Preliminaries Natural Language Understanding Dialogue Manager Natural Language Generation

A very short introduction to Language Technologies and Natural Language Processing

Jose F Quesada & Jose Luis Pro

Language

Set of conventional spoken or written symbols used for commucation between entities.

So we can see a language as the linking between meaning (semantic side) and expression (syntantic side).

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- Natural languages: Used for the communication between human beings.
- Formal languages: Used by computers and in mathematical areas.

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- Computers don't understand natural languages, (normal) humans don't understand computer languages.
- ② Formal languages shouldn't have ambiguities, but natural languages do have.

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Dialogue systems main issue

The most difficult challenge in the design of conversational interfaces are related with the highly ambigous nature of spoken languages.

Example

Peter come yesterday. Yesterday Peter come.

Two syntatic expressions ← One semantic form

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Peter said John came yesterday.

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Peter said John will come yesterday.

From the computer point of view this sentence is such ambigous like previous one but humans know that nobody "will come yesterday".

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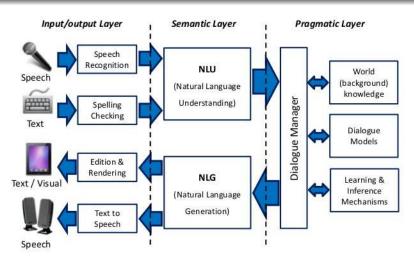
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Dialogue System architecture



Picture from Institute for Infocomm Research (Singapore)

Natural Language Understanding (NLU)

NLU main goal

The goal of NLU stage is to transform an input string (let's say user proference) in an abstract representation of its meaning easier for computer programs to manipulate it, in order to execute some kind of reasoning.

There is a wide variety of possible meaning representations.

- Topic maps.
- Concepts maps
- Mind maps.
- Onthologies.
- Feature structures.

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Goal and meaning representation

Example

 $\texttt{John came yesterday} \longrightarrow$

SUBJECT: John ACTION: come TENSE: past
OFFSETDATE: -1 day

Example

John will talk in two days \longrightarrow

Subject: John ACTION: talk

future Offsetdate: +2 day

Feature structures

- A feature structure is a set of features.
- With no particular order between them.
- Every feature may have (but it's not required) an associated value.
- The value associated to every feature can be atomic or complex.

```
\mathsf{comes} \longrightarrow \begin{bmatrix} \mathsf{ACTION:} & \mathsf{come} \\ \mathsf{TENSE:} & \mathsf{present} \\ \mathsf{AGREEMENT:} & \begin{bmatrix} \mathsf{NUMBER:} & \mathsf{singular} \\ \mathsf{PERSON:} & 3 \end{bmatrix}
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NLU components

Trying to convert user proference to feature structures is not trivial. So we need to divide the process in some functional modules:

- Tokenization.
- Speller checker.
- Part Of Speech tagging (POS tagging).
- Parsing.
- Unifier.

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Tokenization

Goal

Convert a sequence of characters into a sequence of tokens.

We must take into account:

- Separators: (_-_)
- Punctuation marks: (,.;:!?)
- Special symbols: (\$€%°)
- Numbers and its own separators: (1234,.)
- Alphanumeric codes: (ES772024···)

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Example

Speller checker (only in written dialogue systems)

London

Insertion: Loondon

• Deletion: Lndon

Substitution: Lpndon

Switching: Lonodn

Bad separators: Lon don

Part Of Speech tagging (POS tagging)

Goal

To mark up lexical items with some lexical category depending on its definition and the context.

In natural language we can have some common lexical categories

- Determiners: a, the
 - Nouns: London, dog
 - Pronouns: you, me
 - Prepositions: to, for
 - Adjectives: blue, long

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POS tagging

So in the lexicon definition we can classify lexical items into categories:

- ("the", det)
- ("dog", noun)
- ("me", pronoun)
- ("to", preposition)

But in natural languages we can have several lexical categories corresponding to a single lexical item (especially in little inflectional ones, like in english):

- ullet ("plans", noun) \longrightarrow plural of plan.
- ("plans", verb) → present of third person (singular) of verb to plan.

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POS tagging: Garden path problem

Example

The government plans to raise taxes ...

det noun **verb** prep verb noun

Example

The government plans to raise taxes were defeated det noun noun prep verb noun verb adj

POS tagging: Garden path problem

Example

The government plans to raise taxes ...

det noun **verb** prep verb noun

Example

defeated The plans raise government to taxes were det verb verb adi noun noun prep noun

Formal grammars

- Only study the purely syntactical aspects of language.
- Alphabet: Set of terminal symbols. $\{a, b\}$
- **Sentences:** Strings of symbols. $a, b, aa, ab, bb, ba, aaa, \cdots$
- Language: Set of sentences. $L = \{ab, aabb, aaabbb\}$
- Formal grammar: Set of formation rules used to define a language. $\{S \to aA, A \to bA, S \to \varepsilon \cdots\}$ Where S, A are non-terminal symbols.
- Depending on the syntax of production rules, grammars can be classified (Noam Chomsky, 1956).

Chomsky grammar hierarchy

Туре	Grammar accepted	Rules	Observations
Type 0	Unrestricted grammar	$X \to Y$	$X,Y \in (N \cup T)^*$
Type 1	Context-sensitive	$\alpha X \beta \to \alpha a \beta$	$X \in N$
	grammar		$\alpha, a, \beta \in (N \cup T)^*$
Type 2	Context-free grammar	$X \to a$	$X \in N$ $a \in (N \cup T)^*$
Type 3	Regular grammar	$\begin{array}{c} X \to a \\ X \to aY \end{array}$	$X, Y \in N$ $X \in T$

Where:

- N is the set of non-terminal symbols.
- T is the set of terminal symbols.
- S^* is a string of elements in set S.

Easy context-free grammar example: a^nb^m

Consider:

- Alphabet: $\{a, b\}$
- Language: $L = \{a^nb^m\}$ $n, m \ge 1$ (i.e. all strings with at least one "a" followed by at least one "b").

$$S \to a \ A \ b \ B$$
$$A \to \varepsilon$$

$$B \to b B$$

And let's see that a grammar defined with such production rules can be used to **generate** or either **recognize** the target language.

S

$$S \rightarrow a \ A \ b \ B$$

$$A \rightarrow \varepsilon$$

$$A \rightarrow a \ A$$

$$B \rightarrow \varepsilon$$

$$B \rightarrow b \ B$$



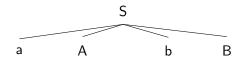
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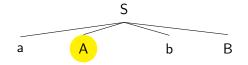
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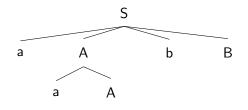
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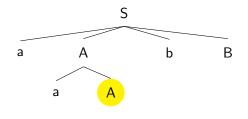
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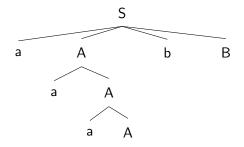
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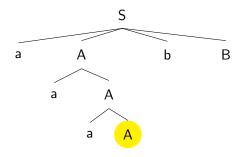
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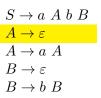
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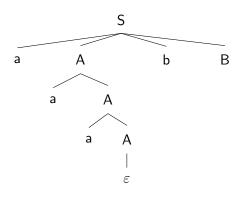
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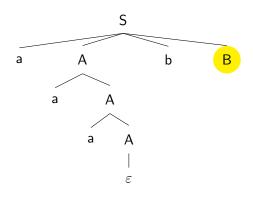
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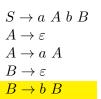


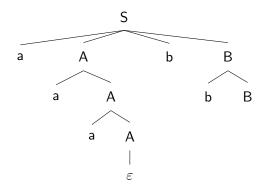


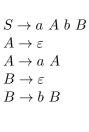


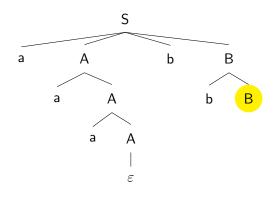


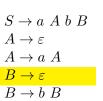




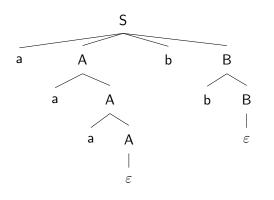


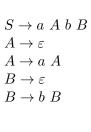


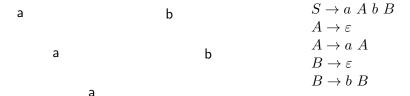


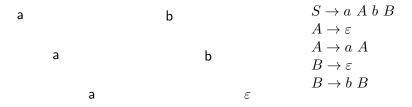


So we have generated aaabb sentence!

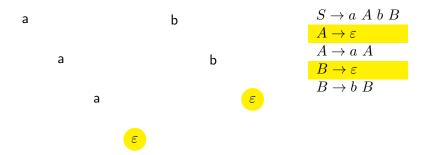


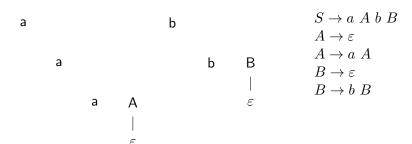


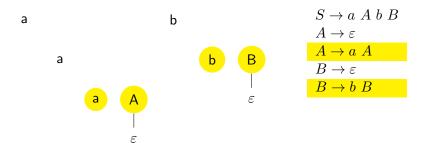


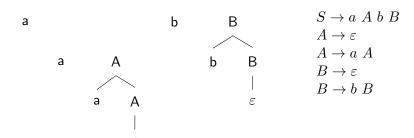


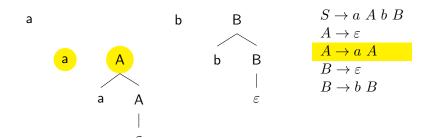
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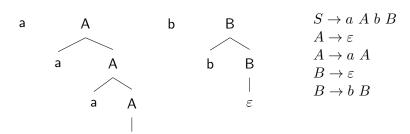


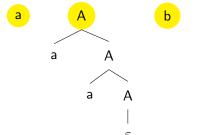


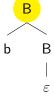


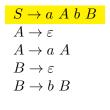




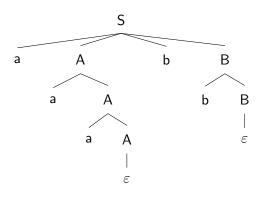








We have recognized aaabb sentence!





Goal and meaning representation Tokenizer, speller checker and POS taggin, Grammars and parsing Unifier

Parsing

- Is the process of analysing a sentence, according to the rules of a certain grammar, resulting in the creation of a "parse tree".
- That parse tree includes syntactic relations between components in the sentence but may also contains semantic information (e.g. a feature structure).

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Parsing applied to natural languages (english)

Some typical lexical categories:

- det: Determiners.
- o noun: Nouns.
- verb: Verbs.
- prep: Prepositions.

Some typical non-terminal symbols:

- S: Sentence.
- NP: Noun Phrase.
- VP: Verb Phrase.
- PP: Prepositional Phrase.

Some typical production rules

- ullet S o NP VP
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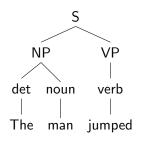
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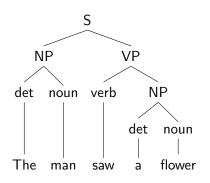
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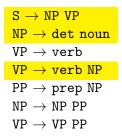
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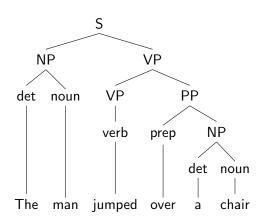
Goal and meaning representation Tokenizer, speller checker and POS tagging Grammars and parsing Unifier

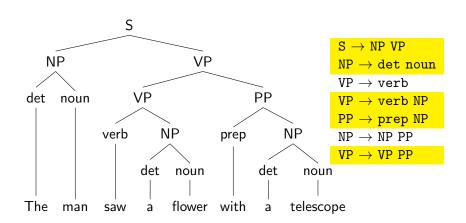


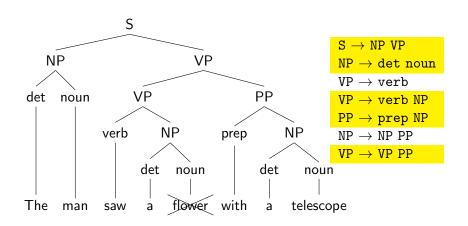
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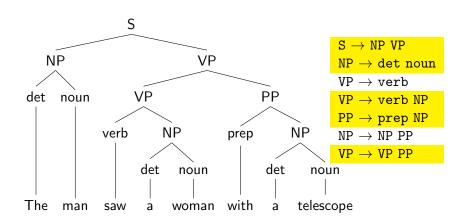


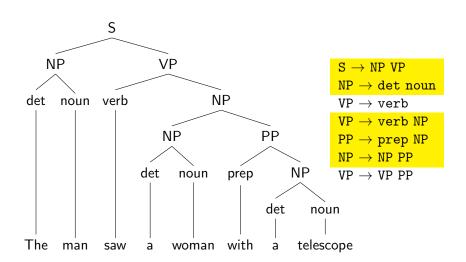












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[SUBJECT: [PERSON: 3]] &> 

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[SUBJECT: [PERSON: 3]]

TENSE: present

[SUBJECT: [NUMBER: plural]

PERSON: 3

TENSE: present
```

- The main goal of unification is to find out that two different terms are in fact identical.
- Using unification with feature structures (Lexical Object Theory - Jose F Quesada):
 - Adequate for linguistic phenomena.
 - Computationally efficient.
 - Formal soundness.

```
[SUBJECT: [NUMBER: plural]]

[SUBJECT: [PERSON: 3]]

TENSE: present

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TENSE: present

[SUBJECT: [Number: plural]]
```

Preliminaries Natural Language Understanding Dialogue Manager Natural Language Generation Goal and meaning representation Tokenizer, speller checker and POS tagging Grammars and parsing Unifier

Goal and meaning representation Tokenizer, speller checker and POS tagging Grammars and parsing Unifier

	[Number: plural]]		FAIL!!
	$\begin{bmatrix} \text{past} \\ \left[\text{Number: singular} \right] \end{bmatrix}$	& >	
	[PERSON: 3] present	&>	FAIL!!
TENSE:	past		

Goal and meaning representation Tokenizer, speller checker and POS tagging Grammars and parsing Unifier

[SUBJECT: [NUMBER: plural]]

[TENSE: past SUBJECT: [NUMBER: singular]]

[SUBJECT: [PERSON: 3]]

[TENSE: present]

[TENSE: past SUBJECT: [NUMBER: singular]]

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[SUBJECT: [NUMBER: plural]]

TENSE: past] &> FAIL!!

 $oxed{ ext{SUBJECT:}} oxed{ ext{NUMBER:}} oxed{ ext{singular}}$

SUBJECT: [PERSON: 3] TENSE: present

Tense: past | &> FAIL!!

 $oxed{ ext{SUBJECT:}} oxed{ ext{NUMBER:}} oxed{ ext{singular}}$



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Dialogue Manager

Definition of Dialogue Manager (DM)

It's the part of a dialogue system responsible for the state and flow of the conversation.

- The input of DM is the human proference converted to a semantic representation by NLU component.
- DM maintains some state variables (dialogue history, latest unanswered question, etc.) depending on the system (ie. the context).
- The output of DM will be another semantic representation of what system want to communicate to human. This will be converted to natural language by the NLG component.

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- Pragmatics is a subfield in linguistics that studies the way in which context contributes to meaning.
- So pragmatics studies how the transmission of meaning depends not only on grammar or lexicon, but also on the context.
- These techniques explain how human beings are able to overcome apparent ambiguities or misunderstandings.

Example

The man saw a woman with a telescope

S: How old are you?

U: $24 \rightarrow$ no need of confirmation

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- Receives as input some semantic representation (from DM) of what system must say.
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 - Formulator: How to say.
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Template-based systems

- But, in practice, a simple and efficient model for language generation is templated-based systems.
- These systems map their input (semantic representation) directly to linguistic structure. This structure may contain "gaps" which are filled in during output.

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```
DEPARTURE: FLIGHT: Delta 2047
GATE: B37
TIME: 15:00
```

{Flight} flight will depart from gate {Gate} at {Time}.

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