

# MATH 258: Homework #5

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1. Let  $K$  be a field and  $R = K[x]$ . Let  $S = \{f \in K[x] \mid f = \sum a_i x^i, a_1 = 0\}$ .

(a) Show that  $S$  is a subring of  $R$ .

Any polynomial with  $a_i = 0$  for  $i > 0$  is in  $S$ , so it suffices to show that  $S$  is closed under addition and multiplication. Let  $f, g \in S$ . Then  $f + g = \sum (a_i + b_i)x^i$ , so if  $a_1, b_1 = 0$ , then  $a_1 + b_1 = 0$ . Similarly,  $f \cdot g = \sum c_i x^i$  where  $c_i = \sum_{k=0}^i a_k b_{i-k}$ . If  $i = 1$ , then  $c_1 = a_0 b_1 + a_1 b_0 = 0$ . Therefore  $S$  is closed under addition and multiplication, and hence is a subring.

(b) Let  $I = \{f \in S \mid f(0) = 0\}$ . Show that  $I = (x^2, x^3)$ . Show that  $S$  is not a principal ideal domain by showing that  $I$  is not a principal ideal.

Let  $f(x) = \sum_i a_i x^i$ . Then  $f(0) = 0$ , so  $f \in I$  if and only if  $a_0 = a_1 = 0$ . Clearly any element of  $I$  is such that  $a_0 = a_1 = 0$ , since there are no terms of degree less than 2 in the polynomial. To show the converse it suffices to show that any  $x^i$  with  $i \neq 1$  can be written as  $(x^2)^j (x^3)^k$  for some  $j, k \in \mathbb{N}$ , since then  $f$  will be the finite sum of such terms with coefficients in  $K$ . So consider  $x^i$ . If  $i$  is even then we are done since  $i = 2k$  and  $x^i = (x^2)^k$ . So assume  $i$  is odd with  $i \neq 1$ . Then  $i = 2k + 1 = 2(k - 1) + 3$  and hence  $x^i = (x^2)^{k-1} x^3$ . Therefore  $I = (x^2, x^3)$ .

To see that the ideal is not principal assume for contradiction that there exists some  $p \in S$  such that  $(p(x)) = (x^2, x^3)$ . Then there exist  $r, q \in I$  such that  $x^2 = q(x)p(x)$  and  $x^3 = r(x)p(x)$ . Since none of the polynomials are zero,  $\deg q + \deg p = 2$ , and hence  $\deg p = 2$  since it is impossible that  $\deg p$  is 1 or 0. Moreover,  $\deg r = 1 + \deg q$  since  $\deg x^3 = 3$ . Then  $\deg r = 1$ , which is impossible by virtue of being in  $I$ . Therefore  $I$  cannot be principal.

(c) Show that  $S = K[x^2, x^3]$ .

$K[x^2, x^3] = \{\sum a_{ij} (x^2)^i (x^3)^j \mid a_{ij} \in K, i, j \in \mathbb{N}\}$ . But, from above, any  $x^k$  with  $k \neq 1$  can be generated by products of powers of  $x^2$  and  $x^3$ , and no product of such powers has a degree of 1 from the fact that there are no positive integral solutions to the equation  $2k + 3j = 1$ . Therefore  $S = K[x^2, x^3]$ .

2. Show that  $R = \mathbb{Z}[2i]$  is not a principal ideal domain.

From Theorem 14 on pp. 287 it suffices to show that  $R$  is not a unique factorization domain. This is obvious since  $i \notin R$  and  $4 = 2 \cdot 2 = (2i) \cdot (-2i)$ , i.e., 4 does not have a unique factorization.

Alternatively, consider the ideal  $(2, 2i) = \{2a + 2bi \mid a, b \in \mathbb{Z}\}$ . Assume this ideal were principal, i.e., there exists some  $a + 2bi$  such that  $(2, 2i) = (a + 2bi)$ . Then there would also exist  $\alpha, \beta \in R$  with  $2 = \alpha(a + 2bi)$  and  $2i = \beta(a + 2bi)$ . Let  $N$  be the associated field norm so that  $4 = N(\alpha)(a^2 + 4b^2)$  and  $4 = N(\beta)(a^2 + 4b^2)$ . Then  $a^2 + 4b^2$  must be one of 1, 2, or 4. If it is 4, then  $N(\alpha) = N(\beta) = 1$  and  $\beta = \pm 1$  and  $\alpha = \pm 1$ . But clearly this is a contradiction since this implies  $2 = \pm 2i$ .  $a^2 + 4b^2$  cannot be 2 since there are no integral solutions. If  $a^2 + 4b^2 = 1$  then  $a + 2bi = \pm 1$  and therefore  $1 \in (2, 2i)$ . Then there exist  $j, k$  such that  $1 = 2k + 2ij$ . Multiplying by  $-2i$  shows that  $i$  must be a multiple of 2, a contradiction. Therefore  $(2, 2i)$  is not principal and  $R$  is not a principal ideal domain.

3. Let  $A = \mathbb{Z}[\sqrt{2}]$ . Show that  $A$  is a Euclidean Domain with norm  $\nu : A \rightarrow \mathbb{N}$  defined by

$$\nu(a + b\sqrt{2}) = |a^2 - 2b^2|$$

Recall that a Euclidean Domain  $A$  is an integral domain with a Euclidean norm defined such that, for any  $\alpha, \beta \in A$  there exist  $q, r \in A$  with  $\alpha = q\beta + r$  and  $N(r) < N(q)$ . Let  $A = \mathbb{Z}[\sqrt{2}]$  and  $\alpha, \beta \in \mathbb{Z}[\sqrt{2}]$ . Define  $N(a + b\sqrt{2}) = |a^2 - 2b^2|$ .

Then the above definition is clearly equivalent to the following: For any  $\delta \in \mathbb{Q}[\sqrt{2}]$  there exists a  $\kappa \in \mathbb{Z}[\sqrt{2}]$  such that  $N(\delta - \kappa) < 1$ . On  $\mathbb{Q}[\sqrt{2}]$  we define  $N$  in the obvious way:  $N(a/b) = N(a)/N(b) \in \mathbb{Q}$ . Letting  $\delta = r + s\sqrt{2}$  and  $\kappa = x + y\sqrt{2}$ , then we must choose  $x, y$  such that

$$|(r - x)^2 - 2(s - y)^2| < 1$$

But this is easy to do: simply choose the integers closest to  $r$  and  $s$ , so that  $|r - x| \leq 1/2$  and  $|s - y| \leq 1/2$ . Incidentally, this works also for any  $\mathbb{Z}[\sqrt{m}]$  where  $m < 5$ , i.e.,  $\mathbb{Z}[\sqrt{3}]$  is also a Euclidean Domain.

4. Let  $A = \{a + wb \mid a, b \in \mathbb{Z}\}$  where  $w = \frac{-1+i\sqrt{3}}{2}$ . Show that  $A$  is a Euclidean Domain with norm  $\nu : A \rightarrow \mathbb{N}$  defined by

$$\nu(a + bw) = a^2 - ab + b^2$$

As above, it is sufficient to find  $\kappa \in \mathbb{Z}[w]$  such that for any  $\delta \in \mathbb{Q}[w]$  we have  $N(\delta - \kappa) < 1$ . Letting  $\delta = r + sw$  and  $\kappa = x + yw$ , then

$$N(\delta - \kappa) = (r - x)^2 - (r - x)(s - y) + (s - y)^2$$

Choosing  $x, y$  to be the closest integers to  $r, s$  so that  $|r - x| \leq 1/2$  and  $|s - y| \leq 1/2$  gives the estimate

$$|N(\delta - \kappa)| = |(r - x)^2 - (r - x)(s - y) + (s - y)^2| \leq |r - x|^2 + |r - x||s - y| + |s - y|^2 \leq \frac{3}{4} < 1$$

Therefore  $N$  is indeed a Euclidean norm on  $\mathbb{Z}[w]$  and  $\mathbb{Z}[w]$  is a Euclidean Domain.

5. Let  $K$  be a field and  $f \in K[x]$  with  $f(x) = \prod_{i=1}^n (x - a_i)$  and  $a_i \neq a_j$  for  $j \neq i$ . Show that the map  $K[x]/(K[x] \cdot f) \rightarrow \underbrace{K \times \cdots \times K}_{n \text{ times}}$  given by  $\bar{g} \mapsto (g(a_1), \dots, g(a_n))$  is a ring isomorphism.

Let  $\varphi(g) = (g(a_1), \dots, g(a_n))$ . We will first show that this is a ring homomorphism with kernel  $K[x] \cdot f$ , and then that it is surjective, proving the statement. That it is a homomorphism is almost too trivial for words, since

$$\varphi(g) + \varphi(h) = (g(a_1), \dots, g(a_n)) + (h(a_1), \dots, h(a_n)) = ((g + h)(a_1), \dots, (g + h)(a_n)) = \varphi(g + h)$$

and *mutatis mutandis* for multiplication.  $g \in \ker \varphi$  if and only if  $g(a_i) = 0$  for  $1 \leq i \leq n$ . But this is equivalent to saying that  $x - a_i \mid g$  for each  $a_i$ , and hence  $f \mid g$ . Therefore  $\ker \varphi = K[x] \cdot f(x)$ .

Showing that this map is surjective is more difficult, and requires (to my knowledge) some linear algebra. We wish to find a function  $g$  such that  $g(x) = \sum_{i=1}^n g_i x^i$  and  $g(a_i) = b_i$  for arbitrary  $b_i \in K$ . But this is equivalent to the following matrix equation

$$\begin{pmatrix} 1 & x_1 & x_1^2 & \cdots & x_1^n \\ 1 & x_2 & x_2^2 & \cdots & x_2^n \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & x_n & x_n^2 & \cdots & x_n^n \end{pmatrix} \begin{pmatrix} g_1 \\ g_2 \\ \vdots \\ g_n \end{pmatrix} = \begin{pmatrix} b_1 \\ b_2 \\ \vdots \\ b_n \end{pmatrix}$$

The determinant of this matrix is known to be  $\prod_{i>j} (x_i - x_j)$ . In our case, we have  $x_i = a_i$  and  $a_i \neq a_j$  for  $i \neq j$ , so that this matrix (the Vandermonde matrix) is nonsingular and our homomorphism is surjective.

6. (a) *Show that the ideal generated by  $2, x$  in  $\mathbb{Z}[x]$  is not a principal ideal.*  
 Assume there exists a nonzero polynomial  $p \in \mathbb{Z}[z]$  such that  $(p(x)) = (2, x)$ . Then there exist nonzero polynomials  $q_1, q_2$  such that  $2 = q_1(x)p(x)$  and  $x = q_2(x)p(x)$ . But then  $0 = \deg 2 = \deg q_1 + \deg p$ , which implies  $\deg p = 0$  and  $\deg q_2 = 1$ . Then  $x = \alpha p$  for some  $\alpha \in \mathbb{Z}$  with  $\alpha \neq 0$ , which is a contradiction. Therefore  $(2, x)$  is not a principal ideal.
- (b) *Let  $K$  be a field and  $A = K[x_1, x_2]$ . Show that the ideal generated by  $x_1, x_2$  in  $A$  is not a principal ideal.*  
 Assume  $(x_1, x_2)$  is principal, then there exists some  $g \in A$  such that  $x_1 = g \cdot a$  and  $x_2 = g \cdot b$  for some  $a, b \in A$ . Since none of these are zero, it follows that the degree of  $g$  in  $x_1$  must be at least 1. But then the degree of  $x_2 = g \cdot b$  in  $x_1$  must be at least 1, which is absurd.
7. (a) *Show that the ideal generated by  $x^2 + 1$  in  $\mathbb{R}[x]$  is a prime ideal.*  
 By Proposition 11 on pp. 284, a nonzero element of a PID is irreducible if and only if it is prime, and by Proposition 10 on pp. 308 a polynomial of degree two or three over a field  $F$  is reducible if and only if it has a root in  $F$ . Therefore, since  $x^2 + 1$  has no real root, it is irreducible and therefore generates a prime ideal.
- (b) *Decide if the ideal generated by  $x^2 + 1$  in  $\mathbb{C}[x]$  is a prime ideal.*  
 $x^2 + 1$  is reducible in  $\mathbb{C}[x]$  by  $x^2 + 1 = (x - i)(x + i)$ . By Proposition 10 on pp. 284,  $(x^2 + 1)$  therefore is not a prime ideal.
8. *Let  $K$  be a field and  $A = K[x, y]$ . For  $a, b \in K$  consider the ideal  $M = A \cdot (x - a) + A \cdot (y - b)$ . Show that  $M$  is a maximal ideal.*

Consider the map  $\varphi : A \rightarrow K$  defined by  $\varphi(f) = f(a, b)$ . This map is surjective since  $f(x, y) = a$  maps to  $a \in K$  for arbitrary  $a$ . Clearly  $M \subset \ker \varphi$ , so let  $f \in \ker \varphi$ . Then there exists a  $g \in K[x, y]$  and constant  $r$  such that  $f(x, y) = g(x, y)(x - a) + r(x, y)$ . Apply this again to  $r(x, y)$ , and we see that there exists a  $h \in K[x, y]$  and constant  $r'$  such that

$$f(x, y) = g(x, y)(x - a) + h(x, y)(x - b) + r'(x, y)$$

Since  $f(a, b) = 0$ ,  $r = 0$ , and therefore  $f \in \ker \varphi$  and  $M = \ker \varphi$ . By the first isomorphism theorem for rings,  $A/M \cong K$ , and hence  $M$  is maximal in  $A$ .