AdapTT: A Type Theory with Functorial Types

Arthur Adjedj^{1,2}, Thibaut Benjamin² Meven Lennon-Bertrand², Kenji Maillard³

¹ENS Paris Saclay, Gif-sur-Yvette, France ²University of Cambridge, Cambridge, United Kingdom ³Gallinette Project Team, Inria, Nantes, France **Observational equality**

Definitional equality

Type casts are everywhere

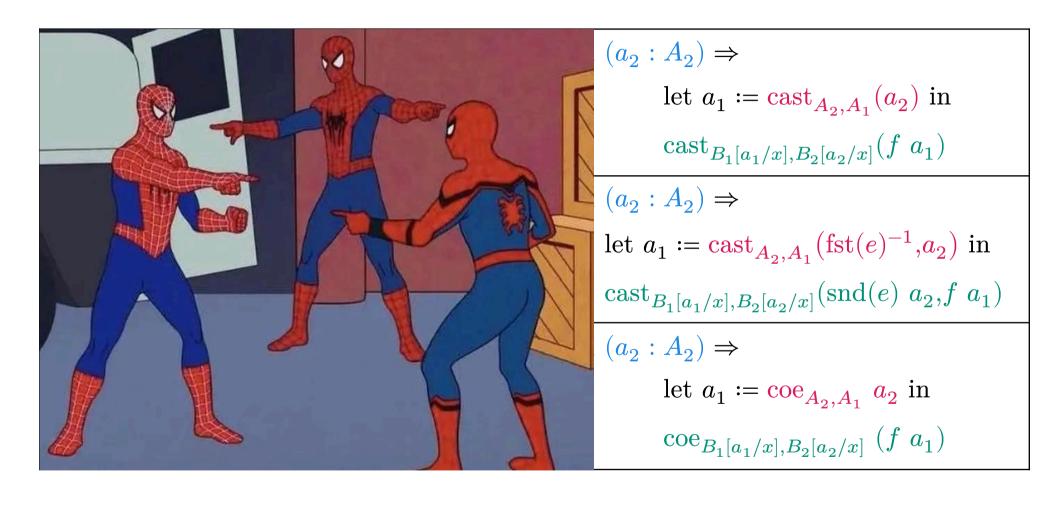
Gradual Types

Subtyping

Gradual Types (CastCIC[1])	$\operatorname{cast}_{\Pi(x:A_1).B_1}(f) \\ _{\Pi(x:A_2).B_2}$	\Rightarrow	$\begin{array}{c} \lambda \ (a_2:A_2) \Rightarrow \\ & \mathrm{let} \ a_1 \coloneqq \mathrm{cast}_{A_2,A_1}(a_2) \ \mathrm{in} \\ & \mathrm{cast}_{B_1[a_1/x],B_2[a_2/x]}(f \ a_1) \end{array}$
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Observational Equality $(TT^{obs}[2])$	$\operatorname*{cast}_{\Pi(x:A_1).B_1}(e,f) \ _{\Pi(x:A_2).B_2}$	\Rightarrow	$\begin{array}{l} \lambda \ (a_2:A_2) \Rightarrow \\ \\ \operatorname{let} \ a_1 \coloneqq \operatorname{cast}_{A_2,A_1} \big(\operatorname{fst}(e)^{-1}, a_2 \big) \ \operatorname{in} \\ \\ \operatorname{cast}_{B_1[a_1/x],B_2[a_2/x]} \big(\operatorname{snd}(e) \ a_2, f \ a_1 \big) \end{array}$
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Coercive Subtyping $(MLTT_{coe}[3])$	$\operatorname{coe}_{\Pi x:A_1.B_1}(f) \\ _{\Pi x:A_2.B_2}$	\Rightarrow	$\begin{array}{c} \lambda \ (a_2:A_2) \Rightarrow \\ & \mathrm{let} \ a_1 \coloneqq \mathrm{coe}_{A_2,A_1} \ a_2 \ \mathrm{in} \\ & \mathrm{coe}_{B_1[a_1/x],B_2[a_2/x]} \ (f \ a_1) \end{array}$



A common core

Exponential in a Cartesian Closed Category:

$$\mathbb{C}^{\mathrm{op}} \times \mathbb{C} \to \mathbb{C}$$

$$(A, B) \mapsto B^{A}$$

$$(f, g) \mapsto h \mapsto x \mapsto g \text{ (eval } (h, f(x)))$$

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These theories exhibit functorial properties of Π !

This functorial property acts over many forms of type casts (propositional equality, definitional equality, subtyping)

Objectives

- Construct a framework to describe type casts over Π using its functorial property
- Other type formers exist (Id, Σ , W, ...), and user can create new ones: Inductive types
 - Casts should compute over arbitrary inductive types.
 - How to exhibit their functoriality?

Functors? in my Set?

Where do we start from? Categories with Families (CwF) The big picture:

- A category Ctx of contexts, morphisms are substitutions
- A functor $T: Ctx^{op} \to Fam$ i.e:
 - $ightharpoonup Ty: Ctx^{op}
 ightarrow Set$
 - $ightharpoonup \operatorname{Tm}: \int_{\operatorname{Ctx}^{\operatorname{op}}} \operatorname{Ty} o \operatorname{\mathbf{Set}}$
- Variables, context extensions, ...

What should we change to have functors between types?

Functors? in my Set?

Types now form a category:

- A category Ctx of contexts, morphisms are substitutions
- A functor $T: Ctx^{op} \to Cat /\!\!/ Set$ i.e:
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Adapters[4]:

$$\operatorname{Ad}(\Gamma,A,B)=\operatorname{Hom}_{\operatorname{Ty}(\Gamma)}(A,B)$$

$$\Gamma: \operatorname{Ctx} \ A, B: \operatorname{Ty}(\Gamma) \ t: A$$
 $a: \operatorname{Ad}(\Gamma, A, B)$ $t\langle a \rangle: \operatorname{Tm}(\Gamma, B)$

Type formers as natural transformations

Data of a type former:

- A presheaf $D: Ctx^{op} \to Cat$ = "input data"
- A natural transformation $C:D\Rightarrow \mathsf{Ty}$
 - On objects: the type former
 - On morphisms: structural coercion

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Examples:

Type Constructor	List	Π
Presheaf $D(\Gamma)$	$\mathrm{Ty}(\Gamma)$	$(A: Ty^{\mathrm{op}}(-)) \times \mathrm{Ty}(- {\triangleright} A))$
$C(\Gamma)$ on objects	$A\mapsto \mathrm{List}_{\Gamma}(A)$	$(A,B) \mapsto \Pi A.B$
$C(\Gamma)$ on morphisms	$(f,t)\mapsto \mathrm{map}\ f\ t$	$((f,g),t) \mapsto \lambda \ (a_2:A_2) \Rightarrow$ let $a_1 := f(a_2)$ in $g(a_2,t a_1)$

Type formers as natural transform

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- A presheaf $D: Ctx^{op} \to Cat$ = input data
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 - ► On morphisms = a coercion between instances of the type former.

Very general! *Too* general...

We want:

- A syntactic presentation of type formers
- That explicits the variance data
- Powerful enough to encode usual type formers $(\Pi, \Sigma, \mathrm{Id}, \mathrm{W})$

1-Yoneda to the rescue?

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Theorem: $\mathrm{Ty}(\Gamma)$ is in bijection with $\mathrm{Sub}(-,\Gamma) \Rightarrow \mathrm{Ob} \circ \mathrm{Ty}$.

As such, any $F: \mathrm{Ty}(\Gamma)$ gives rise to a type-former!

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Theorem: $\mathrm{Ty}(\Gamma)$ is in bijection with $\mathrm{Sub}(-,\Gamma) \Rightarrow \mathrm{Ob} \circ \mathrm{Ty}$.

As such, any $F: \mathrm{Ty}(\Gamma)$ gives rise to a type-former ! Nice, but...

- Can't capture interesting examples $(\Pi, \Sigma, ...)$
- Just a bijection, what about our adapters?

Type variables

What we want for Π :

$$\Gamma_{\!\Pi} := (X : \mathsf{Ty}^-) \rhd (Y : (X.\mathsf{Ty}^+))$$

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- Binds a telescope $\Theta : \operatorname{Tel}(\Gamma)$
- Has a direction

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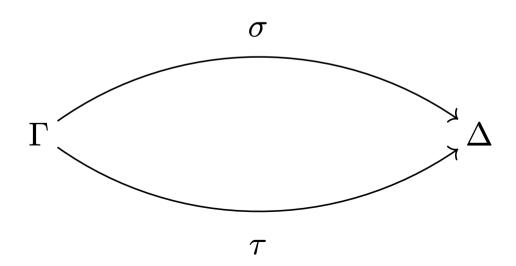
Works great for others:

$$\operatorname{Id}:\Gamma_{\operatorname{Id}}:=(X:\operatorname{\mathsf{Ty}}^+)\triangleright X$$

$$\Sigma: \Gamma_{\!\!\Sigma} := (X: \mathsf{Ty}^+) \rhd (Y: (X.\mathsf{Ty}^+))$$

Contexts as 2-categorical objects

Substitutions map type variables to types.

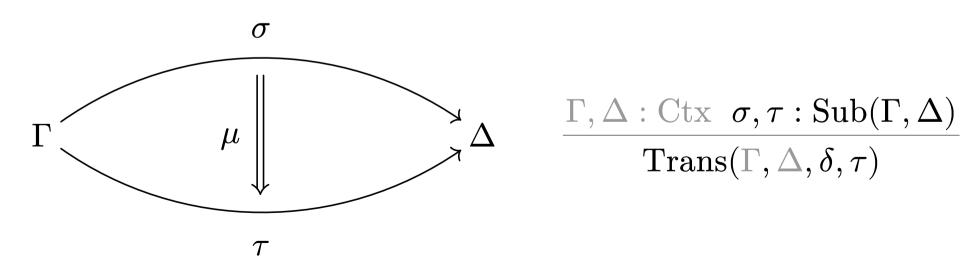


Contexts as 2-categorical objects

Substitutions map type variables to types.

Types are related through adapters collected into transformations.

Ctx becomes a **2-Category**.



2-Yoneda is useful

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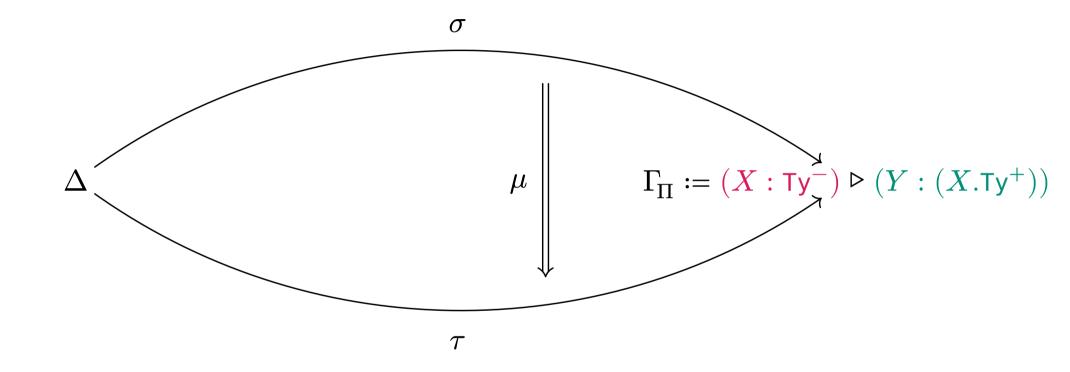
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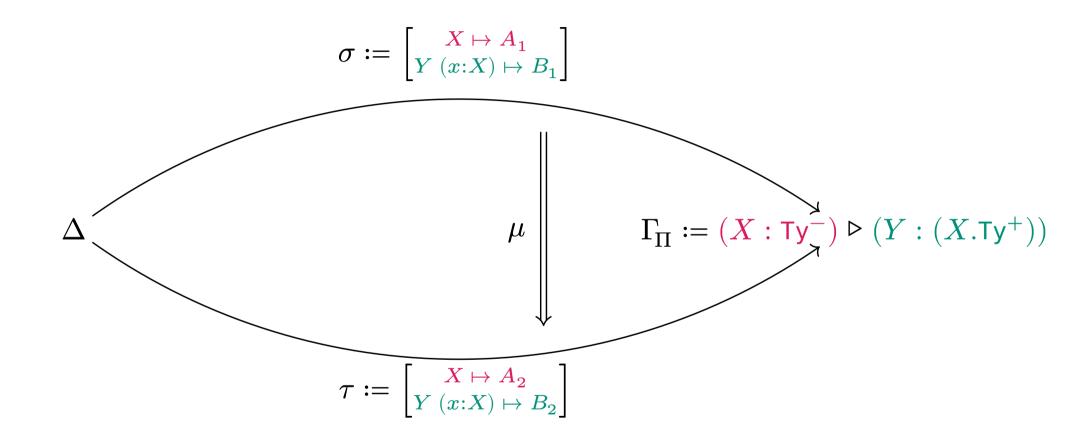
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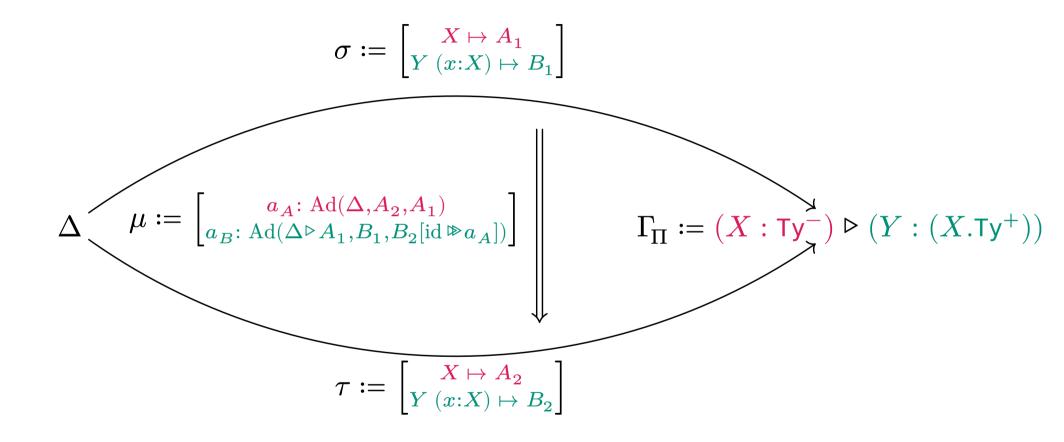
Example: Π



Example: Π



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A quick summary

- A category Ctx of contexts, 1-cells are substitutions
- A functor $T: Ctx^{op} \to Fam$ i.e:
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 - $ightharpoonup \operatorname{Tm}: \int_{\operatorname{Ctx}^{\operatorname{op}}} \operatorname{Ty}
 ightharpoonup \operatorname{Set}$
- Term variables,

context extensions, ...

A quick summary

- A 2-category Ctx of contexts, 1-cells are substitutions, 2-cells are pop[transformations]
- A 2-functor $T: Ctx^{op} \to Cat /\!\!/ Set$ i.e:
 - $ightharpoonup Ty: Ctx^{op}
 ightharpoonup Cat$
 - $ightharpoonup \operatorname{Tm}: \int_{\operatorname{Ctx}^{\operatorname{op}}} \operatorname{Ty} o \operatorname{\mathbf{Set}}$
- Term variables, type variables, context extensions, ...



What we want:

- Encode (non-mutual, non-nested) inductive types with parameters and indices
- Embed these types into our class of models
- Action of substitution and transformation over constructors

What we have:

- Contexts
- Type variables
- Telescopes



A **simple** inductive type Ind is:

• A list \vec{C} of constructors

A constructor is:

- A telescope $\Theta_{\mathrm{norec}}: \mathrm{Tel}_+(\varepsilon)$ of non-recursive arguments
- A list of recursive arguments

A recursive argument $(a_1:A_1) \to ... \to (a_n:A_n) \to \operatorname{Ind}$ is:

• A telescope $\Theta_{\mathrm{rec}} \coloneqq \varepsilon \triangleright A_1 \trianglerighteq \dots \trianglerighteq A_n : \mathrm{Tel}_-(\Gamma \trianglerighteq \Theta_{\mathrm{norec}})$ of arity



A parametrised inductive type Ind is:

- A context Γ of **parameters**
- A list \vec{C} of constructors

A constructor is:

- A telescope $\Theta_{norec}: Tel(\Gamma)$ of non-recursive arguments
- A list of recursive arguments

A recursive argument $(a_1:A_1) \to \dots \to (a_n:A_n) \to \operatorname{Ind} \vec{P}$ is:

• A telescope $\Theta_{\mathrm{rec}} \coloneqq \varepsilon \triangleright A_1 \trianglerighteq \dots \trianglerighteq A_n : \mathrm{Tel}_-(\Gamma \trianglerighteq \Theta_{\mathrm{norec}})$ of arity



A parametrised, indexed inductive type Ind is:

- A context Γ of **parameters**
- A telescope $\Theta_I : \operatorname{Tel}_+(\Gamma)$ of **indices**
- A list \vec{C} of constructors

A constructor is:

- A telescope $\Theta_{norec}: Tel(\Gamma)$ of non-recursive arguments
- A list of recursive arguments
- An instantiation Θ_I of **indices** in $\Gamma \triangleright \Theta_{\text{norec}}$

A recursive argument $(a_1:A_1)\to ... \to (a_n:A_n)\to \operatorname{Ind}\ \vec{P}\ \vec{I}$ is:

- A telescope $\Theta_{\mathrm{rec}} \coloneqq \varepsilon \triangleright A_1 \trianglerighteq \dots \trianglerighteq A_n : \mathrm{Tel}_-(\Gamma \trianglerighteq \Theta_{\mathrm{norec}})$ of arity
- An instantiation Θ_I of **indices** in $\Gamma \triangleright \Theta_{\text{norec}} \triangleright \Theta_{\text{rec}}$



Example: Bounded W-types

• Parameters:

$$\Gamma_{\!\operatorname{Ind}} := (A : \mathsf{Ty}^+) \trianglerighteq (B : (A.\mathsf{Ty}^-)$$

- Indices : $\Theta_I := (n : \mathbb{N})$
- Constructor:
 - Non-recursive fields:

$$\Theta_{\text{norec}} := (n : \mathbb{N}) \triangleright (a : A)$$

- Recursive field:
 - Telescope $\Theta_{\text{rec}} := (b : B \ a)$
 - Index instantiation : n
- Index instantiation : n+1

```
data BW (A : \mathcal{U}) (B : A \rightarrow \mathcal{U}) : \mathbb{N} \rightarrow \mathcal{U} where sup : (n : \mathbb{N})
\rightarrow (a : A)
\rightarrow ((b : B a) \rightarrow BW A B n)
\rightarrow BW A B (n+1)
```

What's done so far



- Type former ✓
- Constructors
- Action of substitution ✓
- Action of transformations ✓
- Construction of the recursor
- Fusion laws/recursors on adapters X

Conclusion

Takeaway: Functoriality of type formers structures type casts

Done **√**:

- Type theory that exhibit functorial properties of type formers
- Formalised in Agda as a QIIT

WIP 1:

- Theory of signatures with subtyping
- More models of 2-CwFs

Future work X:

- Add inv/equivariance
- Links between 2-CwFs and other existing models (e.g comprehension categories)

Bibliography

- [1] M. Lennon-Bertrand, K. Maillard, N. Tabareau, and É. Tanter, "Gradualizing the Calculus of Inductive Constructions," *ACM Transactions on Programming Languages and Systems*, vol. 44, no. 2, Apr. 2022, doi: 10.1145/3495528.
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