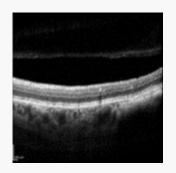


Machine Learning Classification on OCT **Images from OLIVES Dataset**

Stella Fournier & John Grilo

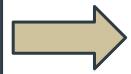
Introduction

OCT Images from OLIVES





Supervised Machine Learning Model



Analysis

- Balanced Accuracy
- ROC curve
- Confusion Matrix
- Performance Metrics

32,337 images with 3 severity classes

- 1. K-nearest Neighbors (KNN)
- 2. Naïve Bayes
- Convolutional neural network (CNN) with an untrained AlexNet
- 4. Transfer learning CNN using a pre-trained ResNet



KNN

- Method: Flattened each image pixel value into a 1D array → KNN algorithm
 - Balanced accuracy: 33.91% with k = 15
- Principal Component Analysis (PCA)
 - "Curse of Dimensionality" → extract the most important features based on cumulative variance within the vector
 - **Step 1**: Find best 'n' by increasing 'n' from 10 to 300 in increments of 10 (Fig. 1)
 - n = 20
 - Step 2: Sample of n = 10, 20, 50, and 100 pair with k values of 1 to 30 (Fig. 2)
 - Balanced accuracy = 40.93%

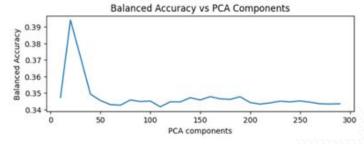


Fig. 1: The number of principal components and their corresponding balanced accuracy with k value equals 15 on training data using KNN.

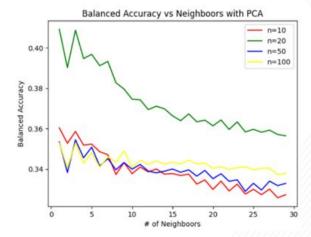
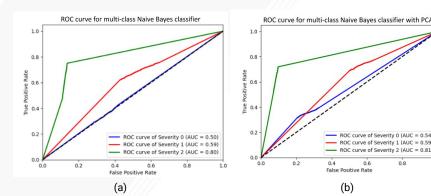


Fig. 2: The number of 'k' neighbors and its corresponding balanced accuracy with n PCA components on training data using KNN.



Naïve Bayes

- Method: Flattened each image pixel value into a 1D array → KNN algorithm
 - Balanced accuracy: 46.84%.
- PCA
 - Find best 'n' by increasing 'n' from 10 to 300 with an increment of 10
 - n = 30
 - Balanced accuracy: 51.22%
 - assumes independence, functions better on high dimensionality data



| | Naïve Bayes | Naïve Bayes with PCA |
|-----------|--------------------------|--------------------------|
| Recall | [0.2928, 0.6238, 0.4885] | [0.3179, 0.6931, 0.5313] |
| Precision | [0.3221, 0.5804, 0.5093] | [0.4173, 0.5690, 0.6349] |
| F1 | [0.3067, 0.6014, 0.4987] | [0.3609, 0.6250, 0.5785] |

Table 1: Recall, Precision, and F1 Scores for Naïve Bayes with and without PCA. [Class 0, Class 1, Class 2]



Fig. 3: ROC curves for multi-class Naïve Bayes classifier

CNN with AlexNet

- Method: Learning rate tuning, data augmentation (crop, horizontal flip), and visualization
- Untrained AlexNet balanced accuracy: 35.89%
 - randomly initialized weights, and imbalanced dataset causing overfitting
- Baseline tuning
 - The learning rate curves peaked around Ir = 0.0001 (Fig. 4)
- Data Augmentation (Table 2)
- Visualization via saliency mapping (Fig. 5)
 - Show the activations of features
 - Focus on the right parts of the image?

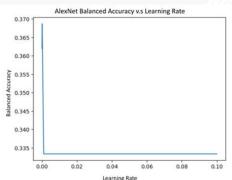
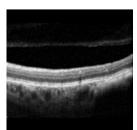


Fig. 4: Balanced Accuracy vs Learning Rate

| Data Augmentation | Balanced Accuracy |
|-------------------|-------------------|
| Original | 35.89% |
| Crop | 37.48% |
| Original + Crop | 38.05% |
| Original + Flip | 35.74% |
| Original + Flip2 | 42.98% |

Table 2: Balanced accuracy for data augmentation for AlexNet model.



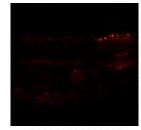


Fig. 5: Cropped trainset image example and its corresponding visualization

Transfer Learning with ResNet

- Method: Pretrained model, learning rate tuning, data augmentation (crop, horizontal flip), visualization
- ResNet balanced accuracy: 38.76%
- Data Augmentation (Table 3)
- Visualization via saliency mapping (Fig. 7)

| Data Augmentation | Balanced Accuracy |
|-------------------|-------------------|
| Original | 38.76% |
| Crop | 35.75% |
| Original + Crop | 39.58% |
| Original + Flip | 34.02% |
| Original + Flip2 | 35.76% |

Table 3: Balanced accuracy for data augmentation for ResNet model.

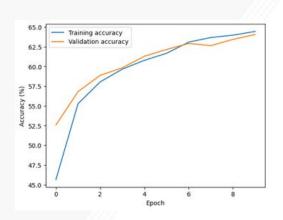


Fig. 6: Train and Validation vs # Epochs

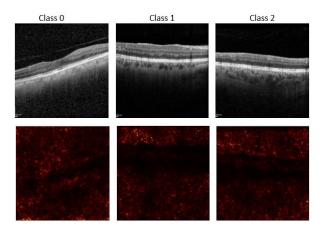


Fig. 7: Original + Flip Severity Class 2 Saliency Mapping



Conclusion

KNN Naïve Bayes AlexNet ResNet

Highest Balanced Accuracy for original dataset + horizontally flipped

Naïve Bayes > CNN

→ require large amounts of data and have high learning capacity

class 2: 42.98%

Highest Balanced Accuracy for cropped Relatively simply images + original dataset: 39.58%

vorks well with high aimensional data

CA makes it easier to classify

