

Extracorporeal Photopheresis (ECP) for the Management of Progressive Bronchiolitis Obliterans Syndrome (BOS) in Medicare-Eligible Recipients of Lung Allografts

CONFIRMATION OF ELIGIBILITY - Case Report Form (CRF)

Important and Time-Sensitive: Please PRINT this subject's Confirmation of Eligibility (COE) Form, have an authorized physician investigator sign and date the COE form, and scan and upload the signed COE form along with the other Source Documents listed at the top of the COE Form. Per Protocol Section 3.7, submission of this signed COE Form with DCC Verification is required before ECP or any study-related invasive procedure (e.g. central venous catheter placement) may be performed. NOTE: ECP Treatment or study-related invasive procedures are not permitted for Observational Arm participants.

Please include the following types of source documents:

1. A Signed Confirmation of Eligibility Form must be uploaded
2. History and Physical Or Consultation Note
3. Operative Report of Transplant Procedure
4. Pulmonary Function Test Reports (for each FEV-1 submitted)

| Source Document Type | Document Name | Submission Date |
|-------------------------------------|--|---------------------------------------|
| Select Source Document Type | A Signed Confirmation of Eligibility Form must be uploaded | |
| Attach Source Document: | <input type="button" value="Choose File"/> No file chosen | <input type="button" value="Upload"/> |
| <input type="button" value="Save"/> | <input type="button" value="Submit"/> | |

1. INCLUSION CRITERIA - all answers must be "YES" for subject to be eligible for study inclusion:

- | | | |
|---------------------------|--------------------------|---|
| <input type="radio"/> YES | <input type="radio"/> NO | Age 18 years or greater |
| <input type="radio"/> YES | <input type="radio"/> NO | Medicare-eligible |
| <input type="radio"/> YES | <input type="radio"/> NO | Lung transplant recipient |
| <input type="radio"/> YES | <input type="radio"/> NO | Progressive BOS defined as ongoing decline in FEV1 despite immunosuppressive therapy |
| <input type="radio"/> YES | <input type="radio"/> NO | Has had at least 5 recorded FEV1 values post-transplant all within the last 6 months, separated by at least one week, of which one FEV1 value was recorded on or within one week prior to the day of enrollment |

2. EXCLUSION CRITERIA - all answers must be "NO" for subject to be eligible for study inclusion:

- | | | |
|---------------------------|--------------------------|--|
| <input type="radio"/> YES | <input type="radio"/> NO | Participant in another clinical treatment trial with an investigational agent |
| <input type="radio"/> YES | <input type="radio"/> NO | Any condition that may interfere with subject's ability to perform pulmonary function testing |
| <input type="radio"/> YES | <input type="radio"/> NO | Known allergy or hypersensitivity to pharmacologic agents used during ECP |
| <input type="radio"/> YES | <input type="radio"/> NO | Has acute condition that contraindicates ECP, including but not limited to new or evolving myocardial infarction or central nervous system disorder, hemodynamic instability or hypovolemia, acute bleeding, or respiratory distress; or other condition that poses unacceptable risk for study-related complications as judged by the referring clinician |
| <input type="radio"/> YES | <input type="radio"/> NO | Any condition that would significantly interfere with ability to adhere to the protocol or affect interpretability of the study results |
| <input type="radio"/> YES | <input type="radio"/> NO | Aphakia or absence of ocular lenses |
| <input type="radio"/> YES | <input type="radio"/> NO | Pregnancy (confirmed by a positive pregnancy test) |
| <input type="radio"/> YES | <input type="radio"/> NO | Inability to provide informed consent or to comply with study treatments or assessments (e.g. due to cognitive impairment or geographic distance) |
| <input type="radio"/> YES | <input type="radio"/> NO | Recent (within the last 2 weeks) leukopenia (white blood cell count less than 4,000 cells/mm3) |

3. PULMONARY EVALUATIONS - Up to 15 pulmonary evaluations were entered to determine study arm eligibility:

| | | | | | | | |
|---------|----------------------|------|----------------------|--------|-----|----------------------|--------|
| A. Date | <input type="text"/> | FEV1 | <input type="text"/> | liters | FVC | <input type="text"/> | liters |
| B. Date | <input type="text"/> | FEV1 | <input type="text"/> | liters | FVC | <input type="text"/> | liters |
| C. Date | <input type="text"/> | FEV1 | <input type="text"/> | liters | FVC | <input type="text"/> | liters |
| D. Date | <input type="text"/> | FEV1 | <input type="text"/> | liters | FVC | <input type="text"/> | liters |
| E. Date | <input type="text"/> | FEV1 | <input type="text"/> | liters | FVC | <input type="text"/> | liters |

If additional FEV1 values have been obtained during the last 6 months, please provide:

| | | | | | | | |
|---------|----------------------|------|----------------------|--------|-----|----------------------|--------|
| F. Date | <input type="text"/> | FEV1 | <input type="text"/> | liters | FVC | <input type="text"/> | liters |
| G. Date | <input type="text"/> | FEV1 | <input type="text"/> | liters | FVC | <input type="text"/> | liters |
| H. Date | <input type="text"/> | FEV1 | <input type="text"/> | liters | FVC | <input type="text"/> | liters |
| I. Date | <input type="text"/> | FEV1 | <input type="text"/> | liters | FVC | <input type="text"/> | liters |
| J. Date | <input type="text"/> | FEV1 | <input type="text"/> | liters | FVC | <input type="text"/> | liters |
| K. Date | <input type="text"/> | FEV1 | <input type="text"/> | liters | FVC | <input type="text"/> | liters |
| L. Date | <input type="text"/> | FEV1 | <input type="text"/> | liters | FVC | <input type="text"/> | liters |
| M. Date | <input type="text"/> | FEV1 | <input type="text"/> | liters | FVC | <input type="text"/> | liters |
| N. Date | <input type="text"/> | FEV1 | <input type="text"/> | liters | FVC | <input type="text"/> | liters |
| O. Date | <input type="text"/> | FEV1 | <input type="text"/> | liters | FVC | <input type="text"/> | liters |

4. CONFIRMATION OF ELIGIBILITY

A. Date eligibility status confirmed

B. Date the approved Informed Consent Form was signed by the subject

C. Informed Consent Form Version Date

D. Has the signed Confirmation of Eligibility CRF been uploaded? ☐ YES ☐ NO

5. INVESTIGATOR ATTESTATION

I have reviewed and confirmed that the information recorded on these CRF Pages is accurate. I attest that this patient meets all study eligibility criteria and is appropriate to enroll in the ECP Registry

Comments:

Investigator Name (please print) _____

Investigator Signature _____ Date: _____

CRF Version 5.0 (10/08/2015)