

# CMSC 277: Homework #1

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6 October 2005

1. Using the Order Form of Recursion on  $\mathbb{N}$ , define  $X$  and  $g : X^* \rightarrow X$  so that the corresponding  $f$  is the Fibonacci sequence.

Let  $X = \mathbb{N}$  and  $\sigma \in X^*$ . Define  $g : X^* \rightarrow X$  as follows:

$$g(\sigma) = \begin{cases} \sigma(|\sigma|) + \sigma(|\sigma| - 1) & |\sigma| \geq 2 \\ 1 & |\sigma| < 2 \end{cases}$$

Thus  $f(0) = g(\lambda) = 1$  and  $f(1) = g(f[0]) = 1$ , but  $f(n) = g(f[0] * \dots * f[n-1]) = f(n-1) + f(n-2)$  for all  $n \geq 2$ .

2. Let  $A = \{1, 2, 3, 4, 5, 6, 7\}$ ,  $B = \{5\}$ , and  $\mathcal{H} = \{h_1, h_2\}$  where  $h_1$  and  $h_2$  are given as in the homework sheet.

- (a) Calculate  $V_3$  and  $W_3$ .

Let  $V_0 = B = \{5\}$ . Then we have the following

$$V_0 = \{5\}$$

$$V_1 = V_0 \cup \{h_1(5)\} \cup \{h_2(5, 5)\} = \{5, 7\}$$

$$V_2 = V_1 \cup \{h_1(7)\} \cup \{h_2(7, 7), h_2(5, 7), h_2(7, 5)\} = \{4, 5, 7\}$$

$$V_3 = V_2 \cup \{h_1(4)\} \cup \{h_2(4, 4), h_2(4, 7), h_2(7, 4), h_2(4, 5), h_2(5, 4)\} = \{4, 5, 7\}$$

Since  $B = \{5\}$ , the only witnessing sequence of length 1 is 5. As  $h_1(5) = 5$  and  $h_2(5, 5) = 7$  we have that the only witnessing sequences of length two are 55 and 57. Hence the only witnessing sequences of length three are 555, 577, 575, and 574 since  $h_1(7) = 4$  and all other combinations of  $h_k$  and elements with witnessing sequences of length two, viz., 5 and 7, are already one of  $\{4, 5, 7\}$ . Hence  $W_3 = \{4, 5, 7\}$ .

- (b) Calculate  $G(A, B, \mathcal{H})$ .

In general if  $V_n = V_{n+1}$  for some  $n \in \mathbb{N}$  then it is easy to see that  $G(A, B, \mathcal{H}) = V_n$  since then

$$\begin{aligned} V_{n+2} &= V_{n+1} \cup \{h(a_1, \dots, a_k) \mid h \in \mathcal{H}_k, a_i \in V_{n+1}\} \\ &= V_n \cup \{h(a_1, \dots, a_k) \mid h \in \mathcal{H}_k, a_i \in V_n\} \\ &= V_{n+1} \end{aligned}$$

and hence  $V_k = V_n$  for all  $k \geq n$  by induction. Since  $V_2 = V_3$  it follows that  $G(A, B, \mathcal{H}) = \{4, 5, 7\}$ .

3. Let  $A = \mathbb{R}$ ,  $B = \{\frac{1}{2}, 3\}$ , and  $\mathcal{H} = \{h_2, h_3\}$  given by

$$h_2(x, y) = \frac{x + y}{2}$$

and

$$h_3(x, y, z) = \begin{cases} |x - y| & x \neq y \\ \sqrt{z} & x = y \end{cases}$$

Show that  $G(A, B, \mathcal{H}) \subseteq [0, 3]$ .

Let  $C \subset [0, 3]$ . We will show that  $C \cup h_2(C^2) \cup h_3(C^3) \subset [0, 3]$  so that, by induction, the proposition follows immediately. Let  $x, y \in C$ , then

$$0 \leq \frac{x + y}{2} \leq \frac{3 + 3}{2} = 3$$

so that  $h_2(x, y) \in [0, 3]$  for all  $x, y \in C$ . Now let  $a_1, a_2, a_3 \in C$ . By the definition of  $[0, 3]$  it follows that  $|a_i - a_j| \in [0, 3]$  for all  $i, j \in \{1, 2, 3\}$  so it suffices to show that  $h(x) = \sqrt{x} \in [0, 3]$  for all  $x \in C$ . But this follows immediately, too, from the monotonicity of  $h$ , i.e.,  $0 \leq h(x) \leq 3$  for all  $x \in C \subseteq [0, 3]$ .

Since  $B \subset [0, 3]$  we have  $V_1 \subset [0, 3]$ , and that if  $V_n \subseteq [0, 3]$  then  $V_{n+1} \subseteq [0, 3]$ . Hence  $V_n \subseteq [0, 3]$  for all  $n \in \mathbb{N}$  and therefore the proposition follows by the definition of  $G(A, B, \mathcal{H})$ .

4. Let  $A = \mathbb{R}^+$ ,  $B_1 = \{\sqrt{2}\}$ ,  $B_2 = \{\sqrt{2}, 16\}$ , and  $\mathcal{H} = \{h\}$  where  $h : A \rightarrow A$  is defined by  $x \mapsto x^2$ .

(a) Describe  $G(A, B_1, \mathcal{H})$  and  $G(A, B_2, \mathcal{H})$  explicitly.

Let  $G_1 = G(A, B_1, \mathcal{H})$  and  $G_2 = G(A, B_2, \mathcal{H})$ . Define  $X = \{2^{2^{k-1}} \mid k \in \mathbb{N}\}$ . We claim that  $X = G_1 = G_2$ . First, we show that  $X$  is inductive with respect to both  $B_1$  and  $B_2$ .  $\sqrt{2} = 2^{2^{-1}}$  and  $16 = 2^{2^2}$ , so  $B_1, B_2 \subset X$ . Let  $x \in X$ , then  $x^2 = \left(2^{2^{k-1}}\right)^2 = 2^{2^k} \in X$ . Hence  $G_1, G_2 \subseteq X$ .

Let  $x = 2^{2^{k-1}} \in X$  for some  $k \in \mathbb{N}$ . Then

$$\sqrt{2} * 2 * 2^2 * \dots * 2^{2^{k-2}} * 2^{2^{k-1}}$$

is a witnessing sequence for  $x$  in both  $G_1$  and  $G_2$ . Hence  $X = G_1 = G_2$ .

(b) Show that  $G(A, B_1, \mathcal{H})$  is free but  $G(A, B_2, \mathcal{H})$  is not free.

$G_1$  satisfies condition three vacuously since  $|\mathcal{H}| = 1$ , and condition two trivially since  $x \mapsto x^2$  is injective on  $\mathbb{R}^+$ . Since  $h(G_1) = \{2^{2^k} \mid k \in \mathbb{N}\} = G_1 \setminus \{\sqrt{2}\}$ , the first condition is also satisfied.

$G_2$  is not free since  $16 \in h(G_2)$ , so it does not satisfy the first condition.

(c) Define  $\iota : B_2 \rightarrow \mathbb{R}$  by  $\iota(\sqrt{2}) = 0$  and  $\iota(16) = \frac{7}{2}$ . Define  $g : \mathbb{R}^+ \times \mathbb{R}^+ \rightarrow \mathbb{R}^+$  by  $(a, x) \mapsto \log_2 a + x$ . Show that there exists a function  $f : G(A, B_2, \mathcal{H}) \rightarrow \mathbb{N}$  such that  $f(b) = \iota(b)$  for all  $b \in B_2$  and  $f(h(a)) = g(a, f(a))$  for all  $a \in G_2$ .

I am going to assume we are not simply taking  $f : G \rightarrow \mathbb{N}$ , since  $\frac{7}{2}$  is not in  $\mathbb{N}$ , making the problem statement trivially false. Instead, we'll take it to be  $\mathbb{Q}$ .

If  $f(16) = g(4, f(4)) = \iota(16)$  then  $f$  is well-defined since 16 is generated by  $h$  and  $B_1$ , so that every subsequent element of  $G$  is accounted for. But this is precisely what happens:

$$\begin{aligned} f(\sqrt{2}) &= 0 \\ f(2) &= \log_2 \sqrt{2} + 0 = \frac{1}{2} \\ f(4) &= \log_2 2 + \frac{1}{2} = \frac{3}{2} \\ f(16) &= \log_2 4 + \frac{3}{2} = \frac{7}{2} = \iota(16) \end{aligned}$$

Every subsequent value of  $f$  is therefore determined uniquely by  $g$ .

5. Let  $A = \mathbb{N}^+$ ,  $B = \{3, 7\}$ , and  $\mathcal{H} = \{h_1, h_2\}$  where  $h_1(n) = 20n + 1$  and  $h_2(n, m) = 2^n(2m + 1)$ . Show that  $G(A, B, \mathcal{H})$  is free.

Let  $G = G(A, B, \mathcal{H})$ . The first condition is easily satisfied since  $h_2(n, m)$  is even for all  $n, m \in A$  and  $7 < 13 < h_1(n)$  for all  $n \in A$ .  $h_1$  is injective over all  $\mathbb{R}$  (in fact, it is bijective), so it is certainly injective over  $G$ .

To see that  $h_2$  is injective let  $(n_1, m_1), (n_2, m_2) \in A^2$  and assume  $2^{n_1}(2m_1 + 1) = 2^{n_2}(2m_2 + 1)$ . If  $n_1 < n_2$  then it must be that  $2^{n_2 - n_1} \mid 2m_1 + 1$ , which is absurd as  $2m_1 + 1$  is always odd. The case for  $n_2 < n_1$  follows *mutatis mutandis*, and hence  $n_1 = n_2$ . Therefore  $2m_1 + 1 = 2m_2 + 1$ , and hence  $m_1 = m_2$ . Therefore  $h_2$  is injective over  $A^2$  (and, in particular,  $G^2$ ).

The third condition is satisfied since  $h_1$  is always odd and  $h_2$  is always even, and therefore  $G$  is free.

6. Let  $(A, B, \mathcal{H})$  be a generating system that is not free. Show that there exists a set  $X$  and functions  $\iota : B \rightarrow X$  and  $g_h : (A \times X)^k \rightarrow X$  such that there is no function  $f : G \rightarrow X$  satisfying  $f|_B = \iota$  and  $f(h(a_1, \dots, a_k)) = g_h(a_1, f(a_1), \dots, a_k, f(a_k))$ .

We will proceed case-by-case, assume that  $f$  is a function satisfying both the above properties.

- (a) Assume there exists  $h \in \mathcal{H}^k$  and  $(a_1, \dots, a_k) \in G^k$  such that  $h(a_1, \dots, a_k) = b_0 \in B$ .

Let  $X = \mathbb{N}$  and define  $\iota(b) = 1$  for all  $b \in B$  and  $g_h(a'_1, f(a'_1), \dots, a'_j, f(a'_j)) = 0$  for all  $(a'_1, \dots, a'_j) \in G^k$  and  $h \in \mathcal{H}^j$ , for all  $j$ . Then

$$1 = \iota(b_0) = f(b_0) = f(h(a_1, \dots, a_k)) = g_h(a_1, f(a_1), \dots, a_k, f(a_k)) = 0$$

which is absurd.

- (b) Assume there exists some  $h \in \mathcal{H}_k$  and distinct  $\vec{a} \in G^k, \vec{b} \in G^k$  such that  $h(\vec{a}) = h(\vec{b})$ .

Let  $X = A^*$ . Define  $\iota(b) = b$  and  $g_h(a_1, f(a_1), \dots, a_k, f(a_k)) = a_1 * \dots * a_k$ . Then

$$\begin{aligned} b_1 * \dots * b_k &= g_h(b_1, f(b_1), \dots, b_k, f(b_k)) \\ &= f(h(\vec{b})) \\ &= f(h(\vec{a})) \\ &= g_h(a_1, f(a_1), \dots, a_k, f(a_k)) \\ &= a_1 * \dots * a_k \end{aligned}$$

which implies  $\vec{a} = \vec{b}$ , a contradiction.

- (c) Case 3