

Layer-skipping connections improve the effectiveness of equilibrium propagation on layered networks

Jimmy Gammell 1,* , Sonia M. Buckley 1 ,Sae Woo Nam 1 and Adam N. McCaughan 1

¹National Institute of Standards and Technology, Boulder, CO, United States Correspondence*: Jimmy Gammell jimmy.gammell@colorado.edu

2 ABSTRACT

- 3 Equilibrium propagation is a learning framework that marks a step forward in the search for a
- 4 biologically-plausible implementation of deep learning, and could be implemented efficiently in
- 5 neuromorphic hardware. Previous applications of this framework to layered networks encountered
- 6 a vanishing gradient problem that has not yet been solved in a simple, biologically-plausible way.
- 7 In this paper, we demonstrate that the vanishing gradient problem can be overcome by replacing
- 8 some of a layered network's connections with random layer-skipping connections. We additionally
- 9 compare the computational complexities of equilibrium propagation and backpropagation to show
- 10 that it would be easier to implement the former in neuromorphic hardware.
- 11 Keywords: equilibrium propagation, deep learning, small-world, layer-skipping connections, neuromorphic computing, biologically-
- 12 motivated
- 13 Number of words: 3152
- 14 Number of figures: 7
- 15 Number of tables: 1

1 INTRODUCTION

- 16 As research into neural networks grows, there has been increased interest in designing biologically-inspired
- 17 training algorithms, as they may offer insight into biological learning processes and also offer clues
- 18 towards developing energy-efficient neuromorphic systems [Wozniak et al., 2018; Crafton et al., 2019;
- 19 Ernoult et al., 2020; Bartunov et al., 2018; Lillicrap et al., 2014; Bengio et al., 2015]. The equilibrium
- 20 propagation learning framework developed Scellier and Bengio [2016] is one such algorithm. It is a
- 21 method for training a class of energy-based networks, the prototype for which is the continuous Hopfield
- 22 network Hopfield [1984]. In particular, it addresses one of the major issues that prevent other training
- 23 algorithms (such as backpropagation) from being biologically-plausible, which is the requirement for
- 24 separate computation pathways for different phases of training. This also makes the algorithm appealing for

practical implementation into neuromorphic hardware, because only a single computation circuit is required within each (non-output) neuron, rather than multiple distinct circuits. However, current implementations 26 of the algorithm still have a defect that diminishes its biological plausibility: they require hand-tuned 27 per-layer hyperparameters to account for a vanishing gradient through the network. In addition to not 28 being biologically plausible, these multiplicative hyperparameters would be difficult to implement in a 29 neuromorphic hardware system with limited bit depth. In this work, we demonstrate that the vanishing 30 gradient problem can instead be addressed through topological means: by randomly replacing some of a 31 layered network's connections with layer-skipping connections, we can generate a network that trains each 32 layer more evenly and does not need per-layer hyperparameters. This solution is biologically-plausible and 33 would be easier to implement in a neuromorphic system; additionally, it entails hand-tuning only two new 34 hyperparameters (the number of layer-skipping connections and their initial weights), whereas the original 35 solution adds a new hyperparameter for each pair of layers in a network. 36

Implementation of equilibrium propagation in [Scellier and Bengio, 2016] was hindered by a vanishing gradient problem whereby networks with as few as 3 hidden layers trained slowly on MNIST [LeCun and Cortes, 1998] – a serious issue given that network depth is critical to performance on difficult datasets [Simonyan and Zisserman, 2014; Srivastava et al., 2015b] and that convergence to a low error rate on MNIST is a low bar to meet. The problem was overcome in [Scellier and Bengio, 2016] by independently tuning a unique learning rate for each layer in the network. These learning rates were multiplicative factors that proportionally scaled the signals communicated between layers.

44 In our work, we have modified the strictly-layered topology of the original implementation by adding 45 and removing connections to create a small-world-like network[Watts and Strogatz, 1998]. Through 46 this modification we have eliminated the per-layer hyperparameters without degrading the algorithm's 47 performance – the modified network produces 0% training error (out of 50,000 examples) and \$2.5% test error (out of 10,000 examples) on MNIST using a network with three hidden layers and no regularization 48 49 term in its cost function. These error rates are comparable to those of other biologically-motivated networks 50 [Bartunov et al., 2018] and are approximately the same as those of the layered network with unique, manually-tuned learning rates in [Scellier and Bengio, 2016]. Our method could be implemented with 51 52 relative ease in any system with configurable connectivity, such as those already described in several 53 neuromorphic hardware platforms [Davies et al., 2018; Schemmel et al., 2010; Shainline et al., 2019]. Layer-skipping connections have been observed in biological brains [Bullmore and Sporns, 2009], so 54 the approach is biologically-plausible. Similar techniques have seen success in convolutional [He et al., 56 2015; Srivastava et al., 2015a] and multilayer feedforward [Xiaohu et al., 2011; Krishnan et al., 2019] networks. Our findings outlined in this paper suggest that layer-skipping connections are effective-enough 57 to be appealing in contexts where simplicity and biological plausibility are important. While small-world 58 networks are not a novel concept, to our knowledge our work is the first to train small-world-like networks 59 using the Equilibrium Propagation learning framework. 60

2 BACKGROUND

61

62

63

64

65

2.1 Equilibrium propagation

Similarly to backpropagation, the equilibrium propagation algorithm [Scellier and Bengio, 2016] trains networks by approximating gradient descent on a cost function. Equilibrium propagation is applicable to any network with dynamics characterized by evolution to a fixed point of an associated energy function; our implementation is a recreation of that in [Scellier and Bengio, 2016], which applies it to a continuous

- 66 Hopfield network [Hopfield, 1984]. The mathematical formulation of the framework can be found in
- 67 [Scellier and Bengio, 2016]. We discuss its appeal over backpropagation in section 5.1.
- 68 2.1.1 Implementation in a continuous Hopfield network
- Here we summarize the equations through which a continuous Hopfield network is trained using equili-
- 70 brium propagation; this summary is based on the more-thorough and more-general treatment in [Scellier
- 71 and Bengio, 2016].
- Consider a network with n neurons organized into an input layer with p neurons, hidden layers with q
- 73 neurons and an output layer with r neurons. Let the activations of these neurons be denoted respectively
- 74 by vectors $x \in \mathbb{R}^p$, $h \in \mathbb{R}^q$ and $y \in \mathbb{R}^r$, and let $s = (h^T, y^T)^T \in \mathbb{R}^{q+r}$ and $u = (x^T, s^T)^T \in \mathbb{R}^n$ be
- 75 vectors of, respectively, the activations of non-fixed (non-input) neurons and of all neurons in the network.
- 76 Let $W \in \mathbb{R}^{n \times n}$ and $b \in \mathbb{R}^n$ denote the network's weights and biases where w_{ij} is the connection weight
- 577 between neurons i and j and b_i is the bias for neuron i ($\forall i \ w_{ii} = 0$ to prevent self-connections), and let ρ
- 78 denote its activation function; here and in [Scellier and Bengio, 2016],

$$\rho(x) = \begin{cases} 0 & x < 0 \\ x & 0 \le x \le 1 \\ 1 & x > 1 \end{cases} \tag{1}$$

79 is a hard sigmoid function where $\rho'(0) = \rho'(1)$ is defined to be 1 to avoid neuron saturation. Let

80
$$\rho((x_1,\ldots,x_n)^T) = (\rho(x_1),\ldots,\rho(x_n))^T$$
.

The behavior of the network is to perform gradient descent on a total energy function F that is modified

82 by a training example (x_d, y_d) . Consider energy function $E : \mathbb{R}^n \to \mathbb{R}$,

$$E(\boldsymbol{u}; \boldsymbol{W}, \boldsymbol{b}) = \frac{1}{2} \boldsymbol{u}^T \boldsymbol{u} - \frac{1}{2} \boldsymbol{\rho}(\boldsymbol{u})^T \boldsymbol{W} \boldsymbol{\rho}(\boldsymbol{u}) - \boldsymbol{b}^T \boldsymbol{u}$$
 (2)

83 and arbitrary cost function $C: \mathbb{R}^r \to \mathbb{R}_+$; here and in [Scellier and Bengio, 2016] it is a quadratic cost

84 function given by

$$C(\boldsymbol{y}) = \frac{1}{2}||\boldsymbol{y} - \boldsymbol{y}_d||_2^2,$$
(3)

85 though the framework still works for cost functions incorporating a regularization term dependent on W

86 and **b**. The total energy function $F: \mathbb{R}^n \to \mathbb{R}$ is given by

$$F(\boldsymbol{u}; \beta, \boldsymbol{W}, \boldsymbol{b}) = E(\boldsymbol{u}; \boldsymbol{W}, \boldsymbol{b}) + \beta C(\boldsymbol{y})$$
(4)

87 where the clamping factor β is a small constant. s evolves over time t as

$$\frac{ds}{dt} \propto -\frac{\partial F}{\partial s}.\tag{5}$$

Equilibrium has been reached when $\frac{\partial F}{\partial s} \approx 0$. This can be viewed as solving the optimization problem

$$\underset{\boldsymbol{s} \in \mathbb{R}^{q+r}}{\text{minimize}} F((\boldsymbol{x}_d^T, \boldsymbol{s}^T)^T; \beta, \boldsymbol{W}, \boldsymbol{b})$$
(6)

89 by using gradient descent to find a local minimum of F.

The procedure for training on a single input-output pair (x_d, y_d) is as follows:

- 1. Clamp x to x_d and perform the free-phase evolution: evolve to equilibrium on the energy function 91 $F(\boldsymbol{u}; 0, \boldsymbol{W}, \boldsymbol{b})$ in a manner dictated by equation 5. Record the equilibrium state \boldsymbol{u}^0 . 92
- 2. Perform the weakly-clamped evolution: evolve to equilibrium on the energy function $F(u; \beta, W, b)$ 93 using u^0 as a starting point. Record the equilibrium state u^{β} . 94
- 3. Compute the correction to each weight in the network: 95

$$\Delta W_{ij} = \frac{1}{\beta} (\rho(u_i^{\beta})\rho(u_j^{\beta}) - \rho(u_i^{0})\rho(u_j^{0})). \tag{7}$$

- Adjust the weights using $W_{ij} \leftarrow W_{ij} + \alpha \Delta W_{ij}$ where the learning rate α is a positive constant. 96
- 4. Compute the correction to each bias in the network: 97

$$\Delta b_i = \frac{1}{\beta} (\rho(u_i^{\beta}) - \rho(u_i^0)) \tag{8}$$

and adjust the biases using $b_i \leftarrow b_i + \alpha \Delta b_i$. 98

This can be repeated on as many training examples as desired. Training can be done on batches by 100 computing ΔW_{ij} and Δb_i for each input-output pair in the batch, and correcting using the averages of these values. Note that the correction to a weight is computed using only the activations of neurons it 101 directly affects, and the correction to a bias is computed using only the activation of the neuron it directly 103 affects. This contrasts with backpropagation, where to correct a weight or bias l layers from the output it is 104 necessary to know the activations, derivatives and weights of all neurons between 0 and l-1 layers from 105 106

the output. 2.2 Vanishing gradient problem

119

120

121

122

123

124

125

126

Vanishing gradients are problematic because they reduce a network's rate of training and could be 107 difficult to represent in neuromorphic analog hardware due to limited bit depth. As a simple example, the 108 multiplicative factor of 0.008 used in previous implementations would lead to significant precision errors 109 in a system with signals represented by integers from 0-16 (bit depth of 4).

The vanishing gradient problem is familiar in the context of conventional feedforward networks, where 111 techniques such as the weight initialization scheme in [Glorot and Bengio, 2010], the use of activation 112 113 functions with derivatives that do not lead to output saturation [Schmidhuber, 2015], and batch normalization [Ioffe and Szegedy, 2015] have been effective at overcoming it. However, in the context of the 114 networks trained in [Scellier and Bengio, 2016], the vanishing gradient problem persists even when the 115 former two techniques are used. To our knowledge batch normalization has not been used in the context of 116 equilibrium propagation; however, it seems unlikely to be biologically-plausible. **2.3 Related work** 117 118

References [Lee et al., 2015; Xie and Seung, 2003; Pineda, 1987] describe other approaches to locally approximating the gradient of a cost function. References [Lillicrap et al., 2014; Crafton et al., 2019] explore the use of a random feedback matrix for backwards connections that is more biologically-plausible than identical forwards and backwards connections. Reference [Bartunov et al., 2018] explores the present state of biologically-motivated deep learning, and [Bengio et al., 2015] discusses the criteria a biologicallyplausible network would need to satisfy. References [Shainline et al., 2019; Davies et al., 2018; Nahmias et al., 2013] discuss analog hardware that could potentially implement equilibrium propagation. References [He et al., 2015; Srivastava et al., 2015a; Xiaohu et al., 2011; Krishnan et al., 2019] use layer-skipping

connections for other types of networks and learning frameworks. References [Ioffe and Szegedy, 2015; Glorot and Bengio, 2010] give approaches to solving vanishing gradient problems.

3 IMPLEMENTATION

We recreated the equilibrium propagation implementation¹ in [Scellier and Bengio, 2016] using Pytorch [Paszke et al., 2019]. Like the networks in [Scellier and Bengio, 2016], our networks are continuous Hopfield networks with a hard sigmoid activation function

$$\sigma(x) = \mathbf{Max}\{0, \mathbf{Min}\{x, 1\}\}\$$

and squared-error cost function with no regularization term

$$C = ||\boldsymbol{y} - \boldsymbol{y}_d||_2^2,$$

129 where y is the network's output and y_d is the target output.

We use two performance-enhancing techniques that were used in [Scellier and Bengio, 2016]: we 130 randomize the sign of β before training on each batch, which was found in the original paper to have a 131 regularization effect, and we use persistent particles, where the state of the network after training on a given 132 batch during epoch n is used as the initial state for that batch during epoch n+1. Persistent particles reduce 133 the computational resources needed to approximate the differential equation governing network evolution, 134 and would be unnecessary in an analog implementation that can approximate the equation efficiently. Note 135 that this technique leads to higher error rates early in training than would be present with a more-thorough 136 approximation of the differential equation. 137

In all networks we use the weight initialization scheme in [Glorot and Bengio, 2010] for the weights of interlayer connections; weights connecting a pair of layers with n_1 and n_2 neurons are taken from the uniform distribution $U[-\sqrt{\frac{6}{n_1+n_2}},\sqrt{\frac{6}{n_1+n_2}}]$. We have found empirically that for new connections added in our topology, for a network with N layers it works reasonably well to draw initial weights from U[-a,a] where $a=\frac{1}{N}\sum_{i=1}^{N-1}\sqrt{\frac{6}{n_i+n_{i+1}}}$ where n_i denotes the number of neurons in layer i.

143 3.1 Layered topology with per-layer rates

For a point of reference we implemented the per-layer rates technique described in [Scellier and Bengio, 2016]. For a network with multilayer feedforward topology and N hidden layers, this entails N+1 unique learning rates α_i , $i=1,\ldots,N+1$ where the weights connecting layers i and i+1 and the biases in layer i have learning rate α_i .

148 3.2 Layered topology with global learning rate

To illustrate the vanishing gradient problem and provide a point of reference, we also tested the network in section 3.1 with a single global learning rate.

3.3 Our topology

151

To generate a network with our topology, we use algorithm 1. This topology is illustrated in figure 4. The above algorithm is approximately equivalent to the algorithm for generating a small-world network described in [Watts and Strogatz, 1998] with $p = 1 - (\frac{N_o - 1}{N_o})^n$ for $p \lesssim .2$, where N_o is the number of connections in the network; to contextualize the number of replaced connections we will henceforth describe networks with our topology in terms of p instead of p. We have seen good results with $p \approx 8\%$.

https://github.com/jgammell/Equilibrium_Propagation_mobile.git

Algorithm 1: Algorithm to produce our topology

We have seen similar results when connections are added to the network, rather than randomly replaced (algorithm 1, without removing pre-existing connections).

4 RESULTS

159

169

170

171

172

173

4.1 Comparison with results in original paper

In the original paper [Scellier and Bengio, 2016] it was demonstrated that a multilayer feedforward network with 3 500-neuron hidden layers and per-layer rates (section 3.1) can train on MNIST. Here we recreate that experiment and compare its outcome to that resulting from the same network with a single global learning rate (section 3.2), as well as on a network with our topology, p = 7.56%, and the same global learning rate (section 3.3). As in the original paper we use $\epsilon = .5$, $\beta = 1.0$, 500 free-phase iterations and 8 weakly-clamped-phase iterations. For the network with per-layer rates we use learning rate $\alpha_1 = .128$, $\alpha_2 = .032$, $\alpha_3 = .008$ and $\alpha_4 = .002$, and for the other two networks we use learning rate $\alpha = .02$.

168 4.1.1 Network performance comparison

Figure 5 illustrates that our network significantly outperforms one with a global learning rate, and achieves close to the same training and test error rates as one with unique learning rates, albeit after around 25% more epochs. Both our network and the layered network with unique learning rates achieve approximately a 2.5% test error and 0% training error, whereas the layered network with a global learning rate has test and training error rates around .5% higher than the other two networks.

174 4.1.2 Training rates of individual pairs of layers

To observe the extent of the vanishing gradient problem, for each network we tracked the root-mean-175 square correction to weights in each of its layers during training on MNIST [LeCun and Cortes, 1998]. 176 Figure 6 shows an 11-point centered moving average of these values (without averaging the values are 177 very volatile). It can be seen that for the layered network with a global learning rate, the magnitude of the 178 correction to a typical neuron vanishes with depth relative to the output, with the shallowest weights training 179 around 100 times faster than the deepest weights - this illustrates the vanishing gradient problem. The use 180 of unique learning rates is very effective at making corrections uniform. Our topology with p = 7.56% is 181 effective at making deeper layers train in a uniform way, but the output layer still trains around 10 times faster than deeper layers; nonetheless, figure 5 suggests that this imperfect solution still yields a significant 183 performance benefit. 184

185

186

187

188

189

Dataset	Layer sizes	Topology	L.R.	Iterations	Error (train/test)	log-spread
Diabetes	10-10-10-10-10-1	MLFF	.01	1000/12	.00698/.00876	1.291
Diabetes	10-10-10-10-10-1	SW, p=10%	.01	1000/12	.00704/.00760	.629
Diabetes	10-10-10-10-10-10-10-10-1	MLFF	.01	5000/18	-/-	-
Diabetes	10-10-10-10-10-10-10-10-1	SW, p=10%	.01	5000/18	-/-	-
Wine	13-10-10-10-10-3	MLFF	-	1000/12	-/-	-
Wine	13-10-10-10-10-3	SW, p=10%	-	1000/12	-/-	-
Wine	13-5-5-5-5-5-5-5-5-3	MLFF	-	5000/22	-/-	-
Wine	13-5-5-5-5-5-5-5-3	SW, p=10%	-	5000/22	-/-	-
MNIST	784-100-100-100-100-10	MLFF	.015	1000/12	.156/.131	.867
MNIST	784-100-100-100-100-10	SW, p=10%	.015	1000/12	.0407/.0540	.450
FMNIST	784-100-100-100-100-10	MLFF	.015	1000/12	.266/.255	.862
FMNIST	784-100-100-100-100-10	SW, p=10%	.015	1000/12	.152/.164	.484

Table 1. Comparison of MLFF/SW topology with various datasets and network architectures. In all tests networks were trained for 100 epochs. The emphasis of this table is the improvement to the log-spread (equation 9) when using the SW topology over the MLFF topology.

The fast training of the output layer in the network with our topology is probably because no layerskipping connections attach directly to the target output, so for any value of p the shortest path between a deep neuron and the target layer is at least 2 connections long, whereas the path between an output neuron and the target layer is only 1 connection long.

4.2 Evaluation with various datasets and topologies

Here we evaluate the presence of the vanishing gradient problem and the effectiveness of our topology at 190 addressing it on MNIST [LeCun and Cortes, 1998], Fashion MNIST (FMNIST) [Xiao et al., 2017], and 191 the diabetes and wine toy datasets distributed in scikit-learn [Pedregosa et al., 2011]. Our results are shown 192 in table 1. For all of these trials we use $\beta = 1.0$ and $\epsilon = .5$, and use persistent particles and a randomized 193 sign for β as described earlier. When comparing networks of various depths, we keep the total number of 194 neurons constant. 195

In order to easily compare the spread of the training rates of weights at various depths (as in section 196 4.1.2), we introduce the statistic 197

log-spread = Std. dev{
$$log_{10}(w_l), l = 1, ..., N + 1$$
} (9)

where N denotes the number of hidden layers in a network and w_l denotes the root mean square magnitude 198 of corrections to weights connecting the l^{th} and $l+1^{th}$ layers from the input, averaged over the first 100 199 epochs of training. We have observed qualitatively the same behavior on these datasets as on MNIST with 200 a 3-hidden-layer network as described in section 4.1. 201

In the tested datasets, we find 202

Matrix of correction magnitudes 203

204 4.4 Effect of p

205

207

We tracked the training error after one epoch of a network with our topology while varying p; the results are shown in figure 7. For p < .1%, there is little improvement in the error rate as p is increased, but there 206 is substantial improvement in the uniformity of the training rates of deep layers. When p > .1%, the deep

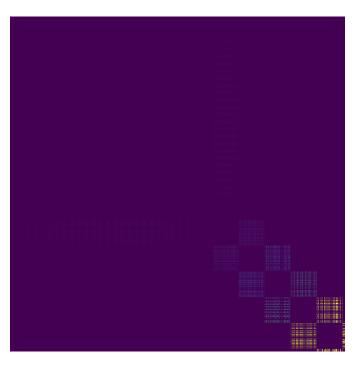


Figure 1. Matrix of correction magnitudes to weights in a multilayer feedforward network training over one epoch on Fashion MNIST. Observe that the magnitudes attenuate with distance from the output.

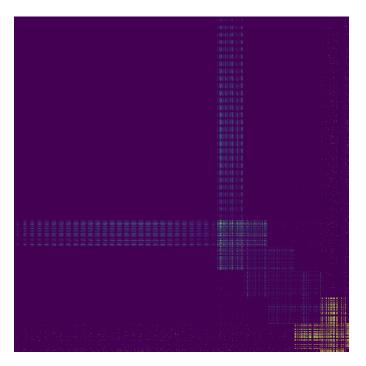


Figure 2. Matrix of correction magnitudes to weights in a network with our topology training over one epoch on Fashion MNIST. Observe that in comparison to a multilayer feedforward topology (figure 1), the magnitudes are much more-uniform with depth.

layers are very uniform, and the error rate starts decreasing with p at a rate that is slightly slower than exponential; at this point there is little improvement in the uniformity of deep layers, but the rate of the shallowest layer appears to move closer to those of the deeper layers.

We found that our topology performs significantly worse than the basic topology with one learning rate when few connections are replaced. This could be due to a poor weight initialization scheme for the added intralayer connections; we have noticed anecdotally that networks appear to be less-sensitive to their weight initialization scheme as connections are replaced. We have found that networks perform poorly relative to a basic network with one learning rate until p is in the ballpark of 7%. This experiment suggests that training rate will keep improving long after that, but does not show long-term performance or test performance; we suspect that a network's generalization ability will suffer for large p as it loses its regimented nature.

5 DISCUSSION

218

219 220

221

222

223

224

225 226

5.1 Comparing the computational complexity of equilibrium propagation and backpropagation

The main motivations for using equilibrium propagation instead of an alternative machine learning technique (such as deep learning using backpropagation for training) are 1) to gain insight into the operation of the brain by developing target-based learning approaches in biologically plausible networks and 2) to develop algorithms that are more easily implemented in hardware. Below we qualitatively compare the hardware that would be needed for implementation of equilibrium propagation versus for backpropagation on a standard feedforward network to gain insight into the utility of these networks.

5.1.1 Requirements of equilibrium propagation

Just as in the algorithm, to implement equilibrium propagation in hardware, three different phases of hardware operation are required. In the first (free) phase, it follows from equations 2, 4 and 5 that to determine its state, the *i*-th neuron in a network must compute

$$\frac{\partial F}{\partial u_i} = u_i - \frac{1}{2}\rho'(u_i)\left[\sum_{i \neq j} W_{ij}\rho(u_j) + b_i\right],$$

plus the term $\beta(u_i-y_i^{target})$ for output neurons when using a squared-error cost function, and then integrate 227 the result over time. Parameter correction rules are given by equations 7 and 8. This is exactly the operation 228 of an analog leaky integrate and fire neuron, as for example implemented in the neuromorphic hardware 229 platforms of references [Indiveri et al., 2011; Schemmel et al., 2010] among others. A qualitative diagram 230 of potential neuron and synapse devices and their output and read/write to memory operations are shown in 231 the diagram in figure 8 (a). At each neuron N_i^l the value of $U_{i,0}^{l+1}$ is written to memory and the nonlinear 232 function ρ is applied before sending to the synapse device, where it is multiplied by the weight w_{ij}^{l+1} which 233 is read from memory by the synapse device. These weighted outputs are summed at the input of the next neuron device (N_j^{l+1}) and added to a bias value b_j^{l+1} that is read from memory to generate U_{l+1} . 234 235

In the second (weakly-clamped) phase, shown in figure 8 (b), the operation of the hardware is exactly the same as in (a), with the value U_{β} written to memory at each neuron. Not shown in figure 8 is the functionality at the output neurons which are weakly clamped and have a new function in this phase.

Finally, in the third phase, the weights and biases are updated as shown in figure 8 (c). At each neuron device the values of U_0 and U_β are read from memory and $\rho(U_0)$ and $\rho(U_\beta)$ are calculated. At the synapse device, the computation of equation 7 is performed using these values from the pre- and post- synaptic neurons to calculate the weight update Δw , and the value of the weight in memory is updated to $w + \alpha \Delta w$. Similar updates are applied to the bias b at every neuron according to equation 8. As shown at the top of 8,

244 for N such neurons per layer, there will be N^2 synapses.

245 5.1.2 Requirements of backpropagation

Backpropagation is an algorithm for training networks using gradient descent. It is most typically applied to feedforward neural networks, in which the activation value of a neuron i in layer l is given by

$$\rho(u_i^l) = \rho(\sum_j W_{ij}^l u_j^{l-1} + b_i^l).$$

This is very similar to the free running situation in equilibrium propagation, with the main difference being that the connections are unidirectional. The qualitative implementation of this inference phase in hardware is shown in Fig. 9 (a). Using backpropagation, the parameters are then updated by computing error correction terms δ_i^l for each neuron i in layer l; for the output layer L the correction is

$$\delta_i^L = \rho'(u_i^L)(\rho(u_i^L) - y_i^{target})$$

and for deeper layers it is

$$\delta_i^l = \rho'(u_i^l) \sum_j W_{ij}^{l+1} \delta_j^{l+1}.$$

The implementation of this in hardware is shown in figure 9 (b) (excluding layer L). Note that the data is now moving in the opposite (backwards) direction, and unlike in the case of equilibrium propagation, the functions implemented by the neurons are entirely different to the operation in the forward phase shown in (a). In a final phase, weights are corrected using

$$\Delta W_{ij}^l = \rho(u_i^{l-1})\delta_j^l$$

and biases using

$$\Delta b_i^l = \delta_i^l.$$

246 This is shown in figure 9 (c).

247 5.1.3 Comparison

248

249

250

251

252

253

254

255

256

257

258

259

260

261

262

263

The most-significant difference between the algorithms is that in equilibrium propagation, the free and weakly-clamped phases of training are identical for most neurons and the weakly-clamped phase requires only slight modification to output neurons, whereas in backpropagation these phases demand significantly-different functionality from essentially all neurons. There are two other differences that we do not believe to be significant in terms of ease of implementation in hardware. One is that in equilibrium propagation each pair of neurons is joined by a bidirectional synapse, whereas in backpropagation each pair is joined by two unidirectional synapses; we expect both cases to be equally easy to implement. The other is that in equilibrium propagation, each neuron must remember its equilibrium state after the free phase while it executes the weakly-clamped phase; since backpropagation implies a state variable for the activation and error term of each neuron, the memory requirement of each neuron should be the same in both cases. For a hardware implementation, the need for distinct free and weakly-clamped phases (temporally non-local credit assignment) significantly reduces the advantages associated with the spatially local credit assignment. Recently there has been new work that indicates that the algorithm can be modified to eliminate the need for both phases [Ernoult et al., 2020]. This would significantly reduce the memory requirements of the algorithm. Various characteristics of both algorithms are compared side-by-side in table 2.

5.2 Directions for Future Research

264 There are several directions in which future research could be taken:

- Evaluating the effectiveness of this approach on hard datasets, such as CIFAR and ImageNet.
- Evaluating the effect of p on a network's test error in the long term.
- Exploring the effectiveness of layer-skipping connections on deeper networks.
- Exploring the effectiveness of a network when layer-skipping connections are used during training and removed afterwards.

CONFLICT OF INTEREST STATEMENT

- 270 The authors declare that the research was conducted in the absence of any commercial or financial
- 271 relationships that could be construed as a potential conflict of interest.
- 272 The U.S. Government is authorized to reproduce and distribute reprints for governmental purposes
- 273 notwithstanding any copyright annotation thereon.

REFERENCES

- 274 Bartunov, S., Santoro, A., Richards, B. A., Hinton, G. E., and Lillicrap, T. P. (2018). Assessing the
- scalability of biologically-motivated deep learning algorithms and architectures. *CoRR* abs/1807.04587
- 276 Bengio, Y., Lee, D., Bornschein, J., and Lin, Z. (2015). Towards biologically plausible deep learning.
- 277 *CoRR* abs/1502.04156
- 278 Bullmore, E. and Sporns, O. (2009). Complex brain networks: graph theoretical analysis of structural and
- 279 functional systems. Nature
- 280 Crafton, B., Parihar, A., Gebhardt, E., and Raychowdhury, A. (2019). Direct feedback alignment with
- sparse connections for local learning. *CoRR* abs/1903.02083
- Davies, M., Srinivasa, N., Lin, T.-H., Chinya, G., Joshi, P., Lines, A., et al. (2018). Loihi: A neuromorphic
- manycore processor with on-chip learning. *IEEE Micro* PP, 1–1. doi:10.1109/MM.2018.112130359
- Ernoult, M., Grollier, J., Querlioz, D., Bengio, Y., and Scellier, B. (2020). Equilibrium propagation with continual weight updates
- 286 Glorot, X. and Bengio, Y. (2010). Understanding the difficulty of training deep feedforward neural
- 287 networks. In Proceedings of the Thirteenth International Conference on Artificial Intelligence and
- 288 Statistics, eds. Y. W. Teh and M. Titterington (Chia Laguna Resort, Sardinia, Italy: PMLR), vol. 9 of
- 289 *Proceedings of Machine Learning Research*, 249–256
- 290 He, K., Zhang, X., Ren, S., and Sun, J. (2015). Deep residual learning for image recognition. CoRR
- 291 abs/1512.03385
- 292 Hopfield, J. (1984). Neurons with graded response have collective computational properties like those of
- two-state neurons. Proceedings of the National Academy of Sciences of the United States of America 81,
- 294 3088–92. doi:10.1073/pnas.81.10.3088
- 295 Indiveri, G., Linares-Barranco, B., Hamilton, T., van Schaik, A., Etienne-Cummings, R., Delbruck, T., et al.
- 296 (2011). Neuromorphic silicon neuron circuits. *Frontiers in Neuroscience* 5, 73. doi:10.3389/fnins.2011.
- 297 00073
- 298 Ioffe, S. and Szegedy, C. (2015). Batch normalization: Accelerating deep network training by reducing
- internal covariate shift. *CoRR* abs/1502.03167
- 300 Krishnan, G., Du, X., and Cao, Y. (2019). Structural pruning in deep neural networks: A small-world
- 301 approach
- 302 [Dataset] LeCun, Y. and Cortes, C. (1998). The mnist database of handwritten digits
- 303 Lee, D.-H., Zhang, S., Fischer, A., and Bengio, Y. (2015). Difference target propagation. 498–515.
- 304 doi:10.1007/978-3-319-23528-8_31

- Lillicrap, T. P., Cownden, D., Tweed, D. B., and Akerman, C. J. (2014). Random feedback weights support learning in deep neural networks
- 307 Nahmias, M., Shastri, B., Tait, A., and Prucnal, P. (2013). A leaky integrate-and-fire laser neuron for
- 308 ultrafast cognitive computing. Selected Topics in Quantum Electronics, IEEE Journal of 19, 1–12.
- 309 doi:10.1109/JSTQE.2013.2257700
- 310 Paszke, A., Gross, S., Massa, F., Lerer, A., Bradbury, J., Chanan, G., et al. (2019). Pytorch: An imperative
- 311 style, high-performance deep learning library. In Advances in Neural Information Processing Systems
- 312 32, eds. H. Wallach, H. Larochelle, A. Beygelzimer, F. d'Alché-Buc, E. Fox, and R. Garnett (Curran
- 313 Associates, Inc.). 8024–8035
- 314 Pedregosa, F., Varoquaux, G., Gramfort, A., Michel, V., Thirion, B., Grisel, O., et al. (2011). Scikit-learn:
- Machine learning in Python. *Journal of Machine Learning Research* 12, 2825–2830
- 316 Pineda, F. (1987). Generalization of back-propagation to recurrent neural networks. *Physical Review*
- 317 *Letters* 59, 2229–2232
- 318 Scellier, B. and Bengio, Y. (2016). Equilibrium propagation: Bridging the gap between energy-based
- 319 models and backpropagation
- 320 Schemmel, J., Brüderle, D., Grübl, A., Hock, M., Meier, K., and Millner, S. (2010). A wafer-scale
- neuromorphic hardware system for large-scale neural modeling. *Proceedings of 2010 IEEE International*
- 322 Symposium on Circuits and Systems, 1947–1950
- 323 Schmidhuber, J. (2015). Deep learning in neural networks: An overview. Neural Networks 61, 85–117.
- 324 doi:10.1016/j.neunet.2014.09.003
- 325 Shainline, J. M., Buckley, S. M., McCaughan, A. N., Chiles, J. T., Jafari Salim, A., Castellanos-Beltran,
- 326 M., et al. (2019). Superconducting optoelectronic loop neurons. *Journal of Applied Physics* 126, 044902.
- 327 doi:10.1063/1.5096403
- 328 Simonyan, K. and Zisserman, A. (2014). Very deep convolutional networks for large-scale image
- 329 recognition
- 330 Srivastava, R. K., Greff, K., and Schmidhuber, J. (2015a). Highway networks. CoRR abs/1505.00387
- 331 Srivastava, R. K., Greff, K., and Schmidhuber, J. (2015b). Training very deep networks
- 332 Watts, D. and Strogatz, S. (1998). Collective dynamics of 'small-world' networks. Nature
- Wozniak, S., Pantazi, A., and Eleftheriou, E. (2018). Deep networks incorporating spiking neural dynamics.
- 334 *CoRR* abs/1812.07040
- 335 [Dataset] Xiao, H., Rasul, K., and Vollgraf, R. (2017). Fashion-mnist: a novel image dataset for
- benchmarking machine learning algorithms
- 337 Xiaohu, L., Xiaoling, L., Jinhua, Z., Yulin, Z., and Maolin, L. (2011). A new multilayer feedforward small-
- world neural network with its performances on function approximation. In 2011 IEEE International
- 339 Conference on Computer Science and Automation Engineering
- 340 Xie, X. and Seung, H. (2003). Equivalence of backpropagation and contrastive hebbian learning in a
- layered network. Neural computation 15, 441–54. doi:10.1162/089976603762552988

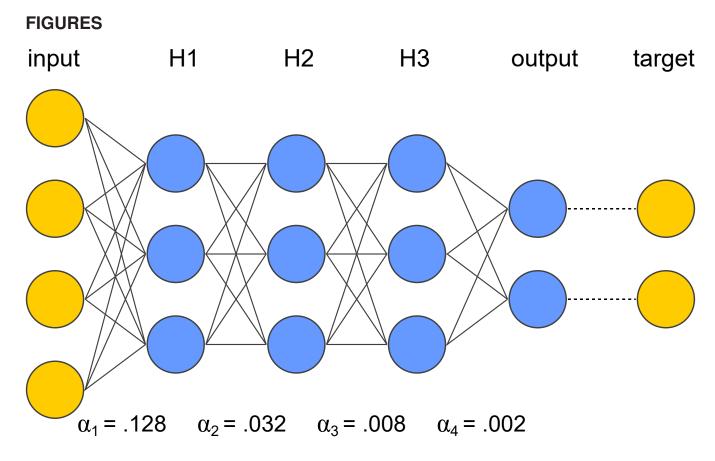


Figure 3. Topology of the layered network tested in [Scellier and Bengio, 2016]. All pairs of neurons in adjacent layers are connected. All connections are bidirectional. To compensate for the vanishing gradient problem, the learning rate α is reduced by a factor of 4 each time distance from the output decreases by one layer.

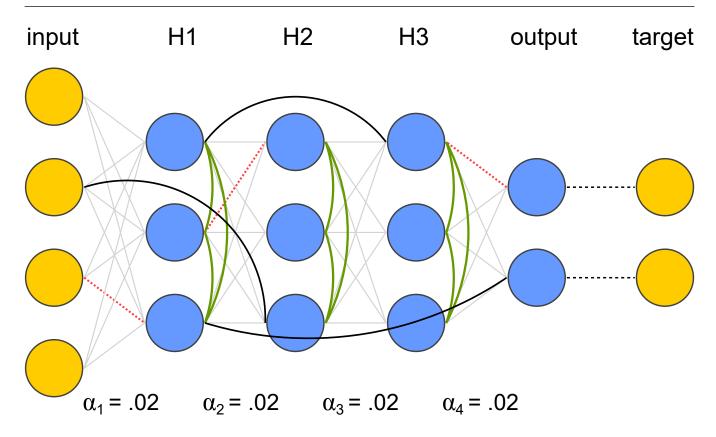


Figure 4. Our modifications to the topology of figure 3 to avoid a vanishing gradient while using a global learning rate. Red dotted lines denote connections that have been removed, black lines denote their replacements, and green solid lines denote added intralayer connections. All connections are bidirectional.

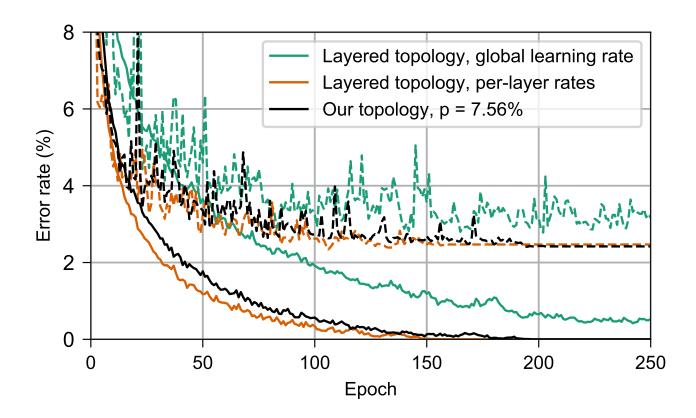


Figure 5. Performance on MNIST of the networks in section 3. Dashed lines show the test error and solid lines show the training error. In green is a layered network with a global learning rate (section 3.2), in orange is a layered network with per-layer rates individually tuned to counter the vanishing gradient problem (section 3.1), and in green is a network with our topology, p = 7.56% (section 3.3). Observe that our topology is almost as effective as per-layer rates at countering the vanishing gradient problem that impedes training of the layered network with a global learning rate.

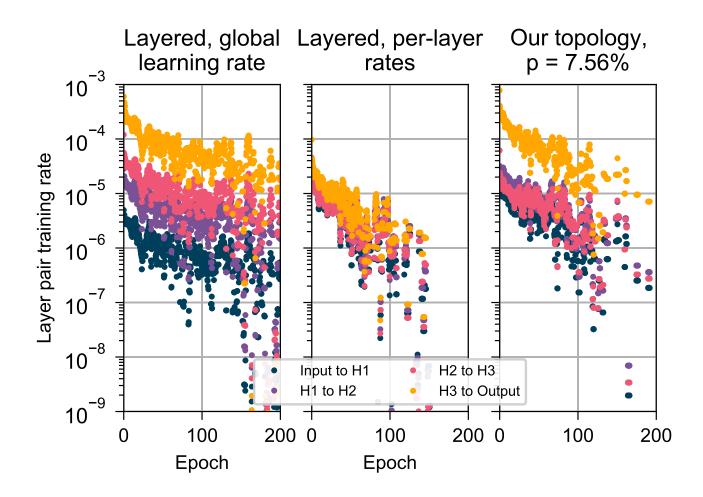


Figure 6. Root-mean-square corrections to weights in different layers while training on MNIST, for the networks in section 3. For clarity, values were subjected to an 11-point centered moving average. (left) A layered network with a single global learning rate (section 3.2). (center) A layered network a unique, individually-tuned learning rate for each layer (section 3.1). (right) A network with our topology, p=7.56% (section 3.3). Observe that the layered topology with a global learning rate has a vanishing gradient problem, which is almost completely solved by tuning an individual learning rate for each layer. Our topology improves the situation by making training uniform among the deeper layers, although the shallowest layer still trains more-quickly than the deeper layers.

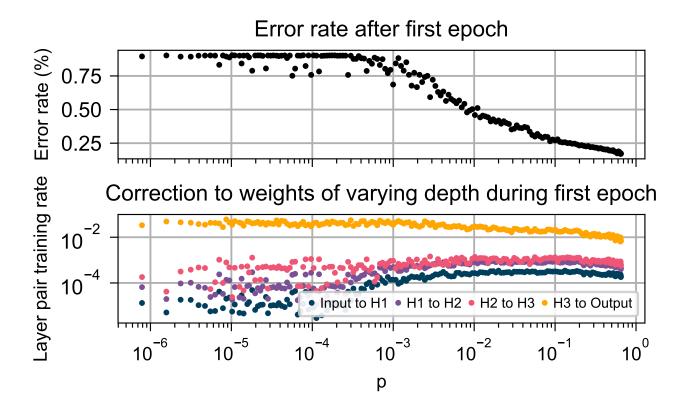


Figure 7. Behavior of our network (section 3.3) with varying p, during the first epoch of training. (top) The training error after one epoch. (bottom) Root-mean-square correction to weights in different layers during the first epoch. Observe that as p is increased, the error rate decreases and the root-mean-square corrections to each layer become more-uniform.

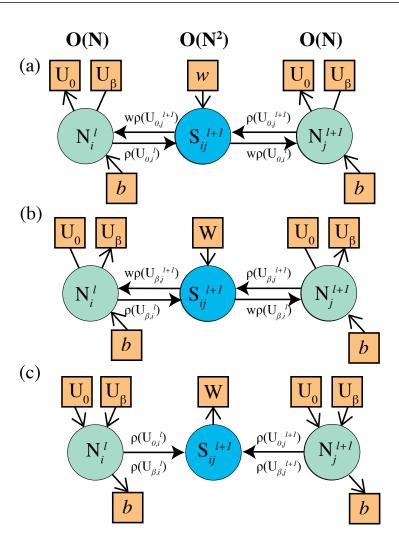


Figure 8. Illustration of the functionality needed to implement equilibrium propagation in hardware. Yellow squares indicate a value that must be stored in memory for a subsequent phase. The circles indicate (N) neuron and (S) synapse devices with the associated functions described in the text. (a) The functionality required by the neurons and synapses in the free running phase. (b) The functionality of the neurons and synapses (except output neurons) in the weakly clamped phase. (c) The functionality of the neurons and synapses in the weight and bias update phase.

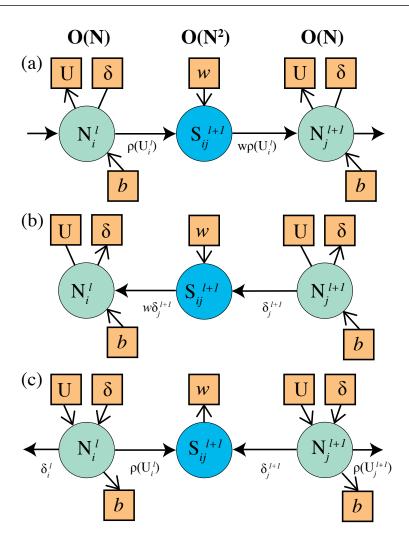


Figure 9. Illustration of the functionality needed to implement backpropagation in hardware. Yellow squares indicate a value that must be stored in memory for a subsequent phase. The circles indicate (N) neuron and (S) synapse devices with the associated functions described in the text. (a) The functionality required by the neurons and synapses in the forward pass phase. (b) The functionality of the neurons and synapses (except the last layer of neurons) in the backpropagation phase. (c) The functionality of the neurons and synapses in the weight and bias update phase.

TABLES

	Backpropagation	Equilibrium Propagation	
Number of distinct computations	2 – computations during forwards and backwards phases are distinct	pprox 1 – hidden neurons perform same computation in both phases. Output neurons perform a similar but modified version of the same computation.	
Types of connections	Unidirectional to transmit activation to shallower neighbors and error to deeper neighbors	Bidirectional to each neighbor	
Memory	Space to store activation and error term for each neuron	Space to store free and weakly-clamped activations for each neuron	
Order of computations	Forwards propagation phase where layers are computed from deepest to shallowest; backwards propagation phase where layers are computed from shallowest to deepest; parameter update phase	Free phase where all neurons evolve simultaneously; weakly-clamped phase where all neurons evolve simultaneously; parameter update phase	
Nonlinear activation function	Yes	Yes	
Derivative of nonlinear activation function	Yes	Yes	
Correction computation	Corrections require dedicated circuitry unique from that implementing propagation	Corrections require dedicated circuitry unique from that implementing evolution	

Table 2. Comparison of the capabilities a hardware neuron would need in order to implement backpropagation and equilibrium propagation.