

8.1 More Number Theory

Number theory: branch of pure mathematics concerned with properties of numbers in general, and integers in particular

Concepts from number theory are essential to public-key cryptographic algorithms

- Fermat's theorem
- Euler's theorem
- Discrete logarithms

Prime Factorization

To factor a number n is to write it as a product of other numbers

$$n = a \times b \times c$$

- Note: factoring a number is relatively hard compared to multiplying the factor together to generate the number

The **prime factorization** of a number n is when it's written as a product of primes

Any integer $a > 1$ can be factored uniquely as:

$$a = \prod_{p \in P} p^{a_p} = p_1^{a_1} \times p_2^{a_2} \times \dots \times p_t^{a_t}$$

- $p_1 < p_2 < \dots < p_t$ are prime numbers
- a_i is a positive integer

Integer multiplication:

- Given:
 - $a = \prod_{p \in P} p^{a_p}$
 - $b = \prod_{p \in P} p^{b_p}$
- $k = ab$
- k can be expressed as the product of powers of primes:
 - $k = \prod_{p \in P} p^{k_p}$
 - $k_p = a_p + b_p$ for all $p \in P$

Relatively Prime Numbers & GCD

Relatively Prime: two numbers a, b are **relatively prime** if they have no common divisors apart from 1.

If a divides b then:

- Given: $a = \prod_{p \in P} p^{a_p}$, $b = \prod_{p \in P} p^{b_p}$
- Then: $a_p \leq b_p$ for all p

Determining the GCD of two numbers

- If $k = \text{GCD}(a, b)$, then $k_p = \min(a_p, b_p)$ for all p
- Not practical for large numbers due to difficulty of factoring
- Example:
 - $300 = 2^1 \times 3^1 \times 5^2$
 - $18 = 2^1 \times 3^2$
 - $\text{GCD}(18, 300) = 2^1 \times 3^1 \times 5^0 = 6$

Fermat's Theorem

Fermat's theorem: If p is prime and a is a positive integer not divisible by p , then

- $a^{p-1} \equiv 1 \pmod{p}$
- Requires that a be relatively prime to p

Alternative form

- $a^p \equiv a \pmod{p}$
- a doesn't have to be relatively prime to p (a can be divisible by p)

Fermat's Theorem Proof (Assume Basic True, Formulate Alternative)

1. Assume $a^{p-1} \equiv 1 \pmod{p}$
2. From (1) can write: $a^p \cdot a^{-1} \equiv 1 \pmod{p}$
3. Multiple a^1 on both sides

$$a^1 \cdot a^p \cdot a^{-1} \equiv 1 \pmod{p} \quad a^1$$

$$a^p \equiv a^1 \pmod{p} \quad \text{Proof Complete}$$

Fermat's Theorem Proof (Basic Form)

1. Construct set X
 - $X = \{1, 2, \dots, p-1\}$
2. Construct set X' by multiplying X with $(a \bmod p)$
 - $X' = \{a \bmod p, 2a \bmod p, \dots, (p-1)a \bmod p\}$
3. Show p does divide a .
 - a. Show no element in X' is equal to zero
 - Assume $(a*i) \equiv (a*p) \pmod{p} \rightarrow i \equiv p \pmod{p} \equiv 0 \pmod{p}$
 - But $0 < i < p$
 - b. Show elements in X' are unique
 - Assume $(a*i) \equiv (a*j) \pmod{p} \rightarrow i \equiv j \pmod{p}$
 - But $0 < i, j < p$, and $i \neq j$
 - c. Show X' is equivalent to X thus...
 - $(a * 2a * \dots * (p-1)a) \equiv (1 * 2 * \dots * p-1) \pmod{p}$
 - $(p-1)!a^{p-1} \equiv (p-1)! \pmod{p}$, thus
 - $a^{p-1} \equiv 1 \pmod{p}$

Euler Totient Function $\phi(n)$

Totient Function - $\phi(n)$: The number of positive integers less than n and relative prime to n

- Professors definition: computing $\phi(n)$ counts the number of residues to be excluded

For prime numbers p and q :

- $\phi(p) = p-1$
- $\phi(p \cdot q) = (p-1) \cdot (q-1)$

Proof for $\phi(p \cdot q) = (p - 1) \cdot (q - 1)$

1. The integers in the complete set $\{1, \dots, (pq - 1)\}$ that aren't relative prime to n can be taken out. They are:
 - $\{p, 2p, \dots, (q - 1)p\}$
 - $\{q, 2q, \dots, (p - 1)q\}$
2. From that you can rewrite the equation to:

$$\begin{aligned}\phi(n) &= (pq - 1) - [(q - 1) + (p - 1)] \\ &= pq - (p + q) + 1 \\ &= (p - 1) \times (q - 1) \\ &= \phi(p) \times \phi(q)\end{aligned}$$

Euler's Theorem

Euler's Theorem: A generalization of Fermat's theorem:

$$a^{\phi(n)} \equiv 1 \pmod{n}$$

- If a and n are relatively prime

Proof:

1. n being prime is true due to Fermat's theorem
2. For any n :
 - Consider the reduced set of residues $R = \{x_1, x_2, \dots, x_{\phi(n)}\}$
 - Consider $R' = \{(ax_1 \bmod n), (ax_2 \bmod n), \dots, (ax_{\phi(n)} \bmod n)\}$
 - R' is equivalent to R , \dots , similar to proof of Fermat's theory

Alternate Euler's Theorem: $a^{\phi(n)} + 1 \equiv a \pmod{n}$

- Like Fermat's, this form doesn't require a and n to be relatively prime

Primality Testing

Primality testing is needed because finding large prime numbers is useful (There's no simple way to do this though)

- Traditionally used **trial division**
 - Divide by all numbers(primes) in turn less than the square root of the number
 - Only works for small numbers
- Alternatively can use **statistical primality testing** based on the necessary properties of primes for which all prime numbers satisfy property
 - Some composite numbers called pseudo-primes, can also satisfy the properties
- Can use a slower deterministic primality test

Two properties of Prime Numbers

First property

- If p is prime and a is a positive integer less than p , then

$$a^2 \bmod p = 1 \text{ iff either } [a \bmod p = 1] \text{ or } [a \bmod p = p - 1]$$

Second property

- Let p be a prime number greater than 2. We can write $p - 1 = 2^k q$ with $k > 0$, q odd. Let a be any integer in the range $1 < a < p - 1$. Then one of the following conditions is true:
 1. $a^q \bmod p = 1$
 2. One of the numbers $a^q, a^{2q}, a^{4q}, \dots, a^{2^{k-1}q} \bmod p = p - 1$

Miller Rabin Algorithm

A test based on prime properties that result from Fermat's Theorem

Algorithm:

- TEST(n) is:
 1. Find integers $k, q, k > 0, q$ odd, so that $(n - 1) = 2^k q$
 2. Select a random integer $a, 1 < a < n - 1$
 3. if $a^q \bmod n = 1$ then return ("inconclusive")
 4. For $j = 0$ to $k - 1$ do \rightarrow if $(a^{2^j q} \bmod n = n - 1)$ then return("inconclusive")
 5. return("composite")

Probabilistic considerations:

- If Miller-Rabin returns "composite", the number is definitely not prime
- Otherwise, is a prime or a pseudo-prime
- Probability it returns "inconclusive" is $< \frac{1}{4}$
- Hence, if ran repeated test with different random a , then chance n is prime after t test is:
 - $\Pr(n \text{ prime after } t \text{ tests}) = 1 - 4^{-t}$

Prime Distribution

Prime number theorem states that primes near n occur roughly one every $(\ln n)$ integers

- Can immediately ignore even numbers
- Therefore, in practice we only need to test $0.5 \ln(n)$ numbers of size n to locate a prime
 - This is only the average
 - Sometimes primes are close together
 - Other times are quite far apart

Primitive Roots

From Euler's theorem ($a^{\phi(n)} \bmod n = 1$), consider the general case:

$$a^m \bmod n = 1$$

- if $\text{GCD}(a, n) = 1$, there must exist a $m = \phi(n)$
- Smaller m may exist
- Once powers reach m , mod results will repeat

If smallest $m = \phi(n)$ then a is called a **primitive root of n**

- Successive powers of a "generate" $\phi(n)$ distinct integers relatively prime to n ($a, a^2, a^3, \dots, a^{\phi(n)}$)
- For a prime number p , successive powers of a "generate" $p-1$ distinct integers relatively prime to p : ($a, a^2, a^3, \dots, a^{p-1}$)
- The only integers with primitive roots are those of the form $2, 4, p^a$, and $2p^a$
 - p is any odd prime
 - a is a positive integer

a	a^2	a^3	a^4	a^5	a^6	a^7	a^8	a^9	a^{10}	a^{11}	a^{12}	a^{13}	a^{14}	a^{15}	a^{16}	a^{17}	a^{18}
1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
2	4	8	16	13	7	14	9	18	17	15	11	3	6	12	5	10	1
3	9	8	5	15	7	2	6	18	16	10	11	14	4	12	17	13	1
4	16	7	9	17	11	6	5	1	4	16	7	9	17	11	6	5	1
5	6	11	17	9	7	16	4	1	5	6	11	17	9	7	16	4	1
6	17	7	4	5	11	9	16	1	6	17	7	4	5	11	9	16	1
7	11	1	7	11	1	7	11	1	7	11	1	7	11	1	7	11	1
8	7	18	11	12	1	8	7	18	11	12	1	8	7	18	11	12	1
9	5	7	6	16	11	4	17	1	9	5	7	6	16	11	4	17	1
10	5	12	6	3	11	15	17	18	9	14	7	13	16	8	4	2	1
11	7	1	11	7	1	11	7	1	11	7	1	11	7	1	11	7	1
12	11	18	7	8	1	12	11	18	7	8	1	12	11	18	7	8	1
13	17	12	4	14	11	10	16	18	6	2	7	15	5	8	9	3	1
14	6	8	17	10	7	3	4	18	5	13	11	2	9	12	16	15	1
15	16	12	9	2	11	13	5	18	4	3	7	10	17	8	6	14	1
16	9	11	5	4	7	17	6	1	16	9	11	5	4	7	17	6	1
17	4	11	16	6	7	5	9	1	17	4	11	16	6	7	5	9	1
18	1	18	1	18	1	18	1	18	1	18	1	18	1	18	1	18	1

Figure 1: Example of Powers mod 19 ($n = 19$), all a less than 19

Logarithms

Ordinary Logarithms

$$y = x^{\log_x(y)}$$

Properties:

- $\log_x(1) = 0$
- $\log_x(x) = 1$
- $\log_x(yz) = \log_x(y) + \log_x(z)$
- $\log_x(y^r) = r \times \log_x(y)$

Discrete Logarithms

The inverse problem to exponentiation modulo p is to find the **discrete logarithm** of a number modulo p .

- Similar to saying find i such that $b = a^i \pmod{p}$
 - i is the **discrete logarithm** of the number b for the base $a \pmod{p}$
 - written as $i = \text{dlog}_{a,p} b$
- If a is a primitive root of p then i **always exist**, otherwise it may not exist or be unique
 - Example:
 - * $i = \text{dlog}_{5,19} 3$ has no answer, $i = \text{dlog}_{5,19} 11$ has no unique answers
 - * $i = \text{dlog}_{10,19} 3 = 5$ by trying successive powers
- Whilst exponentiation is relatively easy, finding discrete logarithms is generally a hard problem

(a) Discrete logarithms to the base 2, modulo 19																		
a	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$\log_{2,19}(a)$	18	1	13	2	16	14	6	3	8	17	12	15	5	7	11	4	10	9

(b) Discrete logarithms to the base 3, modulo 19																		
a	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$\log_{3,19}(a)$	18	7	1	14	4	8	6	3	2	11	12	15	17	13	5	10	16	9

(c) Discrete logarithms to the base 10, modulo 19																		
a	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$\log_{10,19}(a)$	18	17	5	16	2	4	12	15	10	1	6	3	13	11	7	14	8	9

(d) Discrete logarithms to the base 13, modulo 19																		
a	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$\log_{13,19}(a)$	18	11	17	4	14	10	12	15	16	7	6	3	1	5	13	8	2	9

(e) Discrete logarithms to the base 14, modulo 19																		
a	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$\log_{14,19}(a)$	18	13	7	8	10	2	6	3	14	5	12	15	11	1	17	16	4	9

(f) Discrete logarithms to the base 15, modulo 19																		
a	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$\log_{15,19}(a)$	18	5	11	10	8	16	12	15	4	13	6	3	7	17	1	2	14	9

Figure 2: Example of discrete logarithms with mod 19

Properties of discrete logarithms

- $\text{dlog}_{a,p}(1) = 0$, because $a^0 \bmod p = 1 \bmod p = 1$
- $\text{dlog}_{a,p}(a) = 1$, because $a^1 \bmod p = a \bmod p = a$
- $\text{dlog}_{a,p}(xy) = [\text{dlog}_{a,p}(x) + \text{dlog}_{a,p}(y)] \bmod \phi(p)$
- $\text{dlog}_{a,p}(y^r) = [r \cdot \text{dlog}_{a,p}(y)] \bmod \phi(p)$