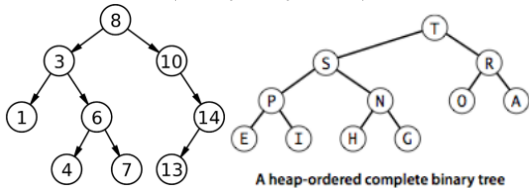


Heap Data Structures

BST: left node less than parent, right node greater than parent



A heap-ordered complete binary tree

BST "Heap-Ordered"

each node is larger than or equal to the keys in that node's two children

compares up/down $O(\log n)$

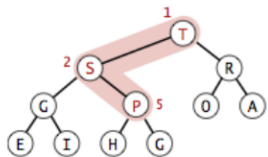
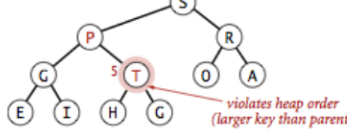
Parent: floor($k/2$) \rightarrow Node = 6, then $6/2 = 3$. Parent node is at a[3]

Left Child: $2*k \rightarrow$ Node = 6, then $6*2 = 12$. First child node is at a[12]

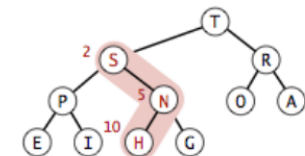
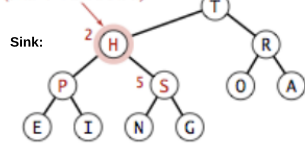
Right Child: $(2*k) + 1 \rightarrow$ Node = 6, then $6*2+1 = 13$. Second child node is at a[13]

Represented as a Binary Tree, Structured as an array

Swim:



Sink:



HeapSort $n \log n$

```
public void sort(Comparable[] a) {
    int N = a.length;
    for (int k = N/2; k >= 1; k--)
        sink(a, k, N);
    while (N > 1) {
        exch(a, 1, N--);
        sink(a, 1, N);
    }
}
```

Selection Sort:

Comparisons: $\sim \frac{n^2}{2}$
Exchanges: $\sim n$

Insertion Sort:

Comparisons: $\sim \frac{n^2}{4}$
Exchanges: $\sim \frac{n^2}{4}$

Shell sort:

Comparisons: $O(n^{\frac{3}{2}}), \Omega(n \log n)$

PQ

Can solve what is the largest or smallest in a set
insert(S): add something new to collection
delMax(): removes element that is largest and returns

Unordered (Lazy Approach)

Big-O performance for Unordered Array

Insert: $O(1)$

delMax: $O(n)$

Ordered (Eager Approach):

Big-O performance for Ordered Array

Insert: $O(n)$

delMax:

Small \rightarrow Large order: $O(1)$

Large \rightarrow Small order: $O(n)$

MaxPQ(): create a priority queue

PaxPQ(int max): create a PQ of initial max capacity

MaxPQ(Key[] a): create PQ from keys in a[]

void insert(Key v): insert a key into the PQ

Key max(): return largest key

Key delMax(): return and remove largest key

boolean isEmpty(): is PQ empty?

int size(): number of keys in PQ

What is the difference between a (max) heap and a priority queue?

A Max-Heap is a complete binary tree in which the value in each internal node is greater than or equal to the values in the children of that node. The Priority Queue is based on the priority heap. The elements of the priority queue are ordered according to the natural ordering, or by a Comparator provided at queue construction time, depending on which constructor is used.

any sorting algorithm needs at least $n \log n$ compares, so: $\Omega(N \log N)$.

As long as compares are the optimal way to sort, one cannot build any computer or any write any algorithm to do general sorting in less than $\log n$ time.

```
public class MaxPQ<Key extends Comparable<Key>> {
    private Key[] pq;
    private int N = 0;
    public MaxPQ(int maxN) {
        pq = (Key[]) new Comparable[maxN + 1];
    }
    public boolean isEmpty() {
        return N == 0;
    }
    public int size() {
        return N;
    }
    public void insert(Key v) {
        pq[++N] = v;
        swim(N);
    }
    public Key delMax() {
        Key max = pq[1];
        exch(1, N--);
        pq[N + 1] = null;
        sink(1);
        return max;
    }
    private void swim(int k) {
        while (k > 1 && less(k / 2, k)) {
            exch(k, k / 2);
            k = k / 2;
        }
    }
    private void sink(int k) {
        while (2 * k <= N) {
            int j = 2 * k;
            if (j < N && less(j, j + 1)) j++;
            if (!less(k, j)) break;
            exch(k, j);
            k = j;
        }
    }
    private boolean less(int i, int j) {
        return ((Comparable<Key>) pq[i])
            .compareTo(pq[j]) < 0;
    }
    private void exch(int i, int j) {
        Key swap = pq[i];
        pq[i] = pq[j];
        pq[j] = swap;
    }
}
```

ALGORITHM 3.2 (continued) Ordered symbol-table operations

```
public Key min() {
    return keys[0];
}
public Key max() {
    return keys[N-1];
}
public Key select(int k) {
    return keys[k];
}
public Key ceiling(Key key) {
    int i = rank(key);
    return keys[i];
}
public Key floor(Key key) {
    // See Exercise 3.1.17.
    return keys[i-1];
}
public Key delete(Key key) {
    // See Exercise 3.1.16.
    return keys[i];
}
public Iterable<Key> keys(Key lo, Key hi) {
    Queue<Key> q = new Queue<Key>();
    for (int i = rank(lo); i < rank(hi); i++)
        q.enqueue(keys[i]);
    if (contains(hi))
        q.enqueue(keys[rank(hi)]);
    return q;
}
Recorder<Item>
{
    void add(Item item)
    boolean contains(Item item)
    class RecorderImp<Item> implements Recorder<Item>
    private Stack<Item> stack;
    public RecorderImp(Stack s) { stack = s; }
    @override public void add(Item item) { stack.push(item); }
    @override public boolean contains(Item item) { return stack.contains(item); }
    @override public void undo() { stack.pop(); }
}
```

Selection Sort PROPERTIES

For a (randomly ordered) input, the average case is:

• Comparisons: $\sim \frac{n^2}{4}$
• Exchanges: $\sim \frac{n^2}{4}$

For a (reverse input), the worse case is:

• Comparisons: $\sim \frac{n^2}{2}$
• Exchanges: $\sim \frac{n^2}{2}$

For a (sorted) input, the best case is:

• Comparisons: $n - 1$
• Exchanges: 0

Symbol Tables

ST(): create symbol table

void put(Key key, Value val): put key/value pair in table, remove if null

Value get(Key key): value paired with key, null if absent

void delete(Key key): remove key (and value) from table

boolean contains(Key key): is value paired with key?

boolean isEmpty(): is table empty?

int size(): number of key-value pairs

Key min(): smallest key

Key max(): largest key

Key floor(Key key): largest key \leq to key

Key ceiling(Key key): smallest key \geq to key

int rank(Key key): # of keys $<$ key (start at 0)

Key select(int k): key of rank k

void deleteMin(): delete smallest key

void deleteMax(): delete largest key

int size(Key lo, Key hi): # of keys in $[lo, hi]$

Iterable<Key> keys(Key lo, Key hi): keys in $[lo, hi]$ in sorted order

Iterable<Key keys(): all keys in table

Comparisons: worst case (unordered list):

Unsuccessful: $O(n)$, Successful: $O(n)$, Insert: $O(n)$, Average for

successful search: $O(1/2n)$

Keys: More than 1 val? No

Keys allow values to nulls? No (means deleted)

Iterator order of keys: No order

Keys: immutable

Values: either

Ordered: Comparisons:

Unsuccessful: $O(\log n)$, Successful: $O(\log n)$

Insert: Exists? $O(\log n)$, Doesn't? $O(n)$

Hash: Avg Search: $O(\log n)$, Avg Insert:

$O(\log n)$. Doesn't efficiently support ordered

operations

algorithm (data structure)	worst-case cost (after N inserts)		average-case cost (after N random inserts)		efficiently support ordered operations?	method	order of growth of running time
	search	insert	search hit	insert			
sequential search (unordered linked list)	N	N	N/2	N	no	put()	N
binary search (ordered array)	$\lg N$	2N	$\lg N$	N	yes	get()	$\log N$
						delete()	N
						contains()	$\log N$
						size()	1
ALGORITHM 3.2 Binary search (in an ordered array)						min()	1
						max()	1
						floor()	$\log N$
						ceiling()	$\log N$
						rank()	$\log N$
						select()	1
						deleteMin()	N
ALGORITHM 3.1 Sequential search (in an unordered linked list)						deleteMax()	1

```
public class BinarySearchST<Key extends Comparable<Key>, Value>
{
    private Key[] keys;
    private Value[] vals;
    private int N;

    public BinarySearchST(int capacity)
    {
        // See Algorithm 1.1 for standard array-resizing code.
        keys = (Key[]) new Comparable[capacity];
        vals = (Value[]) new Object[capacity];
    }

    public int size()
    {
        return N;
    }

    public Value get(Key key)
    {
        if (isEmpty()) return null;
        int i = rank(key);
        if (i < N && keys[i].compareTo(key) == 0) return vals[i];
        else return null;
    }

    public int rank(Key key)
    {
        // See page 381.
        // Search for key. Update value if found; grow table if new.
        int i = rank(key);
        if (i < N && keys[i].compareTo(key) == 0)
        {
            vals[i] = val; return;
        }
        for (int j = N; j > i; j--)
        {
            keys[j] = keys[j-1]; vals[j] = vals[j-1];
            keys[i] = key; vals[i] = val;
            N++;
        }

        public void delete(Key key)
        {
            // See Exercise 3.1.16 for this method.
            int lo = 0, hi = N-1;
            while (lo <= hi)
            {
                int mid = lo + (hi - lo) / 2;
                int cmp = key.compareTo(keys[mid]);
                if (cmp < 0) hi = mid - 1;
                else if (cmp > 0) lo = mid + 1;
                else return mid;
            }
            return lo;
        }
    }
}
```

ALGORITHM 3.2 (continued) Binary search in an ordered array (iterative)

```
public int rank(Key key)
{
    int lo = 0, hi = N-1;
    while (lo <= hi)
    {
        int mid = lo + (hi - lo) / 2;
        int cmp = key.compareTo(keys[mid]);
        if (cmp < 0) hi = mid - 1;
        else if (cmp > 0) lo = mid + 1;
        else return mid;
    }
    return lo;
}
```

a SymbolTable vs an OrderedSymbolTable (effic implementation)
ordered symbol table would be better because you could use it to do binary search in an array or to create a BST.

why must there be at least $N!$ leaves
-each node represents a decision, worst case is depth of tree, depth d so max leaves = 2^d , size of algo is n, so there are $n!$ permu of n inputs. Any possible permu can end up as an output so every permu must be different leaf nodes

What is the difference between a (max) heap and a priority queue? A Max-Heap is a complete binary tree in which the value in each internal node is greater than or equal to the values in the children of that node. The Priority Queue is based on the priority heap. The elements of the priority queue are ordered according to the natural ordering, or by a Comparator provided at queue construction time, depending on which constructor is used.