SF278 (Rev. 03/2000) 5 C.F.R Part 2634

## Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

OMB No. 3209-0001

U.S. Office of Government clinics	Reporting Status		Calendar Year	T			Termination Date (If Appli- caple) (Month, Day, Year)	Fee for Late Filing
Date of Appointment, Candidacy, Election	(Check	Incumbent	Covered by Report	ì	New Entrant, Nominee,	Termination	cable ) (Month, Day, Year )	Any individual who is required to
or Nomination (Month, Day, Year)	appropriate boxes)	ALOUSI IOUM			or Candidate	Filer		file this report and does so more than
	appropriate baxes)			الشا				30 days after the date the report is
	Last Name				First Name and Middle	Initial		required to be filed, or, if an extension
Reporting Individual's Name				,	Donald H			is granted, more than 30 days after the
160001 miles maintaine a comme	Gips			1				last day of the filing extension period
	Title of Position				Department or Agency	(If Applicable)		shall be subject to a \$200 fee.
Position for Which Filing	Ambassador to	South Africa			State Dept			Describe Regions
1 OOLDIN TOT 11 MANUAL T MANUAL	ł			1				Reporting Periods Incumbents: The reporting period is
	Address (Number, S	treet, Citv. State, o	and ZIP Code )			Telephone No. (In	clude Area (Jode)	the preceding calendar year except
Location of Present Office	The White House	e, EEOB Roon	n 130, 1600 Penns	sylvani	a Ave,			Part II of Schedule C and Part I of
(or forwarding address)	Washington DC	20502				202-395-1323		Schedule D where you must also
In the Control of the Product	Title of Position(s)					1		include the filing year up to the date
Position(s) Held with the Federal Government During the Preceding								you file. Part II of Schedule D is not
12 Months (If Not Same as Above)	Assistant to the	President, Dire	ctor of Presidentia	al Pers	onnel, 1/20/2009 to	present		applicable.
12 Months (11 Not online as 1 10070)		·						
						a a Onelle-3 Direct	ufod Troot?	Termination Filers: The reporting incriod begins at the end of the period
Presidential Nominees Subject to	Name of Congressi	onal Committee Co	onsidering Nomination	<u> </u>	Do You intend to Crea	· F	·	covered by your previous filing and ends
Senate Confirmation	Foreign Relation	ns Committtee		. 1	Yes	× N	O	at the date of termination. Part II
	r oroigii r tolado				<u> </u>		·	of Schedule D is not applicable.
						Date (Month, Da	v Year )	of Schedule D is not applicable.
Certification	Signature of Repor	ing Individual				Date (Month), Ou	,, , , , , , , , , , , , , , , , , , , ,	Nominees, New Entrants and
I CERTIFY that the statements I have		7.1	' <i>N</i>			1 //		Candidates for President and Vice
made on this form and all attached schedules are true, complete and correct		1-11		-		1/5	709	President:
to the best of my knowledge.	1 1		4 /			1 70/2	$I \cup I$	Caladala A. The seventing period for
	Signature of Other	Parianuar				Date (Month, Da	year)	Schedule A. The reporting period for income (BLOCK C) is the preceding
	Signature of Outer	I/CA1CACI	<del></del>			Date Imonia. Da	V. 1841 J	calendar year and the current calendar
Other Review								year up to the date of filing. Value
(If desired by			V					assets as of any date you choose that is within 31 days of the date of filing.
agency)						ļ		Within 31 days of the date of ming.
A Pakin Officially Oninion	Signature of Design	nated Agency Ethi	s Official/Reviewing	Official		Date (Month, Do	v. Year)	Schedule BNot applicable
Agency Ethics Official's Opinion On the basis of information contained	Gigiature of Jense	nated Pigeney 15th	O CAMBRIAN AND A CONTROL OF THE CONT			,		
in this report, I conclude that the filer is		(	$\mathcal{D}_{I}$			1 . /	/	Schedule C. Part I (Liabilities). The reporting period is the preceding
in compliance with applicable laws and		_ \	1// -			6/10	1/64	calendar year and the current calendar
regulations (subject to any comments	1	eme !	Jeron			0/10	101	year up to any date you choose that is
in the box below).	Signature	<del></del>				Date (Month De	v Year)	within 31 days of the date of filing.
Office of Government Ethics	Olganiore /	11 10			1	1		
Use Only	,	Mu/VI	A Car	/	<b>'</b> )	6//	9/09	Schadule C Part II (Agreements of Arrangements) Show any agreements
			11 633 1 1					or arrangements as of the date of .
Comments of Reviewing Officials III add	litional space is reauit	<u>ed, use the reverse</u>						filing.
			(Check	box if fi	ling extension granted	& indicate númber o	f days)	
			,		-			Schedule N.—The renorting period is
								the preceding two calendar years and
							₹ 0:28	the current calendar year up to the
							Q 11 Ut 4	Agency Use Only
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					(Check box if com)	nents are continued	on the reverse side)	11111 1 2 2000
								JUN 12 2009
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SF278 (Rev. 0	3/2000)
SCER Part 2	634

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Reporting Individual's Name	SCHEDULE A	age Number 2
Donald Gips	SCHEDOLE A	-
Assets and Income	Valuation of Assets at close of areporting period  Income: type and amount. If "None (or less than \$201)" is other entry is needed in Block C for that item.	checked. no
BLOCK A	BLOCK B BLOCK C	
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 in income during the reporting period, together with such income.  For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than \$1,000 (except report the actual acount of any honoraria over \$200 of your spouse).	Some (or less than \$1,001)	Other Income (Mo., Day, Yr.) Type & Actual Amount)  Other Date (Mo., Day, Yr.) Yr.)  Only if Honoraria
None None		
Central Airlines Common  Examples Doe Jones & Smith, Hometown, State Kempstone Equity Fund  IRA: Heartland 500 Index Fund		Aw Partnership Income \$130,000
1 Level 3 Communications Salary		bonus \$ 238,262 2009 salary \$522,898 2008 bonus \$120,000 2008
Mindspeed Communications Board of Directors Fees		\$65,000.00 2008
Global Education Fund Salary (Spouse)		
4 Line left intentionally blank.		
5 Vanguard Primecap Fund(VPMCX) (spouse IRA)		
6 American Funds Growth Fund of America Class F (GFAFX) UBS IRA	X X X	
* This category applies only if the asset/income is mark the other higher categories of value, as approp	solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the original.	e spouse or dependent children,

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Re	porting ladividual's Name										C/	~,4~-	ראור	ŊΥ	YW 1	177			4.5								~~~					Page	e Number		
Do	onald Gips	(Use only if needed)														}																			
		Valuation of Assets Income: type and amount. If "None (or less than \$201)" is checked other entry is needed in Block C for that item.														<del></del>																			
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	Assets and Income				Val					ets																			than	\$20	)1)"	is c	hecked, no		
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<u> </u>	BLOCK A					В	LOC	KB	150			árássa -		en de																					
							200000		2000							₩.		Tyj	pe		. 6	555S	188	SSS "	A	mo	unt			******		1		4	
Character was de Cristians which the Contract of Contr	<b></b>	None (or fess than \$1,001)	\$1,001 - \$15,000	\$15,001+\$50,000	.\$50,001 - \$100,000	\$100,001 -\$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted investment Fund	Excepted Trust	Quefified Trust	Dividends	Rent and Royalties	Interest	Capital Cains	None (or less than \$201)	\$201 . \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 850,000	\$50,001 - \$100,000	\$100,001 \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000		Other Income (Specify Type & Actual Amount)		Date (Mo., Day, Yr.) Only if Honoraria
MCCCCCC.	None																					İ	*.												
1	Columbia Marsico Growth Fund Class C (NMICX) UBS IRA		x											¥							x								:						
2	: Columbia Acorn USA Fund Class A (AUSAX) UBS IRA		×											×							×											-			
3	BEAton Vance Large Cap Value C Fund (ECSTX) UBS IRA			×										X							×														
4	Class A (SGENX) UBS IRA		x											X							×														
.5	Oppenheimer Developing Markets Fund (ODVNX) UBS IRA		x											×			·				×														
8	Class A (TGVAX) UBS IRA			×										×							х														
-	7 Thornburg Core Growth Fund R3 (THCRX) UBS IRA		x											×							X														
	Ivy Global Natural Resources Adv Fund Class A (IGNVX) UBS IRA		х											X							x														
3	Delication Level 3 options (see attachment A) value not readily ascertainable Fully vested																				×														
* m	This category applies only if the asset/income ark the other higher categories of value, as appr			nat of	the	filer	's sp	оиѕе	or d	lepe	nder	it ch	ildre	en.	If the	e ass	set/ii	con	ie is	eith	er th	at of	the	filer	or je	oint	y he	d by	y the	filer	wit	the	spouse or de	peno	dent children,

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	SCHEDULE A continued  reald Gips  (Use only if needed)  Page Number  4																																		
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	McKinsey and Company 401k plan, Special Situations Aggressive Long-Term Portfolio						×							X							x												·		
	Level 3 401k plan Oakmark Equity and Income (OAKBX)			×										×							x														
	Level 3 401k plan Dodge and Cox Stock Fund (DODGX)			×										X							×														
	Level 3 401k plan Vanguard Institutional Index Fund (VINIX)					×								X							×														
	Level 3 401k plan WF Advantage Small Cap Opp-Adm (NVSOX)		x						-					¥							х														
	Level 3 401k plan American Funds EuroPacific (CEUBX)			¥										X							x														
	Level 3 401k plan Level 3 Stock			×																	x														
8	Level 3 Stock						x														×														
9	First Eagle Global Fund (SGENX) This category applies only if the asset/income	is sole!	v th	at of	the	filer	'e en	0350	OF (	lene	nde:	11 ch	üdre	×	(f th	6 30	eat/iv		oe ic	eith	PT t	2010	Ethe	file	0.5	× oint	v ba	4.5	y tho		- 12.0(±)	hth	a chouse or de	2000	lant children

mark the other higher categories of value, as appropriate.

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THE PROPERTY OF THE PARTY OF TH	Assets and Income			V	repo	t clo	se o g pe	f riod									e: tvi itry i:										\$20	)1)" i	is checked. no	
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ner its despisement (Dick for grand versiters and despisement of the Charles of Charles and the Charles of Cha	None	None (or less than \$1,001)	\$1,001 - \$15,000	S1S, duli + softward	\$50,001 - \$400,000 \$100,001 - \$250,000	\$250,001 - \$500,000	\$590,001 - \$1,000,000	Over \$1,000.000 *	\$1,000,601+\$ \$,000,000	\$5,000,001 - \$25,000,000	Szanouna - Sourentanou Ouise esto não não	Over anyons, and Trees frament france	Excepted Trust	Qualified Trust	Dividends	Rent and Royaltics		(apital (stiff)	None (or less than 5201)	\$1,001 - \$2,500	\$2,501 - \$5.000	\$5,001 - \$15,000	Am 000'05\$ 100'51\$	\$50,001 - \$100,000	\$100,001 - \$1,600,000	Over \$1,000,000*	\$1,000,001 \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
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	Oppenheimer Quest International Value A Fund (QIVAX)											X										×								
	Seariver Maritime Financial, zero coupon     Bond , an affiliate of Exxon Mobil																		x											
	3 Oppenheimer International Bond Fund (OIBNX) Class N					x						×											×							
	4 UBS Select Series II				×							×							X											
	5 UBS Activist Partners LLC, Hedge Fund					×						X							×											
-	Met Life Investors class L Shares     Variable Annuity, See Attachment B					×						×							x											
	7 UBS Scholars Choice 529 College Equity 80% investment Option Class C (1 fund for each of my three dc)					x						×							×											
	8 Obama transition																												salary of \$13,769.23	
Name of Persons	9 Alcon							2000											х											

Managed by Neuberger

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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	orting Individual's Name nald Gips										SC	Ή	ED	U,	LE	A	co:	ntii	1U£	d			<del></del>							Page Nu	mber 6	***********	
		Valuation of Assets at close of  Use only if needed)  Income: type and amount. If "None (or less than \$201)" is che other entry is needed in Block C for that item.																															
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	indspeed Deferred Directors Fees im VI Dynamics Fund FDCX)																			x		000000000000000000000000000000000000000											
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ŕ	Mindspeed Deferred Directors Fees Fidelity Contrafund (fcntx)			X																х												-	
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	Mindspeed Deferred Directors Fees Vanguard International Growth Adm Fund (VWILX)		х		-															х													
	This category applies only if the asset/income is rk the other higher categories of value, as appro			at of	the	filer	's sp	ouse	or d	epen	dent	chil	dren	. If	the a	sset	inco	me is	eith	er tl	at o	fthe	filer (	r join	tly h	eld by	y the	filer	with	the spor	use or depo	nden	t children,

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Repo	orting Individual's Name									ے۔۔۔	( <b>/</b> 1)	(इ.इ.स	n.	TTT	ر د ج		L			3										Page Number	
Dor	nald Gips									3	SU]			JLI					цес	1									ĺ	7	
J-V1		Valuation of Assets at close of  Income: type and amount. If "None (or less than \$201)" is checked to there entry is needed in Block C for that item.																													
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Stone (or less than \$1,001)  \$1,001 - \$15,000  \$15,001 - \$15,000  \$15,001 - \$100,000  \$250,001 - \$20,000  \$250,001 - \$20,000  \$250,001 - \$25,000,000  \$250,001 - \$25,000,000  \$25,000,001 - \$25,000,000  \$25,000,001 - \$25,000,000  \$25,000,001 - \$25,000,000  \$25,000,001 - \$25,000,000  \$25,000,001 - \$25,000,000  \$25,000,001 - \$25,000,000  \$25,001 - \$10,000  \$25,001 - \$10,000  \$25,001 - \$10,000  \$25,001 - \$10,000  \$25,001 - \$10,000  \$25,001 - \$10,000  \$25,001 - \$10,000  \$25,001 - \$10,000  \$25,001 - \$10,000  \$25,001 - \$15,000  \$25,000 - \$15,000  \$25,000 - \$20,000																															
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3	Telesoft Venture Fund					₩-			-	3 <u></u>				18			<u></u>	-8			_						_				
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4	Skyterra Stock Options vested, value		_						-	-	-	-			<b>#</b>	_	∭_		-		<b>-</b>	-	<u></u>		<b>  </b>						
1	not readily ascertainable, 176,250 share	s			1		2000											2000		x 🖁											
_	strike price \$2.287, expires 2/14/2014 Gips Management checking Account,	_				<b></b>		<b>-</b>	-	_					<b>#</b>	_	∭_		4	_		-	-							\$5113.03	
5	Ohe Management checking Account,				x							30000						x					3				ł			\$5113.03 management	
	Wells Fargo Bank								_			3																		fee	
6	Aon		x													x				x											
	managed by Neuberger at UBS		_^				_									^		_		_									_		
7	Wells Fargo Bank Accounts																														
	(saving and checking)																	X			<b>&gt;</b>	' 🎆									
8	US Savings Bonds		-			<b>#</b>																							-		
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9	Mindspeed Stock		X									-	H		₩	_	-	- 8		- 10		-							-		
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*	This category applies only if the asset/income	is sole	l ly th	at of	the	oooj filer':	s spo	ouse c	r der	end	ent c	्। hildi	en.	If the	asse	et/in	come	e is e	ithe	r tha	t of t	‱ he fil	er or	ioint	ly he	d by	the:	filer	l with	the spouse or deper	dent children.
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\$F278 (Rev. 03/2000)	
5 C.F.R Part 2634	•
U.S. Office of Government Ethics	
Reporting Individual's Name	]
Donald Gips	

#### Page Number SCHEDULE A continued 8 (Use only if needed) Valuation of Assets Assets and Income Income: type and amount. If "None (or less than \$201)" is checked, no at close of other entry is needed in Block C for that item. reporting period BLOCK A BLOCK B BLOCK C Type Amount Other Date Excepted Investment Fund \$25,000,001 - \$50,000,000 Income (Mo., Day, \$5,000,001 - \$25,000,000 \$1,000,001 - \$ 5,000,000 \$1,000,000 - \$5,000,000 (Specify Yr.)\$500,001 - \$1,000,000 \$100,001 - \$1,000,000 \$100,001 - \$250,000 \$250,001 - \$500,000 Type & Rent and Royaltles Capital Gains None (or less than S 550,001 - \$100,000 \$15,001 - \$50,000 \$50,001 - \$100,000 Only if Over \$50,000,000 Actual Over \$1,000.000 Over \$1,000,000\* \$15,001-\$50,000 \$1,001 - \$15,000 Ounlifted Trust Over \$5,000,000 Excepted Trust Honoraria \$5,001 - \$15,000 Amount) \$2,501 - \$5,000 \$1,001 - \$2,500 \$201-\$4,000 None IUBS Pace Large Company Growth Equity Fund (PCLCX) X iRA plan 2 UBS Pace intl Emerging Markets Equity Investment Fund (PWEYX) IRA plan 3 UBS Pace Large Company Value Equtiy investment Fund (PLVYX) х х IRA plan 4 UBS Pace International Equity Investment Fund Class C (PWGCX) х х IRA plan 5 UBS Pace Small/Medium Co Growth Equity Investment Fund x IRA plan (PUMBX) 6 UBS Pace Small/Medium Co Value Equity Investment Fund Class P Х IRA plan (PVEYX) 7 UBS Pace Govt Fixed income Investment Fund (PCGTX) х × IRA plan 8 UBS Pace Govt Sec Fixed Income Х Investment Fund (PFXCX) X IRA plan 9 UBS Pace Strategic Fixed Income Investment Fund (PCSIX) х х IRA plan This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children,

mark the other higher categ

5 C.F.R Part 2634 U.S. Office of Government Ethics

Reporting Individual's Name						S	CH	m	MI	Œ.	Δο	on	tinı	har									I	Page Number	
Donald Gips		-				S				ıly i:				į Cu										9 .	
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Neuberger managed account																	X								
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Johnson and Johnson     Neuberger managed account	l l ×										х				×										
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U.S. Office of Government Ethics

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U.S. Office of Government Ethics Reporting Individual's Name Page Number SCHEDULE A continued 11 Donald Gips (Use only if needed) Assets and Income Valuation of Assets Income: type and amount. If "None (or less than \$201)" is checked, no at close of other entry is needed in Block C for that item. reporting period BLOCK A BLOCK B BLOCK C Type Amount Other Date Excepted Investment Kund None (or less than \$1,001) \$25,000,007 - \$50,000,000 (Mo., Day, Income \$1,000,001 - \$ 5,000,000 \$5,000,001 - \$25,000,000 None (or less than \$201)  $Y_{F.})$ \$1,000,001 - \$5,000,000 (Specify \$500,001 - \$1,000,000 \$100,001 - \$1,000,000\$100,001 - \$250,000\$250,001 - \$500,000 Type & \$50,001 - \$100,000 Rent and Royalties \$15,001.\$50,000 Over \$50,000,000 \$50,001 - \$100,000 Actual Only if Over \$1,000.000 \$15,001-\$50,000 Over \$1,000,000\* Onalified Trust Over \$5,000,000 Honoraria Excepted Trust \$5,001 - \$15,000 Amount) \$2,501 \$5,000 Capital Gains \$1,001 - \$2,500 \$201-84,000 None T Rowe Price Mid Cap Value Fund (TRMCX) х Level 3 401 K Enterprise Products managed by Neuberger Plains All America managed by Neuberger 4 RMA Money Market Portfolio managed by Nuveen 5 Puerto Rico Bldg GTD Ser Muni Bond х x х managed by Nuveen 6 Colorado ST Higher ED CA ser 2008 x managed by Nuveen 7 Denver Co. City and and County WTR co Rev bond х Х managed by Nuveen 8 Donna Texas Ind Sch Dist bond х x managed by Nuveen 9 Douglass Co Colo S/D Bond × х managed by Nuveen This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

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9 C	Colorado Health Facs Auth 008 RV BE/R Bond															×													

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This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

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Donald Gips									ì	SC.			only					tea	i										13	
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Gips Partnership Interest

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

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Reporting Individual's Name				SCHE	DULE.	A continu	ed	Page Number 14
Donald Gips	<u></u>			(U	Jse only i	f needed)		
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3 EOG Res Inc	x					X	X	
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Gips Partnership interest								•
6 Itt Industries	×					×	×	
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7 Johnson and Johnson	×					x		
Gips Partnershp Interest  8 Millipore								
Gips Partnershp Interest						x     x	×	
9 Oracle								
Gips Partnership interest	X						×	
* This category applies only if the asset/income is mark the other higher categ	solely that	of the filer	s spouse or	dependent childr	ren. If the as	sset/income is cit	ther that of the filer or jointly held by the filer with	the spouse or dependent children,

SF278 (Rev. 03/2000) 5 C.F.R Part 2634

U.S. Office of Government Ethics Reporting Individual's Name Page Number SCHEDULE A continued 15 Donald Gips (Use only if needed) Assets and Income Valuation of Assets Income: type and amount. If "None (or less than \$201)" is checked, no at close of other entry is needed in Block C for that item. reporting period BLOCK A BLOCK B BLOCK C Type Amount Other Date Excepted Investment Fund \$25,000,001 - \$30,000,000 (Mo., Day, Income \$5,000,001 - \$25,000,000 \$1,000,001 \$ 5,000,000 \$500,001 \$1,000,000 Over \$1,000,000 \* \$1,000,000 - \$5,000,000 (Specify Yr.) Туре & \$100,001 \$250,000 \$250,001 - \$500,000 Rent and Royatties Only if Over \$50,000,000 None (or less than \$50,001 - \$100,000 Actual \$15,001 - \$50,000 \$15,001 - \$50,000 Over \$1,000,000\* Omitified Trust Excepted Trust Over \$5,000,000 Amount) Honoraria \$5,001 - \$15,000 \$1,001 - \$2,500 \$2,501-\$5,000 Capital Gams \$201 \$1,000 Dividends Interest None 1 NBTY Inc х Gips Partnership Interest 2 Novartis sponsored ADR х X X Gips Partnership Interest 3 petsmart inc х Х Χ Gips Partnership Interest 4 Reinsurance Group of America х х Gips Partnership Interest 5 Talisman Energy Inc χ Х Gips Partnership Interest 6 US Treasury Bills х Gips Partnership Interest 7 | Plains All America Pipeline Х х Gips Partnership Interest 8 IVCA Antech Inc × Gips Partnership Interest 9 Wilbros Group inc χ Gips Partnership Interest This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

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 5	managed by Neuberger					#-	-	-				_	₩.	🖁	░_					<b>-</b>	-	<b>-</b>	-						ļ			
ľ	Arapohoe County Co SD No 5 Bond				800												х				x 🎇											
١	managed by Nuveen General Electirc Cap Corp Bonds		_			-	-	<u> </u>				_	<b></b>		<b>®</b> _	_				<b>-</b>		<b>-</b>	-						-			
ľ	General Electric Cap Corp Bonds																X															
7	Gips Partnership Interest Goldman Sachs Group Inc							-				_					_			<u></u>	- 1	4							ļ			
1	Bonds																х														!	
_	Gips Partnership Interest		_			<b></b>	_					_[			<b>.</b>					<u> </u>	_								<u> </u>		<u> </u>	
8	Pfizer Inc Bonds		×														x															
	Gips partnership Interest														<b></b>																	
9	Davis New York Ventures A Fund IUBS IRA		x		. 2														×												-	
L					8000																											
	This category applies only if the asset/incomes, the other higher category	e is solel	y th	at of	the f	ler's	spous	se or	depe	ender	nt chi	ildre	n. I	f the a	esset	incor	ne is	cith	er th	at of	the f	ler o	r join	tly he	ld b	y the	filer	with	the s	pouse or depe	ident ch	ildren,

U.S. Office of Government Ethics Reporting Individual's Name Page Number SCHEDULE A continued 17 Donald Gips (Use only if needed) Assets and Income Valuation of Assets Income: type and amount. If "None (or less than \$201)" is checked, no at close of other entry is needed in Block C for that item. reporting period BLOCK A BLOCK B BLOCK C Type Amount Other Date Excepted investment Fund \$25,000,001 - \$50,000,000 (Mo., Day. Income 85,000,001 - \$25,000,000 \$1,000,001 - \$ 5,000,000 \$1,000,001 - \$5,000,000 Yr.) (Specify \$500,001 - \$1,000,000 \$100,001 - \$1,000,000 \$250,001 - \$500,000 \$100,001 - \$250,000 Type & Rent and Revallies 850,001 - \$100,000 Over \$1,000.000 \* \$15,004 + \$50,000 Over \$50,000,000 None (or less than \$50,001 - \$100,000 Actual Only if \$1,001 - \$15,000 Onatified Trust \$15,001 - \$50,000 Over \$1,000,000\* Over \$5,000,000 Excepted Trust \$5,001 - \$15,000 Amount) Honoraria \$2,501 - \$5,000 \$1,001 - \$2,500 Capital Gams \$201 - \$1,000 None BHP Billiton Х x managed by Neuberger Colgate х managed by Neuberger Enbridge X managed by Neuberger Expeditors Intel х X managed by Neuberger General Electric x x managed by Neuberger Goldman Sachs х X managed by Neuberger Hewlett Packard х managed by Neuberger HSBC Holdings x managed by Neuberger Lockheed Martin managed by Neuberger This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

SF278 (Rev. 03/2000) 5 C.F.R Part 2634		
U.S. Office of Government Ethics		
Reporting Individual's Name  Donald Gips	SCHEDULE A continued (Use only if needed)	Page Number
	(Out only if House)	
Assets and Income	Valuation of Assets at close of at close of reporting period  Income: type and amount. If "None other entry is needed in Block C for reporting period	e (or less than \$201)" is checked. no that item.
BLOCK A	BLOCK B BLOC	
None	State for Ex. 4fters \$3,001	Other Income (Mo., Day, Yr.)  Over \$1,000,000; \$1,000,
Petroleo Brasillero managed by Neuberger		
Qualcomm Inc managed by Neuberger		
Range Resources managed by Neuberger		
4		
5		
6		
7		
8		
9		
* This category applies only if the asset/incom	e is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or join	itly held by the filer with the spouse or dependent children,

mark the other higher categ

SF 278 (Rev. 03/2000) 5 C.F.R Part 2634 U.S. Office of Governme	nt Ethics	o not Complete S	chedule B if you are a new entrant, nomine	ee, Vic	ce P	resi	dential d	or Pr	esid	entia	al Ca	andio	date						
Reporting Individual's	Name		CCHENTITE	T)										Page 1	Vomber		4.5		******
Donald Gips	w		SCHEDULE	. 15												7	19		
Part I: Trai	isactions				,			None			1								
		e by you, your spouse, rting period of any real	report a transaction involving property used solely as your personal residence, or a transaction solely between you,	t t	ransact Type (;		Ì		**************************************		An	nount (	of Tran	saction	(x)				
property, stocks, bo securities when the	nds, commodity fi amount of the tran	itures, and other	your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.	Purchase	T	ange	Date (Mo. , Day, Yr.)	\$1,001 -	\$15,001 -	- 100,	0,001 -	0,001 -	\$500,001 - \$1,000,000	,000'000	\$1,000,001 - \$5,000,000	.000,000,	.000,000,	ئو00'000°	Certificate of
F (10)	al Airlines Cómmon		cation of Assets		Sale	ă	1	\$15	\$15	\$50	\$10	\$25	\$1.	§ £	\$3,0	\$5,0	\$25	Over \$50,0	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
1	at Attrines Common	i		×			2/1/99			Х						-		-	-
2						-		-			-	-	-			<b>-</b>			-
3						ļ	-				ļ								
4					<u> </u>	<del> </del>						<del> </del>	1						-
5					<u> </u>							-	-						<del> </del>
by the filer or jointl	y held by the filer	with the spouse or depen	at of the filer's spouse or dependent children. If the underlying dent children, use the other higher categories of value, as appro <b>Fravel Expenses</b>		ither h	l eld				İ		<u> </u>	·						
For you, your spotion, and the valuation, or entertain (2) travel-related than \$260. For coas personal friencauthority, etc. For authority, etc.	use and depende e of: (1) gifts (so ment) received if cash reimbursen inflicts analysis, i, agency approver travel-related gourse of exnenses	ent children, report the ach as tangible items, to from one source totaling the nents received from or it is helpful to indicate all under 5 U.S.C. § 41 iffs and reimbursement provided. Exclude an	source, a brief descrip- ransportation, lodging, g more than \$260; and le source totaling more e a basis for receipt, such	rece inde the tota	eived : epend donor i valu	from ent of 's res e from	rnment; gir relatives; i f their rela- idence. Al m one sour isions.	eceive tionshi so, for	d by p to y purpo	your s ou; or oses o	pouser prov of agg	e or d vided : regati	epend as per ing gif	ent cl sonal Its to	hild to hospi detern	otally itality nine t structi	at he		]
Erromalada NI-III	Source (Name an				nef De												$oxed{\Box}$	Value	
Examples: Nat'l	Assn. of Rock Colle	ectors, NY, NY	Airline ticket, hotel room & meals incident to national conference	6/15/99 fr	persona	ai activ	vity unrelated	to duty	}								1	\$500	)

	. Source (Name and Address)	Brief Description	Value
	Examples: Nat'l Assn. of Rock Collectors, NY, NY Frank Jones, San Francisco, CA	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	\$500
1	Plank Jones, San Francisco, CA	Leather briefcase (personal friend)	\$300
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Reporting Individual's Name				<del></del>								Page No	umber				
Donald Gips	SCHEDULE C							20									
Part I: Liabilities			···, ,			<u> </u>			····	·······		·	***************************************				
Report liabilities over \$10,000 owed to any one creditor at personal residence unless it is rented out; loans secured				None x		Category of Amount or Value (x)											
or dependent children. Check the highest amount owed liabilities		by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.			1	+-	{	·							ا د ا		
			Date Incurred	Interest Rate	Term if appli- cable	\$10,001 -	- F86 86	000,	0,001 -	0,001 -	\$500,001 - \$1,000,000	Over \$1,000,000*	000,000	\$5,000,001 - \$25,000,000	\$25,000,001	Over \$50,000,000	
Creditors (Name and A		Type of Liability			040.0	\$15	\$15	\$30	\$25	\$25( \$50(	\$500 \$1,0	8 5	5,0	\$5,0 \$25,	\$25, \$50,	2 350,	
Examples: First District Bank, Washington, John Jones, 123 J St., Washington	DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.		L	X		L							
John Jones, 123 J St., Washingto	on, DC	Promissory note	1999	10 %	on deman	1	<u> </u>			x	ļ					<u></u>	
													ĺ				
2							l										
3					<del> </del>	1											
4		·			-	+											
5					-	+	ļ				-						
			1				ļ									'	
* This category applies only if the liability	is solely that of the file	er's spouse or dependent children. If the liability is that of t	he filer or a jo	oint liabilí	ty of the fil	er	***		<del></del>			·'		4.			
with the spouse or dependent children, m		egories, as appropriate.										·					
Part II: Agreements or A			2														
Report your agreements or arrangeme employee benefit plan (e.g. 401k, def	ents for: continuing p	participation in an			4) future of the							ling th	ne rep	orting	g		
payment by a former employer (inclu	ding severance paym	nents): (3) leaves	or negot	iations ic	or any or ti	nese ari	anger	nents	or be	nems							
	.,	, ( )									Ŋ	lone					
		Agreement or Arrangement	***************************************						Parties	;					D	ate	
Example: Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.					D	e Jones	& Smit	h, Hom	etown,	State					7/	/85	
Level 3. Resigned. Continued participation in 401K plan. No further contributions.					Le	evel 3, l	3room	field (	CO								
All assets reported on Schedule A. Will retain my stock options in Level-3.																/2009	
Continued participation in Mindspeed deferred compensation plan. No further contributions. All assets reported on Schedule A.					Į IVI	indspe	ea Co	гр. ме	wport	Bead	in CA				1/18/	/2009	
Continued participation in McKinsey 401K plan. No further contributions. All assets reported on Schedule A					M	cKinse	y and	Comp	eny, i	New Y	ork, N	ΝY			10/1	1993	
4 Will retain my stock options in Skyterra.  Skyterra Communications, Reston, VA						Ά			12/2	2001							
5										<u></u>	· · · · · · · · · · · · · · · · · · ·						
-		· · · · · · · · · · · · · · · · · · ·										······································		· · · · · · · · · · · · · · · · · · ·	<u> </u>		
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Reporting Individual's Name				Page Number		
Donald Gips		SCHEDULE D	21			
Part I: Positions Held Outside U Report any positions held during the applicab compensated or not. Positions include but are director, trustee, general partner, proprietor, r	le reporting period, whether on the interpretation of the period of the representative, employee, or	consultant of any corporation, firm, par non-profit organization or educational i social, fraternal, or political entities and	tnership, or other business enterprise or a nstinution Exclude nositions with religion those solely of an honorary nature.	uny one None		
	(Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)	
Examples: Nat'l Assn. of Rock Collectors, NY, N	Y	Non-profit education Law firm	President	6/92	Present	
Doe Jones & Smith, Hometown, State	Doe Jones & Smith, Hometown, State		Partner	7/85	1/00	
President, Gips Management. Resign     Boulder, CO	ed	Family Partnership	President	2/02	1/19/09	
Washington, DC	Transition Advisory Board. Resigned Washington, DC		Board Member	7/08	1/19/09	
3 Level 3. Resigned Broomfield, CO		Public Corporation	Group Vice President Corporate Development	9/98	1/12/09	
4 Mindspeed Corporation. Resigned Newport Beach, CA		Public Corporation	Board Member	5/2004	1/18/09	
Mobile Satellite Ventures. Resigned. Washington, DC		Private Corporation	Board Member	12/2001	11/2008	
6 Samuel Berry Gips 1999 Trust		Trust	Trustee	1999	Present	
Part II: Compensation In Excess Report sources of more than \$5,000 compensations affiliation for services provided direct the reporting period. This includes the names	tion received by you or your	corporation, firm, partnership, or other	business enterprise, or any other non-production of the services generating a fee or paymen ort the U.S. Government as a source.	fit if you are ar t Termination Vice Preside	ential tia <u>l Ca</u> ndidate	
Source (Name and Address)			Brief Description of Duties			
Examples: Doe Jones & Smith, Hometown, State Metro University (client of Doe Jones Level 3 Communications, Broomfield	& Smith), Moneytown, State	Legal services  Legal services in connection with university	ity construction			
, i		Employee leading Corporate Develo	opment and Strategy efforts			
2 Mindspeed Corporation, Newport Bea	ch; CA	Board member		,		
3 Gips Management, Washington, DC		President of Family Partnership				
4 Obama Transition		Board member and staff member				
5 Mobile Satellite Ventures		Board Member				
6						
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Reporting Individual's Name			Page Number						
	SCHEDULE D	SCHEDIUED							
Donald Gips	22								
			· · · · · · · · · · · · · · · · · · ·						
Part I: Positions Held Outside U.S. Government									
Report any positions held during the applicable reporting period, whet		irm, partnership, or other business ent	erprise or any						
compensated or not. Positions include but are not limited to those of a	n offic non-profit organization or educa	ational institution. Exclude positions	with religious,						
director, trustee, general partner, proprietor, representative, employee,	or social, fraternal, or political enti	ities and those solely of an honorary n	ature.						
None									
Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)					
xample Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present					
Doe Jones & Smith, Hometown, State Peter Charles Gips 1999 Trust	Law firm	Partner	7/85	1/00					
<u> </u>	Trust	Trustee	1999	Present					
Benjamín Walter Gips 1999 Trust	Trust	Trustee	1999	Present					
University of Colorado Telecommunications Program Boulder, CO (Resigned)	University	Member, Advisory Board	01/01	01/09					
Silicon Flatirons Center for Law	University	BA-mala - A-lai	44/00	67166					
Boulder, CO (Resigned)	Oniversity	Member, Advisory Board	11/00	01/09					
Governor's Innovation Council	Government Council	Member, Advisory Board							
Denver, CO (Resigned)			11/07	01/09					
Part II. Componention in Process (VEQ. 1000 Decides									
Part II: Compensation In Excess Of \$5,000 Paid by One Source  Report sources of more than \$5,000 compensation received by you or your corporation, firm, partnership, or other business enterprise, or any other if you are an Incumbent,									
business affiliation for services provided directly by you during any on	corporation, fifth, particising, of vear organization when you directly	Termination Filer or							
the reporting period. This includes the names of clients and customers	of any of more than \$5,000. You need	of more than \$5,000. You need not report the U.S. Government as a s Vice Presidential							
or Presidential Candidate									
None None									
Source (Name and Address)	Brief Description of Duties								
Example Doe Jones & Smith, Hometown, State	Legal services								
Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with	Legal services in connection with university construction							
1				· · · · · · · · · · · · · · · · · · ·					
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7									
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#### Donald Gips

#### Level 3 Stock Options - ATTACHMENT 1 to Schedule A, Page 3, Item 9.

Level 3 vested stock options: 5,749 shares
@ \$2.03, granted 71/2005 exp. 7/1/2009

Level 3 vested stock options: 5,879 shares @ \$2.32, granted 10/1/2005 exp. 10/1/2009

Level 3 vested stock options: 23,000 shares @ 2.87, granted 1/1/2006; exp. 1/1/2010

Level 3 vested stock options: 29,000 shares @ \$5.18 granted 4/1/2006 exp. 1/11/2010

Level 3 vested stock options: 29,000 shares @ \$4.44 granted 7/1/2006, exp. 1/11/2010

Level 3 vested stock options: 23,000 shares @ \$5.39 granted 10/1/2006, exp. 1/11/2010

Total Options: 109.879 / Total Vested: 109,879 / Total Unvested: 0 / Price of Stock (as of 15/8/2009): \$1.18

### ATCH P.

# <u>MetLife Moderate Allocation Portfolio Allocations</u> (estimated percentages)

Western Asset Management U.S. Government Portfolio	2%	EIF
PIMCO Inflation Protected Bond Portfolio	1%	EIF
	21%	EIF
PIMCO Total Return Portfolio	10%	EIF
Western Asset Management Strategic Bond Opportunities Portfolio		
BlackRock High Yield Portfolio	1%	EIF
Lord Abbett Bond Debenture Portfolio	2%	EIF
Harris Oakmark International Portfolio	3%	EIF
Julius Baer International tock Portfolio	4%	EIF
Met/Dimensional International Small Company	2%	EIF
Van Eck global Natural Resources Portfolio	1%	EIF
BlackRock Large Cap Core Portfolio	3%	EIF
BlackRock Large Cap Value Portfolio	6%	EIF
Davis Venture Value Portfolio	7%	EIF
Jennison Growth Portfolio	4%	EIF
Legg Mason Value Equity Portfolio	3%	EIF
MetLife Stock Index Portfolio	5%	EIF
T. Rowe Price Large Cap Growth Portfolio	4%	EIF
Clarion Global Real Estate Portfolio	1%	EIF
Fl Mid Cap Opportunities Portfolio	2%	EIF
Lazard Mid-Cap Portfolio	3%	EIF
Neuberger Berman Mid Cap Value Portfolio	3%	EIF
Dreman Small-Cap Portfolio	3%	EIF
MET/AIM Small Cap Growth Portfolio	2%	EIF
Russell 2000 Index Portfolio	2%	EIF