# Advanced Optics (PHYS690)

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POHANG UNIVERSITY OF SCIENCE AND TECHNOLOGY, KOREA



# Syllabus



- Goal: This course covers the various topics in the modern optics.
- Topics: Gaussian beam optics, LASER, polarization, electro-optics, nonlinear optics, Fourier optics, Guided wave optics, and other applications

#### Lecturer

- Prof. Heedeuk Shin (<a href="mailto:heedeukshin@postech.ac.kr">heedeuk Shin (<a href="mailto:heedeukshin@postech.ac.kr">heedeuk Shin (<a href="mailto:heedeukshin@postech.ac.kr">heedeukshin@postech.ac.kr</a>)
- Bldg 3, room 421

#### Grading

- Homework 30%

- Mid term 30%

- Final 40%

#### • Textbook:

- "Fundamentals of Photonics" by Saleh & Teich (Wiley, 2007)
- "Nonlinear Optics" by Robert W. Boyd (Academic press, 2008)
- "Introduction to Fourier Optics" by Goodman (McGraw-Hill, 1996)





Tuesday & Thursday

Officially 09:30 ~ 10:45,

How about 09:30 ~ 11:00?

Then no need to have make-up class

No class at 2/21 (광학회), 4/25(물리학회)



# Syllabus



#### • Schedule:

- 1. Gaussian optics (2/21 No class)
- 2. Gaussian optics/LASER
- 3. LASER
- 4. Polarization optics
- 5. Guided-wave optics
- 6. Interference
- 7. Electro-optical effects and acousto-optical effects
- 8. Mid-term exam
- 9. Fourier optics
- 10. Fourier optics (4/25 No class)
- 11. Nonlinear Optics 2
- 12. Nonlinear Optics 3
- 13. Nonlinear Optics 4
- 14. Nonlinear Optics 5
- 15. Nonlinear Optics 6
- 16. Final exam





Introduction to optics

Gaussian beam

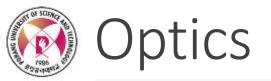
Through a lens

**ABCD Law** 

Knife edge technique



# Introduction



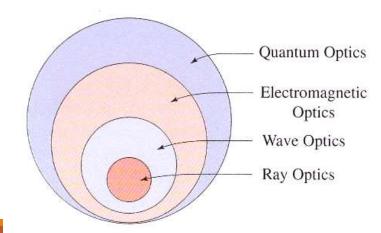


Optics is the study field of the behavior and properties of light.

 Most optical phenomena can be understood by using the classical electromagnetics.



Archimedes' mirror used to burn Roman ships





## Optics vs Photonics?





**Optics** 

versus

**Photonics** 



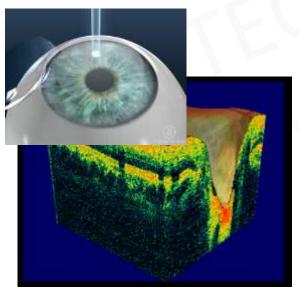
- Optics: the study field of the behavior and properties of light.
- Photonics: the science of light (photon) generation, detection, and manipulation through emission, transmission, modulation, signal processing, switching, amplification, and detection/sensing.
- Photonics is closely related to Optics.
- Photonics is used to connote applied research and development.

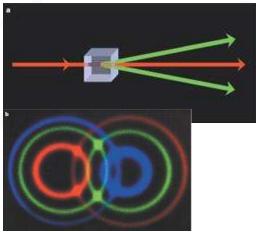


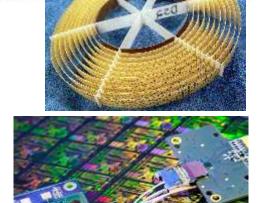
# Photonics

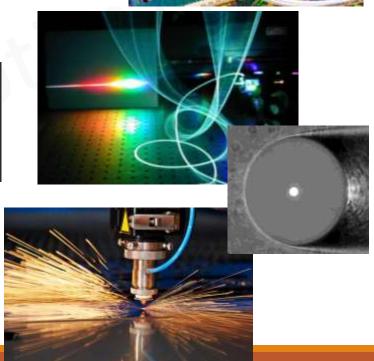
The term *photonics* more specifically connotes:

- The particle properties of light,
- The potential of creating signal processing device technologies using photons,
- The practical application of optics, and
- An analogy to electronics.











# Gaussian Beam optics



## Gaussian beam



- •A **Gaussian beam** is a beam of monochromatic electromagnetic radiation.
- •Its transverse magnetic and electric field amplitude profiles are given by the Gaussian function
- •The fundamental (or  $\mathsf{TEM}_{00}$ ) transverse Gaussian mode describes the intended output of most (but not all) lasers, as such a beam can be focused into the most concentrated spot.
- •The mathematical expression for the electric field amplitude is a solution to the paraxial Helmholtz equation.



## Gaussian beam

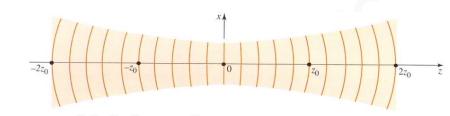


ullet complex amplitude  $U(oldsymbol{r})$  of the Gaussian beam:

$$U(\mathbf{r}) = A_0 \frac{W_0}{W(z)} \exp\left[-\frac{\rho^2}{W^2(z)}\right] \exp\left[-jkz + j\zeta(z)\right] \exp\left[-jk\frac{\rho^2}{2R(z)}\right],$$

• 
$$W(z) = W_0 \sqrt{1 + \left(\frac{z}{z_0}\right)^2}$$
: beam width

• 
$$R(z) = z \left[ 1 + \left( \frac{z_0}{z} \right)^2 \right]$$
: radius of curvature



- $\zeta(z) = \tan^{-1} \frac{z}{z_0}$ : phase retardation
- $W_0 = \sqrt{\frac{\lambda z_0}{\pi}}$ : waist radius
- $z_0 = \frac{\pi n W_0^2}{\lambda_0}$ : Rayleigh length

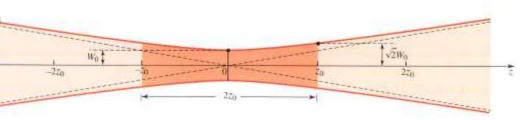
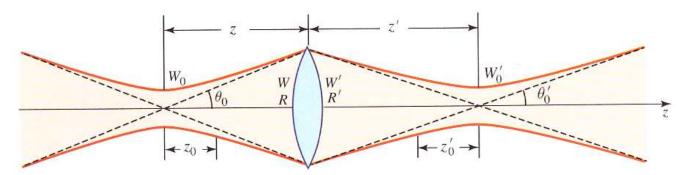


Figure 3.1-4 Depth of focus of a Gaussian beam.

$$I(\rho, \mathbf{z}) = |U(\mathbf{r})|^2 = I_0 \left[ \frac{W_0}{W(\mathbf{z})} \right]^2 \exp \left[ -\frac{2\rho^2}{W^2(\mathbf{z})} \right],$$

# Through a thin lens





**Figure 3.2-1** Transmission of a Gaussian beam through a thin lens.

- Waist radius
- Waist location
- Depth of focus
- Divergence angle
- Magnification

$$W_0' = MW_0$$

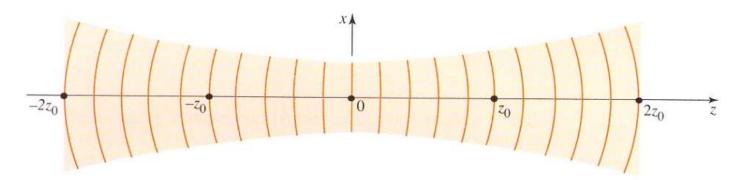
$$(z'-f) = M^2(z-f)$$

$$2z_0' = M^2(2z_0)$$

$$2\theta_0' = \frac{2\theta_0}{M}$$

$$M=\frac{M_r}{\sqrt{1+r^2}},$$

where  $r = \frac{z_0}{z-f}$  and  $M_r = \left| \frac{f}{z-f} \right|$ : parameter transformation by a lens



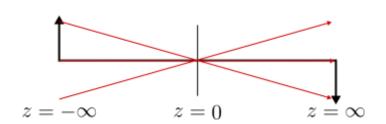
$$U(\mathbf{r}) = A_0 A_0 \frac{W_0}{W(z)} \exp\left[-\frac{\rho^2}{W^2(z)}\right] \exp\left[-jkz + j\zeta(z)\right] \exp\left[-jk\frac{\rho^2}{2R(z)}\right]$$

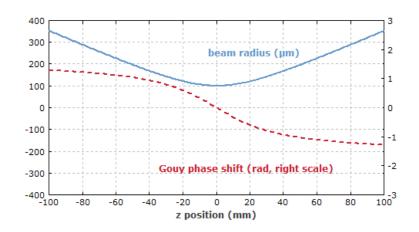
$$\exp\left[-jkz - jk\frac{\rho^2}{2R(z)} + j\zeta(z)\right]$$

Plane wave

Wavefront bending

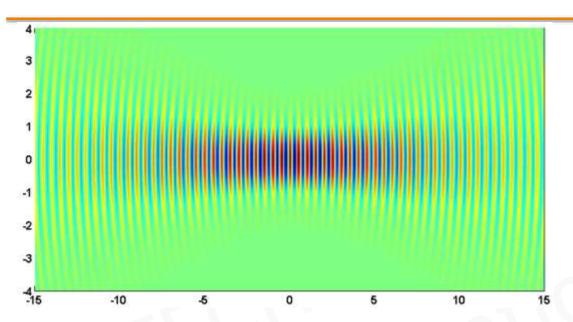
Gouy phase





a axial phase shift when passing through its focus.

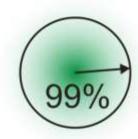




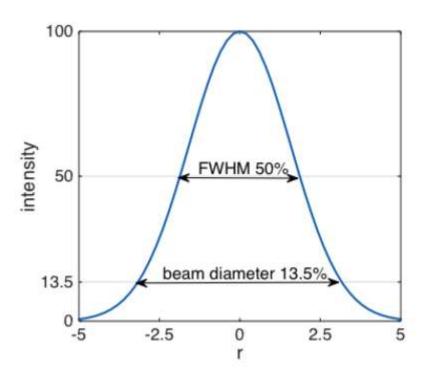
Beam power

$$P = \int_0^\infty I(r, z) 2\pi r \, dr$$

$$P = \frac{1}{2}I_0\pi w_0^2 \text{ [Watt]}$$



 $r=1.5\omega(z)$ 



Intensity drops by factor  $e^{-2}$ 

$$^{1}/_{e^{2}} \sim 0.135 = 13.5\%$$

$$I(r,z) = I_0 \left[ \frac{w_0}{w(z)} \right]^2 e^{-\frac{2r^2}{w(z)^2}}$$

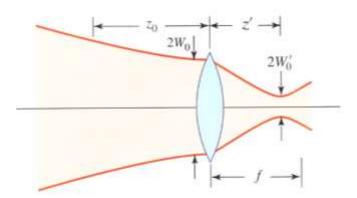


## Beam Focusing



Focusing a Gaussian beam with a lens at the beam waist

$$W_0' = \frac{W_0}{\sqrt{1 + (z_0 / f)^2}}$$
$$z' = \frac{f}{1 + (f/z_0)^2}$$

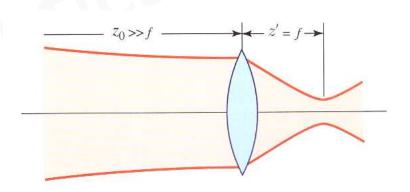


• When 
$$z_0 \gg f$$
, using  $2z_0 = \frac{2\pi W_0^2}{\lambda}$ 

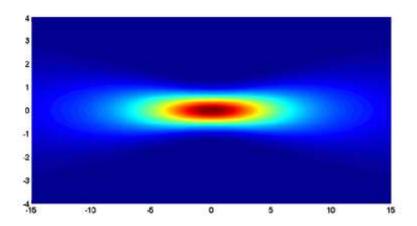
$$W'_0 \approx \frac{f}{z_0} W_0 = \frac{\lambda}{\pi W_0} f = \theta_0 f$$

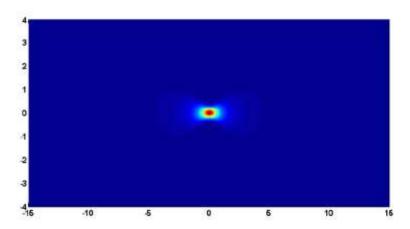
$$z' \approx f$$

$$D = 2W_0$$



$$W_0' \approx \frac{4}{\pi} \lambda F_{\#}$$
, where  $F_{\#} = \frac{f}{D}$ : F-number of the lens





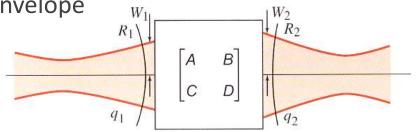


## ABCD law



amplitude and phase of the complex envelope

$$\frac{1}{q(z)} = \frac{1}{R(z)} - j \frac{\lambda}{\pi W^2(z)}$$



 $R(z) = z \left[ 1 + \left( \frac{z_0}{z} \right)^2 \right]$ 

- q- parameter
- The Gaussian beam propagation can be described with matrix optics.

$$q_2(z) = \frac{Aq_1 + B}{Cq_1 + D}$$

Free space propagation ABCD

$$\begin{bmatrix} 1 & d \\ 0 & 1 \end{bmatrix} \qquad \Rightarrow \qquad q_2 = q_1 + d \qquad (\because q = z + jz_0)$$

Thin lens ABCD

$$\begin{bmatrix} 1 & 0 \\ -\frac{1}{f} & 1 \end{bmatrix}$$

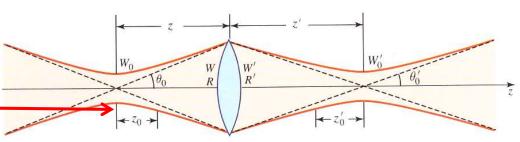


### ABCD law



Example of ABCD law

 $q_1$ 



Transmission of a Gaussian beam through a thin lens. Figure 3.2-1

Where is the waist and how big is it?

$$\begin{bmatrix} A & B \\ C & D \end{bmatrix} = \begin{bmatrix} 1 & z_2 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ -\frac{1}{f} & 1 \end{bmatrix} \begin{bmatrix} 1 & z_1 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 - \frac{z_2}{f} & z_1 + z_2 - \frac{z_1 z_2}{f} \\ \frac{-1}{f} & 1 \end{bmatrix}$$

$$q_2(z) = \frac{Aq_1 + B}{Cq_1 + D} = \begin{bmatrix} \frac{1}{R_2(z_2)} - j\frac{\lambda}{\pi W_2^2(z_2)} \end{bmatrix}^{-1}$$

$$\frac{1}{W_2^2(z_2)} = \frac{1}{W_1^2(z_1)} \left(1 - \frac{z_1}{f}\right)^2 + \left(\frac{\pi W_1^2(z_1)}{f\lambda}\right)^2$$

$$z_2 = f + \frac{(z_1 - f)f^2}{(z_1 - f)^2 + \left(\frac{\pi W_1^2(z_1)}{\lambda}\right)^2}$$
Minimum spot size does not occur in the lens focal plan

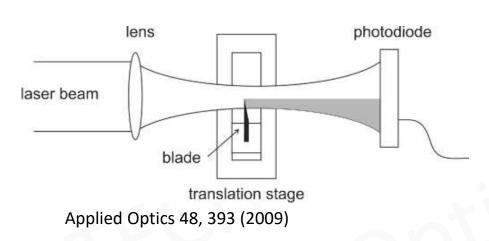
Minimum spot size does not occur in the lens focal plane.

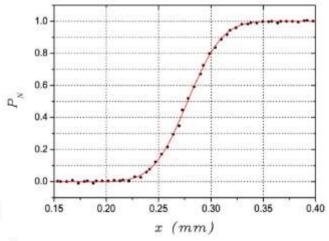


# Knife edge technique



Measures of Gaussian beam waist at position z





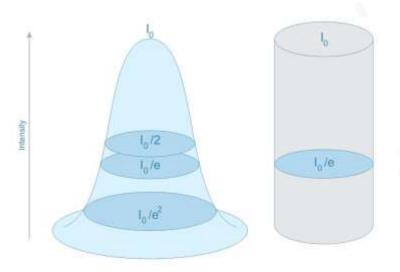
$$X_{90\%-10\%} = 1.28W$$



# Examples



<b>\</b> /		•	1
Vei	rdı	series	laser



Gaussian shape beam profile

Flat-top beam profile

Verdi	G10
Wavelength (nm)	532 ±2
Pulse Format	CW
Spectral Purity (%)	>99
Output Power (W)	10 <sup>2</sup>
Spatial Mode	TEMOO
Beam Quality	<1.1
Beam Circularity <sup>3</sup>	1.0 ±0.1
Beam Waist Diameter (mm)(FW, 1/e²)	2.25 ±10%
Beam Divergence (mrad)(FW, 1/e <sup>2</sup> )	<0.5
Beam Waist Location <sup>4</sup> (m)	±0.5
Beam Pointing Stability <sup>5</sup> (µrad/°C)	<2
Horizontal Beam Position Tolerance <sup>6</sup> (mm)	±<1.0
Vertical Beam Position Tolerance <sup>6</sup> (mm)	±<1.0
Polarization Ratio	Linear, >100:1
Polarization Direction	Vertical, ±5°
Noise (%, rms)(10 Hz to 100 MHz)	<0.02
Power Stability <sup>7</sup> (%)(pk-pk)	±<1
Warm-Up Time (minutes)	<10
CDRH Compliant	Yes



# Examples



COHERENT.

Verdi series laser

• Power: 10 W

• Area:  $\pi(\frac{D}{2})^2 = \pi(\frac{2.25 \ [mm]}{2})^2$ 

• fs laser

Verdi G-Series Fan	nily
	ductor Lasers
10 W	
1040	
80	
140	
<0.25	
±0.5	
<1.2	
1.2 (±0.2)	
0.8 to 1.2	
<10	
100:1 Horizontal	_
	1040 80 140 <0.25 ±0.5 <1.2 1.2 (±0.2) 0.8 to 1.2

#### Fidelity HP

High Power Femtosecond Fiber Laser





# Laser beam quality factor for SCIENCE AND TECHNOLOGY

- M<sup>2</sup> is the formalism for a laser beam quality factor.
- M<sup>2</sup> indicates how close it is to being a fundamental-mode Gaussian beam.
- The focused spot diameter of a Gaussian beam is defined by

$$d_{00} = \frac{4\lambda f}{\pi D_{00}}$$

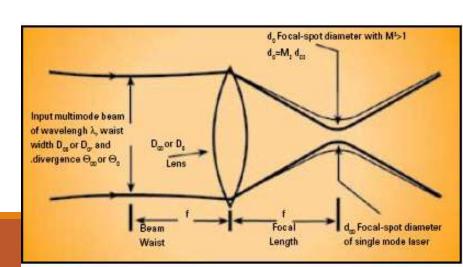
where  $d_{00}$ : the ideal focused spot diameter

f: the focal length of the focusing lens

 $D_{00}$ : the input beam waist

When a multimode beam is focused,

$$d_0 = M^2 \frac{4\lambda f}{\pi D_0}$$





# Other solutions of Helman of Helman

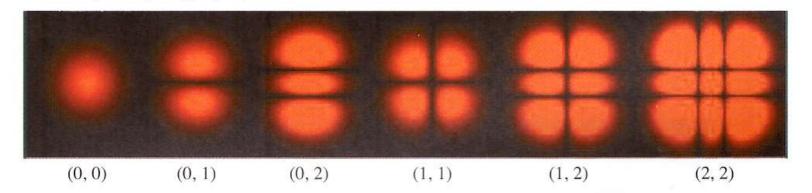
- Another solution of the paraxial Helmholtz equation:
- Hermite-Gaussian beam

$$U_{l,m}(x,y,z) = A_{l,m} \left[ \frac{W_0}{W(z)} \right] G_l \left[ \frac{\sqrt{2}x}{W(z)} \right] G_m \left[ \frac{\sqrt{2}y}{W(z)} \right]$$

$$\times \exp\left[-jkz - jk\frac{x^2 + y^2}{2R(z)} + j(l+m+1)\zeta(z)\right]$$

where 
$$G_l(u) = H_l(u) \exp(\frac{-u^2}{2})$$
,  $l = 0,1,2,...$ 

Hermite-Gaussian function of order l, and  $A_{l,m}$  is a constant.

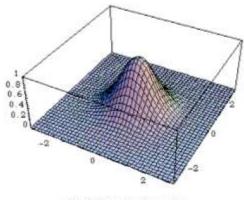




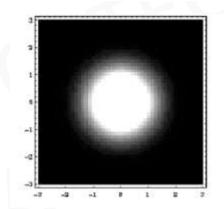
## Hermit-Gaussian

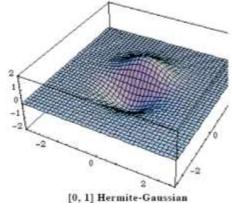


#### Complex amplitude (arb. units)

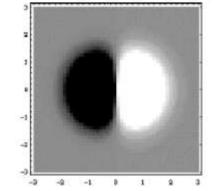


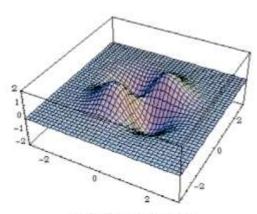
[0, 0] Hermite-Gaussian



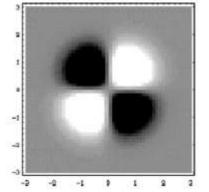


[0, 1] Hermite-Gaussia





[1, 1] Hermite-Gaussian





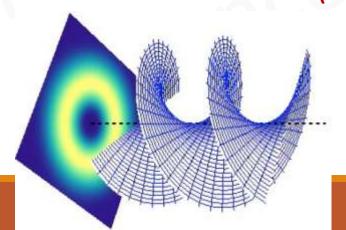
# Other solutions of Helmhontzeeq

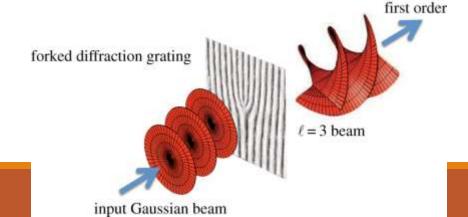
#### Laguerre-Gaussian beam

$$U_{l,m}(\rho,\phi,z) = A_{l,m} \left[ \frac{W_0}{W(z)} \right] \left( \frac{\rho}{W(z)} \right)^l \mathcal{L}_m^l \left( \frac{2\rho^2}{W^2(z)} \right) \exp\left( -\frac{\rho^2}{W^2(z)} \right)$$

$$\times \exp\left[-jkz - jk\frac{\rho^2}{2R(z)} - jl\phi + j(l+2m+1)\zeta(z)\right]$$

where  $L_m^l(u)$ : the generalized Laguerre polynomial function Orbital angular momentum (OAM)



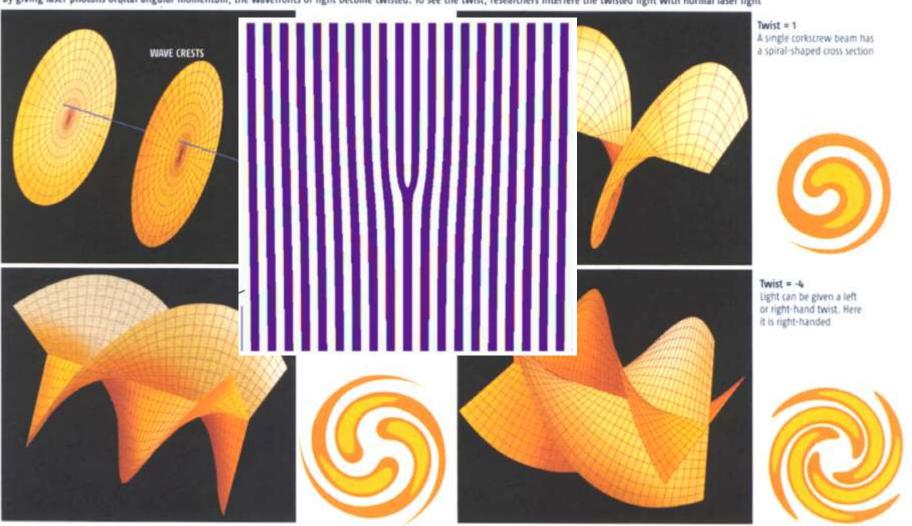




# Beams with angular momentum

#### CORKSCREW LIGHT

By giving laser photons orbital angular momentum, the wavefronts of light become twisted. To see the twist, researchers interfere the twisted light with normal laser light





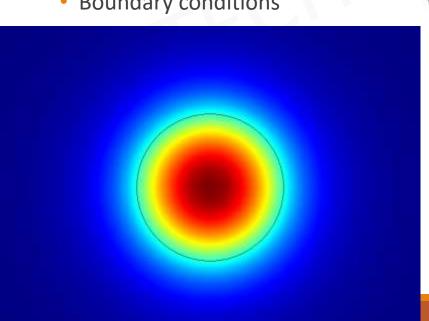
## Optical fiber mode

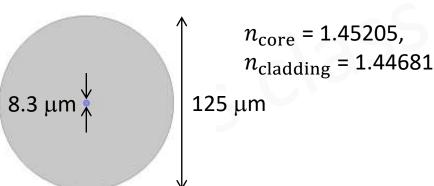


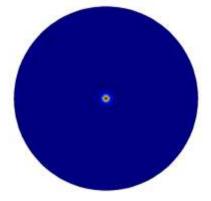
- Modes in optical fiber
  - Definition of mode: self-consistent electric field distributions in waveguides, optical resonators or in free space.

• In other words, its transverse intensity profile or the distribution of light energy across the fiber.

- What determines modes?
  - **Boundary conditions**





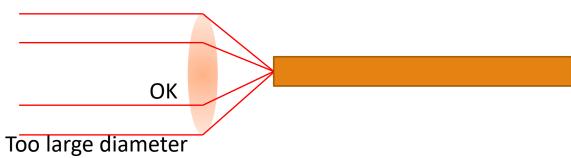




# Coupling light to fiber



• NA of lens < NA of fiber



Mode size matching

$$MFD = \emptyset_{spot} = \frac{4\lambda f}{\pi D}$$
, or  $f = \frac{\pi D(MFD)}{4\lambda}$