

# Echoes

**Extended Calculator of HOmogEnization Schemes**

Jean-François Barthélémy

1/11/23

# Table of contents

|   |           |
|---|-----------|
| <b>Welcome</b>  | <b>4</b>  |
| Download . . . . .  | 4         |
| Citation . . . . .  | 5         |
| <b>Introduction</b>                                       | <b>6</b>  |
| <br>  |           |
| <b>I   Linear elasticity</b>                              | <b>7</b>  |
| <br>  |           |
| <b>1   Basic problem of elasticity homogenization</b>     | <b>8</b>  |
| 1.1 System of equations . . . . .                         | 8         |
| 1.2 Macroscopic stiffness or compliance tensors . . . . . | 9         |
| <br>  |           |
| <b>2   Eshelby problem in elasticity</b>                  | <b>11</b> |
| <br>  |           |
| <b>3   Cracks</b>   | <b>12</b> |
| <br>  |           |
| <b>4   Morphologically representative patterns</b>        | <b>13</b> |
| <br>  |           |
| <b>5   Homogenization schemes</b>                         | <b>14</b> |
| <br>  |           |
| <b>II   Conductivity</b>                                  | <b>15</b> |
| <br>  |           |
| <b>6   Basic problem</b>                                  | <b>16</b> |
| <br>  |           |
| <b>7   Eshelby problem</b>                                | <b>17</b> |
| <br>  |           |
| <b>8   Cracks</b>   | <b>18</b> |
| <br>  |           |
| <b>9   Morphologically representative patterns</b>        | <b>19</b> |
| <br>  |           |
| <b>10 Homogenization schemes</b>                          | <b>20</b> |
| <br>  |           |
| <b>III Nonlinear homogenization</b>                       | <b>21</b> |
| <br>  |           |
| <b>11 Second order moments</b>                            | <b>22</b> |

|           |   |           |
|-----------|---|-----------|
| 12        | Differentiation of concentration tensors  | 23        |
| 13        | Homogenization schemes  | 24        |
| <b>IV</b> | <b>Viscoelasticity in frequency domain</b>  | <b>25</b> |
| 14        | Basic problem   | 26        |
| 15        | Homogenization schemes  | 27        |
| <b>V</b>  | <b>Viscoelasticity in time domain</b>   | <b>28</b> |
| 16        | Basic problem   | 29        |
| 17        | Homogenization schemes  | 30        |
| <b>VI</b> | <b>Examples of implementation</b>   | <b>31</b> |
| 18        | Concrete strength   | 32        |
|           | References  | 33        |
|           | Appendices  | 34        |
| <b>A</b>  | <b>Tensor algebra</b>   | <b>35</b> |
| A.1       | Conventions of tensor algebra . . . . .   | 35        |
| A.2       | Kelvin-Mandel notation . . . . .  | 37        |
| A.3       | Rotation of tensors . . . . .   | 38        |
| A.4       | Fourth-order isotropic tensors . . . . .  | 40        |
| A.5       | Fourth-order transversely isotropic tensors and Walpole basis . . . . .   | 41        |
| <b>B</b>  | <b>Hill polarization tensor in elasticity</b>   | <b>42</b> |
| B.1       | General expression . . . . .  | 42        |
| B.2       | Isotropic matrix . . . . .  | 42        |
| B.3       | Case of cracks . . . . .  | 45        |
| B.4       | Application of Hill calculation . . . . .   | 46        |
| B.4.1     | Definition of the matrix tensor . . . . .   | 46        |
| B.4.2     | Calculation of the crack compliance $\mathbb{L} = \lim_{\omega \rightarrow 0} \omega \mathbb{Q}^{-1}$ . . . . .                                 | 46        |
| B.4.3     | Checking the aspect ratio for which $\omega \mathbb{Q}^{-1} \approx \lim_{\omega \rightarrow 0} \omega \mathbb{Q}^{-1}$ is acceptable . . . . . | 47        |
| <b>C</b>  | <b>Hill polarization tensor in conductivity</b>   | <b>48</b> |

# Welcome



The library **Echoes** allows to implement various homogenization schemes involving different types of heterogeneities in the framework of elasticity, conductivity, viscoelasticity as well as tools to properly calculate the derivatives of macroscopic stiffness with respect to lower scale moduli (fundamental tool of the modified secant method in nonlinear homogenization).

This manual aims at recalling some fundamental aspects of the theory of homogenization of random media along with a presentation of the main features of the library **Echoes** as well as code examples.

## Download

The core of **Echoes** has been developed in C++ and wrapped by a Python interface. Hence its use requires first the installation of a Python environment with `pip` executable (for instance [Anaconda](#)).

Wheel packages can be downloaded for Python 3.7, 3.8 and 3.9 under Windows or Linux by choosing the appropriate file for your configuration under the link

<https://doi.org/10.5281/zenodo.7348759>

Once in possession of the relevant `.whl` file, the package can be installed in a console (Anaconda console or any console allowing to run `pip`) by

```
pip install -U echoes-XYZ.whl # replacing echoes-XYZ.whl by the correct path to the whl file
```

## Citation

If you use **Echoes**, please cite it as ([Barthélémy, Jean-François, 2022](#))

or in bibtex style

```
@misc{Echoes,  
  author = {Barthélémy, Jean-François},  
  title  = {Echoes library (Extended Calculator of H0mogEnization Schemes)},  
  url    = {https://jfbarthelemy.github.io/echoes/},  
  doi    = {10.5281/zenodo.7348759}  
  version = {v1.0.0},  
  year   = {2022},  
  month  = {11}  
}
```

# Introduction

This book does not provide an exhaustive presentation of the theory of random medium homogenization (see ([Milton, 2002](#)), ([Torquato, 2002](#)) or ([Kachanov and Sevostianov, 2018](#)) among others) but it is rather intended to recall some of the basic notations and results related to the implementation of the **Echoes** library.

In this manual, some snippets of Python codes calling **Echoes** library are presented. Except when it is necessary to show the imported libraries, the following starting lines will be implicit

```
import numpy as np
from echoes import *
import matplotlib.pyplot as plt
```

**Part I**

**Linear elasticity**

# 1 Basic problem of elasticity homogenization

## 1.1 System of equations

Consider a representative volume element (RVE)  $\Omega$  composed of a heterogeneous material. Neglecting body forces in a problem posed at the scale of a RVE is consistent with the fact that the order of magnitude of mechanical effects induced by body forces is in general much lower than that of the macroscopic strain  $\mathbf{E}$  or stress  $\Sigma$  effects accounting for interactions with particles surrounding the RVE (see (Dormieux et al., 2006)). The hypothesis of quasi-static equilibrium is also invoked here to write the balance law involving the Cauchy stress field  $\sigma$

$$\text{div } \sigma = \underline{0} \quad (\Omega) \quad (1.1)$$

In the sequel, the small perturbation hypothesis is adopted so that the strain field  $\varepsilon$  derives from the displacement one  $\underline{u}$  as the symmetrical part of its gradient

$$\varepsilon = \frac{\text{grad } \underline{u} + {}^t \text{grad } \underline{u}}{2} \quad (\Omega) \quad (1.2)$$

In the framework of random media homogenization, two types of conditions applied at the boundary  $\partial\Omega$  of a RVE  $\Omega$  are usually considered:

- *homogeneous strain boundary conditions* corresponding to prescribed displacements  $\underline{u}^g$  at  $\partial\Omega$

$$\underline{u}^g = \mathbf{E} \cdot \underline{x} \quad (\partial\Omega) \quad (1.3)$$

It is noticeable that in this case the divergence theorem implies the following relationship between the microscopic and macroscopic strain tensors

$$\langle \varepsilon \rangle_\Omega = \frac{1}{|\Omega|} \int_\Omega \varepsilon \, d\Omega = \frac{1}{|\Omega|} \int_{\partial\Omega} \underline{u} \otimes \underline{n} \, dS = \mathbf{E} \quad (1.4)$$

where the spatial average over a domain  $\omega$  is denoted by  $\langle \bullet \rangle_\omega$  and  $\underline{n}$  is the unit outward normal at the boundary. The macroscopic stress tensor is then simply defined as the average

$$\Sigma = \langle \sigma \rangle_\Omega = \frac{1}{|\Omega|} \int_\Omega \sigma \, d\Omega \quad (1.5)$$



- *homogeneous stress boundary conditions* corresponding to prescribed surface tractions  $\underline{T}^g$  at  $\partial\Omega$

$$\underline{T}^g = \underline{\Sigma} \cdot \underline{n} \quad (\partial\Omega) \quad (1.6)$$

Now owing to the remarkable identity  $(x_i \sigma_{jk})_{,k} = \sigma_{ij}$  resulting from (1.1) and the symmetry of  $\underline{\sigma}$ , the relationship between the microscopic and macroscopic stress is ensured by the divergence theorem

$$\langle \underline{\sigma} \rangle_{\Omega} = \frac{1}{|\Omega|} \int_{\Omega} \underline{\sigma} \, d\Omega = \frac{1}{|\Omega|} \int_{\partial\Omega} \underline{x} \otimes (\underline{\sigma} \cdot \underline{n}) \, dS = \underline{\Sigma} \quad (1.7)$$

The macroscopic stress tensor is then simply defined as the average

$$\underline{\mathbf{E}} = \langle \underline{\varepsilon} \rangle_{\Omega} = \frac{1}{|\Omega|} \int_{\Omega} \underline{\varepsilon} \, d\Omega \quad (1.8)$$

### **i** Hill lemma

Note that whatever the choice of boundary conditions between (1.3) and (1.6), the consistency between the microscopic and macroscopic works is ensured by

$$\langle \underline{\sigma} : \underline{\varepsilon} \rangle_{\Omega} = \frac{1}{|\Omega|} \int_{\Omega} \underline{\sigma} : \underline{\varepsilon} \, d\Omega = \frac{1}{|\Omega|} \int_{\partial\Omega} \underline{u} \cdot \underline{\sigma} \cdot \underline{n} \, dS = \underline{\Sigma} : \underline{\mathbf{E}} \quad (1.9)$$

which results from the application of the divergence theorem to  $(u_i \sigma_{ij})_{,k} = u_{i,j} \sigma_{ij} = \varepsilon_{ij} \sigma_{ij}$ .

The set of equations defining the problem posed on the RVE is finally completed by the local constitutive law relating the strain and stress fields. The hypothesis of linear elasticity is adopted in this part so that

$$\underline{\sigma} = \mathbb{c} : \underline{\varepsilon} \quad (\Omega) \quad (1.10)$$

where  $\mathbb{c}(\underline{x})$  denotes the heterogeneous (positive definite fourth-order) stiffness tensor field satisfying the conditions of minor ( $c_{jikl} = c_{ijlk} = c_{ijkl}$ ) and major ( $c_{kl ij} = c_{ij kl}$ ) symmetries. The compliance tensor field is introduced as the inverse  $\mathbb{s} = \mathbb{c}^{-1}$  in the sense of fourth-order tensors operating over symmetrical second-order tensors.

In short, the system of equations posed on the RVE is given by (1.1), (1.2), (1.3) or (1.6) and (1.10).

## 1.2 Macroscopic stiffness or compliance tensors

Whatever the boundary condition of homogeneous strain or stress type (1.3) or (1.6), the linearity of the problem allows to invoke the existence of concentration tensors relating the

microscopic strain  $\boldsymbol{\varepsilon}$  and stress  $\boldsymbol{\sigma}$  fields to the macroscopic strain  $\mathbf{E}$  or stress  $\boldsymbol{\Sigma}$  tensors

$$\begin{aligned}
\boldsymbol{\varepsilon} &= \mathbb{A}_E : \mathbf{E} \\
\boldsymbol{\sigma} &= \mathbb{B}_E : \mathbf{E} \quad \text{with} \quad \mathbb{B}_E = \mathbb{C} : \mathbb{A}_E \\
\boldsymbol{\sigma} &= \mathbb{B}_\Sigma : \boldsymbol{\Sigma} \\
\boldsymbol{\varepsilon} &= \mathbb{A}_\Sigma : \boldsymbol{\Sigma} \quad \text{with} \quad \mathbb{A}_\Sigma = \mathbb{s} : \mathbb{B}_\Sigma
\end{aligned} \tag{1.11}$$

## **2 Eshelby problem in elasticity**

## **3 Cracks**

## **4 Morphologically representative patterns**

## **5 Homogenization schemes**

## **Part II**

# **Conductivity**

## 6 Basic problem



## 7 Eshelby problem

## 8 Cracks

## **9 Morphologically representative patterns**

## **10 Homogenization schemes**

## **Part III**

# **Nonlinear homogenization**

## 11 Second order moments

## **12 Differentiation of concentration tensors**

## **13 Homogenization schemes**



## **Part IV**

# **Viscoelasticity in frequency domain**

## 14 Basic problem

## **15 Homogenization schemes**

## **Part V**

# **Viscoelasticity in time domain**

## 16 Basic problem

## **17 Homogenization schemes**

## **Part VI**

# **Examples of implementation**

## **18 Concrete strength**



# References

- Abramowitz, M., Stegun, I.A., 1972. Handbook of Mathematical Functions. National Bureau of Standards - Applied Mathematics Series - 55, Washington D.C.
- Barthélémy, Jean-François, 2022. Echoes: Extended Calculator of HOmogEnization Schemes. <https://doi.org/10.5281/ZENODO.7348759>
- Barthélémy, J.-F., 2020. Simplified approach to the derivation of the relationship between Hill polarization tensors of transformed problems and applications. International Journal of Engineering Science 154, 103326. <https://doi.org/10.1016/j.ijengsci.2020.103326>
- Barthélémy, J.-F., 2009. Compliance and Hill polarization tensor of a crack in an anisotropic matrix. International Journal of Solids and Structures 46, 4064–4072. <https://doi.org/10.1016/j.ijsolstr.2009.08.003>
- Barthélémy, J.-F., Sevostianov, I., Giraud, A., 2021. Micromechanical modeling of a cracked elliptically orthotropic medium. International Journal of Engineering Science 161, 103454. <https://doi.org/10.1016/j.ijengsci.2021.103454>
- Bornert, M., Bretheau, T., Gilormini, P., 2001. Homogénéisation en mécanique des matériaux. Hermes science.
- Brisard, S., 2014. Tensor algebra section in Sébastien Brisard's blog.
- Dormieux, L., Kondo, D., Ulm, F.-J., 2006. Microporomechanics. John Wiley & Sons, Chichester, West Sussex, England ; Hoboken, NJ. <https://doi.org/10.1002/0470032006>
- Eshelby, J.D., 1957. The determination of the elastic field of an ellipsoidal inclusion, and related problems. Proceedings of the Royal Society of London. Series A. Mathematical and Physical Sciences 241, 376–396. <https://doi.org/10.1098/rspa.1957.0133>
- Gavazzi, A.C., Lagoudas, D.C., 1990. On the numerical evaluation of Eshelby's tensor and its application to elastoplastic fibrous composites. Computational Mechanics 7, 13–19. <https://doi.org/10.1007/BF00370053>
- Ghahremani, F., 1977. Numerical evaluation of the stresses and strains in ellipsoidal inclusions in an anisotropic elastic material. Mechanics Research Communications 4, 89–91. [https://doi.org/10.1016/0093-6413\(77\)90018-0](https://doi.org/10.1016/0093-6413(77)90018-0)
- Kachanov, M., Sevostianov, I., 2018. Micromechanics of Materials, with Applications, Solid Mechanics and Its Applications. Springer International Publishing, Cham. <https://doi.org/10.1007/978-3-319-76204-3>
- Kellogg, O.D., 1929. Potential theory. Berlin : Springer-Verlag.
- Masson, R., 2008. New explicit expressions of the Hill polarization tensor for general anisotropic elastic solids. International Journal of Solids and Structures 45, 757–769. <https://doi.org/10.1016/j.ijsolstr.2007.08.035>
- Milton, G.W., 2002. The Theory of Composites, Cambridge Monographs on Applied and

- Computational Mathematics. Cambridge University Press, Cambridge. <https://doi.org/10.1017/CBO9780511613357>
- Morin, L., Gilormini, P., Derrien, K., 2020. Generalized Euclidean Distances for Elasticity Tensors. *Journal of Elasticity* 138, 221–232. <https://doi.org/10.1007/s10659-019-09741-z>
- Mura, T., 1987. *Micromechanics of Defects in Solids*, Second Edition. Kluwer Academic. <https://doi.org/10.1002/zamm.19890690204>
- Torquato, S., 2002. *Random Heterogeneous Materials, Interdisciplinary Applied Mathematics*. Springer New York, New York, NY. <https://doi.org/10.1007/978-1-4757-6355-3>
- Walpole, L.J., 1984. Fourth-rank tensors of the thirty-two crystal classes: Multiplication tables. *Proceedings of the Royal Society of London. A. Mathematical and Physical Sciences* 391, 149–179. <https://doi.org/10.1098/rspa.1984.0008>
- Willis, J.R., 1977. Bounds and self-consistent estimates for the overall properties of anisotropic composites. *Journal of the Mechanics and Physics of Solids* 25, 185–202. [https://doi.org/10.1016/0022-5096\(77\)90022-9](https://doi.org/10.1016/0022-5096(77)90022-9)
- Withers, P.J., 1989. The determination of the elastic field of an ellipsoidal inclusion in a transversely isotropic medium, and its relevance to composite materials. *Philosophical Magazine A* 59, 759–781. <https://doi.org/10.1080/01418618908209819>

# A Tensor algebra

## A.1 Conventions of tensor algebra

This appendix presents some conventions regarding tensor algebra in the usual three-dimensional euclidean space  $E = \mathbb{R}^3$ . In the sequel, tensor components are associated to an orthonormal frame  $(\underline{e}_i)_{i=1,2,3}$  so that introducing the notion of tensor variance is useless here. The following presentation relies on the prior knowledge of the definition of tensors as multilinear operators and the classical isomorphism between the euclidean space and its dual through the scalar product

$$\begin{aligned}\phi : E &\longrightarrow E^* \\ \underline{v} &\longmapsto \underline{v} \cdot \bullet\end{aligned}\tag{A.1}$$

which allows to identify vectors and linear forms.

Consider two tensors  $\mathcal{T}$  and  $\mathcal{T}'$  of respective orders  $p$  and  $q$ . The tensor product  $\mathcal{T} \otimes \mathcal{T}'$  is the  $(p+q)$  order tensor decomposed as

$$\mathcal{T} \otimes \mathcal{T}' = \mathcal{T}_{i_1, \dots, i_p} \mathcal{T}'_{i_{p+1}, \dots, i_{p+q}} \underline{e}_{i_1} \otimes \dots \otimes \underline{e}_{i_{p+q}}\tag{A.2}$$

where Einstein convention of implicit summation over repeated indices is adopted and  $\underline{e}_{i_1} \otimes \dots \otimes \underline{e}_{i_{p+q}}$  is the multilinear form such that<sup>1</sup>

$$(\underline{e}_{i_1} \otimes \dots \otimes \underline{e}_{i_{p+q}})(\underline{e}_{j_1}, \dots, \underline{e}_{j_{p+q}}) = \delta_{i_1, j_1} \dots \delta_{i_{p+q}, j_{p+q}}\tag{A.3}$$

The notation  $\mathcal{T} \overset{s}{\otimes} \mathcal{T}'$  indicates a tensor product followed by a symmetrization over the last index of  $\mathcal{T}$  and the first of  $\mathcal{T}'$ , i.e.

$$\mathcal{T} \overset{s}{\otimes} \mathcal{T}' = \frac{\mathcal{T}_{i_1, \dots, i_p} \mathcal{T}'_{i_{p+1}, \dots, i_{p+q}} + \mathcal{T}_{i_1, \dots, i_{p+1}} \mathcal{T}'_{i_p, \dots, i_{p+q}}}{2} \underline{e}_{i_1} \otimes \dots \otimes \underline{e}_{i_{p+q}}\tag{A.4}$$

It follows that

$$\underline{u} \overset{s}{\otimes} \underline{v} = \frac{\underline{u} \otimes \underline{v} + \underline{v} \otimes \underline{u}}{2}\tag{A.5}$$

and an example of generalization involving a second-order tensor  $\mathbf{a}$  and vectors  $\underline{u}$  and  $\underline{v}$

$$\underline{u} \overset{s}{\otimes} \mathbf{a} \overset{s}{\otimes} \underline{v} = \frac{u_i a_{jk} v_l + u_i a_{jl} v_k + u_j a_{ik} v_l + u_j a_{il} v_k}{4} \underline{e}_i \otimes \underline{e}_j \otimes \underline{e}_k \otimes \underline{e}_l\tag{A.6}$$

---

<sup>1</sup> $\delta_{ij} = 1$  if  $i = j$  and 0 if  $i \neq j$  (Kronecker symbol)

The simple dot product or contracted product between  $\mathcal{T}$  and  $\mathcal{T}'$  involves by convention a contraction between the last index of  $\mathcal{T}$  and the first of  $\mathcal{T}'$ , which leads to the  $(p+q-2)$  order tensor

$$\mathcal{T} \cdot \mathcal{T}' = \mathcal{T}_{i_1, \dots, i_{p-1}, \mathbf{k}} \mathcal{T}'_{\mathbf{k}, i_p, \dots, i_{p+q-2}} \underline{e}_{i_1} \otimes \dots \otimes \underline{e}_{i_{p+q-2}} \quad (\text{A.7})$$

As regards the double dot product, the classical convention consists in consuming the indices going up from the extremities, which means that a first contraction acts as in the simple dot product then a second contraction is performed between the penultimate index of  $\mathcal{T}$  and the second one of  $\mathcal{T}'$ . However an alternate convention adopted here is proposed in (Brisard, 2014)<sup>2</sup>, which somehow consists in considering that the double contraction operates over the two last indices of  $\mathcal{T}$  as a pair and the two first indices of  $\mathcal{T}'$  as the corresponding pair. In other words, this operation is such that if  $\mathbf{a}$  and  $\mathbf{b}$  are two second-order tensors and  $\mathbb{T}$  is a fourth-order tensor

$$\mathbf{a} : \mathbf{b} = a_{ij} b_{ij} \quad \text{and} \quad \mathbb{T} : \mathbf{a} = T_{ijkl} a_{kl} \underline{e}_i \otimes \underline{e}_j \quad (\text{A.8})$$

and the transpose tensor  ${}^t\mathbb{T}$  is consistently defined by

$${}^t\mathbb{T} : \mathbf{a} = \mathbf{a} : \mathbb{T} \quad \Leftrightarrow \quad ({}^t\mathbb{T})_{ijkl} = (\mathbb{T})_{klij} \quad (\text{A.9})$$

The quadruple dot product is introduced as a scalar product between fourth-order tensors as

$$\mathbb{T} : \mathbb{T}' = T_{ijkl} T'_{ijkl} \quad (\text{A.10})$$

Another useful operator introduced in (Brisard, 2014)<sup>3</sup> is the modified tensor product denoted by  $\boxtimes$ . The fourth-order tensor  $\mathbf{a} \boxtimes \mathbf{b}$  (where  $\mathbf{a}$  and  $\mathbf{b}$  are two second-order tensors) is defined by its operation over any second-order tensor  $\mathbf{p}$  and by its components

$$\begin{aligned} (\mathbf{a} \boxtimes \mathbf{b}) : \mathbf{p} &= \mathbf{a} \cdot \mathbf{p} \cdot {}^t\mathbf{b} = a_{ik} p_{kl} b_{jl} \underline{e}_i \otimes \underline{e}_j \\ (\mathbf{a} \boxtimes \mathbf{b})_{ijkl} &= a_{ik} b_{jl} \end{aligned} \quad (\text{A.11})$$

A symmetrized version of  $\boxtimes$  denoted by  $\overset{s}{\boxtimes}$  can also be introduced. It operates as

$$\begin{aligned} (\mathbf{a} \overset{s}{\boxtimes} \mathbf{b}) : \mathbf{p} &= (\mathbf{a} \boxtimes \mathbf{b}) : \left( \frac{\mathbf{p} + {}^t\mathbf{p}}{2} \right) = \mathbf{a} \cdot \left( \frac{\mathbf{p} + {}^t\mathbf{p}}{2} \right) \cdot {}^t\mathbf{b} \\ (\mathbf{a} \overset{s}{\boxtimes} \mathbf{b})_{ijkl} &= \frac{a_{ik} b_{jl} + a_{il} b_{jk}}{2} \end{aligned} \quad (\text{A.12})$$

It follows from these definitions that the fourth-order identity, as an operator over second-order tensors, writes  $\mathbb{1} = \mathbf{1} \boxtimes \mathbf{1}$  where  $\mathbf{1}$  is the second-order identity. The fourth-order operator

<sup>2</sup>see [https://sbrisard.github.io/posts/20140219-on\\_the\\_double\\_dot\\_product.html](https://sbrisard.github.io/posts/20140219-on_the_double_dot_product.html)

<sup>3</sup>see [https://sbrisard.github.io/posts/20140226-decomposition\\_of\\_transverse\\_isotropic\\_fourth-rank\\_tensors.html](https://sbrisard.github.io/posts/20140226-decomposition_of_transverse_isotropic_fourth-rank_tensors.html)

allowing to extract the symmetric part of a second-order tensor writes  $\mathbb{I} = \mathbf{1} \boxtimes^s \mathbf{1}$ . The latter tensor, which obviously complies with the conditions of minor symmetries, is classically used to play the role of fourth-order identity operating over symmetric second-order tensors.

Some remarkable relationships result from the previous definitions

$$\begin{aligned}
(\mathbf{a} \boxtimes \mathbf{b}) : (\underline{u} \otimes \underline{v}) &= (\mathbf{a} \cdot \underline{u}) \otimes (\mathbf{b} \cdot \underline{v}) & (a) \\
(\mathbf{a} \boxtimes \mathbf{b}) : (\mathbf{c} \boxtimes \mathbf{d}) &= (\mathbf{a} \cdot \mathbf{c}) \boxtimes (\mathbf{b} \cdot \mathbf{d}) & (b) \\
(\mathbf{a} \boxtimes^s \mathbf{b}) : (\mathbf{c} \boxtimes^s \mathbf{d}) &= \frac{(\mathbf{a} \cdot \mathbf{c}) \boxtimes^s (\mathbf{b} \cdot \mathbf{d}) + (\mathbf{a} \cdot \mathbf{d}) \boxtimes^s (\mathbf{b} \cdot \mathbf{c})}{2} & (c) \\
(\mathbf{a} \boxtimes^s \mathbf{a}) : (\mathbf{b} \boxtimes^s \mathbf{b}) &= (\mathbf{a} \cdot \mathbf{b}) \boxtimes^s (\mathbf{a} \cdot \mathbf{b}) & (d) \\
{}^t(\mathbf{a} \boxtimes \mathbf{b}) &= {}^t\mathbf{a} \boxtimes {}^t\mathbf{b} & (e) \\
{}^t(\mathbf{a} \boxtimes^s \mathbf{a}) &= {}^t\mathbf{a} \boxtimes^s {}^t\mathbf{a} \text{ but } {}^t(\mathbf{a} \boxtimes \mathbf{b}) \neq {}^t\mathbf{a} \boxtimes {}^t\mathbf{b} & (f) \\
(\mathbf{a} \boxtimes \mathbf{b})^{-1} &= \mathbf{a}^{-1} \boxtimes \mathbf{b}^{-1} & (g) \\
(\mathbf{a} \boxtimes^s \mathbf{a})^{-1} : \mathbf{p} &= (\mathbf{a}^{-1} \boxtimes^s \mathbf{a}^{-1}) : \mathbf{p} \text{ if } {}^t\mathbf{p} = \mathbf{p} \text{ but } (\mathbf{a} \boxtimes \mathbf{b})^{-1} \neq \mathbf{a}^{-1} \boxtimes \mathbf{b}^{-1} & (h)
\end{aligned} \tag{A.13}$$

## A.2 Kelvin-Mandel notation

The Kelvin-Mandel notation allows to write the matrix of a symmetric second-order tensor in a given orthonormal frame  $(\underline{e}_i)_{i=1,2,3}$  under the form of a vector of  $\mathbb{R}^6$

$$\text{Mat}(\boldsymbol{\varepsilon}, (\underline{e}_i)) = \begin{pmatrix} \varepsilon_{11} & \varepsilon_{12} & \varepsilon_{31} \\ \varepsilon_{12} & \varepsilon_{22} & \varepsilon_{23} \\ \varepsilon_{31} & \varepsilon_{23} & \varepsilon_{33} \end{pmatrix} \mapsto \begin{pmatrix} \varepsilon_{11} \\ \varepsilon_{22} \\ \varepsilon_{33} \\ \sqrt{2} \varepsilon_{23} \\ \sqrt{2} \varepsilon_{31} \\ \sqrt{2} \varepsilon_{12} \end{pmatrix} \tag{A.14}$$

The vector of  $\mathbb{R}^6$  in (A.14) corresponds to the components of the second-order tensor  $\boldsymbol{\varepsilon}$  in the basis ordered as

$$\mathcal{B} = (\underline{e}_1 \otimes \underline{e}_1, \underline{e}_2 \otimes \underline{e}_2, \underline{e}_3 \otimes \underline{e}_3, \sqrt{2} \underline{e}_2 \otimes \underline{e}_3, \sqrt{2} \underline{e}_3 \otimes \underline{e}_1, \sqrt{2} \underline{e}_1 \otimes \underline{e}_2) \tag{A.15}$$

The tensors of the basis (A.15) form an orthonormal frame spanning the space of symmetric second-order tensors equipped with the double contraction “:” as scalar product. It follows that the double contraction between symmetric second-order tensors is no other than the classical scalar product of the corresponding vectors of  $\mathbb{R}^6$  written according to the convention (A.14).

Moreover a fourth-order tensor with minor symmetries ( $C_{jikl} = C_{ijlk} = C_{ijkl}$ ), which can be seen as a linear operator acting over symmetric second-order tensors by double contraction, writes

in the same convention under the form of a  $6 \times 6$  square matrix (the solid lines separate blocks affected by different factors whereas the colored components highlight a central block playing a major role in the sequel)

$$\text{Mat}(\mathbb{C}, \mathcal{B}) = \left( \begin{array}{ccc|ccc} C_{1111} & C_{1122} & C_{1133} & \sqrt{2} C_{1123} & \sqrt{2} C_{1131} & \sqrt{2} C_{1112} \\ C_{2211} & C_{2222} & C_{2233} & \sqrt{2} C_{2223} & \sqrt{2} C_{2231} & \sqrt{2} C_{2212} \\ C_{3311} & C_{3322} & \textcolor{red}{C}_{3333} & \textcolor{red}{\sqrt{2} C}_{3323} & \textcolor{red}{\sqrt{2} C}_{3331} & \sqrt{2} C_{3312} \\ \hline \sqrt{2} C_{2311} & \sqrt{2} C_{2322} & \textcolor{red}{\sqrt{2} C}_{2333} & \textcolor{red}{2 C}_{2323} & \textcolor{red}{2 C}_{2331} & 2 C_{2312} \\ \sqrt{2} C_{3111} & \sqrt{2} C_{3122} & \textcolor{red}{\sqrt{2} C}_{3133} & \textcolor{red}{2 C}_{3123} & \textcolor{red}{2 C}_{3131} & 2 C_{3112} \\ \hline \sqrt{2} C_{1211} & \sqrt{2} C_{1222} & \sqrt{2} C_{1233} & 2 C_{1223} & 2 C_{1231} & 2 C_{1212} \end{array} \right) \quad (\text{A.16})$$

The result of  $\mathbb{C} : \varepsilon$  writes as a classical matrix-vector product of (A.16) by (A.14).

However another way of ordering the tensors of (A.15) which proves useful for the calculation of crack compliance is based on a gathering of one set of three in-plane and another one of three out-of-plane tensors (the latter involving  $\underline{n} = \underline{e}_3$  assumed to be the normal of the crack and the former not)

$$\mathcal{B}^* = \left( \underbrace{\underline{e}_1 \otimes \underline{e}_1, \underline{e}_2 \otimes \underline{e}_2, \sqrt{2} \underline{e}_1 \otimes \underline{e}_2}_{\text{in-plane}}, \underbrace{\underline{e}_3 \otimes \underline{e}_3, \sqrt{2} \underline{e}_2 \otimes \underline{e}_3, \sqrt{2} \underline{e}_3 \otimes \underline{e}_1}_{\text{out-of-plane}} \right) \quad (\text{A.17})$$

such that the matrix of  $\mathbb{C}$  in  $\mathcal{B}^*$  is now obtained by permutations of lines and columns of (A.16) to give

$$\text{Mat}(\mathbb{C}, \mathcal{B}^*) = \left( \begin{array}{ccc|ccc} C_{1111} & C_{1122} & \sqrt{2} C_{1112} & C_{1133} & \sqrt{2} C_{1123} & \sqrt{2} C_{1131} \\ C_{2211} & C_{2222} & \sqrt{2} C_{2212} & C_{2233} & \sqrt{2} C_{2223} & \sqrt{2} C_{2231} \\ \sqrt{2} C_{1211} & \sqrt{2} C_{1222} & 2 C_{1212} & \sqrt{2} C_{1233} & 2 C_{1223} & 2 C_{1231} \\ \hline C_{3311} & C_{3322} & \sqrt{2} C_{3312} & C_{3333} & \sqrt{2} C_{3323} & \sqrt{2} C_{3331} \\ \sqrt{2} C_{2311} & \sqrt{2} C_{2322} & 2 C_{2312} & \sqrt{2} C_{2333} & 2 C_{2323} & 2 C_{2331} \\ \hline \sqrt{2} C_{3111} & \sqrt{2} C_{3122} & 2 C_{3112} & \sqrt{2} C_{3133} & 2 C_{3123} & 2 C_{3131} \end{array} \right) \quad (\text{A.18})$$

One may notice that the bottom right  $3 \times 3$  block of (A.18) exactly corresponds to the colored block in (A.16).

### A.3 Rotation of tensors

Recalling that the set of second-order tensors is isomorphic to the set of endomorphism in an euclidean space, it is natural to define a rotation tensor as an element of the special orthogonal group, i.e. tensors  $\mathbf{R}$  such that  ${}^t\mathbf{R} \cdot \mathbf{R} = \mathbf{1}$  and  $\det \mathbf{R} = 1$ . In  $\mathbb{R}^3$  equipped with an orthonormal frame  $(\underline{e}_i)_{i=1,2,3}$ , these tensors can be defined by three Euler angles (see Fig. A.1)  $0 \leq \theta \leq \pi$ ,  $0 \leq \phi \leq 2\pi$  and  $0 \leq \psi \leq 2\pi$  such that<sup>4</sup>

$$\text{Mat}(\mathbf{R}, (\underline{e}_i)) = \begin{pmatrix} c_\theta c_\psi c_\phi - s_\psi s_\phi & -c_\theta c_\phi s_\psi - c_\psi s_\phi & c_\phi s_\theta \\ c_\theta c_\psi s_\phi + c_\phi s_\psi & -c_\theta s_\psi s_\phi + c_\psi c_\phi & s_\theta s_\phi \\ -c_\psi s_\theta & s_\theta s_\psi & c_\theta \end{pmatrix} \quad (\text{A.19})$$

<sup>4</sup>with the simplifying writing convention  $c_\theta = \cos \theta$ ,  $s_\theta = \sin \theta$ ,  $c_\phi = \cos \phi$ ,  $s_\phi = \sin \phi$ ,  $c_\psi = \cos \psi$ ,  $s_\psi = \sin \psi$

A rotated frame  $(\underline{e}'_i)_{i=1,2,3}$  is obtained by application of the rotation  $\mathbf{R}$  on the vectors  $\underline{e}_i$  (see Fig. A.1)

$$\forall i \in \{1, 2, 3\} \quad \underline{e}'_i = \mathbf{R} \cdot \underline{e}_i \quad (\text{A.20})$$

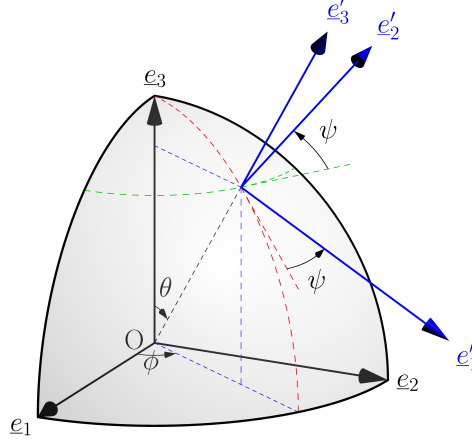


Fig. A.1: Euler angles

The rotation of Euler angles  $(\theta, \phi, \psi)$  applies on a  $p$  order tensor  $\mathcal{T}$  as

$$\mathcal{T} = \mathcal{T}_{i_1, \dots, i_p} \underline{e}_{i_1} \otimes \dots \otimes \underline{e}_{i_p} \xrightarrow{\mathbf{R}} \mathbf{R}(\mathcal{T}) = \mathcal{T}_{i_1, \dots, i_p} (\mathbf{R} \cdot \underline{e}_{i_1}) \otimes \dots \otimes (\mathbf{R} \cdot \underline{e}_{i_p}) \quad (\text{A.21})$$

The application of (A.21) to a second-order tensor  $\mathbf{a}$  therefore gives

$$\mathbf{R}(\mathbf{a}) = \mathbf{R} \cdot \mathbf{a} \cdot {}^t\mathbf{R} \quad (\text{A.22})$$

and to a fourth-order tensor  $\mathbb{T}$

$$\mathbf{R}(\mathbb{T}) = (\mathbf{R} \boxtimes^s \mathbf{R}) : \mathbb{T} : {}^t(\mathbf{R} \boxtimes^s \mathbf{R}) \quad (\text{A.23})$$

where the fourth-order rotation tensor  $\mathbb{R} = \mathbf{R} \boxtimes^s \mathbf{R}$  can be expressed in Kelvin-Mandel notation (A.16) by means of the components of  $\mathbf{R}$  defined in (A.19)

$$\text{Mat}(\mathbb{R}, \mathcal{B}) = \begin{pmatrix} R_{11}^2 & R_{12}^2 & R_{13}^2 & \sqrt{2}R_{12}R_{13} & \sqrt{2}R_{11}R_{13} & \sqrt{2}R_{11}R_{12} \\ R_{21}^2 & R_{22}^2 & R_{23}^2 & \sqrt{2}R_{22}R_{23} & \sqrt{2}R_{21}R_{23} & \sqrt{2}R_{21}R_{22} \\ R_{31}^2 & R_{32}^2 & R_{33}^2 & \sqrt{2}R_{32}R_{33} & \sqrt{2}R_{31}R_{33} & \sqrt{2}R_{31}R_{32} \\ \sqrt{2}R_{21}R_{31} & \sqrt{2}R_{22}R_{32} & \sqrt{2}R_{23}R_{33} & R_{22}R_{33} + R_{23}R_{32} & R_{21}R_{33} + R_{23}R_{31} & R_{21}R_{32} + R_{22}R_{31} \\ \sqrt{2}R_{11}R_{31} & \sqrt{2}R_{12}R_{32} & \sqrt{2}R_{13}R_{33} & R_{12}R_{33} + R_{13}R_{32} & R_{11}R_{33} + R_{13}R_{31} & R_{11}R_{32} + R_{12}R_{31} \\ \sqrt{2}R_{11}R_{21} & \sqrt{2}R_{12}R_{22} & \sqrt{2}R_{13}R_{23} & R_{12}R_{23} + R_{13}R_{22} & R_{11}R_{23} + R_{13}R_{21} & R_{11}R_{22} + R_{12}R_{21} \end{pmatrix} \quad (\text{A.24})$$

It results that (A.23) can be seen as a classical rotation operation involving matrix multiplications in  $\mathbb{R}^6$ .

## A.4 Fourth-order isotropic tensors

This paragraph concerns fourth-order tensors operating over symmetrical second-order tensors, which allows to impose that they satisfy the minor symmetries ( $C_{jikl} = C_{ijlk} = C_{ijkl}$ ). The identity operator is given by

$$\mathbb{I} = \mathbf{1} \boxtimes \mathbf{1} = \frac{\delta_{ik}\delta_{jl} + \delta_{il}\delta_{jk}}{2} \underline{e}_i \otimes \underline{e}_j \otimes \underline{e}_k \otimes \underline{e}_l \quad (\text{A.25})$$

of identity matrix in Kelvin-Mandel convention

$$\text{Mat}(\mathbb{I}, \mathcal{B}) = \left( \begin{array}{ccc|ccc} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{array} \right) \quad (\text{A.26})$$

It is classically proven that any minor-symmetrical fourth-order tensor invariant by rotation (A.23) write as a linear combination on the two projectors  $\mathbb{J}$  and  $\mathbb{K}$  which respectively extract the spherical and deviatoric part of any symmetrical second-order tensor

$$\mathbb{J} : \mathbf{a} = \frac{1}{3} \text{tr} \mathbf{a} \mathbf{1} \quad \text{and} \quad \mathbb{K} : \mathbf{a} = \mathbf{a} - \frac{1}{3} \text{tr} \mathbf{a} \mathbf{1} \quad (\text{A.27})$$

In other words,  $\mathbb{J}$  and  $\mathbb{K}$  are defined by

$$\mathbb{J} = \frac{1}{3} \mathbf{1} \otimes \mathbf{1} \quad \text{and} \quad \mathbb{K} = \mathbb{I} - \mathbb{J} = \mathbf{1} \boxtimes \mathbf{1} - \frac{1}{3} \mathbf{1} \otimes \mathbf{1} \quad (\text{A.28})$$

their components by

$$J_{ijkl} = \frac{1}{3} \delta_{ij} \delta_{ik} \quad \text{and} \quad K_{ijkl} = \frac{\delta_{ik}\delta_{jl} + \delta_{il}\delta_{jk}}{2} - \frac{1}{3} \delta_{ij} \delta_{ik} \quad (\text{A.29})$$

and their matrices in Kelvin-Mandel notation relatively to any orthonormal frame by

$$\text{Mat}(\mathbb{J}, \mathcal{B}) = \left( \begin{array}{ccc|ccc} \frac{1}{3} & \frac{1}{3} & \frac{1}{3} & 0 & 0 & 0 \\ \frac{1}{3} & \frac{1}{3} & \frac{1}{3} & 0 & 0 & 0 \\ \frac{1}{3} & \frac{1}{3} & \frac{1}{3} & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{array} \right) \quad (\text{A.30})$$

$$\text{Mat}(\mathbb{K}, \mathcal{B}) = \left( \begin{array}{ccc|ccc} \frac{2}{3} & \frac{-1}{3} & \frac{-1}{3} & 0 & 0 & 0 \\ \frac{-1}{3} & \frac{2}{3} & \frac{-1}{3} & 0 & 0 & 0 \\ \frac{-1}{3} & \frac{-1}{3} & \frac{2}{3} & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{array} \right) \quad (\text{A.31})$$



The following relationships are easily obtained

$$\mathbb{J} : \mathbb{J} = \mathbb{J} \quad ; \quad \mathbb{K} : \mathbb{K} = \mathbb{K} \quad ; \quad \mathbb{J} : \mathbb{K} = 0 \quad ; \quad \mathbb{J} :: \mathbb{J} = 1 \quad ; \quad \mathbb{K} :: \mathbb{K} = 5 \quad (\text{A.32})$$

The isotropisation of any fourth-order tensor  $\mathbb{T}$  is defined by (Bornert et al., 2001)

$$\text{ISO}(\mathbb{T}) = (\mathbb{T} :: \mathbb{J}) \mathbb{J} + \left( \frac{\mathbb{T} :: \mathbb{K}}{5} \right) \mathbb{K} \quad (\text{A.33})$$

It is easy to show that (A.33) is no other than the closest isotropic tensor to  $\mathbb{T}$  if the distance is chosen as the euclidean one i.e. associated to the scalar product (A.10). Note however that this isotropisation relying on euclidean distance to the set of isotropic tensors does not lead to the same result if  $\mathbb{T}'$  is considered instead of  $\mathbb{T}$ . Other distance definitions such as log-Euclidean distance fullfilling invariance by inversion are analyzed in (Morin et al., 2020).

## A.5 Fourth-order transversely isotropic tensors and Walpole basis

The Walpole basis ((Walpole, 1984), (Brisard, 2014)<sup>5</sup>) allowing to write any fourth-order transversely isotropic relatively to a an axis oriented by the unit vector  $\underline{n}$  is composed of the six following tensors built from  $\mathbf{1}_n = \underline{n} \otimes \underline{n}$  and  $\mathbf{1}_T = \mathbf{1} - \mathbf{1}_n$

$$\begin{aligned} \mathbb{E}_1 &= \mathbf{1}_n \otimes \mathbf{1}_n \quad ; \quad \mathbb{E}_2 = \frac{\mathbf{1}_T \otimes \mathbf{1}_T}{2} \quad ; \quad \mathbb{E}_3 = \frac{\mathbf{1}_n \otimes \mathbf{1}_T}{\sqrt{2}} \quad ; \quad \mathbb{E}_4 = \frac{\mathbf{1}_T \otimes \mathbf{1}_n}{\sqrt{2}} \quad (a) \\ \mathbb{E}_5 &= \mathbf{1}_T \overset{s}{\boxtimes} \mathbf{1}_T - \frac{\mathbf{1}_T \otimes \mathbf{1}_T}{2} \quad ; \quad \mathbb{E}_6 = \mathbf{1}_T \overset{s}{\boxtimes} \mathbf{1}_n + \mathbf{1}_n \overset{s}{\boxtimes} \mathbf{1}_T \quad (b) \end{aligned} \quad (\text{A.34})$$

Any transversely isotropic fourth-order tensor can be decomposed as

$$\mathbb{L} = \ell_1 \mathbb{E}_1 + \ell_2 \mathbb{E}_2 + \ell_3 \mathbb{E}_3 + \ell_4 \mathbb{E}_4 + \ell_5 \mathbb{E}_5 + \ell_6 \mathbb{E}_6 \quad (\text{A.35})$$

The six parameters can be conveniently gathered in a triplet composed of a  $2 \times 2$  matrix containing the four first parameters  $\ell_i$  ( $1 \leq i \leq 4$ ) and the two last parameters  $\ell_5$  and  $\ell_6$

$$\mathbb{L} \equiv (L, \ell_5, \ell_6), \quad L = \begin{pmatrix} \ell_1 & \ell_3 \\ \ell_4 & \ell_2 \end{pmatrix} \quad (\text{A.36})$$

Such a synthetic notation allows simple calculations of products and inverses which consist in classical matrix or scalar products and inverses

$$\begin{aligned} \mathbb{L} : \mathbb{M} &\equiv (LM, \ell_5 m_5, \ell_6 m_6) \quad (a) \\ \mathbb{L}^{-1} &\equiv \left( L^{-1}, \frac{1}{\ell_5}, \frac{1}{\ell_6} \right) \quad (b) \end{aligned} \quad (\text{A.37})$$

---

<sup>5</sup>see [https://sbrisard.github.io/posts/20140226-decomposition\\_of\\_transverse\\_isotropic\\_fourth-rank\\_tensors.html](https://sbrisard.github.io/posts/20140226-decomposition_of_transverse_isotropic_fourth-rank_tensors.html)

## B Hill polarization tensor in elasticity

This section recalls some results about the calculation of the Hill polarization tensors related to a matrix of stiffness  $\mathbb{C}$  and an ellipsoid  $\mathcal{E}_{\mathbf{A}}$  of equation

$$\underline{x} \in \mathcal{E}_{\mathbf{A}} \quad \Leftrightarrow \quad \underline{x} \cdot ({}^t\mathbf{A} \cdot \mathbf{A})^{-1} \cdot \underline{x} \leq 1$$

where  $\mathbf{A}$  is an invertible second-order tensor so that  ${}^t\mathbf{A} \cdot \mathbf{A}$  is a positive definite symmetric tensor associated to 3 radii (eigenvalues  $a \geq b \geq c$  possibly written  $\rho_1 \geq \rho_2 \geq \rho_3$  for convenience) and 3 angles (orientation of the frame of eigenvectors  $\underline{e}_1, \underline{e}_2, \underline{e}_3$ )

$${}^t\mathbf{A} \cdot \mathbf{A} = a^2 \underline{e}_1 \otimes \underline{e}_1 + b^2 \underline{e}_2 \otimes \underline{e}_2 + c^2 \underline{e}_3 \otimes \underline{e}_3 = \sum_{i=1}^3 \rho_i \underline{e}_i \otimes \underline{e}_i \quad (\text{B.1})$$

### B.1 General expression

A general expression of the elastic polarization tensor is derived in (Willis, 1977) (see also (Mura, 1987))

$$\begin{aligned} \mathbb{P}(\mathbf{A}, \mathbb{C}) &= \frac{1}{4\pi} \int_{\|\underline{\zeta}\|=1} ({}^t\mathbf{A}^{-1} \cdot \underline{\zeta}) \otimes^s \left( ({}^t\mathbf{A}^{-1} \cdot \underline{\zeta}) \cdot \mathbb{C} \cdot ({}^t\mathbf{A}^{-1} \cdot \underline{\zeta}) \right)^{-1} \otimes^s ({}^t\mathbf{A}^{-1} \cdot \underline{\zeta}) dS_{\underline{\zeta}} \\ &= \frac{\det \mathbf{A}}{4\pi} \int_{\|\underline{\xi}\|=1} \frac{\underline{\xi} \otimes^s (\underline{\xi} \cdot \mathbb{C} \cdot \underline{\xi})^{-1} \otimes^s \underline{\xi}}{\|\mathbf{A} \cdot \underline{\xi}\|^3} dS_{\underline{\xi}} \end{aligned} \quad (\text{B.2})$$

When  $\mathbb{C}$  is arbitrarily anisotropic, it is necessary to resort to numerical cubature to estimate  $\mathbb{P}$  as proposed in (Ghahremani, 1977), (Gavazzi and Lagoudas, 1990) or (Masson, 2008). However in some cases of anisotropy, analytical solutions are available ((Withers, 1989), (Barthélémy, 2020)). The case of isotropic matrix is particularly developed in the next section.

### B.2 Isotropic matrix

In this section, the matrix is assumed isotropic so that its stiffness tensor writes by means of a bulk  $k$  and shear  $\mu$  or Lamé  $\lambda$  and  $\mu$  moduli or even Young modulus  $E$  and Poisson ratio  $\nu$

with  $k = \frac{E}{3(1-2\nu)}$  and  $\mu = \frac{E}{2(1+\nu)}$ .

$$\begin{aligned} \mathbb{C} &= 3k\mathbb{J} + 2\mu\mathbb{K} = 3\lambda\mathbb{I} + 2\mu\mathbb{K} \\ \text{with } J_{ijkl} &= \frac{\delta_{ij}\delta_{kl}}{3}, I_{ijkl} = \frac{\delta_{ik}\delta_{jl} + \delta_{il}\delta_{jk}}{2} \text{ and } \mathbb{K} = \mathbb{I} - \mathbb{J} \end{aligned} \quad (\text{B.3})$$

Introducing (B.3) in (B.2) leads to after some algebra

$$\mathbb{P} = \frac{1}{\lambda + 2\mu}\mathbb{U} + \frac{1}{\mu}(\mathbb{V} - \mathbb{U})$$

where the tensors  $\mathbb{U}$  and  $\mathbb{V}$ , depending only on the ellipsoidal tensor  $\mathbf{A}$  of (B.1), are given by (see (Barth      , 2020))

$$\begin{aligned} \mathbb{U} &= \frac{\det \mathbf{A}}{4\pi} \int_{\|\underline{\xi}\|=1} \frac{\underline{\xi} \otimes \underline{\xi} \otimes \underline{\xi} \otimes \underline{\xi}}{\|\mathbf{A} \cdot \underline{\xi}\|^3} dS_{\underline{\xi}} \\ &= \frac{1}{4\pi} \int_{\|\underline{\zeta}\|=1} \frac{(\mathbf{A}^{-1} \cdot \underline{\zeta}) \otimes (\mathbf{A}^{-1} \cdot \underline{\zeta}) \otimes (\mathbf{A}^{-1} \cdot \underline{\zeta}) \otimes (\mathbf{A}^{-1} \cdot \underline{\zeta})}{\|\mathbf{A}^{-1} \cdot \underline{\zeta}\|^4} dS_{\underline{\zeta}} \end{aligned}$$

and

$$\begin{aligned} \mathbb{V} &= \frac{\det \mathbf{A}}{4\pi} \int_{\|\underline{\xi}\|=1} \frac{\underline{\xi} \otimes \mathbf{1} \otimes \underline{\xi}}{\|\mathbf{A} \cdot \underline{\xi}\|^3} dS_{\underline{\xi}} \\ &= \frac{1}{4\pi} \int_{\|\underline{\zeta}\|=1} \frac{(\mathbf{A}^{-1} \cdot \underline{\zeta}) \otimes \mathbf{1} \otimes (\mathbf{A}^{-1} \cdot \underline{\zeta})}{\|\mathbf{A}^{-1} \cdot \underline{\zeta}\|^2} dS_{\underline{\zeta}} \end{aligned}$$

For an arbitrary ellipsoid defined by (B.1), the components of  $\mathbb{U}$  and  $\mathbb{V}$  write

$$\begin{aligned} U_{iiii} &= \frac{3(I_i - \rho_i^2 I_{ii})}{2} \quad \forall i \in \{1, 2, 3\} \\ U_{iijj} = U_{ijij} = U_{ijji} &= \frac{I_j - \rho_i^2 I_{ij}}{2} = \frac{I_i - \rho_j^2 I_{ij}}{2} \quad \forall i \neq j \in \{1, 2, 3\} \end{aligned}$$

and

$$\begin{aligned} V_{iiii} &= I_i \quad \forall i \in \{1, 2, 3\} \\ V_{ijij} = V_{ijji} &= \frac{I_i + I_j}{4} \quad \forall i \neq j \in \{1, 2, 3\} \end{aligned}$$

where the coefficients  $I_i$  and  $I_{ij}$  are given by (note that  $I_i$  and  $I_{ij}$  are adapted from those provided in (Kellogg, 1929) and (Eshelby, 1957): they differ by a factor of  $4\pi/3$  for  $I_{ij}$  with  $i \neq j$  and by  $4\pi$  for the others)

- if  $a > b > c$

$$\begin{aligned}
I_1 &= \frac{a b c}{(a^2 - b^2)\sqrt{a^2 - c^2}} (F - E) \\
I_3 &= \frac{a b c}{(b^2 - c^2)\sqrt{a^2 - c^2}} \left( \frac{b\sqrt{a^2 - c^2}}{a c} - E \right) \\
I_2 &= 1 - I_1 - I_3 \\
I_{ij} &= \frac{I_j - I_i}{\rho_i^2 - \rho_j^2} \quad \forall i \neq j \in \{1, 2, 3\} \\
I_{ii} &= \frac{1}{3} \left( \frac{1}{\rho_i^2} - \sum_{j \neq i} I_{ij} \right) \quad \forall i \in \{1, 2, 3\}
\end{aligned}$$

where  $F = F(\theta, \kappa)$  and  $E = E(\theta, \kappa)$  are respectively the elliptic integrals of the first and second kinds (see (Abramowitz and Stegun, 1972)) of amplitude and parameter

$$\theta = \arcsin \sqrt{1 - \frac{c^2}{a^2}} \quad ; \quad \kappa = \sqrt{\frac{a^2 - b^2}{a^2 - c^2}}$$

- if  $a > b = c$  (prolate spheroid)

$$\begin{aligned}
I_2 = I_3 &= a \frac{a\sqrt{a^2 - c^2} - c^2 \operatorname{arccosh}(a/c)}{2(a^2 - c^2)^{3/2}} \\
I_1 &= 1 - 2I_3 \\
I_{1i} = I_{i1} &= \frac{I_i - I_1}{a^2 - \rho_i^2} \quad \forall i \in \{2, 3\} \\
I_{ij} &= \frac{1}{4} \left( \frac{1}{c^2} - I_{31} \right) \quad \forall i, j \in \{2, 3\} \\
I_{11} &= \frac{1}{3} \left( \frac{1}{a^2} - 2I_{31} \right)
\end{aligned}$$

- if  $a = b > c$  (oblate spheroid)

$$\begin{aligned}
I_1 = I_2 &= c \frac{a^2 \arccos(c/a) - c\sqrt{a^2 - c^2}}{2(a^2 - c^2)^{3/2}} \\
I_3 &= 1 - 2I_1 \\
I_{3i} = I_{i3} &= \frac{I_3 - I_i}{\rho_i^2 - c^2} \quad \forall i \in \{1, 2\} \\
I_{ij} &= \frac{1}{4} \left( \frac{1}{a^2} - I_{31} \right) \quad \forall i, j \in \{1, 2\} \\
I_{33} &= \frac{1}{3} \left( \frac{1}{c^2} - 2I_{31} \right)
\end{aligned}$$

- if  $a = b = c$  (sphere)

$$I_1 = I_2 = I_3 = \frac{1}{3}$$

$$I_{ij} = \frac{1}{5a^2} \quad \forall i, j \in \{1, 2, 3\}$$

In this last case of spherical inclusion ( $\mathbf{A} = \mathbf{1}$ ),  $\mathbb{U}$  and  $\mathbb{V}$  are simply decomposed as

$$\mathbb{U} = \frac{1}{3}\mathbb{J} + \frac{2}{15}\mathbb{K} \quad \text{and} \quad \mathbb{V} = \frac{1}{3}\mathbb{I}$$

### B.3 Case of cracks

The case of cracks corresponds to ellipsoids for which the smallest radius is very small compared to the two others, in other words the characteristic tensor  $\mathbf{A}$  (B.1) can be written here

$$\mathbf{A} = \underline{\ell} \otimes \underline{\ell} + \eta \underline{m} \otimes \underline{m} + \omega \underline{n} \otimes \underline{n} \quad \text{with} \quad \eta = \frac{b}{a} \quad \text{and} \quad \omega = \frac{c}{a}$$

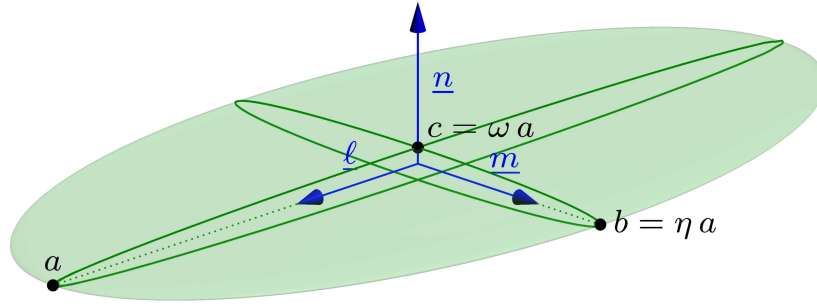


Fig. B.1: Ellipsoidal crack

In the case of cracks, it is useful to introduce the second Hill polarization tensor defined as

$$\mathbb{Q} = \mathbb{C} - \mathbb{C} : \mathbb{P} : \mathbb{C}$$

and in particular  $\lim_{\omega \rightarrow 0} \omega \mathbb{Q}^{-1}$  in which it is recalled that  $\mathbb{P}$  and thus  $\mathbb{Q}$  depend on  $\omega$  such that the components  $Q_{nijk}$  (with  $n$  corresponding to the crack normal) behave as  $1/\omega$  when  $\omega$  tends towards 0. The analytical expressions of this limit are fully detailed in (Barthélémy et al., 2021) which recalls in particular that  $\mathbb{L}$  actually derives from a symmetric second-order tensor  $\mathbf{B}$  as

$$\mathbb{L} = \lim_{\omega \rightarrow 0} \omega \mathbb{Q}^{-1} = \frac{3}{4} \underline{n} \otimes \underline{n} \mathbf{B} \otimes \underline{n} \otimes \underline{n} \quad (\text{B.4})$$

For an arbitrarily anisotropic matrix, an algorithm allowing to estimate the limit (B.4) is proposed in (Barthélémy, 2009) whereas in the isotropic case  $\mathbf{B}$  writes

$$\mathbf{B} = B_{nn} \underline{n} \otimes \underline{n} + B_{mm} \underline{m} \otimes \underline{m} + B_{\ell\ell} \underline{\ell} \otimes \underline{\ell}$$

with

$$B_{nn} = \frac{8\eta(1-\nu^2)}{3E} \frac{1}{\mathcal{E}_\eta}$$

$$B_{mm} = \frac{8\eta(1-\nu^2)}{3E} \frac{1-\eta^2}{(1-(1-\nu)\eta^2)\mathcal{E}_\eta - \nu\eta^2\mathcal{K}_\eta}$$

$$B_{\ell\ell} = \frac{8\eta(1-\nu^2)}{3E} \frac{1-\eta^2}{(1-\nu-\eta^2)\mathcal{E}_\eta + \nu\eta^2\mathcal{K}_\eta}$$

where  $\mathcal{K}_\eta = \mathcal{K}(\sqrt{1-\eta^2})$  and  $\mathcal{E}_\eta = \mathcal{E}(\sqrt{1-\eta^2})$  are the complete elliptic integrals of respectively the first and second kind (see (Abramowitz and Stegun, 1972)). If the crack is circular, the components of  $\mathbf{B}$  become

$$B_{nn} = \frac{16(1-\nu^2)}{3\pi E} \quad ; \quad B_{mm} = B_{\ell\ell} = \frac{B_{nn}}{1-\nu/2}$$

## B.4 Application of Hill calculation

### B.4.1 Definition of the matrix tensor

```
C = stiff_Enu(1.,0.2) ; print(C)
```

```
Order 4 ISO tensor | Param(size=2)=[ 1.66667 0.833333 ] | Angles(size=0)=[ ]
[ 1.11111 0.277778 0.277778 0 0 0
  0.277778 1.11111 0.277778 0 0 0
  0.277778 0.277778 1.11111 0 0 0
  0 0 0 0.833333 0 0
  0 0 0 0 0.833333 0
  0 0 0 0 0 0.833333 ]
```

### B.4.2 Calculation of the crack compliance $\mathbb{L} = \lim_{\omega \rightarrow 0} \omega \mathbb{Q}^{-1}$

Note that in *Echoes* it is necessary to provide an aspect ratio  $\omega$  for the crack even if the crack compliance is actually calculated as a limit (not depending on  $\omega$ )

```
= 1.e-4
L = crack_compliance(spheroidal(), C) ; print(L)
```

```

[[0.      0.      0.      0.      0.      0.      ]
 [0.      0.      0.      0.      0.      0.      ]
 [0.      0.      1.22230996 0.      0.      0.      ]
 [0.      0.      0.      0.67906109 0.      0.      ]
 [0.      0.      0.      0.      0.67906109 0.      ]
 [0.      0.      0.      0.      0.      0.      ]]

```

### B.4.3 Checking the aspect ratio for which $\omega \mathbb{Q}^{-1} \approx \lim_{\omega \rightarrow 0} \omega \mathbb{Q}^{-1}$ is acceptable

```

t = np.logspace(-5,1,20)
tab = []
for in t:
    Q = hill_dual(spheroidal(), C)
    L = *np.linalg.inv(Q)
    L = np.linalg.norm(L - L)/np.linalg.norm(L)
    tab.append(L)
plt.figure(figsize=(8,3))
plt.loglog(t,tab,'+-')
plt.xlabel(r"$\omega$")
plt.ylabel(r"$\frac{||\mathbb{L}-\omega\mathbb{Q}^{-1}||}{||\mathbb{L}||}$")
plt.grid(True,which='both')
plt.show()

```

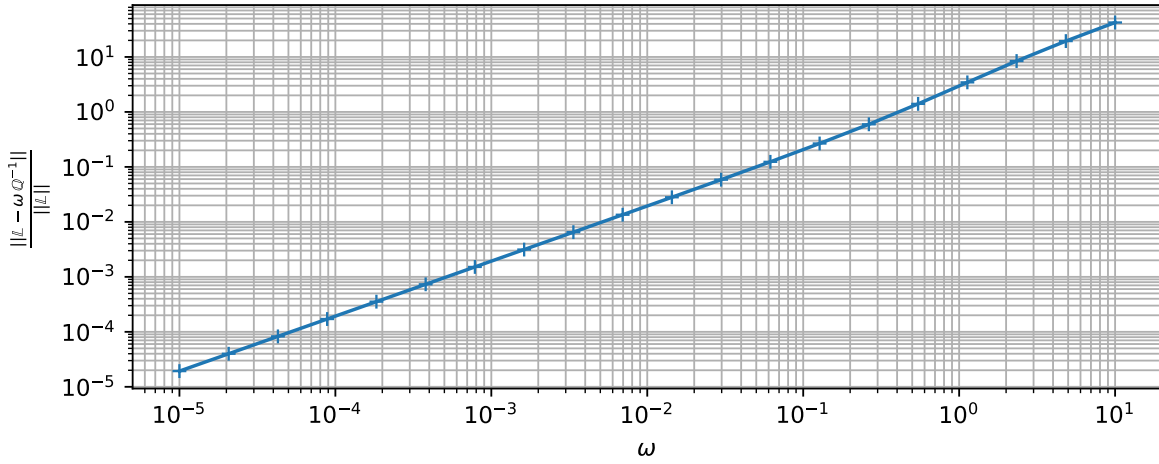


Fig. B.2: Influence of the aspect ratio on the contribution tensor

## **C Hill polarization tensor in conductivity**