bank Address: 1789 Lonsdale Avenue

North Vancouver	BC	V7M2J6	Canada
City/Town	Province/State	Postal Code/Zip Code	Country

Contact Name: _____ Telephone Number: _____

Account Details:

<input checked="" type="checkbox"/> Chequing	<input checked="" type="checkbox"/> Savings	<input type="checkbox"/> Other Specify Account Number: TFSA
<input type="checkbox"/> Chequing	<input type="checkbox"/> Savings	<input type="checkbox"/> Other Specify Account Number: _____

SECTION 6. FUTURES TRADING INFORMATION

6.1 Have you ever previously traded futures or options on futures? Yes No If yes, please complete the following:

Firm Name	No. of Years Traded	Account Status	Type of Account
_____	_____	<input type="checkbox"/> Open <input type="checkbox"/> Closed	<input type="checkbox"/> Futures <input type="checkbox"/> Options
_____	_____	<input type="checkbox"/> Open <input type="checkbox"/> Closed	<input type="checkbox"/> Futures <input type="checkbox"/> Options

6.2 Have you previously traded securities, options, rights/warrants or short sales? Yes No If yes, please complete the following:

Firm Name	No. of Years Traded	Account Status	Type of Account
Hollis Wealth	15	<input type="checkbox"/> Open <input type="checkbox"/> Closed	<input checked="" type="checkbox"/> Securities <input type="checkbox"/> Options
_____	_____	<input type="checkbox"/> Open <input type="checkbox"/> Closed	<input type="checkbox"/> Rights/Warrants <input type="checkbox"/> Short Sales
_____	_____	<input type="checkbox"/> Open <input type="checkbox"/> Closed	<input type="checkbox"/> Securities <input type="checkbox"/> Options
_____	_____	<input type="checkbox"/> Open <input type="checkbox"/> Closed	<input type="checkbox"/> Rights/Warrants <input type="checkbox"/> Short Sales

6.3 Does any person, other than you control, manage, direct, or have any financial interest in the trading in this account?

Yes No If yes, please specify the person's name below and complete the Discretionary 3rd Party Form:

Mark Nichols

6.4 If yes, is such person registered as a Commodity Trading Advisor or portfolio manager with the Commodity Futures Trading Commission, or other regulatory body? Yes No

6.5 Do you have a financial interest in any other commodity trading account at RJ O'Brien? Yes No

If yes, please indicate the following: Account Name: _____ Account Number: _____

A. For Canadian Residents Met by an RJO Canada Representative:

I have met the person named above and attest that I have seen the original document indicated here and have verified the person's signature on the account opening form against it. A photocopy of the document is attached.

Type of Identification: Passport Driver's License Provincial Health Card (If permitted by law)

ID Number: _____ Expiry Date: _____ Place of Issuance: _____

Signature of RJO Canada Representative

B. For Canadian Residents Not Met by an RJO Canada Representative:

If a person's identity is not verified in the presence of an RJO Canada representative, RJO Canada must identify the person using a combination of two of the following methods. Please note that items 1 and 2 cannot be used together.

Please indicate the two methods being used:

1. **Cleared Cheque Method**

Attached is a cheque for at least \$1.00 from the person's deposit account at a Canadian financial institution. The cheque will be cashed and must clear.

2. **Confirmation of Deposit Account Method**

The account will be funded with a bank draft or wire transfer from the client's deposit account at a Canadian financial institution. The draft must be accompanied by a bank letter confirming the source of the funds and the wire transfer must also identify the source of the funds.

3. **Identification Product Method**

The client's identification will be verified through an existing Identification Product Method such as Equifax ID compare.

4. **Attestation Method**

Attached is a legible photocopy of one of the documents used for in-person identification with an attestation affixed from a Commissioner of Oaths in Canada, or a Guarantor. This must include the name, profession and address of Commissioner or Guarantor, their signature, and type and number of identification document.

C. For non-Canadian Resident Persons:

Person's identification must be verified by an agent hired by RJO Canada in the foreign location.

SECTION 10. SUITABILITY EXEMPTION DISCLOSURE STATEMENT

In recognition of the customer's request to open a self-directed "online" account with RJO Canada Online ("RJOC Online"), through the books and records of R.J. O'Brien & Associates Canada Inc. ("RJO Canada"), the customer acknowledges and agrees that neither RJO Canada, nor RJOC Online, will provide any recommendations to the customer and will not be responsible for making a suitability determination of any trades accepted from the customer through their self-directed account.

Customer further acknowledges and agrees that customer alone is responsible for his or her own investment decisions and that neither RJO Canada, nor RJOC Online, will consider the customer's financial situation, investment knowledge, investment objectives or risk tolerance when accepting orders from the customer.

→ Initials: JB

SECTION 11. TERMS AND POLICIES

By signing below, I hereby certify that:

I have received, read, understood and agree to everything contained within the agreements attached to this application and listed below.

- Suitability Exemption Disclosure Statement
- Individual Client Agreement
- Risk Disclosure Statement
- Summary Disclosure Statement With Respect To Exchange-Traded Commodity Futures Options
- Commodity Futures Trading Commission - Regulation 1.3
- Privacy Agreement RJO Canada
- Electronic Trading and Order Routing Systems Disclosure Statement

RJO Canada may verify the information contained in this application.

All information contained within this Application is correct, accurate and complete in all respects and I will promptly notify RJO Canada in writing of any material changes.

Authorized Signature

Jesse Brightman

Print Name

April 27, 2017

Date