Trans-Atlantic Platform Digging into Data Challenge

Addendum for Applications to the Canadian Funding Agencies
(SSHRC, NSERC, FRQSC, FRQNT)

Note: This addendum to the Trans-Atlantic Platform (T-AP) Digging into Data Challenge Request for Proposals (RFP) contains funding agency-specific information for candidates applying for **Canadian** funding. Please see the Digging into Data Challenge website, www.diggingintodata.org, for links to the main RFP and the addendums for all other funders.

Introduction

This addendum is organized in the following manner:
- Overview of T-AP Digging into Data Challenge
- Overview of the Canadian Funding Mechanism for Canadian Applicants
- Appendix 1: Social Sciences and Humanities Research Council (SSHRC)
- Appendix 2: Natural Sciences and Engineering Research Council (NSERC)
  Appendix 3: Fonds de recherche du Québec—Société et culture (FRQSC) and Fonds de recherche du Québec—Nature et technologie (FRQNT)

Overview of T-AP Digging into Data Challenge

In recognition of the international nature of cyberinfrastructure and e-science, the T-AP Digging into Data Challenge brings together international research teams to advance research and to share their results openly, so that others may learn from these results.

The T-AP Digging into Data Challenge is an international competition sponsored by 16 leading funders from 11 countries.

As noted in the T-AP Digging into Data RFP, this competition is **open only to international research projects** that:
- consist of at least three eligible national research teams; and
- include teams from both sides of the Atlantic.

Overview of the Canadian Funding Mechanism for Canadian Applicants

a. Value and Duration
When a project is selected for funding, each of the teams will receive a grant from their respective country and funder(s). For Canadian teams, projects will be funded based on the funding request(s) submitted by the team, via the itemized budgets and one-page justifications.

Canadian applicants can request up to a maximum of $275,000 in funding:

- $100,000 from SSHRC
- $100,000 from NSERC

Quebec applicants eligible under SSHRC or NSERC may also apply to a supplement by the FRQSC/FRQNT for intersectoral research:

- up to $75,000 (+ indirect costs)

b. **Submission**

To apply to SSHRC or NSERC funding components of the larger T-AP Digging into Data Challenge, an eligible Canadian project leader (under SSHRC or NSERC rules) must submit a budget application to one or both funding agencies. A budget must be submitted in accordance with the requirements of the funding agency to which it is submitted. A project leader can lead more than one Canadian component of the project.

To apply to FRQSC/FRQNT funding components of the larger T-AP Digging into Data Challenge, an eligible Quebec project leader (under FRQSC/FRQNT Common General rules) must submit a budget application in accordance with FRQSC budget rules. Note that only projects that are selected by one or both federal agencies are then eligible to be considered for the Quebec funding supplement.

Examples:

- A T-AP Digging into Data Challenge project proposal is submitted by the overall project lead researcher from the United Kingdom. Within this project there are participants from Canada. A Canadian budget form for SSHRC has been submitted totaling $100,000. If the application is successful, SSHRC will fund the proposal according to its policies and regulations, via the identified eligible project director of the budget request.

- A T-AP Digging into Data Challenge project proposal is submitted by the overall project lead researcher from Canada. Within this project there are budgets for SSHRC ($100,000) and NSERC ($100,000), and a budget for intersectoral research project to be presented to the FRQSC/FRQNT ($75,000). If the application is successful, SSHRC, NSERC and the FRQSC/FRQNT will fund the proposal according to their respective policies and regulations, via the identified eligible project directors for each budget request.

- A T-AP Digging into Data Challenge project proposal is submitted by the overall project lead researcher from Finland. Within it is a budget for NSERC ($100,000). If the application is successful, NSERC will fund the proposal according to its policies and regulations.

c. **Funding Rules**

One eligible lead researcher will need to be associated with each budget request. He or she will be considered the "project director" for these funds from the corresponding agency. He or she will, thus, be accountable to the funder for the management of funds and the research portion of the larger T-AP Digging into Data Challenge project. This individual must be identified to the agencies in the supplementary T-AP Digging into Data Challenge Terms and Conditions for Applying form(s), and must sign the related documents requested by the relevant Canadian funders.

For successful applicants, funding agencies will fund the proposal according to their respective policies and regulations, via the identified eligible project director for each budget request. Regulations from each funding agency can be found:

SSHRC and NSERC: Tri-agency Financial Administration Guide

FRQSC and FRQNT: [Common General Rules](#)

NSERC, SSHRC or FRQSC/FRQNT will communicate directly with the project director funded for the component of the successful project proposal. The project director will also be responsible for reporting to that funding agency, according to its rules.

In the case that one or more Canadian agencies is unable to fund (e.g., due to subject matter outside of their mandate) and one or more agencies decides to fund, the Canadian team may be asked to submit a revised budget/budgets and justification/justifications, to ensure that the funds are used as per the remaining agency/agencies' rules and regulations.

Please note that, as mentioned in the T-AP Digging into Data RFP, "if more than one team from the same country is participating in the same proposal, they must work together and designate one single Principal Investigator."

Thus, if requests are made to more than one Canadian agency, one researcher will still need to be designated the overall "principal investigator" for Canada for the purposes of submission of the overall proposal to the National Endowment for the Humanities (NEH).

d. Privacy Notices

SSHRC and NSERC Privacy Notice
All applications must be submitted to the NEH and are subject to the privacy policies of the NEH and to United States federal law. The NEH will share application information with other funding agencies involved in this call, including NSERC and SSHRC. The personal information contained in the full grant proposal will be disclosed to, and may be viewed by, any or all of the participating granting agencies.

NSERC and SSHRC are responsible for complying with the *[Access to Information Act](#)* and the *[Privacy Act,](#)* and this legislation applies to all information within NSERC and SSHRC's respective custody and control. The personal information that you provide for the purpose of applying to this funding competition is collected by NSERC under the authority of the *Natural Sciences and Engineering Research Council Act*, and by SSHRC under the authority of the *Social Sciences and Humanities Research Council Act*. Personal information provided to SSHRC is stored in the personal information bank PPU 055, as described in SSHRC's [Info Source](#) chapter. Only the information needed to deliver, administer and promote the T-AP Digging into Data Challenge competition and awards is collected by NSERC and SSHRC indirectly via the NEH. Failure to provide the personal information required in the application may result in the application being declared ineligible.

NSERC and SSHRC are committed to the protection of the personal information under their control, and do not take responsibility for the privacy practices of any other T-AP Digging into Data Challenge partner organizations, including Canadian partner organizations. NSERC and SSHRC will share personal information with the agencies named in this addendum only as required for administrative purposes with respect to the T-AP Digging into Data Challenge. Information may also be disclosed for the purposes of program evaluation or reporting. NSERC and SSHRC will not disclose personal information to third parties for purposes beyond those listed above. In addition to protecting your personal information, the *Privacy Act* gives you the right to request access to and correction of your personal information.

For more information about these rights, or about SSHRC and NSERC privacy practices, please contact the appropriate access to information and privacy co-ordinator (NSERC: 613-995-6214 or ATIP-AIPRP@nserc-crsng.gc.ca; SSHRC: 613-992-1058 or ATIP-AIPRP@sshrc-crsh.gc.ca). You also have the right to file a complaint with the Privacy Commissioner of Canada if you think your personal information has been handled improperly.

FRQSC and FRQNT Privacy Notice
The FRQNT and the FRQSC are responsible for complying with the *Loi sur l'accès à l'information et la protection des renseignements personnels* (RLRQ c. A-2.1). The personal information that you provide for the purpose of applying to this funding competition is collected by the FRQSC under the authority of the Fonds de recherche du Québec société et culture, and by the FRQNT under the authority of the Fonds de recherche du Québec Nature et technologies. Information collected is managed and kept in accordance with the agency's privacy policy as described in the Commitment Report applicants must sign. This information will be used for the purposes of selecting applications and managing grants once selected.

The FRQNT and the FRQSC are committed to the protection of the personal information under their control, and do not take responsibility for the privacy practices of any other T-AP Digging into Data Challenge partner organizations, including Canadian partner organizations. The FRQNT and FRQSC will share personal information with the agencies named in this addendum only as required for administrative purposes with respect to the T-AP Digging into Data Challenge. Information may also be disclosed for the purposes of program evaluation or reporting. The FRQNT and FRQSC will not disclose personal information to third parties for purposes beyond those listed above. In addition to protecting your personal information, the *Loi sur l'accès à l'information et la protection des renseignements personnels* (RLRQ c. A-2.1) gives you the right to request access to and correction of your personal information.

For more information about these rights, or about FRQSC and FRQNT privacy practices, please contact the person in charge of access to information requests, at responsableacces.sc@frq.gouv.qc.ca or responsibleacces.nt@frq.gouv.qc.ca.

e. Specific Requirements from Each Canadian Funding Agency
Each funding agency has specific rules and regulations applying to all steps of the competition and the overall funding of projects. These rules can be found in the following appendixes:

Appendix 1: Social Sciences and Humanities Research Council of Canada
Appendix 2: Natural Sciences and Humanities Research Council of Canada
Appendix 3: Fonds de recherche du Québec—Société et culture (FRQSC) and Fonds de recherche du Québec—Nature et technologie (FRQNT)

For more information on agency-specific information please contact:

Social Sciences and Humanities Research Council of Canada
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Natural Sciences and Engineering Research Council of Canada
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Fonds de recherche du Québec—Société et culture / Fonds de recherche du Québec—Nature et technologies
Étienne Carbonneau
Program Officer
Fonds de recherche du Québec—Société et culture
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Email: etienne.carbonneau@frq.gouv.qc.ca

APPENDIX 1: Social Sciences and Humanities Research Council of Canada

Important Note: Canadians applying for SSHRC funds **must** submit a signed Terms and Conditions form and a list of participants, prior to the T-AP Digging into Data Challenge deadline.

Subject Matter Eligibility
All thematic areas and approaches are eligible for consideration, and proposals are welcome from all subject areas eligible for funding at SSHRC. Please see SSHRC's Subject Matter Eligibility guidelines for more information. Proposals can also include collaboration and integration across several disciplines, including aspects of natural sciences and engineering, provided that the main focus remains within the social sciences and humanities.

Please note: It is the applicant's responsibility to ensure that the proposed project complies with SSHRC's subject matter eligibility. SSHRC reserves the right to declare the SSHRC portion of the project ineligible, which may compromise the project as a whole.

Salary Research Allowance
Digging into Data applicants and co-applicants from eligible not-for-profit organizations may request a salary research allowance to release them from duties to their organization.

Guidelines for Support of Tools for Research and Related Activities
As noted in the T-AP Digging into Data Challenge RFP:

"Projects can propose to develop new tools and methods, but always with the goal of (better) improving how pertinent humanities and/or social sciences research questions can be addressed and describing what new theoretical insights these technical and methodological innovations will give rise to."

SSHRC applicants should also refer to the *Guidelines for Support of Tools for Research and Related Activities* when outlining the role of the SSHRC participants within the larger project.

Applicants
Applicants (project directors) must apply as an international research project partnership. Each project must **be a partnership among a minimum of three national teams**, which represent **participating countries on both sides of the Atlantic**.

While the overall lead for the project will submit all of the project materials via the portal administered by the NEH, a project director is required for each funding agency involved in the project. SSHRC project directors are required to ensure that they comply with all SSHRC regulations and complete the necessary SSHRC-specific paperwork for submission.

The deadline for receipt of the final proposal in response to this call is **June 29, 2016**. Late proposals will **not** be accepted. Details of how to submit the proposal are outlined on the T-AP Digging into Data Challenge website, www.diggingintodata.org.

Postdoctoral researchers are eligible to be applicants for a T-AP Digging into Data grant. However, in order for SSHRC to release grant funds, successful applicants must formally establish an affiliation with an eligible institution within five months of the grant start date, and maintain such an affiliation for the duration of the grant period.

Students can be applicants for a T-AP Digging into Data grant if they:
- will have met all requirements for their degree before the grant is awarded, including all course work and successful defense of their dissertation (if applicable);
- establish a formal affiliation with an eligible institution within five months of the grant start date; and

- maintain such an affiliation for the duration of the grant period.

Co-applicants may be individuals from any of the following:

Canadian:
- postsecondary institutions;
- not-for-profit organizations;
- philanthropic foundations; or
- municipal, territorial or provincial governments.

International:
- postsecondary institutions.

Postdoctoral researchers are eligible to be co-applicants for a T-AP Digging into Data grant under the same conditions as those described under "Applicants" above.

Any individual who may make a significant contribution to the research or research-related activity may be a **collaborator**. Collaborators do not need to be affiliated with an eligible Canadian postsecondary institution.

Note that individuals from the private sector or federal government may only participate as collaborators.

Institutions
SSHRC invites applications for grants from social science and humanities researchers affiliated with an eligible Canadian institution. Please see SSHRC's list of eligible institutions. Researchers who are not affiliated with an eligible Canadian institution should contact SSHRC well in advance of the deadline.

Any institution that does not currently have institutional eligibility and wishes to administer SSHRC grants must apply for and meet SSHRC's institutional eligibility requirements. Once eligibility is granted, the institution is invited to become a signatory to the Agreement on the Administration of Agency Grants and Awards by Research Institutions, which entails a commitment by the institution to adhere to the high legal, ethical and financial standards set out in the Agreement, and ensures that the institution has the necessary structures and processes in place to achieve this objective. Please note that SSHRC will not release funding to an institution before it becomes a signatory of the Agreement.

For questions related to institutional eligibility, or to receive an institutional eligibility application package, please contact SSHRC's Corporate Affairs Directorate, at institutional.eligibility@sshrc-crsh.gc.ca.

Multiple Applications
Please refer to SSHRC's regulations regarding multiple applications for more information.

Applicants who have received a SSHRC grant of any type but have failed to submit a final research report by the deadline specified in their Notice of Award are not eligible to apply for another SSHRC grant until they have submitted the report.

Partners
As noted in Section 4.9: Letters of commitment within the T-AP Digging into Data RFP, project teams are expected to attach letters of commitment, as appropriate:

"A letter of commitment is typically written by a person or organisation that is committing something to your project: for example, giving you access to a collection of materials for your

research or agreeing to make some kind of contribution to your project. Some Funding Organisations may also require commitment letters from the Principal Investigator's host institute.... Please check the relevant national eligibility requirements."

SSHRC encourages applicants to collaborate with partner organizations from non-academic sectors in formal partnerships within the scope of this funding opportunity.

Administrative Regulations
T-AP Digging into Data applications must be submitted electronically through the NEH. However, before submission, Canadian project directors requesting funds from SSHRC must contact the SSHRC program officer to request the Terms and Conditions for Applying. Applicants must then:

- follow the internal approval process in place at their institution or non-academic organization, as specified by the relevant authorities;
- review, sign and forward the Terms and Conditions for Applying (SSHRC) (including the formal list of co-applicants and collaborators, as outlined within the document) to the research or financial administrator at their institution or non-academic organization for review and formal signature; and
- ensure that the research grant office scans the signed Terms and Conditions for Applying (SSHRC) and forwards this, by email, to the SSHRC program officer, with a cc to the applicant.

Exemption
For the Digging into Data Challenge, SSHRC's appeals policy will not be applicable, due to the international nature of the initiative.

Language of Application
Canadian project directors submitting on behalf of a team can submit their applications in English or French. Applicants who wish to apply in French should contact the SSHRC program officer assigned to this funding opportunity (contact info below) directly.

Reporting Requirements
A schedule of report due dates will be included with the award notification documents.

Canadian teams must comply with policies and requirements as outlined in the Tri-Agency Financial Administration Guide.

A white paper will be required at the end of the granting period. This white paper will replace the standard SSHRC Final Research Report. The white paper should document the project's achievements, including lessons learned, so that others can benefit. White papers will be posted on the NEH website. See the T-AP Digging into Data Challenge RFP for more details.

SSHRC Research Data Archiving Policy and Open Access Policy
SSHRC is committed to the principle that the various forms of research data collected with public funds belong in the public domain. Accordingly, SSHRC has a policy to facilitate making data that has been collected with the help of SSHRC funds available to other researchers. Please refer to SSHRC's Research Data Archiving Policy for further information.

SSHRC- and NSERC-funded researchers are required to comply with the new Open Access policy for all grants awarded from May 1, 2015, onward.

To learn more about the Open Access policy, for answers to frequently asked questions and to use the open access toolbox, please visit the tri-agency Open Access page on science.gc.ca.

More Information

Please consult the T-AP Digging into Data Challenge RFP for more details, or contact:

Mika Oehling
Program Officer
Research Grants and Partnerships Division
Social Sciences and Humanities Research Council of Canada
Tel.: 613-992-4227
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APPENDIX 2: Natural Sciences and Engineering Research Council

NSERC's participation in this initiative is linked to the Discovery Frontiers Call for Proposals: Exploring Big Data (www.nserc-crsng.gc.ca/Professors-Professeurs/Grants-Subs/FrontiersCallExplore-AppelFrontiersExplore_eng.asp). The calls are focused on the development of tools and techniques to better understand big data generated by a wide range of disciplines.

Please note: Canadians applying for NSERC funds **must submit a signed Terms and Conditions** form prior to the T-AP Digging into Data Challenge deadline.

Eligibility of Applicants
To be eligible for funding from NSERC, the team must include researchers in the natural sciences and engineering who are eligible to hold NSERC funds. Refer to NSERC's eligibility guidelines for more details.

Subject Matter Eligibility
Given the nature of the T-AP Digging into Data Challenge, it is expected that proposals will include collaboration and integration across several disciplines. Proposals requesting funds from NSERC can include aspects of social sciences and humanities, provided that the main focus remains within the natural sciences and engineering.

Please see NSERC's Subject Matter Eligibility guidelines for more information.

Please note: It is the applicant's responsibility to ensure that the proposed project complies with NSERC's Subject Matter Eligibility guidelines. NSERC reserves the right to declare the NSERC portion of the project ineligible, which may compromise the project as a whole.

Intellectual Property
Projects funded by NSERC must have a strategy for knowledge and technology transfer to all stakeholders and the general public, as appropriate, that conforms to NSERC's Policy on Intellectual Property. The details of this strategy do not need to be outlined in the application documents, but may be requested by NSERC at the time of award.

Amount of Funding
Each project is a partnership among three or more national teams, and must include teams from both sides of the Atlantic. NSERC will provide a maximum of $100,000 per project. NSERC plans on providing support for a maximum of five projects where the contribution to the project by Canadian natural sciences and engineering researchers should be significant and in keeping with the objectives of the Discovery Frontiers initiative.

Exemption
For the T-AP Digging into Data Challenge, NSERC's appeals policy will not be applicable, due to the international nature of the initiative.

Language of Application
Canadian project directors submitting on behalf of a team can submit their applications in English or French. Applicants who wish to apply in French should contact the NSERC program officer assigned to this funding opportunity.

Reporting Requirements
A schedule of report due dates will be included with the award notification documents.

A white paper will be required at the end of the granting period. The white paper should document the project, including lessons learned, so that others can benefit. White papers will be posted on the NEH website. See the T-AP Digging into Data Challenge RFP for more details.

Privacy and Use of Information
All applications must be submitted to the NEH, and are subject to the privacy policies of the NEH and to United States federal law. The NEH will share application information with other funding agencies involved in this call, including NSERC. NSERC policies and Canadian law apply to information submitted directly to NSERC.

For detailed information on eligible and ineligible expenses, you should also consult the Tri-Agency Financial Administration Guide.

More Information
Please consult the main T-AP Digging into Data Challenge RFP for more details, or contact:

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Tel.: 613-992-1482
Email: dave.bowen@nserc.crsng.gc.ca

APPENDIX 3: Fonds de recherche du Québec—Société et culture (FRQSC) and Fonds de recherche du Québec—Nature et technologie (FRQNT)

Eligibility Requirements
Within the context of the T-AP Digging into Data Challenge, the FRQSC and the FRQNT may jointly award a Supplement for Intersectoral Networking to Québec researchers who:

- are at least two in number, one of whom works mainly in the social sciences and humanities, arts and literature sector, and another of whom works mainly in the natural sciences and engineering sector;
- are project leads or co-researchers of a Canadian team funded by the SSHRC and/or NSERC T-AP Digging into Data Challenge;
- meet all SSHRC or NSERC requirements; and
- meet the eligibility requirements for financial support described in the Common General Rules of the Fonds de recherche du Québec, and whose researcher status is one of the following: CHU, CHUN, CRU, CRUN, CHUT, CHB, CE, CEN, DS, CHC or CHCT (for researcher status definitions, refer to Appendix 1 of the Common General Rules of the Fonds de recherche du Québec; social sciences, humanities, arts and literature researchers should refer to the definitions used by the FRQSC; natural sciences and engineering researchers should refer to the definitions used by the FRQNT).

Description of the Supplement
Note: Each funded Canadian team may **only receive a single supplement.**

The maximum funding of $75,000 per team is intended to cover direct research costs. This amount will be increased by 27 per cent to cover indirect research costs, bringing the total maximum funding per team to $95,250. The indirect research costs amount will be paid directly to the home institution of the project lead. The supplement offered to each team covers the complete duration of the project.

The Supplement for Intersectoral Networking is administered by the FRQSC, but is offered jointly by the FRQSC and FRQNT. Given the supplemental nature of this FRQSC/FRQNT funding opportunity, the project funded by SSHRC and/or NSERC must be able to be completed regardless of whether the Supplement for Intersectoral Networking is awarded or not. The supplement's objective is to encourage Québec researchers working in FRQSC-supported fields (social sciences and humanities, arts and literature) and those working in FRQNT-supported fields (natural sciences and engineering) to work together on the development and integration of international social sciences and humanities research projects that have a significant natural sciences and engineering component.

It should be noted that the Fonds de recherche du Québec use the term "intersectoral networking" to designate a research and collaboration process that involves at least two areas of research around the same theme, problem or challenge. Québec applications for this competition must demonstrate networking between the social sciences and humanities, arts and literature sector on the one hand, and the natural sciences and engineering sector on the other. Please refer to the presentation of the mission and research fields of each agency for more information on the research areas covered (FRQSC, FRQNT).

One social sciences and humanities researcher from Québec, who is either a project lead or co-researcher of a Canadian team funded by SSHRC and/or NSERC, must be named as the FRQSC project lead. The FRQSC and the FRQNT will jointly award the supplement to this Québec researcher, who will be responsible for transferring the amounts intended for the activities of the other Québec social sciences and humanities, arts and literature, natural sciences and engineering researchers working as co-researchers on the project. The supplement will be awarded on the basis of the budget and justifications submitted by the project lead. The project

ranking provided by the T-AP Digging into Data application evaluation committee will be respected and funding will be allocated until the total combined budget of the two agencies has been reached, that being $400,000 including indirect research costs.

The FRQSC and the FRQNT reserve the prerogative to modify the amounts offered in relation to those requested on the basis of the budget estimates and justification provided and the number of funded projects.

Documents to be Submitted to the FRQSC
In addition to the documents required for the T-AP Digging into Data Challenge application, Québec researchers applying for the FRQSC/FRQNT supplement must submit the following documents to the FRQSC on or before the T-AP Digging into Data application deadline:

- the signed FRQSC/FRQNT Règles et conditions / Terms and Conditions form, including a list of all co-researchers from Québec (form can be obtained from the FRQSC program officer—contact details provided below);

- the budget form, detailing the amounts requested under the Supplement for Intersectoral Networking and signed by the Québec project lead (budget form available on the T-AP Digging into Data Challenge website);

- accompanying the budget form, a one-page budget justification explaining the need for each budget item, in accordance with FRQSC/FRQNT expense eligibilities as set out in the Common General Rules of the Fonds de recherche du Québec (letter format, minimum margins of 1.5 cm);

- a detailed one-page presentation on the intersectoral networking between researchers from the social sciences and humanities and researchers from the natural sciences and engineering that will be generated by the planned collaboration (letter format, minimum margins of 1.5 cm);

- the signed commitment report(report available via le chargé de programmes); and

- a half-page summary of the international research project, **in French**, specifying the contribution of the Québec researchers.

All of these documents must be sent in PDF format to the program officer at the email address provided below.

Rules and Regulations
All applicants must comply with the Common General Rules, including all aspects related to intellectual property, ethics, and research integrity.

Language of Application
The documents to be submitted to the FRQSC listed above may be written in either French or English, with the exception of the half-page summary (item VI above), which must be written in French.

More Information
For additional information concerning the FRQSC/FRQNT Supplement for Intersectoral Networking, please contact:

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