

# Advanced Optics (PHYS690)

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HEEDEUK SHIN

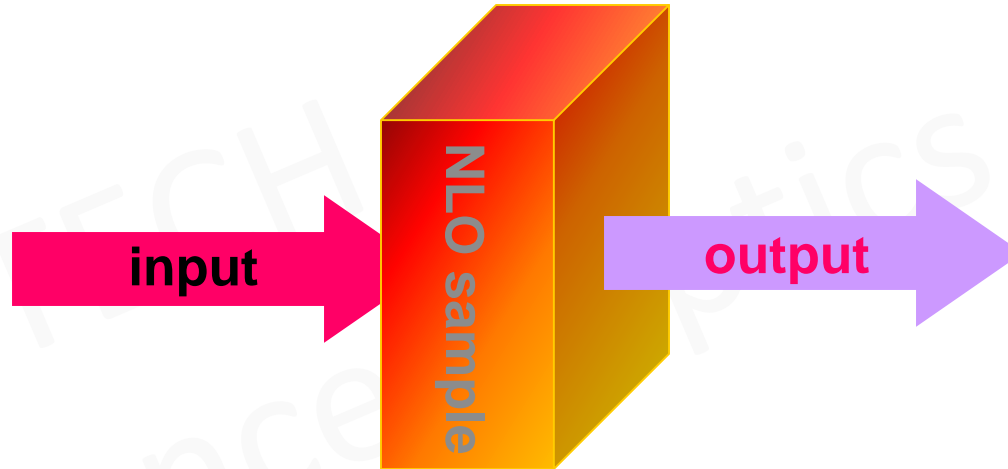
Lecture 20

POHANG UNIVERSITY OF SCIENCE AND TECHNOLOGY, KOREA



**Question:**

**Is it possible to change the color of a monochromatic light?**



**Answer:**

**Not without a laser light**

# Nonlinear Optics

- Dielectric media characterized by a linear relation between polarization and E-field. **Polarization**: the total induced dipole moment per unit volume

$$P = \epsilon_0 \chi E$$

- Media characterized by a nonlinear relation between  $E$  and  $P$ .

$$P = a_1 E + \frac{1}{2} a_2 E^2 + \frac{1}{6} a_3 E^3 + \dots$$

$$= \epsilon_0 (\chi E + \chi^{(2)} E^2 + \chi^{(3)} E^3 + \dots)$$

$$= \epsilon_0 \chi E + 2\epsilon_0 d E^2 + \epsilon_0 \chi^{(3)} E^3 + \dots$$

1st order

2nd order

3rd order

$$d = \frac{1}{4} a_2 = \frac{1}{2} \chi^{(2)}$$

$d$ : second order nonlinear coefficient

# Why polarization?

- From Maxwell's equations

$\nabla \cdot \vec{D} = \rho$	Gauss's law for electric field
$\nabla \cdot \vec{B} = 0$	Gauss's law for magnetic field
$\nabla \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$	Ampere's law
$\nabla \times \vec{H} = \vec{J} + \frac{\partial \vec{D}}{\partial t}$	Faraday's law

where

$\vec{D}$	electric displacement ( $C/m^2$ )
$\vec{E}$	electric field ( $V/m$ )
$\vec{B}$	magnetic field ( $T$ or $V \cdot s/m^2$ )
$\vec{H}$	magnetic field intensity ( $A/m$ )
$\rho$	volume charge density ( $C/m^3$ )
$\vec{J}$	current density ( $A/m^2$ )

$\mu_0$ : permeability

$\epsilon_0$ : permittivity

$$\mu_0 \epsilon_0 = \frac{1}{c^2}$$

- Free space wave equation

$$\nabla^2 \vec{E}(\vec{r}) + \mu_0 \epsilon_0 \frac{\partial^2}{\partial t^2} \vec{E}(\vec{r}) = 0$$

$$\vec{E}(\vec{r}) = \hat{n} E_0 e^{-j\vec{k} \cdot \vec{r}}$$

$$|\vec{k}| = c\omega$$

- In a dielectric medium

$$\nabla^2 \vec{E}(\vec{r}) + \mu_0 \epsilon_0 \epsilon \frac{\partial^2}{\partial t^2} \vec{E}(\vec{r}) = 0$$

$$|\vec{k}| = \frac{c}{n} \omega$$

$$n = \sqrt{\epsilon}$$

# Why polarization?

- In a dielectric medium

$$\nabla^2 \vec{E}(\vec{r}) + \mu_0 \epsilon_0 \epsilon \frac{\partial^2}{\partial t^2} \vec{E}(\vec{r}) = 0$$

$$|\vec{k}| = \frac{c}{n} \omega$$

$$n = \sqrt{\epsilon}$$

- Note that

$$\vec{D} = \epsilon_0 \vec{E} + \vec{P} \quad \vec{P} = \epsilon_0 \chi \vec{E}$$

$$\vec{D} = \epsilon_0 (1 + \chi) \vec{E} = \epsilon_0 \epsilon \vec{E}$$

- Then

$$\nabla^2 \vec{E}(\vec{r}) = -\mu_0 \epsilon_0 \epsilon \frac{\partial^2}{\partial t^2} \vec{E}(\vec{r}) = -\mu_0 \epsilon_0 \frac{\partial^2}{\partial t^2} \vec{E}(\vec{r}) - \mu_0 \epsilon_0 \chi \frac{\partial^2}{\partial t^2} \vec{E}(\vec{r})$$

$$\nabla^2 \vec{E}(\vec{r}) + \mu_0 \epsilon_0 \frac{\partial^2}{\partial t^2} \vec{E}(\vec{r}) = -\mu_0 \frac{\partial^2}{\partial t^2} \vec{P}(\vec{r})$$

← A source term

← Propagating wave

# Nonlinear wave equation I

- From Maxwell's equation and now considering

$$\vec{D} = \epsilon_0 \vec{E} + \vec{P} \qquad \vec{P} = \epsilon_0 \chi \vec{E}$$

- We obtain a nonlinear wave equation

$$\nabla^2 \vec{E}(\vec{r}) + \mu_0 \epsilon_0 \frac{\partial^2}{\partial t^2} \vec{E}(\vec{r}) = -\mu_0 \frac{\partial^2}{\partial t^2} \vec{P}(\vec{r})$$

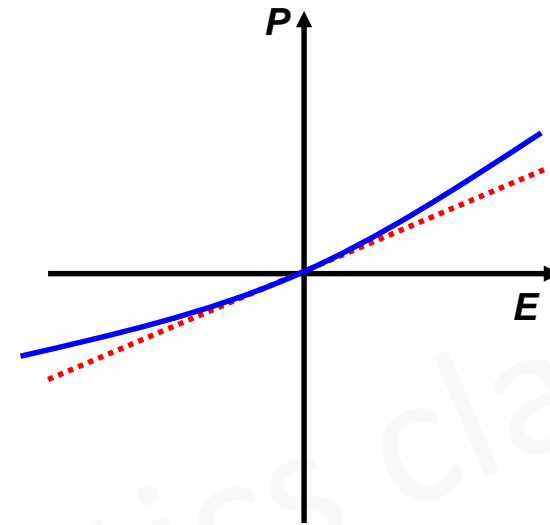
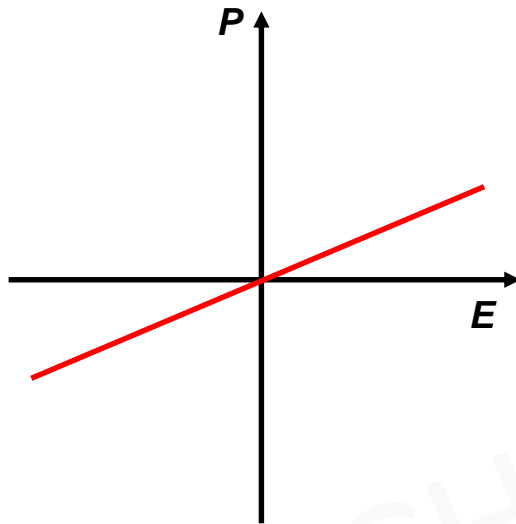
- where  $P$  is usually written as

$$P = \epsilon_0 \chi E + 2\epsilon_0 d E^2 + \epsilon_0 \chi^{(3)} E^3 + \dots$$

$$P = P_L + P_{NL}$$

$$P_L = \epsilon_0 \chi E, \qquad P_{NL} = 2\epsilon_0 d E^2 + \epsilon_0 \chi^{(3)} E^3 + \dots$$

**In centrosymmetric media,  $d$  vanish, and the lowest order nonlinearity is of third order**



$P$ - $E$  relation for (a) a linear dielectric medium, and (b) a nonlinear medium.

<https://www.youtube.com/watch?v=anwl6OZ1UuQ>

### Typical values

$$d \sim 10^{-3} - 10^3 \left[ \frac{\text{pm}}{\text{V}} \right]$$

$$\chi^{(3)} \sim 10^{-25} - 10^{-8} \left[ \frac{\text{m}^2}{\text{V}^2} \right]$$

$$\chi^{(2)}$$

Second order nonlinear effects





# Second harmonic generation (SHG) I

- Assume higher order than second order are negligible

$$P_{NL} = 2\epsilon_0 d E^2$$

- Take

$$E = \frac{1}{2} (E(\omega) e^{i\omega t} + c.c.)$$

$$\mathcal{E}(t) = \text{Re}\{E(\omega) \exp(j\omega t)\} = \frac{1}{2} [E(\omega) \exp(j\omega t) + E^*(\omega) \exp(-j\omega t)]$$

- Corresponding nonlinear polarization density is

$$P_{NL} = 2d \frac{1}{4} (E(\omega) e^{i\omega t} + c.c.) (E^*(\omega) e^{-i\omega t} + c.c.)$$

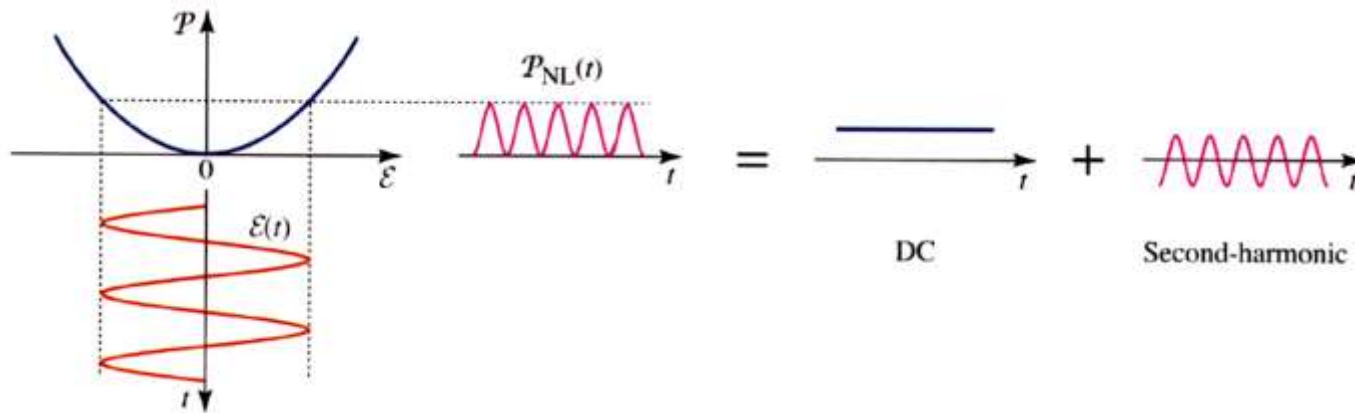
$$\mathcal{P}_{NL}(t) = P_{NL}(0) + \text{Re}\{P_{NL}(2\omega) \exp(j2\omega t)\}$$

$$P_{NL}(0) = d E(\omega) E^*(\omega)$$

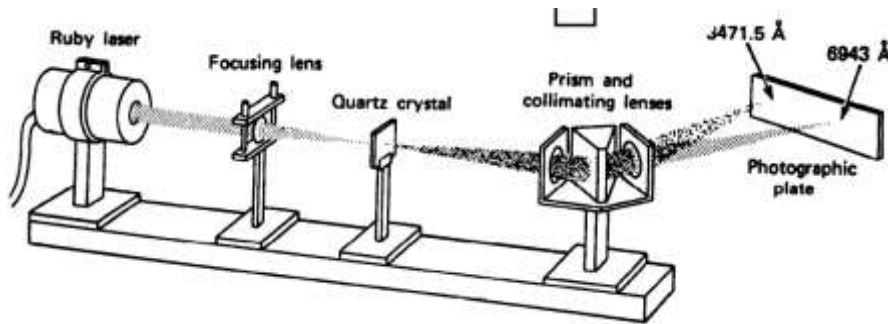
$$P_{NL}(2\omega) = d E^2(\omega). \quad \longrightarrow \quad E(2\omega)$$

# Second harmonic generation (SHG) II

$$= \underbrace{dEE^*}_{\text{dc optical rectification}} + \underbrace{d\left(EEe^{2i\omega t} + c.c.\right)}_{2\omega \text{ 2nd harm. generation}}$$



# First SHG report



P.A. Franken, et al, Physical Review Letters 7, p. 118 (1961)

The second harmonic

Input beam

VOLUME 7, NUMBER 4

PHYSICAL REVIEW LETTERS

AUGUST 15, 1961



FIG. 1. A direct reproduction of the first plate in which there was an indication of second harmonic. The wavelength scale is in units of 100 Å. The arrow at 3472 Å indicates the small but dense image produced by the second harmonic. The image of the primary beam at 6943 Å is very large due to halation.

Physical Review Letters editor

# Phase Matching

$$E(\omega_1) = A_1 \exp(-j\vec{k}_1 \cdot \vec{r})$$

$$E(\omega_2) = A_2 \exp(-j\vec{k}_2 \cdot \vec{r})$$

$$P_{NL}(\omega_3) = 2dE(\omega_1)E(\omega_2) = 2dA_1A_2 \exp(-j\vec{k}_3 \cdot \vec{r})$$

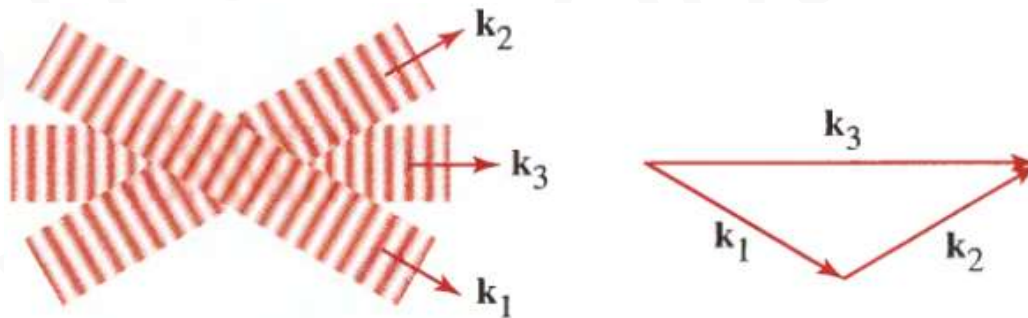
where

$$\omega_3 = \omega_1 + \omega_2$$

**Frequency-Matching Condition**

$$\vec{k}_3 = \vec{k}_1 + \vec{k}_2$$

**Phase-Matching Condition**



The phase-matching condition

# Phase matching I

Consider a plane wave propagating along  $z$ , and write the paraxial Helmholtz equation

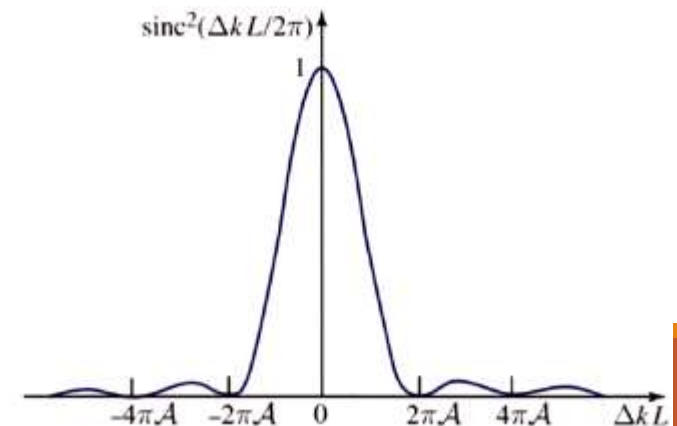
$$\left[ \nabla_{\perp}^2 E(2\omega) - 2ik \partial_z E(2\omega) \right] e^{-k(2\omega)z} = \mu_0 \partial_t^2 (dE^2(\omega)) e^{-k(\omega)z}$$

Can be approximated as  $\partial_z E(2\omega) = A dE^2(\omega) e^{\Delta k z}$

where  $\Delta k = k(2\omega) - k(\omega)$  so finally  $E(2\omega) \propto \frac{\sin \Delta k z}{\Delta k z}$

need  $\Delta k = 0$  to achieve maximum  $E(2\omega)$  electric field (this was not taken care in the 1961 experiment)

This is referred to as “phase matching”



# Phase matching II

So we're creating light at  $\omega_{sig} = 2\omega$ .

So we're creating light at  $k_{sig} = \frac{\omega_{sig}}{c_0} n(\omega_{sig}) = \frac{(2\omega)}{c_0} n(2\omega)$

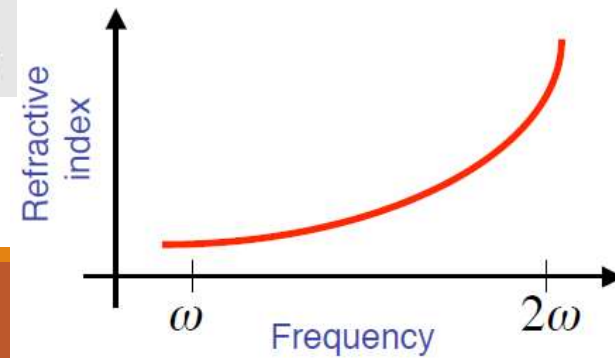
And the k-vector of the polarization is:  $k_{pol} = 2k = 2\frac{\omega}{c_0} n(\omega)$

The phase-matching condition is:  $k_{sig} = k_{pol}$

which will only be satisfied when:  $n(2\omega) = n(\omega)$

$$\omega_1 + \omega_2 = \omega_3, \quad \omega_1 n_1 + \omega_2 n_2 = \omega_3 n_3,$$

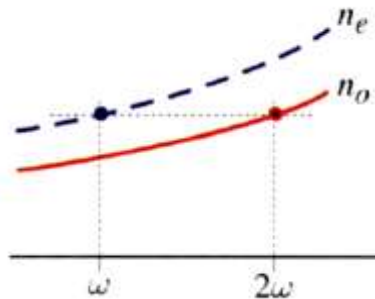
Unfortunately, dispersion prevents this from ever happening!





# Phase matching III

- Birefringent materials - different refractive indices for different polarizations.
- Ordinary and extraordinary refractive indices can be different by up to  $\sim 0.1$  for SHG crystals.
- We can now satisfy the phase-matching condition.



Use the extraordinary polarization for  $\omega$  and the ordinary for  $2\omega$ .

$$n_o(2\omega) = n_e(\omega)$$

$n_e$  depends on propagation angle, so we can tune for a given  $\omega$ .  
Some crystals have  $n_e < n_o$ , so the opposite polarizations work.

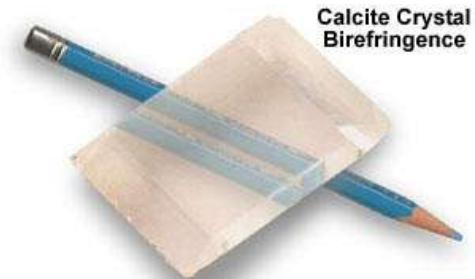
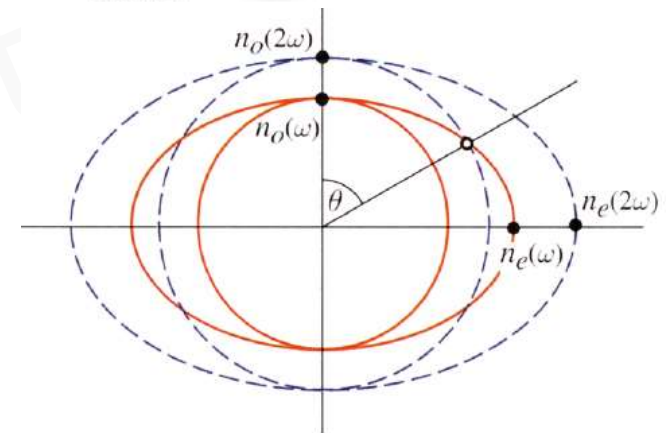
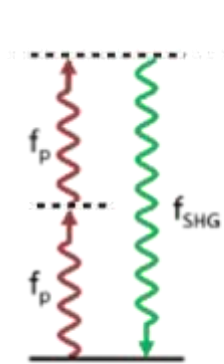


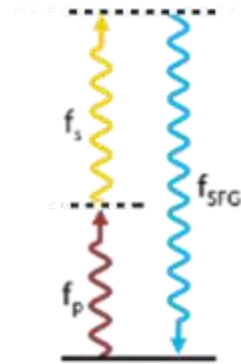
Figure 2



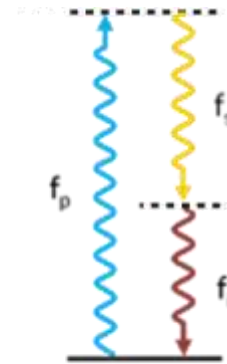
# Phase Matching



Second Harmonic



Sum Frequency



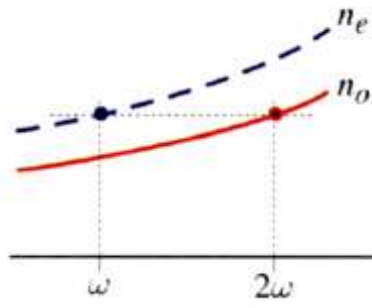
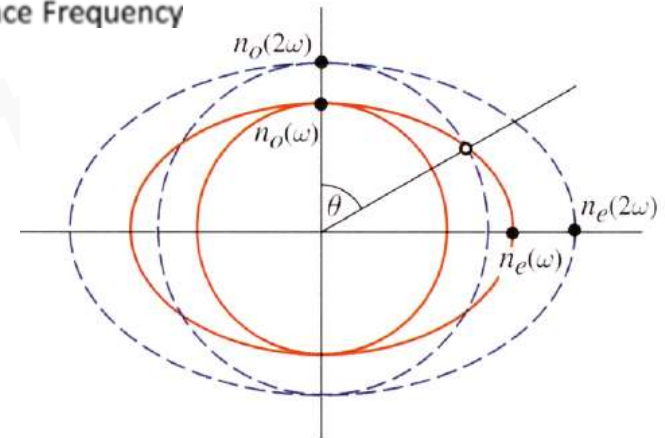
Difference Frequency

$$\omega_3 = \omega_1 + \omega_2$$

**Frequency-Matching Condition**

$$\vec{k}_3 = \vec{k}_1 + \vec{k}_2$$

**Phase-Matching Condition**



$$n_o(2\omega) = n_e(\omega)$$



# Optical Rectification

$$= \underbrace{dEE^*}_{\text{dc optical rectification}} + \underbrace{d(E E e^{2i\omega t} + c.c.)}_{2\omega \text{ 2nd harm. generation}}$$

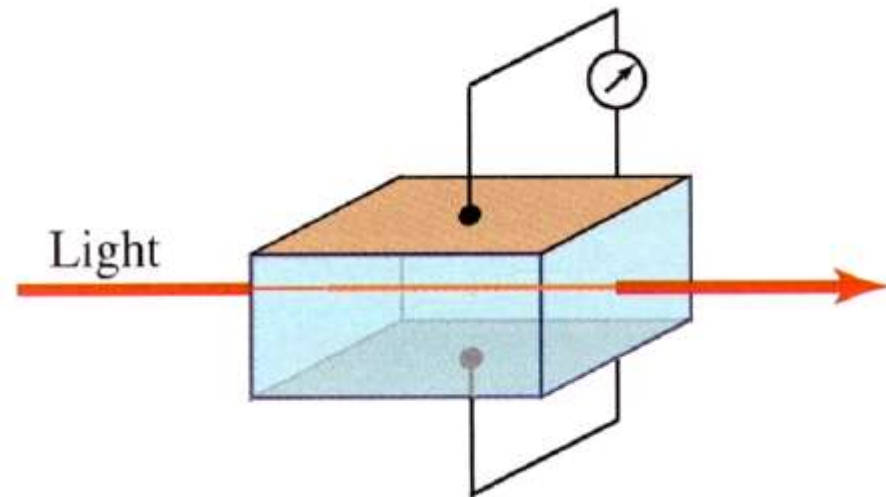
$$\mathcal{P}_{\text{NL}}(t) = P_{\text{NL}}(0) + \text{Re}\{P_{\text{NL}}(2\omega) \exp(j2\omega t)\}$$

$$P_{\text{NL}}(0) = d E(\omega) E^*(\omega)$$

$$P_{\text{NL}}(2\omega) = d E^2(\omega).$$

Generation of DC voltage

MW  $\rightarrow$   $\mu$ V



# Electro-Optic Effect

- E-field consists of harmonic component at optical frequency and zero frequency.

$$\mathcal{E}(t) = E(0) + \text{Re}\{E(\omega) \exp(j\omega t)\}$$

$$\mathcal{P}_{\text{NL}}(t) = P_{\text{NL}}(0) + \text{Re}\{P_{\text{NL}}(\omega) \exp(j\omega t)\} + \text{Re}\{P_{\text{NL}}(2\omega) \exp(j2\omega t)\},$$

$$P_{\text{NL}}(0) = d [2E^2(0) + |E(\omega)|^2]$$

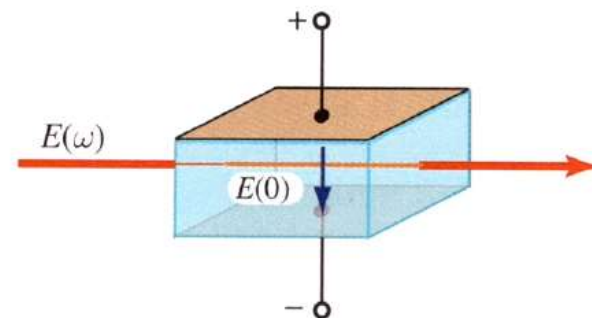
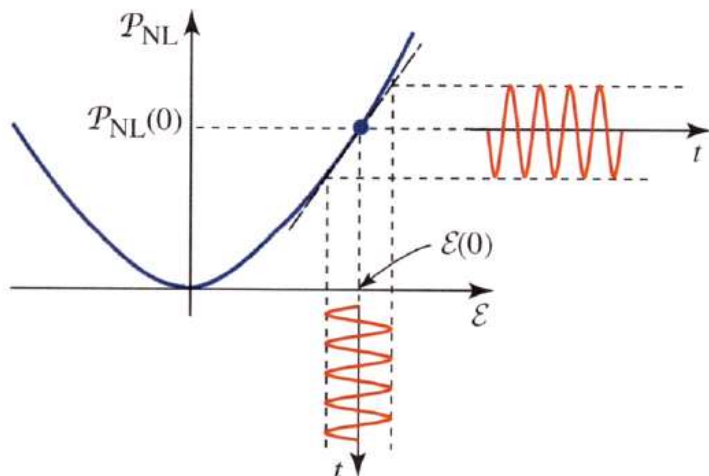
$$P_{\text{NL}}(\omega) = 4d E(0)E(\omega)$$

$$P_{\text{NL}}(2\omega) = d E^2(\omega),$$

$$|E(\omega)|^2 \ll |E(0)|^2$$

$$\Delta n = \frac{2d}{n\epsilon_0} E(0).$$

Pockels effect



# Three-wave mixing

- E-field

$$\mathcal{E}(t) = \text{Re}\{E(\omega_1) \exp(j\omega_1 t) + E(\omega_2) \exp(j\omega_2 t)\}.$$

$$P_{\text{NL}}(0) = d [|E(\omega_1)|^2 + |E(\omega_2)|^2]$$

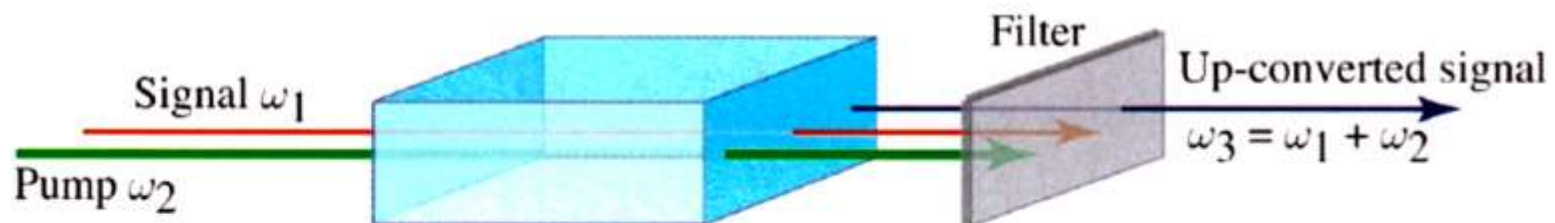
$$P_{\text{NL}}(2\omega_1) = d E(\omega_1)E(\omega_1)$$

$$P_{\text{NL}}(2\omega_2) = d E(\omega_2)E(\omega_2)$$

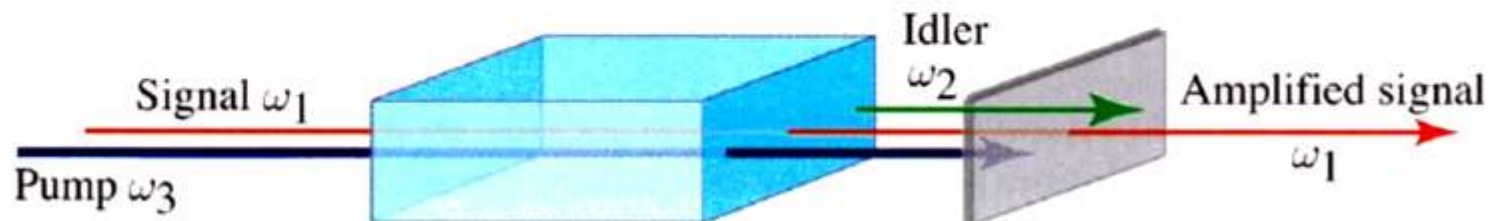
$$P_{\text{NL}}(\omega_+) = 2d E(\omega_1)E(\omega_2)$$

$$P_{\text{NL}}(\omega_-) = 2d E(\omega_1)E^*(\omega_2).$$

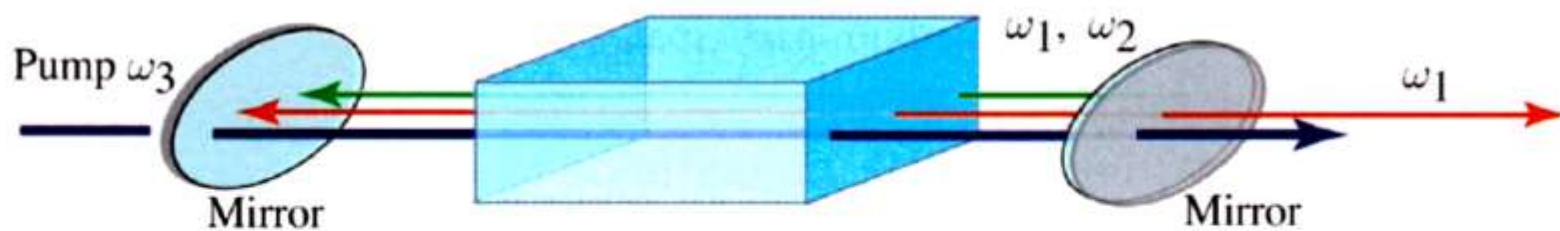
OFC



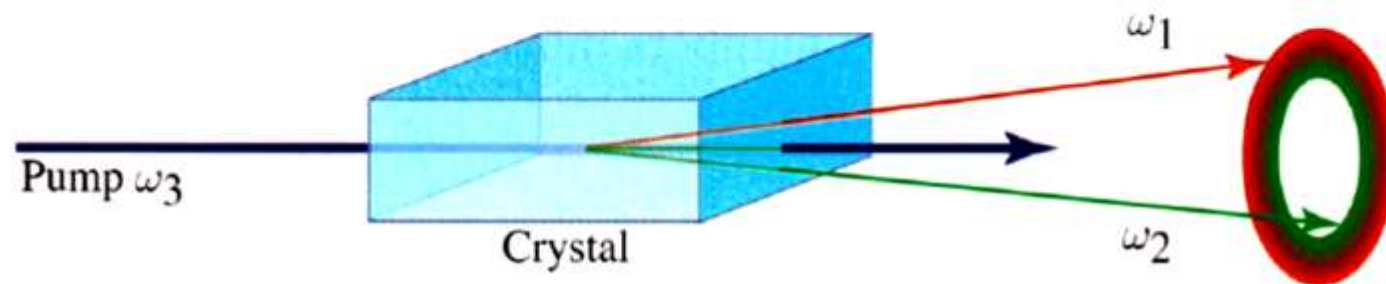
OPA



OPO

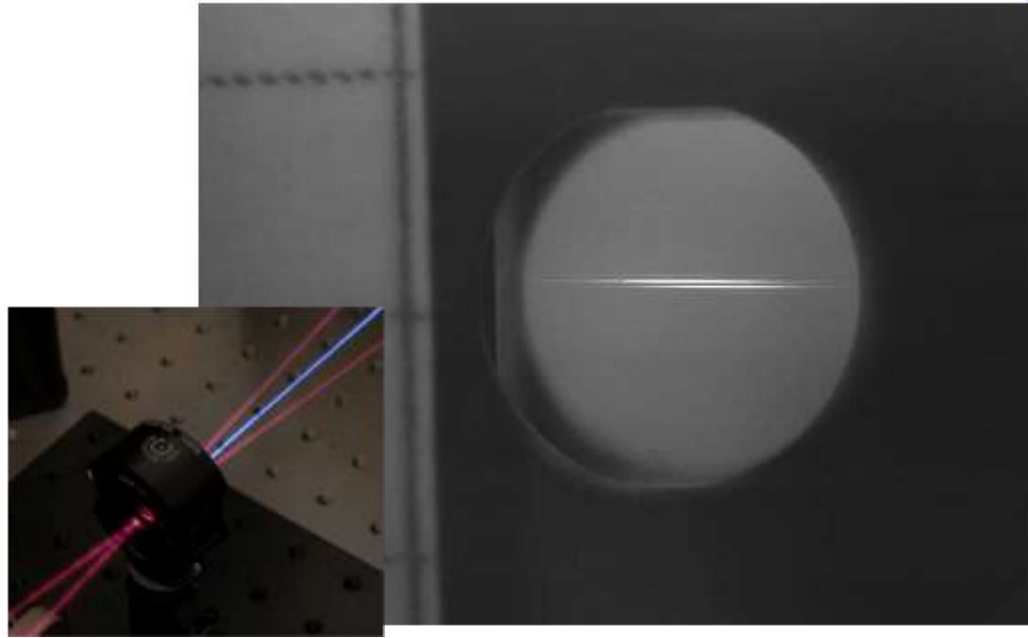
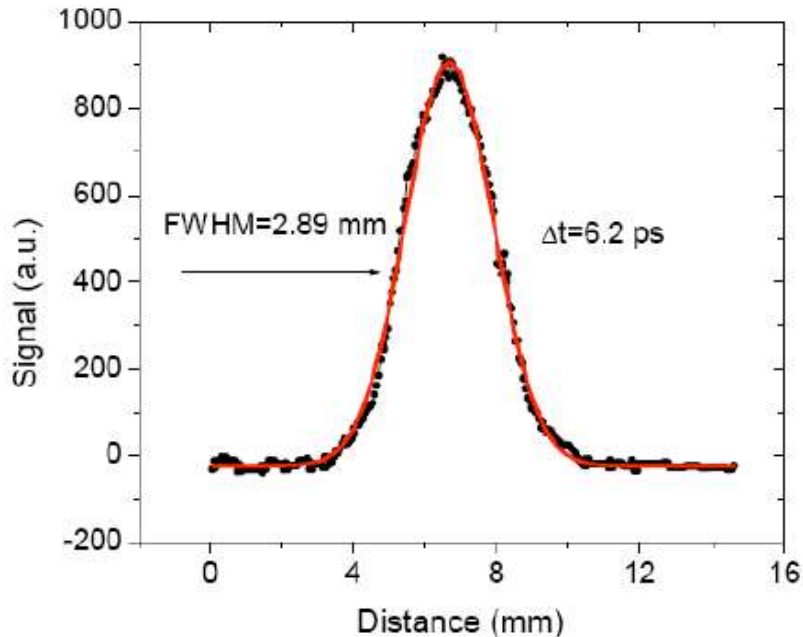
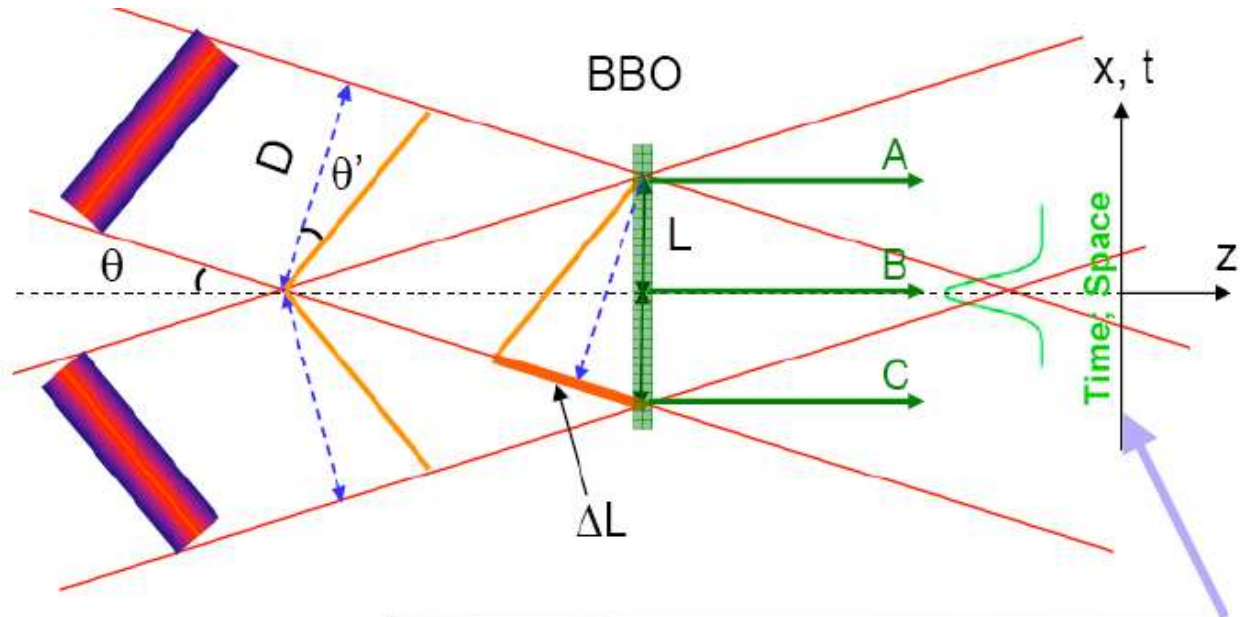


SPDC



# Application of noncollinear phase matching

- Noncollinear phase matching can be used to measure the duration of ultra-short pulse





$$\chi^{(3)}$$

Third order nonlinear effects

# Third order nonlinear polarization

$$P_{NL}^{\delta} = 4\chi^{(3),\alpha\beta\gamma\delta} E_{\alpha} E_{\beta} E_{\gamma}$$

- Let's consider the simple case of third harmonic generation (THG).

$$E = E_0 \cos(\omega t)$$

- Then the third order polarization is

$$\begin{aligned} P_{NL} &= 4\chi^{(3)} E_0^3 \cos^3(\omega t) \\ &= 4\chi^{(3)} E_0^3 \left[ \frac{1}{4} \cos(3\omega t) + \frac{3}{4} \cos(\omega t) \right] \end{aligned}$$

3rd order generation
Nonlinear contribution that affects the fundamental frequency

- The  $\omega$  term in the polarization is of the form

$$\begin{aligned} P_{NL}(\omega) &= \chi^{(1)} E(\omega) + 3\chi^{(3)} |E(\omega)|^2 E(\omega) \\ &= \chi_{eff} E(\omega) \end{aligned}$$

# Third order nonlinear effects

- The general form of the  $\chi^{(3)}$  tensor is:

$$P_i^{(3)}(\omega) = \epsilon_0 \chi_{ijkl}^{(3)}(\omega : \omega_1, \omega_2, \omega_3) E_j(\omega_1) E_k(\omega_2) E_l(\omega_3)$$

- $\chi^{(2)}$  effects are only observed in materials without inversion symmetry.
- All materials have nonzero  $\chi^{(3)}$  coefficients.
- The  $\chi^{(3)}$  coefficient gives rise to an intensity dependent index of refraction.

$$\begin{aligned} P &= \chi^{(1)} E + \chi^{(3)} E^3 \\ &= \left( \chi^{(1)} + \chi^{(3)} |E|^2 \right) E \end{aligned}$$

**Optical Kerr effects**

$$n = n_0 + n_2 I$$

- In Gaussian laser beams, the intensity is highest in the center of the beam.
- Hence the index of refraction is highest in the center.



# Nonlinear index of refraction

- Real part of index is best described as a power series
- $n = n_1 + n_2(P/A_{\text{eff}})$   $n = n_0 + n_2 I$
- For silica fiber,  $n_2 \cong 2.6 \times 10^{-11} \text{ } \mu\text{m}^2/\text{mW}$

## Interaction Length

$$L_{\text{eff}} = \frac{1 - e^{-\alpha L}}{\alpha} \approx \frac{1}{\alpha} \quad \text{if } L \gg 1/\alpha$$

where  $\alpha$  (in  $\text{cm}^{-1}$ ) is the loss coefficient of the fiber.  $0.1 \text{ dB/km} = 2.3 \times 10^{-7} \text{ cm}^{-1}$ .

## Nonlinear parameter

$$\gamma = \frac{2\pi n_2}{\lambda A_{\text{eff}}} \quad \beta_{\text{NL}} = \beta + \gamma P$$

- Propagation constant is power-dependent.

# Self Focusing

- From Fermat's principle we can estimate th

$$(n_0 + n_2 I) z_{SF} = \frac{n_0 z_{SF}}{\cos \theta_{SF}} \Rightarrow \theta_{SF} = \sqrt{\frac{2n_2 I}{n_0}}$$

$$z_{SF} = w_0 \theta_{SF} = w_0 \sqrt{\frac{n_0}{2n_2 I}}$$

## 4-wave mixing

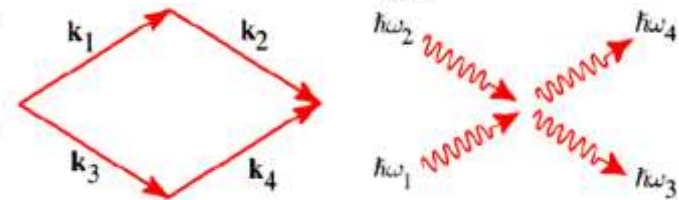
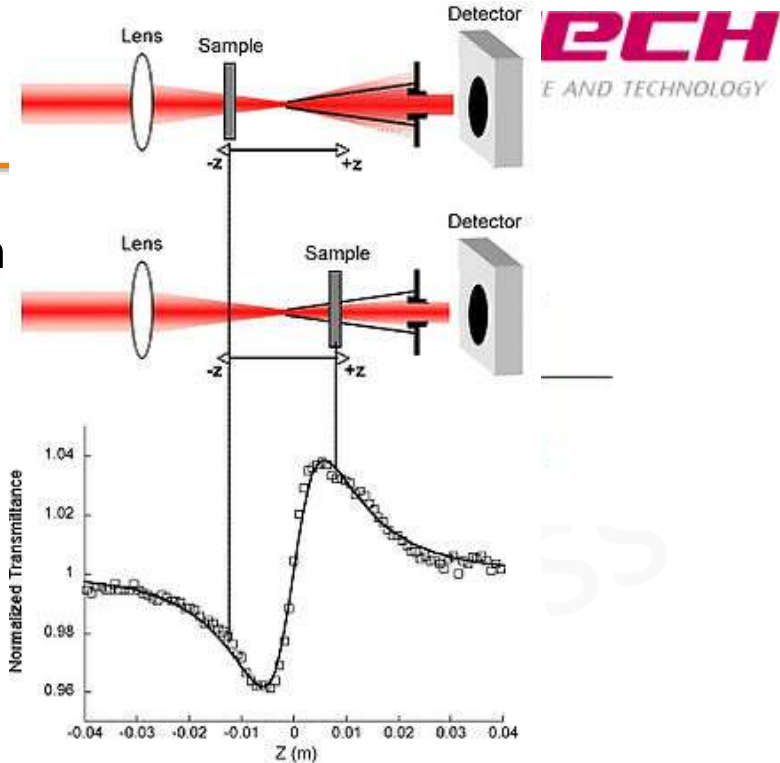
It couples 4 E-fields together.

Similar to 3-wave mixing in 2nd order crystal (SHG is a degenerate case of 3-wave mixing), the process follow the conservation laws.

$$\omega_1 + \omega_2 = \omega_3 + \omega_4.$$

$$\mathbf{k}_1 + \mathbf{k}_2 = \mathbf{k}_3 + \mathbf{k}_4.$$

$$P_{NL}(\omega_2) = 6\chi^{(3)} E(\omega_3) E(\omega_4) E^*(\omega_1),$$



# Self-phase modulation

- Self-phase modulation is due to the intensity dependent refractive index

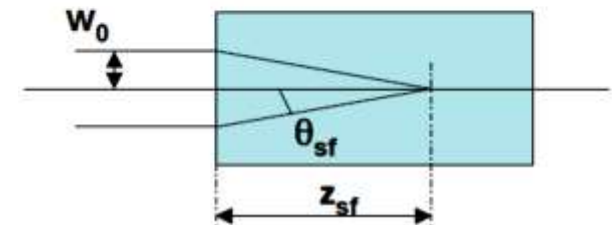
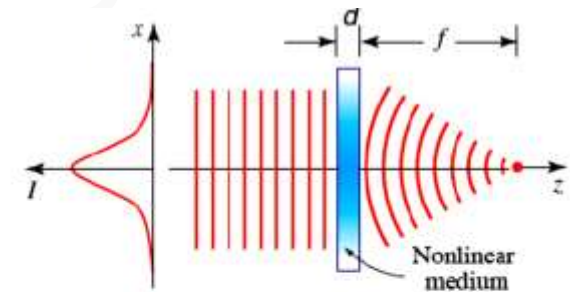
$$\varphi = -n(I)k_oL = 2\pi n(I)L/\lambda_o = -2\pi(n + n_2P/A)L/\lambda_o$$

- P and A are respective the beam power and cross-sectional area.
- The induces self phase modulation is

$$\Delta\varphi = -2\pi n_2 \frac{L}{\lambda_o A} P$$

## 3rd order effects: Self Focusing

- Assume a beam with intensity larger at its center.
- When passing through a 3rd order medium, the medium acts as an index graded element and results in focusing of the beam.
- In too long media, self focusing can locally leads to intensity above damage threshold.



# Self-phase modulation in comm.

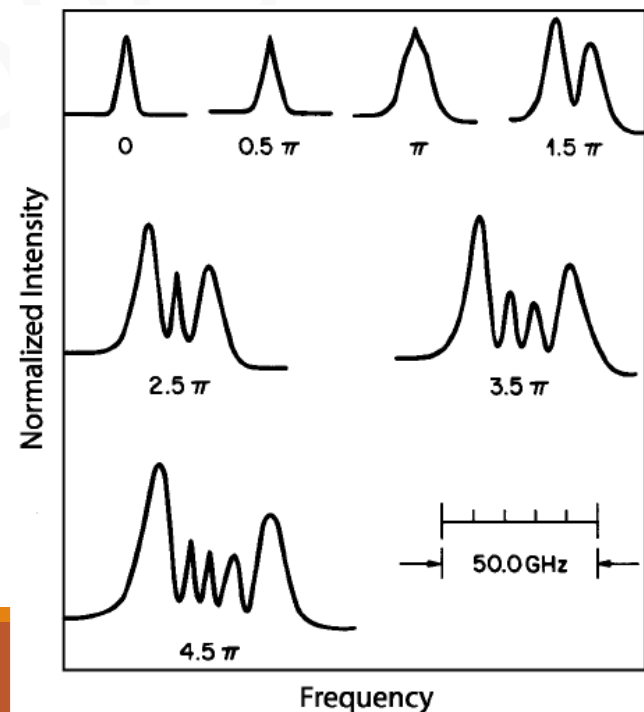
- Refractive index depends on optical intensity as (Kerr effect)

$$n(\omega, I) = n_0(\omega) + n_2 I(t)$$

- Intensity dependence leads to nonlinear phase shift

$$\phi_{NL}(t) = (2\pi/\lambda) n_2 I(t) L.$$

- An optical field modifies its own phase (SPM).
- Phase shift varies with time for pulses.
- Each optical pulse becomes chirped.
- As a pulse propagates along the fiber, its spectrum changes because of SPM.

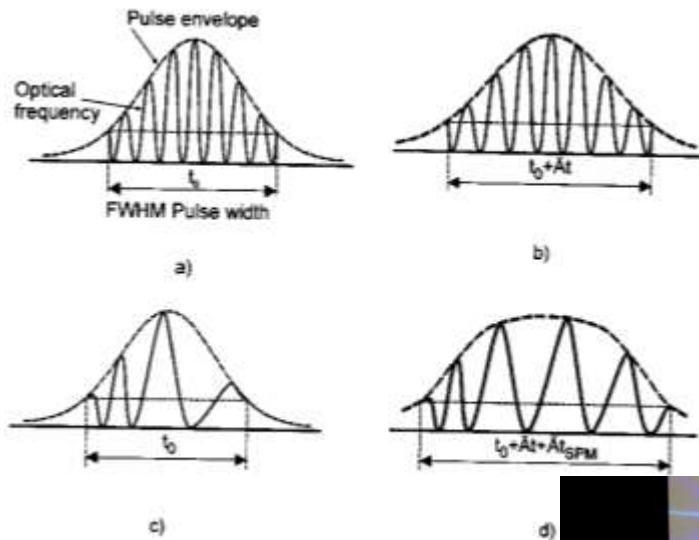


# Phase modulation

Self-modulation:  $\phi_{NL} = \gamma P L_{eff}$

Cross-modulation:  $\phi_{NL} = 2\gamma P_{other} L_{eff}$

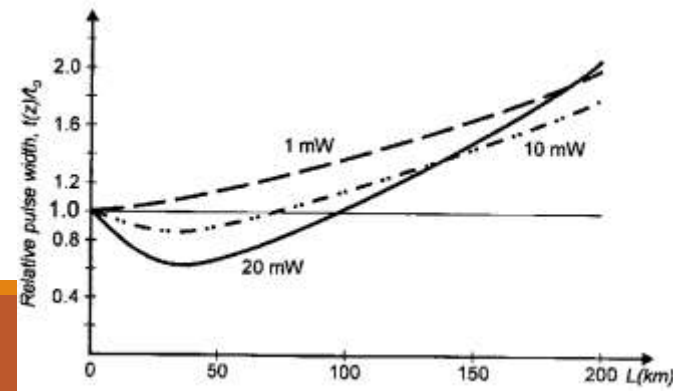
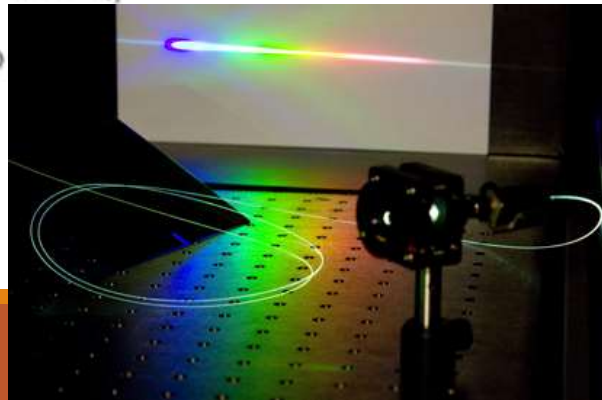
Effect of these phase changes is a frequency chirp (frequency changes during pulse), broadening pulse and reducing bit rate-length product.



Self-phase modulation effect:

Spreading of chirped pulse:

- (a) Regular unchirped pulse entering the link;
- (b) The same pulse distorted after traveling distance  $L$  along the fiber;
- (c) Chirped pulse entering the link;
- (d) Chirped pulse broadens after traveling distance  $L$ .



# Cross-Phase Modulation

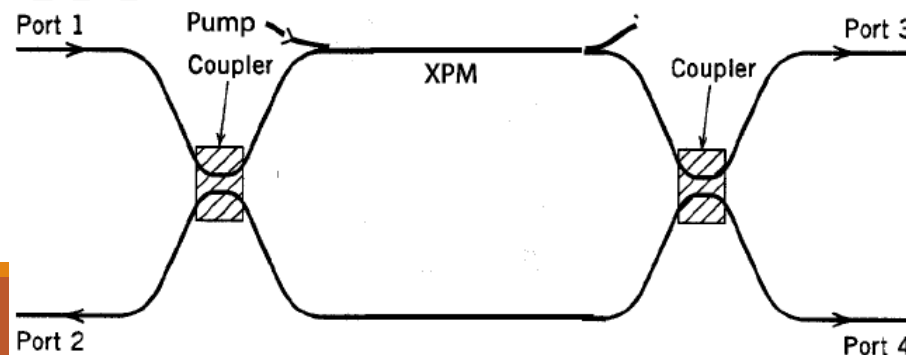
- Consider two optical fields propagating simultaneously.
- Nonlinear refractive index seen by one wave depends on the intensity of the other wave as

$$\Delta n_{\text{NL}} = n_2(|A_1|^2 + b|A_2|^2)$$

- Total nonlinear phase shift in a fiber of length L:

$$\phi_{\text{NL}} = (2\pi L/\lambda)n_2[I_1(t) + bI_2(t)]$$

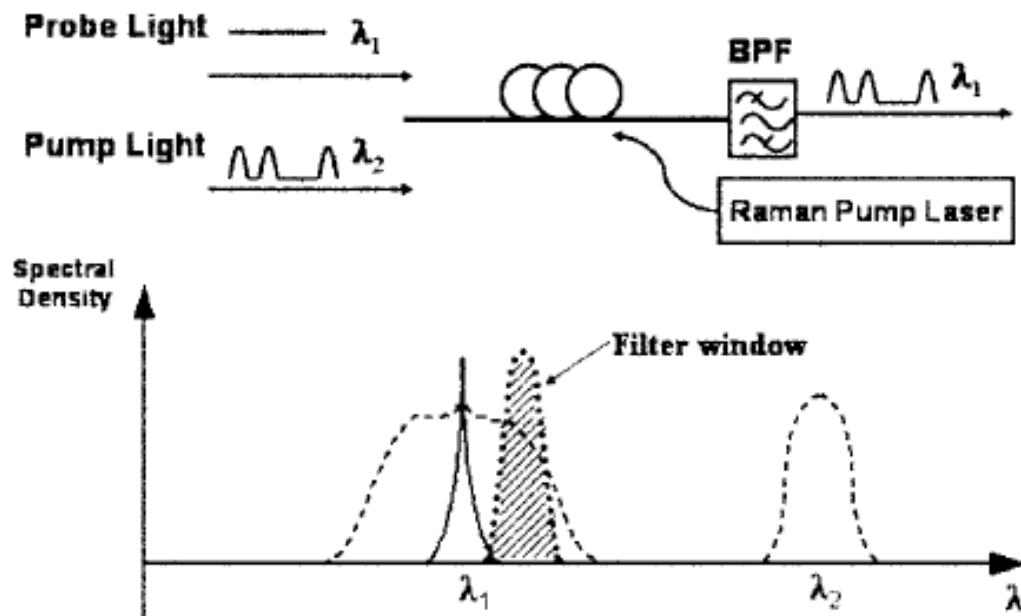
- An optical beam modifies not only its own phase but also of other co-propagating beams (XPM).
- XPM induces nonlinear coupling among overlapping optical pulses.





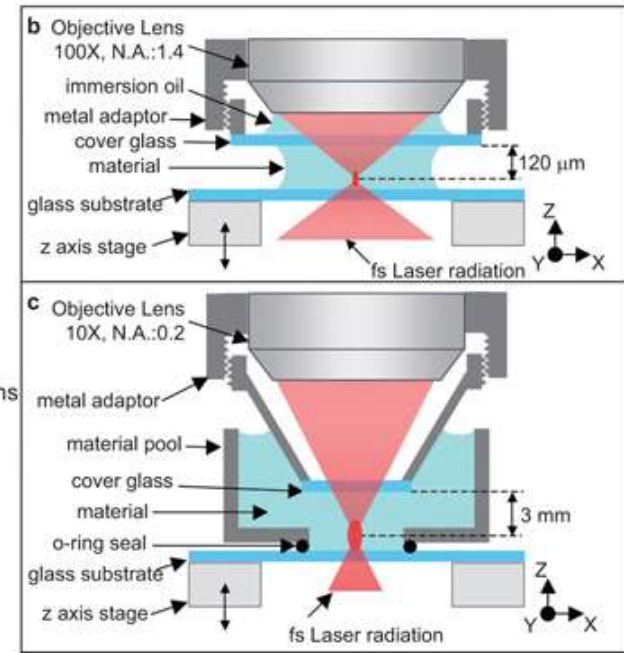
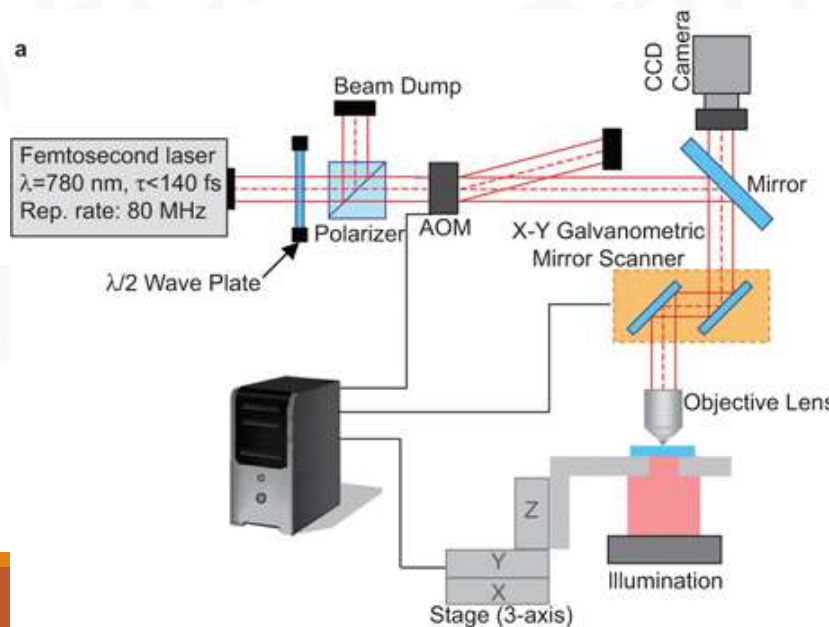
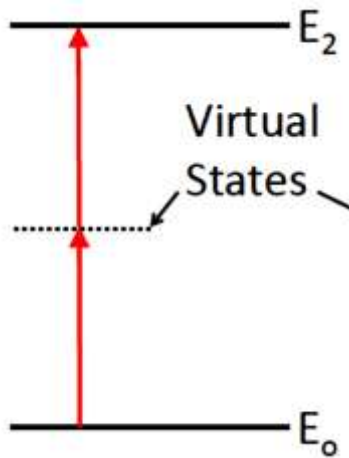
# XPM-Based Wavelength Converter

- WDM channel at  $\lambda_2$  requiring conversion acts as a pump.
- A CW probe is launched at the desired wavelength  $\lambda_1$ .
- Probe spectrum broadens because of pump-induced XPM.
- An optical filter blocks pump and transfers data to probe.
- Raman amplification improves the device performance.

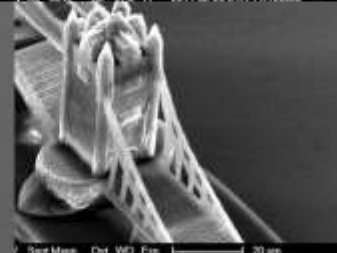
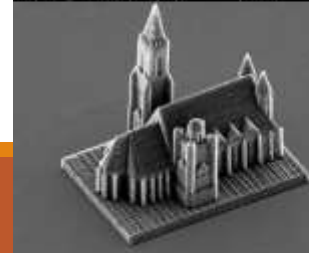
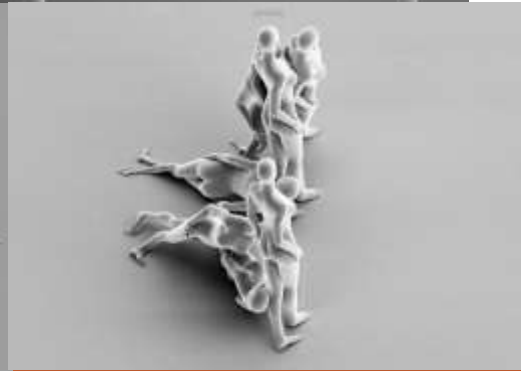
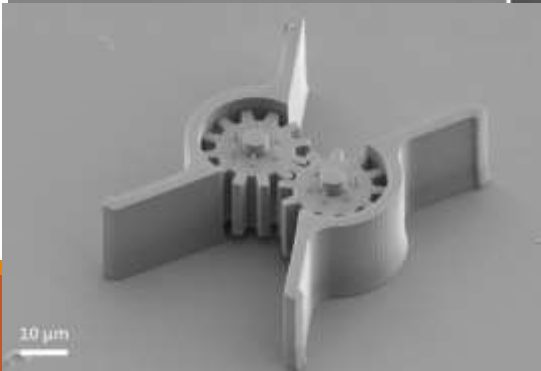
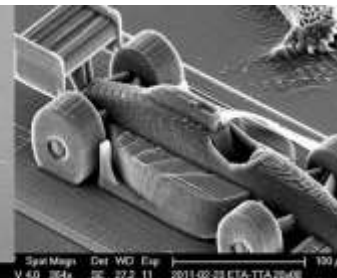
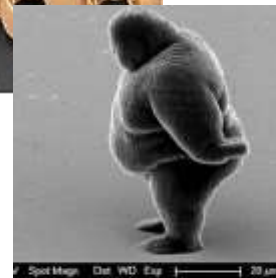
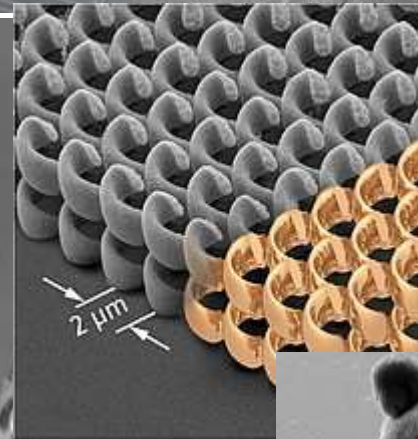
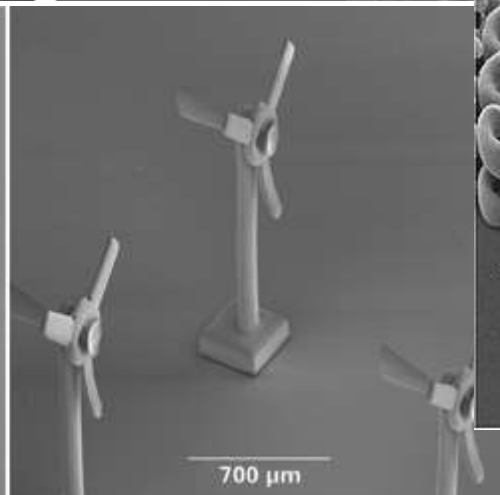
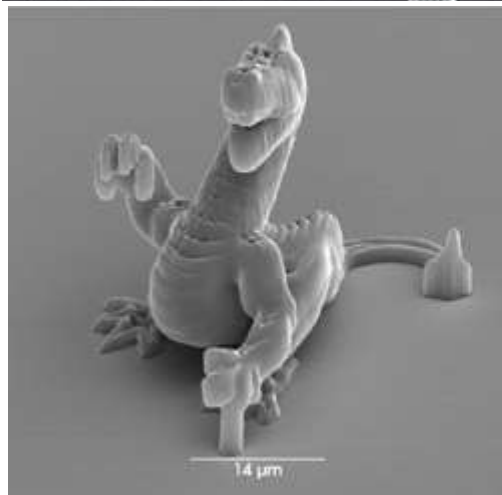
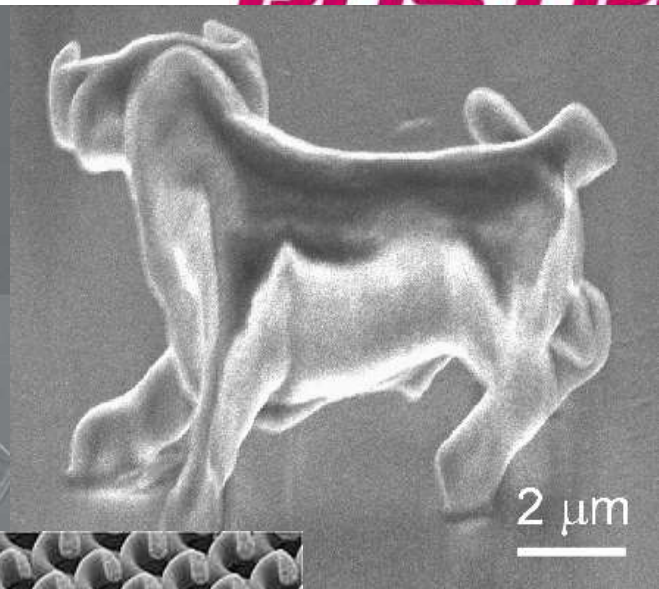
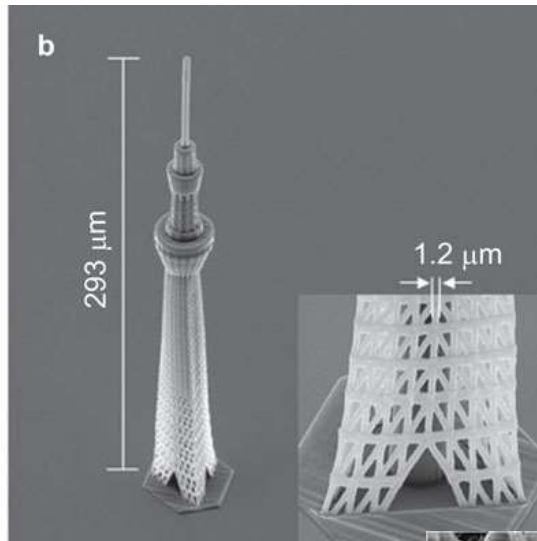
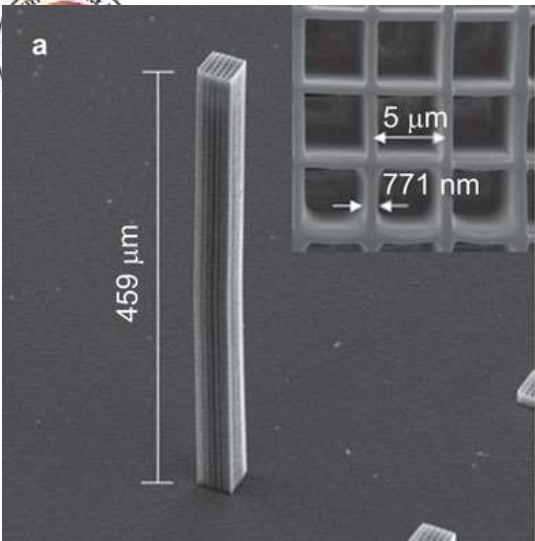


# Two Photon Absorption

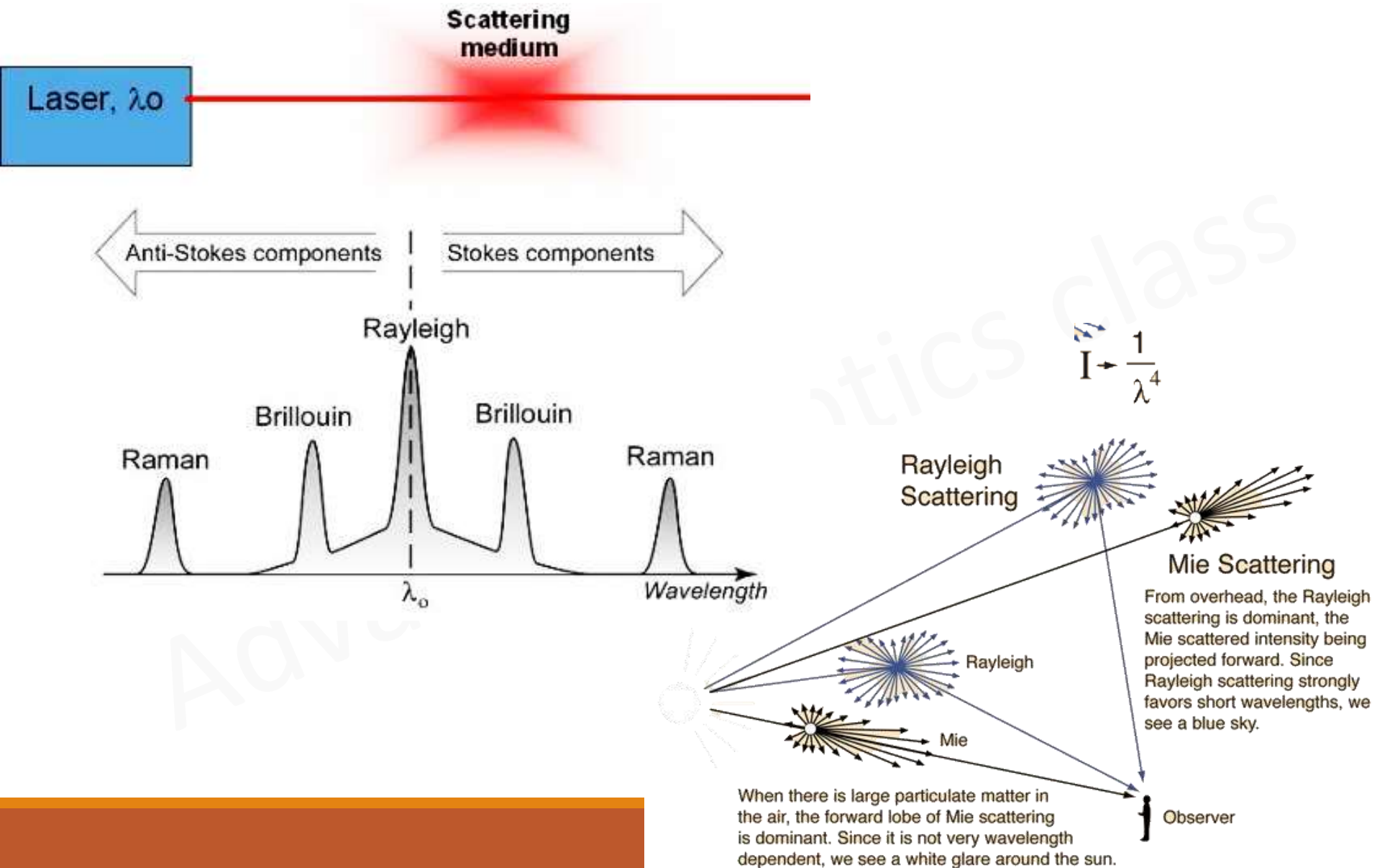
- Two photons absorbed simultaneously to bring atom to high-lying energy level.
- Absorption enhanced by enhanced by allowed transitions near single photon resonance.
- Can limit the performance of high-powered lasers.







# Scattering



# Stimulated Raman Scattering (SRS)

- Interaction of light with an acoustic phonon in the material.

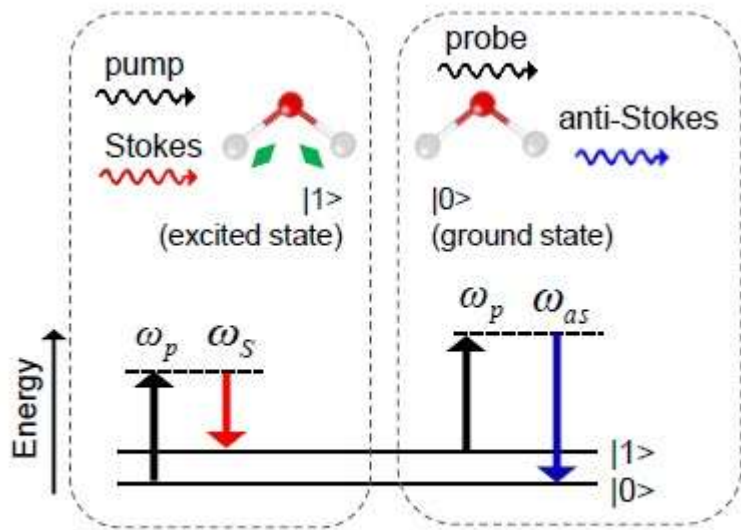
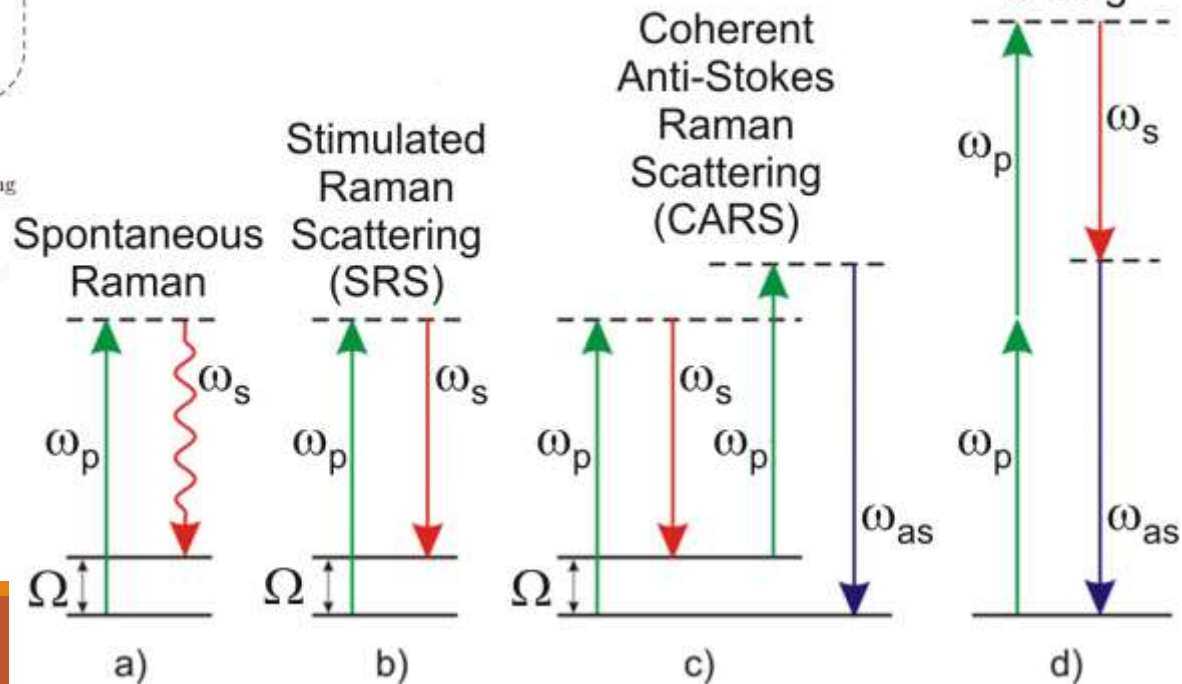
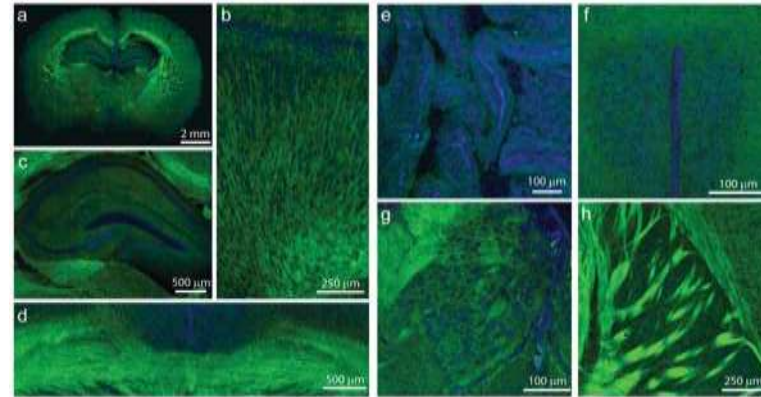
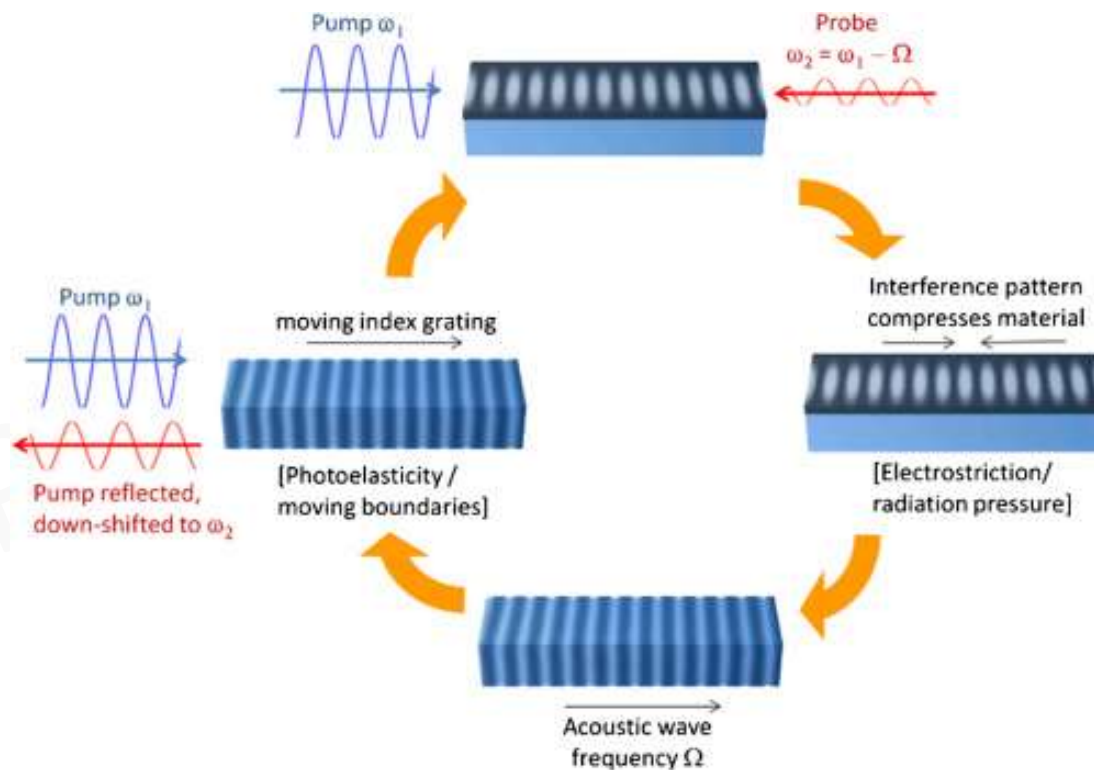


Figure 1: Energy level diagram for coherent anti-Stokes Raman scattering



# Stimulated Brillouin Scattering (SBS)

- Interaction of light with an acoustic phonon in the material.
- The scattered wave is always(?) backwards propagating.





# Concluding Remarks

---

- Nonlinear effects are sources of noise in many systems and not easy to understand.
- Don't be afraid of it.
- **Nonlinearities can be managed thorough proper system design.**
- **By combining two or more nonlinear effects, unprecedented behavior properties and applications can be designed.**



POSTECH  
Advanced Optics class

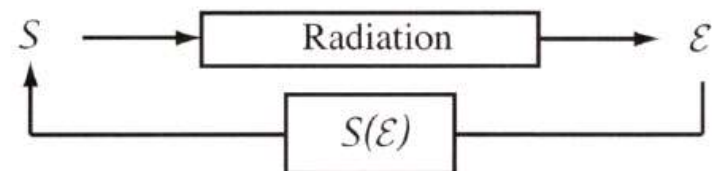
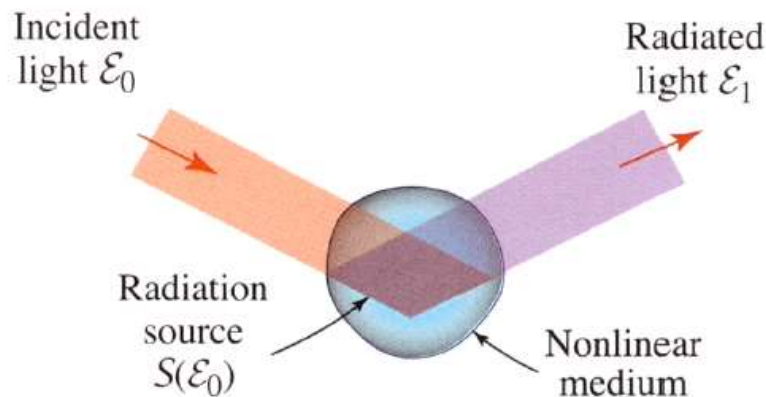
# Nonlinear wave equation II

- Using  $c = c_0 / n$   
 $n^2 = 1 + \chi$

- The nonlinear wave equation can be rewritten

$$\nabla^2 \vec{E}(\vec{r}) + \mu_0 \epsilon_0 \epsilon \frac{\partial^2}{\partial t^2} \vec{E}(\vec{r}) = -\mu_0 \frac{\partial^2}{\partial t^2} \vec{P}_{NL}(\vec{r})$$

- The first Born approximation. An incident optical field  $\mathcal{E}_0$  creates a source  $S(\mathcal{E}_0)$ , which radiates an optical field  $\mathcal{E}_1$ .



# Coupled- Wave Equations for Second-Harmonic Generation.

$$\frac{da_1}{dz} = -jga_3a_1^* \exp(-j\Delta kz)$$

$$\frac{da_3}{dz} = -j\frac{g}{2}a_1a_1 \exp(-j\Delta kz)$$

where  $\Delta k = k_3 - 2k_1$

$$g^2 = 4\hbar\omega^3\eta^3d^2$$

perfect phase matching

$$\Delta k = 0$$



$$\frac{da_1}{dz} = -jga_3a_1^*$$

$$\frac{da_3}{dz} = -j\frac{g}{2}a_1a_1$$

**Coupled Equations  
(Second-Harmonic Generation)**



## the solution

$$a_1(z) = a_1(0) \sec h \frac{ga_1(0)z}{\sqrt{2}}$$

$$a_3(z) = -\frac{j}{\sqrt{2}} a_1(0) \tan h \frac{ga_1(0)z}{\sqrt{2}}$$

## Consequently, the photon flux densities

$$\phi_1(z) = \phi_1(0) \sec h^2 \frac{\gamma z}{2}$$

$$\phi_3(z) = -\frac{1}{2} \phi_1(0) \tan h^2 \frac{\gamma z}{2}$$

# Efficiency

## complex amplitude

$$S(2\omega) = 4\mu_o\omega^2 dE(\omega)E(\omega)$$

- SHG intensity

$$I(2\omega) \propto |S(2\omega)|^2 \propto |I(\omega)|^2 \propto d^2 \propto L^2$$

- Efficiency of second-harmonic generation

$$I(\omega) = P/A$$

$$\eta_{\text{SHG}} = I(2\omega)/I(\omega) \propto L^2 I(\omega)$$

The efficiency of second-harmonic generation for an interaction region of length  $L$  is

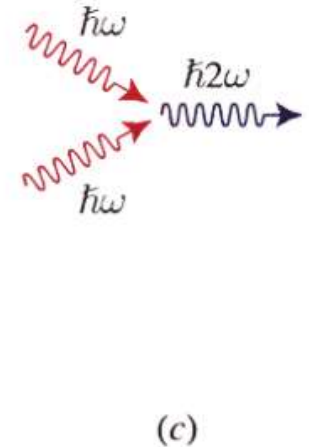
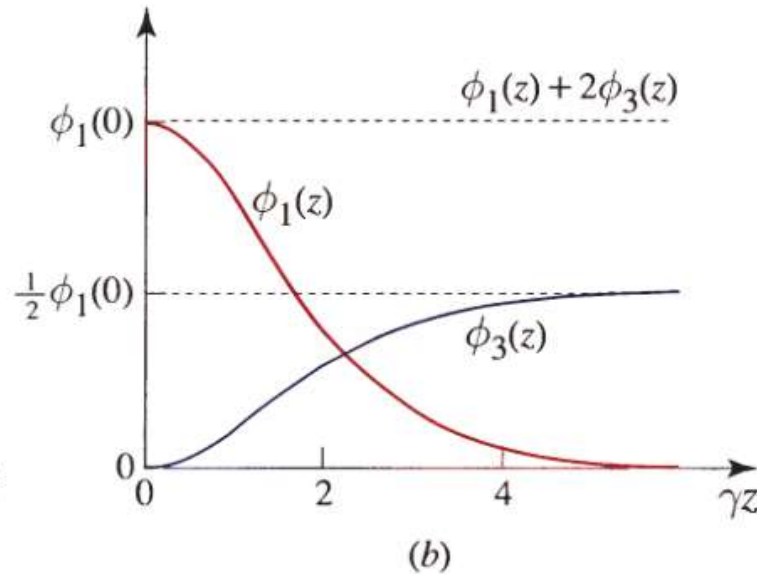
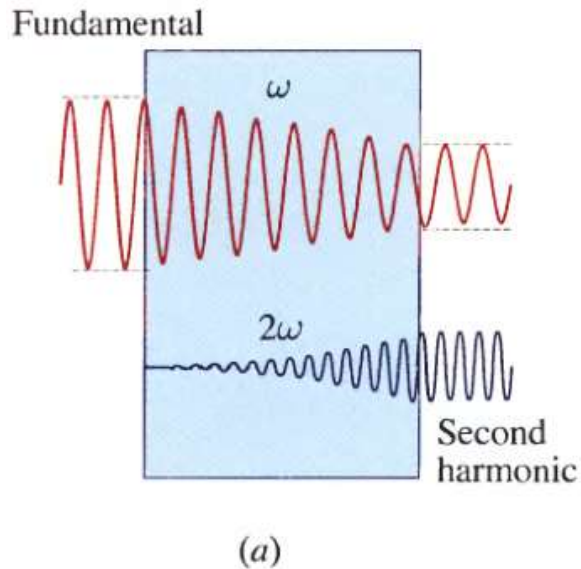
$$\frac{I_3(L)}{I_1(0)} = \frac{\hbar\omega_3\phi_3(L)}{\hbar\omega_1\phi_1(L)} = \frac{2\phi_3(L)}{\phi_1(0)} = \tanh^2 \frac{\gamma L}{2}$$

For large  $\gamma L$  (long cell, large input intensity, or large nonlinear parameter), the efficiency approaches one. This signifies that all the input power (at frequency  $\omega$ ) has been transformed into power at frequency  $2\omega$ ; all input photons of frequency  $\omega$  are converted into half as many photons of frequency  $2\omega$ .

For small  $\gamma L$  (small device length  $L$ , small nonlinear parameter  $d$ , or small input photon flux density  $\phi_1(0)$ ), the argument of the  $\tanh$  function is small and therefore the approximation  $\tanh x \approx x$  may be used. The efficiency of second-harmonic generation is then

$$\frac{I_3(L)}{I_1(0)} = 2\eta_0^3 \omega^2 \frac{d^2}{n^3} \frac{L^2}{A} P$$

# Second-harmonic generation



- Two photons of frequency  $\omega$  combine to make one photon of frequency  $2\omega$ .
- As the photon flux density  $\phi_1(z)$  of the fundamental wave decreases, the photon flux density  $\phi_3(z)$  of the second-harmonic wave increases.
- Since photon numbers are conserved, the sum  $\phi_1(z) + 2\phi_3(z) = \phi_1(0)$  is a constant.

# Effect of Phase Mismatch

$$\frac{da_1}{dz} = -jga_3a_1^* \exp(-j\Delta kz)$$

$$\frac{da_3}{dz} = -j \frac{g}{2} a_1 a_1^* \exp(-j\Delta kz)$$

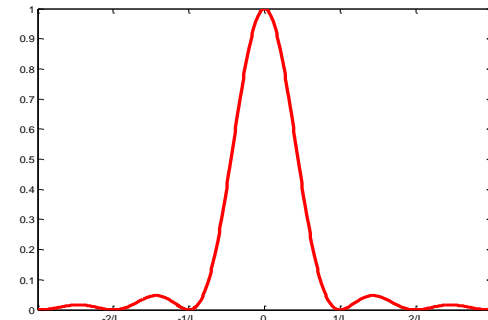
$$\Delta k \neq 0$$

## Solution

$$a_3(L) = -j \frac{g}{2} a_1^2(0) \int_0^L \exp(-j\Delta kz') dz' = -\left(\frac{g}{2\Delta k}\right) a_1^2(0) [\exp(-j\Delta kL) - 1]$$

## Efficiency

$$\frac{I_3(L)}{I_1(0)} = \frac{2\phi_3(L)}{\phi_1(0)} = \frac{1}{2} g^2 L^2 \phi_1(0) \sin^2 \frac{\Delta k L}{2\pi}$$



# Phase matching I

Consider a plane wave propagating along  $z$ , and write the paraxial Helmholtz equation

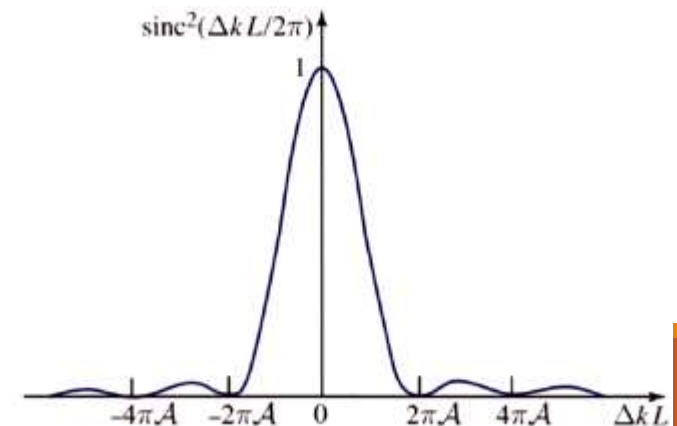
$$\left[ \nabla_{\perp}^2 E(2\omega) - 2ik \partial_z E(2\omega) \right] e^{-k(2\omega)z} = \mu_0 \partial_t^2 (dE^2(\omega)) e^{-k(\omega)z}$$

Can be approximated as  $\partial_z E(2\omega) = A dE^2(\omega) e^{\Delta k z}$

where  $\Delta k = k(2\omega) - k(\omega)$  so finally  $E(2\omega) \propto \frac{\sin \Delta k z}{\Delta k z}$

need  $\Delta k = 0$  to achieve maximum  $E(2\omega)$  electric field (this was not taken care in the 1961 experiment)

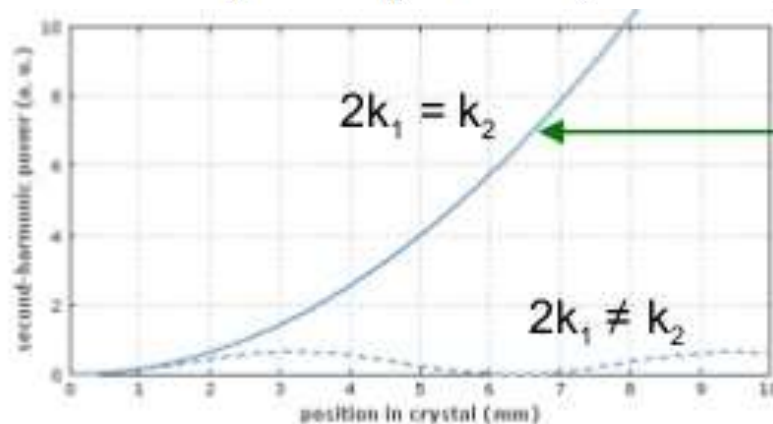
This is referred to as “phase matching”



# Quasi-phase matching

- Only when  $2k_1 = k_2$ , SHG will be efficient.
- Only when phase matching is achieved, these contributions add up constructively, and a high power conversion efficiency is achieved.
- The direction of energy transfer changes periodically according to the change in the phase relation between the interacting waves.
- The energy then oscillates between the waves rather than being transferred in a constant direction.
- The effect on the power conversion is illustrated.

$$\frac{I_{2\omega_1}}{I_{\omega_1}} = \frac{A_2^* A_2}{A_1^* A_1} = \left( \frac{2\omega_1 d_{\text{eff}}}{n_2 c \Delta k} \right)^2 |A_1|^2 \sin^2 \left( \frac{\Delta k L}{2} \right)$$



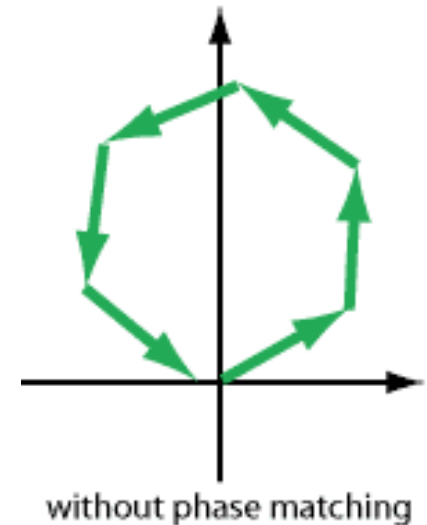
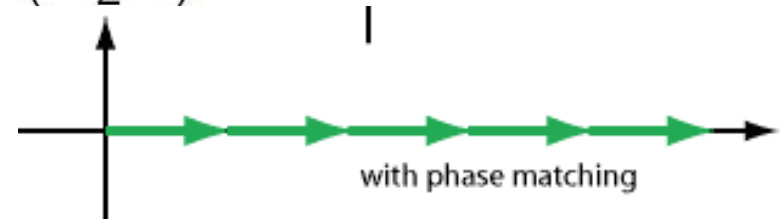
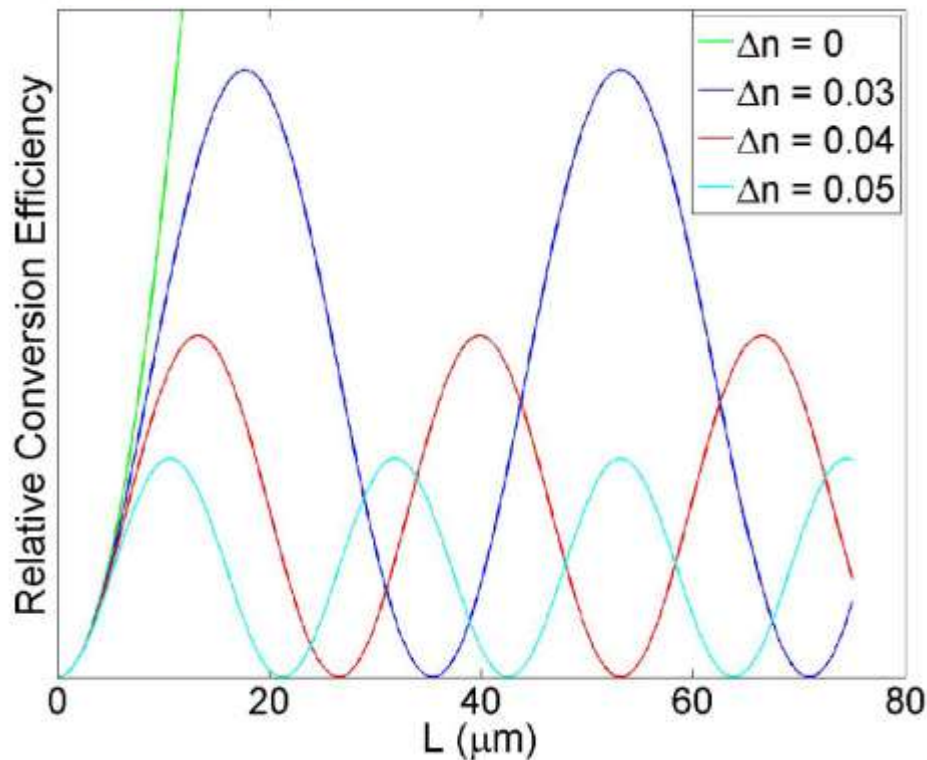
~ 100% SHG conversion efficiency is possible by optimizing phase matching!



# Conversion efficiency

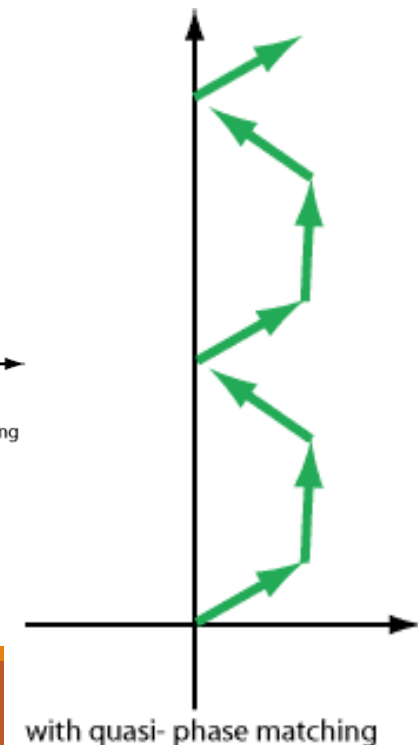
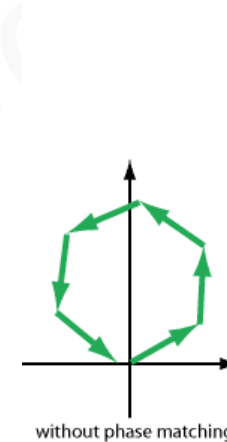
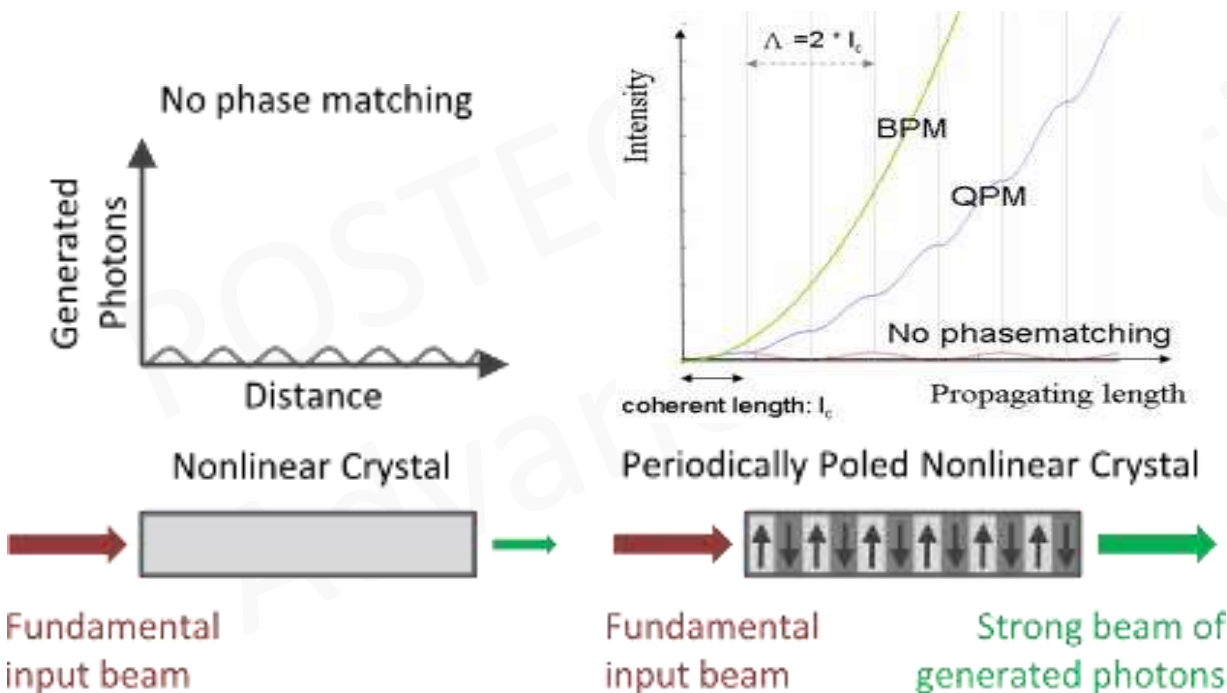
- Wavevector mismatch  $\Delta k$  may cause significant power reduction of generated parametric wave.

$$\frac{I_{2\omega_1}}{I_{\omega_1}} = \frac{A_2^* A_2}{A_1^* A_1} = \left( \frac{2\omega_1 d_{eff}}{n_2 c \Delta k} \right)^2 |A_1|^2 \sin^2 \left( \frac{\Delta k L}{2} \right)$$

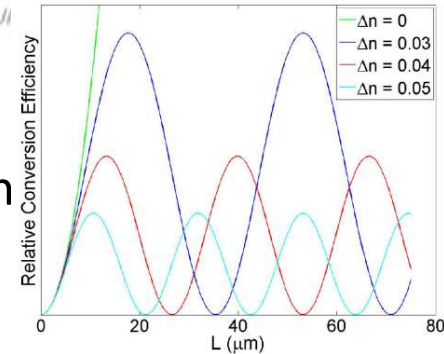


# Quasi-phase matching

- Perfect phase matching can be difficult to achieve.
- Alternate way for high efficient generation is **periodic nonlinearity**.
- Such periodicity introduces an opposite phase bringing back the phases of the distributed radiation elements into better alignment.

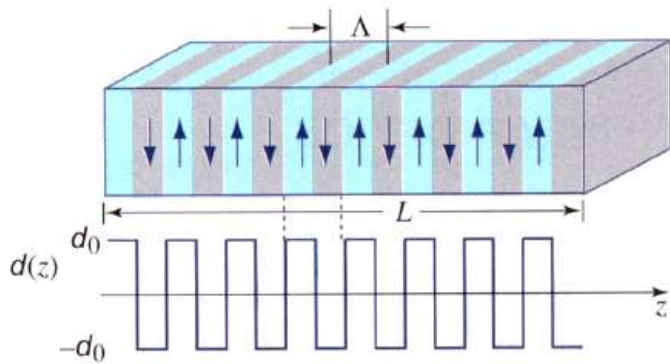
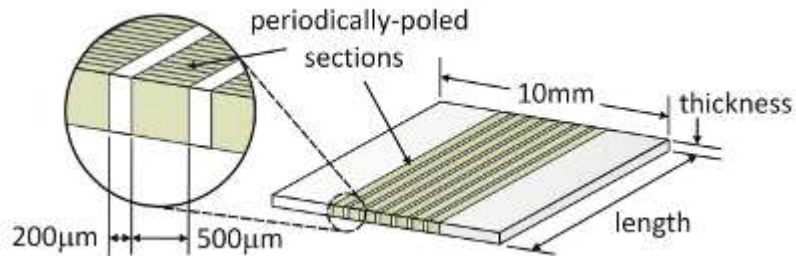


- Consider constructively adding distance, coherence length  
the coherence length  $l_c = \pi/\Delta k$ ,
- It is useful to think of the periodic structure as adding a grating vector of magnitude  $2m\pi/\Lambda$  to the interaction.
- The grating allows momentum to be conserved in the nonlinear process.



$$\Delta k - \frac{2\pi m}{\Lambda} = 0$$

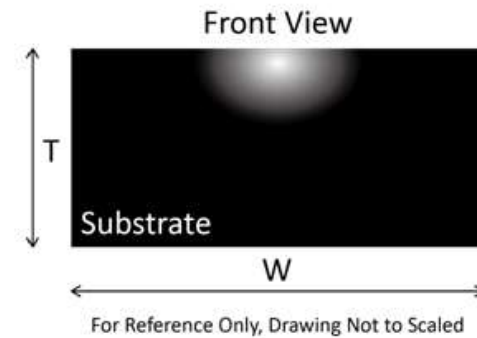
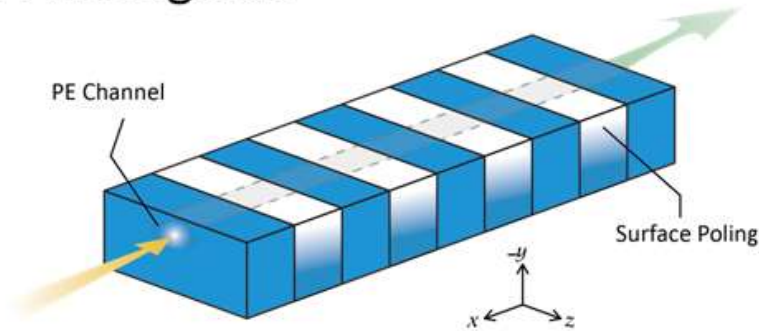
$$\Lambda < 10\mu\text{m}$$



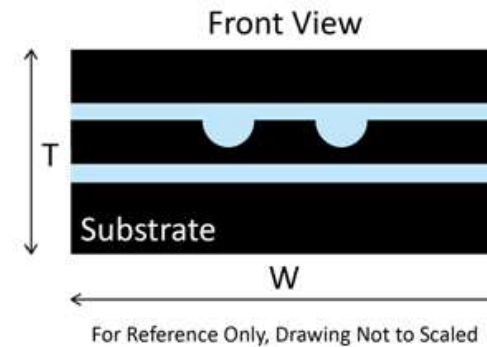
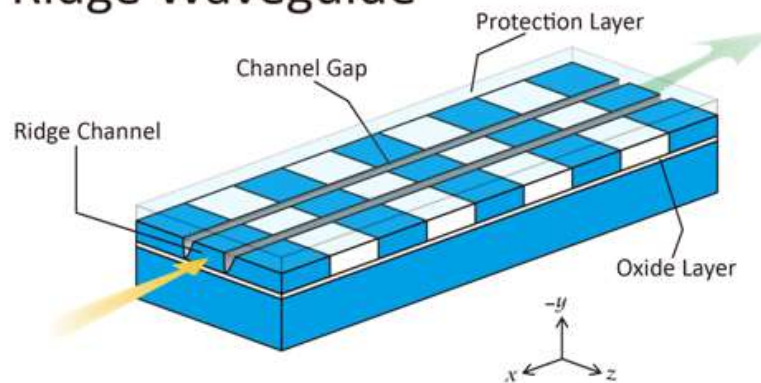
Crystal	Periodically poled LiNbO <sub>3</sub>
Coercive Field	21 kV/mm
Maximum Poling Depth	1 mm
Maximum Crystal Length	50 mm
$d_{\text{eff}}$	17 pm/V
Transparency Range	0.4 - 5.5μm

# PP crystal waveguides

## PE Waveguide



## Ridge Waveguide

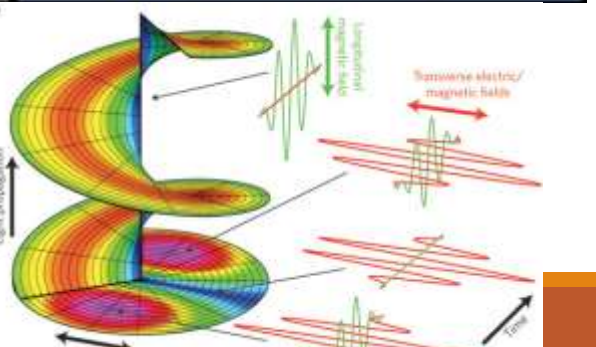
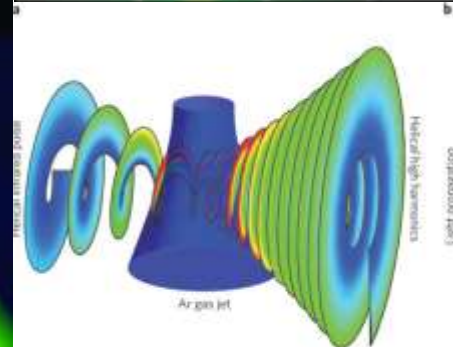
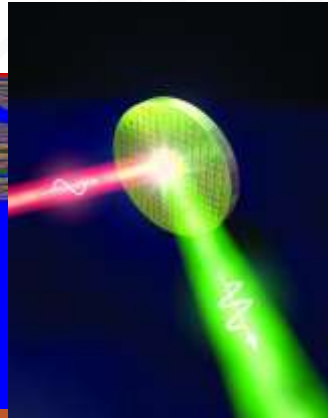
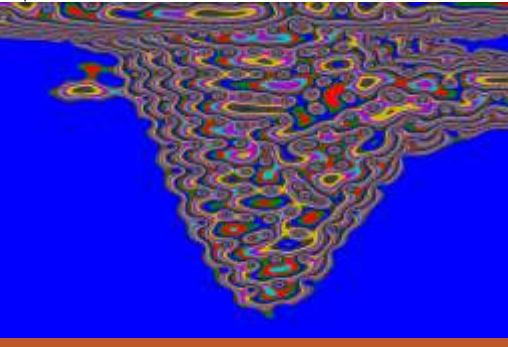
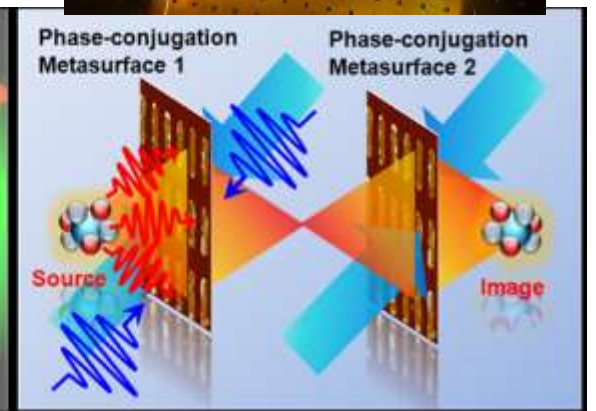
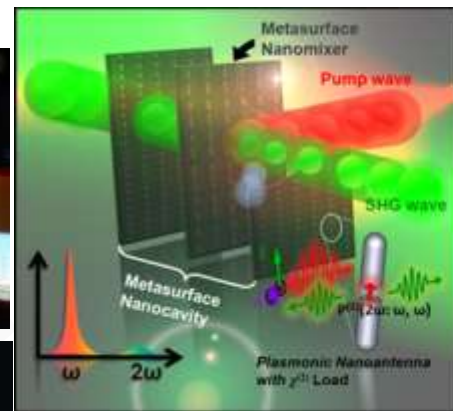
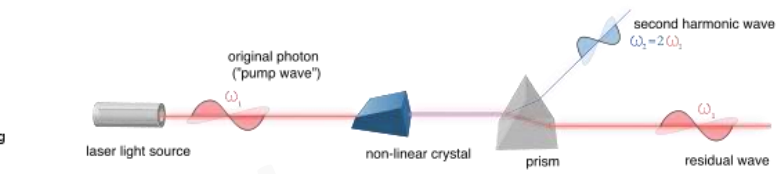
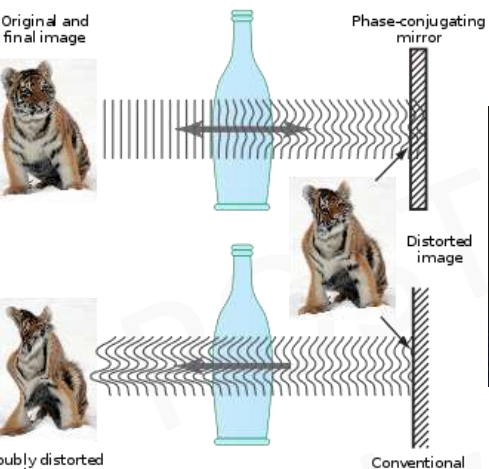
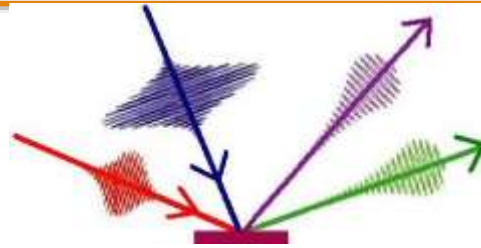
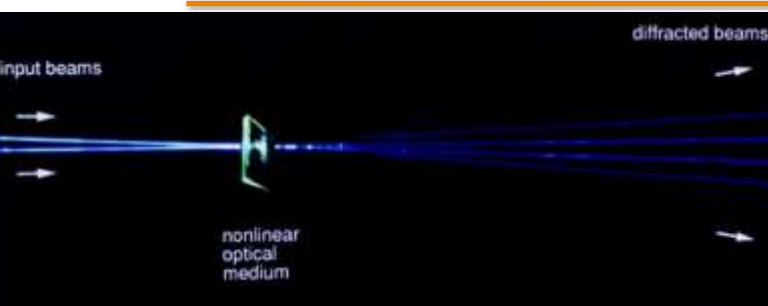


	PE WG	Ridge WG
Fundamental Wavelength for SHG (nm)	750~2100	780 ~ 1580
Power Handling (mW)	~200	>1000





# Google images



# Optical phase conjugation (OPC)

- OPC is a totally degenerated case of the 4-wave mixing and is sometime refer to as degenerate 4-wave mixing:

$$\omega_1 = \omega_2 = \omega_3 = \omega_4 = \omega.$$

- Consider two plane waves with  $\mathbf{k}_4 = -\mathbf{k}_3$ ,

$$E_3(\mathbf{r}) = A_3 \exp(-j\mathbf{k}_3 \cdot \mathbf{r}), \quad E_4(\mathbf{r}) = A_4 \exp(-j\mathbf{k}_4 \cdot \mathbf{r}),$$

- The corresponding polarization is

$$P_{NL} = 6\chi^{(3)} A_3 A_4 E_1^*(\mathbf{r})$$

- And thus the E-field is

$$E_2 = 6\chi^{(3)} A_3 A_4 E_1^*(\mathbf{r})$$

- The E-field  $E_2$  is conjugate of field  $E_1$ .
- The wave  $E_3$  and  $E_4$  are called pumps.

