Extracorporeal Photopheresis (ECP) for the Management of Progressive Bronchiolitis Obliterans Syndrome (BOS) in Medicare-Eligible Recipients of Lung Allografts

DEMOGRAPHICS/MEDICAL HISTORY - Case Report Form (CRF)

Please include the following types of source documents: 1. History and Physical Or Consultation Note 2. Operative Report of Transplant Procedure 3. Pulmonary Function Test Reports (for each FEV-1 submitted) Source Document Type **Document Name Submission Date** Select Source Document Type History and Physical or Consultation Note • Attach Source Document: Browse... Upload Save Submit **Date of Participant Enrollment in Study: SECTION A. Demographic Information** 1. Age: 2. Gender: Male Female 3. Race: White Black or African-American Asian American Indian Alaska Native Native Hawaiian or Other Pacific Islander Other No Response O YES 4. Are you of Hispanic or Latino origin: O NO

SECTION B. Previous Medical History and Pulmonary Function Testing Results 5. Please provide a description of the underlying disease necessitating lung transplantation: Chronic Obstructive Pulmonary Disease (COPD) including Emphysema Intersitial Lung Disease Cystic Fibrosis Pulmonary Hypertension O Alpha 1-Antitrypsin Deficiency Emphysema Replacing previously transplanted lung that failed Other If other, please describe: 6. Date of lung transplantation: Õ 7. Operation performed: Single Bilateral Meart-lung Other If other, please describe: 8. Weight at time of transplant: kilograms 9. Height: Centimeters 10. Medical History - Co-Morbid Conditions at any time prior to enrollment: O YES O NO Hypertension O YES O NO **Diabetes** O YES GERD - if yes, treatment: O NO medical therapy fundoplication **High Cholesterol** O YES O NO O NO O YES **Current Smoker** O YES O NO **Previous Smoker** Coronary Artery Disease - if yes: O angina O myocardial infarction O YES O NO O YES O NO **Congestive Heart Failure** O YES O NO **Chronic Kidney Disease** O YES O NO Stroke O NO O YES **Neurologic Disorder** O NO Other Active Conditions O YES

| If other active conditions, please describe: | | | | | | | |
|--|---|---------------|---|--|--|--|--|
| | | | | | | | |
| 11 11 | ac the n | ationt receiv | ved any anti-platelet drug(s) within the last six months: | | | | |
| _ | YES | O NO | Anti-Thrombotic | | | | |
| | YES | © NO | Anti-Platelet Agent | | | | |
| 12. Cl | 12. Check all drugs that were <u>previously used as maintenance immunosuppression and/or BOS</u> <u>prevention</u> in this participant: | | | | | | |
| - | YES | O NO | Tacrolimus | | | | |
| 0 | YES | O NO | Prednisone | | | | |
| 0 | YES | O NO | Alemtuzumab | | | | |
| 0 | YES | O NO | Sirolimus (Rapamycin) | | | | |
| 0 | YES | O NO | Everolimus | | | | |
| 0 | YES | O NO | Cyclosporine A | | | | |
| 0 | YES | O NO | Methotrexate | | | | |
| 0 | YES | O NO | Macrolide Antibiotic, Azithromycin | | | | |
| 0 | YES | O NO | Mycophenolate Mofetil (Cellcept or Myfortic) | | | | |
| 0 | YES | O NO | Anti-Therymocyte Globulin - ATG (Thymoglobulin or Atgam) | | | | |
| | | _ | vere <u>previously used as active treatment of BOS</u> in this participant: | | | | |
| 0 | YES | O NO | Tacrolimus | | | | |
| 0 | YES | O NO | Prednisone | | | | |
| 0 | YES | O NO | Alemtuzumab | | | | |
| 0 | YES | O NO | Sirolimus (Rapamycin) | | | | |
| 0 | YES | O NO | Everolimus | | | | |
| 0 | YES | O NO | Cyclosporine A | | | | |
| 0 | YES | O NO | Methotrexate | | | | |
| | YES | O NO | Macrolide Antibiotic, Azithromycin | | | | |
| | YES | O NO | Mycophenolate Mofetil (Cellcept or Myfortic) | | | | |
| 0 | YES | O NO | Anti-Therymocyte Globulin - ATG (Thymoglobulin or Atgam) | | | | |
| 0 | YES | O NO | Total Lymphoid Irradiation | | | | |

| 15. During the last 6 months, has the patient required a prednisone dose escalation for any period of greater than 5 days? If yes, to what daily dose: mg Estimate average daily dose over 6 months: mg 16. Date of diagnosis of post-transplantation BOS: mg 17. Post-transplant BOS stage at diagnosis: Definition BOS Stage Definition BOS Stage 0 FEV1 > 90% and FEF 25%-75% > 75% of baseline BOS Stage 0 FEV1 = 81% to 90% of baseline and or FEF 25%-75% 75% of baseline BOS Stage 1 FEV1 = 66% to 80% of baseline BOS Stage 2 FEV1 = 51% to 65% of baseline BOS Stage 3 FEV1 less than or equal to 50% of baseline BOS Stage 3 FEV1 less than or equal to 50% of baseline 18. Please provide the baseline pulmonary function testing results using the ISHLT definition = the average of the two highest FEV1 measurements obtained 3 weeks apart after transplantation. Also please provide the results of the two component PFT assessments used for this calculation: a. Baseline FEV1 (pre-bronchodilator)(mean FEV1 values below): b. First component assessment: i. Date: ii. FEV1 (pre-bronchodilator): liters iii. FEV1 (pre-bronchodilator): liters iii. FEV1 (pre-bronchodilator): liters iii. FEV1 (pre-bronchodilator): liters | 14. Has the participan | nt received prednisone therapy within the last 6 months? OYES NO | | | | | |
|--|--|--|--|--|--|--|--|
| If yes, to what daily dose: mg Estimate average daily dose over 6 months: mg 16. Date of diagnosis of post-transplantation BOS: | If yes, daily starti | ng dose: mg | | | | | |
| Estimate average daily dose over 6 months: mg 16. Date of diagnosis of post-transplantation BOS: 17. Post-transplant BOS stage at diagnosis: 0 0 0-p 1 2 3 Stage Definition BOS Stage 0 FEV1 > 90% and FEF 25%-75% > 75% of baseline BOS Stage 0-p FEV1 = 81% to 90% of baseline and or FEF 25%-75% 75% of baseline BOS Stage 1 FEV1 = 66% to 80% of baseline BOS Stage 2 FEV1 = 51% to 65% of baseline BOS Stage 3 FEV1 less than or equal to 50% of baseline 18. Please provide the baseline pulmonary function testing results using the ISHLT definition = the average of the two highest FEV1 measurements obtained 3 weeks apart after transplantation. Also please provide the results of the two component PFT assessments used for this calculation: a. Baseline FEV1 (pre-bronchodilator)(mean FEV1 values below): liters b. First component assessment: i. Date: 0 ii. FEV1 (pre-bronchodilator): liters iii. FVC (pre-bronchodilator): liters iii. FVC (pre-bronchodilator): liters iii. FVC (pre-bronchodilator): liters iii. FVC (pre-bronchodilator): liters | | | | | | | |
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| BOS Stage 0-p FEV1 = 81% to 90% of baseline and or FEF 25%-75% 75% of baseline BOS Stage 1 FEV1 = 66% to 80% of baseline BOS Stage 2 FEV1 = 51% to 65% of baseline BOS Stage 3 FEV1 less than or equal to 50% of baseline 18. Please provide the baseline pulmonary function testing results using the ISHLT definition = the average of the two highest FEV1 measurements obtained 3 weeks apart after transplantation. Also please provide the results of the two component PFT assessments used for this calculation: a. Baseline FEV1 (pre-bronchodilator)(mean FEV1 values below): ii. First component assessment: ii. Date: iii. FEV1 (pre-bronchodilator): liters c. Second component assessment: ii. Date: iii. FEV1 (pre-bronchodilator): liters liters | Stage | Definition | | | | | |
| BOS Stage 1 BOS Stage 2 FEV1 = 51% to 65% of baseline BOS Stage 3 FEV1 less than or equal to 50% of baseline 18. Please provide the baseline pulmonary function testing results using the ISHLT definition = the average of the two highest FEV1 measurements obtained 3 weeks apart after transplantation. Also please provide the results of the two component PFT assessments used for this calculation: a. Baseline FEV1 (pre-bronchodilator)(mean FEV1 values below): liters b. First component assessment: i. Date: ii. FEV1 (pre-bronchodilator): liters c. Second component assessment: i. Date: ii. FEV1 (pre-bronchodilator): liters iii. FEV1 (pre-bronchodilator): liters | BOS Stage 0 | FEV1 > 90% and FEF 25%-75% > 75% of baseline | | | | | |
| BOS Stage 2 FEV1 = 51% to 65% of baseline BOS Stage 3 FEV1 less than or equal to 50% of baseline 18. Please provide the baseline pulmonary function testing results using the ISHLT definition = the average of the two highest FEV1 measurements obtained 3 weeks apart after transplantation. Also please provide the results of the two component PFT assessments used for this calculation: a. Baseline FEV1 (pre-bronchodilator)(mean FEV1 values below): b. First component assessment: i. Date: ii. FEV1 (pre-bronchodilator): liters c. Second component assessment: i. Date: iii. FEV1 (pre-bronchodilator): liters | BOS Stage 0-p | FEV1 = 81% to 90% of baseline and or FEF 25%-75% 75% of baseline | | | | | |
| 18. Please provide the baseline pulmonary function testing results using the ISHLT definition = the average of the two highest FEV1 measurements obtained 3 weeks apart after transplantation. Also please provide the results of the two component PFT assessments used for this calculation: a. Baseline FEV1 (pre-bronchodilator)(mean FEV1 values below): liters b. First component assessment: i. Date: ii. FEV1 (pre-bronchodilator): liters c. Second component assessment: i. Date: ii. FEV1 (pre-bronchodilator): liters | BOS Stage 1 | FEV1 = 66% to 80% of baseline | | | | | |
| 18. Please provide the baseline pulmonary function testing results using the ISHLT definition = the average of the two highest FEV1 measurements obtained 3 weeks apart after transplantation. Also please provide the results of the two component PFT assessments used for this calculation: a. Baseline FEV1 (pre-bronchodilator)(mean FEV1 values below): liters b. First component assessment: i. Date: ii. FEV1 (pre-bronchodilator): liters c. Second component assessment: i. Date: iii. FEV1 (pre-bronchodilator): liters | BOS Stage 2 | FEV1 = 51% to 65% of baseline | | | | | |
| average of the two highest FEV1 measurements obtained 3 weeks apart after transplantation. Also please provide the results of the two component PFT assessments used for this calculation: a. Baseline FEV1 (pre-bronchodilator)(mean FEV1 values below): liters b. First component assessment: i. Date: ii. FEV1 (pre-bronchodilator): liters iii. FVC (pre-bronchodilator): liters c. Second component assessment: i. Date: ii. FEV1 (pre-bronchodilator): liters | BOS Stage 3 | FEV1 less than or equal to 50% of baseline | | | | | |
| ii. FEV1 (pre-bronchodilator): liters | average of the two hig provide the results of a. Baseline FEV1 (p b. First component i. Date: ii. FEV1 (pre-bro iii. FVC (pre-bro c. Second compone | chest FEV1 measurements obtained 3 weeks apart after transplantation. Also please the two component PFT assessments used for this calculation: ore-bronchodilator)(mean FEV1 values below): liters assessment: onchodilator): liters ent assessment: | | | | | |
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| | | | | | | | |
| iii. FVC (pre-bronchodilator): liters | III. FVC (pre-bro | inchodilator): litters | | | | | |

| SECTION C. Clinical Status at or Within One Week of Enrollment |
|---|
| 19. Donor specific antibody at time of study enrollment? O YES O NO |
| 20. Is the participant on any anticoagulant or anti-platelet drugs? O YES O NO |
| If yes, list drugs: |
| Name drug 1: |
| Name drug 2: |
| Name drug 3: |
| 21. Date when the following baseline vital signs were obtained (values should be obtained |
| within one week prior to enrollment): |
| 22. Weight: kilograms |
| 23. Blood pressure: systolic mmHg diastolic mmHg |
| 24. Heart rate: beats per minute |
| 25. Respiratory rate: breaths per minute |
| 26. Resting oxygen saturation: % |
| 27. Is the participant receiving supplemental oxygen? O YES O NO |
| If yes, how much? liters/minute |
| 28. Comments: |
| A |
| Save Submit |