

# MATH 262: Homework #8

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1. Let  $X$  be a compact Hausdorff space. Show that  $X$  is metrizable if and only if  $X$  has a countable basis. Every compact metrizable space  $(X, \rho)$  is second-countable. To see this, consider

$$\mathcal{O}_n = \left\{ B_{\frac{1}{n}}(x) \mid x \in X \right\}$$

where  $n \in \mathbb{Z}_+$  is fixed. Since  $X$  is compact there exists a finite subcover  $\mathcal{A}_n$ . Let  $\mathcal{B} = \bigcup_{n \in \mathbb{Z}_+} \mathcal{A}_n$ .  $\mathcal{B}$  is countable. Since the usual basis for  $X$ ,  $\{B_\epsilon(x)\}$ , contains  $\mathcal{B}$ , it is sufficient to show that for every  $\epsilon$ -ball there exists some  $B \in \mathcal{B}$  such that  $B$  is contained in that  $\epsilon$ -ball. Simply let  $n$  be such that  $\frac{1}{n} < \frac{\epsilon}{2}$ , then there is some  $B \in \mathcal{B}$  of radius  $\frac{1}{n}$  containing  $x$  and  $B \subset B_\epsilon(x)$ . Therefore  $\mathcal{B}$  is a basis for the metric topology on  $X$ .

The other direction is easier. Every compact Hausdorff space is normal, and any second-countable normal space is metrizable by Urysohn.

2. Let  $X$  be a locally compact Hausdorff space. Is it true that if  $X$  has a countable basis then  $X$  is metrizable? What about the converse?

Let  $X$  be an uncountable discrete space. Then  $X$  is locally compact, Hausdorff, and metrizable, but not second-countable.

Let  $X$  be a second-countable, locally compact Hausdorff space. Let  $S$  be the one-point compactification of  $X$ . By 29.4 it follows that  $X$  is homeomorphic to an open subspace of  $S$ . Since  $S$  is compact Hausdorff it is normal, and hence completely regular.  $X$  inherits this property as a subspace of  $S$ , and therefore  $X$  is also regular. As  $X$  is also second-countable, it follows that  $X$  is metrizable (since second-countable and regular implies metrizable).

3. Let  $(X, \rho)$  be a metric space.

- (a) Fix  $\epsilon > 0$ . Show that if every ball of radius  $\epsilon$  in  $X$  has a compact closure then  $X$  is complete.

Let  $\{x_k\}$  be a Cauchy sequence in  $X$ , and fix  $\epsilon > 0$  such that every ball of radius  $\epsilon$  has compact closure. Then there exists some  $N \in \mathbb{N}$  such that  $\rho(x_k, x_n) < \epsilon$  for all  $k \in \mathbb{N}$ . Hence the sequence  $\{x_{k+N}\}$  is contained entirely in  $\overline{B_\epsilon(x_k)}$ . As a subspace this is compact since it is closed, and therefore sequentially compact. But this means that it contains a convergence subsequence, and therefore that  $\{x_k\}$  converges.

- (b) Show that if for every  $x$  there exists an  $\epsilon > 0$  such that  $B_\epsilon(x)$  has compact closure then  $X$  need not be complete.

Let  $X = (0, 1)$ . For every  $x \in X$  choose  $\epsilon$  such that  $0 < x - \epsilon < x < x + \epsilon < 1$ . Then  $\overline{B_\epsilon(x)} = [x - \epsilon, x + \epsilon]$ , which is compact. However,  $X$  is not complete. In particular the sequence  $\{1/n\}$  is Cauchy but does not converge in  $X$ .

4. Let  $(X, \rho)$  be a complete metric space. Show that if  $f : X \rightarrow X$  is a contraction mapping then there is a unique point  $x \in X$  such that  $f(x) = x$ .

Define a sequence in  $X$  as follows. Let  $x_0 \in X$  be arbitrary, and let  $x_n = f(x_{n-1})$ . Let  $0 < \alpha < 1$  be the coefficient of contraction, then it follows by induction that

$$\rho(x_n, x_0) \leq \frac{1 - \alpha^n}{1 - \alpha} \rho(x_1, x_0)$$

Since this is obvious for  $n = 0$ , assume it to be true for  $n - 1$ . Then

$$\begin{aligned} \rho(x_n, x_0) &\leq \rho(x_n, x_{n-1}) + \rho(x_{n-1}, x_0) \\ &\leq \alpha^{n-1} \rho(x_1, x_0) + \frac{1 - \alpha^{n-1}}{1 - \alpha} \rho(x_1, x_0) \\ &= \left( \frac{\alpha^{n-1} - \alpha^n}{1 - \alpha} + \frac{1 - \alpha^{n-1}}{1 - \alpha} \right) \rho(x_1, x_0) \\ &= \frac{1 - \alpha^n}{1 - \alpha} \rho(x_1, x_0) \end{aligned}$$

And therefore it is true for every  $n \in \mathbb{N}$ . Let  $m, n \in \mathbb{N}$ , and, without loss of generality, assume  $m \geq n$ . Then by the above

$$\begin{aligned} \rho(x_m, x_n) &\leq \alpha^n \rho(x_{m-n}, x_0) \\ &\leq \alpha^n \frac{1 - \alpha^{m-n}}{1 - \alpha} \rho(x_1, x_0) \\ &= \frac{\alpha^n - \alpha^m}{1 - \alpha} \rho(x_1, x_0) \\ &< \frac{\alpha^n}{1 - \alpha} \rho(x_1, x_0) \end{aligned}$$

However, as  $\frac{\alpha^n}{1 - \alpha} \rightarrow 0$  as  $n \rightarrow \infty$ , we can choose  $N$  such that if  $n \geq N$  then  $\frac{\alpha^n}{1 - \alpha} < \epsilon$  for all  $\epsilon > 0$ . Therefore  $\{x_n\}$  is a Cauchy sequence, and by the completeness of  $X$  it has some limit  $x \in X$ . Assume for contradiction that this is not a fixed point of  $f$ , i.e.,  $\rho(f(x), x) > 0$ . Then choose  $N$  such that for  $n \geq N$ ,  $\rho(x, x_n) < \frac{\rho(f(x), x)}{2}$ . Then

$$\begin{aligned} \rho(f(x), x) &\leq \rho(f(x), x_{N+1}) + \rho(x_{N+1}, x) \\ &\leq \alpha \rho(x, x_N) + \rho(x_{N+1}, x) \\ &< \frac{\rho(f(x), x)}{2} + \frac{\rho(f(x), x)}{2} < \rho(f(x), x) \end{aligned}$$

which is absurd. Therefore  $\rho(f(x), x) = 0$  and hence  $f(x) = x$ . To see that  $x$  is unique, let  $y$  be such that  $f(y) = y$ . Then

$$\rho(x, y) = \rho(f(x), f(y)) \leq \alpha \rho(x, y)$$

which is true if and only if  $\rho(x, y) = 0$ , i.e.,  $x = y$ .

5. Let  $X$  be a compact metric space. Is  $C(X, \mathbb{R})$  necessarily second-countable? What about  $C(X, Y)$  if  $Y$  is also a compact metric space?
6. If  $X$  and  $Y$  are compact metric spaces such that there are isometric embeddings of  $X$  into  $Y$  and  $Y$  into  $X$ , must  $X$  and  $Y$  be isometric?