# ■ Introduction(5%)

In Lab5, we implemented a conditional seq2seq VAE for English tense conversion and generation. By using the seq2seq model in Lab4, we added additional 3 parts, i.e., blue words in Fig. 1, for CVAE. The totally architecture is shown in Fig. 1.

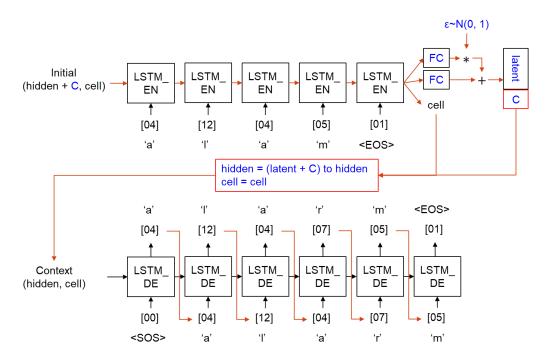


Fig. 1 The totally architecture of conditional seq2seq VAE

In training stage, the input of LSTM encoder is an index sequence of a word. The initial hidden state includes 'hidden + tense' and 'cell'. The output of LSTM encoder is ignore. The latest hidden inputs to two fully connected network to form a latent by normal sample  $\varepsilon$ . The input of LSTM decoder is <SOS>. The initial hidden state is hidden ('encoder latent + tense' to hidden dim) and cell (random initial value). The output of LSTM decoder is the predicted result which should be the same as the input.

In testing stage, we can input a word and tense and then get the word with the specific tense. Furthermore, because we restricted the latent be a sample of normal distribution, we can generate words with 4 tense by entering a Gaussian noise to LSTM decoder.

■ Derivation of CVAE(5%)

```
Derivation of Conditional VAE:
   在 Supervised learning 中, interence 就是在填 P(z1x,c). 但因為
    P(ZIX,C) 通常是 Thteractable,或者計算複雜度太高,此時就可
   以找一分分(图c)去匾正P(图1x,C), 馥P(图1x,C) 轉變成最低化
    問題. 這屬用 KL-divergence 來度量 引(ZIC)和 P(ZIX,C) 的 距離.
    、我們的目标就是希望 KL(f(≥|c)|| p(≥|x,c)) 越小越好。
    FID KL(9(E|C)|| p(E|X,C)) = -\frac{1}{2} 9(E|C)|og \frac{p(E|X,C)}{9(E|C)}

= -\frac{1}{2} 9(E|C) \left[ log \frac{p(X,E|C)}{9(E|C)} \frac{1}{9(E|C)} \right]
= -\frac{1}{2} 9(E|C) \left[ log \frac{p(X,E|C)}{9(E|C)} - log \frac{p(X|C)}{9(E|C)} \right]
= -\frac{1}{2} 9(E|C) \cdot log \frac{p(X,E|C)}{9(E|C)} + log \frac{p(X|C)}{9(E|C)}
           log P(XIC) = KL(9(Z|C) || P(Z|X,C)) + \( \frac{2}{2} 9(Z|C) \cdot \log \frac{P(X|Z|C)}{9(Z|C)}
                            = KL(9(81C)|| P(Z|X,C)) + L(7)
    因為log P(x1c)和我们要找的f(&1c)無関,所以log P(X1c)是固定
    的由於KLZO,所以讓KL愈小愈好、等同於讓人(4)越大越好。
    我們的目標就是藉由最大化 L(8)來百使 引(ZIC)接近 P(ZIX,C)
   (我們也可以看出 L(f)是 log P(X)C)的 lower bound)
    二目標业术= L(3),1段設身(ZK,5)由参较盛的有NN研查出
    = L(x,0') = Ez~q(Z|x,c,0') [log P(x,Z|c) - log }(Z|x,c,0)]
                = Ez~ &(z|x,c;0) [log P(x|Z,c) + log P(z|c) - log &(z|x,c;0')]
                = Ez~q(z|x,c;0') [log P(x|z,c)] + Ez~q(z|x,c;0') [log P(z|c)]
                = Ez~q(z|x,c;o')[log P(x|z,c)] - KL(q(z|x,c;o')|| P(z|c))
      假設P(ZIC)是由缘板、微O的N所產出,則
         \mathcal{L}(\mathsf{X},\mathsf{0},\mathsf{0}') = \mathsf{E}_{\mathsf{Z} \sim \mathsf{Q}(\mathsf{Z} | \mathsf{X},\mathsf{C};\mathsf{0}')} [\log \mathsf{P}(\mathsf{X} | \mathsf{Z},\mathsf{C},\mathsf{0})]
                     - KL(9(Z|X,C;0')|| P(Z|C))
```

# ■ Implementation details(15%)

- Describe how you implement your model. (e.g. dataloader, encoder, decoder, etc)
  - 1. Dataloader
    Because we need a unique index per letter(字母) to use as the inputs

and targets of the networks later, we built *Vocabulary* class to collect letters, transform a word to sequence of letters with unique index, and reverse transformation.

```
class Vocabulary(object):
    def __init__(self):
        self.char2index = {'SOS': 0, 'EOS': 1, 'PAD': 2, 'UNK': 3}
        self.char2count = {}
        self.index2char = {0: 'SOS', 1: 'EOS', 2: 'PAD', 3: 'UNK'}
        self.n_chars = 4 # Count SOS and EOS

        for i in range(26):
            self.addChar(chr(ord('a') + i))

        def addWord(self, word):
            for char in self.split_sequence(word):
                  self.addChar(char)

        def split_sequence(self, word):...

        def sequence_to_indices(self, sequence, add_eos=False, add_sos=False):...

        def indices_to_sequence(self, indices):...
```

Then, we implemented dataloader for loading training data and testing data from text files.

```
self.targets = np.array([
        [0, 3],
        [0, 2],
        [0, 1],
        [0, 1],
        [3, 1],
        [0, 2],
        [3, 0],
        [2, 0],
        [2, 3],
        [2, 1],
    ])
# self.tenses = ['sp', 'tp', 'pg', 'p']
self.tenses = [
    'present-progressive',
self.charvocab = Vocabulary()
self.train = train
```

```
def __len__(self):
    return len(self.datas)

def __getitem__(self, index):
    if self.train:
        c = index % len(self.tenses)
        # c_one_hot = np.zeros(len(self.tenses), dtype=int)
        # c_one_hot[c] = 1
        return self.charvocab.sequence_to_indices(self.datas[index], add_eos=True), c
    else:
        inp = self.charvocab.sequence_to_indices(self.datas[index, 0], add_eos=True)
        cinp = self.targets[index, 0]
        out = self.charvocab.sequence_to_indices(self.datas[index, 1], add_eos=True)
        cout = self.targets[index, 1]
    return inp, cinp, out, cout

def indices_to_word(self, indexes):
    word = self.charvocab.indices_to_sequence(indexes)
    return word
```

### 2. Encoder Class

Encoder includes embedding, LSTM, FCs, and reparameterization trick. Embedding component embedded input to a fixed length vector. Then the fixed length vector and initial (hidden + C, cell) would be feed into LSTM. The output of LSTM includes predicted output and (hidden, cell), in which the former one is dropped and others would be used to form a (latent, cell). The outputs of FCs were operated with Gaussian noise to

get latent.

```
# input_embedded = [input len, batch size, emb dim]
# hidden = (hidden_condition, cell_condition)
output, hidden = self.lstm(input_embedded, hidden)

# get (1, 1, hidden_size)
m_hidd = self.mean(hidden[0])
logvar_hidd = self.logvar(hidden[0])

normal_sample = self.sample_latent()
z_hidd = normal_sample * torch.exp(logvar_hidd) ** 0.5 + m_hidd

m = m_hidd
logvar = logvar_hidd
z = z_hidd

return output, hidden, z, m, logvar
```

```
def initHidden(self, condition):
    c = torch.LongTensor([condition]).to(device)
    condi_embedded = self.condi_embedding(c).view(1, 1, -1)

h0 = torch.zeros(1, 1, (self.hidden_size - self.condi_embed_size)).to(device)
    c0 = torch.zeros(1, 1, self.hidden_size)

h0 = torch.cat((h0, condi_embedded), dim=2)

# hidden = (Variable(nn.Parameter(h0, requires_grad=True)).to(device),
    # Variable(nn.Parameter(c0, requires_grad=True)).to(device))
hidden = (Variable(h0).to(device),
    Variable(c0).to(device))

return hidden

def sample_latent(self):
    return torch.normal(
        torch.FloatTensor([0] * self.latent_size),
        torch.FloatTensor([1] * self.latent_size)
).to(device)
```

## 3. Decoder Class

Decoder includes embedding, LSTM, Linear components. Embedding component embedded input to a fixed length vector. Then the fixed length vector and encoder ('latent + C' to hidden, cell) would be feed

into LSTM. The Linear output would be the predicted probability of each class.

```
def forward(self, inp, hidden):
    # input = [1, batch size]
    # output = self.dropout(self.embedding(input)).view(1, 1, -1)
    output = self.embedding(inp).view(1, 1, -1)
    # embedded = [1, batch size, emb dim]
    output = F.relu(output)
    output, hidden = self.lstm(output, hidden)
    # output = self.softmax(self.out(output[0]))
    output = self.out(output[0])

return output, hidden

def initHidden(self, z, condition):
    c = torch.LongTensor([condition]).to(device)
    condi_embedded = self.condi_embedding(c).view(1,1,-1)

latent_hidd = torch.cat((z, condi_embedded), dim=2)

hidd = self.latent_to_hidden(latent_hidd)
    cell = torch.zeros(1, 1, self.hidden_size)
    cell = torch.FloatTensor(cell).to(device)

hidden = (hidd, cell)
```

#### 4. KL divergence loss

From the paper homework 1, we know the equation of KL(p1||p2) is list below if p1 $^{\sim}$ N(  $\mu_1$ ,  $\Sigma_1$ ) and p2 $^{\sim}$ N(  $\mu_2$ ,  $\Sigma_2$ ).

$$\mathfrak{D}_{\mathrm{KL}}[p_1 \mid\mid p_2] = rac{1}{2} iggl[ \log rac{|\Sigma_2|}{|\Sigma_1|} - n + \mathrm{tr} \{\Sigma_2^{-1} \Sigma_1\} + (\mu_2 - \mu_1)^T \Sigma_2^{-1} (\mu_2 - \mu_1) iggr]$$

Now, p1 $^{\sim}$ N(m, var), p2 $^{\sim}$ N(0,1), and n = 1. Therefore, we can use the equation of  $\mu_{\perp}$  and  $\Sigma_{\perp}$  to calculate KL divergence.

```
ldef KL loss(m, logvar):
    kldiv = torch.sum(0.5 * (-logvar + (m ** 2) + torch.exp(logvar) - 1))
    return kldiv
```

5. Train function (seq2seq part)

In Train function, we implemented seq2seq model. Firstly, we initial encoder parameters and then feed each letter of input to encoder. The final output of Encoder includes predicted output, (hidden, cell), encoder latent which would be as hidden input of decoder, encoder mean, and encoder log variance. Then the loss of KL diverse was calculated for backforward later.

Secondly, we considered the decoder. The first input of decoder is the letter of <SOS>. If use\_teacher\_forcing is true, we use the target letter as next input. Otherwise, the predicted output would be used as next input of decoder. Here the loss of cross entropy was calculated for backforward later.

The cross entropy loss and weighted KL diverse loss were summated for

back probagation.

```
(loss + (kldiv_weights * kldiv_loss)).backward()
encoder_optimizer.step()
decoder_optimizer.step()
return loss.item(), kldiv_loss.item()
```

#### 6. Train Iterations function

Here, we defined optimizer, loss function, and how to use data to train.

```
Idef trainIters(encoder, decoder, epochs, print_every=1000, learning_rate=0.01):
    start = time.time()
    ary_losses = []
    ary_kldlosses = []
    ary_bleu_score = []
    print_loss_total = 0 # Reset every print_every
    print_kldivloss_total = 0 # Reset every plot_every

encoder_optimizer = optim.SGD(encoder.parameters(), lr=learning_rate)
    decoder_optimizer = optim.SGD(decoder.parameters(), lr=learning_rate)

criterion = nn.CrossEntropyLoss(size_average=False)

if not os.path.isdir('model'):
    os.mkdir('model')
    if not os.path.isdir('history'):
    os.mkdir('history')

fp = open('history/history.txt', 'w')
    fp.close()
    data_len = len(train_dataset)
```

#### 7. Evaluation functions

BLEU-4 score would be used to evaluate the model results. BLEU (bilingual evaluation understudy) is an algorithm for evaluating the quality of text which has been machine-translated from one natural language to another. The range of BLEU-4 score is (0, 1), while 1 means the best result.

```
cdef compute_bleu(output, reference):
    cc = SmoothingFunction()
    if len(reference) == 3:
        weights = (0.33_0.33_0.33)
    else:
        weights = (0.25_0.25_0.25_0.25)
    return sentence_bleu([reference], output, weights=weights, smoothing_function=cc.method1)
```

## Gaussian\_score

```
def Gaussian_score(words):
    words_list = []
    score = 0
    path = 'train.txt'#should be your directory of train.txt
    with open(path,'r') as fp:
        for line in fp:
            word = line.split(' ')
            word[3] = word[3].strip('\n')
            words_list.extend([word])
        for t in words:
            for i in words_list:
                if t == i:
                      score += 1
            return score/len(words)
```

#### Inference function

## **Evaluated function**

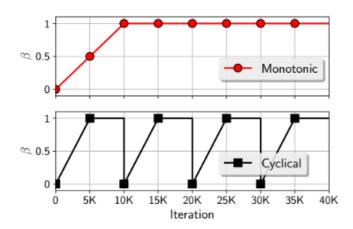
8. Steps of how to train the dataset:

- 1. Create data loader by functions of dataloader.
- 2. Create encoder instance (encoder1) by encoder class.
- 3. Create decoder instance (decoder1) by decoder class.
- 4. Call training iterations to train data by encoder1 and decoder1.

- 9. Steps of how to test the dataset:
  - 1. Load model
  - 2. Evaluate testing dataset

```
def load_modle_and_evaluate(mode='test'):
    encoder1.load_state_dict(torch.load('encoder_best.dict'))
    decoder1.load_state_dict(torch.load('decoder_best.dict'))
    return_evaluate_bleu_score(encoder1, decoder1, mode)
```

- Specify the hyperparameters (KL weight, teacher forcing ratio, etc.)
  - 1. embedding size = 256
  - 2. hidden\_size = 256
  - 3. condition\_size = 4
  - 4. condi\_embed\_size = 8
  - 5. latent\_size = 32
  - 6. LR=1e-3
  - 7. For KL cost annealing, we implemented two kinds curve of KL weight, the monotonic and cyclical, which are list below.



The code is implemented like following picture. Type==0 means using monotonic curve; type==1 means using cyclical curve. The slope is a key for getting high bleu score.

```
idef KLD cost annealing(type, iteration):
    # Monotonic
    if type == 0:
        slope = 0.001

        w = slope * iteration

        if w > 1.0:
            w = 1.0

# Cyclic
else:
        slope = 0.005
        period = 1.0 / slope * 2

        w = slope * (iteration % period)

        if w > 1.0:
            w = 1.0

return w
```

8. Teacher forcing ratio

The ratio is decreasing from 1.0 to 0.0.

```
def Teacher Forcing Ratio Fcn(iteration):
    # from 1.0 to 0.0
    slope = 0.01
    level = 10
    w = 1.0 - (slope * (iteration // level))
    if w <= 0.0:
        w = 0.0</pre>
```

Notice: You must prove that your text generation is produced by Gaussian noise (paste/screenshot your code)

The following codes are the parts of generating words with 4 tense.

```
ef decode_inference(decoder, z, target_condition, target_len):
   with torch.no_grad():
      decoder_input = torch.tensor([[SOS_token]], device=device) # SOS
      decoder_hidden = decoder.initHidden(z, target_condition)
      decoded_words = []
      for di in range(target_len):
          decoder_output, decoder_hidden = decoder(
             decoder_input, decoder_hidden)
          topv, topi = decoder_output.data.topk(1)
          if topi.item() == EOS_token:
              decoded_words.append('<EOS>')
              decoded_words.append(train_dataset.charvocab.index2char[topi.item()])
          decoder_input = topi.squeeze().detach()
      return decoded_words
def generate_word(decoder, z, condition, maxlen=20):
     decoder.eval()
```

```
def generate_word(decoder, z, condition, maxlen=20):
    decoder.eval()

    output_words = decode_inference(
        decoder, z, condition, target_len=maxlen
    )

    output_word = ''
    for k in range(len(output_words) - 1):
        output_word += str(output_words[k])

    return output_word

def show_noise(noise):
    plt.title('sample Z')
    plt.plot(list(noise))
    plt.show()
```

```
show_noise(noise)

strs = []
for i in range(len(train_dataset.tenses)):
    output_str = generate_word(decoder, noise, i)
    strs.append(output_str)

return strs
```

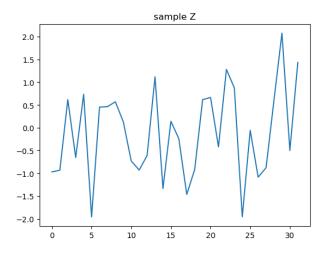
Noise is created from the sample\_latent function of EncoderRNN class.

```
words = []

for k in range(100):
    noise = encoder1.sample_latent()
    four_tense_str = generate_test(decoder1, noise)
    print(four_tense_str)
    words.append(four_tense_str)

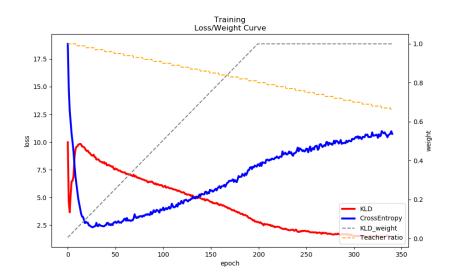
avg_gaussian_score = Gaussian_score(words)
    print('Gaussian Score: ' + str(avg_gaussian_score))
```

Example of Gaussian noise.

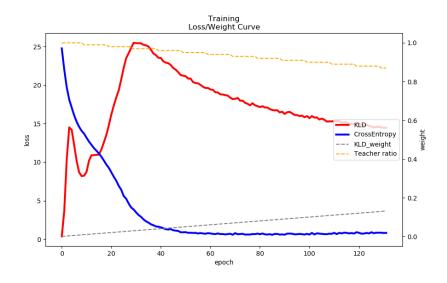


- Results and discussion(25%)
  - Plot the loss and KL loss curve while training and discuss the results. (5%)
    - 1. Monotonic

下圖是讓 KL weight 在第 200 個 epoch 就升到 1.0,可以看到,CrossEntropy 的 loss 在大約 30 個 epoch 就漸漸升高,根據後面的

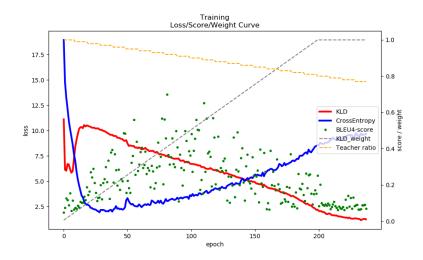


下圖是讓 KL weight 在第 1000 個 epoch 才升到 1.0,可以看到,CrossEntropy 的 loss 持續下降,但 KL loss 緩慢下降,根據後面的實驗數據可以發現,這對 BLEU-4 score 很有利,但對 Gaussian score相對不利(表示目前的 q(z|c)還離 Gaussian distribution 很遠,不能當 p(z|x,c)的近似值)

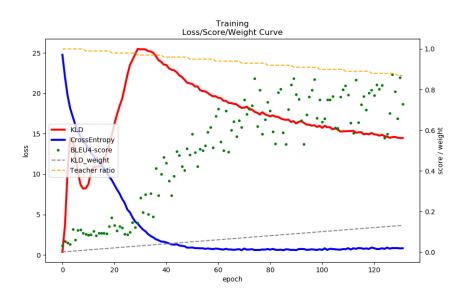


# 2. Cyclical

Cyclical 的部分,因為時間關係尚未跑完,所以還未出現重複的波形,上網搜尋研究後了解,應會出現週期性的 pattern,且 BLEU-4 數值越來越高。目前我的結果還在第一個週期,最高的 BLEU-4 數值是 0.7002。Cyclical 的好處是,讓 CrossEntropy 有機會重新下降。



■ Plot the BLEU-4 score of your testing data while training and discuss the result. (20%)



最高的 BLEU-4 Score 會出現在 CrossEntropy 降得較低時,但由於我們限制 q 是 Gaussian distribution,而實際上又不是,所以此時 KL loss 通常值還很大。假設我們採用最高的 BLEU-4 Score,作為我們的最佳模型,在訓練的時候,可以得到 0.8608 的高分,但此時 Gaussian score有 0.03 分,所以實際應用時需要找到兩者的平衡點。下面兩個範例分別為最佳 BLEU-4 Score 和兩者平衡的結果範例 1:

```
C:\Users\bayul\AppData\Local
input: abandon
target: abandoned
pred: abandoned
input: abet
target: abetting
input: begin
target: begins
pred: begins
input: expend
target: expends
pred: expends
input: sent
target: sends
pred: sents
target: splitting
```

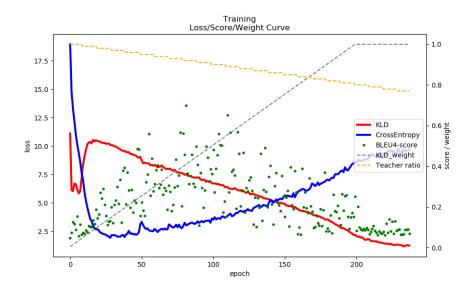
```
['chormard', 'chormalds', 'chormaining', 'chormard']
['goude', 'goudgs', 'gouging', 'gouded']
['invet', 'instears', 'aiteeting', 'avetted']
['flearoat', 'frannows', 'flearoating', 'franker']
['spurt', 'spurs', 'spurting', 'spurt']
['megu', 'mets', 'megking', 'megut']
['intermeath', 'entertains', 'enterceating', 'entertained']
['single', 'sathers', 'sizzning', 'sathered']
['beloget', 'beloges', 'beloghing', 'beloghered']
['glink', 'glinks', 'glinking', 'jearkned']
['ghimble', 'ghickles', 'ghimblasting', 'ghimbled']
['wanse', 'wanses', 'wadening', 'wadeed']
['exply', 'explys', 'expinding', 'expayd']
Gaussian Score: 0.02
```

# 範例 2:

```
C:\Users\bayul\AppData\Local\Program
target: abandoned
pred: abandoned
target: abetting
pred: hearing
input: begin
target: begins
pred: begins
target: expends
pred: expends
input: sent
target: sends
input: split
target: splitting
input: flared
target: flare
pred: flare
```

```
['cost', 'filches', 'feinting', 'cost']
['emit', 'emits', 'emitting', 'read']
['measure', 'wrinks', 'wrinkling', 'measured']
['foreshow', 'foreshows', 'foreshowing', 'fored']
['begin', 'begins', 'beginning', 'beginnled']
['run', 'runs', 'bulging', 'run']
['shook', 'shooks', 'outshoning', 'shook']
['misdeal', 'misdeals', 'adjing', 'adjudged']
['undergo', 'understands', 'undergoing', 'understood']
['pend', 'pledges', 'pledging', 'pled']
Gaussian Score: 0.22
```

Cyclical 的部分,因為時間關係尚未跑完,所以還未出現重複的波形, 上網搜尋研究後了解,應會出現週期性的 pattern,且 BLEU-4 數值越 來越高。目前我的結果還在第一個週期,最高的 BLEU-4 數值是 0.7002



■ Notice: This part mainly focuses on your discussion, if you simply just paste your results, you will get a low score