

# **Online Platforms and the Fair Exposure Problem under Homophily**

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5 Harvard University CRCS 6 University of Edinburgh

**INFORMS 2023 MD18 Fairness in Platforms and Recommendations**

**\* denotes equal contribution; listed in reverse alphabetical order**

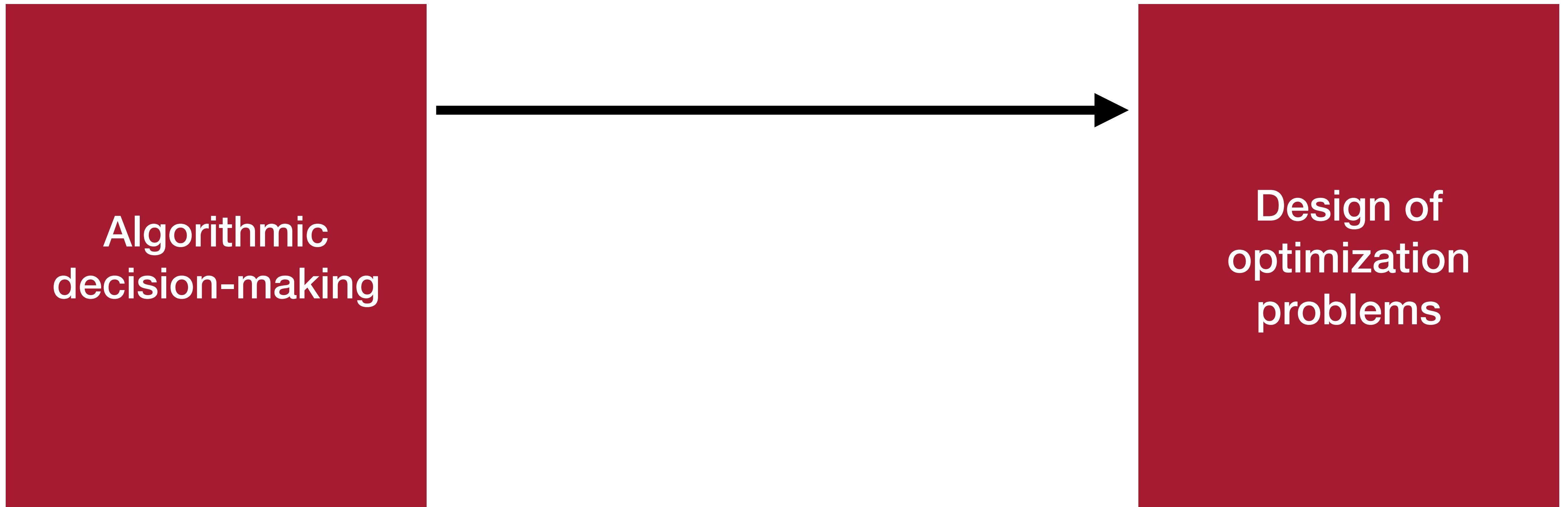
# A brief introduction

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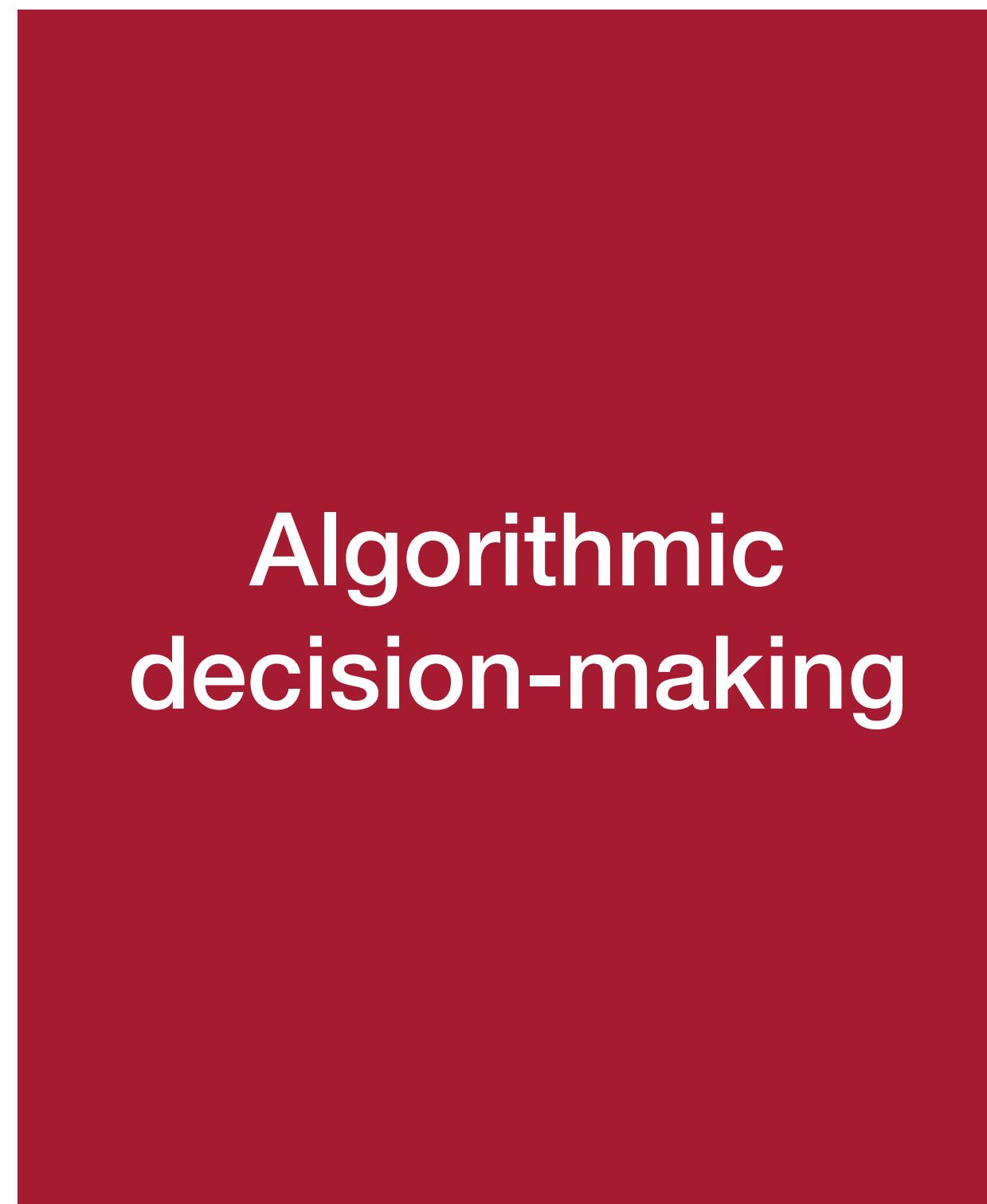
Algorithmic  
decision-making

Design of  
optimization  
problems

# A brief introduction



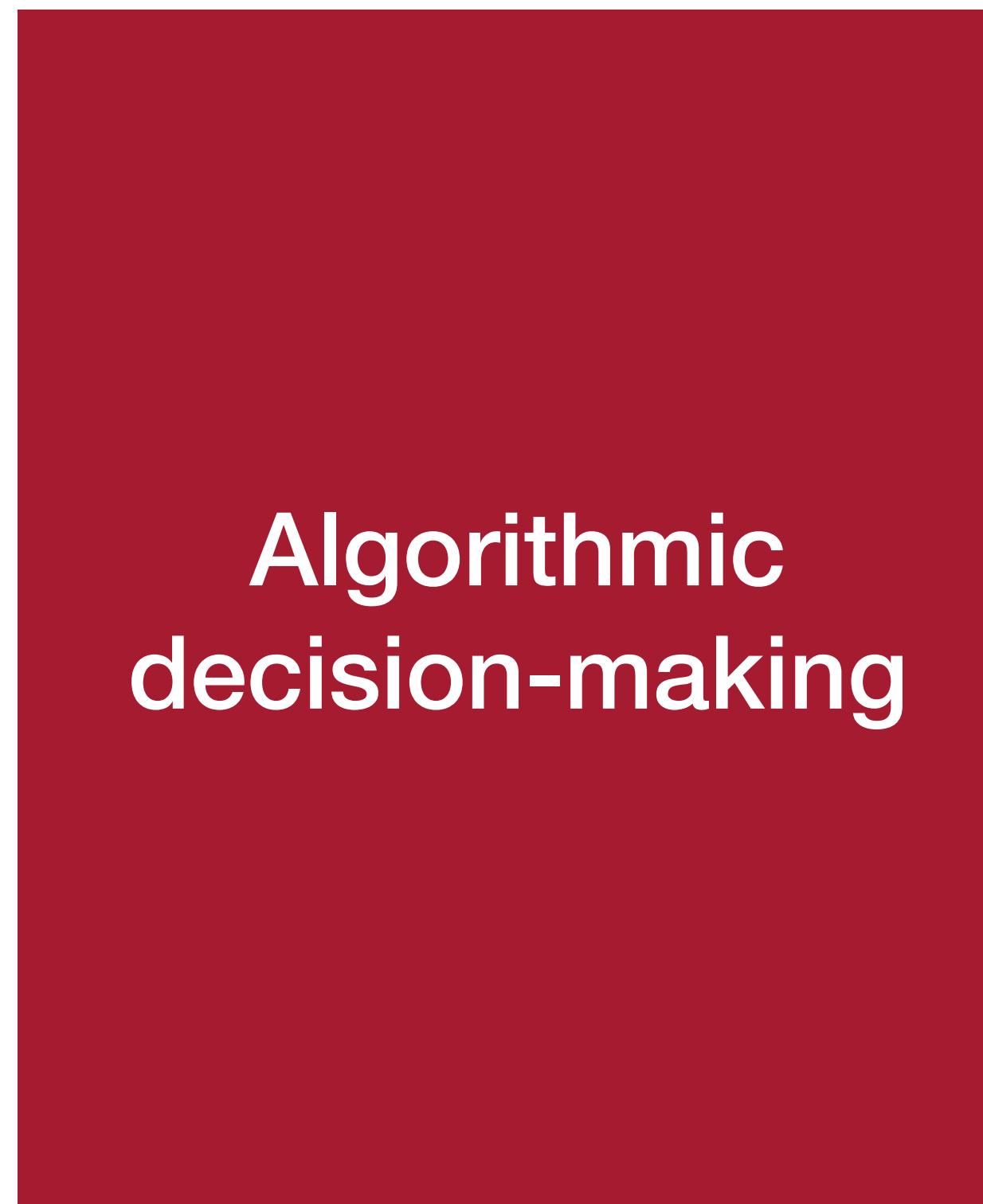
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E.g., How to design convex loss  
functions for top-k prediction?



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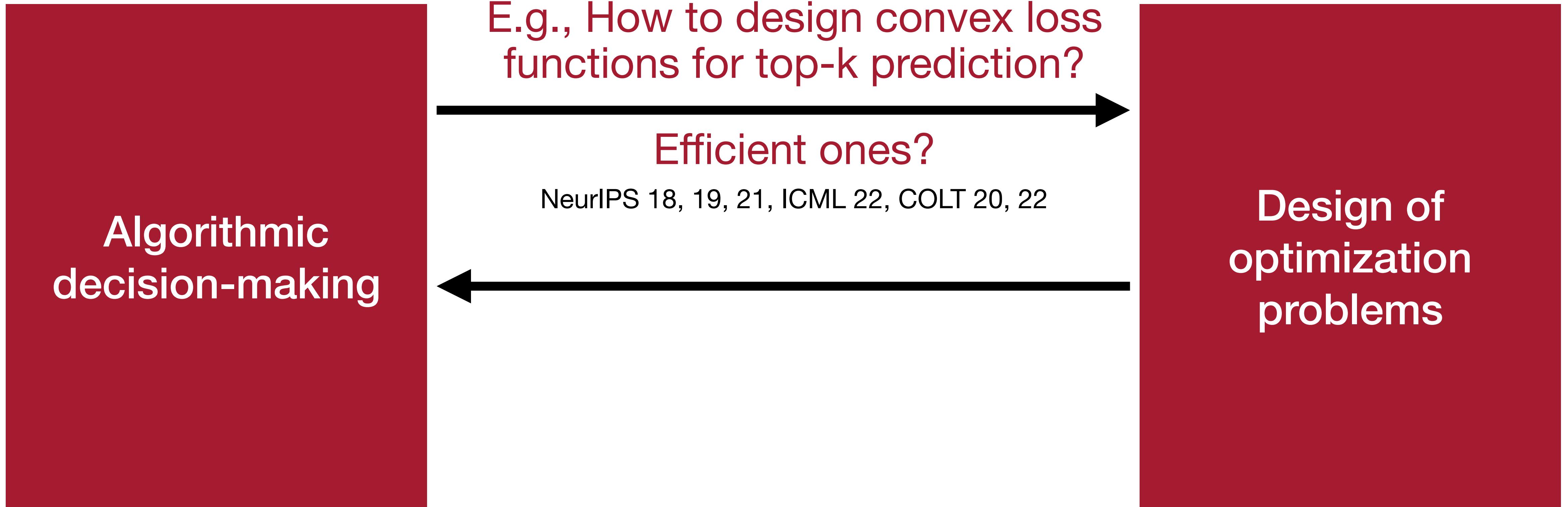
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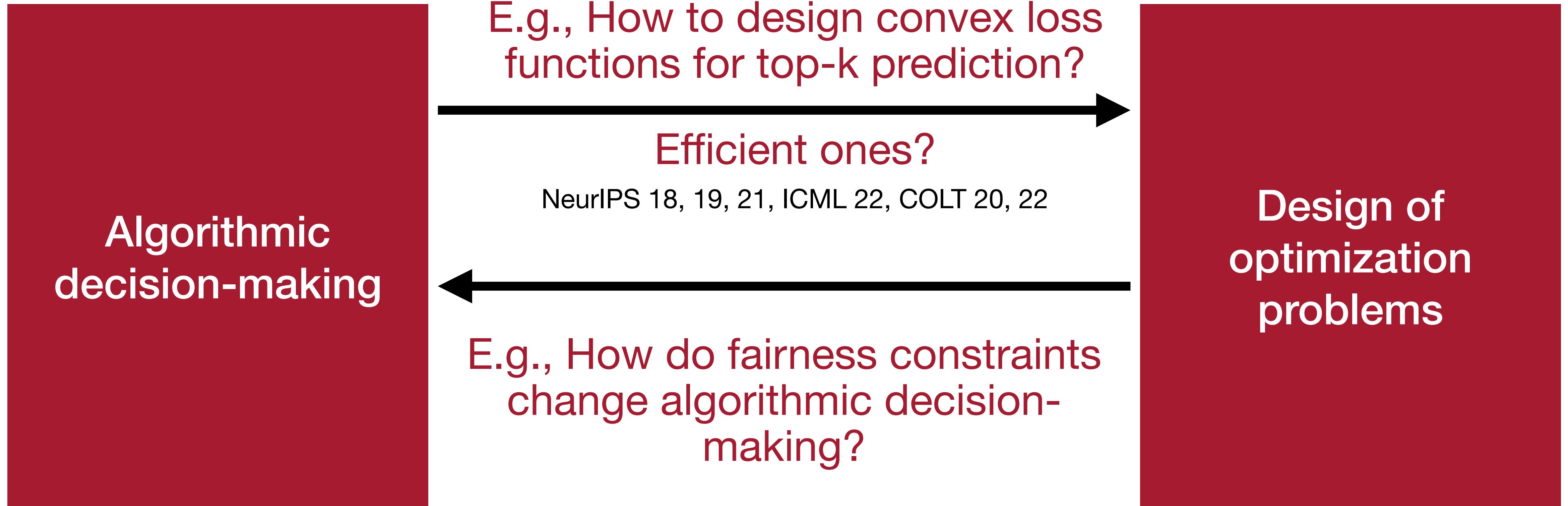
NeurIPS 18, 19, 21, ICML 22, COLT 20, 22

Design of  
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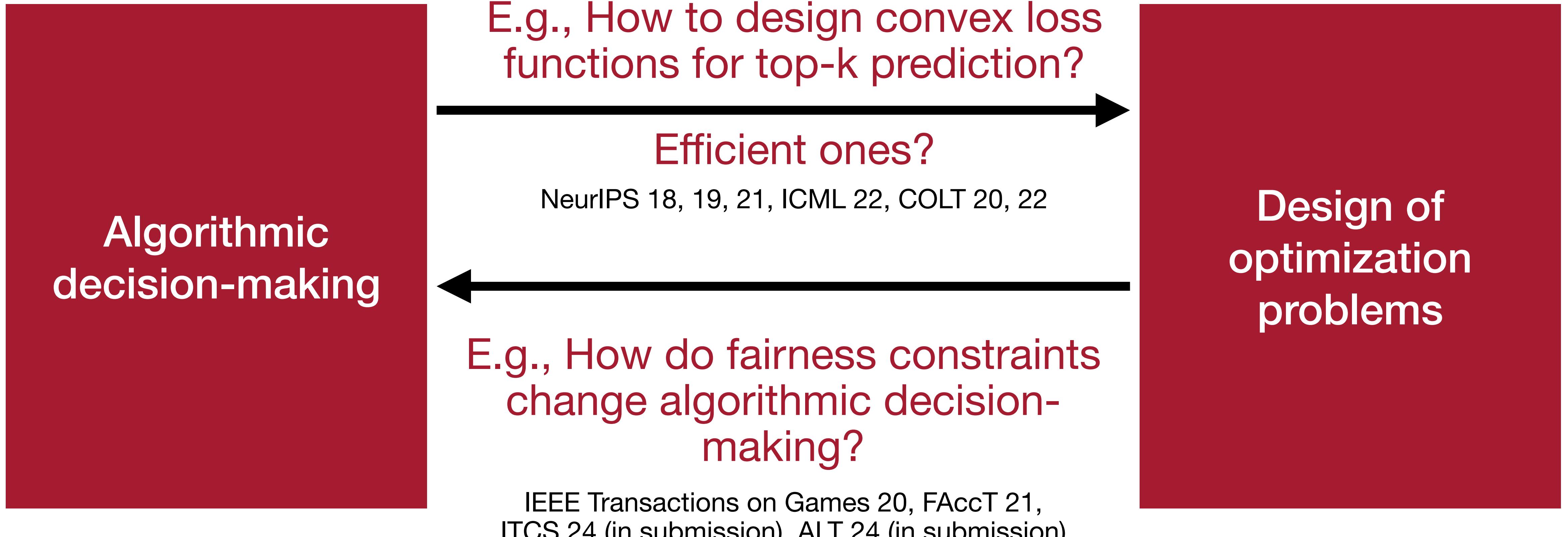
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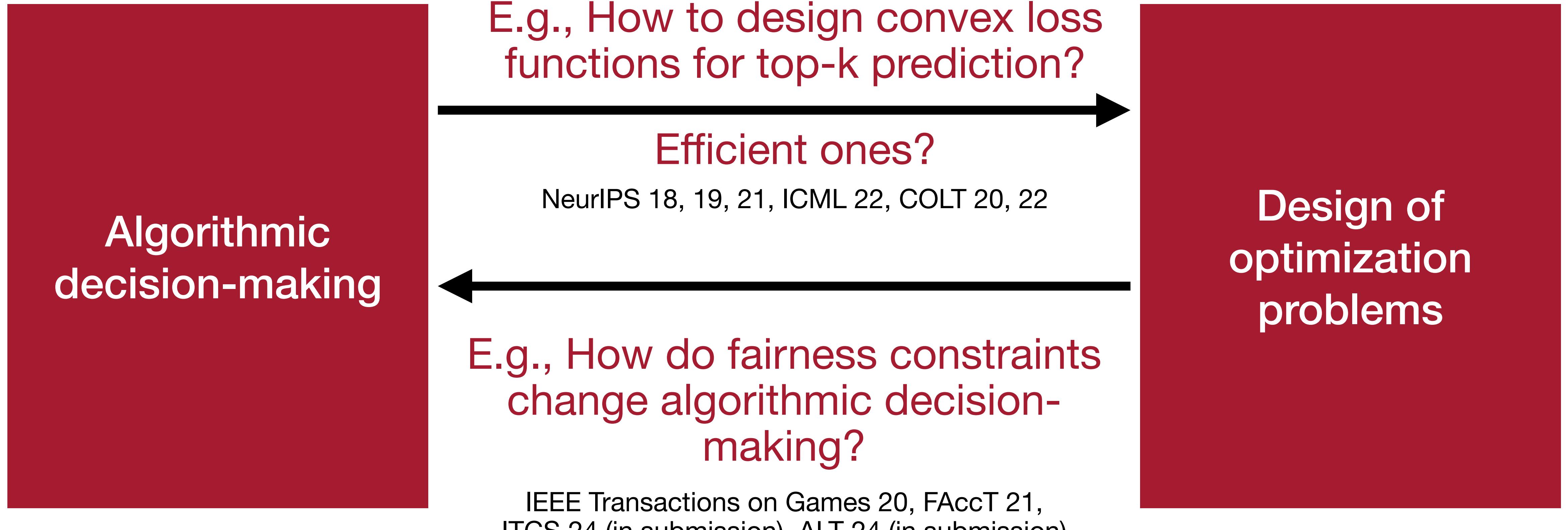
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Today: in online platforms (AAAI 23)

# Increasing polarization in online platforms

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“Interactional polarization aggravates positional polarization”

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**Interactional:** “increasing interaction with like-minded individuals”

**Positional:** “increases in antagonistic and extreme political preferences”

“Interactional polarization aggravates positional polarization”

Promote informational diversity to mitigate positional polarization

# **A historical attempt to promote informational diversity**

## **FCC Fairness Doctrine (1949)**

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FCC fairness doctrine asked broadcasters...

- Provide adequate coverage of public issues

- Ensure coverage fairly represented opposing views

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**Informational diversity:** Could something in this spirit translate to online platforms?

# The fair exposure problem

**The fair exposure problem:**

Given limited intervention power of a platform, add constraints to enforce a balance in the exposure of content (e.g., impressions of news articles) among groups of users

# **Broader contexts**

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How polarization arises (advertisers' strategy)

Hążła et al. 2023, Gaitonde et al. 2021

How user opinions change

Dean et al. 2022

How users enter/leave platform

**Full computational resources:** Celis et al. 2019

**Limited intervention capacity:** Ali et al. 2019, Fish et al. 2019, Stoica et al. 2020

Empirical examinations of polarization

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We look at how policy constraints change *platform* behavior  
with limited intervention capacity

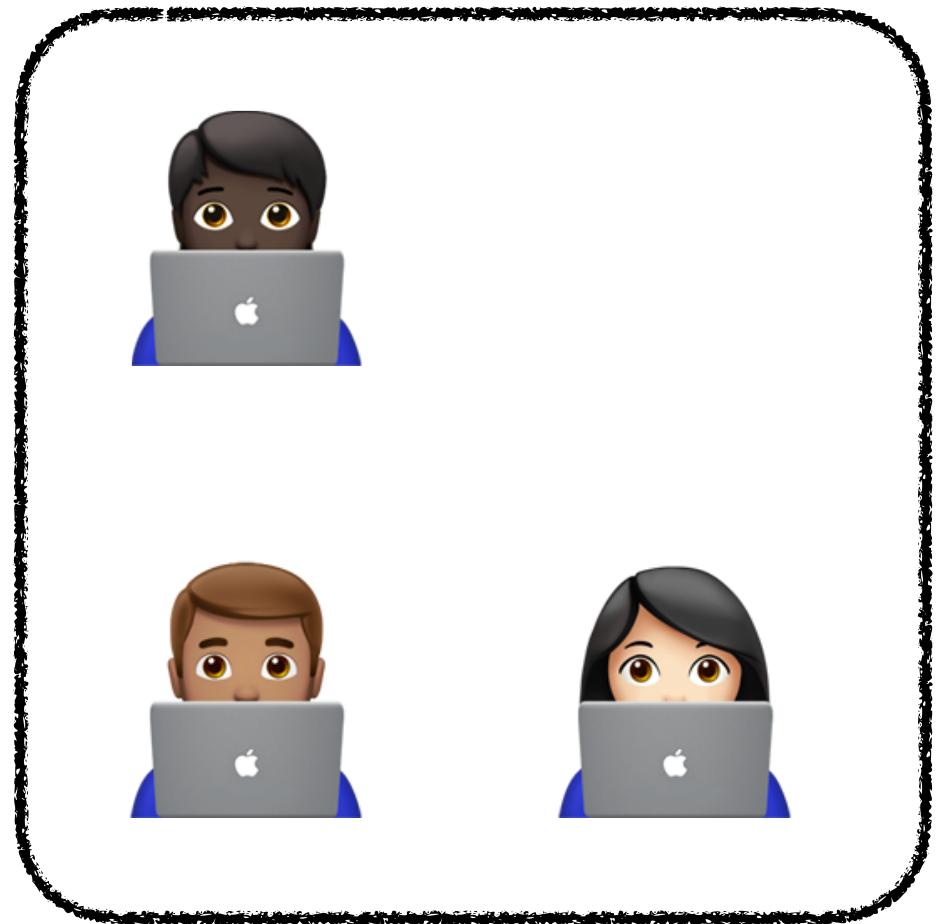
# Model participants

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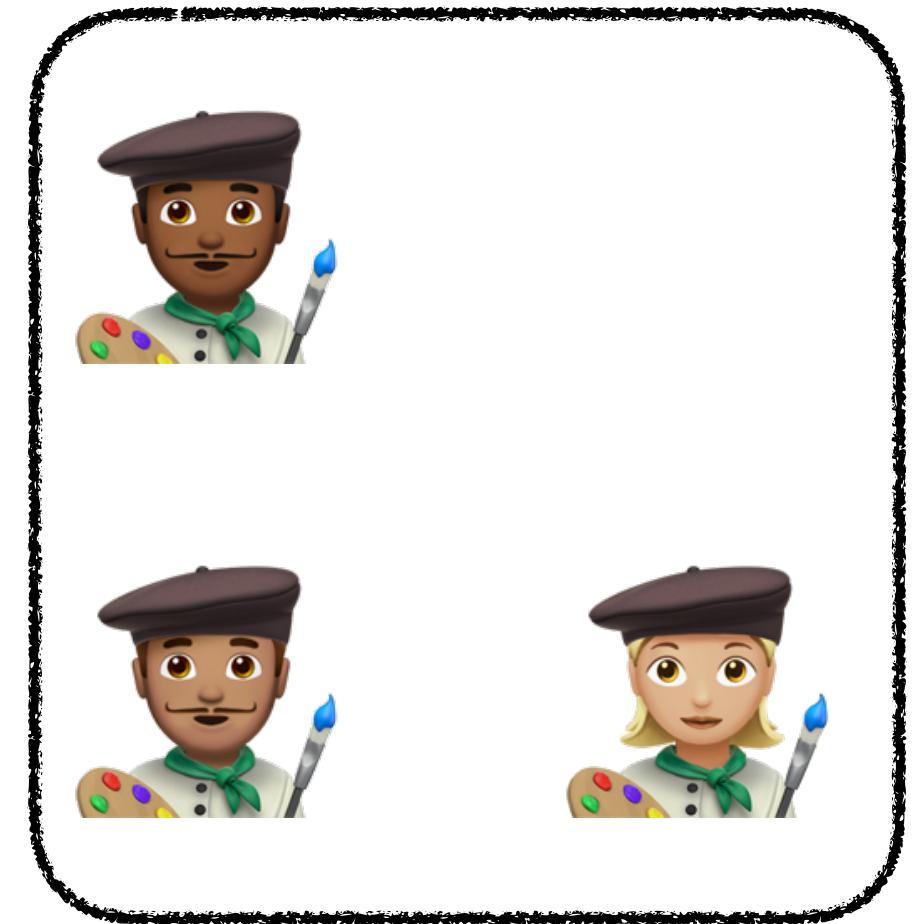


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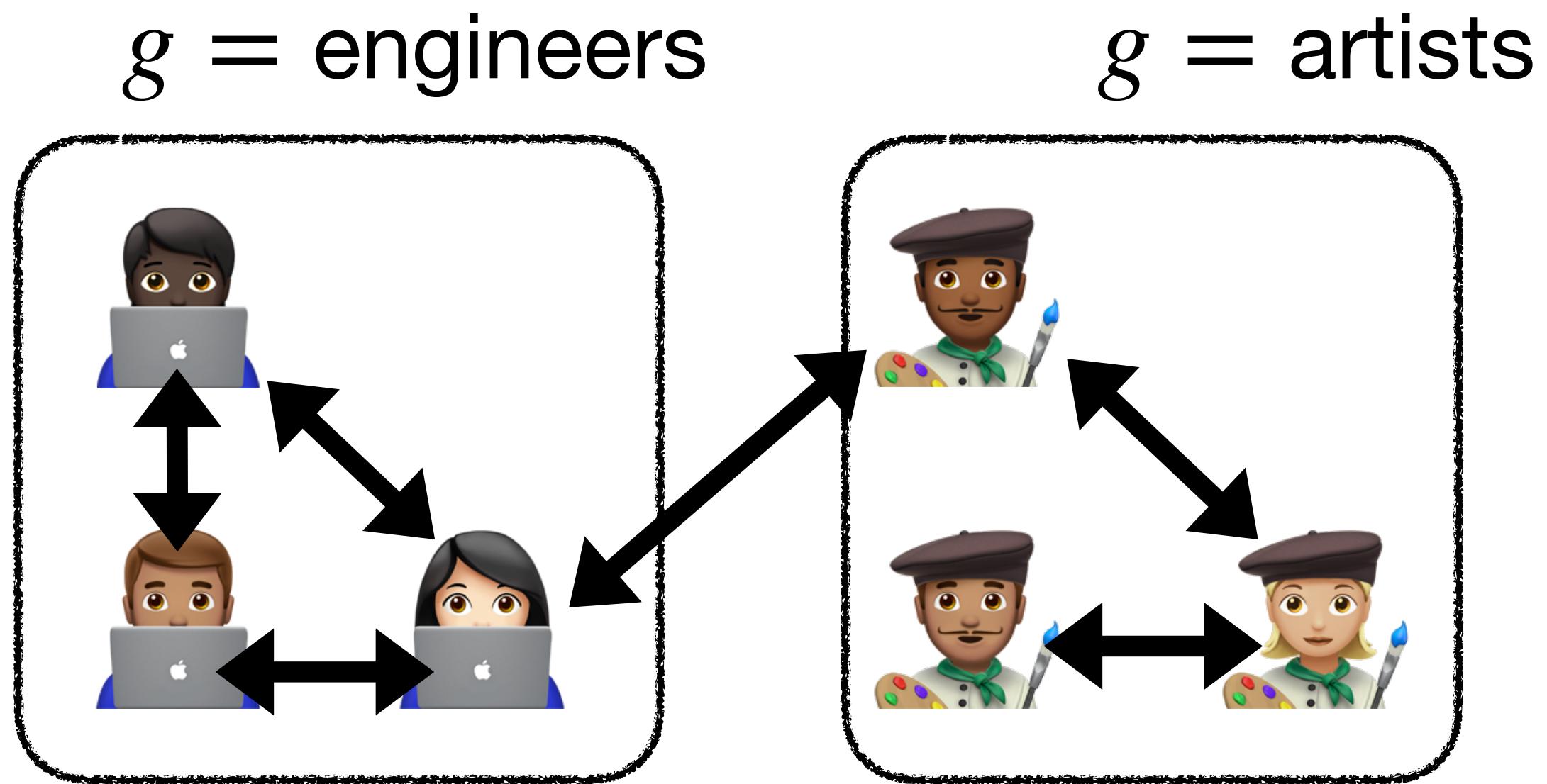
$g = \text{engineers}$



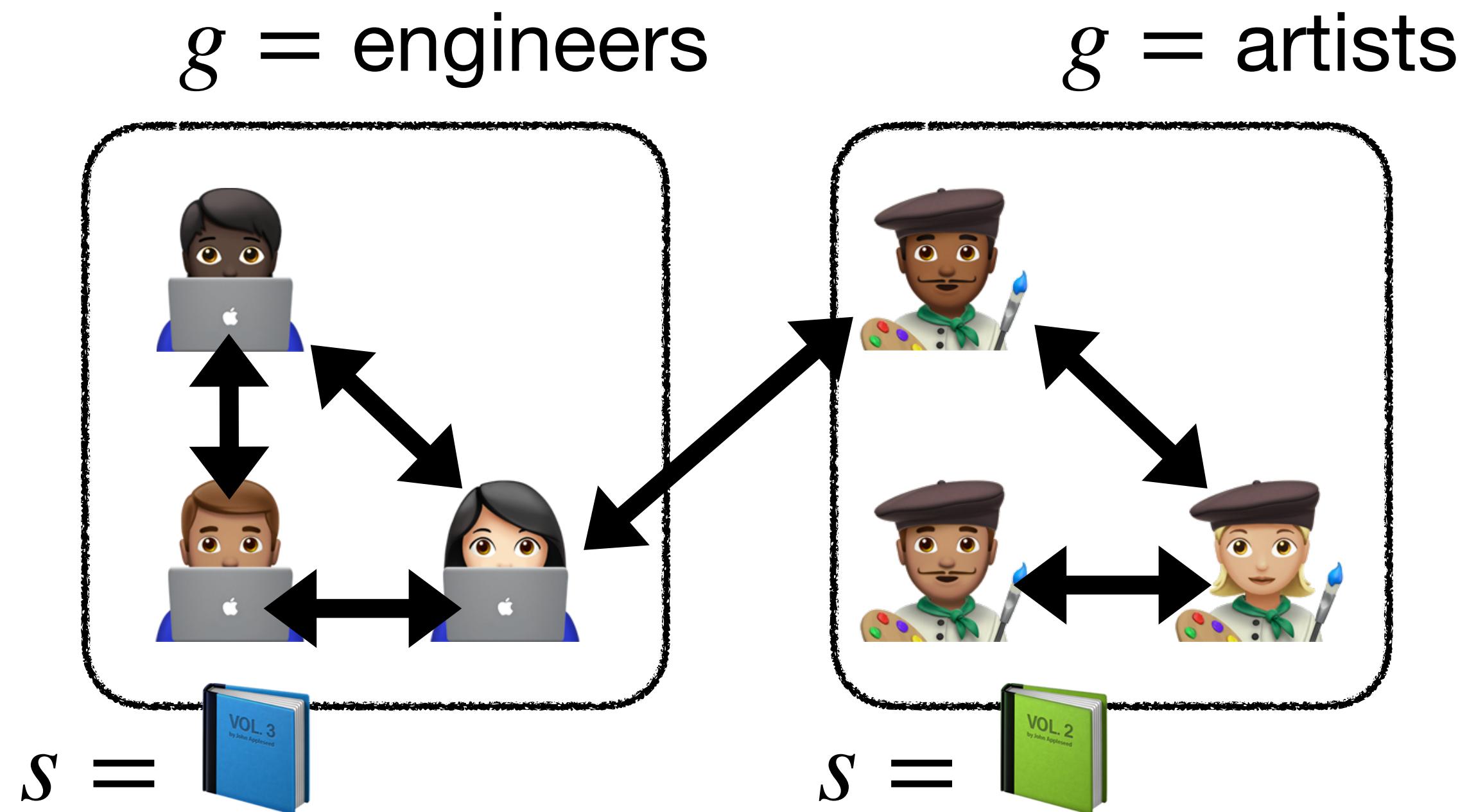
$g = \text{artists}$



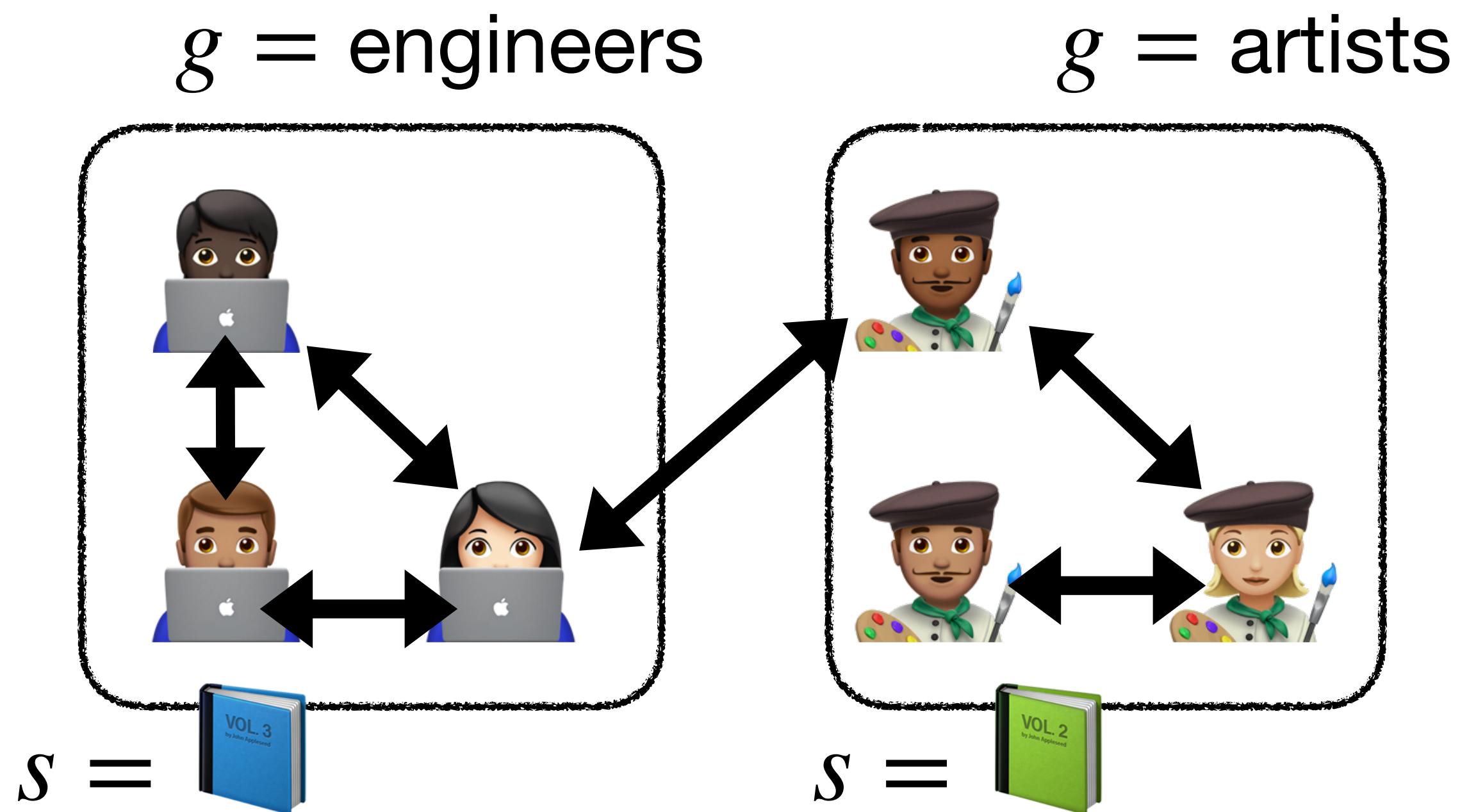
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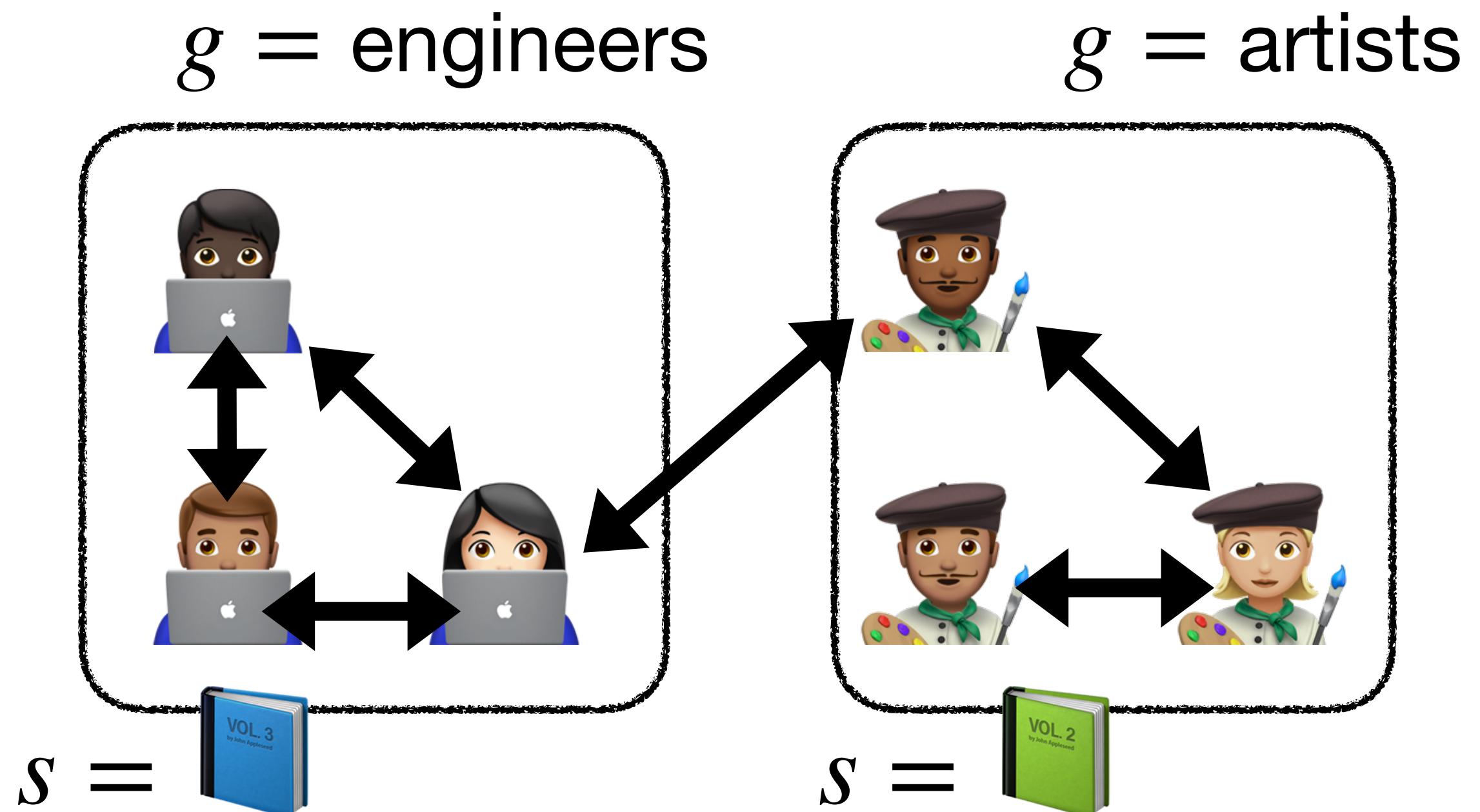
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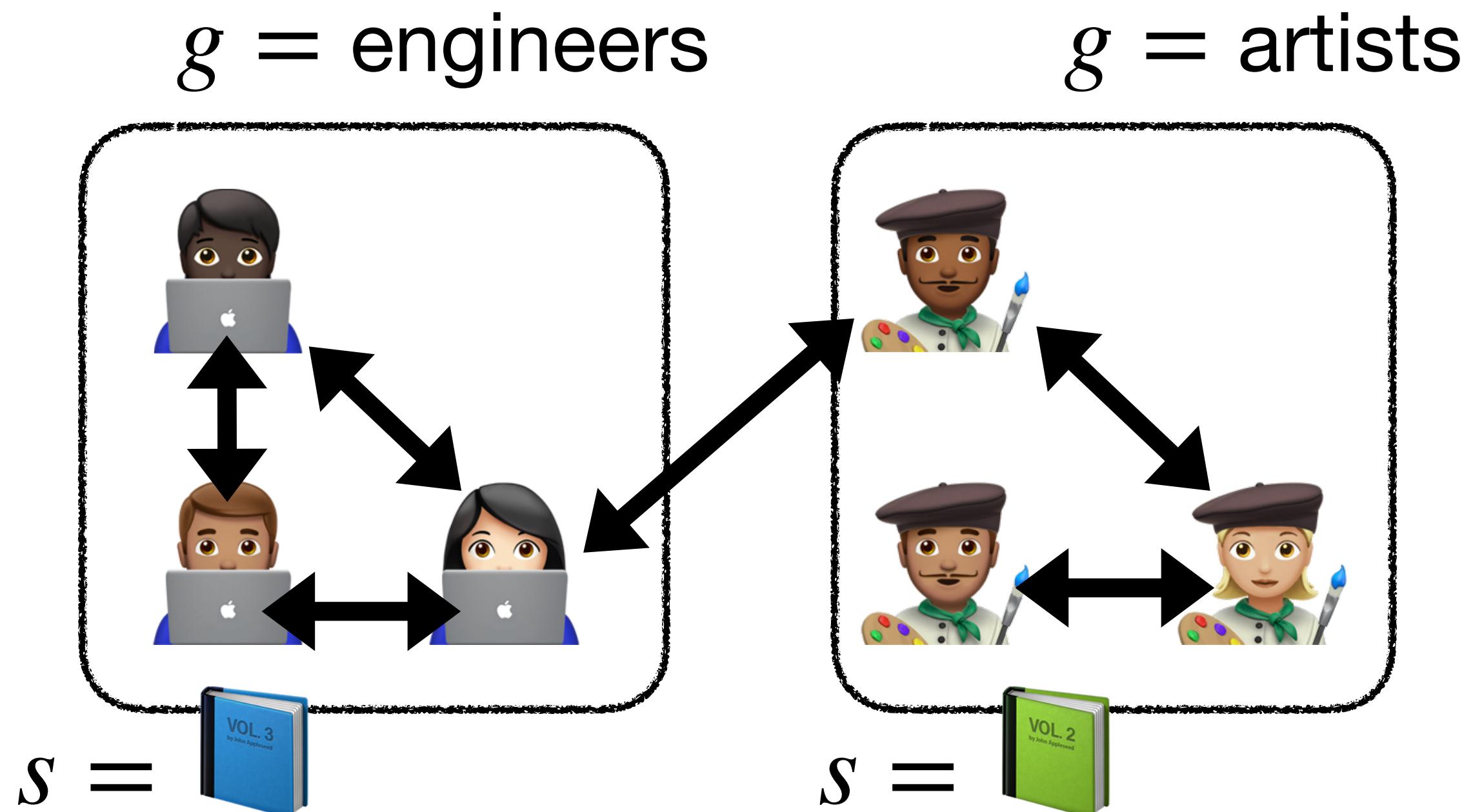


$\Theta_{g,s} = \Pr[\text{platform shows content } s \text{ to user in group } g]$

$$\Theta = (\Theta_{a,A}, \Theta_{a,B}, \Theta_{b,A}, \Theta_{b,B})$$



# Model participants



$\Theta_{g,s} = \Pr[\text{platform shows content } s \text{ to user in group } g]$

$$\Theta = (\Theta_{a,A}, \Theta_{a,B}, \Theta_{b,A}, \Theta_{b,B})$$

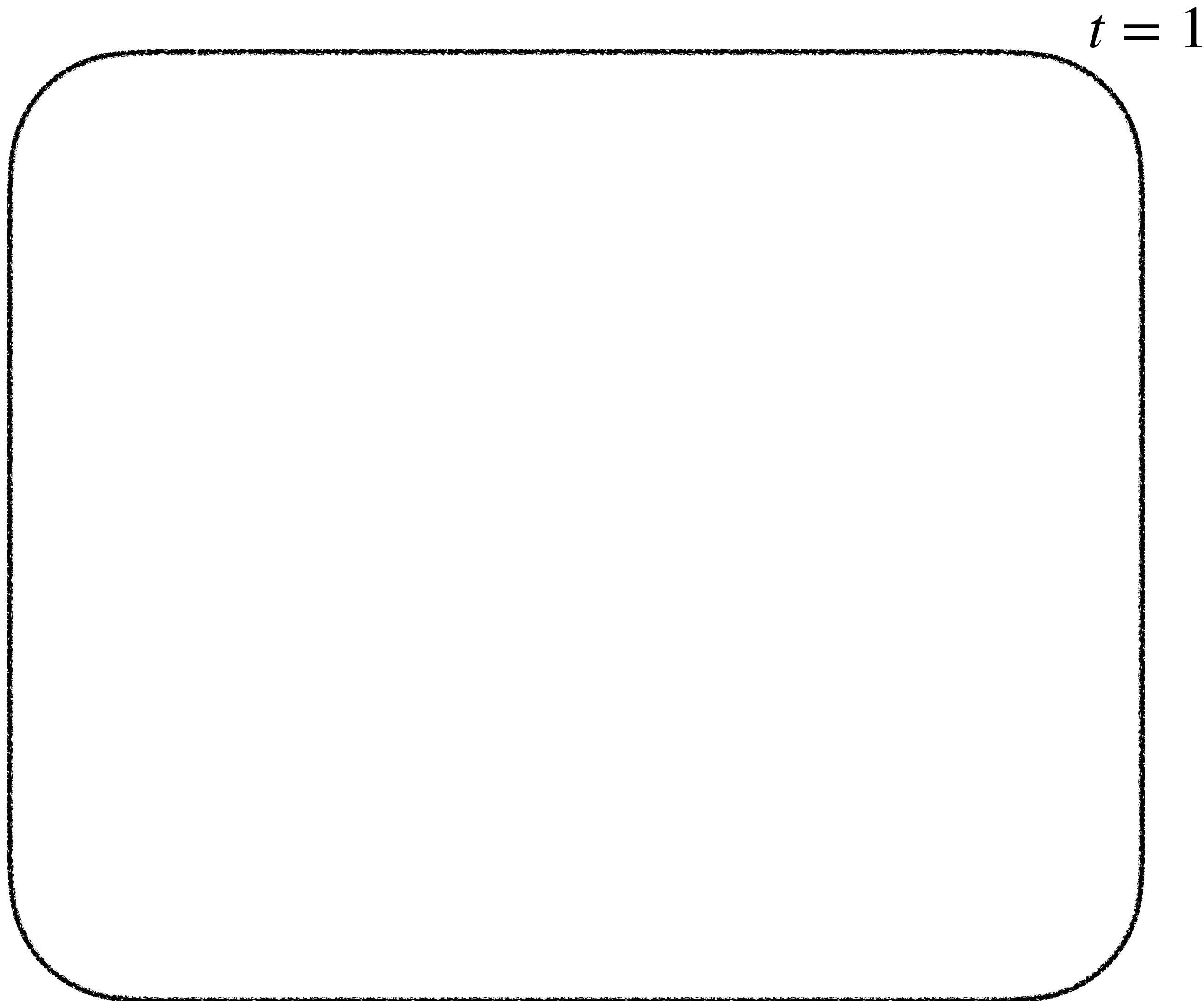
$\Theta_{b,B} = 1 \implies \text{Artist gets shown green content with probability 1.}$



# Model dynamics

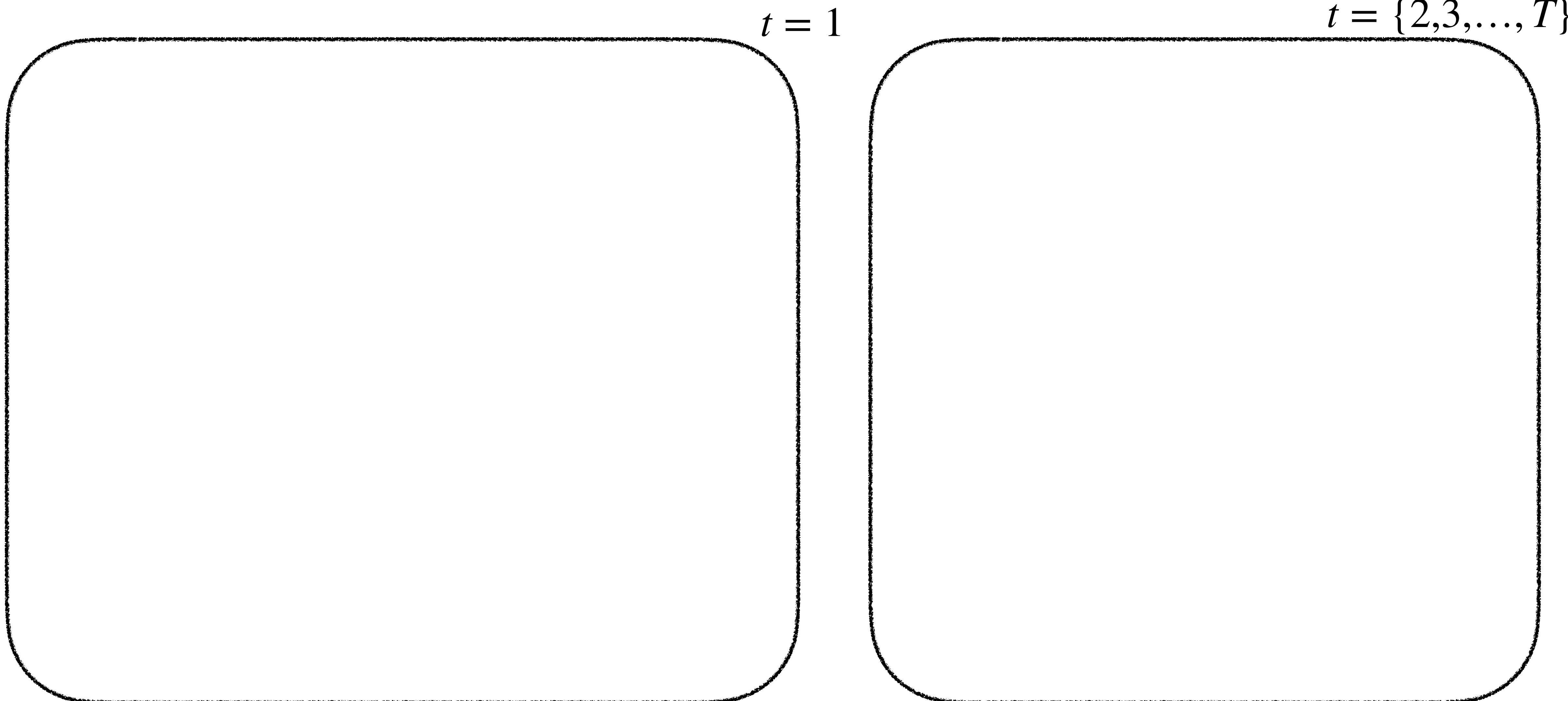


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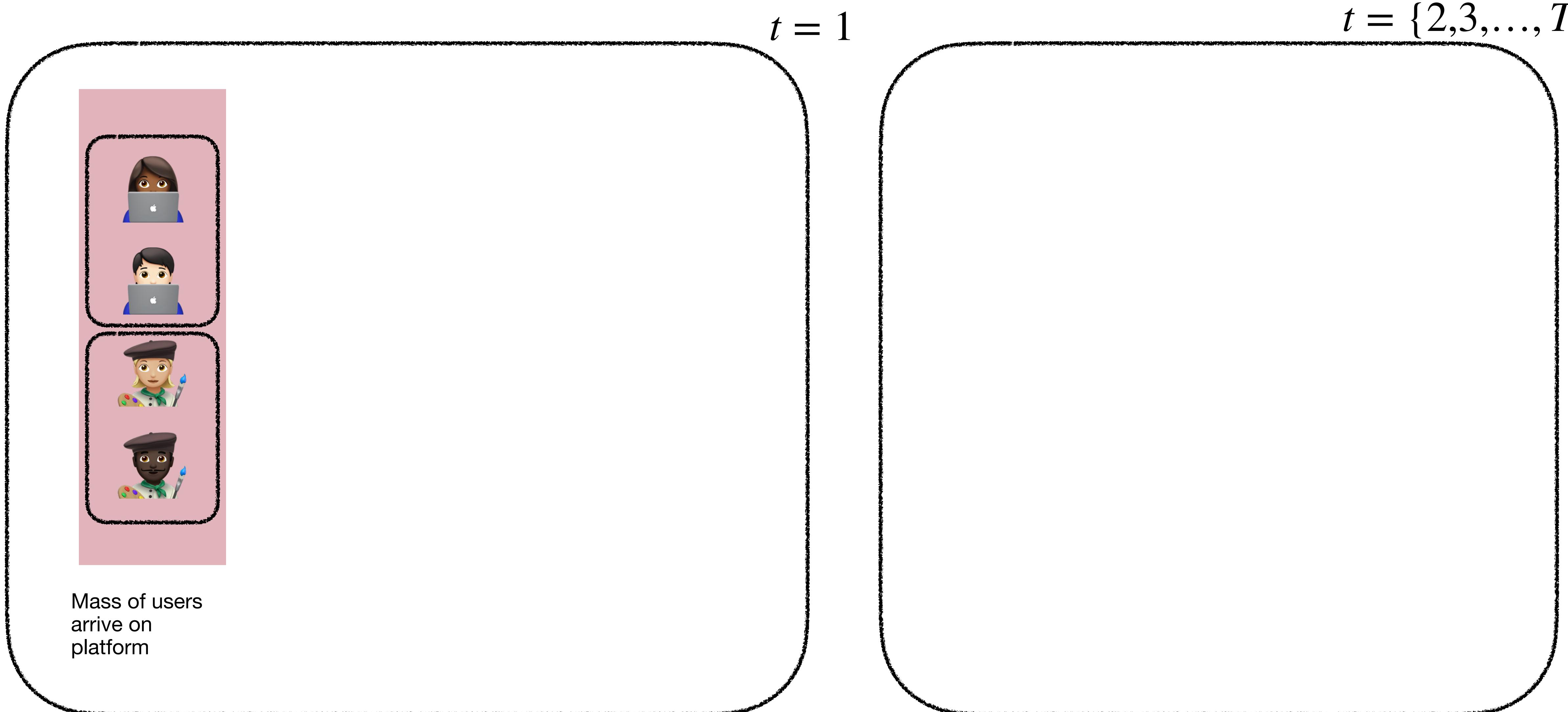


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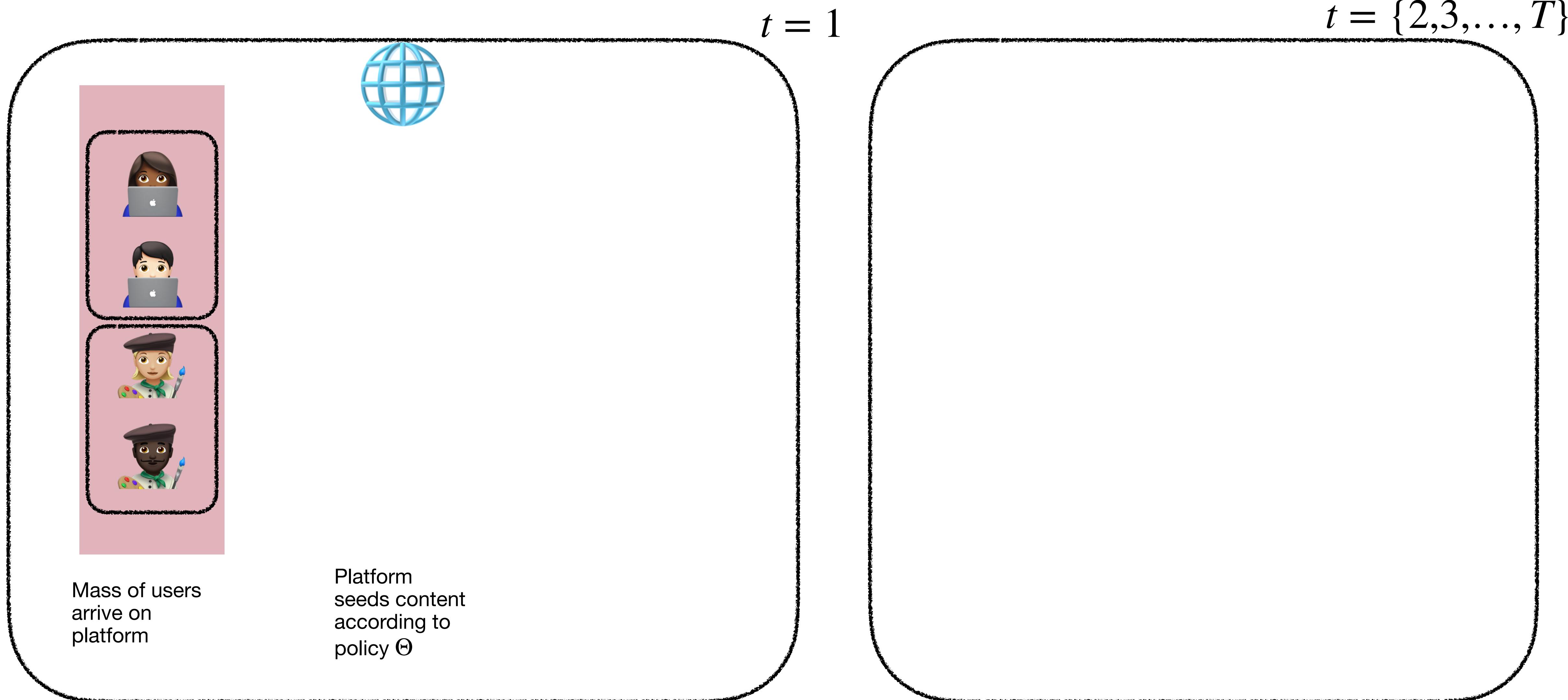


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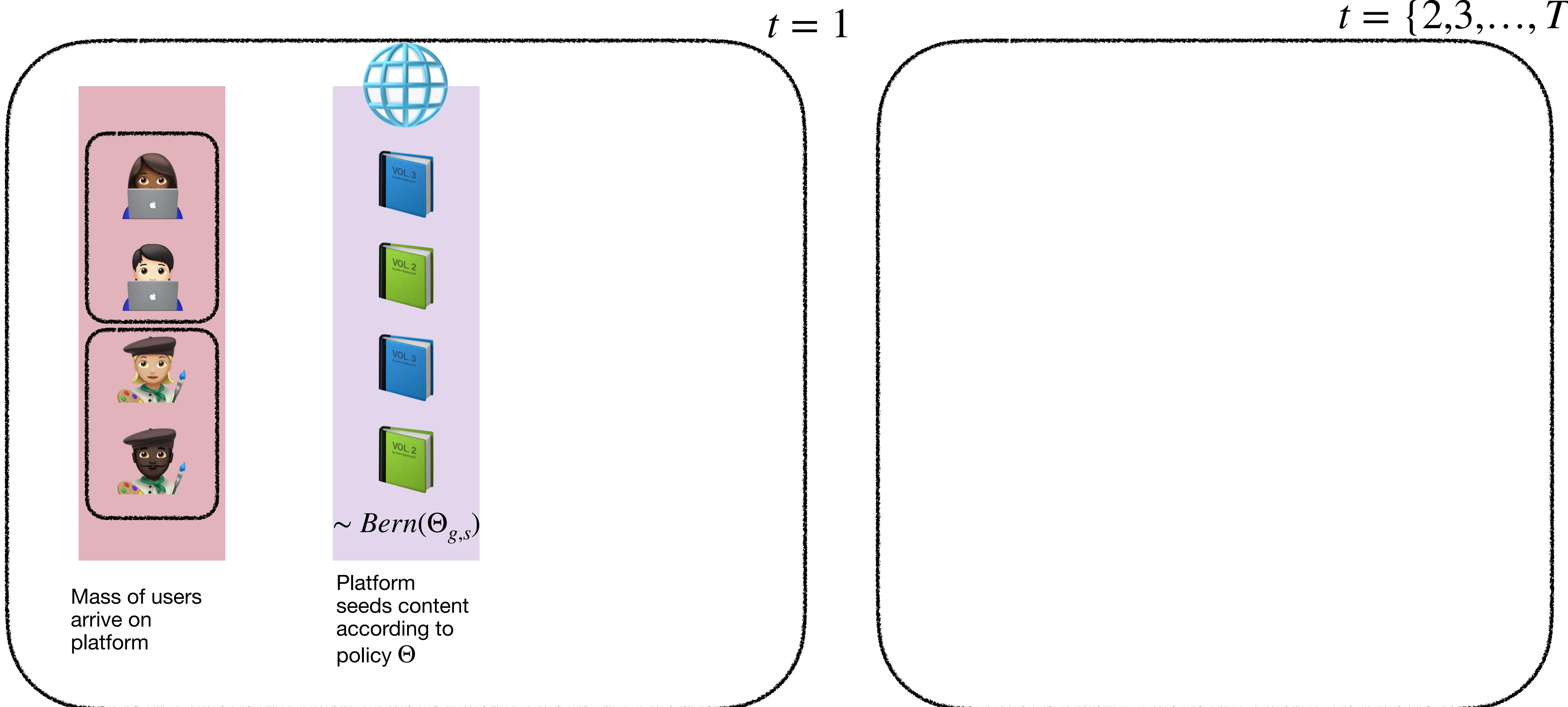


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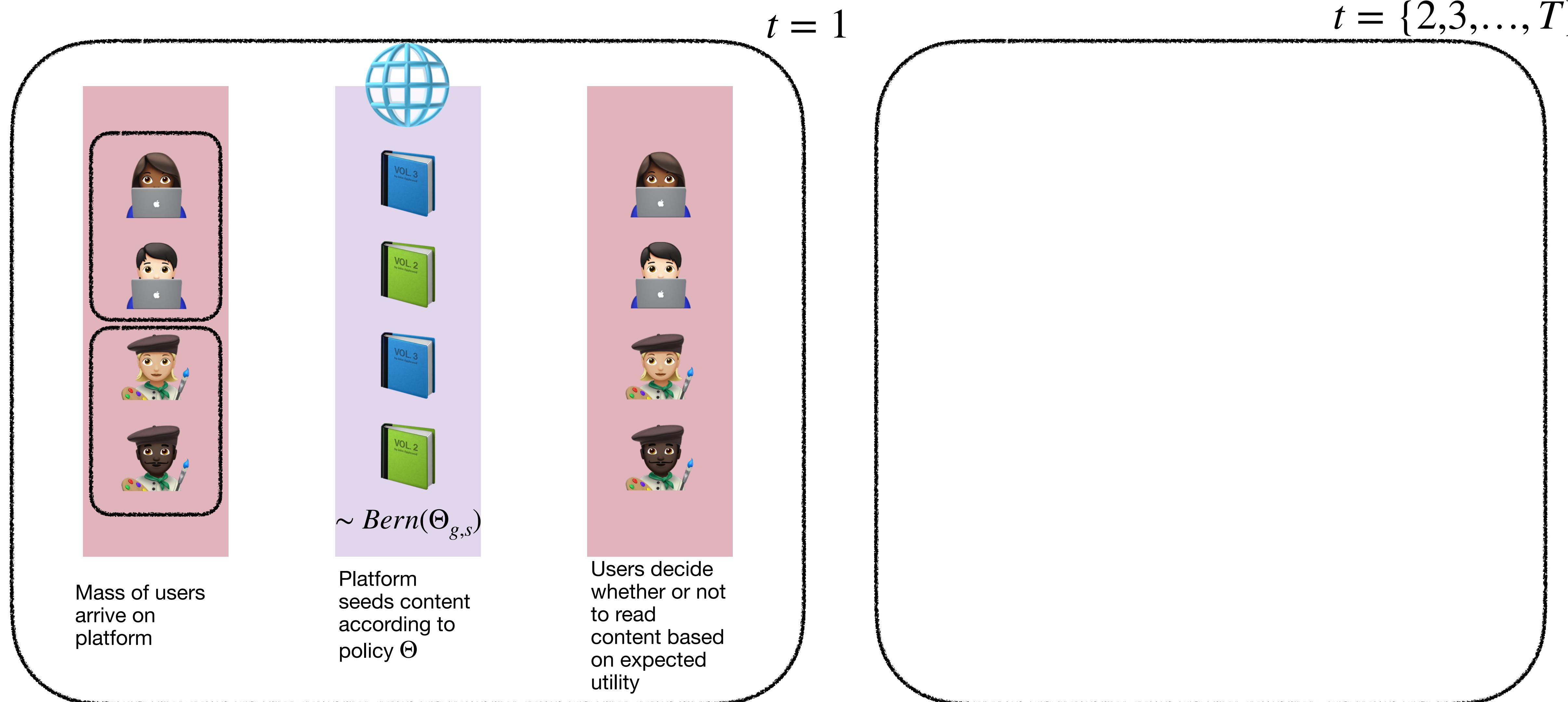


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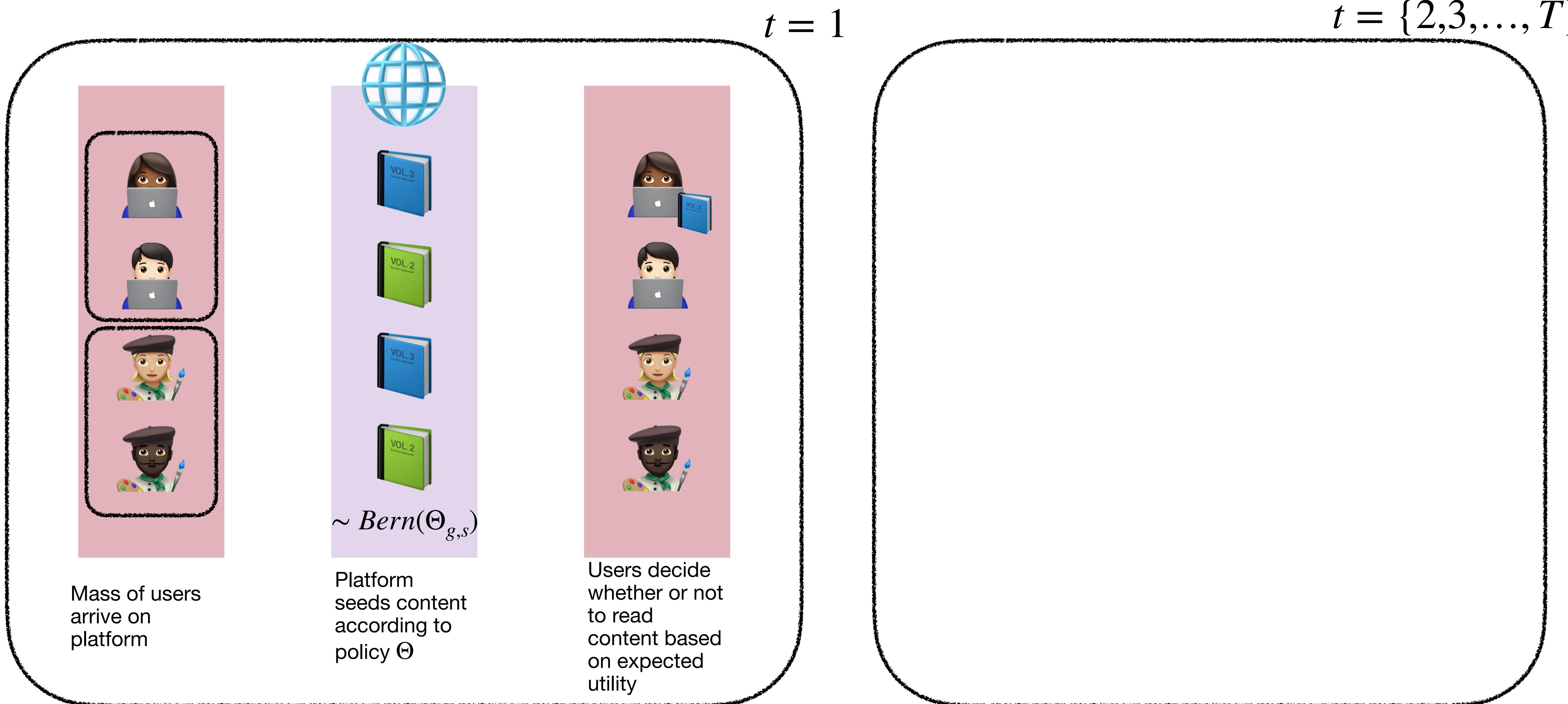


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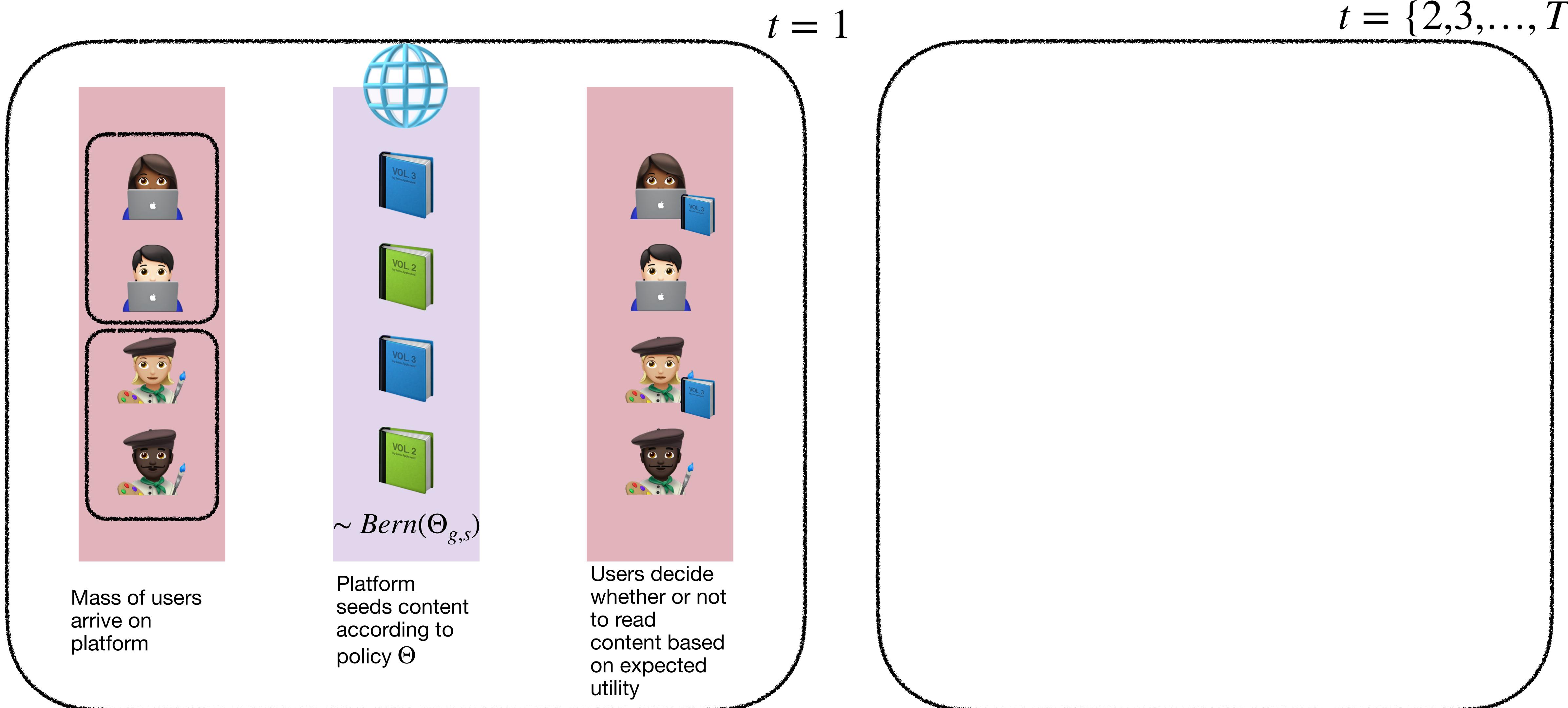


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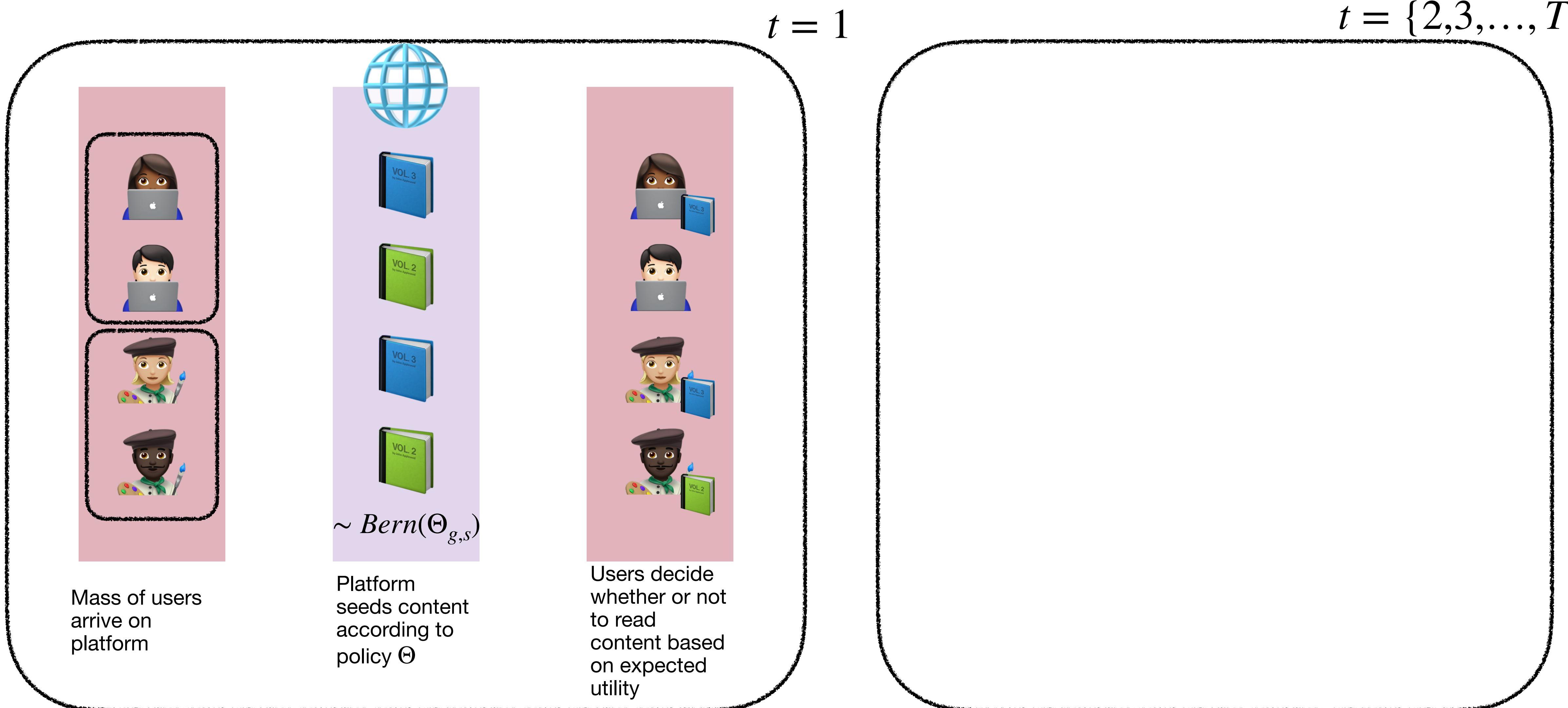


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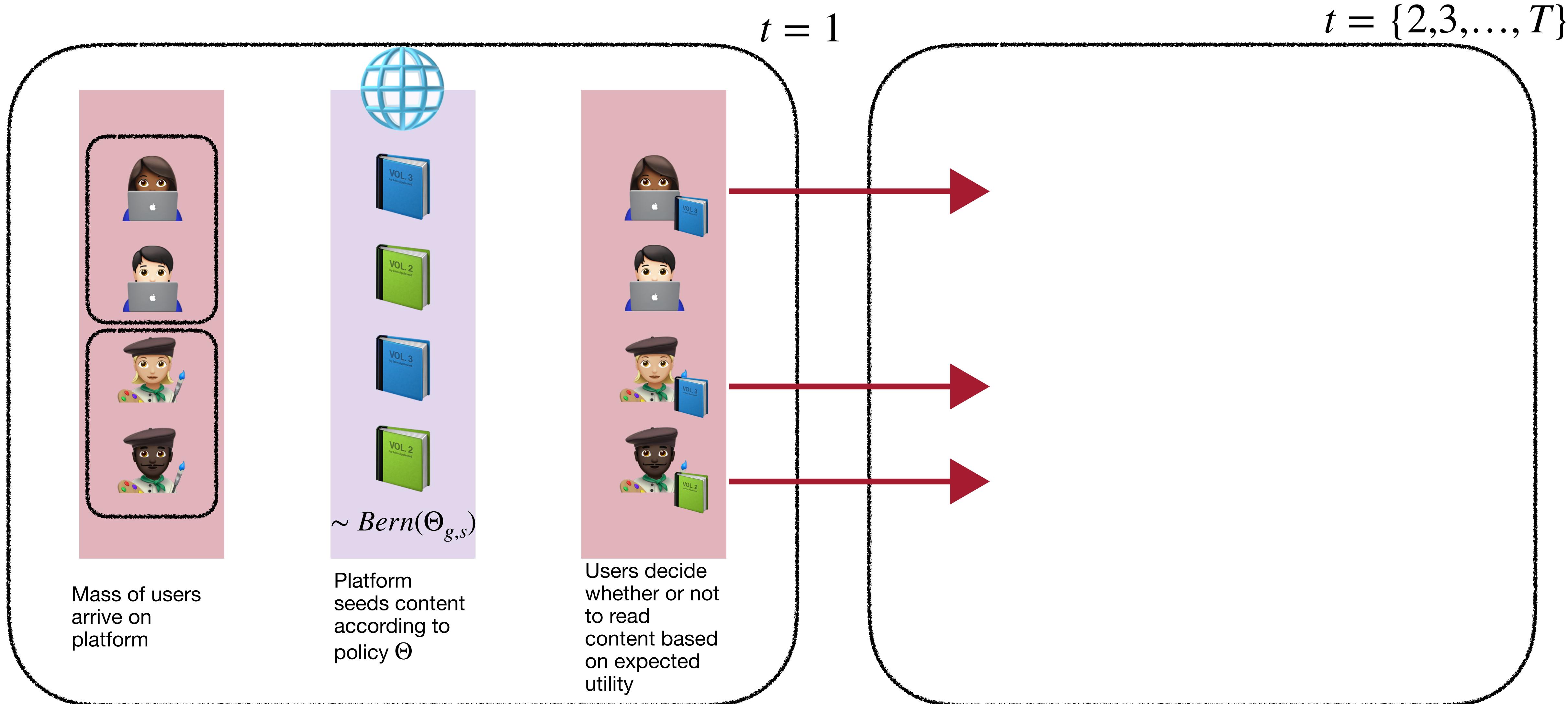


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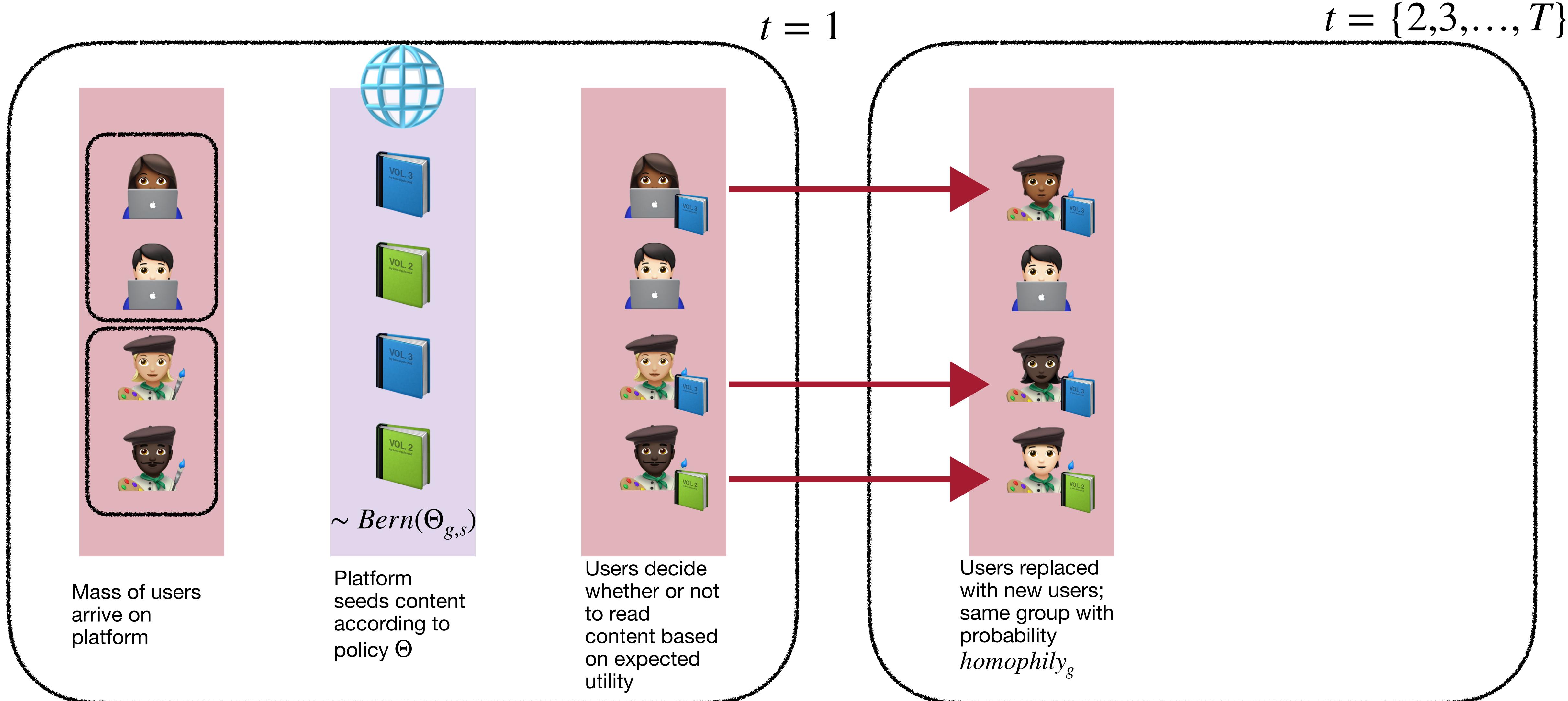


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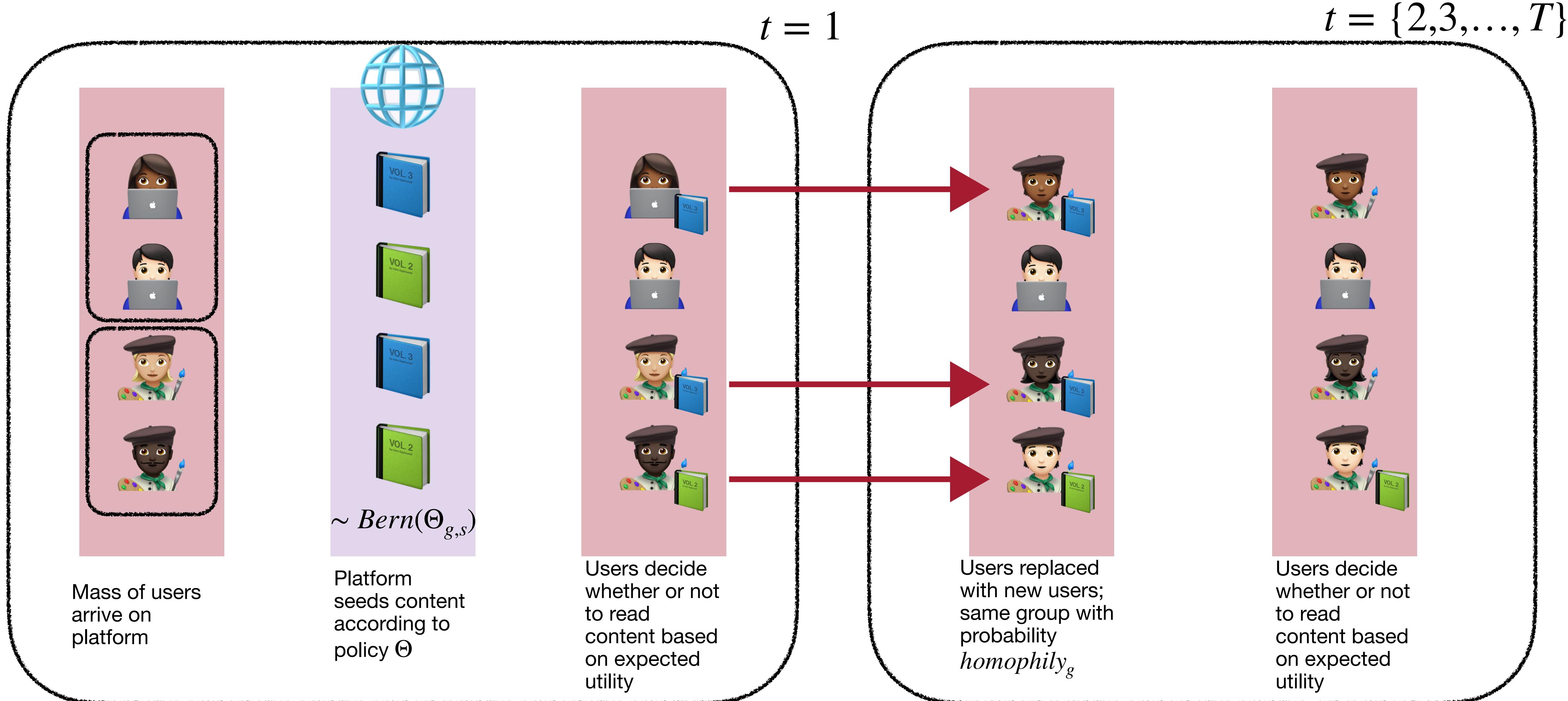


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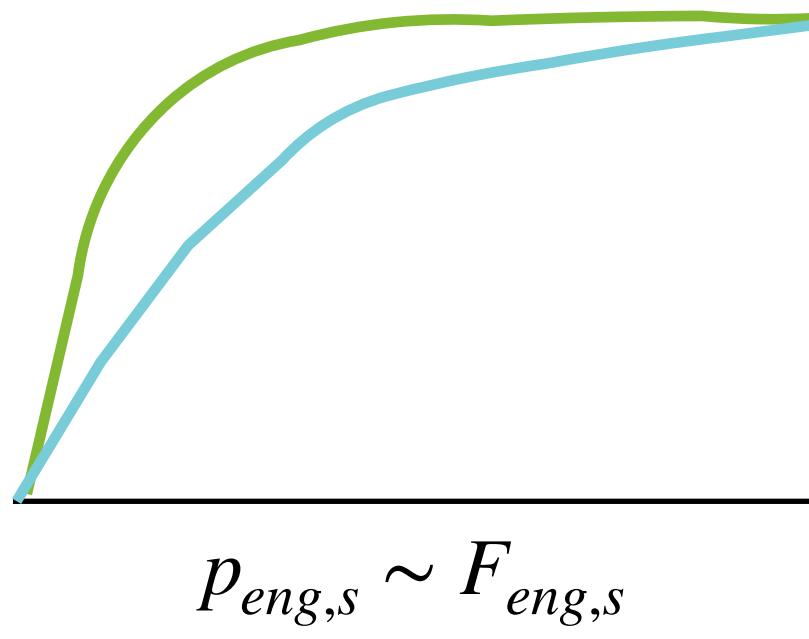


# Player's decision process

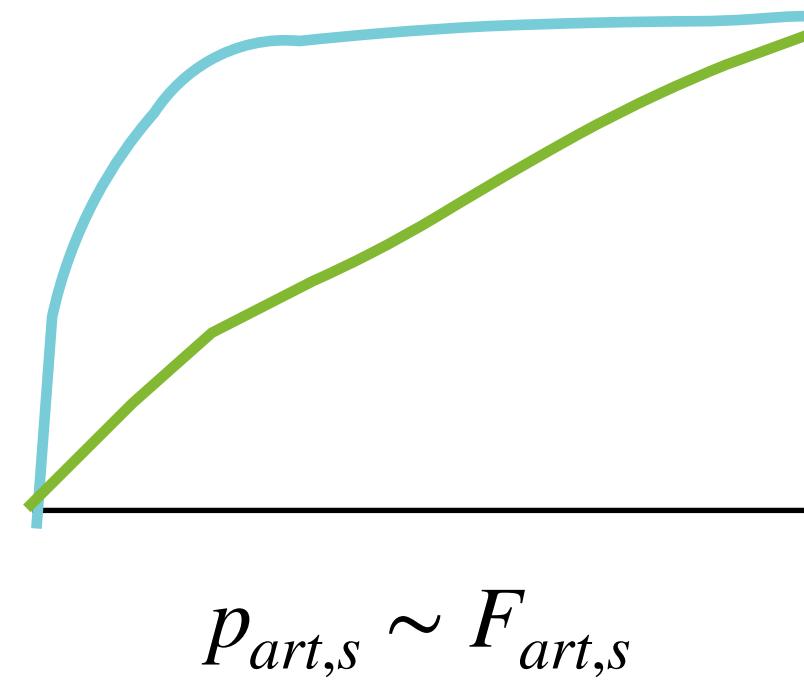


# Player's decision process

CDF[probability liking|engineer]  $F_{eng,s}$



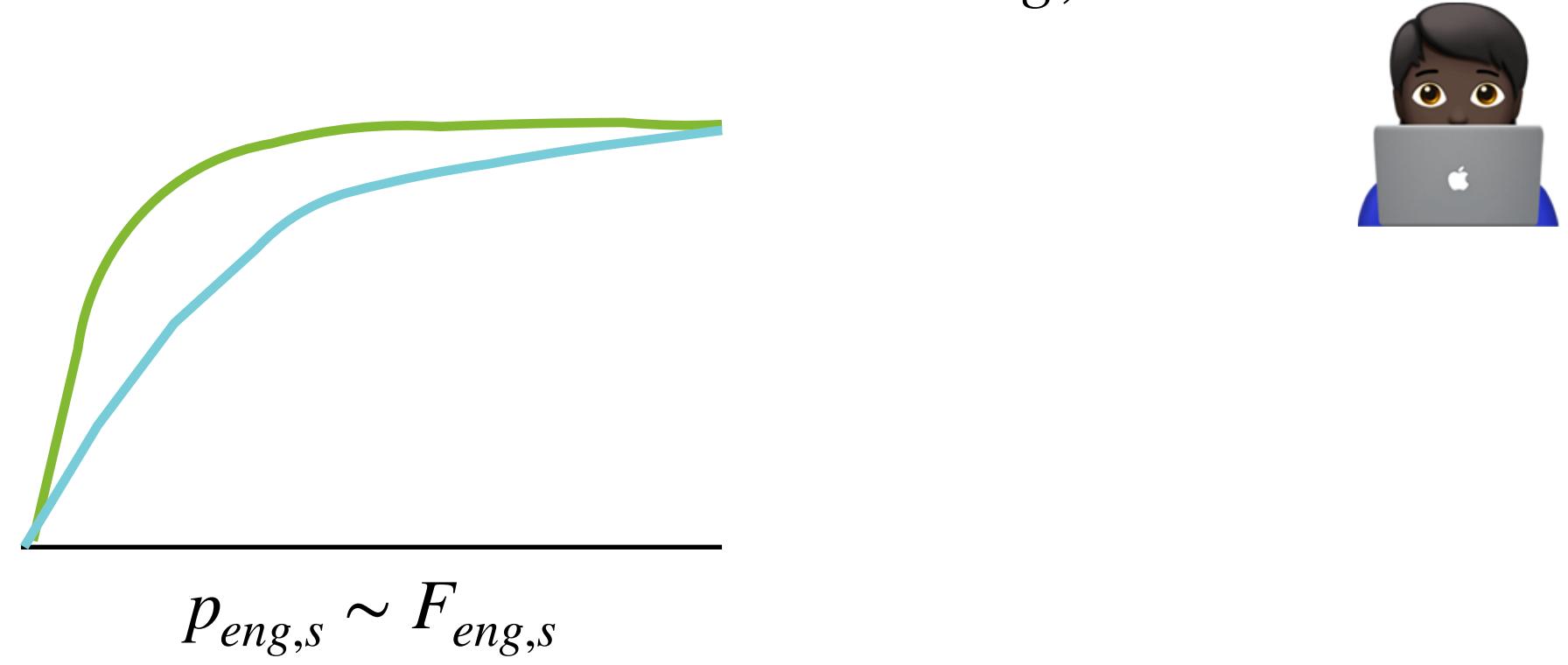
CDF[probability liking|artist]  $F_{art,s}$



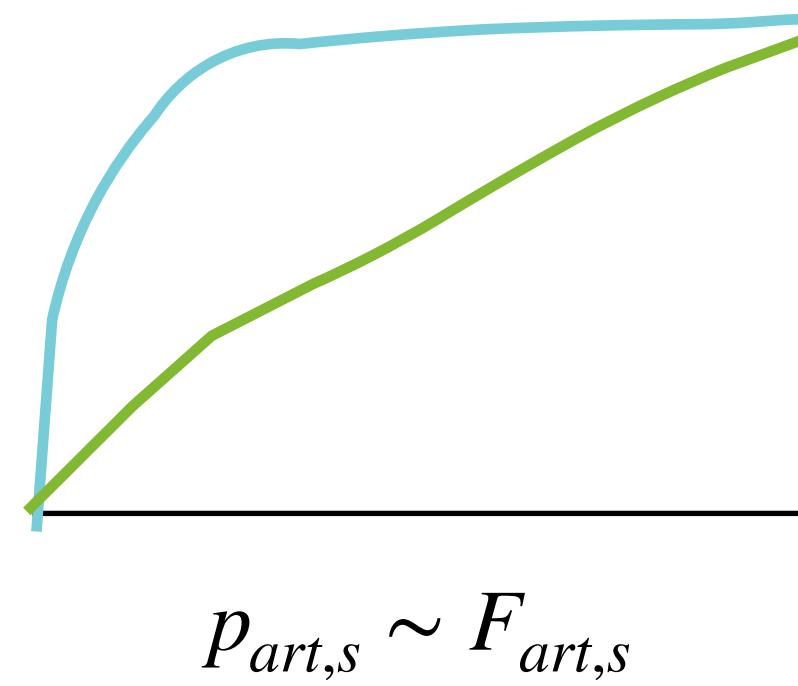


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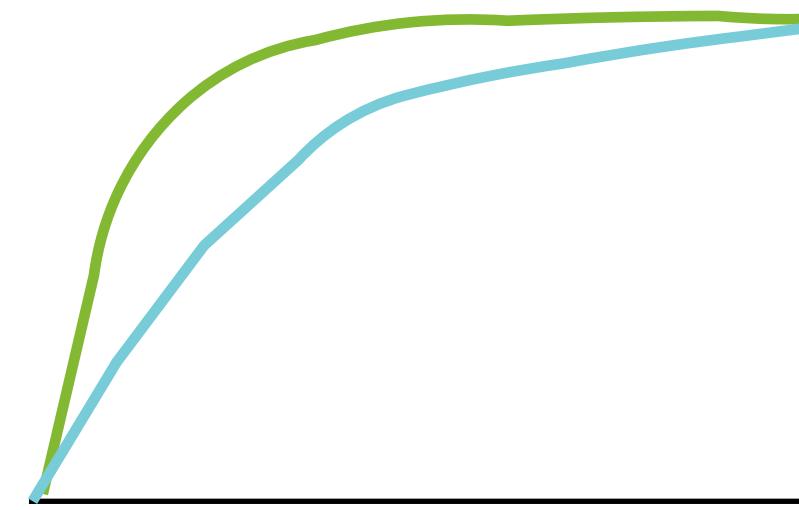
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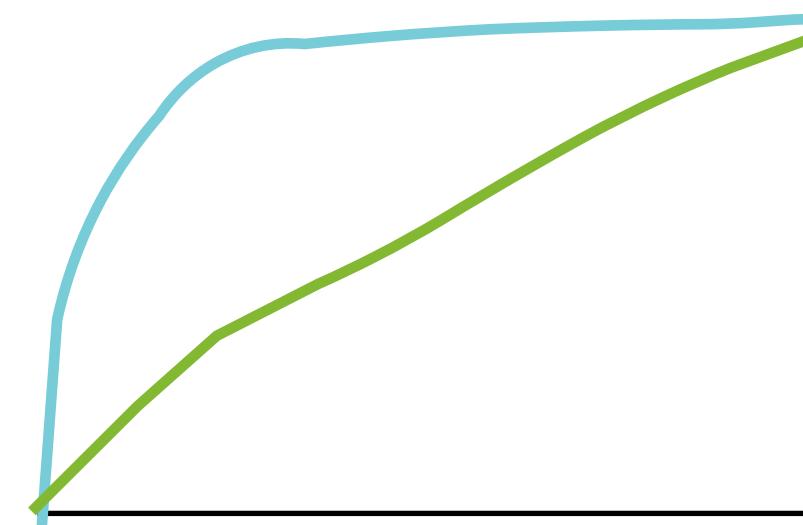


$$p_{eng,s} \sim F_{eng,s}$$



$$p_{\text{blue book}} = \frac{2}{3}$$
$$p_{\text{green book}} = \frac{1}{2}$$

CDF[probability liking|artist]  $F_{art,s}$

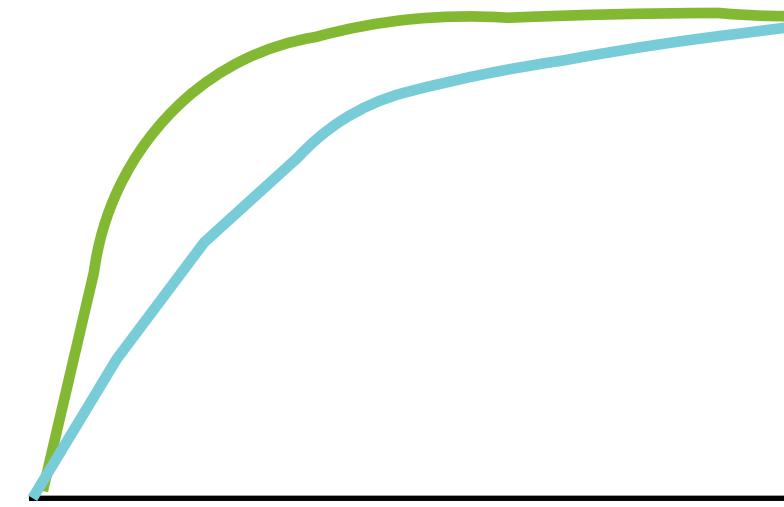


$$p_{art,s} \sim F_{art,s}$$



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$$p_{\text{blue book}} = \frac{2}{3}$$
$$p_{\text{green book}} = \frac{1}{2}$$

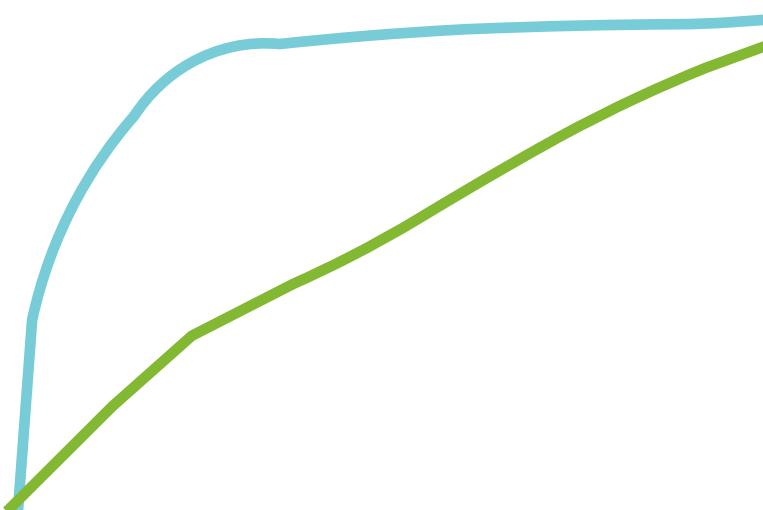
Value

$$v_{\text{blue book}} = \frac{1}{10}$$
$$v_{\text{green book}} = \frac{1}{10}$$

Cost

$$c_{\text{blue book}} = \frac{1}{20}$$
$$c_{\text{green book}} = \frac{1}{10}$$

CDF[probability liking|artist]  $F_{art,s}$

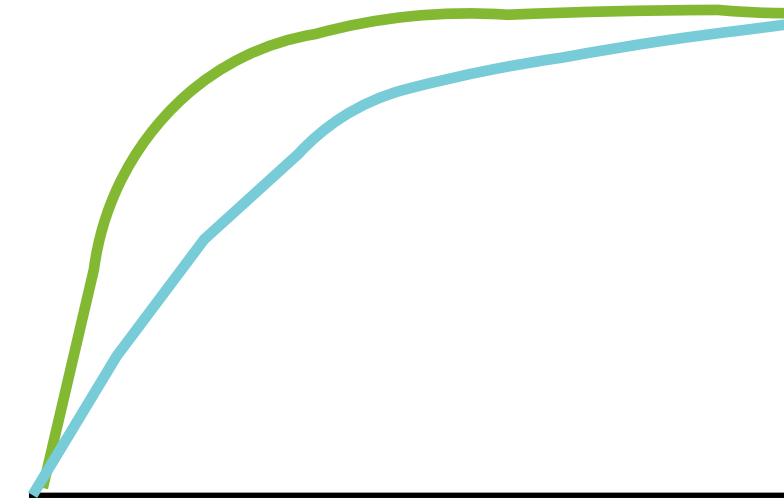


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$$v_{\text{blue book}} = \frac{1}{10}$$

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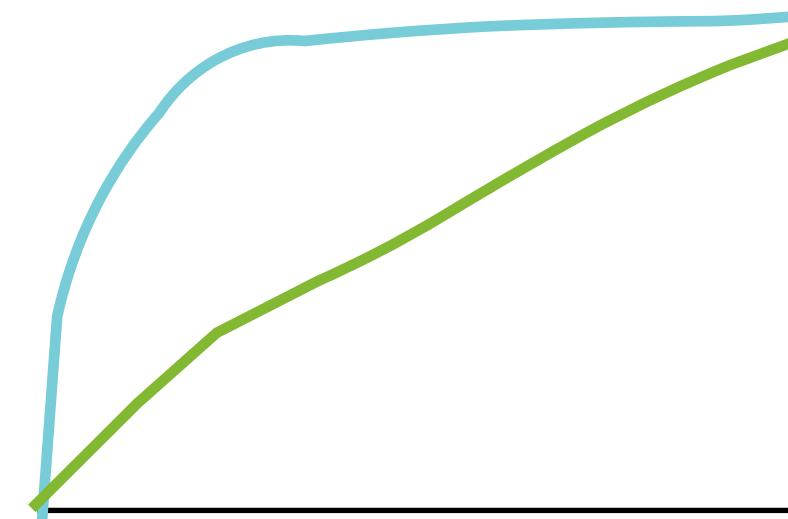
Cost

$$c_{\text{blue book}} = \frac{1}{20}$$

$$c_{\text{green book}} = \frac{1}{10}$$

Expected utility

CDF[probability liking|artist]  $F_{art,s}$



$$p_{\text{blue book}} \sim F_{art,s}$$



Value

$$v_{\text{blue book}} = \frac{1}{10}$$

$$v_{\text{green book}} = \frac{1}{10}$$

Cost

$$c_{\text{blue book}} = \frac{1}{20}$$

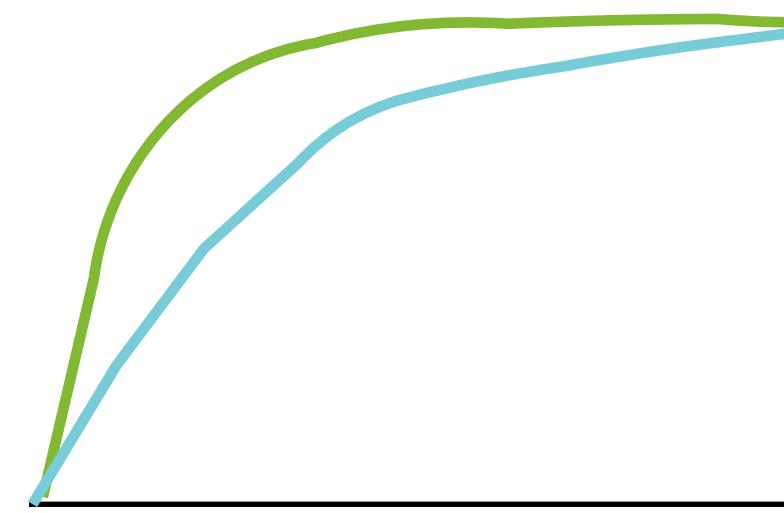
$$c_{\text{green book}} = \frac{1}{10}$$

Expected utility



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CDF[probability liking|engineer]  $F_{eng,s}$



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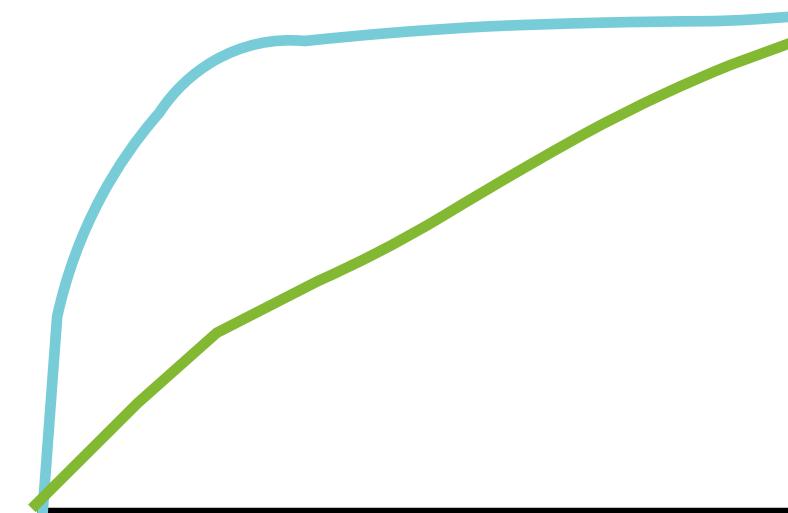
$$c_{\text{green book}} = \frac{1}{10}$$

Expected utility

$$\frac{1}{60}$$

$$-\frac{1}{20}$$

CDF[probability liking|artist]  $F_{art,s}$

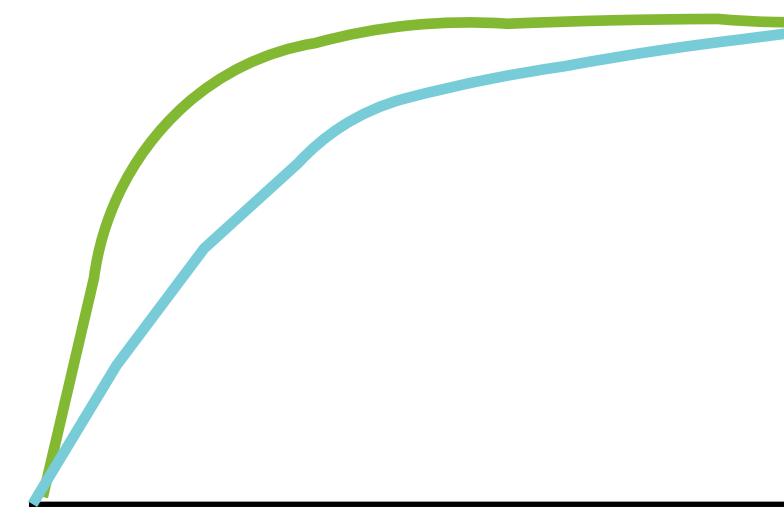


$$p_{art,s} \sim F_{art,s}$$



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CDF[probability liking|engineer]  $F_{eng,s}$



$$p_{\text{blue book}} = \frac{2}{3}$$

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$$v_{\text{blue book}} = \frac{1}{10}$$

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Cost

$$c_{\text{blue book}} = \frac{1}{20}$$

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Expected utility

$$\frac{1}{60} \quad \checkmark$$

$$-\frac{1}{20}$$

CDF[probability liking|artist]  $F_{art,s}$

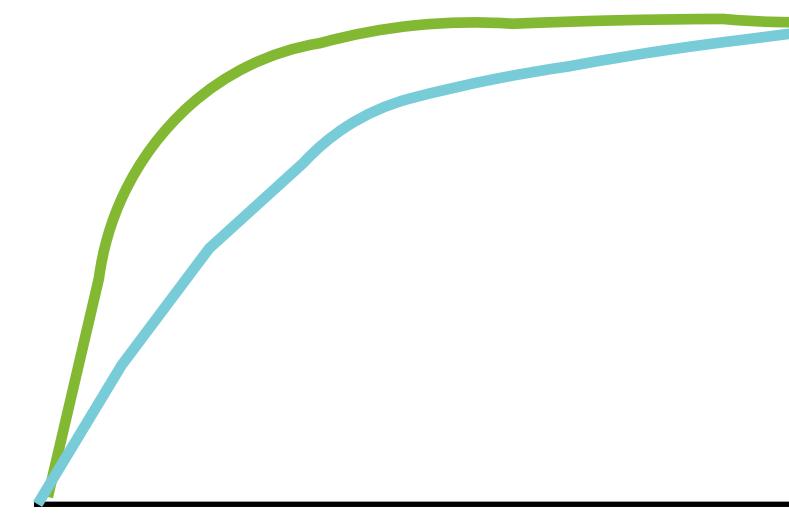


$$p_{\text{art,s}} \sim F_{\text{art,s}}$$



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Cost

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Expected utility

$$\frac{1}{60} \quad \checkmark$$

$$-\frac{1}{20} \quad \times$$

CDF[probability liking|artist]  $F_{art,s}$

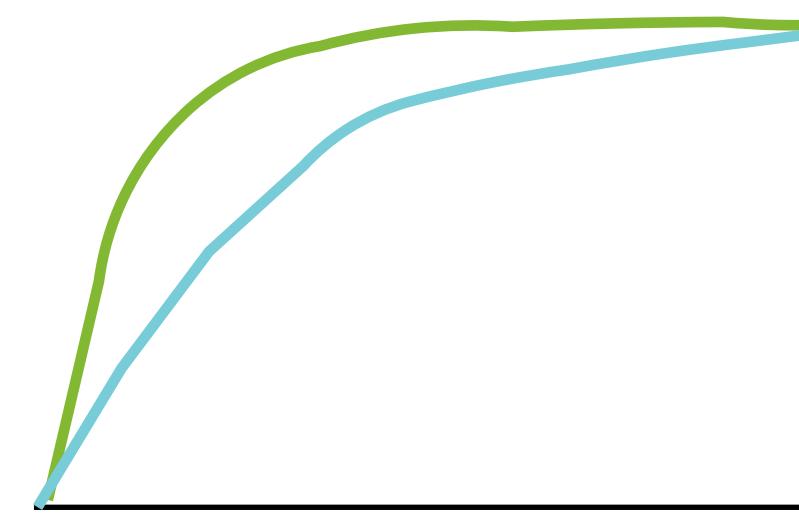


$$p_{\text{art,s}} \sim F_{\text{art,s}}$$



# Player's decision process

CDF[probability liking|engineer]  $F_{eng,s}$



$$p_{eng,s} \sim F_{eng,s}$$



$$p = \begin{cases} \frac{2}{3} \\ \frac{1}{2} \end{cases}$$

$$v = \begin{cases} \frac{1}{10} \\ \frac{1}{10} \end{cases}$$

$$c = \begin{cases} \frac{1}{20} \\ \frac{1}{10} \end{cases}$$

$$\frac{1}{60}$$



$$p = \begin{cases} \frac{3}{4} \\ \frac{1}{3} \end{cases}$$

$$v = \begin{cases} \frac{1}{10} \\ \frac{1}{10} \end{cases}$$

$$c = \begin{cases} \frac{1}{20} \\ \frac{1}{10} \end{cases}$$

$$\frac{1}{40}$$



$$p = \begin{cases} \frac{2}{3} \\ \frac{3}{4} \end{cases}$$

$$v = \begin{cases} \frac{1}{10} \\ \frac{1}{3} \end{cases}$$

$$c = \begin{cases} \frac{1}{20} \\ \frac{1}{40} \end{cases}$$

$$\frac{1}{60}$$



$$p = \begin{cases} \frac{1}{4} \\ \frac{5}{6} \end{cases}$$

$$v = \begin{cases} \frac{1}{10} \\ \frac{1}{3} \end{cases}$$

$$c = \begin{cases} \frac{1}{20} \\ \frac{1}{40} \end{cases}$$

$$\frac{91}{360}$$



Value

Cost

Expected utility

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Maximize **expected number of clicks at time t**

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$$\max_{\Theta} \quad \text{total\_clicks} (\cdot, \Theta)$$

# Platform's Optimization Problem

Maximize **expected number of clicks** at time t

over **time**, and across **groups**, and **sources**

$$\max_{\Theta} \sum_{t=1}^T \sum_{g \in \{a,b\}} \sum_{s \in \{A,B\}} total\_clicks_{gs}(t, \Theta)$$

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Maximize **expected number of clicks** at time t

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$$\max_{\Theta} \sum_{t=1}^T \sum_{g \in \{a,b\}} \sum_{s \in \{A,B\}} total\_clicks_{g,s}(t, \Theta)$$

$total\_clicks_{g,s}(t + 1, \Theta) = ind\_clicks_{g,s} \times$  expected number of users in group g  
shown content s at time t

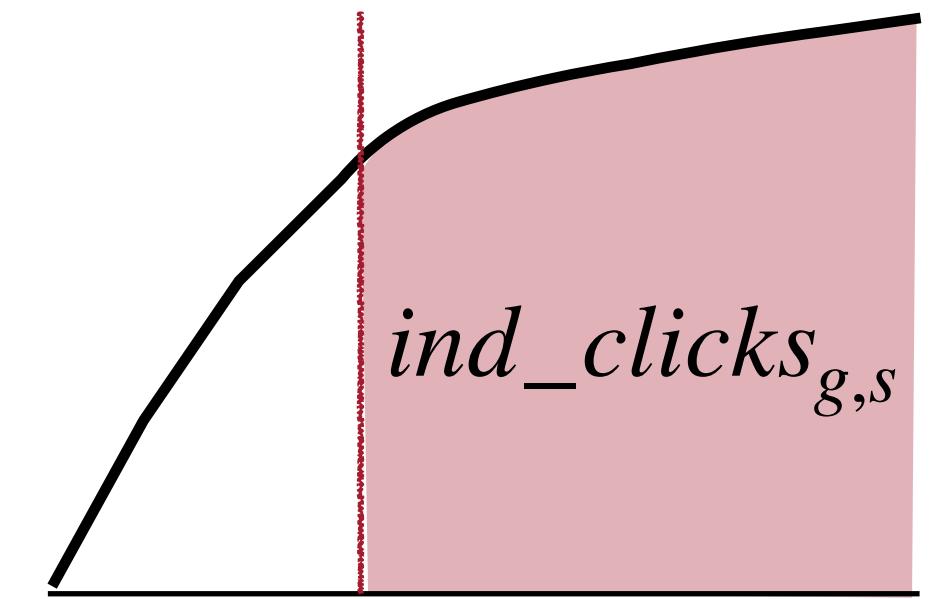
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$cost_{g,s}/value_{g,s}$



Prob. Liking content

$total\_clicks_{g,s}(t + 1, \Theta) = ind\_clicks_{g,s} \times$  expected number of users in group  $g$   
shown content  $s$  at time  $t$

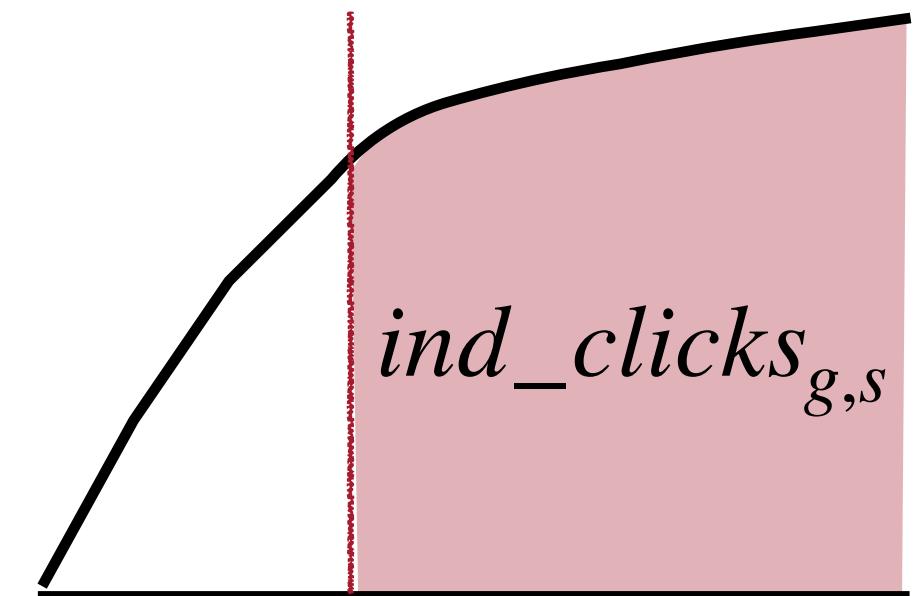
# Platform's Optimization Problem

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$$\max_{\Theta} \sum_{t=1}^T \sum_{g \in \{a,b\}} \sum_{s \in \{A,B\}} total\_clicks_{g,s}(t, \Theta)$$

$cost_{g,s}/value_{g,s}$



$total\_clicks_{g,s}(t + 1, \Theta) = ind\_clicks_{g,s} \times$  expected number of users in group  $g$   
shown content  $s$  at time  $t$

Proposition (informal): The exclusion of any fairness constraints in the platform's optimization problem → group homogeneous targeting

# Possible notions of fair exposure

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Recall  $\text{total\_clicks}_{g,s}(t, \Theta)$ : expected number of users in  $g$  at time  $t$  who click content  $s$

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**Constant fair exposure:** the rate of exposure of users who preferred content  $s$  is constant and at level  $e \in [0,1]$  at each  $t$  and across groups

# Possible notions of fair exposure

Recall  $\text{total\_clicks}_{g,s}(t, \Theta)$ : expected number of users in  $g$  at time  $t$  who click content  $s$

**Constant fair exposure:** the rate of exposure of users who preferred content  $s$  is constant and at level  $e \in [0,1]$  at each  $t$  and across groups

$$\frac{\text{total\_clicks}_{b,s}(t, \Theta)}{\text{frac. population in group } a} \approx \frac{\text{total\_clicks}_{b,s'}(t, \Theta)}{\text{frac. population in group } b} \approx e \quad \forall t \leq T, \forall s \neq s'$$

# Possible notions of fair exposure

Recall  $\text{total\_clicks}_{g,s}(t, \Theta)$ : expected number of users in  $g$  at time  $t$  who click content  $s$

**Constant fair exposure:** the rate of exposure of users who preferred content  $s$  is constant and at level  $e \in [0,1]$  at each  $t$  and across groups

$$\frac{\text{total\_clicks}_{b,s}(t, \Theta)}{\text{frac. population in group } a} \approx \frac{\text{total\_clicks}_{b,s'}(t, \Theta)}{\text{frac. population in group } b} \approx e \quad \forall t \leq T, \forall s \neq s'$$

**Proposition (informal):** Constant fair exposure is generally not feasible

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1. Introducing fairness constraints does not necessarily imply that the outcome is truly fair or balanced
2. Constraints forbid group homogeneous targeting for both groups, but there exist optimal solutions that target members of one group deterministically

# **“Lowering one group to the other” in practice**

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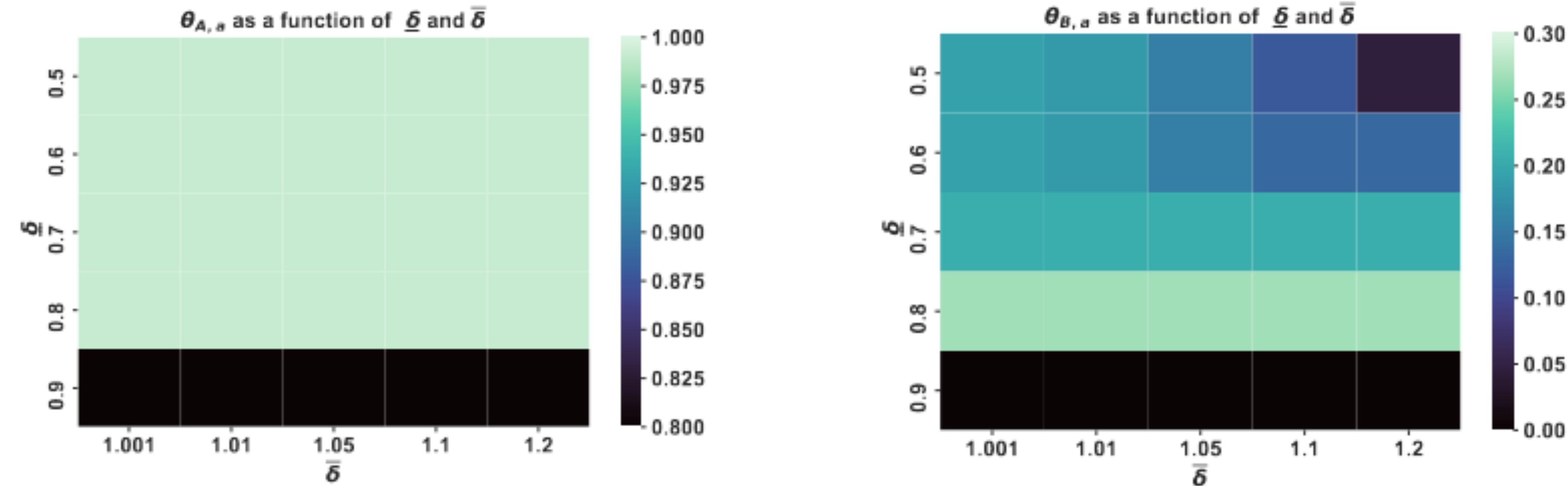


Figure 3: Calculating  $\theta_{A,a}$  (left) and  $\theta_{B,a}$  (right) as a function of  $\underline{\delta}$  and  $\bar{\delta}$  with parameters estimated from [Bakshy et al. \[2015\]](#). Black cells in the bottom row indicate no feasible solution to the fairness-constrained problem.

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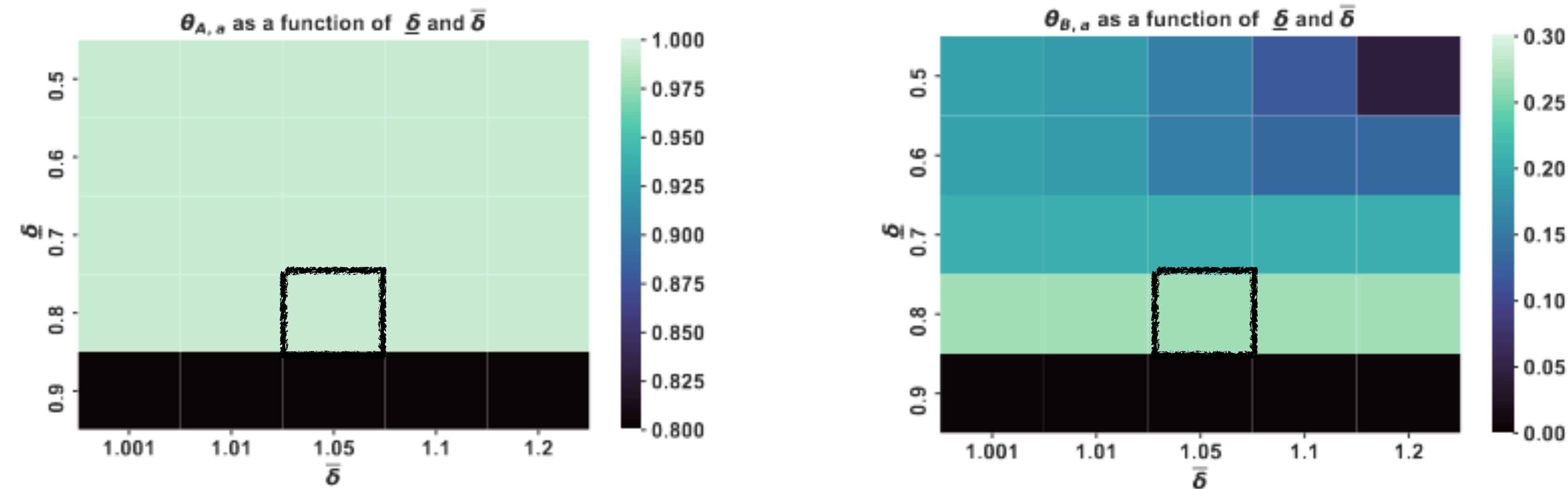


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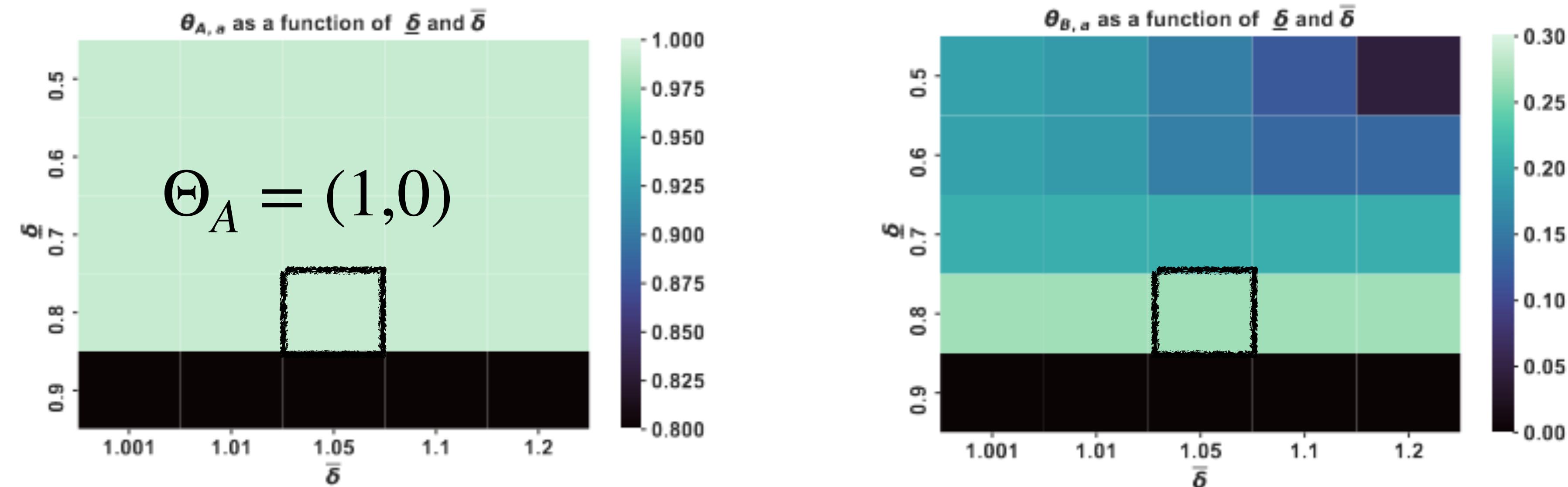


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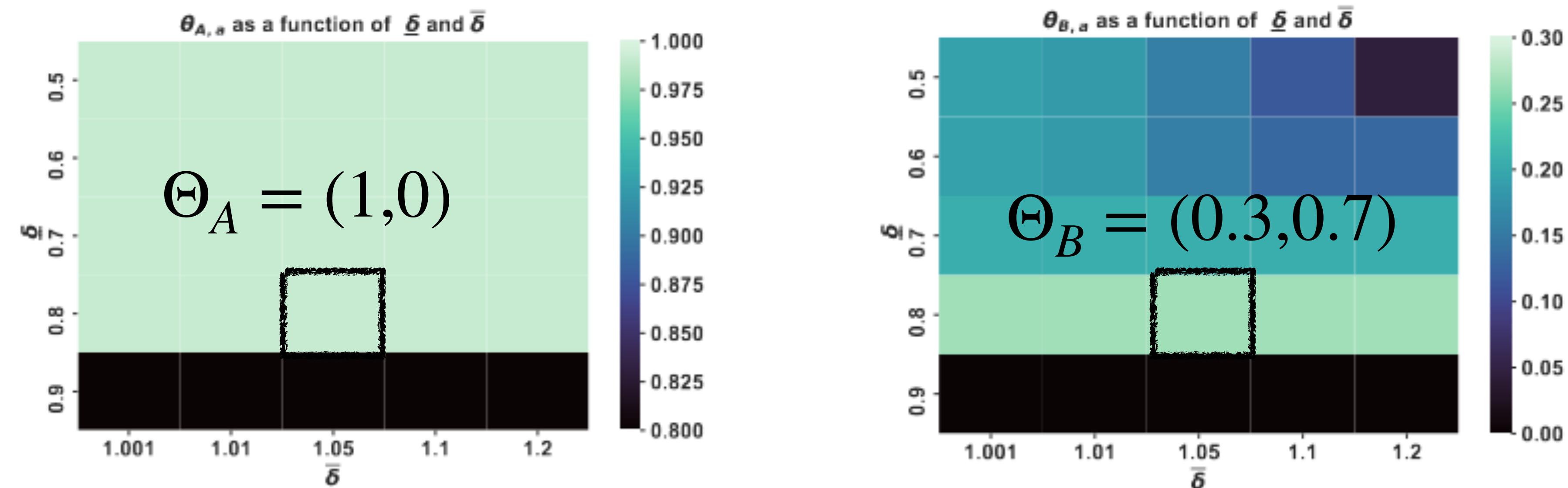


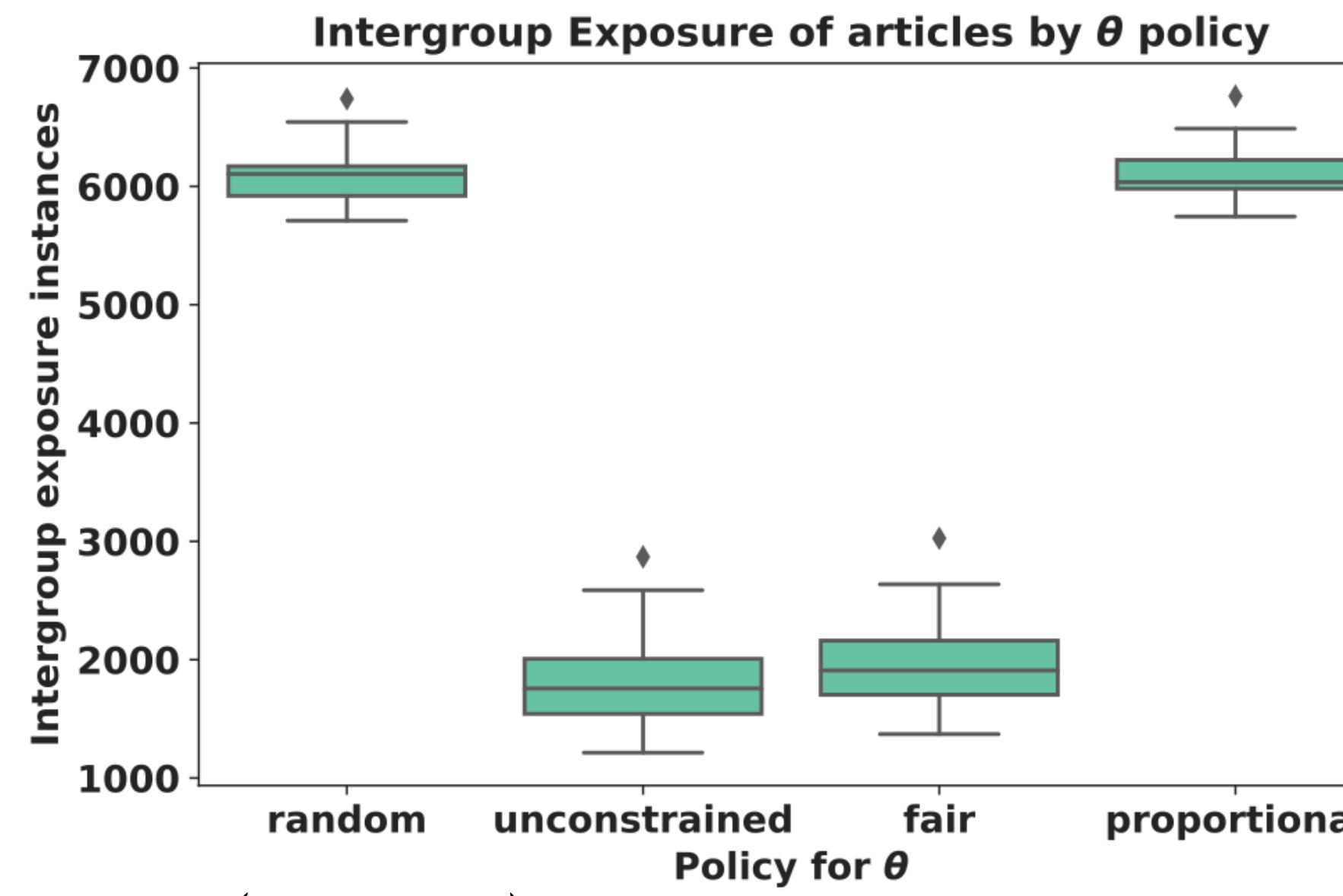
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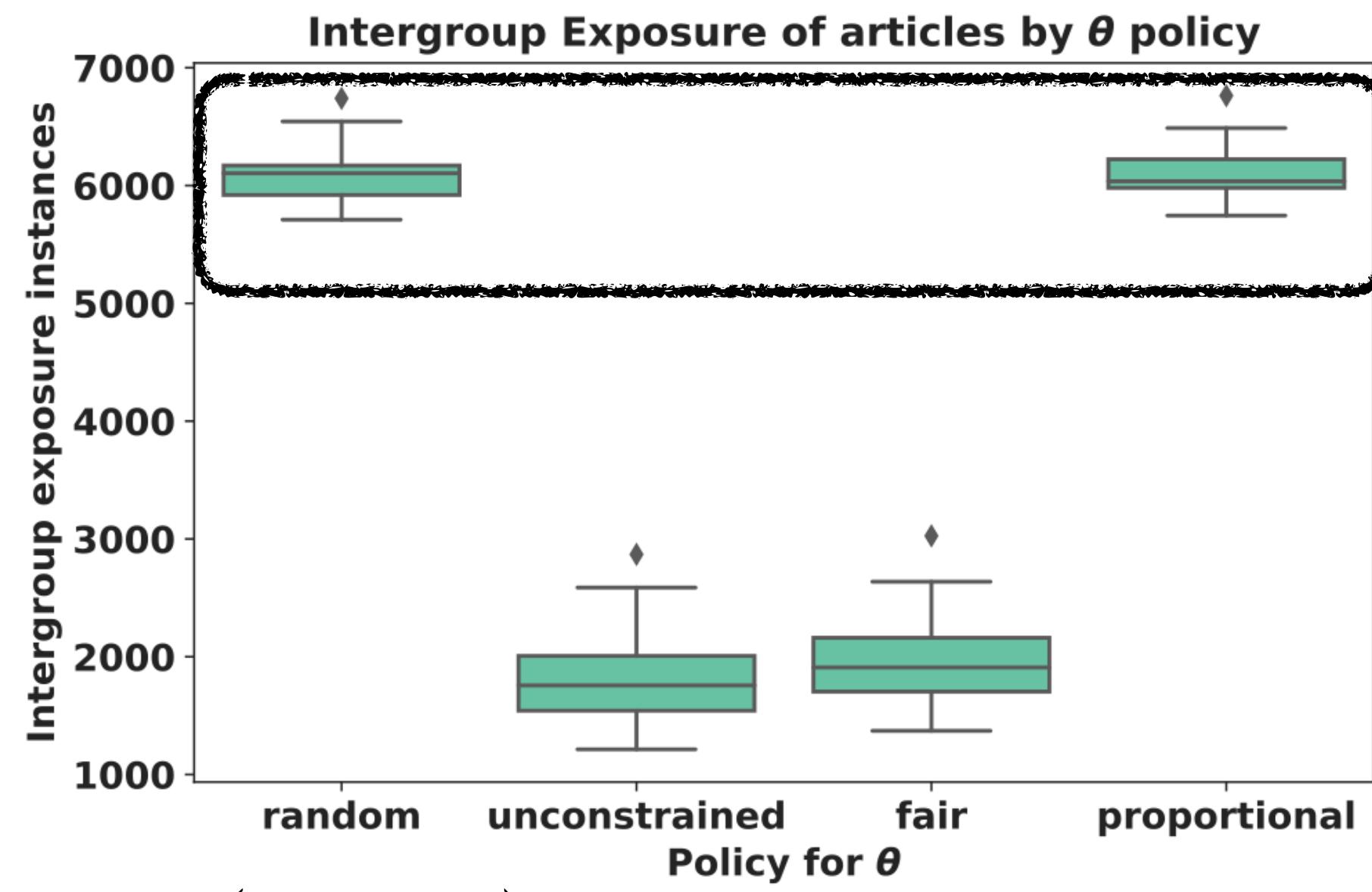


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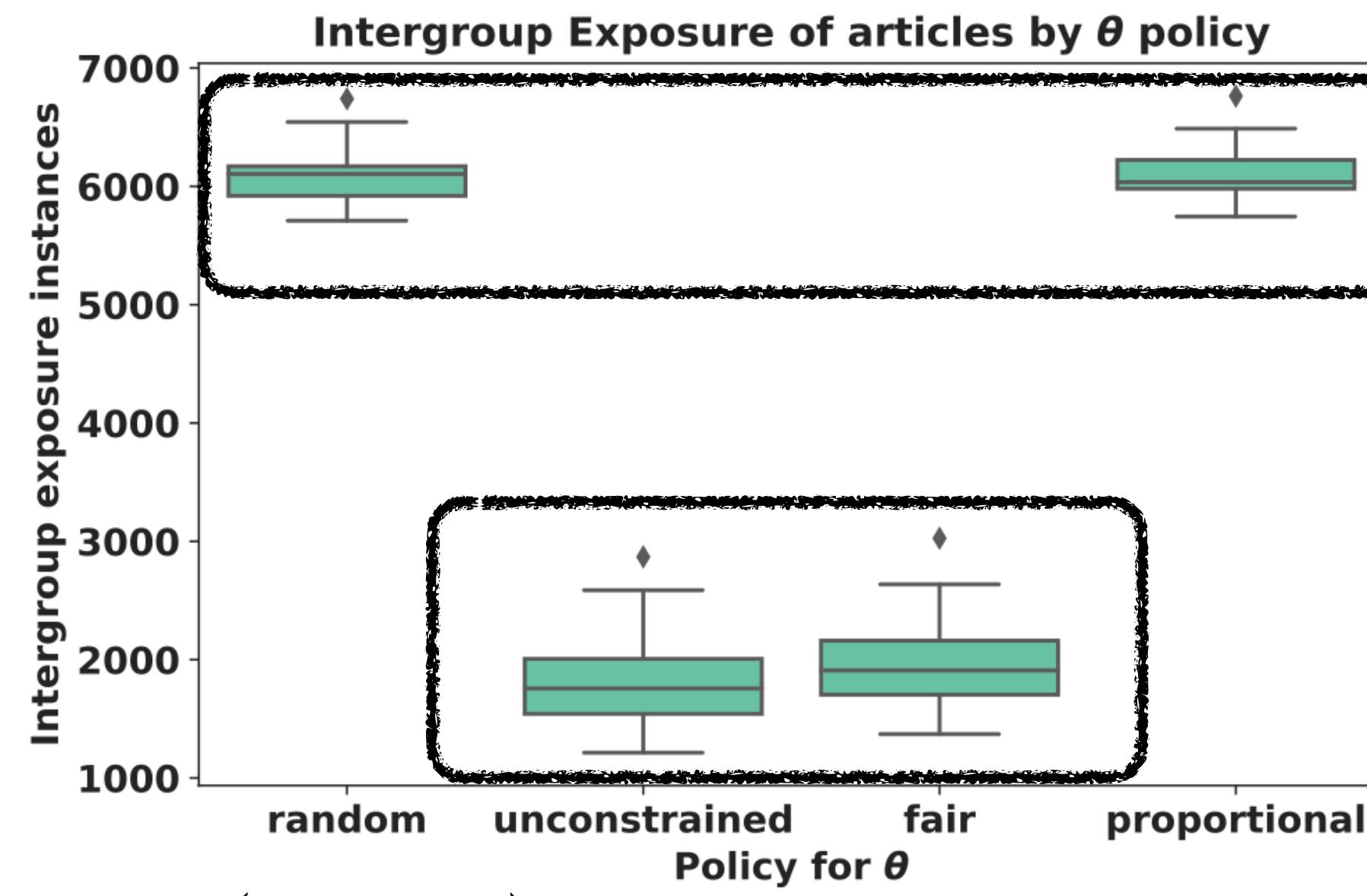


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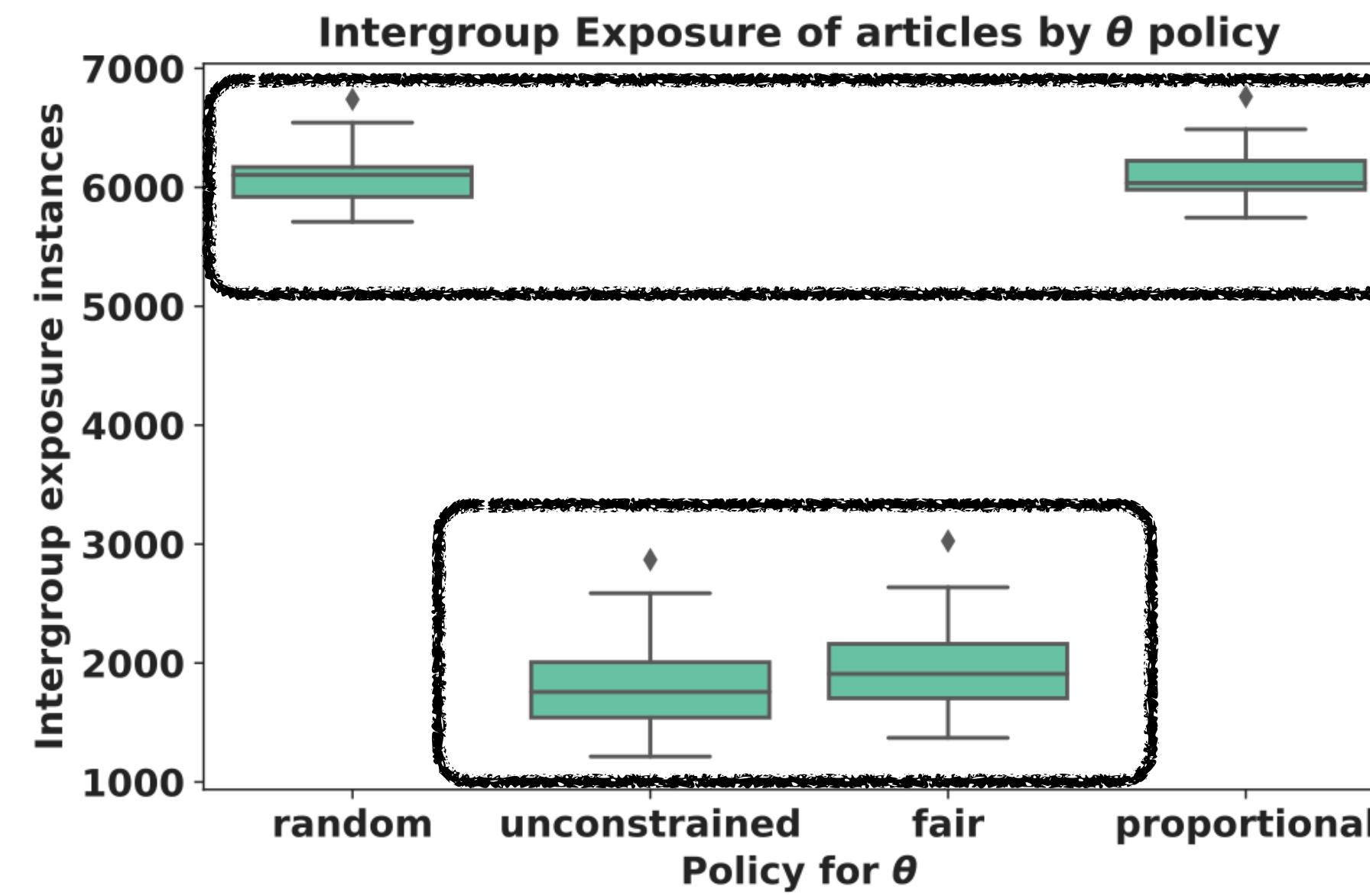


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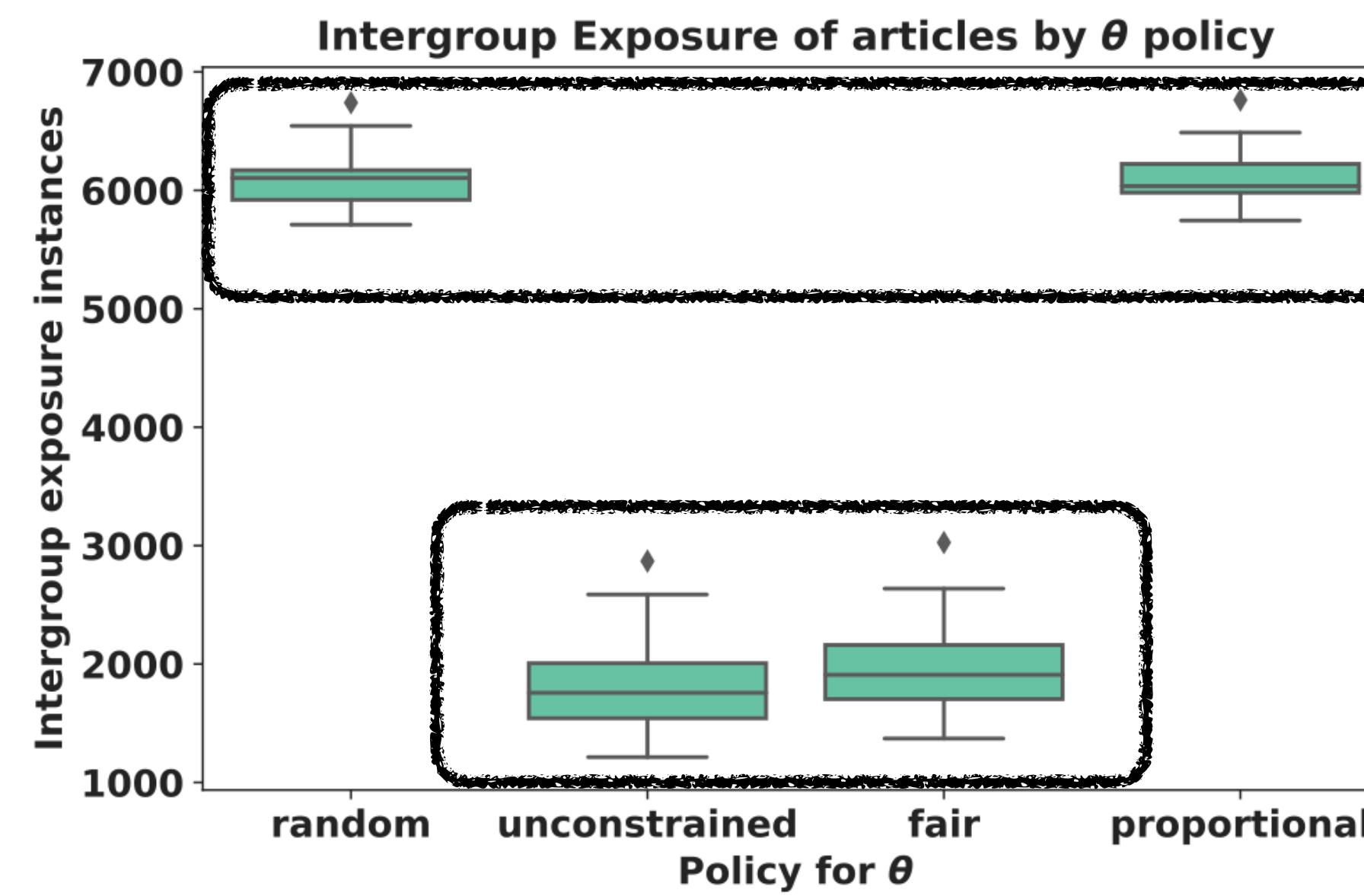
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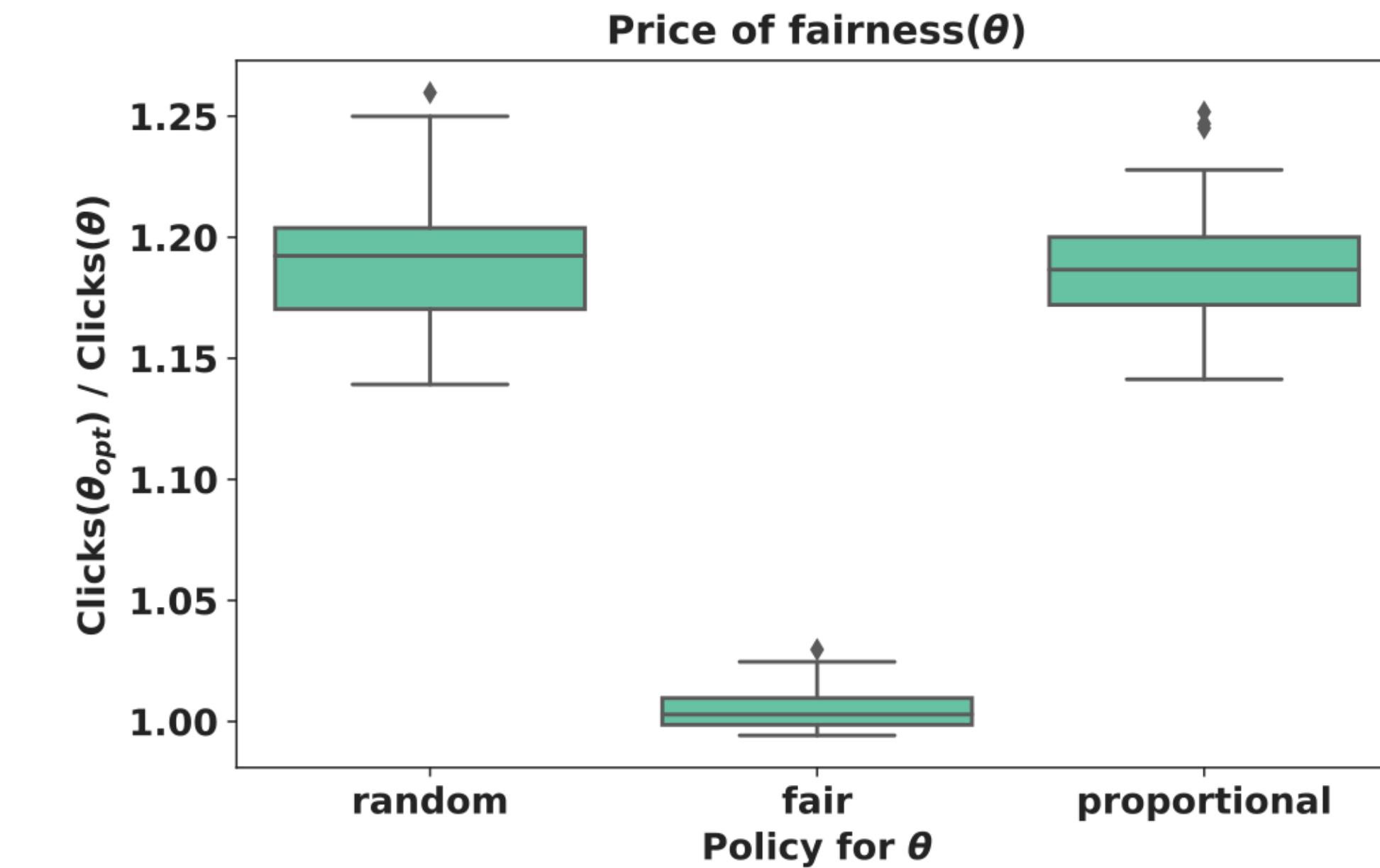
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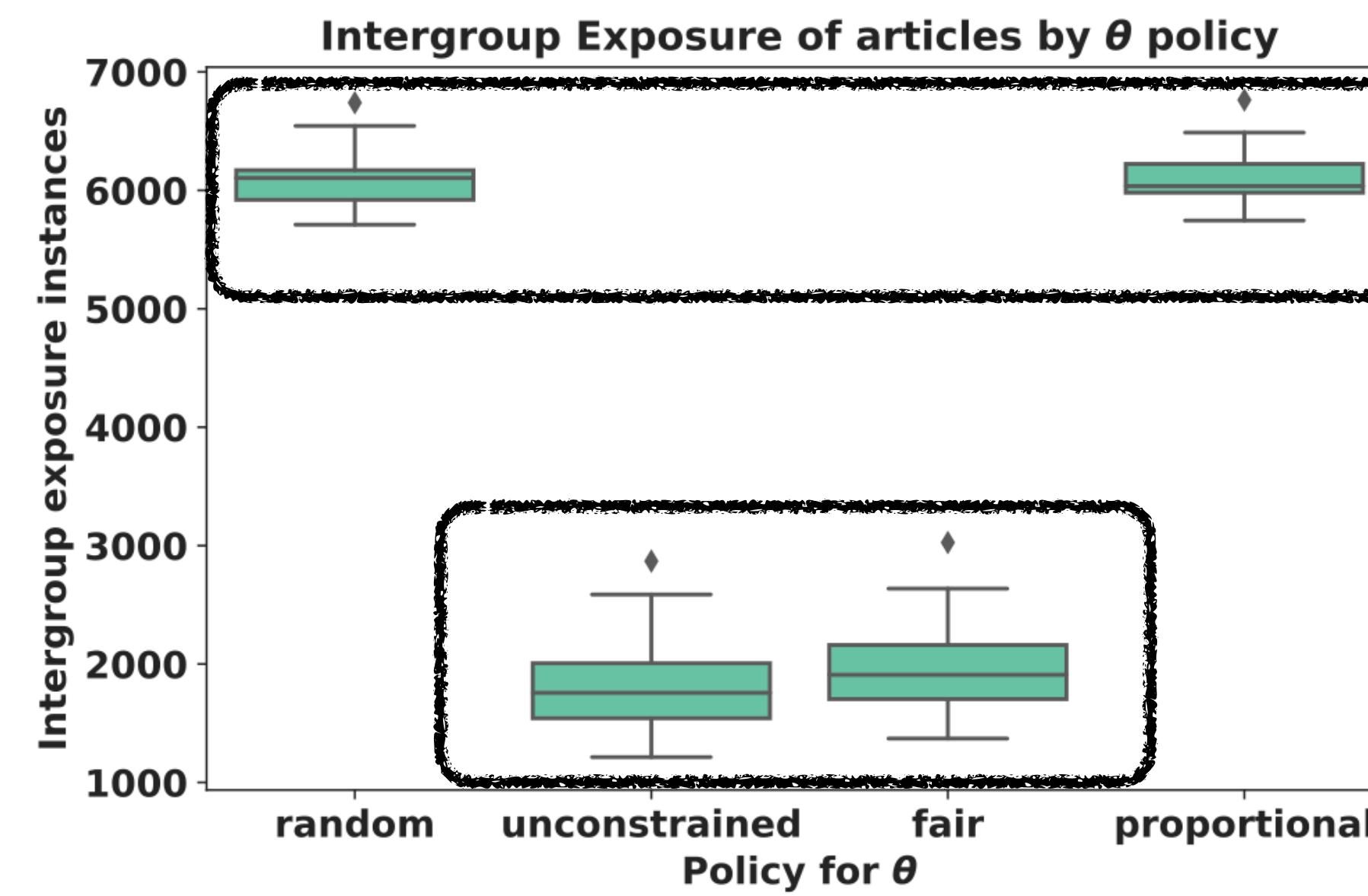
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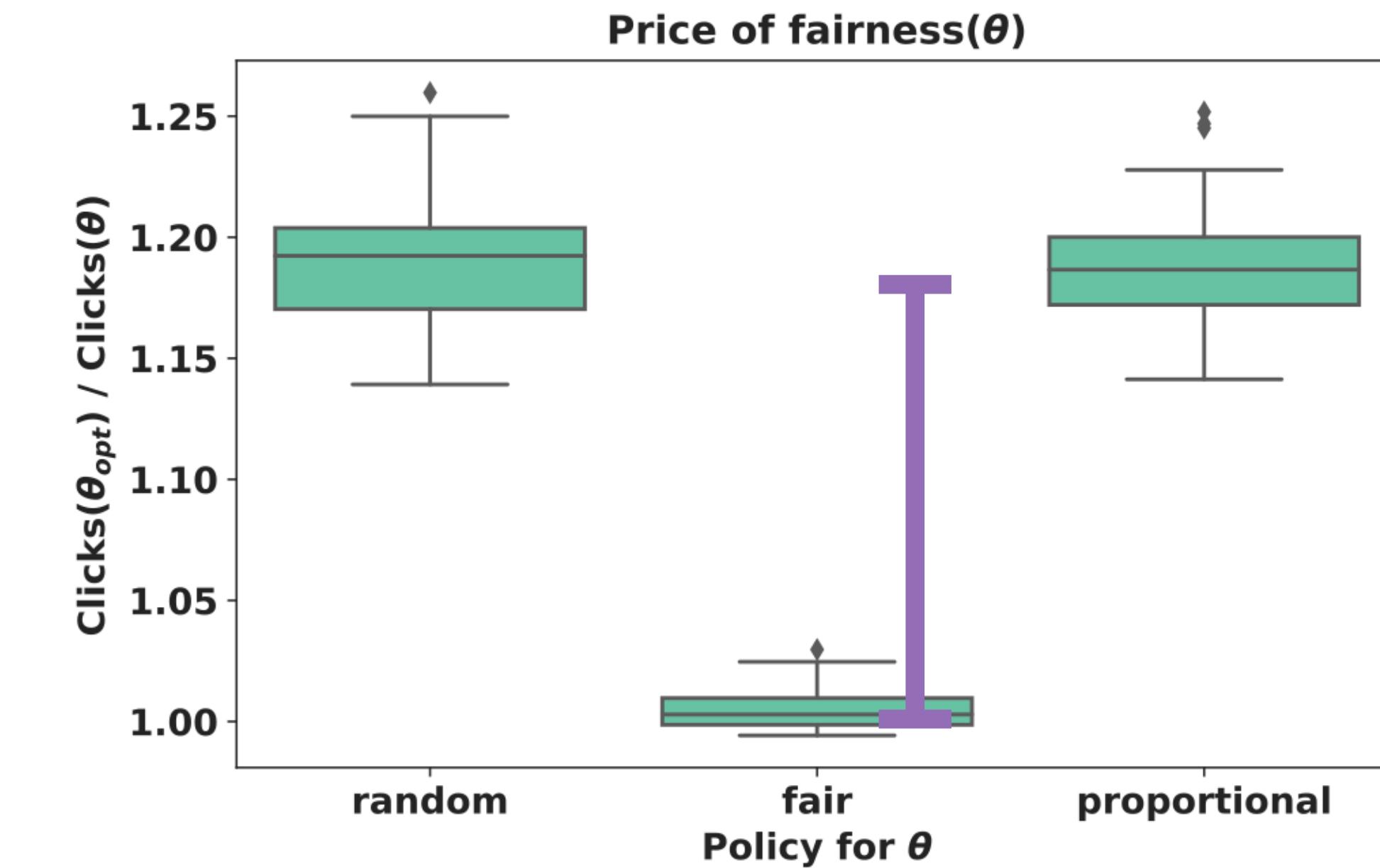
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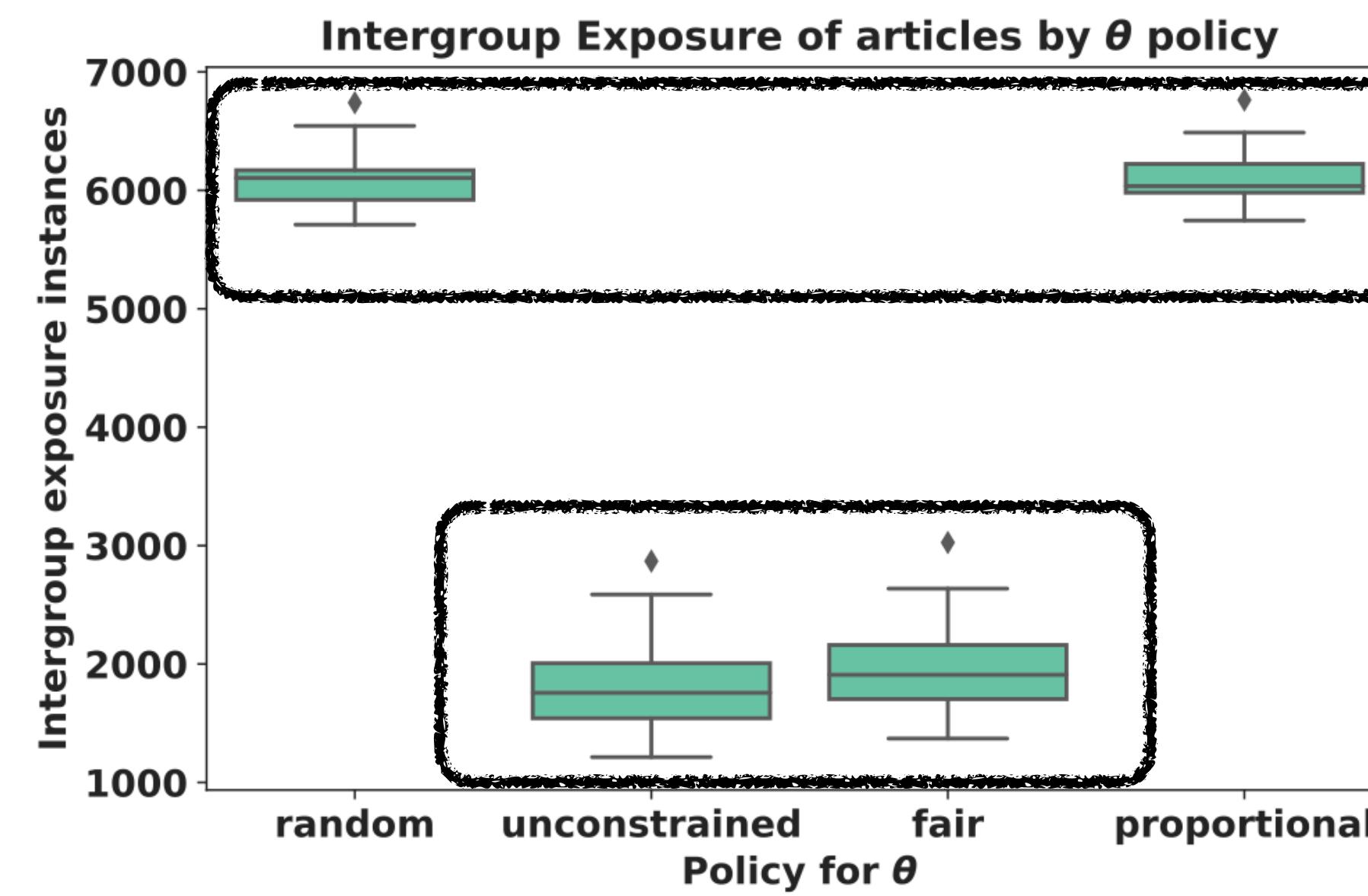
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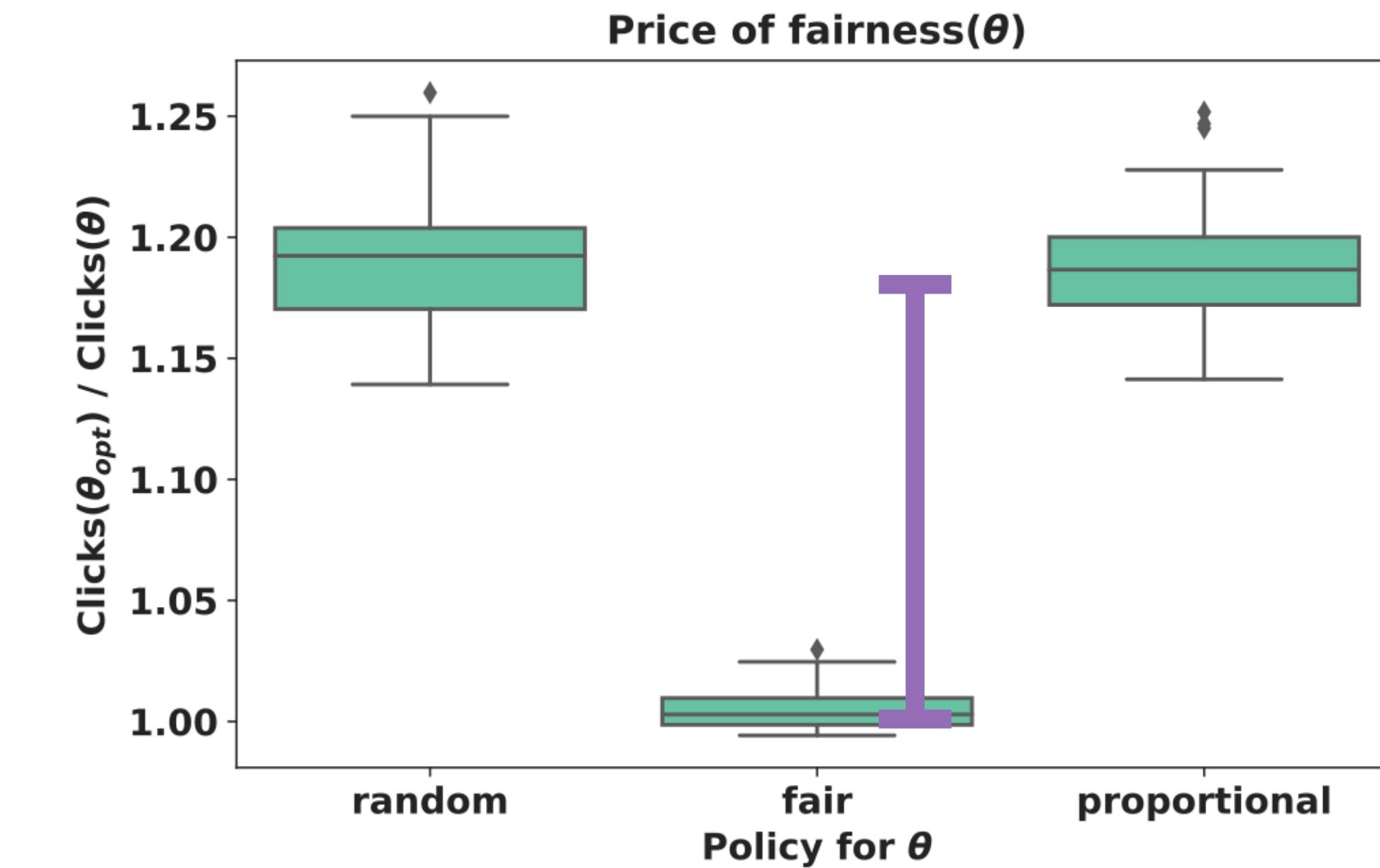
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2. High benefit in clicks to optimize policy, fair exposure constraints come at marginal cost

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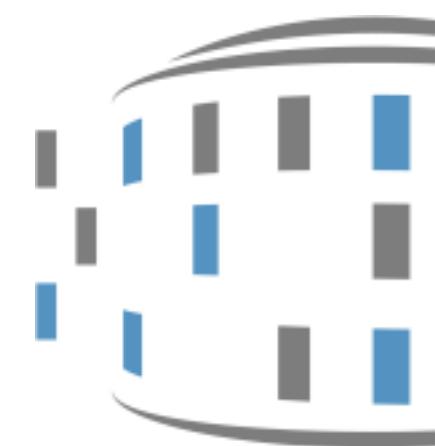
**Implications:** Integrating principles from the Fairness Doctrine into online platforms seems to require more than imposing constraints.

Approaches to mitigating interactional polarization may be more effective.

# Thank you!

Questions? [jessie@seas.harvard.edu](mailto:jessie@seas.harvard.edu)

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