

# Twelf Tutorial

## Twelf Encoding of Minilang

**John Altidor**

- Proving language properties are important.
  - ▶ Rule out certain errors (e.g. assuming wrong number of bytes for an object).
  - ▶ Well-defined behavior throughout execution (e.g. no segmentation fault or accessing wrong parts of memory).
  - ▶ Publishing.

- Proving language properties are important.
  - ▶ Rule out certain errors (e.g. assuming wrong number of bytes for an object).
  - ▶ Well-defined behavior throughout execution (e.g. no segmentation fault or accessing wrong parts of memory).
  - ▶ Publishing.
- But proofs are long, error prone, and difficult to validate.
  - ▶ **+20 pages** is common for a type safety proof.

# Typical Proof Structure

- Example taken from type soundness proof of TameFJ calculus.

**Lemma 33 (Inversion Lemma (method invocation)).**

*If:*

- $\Delta; \Gamma \vdash e. \langle \overline{P} \rangle_m(\overline{e}) : T \mid \Delta'$
- $\emptyset \vdash \Delta$  OK
- $\Delta \vdash \Delta'$  OK
- $\forall x \in \text{dom}(\Gamma) : \Delta \vdash \Gamma(x)$  OK

then:

there exists  $\Delta_n$

where:

$$\begin{array}{l} \Delta', \Delta_n = \Delta'', \overline{\Delta} \\ \Delta \vdash \Delta', \Delta_n \text{ ok} \\ \Delta; \Gamma \vdash e : \exists \Delta''. N \mid \emptyset \\ mType(m, N) = \langle \forall \overline{\Delta} \triangleright B \triangleright U \rightarrow U \\ \Delta; \Gamma \vdash e : \exists \Delta''. R \mid \emptyset \\ match(sift(\overline{R}, \overline{U}, \overline{Y}), \overline{P}, \overline{Y}, \overline{T}) \\ \Delta \vdash \overline{P} \text{ ok} \\ \Delta, \Delta'', \overline{\Delta} \vdash T <: \overline{[T/\overline{Y}]} \\ \Delta, \Delta'', \overline{\Delta} \vdash \exists R. <: \overline{[T/\overline{Y}]} U \\ \Delta, \Delta'', \Delta_n \vdash \overline{[T/\overline{Y}]} U <: T \end{array}$$

**Proof** by structural induction on the derivation of  $\Delta; \Gamma \vdash e. \langle \bar{P} \rangle_{\mathbf{m}}(\bar{e}) : \mathbf{T} \mid \Delta'$  with a case analysis on the last step:

### Case 1 (T-INVK)

- $$\begin{array}{ll}
1. & \Delta' = \Delta'', \overline{\Delta} \\
2. & T = \langle T/Y \rangle U \\
3. & \Delta; \Gamma \vdash e : \exists \Delta'' . N \mid \emptyset \\
4. & mType(m, N) = \langle Y \downarrow B \rangle \overline{U} \rightarrow U \\
5. & \Delta; \Gamma \vdash e : \exists \Delta . R \mid \emptyset \\
6. & match(sift(\overline{R}, \overline{U}, \overline{Y}), \overline{P}, \overline{Y}, \overline{T}) \\
7. & \Delta \vdash \overline{P} \text{ OK} \\
8. & \Delta, \Delta'' , \overline{\Delta} \vdash T <: \langle T/Y \rangle \overline{B} \\
9. & \Delta, \Delta'' , \overline{\Delta} \vdash \exists \emptyset . R <: \langle T/Y \rangle U \\
\hline
10. & \text{let } \Delta_n = \emptyset \\
11. & \Delta \vdash \exists \Delta'' . N \text{ OK} \\
12. & \Delta \vdash \Delta'' \text{ OK} \\
13. & \Delta \vdash \exists \Delta . \overline{R} \text{ OK} \\
14. & \Delta \vdash \overline{\Delta} \text{ OK} \\
15. & dom(\Delta'') \cap dom(\overline{\Delta}) = \emptyset \\
16. & \Delta \vdash \Delta'' , \overline{\Delta} \text{ OK} \\
17. & \text{done}
\end{array}
\left. \vphantom{\begin{array}{l} 1. \\ 2. \\ 3. \\ 4. \\ 5. \\ 6. \\ 7. \\ 8. \\ 9. \end{array}} \right\} \begin{array}{l} \text{by def T-INVK} \\ \\ \\ \\ \\ \\ \text{by premises T-INVK} \end{array}$$

- Lots of steps, lemmas, and opportunities for errors in proofs of language properties.

# What is a Proof Assistant

Multiple ways of proving theorems with a computer:

- **Automatic theorem provers** find complete proofs on their own.
  - ▶ Not all proofs can be derived automatically.

# What is a Proof Assistant

Multiple ways of proving theorems with a computer:

- **Automatic theorem provers** find complete proofs on their own.
  - ▶ Not all proofs can be derived automatically.
- **Proof checkers** simply verify the proofs they are given.
  - ▶ These proofs must be specified in an extremely detailed, low-level form.

# What is a Proof Assistant

Multiple ways of proving theorems with a computer:

- **Automatic theorem provers** find complete proofs on their own.
  - ▶ Not all proofs can be derived automatically.
- **Proof checkers** simply verify the proofs they are given.
  - ▶ These proofs must be specified in an extremely detailed, low-level form.
- **Proof assistants** are a hybrid of both.
  - ▶ “Hard steps” of proofs (the ones requiring deep insight) are provided by human.
  - ▶ “Easy steps” of proofs can be filled in automatically.
- (above bullet points taken from UPenn’s Software Foundations course slides)



- Automated support for **deriving proofs** and **checking proofs** of language properties.
- Implementation of the LF calculus (calculus for reasoning about deductive systems).
- Alternatives: Coq, Isabelle, Agda, etc.
- Presenting Example Twelf Encoding of Minilang.



# Constructive Logic

- Twelf is a **constructive** (not classical) proof assistant.
- Proposition is true **iff** there exists a proof of it.
- Law of excluded middle not assumed:  $P \vee \neg P$ .
  - ▶ Proving  $P \vee \neg P$  **requires** either:
    - ▶ Proof of  $P$  **OR** Proof of  $\neg P$ .

# Constructive Logic

- Twelf is a **constructive** (not classical) proof assistant.
- Proposition is true **iff** there exists a proof of it.
- Law of excluded middle not assumed:  $P \vee \neg P$ .
  - ▶ Proving  $P \vee \neg P$  **requires** either:
    - ▶ Proof of  $P$  **OR** Proof of  $\neg P$ .
  - ▶ No case-split on undecidable propositions. Not allowed:
    - ▶ If *halts(TuringMachine)* then proof of  $A$  else proof of  $B$ .

# Constructive Logic

- Twelf is a **constructive** (not classical) proof assistant.
- Proposition is true **iff** there exists a proof of it.
- Law of excluded middle not assumed:  $P \vee \neg P$ .
  - ▶ Proving  $P \vee \neg P$  **requires** either:
    - ▶ Proof of  $P$  **OR** Proof of  $\neg P$ .
  - ▶ No case-split on undecidable propositions. Not allowed:
    - ▶ If *halts(TuringMachine)* then proof of  $A$  else proof of  $B$ .
- No choice operator ( $\epsilon x.P(x)$  proposed by David Hilbert).
  - ▶  $\ln(x) = u$  such that  $x = e^u$ .
  - ▶ Definition in Isabelle/HOL:  
definition ln :: real => real where  
ln x = **THE** u. exp u = x.

# Constructive Logic

- Twelf is a **constructive** (not classical) proof assistant.
- Proposition is true **iff** there exists a proof of it.
- Law of excluded middle not assumed:  $P \vee \neg P$ .
  - ▶ Proving  $P \vee \neg P$  **requires** either:
    - ▶ Proof of  $P$  **OR** Proof of  $\neg P$ .
  - ▶ No case-split on undecidable propositions. Not allowed:
    - ▶ If *halts(TuringMachine)* then proof of  $A$  else proof of  $B$ .
- No choice operator ( $\epsilon x.P(x)$  proposed by David Hilbert).
  - ▶  $\ln(x) = u$  such that  $x = e^u$ .
  - ▶ Definition in Isabelle/HOL:  
definition ln :: real => real where  
ln x = **THE** u. exp u = x.
- In Twelf: Writing a proof = Writing a program.
  - ▶ Proofs are programs.

- Lecture will involve in-class exercises.
- Can try Twelf without installation.
- Twelf Live Server:

<http://twelf.org/live/>

- Links to starter code of examples will be provided.

# Kinds: Category of Types

- Three **levels** of objects in Twelf:
  - ▶ **Kinds** are at highest level.
  - ▶ **Types** are at second level.
  - ▶ **Terms** are at lowest level.

# Kinds: Category of Types

- Three **levels** of objects in Twelf:
  - ▶ **Kinds** are at highest level.
  - ▶ **Types** are at second level.
  - ▶ **Terms** are at lowest level.
- Each type is of a certain kind.  
(Twelf syntax: `“someType : someKind”`)
- Each term is of a certain type.  
(Twelf syntax: `“someTerm : someType”`)
- Twelf overloads languages constructs with same syntax.  
(elegant but confusing too)

# Kinds: Category of Types

- Three **levels** of objects in Twelf:
  - ▶ **Kinds** are at highest level.
  - ▶ **Types** are at second level.
  - ▶ **Terms** are at lowest level.
- Each type is of a certain kind.  
(Twelf syntax: `someType : someKind`)
- Each term is of a certain type.  
(Twelf syntax: `someTerm : someType`)
- Twelf overloads languages constructs with same syntax.  
(elegant but confusing too)
- Contrived examples:
  - ▶ Term `[1, 2, 3]` is of type `ArrayInt`.
  - ▶ Type `ArrayInt` is of kind `Array`.



# Kinds: Category of Types

- Three **levels** of objects in Twelf:
  - ▶ **Kinds** are at highest level.
  - ▶ **Types** are at second level.
  - ▶ **Terms** are at lowest level.
- Each type is of a certain kind.  
(Twelf syntax: `someType : someKind`)
- Each term is of a certain type.  
(Twelf syntax: `someTerm : someType`)
- Twelf overloads languages constructs with same syntax.  
(elegant but confusing too)
- Contrived examples:
  - ▶ Term `[1, 2, 3]` is of type `ArrayInt`.
  - ▶ Type `ArrayInt` is of kind `Array`.
- The kind **type** is a pre-defined kind in Twelf.

# Functions

- Twelf supports defining functions:

```
int : type.  one : int.
```

```
plusOne : int -> int.
```

- ▶ plusOne is a **function term**.
- ▶ plusOne takes in a term of type int and returns a term of type int.
- ▶ The type of function term plusOne is int -> int.
- ▶ (plusOne one) has type int.

# Functions

- Twelf supports defining functions:

```
int : type.  one : int.
```

```
plusOne : int -> int.
```

- ▶ plusOne is a **function term**.
- ▶ plusOne takes in a term of type int and returns a term of type int.
- ▶ The type of function term plusOne is int -> int.
- ▶ (plusOne one) has type int.

- Functions taking in **multiple** arguments are represented using their **curried form**:

```
plus: int -> int -> int.
```

- ▶ int -> int -> int is curried form of (int, int) -> int.
- ▶ int -> int -> int = int -> (int -> int).
- ▶ (plus one) has type int -> int.
- ▶ (plus one one) has type int.

# Functions Returning Types

- Recall that **type** is a **kind** (type of types).
- Functions can also return **types**:
- `equalsOne : int -> type`.
  - ▶ `equalsOne` is a function **term**.
  - ▶ `equalsOne` takes in a term of type `int` and returns a **type** of kind `type`.
  - ▶ The type of function term `equalsOne` is `int -> type`.
  - ▶ `(equalsOne one)` is a **type** of kind `type`.

# Functions Returning Types

- Recall that **type** is a **kind** (type of types).
- Functions can also return **types**:
- `equalsOne : int -> type`.
  - ▶ `equalsOne` is a function **term**.
  - ▶ `equalsOne` takes in a term of type `int` and returns a **type** of kind `type`.
  - ▶ The type of function term `equalsOne` is `int -> type`.
  - ▶ `(equalsOne one)` is a **type** of kind `type`.
- `oneIsOne : (equalsOne one)`.
  - ▶ Defines a new **term** `oneIsOne` of **type** `(equalsOne one)`.

# Functions Returning Types

- Recall that **type** is a **kind** (type of types).
- Functions can also return **types**:
- `equalsOne : int -> type`.
  - ▶ `equalsOne` is a function **term**.
  - ▶ `equalsOne` takes in a term of type `int` and returns a **type** of kind `type`.
  - ▶ The type of function term `equalsOne` is `int -> type`.
  - ▶ `(equalsOne one)` is a **type** of kind `type`.
- `oneIsOne : (equalsOne one)`.
  - ▶ Defines a new **term** `oneIsOne` of **type** `(equalsOne one)`.
- A function type is a kind if its return type is also a kind.
  - ▶ `int -> type` is a kind.
  - ▶ `int -> (int -> type)` is a kind.
  - ▶ `int -> int -> type = int -> (int -> type)` is a kind.
- **type** is **not** allowed on the left-hand side of arrow (`->`).

# Minilang Syntax in Twelf

- The object language is Minilang (the object of study).
- Syntactic categories encoded w/ object types (defined types).
  - ▶ `exp` : `type`.
  - ▶ Defines type `exp` of kind `type`.
  - ▶ `exp` represents syntactic category `e`.
  - ▶ Terms in the grammar of `e` have type `exp`.

# Minilang Syntax in Twelf

- The object language is Minilang (the object of study).
- Syntactic categories encoded w/ object types (defined types).
  - ▶ `exp : type.`
  - ▶ Defines type `exp` of kind `type`.
  - ▶ `exp` represents syntactic category `e`.
  - ▶ Terms in the grammar of `e` have type `exp`.
- Grammar productions encoded w/ **functions** between syntactic categories.
  - ▶ `add : exp -> exp -> exp.`
  - ▶ *Expression* `e : :` `= +(e1; e2)`
  - ▶ `add` takes in **two** arguments.
  - ▶ `exp -> exp -> exp` is curried form of `(exp, exp) -> exp`.



# Terms w/ variables using Higher-Order Abstract Syntax (HOAS)

- Abstract syntax from earlier slides is **first-order abstract syntax (FOAS)**.
  - ▶ Each AST has form  $o(t_1, t_2, \dots, t_n)$ , where  $o$  is operator and  $t_1, \dots, t_n$  are ASTs. Example:

# Terms w/ variables using Higher-Order Abstract Syntax (HOAS)

- Abstract syntax from earlier slides is **first-order abstract syntax (FOAS)**.
  - ▶ Each AST has form  $o(t_1, t_2, \dots, t_n)$ , where  $o$  is operator and  $t_1, \dots, t_n$  are ASTs. Example:
    - ▶ `+(num[3] ; num[4])`
    - ▶ `add (enat 3) (enat 4)`

# Terms w/ variables using Higher-Order Abstract Syntax (HOAS)

- Abstract syntax from earlier slides is **first-order abstract syntax (FOAS)**.
  - ▶ Each AST has form  $o(t_1, t_2, \dots, t_n)$ , where  $o$  is operator and  $t_1, \dots, t_n$  are ASTs. Example:
    - ▶ `+(num[3] ; num[4])`
    - ▶ `add (enat 3) (enat 4)`
- ASTs in **Higher-Order Abstract Syntax (HOAS)**:
- Each  $t_i$  in  $o(t_1, t_2, \dots, t_n)$  has form:

$x_1, x_2, \dots, x_k.t$

- $t$  is a FO-AST.
- Each  $x_j$  is a variable bound in  $t$ .
- $k \geq 0$ ; if  $k = 0$ , then no variable is declared.

# HOAS encoding of `let` expression

- First, `let` expression in FOAS:

`let(x; e1; e2)`

# HOAS encoding of let expression

- First, let expression in FOAS:

$$\text{let}(x; e_1; e_2)$$

- let expression in HOAS:

$$\text{let}(e_1; x.e_2)$$

- “ $x.e_2$ ” captures that  $x$  is bound in  $e_2$ .

# HOAS encoding of let expression

- First, let expression in FOAS:

$$\text{let}(x; e_1; e_2)$$

- let expression in HOAS:

$$\text{let}(e_1; x.e_2)$$

- “ $x.e_2$ ” captures that  $x$  is bound in  $e_2$ .
- HOAS lets us know where variables are being bound.

$$\text{let}(3; x.+(x; 4)) \equiv \text{let}(3; y.+(y; 4))$$

- Two preceding terms above are **alpha-equivalent**.

# Higher-Order Terms are Functions

- Functions are really terms with **holes**/unknowns:  $(3 + \bullet)$ .

# Higher-Order Terms are Functions

- Functions are really terms with **holes**/unknowns:  $(3 + \bullet)$ .
- Holes are represented by **variables**.
- Holes filled in by **applying** (terms w/ holes)/functions.
- Holes **abstract** details.



# Higher-Order Terms are Functions

- Functions are really terms with **holes**/unknowns:  $(3 + \bullet)$ .
- Holes are represented by **variables**.
- Holes filled in by **applying** (terms w/ holes)/functions.
- Holes **abstract** details.
- “ $x.e$ ” represented by **lambda abstraction** “ $\lambda x : \tau.e$ ”.
- Twelf’s syntax of “ $\lambda x : \tau.e$ ”: “ $[x : \tau] \ e$ ”

# let expression in Twelf HOAS

- Twelf type signature of let:

$$\text{let} : \text{exp} \rightarrow \underbrace{(\text{exp} \rightarrow \text{exp})}_{x.e_2} \rightarrow \text{exp}.$$

# let expression in Twelf HOAS

- Twelf type signature of let:

$$\text{let} : \text{exp} \rightarrow \underbrace{(\text{exp} \rightarrow \text{exp})}_{x.e_2} \rightarrow \text{exp}.$$

- Example HOAS term in Twelf:

<i>Concrete Syntax</i>	<i>Twelf HOAS</i>
$\text{let } x = \underbrace{1 + 2}_{e_1} \text{ in } \underbrace{x + 3}_{e_2}$	$\text{let } \underbrace{(\text{add } 1 \ 2)}_{e_1} \underbrace{([x:\text{exp}] \ \text{add } x \ 3)}_{x.e_2}$

# let expression in Twelf HOAS

- Twelf type signature of let:

$$\text{let} : \text{exp} \rightarrow \underbrace{(\text{exp} \rightarrow \text{exp})}_{x.e_2} \rightarrow \text{exp}.$$

- Example HOAS term in Twelf:

<i>Concrete Syntax</i>	<i>Twelf HOAS</i>
$\text{let } x = \underbrace{1 + 2}_{e_1} \text{ in } \underbrace{x + 3}_{e_2}$	$\text{let } \underbrace{(\text{add } 1 \ 2)}_{e_1} \underbrace{([x:\text{exp}] \ \text{add } x \ 3)}_{x.e_2}$

- No need to define object (Minilang) variables.
- LF variables** remove need for object variables.
- No need to define substitution (nor requisite theorems) as well.

# Predicates in Twelf

- Predicates are defined with **type families**:  
Functions that return types (not terms).
- Typing Predicate:  $e : \tau$
- Twelf Encoding: `of : exp -> typ -> type.`

# Predicates in Twelf

- Predicates are defined with **type families**:  
Functions that return types (not terms).
- Typing Predicate:  $e : \tau$
- Twelf Encoding: `of : exp -> typ -> type.`
- Type families return **dependent types**.
  - ▶ Types that contain terms (or **depend on** terms).

# Predicates in Twelf

- Predicates are defined with **type families**:  
Functions that return types (not terms).
- Typing Predicate:  $e : \tau$
- Twelf Encoding: `of : exp -> typ -> type.`
- Type families return **dependent types**.
  - ▶ Types that contain terms (or **depend on** terms).
- Examples:
  - ▶ 3 is a **term** of type **nat**.

# Predicates in Twelf

- Predicates are defined with **type families**:  
Functions that return types (not terms).
- Typing Predicate:  $e : \tau$
- Twelf Encoding: `of : exp -> typ -> type.`
- Type families return **dependent types**.
  - ▶ Types that contain terms (or **depend on** terms).
- Examples:
  - ▶ 3 is a **term** of type **nat**.
  - ▶ Let `Vec` be a function that given **nat**  $n$ , `Vec( $n$ )` returns the type of  $n$ -dimensional vectors.



# Predicates in Twelf

- Predicates are defined with **type families**:  
Functions that return types (not terms).
- Typing Predicate:  $e : \tau$
- Twelf Encoding: `of : exp -> typ -> type.`
- Type families return **dependent types**.
  - ▶ Types that contain terms (or **depend on** terms).
- Examples:
  - ▶ 3 is a **term** of type **nat**.
  - ▶ Let `Vec` be a function that given **nat**  $n$ , `Vec( $n$ )` returns the type of  $n$ -dimensional vectors.
  - ▶ `Vec(3)` is a **dependent type** representing 3-dimensional vectors.

# Predicates in Twelf

- Predicates are defined with **type families**:  
Functions that return types (not terms).
- Typing Predicate:  $e : \tau$
- Twelf Encoding: `of : exp -> typ -> type.`
- Type families return **dependent types**.
  - ▶ Types that contain terms (or **depend on** terms).
- Examples:
  - ▶ 3 is a **term** of type **nat**.
  - ▶ Let `Vec` be a function that given **nat**  $n$ , `Vec( $n$ )` returns the type of  $n$ -dimensional vectors.
  - ▶ `Vec(3)` is a **dependent type** representing 3-dimensional vectors.
  - ▶ `[4, 1, 3]` is a **term** of **type** `Vec(3)`.

# Predicates in Twelf

- Predicates are defined with **type families**:  
Functions that return types (not terms).
- Typing Predicate:  $e : \tau$
- Twelf Encoding: `of : exp -> typ -> type.`
- Type families return **dependent types**.
  - ▶ Types that contain terms (or **depend on** terms).
- Examples:
  - ▶ 3 is a **term** of type **nat**.
  - ▶ Let `Vec` be a function that given **nat**  $n$ , `Vec( $n$ )` returns the type of  $n$ -dimensional vectors.
  - ▶ `Vec(3)` is a **dependent type** representing 3-dimensional vectors.
  - ▶ `[4, 1, 3]` is a **term** of **type** `Vec(3)`.
  - ▶ `Vec` is a **type family** because it is a function that returns dependent types.

# Judgments are Dependent Types

- Judgments/Propositions (instantiations of predicates) represented by dependent types.
- Judgment  $z : \text{num}$  represented by type `(of (enat z) num)`.
- Dependent type `(of e  $\tau$ )` represents judgment “ $e : \tau$ ”.

# Judgments are Dependent Types

- Judgments/Propositions (instantiations of predicates) represented by dependent types.
- Judgment  $z : \text{num}$  represented by type  $(\text{of } (\text{enat } z) \text{ num})$ .
- Dependent type  $(\text{of } e \ \tau)$  represents judgment “ $e : \tau$ ”.
- **Derivation/Proof** of “ $e : \tau$ ” represented by **term** of type  $(\text{of } e \ \tau)$ .

# Judgments are Dependent Types

- Judgments/Propositions (instantiations of predicates) represented by dependent types.
- Judgment  $z : \text{num}$  represented by type `(of (enat z) num)`.
- Dependent type `(of e  $\tau$ )` represents judgment “ $e : \tau$ ”.
- **Derivation/Proof** of “ $e : \tau$ ” represented by **term** of type `(of e  $\tau$ )`.
- Curry-Howard Correspondence:  
**Proofs** are terms.  
**Propositions/Judgments** are types.

- Function/**Lambda Abstraction** “ $\lambda x : S. e$ ” of type  $S \rightarrow T$ :
  - ▶ Takes in a term  $s$  of type  $S$ .
  - ▶ Returns a term of type  $T$ .

- Function/**Lambda Abstraction** “ $\lambda x : S. e$ ” of type  $S \rightarrow T$ :
  - ▶ Takes in a term  $s$  of type  $S$ .
  - ▶ Returns a term of type  $T$ .
- Function/**Pi-abstraction** of type pi-type  $\prod x : S. T$ :
  - ▶ Takes in a term  $s$  of type  $S$ .
  - ▶ Returns a term of type  $[s/x]T$ .



- Function/**Lambda Abstraction** “ $\lambda x : S. e$ ” of type  $S \rightarrow T$ :
  - ▶ Takes in a term  $s$  of type  $S$ .
  - ▶ Returns a term of type  $T$ .
- Function/**Pi-abstraction** of type pi-type  $\Pi x : S. T$ :
  - ▶ Takes in a term  $s$  of type  $S$ .
  - ▶ Returns a term of type  $[s/x]T$ .
- If  $x \notin \text{fv}(T)$ , then  $\Pi x : S. T \equiv S \rightarrow T$ .

- Function/**Lambda Abstraction** “ $\lambda x : S. e$ ” of type  $S \rightarrow T$ :
  - ▶ Takes in a term  $s$  of type  $S$ .
  - ▶ Returns a term of type  $T$ .
- Function/**Pi-abstraction** of type pi-type  $\Pi x : S. T$ :
  - ▶ Takes in a term  $s$  of type  $S$ .
  - ▶ Returns a term of type  $[s/x]T$ .
- If  $x \notin \text{fv}(T)$ , then  $\Pi x : S. T \equiv S \rightarrow T$ .
- If  $x \in \text{fv}(T)$ , then  $\Pi x : S. T$  returns term of a dependent type.

- Function/**Lambda Abstraction** “ $\lambda x : S. e$ ” of type  $S \rightarrow T$ :
  - ▶ Takes in a term  $s$  of type  $S$ .
  - ▶ Returns a term of type  $T$ .
- Function/**Pi-abstraction** of type pi-type  $\Pi x : S. T$ :
  - ▶ Takes in a term  $s$  of type  $S$ .
  - ▶ Returns a term of type  $[s/x]T$ .
- If  $x \notin \text{fv}(T)$ , then  $\Pi x : S. T \equiv S \rightarrow T$ .
- If  $x \in \text{fv}(T)$ , then  $\Pi x : S. T$  returns term of a dependent type.
- Twelf Syntax for  $S \rightarrow T$ :  
 $S \multimap T$

- Function/**Lambda Abstraction** “ $\lambda x : S. e$ ” of type  $S \rightarrow T$ :
  - ▶ Takes in a term  $s$  of type  $S$ .
  - ▶ Returns a term of type  $T$ .
- Function/**Pi-abstraction** of type pi-type  $\Pi x : S. T$ :
  - ▶ Takes in a term  $s$  of type  $S$ .
  - ▶ Returns a term of type  $[s/x]T$ .
- If  $x \notin fv(T)$ , then  $\Pi x : S. T \equiv S \rightarrow T$ .
- If  $x \in fv(T)$ , then  $\Pi x : S. T$  returns term of a dependent type.
- Twelf Syntax for  $S \rightarrow T$ :  
 $S \rightarrow T$
- Twelf Syntax for  $\Pi x : S. T$ :  
 $\{x:S\} T$

# Inference Rules are Functions

$$\frac{}{\text{num}[n] : \text{num}} \text{ T.1}$$

- `of/nat : {N:nat} of (enat N) num.`
- Twelf Convention:
  - ▶ Constants start with lower-case letters.
  - ▶ Variables/parameters start with upper-case letters.

# Inference Rules are Functions

$$\frac{}{\text{num}[n] : \text{num}} \text{ T.1}$$

- `of/nat` :  $\{N:\text{nat}\}$  of `(enat N) num`.
- Twelf Convention:
  - ▶ Constants start with lower-case letters.
  - ▶ Variables/parameters start with upper-case letters.
- `(of/nat z) ≠ (of (enat z) num)`.
- `of/nat` **returns terms** not types.

# Inference Rules are Functions

$$\frac{}{\text{num}[n] : \text{num}} \text{T.1}$$

- `of/nat : {N:nat} of (enat N) num.`
- Twelf Convention:
  - ▶ Constants start with lower-case letters.
  - ▶ Variables/parameters start with upper-case letters.
- `(of/nat z) ≠ (of (enat z) num).`
- `of/nat` **returns terms** not types.
- `(of/nat z) = term` of type `(of (enat z) num).`
  - ▶ Example legal assignment:  
`y : (of (enat z) num) = (of/nat z).`

# Inference Rules are Functions

$$\frac{}{\text{num}[n] : \text{num}} \text{ T.1}$$

- `of/nat : {N:nat} of (enat N) num.`
- Twelf Convention:
  - ▶ Constants start with lower-case letters.
  - ▶ Variables/parameters start with upper-case letters.
- `(of/nat z) ≠ (of (enat z) num).`
- `of/nat` **returns terms** not types.
- `(of/nat z) = term` of type `(of (enat z) num).`
  - ▶ Example legal assignment:  
`y : (of (enat z) num) = (of/nat z).`
- `(of/nat z)` is a **derivation/term** of judgment `z : num` represented by type `of (enat z) num.`



# Premises are Inputs

$$\frac{e_1 : \text{ num } \quad e_2 : \text{ num }}{+(e_1; e_2) : \text{ num }} \text{ T.4}$$

- Twelf Encoding:

of/add : of (add E1 E2) num

<- of E1 num

<- of E2 num.

- Given a proof of (of E2 num) **and**
- Given a proof of (of E1 num)
- of/add returns proof of (of (add E1 E2) num)

# Implicit and explicit parameters

- `of/nat`:  $\{N:\text{nat}\}$  of (enat  $N$ ) num.
- Parameter  $N$  is **explicit** in the above signature.
- Explicit parameters **must be specified** in function applications.
- `D : of (enat z) num = of/nat  $z$ .`

# Implicit and explicit parameters

- `of/nat: {N:nat} of (enat N) num.`
- Parameter `N` is **explicit** in the above signature.
- Explicit parameters **must be specified** in function applications.
- `D : of (enat z) num = of/nat z.`
- `of/nat: of (enat N) num.`
- Parameter `N` is **implicit** in the above signature.
- Implicit parameters **cannot be specified** by programmer in function applications.
- `D : of (enat z) num = of/nat.`
- Twelf figures out from the context that `z` is the implicit parameter that `of/nat` should be applied to.

# First-Order Quantification Only

- Can only quantify over **first-order** terms.
- **Allowed:**
  - ▶ `add : exp -> exp -> exp.`
  - ▶ `let : exp -> (exp -> exp) -> exp.`
    - ▶ A **higher-order term** is a function, where one of its inputs is also a function.

# First-Order Quantification Only

- Can only quantify over **first-order** terms.
- **Allowed:**
  - ▶ `add : exp -> exp -> exp.`
  - ▶ `let : exp -> (exp -> exp) -> exp.`
    - ▶ A **higher-order term** is a function, where one of its inputs is also a function.
- **Not allowed:**
  - ▶ `quantifyTypes : exp -> type -> exp.`
  - ▶ `allIsTrue : {Prop:type} Prop.`
- The kind **type** categorizes Twelf types.

# First-Order Quantification Only

- Can only quantify over **first-order** terms.
- **Allowed:**
  - ▶ `add : exp -> exp -> exp.`
  - ▶ `let : exp -> (exp -> exp) -> exp.`
    - ▶ A **higher-order term** is a function, where one of its inputs is also a function.
- **Not allowed:**
  - ▶ `quantifyTypes : exp -> type -> exp.`
  - ▶ `allIsTrue : {Prop:type} Prop.`
- The kind **type** categorizes Twelf types.
- No type polymorphism implies no **general** logical connectives.
- **Not allowed:**  
`conjunction :`  
`{P:type} {Q:type} P -> Q -> (and P Q).`

# Predicativity

- Different term levels used to restrict quantification.
  - ▶ Twelf terms are first-order terms; e.g.,  $(s\ z)$ .
  - ▶ Twelf types are second-order terms; e.g., `nat`.
  - ▶ Twelf kinds are third-order terms; e.g., `type`.

# Predicativity

- Different term levels used to restrict quantification.
  - ▶ Twelf terms are first-order terms; e.g.,  $(s\ z)$ .
  - ▶ Twelf types are second-order terms; e.g.,  $\text{nat}$ .
  - ▶ Twelf kinds are third-order terms; e.g.,  $\text{type}$ .
- Twelf only allows **predicative** definitions:
  - ▶ Cannot apply term to itself. (Cannot quantify over oneself.)
  - ▶ No term has itself as type. (Not allowed:  $\text{typ} : \text{typ}$ .)
  - ▶ Disallows Russell's paradox:  
Let  $H = \{x \mid x \notin x\}$ . Then  $\underbrace{H \in H \iff H \notin H}_{\text{False}}$ .
- Helps Twelf avoid logical inconsistency (i.e. proving false/uninhabited type).
- False implies any proposition (including false ones).
- False/uninhabited types used for constructive proofs by contradiction.



- Create language of numbers with subtyping in Twelf.

Category	Item		Abstract	Concrete
<i>Terms</i>	<i>e</i>	$::=$	zero	0
			pi	$\pi$
			img	$\sqrt{-1}$
<i>Types</i>	<i>t</i>	$::=$	number	<i>num</i>
			real	<i>real</i>
			complex	<i>complex</i>
			int	<i>int</i>

- **Subtyping** Rules (not all):

$$\overline{complex <: num}$$

$$\overline{real <: num}$$

$$\overline{int <: real}$$

- **Typing** Rules (not all):

$$\overline{0 : int}$$

$$\overline{\pi : real}$$

$$\overline{\sqrt{-1} : complex}$$

- Define **reflexive** and **transitive** rules for subtyping.
- Define **subsumption** rule for typing judgment.
- **Prove**  $0 : num$ .
  - ▶ Fill in the blank below:
  - ▶  $D : (\text{of zero number}) = \bullet$

# Hypothetical Judgments in Twelf

- What happened to typing context  $\Gamma$ ?

# Hypothetical Judgments in Twelf

- What happened to typing context  $\Gamma$ ?
- **Hypothetical Judgments:**  
Judgments made under the assumption of other judgments.

# Hypothetical Judgments in Twelf

- What happened to typing context  $\Gamma$ ?
- **Hypothetical Judgments:**  
Judgments made under the assumption of other judgments.
- Encoded w/ **higher-order types:**  
Function types where one of the inputs is also a function type.

# Hypothetical Judgments in Twelf

- What happened to typing context  $\Gamma$ ?
- **Hypothetical Judgments:**  
Judgments made under the assumption of other judgments.
- Encoded w/ **higher-order types**:  
Function types where one of the inputs is also a function type.
- Input function types represent hypothetical assumptions.
- Similar to higher-order terms.  
(Another application of HOAS)
- $\Gamma$  does not need to be defined.

# Typing let expression in Twelf HOAS

$$\frac{\Gamma \vdash e_1 : \tau_1 \quad \Gamma, x : \tau_1 \vdash e_2 : \tau_2}{\Gamma \vdash \text{let}(x; e_1; e_2) : \tau_2} \text{ T.6}$$

## ■ Twelf Encoding:

of/let : ( $\{x: \text{exp}\}$  of x T1  $\rightarrow$  of (E2 x) T2)  $\rightarrow$   
of E1 T1  $\rightarrow$   
of (let E1 ([x] E2 x)) T2.

# Typing let expression in Twelf HOAS

$$\frac{\Gamma \vdash e_1 : \tau_1 \quad \Gamma, x : \tau_1 \vdash e_2 : \tau_2}{\Gamma \vdash \text{let}(x; e_1; e_2) : \tau_2} \text{ T.6}$$

- Twelf Encoding:

of/let :  $(\{x: \text{exp}\} \text{ of } x \text{ T1} \rightarrow \text{of } (E2 \ x) \text{ T2}) \rightarrow$   
of E1 T1  $\rightarrow$   
of (let E1 ([x] E2 x)) T2.

- First, a Twelf **coding convention**:

Return type (of (let E1 ([x] E2 x)) T2) could be replaced with (of (let E1 E2) T2).

- E2 in both cases is of type (exp  $\rightarrow$  exp).
- ([x] E2 x) used for readability: # of inputs explicit.
- ([x] E2 x) is called the **eta-expansion** of E2.



## of/let's first input type

- Let  $f$  be a function of of/let's first input type:  
 $(\{x: \text{exp}\} \text{ of } x \ T1 \rightarrow \text{of } (E2 \ x) \ T2).$
- Reminder of hypothetical judgment:  $\Gamma, x : \tau_1 \vdash e_2 : \tau_2.$

## of/let's first input type

- Let  $f$  be a function of of/let's first input type:  
 $(\{x: \text{exp}\} \text{ of } x \text{ T1} \rightarrow \text{of } (E2 \ x) \text{ T2}).$
- Reminder of hypothetical judgment:  $\Gamma, x : \tau_1 \vdash e_2 : \tau_2.$
- $f$ 's first input is an exp term bound to LF variable  $x$ .
- $f$ 's second input is a term  $dx$  of type  $(\text{of } x \text{ T1}).$
- $f$ 's output is a term of type  $(\text{of } (E2 \ x) \text{ T2}).$

## of/let's first input type

- Let  $f$  be a function of of/let's first input type:  
 $(\{x: \text{exp}\} \text{ of } x \ T1 \rightarrow \text{of } (E2 \ x) \ T2).$
- Reminder of hypothetical judgment:  $\Gamma, x : \tau_1 \vdash e_2 : \tau_2.$
- $f$ 's first input is an exp term bound to LF variable  $x$ .
- $f$ 's second input is a term  $dx$  of type  $(\text{of } x \ T1).$
- $f$ 's output is a term of type  $(\text{of } (E2 \ x) \ T2).$
- $dx$  of type  $(\text{of } x \ T1)$  can be **used in the definition of  $f$**  to return a proof/term of type  $(\text{of } (E2 \ x) \ T2).$
- The ability to use a proof ( $dx$ ) of type  $(\text{of } x \ T1)$  to derive a proof of type  $(\text{of } (E2 \ x) \ T2)$  simulates the ability to use an assumption  $x : \tau_1$  to prove  $e_2 : \tau_2.$

# Exercise Applying Hypothetical Judgment

- Derive the judgment  $\vdash \text{let } x \text{ be } 1 \text{ in } x + 0 : \text{num}$  in Twelf.

- Twelf encoding of above judgment:

`of (let (enat (s z)) ([x:exp] add x (enat z))) num.`

- Recall important signatures (displaying implicit parameters):

`of/let : {T1:typ} {E2:exp -> exp} {T2:typ} {E1:exp}  
 ({x:exp} of x T1 -> of (E2 x) T2) -> of E1 T1  
 -> of (let E1 ([x:exp] E2 x)) T2.`

`of/nat : {N:nat} of (enat N) num.`

`of/add : {E2:exp} {E1:exp}  
 of E2 num -> of E1 num -> of (add E1 E2) num.`

# Relations w/ Inputs and Outputs (Modes)

- Inputs/Outputs defined with `%mode` declaration.  
of : exp -> typ -> type.  
`%mode of +E -T.`
- Inputs marked with `+`.
- Outputs marked with `-`.

# Relations w/ Inputs and Outputs (Modes)

- Inputs/Outputs defined with `%mode` declaration.  
of : exp -> typ -> type.  
`%mode of +E -T.`
- Inputs marked with `+`.
- Outputs marked with `-`.
- Outputs can be derived automatically using Twelf's logic programming engine (example later).

# Relations w/ Inputs and Outputs (Modes)

- Inputs/Outputs defined with `%mode` declaration.  
of : exp -> typ -> type.  
`%mode of +E -T.`
- Inputs marked with `+`.
- Outputs marked with `-`.
- Outputs can be derived automatically using Twelf's logic programming engine (example later).
- Not all relations required modes.
- Modes are necessary for **specifying theorems**.
- Modes used also for **checking proofs of theorems**.

# Relations w/ Inputs and Outputs (Modes)

- Inputs/Outputs defined with `%mode` declaration.  
of : exp -> typ -> type.  
`%mode of +E -T.`
- Inputs marked with `+`.
- Outputs marked with `-`.
- Outputs can be derived automatically using Twelf's logic programming engine (example later).
- Not all relations required modes.
- Modes are necessary for **specifying theorems**.
- Modes used also for **checking proofs of theorems**.
- Only **ground** terms may be applied to relations w/ modes in rules (details later).



# Backward Arrow vs. Forward Arrow

- Output terms must be **ground** given ground input terms.
  - ▶ Ground terms do not contain **free** variables.
  - ▶ Output terms are fixed (ground) wrt (ground) inputs.
- Forward “ $\rightarrow$ ” reflects **order that premises are passed to rules/functions** and makes proofs more natural.
- Backward “ $\leftarrow$ ” reflects **order of resolving ground terms**.

# Backward Arrow vs. Forward Arrow

- Output terms must be **ground** given ground input terms.
  - ▶ Ground terms do not contain **free** variables.
  - ▶ Output terms are fixed (ground) wrt (ground) inputs.
- Forward “**->**” reflects **order that premises are passed to rules/functions** and makes proofs more natural.
- Backward “**<-**” reflects **order of resolving ground terms**.
- **Order of args** allowed by Twelf:  
of/let : ( $\{x: \text{exp}\}$  of  $x$   $T1 \rightarrow$  of  $(E2\ x)$   $T2$ )  $\rightarrow$   
of  $E1\ T1 \rightarrow$   
of  $(\text{let } E1\ ([x]\ E2\ x))\ T2$ .

# Backward Arrow vs. Forward Arrow

- Output terms must be **ground** given ground input terms.
  - ▶ Ground terms do not contain **free** variables.
  - ▶ Output terms are fixed (ground) wrt (ground) inputs.
- Forward “**->**” reflects **order that premises are passed to rules/functions** and makes proofs more natural.
- Backward “**<-**” reflects **order of resolving ground terms**.
- **Order of args** allowed by Twelf:  
of/let : ( $\{x: \text{exp}\}$  of  $x$   $T1$  -> of  $(E2\ x)$   $T2$ ) ->  
of  $E1\ T1$  ->  
of  $(\text{let } E1\ ([x]\ E2\ x))\ T2$ .
- Order of args that **causes error**:  
of/let : of  $E1\ T1$  ->  
( $\{x: \text{exp}\}$  of  $x\ T1$  -> of  $(E2\ x)\ T2$ ) ->  
of  $(\text{let } E1\ ([x]\ E2\ x))\ T2$ .

# Backward Arrow vs. Forward Arrow

- Output terms must be **ground** given ground input terms.
  - ▶ Ground terms do not contain **free** variables.
  - ▶ Output terms are fixed (ground) wrt (ground) inputs.
- Forward “ $\rightarrow$ ” reflects **order that premises are passed to rules/functions** and makes proofs more natural.
- Backward “ $\leftarrow$ ” reflects **order of resolving ground terms**.
- **Order of args** allowed by Twelf:  
of/let :  $(\{x: \text{exp}\} \text{ of } x \text{ T1} \rightarrow \text{of } (E2 \ x) \text{ T2}) \rightarrow$   
          of E1 T1  $\rightarrow$   
          of (let E1 ([x] E2 x)) T2.
- Order of args that **causes error**:  
of/let : of E1 T1  $\rightarrow$   
           $(\{x: \text{exp}\} \text{ of } x \text{ T1} \rightarrow \text{of } (E2 \ x) \text{ T2}) \rightarrow$   
          of (let E1 ([x] E2 x)) T2.
- Error message:  
Occurrence of variable T1 in output (-) argument  
**not necessarily ground**

# Universally-Quantified Inputs

- Terms in **input positions** of **return type** are **universally-quantified** inputs to function.
- `right1 : of E1 num -> of (add E1 (enat z)) num.`

# Universally-Quantified Inputs

- Terms in **input positions** of **return type** are **universally-quantified** inputs to function.
- `right1 : of E1 num -> of (add E1 (enat z)) num.`
- Terms in input position of return type:  
`(add E1 (enat z)).`

# Universally-Quantified Inputs

- Terms in **input positions** of **return type** are **universally-quantified** inputs to function.
- `right1 : of E1 num -> of (add E1 (enat z)) num.`
- Terms in input position of return type:  
(add E1 (enat z)).
- Tokens starting with capital letters are assumed by Twelf to be variables in type: E1.

# Universally-Quantified Inputs

- Terms in **input positions** of **return type** are **universally-quantified** inputs to function.
- `right1 : of E1 num -> of (add E1 (enat z)) num.`
- Terms in input position of return type:  
`(add E1 (enat z)).`
- Tokens starting with capital letters are assumed by Twelf to be variables in type: `E1`.
- Free variables in input position of return type, `E1`, are inferred by Twelf to be **universally**-quantified inputs to function `right1`.
  - ▶ Only these terms are allowed to be universal inputs to function `right1`.



# Resolving Ground Terms

- All terms must be **ground** terms:  
constants or terms **without** free variables  
assuming that input terms (**from return type**) are also  
ground (do not contain free variables).
- Next Step:  
Check that input terms in type preceding return type are  
ground:
- `right1 : of E1 num -> of (add E1 (enat z)) num.`

# Resolving Ground Terms

- All terms must be **ground** terms:  
constants or terms **without** free variables  
assuming that input terms (**from return type**) are also  
ground (do not contain free variables).
- Next Step:  
Check that input terms in type preceding return type are  
ground:
- `right1 : of E1 num -> of (add E1 (enat z)) num.`

# Resolving Ground Terms

- All terms must be **ground** terms:  
constants or terms **without** free variables  
assuming that input terms (**from return type**) are also  
ground (do not contain free variables).
- Next Step:  
Check that input terms in type preceding return type are  
ground:
- `right1 : of E1 num -> of (add E1 (enat z)) num.`
- `E1` in premise type (`of E1 num`) is ground wrt `E1` in return  
type because they are the same.

# Resolving Ground Terms

- All terms must be **ground** terms:  
constants or terms **without** free variables  
assuming that input terms (**from return type**) are also  
ground (do not contain free variables).
- Next Step:  
Check that input terms in type preceding return type are  
ground:
- `right1 : of E1 num -> of (add E1 (enat z))  
num.`
- `E1` in premise type (`of E1 num`) is ground wrt `E1` in return  
type because they are the same.
- `num` in premise type (`of E1 num`) is ground wrt return type  
because `num` is a constant.

# Non-ground Term in Premise Causing Error

- `wrong1 : of E2 num -> of (add E1 (enat z)) num.`
- `E2` term not coming from conclusion (return type).

# Ground Term From Output

- Output terms resulting from grounded input terms are also ground.
- Second argument of the **of** relation is an **output** argument.

`right2 : of E T -> of (add E (enat z)) T.`

# Ground Term From Output

- Output terms resulting from grounded input terms are also ground.
- Second argument of the **of** relation is an **output** argument.

`right2 : of E T -> of (add E (enat z)) T.`

# Ground Term From Output

- Output terms resulting from grounded input terms are also ground.
- Second argument of the **of** relation is an **output** argument.

`right2 : of E T -> of (add E (enat z)) T.`



# Ground Term From Output

- Output terms resulting from grounded input terms are also ground.
- Second argument of the **of** relation is an **output** argument.

`right2 : of E T -> of (add E (enat z)) T.`

- Term **T** is **computed**/result of premise/**recursive call** (of **E T**).

# Ground Term From Output

- Output terms resulting from grounded input terms are also ground.
- Second argument of the **of** relation is an **output** argument.

`right2 : of E T -> of (add E (enat z)) T.`

- Term **T** is **computed**/result of premise/**recursive call** (of **E T**).

# Non-ground Term in Conclusion Causing Error

- Output term in conclusion not grounded:

`wrong2 : of E T1 -> of (add E (enat z)) T2.`

- Output term `T2` is universally quantified instead of a grounded result of the input term.  
This violates the `%mode` declaration of the `of` relation.

# Previous Examples for Grounds Checking

- `right1 : of E1 num -> of (add E1 (enat z)) num.`
- `wrong1 : of E2 num -> of (add E1 (enat z)) num.`
- `right2 : of E T -> of (add E (enat z)) T.`
- `wrong2 : of E T1 -> of (add E (enat z)) T2.`

# Decidable Predicate Definitions

- Decidable Predicate Definition or Algorithmic Definition:  
Definition of predicate that gives an **algorithm** for deciding predicate that halts on all inputs within a **finite** number of steps.
- Constructive Logic Requirement:  
Proposition is true **iff** there exists a proof of it.

# Decidable Predicate Definitions

- Decidable Predicate Definition or Algorithmic Definition:  
Definition of predicate that gives an **algorithm** for deciding predicate that halts on all inputs within a **finite** number of steps.
- Constructive Logic Requirement:  
Proposition is true **iff** there exists a proof of it.
- For every true proposition/instance of predicate, algorithm finds a proof of proposition.
- For every false proposition of predicate, algorithm determines no proof exists.

# Termination

- **%terminates** checks a program succeeds or fails in a finite number of steps given ground inputs.
- Modes with termination ensure decidable definitions.
- Termination not guaranteed with **transitive** rule.

```
subtype : typ -> typ -> type.
```

```
%mode subtype +T1 -T2.
```

```
subtype/int/rea : subtype int real.
```

```
subtype/rea/num : subtype real number.
```

```
subtype/num/num : subtype number number.
```

```
subtype/trans:
```

```
    subtype T1 T3 <- subtype T1 T2 <- subtype T2 T3.
```

```
%terminates T (subtype T _).
```

- **Error: Termination violation: ---> (T1) < (T1)**
- First input to subtype not **smaller** in premise/recursive call.

# Syntax-Directed Definition

- **Syntax-Directed Definition:** For each syntactic form of input, there is at most one applicable rule.
- Syntax of input term tells us which rule to use.  
(or if no rule applies)
- Each true proposition of a syntax-directed predicate has exactly one unique derivation.
- Only one way to derive  $+(5; 3) : \text{num}$ .

$$\frac{\frac{}{5 : \text{num}} \text{ of/num} \quad \frac{}{3 : \text{num}} \text{ of/num}}{+(5; 3) : \text{num}} \text{ of/add}$$

- No need for exhaustive proof search with syntax-directed predicates.



# Checking Syntax-Directed

- `%unique` checks if outputs are uniquely determined by inputs.
- `%unique` check can also ensure rules are syntax-directed.

```
subtype : typ -> typ -> type.
```

```
%mode subtype +T1 -T2.
```

```
subtype/int/rea : subtype int real.
```

```
subtype/rea/num : subtype real number.
```

```
subtype/num/num : subtype number number.
```

```
subtype/trans:
```

```
    subtype T1 T3 <- subtype T1 T2 <- subtype T2 T3.
```

```
%worlds () (subtype _ _).
```

```
%unique subtype +T1 +T2.
```

- **Error:** subtype/rea/num and subtype/trans **overlap**
- Both rules could be used to derive **subtype real number**.

# Automatic Proof Derivation

- Twelf can derive (search) for proofs:
- `%solve D1 :`  
    `of (estr (a , b , c , a , eps)) string.`
- Twelf will save proof term in `D1`.

# Printing Proof Terms

- To print all (implicit) terms in proofs:
- From Twelf Server:  
    `“set Print.implicit true”`
- From ML (SML) Prompt:  
    `“Twelf.Print.implicit := true”`
- Then just execute “Check File”:  
    Emacs Key Sequence: `^C ^S`

# Proof Term in Sample Output

```
loadFile test_typing.elf
[Opening file test_typing.elf]
%solve
of (estr (, a (, b (, c (, a eps)))) string.
OK
D1 : of (estr (, a (, b (, c (, a eps)))) string
    = of/str (, a (, b (, c (, a eps)))).
```

- **Preservation Theorem:**

If  $(\text{of } E \ T)$  and  $(\text{step } E \ E')$ , then  $(\text{of } E' \ T)$ .

# Twelf Theorems

- **Preservation Theorem:**

If (of  $E$   $T$ ) and (step  $E$   $E'$ ), then (of  $E'$   $T$ ).

- Twelf allows expressing  $\forall\exists$ -type properties.

- **Preservation, re-formulated:**

- ▶ **For every** derivation of (of  $E$   $T$ ) and (step  $E$   $E'$ ),
- ▶ **there exists** at least one derivation of (of  $E'$   $T$ ).

# Twelf Theorems

- **Preservation Theorem:**

If (of  $E$   $T$ ) and (step  $E$   $E'$ ), then (of  $E'$   $T$ ).

- Twelf allows expressing  $\forall\exists$ -type properties.

- **Preservation, re-formulated:**

- ▶ **For every** derivation of (of  $E$   $T$ ) and (step  $E$   $E'$ ),
- ▶ **there exists** at least one derivation of (of  $E'$   $T$ ).

- `%theorem`

`preservation :`

```
forall* {E} {E'} {T}
forall {O:of E T} {S:step E E'}
exists {O':of E' T}
true.
```

- Verbose syntax above.

Desugared, concise alternative on next slide.

# Theorems are Function Types w/ Specified Inputs/Outputs

- Preservation theorem is a function returning types (type family):

`preservation:`

`of E T -> step E E' -> of E' T -> type.`

- Premises are **inputs**. Conclusions are **outputs**.

`%mode preservation +O +S -O'.`

- To prove preservation theorem, need to show `preservation` is a **total relation** on all **possible inputs**.
  - ▶ For each possible derivation of premises (inputs), need at least one derivation of conclusion (output).



# Proofs of Theorems

- Proofs of theorems are **total** relations over inputs.
- Proving theorem  
= Constructing functions **for each case**:
  - ▶ For each **constructor** of term to perform structural induction on.

- Proofs of theorems are **total** relations over inputs.
- Proving theorem  
= Constructing functions **for each case**:
  - ▶ For each **constructor** of term to perform structural induction on.
- Note:  
**No case-split** or **pattern match** construct in Twelf.
  - ▶ This is the reason why **multiple functions** are required to prove theorem for multiple cases.
  - ▶ Results in smaller proof terms but more of them.

# Preservation Proof - Addition Case 2 - Informal

Case: (T.4, D.1)

$$\frac{e_1 : \text{num} \quad e_2 : \text{num}}{+(e_1; e_2) : \text{num}} \text{ T.4} \qquad \frac{e_1 \mapsto e'_1}{+(e_1; e_2) \mapsto +(e'_1; e_2)} \text{ D.1}$$

We assume preservation holds for subexpressions. Hence, by the **inductive hypothesis**,  $e_1 : \text{num}$  and  $e_1 \mapsto e'_1$  implies  $e'_1 : \text{num}$ . Rule T.4 gives us:

$$\frac{e'_1 : \text{num} \quad e_2 : \text{num}}{+(e'_1; e_2) : \text{num}} \text{ T.4} \quad \square$$

## Twelf Proof of Addition Case 2

```
of/add :  
  of (add E1 E2) num <- of E1 num <- of E2 num.  
  
- :  
{E1-num   : of E1 num }  
{E2-num   : of E2 num }  
{E1=>E1'  : step E1 E1' }  
{E1'-num  : of E1' num }  
preservation E1-num E1=>E1' E1'-num ->  
preservation  
  ((of/add E2-num E1-num) : (of (add E1 E2) num))  
  ((step/add1 E1=>E1') :  
    (step (add E1 E2) (add E1' E2)))  
  ((of/add E2-num E1'-num) : (of (add E1' E2) num)).
```

# Proof Case without Explicit Types

```
- : preservation
  (of/add E2-num E1-num)
  (step/add1 E1=>E1')
  (of/add E2-num E1'-num)
  <- preservation E1-num E1=>E1' E1'-num.
```

- Types of terms in proofs: usually not required to specify.
- Allowed to be manually specified.
- Output from Twelf server contains (some) inferred types.

# Applying Inductive Hypothesis

```
- : preservation
  (of/add E2-num E1-num)
  (step/add1 E1=>E1')
  (of/add E2-num E1'-num)
  <- preservation E1-num E1=>E1' E1'-num.
```

- Applying inductive hypothesis = recursive call.

# Checking Proof Totality

- After proving all cases, ask Twelf to check we covered all cases.

```
%worlds () (preservation _ _ _).  
%total E-T (preservation E-T _ _).
```

- `%total E-T` tells Twelf to check proof of totality by structural induction on typing derivation E-T.
- Details of `%world` declaration later.

# Missing Case

- If we forget to prove a case, %total command will fail.
- Twelf prints error message to help user “debug” proof:

```
preservation.elf:69.8-69.11 Error:
```

```
Coverage error --- missing cases:
```

```
{E1:exp} {E2:exp} {E3:exp}
```

```
{01:of (add E1 E2) num} {S1:step E1 E3}
```

```
{02:of (add E3 E2) num}
```

```
|- preservation 01 (step/add1 S1) 02.
```

- Forgot the case where we could derive:
  - ▶ (of (add E1 E2) num)
  - ▶ (step (add E1 E2) (add E3 E2)))
- Need to construct proof of (of (add E3 E2) num).



# Assuming What Needs To Be Proven

- Cannot prove theorem by just assuming conclusion of theorem holds.

# Assuming What Needs To Be Proven

- Cannot prove theorem by just assuming conclusion of theorem holds.
- Also, cannot assume propositions not derived from premises of theorem.

# Assuming What Needs To Be Proven

- Cannot prove theorem by just assuming conclusion of theorem holds.
- Also, cannot assume propositions not derived from premises of theorem.
- Such a proof will contain a **non-ground** term.
  - ▶ `%mode` declarations used to check proofs.

# Recall Valid Proof of Case

```
- : {E1-num  : of E1 num}
    {E2-num  : of E2 num}
    {E1=>E1' : step E1 E1'}
    {E1'-num : of E1' num}
preservation E1-num E1=>E1' E1'-num
  -> preservation (of/add E2-num E1-num)
                  (step/add1 E1=>E1')
                  (of/add E2-num E1'-num).
```

# Invalid Proof of Case

```
- : {E1-num  : of E1 num }  
    {E2-num  : of E2 num }  
    {E1=>E1' : step E1 E1' }  
    {E1'-num : of E1' num }  
  preservation (of/add E2-num E1-num)  
                (step/add1 E1=>E1')  
                (of/add E2-num E1'-num).
```

- Proof above just assumes **of E1' num**, which is not one of the assumptions for the case.
- **E1'-num** is not an input term in the conclusion (third) argument of preservation.
- **E1'-num** is not an output term derived from ground terms.
- Twelf reports error for function above.

# Checking Entire Proofs of Theorems

- Twelf checks proofs of theorems by verifying three key aspects:
  - ▶ Type checking – Proof of correct proposition
  - ▶ Grounds checking – Valid assumptions
  - ▶ Coverage checking – Proved all cases of theorem
- Next few slides describes Twelf's coverage checking of proofs

# Specifying Worlds – Possible Inputs

- To check totality of function/theorem, need to define all possible inputs or **worlds**.
  - ▶ World = Set of terms of a type (inhabitants of a type)

- Example world of natural numbers:

```
nat : type.
```

```
z : nat.
```

```
s : nat -> nat.
```

```
%worlds () (nat).
```

# Specifying Worlds – Possible Inputs

- To check totality of function/theorem, need to define all possible inputs or **worlds**.
  - ▶ World = Set of terms of a type (inhabitants of a type)
- Example world of natural numbers:

```
nat : type.  
z : nat.  
s : nat -> nat.  
%worlds () (nat).
```
- No term of type `nat` containing LF variables.
- No such `nat` of form `(s x)`, where `x` of variable of type `nat`.



# Terms Containing Binders

- Let expression contains binders.

`add : exp -> exp -> exp.`

`let : exp -> (exp -> exp) -> exp.`

`%worlds () (exp).`

# Terms Containing Binders

- Let expression contains binders.

`add : exp -> exp -> exp.`

`let : exp -> (exp -> exp) -> exp.`

`%worlds () (exp).`

- Error message:

`syntax.elf:38.15-38.25 Error:`

`While checking constant let:`

`World violation for family exp: {_:exp} </: 1`

# Terms Containing Binders

- Let expression contains binders.

`add : exp -> exp -> exp.`

`let : exp -> (exp -> exp) -> exp.`

`%worlds () (exp).`

- Error message:

`syntax.elf:38.15-38.25 Error:`

`While checking constant let:`

`World violation for family exp: {_:exp} </: 1`

- Need to tell Twelf about possible variables that can arise from rules.

- **Blocks:** Patterns describing fragment of contexts.
- Update addressing previous error:  
add : exp -> exp -> exp.  
let : exp -> (exp -> exp) -> exp.  
%block exp-block : block {x:exp}.  
%worlds (exp-block) (exp).
- Informs Twelf that terms of type exp can contain binders of type exp.

- **Blocks:** Patterns describing fragment of contexts.
- Update addressing previous error:  
add : exp -> exp -> exp.  
let : exp -> (exp -> exp) -> exp.  
%block exp-block : block {x:exp}.  
%worlds (exp-block) (exp).
- Informs Twelf that terms of type exp can contain binders of type exp.
- Worlds can take in multiple blocks. Syntax:  
%worlds (block1 | block2 | ... | blockN) (exp).

# Worlds for Relations w/ Outputs

- Specifying world requires specifying how variables are quantified (universal inputs or ground outputs).

```
of : exp -> typ -> type.
```

```
%mode of +E -T.
```

```
...
```

```
of/let : of (let E1 ([x] E2 x)) T2
```

```
  <- of E1 T1
```

```
  <- ({x: exp} of x T1 -> of (E2 x) T2).
```

```
%block of-block :
```

```
  some {T:typ} block {x: exp}{_: of x T}.
```

```
%worlds (of-block) (of _ _).
```

- Number of args specified by pattern in %worlds declaration:  
(of \_ \_)

# Checking Proof Totality

- After defining the worlds of all inputs to a theorem/function type, we can ask Twelf to check that the proof/function is total:  
**defined over the world.**

```
%worlds () (preservation _ _ _).  
%total E-T (preservation E-T _ _).
```

- `%total E-T` tells Twelf to check proof of totality by structural induction on typing derivation E-T.

# Checking Proof Totality

- After defining the worlds of all inputs to a theorem/function type, we can ask Twelf to check that the proof/function is total:  
**defined over the world.**

```
%worlds () (preservation _ _ _).  
%total E-T (preservation E-T _ _).
```

- `%total E-T` tells Twelf to check proof of totality by structural induction on typing derivation E-T.
- Twelf checks proofs of theorems by:
  - ▶ Type checking – Proof of correct proposition
  - ▶ Grounds checking – Valid assumptions
  - ▶ Coverage checking – Proved all cases of theorem



- Ask Twelf to **derive** proof for all cases of theorem:  
`%prove 3 E-T (preservation E-T _ _).`
  - ▶ by structural induction on typing derivation E-T
  - ▶ 3 is bound on the size of proof terms.

- Ask Twelf to **derive** proof for all cases of theorem:  
`%prove 3 E-T (preservation E-T _ _).`
  - ▶ by structural induction on typing derivation E-T
  - ▶ 3 is bound on the size of proof terms.
- Twelf fails to find proof of progress theorem because it requires nested case analysis.
  - ▶ Need extra theorems for sub-cases (no case-split construct).
  - ▶ See Twelf page on **Output Factoring** for more details:  
[http://twelf.org/wiki/Output\\_factoring](http://twelf.org/wiki/Output_factoring)

# My Review of Twelf: The Good

- **Language Simplicity:** Fewer language constructs
  - ▶ Functions encode many language elements (e.g., grammar, judgments, theorems, etc.)
- Good tool to **start with** for learning about proof assistants because of language simplicity and less syntactic sugar (my opinion).

# My Review of Twelf: The Good

- **Language Simplicity:** Fewer language constructs
  - ▶ Functions encode many language elements (e.g., grammar, judgments, theorems, etc.)
- Good tool to **start with** for learning about proof assistants because of language simplicity and less syntactic sugar (my opinion).
- Language support (HOAS) for **variable binding**
  - ▶ Do not need to define substitution and prove substitution lemmas (sometimes).
- Language support for **context-sensitive propositions** (hypothetical judgments).
  - ▶ Do not need to define context of judgments and related lemmas (e.g. weakening) (sometimes).

# My Review of Twelf: The Bad

- Language sometimes too simple

- ▶ Missing support for frequent use-cases  
(e.g., nested case analysis, no case-split construct).

- Less verbosity can lead to cryptic code:  
Intent and meaning of code not clear without significant background:

- ▶ No text suggesting this is a proof case:
  - : `preservation (of/len _) (step/lenV _) of/nat.`

- ▶ No text suggesting this checks a proof of a theorem:

```
%worlds () (preservation _ _ _).  
%total E-T (preservation E-T _ _).
```

- Error messages could be improved  
(e.g. missing cases messages).

- ▶ Type annotations of function applications and defined names desired.

# My Review of Twelf: The Bad (cont.)

- No support for stepping through proof instead of just reading proof trees.
- Lack of automation: Many proofs require manual specification (e.g. proofs requiring nested case analysis).
- No libraries.
  - ▶ No standard library
  - ▶ No import statements – All code must be included (repeat definition of `nat` for every project using them)
- No polymorphism
  - ▶ Separate definitions for `(int_list)`, `(str_list)`, etc.
  - ▶ Each type needs its own definition of equality.
- Many contexts require explicit definition (HOAS not always sufficient).



- Twelf is a proof assistant tool for checking and deriving proofs of properties of languages and deductive logics.
- A tool for language design and implementation.
- Imposes healthy reality and sanity check on language designs.
- Exposes, and helps correct, subtle design errors early in the process.