

Persistent coding of outcome-predictive cue features in the rat nucleus accumbens.

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1 Abstract

2 The nucleus accumbens (NAc) is important for learning from feedback and for biasing and invigorating
3 behavior in response to cues that predict motivationally relevant outcomes. NAc encodes outcome-related
4 cue features such as the magnitude and identity of reward. However, little is known about how features
5 of cues themselves are encoded. We designed a decision making task where rats learned multiple sets of
6 outcome-predictive cues, and recorded single-unit activity in the NAc during performance. We found that
7 coding of various cue features occurred alongside coding of expected outcome. Furthermore, this coding
8 persisted both during a delay period, after the rat made a decision and was waiting for an outcome, and
9 after the outcome was revealed. Encoding of cue features in the NAc may enable contextual modulation
10 of ongoing behavior, and provide an eligibility trace of outcome-predictive stimuli for updating stimulus-
11 outcome associations to inform future behavior.

12 Introduction

13 Theories of nucleus accumbens (NAc) function generally agree that this brain structure contributes to moti-
14 vated behavior, with some emphasizing a role in learning from reward prediction errors (RPEs) (Averbeck &
15 Costa 2017; Joel, Niv, & Ruppin 2002; Khamassi & Humphries 2012; Lee, Seo, & Jung 2012; Maia 2009;
16 Schultz 2016; see also the addiction literature on effects of drug rewards; Carelli 2010; Hyman, Malenka,
17 & Nestler 2006; Kalivas & Volkow 2005) and others a role in the modulation of ongoing behavior through
18 stimuli associated with motivationally relevant outcomes (invigorating, directing; Floresco, 2015; Nicola,
19 2010; Salamone & Correa, 2012). These proposals echo similar ideas on the functions of the neuromod-
20 ulator dopamine (Berridge, 2012; Maia, 2009; Salamone & Correa, 2012; Schultz, 2016), with which the
21 NAc is tightly linked functionally as well as anatomically (Cheer et al., 2007; du Hoffmann & Nicola, 2014;
22 Ikemoto, 2007; Takahashi, Langdon, Niv, & Schoenbaum, 2016).

23 Much of our understanding of NAc function comes from studies of how cues that predict motivationally rel-
24 evant outcomes (e.g. reward) influence behavior and neural activity in the NAc. Task designs that associate
25 such cues with rewarding outcomes provide a convenient access point eliciting conditioned responses such as
26 sign-tracking and goal-tracking (Hearst & Jenkins, 1974; Robinson & Flagel, 2009), pavlovian-instrumental
27 transfer (Estes, 1943; Rescorla & Solomon, 1967) and enhanced response vigor (Nicola, 2010; Niv, Daw,
28 Joel, & Dayan, 2007), which tend to be affected by NAc manipulations (Chang, Wheeler, & Holland 2012;
29 Corbit & Balleine 2011; Flagel et al. 2011; although not always straightforwardly; Chang & Holland 2013;
30 Giertler, Bohn, & Hauber 2004). Similarly, analysis of RPEs typically proceeds by establishing an associa-
31 tion between a cue and subsequent reward, with NAc responses transferring from outcome to the cue with
32 learning (Day, Roitman, Wightman, & Carelli, 2007; Roitman, Wheeler, & Carelli, 2005; Schultz, Dayan, &
33 Montague, 1997; Setlow, Schoenbaum, & Gallagher, 2003).

34 Surprisingly, although substantial work has been done on the coding of outcomes predicted by such cues
35 (Atallah, McCool, Howe, & Graybiel, 2014; Bissonette et al., 2013; Cooch et al., 2015; Cromwell & Schultz,

36 2003; Day, Wheeler, Roitman, & Carelli, 2006; Goldstein et al., 2012; Hassani, Cromwell, & Schultz, 2001;
37 Hollerman, Tremblay, & Schultz, 1998; Lansink et al., 2012; McGinty, Lardeux, Taha, Kim, & Nicola,
38 2013; Nicola, 2004; Roesch, Singh, Brown, Mullins, & Schoenbaum, 2009; Roitman et al., 2005; Saddoris,
39 Stamatakis, & Carelli, 2011; Schultz, Apicella, Scarnati, & Ljungberg, 1992; Setlow et al., 2003; Sugam,
40 Saddoris, & Carelli, 2014; West & Carelli, 2016), much less is known about how outcome-predictive cues
41 themselves are encoded in the NAc (but see; Sleezer, Castagno, & Hayden, 2016). This is an important issue
42 for at least two reasons. First, in reinforcement learning, motivationally relevant outcomes are typically
43 temporally delayed relative to the cues that predict them. In order to solve the problem of assigning credit
44 (or blame) across such temporal gaps, some trace of preceding activity needs to be maintained (Lee et al.,
45 2012; Sutton & Barto, 1998). For example, if you become ill after eating food X in restaurant A, depending
46 on if you remember the identity of the restaurant or the food at the time of illness, you may learn to avoid
47 all restaurants, restaurant A only, food X only, or the specific pairing of X-in-A. Therefore, a complete
48 understanding of what is learned following feedback requires understanding what trace is maintained. Since
49 NAc is a primary target of DA signals interpretable as RPEs, and NAc lesions impair RPEs related to timing,
50 its activity trace will help determine what can be learned when RPEs arrive (Hamid et al., 2015; Hart,
51 Rutledge, Glimcher, & Phillips, 2014; Ikemoto, 2007; McDannald, Lucantonio, Burke, Niv, & Schoenbaum,
52 2011; Takahashi et al., 2016). Similarly, in an economic framework, in order to maximize utility a rational
53 agent selects the offer with the largest subjective value (Samuelson, 1947). NAc has been implicated as
54 containing representations of this domain-general subjective value (Bartra, McGuire, & Kable, 2013; Levy
55 & Glimcher, 2012; Peters & Büchel, 2009; Sescousse, Li, & Dreher, 2015), and having representations of
56 an offer alongside its subjective value could be one way in which the two are linked.

57 Second, for ongoing behavior, the relevance of cues typically depends on context. In experimental set-
58 tings, context may include the identity of a preceding cue, spatial or configural arrangements (Bouton, 1993;
59 Holland, 1992; Honey, Iordanova, & Good, 2014), and unsignaled rules as occurs in set shifting and other
60 cognitive control tasks (Cohen & Servan-Schreiber, 1992; Floresco, Ghods-Sharifi, Vexelman, & Magyar,
61 2006; Grant & Berg, 1948; Sleezer et al., 2016). In such situations, the question arises how selective, context-

62 dependent processing of outcome-predictive cues is implemented. For instance, is there a gate prior to NAc
63 such that only currently relevant cues are encoded in NAc, or are all cues represented in NAc but their current
64 values dynamically updated (FitzGerald, Schwartenbeck, & Dolan, 2014; Goto & Grace, 2008; Sleezer et
65 al., 2016). Representation of cue identity would allow for context-dependent mapping of outcomes predicted
66 by specific cues.

67 Thus, both from a learning and a flexible performance perspective, it is of interest to determine how cue
68 identity is represented in the brain, with NAc of particular interest given its anatomical and functional po-
69 sition at the center of motivational systems. We sought to determine whether cue identity is represented in
70 the NAc, if cue identity is represented alongside other motivationally relevant variables, such as cue value,
71 and if these representations are maintained after a behavioral decision has been made (Figure 1). To address
72 these questions, we recorded the activity of NAc units as rats performed a task in which multiple, distinct
73 sets of cues predicted the same outcome.

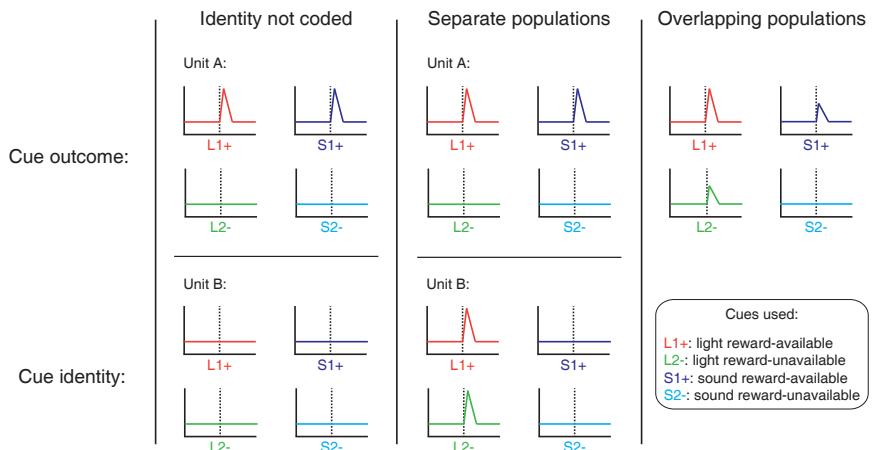
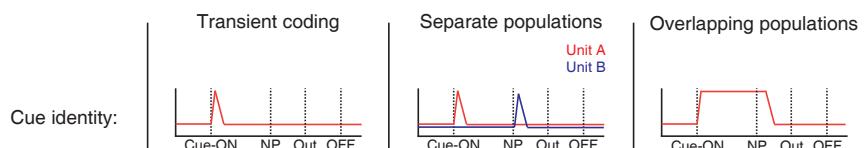
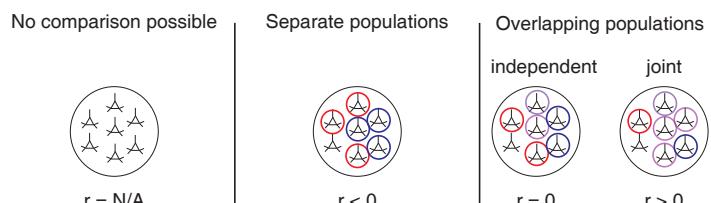
A**Presence of cue feature coding****B****Persistence of cue feature coding****C****Quantification of coding across units and time epochs**

Figure 1: Schematic of potential coding strategies for cue identity (light, sound) and cue outcome (reward-available, reward-unavailable) employed by single units in the NAc across different units (A) and in time (B).

A: Displayed are schematic PETHs illustrating putative responses to different cues under different hypotheses of how cue identity and outcome are coded. Left panel: Coding of cue identity is absent in the NAc. Top: Unit A encodes a motivationally relevant variable, such as expected outcome, similarly across other cue features, such as cue identity or physical location. Hypothetical plot is firing rate across time. L1+ (red) signifies a reward-available light cue, S1+ (navy blue) a reward-available sound cue, L2- (green) a reward-unavailable light cue, S2- (light blue) a reward-unavailable sound cue. Dashed line indicates onset of cue. Bottom: No units within the NAc discriminate their firing according to cue identity. Middle panel: Coding of cue identity occurs in a separate population of units from encoding of motivationally relevant variables such as expected outcome or subsequent vigor. Top: Same as left panel, with unit A discriminating between reward-available and reward-unavailable cues. Bottom: Unit B discriminates firing across stimulus modalities, depicted here as firing to light cues but not sound cues. Right panel: Coding of cue identity occurs in an overlapping population of cells with coding of other motivationally relevant variables. Hypothetical example demonstrating a unit that responds to outcome-predictive cues, but firing rate is also modulated by cue identity, firing most for the reward-available light cue.

B: Displayed are schematic PETHs illustrating potential ways in which cue identity signals may persist over time. Left panel: Cue-onset triggers a transient response to a unit that codes for cue identity. Dashed lines indicate time of a behavioral or environmental event. 'Cue-ON' signifies onset of cue, 'NP' signifies when the rat holds a nosepoke at a reward receptacle, 'Out' signifies when the outcome is revealed, 'OFF' signifies when the cue turns off. Middle and right panel: Coding of cue identity persists at other time points, shown here during a nosepoke hold period until outcome is revealed. Coding can either be maintained by a sequence of units (middle panel) or by the same unit as during cue-onset (right panel).

Figure 1: (Previous page.) **C:** Schematic pool of NAc units, illustrating the different outcomes for each of the analyses. R values represent the correlation between sets of regression coefficients (see text for analysis details). Left panel: Cue identity is not coded (A: left panel), or is only transiently represented in response to the cue (B: left panel). Middle panel: Negative correlation ($r < 0$) suggests that cue identity and cue outcome are represented by separate populations of units (A: middle panel), or cue identity is represented by distinct units among different points in a trial (B: middle panel). Red circles represents coding for one cue feature or epoch, blue circles for the other cue feature or epoch. Right panel: Cue identity and cue outcome (A: right panel), or cue identity at cue-onset and nosepoke (B: right panel) are represented by overlapping populations of units, shown here by the purple circles. The absence of a correlation ($r \sim 0$) suggests that the overlap of cue identity and cue outcome, or cue-onset and nosepoke coding, is expected by chance and that the two cue features are coded independently from one another. A positive correlation ($r > 0$) implies a higher overlap than expected by chance, suggesting joint coding. Note: The same hypotheses apply to other aspects of the environment when the cue is presented, such as the physical location of the cue, as well as other time epochs within the task, such as when the animal receives feedback about an approach.

74 **Results**

75 **Behavior**

76 Rats were trained to discriminate between cues signaling the availability and absence of reward on a square
77 track with four identical arms for two distinct set of cues (Figure 2). During each session, rats were pre-
78 sented sequentially with two behavioral blocks containing cues from different sensory modalities, a light and
79 a sound block, with each block containing a cue that signalled the availability of reward (reward-available),
80 and a cue that signalled the absence of reward (reward-unavailable). To maximize reward receipt, rats should
81 approach reward sites on reward-available trials, and skip reward sites on reward-unavailable trials (see Fig-
82 ure 3A for an example learning curve). All four rats learned to discriminate between the reward-available
83 and reward-unavailable cues for both the light and sound blocks as determined by reaching significance ($p <$
84 .05) on a daily chi-square test comparing approach behavior for reward-available and reward-unavailable
85 cues for each block, for at least three consecutive days (range for time to criterion: 22 - 57 days). Mainte-
86 nance of behavioral performance during recording sessions was assessed using linear mixed effects models
87 for both proportion of trials where the rat approached the receptacle, and trial length. Analyses revealed
88 that the likelihood of a rat to make an approach was influenced by whether a reward-available or reward-
89 unavailable cue was presented, but was not significantly modulated by whether the rat was presented with a

90 light or sound cue (Percentage approached: light reward-available = 97%; light reward-unavailable = 34%;
91 sound reward-available = 91%; sound reward-unavailable 35%; cue identity $p = .115$; cue outcome $p < .001$;
92 Figure 3B). A similar trend was seen with the length of time taken to complete a trial (Trial length: light
93 reward-available = 1.85 s; light reward-unavailable = 1.74 s; sound reward-available = 1.91 s; sound reward-
94 unavailable 1.78 s; cue identity $p = .106$; cue outcome $p < .001$; Figure 3C). Thus, during recording, rats
95 successfully discriminated the cues according to whether or not they signaled the availability of reward at
96 the reward receptacle.

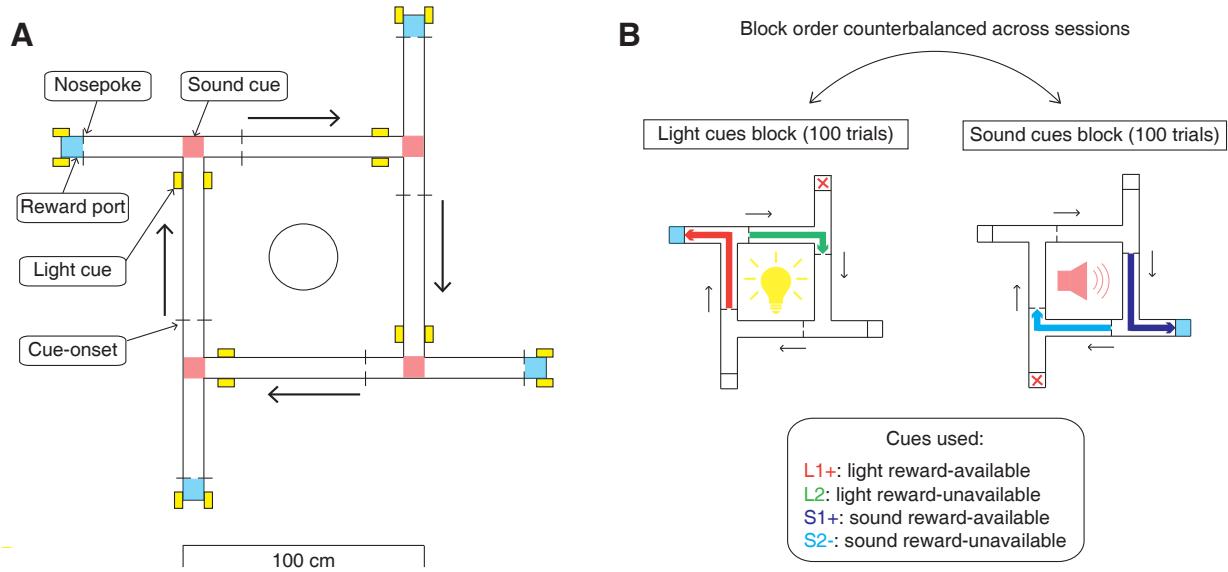


Figure 2: Schematic of behavioral task. **A:** To scale depiction of square track consisting of multiple identical T-choice points. At each choice point, the availability of 12% sucrose reward at the nearest reward receptacle (light blue fill) was signaled by one of four possible cues, presented when the rat initiated a trial by crossing a photobeam on the track (dashed lines). Photobeams at the ends of the arms by the receptacles registered Nosepokes (solid lines). Rectangular boxes with yellow fill indicate location of LEDs used for light cues. Speakers for tone cues were placed underneath the choice points, indicated by magenta fill on track. Arrows outside of track indicate correct running direction. Circle in the center indicates location of pedestal during pre- and post-records. Scale bar is located beneath the track. **B:** Progression of a recording session. A session was started with a 5 minute recording period on a pedestal placed in the center of the apparatus. Rats then performed the light and sound blocks of the cue discrimination task in succession for 100 trials each, followed by another 5 minute recording period on the pedestal. Left in figure depicts a light block, showing an example trajectory for a correct reward-available (approach trial; red) and reward-unavailable (skip trial; green) trial. Right in figure depicts a sound block, with a reward-available (approach trial; navy blue) and reward-unavailable (skip trial; light blue) trial. Ordering of the light and sound blocks was counterbalanced across sessions. Reward-available and reward-unavailable cues were presented pseudo-randomly, such that not more than two of the same type of cue could be presented in a row. Location of the cue on the track was irrelevant for behavior, all cue locations contained an equal amount of reward-available and reward-unavailable trials.

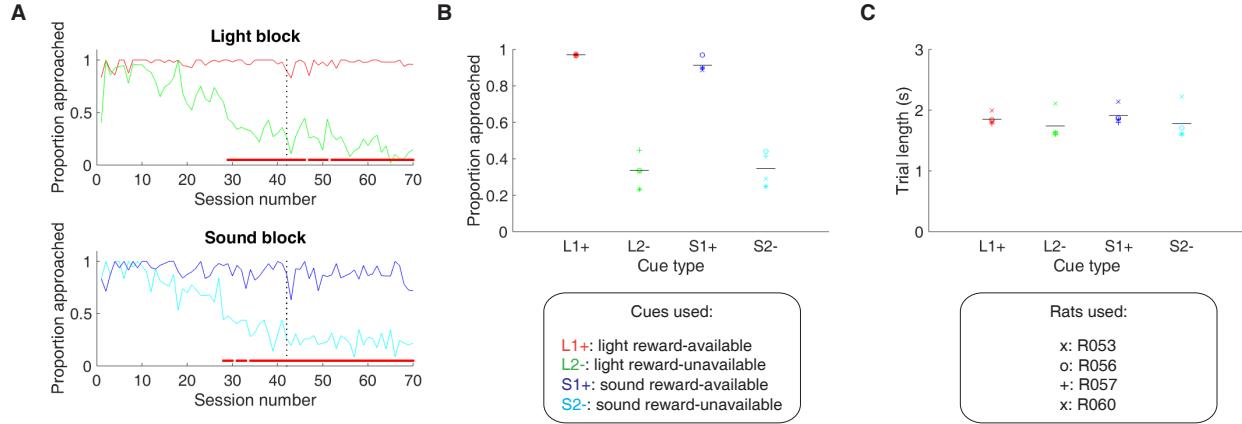


Figure 3: Performance on the behavioral task. **A.** Example learning curves across sessions from a single subject (R060) showing the proportion approached for reward-available (red line for light block, navy blue line for sound block) and reward-unavailable trials (green line for light block, light blue line for sound block) for light (top) and sound (bottom) blocks. Fully correct performance corresponds to an approach proportion of 1 for reward-available trials and 0 for reward-unavailable trials. Rats initially approach on both reward-available and reward-unavailable trials, and learn with experience to skip non-rewarded trials. Red bars indicate days in which a rat statistically discriminated between reward-available and reward-unavailable cues, determined by a chi square test. Dashed line indicates time of electrode implant surgery. **B-C:** Summary of performance during recording sessions for each rat. **B:** Proportion approached for all rats, averaged across all recording sessions. Different columns indicate the different cues (reward-available (red) and reward-unavailable (green) light cues, reward-available (navy blue) and reward-unavailable (light blue) sound cues). Different symbols correspond to individual subjects; horizontal black line shows the mean. All rats learned to discriminate between reward-available and reward-unavailable cues, as indicated by the clear difference of proportion approached between reward-available (~90% approached) and reward-unavailable cues (~30% approached), for both blocks (see Results for statistics). **C:** Average trial length for each cue. Note that the time to complete a trial was comparable for the different cues.

97 **NAc units encode behaviorally relevant and irrelevant cue features**

98 **Single unit responses discriminate between cue features:**

99 We sought to address which parameters of our task were encoded by NAc activity, specifically whether
100 the NAc encodes aspects of motivationally relevant cues not directly tied to reward, such as the identity
101 and location of the cue, and whether this coding is accomplished by separate or overlapping populations
102 (Figure 1A). To do this we recorded a total of 443 units with > 200 spikes in the NAc from 4 rats over 57
103 sessions (range: 12 - 18 sessions per rat) while they performed a cue discrimination task (Table 1). Units that
104 exhibited a drift in firing rate over the course of either block, as measured by a Mann-Whitney U comparing
105 firing rates for the first and second half of trials within a block, were excluded from further analysis, leaving
106 344 units for further analysis. The activity of 133 (39%) of these 344 units were modulated by the cue, with
107 more showing a decrease in firing ($n = 103$) than an increase ($n = 30$) around the time of cue-onset (Table 1).
108 Within this group, 24 were classified as FSIs, while 109 were classified as SPNs. Upon visual inspection, we
109 observed several patterns of firing activity, including units that discriminated firing upon cue-onset across
110 various cue conditions, showed sustained differences in firing across cue conditions, had transient responses
111 to the cue, showed a ramping of activity starting at cue-onset, and showed elevated activity immediately
112 preceding cue-onset, for example (Figure 4).

113 To characterize more formally whether these cue-evoked responses were modulated by various aspects of
114 the task, we fit a forward selection stepwise generalized linear model (GLM) to each cue-modulated unit.
115 Fitting GLMs to all trials within a session revealed that a variety of task parameters accounted for a significant
116 portion of firing rate variance in NAc cue-modulated units (Figure 5, Table 1). Notably, there were units that
117 discriminated between whether the rat was performing in the light or sound block (28% of cue-modulated
118 units, accounting for 6% of variance on average), which arm the rat was currently on (38% of cue-modulated
119 units, accounting for 6% of variance on average), and whether the rat was engaged in the common portion of
120 a reward-available or reward-unavailable trial (26% of cue-modulated units, accounting for 4% of variance

on average), suggesting that the NAc encodes features of reward-predictive cues in addition to expected outcome (Figure 4,??,??,??). To determine whether overlap of coding of cue features was different than expected by chance we correlated recoded beta coefficients from the GLMs (Figure 5C). This revealed that cue identity was coded independently from both cue outcome ($r = -.018$; $p = .840$) and cue location ($r = .107$; $p = .220$), as determined by non-significant correlations, but that cue location and cue outcome were encoded jointly ($r = .221$; $p = .011$), as signified by a significant positive correlation. Chi-square tests depicted a similar pattern of results (cue identity and cue location = $\chi^2 = .687$, $p = .407$; cue identity and cue outcome = $\chi^2 = .022$, $p = .882$; cue location and cue outcome = $\chi^2 = 3.02$, $p = .082$). The GLM fitting was repeated for the first half of the trials in each stimulus block. This revealed a qualitatively similar pattern of results, suggesting that this selectivity is present early within a block (first half of block vs. all trials: 37 vs. 37, 45 vs. 50, and 25 vs. 34 units for cue identity, cue location, and cue outcome, respectively). To control for differences that may have arisen do to different behavior at the choice point, we also analyzed our data for approach trials only. Comparison of the GLMs using only approach trials versus all trials was qualitatively similar (approach trials vs. all trials: 33 vs. 37, 45 vs. 50, and 28 vs. 34 units for cue identity, cue location, and cue outcome, respectively). Additionally, a sliding window GLM centered on cue-onset revealed that cue identity and cue location contributed to the activity of a significant proportion of cue-modulated units throughout this epoch, whereas an increase in units encoding cue outcome became apparent after cue-onset (z -score > 1.96 when comparing proportion of units to that observed when shuffling the firing rates before running the GLM, Figure 5D). Together, these findings show that various cue features are represented in the NAc in overlapping populations, that cue modality is coded independently from cue outcome and cue location, and that cue outcome and cue location are coded jointly (Figure 1).

Task parameter	Total	↑ MSN	↓ MSN	↑ FSI	↓ FSI
All units	443	155	216	27	45
<i>Rat ID</i>					
R053	145	51	79	4	11
R056	70	12	13	17	28
R057	136	55	75	3	3
R060	92	37	49	3	3
Analyzed units	344	117	175	18	34
Cue modulated units	133	24	85	6	18
<i>GLM aligned to cue-onset</i>					
Cue identity	37 (28%)	7 (29%)	21 (25%)	1 (17%)	8 (44%)
Cue location	50 (38%)	13 (54%)	27 (32%)	3 (50%)	7 (39%)
Cue outcome	34 (26%)	10 (42%)	18 (21%)	0 (-)	6 (33%)
Approach behavior	31 (23%)	8 (33%)	18 (21%)	1 (17%)	4 (22%)
Trial length	25 (19%)	5 (21%)	18 (21%)	0 (-)	2 (11%)
Trial number	32 (24%)	11 (46%)	12 (14%)	1 (17%)	8 (44%)
Previous trial	5 (4%)	0 (-)	5 (6%)	0 (-)	0 (-)
<i>GLM aligned to nosepoke</i>					
Cue identity	66 (50%)	14 (58%)	36 (42%)	2 (33%)	14 (78%)
Cue location	66 (50%)	14 (58%)	40 (47%)	3 (50%)	9 (50%)
Cue outcome	42 (32%)	8 (33%)	29 (34%)	0 (-)	5 (28%)
<i>GLM aligned to outcome</i>					
Cue outcome	10 (8%)	0 (-)	6 (7%)	0 (-)	4 (22%)

Table 1: Overview of recorded NAc units and their relationship to task variables at various time epochs. Percentage is relative to the number of cue-modulated units (n = 133).

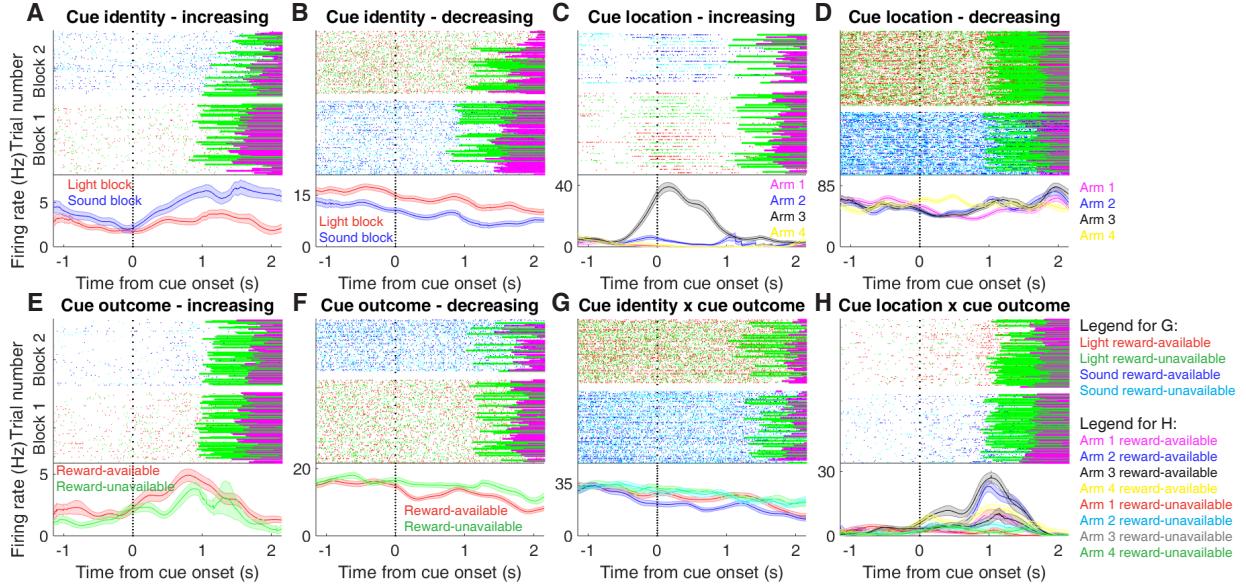


Figure 4: Examples of cue-modulated NAc units influenced by different task parameters. **A:** Example of a cue-modulated NAc unit that showed an increase in firing following the cue, and encoded cue identity. Top: rasterplot showing the spiking activity across all trials aligned to cue-onset. Spikes across trials are color-coded according to cue type (red: reward-available light; green: reward-unavailable light; navy blue: reward-available sound; light blue: reward-unavailable sound). Green and magenta bars indicate trial termination when a rat initiated the next trial or made a nosepoke, respectively. White space halfway up the rasterplot indicates switching from one block to the next. Dashed line indicates cue onset. Bottom: PETHs showing the average smoothed firing rate for the unit for trials during light (red) and sound (blue) blocks, aligned to cue-onset. Lightly shaded area indicates standard error of the mean. Note this unit showed a larger increase in firing to sound cues. **B:** An example of a unit that was responsive to cue identity as in A, but for a unit that showed a decrease in firing to the cue. Note the sustained higher firing rate during the light block. **C-D:** Cue-modulated units that encoded cue location, each color in the PETHs represents average firing response for a different cue location. **C:** The firing rate of this unit only changed on arm 3 of the task. **D:** Firing decreased for this unit on all arms but arm 4. **E-F:** Cue-modulated units that encoded cue outcome, with the PETHs comparing reward-available (red) and reward-unavailable (green) trials. **E:** This unit showed a slightly higher response during presentation of reward-available cues. **F:** This unit showed a dip in firing when presented with reward-available cues. **G-H:** Examples of cue-modulated units that encoded multiple cue features. **G:** This unit integrated cue identity and outcome. **H:** An example of a unit that integrated cue identity and location.

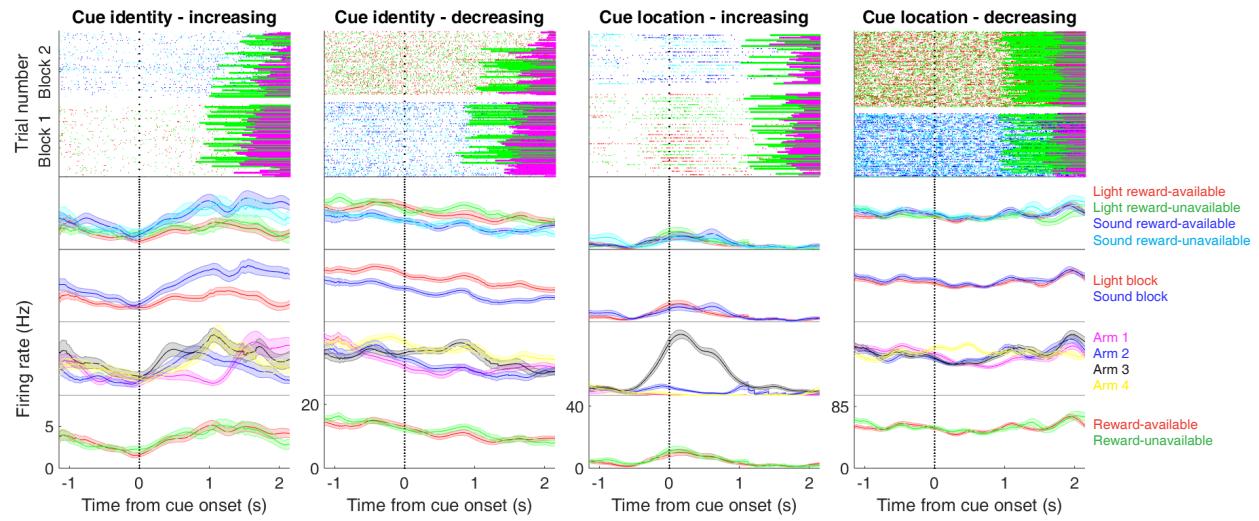


Figure supplement 1 Expanded neural examples.

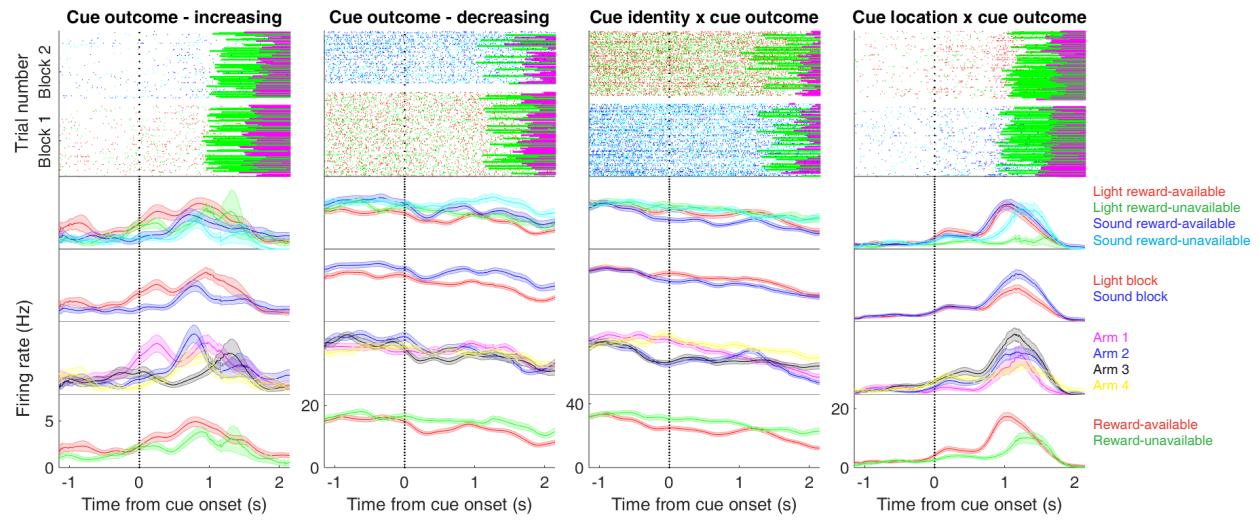


Figure supplement 2 Expanded neural examples.

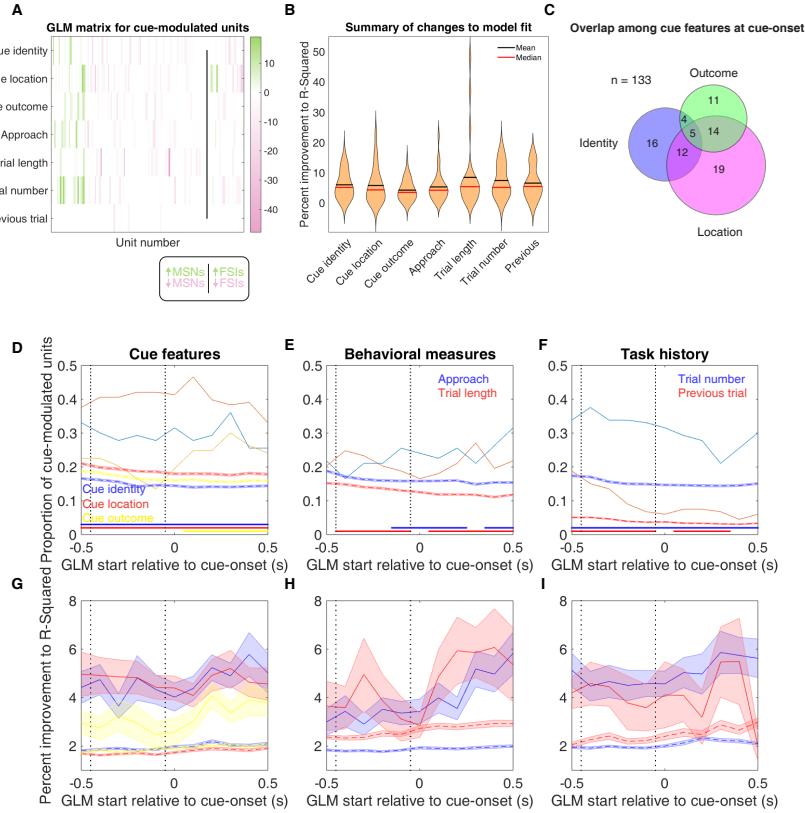


Figure 5: Summary of influence of various task parameters on cue-modulated NAc units after cue-onset. **A:** GLM matrix illustrating the contribution of various task parameters to NAc unit firing rates. A stepwise GLM was fit to each unit that showed evidence of cue modulation by a Wilcoxon signed-rank test. Each row represents a given task parameter, and each column corresponds to a single unit. Colors indicate how much of the firing rate variance an individual predictor contributed to the model, as measured by differences in R-squared between the final model and the model minus the predictor of interest. Ordering from left to right: MSNs that increased firing in response to the cue (green, left of line), MSNs with a decreasing response (red, left of line), FSIs with an increasing response (green, right of line), FSIs with a decreasing response (red, right of line). Darker shades indicate more firing rate variance explained by a given predictor. Black line indicates separation of MSNs and FSIs. Scale bar indicates range of improvements to model fit for units with an increasing (green) and decreasing (red) response to the cue. **B:** Violin plots demonstrating changes in R-squared values with the addition of each of the individual predictors. The mean, median, and distribution of changes in R-squared values is plotted for each of the seven task parameters used in the GLM. **C:** Venn diagram illustrating the number of cue-modulated units encoding cue identity (blue circle), cue location (green circle), cue outcome (pink circle), as well as the overlap among units that encoded multiple cue features. **D-F:** Sliding window GLM illustrating the proportion of cue-modulated units influenced by various predictors around time of cue-onset. **D:** Sliding window GLM (bin size: 500 ms; step size: 100 ms) demonstrating the proportion of cue-modulated units where cue identity (blue solid line), cue location (red solid line), and cue outcome (yellow solid line) significantly contributed to the model at various time epochs relative to cue-onset. Dashed colored lines indicate the average of shuffling the firing rate order that went into the GLM 100 times. Solid lines at the bottom indicate when the proportion of units observed was greater than the shuffled samples. Points in between the two vertical dashed lines indicate bins where both pre- and post-cue-onset time periods were used in the GLM. **E:** Same as D, but for approach behavior and trial length. **F:** Same as D, but for trial number and previous trial. **G-I:** Average improvement to model fit. **G:** Average percent improvement to R-squared for units where cue identity, cue location, or cue outcome were significant contributors to the final model for time epochs surrounding cue onset. Shaded area around mean represents the standard error of the mean. **H:** Same as G, but for approach behavior and trial length. **I:** Same G, but for trial number and previous trial.

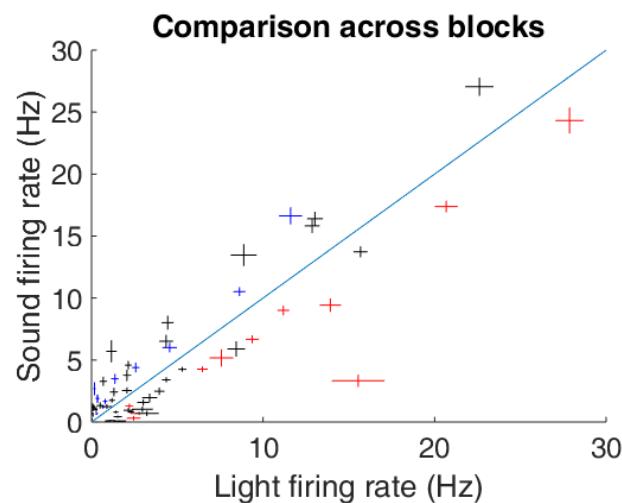


Figure supplement 1: Scatter plot depicting comparison of firing rates for cue-modulated units across light and sound blocks. Crosses are centered on the mean firing rate, range represents the SEM. Colored crosses represents units that had cue identity as a significant predictor of firing rate variance (blue are sound block preferring, red are light block preferring), whereas black crosses represent units where cue identity was not a significant predictor of firing rate variance. Diagonal dashed lines indicates point of equal firing across blocks.

142 **Pseudoensembles reveal how cue feature information is encoded at the population level:**

143 To assess what information may be encoded at the population level, we trained a classifier on a pseudoensem-
144 ble of the 133 cue-modulated units (Figure 6). To do this we used the firing rate for each trial as an observa-
145 tion, with the firing rate of each unit as a variable, and used 10-fold cross validation to train a linear discrim-
146 inant analysis (LDA) classifier, and see how well we could correctly classify a test trial with the correct label
147 (e.g./ a light or sound trial). This approach revealed that we could correctly predict a trial above chances
148 levels for all cue features for most time epochs (z -score > 1.96 when comparing classification accuracy of
149 data versus shuffling the firing rates). Notably, the ability to predict whether a trial was reward-available or
150 reward-unavailable (cue outcome) was low pre-cue and increased as a trial progressed (Figure 6A).

151 We observed a variety of single unit response profiles around the time of cue onset (Figure 4). To investigate
152 whether these firing rate patterns were related to what cue features were encoded, we plotted the population
153 level averages for units that were modulated by each feature. To do this, we normalized firing activity for
154 each unit that was modulated by a given cue feature, such as light block, then generated the cue-onset aligned
155 population average firing rate for each of the cue features (Figure ??). Overall, this analysis revealed that cells
156 that showed an increase upon cue presentation had stronger responses for the preferred cue condition (Figure
157 ??A,C,E). Interestingly, units that were classified as decreasing in response to the cue showed a biphasic
158 response at the population level, with a small peak at a time in alignment with entry into the arm, followed
159 by a sustained dip after cue-onset (Figure ??B,D,F). Units that were modulated by cue identity showed a
160 stronger increase in response to the preferred task block, as well as a higher tonic firing rate to the preferred
161 task block, most notably in units that decreased in firing rate to the cue (Figure ??A,B). Units that were
162 modulated by cue location showed a graded response to locations of decreasing preference, with peak firing
163 occurring around cue-onset (Figure ??C,D). Units that were modulated by cue outcome showed a ramping
164 of activity after cue-onset for their preferred cue type. Additionally, units that exhibited a decrease in firing
165 in response to the cue and whose activity was modulated by cue outcome, showed a sustained discriminatory
166 response to reward-available and reward-unavailable cues that extended beyond cue-onset (Figure ??F).

167 Together, these visualizations of the averaged population responses revealed nuanced differences in the way
 168 NAc units are modulated by cue conditions across cue features.

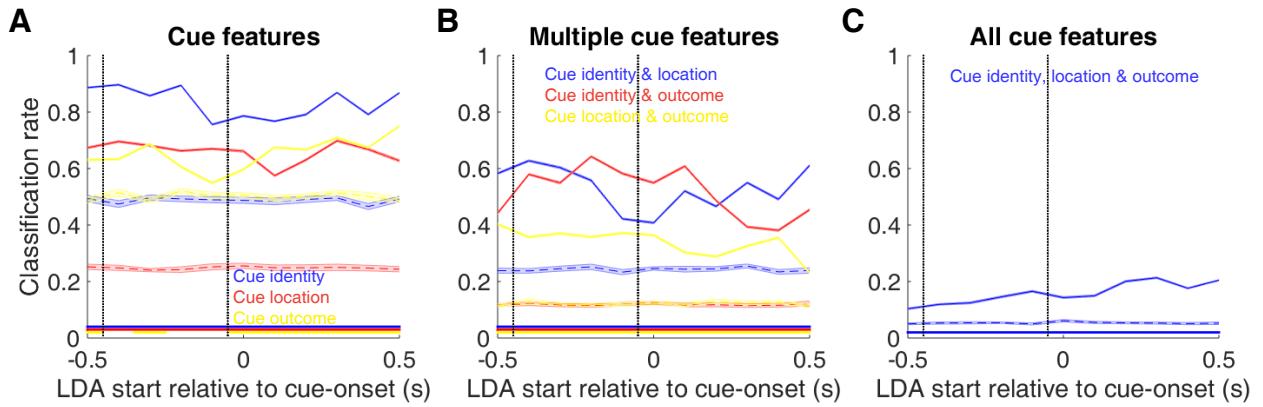


Figure 6: Classification performance of pseudoensembles for cue features. **A:** Sliding window LDA (bin size: 500 ms; step size: 100 ms) demonstrating the classification rate for cue identity (blue solid line), cue location (red solid line), and cue outcome (yellow solid line) using a pseudoensemble of the 133 cue-modulated units. Dashed colored lines indicate the average of shuffling the firing rate order that went into the cross-validated LDA 25 times. Solid lines at the bottom indicate when the classifier performance greater than the shuffled samples. Points in between the two vertical dashed lines indicate bins where both pre- and post-cue-onset time periods were used in the classifier. **B:** Same as A, but for predicting combinations of cue features, specifically cue identity and cue location (blue), cue identity and cue outcome (red), and cue location and cue outcome (yellow). **C:** Same as A, but for predicting trial types that use all three cue features.

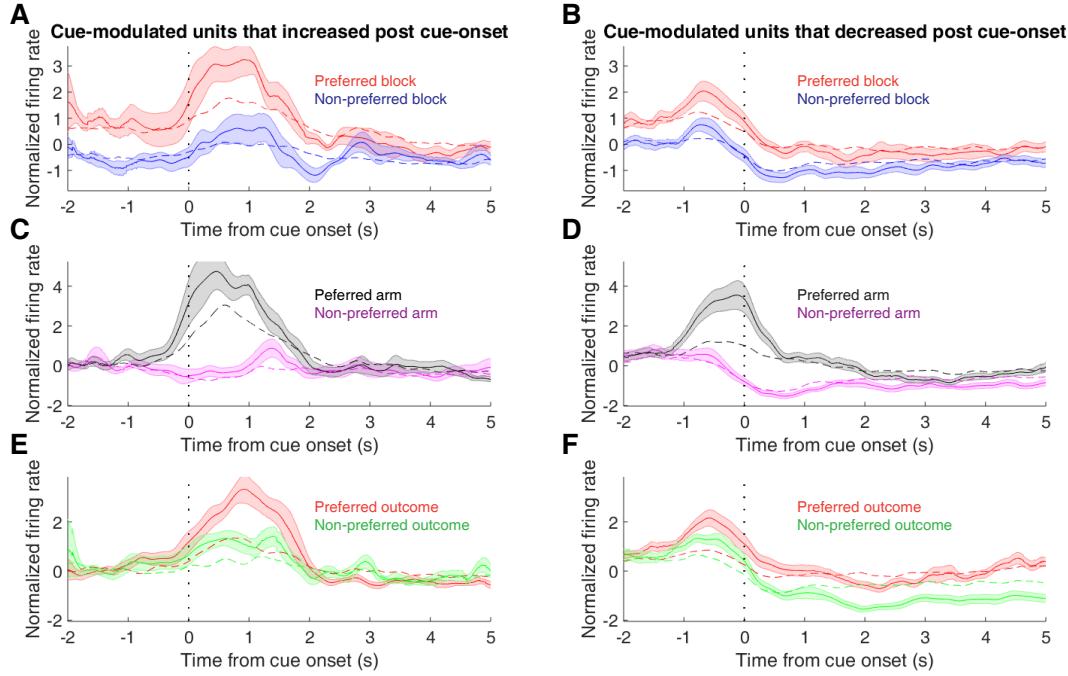


Figure supplement 1: Population-level averages of cue feature sensitive NAc units. **A:** Average smoothed normalized (z-score) activity for cue-modulated units where cue identity was a significant predictor in the GLM, aligned to cue-onset. Activity is plotted for preferred stimulus block (red) and nonpreferred stimulus block (blue). Dashed vertical line indicates onset of cue. Dashed color lines indicate the result of shuffling the identity of the units used for this average 1000 times. Lightly shaded area indicates standard error of the mean. Note larger increase to preferred stimulus block over nonpreferred stimulus block. Black lines indicate the average of 1000 rounds of random sampling of units from the non-drifting population for the preferred and non-preferred blocks. **B:** Same as A but for units that decreased in firing. Note population level activity reveals units classified as decreasing in response to cue show a biphasic response at the population level, with a transient increase around the time the rat starts on the arm, followed by a minimum after cue onset. Also, note the sustained difference in firing between the two blocks. **C-D:** Same as A-B for cue location. Activity is plotted for most preferred arm (black) and least preferred arm (magenta). **E-F:** Same as A-B for cue outcome. Activity is plotted for preferred expected outcome (red), and nonpreferred outcome (green). Note the larger increase to the cue representing the units preferred outcome (E), and the sustained decrease to the nonpreferred outcome (F).

169 **NAc units dynamically segment the task:**

170 Given the varied time courses and response profiles of NAc units to various aspects of the cue, the NAc may
171 be computing a temporally evolving state value signal (Pennartz, Ito, Verschure, Battaglia, & Robbins, 2011).
172 If this is the case, then the recruitment of NAc units should vary alongside changes in the environment. To
173 look at the distribution of responses throughout our task space and see if this distribution is modulated by cue
174 features, we z-scored the firing rate of each unit and plotted the normalized firing rates of all units aligned to
175 cue-onset and sorted them according to the time of peak firing rate (Figure 7). We did this separately for both
176 the light and sound blocks, and found a nearly uniform distribution of firing fields in task space that was not
177 limited to alignment to the cue (Figure 7A). Furthermore, to determine if this population level activity was
178 similar across blocks, we also organized firing during the sound blocks according to the ordering derived
179 from the light blocks. This revealed that while there was some preservation of order, the overall firing was
180 qualitatively different across the two blocks, implying that population activity distinguishes between light
181 and sound blocks. To control for the possibility that any comparison of trials would produce this effect,
182 we did a within block comparison, comparing half of the trials in the light block against the other half.
183 This comparison looked similar to our test comparison of sound block trials ordered by light block trials.
184 Additionally, given that the majority of our units showed an inhibitory response to the cue, we also plotted
185 the firing rates according to the lowest time in firing, and again found some maintenance of order, but largely
186 different ordering across the two blocks, and the within block comparison (Figure 7D). To further test this, we
187 divided each block into two halves and looked at the correlation of the average smoothed firing rates across
188 various combinations of these halves across our cue-aligned centered epoch. A linear mixed effects model
189 revealed that within block correlations (e.g. one half of light trials vs other half of light trials) were higher
190 and more similar than across block correlations (e.g. half of light trials vs half of sound trials) suggesting
191 that activity in the NAc discriminates across various cue conditions (within block correlations = .383 (light),
192 .379 (sound); across block correlations = .343, .338, .337, .348; within block vs. within block comparison =
193 p = .934; within block vs. across block comparisons = p < .001). This process was repeated for cue location
194 (Figure 7B,E; within block correlations = .369 (arm 1), .350 (arm 2); across block correlations = .290, .286,

.285, .291; within block vs. within block comparison = $p = .071$; within block vs. across block comparisons
= $p < .001$) and cue outcome (Figure 7C,F; within block correlations = .429 (reward-available), .261 (reward-
unavailable); across block correlations = .258, .253, .255, .249; within block vs. within block comparison
= $p < .001$; within block vs. across block comparisons = $p < .001$), showing that NAc segmentation of the
task is qualitatively different even during those parts of the task not immediately associated with a specific
cue, action, or outcome, although the within condition comparison of reward-unavailable trials was less
correlated than reward-available trials, and more similar to the across condition comparisons, potentially due
to the greater behavioral variability for the reward-unavailable trials.

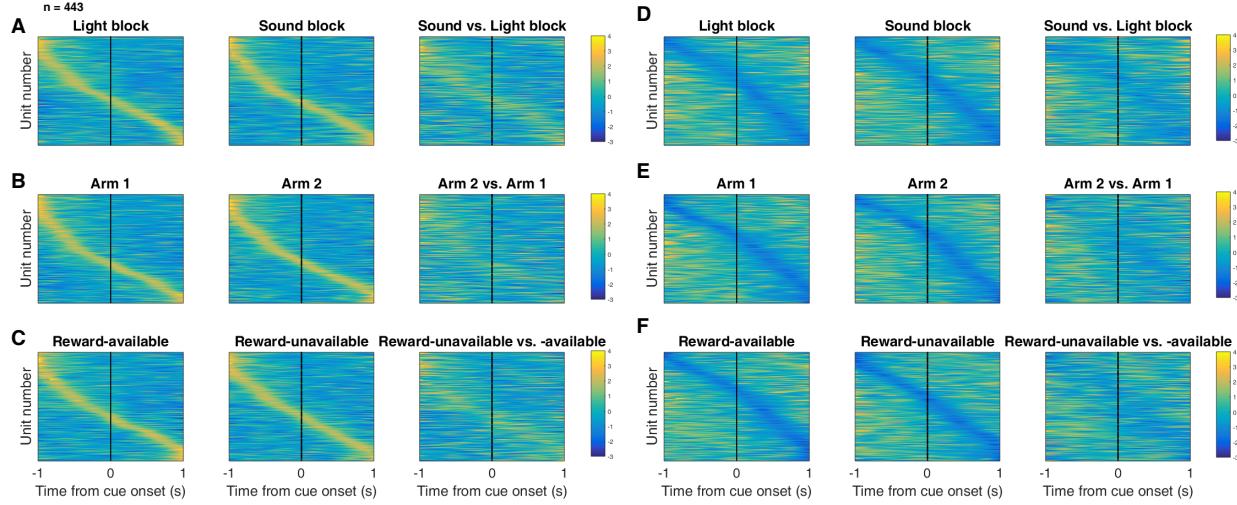


Figure 7: Distribution of NAc firing rates across time surrounding cue onset. Each panel shows normalized (z-score) firing rates for all recorded NAc units (each row corresponds to one unit) as a function of time (time 0 indicates cue onset), averaged across all trials for a specific cue type, indicated by text labels. **A-C:** Heat plots aligned to normalized peak firing rates. **A:** left: Heat plot showing smoothed normalized firing activity of all recorded NAc units ordered according to the time of their peak firing rate during the light block. Each row is a units average activity across time to the light block. Dashed line indicates cue onset. Notice the yellow band across time, indicating all aspects of visualized task space were captured by the peak firing rates of various units. A, middle: Same units ordered according to the time of the peak firing rate during the sound block. Note that for both blocks, units tile time approximately uniformly with a clear diagonal of elevated firing rates. A, right: Unit firing rates taken from the sound block, ordered according to peak firing rate taken from the light block. Note that a weaker but still discernible diagonal persists, indicating partial similarity between firing rates in the two blocks. A similar pattern exists for within-block comparisons suggesting that reordering any two sets of trials produces this partial similarity, however correlations within blocks are more similar than correlations across blocks (see text). **B:** Same layout as in A, except that the panels now compare two different locations on the track instead of two cue modalities. As for the different cue modalities, NAc units clearly discriminate between locations, but also maintain some similarity across locations, as evident from the visible diagonal in the right panel. Two example locations were used for display purposes; other location pairs showed a similar pattern. **C:** Same layout as in A, except that panels now compare reward-available and reward-unavailable trials. **D-F:** Heat plots aligned to normalized minimum firing rates. **D:** Responses during different stimulus blocks as in A, but with units ordered according to the time of their minimum firing rate. **E:** Responses during trials on different arms as in B, but with units ordered by their minimum firing rate. **F:** Responses during cues signalling different outcomes as in C, but with units ordered by their minimum firing rate. Overall, NAc units "tiled" experience on the task, as opposed to being confined to specific task events only. Units from all sessions and animals were pooled for this analysis.

203 **Encoding of cue features persists until outcome:**

204 In order to be useful for credit assignment in reinforcement learning, a trace of the cue must be maintained
205 until the outcome, so that information about the outcome can be associated with the outcome-predictive cue.
206 To test whether representations of cue features persisted post-approach until the outcome was revealed, we
207 fit a GLM to the post-approach firing rates of cue-modulated units aligned to the time of nosepoke into the
208 reward receptacle. This analysis showed that a variety of units still discriminated firing according to various
209 cue features, but not other task parameters, showing that NAc activity discriminates various cue conditions
210 well into a trial (Table 1, Figures 8,??,??,9). Additionally, these units were a mix between most of the units
211 that encoded cue features at cue-onset ($r = .386, .781, .758$, across cue-onset and nosepoke for cue identity,
212 cue location, and cue outcome, respectively, all p values $< .001$), and those that did not previously have a
213 cue feature as a predictor (29, 48, and 30 out of 133 cue-modulated units encoded both time points for cue
214 identity, cue location, and cue outcome, respectively). Chi-square tests confirmed these results (cue identity
215 $= \chi^2 = 6.165$, $p = .013$; cue location $= \chi^2 = 21.670$, $p < .001$; cue outcome $= \chi^2 = 34.560$, $p < .001$).
216 Population level averages for units that increased to cue-onset showed a ramping up of activity that peaked
217 upon nosepoke, whereas units that decreased to cue-onset showed a gradual reduction of firing activity that
218 reached a minimum upon nosepoke (Figure ??). Additionally, a peak is seen for preferred cue outcome
219 in decreasing units at 1 second post cue-onset when reward was received, demonstrating an integration of
220 expected and received reward (Figure ??F).

221 Aligning normalized peak firing rates to nosepoke onset, revealed a clustering of responses around outcome
222 receipt for all cue conditions where the rat would have received reward (Figure ??), in addition to the same
223 trend of higher within- vs across-block correlations for cue identity (Figure ??A,C; within block correlations
224 $= .560$ (light), $.541$ (sound); across block correlations $= .487, .481, .483, .486$; within block vs. within block
225 comparison $= p = .112$; within block vs. across block comparisons $= p < .001$) and cue location (Figure
226 ??B,E; within block correlations $= .474$ (arm 1), $.461$ (arm 2); across block correlations $= .416, .402, .416$,
227 $.415$; within block vs. within block comparison $= p = .810$; within block vs. across block comparisons $= p <$

.001), but not cue outcome (Figure ??C,F; within block correlations = .620 (reward-available), .401 (reward-unavailable); across block correlations = .418, .414, .390, .408; within block vs. within block comparison = p < .001; within block vs. across block comparisons = p < .001).

To determine whether coding of cue features persisted after the outcome was revealed, a GLM was fit to the firing rates of cue-modulated units at the time of outcome receipt, during which the cue was still present. Fitting a GLM revealed 10 units (8%) where cue outcome accounted for an average of 32% of firing rate variance (Table 1, data not shown). An absence of cue identity or cue location coding at this level of analysis was observed, but looking at the data more closely with a sliding window GLM revealed that cue identity, cue location, and cue outcome were encoded throughout time epochs surround cue-onset, nosepoke hold, and outcome receipt, suggesting that the NAc maintains a representation of these cue features once the rat receives behavioral feedback for its decision (Figure 9E-J).

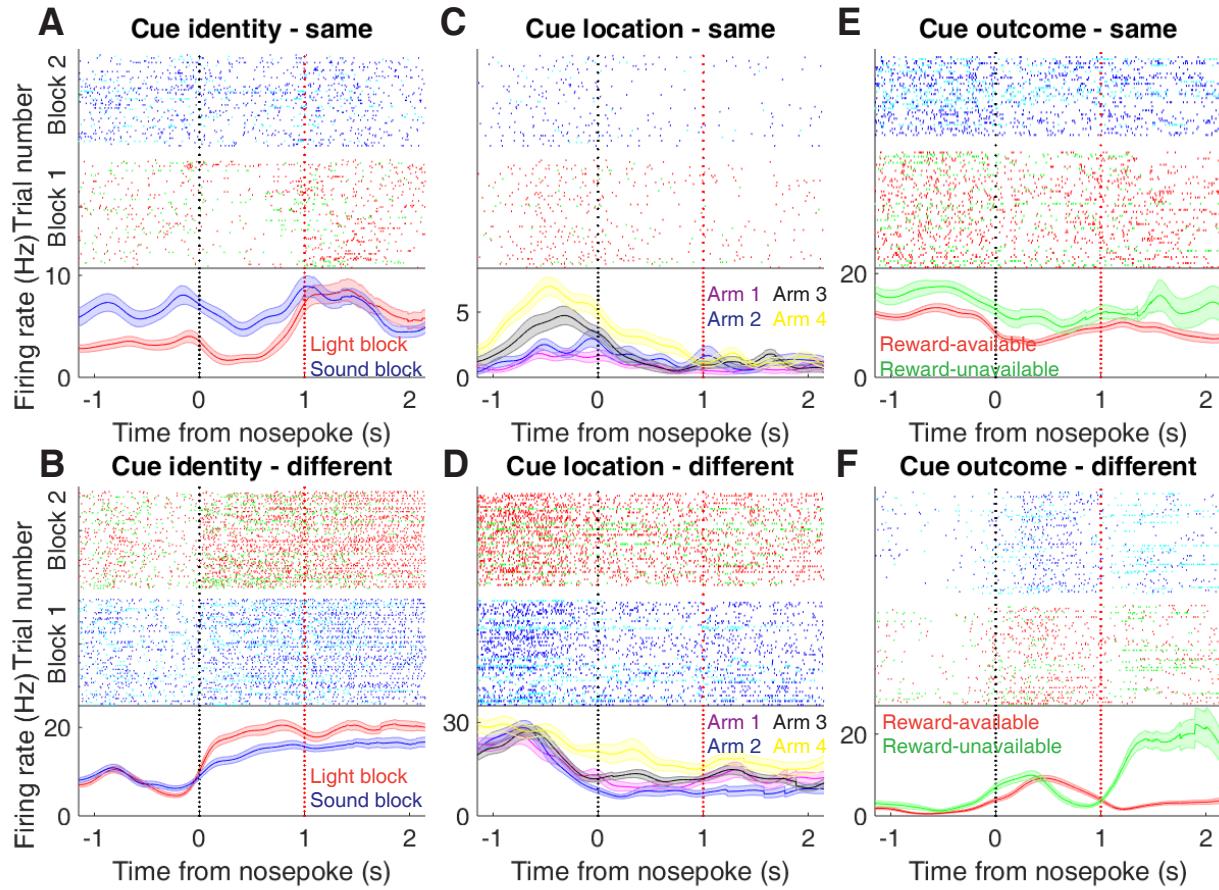


Figure 8: Examples of cue-modulated NAc units influenced by various task parameters at time of nosepoke. **A:** Example of a cue-modulated NAc unit that encoded cue identity at both cue-onset and during nosepoke hold. Top: rasterplot showing the spiking activity across all trials aligned to nosepoke. Spikes across trials are color coded according to cue type (red: reward-available light; green: reward-unavailable light; navy blue: reward-available sound; light blue: reward-unavailable sound). White space halfway up the rasterplot indicates switching from one block to the next. Black dashed line indicates nosepoke. Red dashed line indicates receipt of outcome. Bottom: PETHs showing the average smoothed firing rate for the unit for trials during light (red) and sound (blue) blocks, aligned to nosepoke. Lightly shaded area indicates standard error of the mean. Note this unit showed a sustained increase in firing to sound cues during the trial. **B:** An example of a unit that was responsive to cue identity at time of nosepoke but not cue-onset. **C-D:** Cue-modulated units that encoded cue location, at both cue-onset and nosepoke (C), and only nosepoke (D). Each color in the PETHs represents average firing response for a different cue location. **E-F:** Cue-modulated units that encoded cue outcome, at both cue-onset and nosepoke (E), and only nosepoke (F), with the PETHs comparing reward-available (red) and reward-unavailable (green) trials.

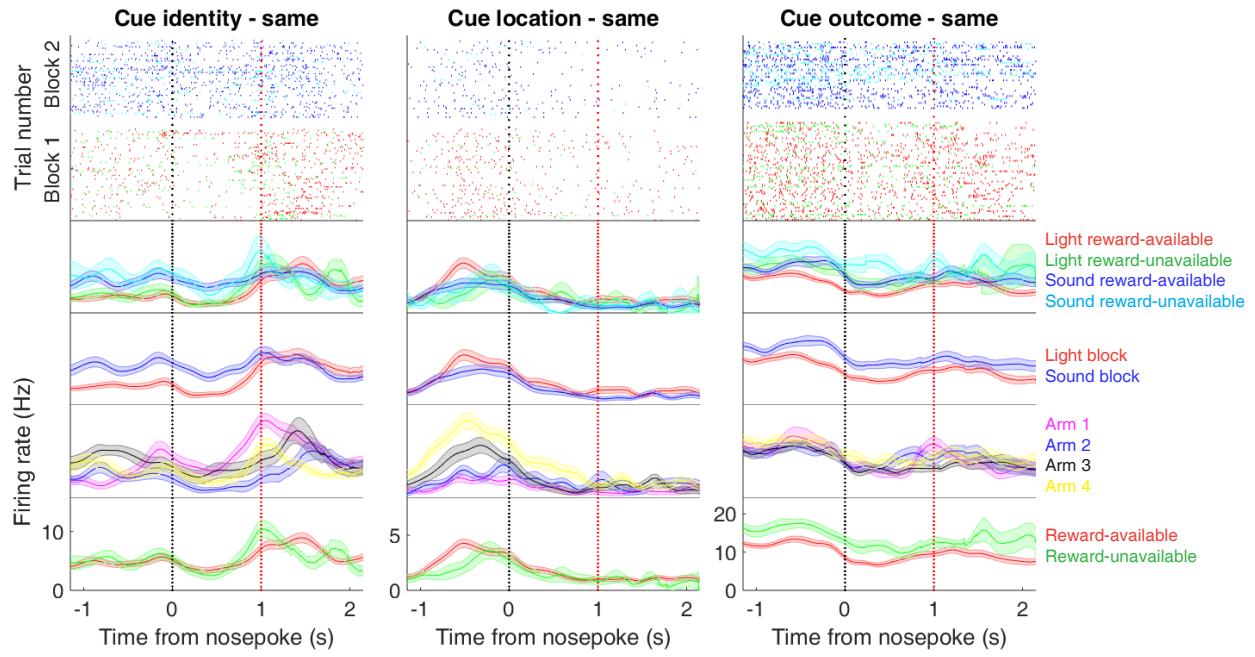


Figure supplement 1 Expanded neural examples.

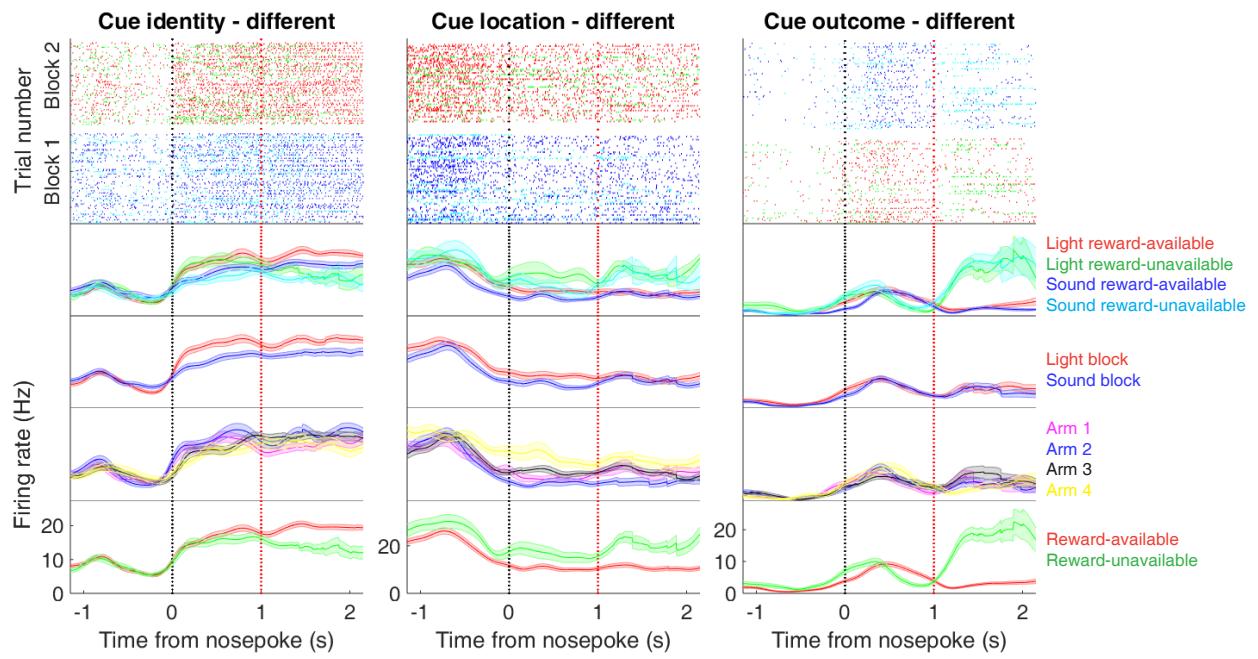


Figure supplement 2 Expanded neural examples.

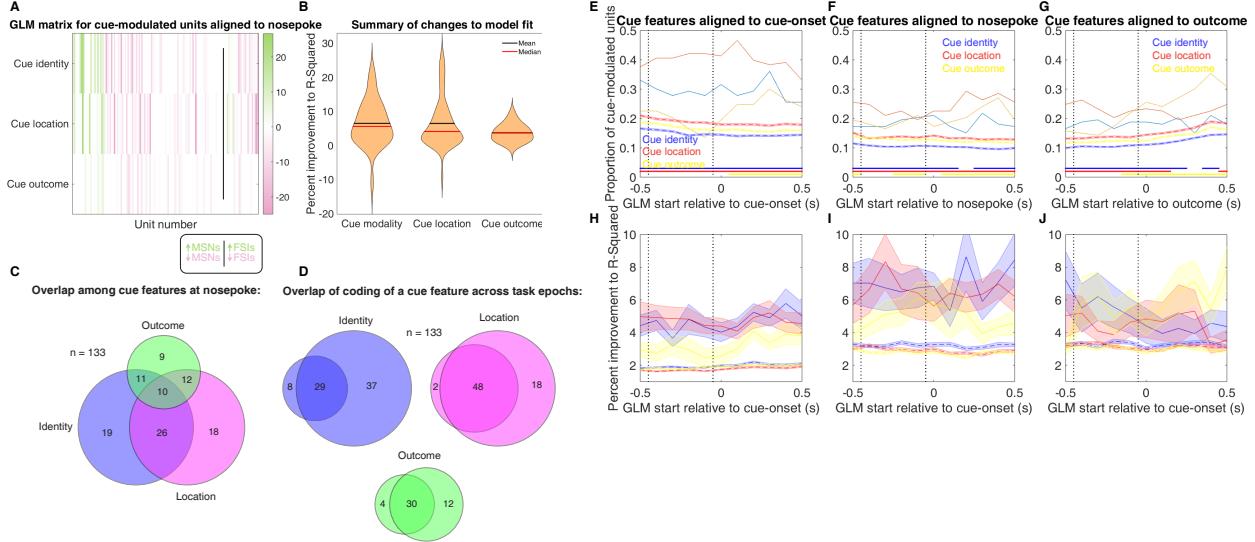


Figure 9: Summary of influence of various task parameters on cue-modulated NAc units during nosepoke.

A: GLM matrix illustrating the contribution of various task parameters to NAc unit firing rates. A stepwise GLM was fit to each unit that showed evidence of cue modulation by a Wilcoxon signed-rank test. Each row represents a given task parameter, and each column corresponds to a single unit. Colors indicate how much of the firing rate variance an individual predictor contributed to the model, as measured by differences in R-squared between the final model and the model minus the predictor of Interest. Ordering from left to right: MSNs that increased firing in response to the cue (green, left of line), MSNs with a decreasing response (red, left of line), FSIs with an increasing response (green, right of line), FSIs with a decreasing response (red, right of line). Darker shades indicate more firing rate variance explained by a given predictor. Black line indicates separation of MSNs and FSIs.

B: Violin plots demonstrating changes in R-squared values with the addition of each of the individual predictors. The mean, median, and distribution of changes in R-squared values is plotted for each of the three task parameters that were significant predictors in the GLM.

C: Venn diagram illustrating the number of cue-modulated units encoding cue identity (blue circle), cue location (green circle), cue outcome (pink circle), as well as the overlap among units that encoded multiple cue features.

D: Venn diagram illustrating the number of cue-modulated units encoding cue identity, cue location, and cue outcome during cue-onset (left circle), during nosepoke hold (right circle), and during both epochs (overlap).

E-G: Sliding window GLM illustrating the proportion of cue-modulated units influenced by various predictors around time of cue-onset (E), nosepoke (F), and outcome (G). Sliding window GLM (bin size: 500 ms; step size: 100 ms) demonstrating the proportion of cue-modulated units where cue identity (blue solid line), cue location (red solid line), and cue outcome (yellow solid line) significantly contributed to the model at various time epochs relative to cue-onset. Dashed colored lines indicate the average of shuffling the firing rate order that went into the GLM 100 times. Solid lines at the bottom indicate when the proportion of units observed was greater than the shuffled samples. Points in between the two vertical dashed lines indicate bins where both pre- and post-cue-onset time periods were used in the GLM.

F: Same as E, but for time epochs relative to nosepoke where the rat waited for the outcome.

G: Same as E, but for time epochs relative to receipt of outcome after the rat got feedback about his approach.

H-J: Average improvement to model fit. Average percent improvement to R-squared for units where cue identity, cue location, or cue outcome were significant contributors to the final model for time epochs relative to cue-onset. Shaded area around mean represents the standard error of the mean.

I: Same as H, but for time epochs relative to nosepoke.

J: Same as H, but for time epochs relative to receipt of outcome.

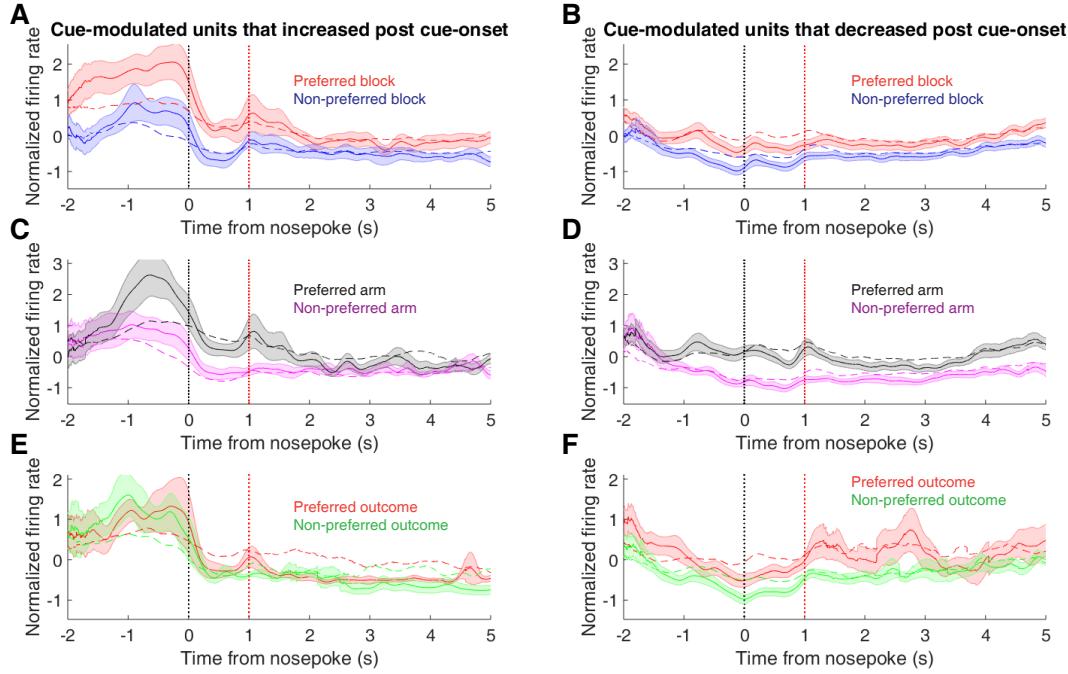


Figure supplement 1: Population-level averages of cue feature sensitive NAc units during a nosepoke. **A:** Average smoothed normalized (z-score) activity for cue-modulated units where cue identity was a significant predictor in the GLM, aligned to nosepoke with reward delivery occurring 1 s after nosepoke. Activity is plotted for preferred stimulus block (red) and nonpreferred stimulus block (blue). Black vertical dashed line indicates nosepoke. Red vertical dashed line indicates reward delivery occurring 1 s after nosepoke for reward-available trials. Dashed color lines indicate the result of shuffling the identity of the units used for this average 1000 times. Lightly shaded area indicates standard error of the mean. Note larger increase leading up to nosepoke to preferred stimulus block over nonpreferred stimulus block. **B:** Same as A but for units that decreased in firing. Note the sustained difference in firing between the two blocks. **C-D:** Same as A-B for cue location. Activity is plotted for most preferred arm (black) and least preferred arm (magenta). **E-F:** Same as A-B for cue outcome. Activity is plotted for preferred expected outcome (red), and nonpreferred outcome (green). Note the peak after outcome receipt for preferred outcome in decreasing units (F).

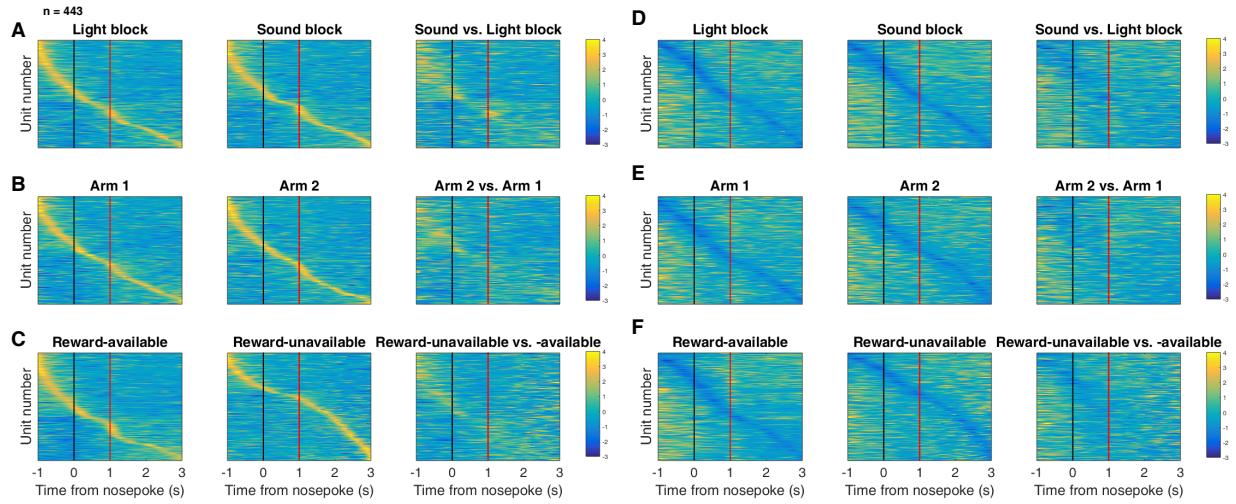


Figure supplement 2: Distribution of NAc firing rates across time surrounding nosepoke for approach trials. Each panel shows normalized (z-score) firing rates for all recorded NAc units (each row corresponds to one unit) as a function of time (time 0 indicates nosepoke), averaged across all approach trials for a specific cue type, indicated by text labels. **A-C:** Heat plots aligned to normalized peak firing rates. **A**, far left: Heat plot showing smoothed normalized firing activity of all recorded NAc units ordered according to the time of their peak firing rate during the light block. Each row is a units average activity across time to the light block. Black dashed line indicates nosepoke. Red dashed line indicates reward delivery occurring 1 s after nosepoke for reward-available trials. Notice the yellow band across time, indicating all aspects of visualized task space were captured by the peak firing rates of various units. **A**, middle: Same units ordered according to the time of the peak firing rate during the sound block. Note that for both blocks, units tile time approximately uniformly with a clear diagonal of elevated firing rates, and a clustering around outcome receipt. **A**, right: Unit firing rates taken from the sound block, ordered according to peak firing rate taken from the light block. Note that a weaker but still discernible diagonal persists, indicating partial similarity between firing rates in the two blocks. A similar pattern exists for within-block comparisons suggesting that reordering any two sets of trials produces this partial similarity, however correlations within blocks are more similar than correlations across blocks (see text). **B:** Same layout as in A, except that the panels now compare two different locations on the track instead of two cue modalities. As for the different cue modalities, NAc units clearly discriminate between locations, but also maintain some similarity across locations, as evident from the visible diagonal in the right panel. Two example locations were used for display purposes; other location pairs showed a similar pattern. **C:** Same layout as in A, except that panels now compare correct reward-available and incorrect reward-unavailable trials. The disproportionate tiling around outcome receipt for reward-available, but not reward-unavailable trials suggests encoding of reward receipt by NAc units. **D-F:** Heat plots aligned to normalized minimum firing rates. **D:** Responses during different stimulus blocks as in A, but with units ordered according to the time of their minimum firing rate. **E:** Responses during trials on different arms as in B, but with units ordered by their minimum firing rate. **F:** Responses during cues signalling different outcomes as in C, but with units ordered by their minimum firing rate. Overall, NAc units "tiled" experience on the task, as opposed to being confined to specific task events only. Units from all sessions and animals were pooled for this analysis.

239 **Discussion**

240 The main result of the present study is that NAc units encode not only the expected outcome of outcome-
241 predictive cues, but also the identity of such cues (Figure 1A). Importantly, this identity coding was main-
242 tained on approach trials both during a delay period where the rat held a nosepoke until the outcome was
243 received, and immediately after outcome receipt (Figure 1B). The population of units that coded for cue
244 identity was statistically independent from the population coding for expected outcome (i.e. overlap as ex-
245 pected from chance, Figure 1C). This information was also present at the population level, with a higher
246 than chance classification accuracy for predicting the right cue condition using a pseudoensemble. Units that
247 coded different cue features (identity, outcome, location) exhibited different temporal profiles as a whole,
248 although across all recorded units a tiling of task structure was observed such that all points within our an-
249 alyzed task space was accounted for by the ordered peak firing rates of all units. Furthermore, this tiling
250 differed between various conditions with a cue feature, such as light versus sound blocks. We discuss these
251 observations and their implications below.

252 **Cue identity:**

253 Our finding that NAc units can discriminate between different outcome-predictive stimuli with similar moti-
254 vational significance (i.e. encodes cue identity) expands upon an extensive rodent literature examining NAc
255 correlates of conditioned stimuli (Ambroggi, Ishikawa, Fields, & Nicola, 2008; Atallah et al., 2014; Bis-
256 sonette et al., 2013; Cooch et al., 2015; Day et al., 2006; Dejean et al., 2017; Goldstein et al., 2012; Ishikawa,
257 Ambroggi, Nicola, & Fields, 2008; Lansink et al., 2012; McGinty et al., 2013; Nicola, 2004; Roesch et al.,
258 2009; Roitman et al., 2005; Saddoris et al., 2011; Setlow et al., 2003; Sugam et al., 2014; West & Carelli,
259 2016; Yun, Wakabayashi, Fields, & Nicola, 2004). Perhaps the most comparable work in rodents comes
260 from a study that found distinct coding for an odor when it predicted separate but equally valued rewards
261 (Cooch et al., 2015). The present work is complementary to such *outcome identity* coding as it shows that
262 NAc units encode *cue identity*, in addition to the reward it predicts (Figure 1A). Similarly, Setlow et al.

263 (2003) paired distinct odor cues with appetitive or aversive outcomes, and found separate populations of
264 units that encoded each cue. Furthermore, during a reversal they found that the majority of units switched
265 their selectivity, arguing that the NAc units were tracking the motivational significance of these stimuli.
266 Once again, our study was different in asking how distinct cues encoding the same anticipated outcome are
267 encoded. Such cue identity encoding suggests that even when the motivational significance of these stimuli
268 is identical, NAc dissociates their representations at the level of the single-units. A possible interpretation
269 of this coding of cue features alongside expected outcome is that these representations are used to associate
270 reward with relevant features of the environment, so-called credit assignment in the reinforcement learning
271 literature (Sutton & Barto, 1998). A burgeoning body of human and non-human primate work has started to
272 elucidated neural correlates of credit assignment in the PFC, particularly in the lateral orbitofrontal cortex
273 (Akaishi, Kolling, Brown, & Rushworth, 2016; Asaad, Lauro, Perge, & Eskandar, 2017; Chau et al., 2015;
274 Noonan, Chau, Rushworth, & Fellows, 2017). Given the importance of cortical inputs in NAc associative
275 representations, it is possible that information related to credit assignment is relayed from the cortex to NAc
276 (Coch et al., 2015; Ishikawa et al., 2008).

277 Viewed within the neuroeconomic framework of decision making, functional magnetic resonance imaging
278 (fMRI) studies have found support for NAc representations of *offer value*, a domain-general common cur-
279 rency signal that enables comparison of different attributes such as reward identity, effort, and temporal
280 proximity (Bartra et al., 2013; Levy & Glimcher, 2012; Peters & Büchel, 2009; Sescousse et al., 2015). Our
281 study adds to a growing body of electrophysiological research that suggests the view of the NAc as a value
282 center, while informative and capturing major aspects of NAc processing, neglects additional contributions
283 of NAc to learning and decision making such as the offer (cue) identity signal reported here.

284 A different possible function for cue identity coding is to support contextual modulation of the motivational
285 relevance of specific cues. A context can be understood as a particular mapping between specific cues and
286 their outcomes: for instance, in context 1 cue A but not cue B is rewarded, whereas in context 2 cue B
287 but not cue A is rewarded. Successfully implementing such contextual mappings requires representation of

288 the cue identities. Indeed, Sleezer et al. (2016) recorded NAc responses during the Wisconsin Card Sorting
289 Task, a common set-shifting task used in both the laboratory and clinic, and found units that preferred firing
290 to stimuli when a certain rule, or rule category was currently active. Further support for a modulation of
291 NAc responses by strategy comes from an fMRI study that examined BOLD levels during a set-shifting task
292 (FitzGerald et al., 2014). In this task, participants learned two sets of stimulus-outcome contingencies, a
293 visual set and an auditory set. During testing they were presented with both simultaneously, and the stimulus
294 dimension that was relevant was periodically shifted between the two. Here, they found that bilateral NAc
295 activity reflected value representations for the currently relevant stimulus dimension, and not the irrelevant
296 stimulus. The current finding of independent coding of cue identity and expected outcome, suggests that the
297 fMRI finding is generated by the combined activity of several different functional cell types.

298 Our analyses were designed to eliminate several potential alternative interpretations to cue identity coding.
299 Because the different cues were separated into different blocks, units that discriminated between cue identi-
300 ties could instead be encoding time or other slowly-changing quantities. We excluded this possible confound
301 by excluding units that showed a drift in firing between the first and second half within a block. However, the
302 possibility remains that instead of or in addition to stimulus identity, these units encode a preferred context,
303 or even a macroscale representation of progress through the session. Indeed, encoding of the current strategy
304 could be an explanation for the sustained difference in population averaged firing across stimulus blocks
305 (Figure ??), as well as a potential explanation for the differentially tiling of task structure across blocks in
306 the current study (Figure 7).

307 A different potential confound is that between outcome and action value coding as the rat was only rewarded
308 for left turns. Our GLM analysis dealt with this by excluding firing rate variance accounted for by a predictor
309 that represented whether the animal approached (left turn) or skipped (right turn) the reward port at the
310 choice point, thus we were able to identify units that were modulated by the expected outcome of the cue
311 after removing variance for potential action value coding. Additionally, NAc signals have been shown to
312 be modulated by response vigor (McGinty et al., 2013); to detangle this from our results we included trial

313 length (i.e. latency to arrival at the reward site) as a predictor in our GLMs, and found units with cue feature
314 correlates independent of trial length.

315 An overall limitation of the current study is that rats were never presented with both sets of cues simul-
316 taneously, and were not required to switch strategies between multiple sets of cues (in behavioral pilots,
317 animals took several days of training to successfully switch strategies). Additionally, our recordings were
318 done during performance on the well-learned behavior, and not during the initial acquisition of the cue-
319 outcome relationships when an eligibility trace would be most useful. Thus, it is unknown to what extent
320 the cue identity encoding we observed is behaviorally relevant, although extrapolating data from other work
321 (Sleeker et al., 2016) suggests that cue identity coding would be modulated by relevance. NAc core lesions
322 have been shown to impair shifting between different behavioral strategies (Floresco et al., 2006), and it is
323 possible that selectively silencing the units that prefer responding for a given modality or rule would impair
324 performance when the animal is required to use that information, or artificial enhancement of those units
325 would cause them to use the rule when it is the inappropriate strategy.

326 **Encoding of position:**

327 Our finding that cue-evoked activity was modulated by cue location is in alignment with several previous
328 reports (Lavoie & Mizumori, 1994; Mulder, Shibata, Trullier, & Wiener, 2005; Strait et al., 2016; Wiener et
329 al., 2003). The NAc receives inputs from the hippocampus, and the communication of place-reward informa-
330 tion across the two structures suggests that the NAc tracks locations associated with reward (Lansink et al.,
331 2008; Lansink, Goltstein, Lankelma, McNaughton, & Pennartz, 2009; Lansink et al., 2016; Pennartz, 2004;
332 Sjulson, Peyrache, Cumpelik, Cassataro, & Buzsáki, 2017; Tabuchi, Mulder, & Wiener, 2000; van der Meer
333 & Redish, 2011). However, it is notable that in our task, location is explicitly uninformative about reward,
334 yet coding of this uninformative variable persists. The tiling of task space is in alignment with previous
335 studies showing that NAc units can also signal progress through a sequence of cues and/or actions (Atallah
336 et al., 2014; Berke, Breck, & Eichenbaum, 2009; Khamassi, Mulder, Tabuchi, Douchamps, & Wiener, 2008;

337 Lansink et al., 2012; Mulder, Tabuchi, & Wiener, 2004; Shidara, Aigner, & Richmond, 1998), is similar to
338 observations in the basal forebrain (Tingley & Buzsáki, 2018), and may represent a temporally evolved state
339 value signal (Hamid et al., 2015; Pennartz et al., 2011). Given that the current task was pseudo-random,
340 it is possible that the rats learned the structure of sequential cue presentation, and the neural activity could
341 reflect this. However, this is unlikely as including a previous trial variable in the analysis did not explain a
342 significant amount of firing rate variance in response to the cue for the vast majority of units. In any case,
343 NAc units on the present task continued to distinguish between different locations, even though location, and
344 progress through a sequence, were explicitly irrelevant in predicting reward. We speculate that this persistent
345 coding of location in NAc may represent a bias in credit assignment, and associated tendency for rodents to
346 associate motivationally relevant events with the locations where they occur.

347 **Implications:**

348 Maladaptive decision making, as occurs in schizophrenia, addiction, Parkinson's, among others, can re-
349 sult from dysfunctional RPE and value signals (Frank, Seeberger, & O'Reilly, 2004; Gradin et al., 2011;
350 Maia & Frank, 2011). This view has been successful in explaining both positive and negative symptoms in
351 schizophrenia, and deficits in learning from feedback in Parkinson's (Frank et al., 2004; Gradin et al., 2011).
352 However, the effects of RPE and value updating are contingent upon encoding of preceding action and cue
353 features, the eligibility trace (Lee et al., 2012; Sutton & Barto, 1998). Value updates can only be performed
354 on these aspects of preceding experience that are encoded when the update occurs. Therefore, maladaptive
355 learning and decision making can result from not only aberrant RPEs but also from altered cue feature en-
356 coding. For instance, on this task the environmental stimulus that signaled the availability of reward was
357 conveyed by two distinct cues that were presented in four locations. While in our current study, the location
358 and identity of the cue did not require any adjustments in the animals behavior, we found coding of these
359 features alongside the expected outcome of the cue that could be the outcome of credit assignment compu-
360 tations computed upstream. Identifying neural coding related to an aspect of credit assignment is important
361 as inappropriate credit assignment could be a contributor to conditioned fear overgeneralization seen in dis-

362 orders with pathological anxiety such as generalized anxiety disorder, post traumatic stress disorder, and
363 obsessive-compulsive disorder (Kaczurkin et al., 2017; Kaczurkin & Lissek, 2013; Lissek et al., 2014),
364 and delusions observed in disorders such as schizophrenia, Alzheimer's and Parkinson's (Corlett, Taylor,
365 Wang, Fletcher, & Krystal, 2010; Kapur, 2003). Thus, our results provide a neural window into the process
366 of credit assignment, such that the extent and specific manner in which this process fails in syndromes such
367 as schizophrenia, obsessive-compulsive disorder, etc. can be experimentally accessed.

368 **Methods**

369 **Subjects:**

370 A sample size of 4 adult male Long-Evans rats (Charles River, Saint Constant, QC) from an apriori deter-
371 mined sample of 5 were used as subjects (1 rat was excluded from the data set due to poor cell yield). Rats
372 were individually housed with a 12/12-h light-dark cycle, and tested during the light cycle. Rats were food
373 deprived to 85-90% of their free feeding weight (weight at time of implantation was 440 - 470 g), and water
374 restricted 4-6 hours before testing. All experimental procedures were approved by the the University of Wa-
375 terloo Animal Care Committee (protocol# 11-06) and carried out in accordance with Canadian Council for
376 Animal Care (CCAC) guidelines.

377 **Overall timeline:**

378 Each rat was first handled for seven days during which they were exposed to the experiment room, the
379 sucrose solution used as a reinforcer, and the click of the sucrose dispenser valves. Rats were then trained
380 on the behavioral task (described in the next section) until they reached performance criterion. At this point
381 they underwent hyperdrive implantation targeted at the NAc. Rats were allowed to recover for a minimum

382 of five days before being retrained on the task, and recording began once performance returned to pre-
383 surgery levels. Upon completion of recording, animals were gliosed, euthanized and recording sites were
384 histologically confirmed.

385 **Behavioral task and training:**

386 The behavioral apparatus was an elevated, square-shaped track (100 x 100 cm, track width 10 cm) containing
387 four possible reward locations at the end of track “arms” (Figure 2). Rats initiated a *trial* by triggering a
388 photobeam located 24 cm from the start of each arm. Upon trial initiation, one of two possible light cues (L1,
389 L2), or one of two possible sound cues (S1, S2), was presented that signaled the presence (*reward-available*
390 *trial*, L1+, S1+) or absence (*reward-unavailable trial*, L2-, S2-) of a 12% sucrose water reward (0.1 mL) at
391 the upcoming reward site. A trial was classified as an *approach trial* if the rat turned left at the decision point
392 and made a nosepoke at the reward receptacle (40 cm from the decision point), while trials were classified as
393 a *skip trial* if the rat instead turned right at the decision point and triggered the photobeam to initiate the next
394 trial. A trial is labeled *correct* if the rat approached (i.e. nosepoked) on reward-available trials, and skipped
395 (i.e. did not nosepoke) on reward-unavailable trials. On reward-available trials there was a 1 second delay
396 between a nosepoke and subsequent reward delivery. *Trial length* was determined by measuring the length
397 of time from cue onset until nosepoke (for approach trials), or from cue onset until the start of the following
398 trial (for skip trials). Trials could only be initiated through clockwise progression through the series of arms,
399 and each entry into the subsequent arm on the track counted as a trial. Cues were present until 1 second after
400 outcome receipt on approach trials, and until initiating the following trial on skip trials.

401 Each session consisted of both a *light block* and a *sound block* with 100 trials each. Within a block, one cue
402 signaled reward was available on that trial (L1+ or S1+), while the other signaled reward was not available
403 (L2- or S2-). Light block cues were a flashing white light, and a constant yellow light. Sound block cues
404 were a 2 kHz sine wave and a 8 kHz sine wave whose amplitude was modulated from 0 to maximum by
405 a 2 Hz sine wave. Outcome-cue associations were counterbalanced across rats, e.g. for some rats L1+ was

406 the flashing white light, and for others L1+ was the constant yellow light. The order of cue presentation
407 was pseudorandomized so that the same cue could not be presented more than twice in a row. Block order
408 within each day was also pseudorandomized, such that the rat could not begin a session with the same block
409 for more than two days in a row. Each session consisted of a 5 minute pre-session period on a pedestal (a
410 terracotta planter filled with towels), followed by the first block, then the second block, then a 5 minute post-
411 session period on the pedestal. For approximately the first week of training, rats were restricted to running
412 in the clockwise direction by presenting a physical barrier to running counterclockwise. Cues signaling the
413 availability and unavailability of reward, as described above, were present from the start of training. Rats
414 were trained for 200 trials per day (100 trials per block) until they discriminated between the reward-available
415 and reward-unavailable cues for both light and sound blocks for three consecutive days, according to a chi-
416 square test rejecting the null hypothesis of equal approaches for reward-available and reward-unavailable
417 trials, at which point they underwent electrode implant surgery.

418 **Surgery:**

419 Surgical procedures were as described previously (Malhotra, Cross, Zhang, & Van Der Meer, 2015). Briefly,
420 animals were administered analgesics and antibiotics, anesthetized with isoflurane, induced with 5% in med-
421 ical grade oxygen and maintained at 2% throughout the surgery (0.8 L/min). Rats were then chronically
422 implanted with a “hyperdrive” consisting of 16 independently drivable tetrodes, either all 16 targeted for the
423 right NAc (AP +1.4 mm and ML +1.6 mm relative to bregma; Paxinos & Watson 1998), or 12 in the right
424 NAc and 4 targeted at the mPFC (AP +3.0 mm and ML +0.6 mm, relative to bregma; only data from NAc
425 tetrodes was analyzed). Following surgery, all animals were given at least five days to recover while receiv-
426 ing post-operative care, and tetrodes were lowered to the target (DV -6.0 mm) before being reintroduced to
427 the behavioral task.

428 **Data acquisition and preprocessing:**

429 After recovery, rats were placed back on the task for recording. NAc signals were acquired at 20 kHz with
430 a RHA2132 v0810 preamplifier (Intan) and a KJE-1001/KJD-1000 data acquisition system (Amplipex).
431 Signals were referenced against a tetrode placed in the corpus callosum above the NAc. Candidate spikes
432 for sorting into putative single units were obtained by band-pass filtering the data between 600-9000 Hz,
433 thresholding and aligning the peaks (UltraMegaSort2k, Hill, Mehta, & Kleinfeld, 2011). Spike waveforms
434 were then clustered with KlustaKwik using energy and the first derivative of energy as features, and manually
435 sorted into units (MClust 3.5, A.D. Redish et al., <http://redishlab.neuroscience.umn.edu/MClust/MClust.html>).
436 Isolated units containing a minimum of 200 spikes within a session were included for subsequent analysis.
437 Units were classified as fast spiking interneurons (FSIs) by an absence of interspike intervals (ISIs) > 2 s,
438 while medium spiny neurons (MSNs) had a combination of ISIs > 2 s and phasic activity with shorter ISIs
439 (Atallah et al., 2014; Barnes, Kubota, Hu, Jin, & Graybiel, 2005).

440 **Data analysis:**

441 *Behavior.* To determine if rats distinguished behaviorally between the reward-available and reward-unavailable
442 cues (*cue outcome*), we generated linear mixed effects models to investigate the relationships between cue
443 type and our behavioral variables, with *cue outcome* (reward available or not) and *cue identity* (light or
444 sound) as fixed effects, and the addition of an intercept for rat identity as a random effect. For each cue,
445 the average proportion of trials approached and trial length for a session were used as response variables.
446 Contribution of cue outcome to behavior was determined by comparing the full model to a model with cue
447 outcome removed for each behavioral variable.

448 *Neural data.* Given that some of our analyses compare firing rates across time, particularly comparisons
449 across blocks, we sought to exclude units with unstable firing rates that would generate spurious results
450 reflecting a drift in firing rate over time unrelated to our task. To do this we ran a Mann-Whitney U test
451 comparing the cue-evoked firing rates for the first and second half of trials within a block, and excluded 99
452 of 443 units from analysis that showed a significant change for either block, leaving 344 units for further

453 analyses by our GLM. To investigate the contribution of different cue features (*cue identity*, *cue location*
454 and *cue outcome*) on the firing rates of NAc single units, we first determined whether firing rates for a unit
455 were modulated by the onset of a cue by collapsing across all cues and comparing the firing rates for the 1
456 s preceding cue-onset with the 1 s following cue-onset. Single units were considered to be *cue-modulated* if
457 a Wilcoxon signed-rank test comparing pre- and post-cue firing was significant at $p < .01$. Cue-modulated
458 units were then classified as either increasing or decreasing if the post-cue activity was higher or lower than
459 the pre-cue activity, respectively.

460 To determine the relative contribution of different task parameters to firing rate variance (as in Figures 4-5),
461 a forward selection stepwise GLM using a Poisson distribution for the response variable was fit to each cue-
462 modulated unit, using data from every trial in a session. Cue identity (light block, sound block), cue location
463 (arm 1, arm 2, arm 3, arm 4), cue outcome (reward-available, reward-unavailable), behavior (approach,
464 skip), trial length, trial number, and trial history (reward availability on the previous 2 trials) were used as
465 predictors, and the 1 s post-cue firing rate as the response variable. Units were classified as being modulated
466 by a given task parameter if addition of the parameter significantly improved model fit using deviance as the
467 criterion ($p < .01$). A comparison of the R-squared value between the final model and the final model minus
468 the predictor of interest was used to determine the amount of firing rate variance explained by the addition
469 of that predictor for a given unit. To determine the degree to which coding of cue identity, cue location,
470 and cue outcome overlapped within units we computed pearsons r on recoded beta coefficients from the
471 GLM for the cue features. Specifically, for all cue-modulated units, we coded a 1 if the cue feature was a
472 significant predictor in the final model, and 0 if it was not, and calculated pearsons r comparing an array of
473 the coded 0s and 1s for cue-modulated units for one cue feature with a similar array for another cue feature.
474 The NAc was determined as coding a pair of cue features in a) a separate populations of units if there was a
475 significant negative correlation ($r < 0$), b) an independently coded overlapping population of units if there
476 was no significant correlation ($r = 0$), and c) a jointly coded overlapping population of units if there was a
477 significant positive correlation ($r > 0$). To investigate more finely the temporal dynamics of the influence of
478 task parameters to unit activity, we then fit a sliding window GLM with the same task parameters using 500

479 ms bins and 100 ms steps, starting 500 ms before cue-onset, up to 500 ms after cue-onset, and measured the
480 proportion of units and average R-squared value for a given time bin where a particular predictor contributed
481 significantly to the final model. To control for the amount of units that would be affected by a predictor by
482 chance, we shuffled the trial order of firing rates for a particular unit within a time bin, and took the average
483 of this value over 100 shuffles. We then calculated how many z-scores the observed proportion was from the
484 mean of the shuffles samples, using a z-score of greater than 1.96 as a marker of signifiance.

485 To get a sense for the predictive power of these cue feature representations we trained a classifier using firing
486 rates from a pseudoensemble of our 133 cue-modulated units (Figure 6). We created a matrix of firing rates
487 for each time epoch surrounding cue-onset where each row was an observation representing the firing rate
488 for a trial, and each column was a variable representing the firing rate for a given unit. Trial labels, or classes,
489 were each condition for a cue feature (e.g. light and sound for cue identity) when trying to predict each cue
490 feature, and combinations of conditions across cue features when trying to make more specific predictions
491 (e.g. light reward-available, light reward-unavailable, sound reward-available, sound reward-unavailable),
492 making sure to align trial labels across units. We then ran LDA on these matrices, using 10-fold cross
493 validation to train the classifier on 90% of the trials and testing its predictions on the held out 10% of trials,
494 and repeated this approach to get the classification accuracy for 100 iterations. To test if the classification
495 accuracy was greater by chance, we shuffled the order of firing rates for each unit before we trained the
496 classifier. We repeated this for 25 shuffled matrices for each time point, and calculated how many z-scores
497 the mean classification rate of the observed data was from the mean of the shuffled samples, using a z-score
498 of 1.96 as the cut off for a significant deviation from the permuted distribution.

499 To better visualize responses to cues and enable subsequent population level analyses (as in Figures 4, ??,
500 and 7), spike trains were convolved with a Gaussian kernel ($\sigma = 100$ ms), and peri-event time histograms
501 (PETHs) were generated by taking the average of the convolved spike trains across all trials for a given task
502 condition. For analysis of population-level responses for cue features (Figure ??), convolved spike trains
503 for all units where cue identity, cue location, or cue outcome explained a significant portion of firing rate

504 variance were z-scored. Within a given cue feature, normalized spike trains were then separated according
505 to the preferred and non-preferred cue condition (e.g. light vs. sound block), and averaged across units to
506 generate population-level averages. To account for separation that would result from any random selection
507 of units, unit identity was shuffled and the shuffled average for preferred and non-preferred cue conditions
508 was generated for 1000 shuffles.

509 To visualize NAc representations of task space within cue conditions, normalized spike trains for all units
510 were ordered by the location of their maximum or minimum firing rate for a specified cue condition (Figure
511 7). To compare representations of task space across cue conditions for a cue feature, the ordering of units
512 derived for one condition (e.g. light block) was then applied to the normalized spike trains for the other
513 condition (e.g. sound block). For control comparisons within cue conditions, half of the trials for a condition
514 were compared against the other half. To look at the correlation of firing rates of all units within and across
515 various cue conditions, trials for each cue condition for a unit were shuffled and divided into two averages,
516 and averages within and across cue conditions were correlated. A linear mixed effects model was run for
517 each cue condition to determine if correlations of firing rates within cue conditions were more similar than
518 correlations across cue conditions.

519 To identify the responsivity of units to different cue features at the time of a nosepoke into a reward re-
520 ceptacle, and subsequent reward delivery, the same cue-responsive units from the cue-onset analyses were
521 analyzed at the time of nosepoke and outcome receipt using identical analysis techniques for all approach
522 trials (Figures 8, 9, ??, and ??). To compare whether coding of a given cue feature was accomplished by the
523 same or distinct population of units across time epochs, we ran the recoded coefficient correlation that was
524 used to assess the degree of overlap among cue features within a time epoch.

525 All analyses were completed in MATLAB R2015a, the code is available on our public GitHub repository
526 (<http://github.com/vandermeerlab/papers>), and the data can be accessed through DataLad.

527 **Histology:**

528 Upon completion of the experiment, recording channels were glosed by passing $10 \mu A$ current for 10 sec-
529 onds and waiting 5 days before euthanasia, except for rat R057 whose implant detached prematurely. Rats
530 were anesthetized with 5% isoflurane, then asphyxiated with carbon dioxide. Transcardial perfusions were
531 performed, and brains were fixed and removed. Brains were sliced in $50 \mu m$ coronal sections and stained
532 with thionin. Slices were visualized under light microscopy, tetrode placement was determined, and elec-
533 trodes with recording locations in the NAc were analyzed (Figure 10).

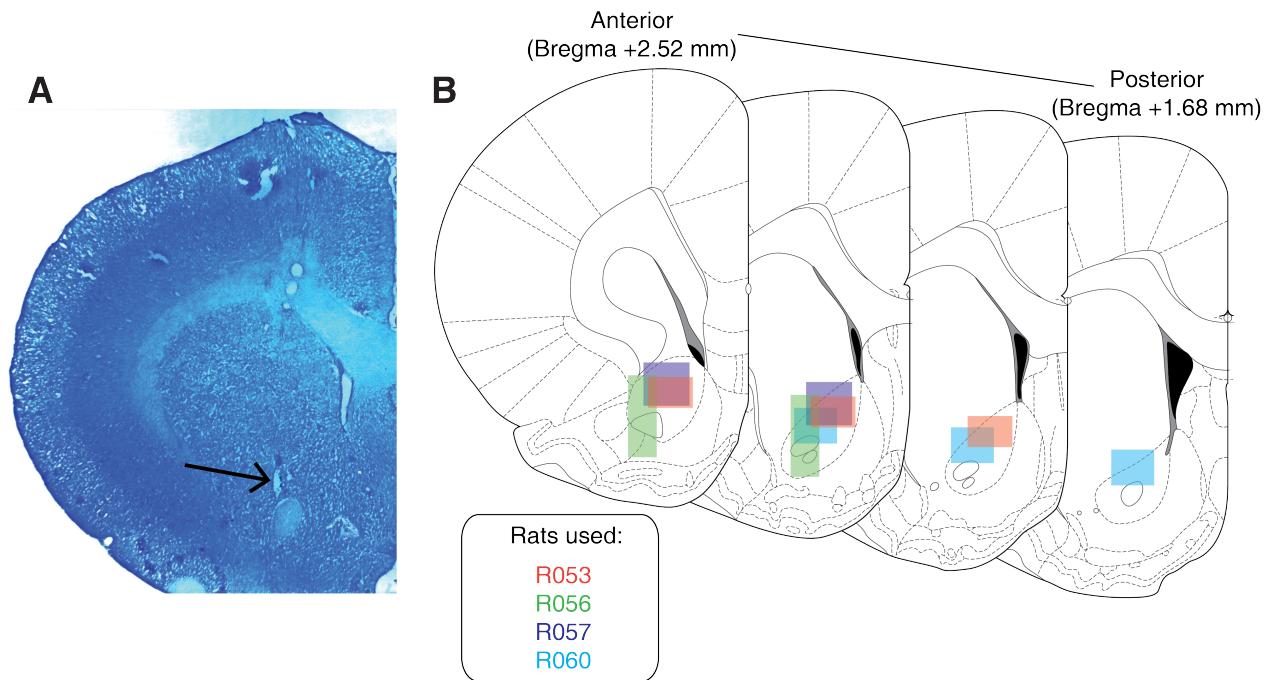


Figure 10: Histological verification of recording sites. Upon completion of experiments, brains were sectioned and tetrode placement was confirmed. **A:** Example section from R060 showing a recording site in the NAc core just dorsal to the anterior commissure (arrow). **B:** Schematic showing recording areas for all subjects.

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