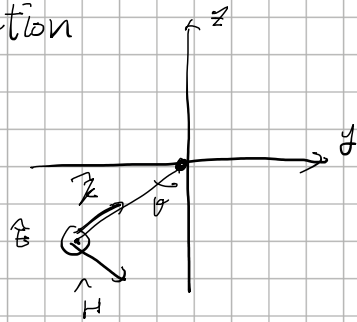


4.3 EM wave propagation in a stratified dielectric medium

$$\epsilon = \epsilon(z), \mu = \mu(z) \quad z = \text{propagation direction}$$

multilayer: a pile of the films

anti-reflection -
enhancement of refractivity
beam splitter.



yz -plane: plane of incidence

harmonic plane wave, TE-mode (s-polarization) $E_x \neq 0, E_y = E_z = 0$

Maxwell's equation.

$$\frac{\partial H_z}{\partial y} - \frac{\partial H_y}{\partial z} + i\epsilon \frac{\omega}{c} E_x = 0, \quad \frac{i\omega\mu}{c} H_x = 0$$

$$\frac{\partial H_x}{\partial z} - \frac{\partial H_z}{\partial x} = 0, \quad \frac{\partial E_x}{\partial z} - \frac{i\omega\mu}{c} H_y = 0$$

$$\frac{\partial H_y}{\partial x} - \frac{\partial H_x}{\partial y} = 0, \quad \frac{\partial E_x}{\partial y} + \frac{i\omega\mu}{c} H_z = 0$$

$$\left(\frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) E_x + n^2 k_0^2 E_x = \frac{d}{dz} (\log \mu) \frac{\partial E_x}{\partial z}, \quad n^2 = \epsilon \mu_0, \quad k_0 = \frac{\omega}{c} = \frac{2\pi}{\lambda_0}$$

$$(\text{cf. } \nabla^2 \vec{E} + \frac{n^2 \omega^2}{c^2} \vec{E} + (\nabla \log \mu) \times (\nabla \times \vec{E}) + \nabla (\vec{E} \cdot \nabla \log \epsilon) = 0 \quad \vec{E} \perp \hat{z}, \quad \nabla \log \epsilon \propto \hat{z})$$

solving this equation by separate of variables method. $E(y, z) = Y(y) U(z)$

$$\underbrace{\frac{1}{Y} \frac{d^2 Y}{dy^2}}_{= -k_0^2 \alpha^2} = -\frac{1}{U} \frac{d^2 U}{dz^2} - n^2 k_0^2 + \frac{d}{dz} (\log \mu) \frac{1}{U} \frac{dU}{dz} \quad (\text{cf. } k \cos(90^\circ - \theta) = k \sin \theta = \frac{k_0 n \sin \theta}{\alpha})$$

$$Y = \text{const. } e^{i k_0 \alpha y} \quad \frac{d^2 U}{dz^2} - \frac{d}{dz} (\log \mu) \frac{dU}{dz} + (n^2 - \alpha^2) k_0^2 U = 0$$

$$E_x = U(z) e^{i(k_0 \alpha y - \omega t)}, \quad H_y = V(z) e^{i(k_0 \alpha y - \omega t)}, \quad H_z = W(z) e^{i(k_0 \alpha y - \omega t)}$$

From Maxwell's equation,

$$V' = i k_0 (\alpha W + \epsilon U), \quad U' = i k_0 \mu V, \quad \alpha U + \mu V = 0,$$

$$U' = i k_0 \mu(z) V, \quad V' = i k_0 \left(\epsilon - \frac{\alpha^2}{\mu} \right) U, \quad \frac{d^2 V}{dz^2} - \frac{d}{dz} \left(\log \left(\epsilon - \frac{\alpha^2}{\mu} \right) \right) \frac{dV}{dz} + k_0^2 (\mu^2 - \alpha^2) V = 0$$

A second-order linear equation for both U, V two independent solutions

U, V can be expressed as a linear combination of two particular solutions $u_1, u_2; v_1, v_2$.

$$\frac{d}{dz} (u_1 v_2 - u_2 v_1) = 0 \Rightarrow \begin{vmatrix} u_1 & u_2 \\ v_1 & v_2 \end{vmatrix} = \text{const.}$$

$$u_2(z=0) = v_1(z=0) = 1, \quad u_1(z=0) = v_2(z=0) = 0 \quad \text{with } u(z=0) = U_0, \quad v(z=0) = V_0$$

$$u(z) = u_1 V_0 + u_2 U_0$$

$$v(z) = v_1 V_0 + v_2 U_0$$

$$\begin{pmatrix} u(z) \\ v(z) \end{pmatrix} = \begin{pmatrix} u_2 & u_1 \\ v_2 & v_1 \end{pmatrix} \begin{pmatrix} u(z=0) \\ v(z=0) \end{pmatrix}$$

$$\vec{Q} = \vec{N} \cdot \vec{Q}, \quad \vec{Q} = \vec{M} \cdot \vec{Q}(z), \quad \vec{M} = \vec{N}^{-1} = \begin{pmatrix} v_1 & -u_1 \\ -v_2 & u_2 \end{pmatrix} \quad \text{: characteristic matrix}$$

$$|\vec{M}| = v_1 u_2 - u_1 v_2 = v_1(0) u_2(0) - u_1(0) v_2(0) = 1$$

Ex) Characteristic Matrix for homogeneous dielectric film TE mode, ϵ, μ constant.

$$\frac{d^2 U}{dz^2} + (k_0^2 \mu^2 \cos^2 \theta) U = 0, \quad \frac{d^2 V}{dz^2} + (k_0^2 \mu^2 \cos^2 \theta) V = 0$$

U, V : combination of $\sin(k_0 n \cos \theta)$ & $\cos(k_0 n \cos \theta)$

subject to $U' = i k_0 \mu V, \quad V' = i k_0 \left(\epsilon - \frac{\alpha^2}{\mu} \right) U = i k_0 \epsilon \cos \theta U$

$$U(z) = A \cos(k_0 n z \cos \theta) + B \sin(k_0 n z \cos \theta)$$

$$V(z) = \frac{1}{2} \sqrt{\frac{\epsilon}{\mu}} \cos \theta [B \cos(k_0 n z \cos \theta) - A \sin(k_0 n z \cos \theta)]$$

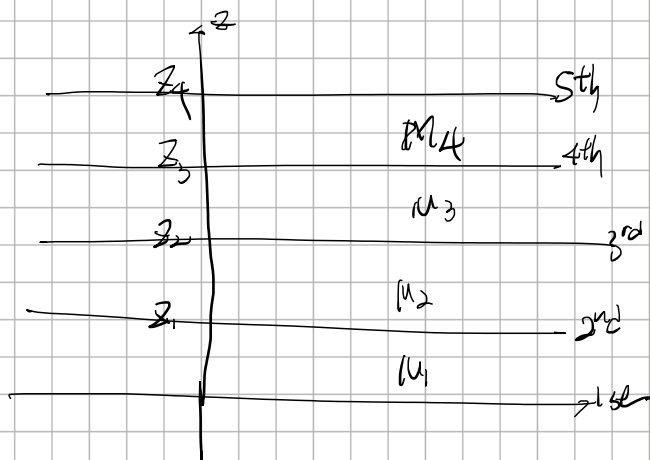
Particular solutions with $V_1(0) = 1, V_2(0) = 0$
 $U_1(0) = 0, U_2(0) = 1$ $\& \begin{vmatrix} U_1 & U_2 \\ V_1 & V_2 \end{vmatrix} = \text{const.}$

$$V_1 = \cos(k_0 n z \cos \theta), \quad V_2 = \frac{1}{2} \sqrt{\frac{\epsilon}{\mu}} \cos \theta \sin(k_0 n z \cos \theta)$$

$$U_1 = \frac{1}{\cos \theta} \sqrt{\frac{\mu}{\epsilon}} \sin(k_0 n z \cos \theta), \quad U_2 = \cos(k_0 n z \cos \theta)$$

$$\text{Then } \overleftrightarrow{M} = \begin{bmatrix} \cos(k_0 n z \cos \theta) & -\frac{1}{p} \sin(k_0 n z \cos \theta) \\ -ip \sin(k_0 n z \cos \theta) & \cos(k_0 n z \cos \theta) \end{bmatrix} = \begin{bmatrix} \cos \beta & -\frac{1}{p} \sin \beta \\ -ip \sin \beta & \cos \beta \end{bmatrix}$$

$$p = \sqrt{\frac{\epsilon}{\mu}} \cos \theta, \quad \beta = k_0 n z \cos \theta \quad = \begin{bmatrix} m_{11} & m_{12} \\ m_{21} & m_{22} \end{bmatrix}$$



$$Q_0 = M_1(z_1) Q(z_1)$$

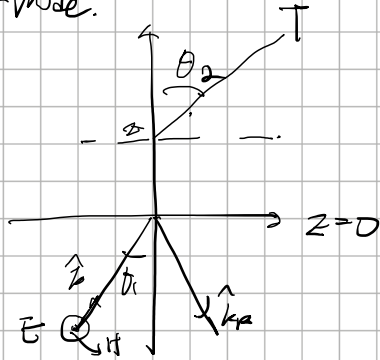
$$Q_1(z) = M_2(z_2 - z_1) Q(z_1)$$

$$Q = M_1(z_1) M_2(z_2 - z_1) Q_1(z_1)$$

$$Q = M_1(z_1) M_2(z_2 - z_1) \dots M_N(z_N - z_{N-1}) Q(z_N)$$

Reflectivity & Transmittance

TE mode.



$$\vec{E}_{in} = A\hat{x}, \quad \vec{E}_r = R\hat{x}$$

$$\vec{H} = \int \frac{1}{\omega} \vec{k} \times \vec{E}, \quad \vec{k}_i = \cos\theta_i \hat{z} - \sin\theta_i \hat{y}$$

$\mu=1$ for convenience

$$V_o = V(z=0) = \vec{E}_{in}(z=0) + \vec{E}_r(z=0) = A + R$$

$$V_o = V(z=0) = H_{y,in}(z=0) + H_{y,r}(z=0)$$

$$= P(A-R)$$

$$P_i = n_1 \cos\theta_i$$

$$V(z) = T, \quad V(z) = PT, \quad P = n_1 \cos\theta_i, \quad Q_o = n_2 R$$

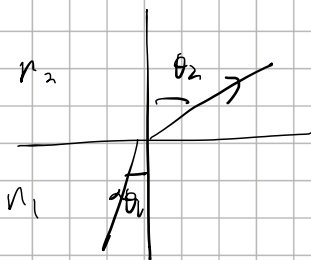
$$\begin{pmatrix} A+R \\ P_1(A-R) \end{pmatrix} = Q \begin{pmatrix} T \\ P_2 T \end{pmatrix}$$

$$r = \frac{R}{A} = \frac{(m_{11} + m_{12}P)P_1 - (m_{21} + m_{22}P)}{(m_{11} + m_{12}P)P_1 + (m_{21} + m_{22}P)}$$

$$t = \frac{2P_1}{1+r}$$

$$R = |r|^2, \quad T = \frac{P}{P_1} |t|^2$$

i) One interface



$$\text{TE mode } p_2 = n_2 \cos\theta_2, \quad p_1 = n_1 \cos\theta_1, \quad \beta = \epsilon_0 n_2^2 \cos\theta_2 = 0 \quad z=0.$$

$$r_{12} = \frac{p_1 - p_2}{p_1 + p_2} = \frac{n_1 \cos\theta_1 - n_2 \cos\theta_2}{n_1 \cos\theta_1 + n_2 \cos\theta_2}$$

$$t_{12} = \frac{2p_1}{p_1 + p_2} = \frac{2n_1 \cos\theta_1}{n_1 \cos\theta_1 + n_2 \cos\theta_2}$$

the same as Fresnel's formula,