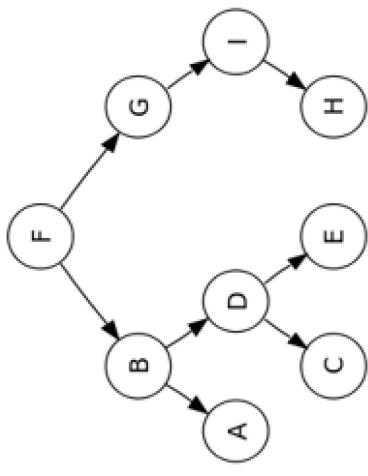


Binary Search Trees

二元查找树

Contents

- Begin a brief foray into data structures!
- Binary search trees



this will lead us to...



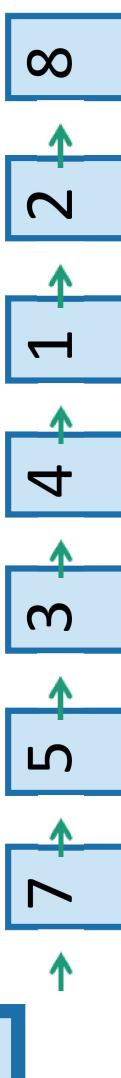
Linked List

数据结构 · 链表（串列）

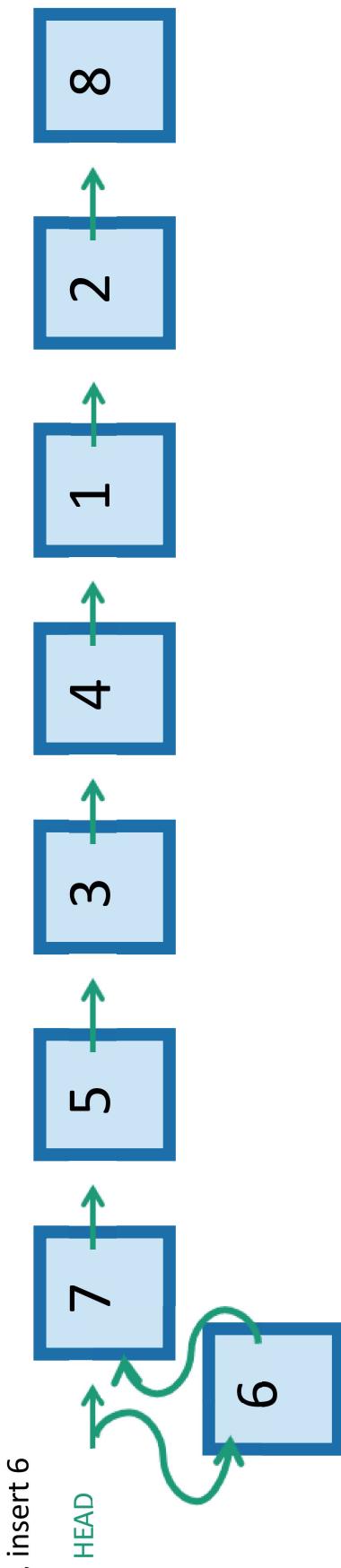
UNSorted linked lists

Some data structures
for storing objects like 5 (aka, nodes with keys)

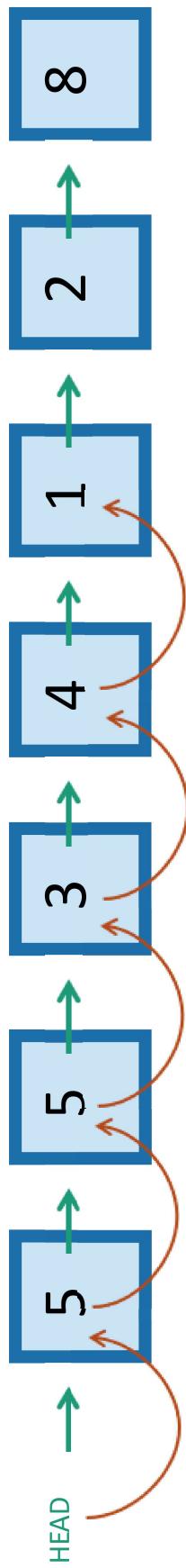
- O(1) INSERT:



eg, insert 6



- O(n) SEARCH/DELETE:



e.g., search for 1 (and then you could delete it by manipulating pointers).

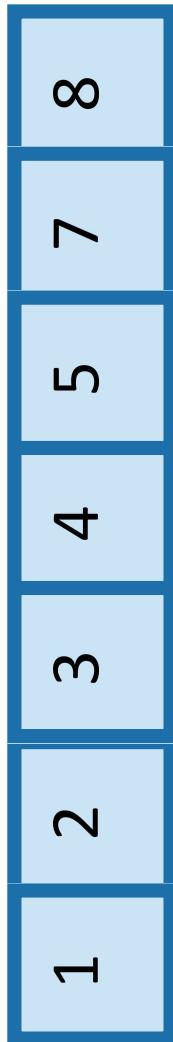
想——想、 练——练、

- 如何实现一个串列（linked list）？
- 保存一组7,5,3,4,1,2,8
- 增加9，删除4

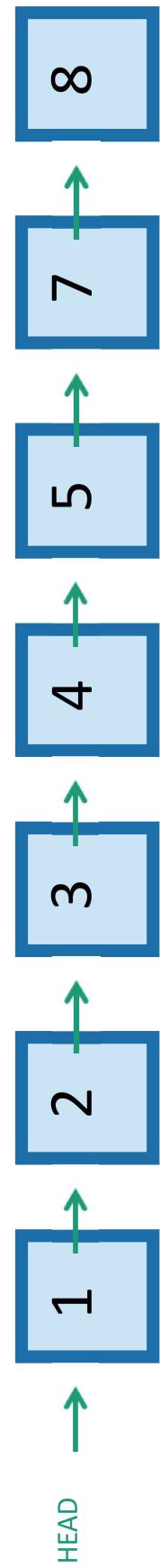
• 提示：

- python内置了list类型，但不使用
- 可利用[*args]添加数组

Some data structures for storing objects like (aka, nodes with keys)



- (Sorted) linked lists:



- Some basic operations:

- INSERT, DELETE, SEARCH

Sorted Arrays

1	2	3	4	5	7	8
---	---	---	---	---	---	---

- **O(n) INSERT/DELETE:**

- First, find the relevant element (time $O(\log(n))$ as below), and then move a bunch elements in the array:

1	2	3	4	4.5	7	8
---	---	---	---	-----	---	---

e.g., insert 4.5

- **O($\log(n)$) SEARCH:**

1	2	3	4	5	7	8
---	---	---	---	---	---	---

e.g., Binary search to see if 3 is in A.

Motivation for **Binary Search Trees**

Linked Lists	Sorted Arrays
Search	$O(n)$
Delete	$O(n)$
Insert	$O(1)$

数据结构： 二元搜索树

Binary Search Tree

Motivation for Binary Search Trees

TODAY!

Binary Search Trees*(balanced)	
Linked Lists	Sorted Arrays
Search	Insert
$O(n)$	$O(1)$
$O(n)$	$O(n)$
$O(\log(n))$	$O(\log(n))$
$O(n)$	$O(n)$

Binary tree terminology

For today all keys are distinct.

Each node has two **children**.

This node is
the **root**

The **left child** of
is **3** **2**

The **right child** of
is **3** **4**

The **parent** of
is **3** **5** **5**

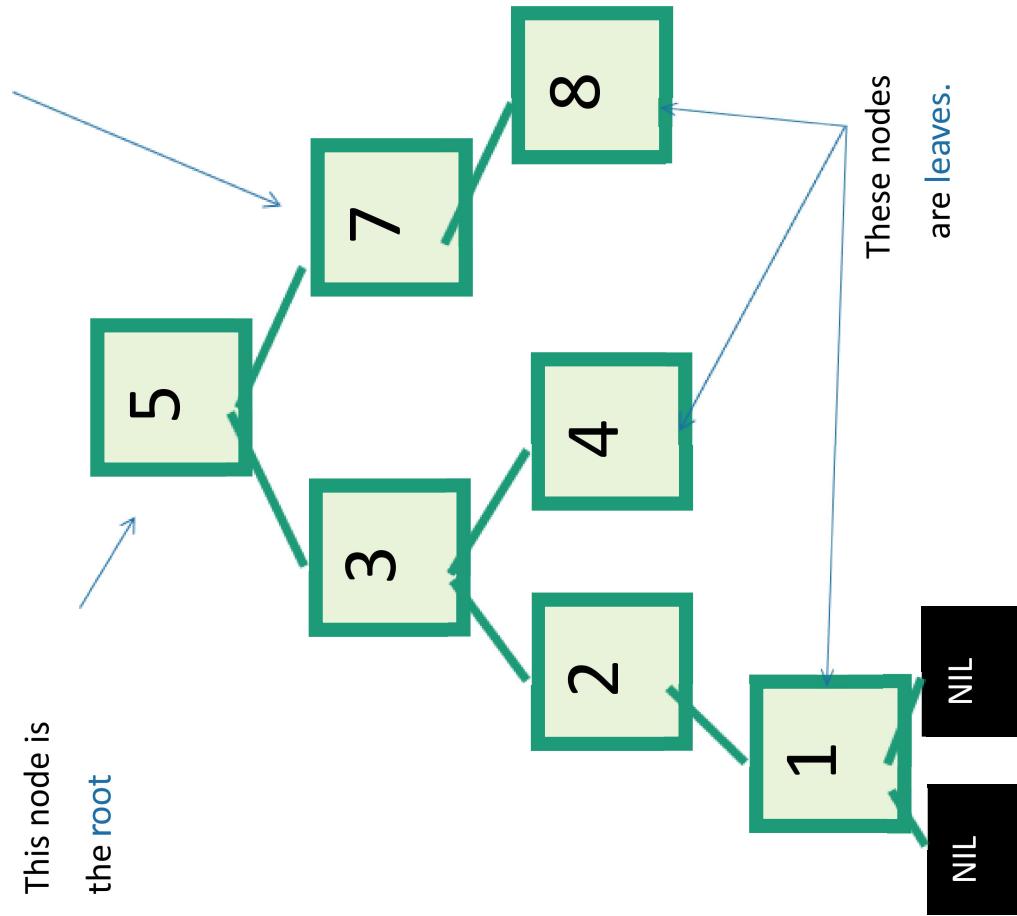
2 a descendant of
2

Each node has a pointer to its
left child, right child, and parent.

Both **children** of
are **1**
(I won't usually draw them).

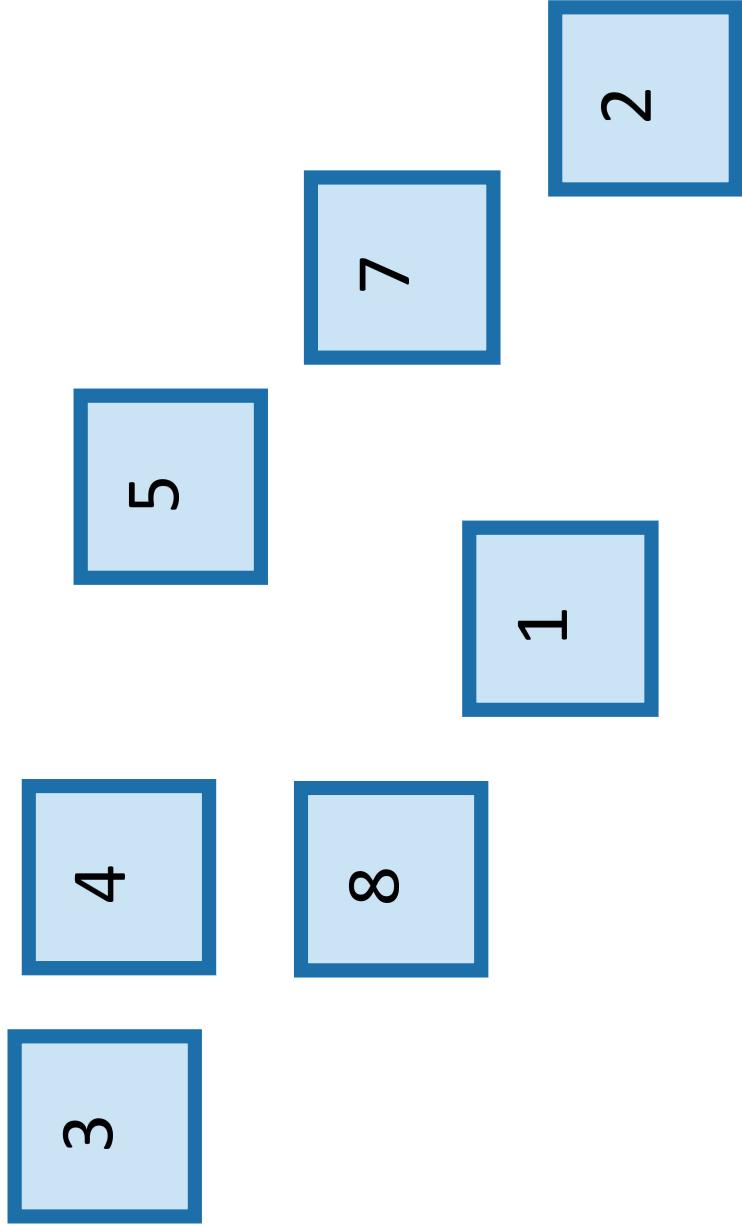
The **height** of this tree is 3.
(Max number of edges from the
root to a leaf).

This is a **node**.
It has a **key** (7).



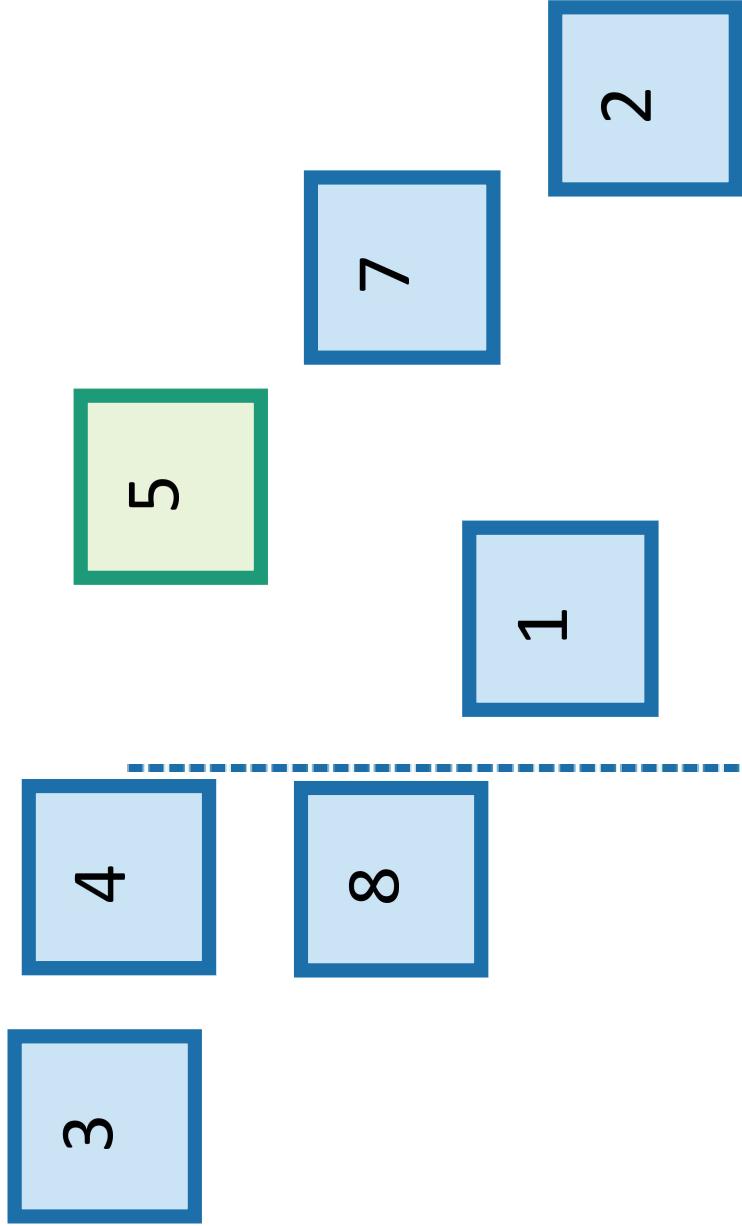
Binary Search Trees

- A BST is a binary tree so that:
 - Every LEFT descendant of a node has key less than that node.
 - Every RIGHT descendant of a node has key larger than that node.
- Example of building a binary search tree:



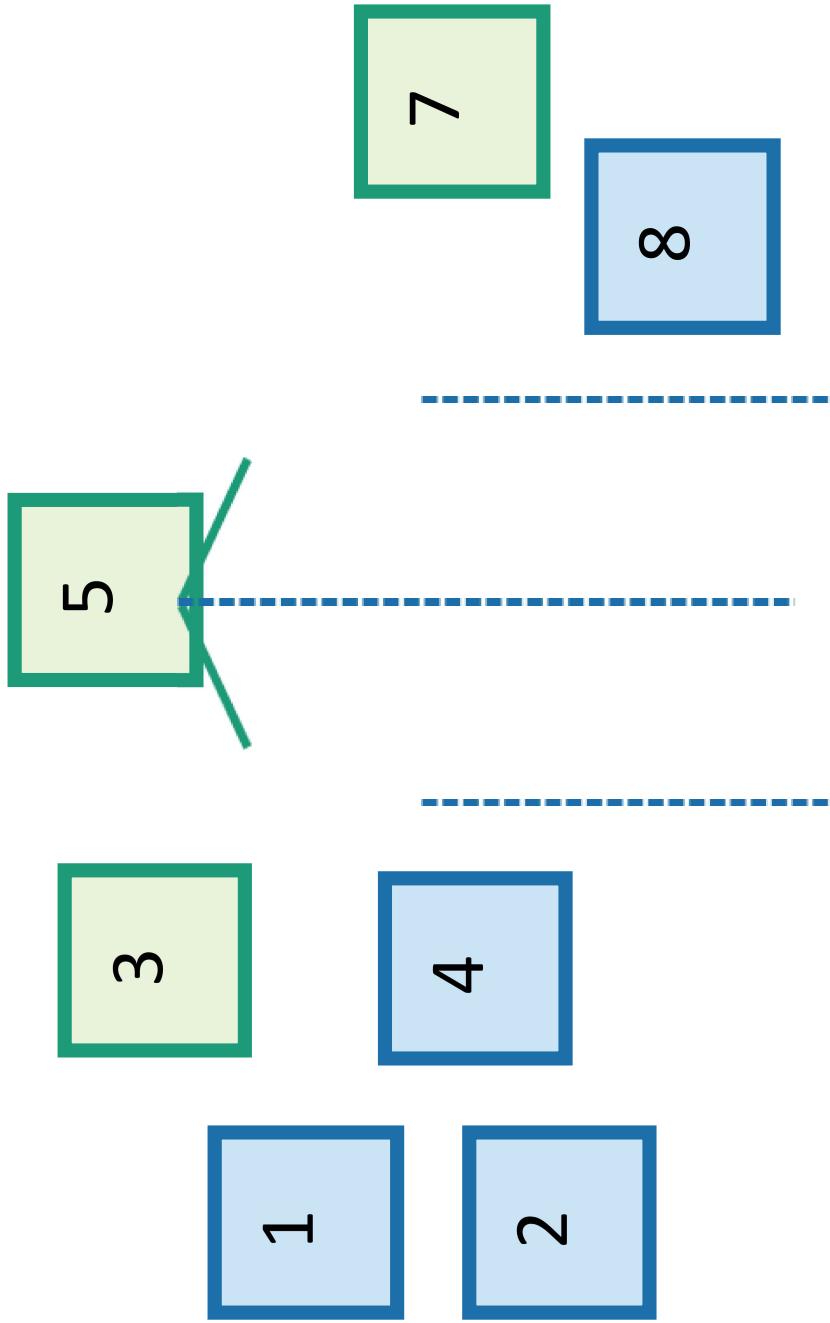
Binary Search Trees

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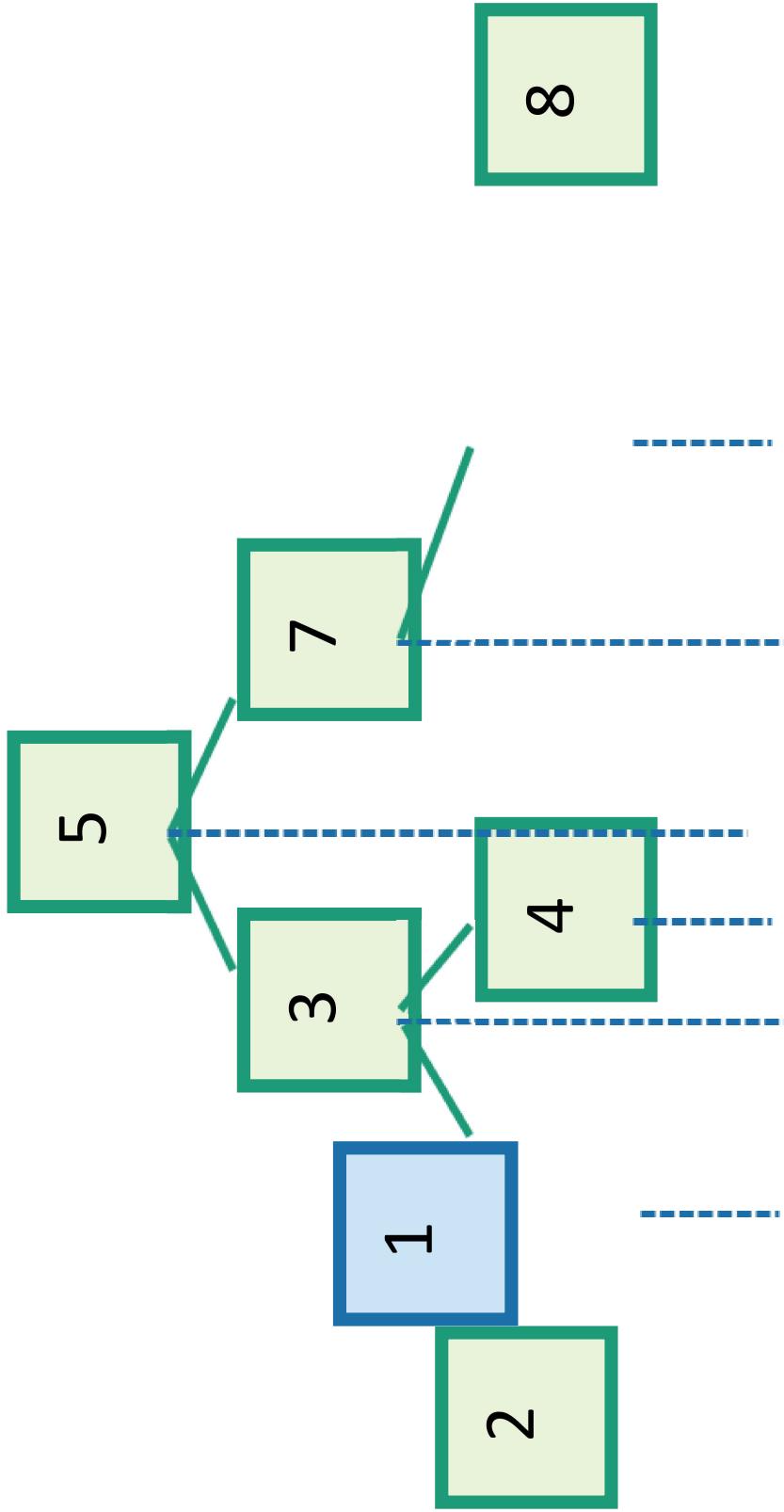
Binary Search Trees

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Binary Search Trees

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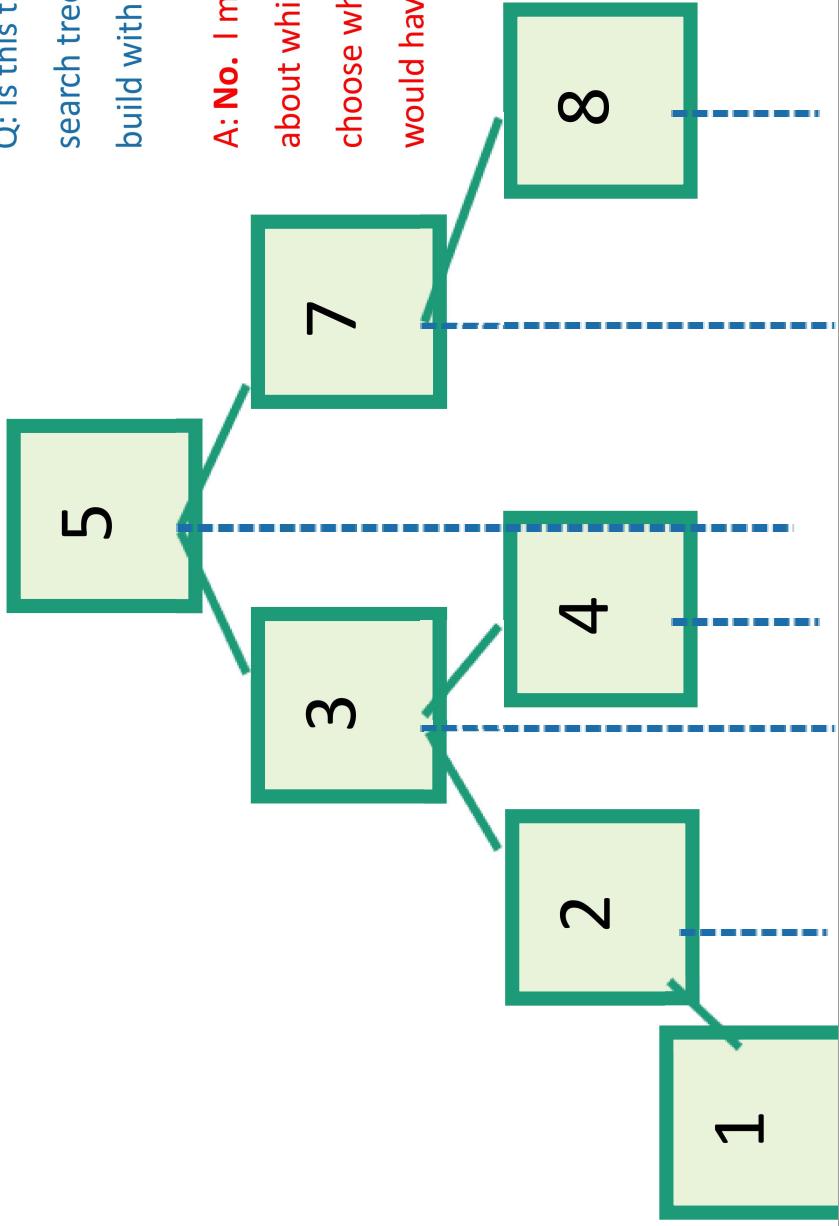


Binary Search Trees

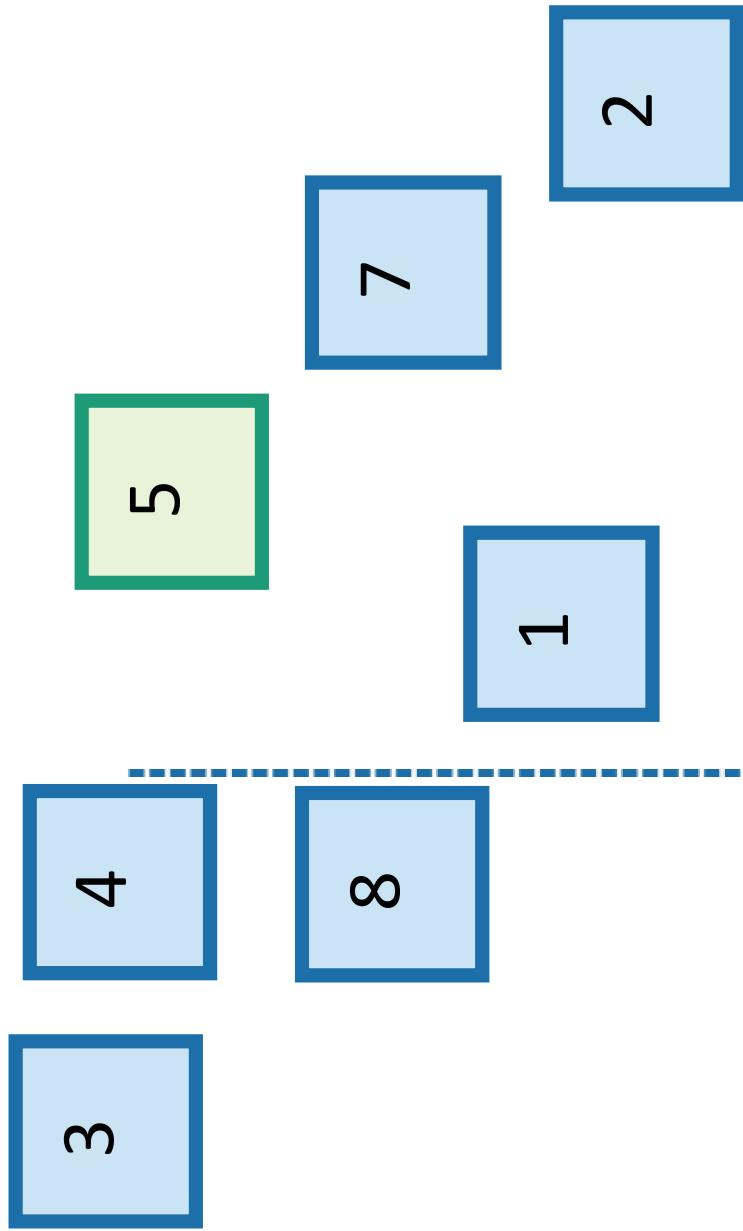
- A BST is a binary tree so that:
 - Every LEFT descendant of a node has key less than that node.
 - Every RIGHT descendant of a node has key larger than that node.
- Example of building a binary search tree:

Q: Is this the only binary search tree I could possibly build with these values?

A: No. I made choices about which nodes to choose when. Any choices would have been fine.

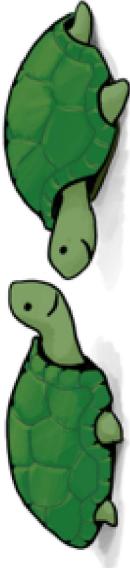


Aside: this should look familiar
kinda like QuickSort

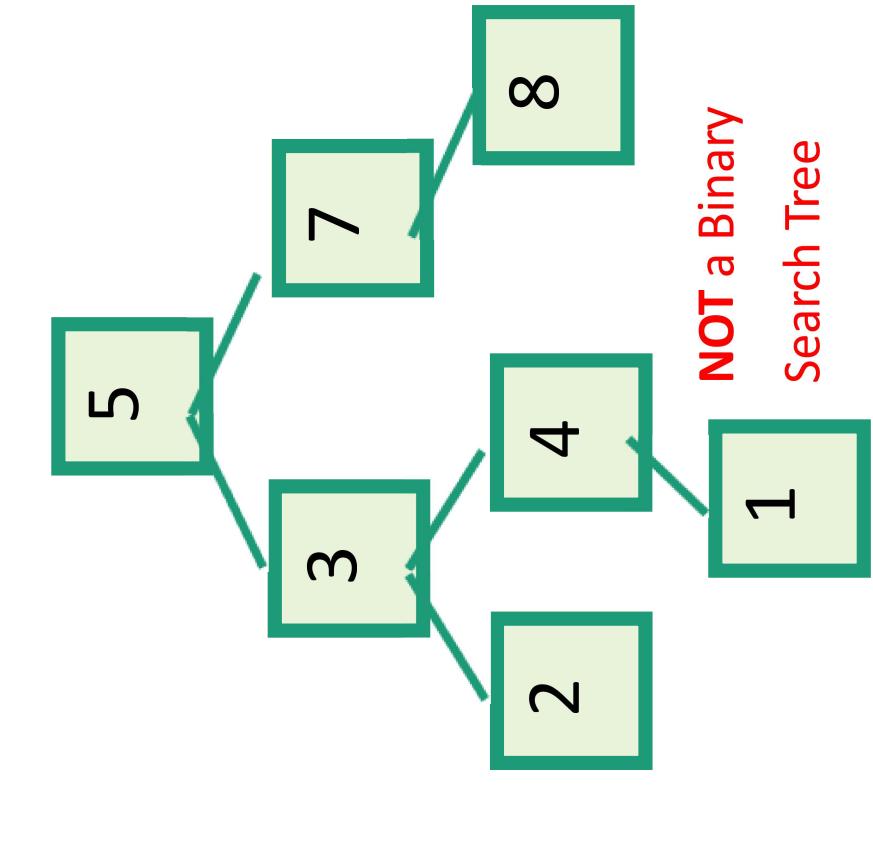
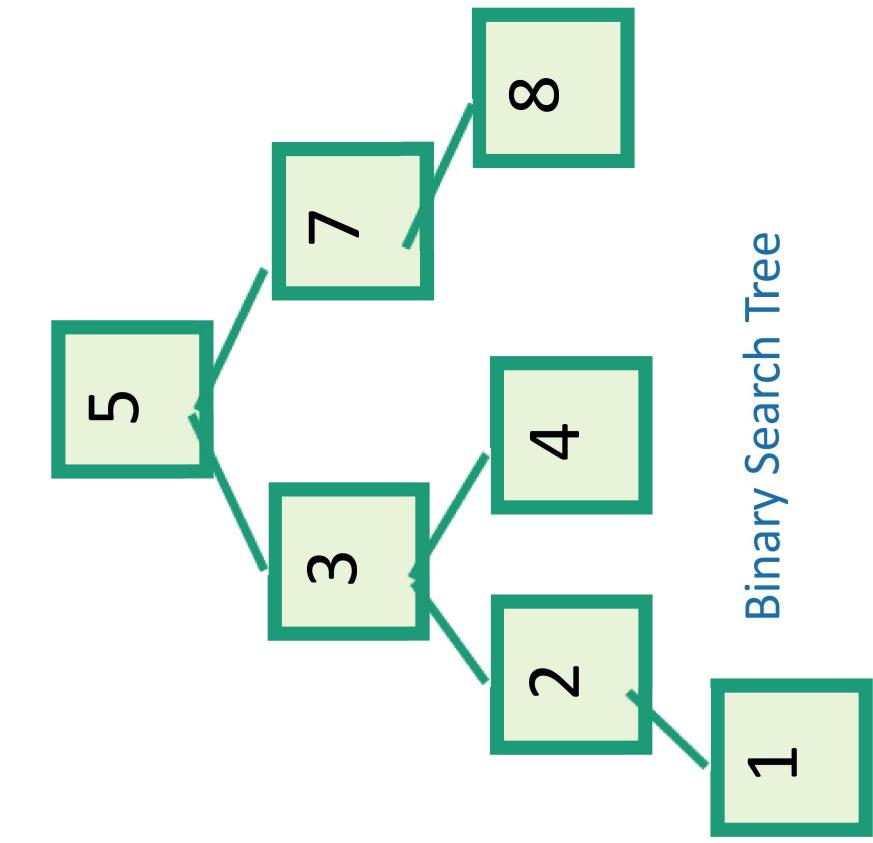


Binary Search Trees

Which of these is a BST?



- A BST is a binary tree so that:
 - Every LEFT descendant of a node has key less than that node.
 - Every RIGHT descendant of a node has key larger than that node.

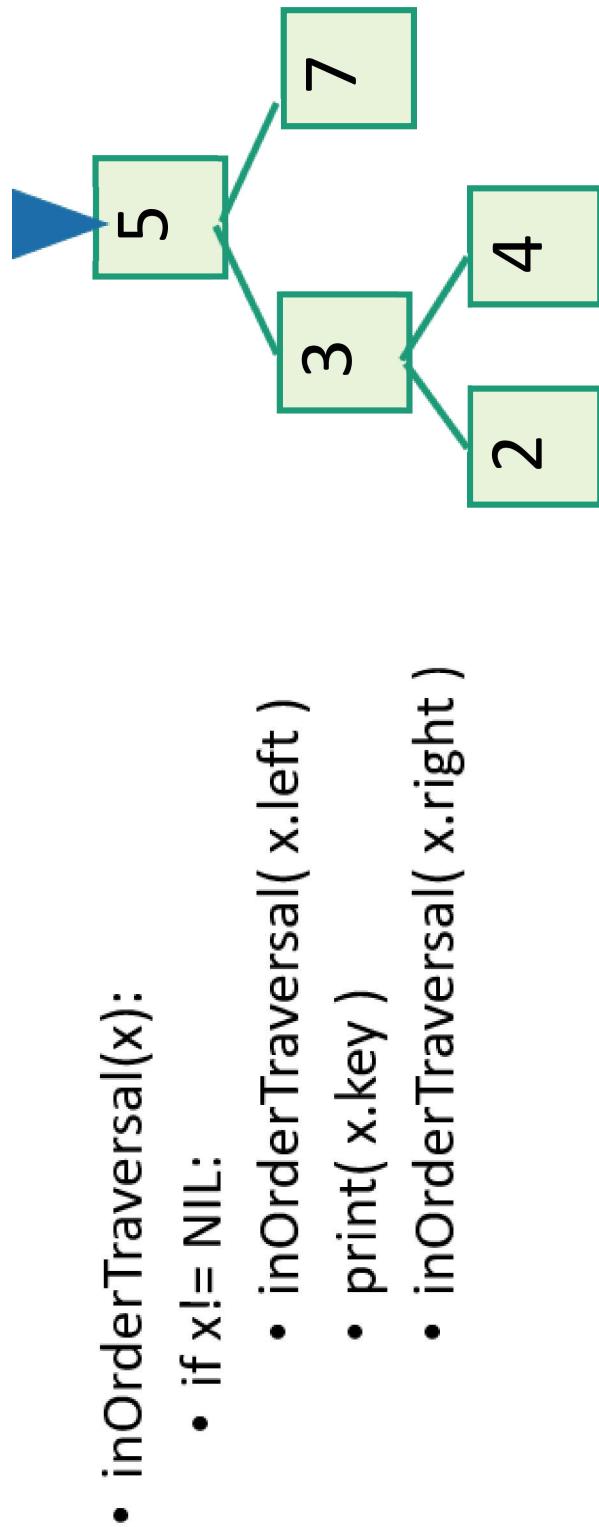


想——想， 练——练、

- 保存 —组 7,5,3,4,1,2, 8至]—棵二元搜索树

Aside: In-Order Traversal of BSTs

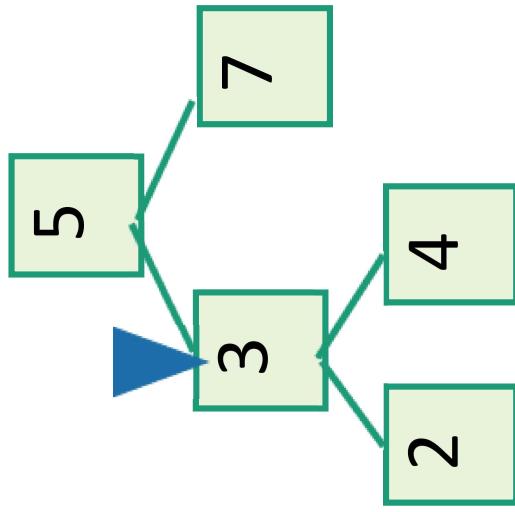
- Output all the elements in sorted order!



Aside: In-Order Traversal of BSTs

- Output all the elements in sorted order!

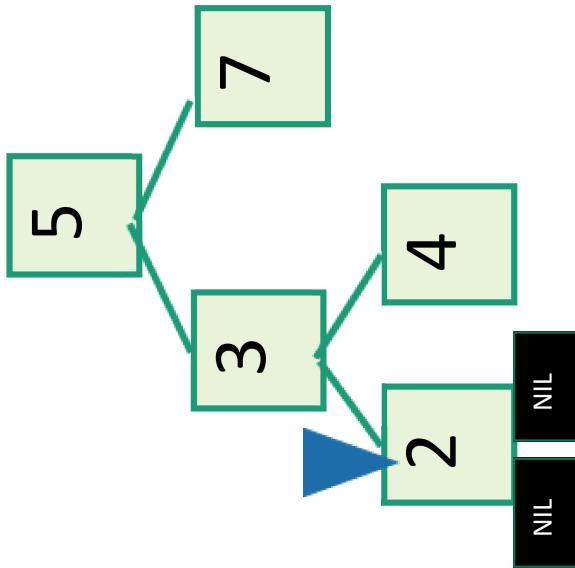
```
• inOrderTraversal(x):  
    • if x!= NIL:  
        • inOrderTraversal( x.left )  
        • print( x.key )  
        • inOrderTraversal( x.right )
```



Aside: In-Order Traversal of BSTs

- Output all the elements in sorted order!

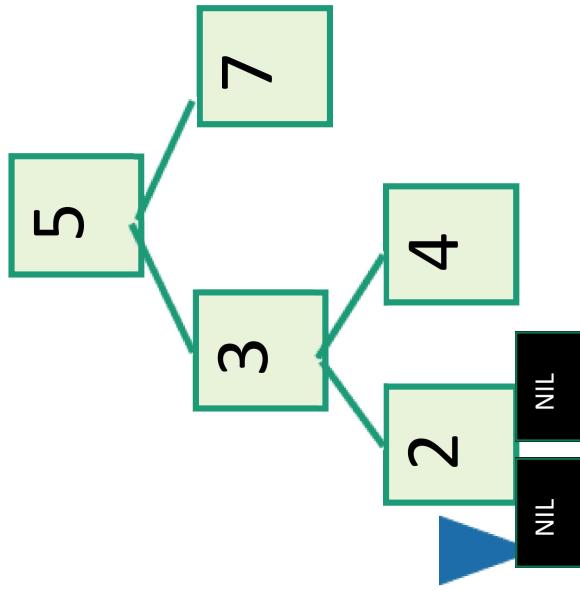
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Aside: In-Order Traversal of BSTs

- Output all the elements in sorted order!

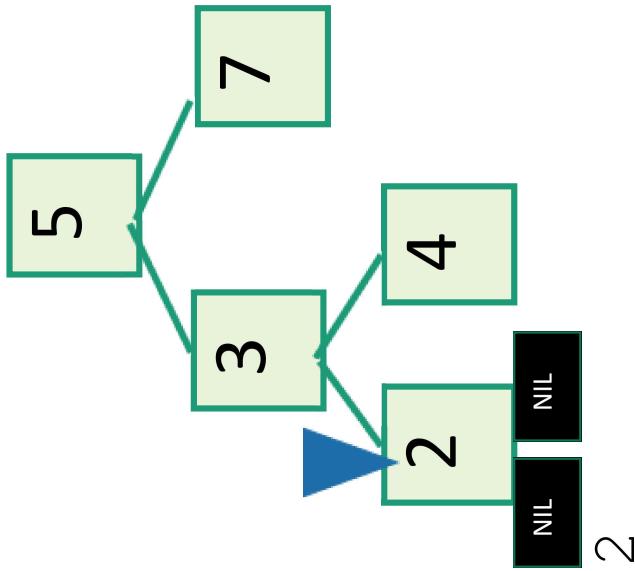
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Aside: In-Order Traversal of BSTs

- Output all the elements in sorted order!

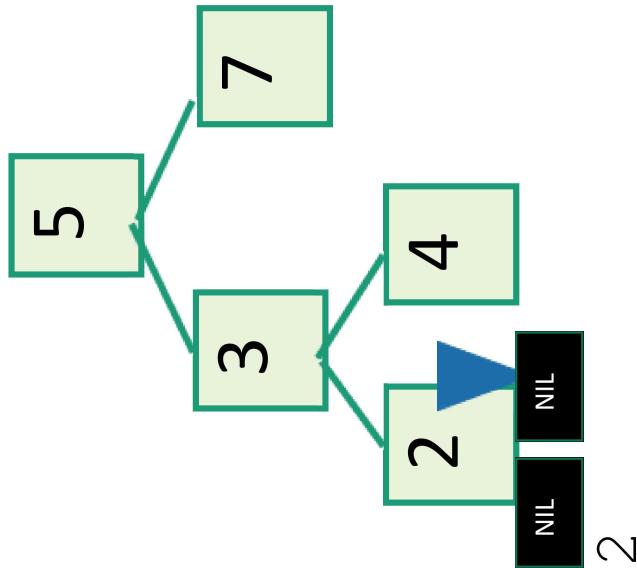
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Aside: In-Order Traversal of BSTs

- Output all the elements in sorted order!

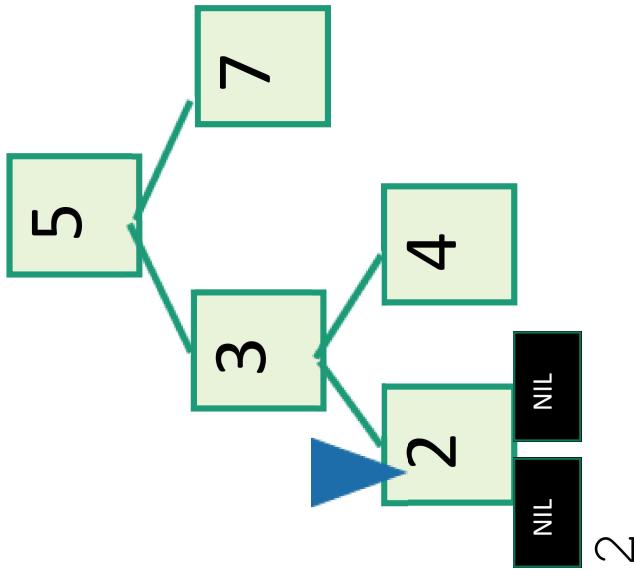
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Aside: In-Order Traversal of BSTs

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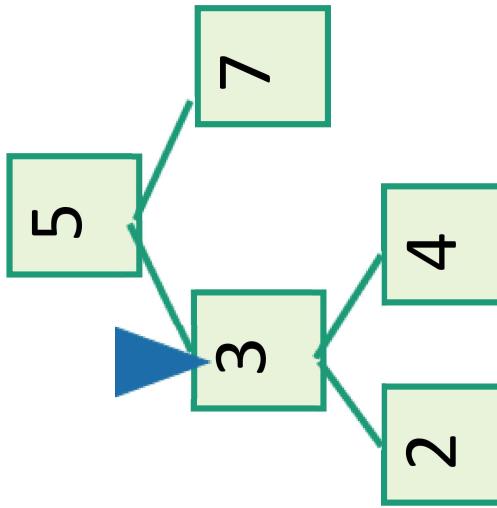
```
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```



Aside: In-Order Traversal of BSTs

- Output all the elements in sorted order!

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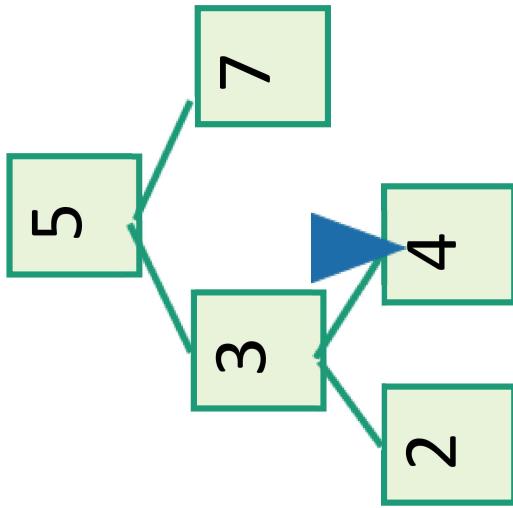


2 3

Aside: In-Order Traversal of BSTs

- Output all the elements in sorted order!

```
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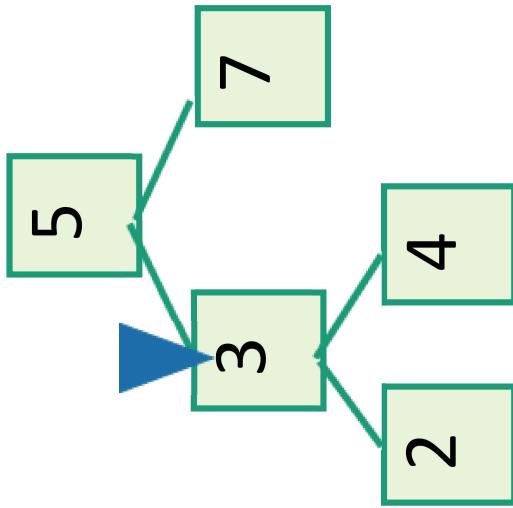


2 3 4

Aside: In-Order Traversal of BSTs

- Output all the elements in sorted order!

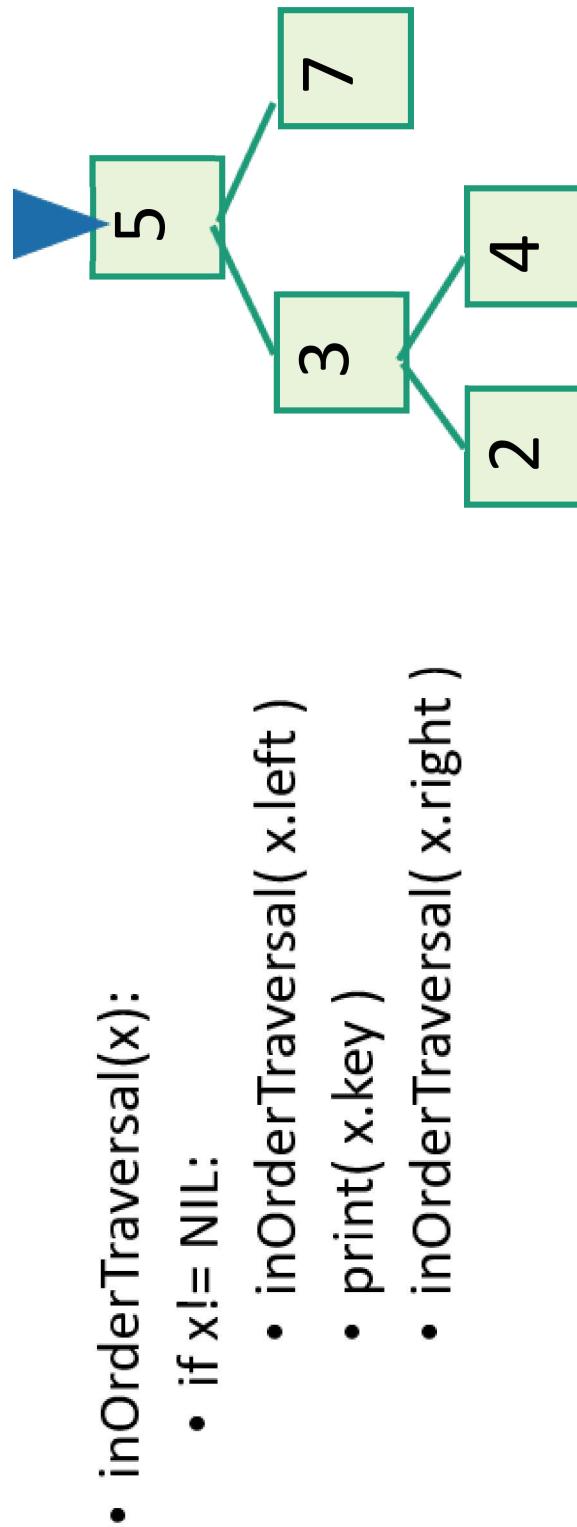
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2 3 4

Aside: In-Order Traversal of BSTs

- Output all the elements in sorted order!

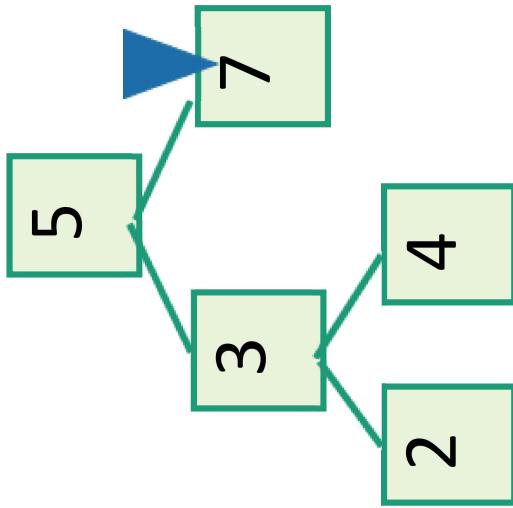


2 3 4 5

Aside: In-Order Traversal of BSTs

- Output all the elements in sorted order!

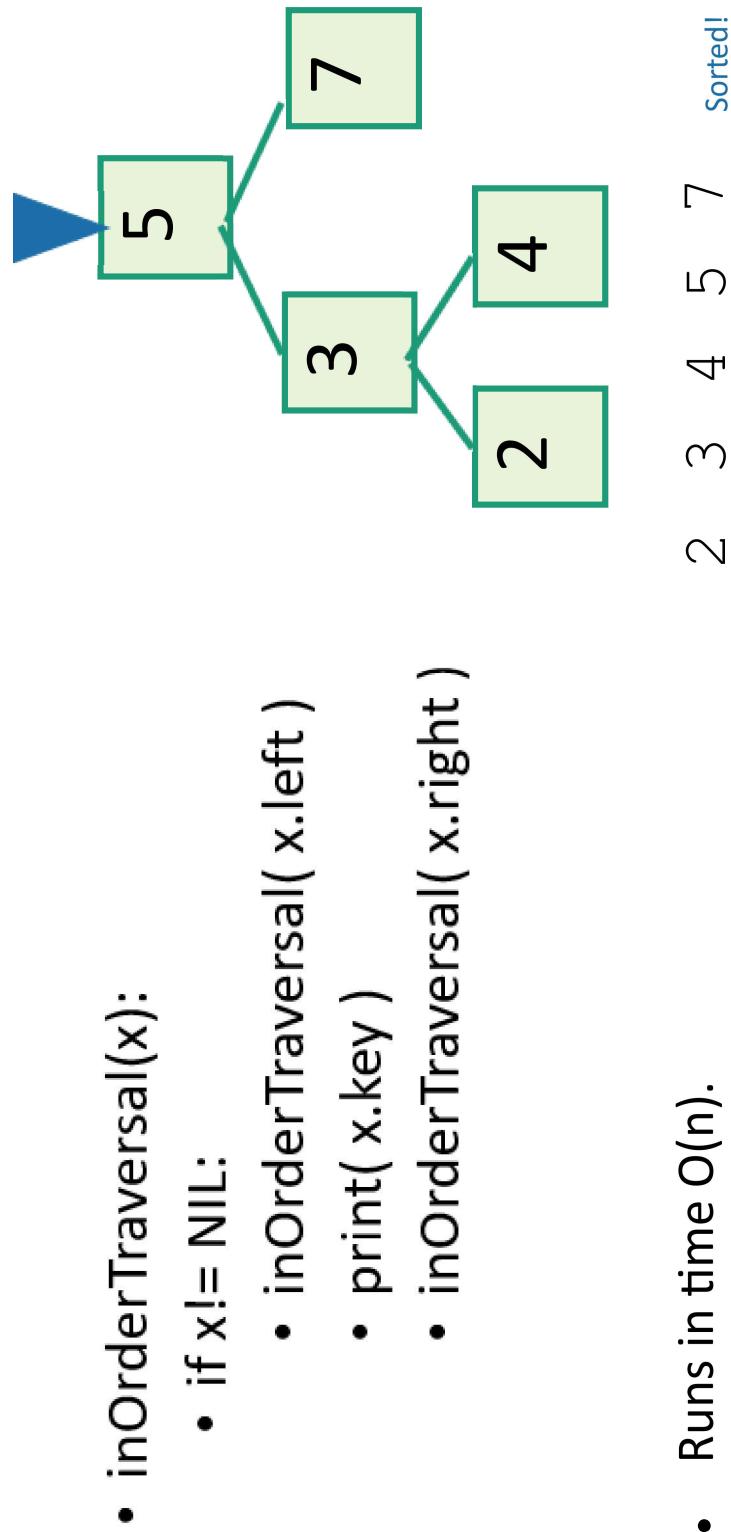
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```



2 3 4 5 7

Aside: In-Order Traversal of BSTs

- Output all the elements in sorted order!



想——想， 练——练、

遍历一棵树？

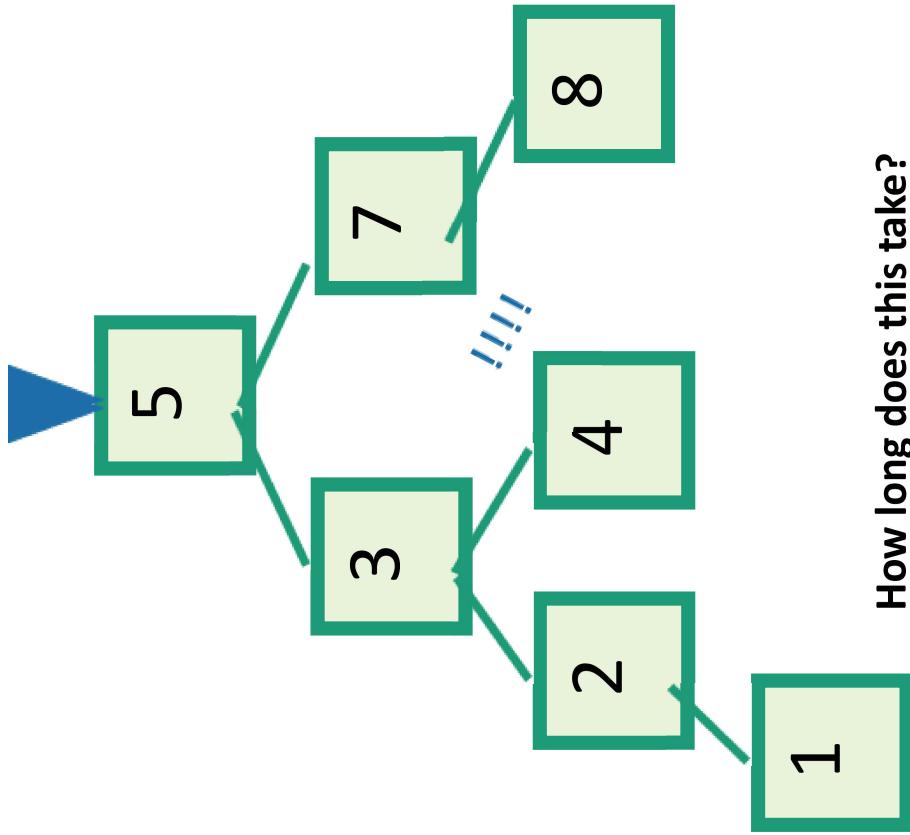
Back to the goal

Fast **SEARCH**/**INSERT**/**DELETE**

Can we do these?

SEARCH in a Binary Search Tree

definition by example



EXAMPLE: Search for 4.

EXAMPLE: Search for 4.5

- It turns out it will be convenient to **return 4** in this case
- (that is, **return** the last node before we went off the tree)



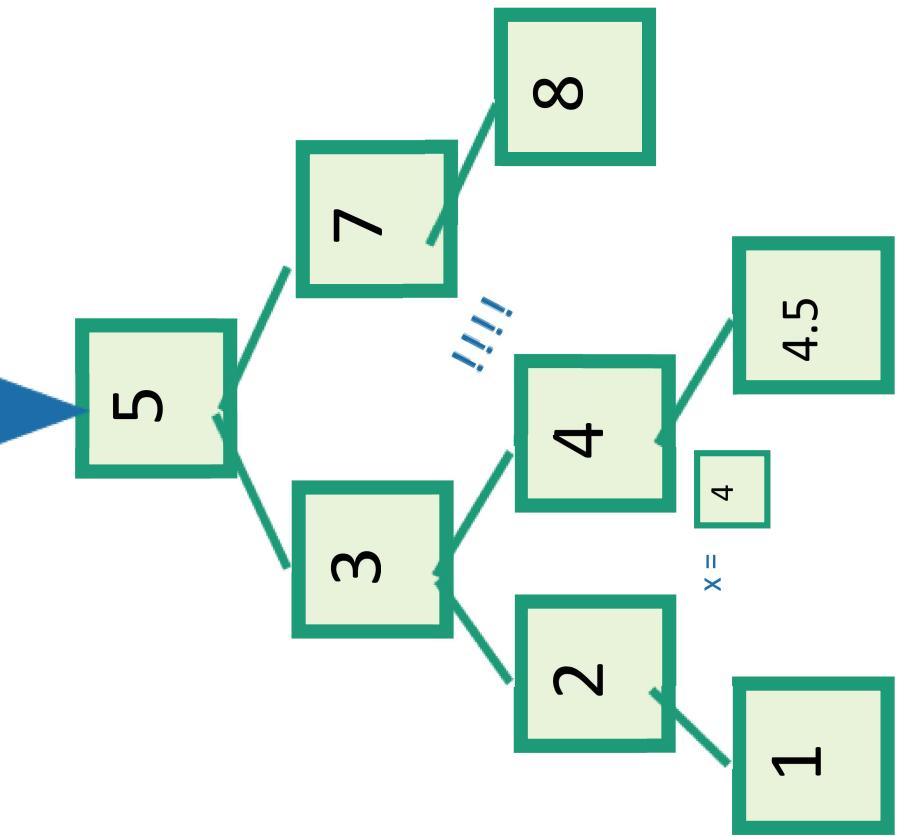
Write pseudocode
(or actual code) to
implement this!

Ollie the over-achieving ostrich

How long does this take?

$O(\text{length of longest path}) = O(\text{height})$

INSERT in a Binary Search Tree



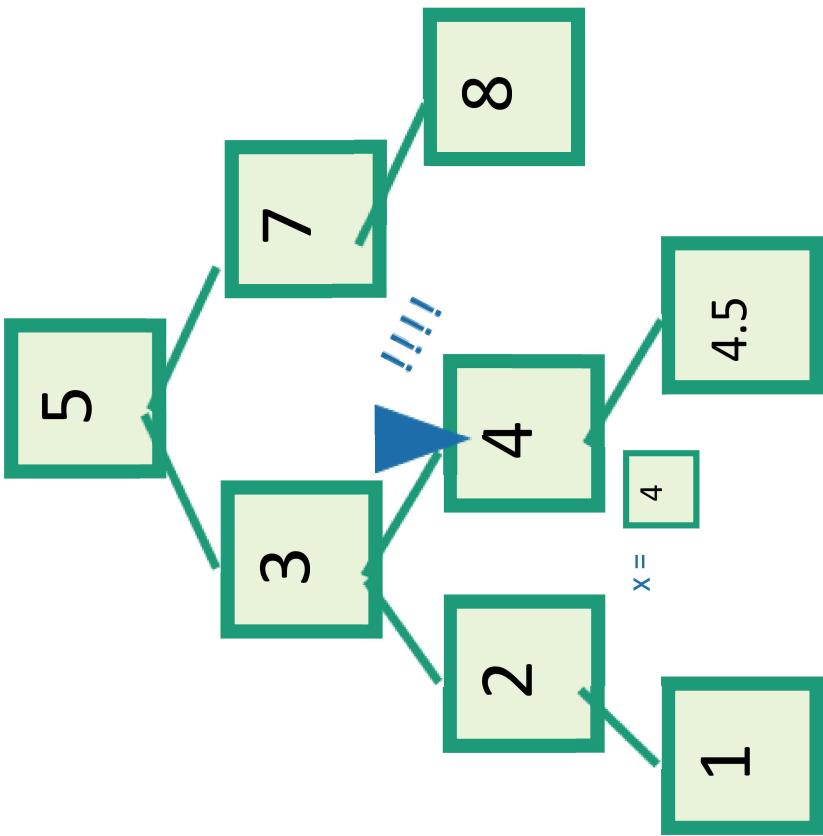
EXAMPLE: Insert 4.5

- **INSERT(key):**
 - `x = SEARCH(key)`
 - **Insert a new node with desired key at x...**

You thought about this on
your pre-lecture exercise!
(See skipped slide for
pseudocode.)

INSERT in a Binary Search Tree

EXAMPLE: Insert 4.5



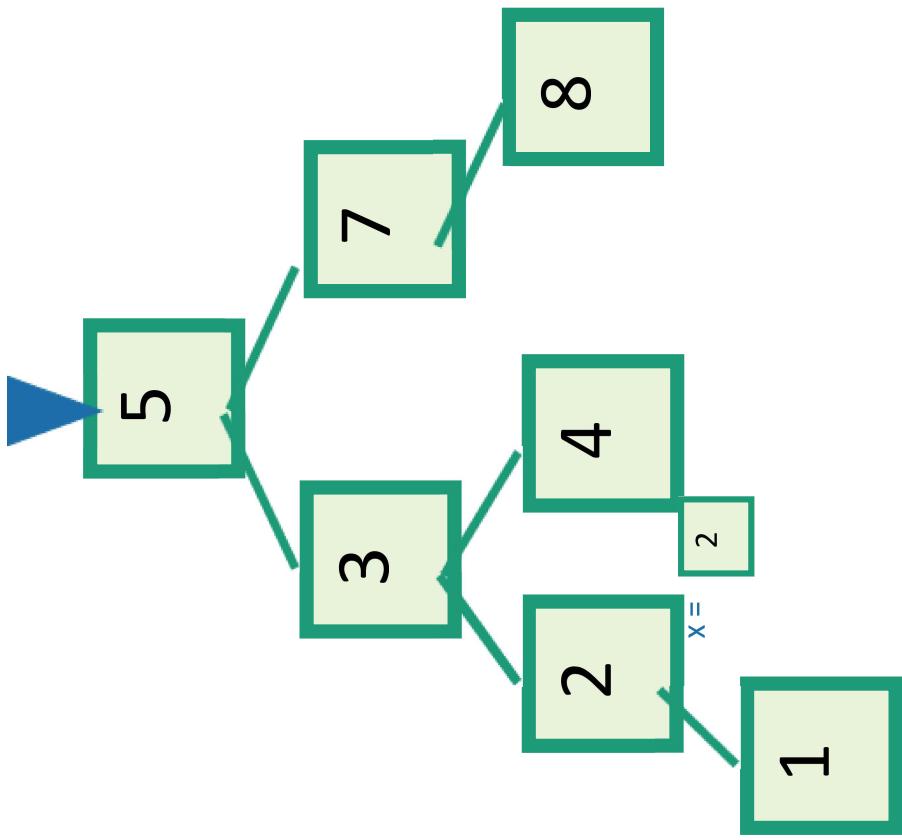
- **INSERT(key):**
 - `x = SEARCH(key)`
 - **if key > x.key:**
 - Make a new node with the correct key, and put it as the right child of x.
 - **if key < x.key:**
 - Make a new node with the correct key, and put it as the left child of x.
 - **if x.key == key:**
 - **return**

DELETE in a Binary Search Tree

EXAMPLE: Delete 2

- **DELETE(key):**

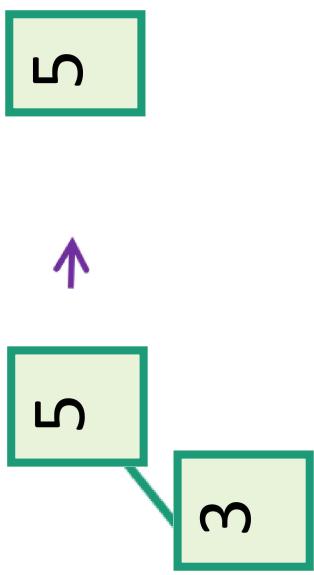
- **x = SEARCH(key)**
- **if x.key == key:**
 -**delete x....**



This is a bit more complicated..see
the skipped slides for some pictures
of the different cases.

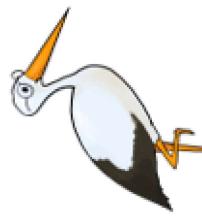
DELETE in a Binary Search Tree

several cases (by example)
say we want to delete 3

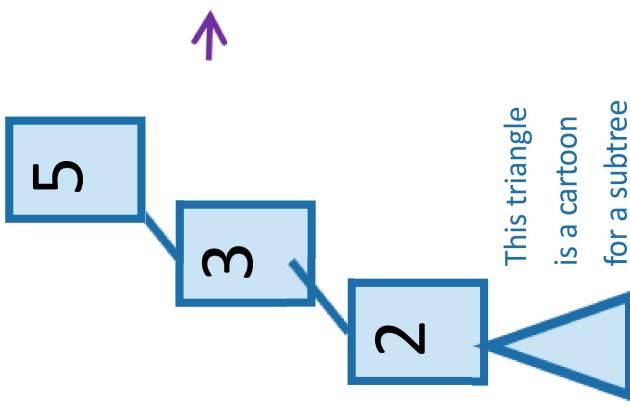


Case 1: if 3 is a leaf,
just delete it.

Write pseudocode for all of
these!



Siggi the Studious Stork



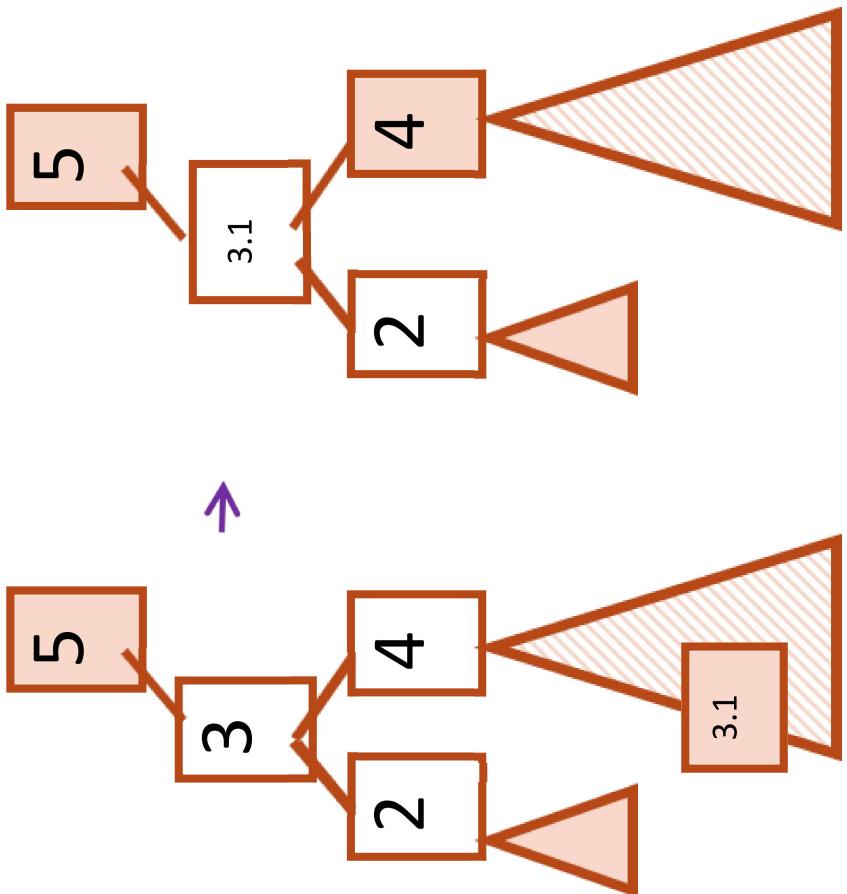
Case 2: if 3 has just one child,
move that up.

DELETE in a Binary Search Tree

ctd.

Case 3: if 3 has two children,
replace 3 with it's **immediate successor**.
(aka, next biggest thing after 3)

- Does this maintain the BST property?
 - Yes.
 - How do we find the immediate successor?
 - SEARCH for 3 in the subtree under 3.right
 - How do we remove it when we find it?
 - If [3.1] has 0 or 1 children, do one of the previous cases.
 - What if [3.1] has two children?
 - It doesn't.

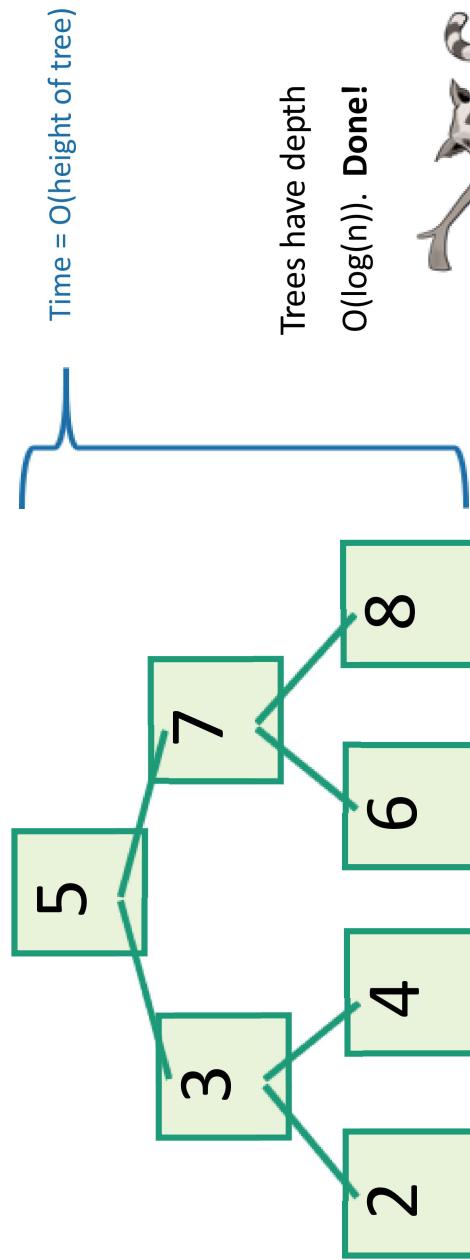


想——想， 练——练、

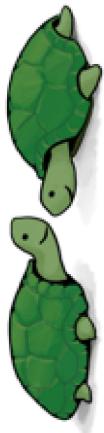
- 写一下第三种情况的代码

How long do these operations take?

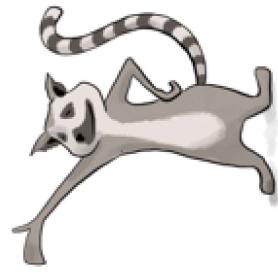
- **SEARCH** is the big one.
 - Everything else just calls **SEARCH** and then does some small O(1)-time operation.



How long does search take?



Lucky the
lackadaisical lemur.

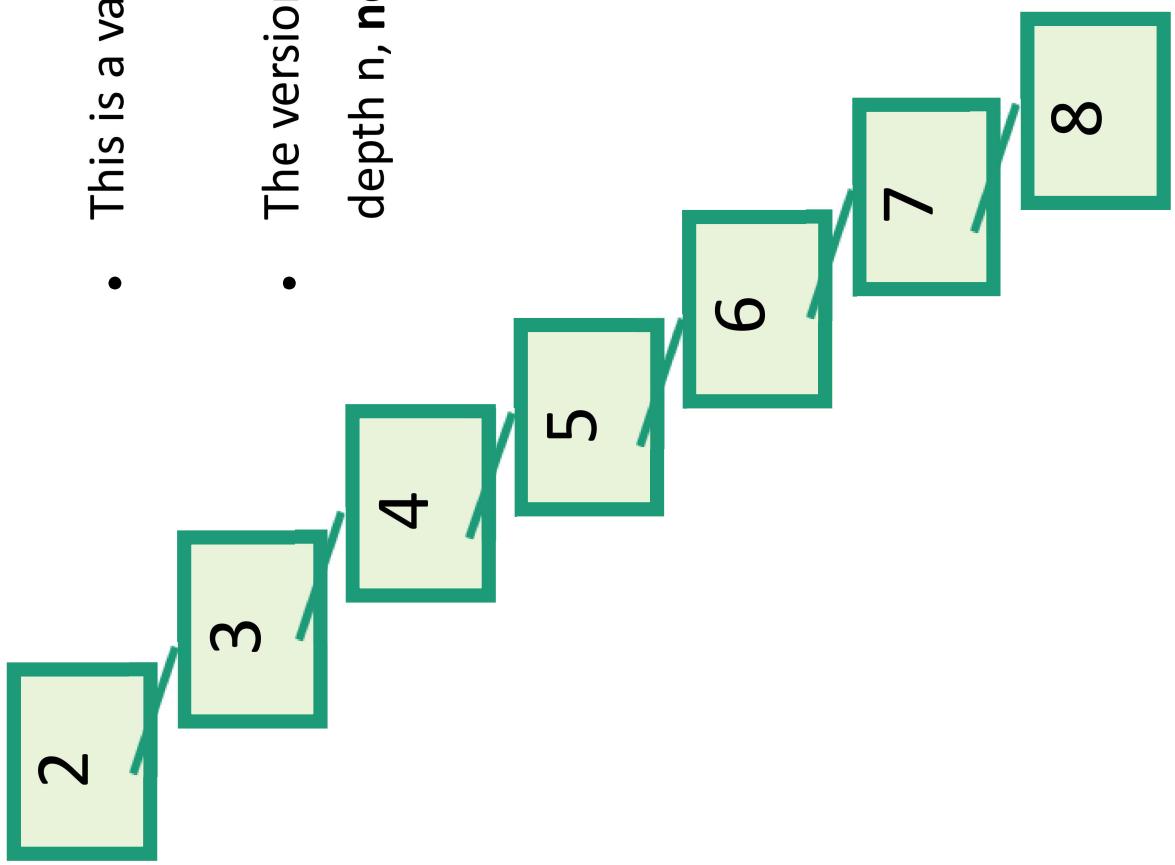


Plucky the
pedantic penguin.



Wait a
second...

Search might take time $O(n)$.



- This is a valid binary search tree.
- The version with n nodes has depth n , **not** $O(\log(n))$.

What to do?

How often is “every so often” in the worst case?
It’s actually pretty often!



Ollie the over-achieving ostrich

- Goal: Fast **SEARCH**/**INSERT**/**DELETE**
- All these things take time $O(\text{height})$
- And the height might be big!!! 😵
- Idea 0:
 - Keep track of how deep the tree is getting.
 - If it gets too tall, re-do everything from scratch.
 - At least $\Omega(n)$ every so often....
- Turns out that's not a great idea. Instead we turn to...

Recap

Binary Search Trees* (balanced)		O(log(n)) 😊		O(log(n)) 😊	
Sorted Arrays	Linked Lists	O(n) 😕	O(n) 😕	O(n) 😕	O(n) 😕
Search		O(n) 😕	O(n) 😕	O(n) 😕	O(n) 😕
Delete		O(n) 😕	O(n) 😕	O(n) 😕	O(n) 😕
Insert		O(1) 😊	O(n) 😕	O(n) 😕	O(n) 😕