# Finite Automata

## Introduction

Finite Automata: a model of computation with finite state (memory)

• Application: text processing, program verification, compilers

## Outline

- Languages and Automata
  - Definitions
  - Example
- Deterministic Finite Automata (DFA)
- Nondeterministic Finite Automata (NFA)

## Language and Automata

#### **Definitions**

Symbol: An abstract, primitive, atomic "thing"

Set: An unordered collection, without repetition

Alphabet: A non-empty, finite set of symbols

• Ex.  $\sum_{B} = \{0, 1\}$  alphabet of booleans

Sequence: An ordered list of objects

• Ex.  $(1, 2, 3, 5, 8, \dots)$ 

String: A sequence over some alphabet

• Ex. hello (if the alphabet is our actual alphabet)

Languages: A set of strings

## Example Automata

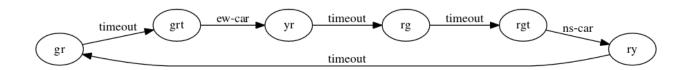


Figure 1: Example Automata of traffic light system

#### States:

- NS = {red, yellow, green} (cars going north and south)
- $EW = \{red, yellow, green\}$  (cars going east and west)
- timeout =  $\{0, 1\}$  (timer on the traffic light)

#### Events:

•  $Q = \{timeout, ns-car, ew-car\}$ 

Transition Table:

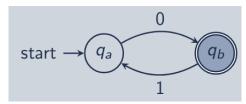
State	timeout	ns-car	ew-car
gr	grt	-	
$\operatorname{grt}$	-	-	yr
yr	rg	-	-
rg	$\operatorname{rgt}$	-	-
$\operatorname{rgt}$	-	ry	-
ry	gr	-	-

# Deterministic Finite Automata (DFA)

Deterministic Finite Automata: a 5-tuple:  $M=(Q, \sum, \delta, q_0, F)$ , where:

- Q is a finite set called the **states**
- $\sum$  is a finite set called the **alphabet**   $\delta: Q \times \sum \to Q$  is the transition function
- $q_0 \in Q$  is the start state
- F  $\subset$  Q is the set of accept states

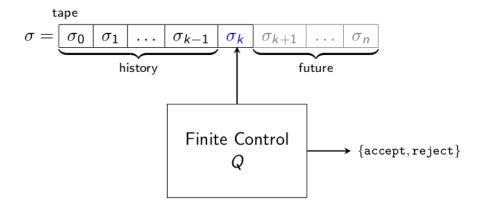
#### Example:



- $\begin{array}{ll} \bullet & Q = \{q_a,\,q_b\} \\ \bullet & \sum = \{0,\,1\} \end{array}$
- $\overline{\delta}(q_a, 0) = q_b, \, \delta(q_b, 1) = q_a$
- $q_0 = q_a$
- $F = \{q_b\}$

## Conceptual DFA Operation

 $M: \Sigma^* \to \{accept, reject\}$ 



Can represent a DFA as a machine with

- Finite Control state Q
- $\sigma$  which is an input string (tape)
- At the end of the string two things can happen:
  - 1. Accept reach end of tape (string) with control in accept state  $q \in F$
  - 2. Reject reach end of tape (string) with control not in accept state  $q \notin F$

With this representation we could say the language of M is the set of strings accepted by M.

Meaning a DFA can produce a set of strings that end with an accepting state

## Symbolic DFA Semantics

Transition Functions: transition from state  $q_{pred}$  to  $q_{succ}$  on symbol  $\sigma$ 

$$\delta(q_{pred}, \sigma) = q_{succ}$$

Extended Transition Function: transition from state  $\mathbf{q}_0$  to  $\mathbf{q}_{\mathbf{n}}$  on string w

base:  $\delta(q, \epsilon) = q$ 

recursive: For  $a \in \Sigma$  and  $B \in \Sigma^*$ ,

 $\hat{\delta}(q, aB) = \hat{\delta}(\delta(q, a), B)$ 

#### **DFA** Simulation

Input: DFA M and Input String w

Find: Does M accept the input string

Algorithm:

- 1. Evaluate the extended transition function on input string \*w\*
- 2. At the end of the input string:
  - If the resulting state is an accept state, return accept
  - Otherwise, return reject

Dumdum: Go through the states given the string transition, if it ends with accept then we good.

## **DFA** Language Definitions

Acceptance: DFA M accepts string w when the extended transition function results in an accept state:

$$\hat{\delta}(\mathbf{q}_0, w) \in \mathbf{F}$$

Rejection: DFA M rejects string w when the extended transition function results in an non-accept state:

$$\hat{\delta}(\mathbf{q}_0, w) \notin \mathbf{F}$$

Recognition: DFA M recognizes the language L(M) consisting of the set of strings accept by M:

$$L(\mathbf{M}) = \{ w \mid \hat{\delta}(\mathbf{q}_0, w) \in \mathbf{F} \}$$

## Regular Languages

Regular Languages (R): are languages that can be recognized by DFAs

$$R = \{L \mid \text{ for some DFA M, } L = L(M)\}$$

A language (L) is regular if and only if there exists a DFA (M) that recognizes it:

$$(L \in R) \leftrightarrow \exists M, (L = L(M))$$

# Nondeterministic Finite Automata (NFA)

Nondeterministic Finite Automata: a 5-tuple:  $N = (Q, \sum, \delta, q_0, F)$ , where:

- Q is a finite set called the **states**
- $\Sigma$  is a finite set called the **alphabet**
- $\delta: \mathbb{Q} \times \Sigma \to P(\mathbb{Q})$  is the transition function
- $q_0 \in Q$  is the start state
- F  $\subseteq$  Q is the set of accept states

#### NFA VS DFA

- DFA can only transition to a single state, NFA can transition to multiple states
- NFA cannot represent more languages than DFA

Proof that NFA cannot represent more languages than DFA:

- 1. Each nondeterministic step of an NFA, we are in a set of states
- 2. All such sets are the powerset of NFA states  $P(Q_{NFA})$
- 3. The powerset of a finite set is still a finite set
- 4. We can create a DFA who's states correspond to  $P(Q_{NFA})$