

# **ECHOES**

**Extended Calculator of HOmogEnization Schemes**

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10/19/22

# Table of contents

<b>Welcome</b>	<b>3</b>
<b>1 Introduction</b>	<b>4</b>
<b>2 Summary</b>	<b>5</b>
<b>References</b>	<b>6</b>
 <b>Appendices</b>	 <b>6</b>
<b>A Hill polarization tensor</b>	<b>7</b>
A.1 General expression . . . . .	7
A.2 Isotropic matrix . . . . .	7
A.3 Case of cracks . . . . .	10
A.4 Application of Hill calculation . . . . .	11
A.4.1 Definition of the matrix tensor . . . . .	11
A.4.2 Calculation of the crack compliance $\mathbb{L} = \lim_{\omega \rightarrow 0} \omega \mathbb{Q}^{-1}$ . . . . .	12
A.4.3 Checking the aspect ratio for which $\omega \mathbb{Q}^{-1} \approx \lim_{\omega \rightarrow 0} \omega \mathbb{Q}^{-1}$ is acceptable . . . . .	12

# Welcome

This manual aims at recalling some fundamental aspects of the theory of homogenization of random media along with a presentation of the main features of the library **ECHOES** as well as code examples.

The library **ECHOES** allows to implement various homogenization schemes involving different types of heterogeneities in the framework of elasticity, conductivity, viscoelasticity as well as tools to properly calculate the derivatives of macroscopic stiffness with respect to lower scale moduli (fundamental tool of the modified secant method in nonlinear homogenization).

# 1 Introduction

## 2 Summary

In summary, this book has no content whatsoever.

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# A Hill polarization tensor

This section recalls some results about the calculation of the Hill polarization tensors related to a matrix of stiffness  $\mathbb{C}$  and an ellipsoid  $\mathcal{E}_A$  of equation

$$\underline{x} \in \mathcal{E}_A \quad \Leftrightarrow \quad \underline{x} \cdot ({}^t A \cdot A)^{-1} \cdot \underline{x} \leq 1$$

where  $A$  is an invertible second-order tensor so that  ${}^t A \cdot A$  is a positive definite symmetric tensor associated to 3 radii (eigenvalues  $a \geq b \geq c$  possibly written  $\rho_1 \geq \rho_2 \geq \rho_3$  for convenience) and 3 angles (orientation of the frame of eigenvectors  $\underline{e}_1, \underline{e}_2, \underline{e}_3$ )

$${}^t A \cdot A = a^2 \underline{e}_1 \otimes \underline{e}_1 + b^2 \underline{e}_2 \otimes \underline{e}_2 + c^2 \underline{e}_3 \otimes \underline{e}_3 = \sum_{i=1}^3 \rho_i \underline{e}_i \otimes \underline{e}_i \quad (\text{A.1})$$

## A.1 General expression

A general expression of the elastic polarization tensor is derived in (Willis 1977) (see also (Mura 1987))

$$\begin{aligned} \mathbb{P}(A, \mathbb{C}) &= \frac{1}{4\pi} \int_{\|\underline{\zeta}\|=1} (A^{-1} \cdot \underline{\zeta}) \overset{s}{\otimes} \left( (A^{-1} \cdot \underline{\zeta}) \cdot \mathbb{C} \cdot (A^{-1} \cdot \underline{\zeta}) \right)^{-1} \overset{s}{\otimes} (A^{-1} \cdot \underline{\zeta}) dS_{\underline{\zeta}} \\ &= \frac{\det A}{4\pi} \int_{\|\underline{\xi}\|=1} \frac{\underline{\xi} \overset{s}{\otimes} (\underline{\xi} \cdot \mathbb{C} \cdot \underline{\xi})^{-1} \overset{s}{\otimes} \underline{\xi}}{\|A \cdot \underline{\xi}\|^3} dS_{\underline{\xi}} \end{aligned} \quad (\text{A.2})$$

When  $\mathbb{C}$  is arbitrarily anisotropic, it is necessary to resort to numerical cubature to estimate  $\mathbb{P}$  as proposed in (Ghahremani 1977), (Gavazzi and Lagoudas 1990) or (Masson 2008). However in some cases of anisotropy, analytical solutions are available ((Withers 1989), (J.-F. Barthélémy 2020)). The case of isotropic matrix is particularly developed in the next section.

## A.2 Isotropic matrix

In this section, the matrix is assumed isotropic so that its stiffness tensor writes by means of a bulk  $k$  and shear  $\mu$  or Lamé  $\lambda$  and  $\mu$  moduli or even Young modulus  $E$  and Poisson ratio  $\nu$

with  $k = \frac{E}{3(1-2\nu)}$  and  $\mu = \frac{E}{2(1+\nu)}$ .

$$\begin{aligned} \mathbb{C} &= 3k\mathbb{J} + 2\mu\mathbb{K} = 3\lambda\mathbb{I} + 2\mu\mathbb{K} \\ \text{with } J_{ijkl} &= \frac{\delta_{ij}\delta_{kl}}{3}, I_{ijkl} = \frac{\delta_{ik}\delta_{jl} + \delta_{il}\delta_{jk}}{2} \text{ and } \mathbb{K} = \mathbb{I} - \mathbb{J} \end{aligned} \quad (\text{A.3})$$

Introducing Equation A.3 in Equation A.2 leads to after some algebra

$$\mathbb{P} = \frac{1}{\lambda + 2\mu}\mathbb{U} + \frac{1}{\mu}(\mathbb{V} - \mathbb{U})$$

where the tensors  $\mathbb{U}$  and  $\mathbb{V}$ , depending only on the ellipsoidal tensor  $\mathbf{A}$  of Equation A.1, are given by (see (J.-F. Barthélémy 2020))

$$\begin{aligned} \mathbb{U} &= \frac{\det \mathbf{A}}{4\pi} \int_{\|\underline{\xi}\|=1} \frac{\underline{\xi} \otimes \underline{\xi} \otimes \underline{\xi} \otimes \underline{\xi}}{\|\mathbf{A} \cdot \underline{\xi}\|^3} dS_{\underline{\xi}} \\ &= \frac{1}{4\pi} \int_{\|\underline{\zeta}\|=1} \frac{(\mathbf{A}^{-1} \cdot \underline{\zeta}) \otimes (\mathbf{A}^{-1} \cdot \underline{\zeta}) \otimes (\mathbf{A}^{-1} \cdot \underline{\zeta}) \otimes (\mathbf{A}^{-1} \cdot \underline{\zeta})}{\|\mathbf{A}^{-1} \cdot \underline{\zeta}\|^4} dS_{\underline{\zeta}} \end{aligned}$$

and

$$\begin{aligned} \mathbb{V} &= \frac{\det \mathbf{A}}{4\pi} \int_{\|\underline{\xi}\|=1} \frac{\underline{\xi}^s \otimes 1 \otimes \underline{\xi}^s}{\|\mathbf{A} \cdot \underline{\xi}\|^3} dS_{\underline{\xi}} \\ &= \frac{1}{4\pi} \int_{\|\underline{\zeta}\|=1} \frac{(\mathbf{A}^{-1} \cdot \underline{\zeta})^s \otimes 1 \otimes (\mathbf{A}^{-1} \cdot \underline{\zeta})^s}{\|\mathbf{A}^{-1} \cdot \underline{\zeta}\|^2} dS_{\underline{\zeta}} \end{aligned}$$

For an arbitrary ellipsoid defined by Equation A.1, the components of  $\mathbb{U}$  and  $\mathbb{V}$  write

$$\begin{aligned} U_{iiii} &= \frac{3(I_i - \rho_i^2 I_{ii})}{2} \quad \forall i \in \{1, 2, 3\} \\ U_{iijj} = U_{ijij} = U_{ijji} &= \frac{I_j - \rho_i^2 I_{ij}}{2} = \frac{I_i - \rho_j^2 I_{ij}}{2} \quad \forall i \neq j \in \{1, 2, 3\} \end{aligned}$$

and

$$\begin{aligned} V_{iiii} &= I_i \quad \forall i \in \{1, 2, 3\} \\ V_{ijij} = V_{ijji} &= \frac{I_i + I_j}{4} \quad \forall i \neq j \in \{1, 2, 3\} \end{aligned}$$

where the coefficients  $I_i$  and  $I_{ij}$  are given by (note that  $I_i$  and  $I_{ij}$  are adapted from those provided in (Kellogg 1929) and (Eshelby 1957): they differ by a factor of  $4\pi/3$  for  $I_{ij}$  with  $i \neq j$  and by  $4\pi$  for the others)



- if  $a > b > c$

$$\begin{aligned}
I_1 &= \frac{a b c}{(a^2 - b^2)\sqrt{a^2 - c^2}} (F - E) \\
I_3 &= \frac{a b c}{(b^2 - c^2)\sqrt{a^2 - c^2}} \left( \frac{b\sqrt{a^2 - c^2}}{a c} - E \right) \\
I_2 &= 1 - I_1 - I_3 \\
I_{ij} &= \frac{I_j - I_i}{\rho_i^2 - \rho_j^2} \quad \forall i \neq j \in \{1, 2, 3\} \\
I_{ii} &= \frac{1}{3} \left( \frac{1}{\rho_i^2} - \sum_{j \neq i} I_{ij} \right) \quad \forall i \in \{1, 2, 3\}
\end{aligned}$$

where  $F = F(\theta, \kappa)$  and  $E = E(\theta, \kappa)$  are respectively the elliptic integrals of the first and second kinds (see (Abramowitz and Stegun 1972)) of amplitude and parameter

$$\theta = \arcsin \sqrt{1 - \frac{c^2}{a^2}} \quad ; \quad \kappa = \sqrt{\frac{a^2 - b^2}{a^2 - c^2}}$$

- if  $a > b = c$  (prolate spheroid)

$$\begin{aligned}
I_2 = I_3 &= a \frac{a\sqrt{a^2 - c^2} - c^2 \operatorname{arccosh}(a/c)}{2(a^2 - c^2)^{3/2}} \\
I_1 &= 1 - 2 I_3 \\
I_{1i} = I_{i1} &= \frac{I_i - I_1}{a^2 - \rho_i^2} \quad \forall i \in \{2, 3\} \\
I_{ij} &= \frac{1}{4} \left( \frac{1}{c^2} - I_{31} \right) \quad \forall i, j \in \{2, 3\} \\
I_{11} &= \frac{1}{3} \left( \frac{1}{a^2} - 2 I_{31} \right)
\end{aligned}$$

- if  $a = b > c$  (oblate spheroid)

$$\begin{aligned}
I_1 = I_2 &= c \frac{a^2 \arccos(c/a) - c\sqrt{a^2 - c^2}}{2(a^2 - c^2)^{3/2}} \\
I_3 &= 1 - 2 I_1 \\
I_{3i} = I_{i3} &= \frac{I_3 - I_i}{\rho_i^2 - c^2} \quad \forall i \in \{1, 2\} \\
I_{ij} &= \frac{1}{4} \left( \frac{1}{a^2} - I_{31} \right) \quad \forall i, j \in \{1, 2\} \\
I_{33} &= \frac{1}{3} \left( \frac{1}{c^2} - 2 I_{31} \right)
\end{aligned}$$

- if  $a = b = c$  (sphere)

$$I_1 = I_2 = I_3 = \frac{1}{3}$$

$$I_{ij} = \frac{1}{5a^2} \quad \forall i, j \in \{1, 2, 3\}$$

In this last case of spherical inclusion ( $A = 1$ ),  $\mathbb{U}$  and  $\mathbb{V}$  are simply decomposed as

$$\mathbb{U} = \frac{1}{3}\mathbb{J} + \frac{2}{15}\mathbb{K} \quad \text{and} \quad \mathbb{V} = \frac{1}{3}\mathbb{I}$$

### A.3 Case of cracks

The case of cracks corresponds to ellipsoids for which the smallest radius is very small compared to the two others, in other words the characteristic tensor  $A$  Equation A.1 can be written here

$$A = \underline{\ell} \otimes \underline{\ell} + \eta \underline{m} \otimes \underline{m} + \omega \underline{n} \otimes \underline{n} \quad \text{with} \quad \eta = \frac{b}{a} \quad \text{and} \quad \omega = \frac{c}{a}$$

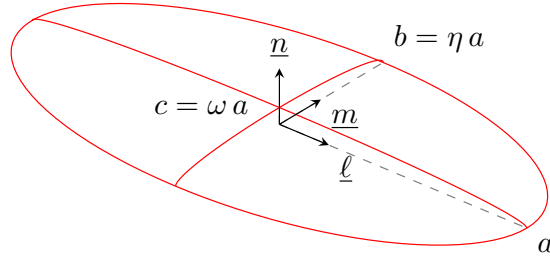


Figure A.1: Ellipsoidal crack

In the case of cracks, it is useful to introduce the second Hill polarization tensor defined as

$$\mathbb{Q} = \mathbb{C} - \mathbb{C} : \mathbb{P} : \mathbb{C}$$

and in particular  $\lim_{\omega \rightarrow 0} \omega \mathbb{Q}^{-1}$  in which it is recalled that  $\mathbb{P}$  and thus  $\mathbb{Q}$  depend on  $\omega$  such that the components  $Q_{nijk}$  (with  $n$  corresponding to the crack normal) behave as  $1/\omega$  when  $\omega$  tends towards 0. The analytical expressions of this limit are fully detailed in (J.-F. Barthélémy, Sevostianov, and Giraud 2021) which recalls in particular that  $\mathbb{L}$  actually derives from a symmetric second-order tensor  $B$  as

$$\mathbb{L} = \lim_{\omega \rightarrow 0} \omega \mathbb{Q}^{-1} = \frac{3}{4} \underline{n}^s \otimes B \otimes \underline{n}^s \quad (\text{A.4})$$

For an arbitrarily anisotropic matrix, an algorithm allowing to estimate the limit Equation A.4 is proposed in (J.-F. Barthélémy 2009) whereas in the isotropic case  $B$  writes

$$B = B_{nn} \underline{n} \otimes \underline{n} + B_{mm} \underline{m} \otimes \underline{m} + B_{\ell\ell} \underline{\ell} \otimes \underline{\ell}$$

with

$$B_{nn} = \frac{8\eta(1-\nu^2)}{3E} \frac{1}{\mathcal{E}_\eta}$$

$$B_{mm} = \frac{8\eta(1-\nu^2)}{3E} \frac{1-\eta^2}{(1-(1-\nu)\eta^2)\mathcal{E}_\eta - \nu\eta^2\mathcal{K}_\eta}$$

$$B_{\ell\ell} = \frac{8\eta(1-\nu^2)}{3E} \frac{1-\eta^2}{(1-\nu-\eta^2)\mathcal{E}_\eta + \nu\eta^2\mathcal{K}_\eta}$$

where  $\mathcal{K}_\eta = \mathcal{K}(\sqrt{1-\eta^2})$  and  $\mathcal{E}_\eta = \mathcal{E}(\sqrt{1-\eta^2})$  are the complete elliptic integrals of respectively the first and second kind (see ([Abramowitz and Stegun 1972](#))). If the crack is circular, the components of B become

$$B_{nn} = \frac{16(1-\nu^2)}{3\pi E} \quad ; \quad B_{mm} = B_{\ell\ell} = \frac{B_{nn}}{1-\nu/2}$$

## A.4 Application of Hill calculation

```
import numpy as np
from echoes import *
import matplotlib.pyplot as plt
```

RuntimeError: FATAL: module compiled as little endian, but detected different endianness at runtime

### A.4.1 Definition of the matrix tensor

```
C = stiff_Enu(1.,0.2) ; print(C)
```

```
Order 4 ISO tensor | Param(size=2)=[ 1.66667 0.833333 ] | Angles(size=0)=[ ]
[ 1.11111 0.277778 0.277778 0 0 0
  0.277778 1.11111 0.277778 0 0 0
  0.277778 0.277778 1.11111 0 0 0
  0 0 0 0.833333 0 0
  0 0 0 0 0.833333 0
  0 0 0 0 0 0.833333 ]
```

#### A.4.2 Calculation of the crack compliance $\mathbb{L} = \lim_{\omega \rightarrow 0} \omega \mathbb{Q}^{-1}$

Note that in *Echoes* it is necessary to provide an aspect ratio  $\omega$  for the crack even if the crack compliance is actually calculated as a limit (not depending on  $\omega$ )

```
ω = 1.e-4
L = crack_compliance(spheroidal(ω), C) ; print(L)
```

```
[[0.      0.      0.      0.      0.      0.      ]
 [0.      0.      0.      0.      0.      0.      ]
 [0.      0.      1.22230996 0.      0.      0.      ]
 [0.      0.      0.      0.67906109 0.      0.      ]
 [0.      0.      0.      0.      0.67906109 0.      ]
 [0.      0.      0.      0.      0.      0.      ]]
```

#### A.4.3 Checking the aspect ratio for which $\omega \mathbb{Q}^{-1} \approx \lim_{\omega \rightarrow 0} \omega \mathbb{Q}^{-1}$ is acceptable

```
tw = np.logspace(-5,1,20)
tabδ = []
for ω in tw:
    Q = hill_dual(spheroidal(ω), C)
    Lω = ω*np.linalg.inv(Q)
    δL = np.linalg.norm(Lω-L)/np.linalg.norm(L)
    tabδ.append(δL)
plt.figure(figsize=(8,3))
plt.loglog(tw,tabδ,'+-')
plt.xlabel(r"$\omega$")
plt.ylabel(r"$\frac{||\mathbb{L}-\omega\mathbb{Q}^{-1}||}{||\mathbb{L}||}$")
plt.grid(True,which='both')
plt.show()
```

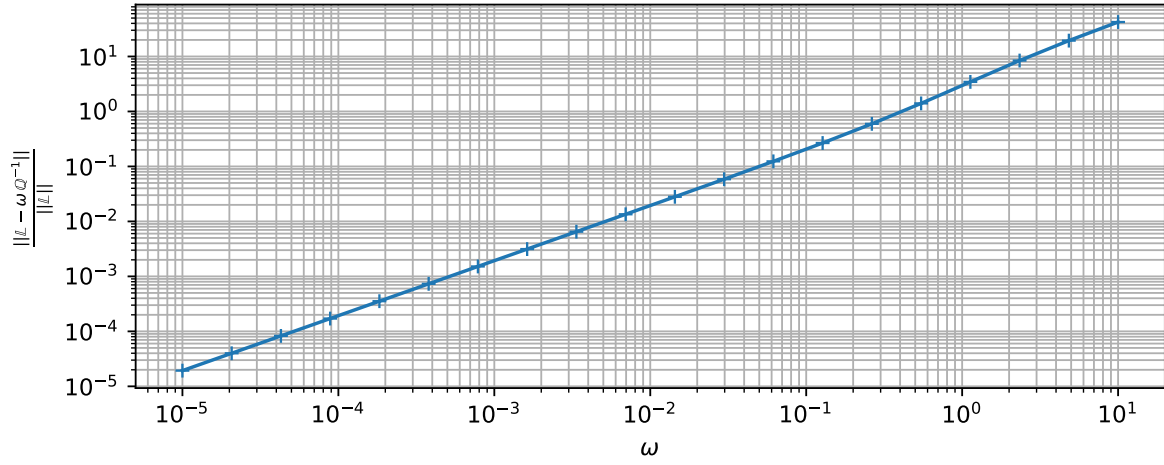


Figure A.2: Influence of the aspect ratio on the contribution tensor