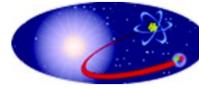




U.S. DEPARTMENT OF
ENERGY

Office of
Science

Office of Nuclear Physics



Surface Alpha Interactions in PPC HPGe Detectors: Maximizing Sensitivity of ^{76}Ge $0\nu\beta\beta$ Searches

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PhD Defense

University of Washington

Department of Physics

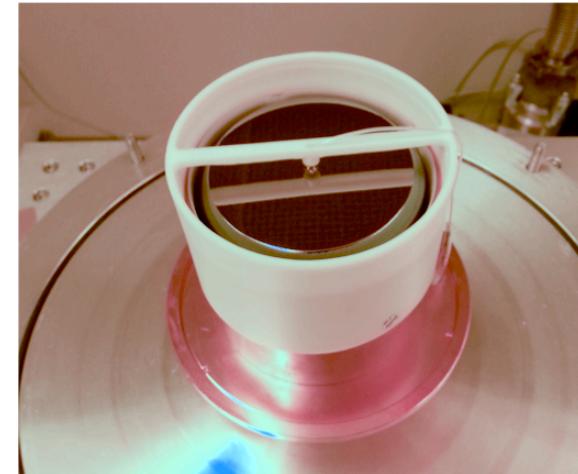
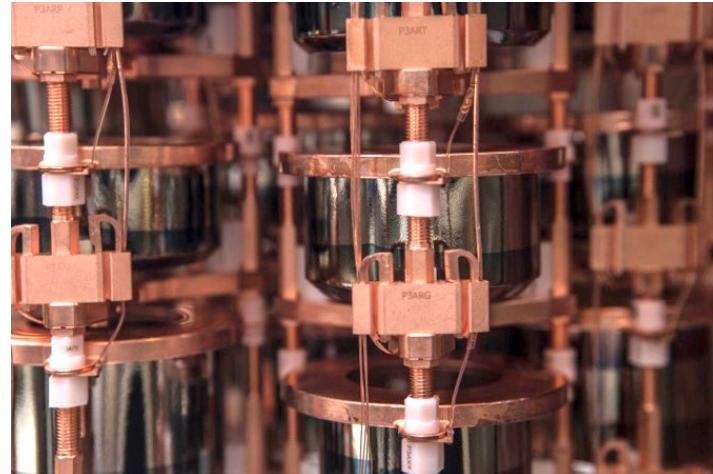
Aug. 4, 2017





Outline

- Introduction to Neutrinoless Double Beta Decay
- PPC Detectors and Low-Background Physics
- Surface Alpha Identification with DCR
- PPC Response Measurement with TUBE
- Alpha Backgrounds in the MAJORANA DEMONSTRATOR



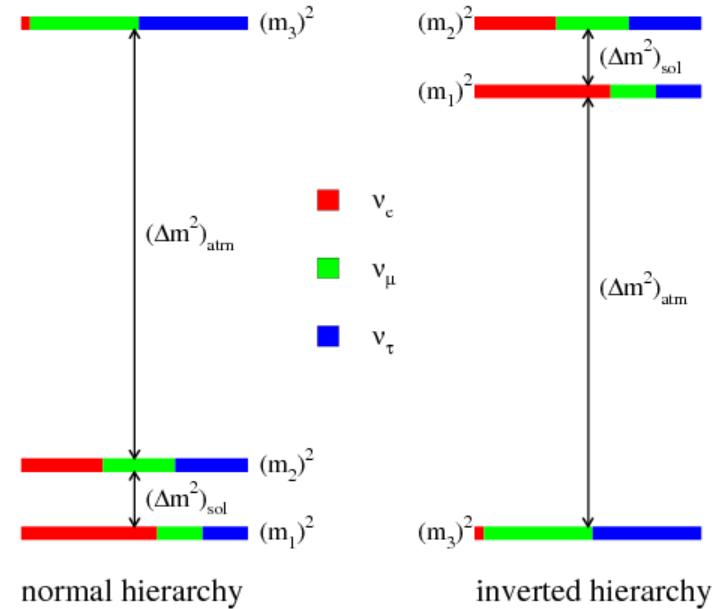
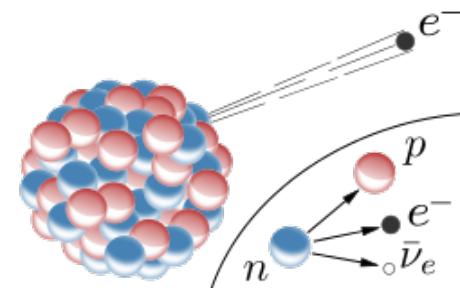
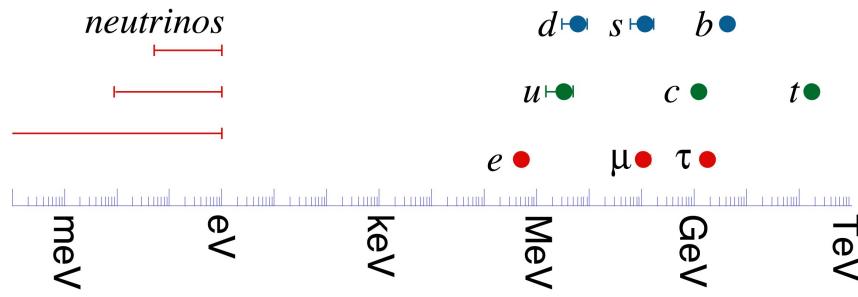
INTRODUCTION TO ON $\beta\beta$





Neutrino Basics

- Light, neutral particles
- Very low interaction rates
- Only ν_L and $(\bar{\nu})_R$ in SM
- 3 flavors, 3 mass states
- Tiny masses (< 2 eV), unknown mass hierarchy





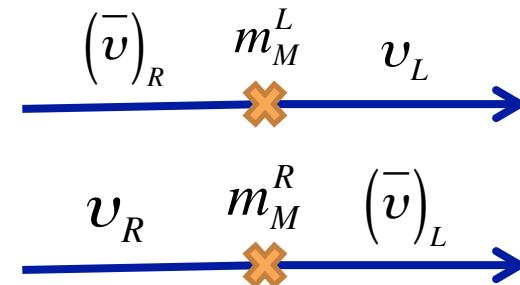
Neutrino Mass

- Mass must be BSM
- Two ways to give the neutrino mass:

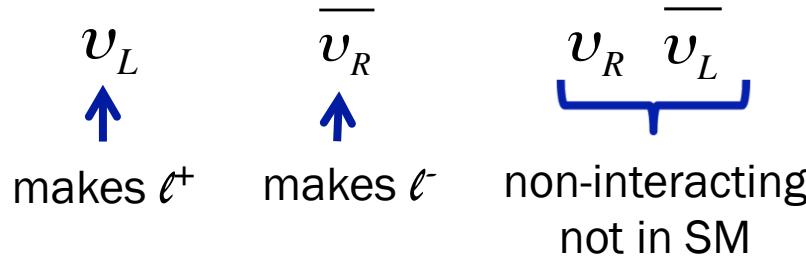
Dirac:



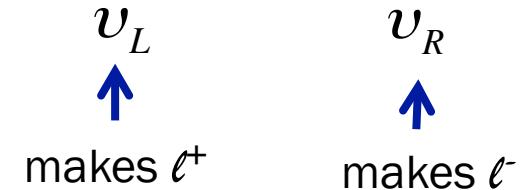
Majorana:



- 4 mass-degenerate states:



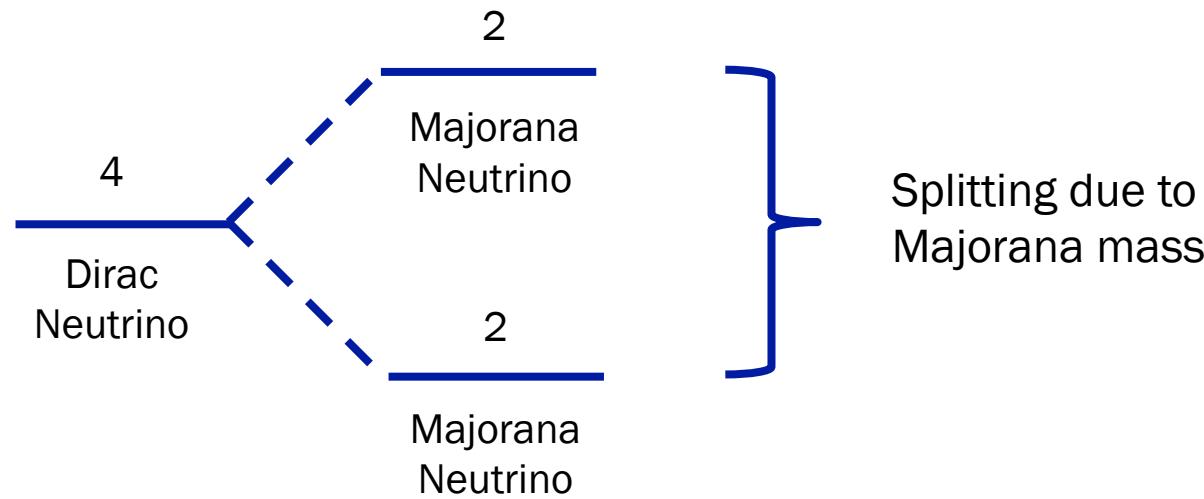
- 2 mass-degenerate states:





Why Majorana Neutrinos?

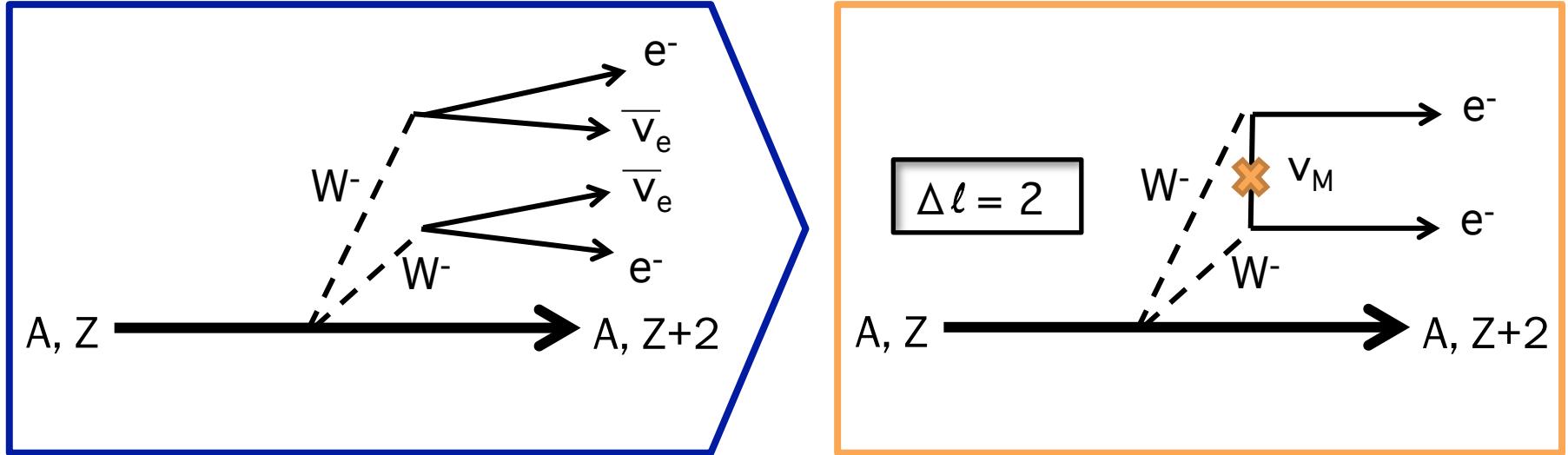
- Combine the two mass terms in a “see-saw mechanism”:



- Solves the neutrino mass hierarchy problem, implications for matter/anti-matter asymmetry



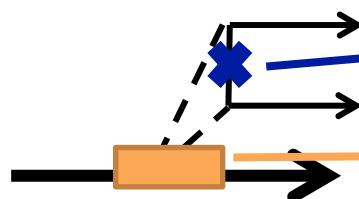
Neutrinoless Double Beta Decay



- $2\nu\beta\beta$: a rare SM process that occurs in some nuclei
- If neutrinos are Majorana, $0\nu\beta\beta$ could occur
- Lepton number conservation is violated



The $0\nu\beta\beta$ Rate



$$(T_{1/2}^{0\nu})^{-1} = G^{0\nu} |M_{0\nu}|^2 \left(\frac{\langle m_{\beta\beta} \rangle}{m_e} \right)^2$$

$$\langle m_{\beta\beta} \rangle = \left| \sum_{i=1}^3 U_{ei}^2 m_i \right|$$

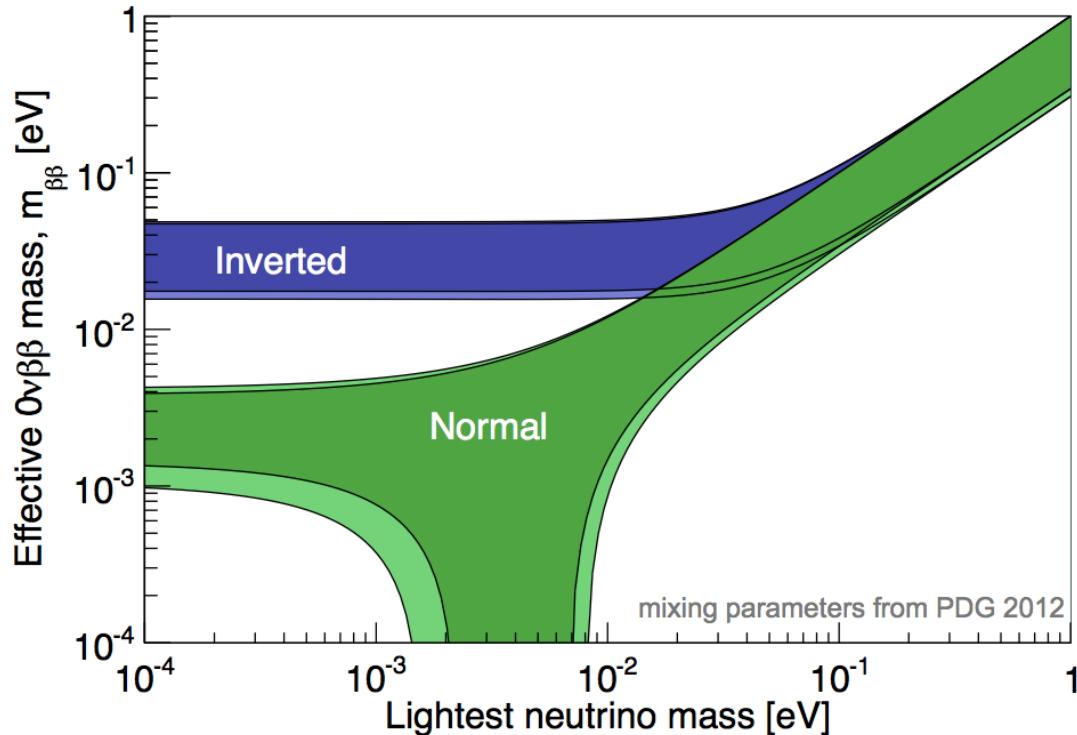


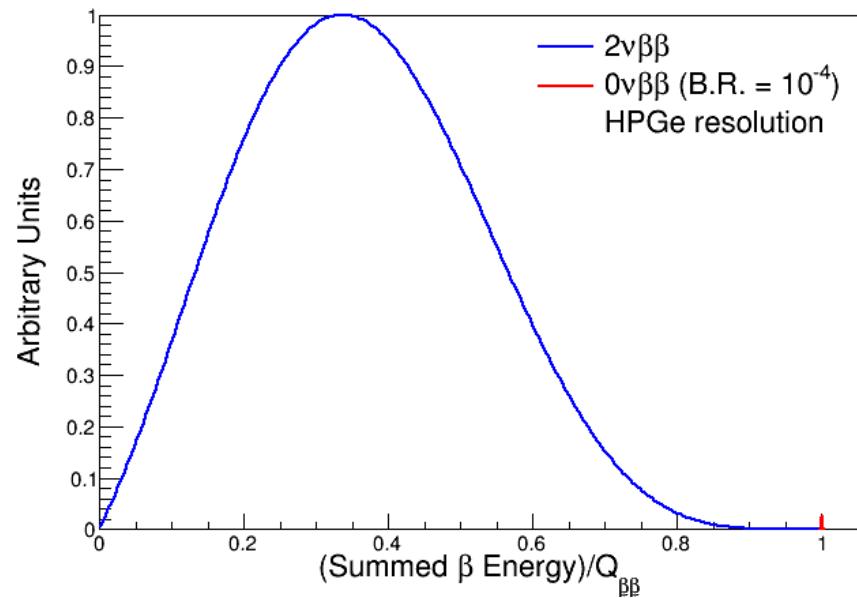
Figure courtesy of A. Schubert

- Rate depends on mixing angles and phases, neutrino mass, and mass hierarchy
- Uncertainties in:
 - $m_{\beta\beta}$: lightest ν mass, phases, hierarchy
 - Nuclear matrix elements



How to Detect $0\nu\beta\beta$

- Current limits:
 $T_{1/2} > 10^{25}$ years
- Don't detect neutrinos directly
- Ignore the neutrinos, measure the rest of the energy
- Need a large mass, high efficiency, low background





The MAJORANA DEMONSTRATOR

The MAJORANA DEMONSTRATOR (MJD) is an array of enriched and natural germanium detectors that will search for the $O\nu\beta\beta$ -decay of ^{76}Ge .

Goals:

- Demonstrate backgrounds low enough to justify building a tonne scale experiment.
- Establish feasibility to construct & field modular arrays of Ge detectors.
- Searches for additional physics beyond the standard model
- Background goal: 3 counts/ROI/t/y after analysis cuts
 - $Q_{\beta\beta} = 2.039 \text{ MeV}$

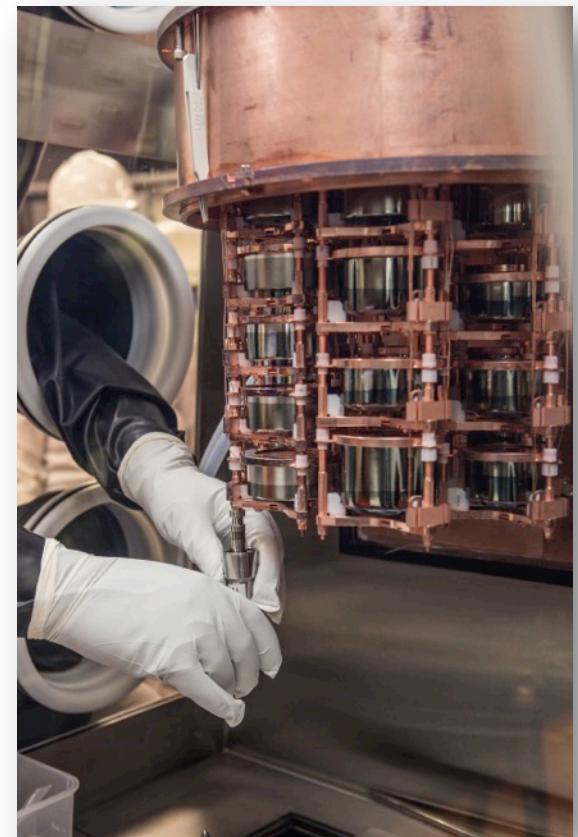
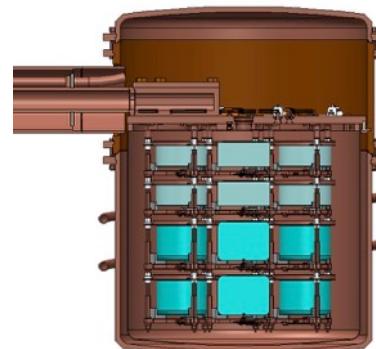
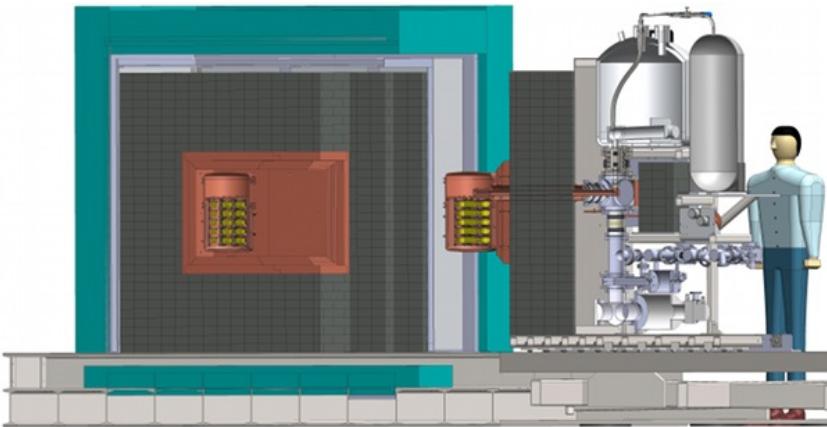


Photo by Matt Kapust



The Present and Future of ^{76}Ge $0\nu\beta\beta$

The MAJORANA DEMONSTRATOR



GERDA



- Parallel efforts using different approaches
 - MJD: Underground electroformed copper and other ultra-clean materials, mostly passive shielding
 - GERDA: Slightly higher-background materials, active shielding with LAr
- Combine best techniques for tonne-scale experiment
 - LEGEND Collaboration formed October 2016



Discovery, Background, and Exposure

^{76}Ge (87% enr.)

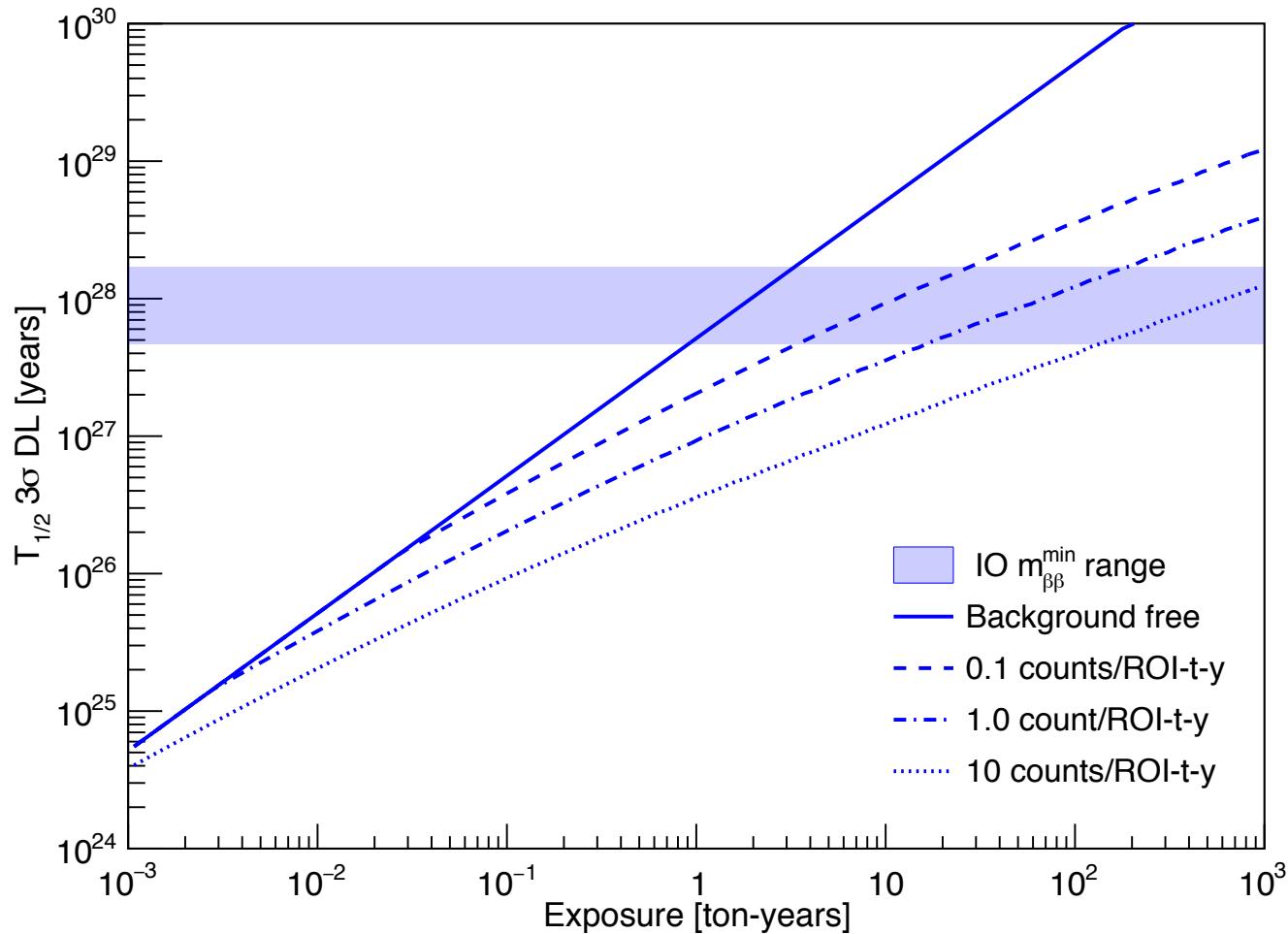
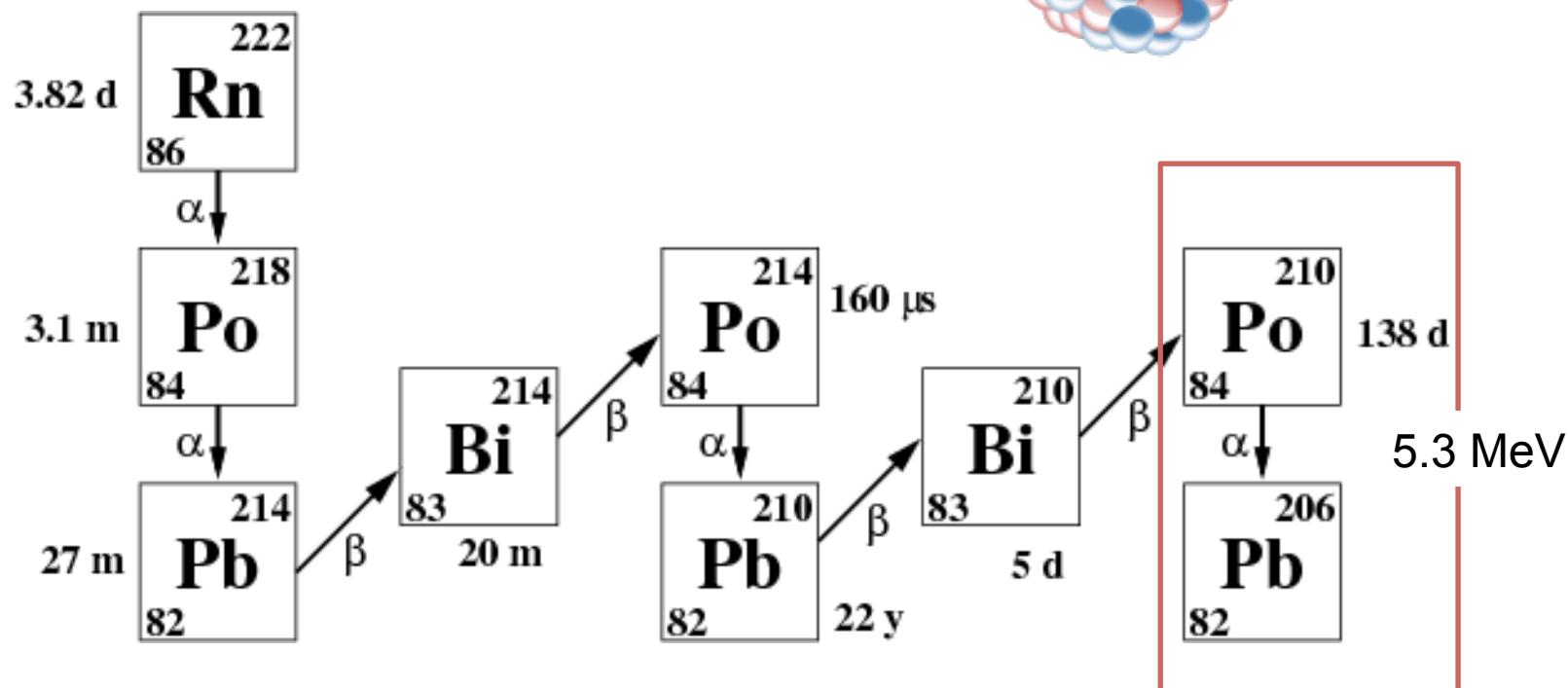
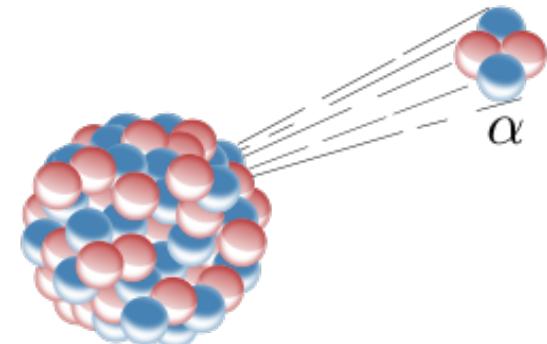


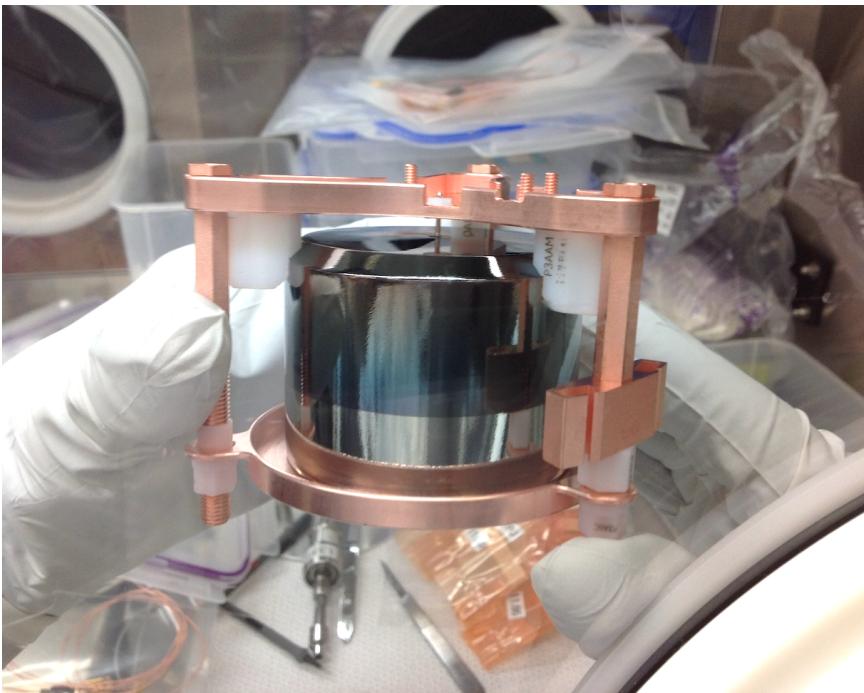
Figure courtesy of J. Detwiler



Alpha Backgrounds

- $\alpha = {}_2^4\text{He}$
- Short range, high energy
- Radon is the biggest problem

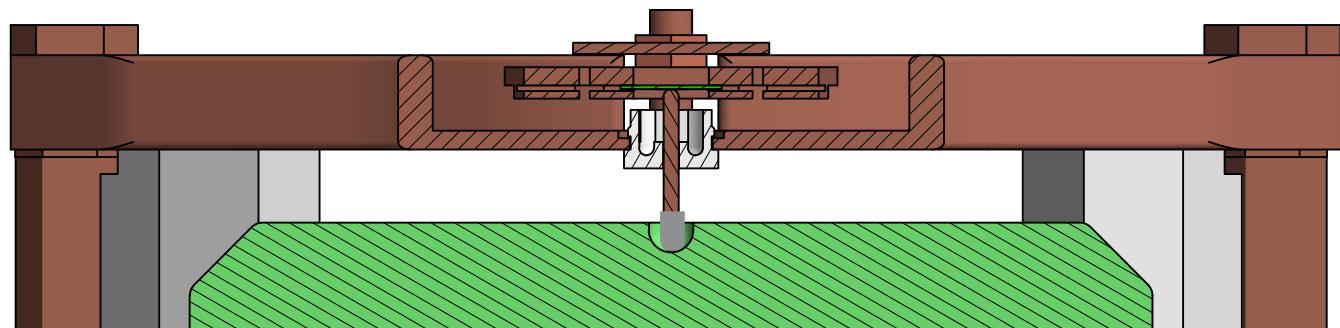




The MAJORANA Detector Unit



- Holds detector, cabling, front-end electronics



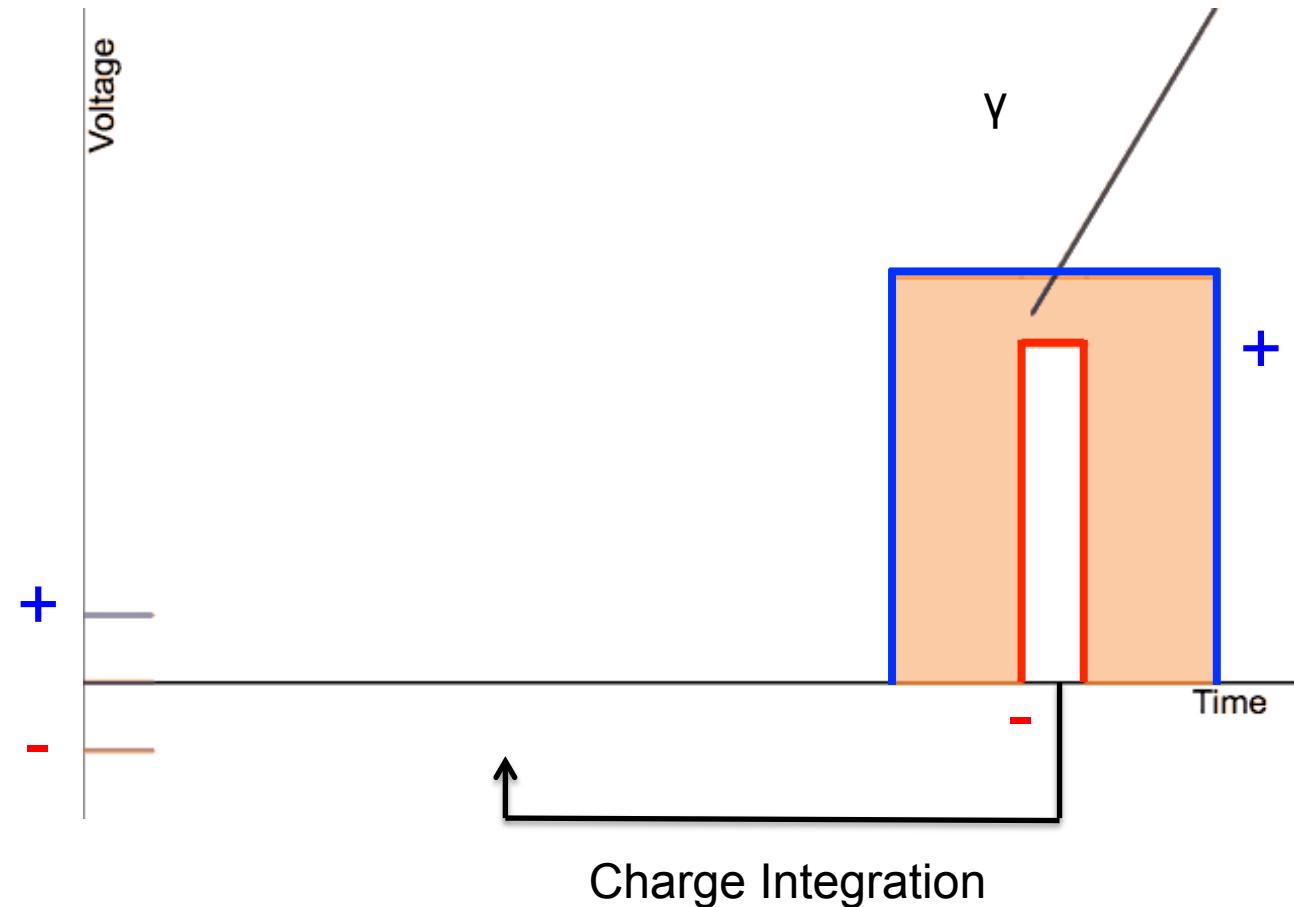
PPC DETECTORS AND LOW-BACKGROUND PHYSICS





HPGe Detectors

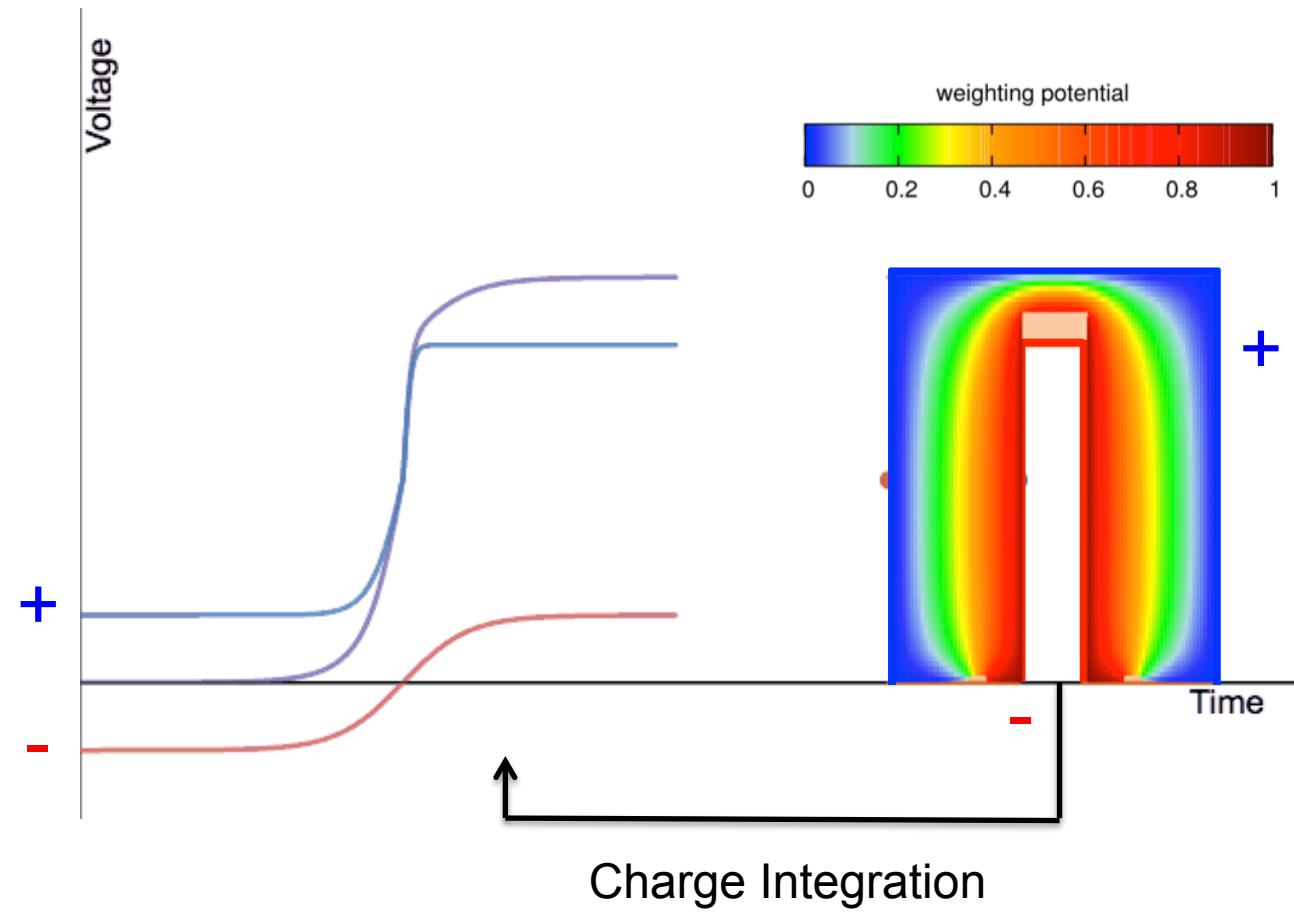
- One big Ge diode, fully depleted
- Charge proportional to energy
- Electron and hole fractions depend on position
- In MJ, use enriched Ge (88% ^{76}Ge)

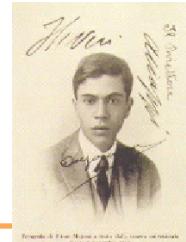




HPGe Detectors

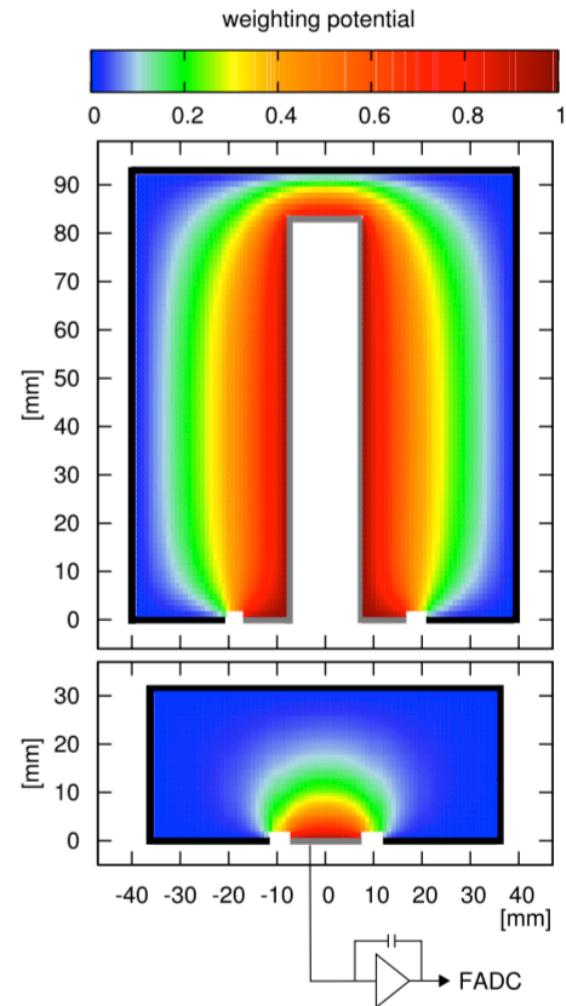
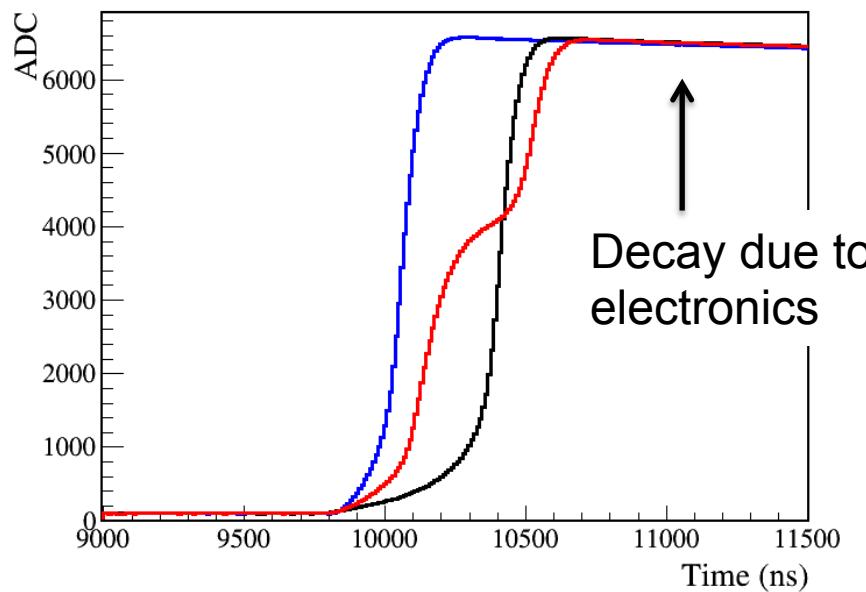
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- In MJ, use enriched Ge (88% ^{76}Ge)

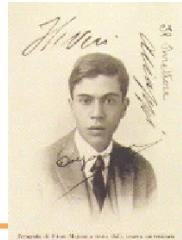




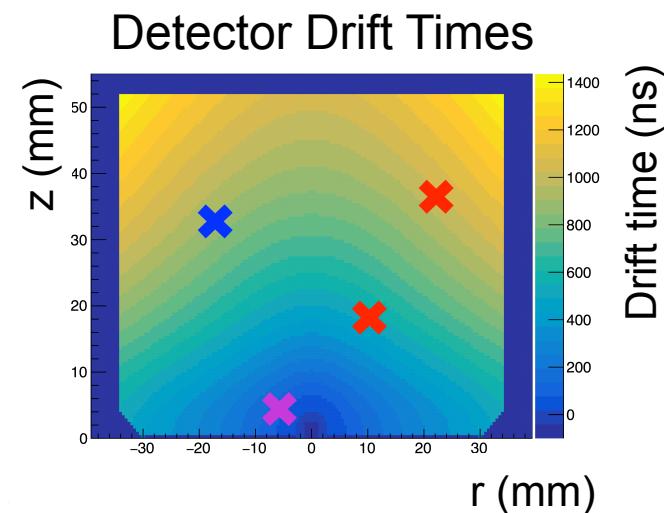
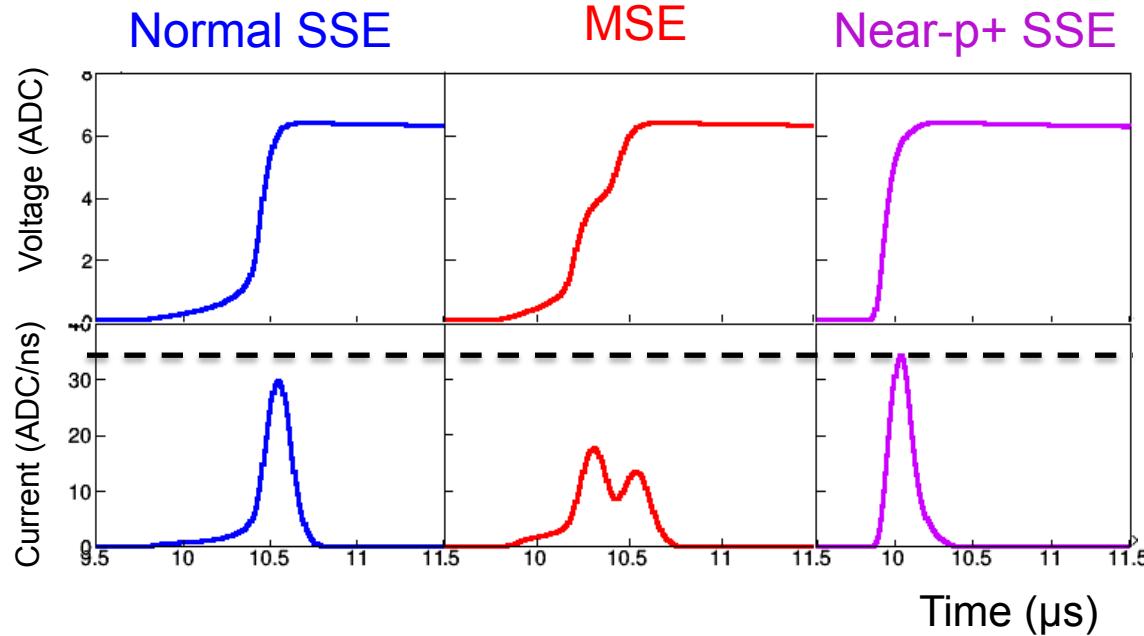
PPC Detectors

- Better resolution, lower thresholds
- Pulse shape highly dependent on position → multi-site PSD





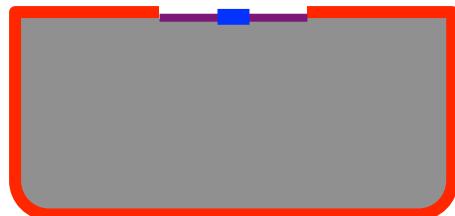
Pulse Shape Discrimination with A/E



- $A = \text{maximum current}$, $E = \text{energy}$
- Multi-site events have low A/E
- Near-p+ events have high A/E



Surface Events



Canberra BEGe Geometry
MJD natural detectors
GERDA enriched detectors

ORTEC Geometry
MJD enriched detectors

- **n⁺ contact:** .5 mm diffused lithium
 - α's don't penetrate
- **p⁺ contact:** .3 μm implanted boron
 - α's barely lose energy
 - Very fast drift, easy to ID with PSD
- **Passivated surface:** very thin, but poor charge collection

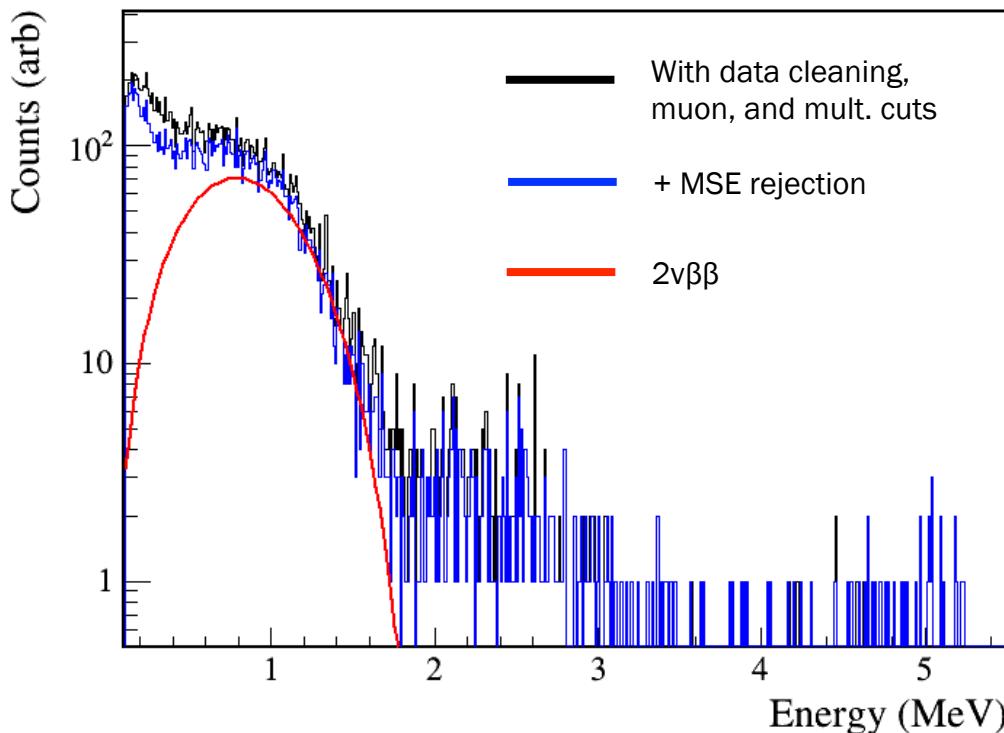
IDENTIFYING SURFACE ALPHAS WITH DCR





α Background in MJD

The Preliminary MJD Spectrum

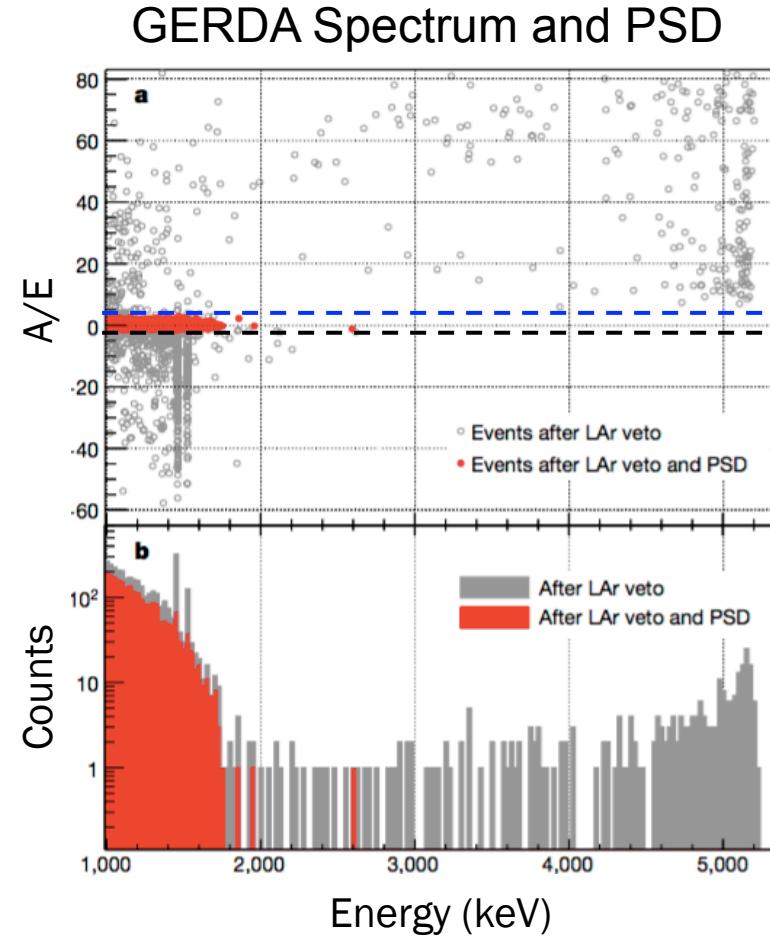
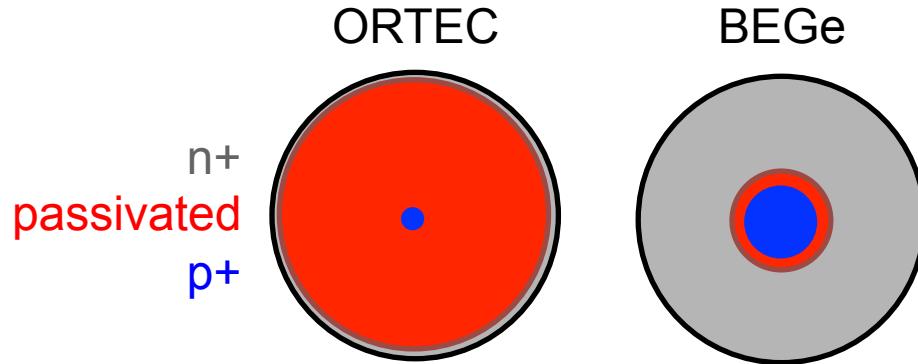


- Clear ^{210}Po peak
- Extra structure below 3 MeV
- ROI backgrounds far above level expected from assay
- Dominant background in ROI



GERDA's α Background

- Approx. 1/2 of ROI events after LAr veto
- Not ^{210}Pb supported,
 - $t_{1/2} = 138$ days
- Smaller passivated surface
 - Fewer α events
 - All passivated surface events have high A/E

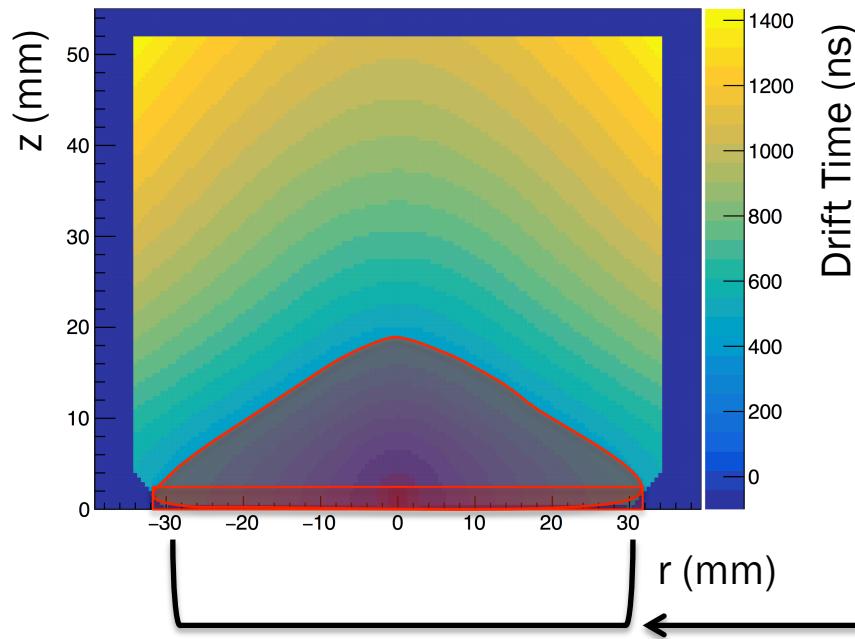


The GERDA Collaboration. Background-free search for neutrinoless double-decay of ^{76}Ge with GERDA. Nature, 544(7648):47–52, 04 2017.

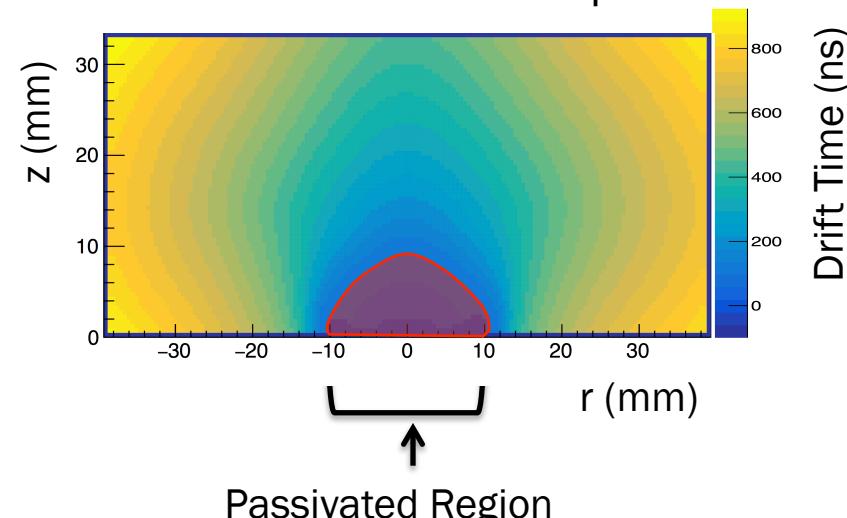


Detector Geometry and α Rejection

ORTEC Drift Time Map



BEGe Drift Time Map

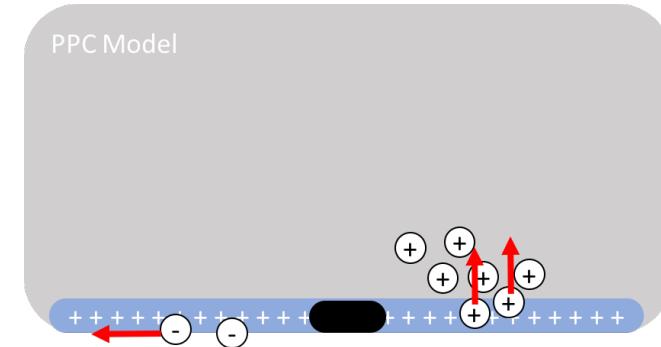


- High A/E is a fiducial volume cut – removes bulk events
- Would be better to cut based on specific surface behavior

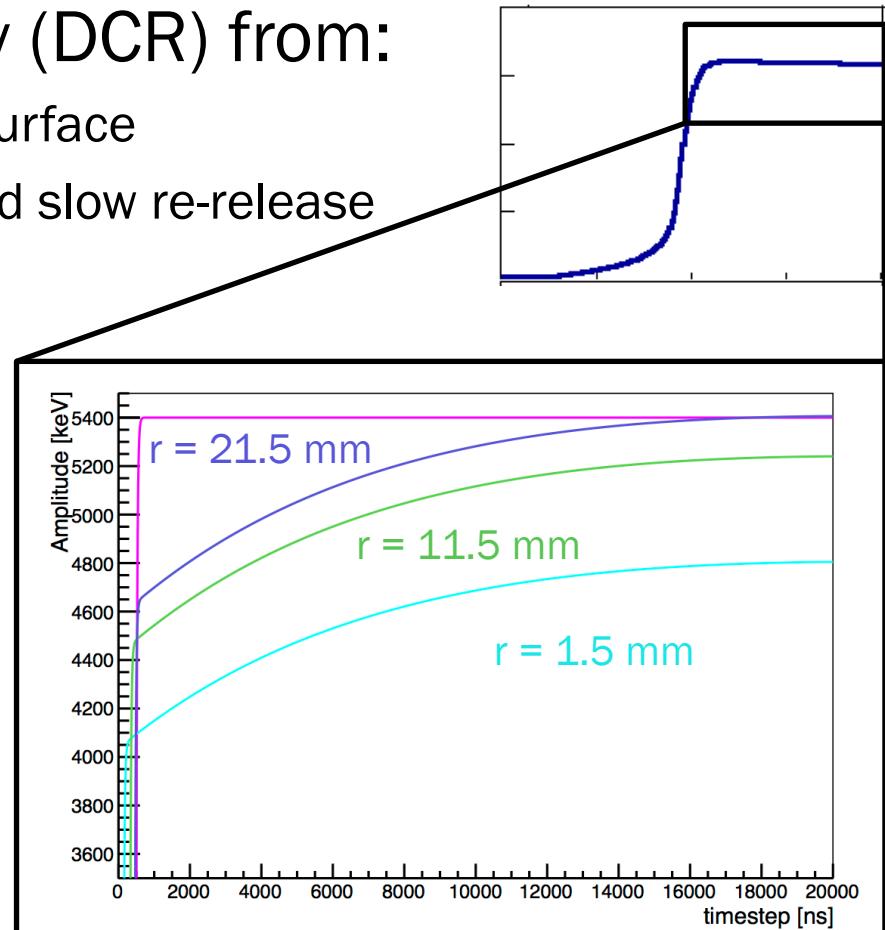


Passivated Surface Pulse Shapes

- Some of the charge collected normally
- Delayed charge recovery (DCR) from:
 - Surface drift of electrons on surface
 - Near-surface hole trapping and slow re-release
- Simulated with siggen



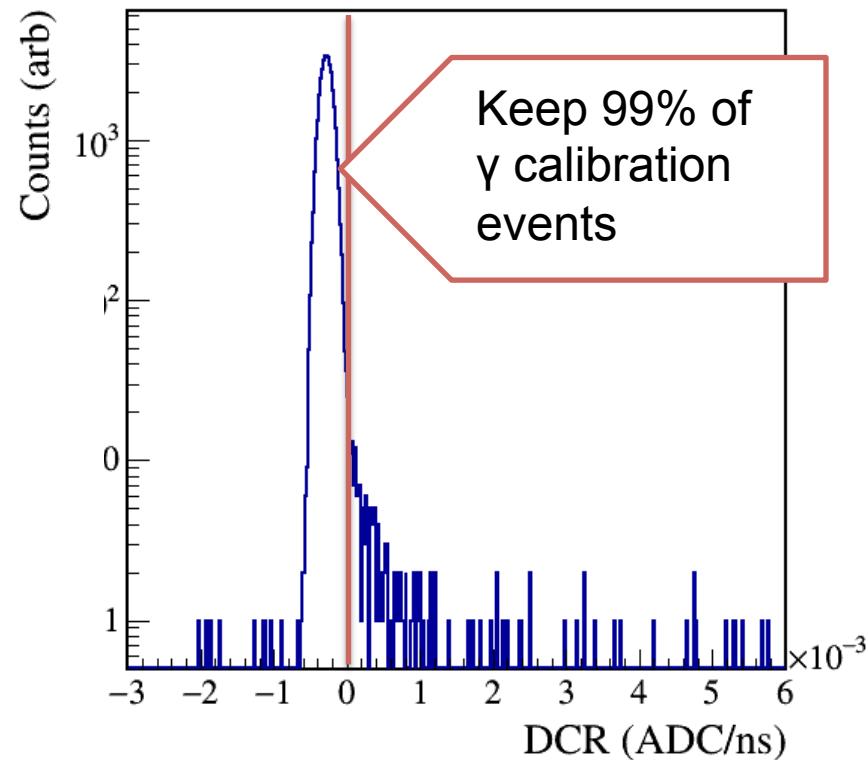
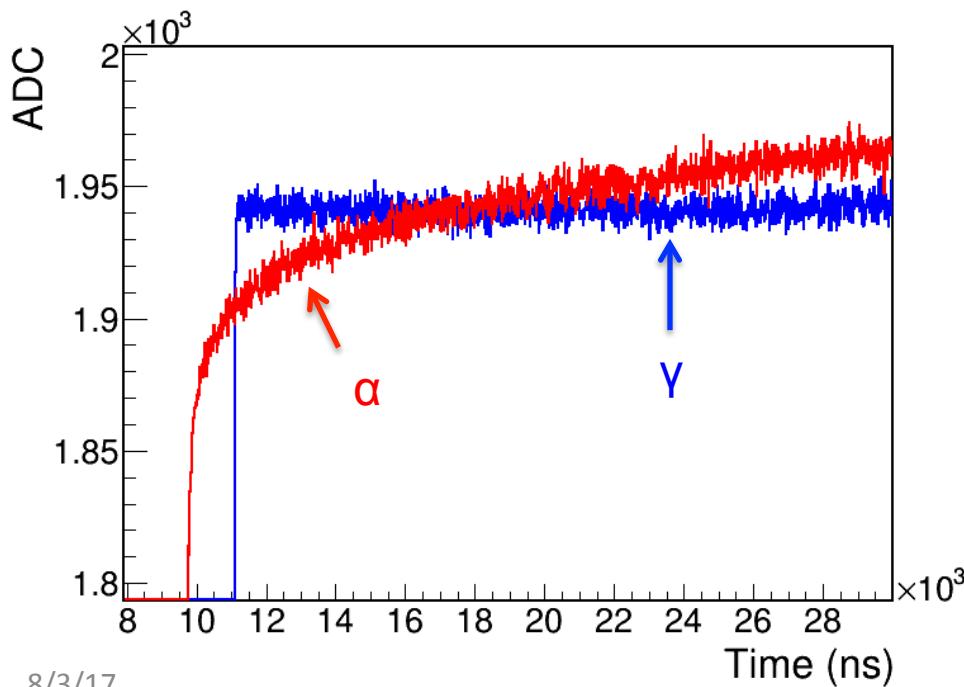
- Siggen developed by David Radford
- Simulations by Susanne Mertens





The DCR PSD Parameter

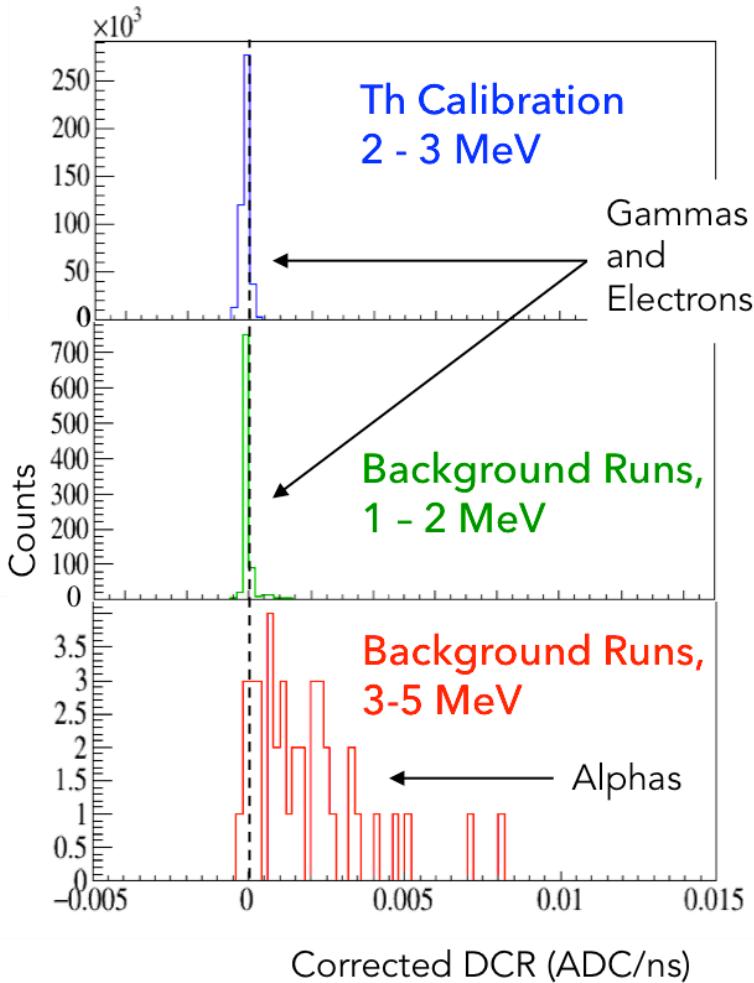
- Correct for decay from electronics
- Measure slope of tail
- Cut events with high DCR



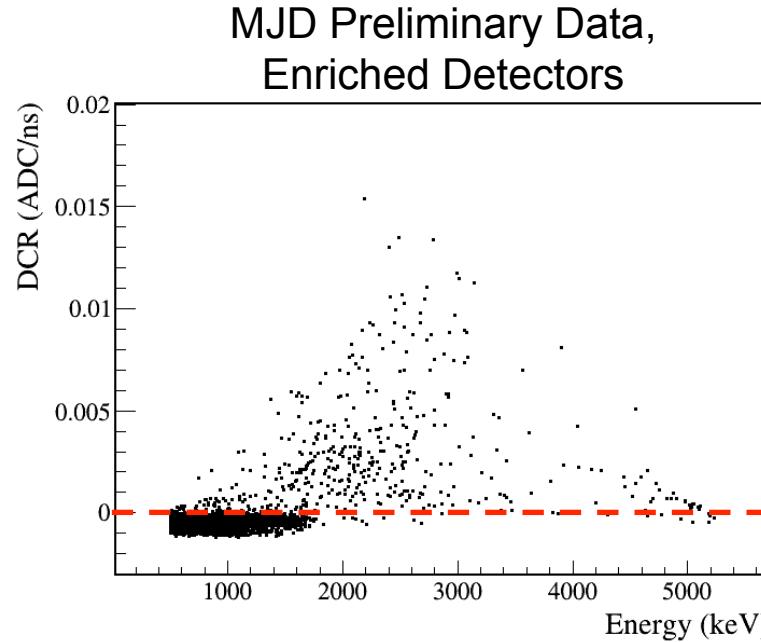
- Publication in preparation



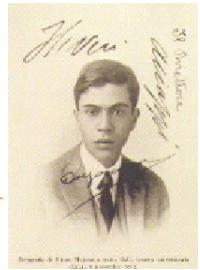
Preliminary Tests in MJD



- Events cut:
 - 10% of single-site calibration events between 1 and 2.38 MeV
 - 16.4% with energy between 1 and 2 MeV
 - 88.4% with energy between 2 and 3 MeV
- Need dedicated α data to validate



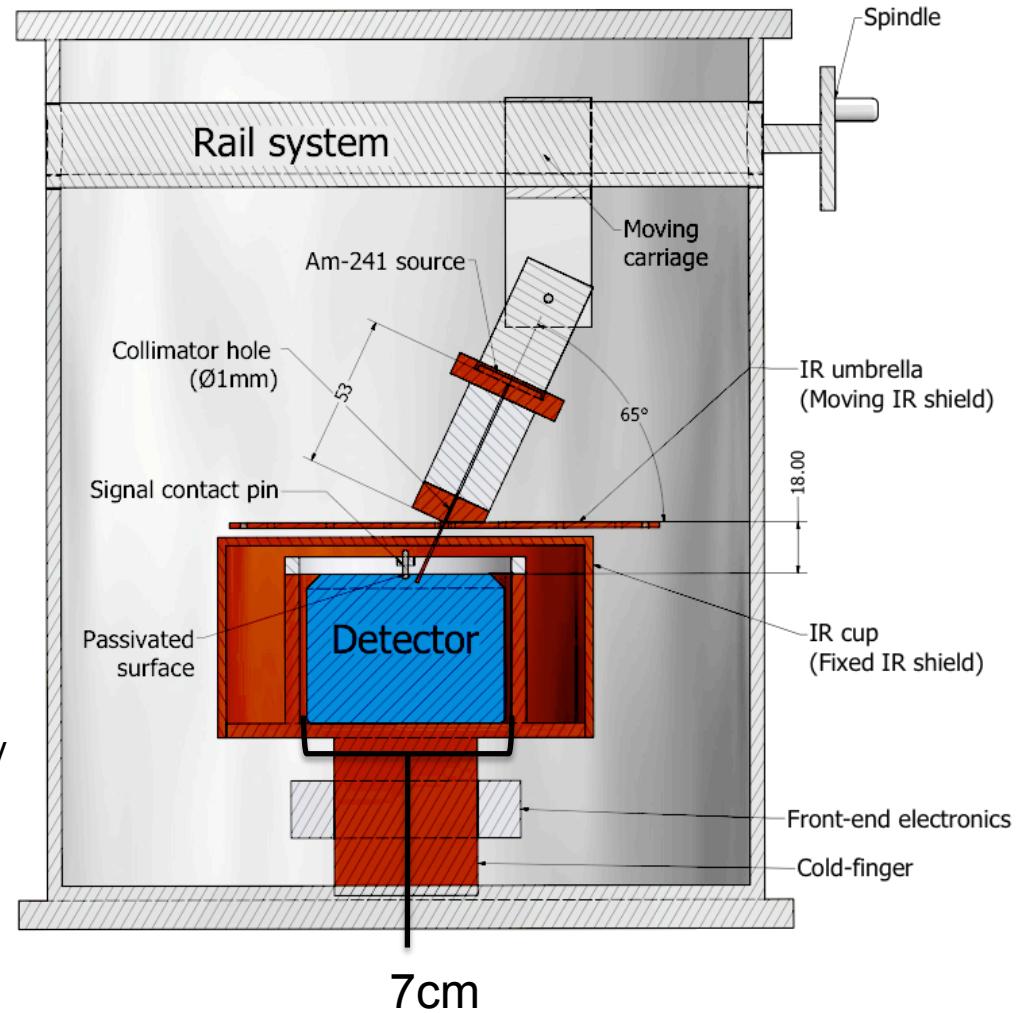
MEASURING PPC RESPONSE WITH TUBE



The TUM Upside-Down BEGe (TUBE) Scanner



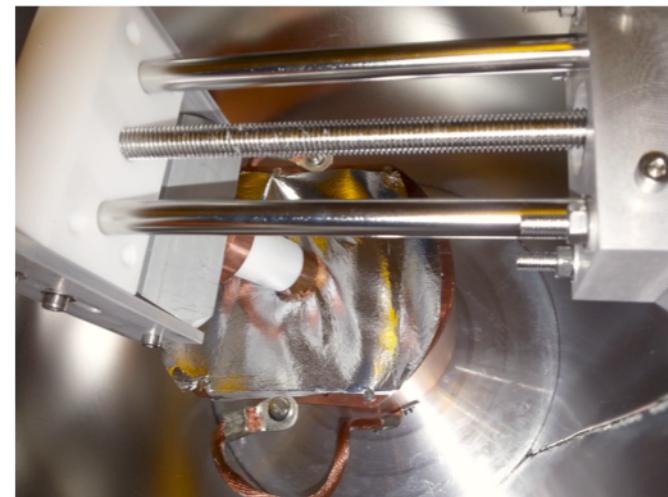
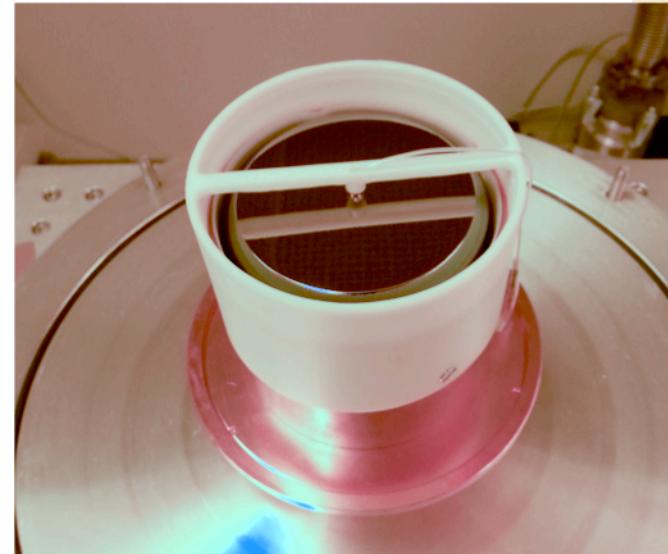
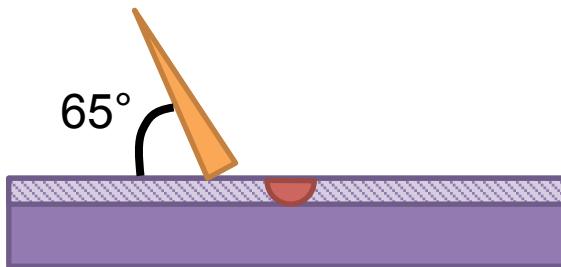
- First joint work between GERDA and MJD groups
- Adapted existing alpha scanning system to scan MJD detector
- Questions:
 - Energy spectrum
 - E vs. R
 - DCR vs. R
 - Charge loss mechanism
 - A/E and DCR complementarity
 - DCR α rejection power





TUBE Measurements

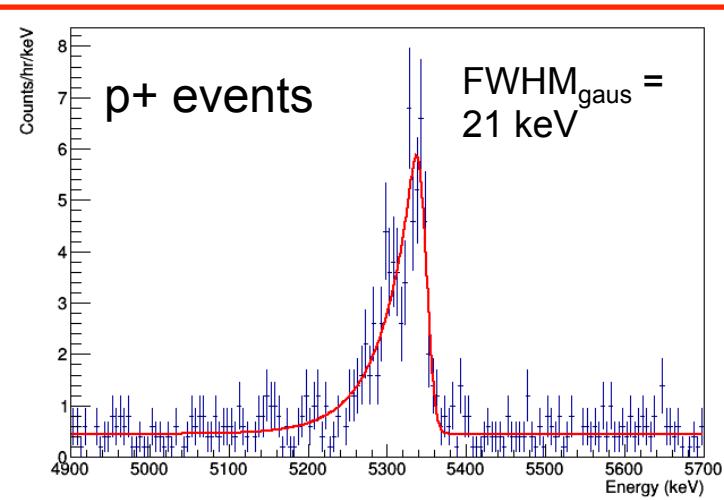
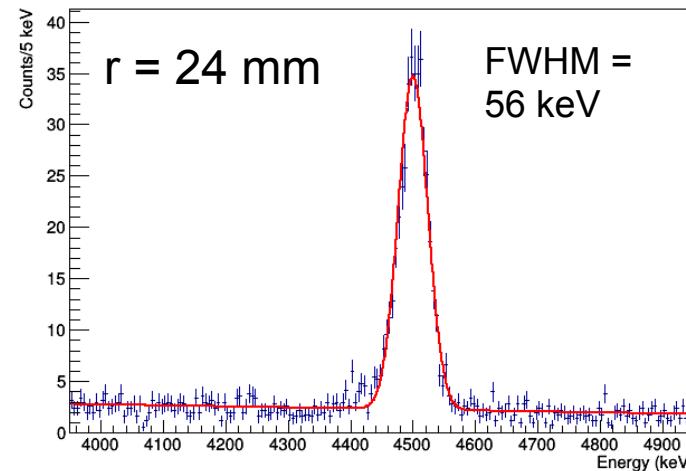
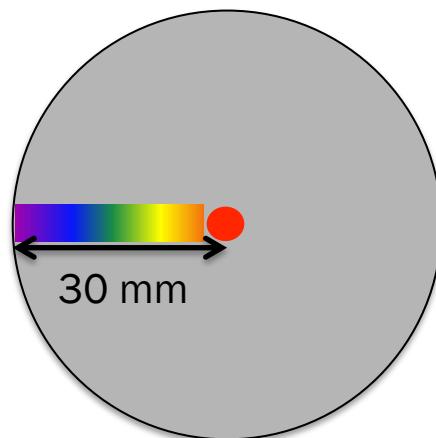
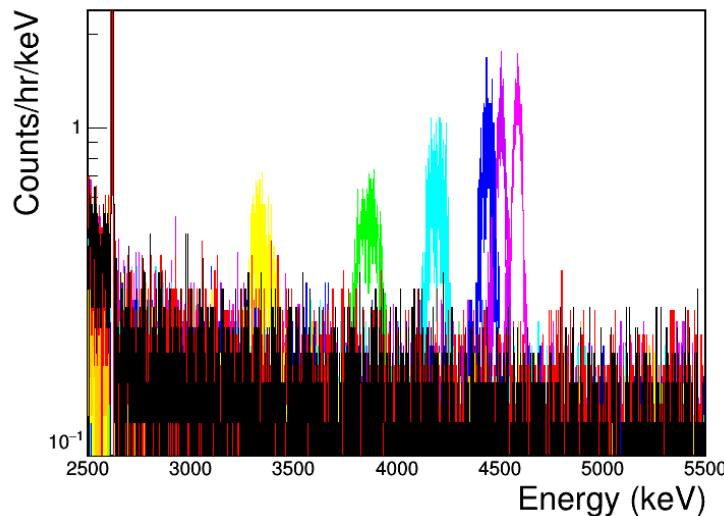
- First assembled Sept. 2016, data taken Feb. – June 2017
- ^{241}Am source:
 - 5.486 MeV α , 20 keV line-width
 - 40 kBq, 65 events/hour
 - 1 mm collimator \rightarrow 1.8mm spot size
- Scanned every 1.5 mm, 65° angle of incidence, some extra half-positions
- Distances from point-contact are radial
- 24 hours per scan position





Alpha Energy

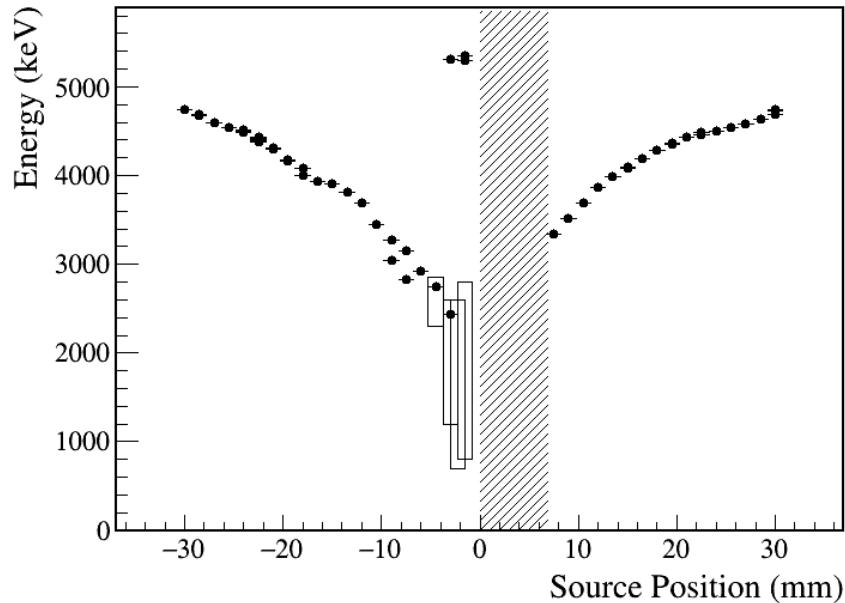
TUBE Energy Spectra



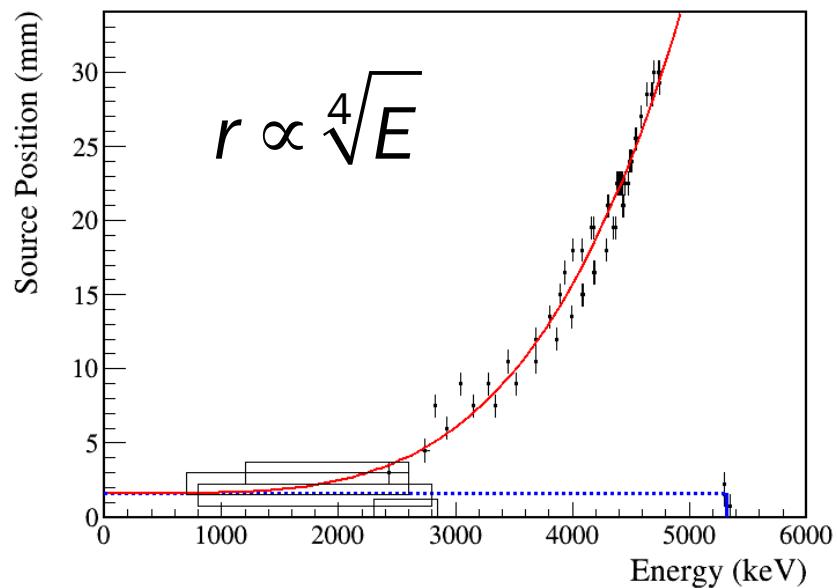


E vs. R

E vs. R

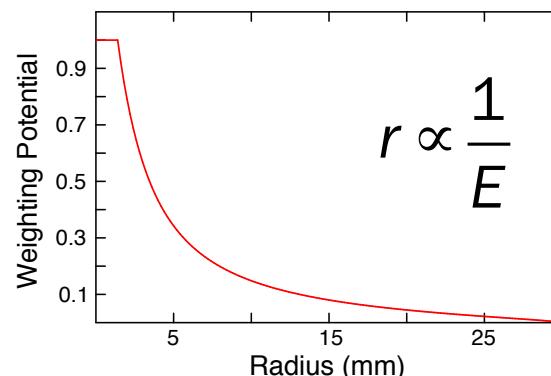


Fitting to R vs. E



- Passivated surface:
$$r = aE^4 + r_p$$
- Can't just be electron loss!

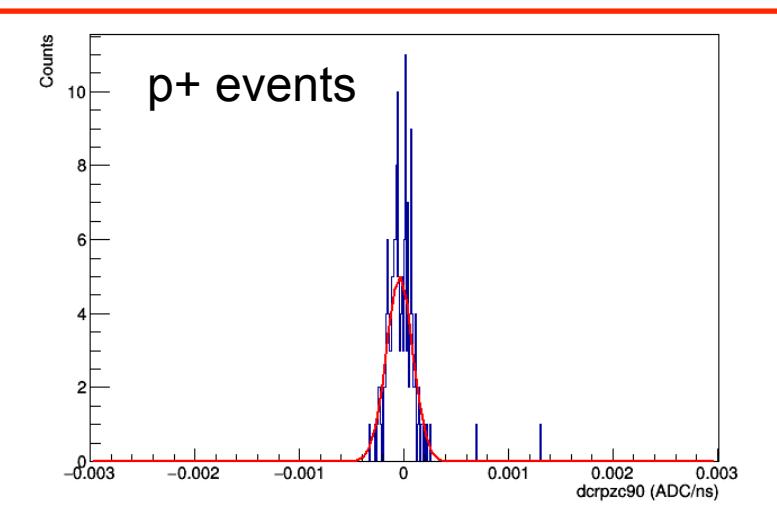
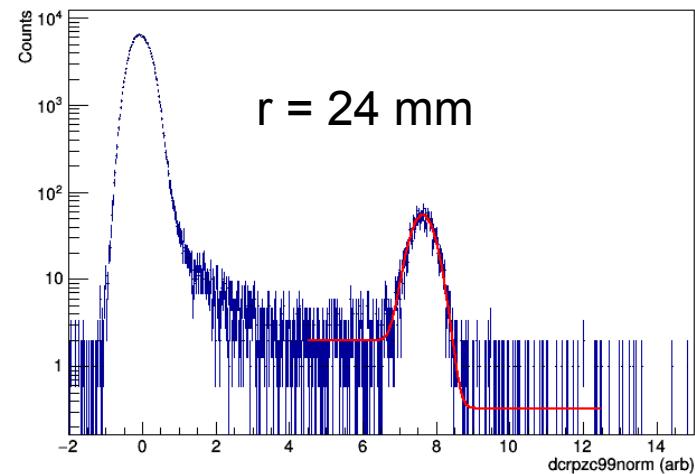
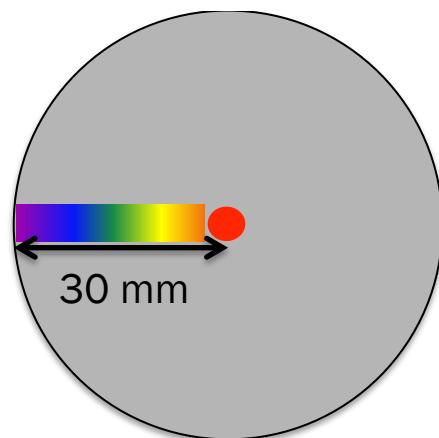
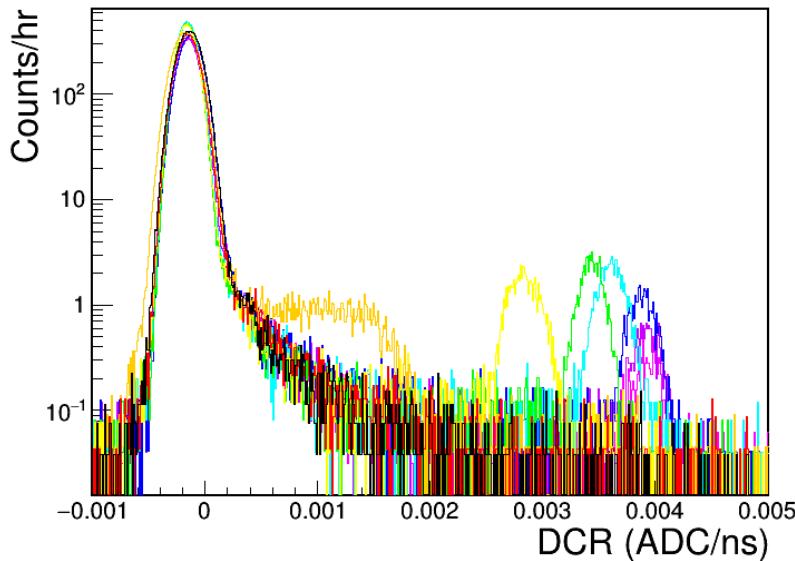
WP at Passivated Surface





Alpha DCR

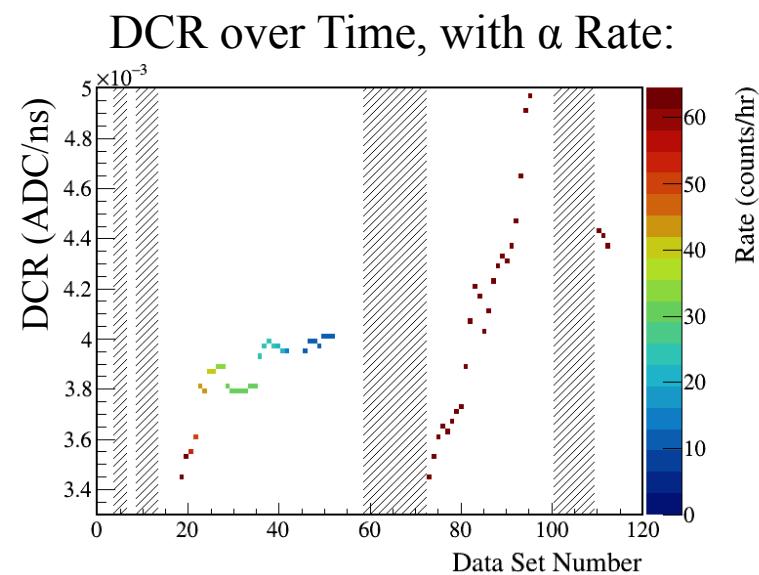
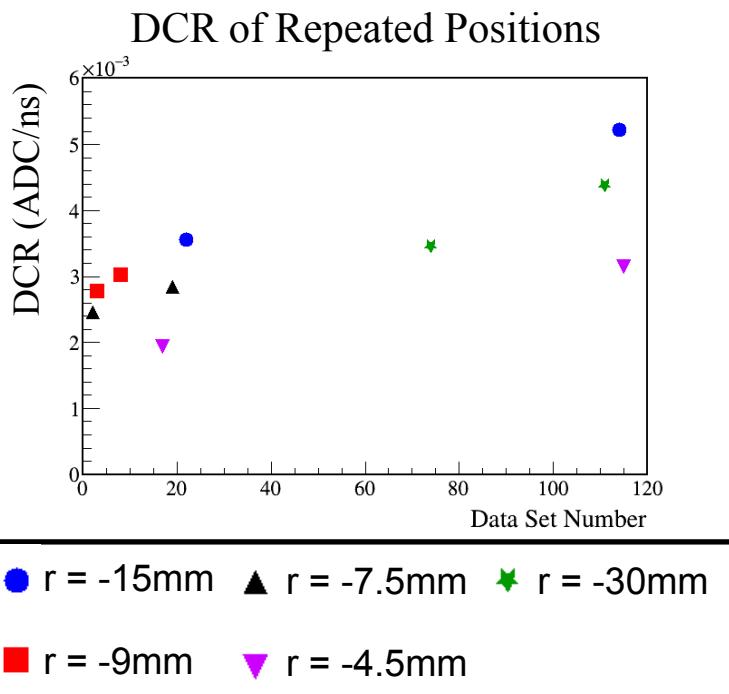
TUBE DCR Distributions



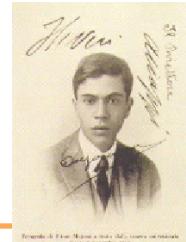


Unexpected Result: DCR Stability

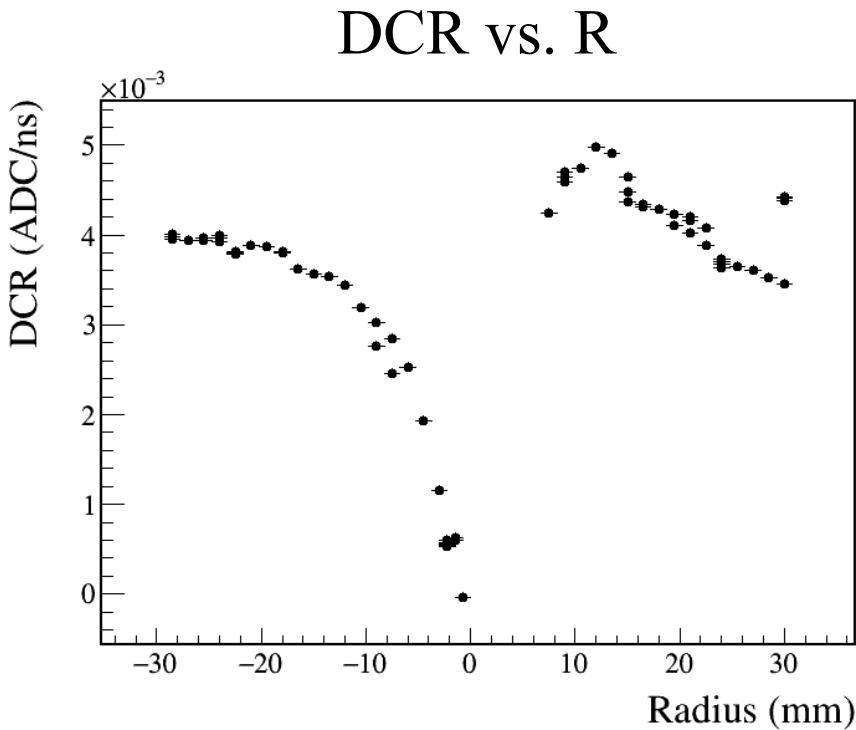
- DCR values increase over time, high α rate appears to cause charge build-up. Drops when source is removed from surface.
- 2 leading theories about mechanism, can test in the future
- Not relevant for MJD (rate is ~ 5 orders of magnitude lower)



Higher integrated rate,
larger increase in DCR



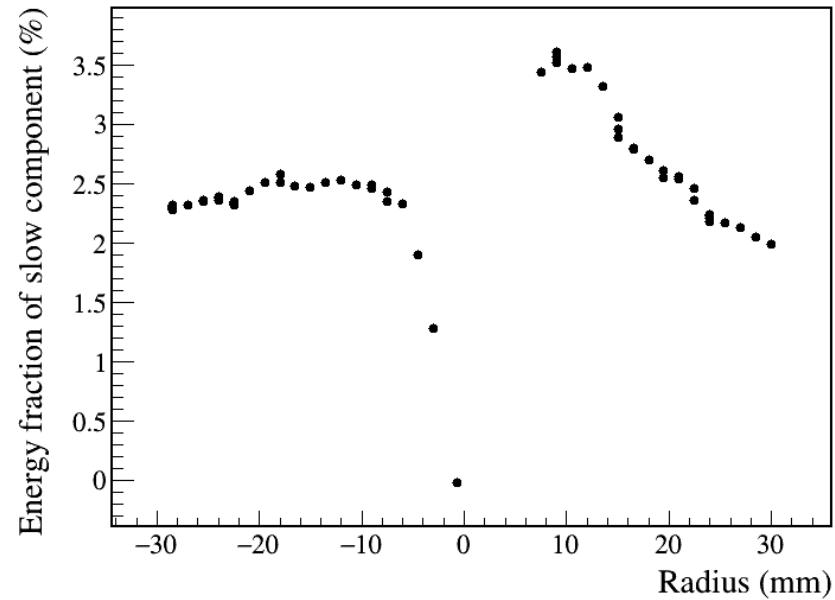
DCR vs. R



Events near p+ contact
have rapidly falling DCR

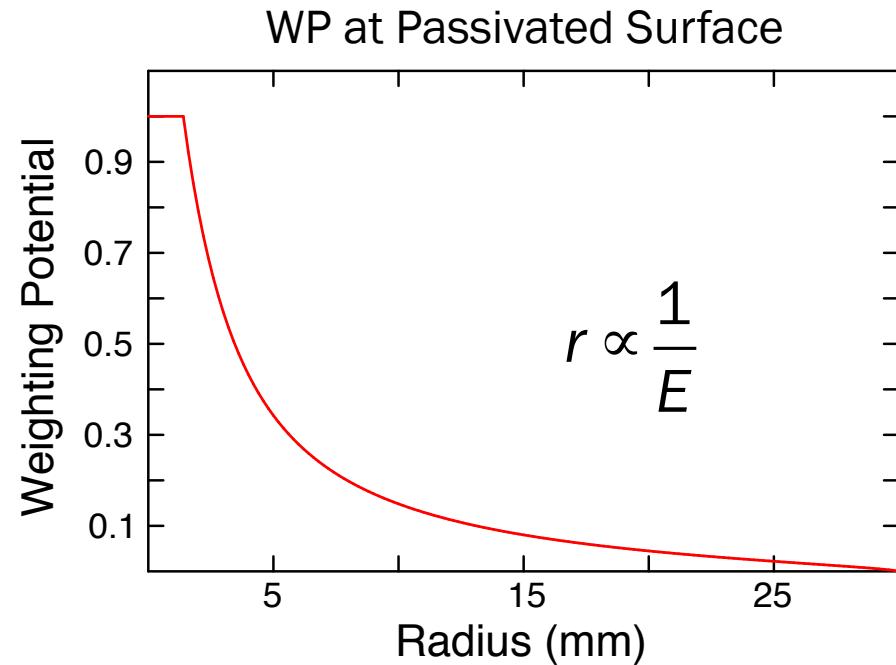
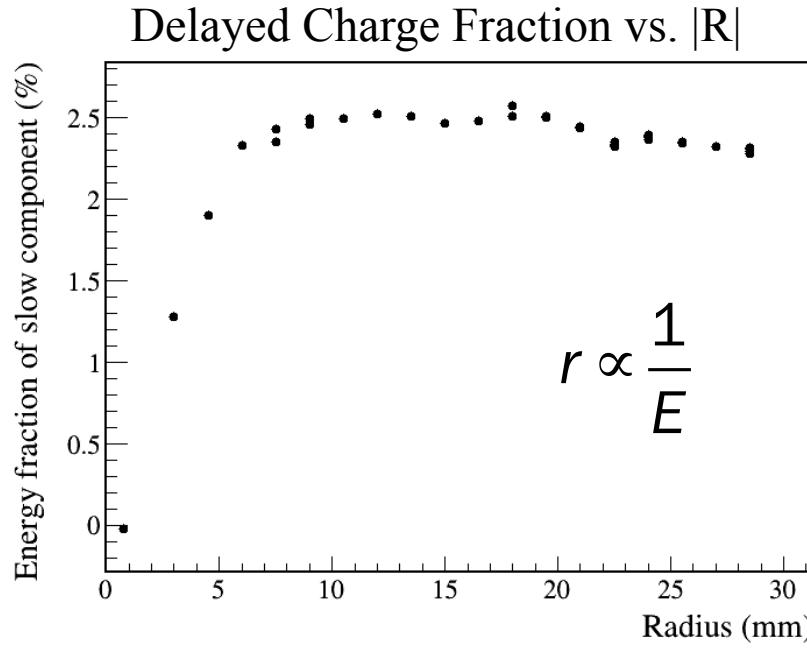
2 – 3.5% of event energy is collected as delayed charge at positions more than 8mm away from p+ contact:

Delayed Charge Fraction vs. R



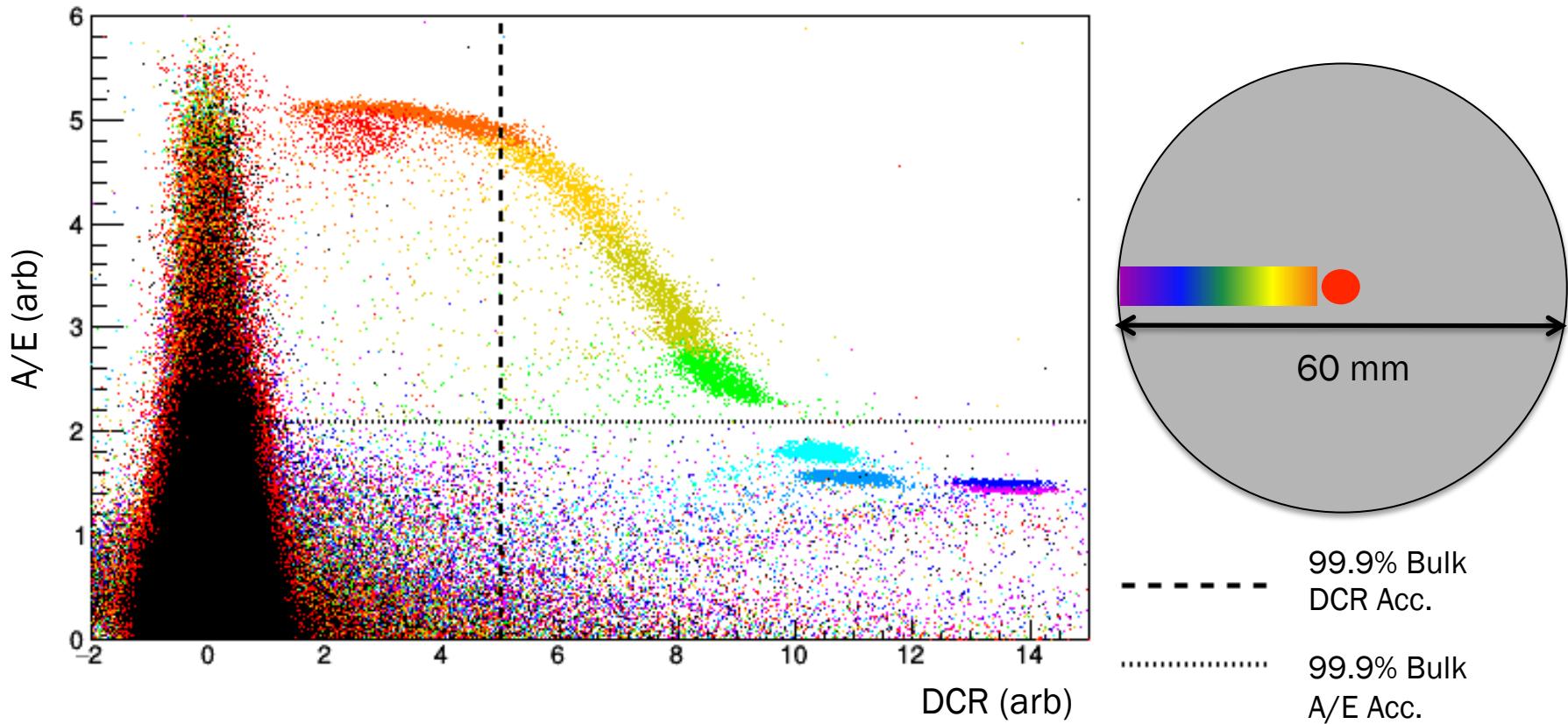


Charge Loss Mechanism



- DCR increases with energy and radius
 - Hole recovery dominates DCR mechanism
 - Suggests near-surface trapping & rerelease

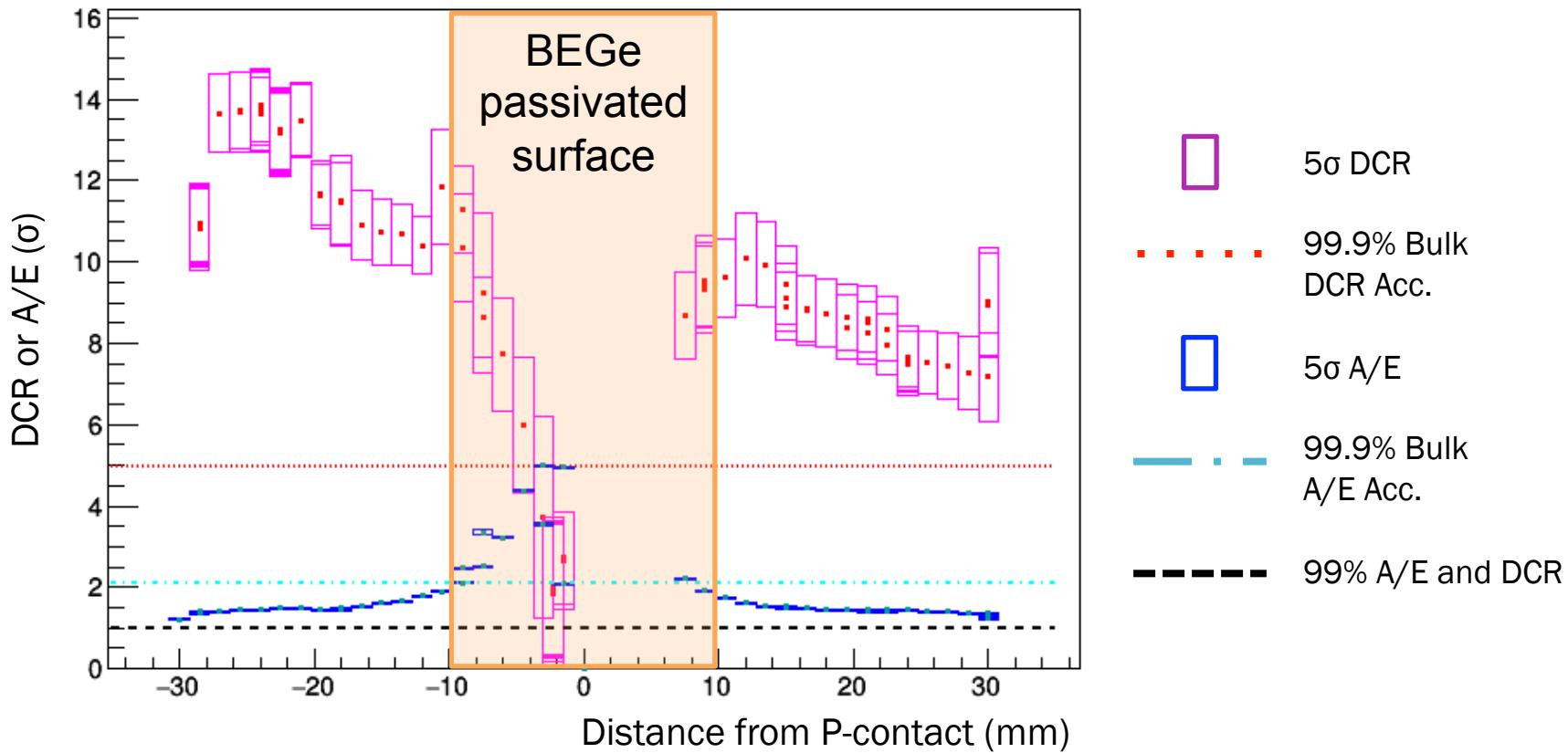
A/E and DCR Complementarity



- All positions fall above 99.9% on either A/E or DCR
- We should be able to remove ~all α events w/ minimal sacrifice

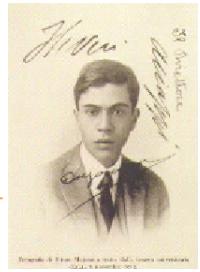


A/E and DCR α Rejection Power



- Suggests that the transition is at ~8mm
- Publication in preparation

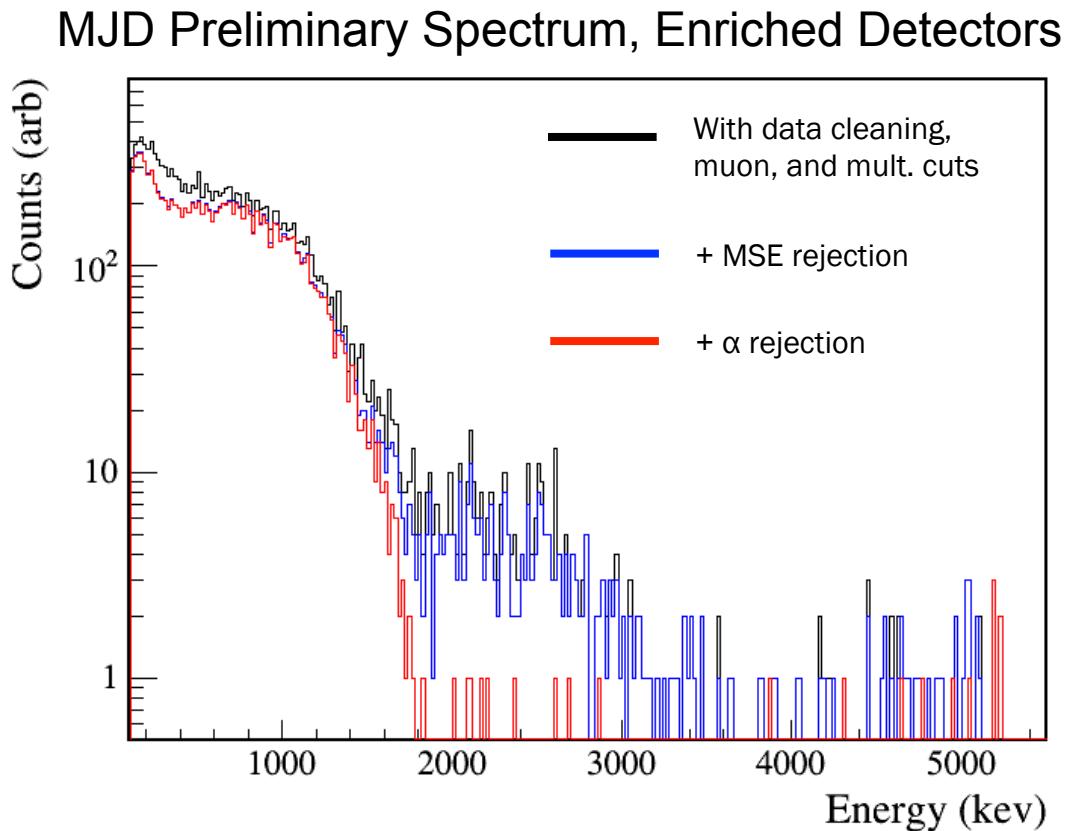
ALPHA BACKGROUNDS IN MJD





DCR and Alpha Backgrounds

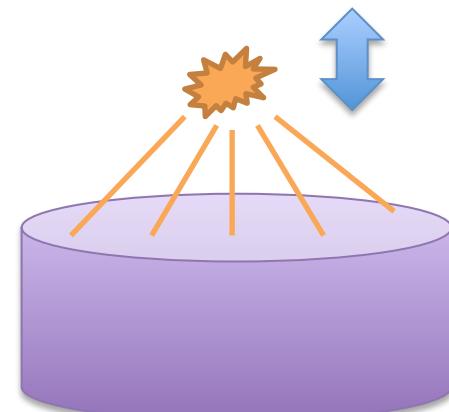
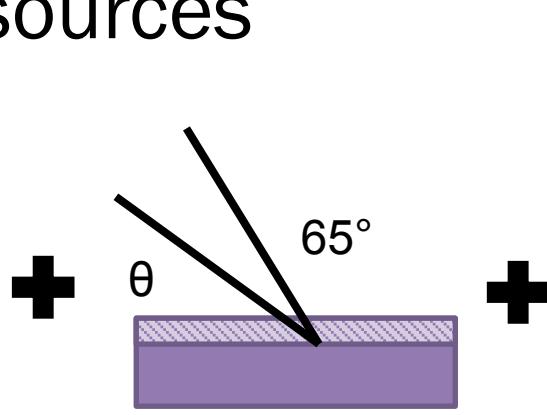
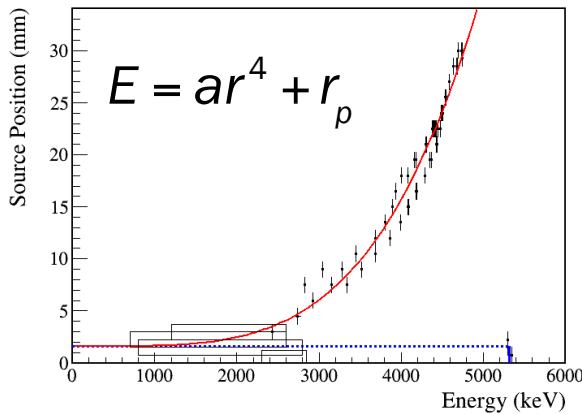
- DCR reduces ROI background rate by a factor of 31
- After cut, ROI backgrounds are within uncertainty of 3 counts/ROI/t/y
- At current exposure, improves $0\nu\beta\beta$ limit by 24%
- After 5 years of running, improves sensitivity by a factor of 3
 - Corresponds to \$8.5m-worth more ^{76}Ge



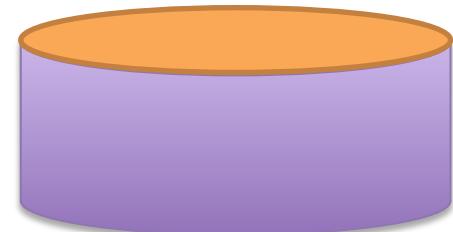


Pin-point Background Modeling

- Using TUBE α spectrum, distinguish between background sources



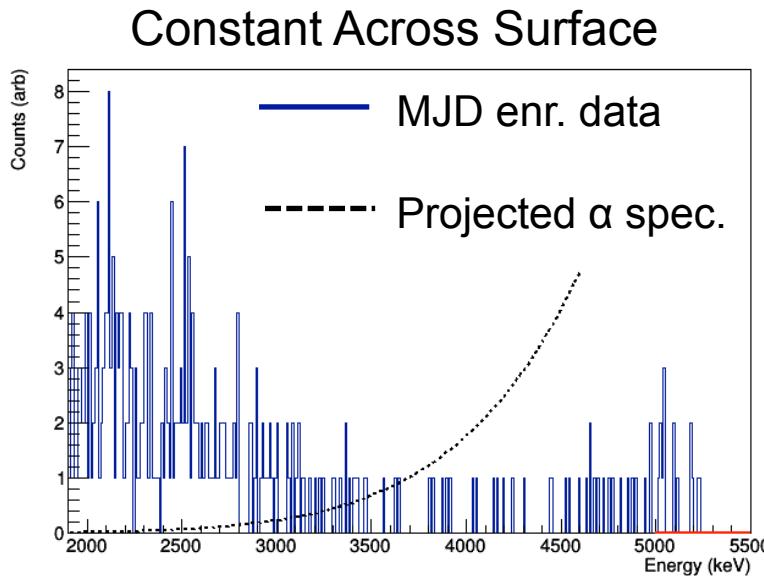
or



- Completely analytic, for now

A few caveats:

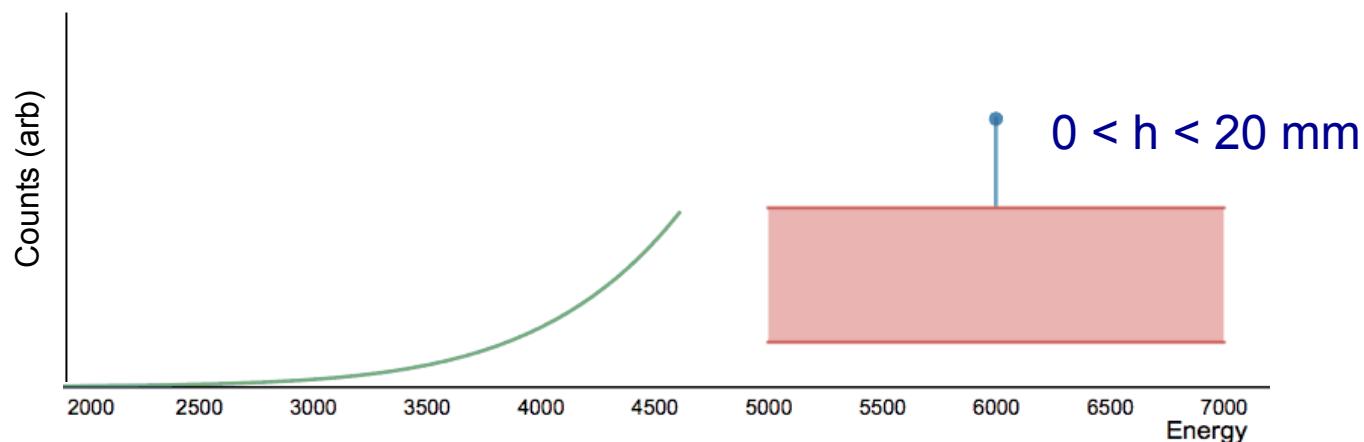
- rescale energies (^{210}Po , not ^{241}Am)
- p+ deadness fraction
- p+ α peak shape



Testing Two Models

- Can immediately discard constant model

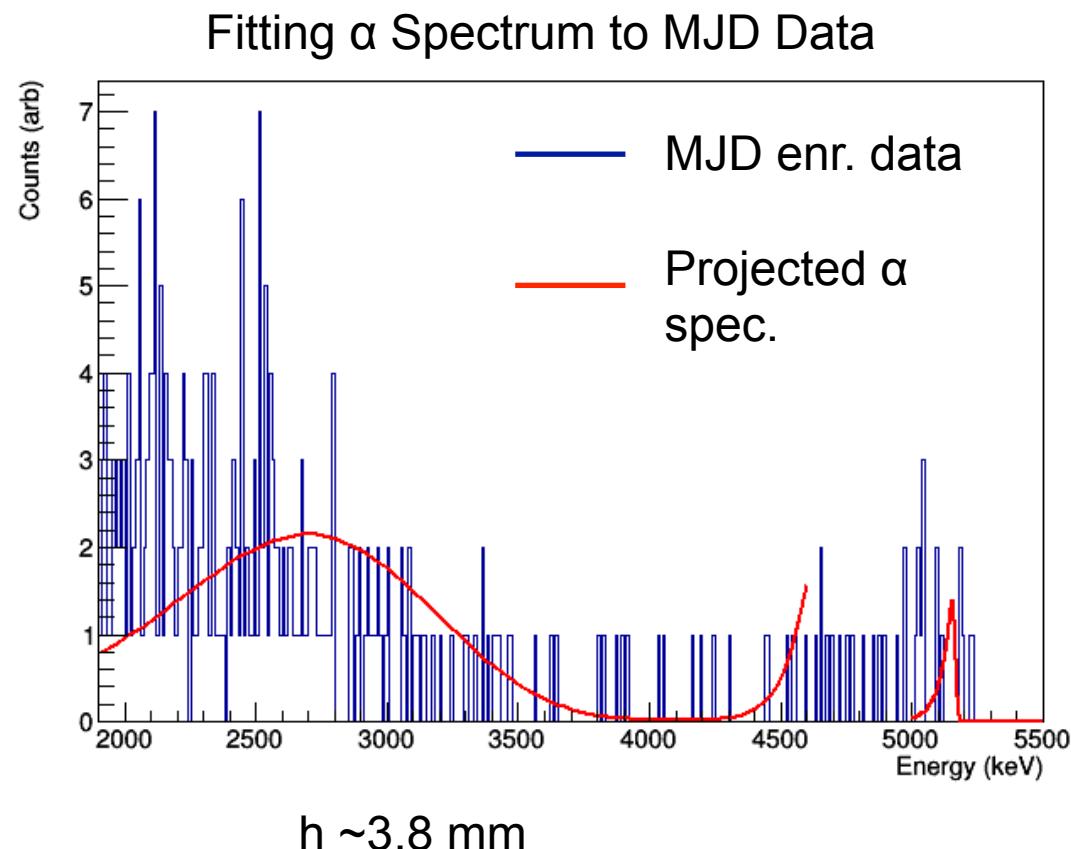
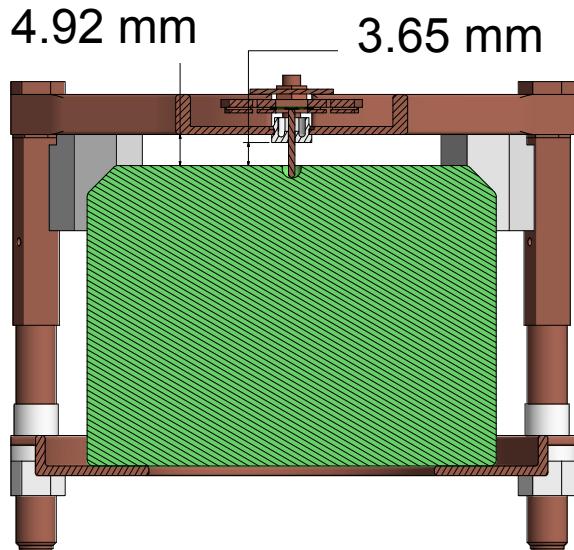
Point Source Above Surface





Fitting to α Source

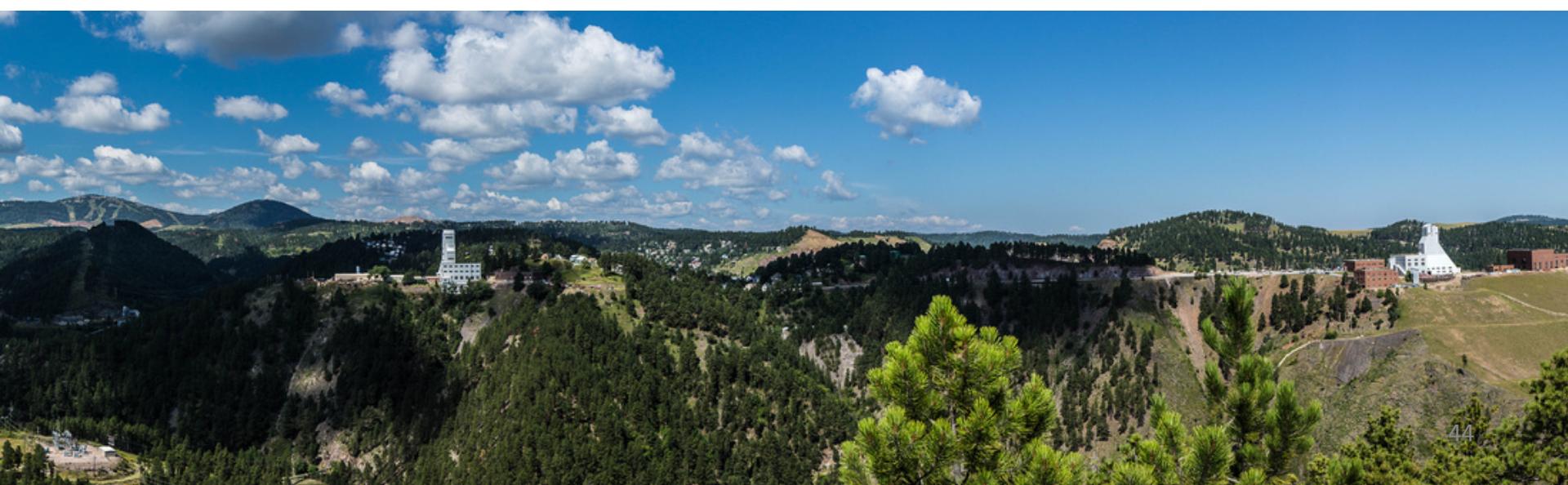
- Just two free parameters: height and overall scaling (α rate)
- Strongly suggests plastic bushing is responsible
- Full simulation needed





Conclusion

- I have characterized PPC charge collection near the passivated surface and identified the cause of the observed delayed charge recovery.
- Using the DCR cut, the MJD background has been reduced by a factor of ~ 30 , improving sensitivity by a factor of 3.
- Using TUBE results, we can model the spectrum of α events, even identify their source. Then high- α background parts can be removed in MJD and avoided in LEGEND.
- Improved understanding of PPC behavior can drastically improve our ability to search for rare events and BSM physics, like $Ov\beta\beta$.





Thank You to:

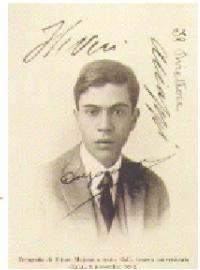
- the money:
 - UW Physics, ARCS, NSF, and DOE
- the MAJORANA and GERDA Collaborations, and all of the staff at CENPA
- the MJ groups at UW and at TUM
- my committee, and particularly to Jason
- all of my family and friends

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EXTRA SLIDES

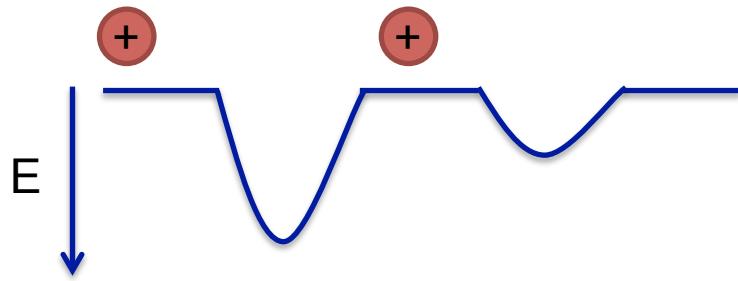




Unexpected Result: DCR Stability

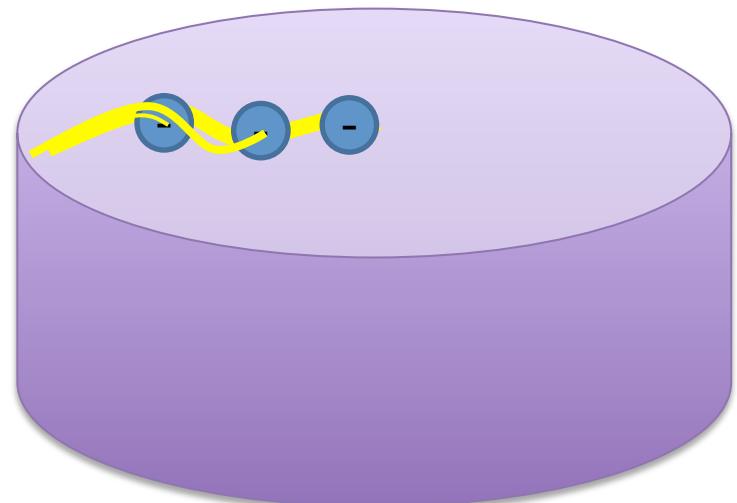
- Two potential causes

Deep trap filling:



Test under changing temperature to distinguish

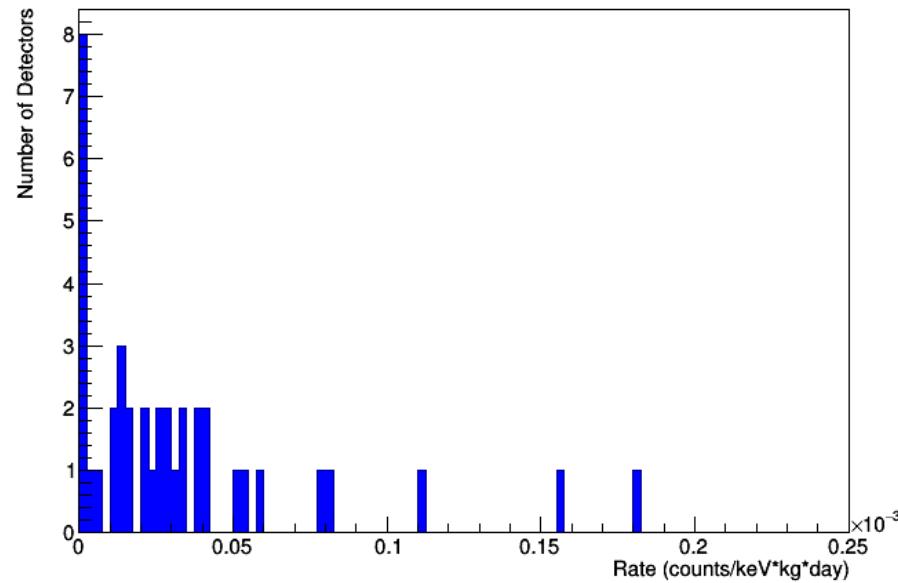
Surface channel formation:





MJD Alpha Backgrounds

- Identified high α -rate detectors
- ^{210}Po is Pb-supported
- But α rejection is already good, and can still be improved



7 detectors have rates inconsistent with the average