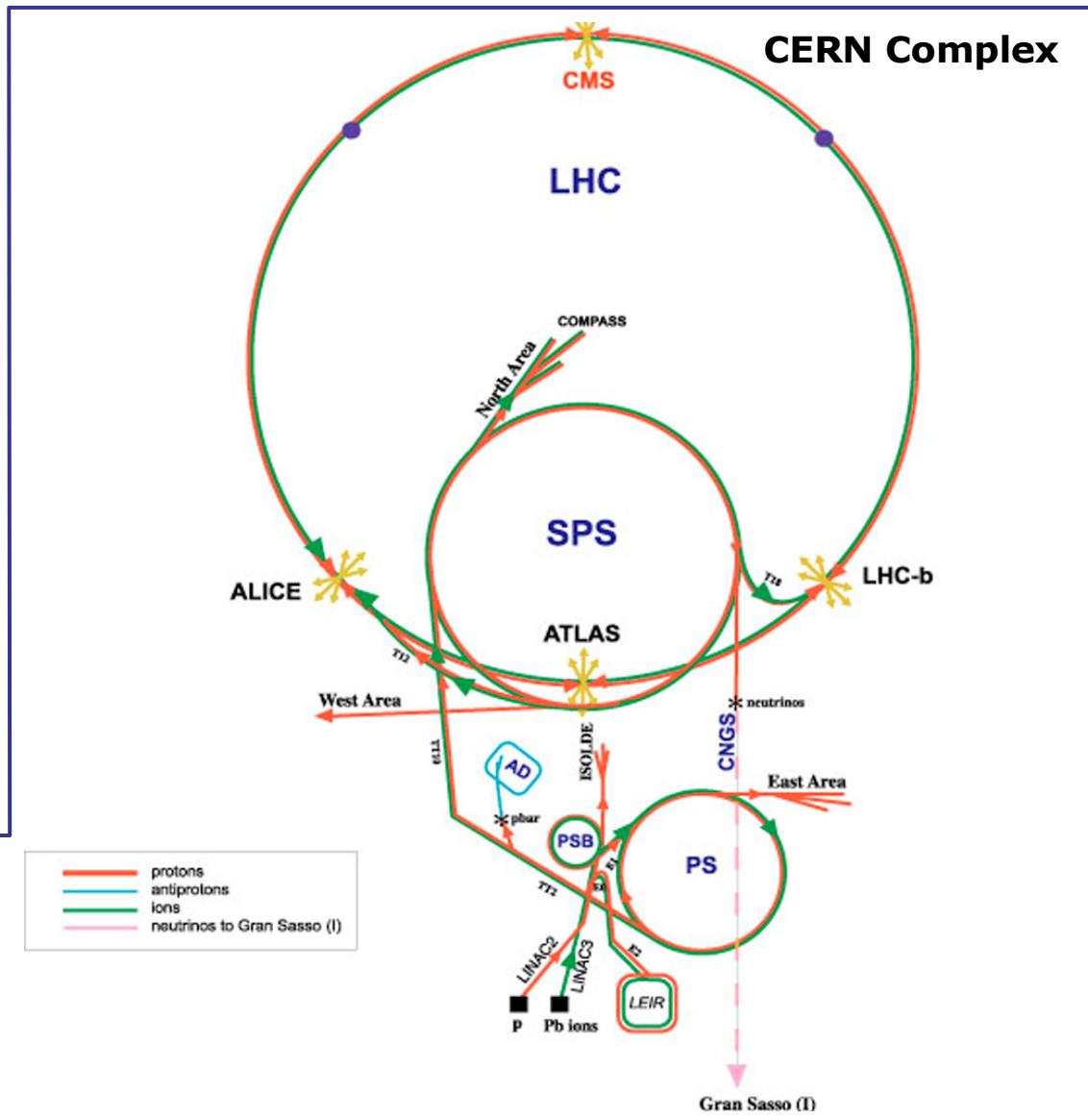


# Injection, extraction and transfer

- An accelerator has limited dynamic range.
- Chain of stages needed to reach high energy
- Periodic re-filling of storage rings, like LHC
- External experiments, like CNGS

Transfer (in, out, and between machines) is important!

LHC:	Large Hadron Collider
SPS:	Super Proton Synchrotron
AD:	Antiproton Decelerator
ISOLDE:	Isotope Separator Online Device
PSB:	Proton Synchrotron Booster
PS:	Proton Synchrotron
LINAC:	LINEar Accelerator
LEIR:	Low Energy Ring
CNGS:	CERN Neutrino to Gran Sasso

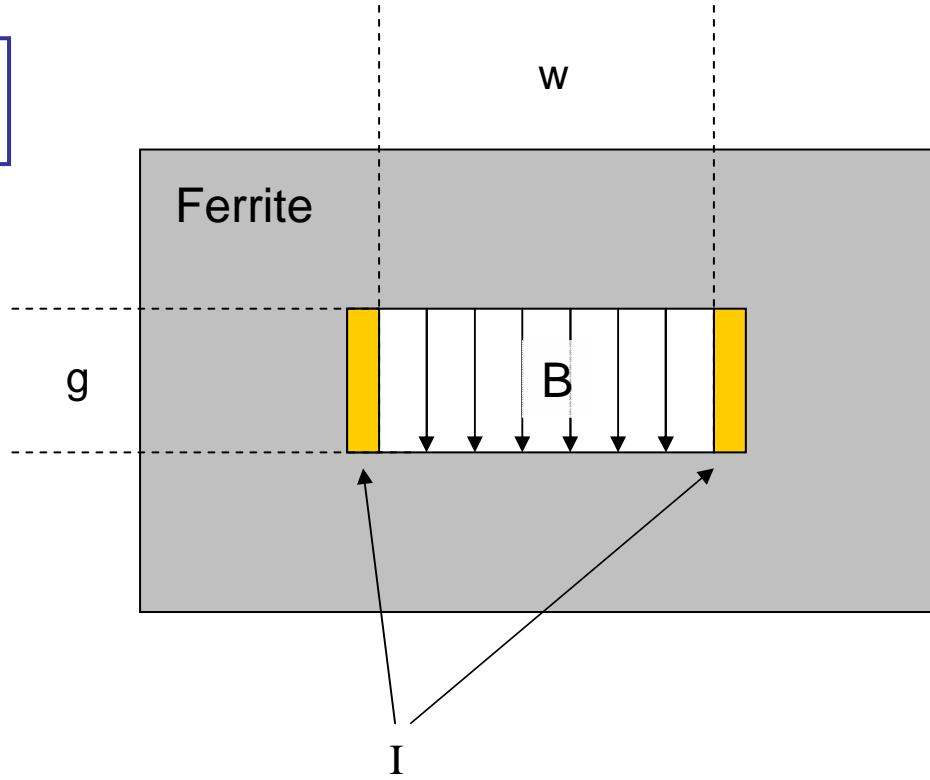
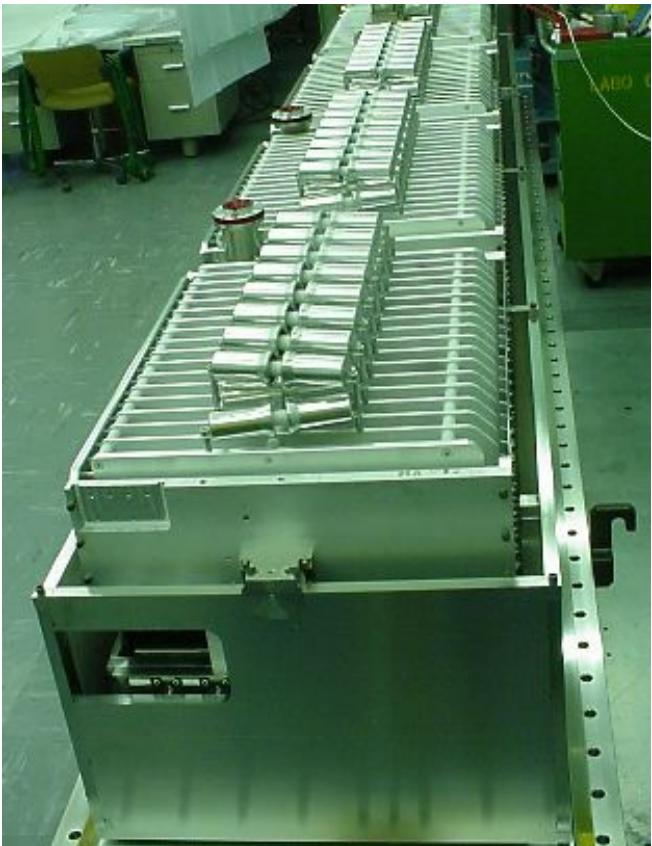


# Injection and Extraction

- Kickers and septa
- Normalised phase space
- Injection
  - Single-turn hadron injection
  - Injection errors, filamentation and blow-up
  - Multi-turn hadron injection
  - Charge-exchange H- injection
  - Lepton injection
- Extraction
  - Single-turn (fast) extraction
  - Non-resonant multi-turn extraction
  - Resonant multi-turn (slow) extraction

# Kicker

Pulsed magnet with very fast rise time  
(100ns – few  $\mu$ s)



$$B = \mu_0 I / g$$

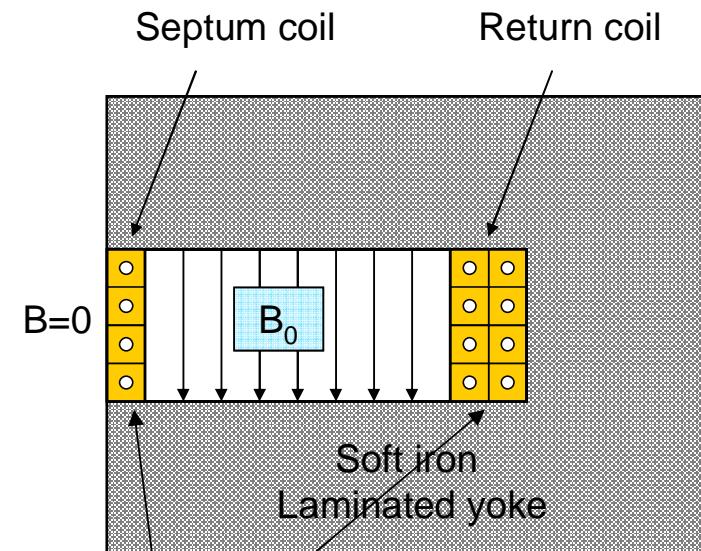
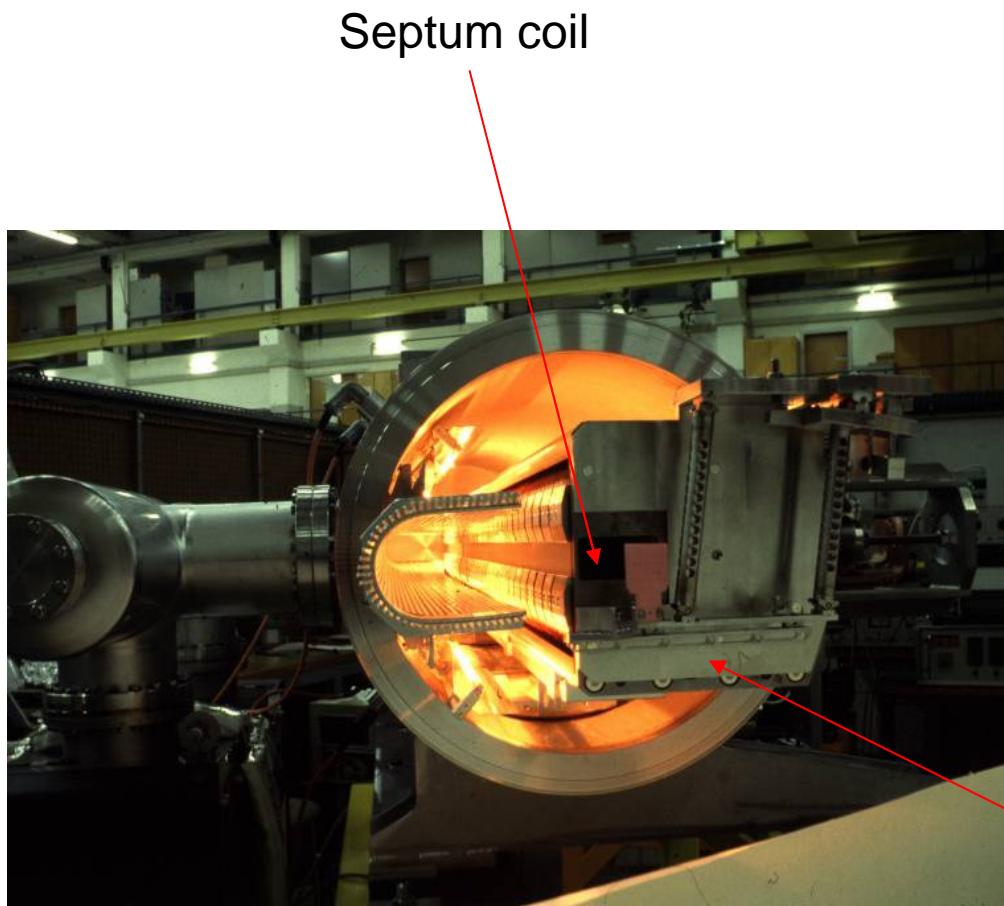
$$L = \mu_0 w l / g \quad (\text{magnet length } l)$$

$$dI/dt = V/L$$

Typically 3kA in 1 $\mu$ s rise time

# Magnetic septum

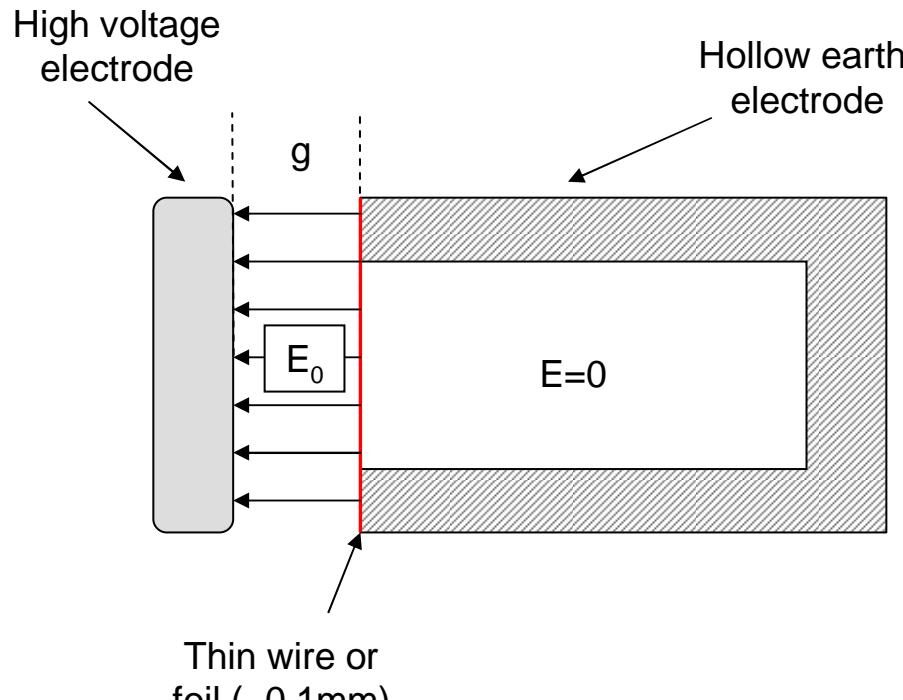
Pulsed or DC magnet with thin (2-20mm) septum between zero field and high field region



Typically  $I$  5-25kA

# Electrostatic septum

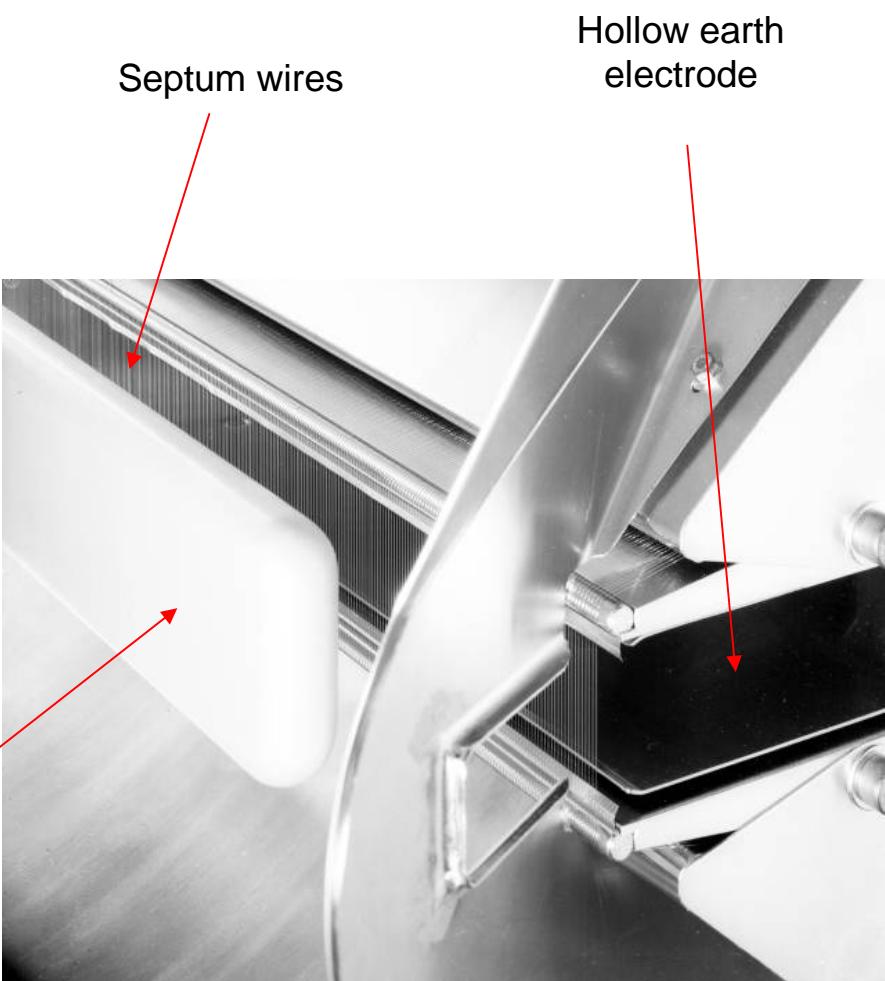
DC electrostatic device with very thin (~0.1mm) septum between zero field and high field region



$$E = V / g$$

Typically  $V = 200\text{kV}$

$$E = 100\text{kV/cm}$$



# Normalised phase space

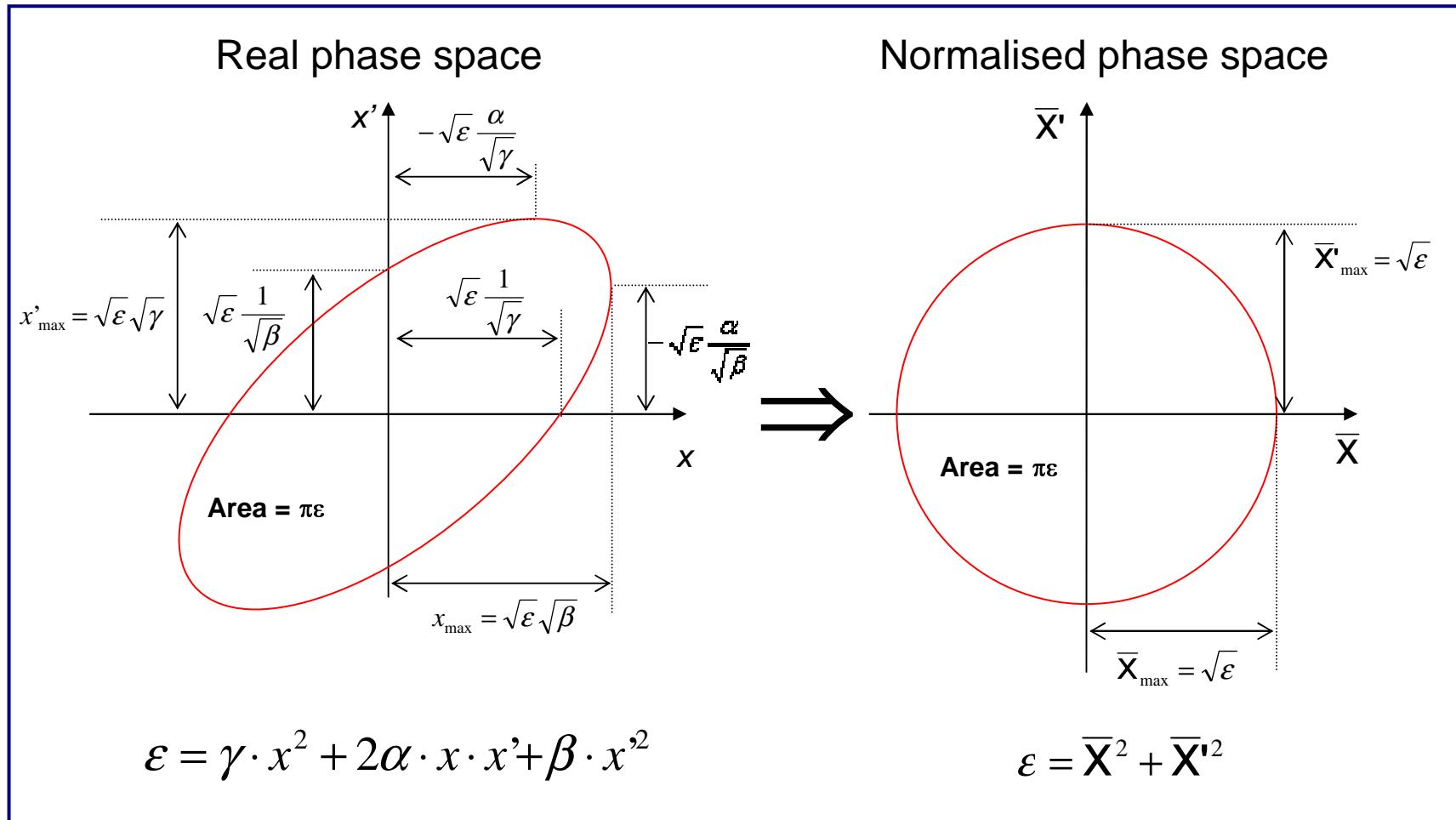
- Transform real transverse coordinates  $x, x'$  by

$$\begin{bmatrix} \bar{x} \\ \bar{x}' \end{bmatrix} = \mathbf{N} \cdot \begin{bmatrix} x \\ x' \end{bmatrix} = \sqrt{\frac{1}{\beta_s}} \cdot \begin{bmatrix} 1 & 0 \\ \alpha_s & \beta_s \end{bmatrix} \cdot \begin{bmatrix} x \\ x' \end{bmatrix}$$

$$\bar{x} = \sqrt{\frac{1}{\beta_s}} \cdot x$$

$$\bar{x}' = \sqrt{\frac{1}{\beta_s}} \cdot \alpha_s x + \sqrt{\beta_s} x'$$

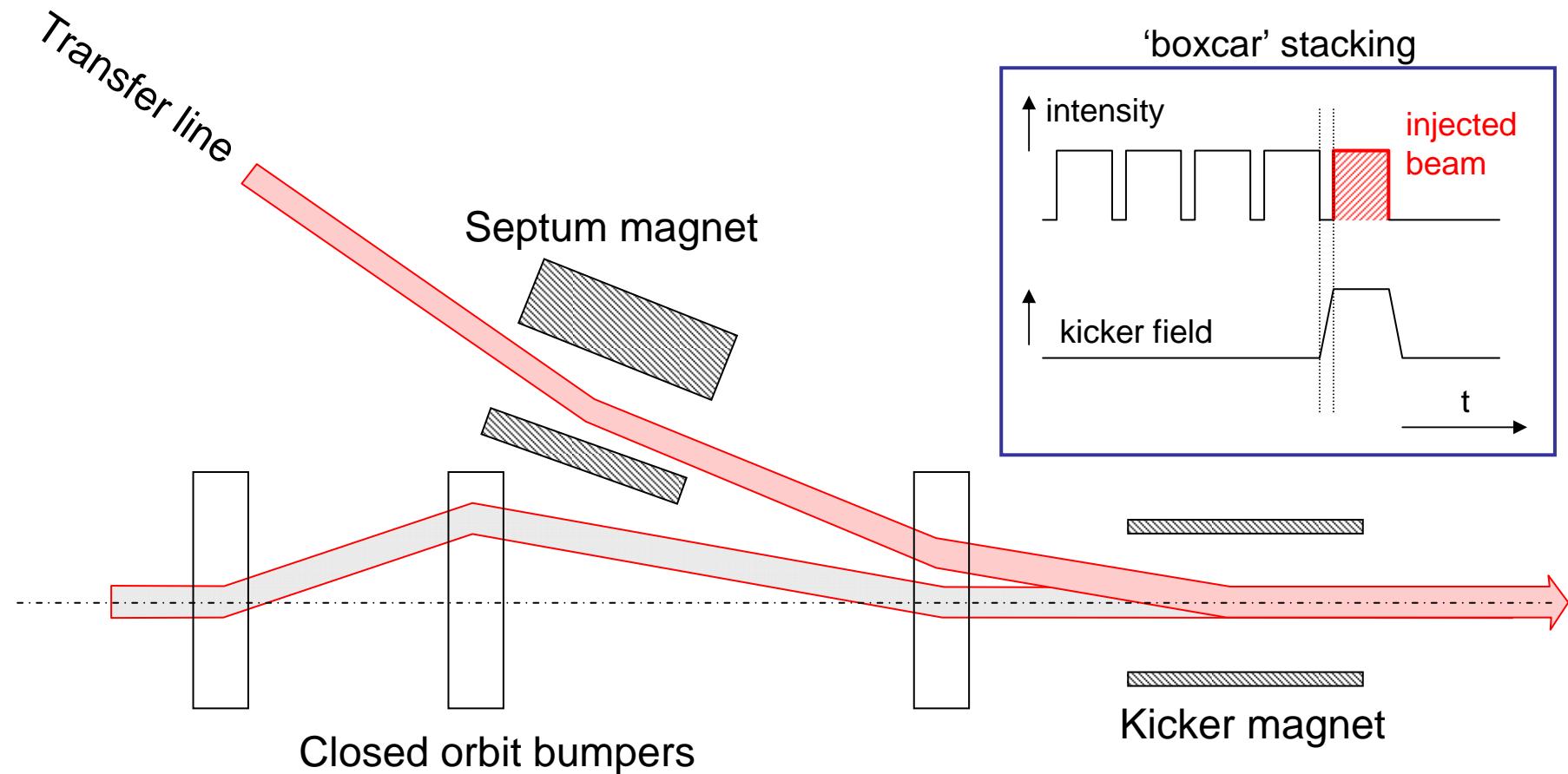
# Normalised phase space



# Injection

- Inject one or more bunches into a synchrotron, in one or more turns
- Elements involved:
  - Transfer line
  - Bumper magnet
  - Septum magnet
  - Fast kicker magnet
  - Synchrotron (receiving machine)

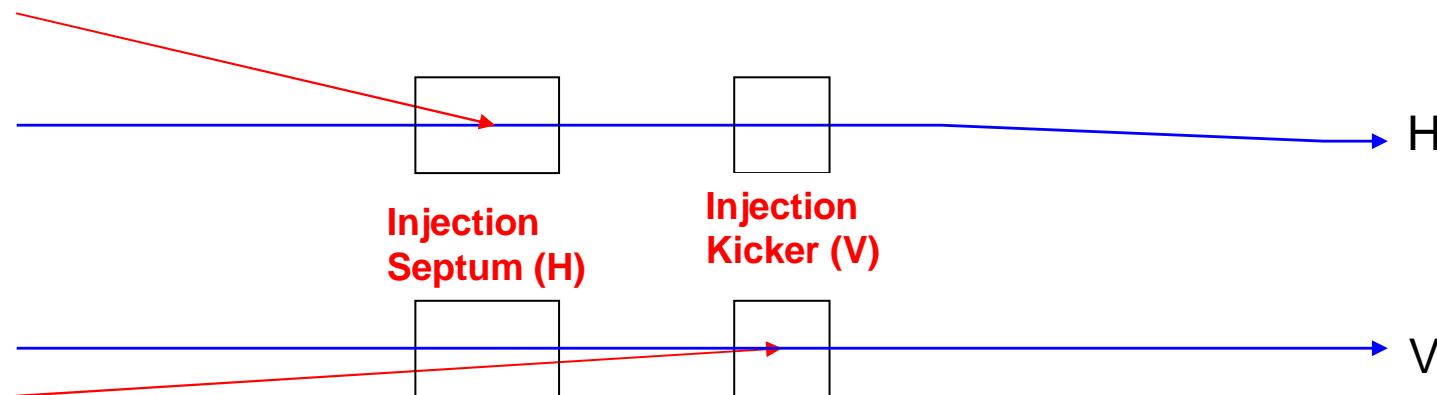
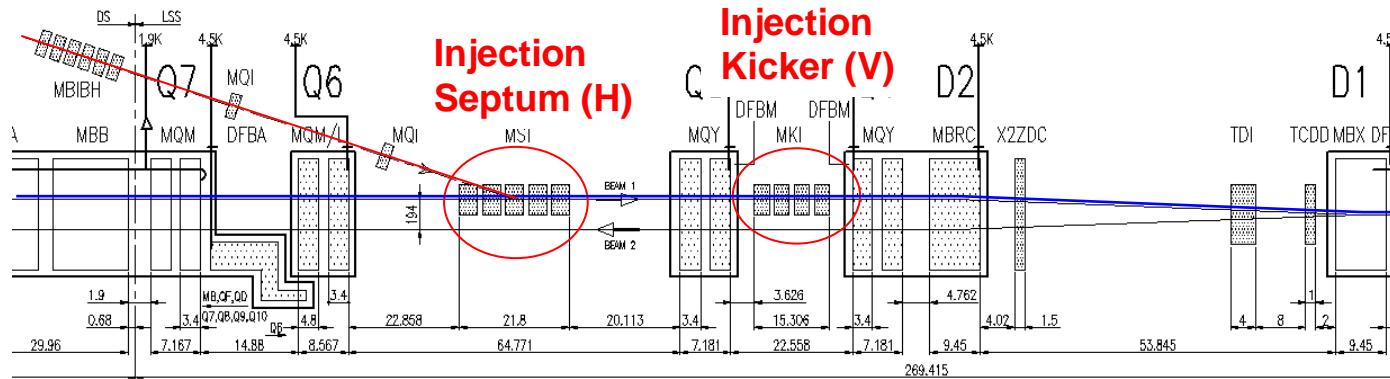
# Single-turn injection



- Septum deflects the beam onto the closed orbit at the centre of the kicker
- Kicker compensates for the remaining angle

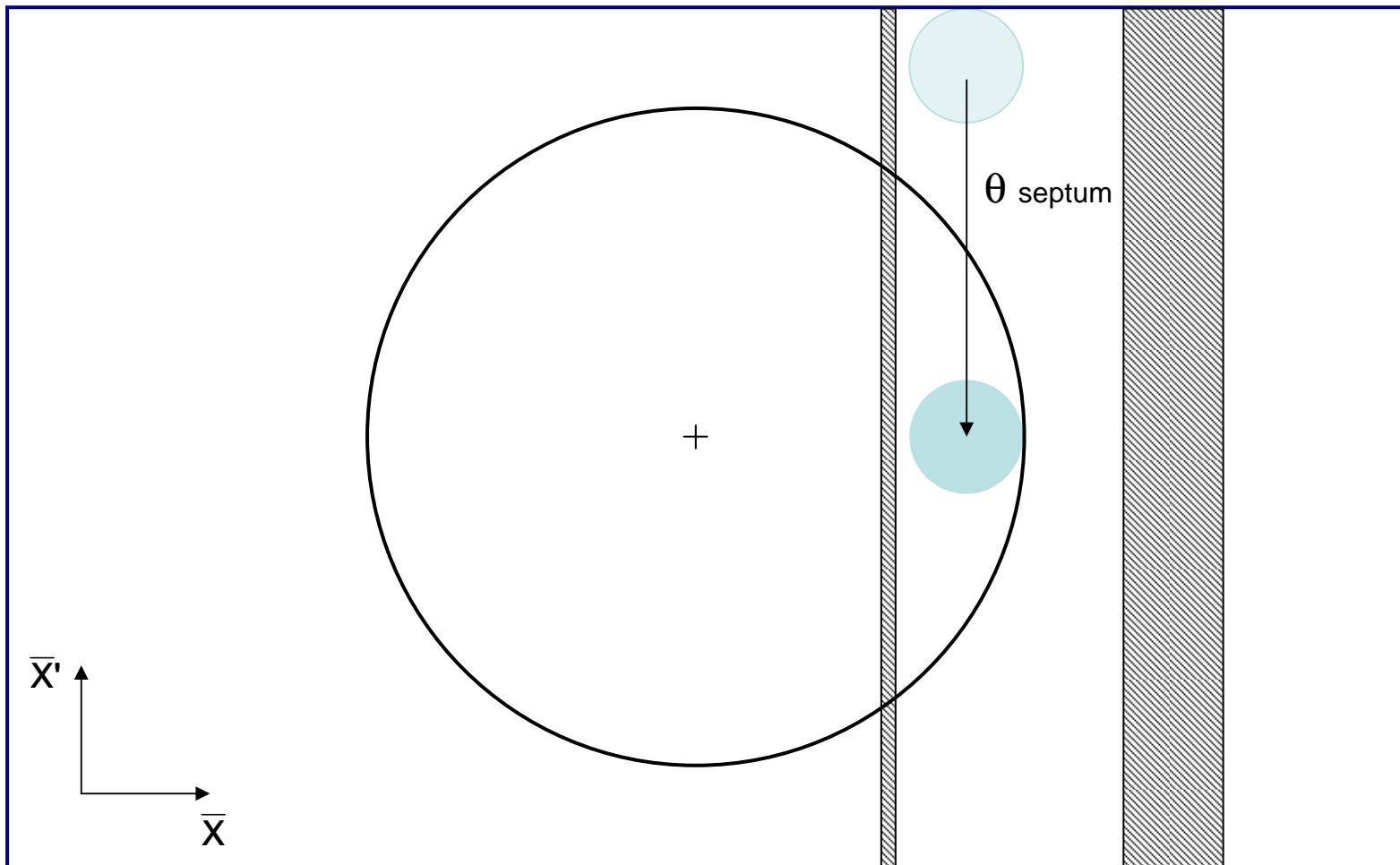
# Single-turn injection

Example system – injection into the LHC at 450 GeV/c



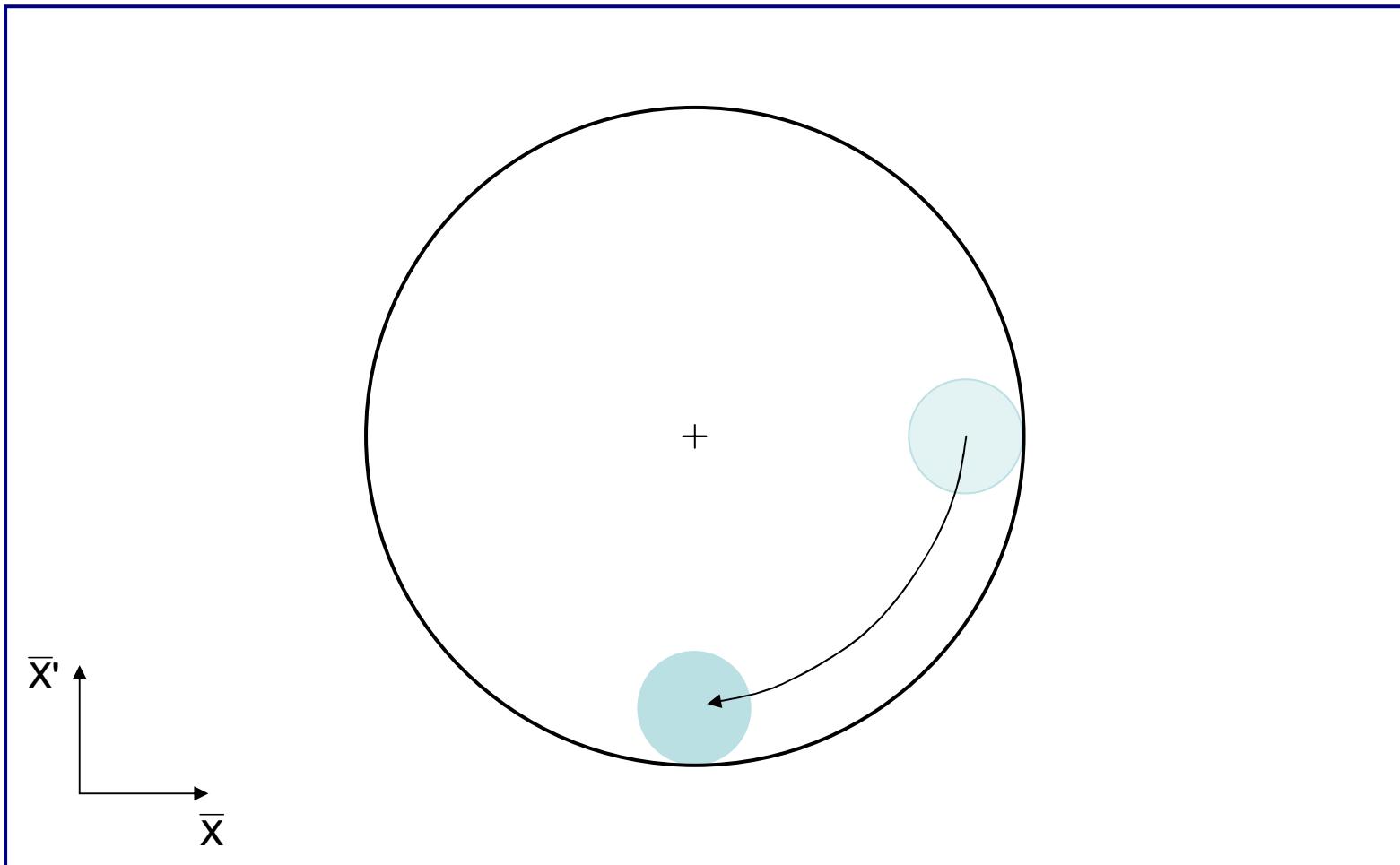
# Single-turn injection – normalised phase space

Large deflection by septum



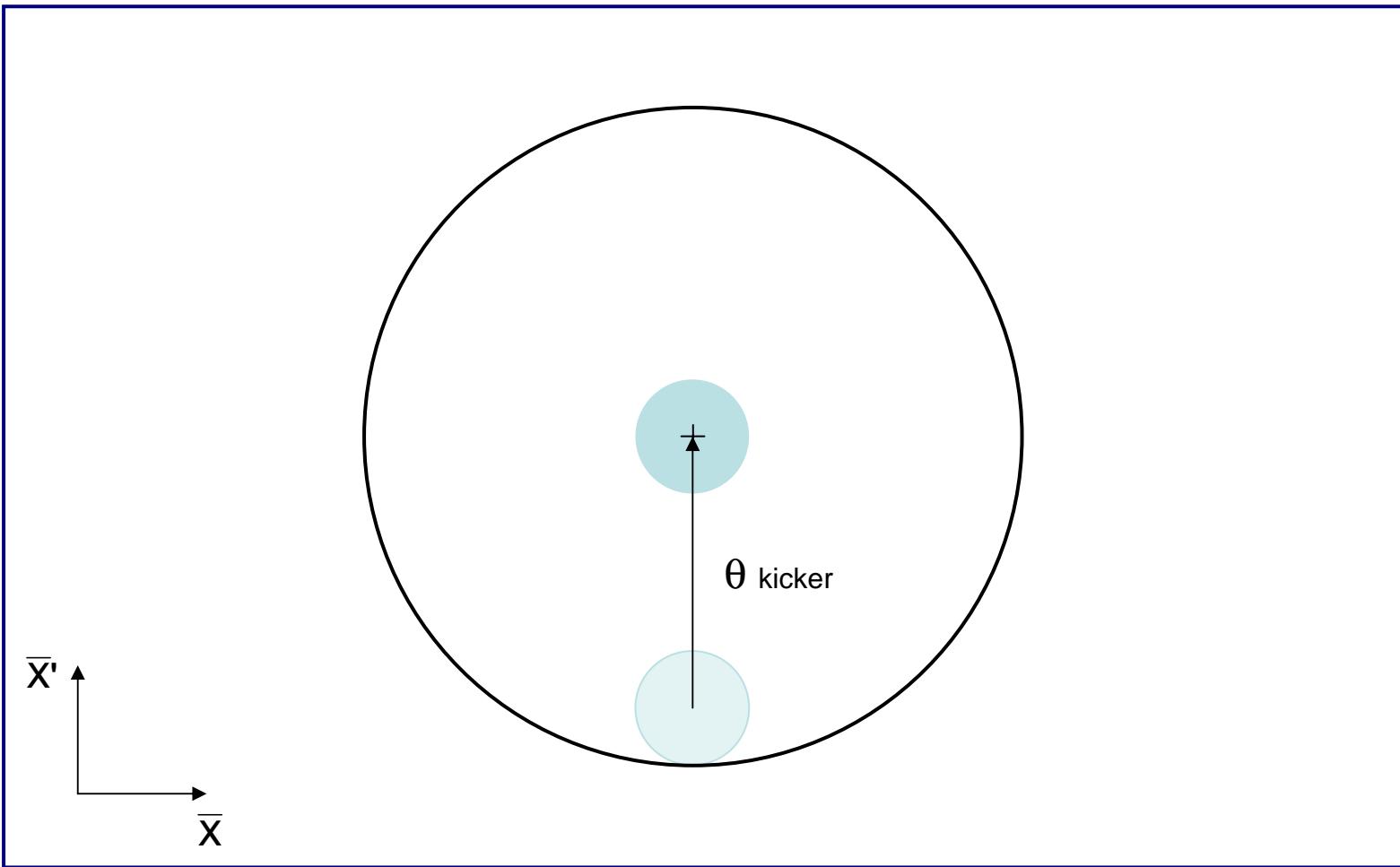
# Single-turn injection

$\pi/2$  phase advance to kicker location

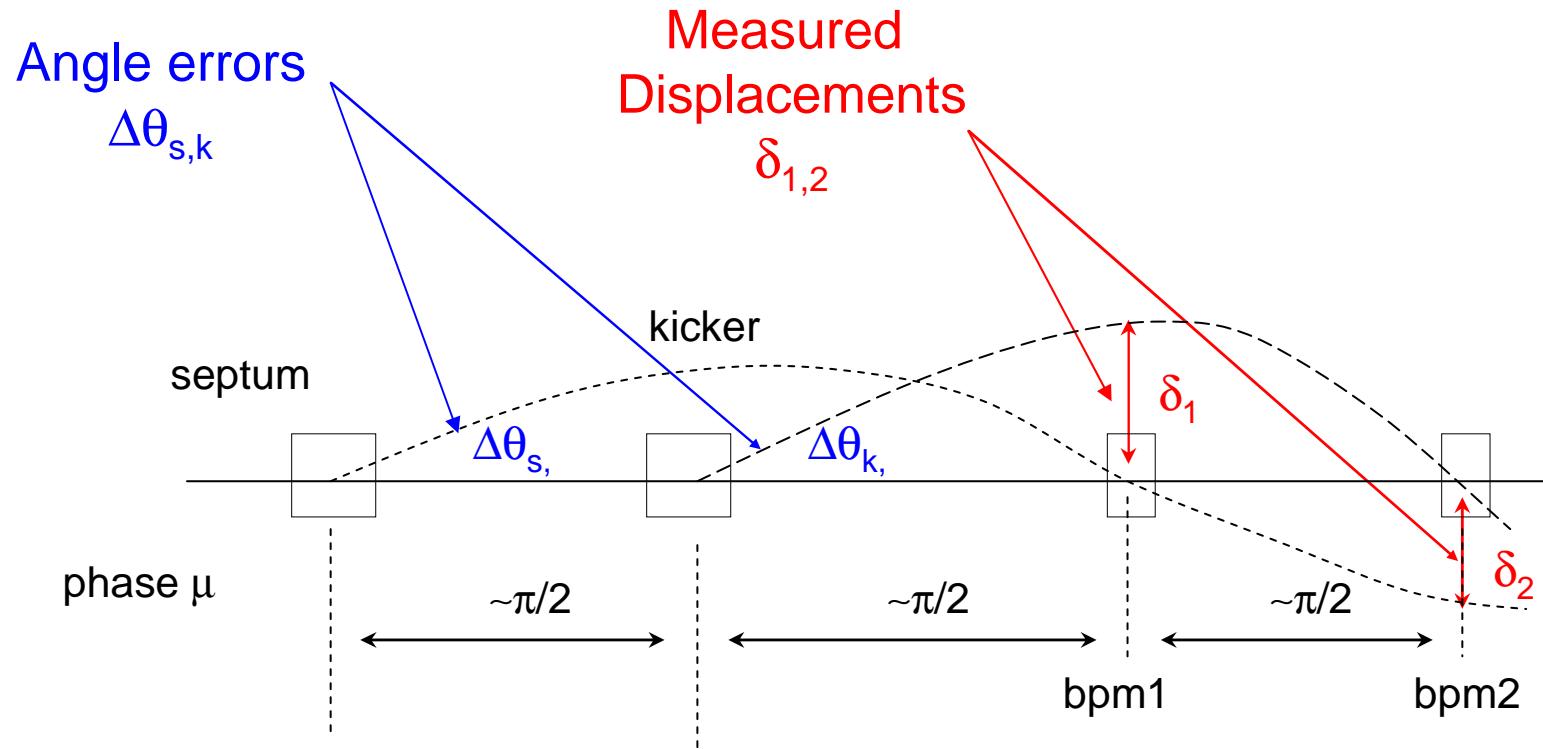


# Single-turn injection

Kicker deflection places beam on central orbit



# Injection errors



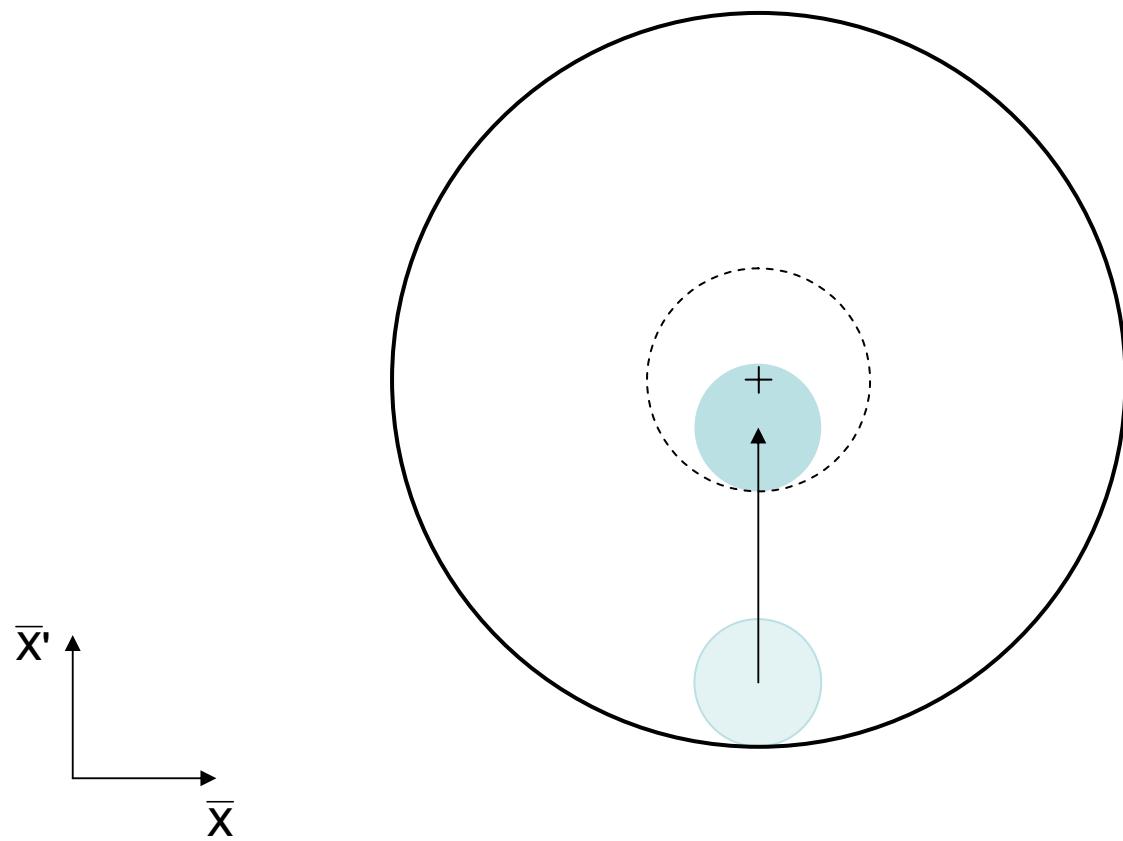
$$\begin{aligned}\delta_1 &= \Delta\theta_s \sqrt{(\beta_s \beta_1)} \sin (\mu_1 - \mu_s) + \Delta\theta_k \sqrt{(\beta_k \beta_1)} \sin (\mu_1 - \mu_k) \\ &\approx \Delta\theta_k \sqrt{(\beta_k \beta_1)}\end{aligned}$$

$$\begin{aligned}\delta_2 &= \Delta\theta_s \sqrt{(\beta_s \beta_2)} \sin (\mu_2 - \mu_s) + \Delta\theta_k \sqrt{(\beta_k \beta_2)} \sin (\mu_2 - \mu_k) \\ &\approx -\Delta\theta_s \sqrt{(\beta_s \beta_2)}\end{aligned}$$

# Injection oscillations

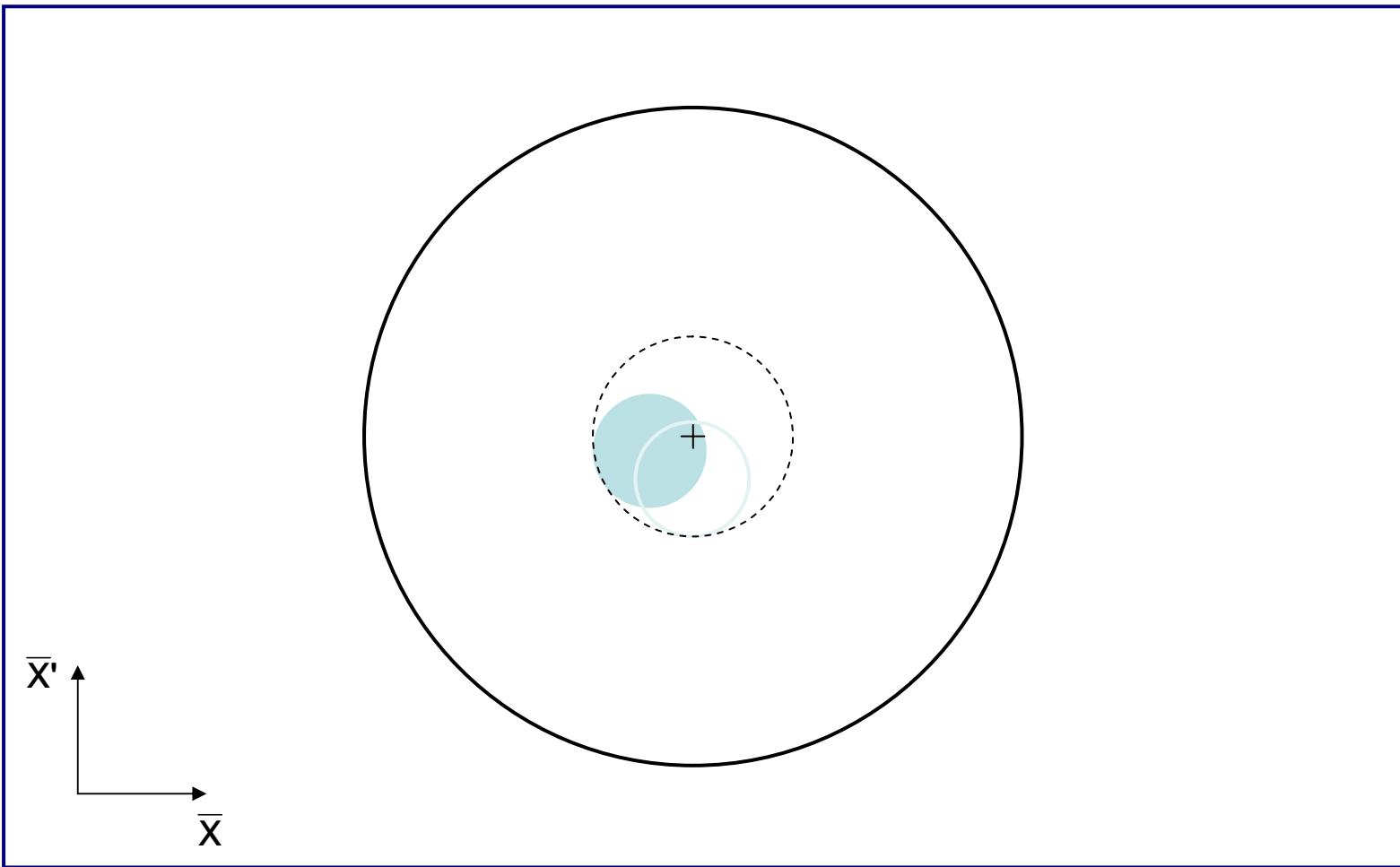
For imperfect injection the beam oscillates around the central orbit. 1

kicker  $\theta$  error



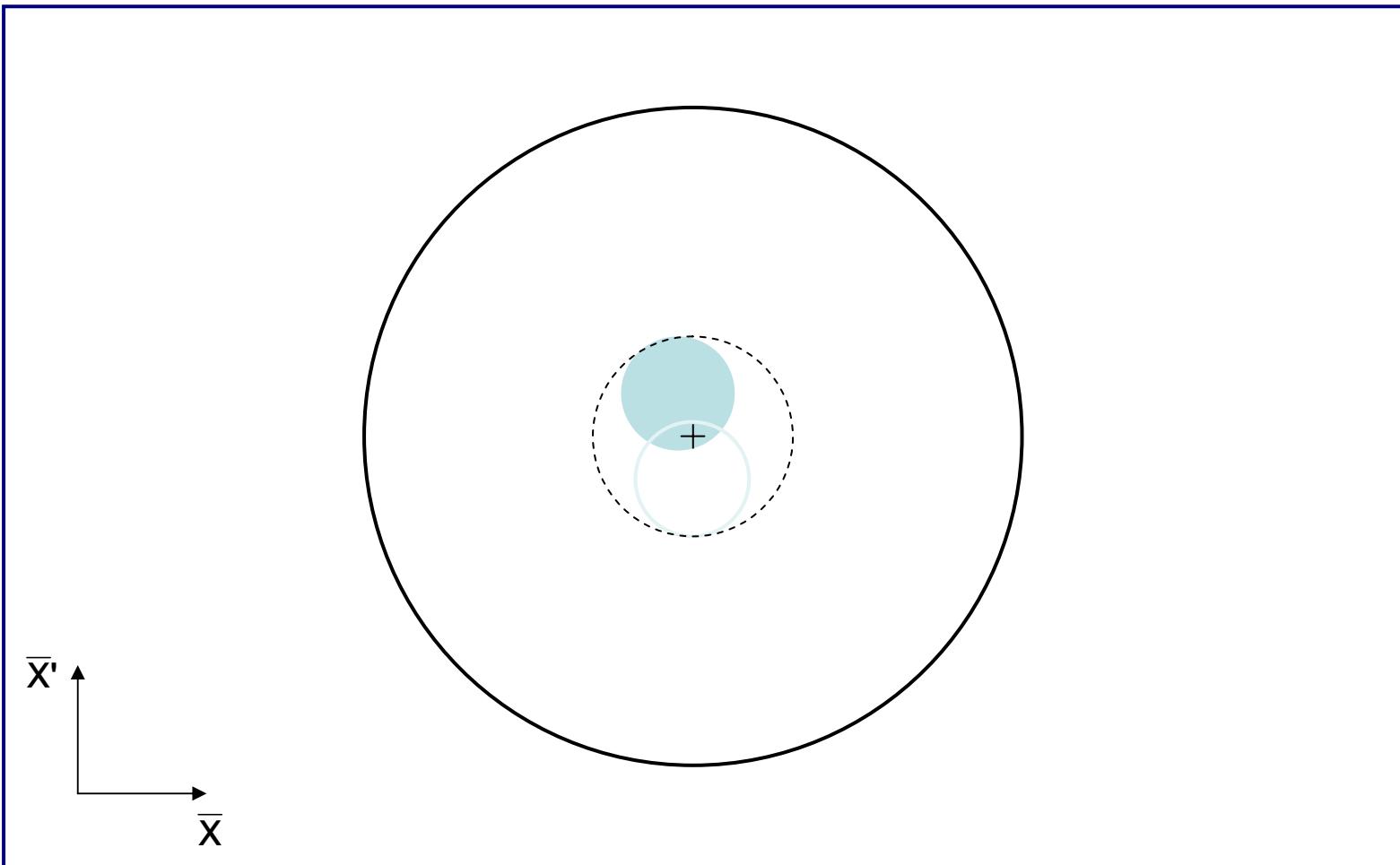
# Injection oscillations

For imperfect injection the beam oscillates around the central orbit. 2



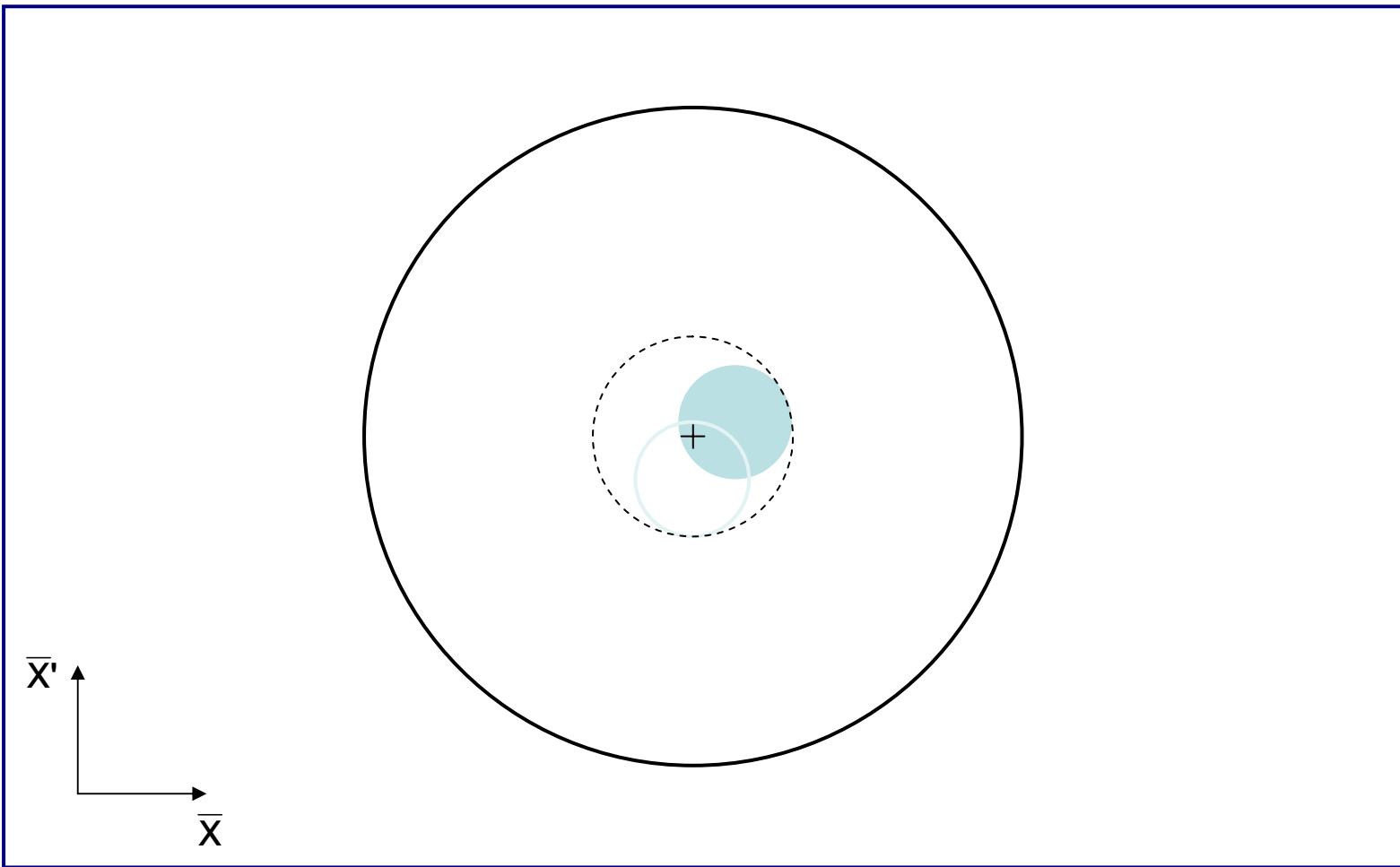
# Injection oscillations

For imperfect injection the beam oscillates around the central orbit. 3



# Injection oscillations

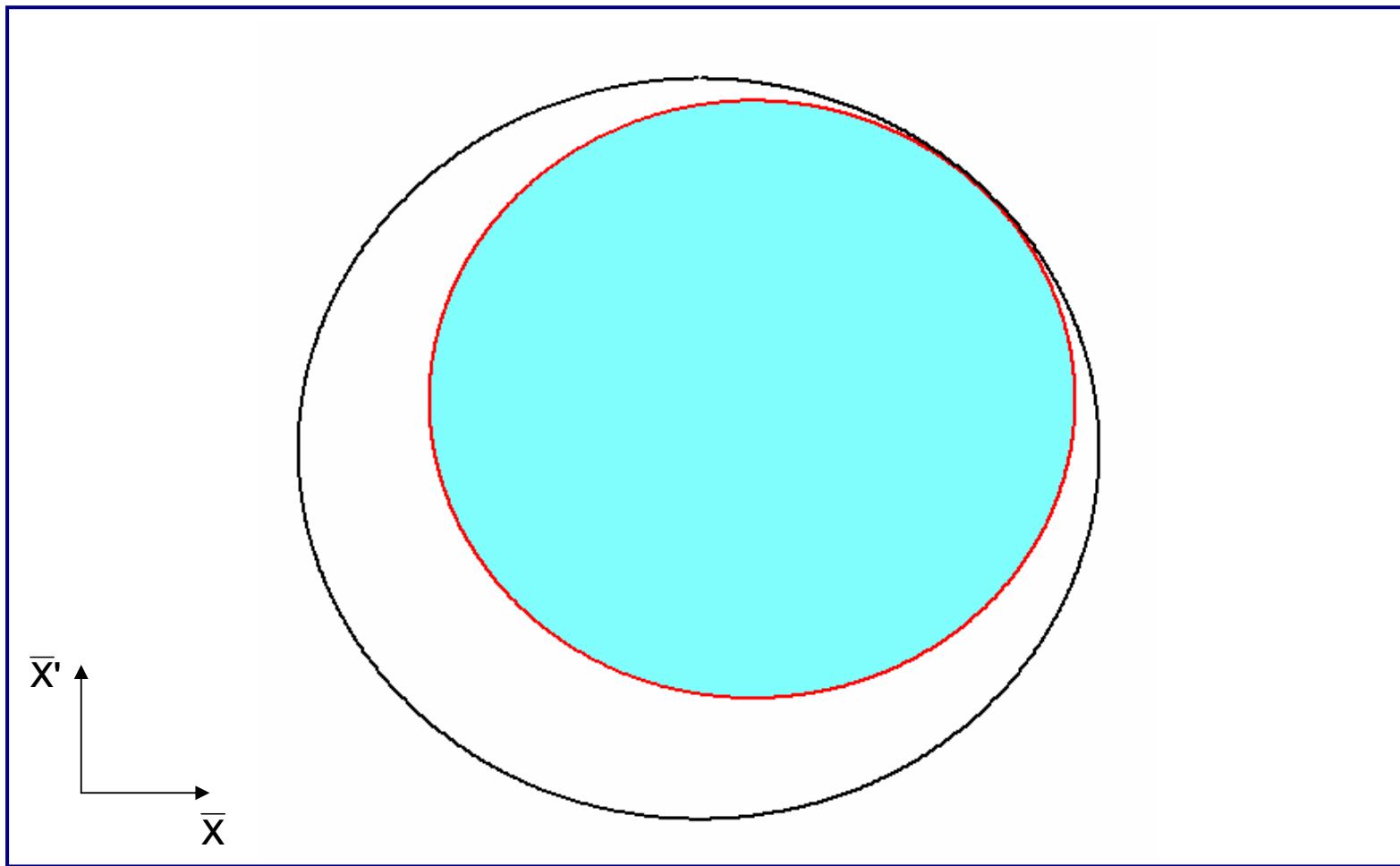
For imperfect injection the beam oscillates around the central orbit. 4



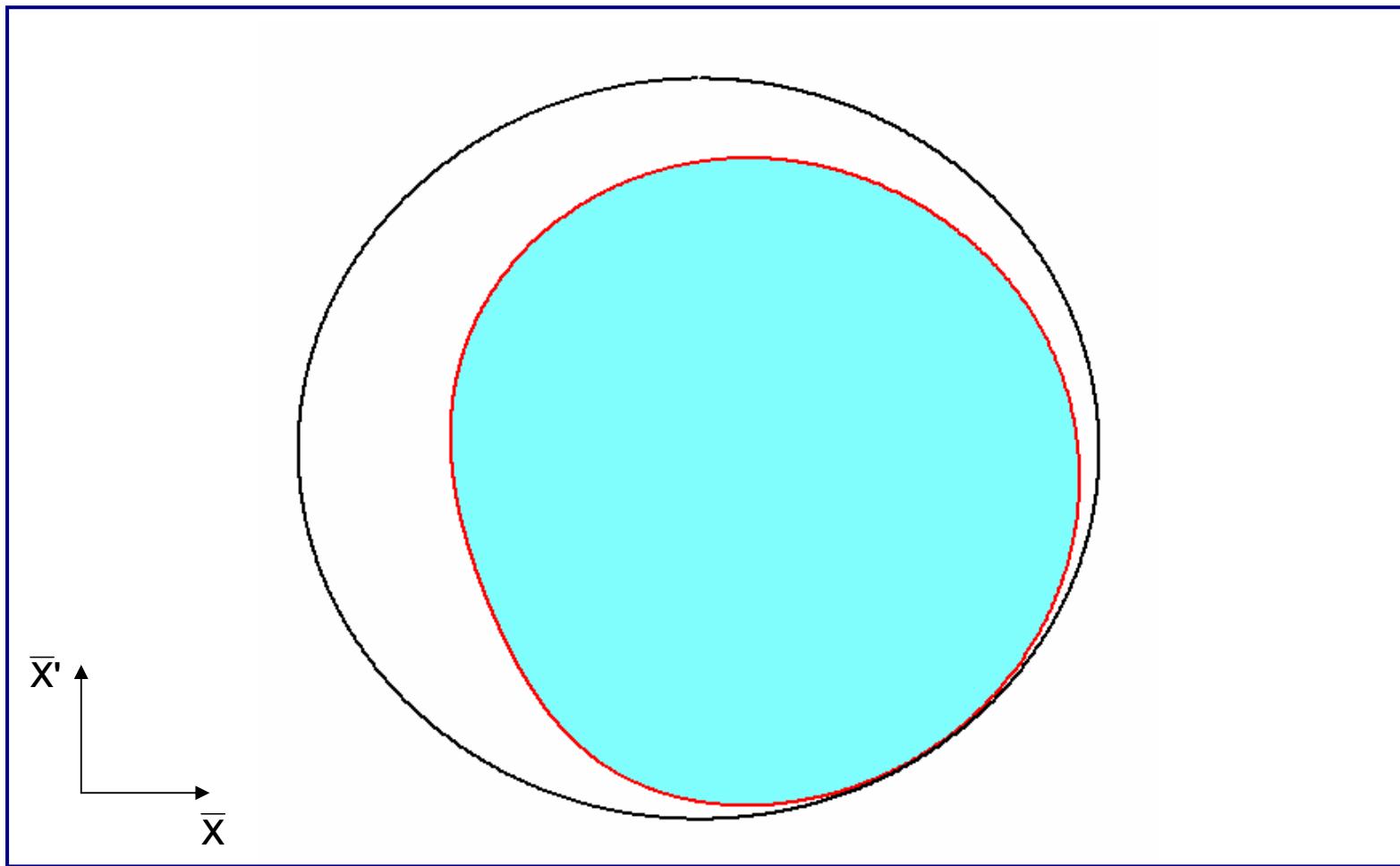
# Filamentation

- Non-linear effects (e.g. magnetic field multipoles ) present which introduce amplitude dependent effects into particle motion.
- Over many turns, a phase-space oscillation is transformed into an emittance increase.

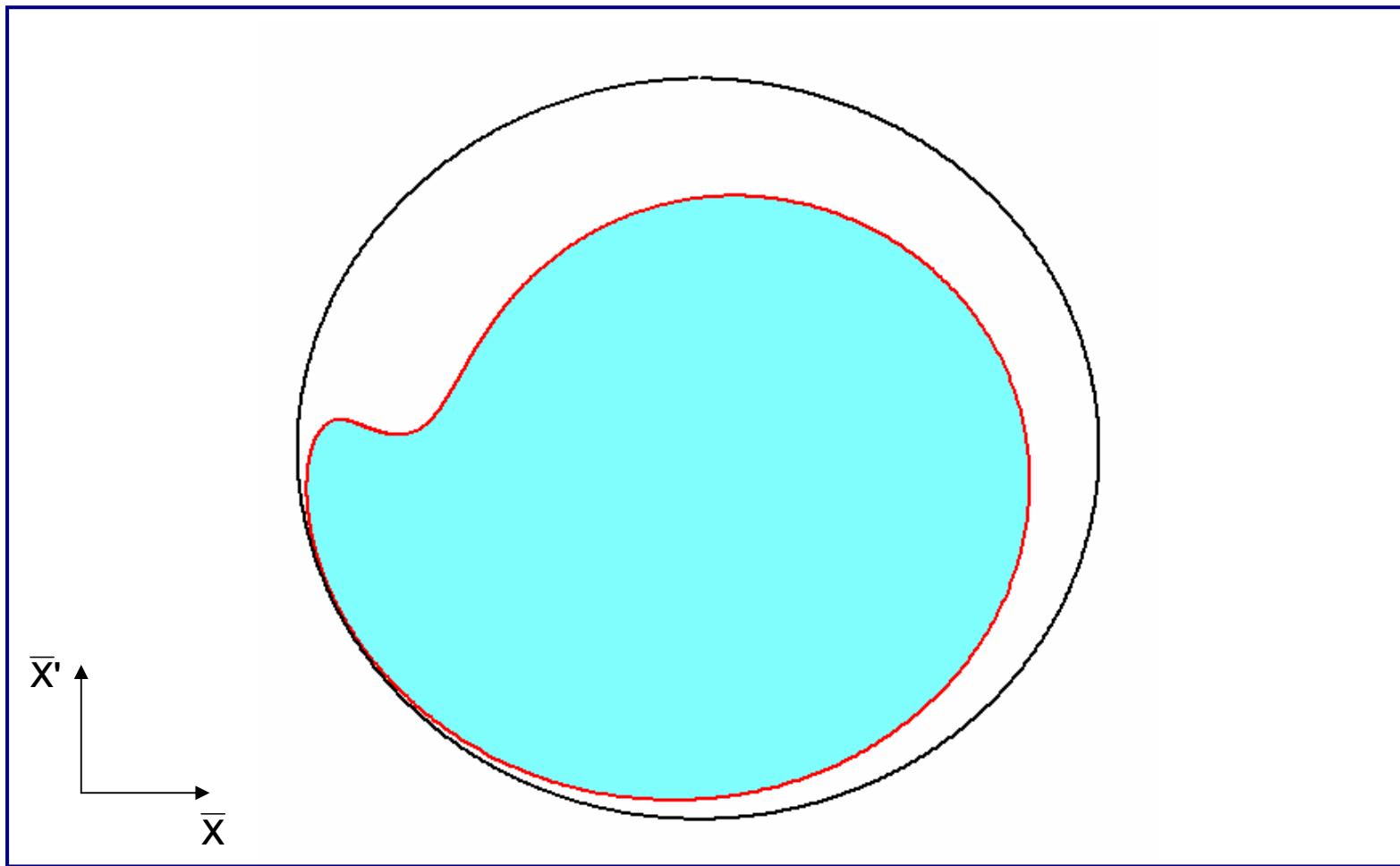
# Filamentation



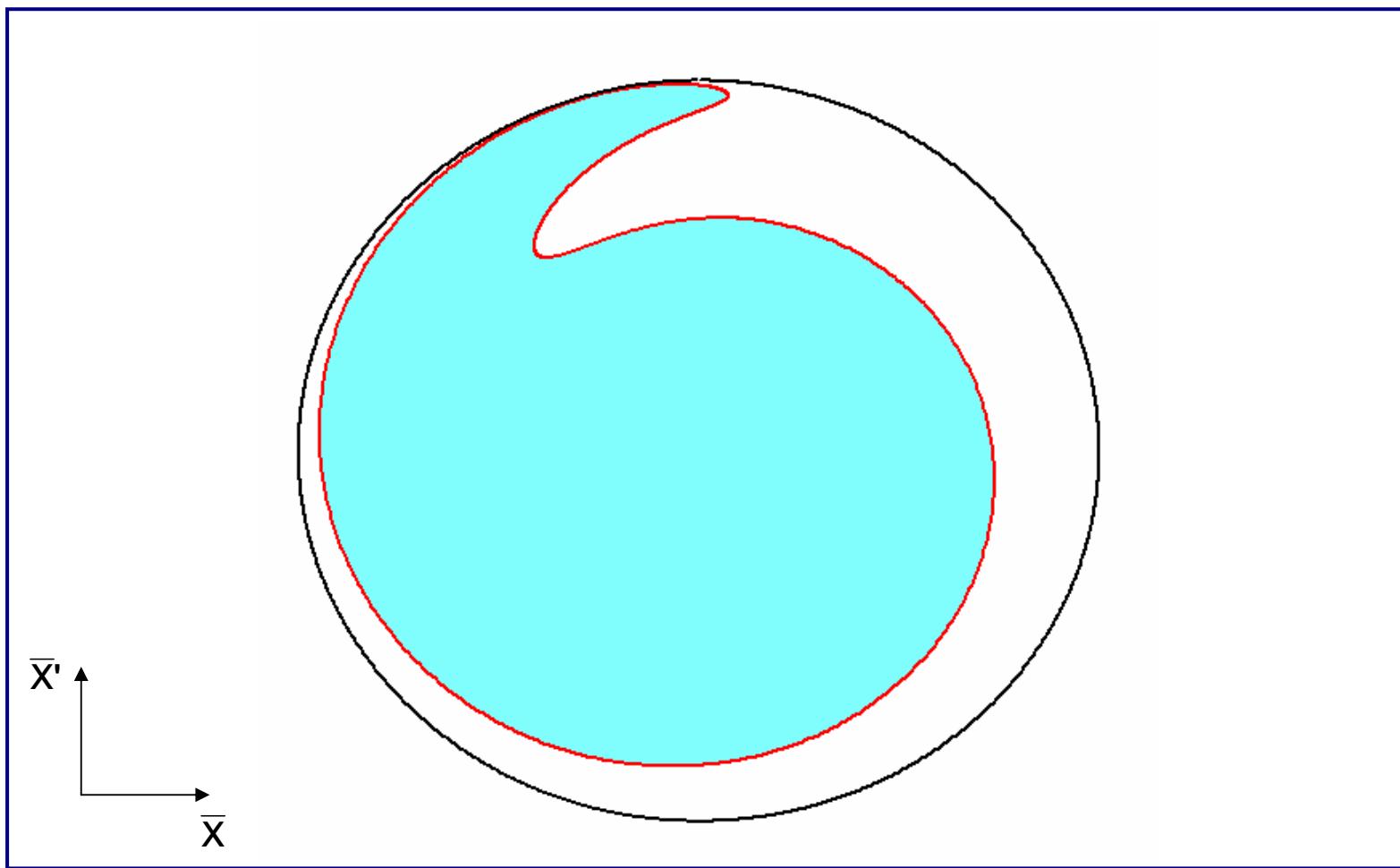
# Filamentation



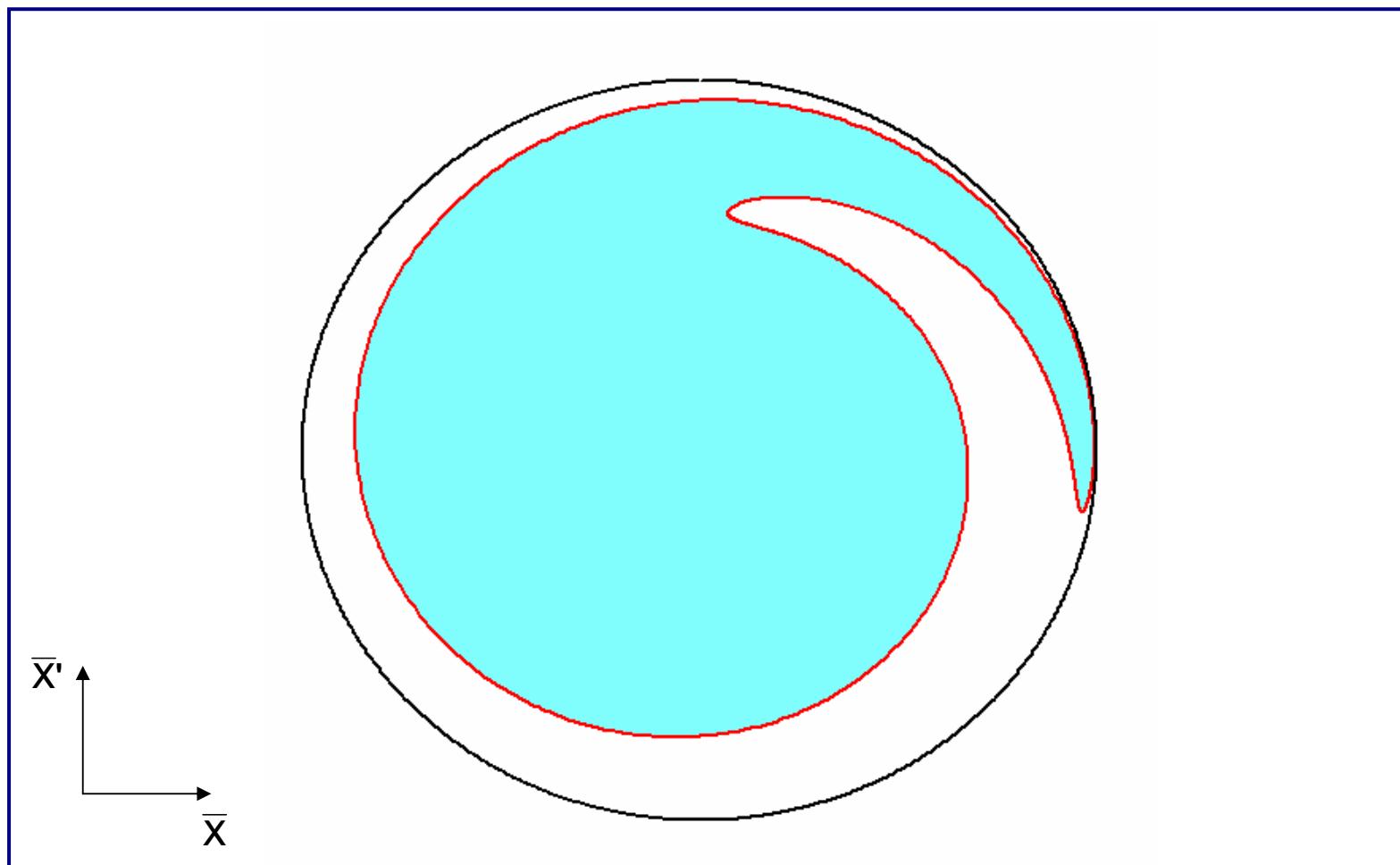
# Filamentation



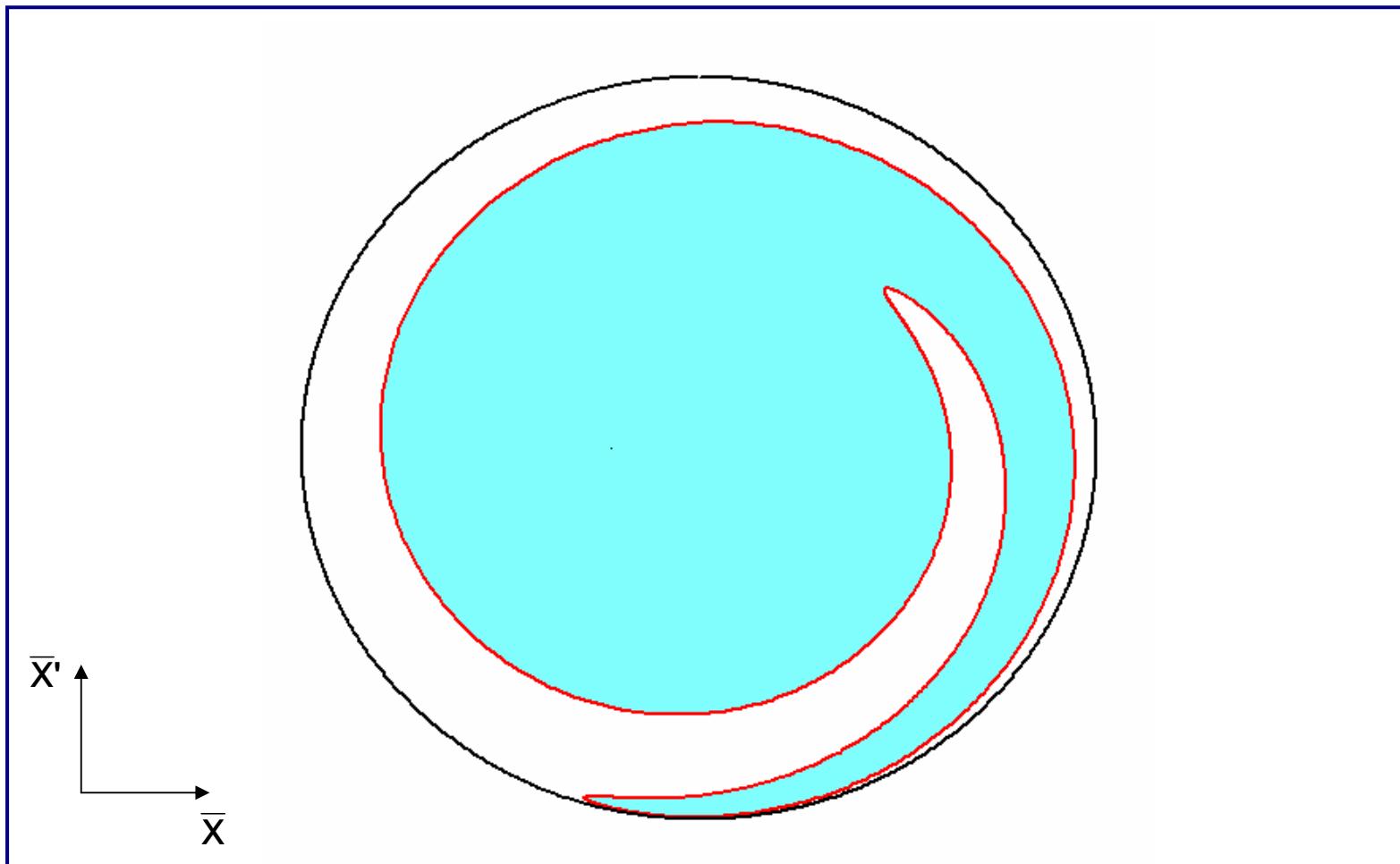
# Filamentation



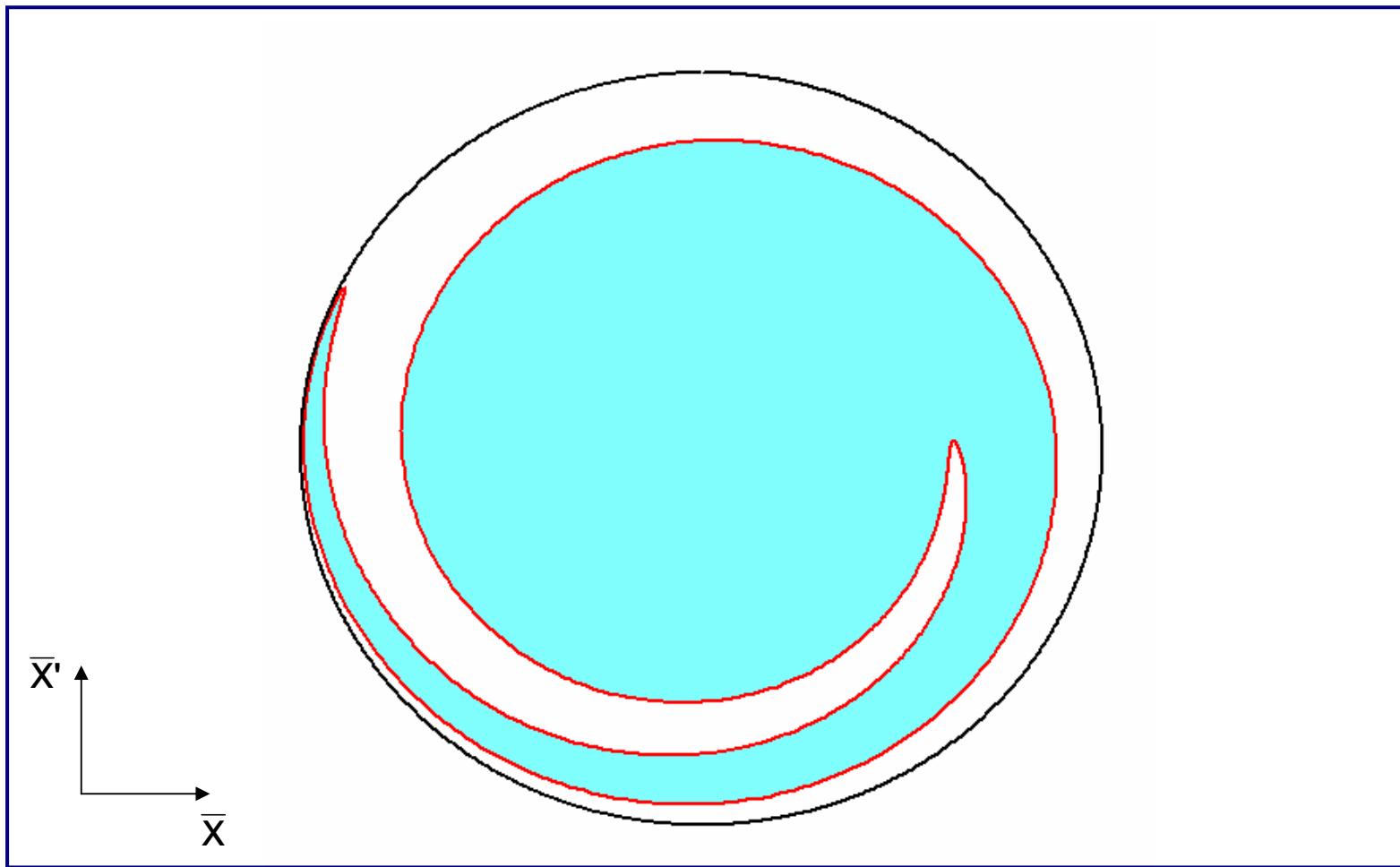
# Filamentation



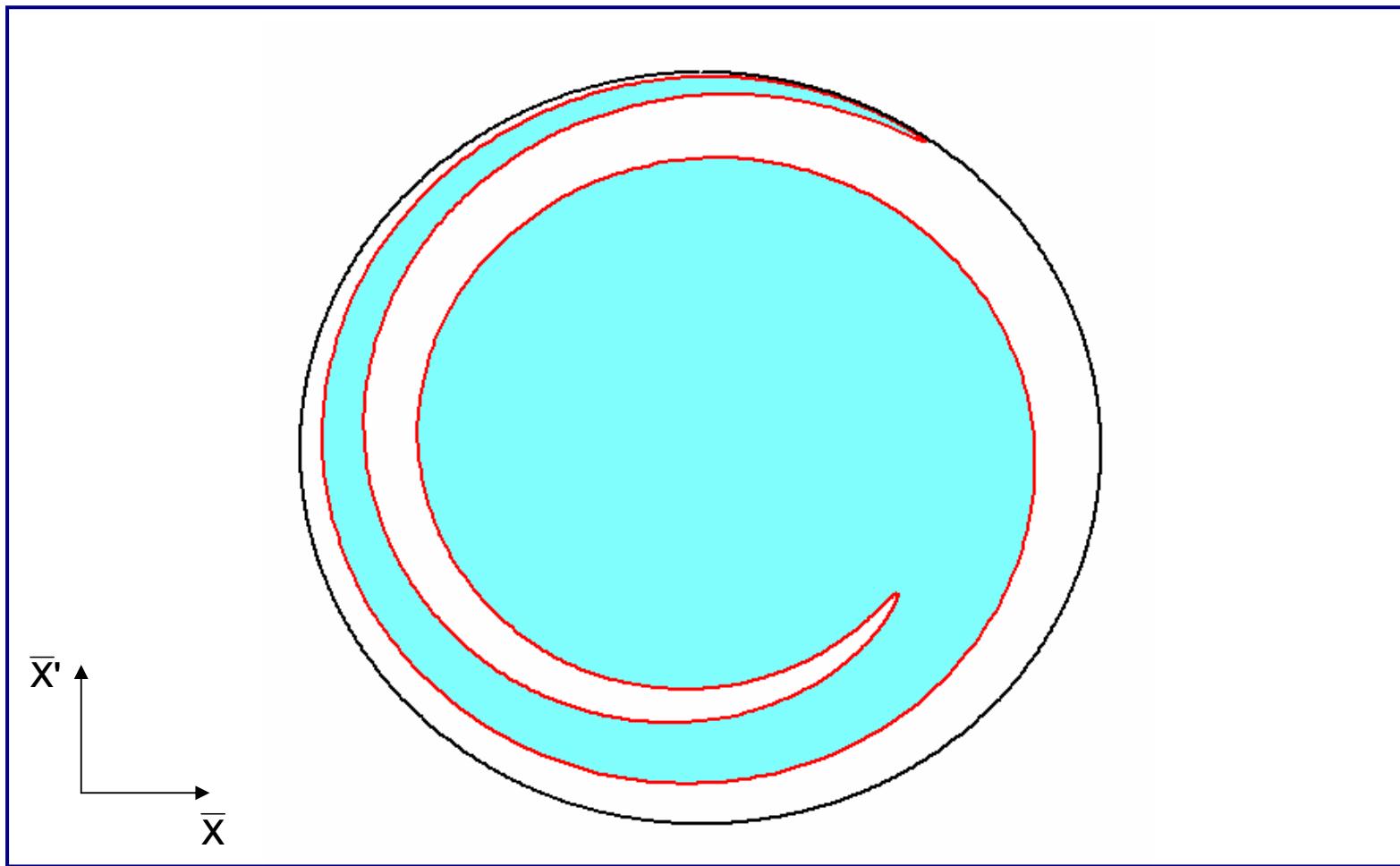
# Filamentation



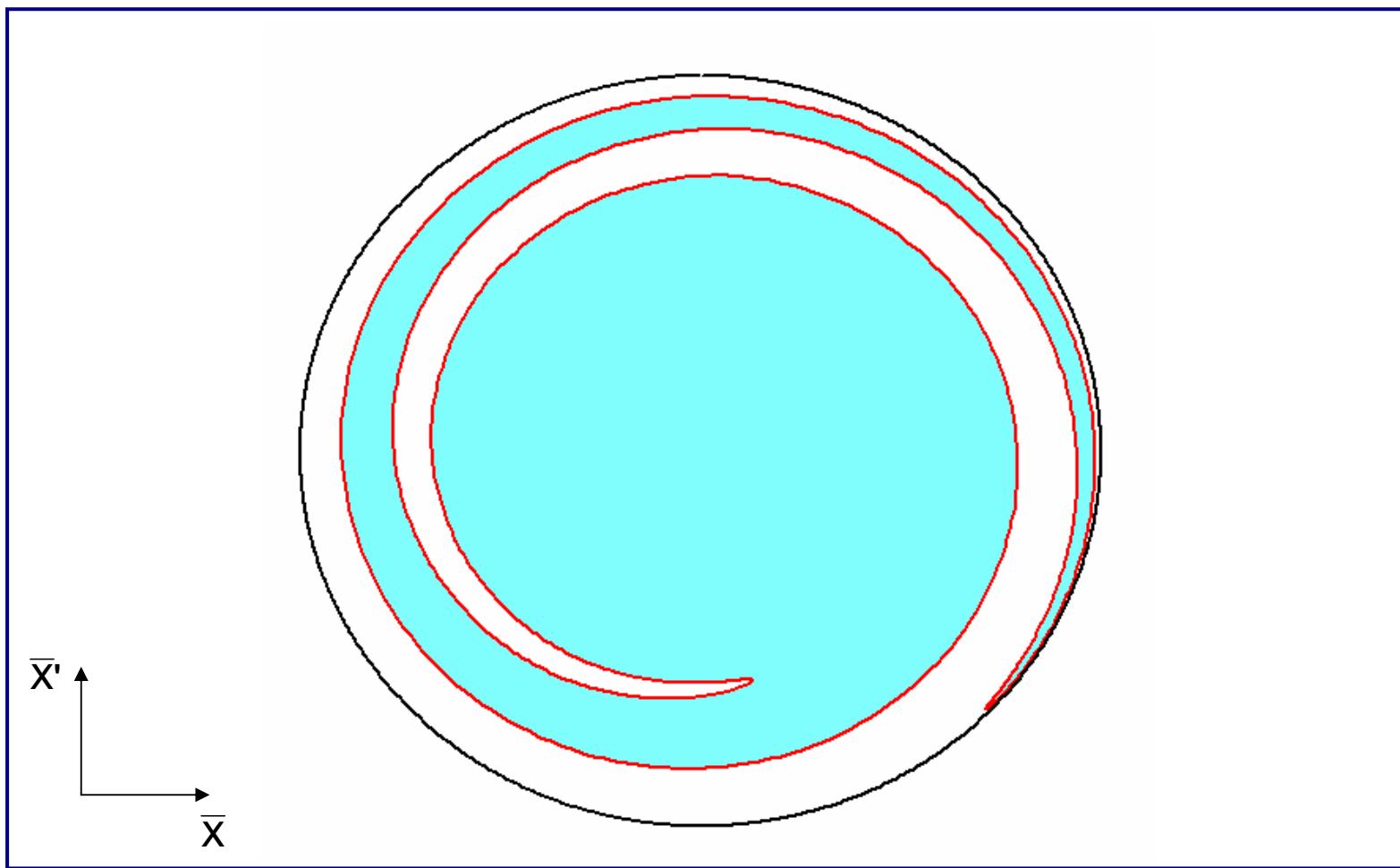
# Filamentation



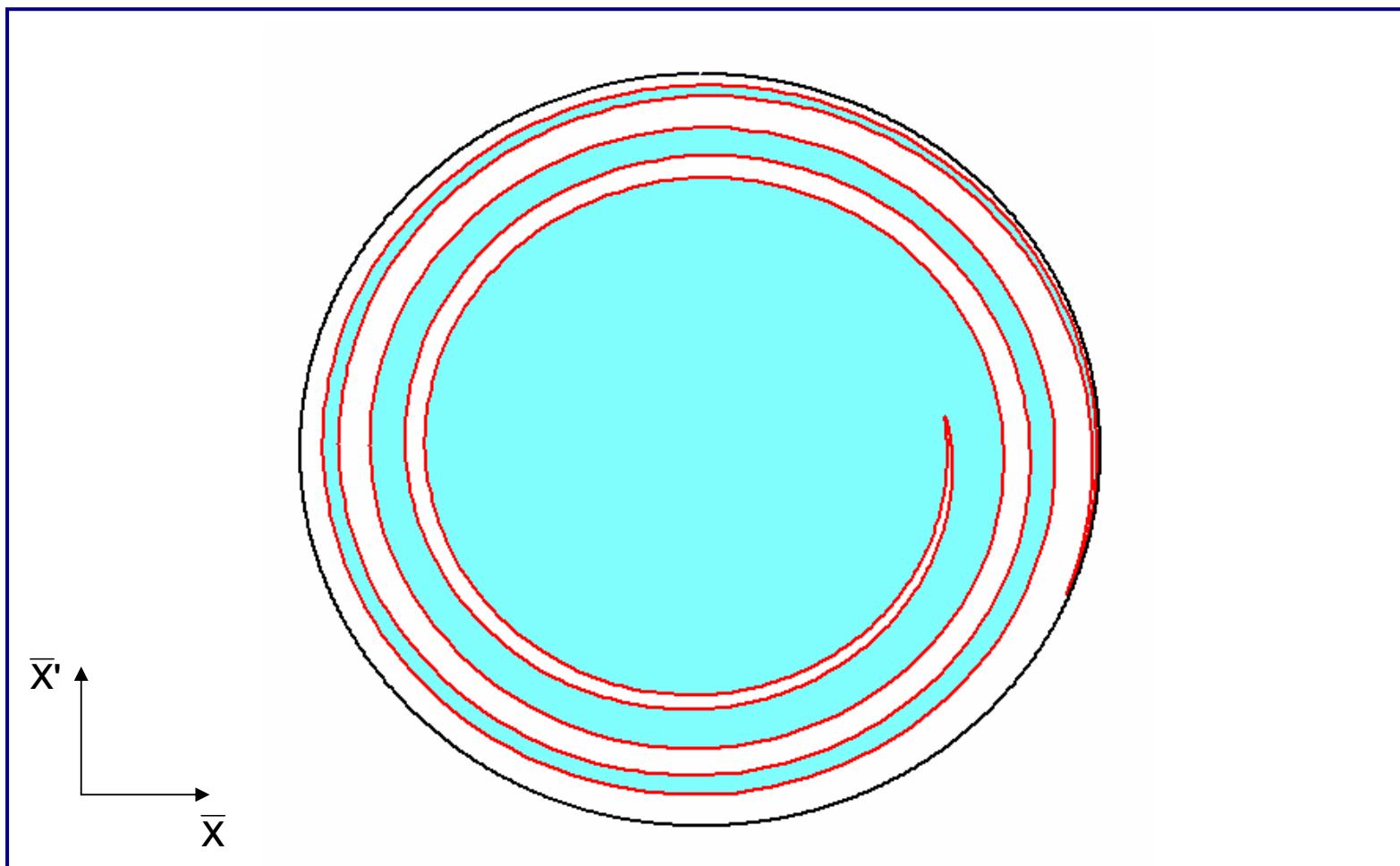
# Filamentation



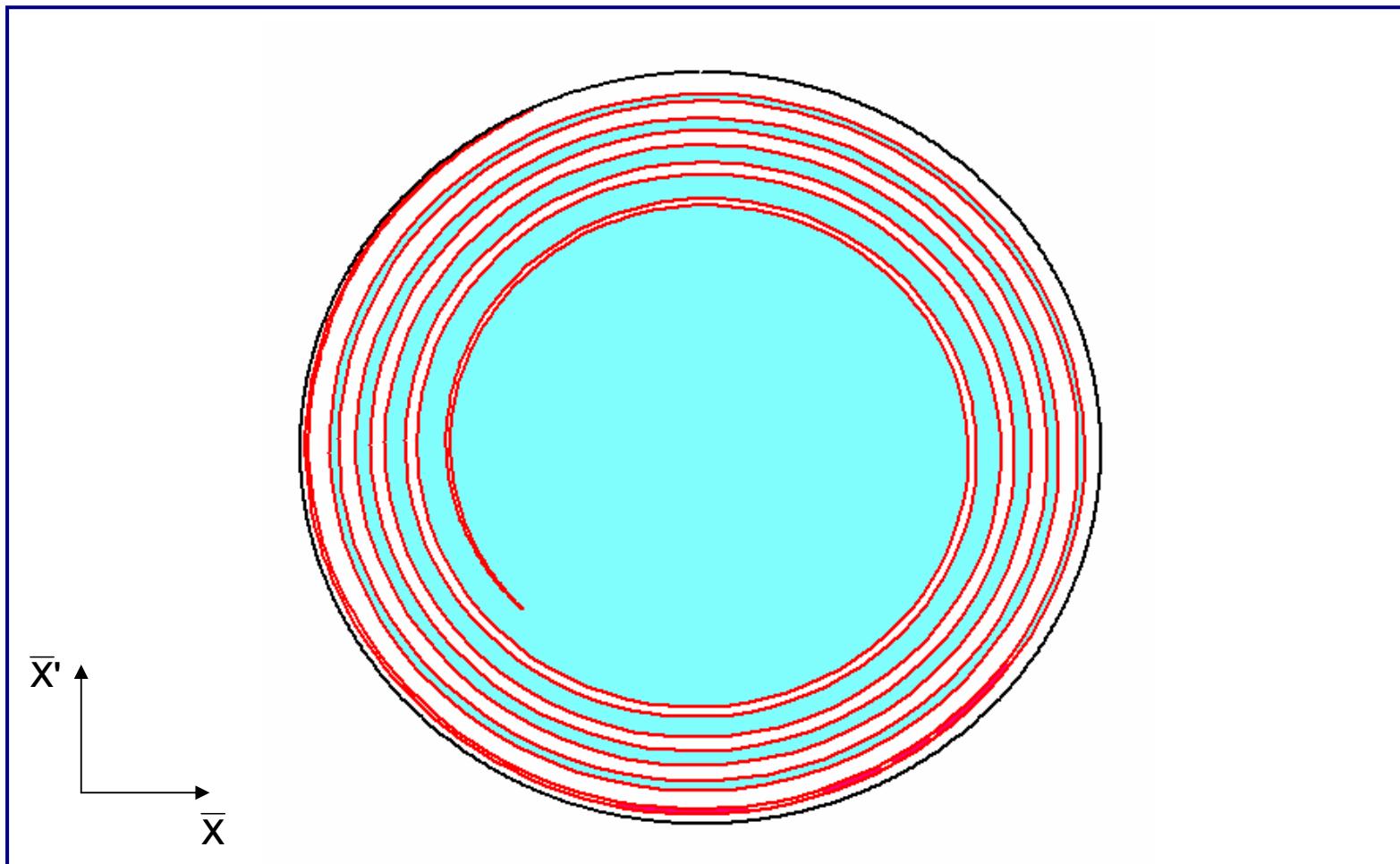
# Filamentation



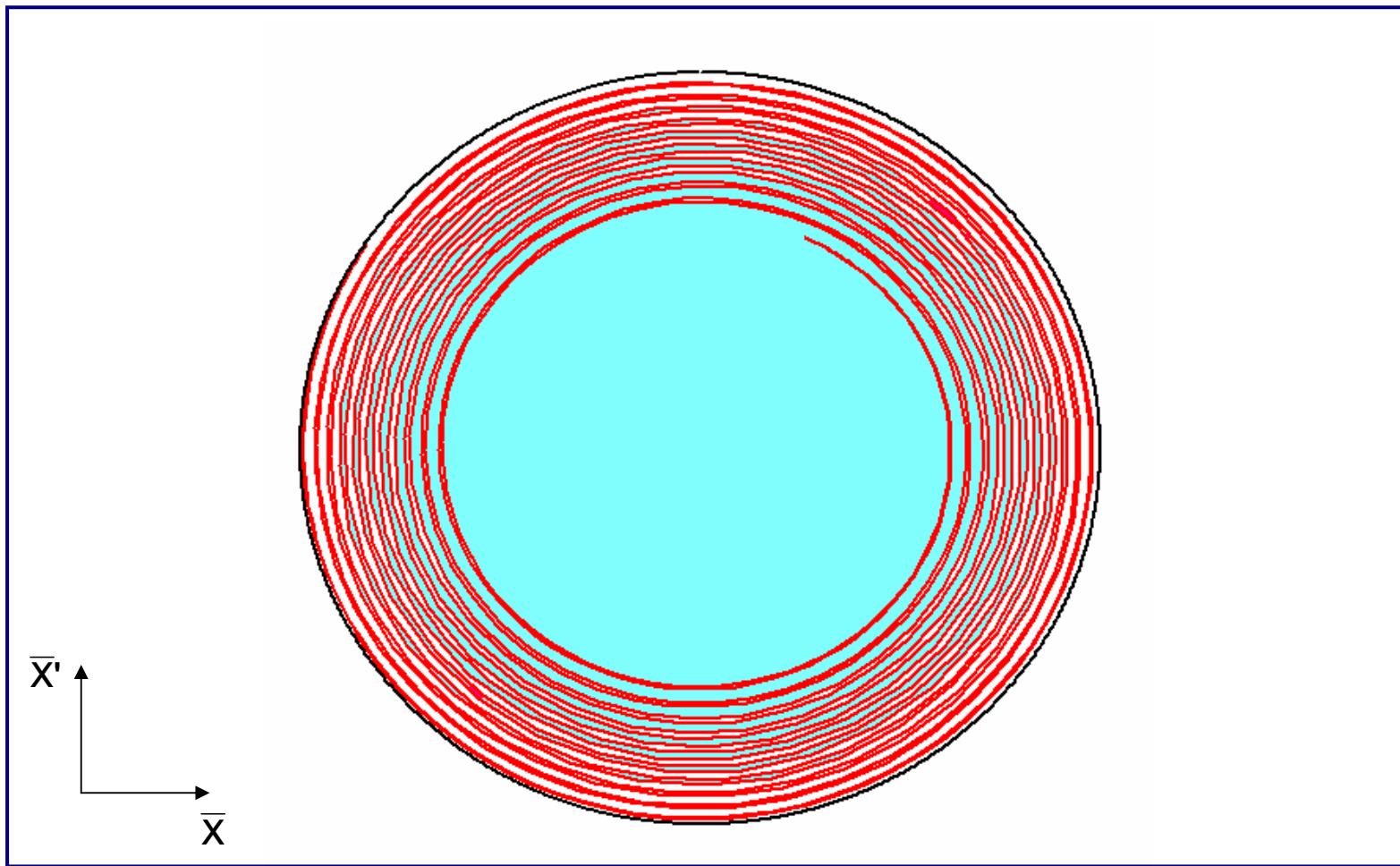
# Filamentation



# Filamentation

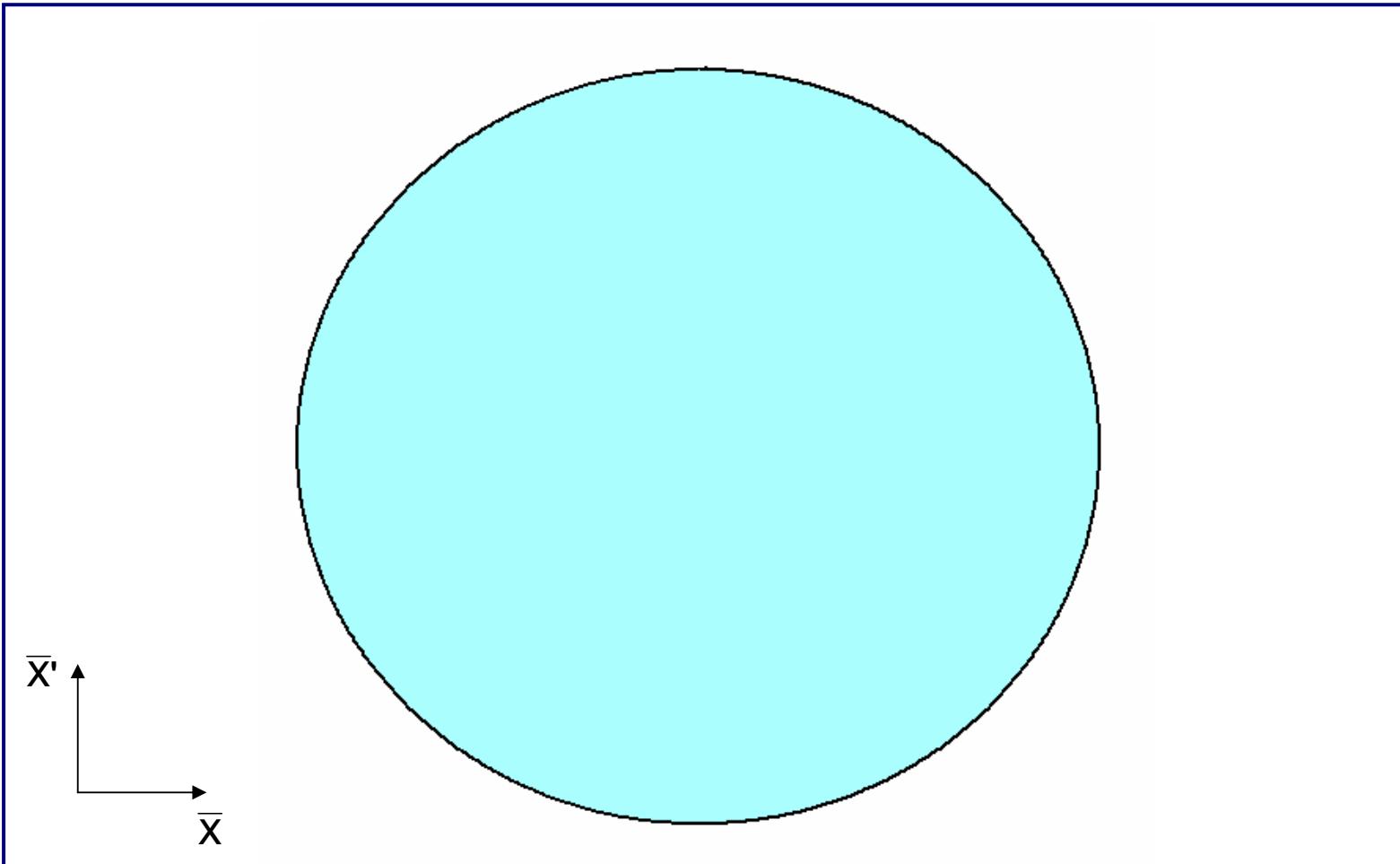


# Filamentation



# Filamentation

Eventually phase space is effectively filled  $\Rightarrow$  emittance increase

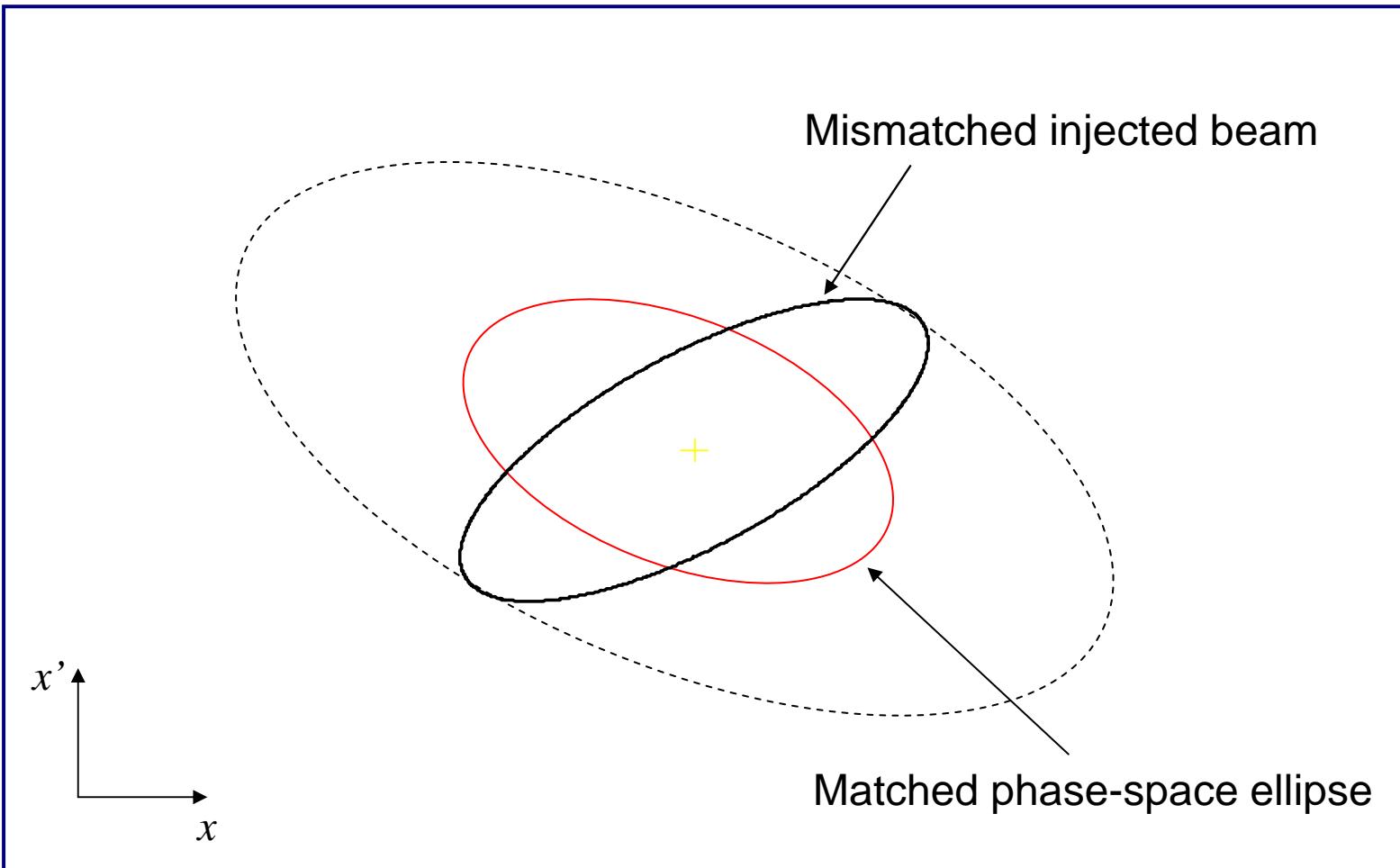


# Emittance blow-up

- Any residual transverse oscillation will lead to an emittance blow-up through filamentation
- Transverse damper systems used to damp injection oscillations
  - Bunch position pick-up linked to a kicker
- Possible that injection trajectory is well corrected, but there is still an emittance blow-up
  - Optical mismatch

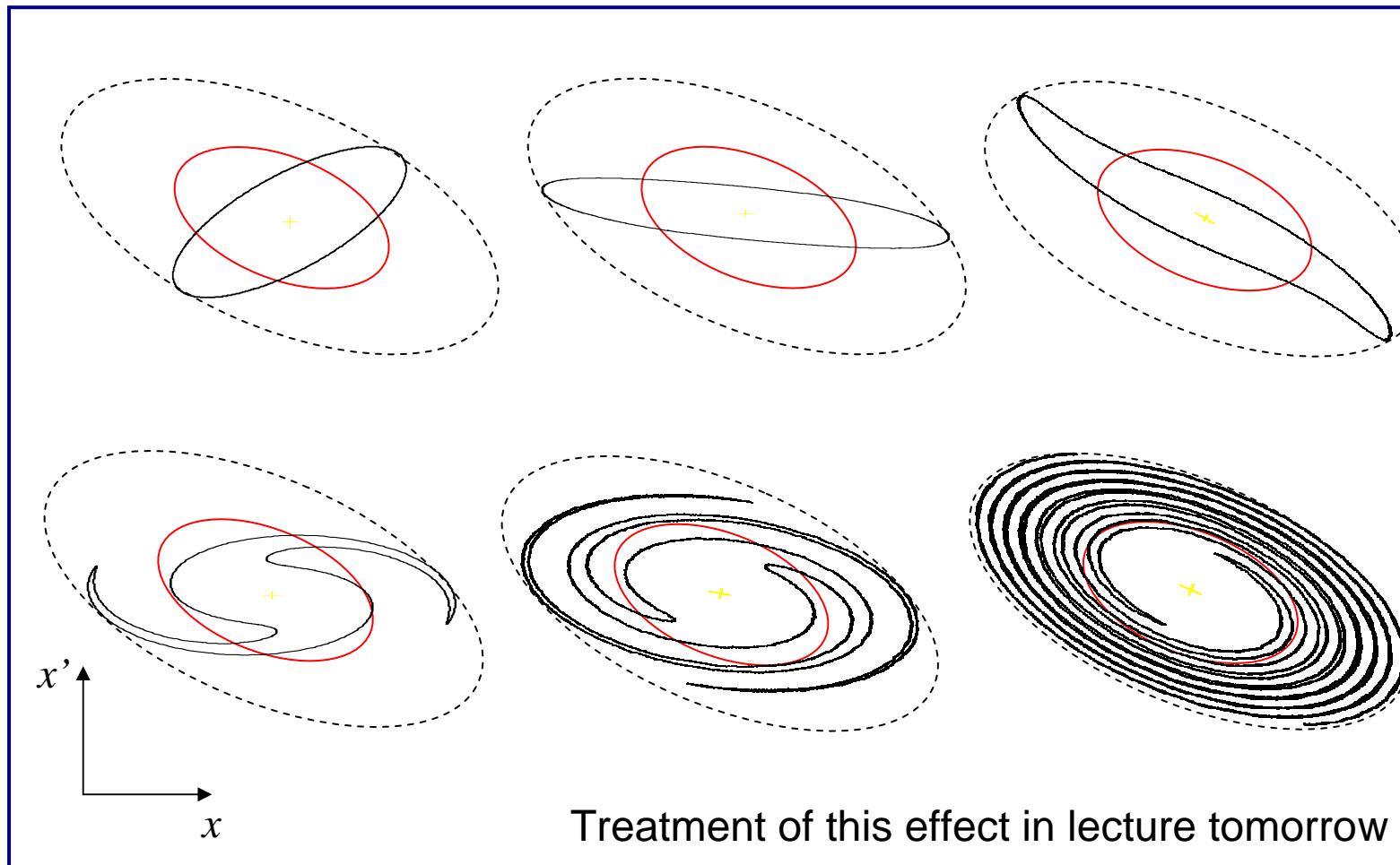
# Optical Mismatch at Injection

Particles oscillate with conserved C-S invariant:  $a = \gamma x^2 + 2\alpha xx' + \beta x'^2$



# Optical Mismatch at Injection

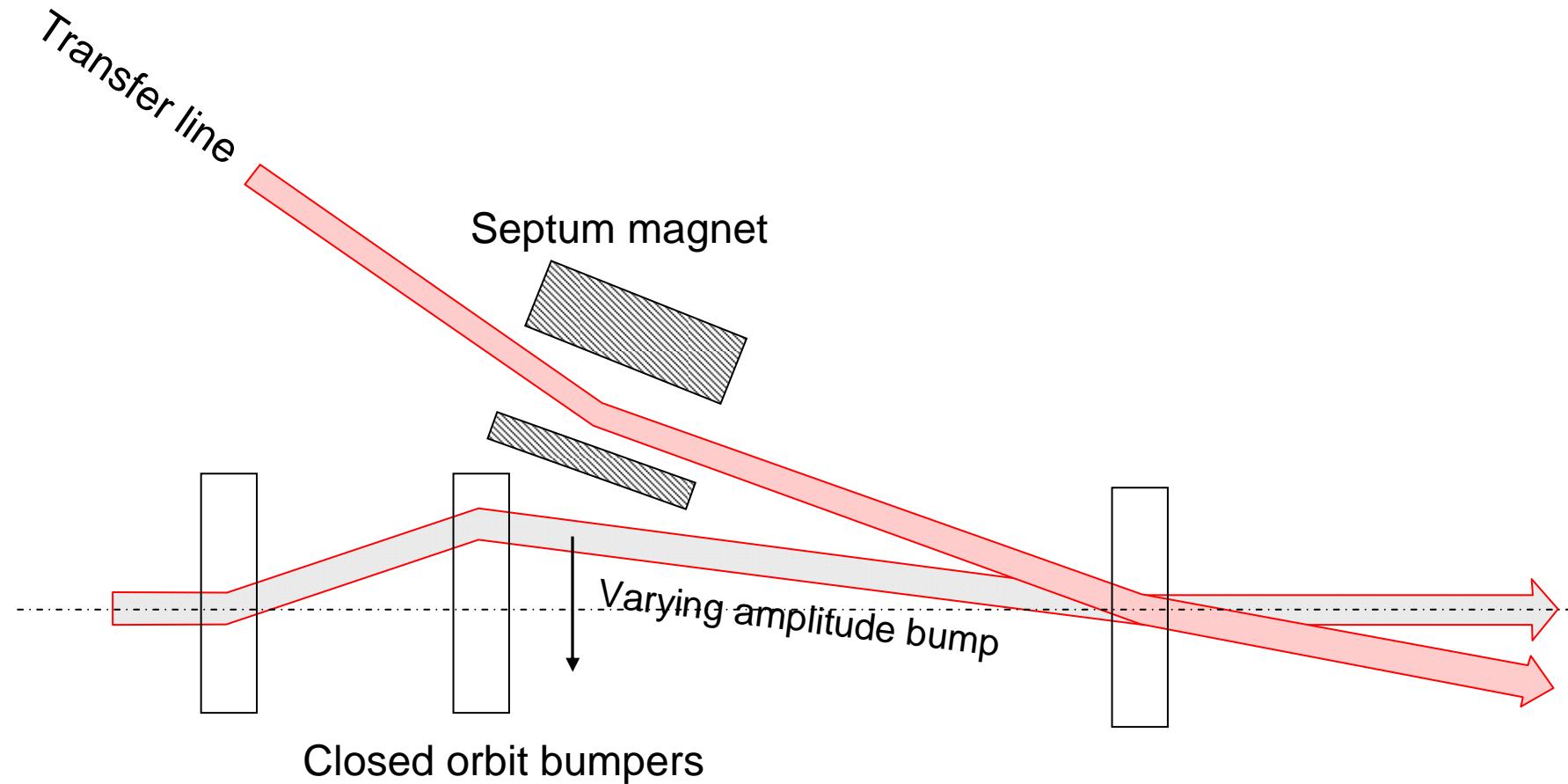
Filamentation fills larger ellipse with same shape as matched ellipse



# Multi-turn injection

- For hadrons the beam density at injection is either limited by space charge effects or by the injector (heavy ions...)
- We cannot increase charge density, so we fill the horizontal phase space to increase injected intensity.
  - Acceptance of receiving machine larger than delivered beam emittance
- Elements used
  - Septum
  - Fast beam bumpers, made out of 3 or 4 dipoles, to create a local beam bump

# Multi-turn injection for hadrons

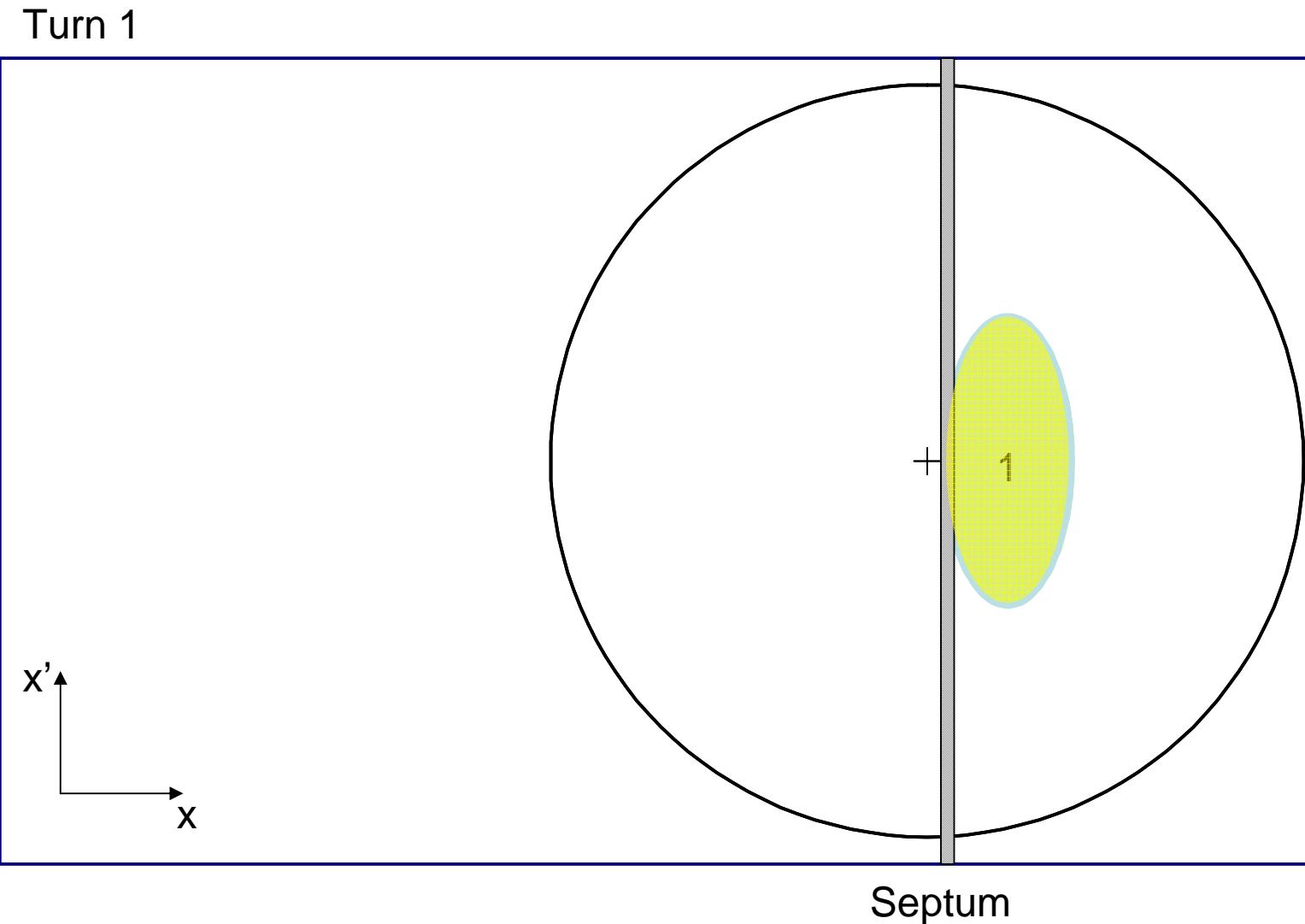


- Bump amplitude varies with time
- Inject a new bunch at each turn
- Phase-space painting

# Multi-turn injection for hadrons

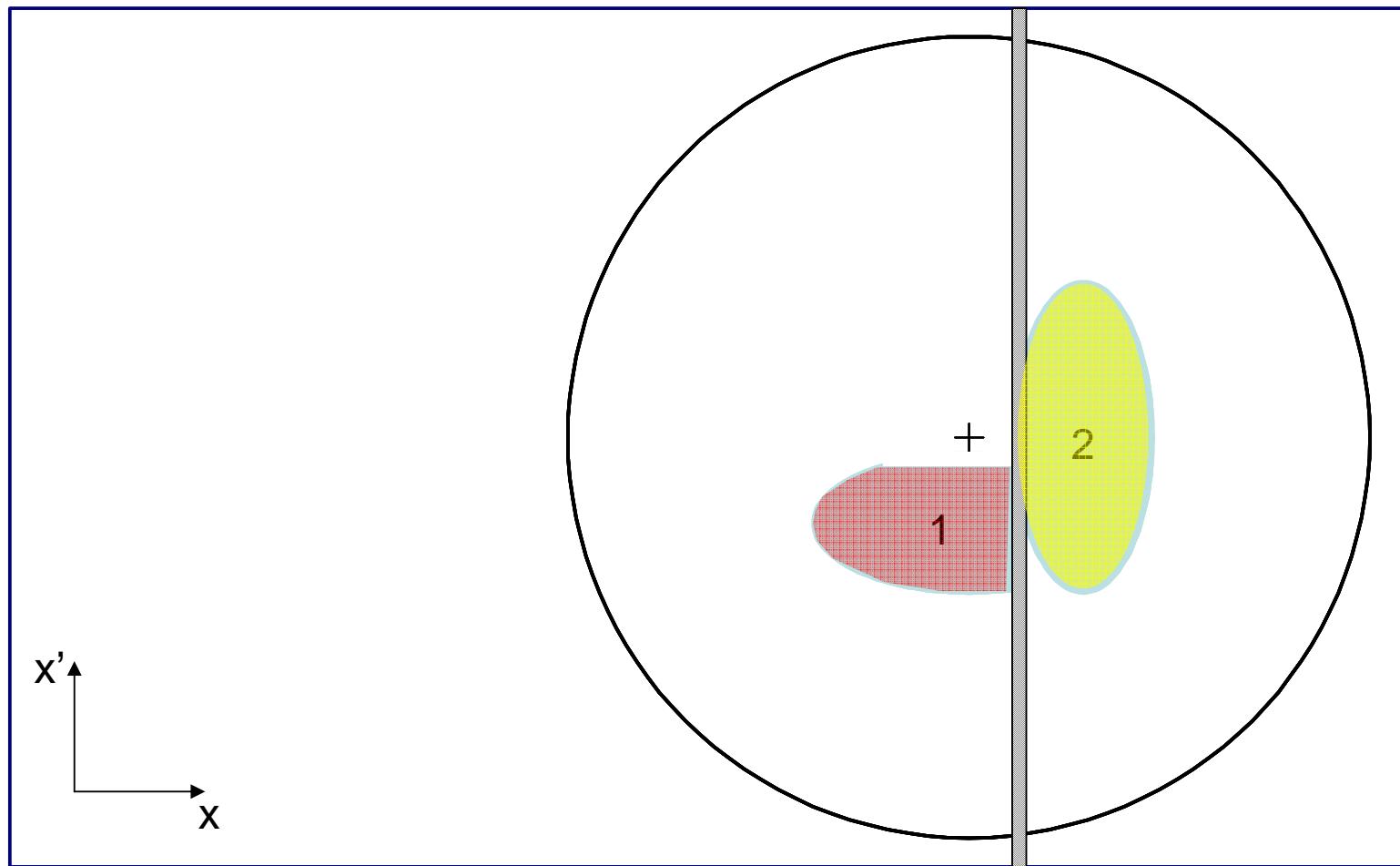
- Example: fractional tune  $Q_h = 0.25$ 
  - Beam rotates  $\pi/2$  per turn in phase space
- On each turn
  - Inject a new batch
  - Reduce the bump amplitude

# Multi-turn injection for hadrons



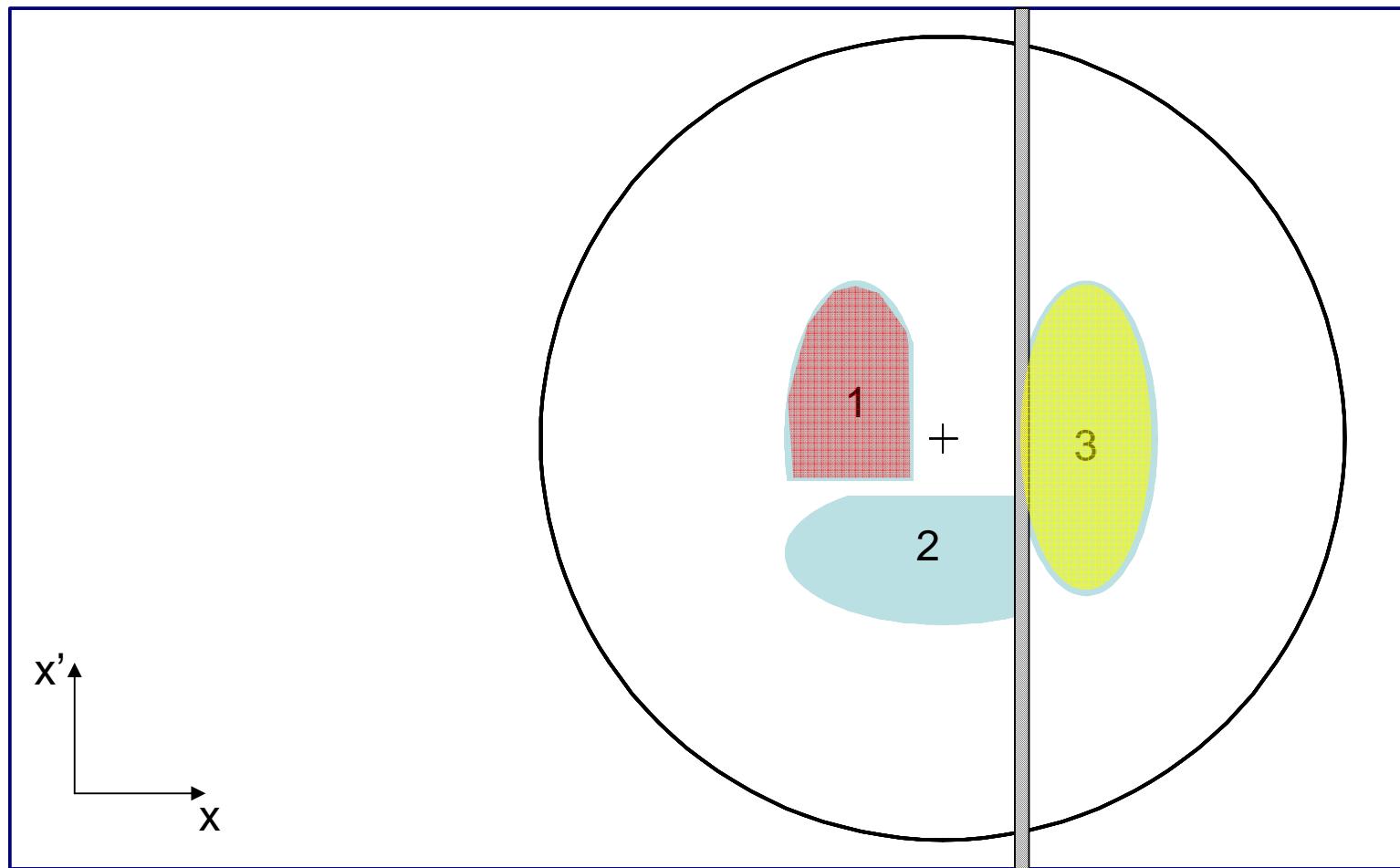
# Multi-turn injection for hadrons

Turn 2



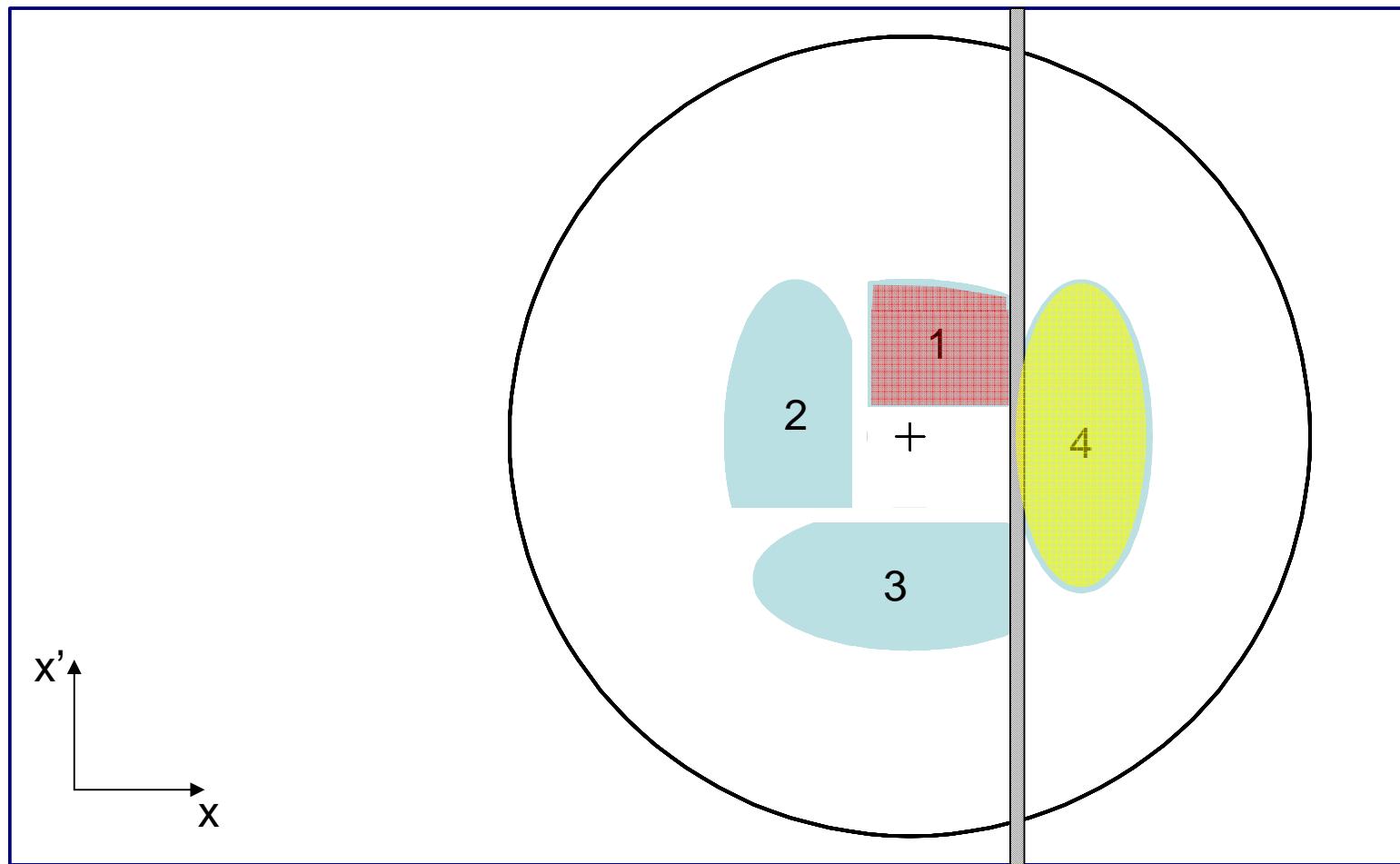
# Multi-turn injection for hadrons

Turn 3



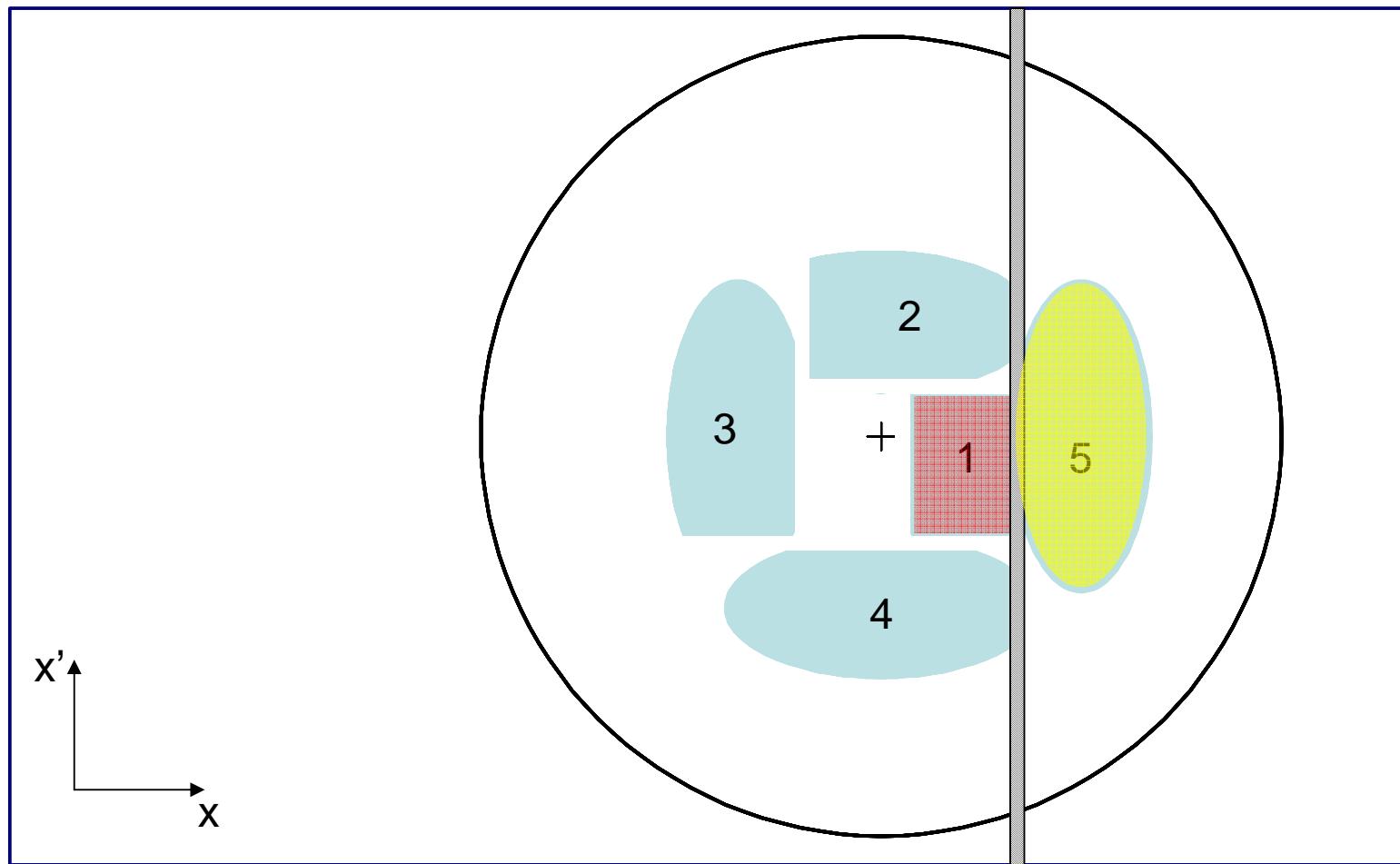
# Multi-turn injection for hadrons

Turn 4



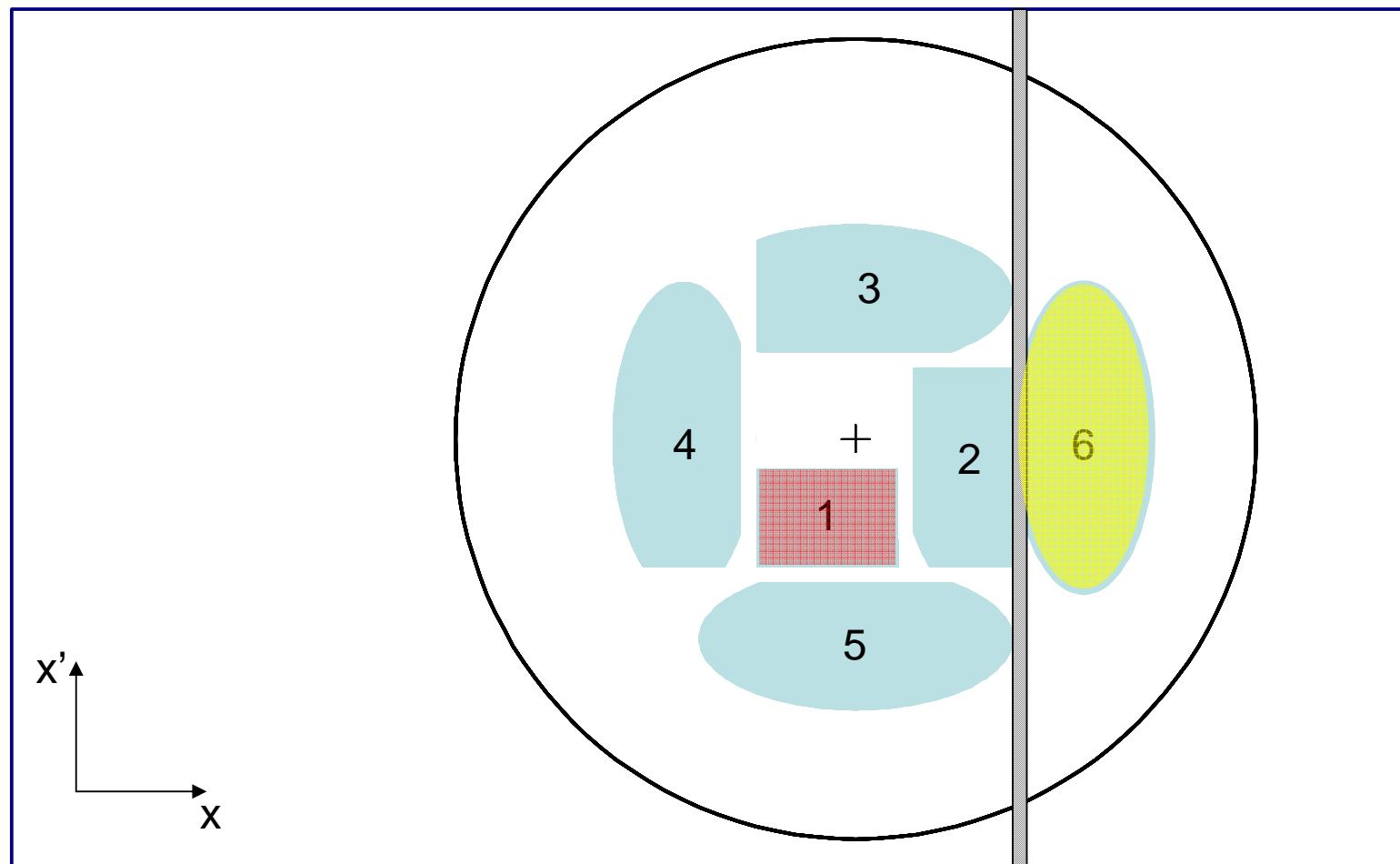
# Multi-turn injection for hadrons

Turn 5



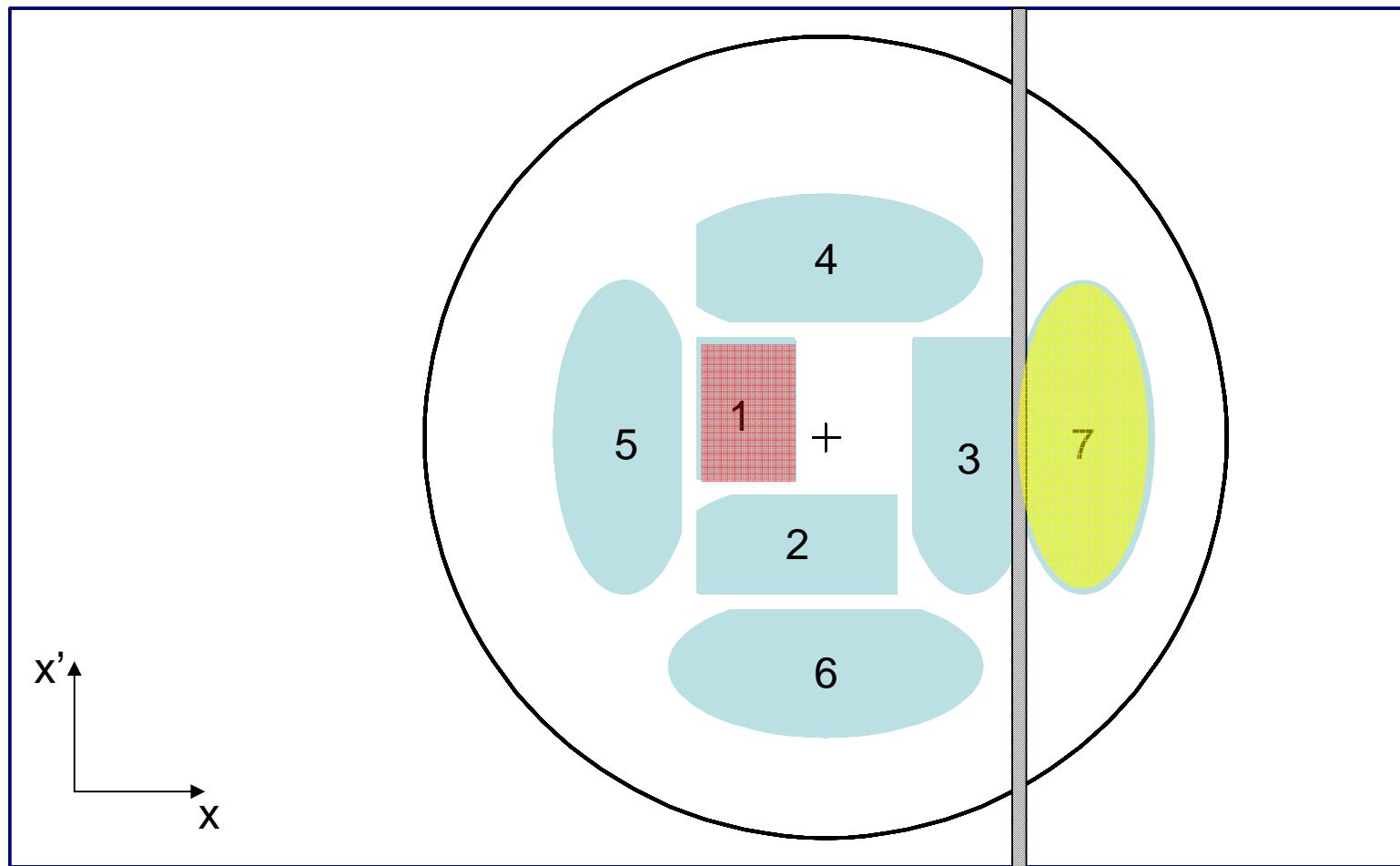
# Multi-turn injection for hadrons

Turn 6



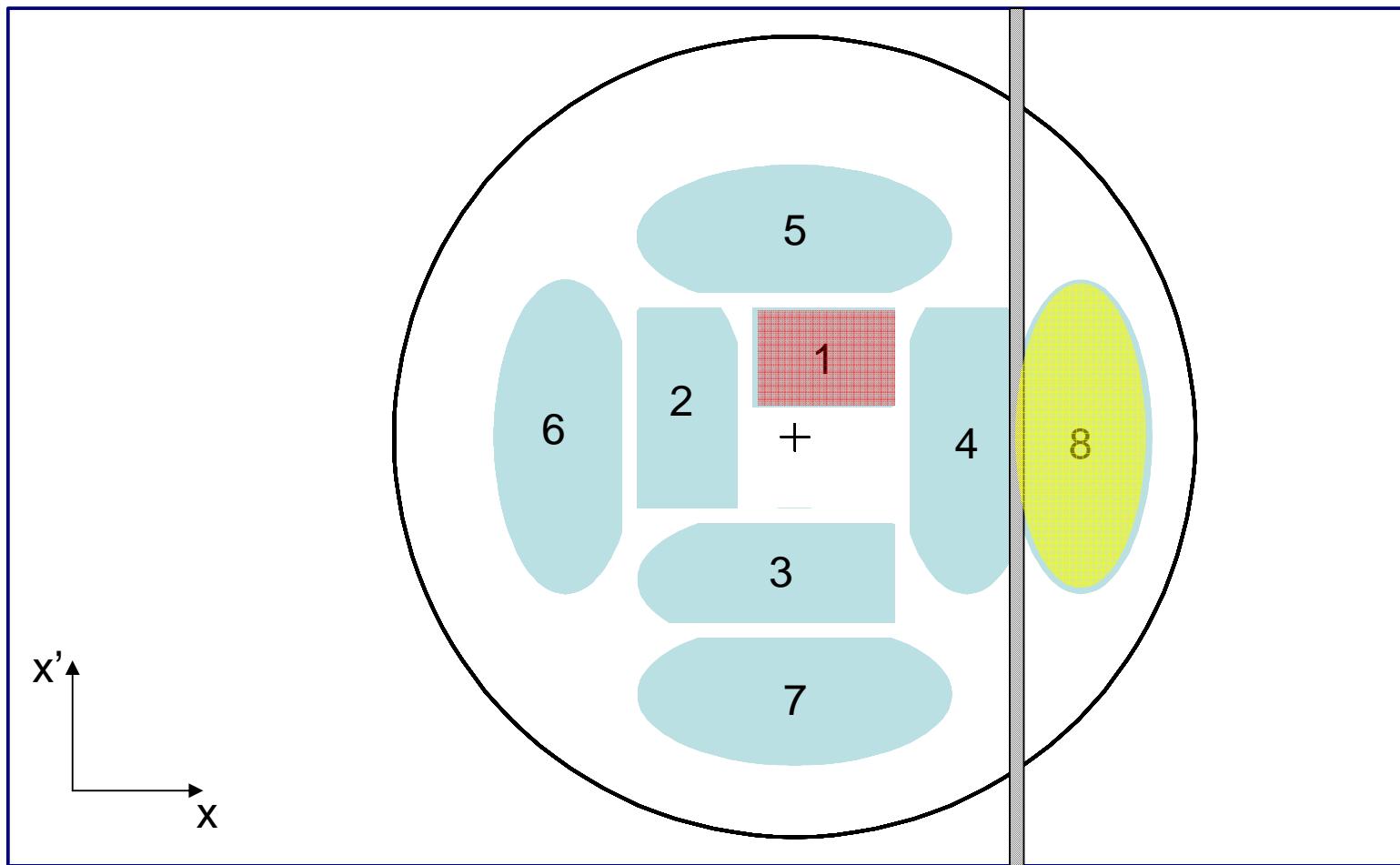
# Multi-turn injection for hadrons

Turn 7



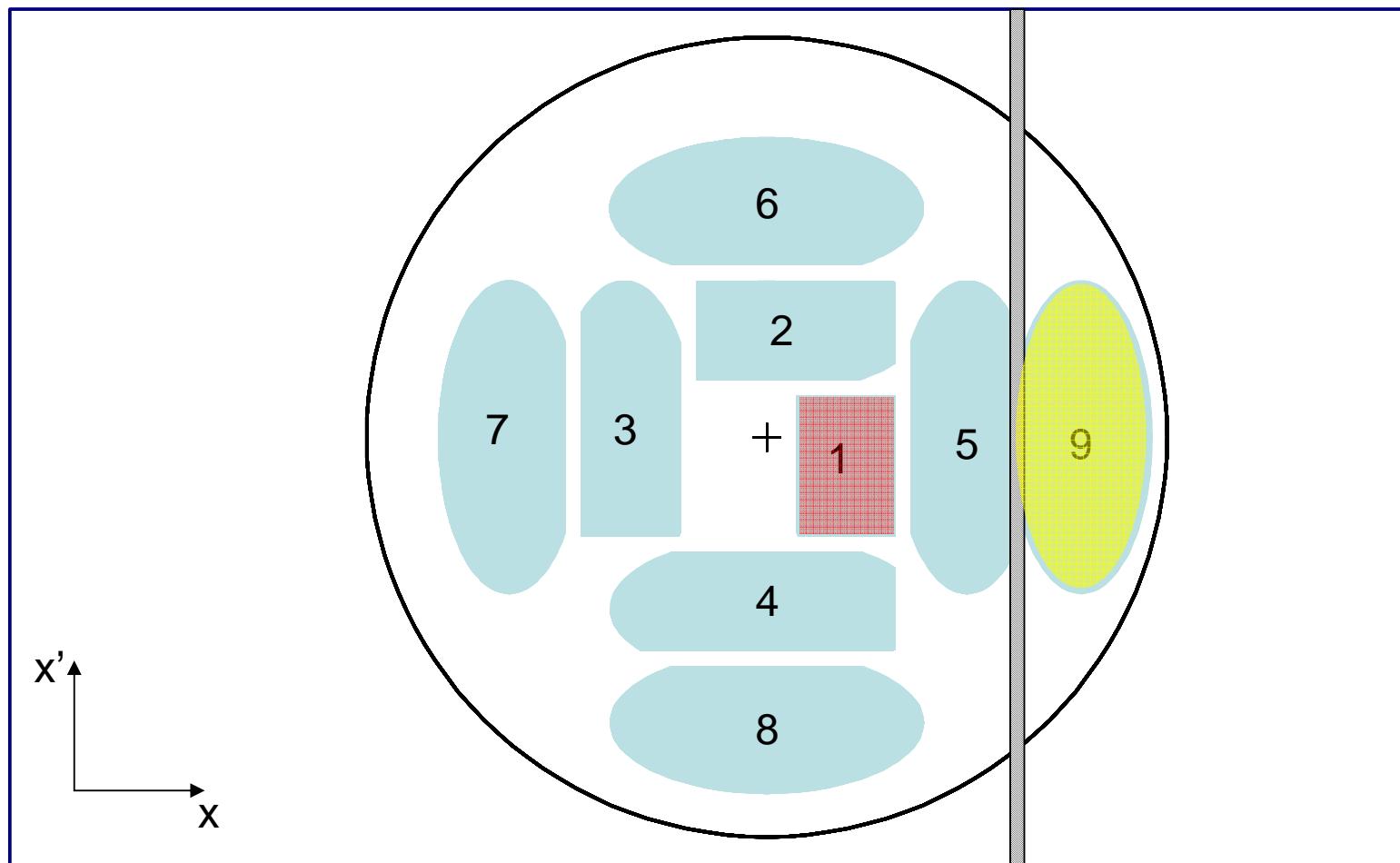
# Multi-turn injection for hadrons

Turn 8



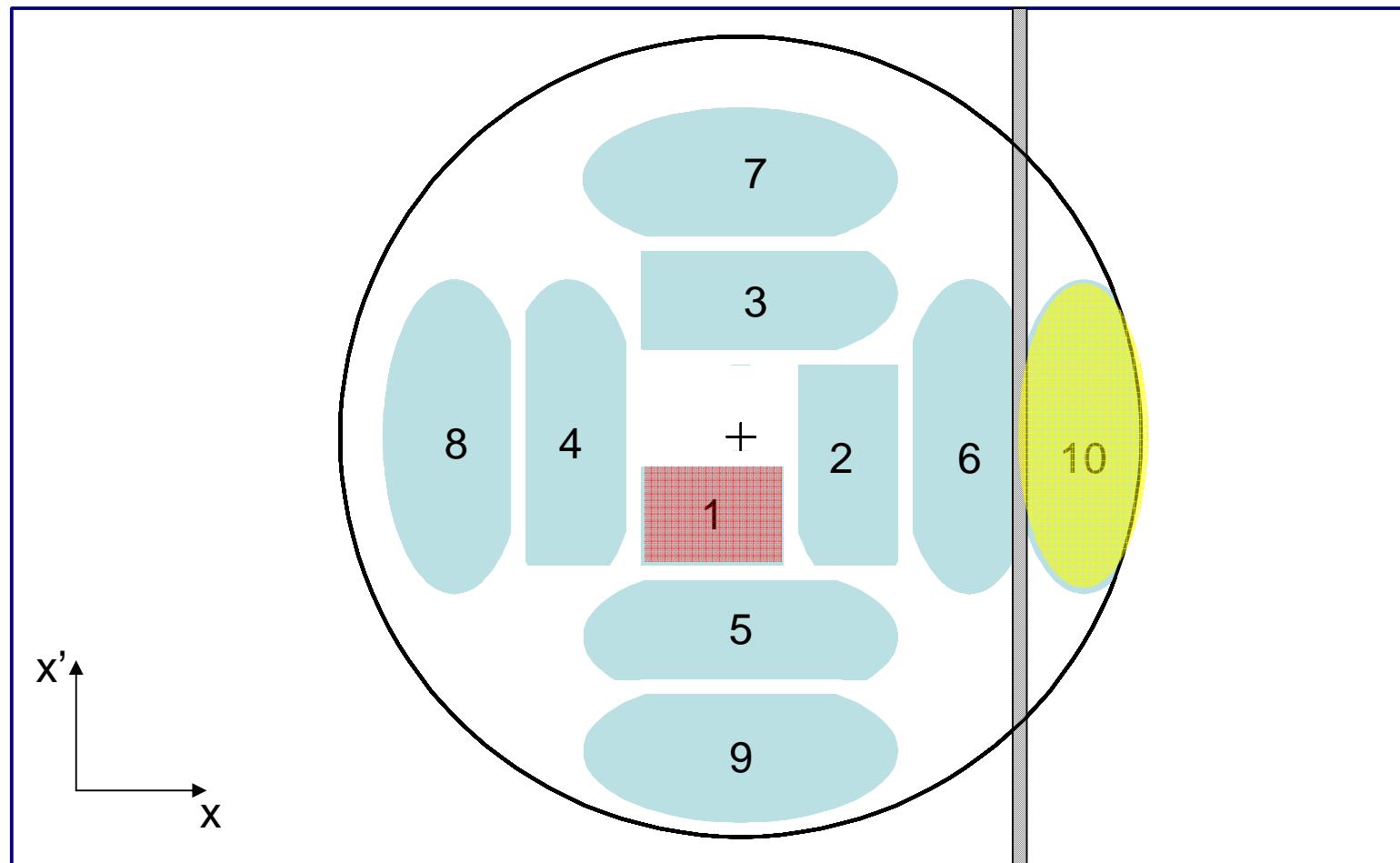
# Multi-turn injection for hadrons

Turn 9



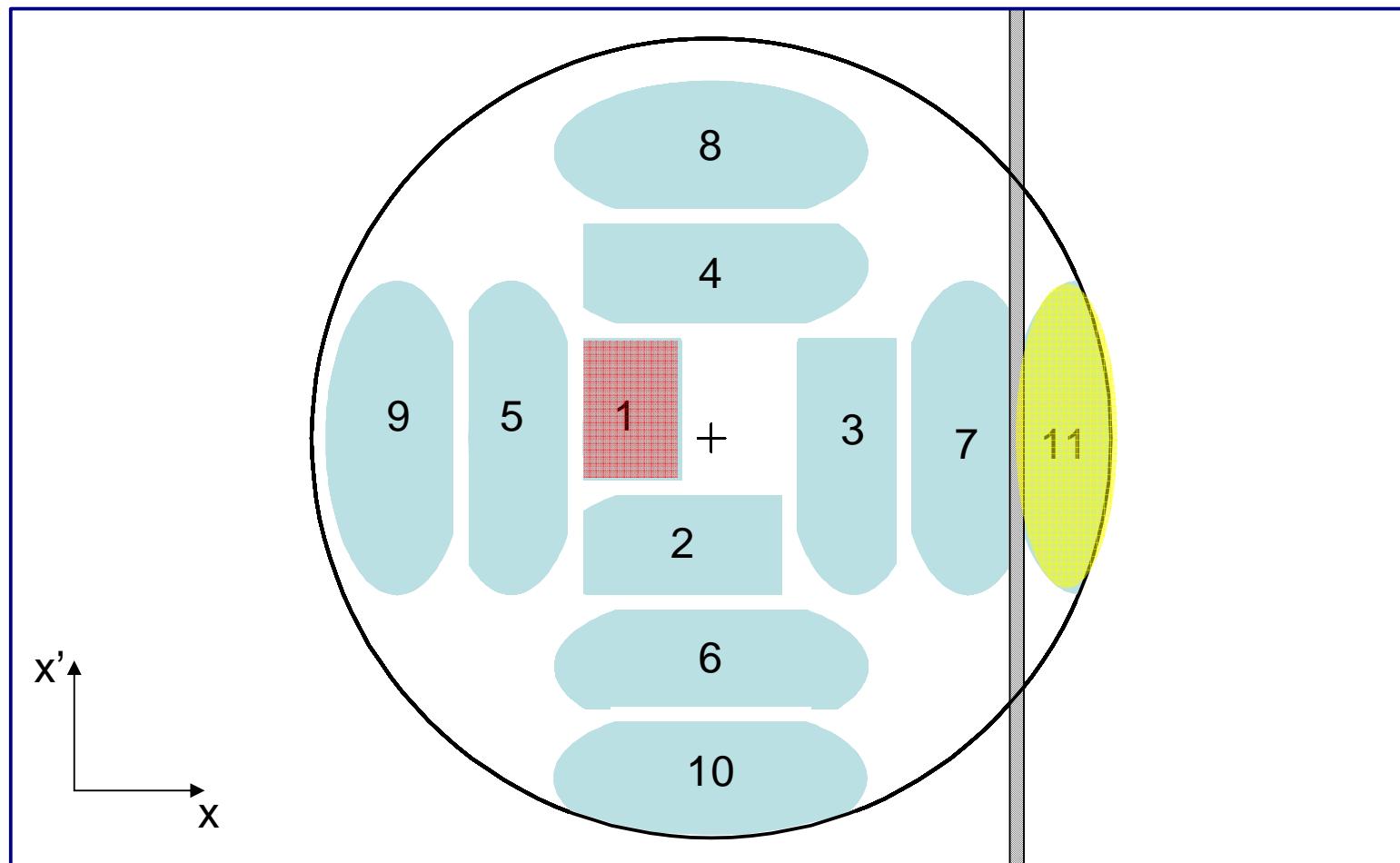
# Multi-turn injection for hadrons

Turn 10



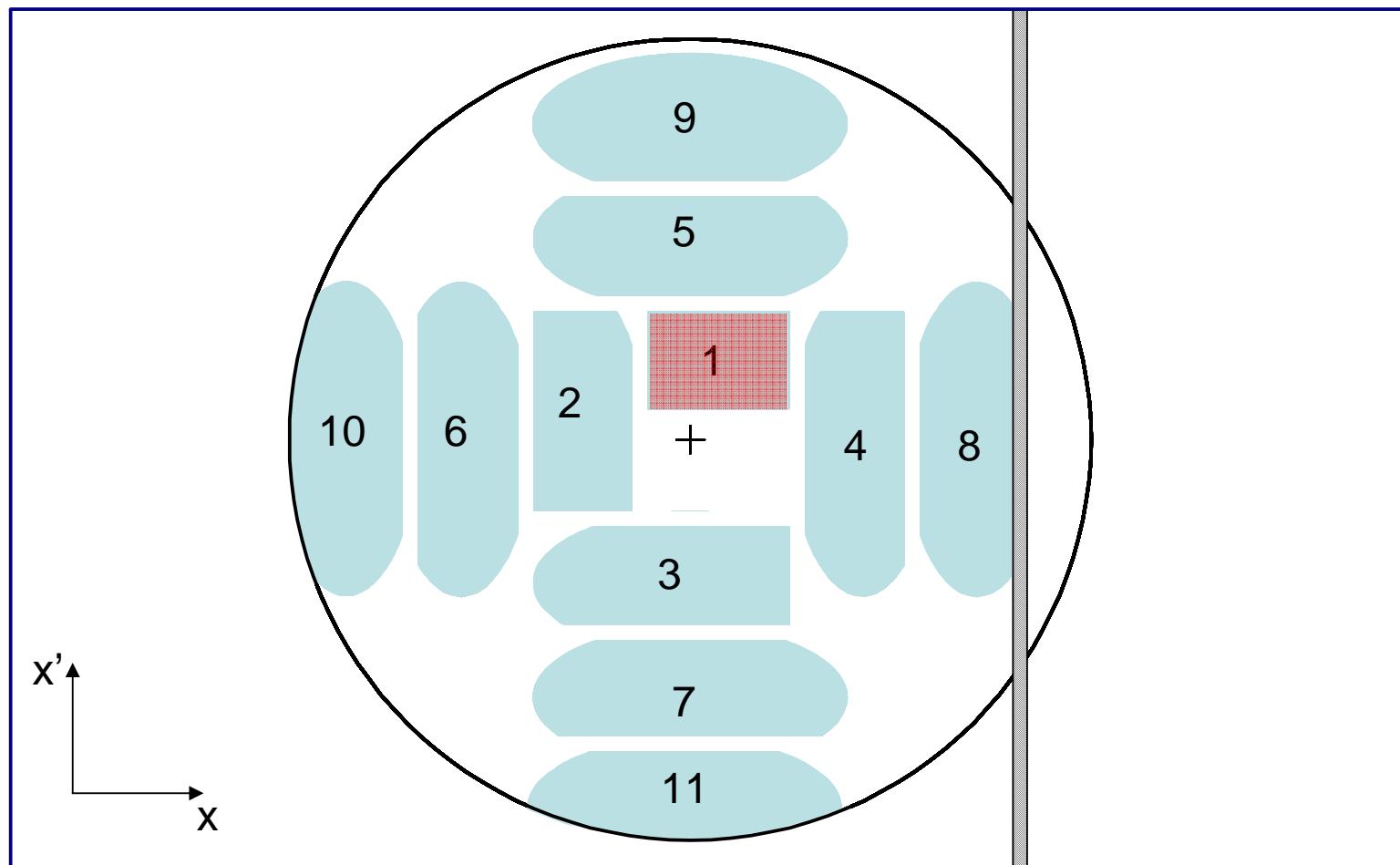
# Multi-turn injection for hadrons

Turn 11



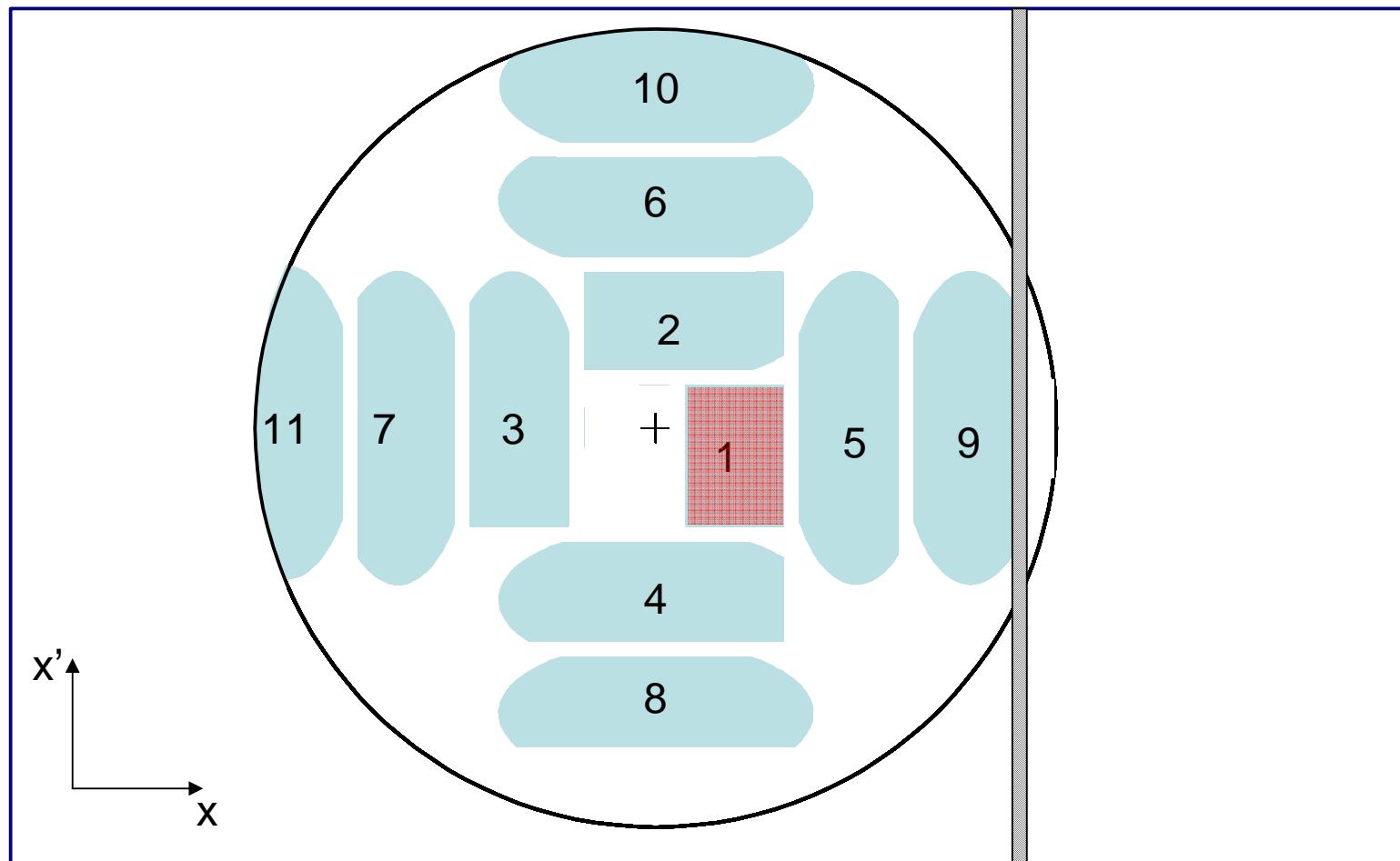
# Multi-turn injection for hadrons

Turn 12



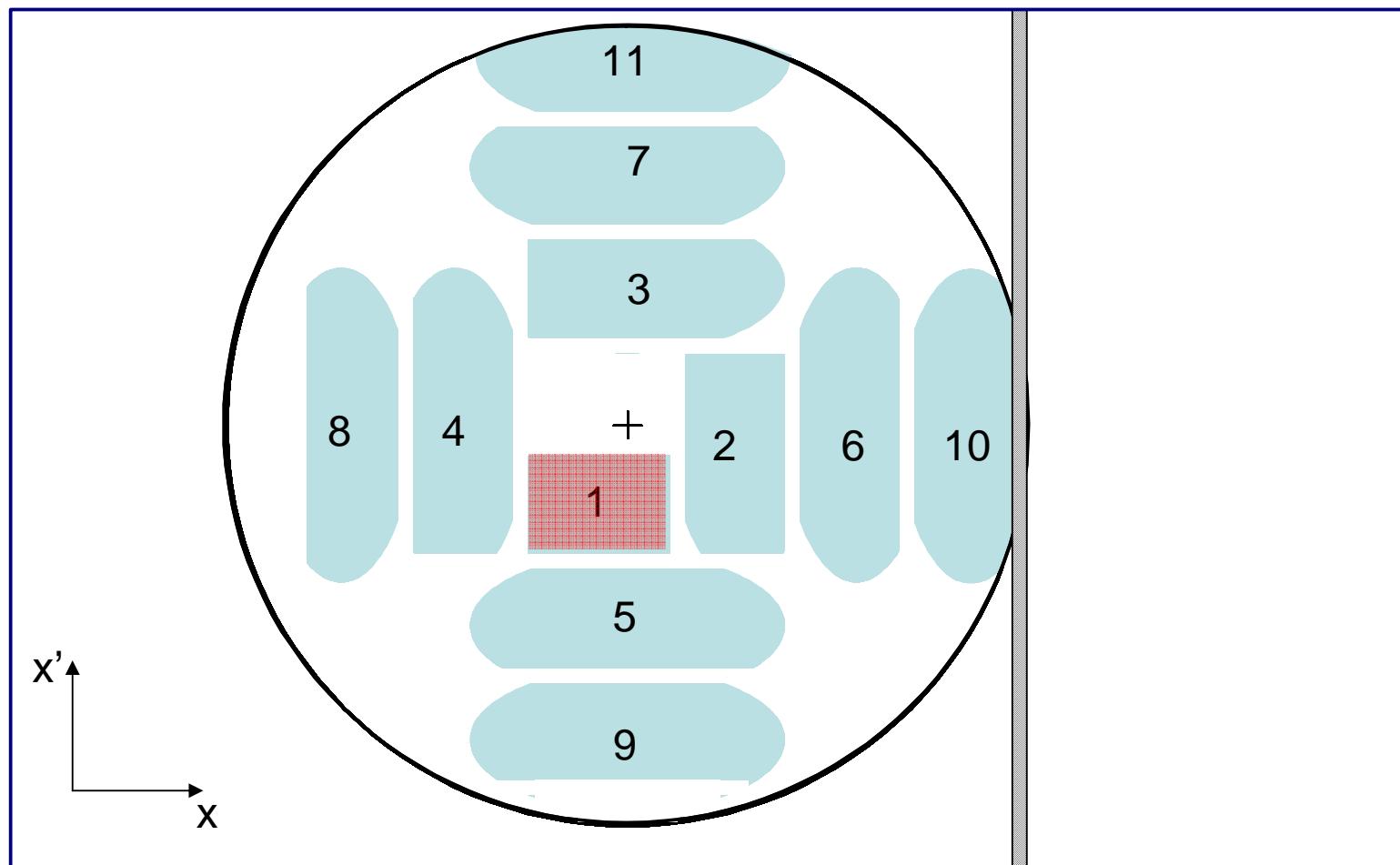
# Multi-turn injection for hadrons

Turn 13



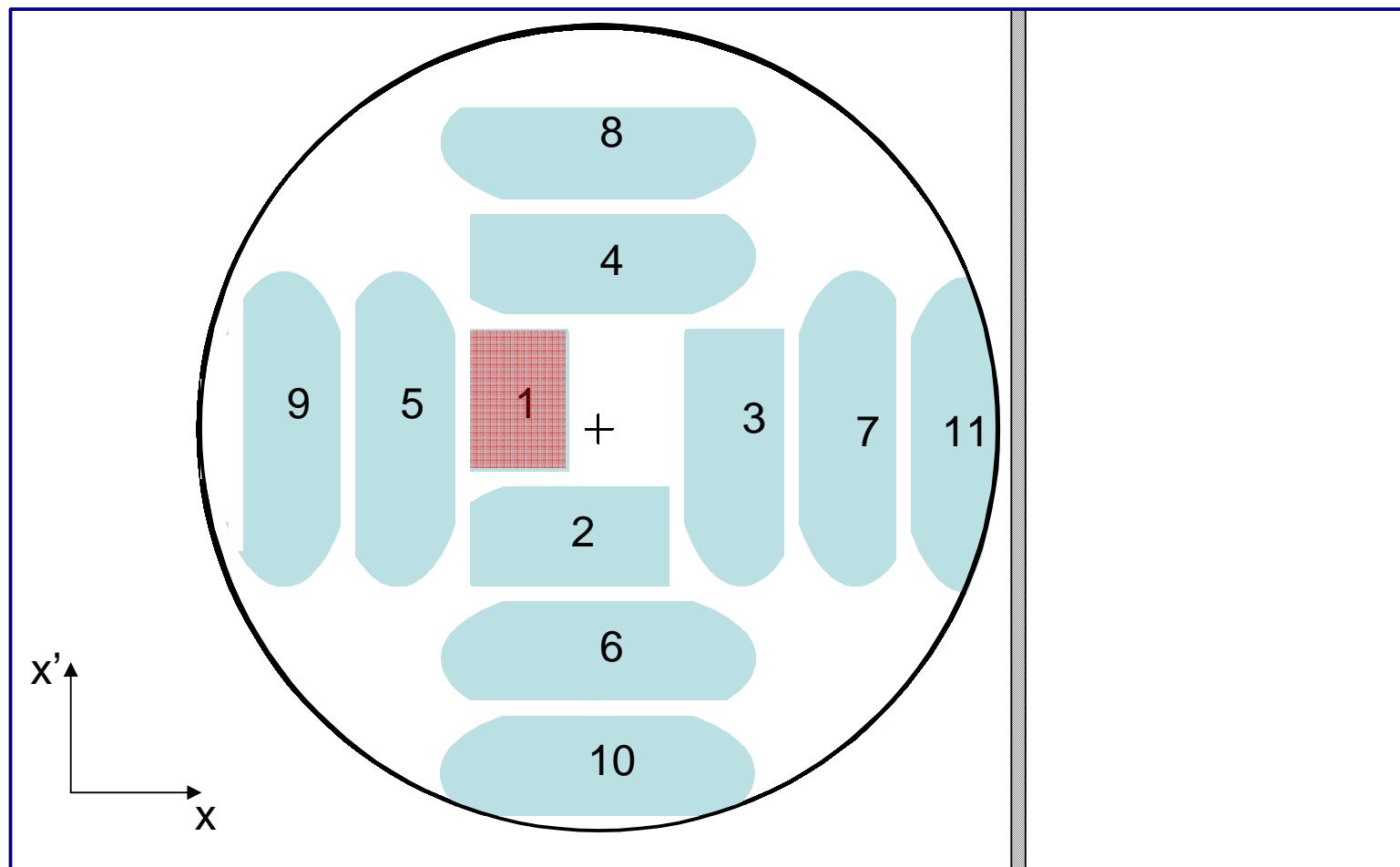
# Multi-turn injection for hadrons

Turn 14



# Multi-turn injection for hadrons

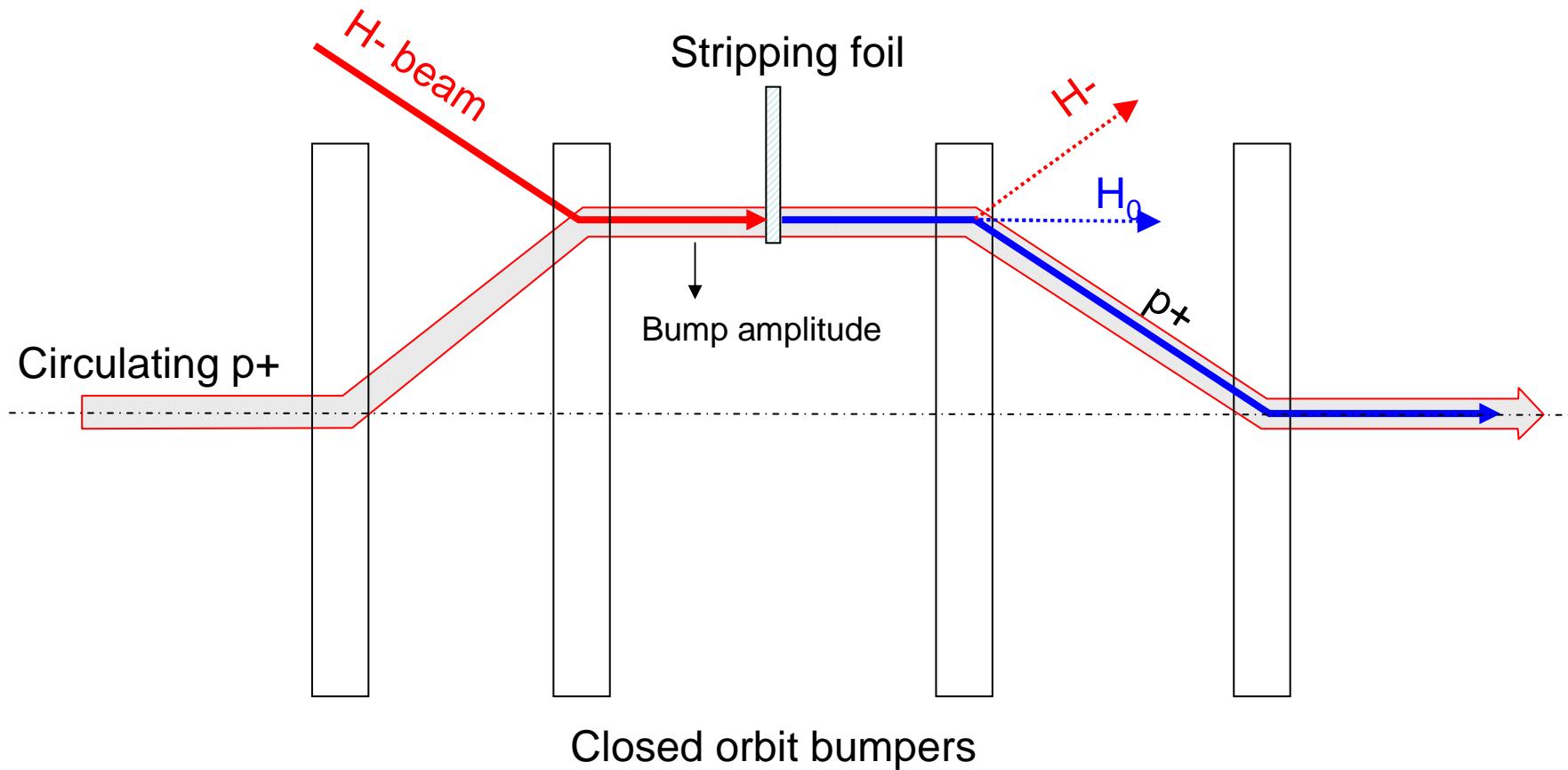
Turn 15



# Multi-turn injection for hadrons

- Requirements:
  - To control the tune  $Q_h$  accurately
  - To control the bump accurately
  - A very thin septum
- In order to:
  - Minimise losses
  - Fill the horizontal phase space most efficiently
  - Reduce phase space dilution

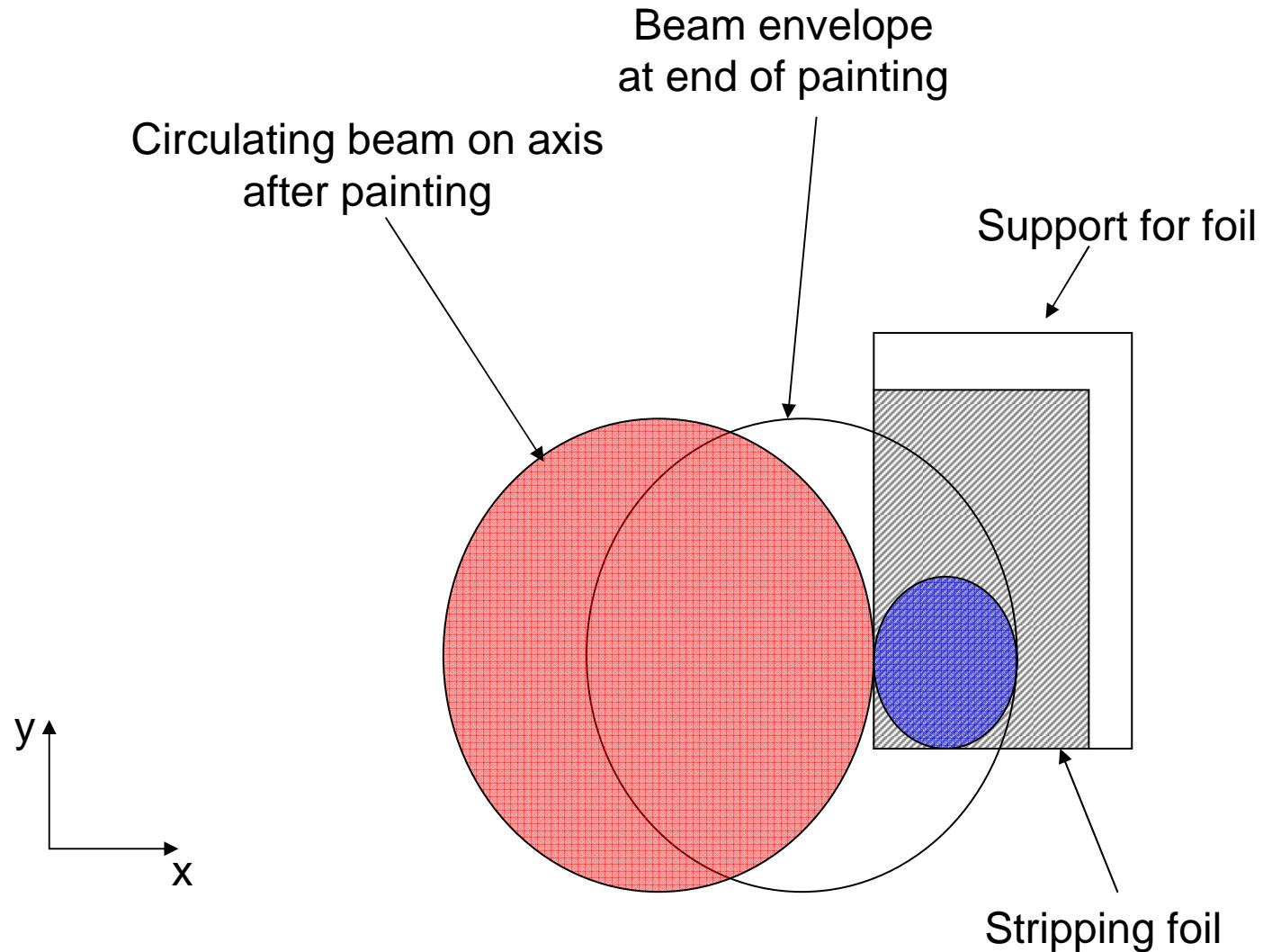
# Charge exchange H- injection



# Charge exchange H- injection

- Possible to “beat” Liouville’s theorem, which says that emittance is conserved....
- Paint uniform transverse phase space density by modifying the beam bump and steering injected beam
- Foil thickness calculated to double-strip most ions (99%)
  - 50 MeV - 50  $\mu\text{g.cm}^{-2}$
  - 800 MeV - 200  $\mu\text{g.cm}^{-2}$  ( $\sim 1\mu\text{m}$  of C)
- Carbon or Aluminium foils can be used – very fragile!
- Bump reduced during injection to paint phase space, and to zero after injection, to avoid excessive foil heating and unnecessary beam blow up

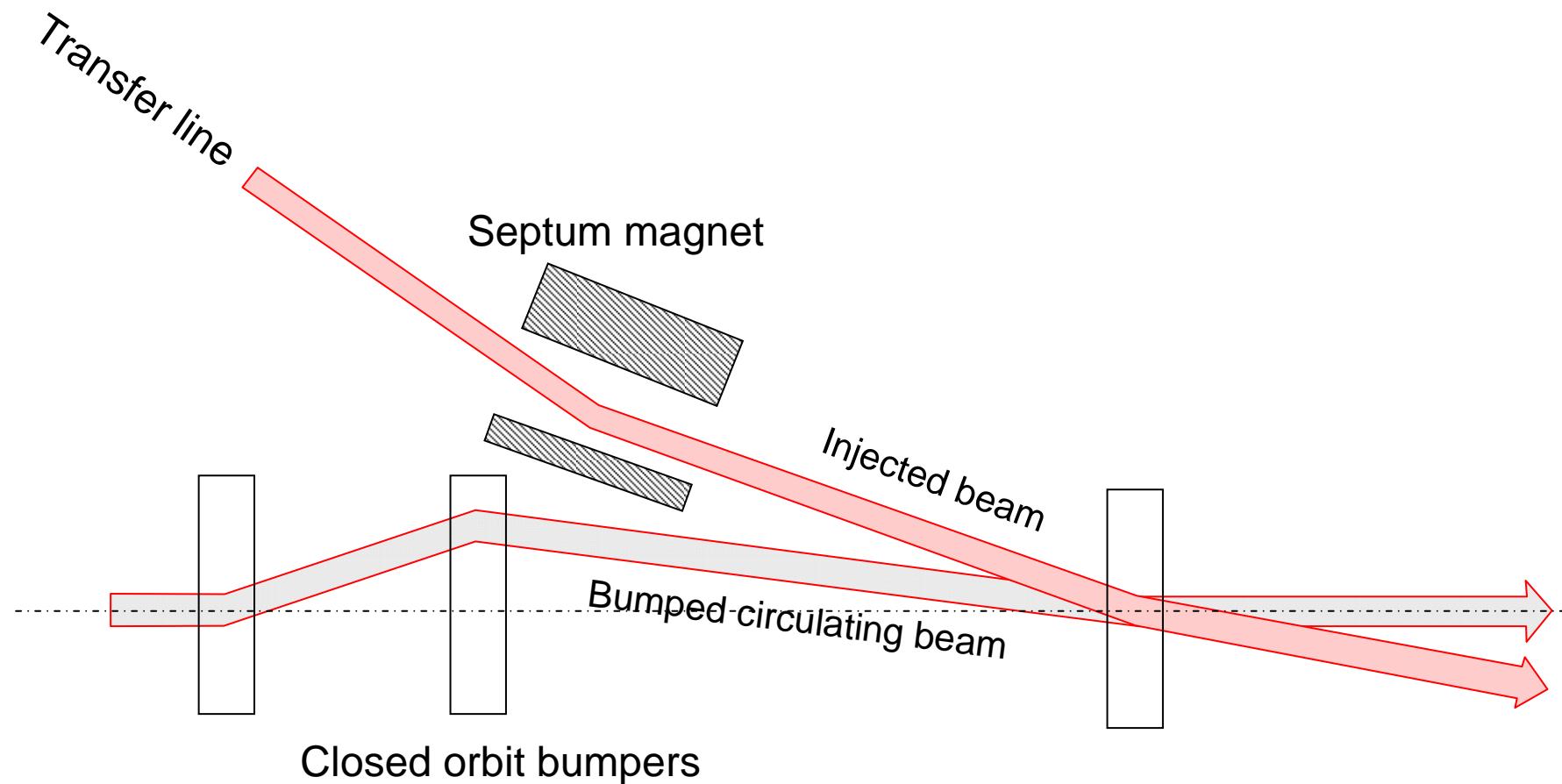
# Charge exchange H- injection



# Lepton injection

- Single-turn injection can be used as for hadrons; however, *lepton motion is damped* (different with respect to proton or ion injection).
- Can use transverse or longitudinal damping:
  - Transverse - Betatron accumulation
  - Longitudinal - Synchrotron accumulation

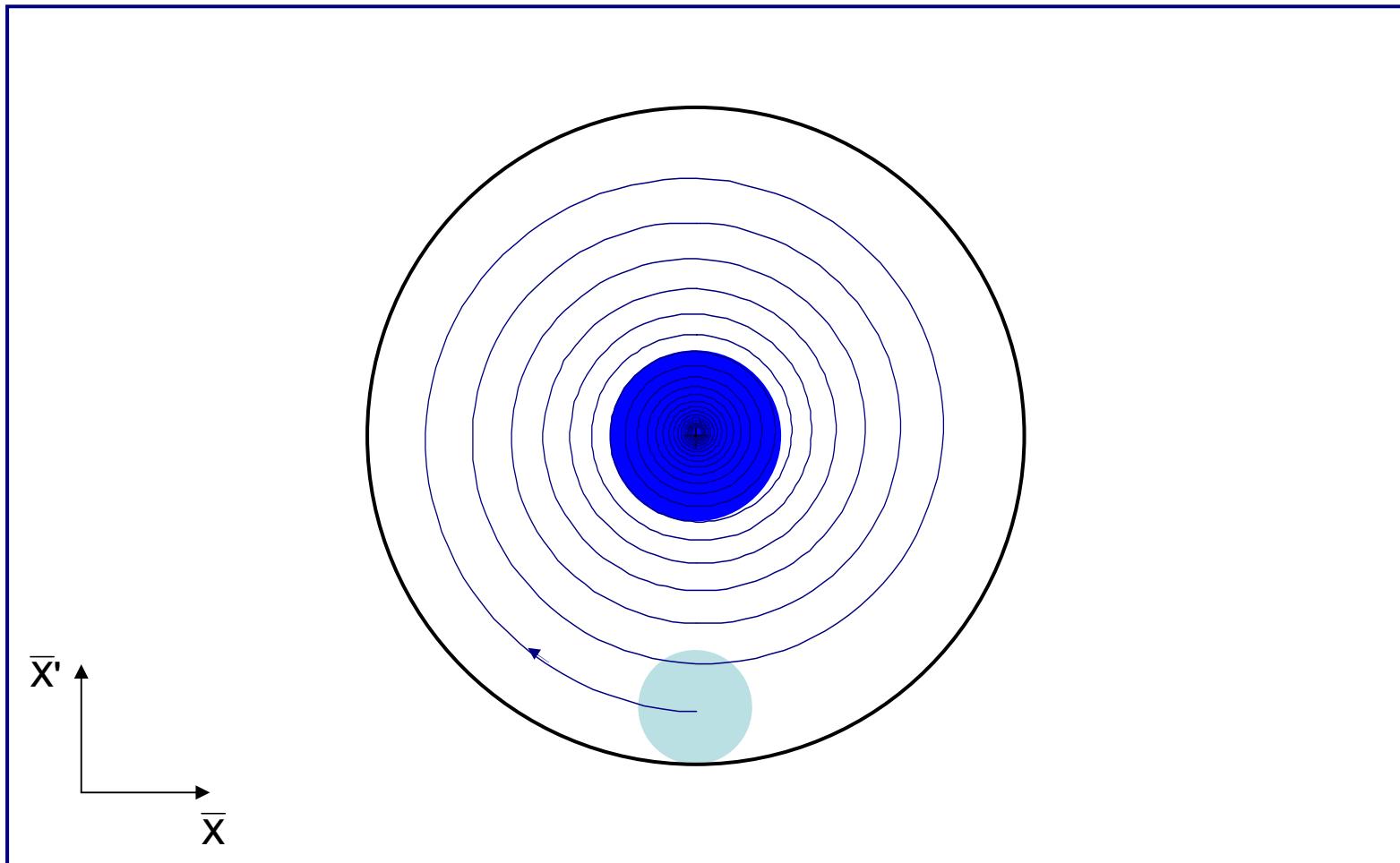
# Betatron lepton injection



- Beam injected with an angle with respect to the closed orbit
- Injected beam performs damped betatron oscillations about the closed orbit

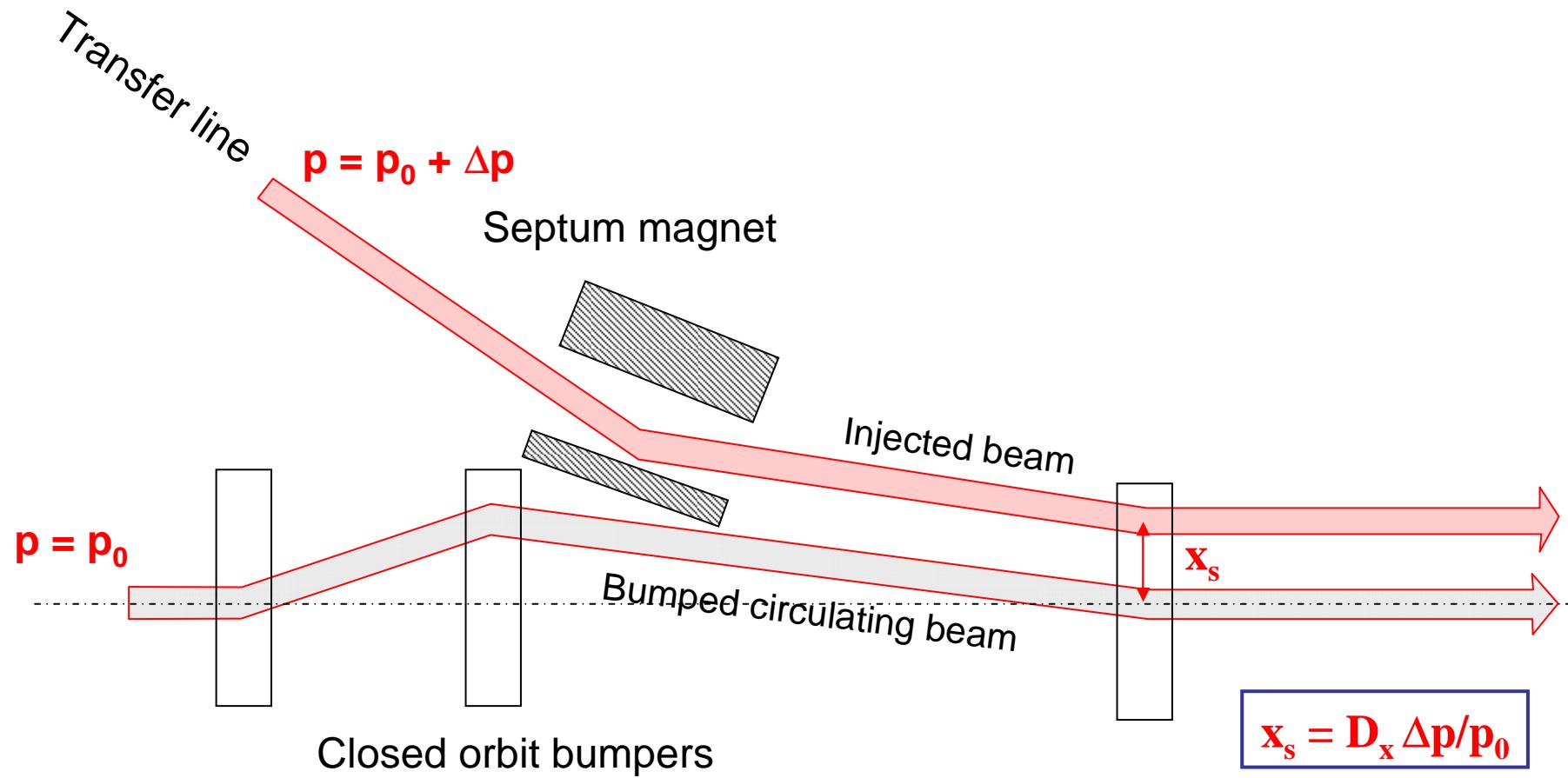
# Betatron lepton injection

Injected bunch performs damped betatron oscillations



In LEP at 20 GeV, the damping time was about 6'000 turns (0.6 seconds)

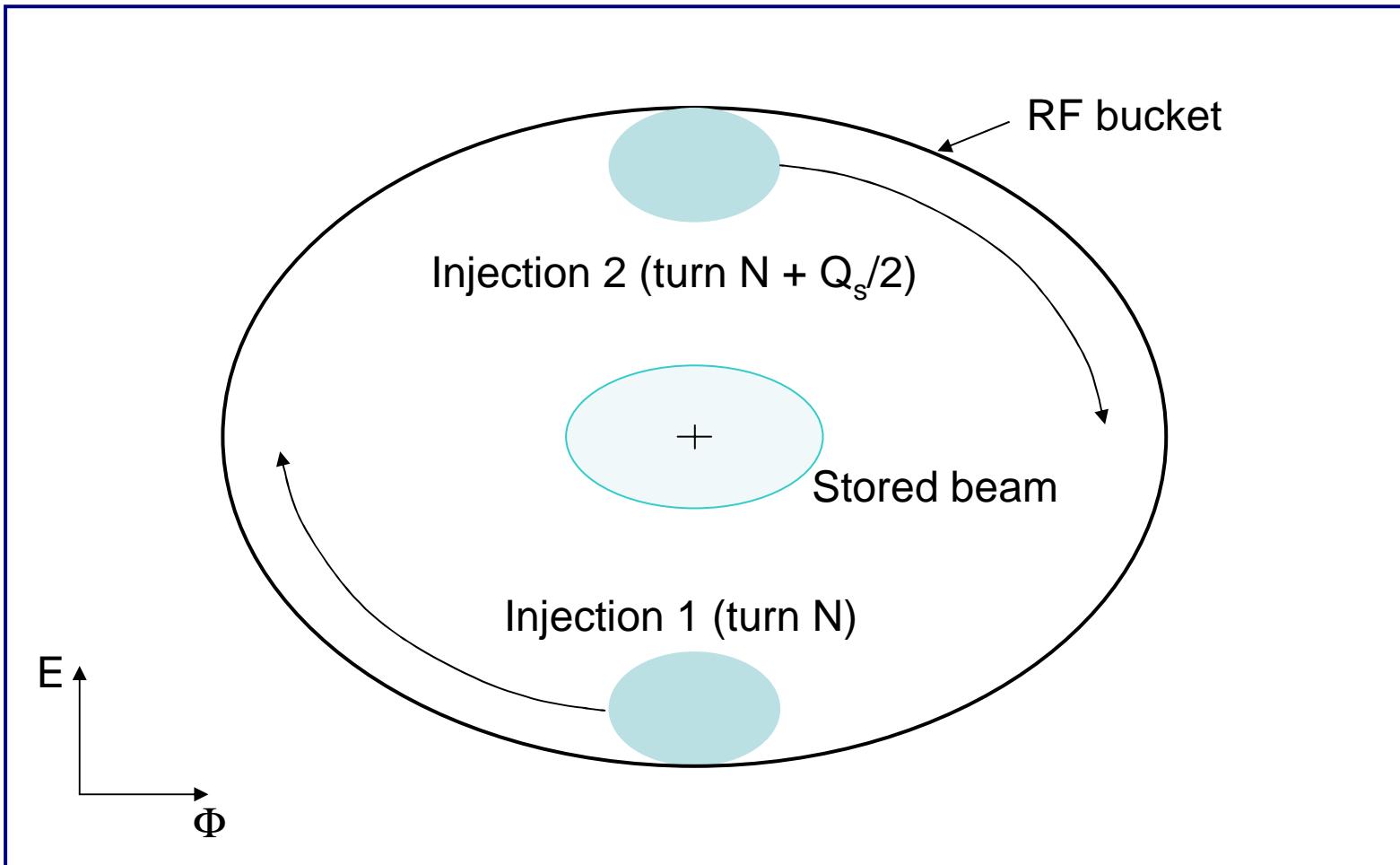
# Synchrotron lepton injection



- Beam injected parallel to circulating beam, onto dispersion orbit of a particle having the same momentum offset  $\Delta p/p$ .
- Injected beam makes damped *synchrotron oscillations* at  $Q_s$  but does not perform betatron oscillations.

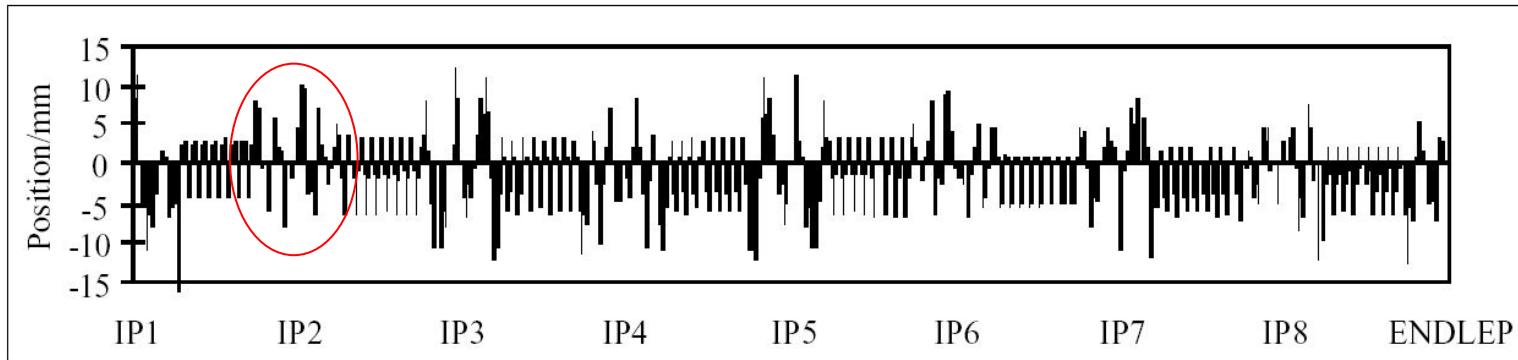
# Synchrotron lepton injection

Double batch injection possible....

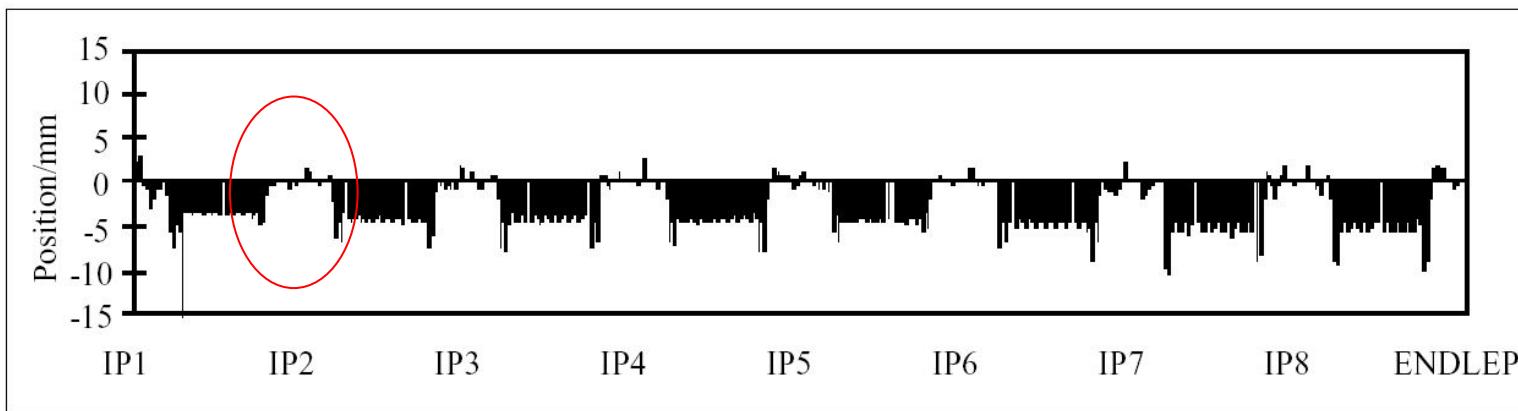


Longitudinal damping time in LEP was  $\sim 3'000$  turns (2 x faster than transverse)

# Synchrotron lepton injection in LEP



Optimized Horizontal First Turn Trajectory for **Betatron Injection** of Positrons into LEP.



Optimized Horizontal First Turn Trajectory for **Synchrotron Injection** of Positrons with  $\Delta P/P$  at -0.6%

Small orbit with **Synchrotron Injection** in zero dispersion straight sections gave improved background for LEP experiments

P.Coller

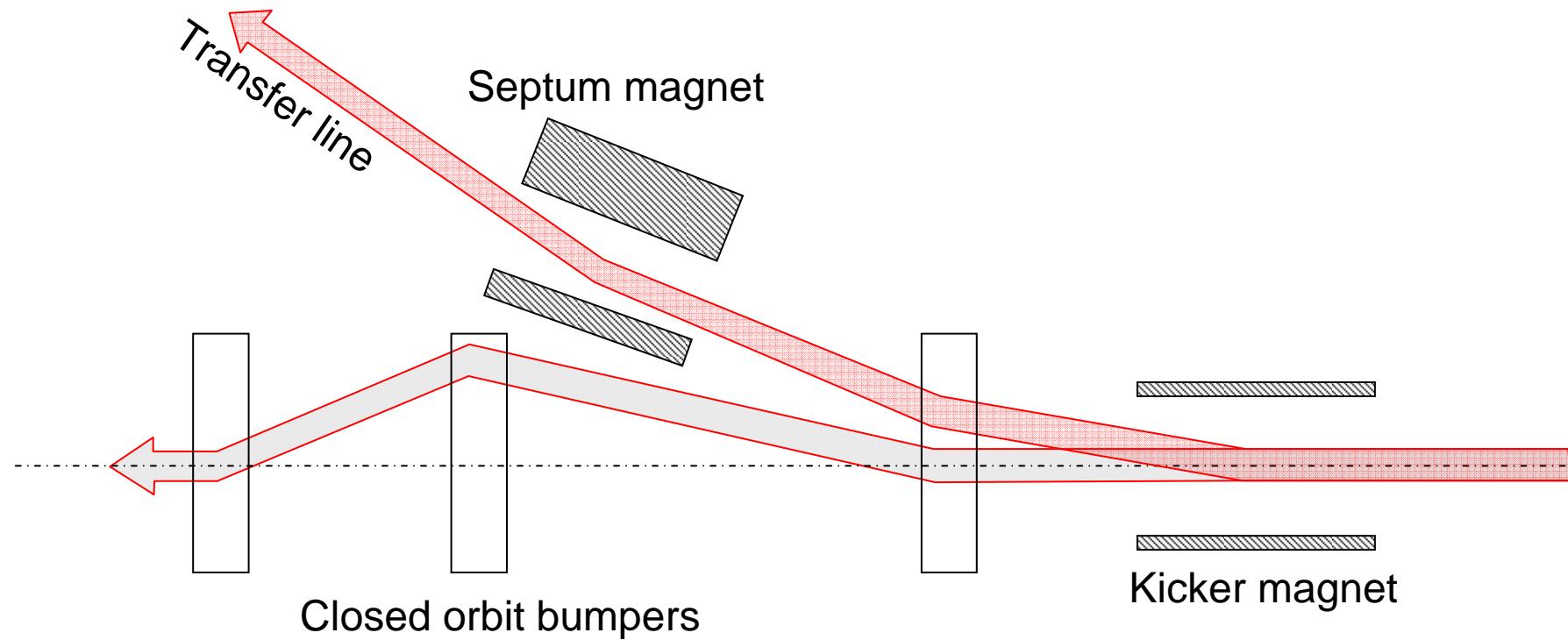
# Injection - summary

- Kickers, septa and bumpers elements used
- Single-turn injection for Boxcar stacking: transfer between machines in accelerator chain
- Angle / position errors  $\Rightarrow$  injection oscillations
- Uncorrected oscillations  $\Rightarrow$  filamentation  $\Rightarrow$  emittance increase
- Multi-turn injection for hadrons: phase space painting
- H- injection allows injection into same phase space area
- Lepton injection: take advantage of damping

# Extraction

- To reduce kicker and septum strength, beam moved near to septum by closed orbit bump
- Fast extraction:  $\leq 1$  turn
  - Whole beam kicked into septum gap and extracted.
- Non-resonant multi-turn extraction: few turns
  - Beam kicked to septum; part of beam ‘shaved’ off each turn.
- Resonant multi-turn extraction: many thousands of turns
  - Non-linear fields excite resonances which drive the beam slowly across the septum.
- Resonant low—loss multi-turn extraction: few turns
  - Non-linear fields used to trap ‘bunchlets’ in stable island. Beam then kicked across septum and extracted in a few turns

# Fast single turn extraction

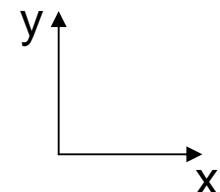
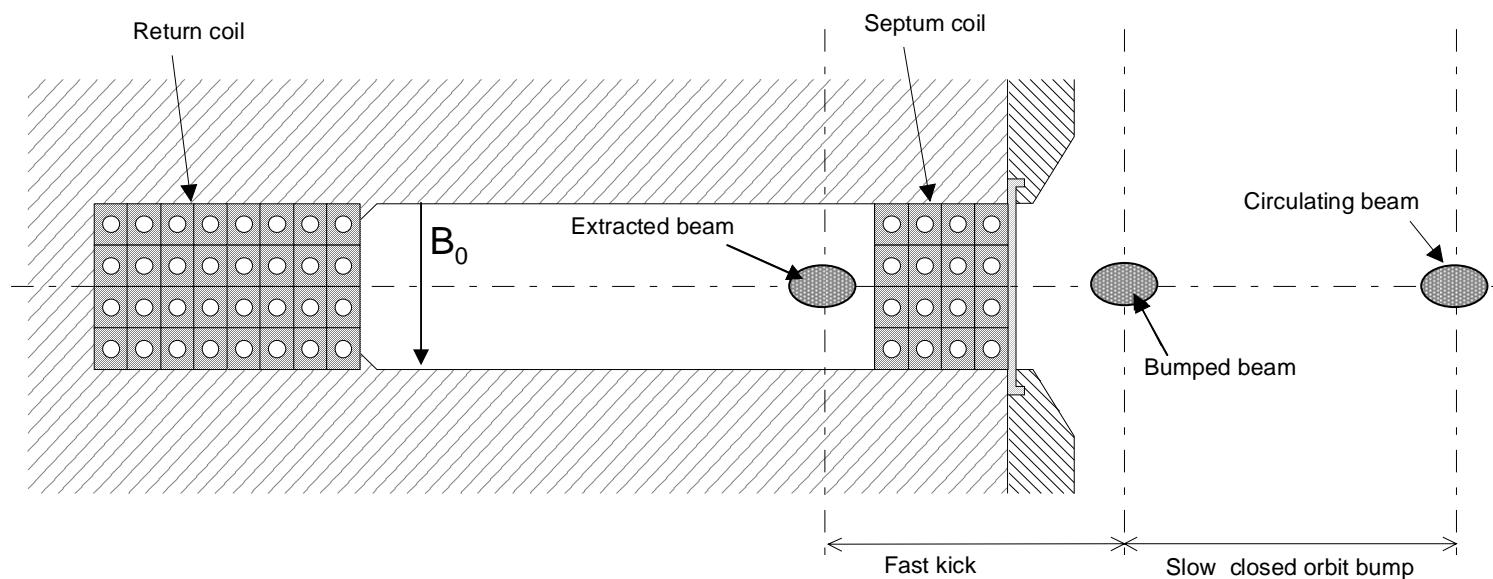


- Kicker deflects the entire beam into the septum in a single turn
- Septum deflects the beam entire into the transfer line
- Most efficient (lowest deflection angles required) for  $\pi/2$  phase advance between kicker and septum

# Fast single turn extraction

- For transfer of beams between accelerators in an injector chain.
- For neutrino production.
  - If septa used only for this purpose, they can be pulsed - few 10 ms.
- Septum deflection may be in the other plane to the kicker deflection.
- At high energies many kicker and septum modules may be required

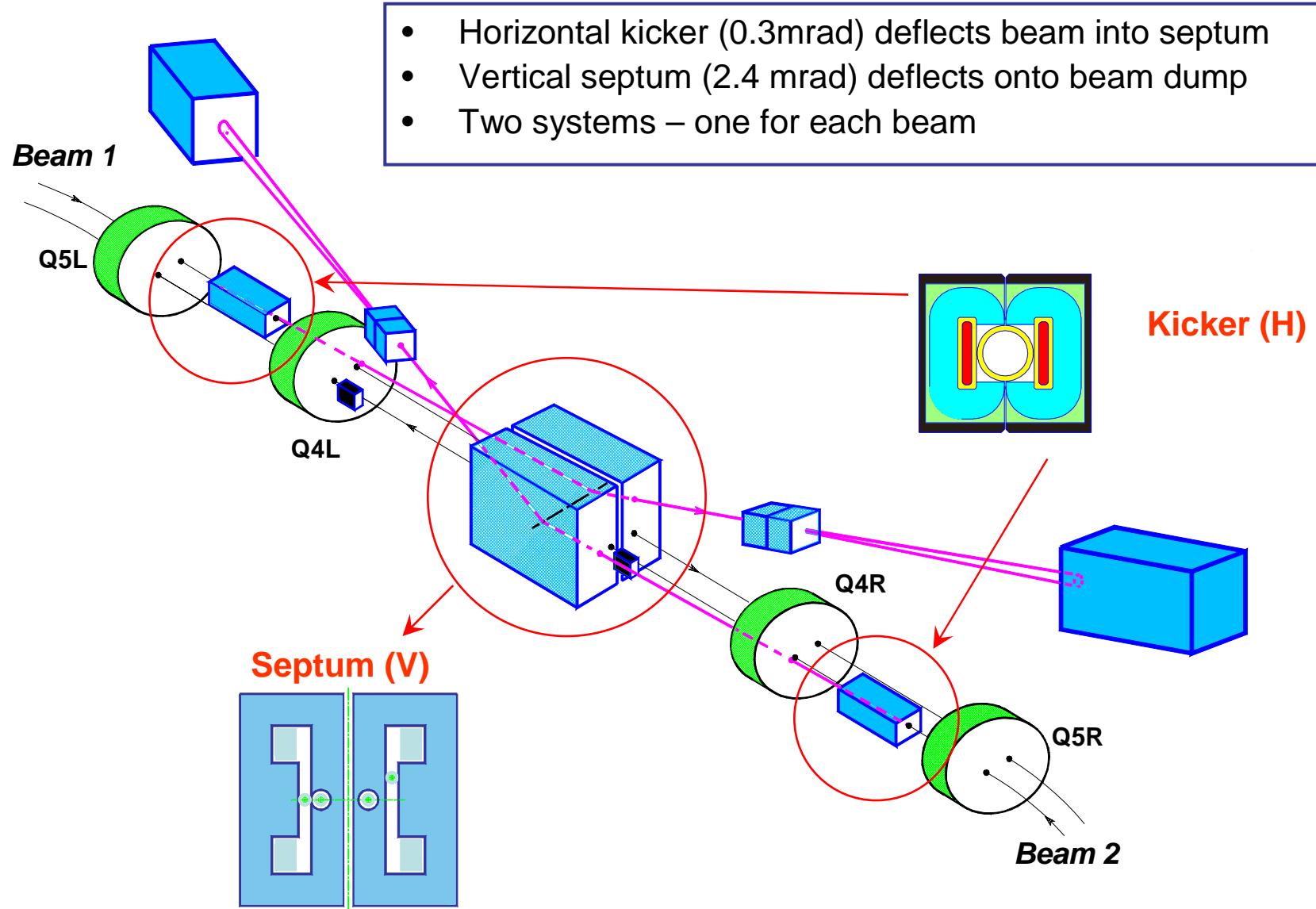
# Fast single turn extraction



- View at the septum entrance. Here the clearances are the smallest.
- For high energies / intensities, machine protection becomes an issue.

# Fast single turn extraction

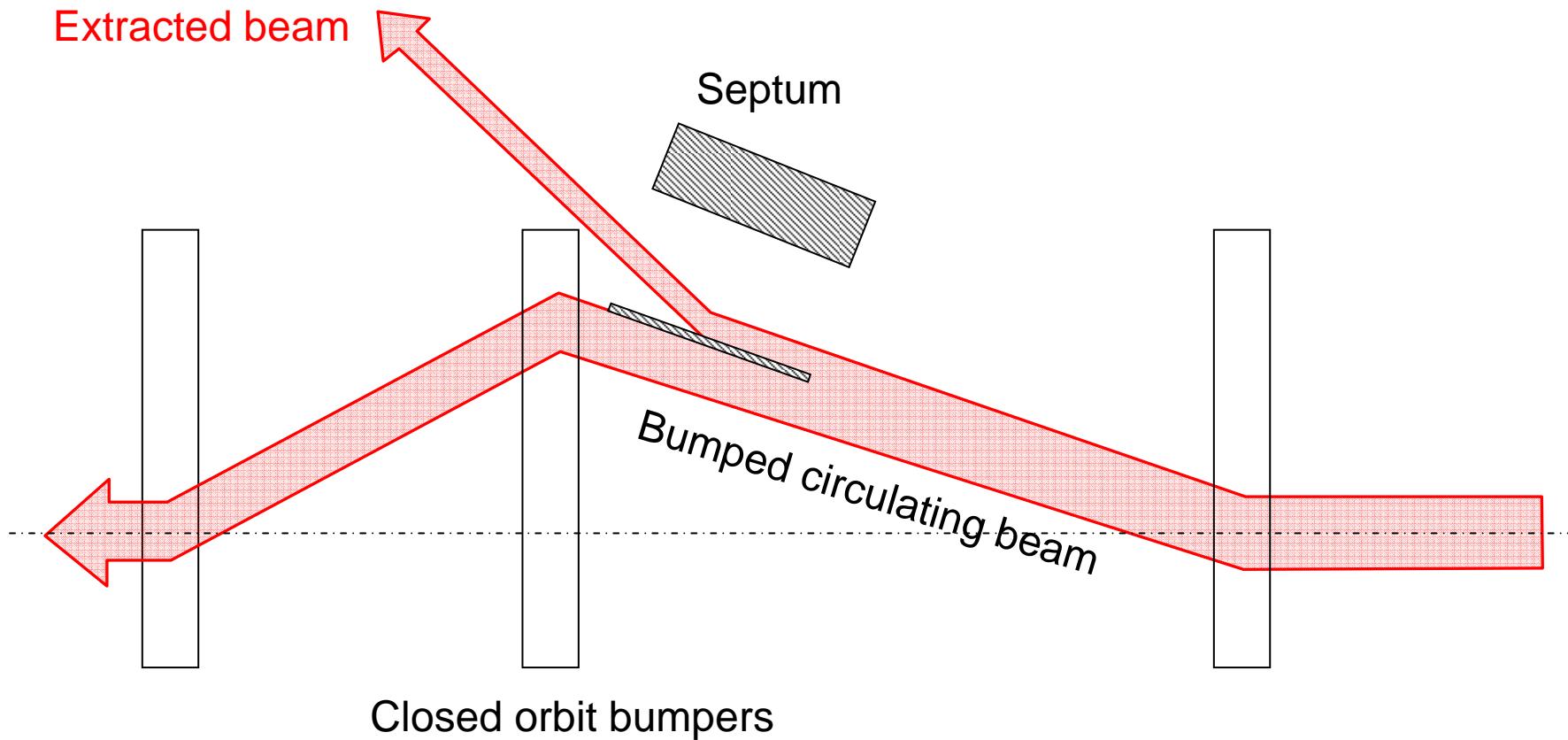
Example system - fast extraction from LHC at 7TeV/c (for beam dump)



# Multi-turn extraction

- Some filling schemes require a beam to be injected in several turns to a larger machine...
- And, Fixed Target physics experiments often need a continuous flux of particles...
- Multi-turn extraction...
  - Non-Resonant multi-turn ejection (few turns) for filling e.g. PS to SPS at CERN for high intensity proton beams ( $>2.5 \cdot 10^{13}$  protons)
  - Resonant extraction (ms to hours) for experiments

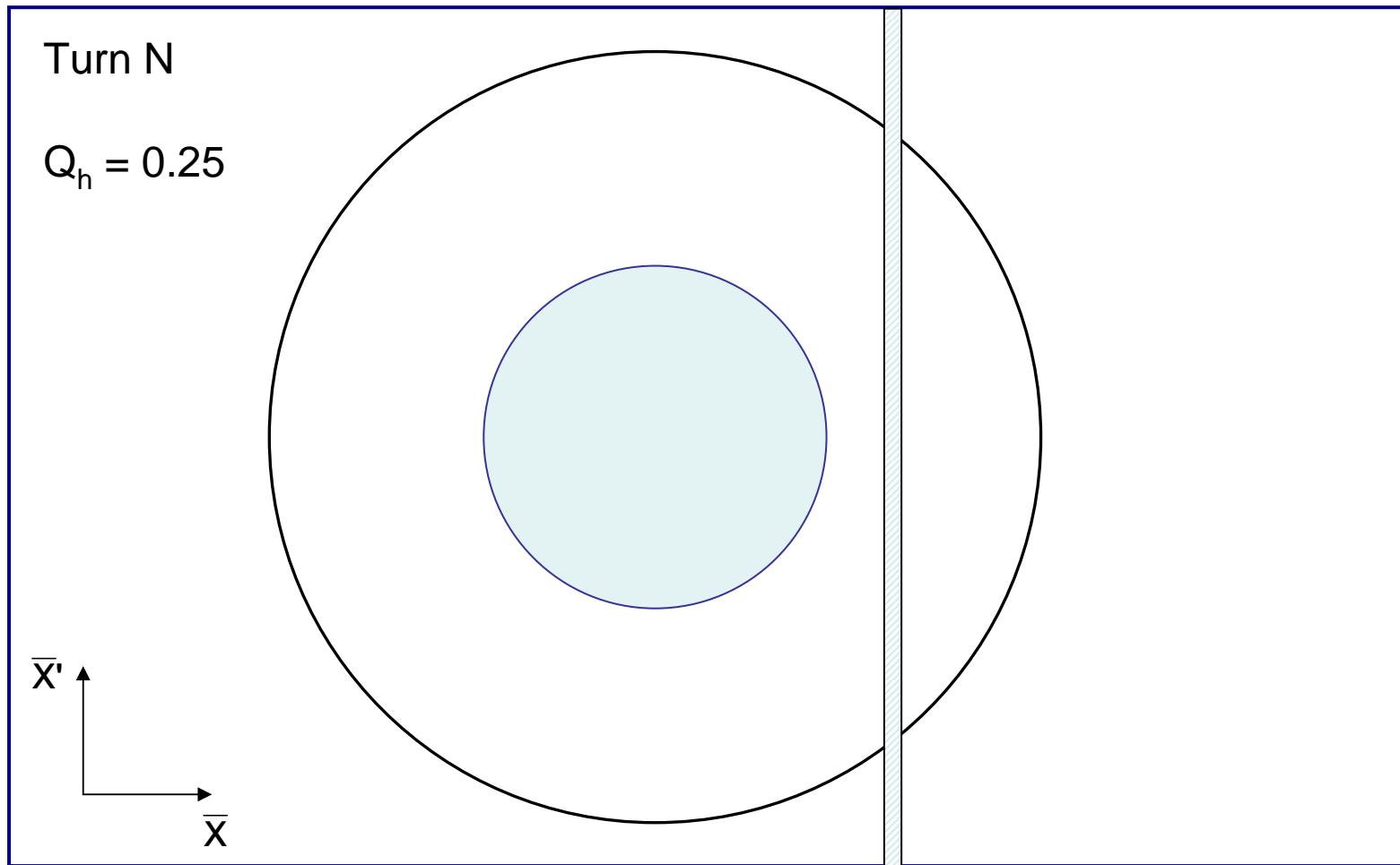
# Non-resonant multi-turn extraction



- Fast bumper deflects the whole beam onto the septum
- Beam extracted in a few turns, with the machine tune rotating the beam
- Intrinsically high-loss process – thin septum essential

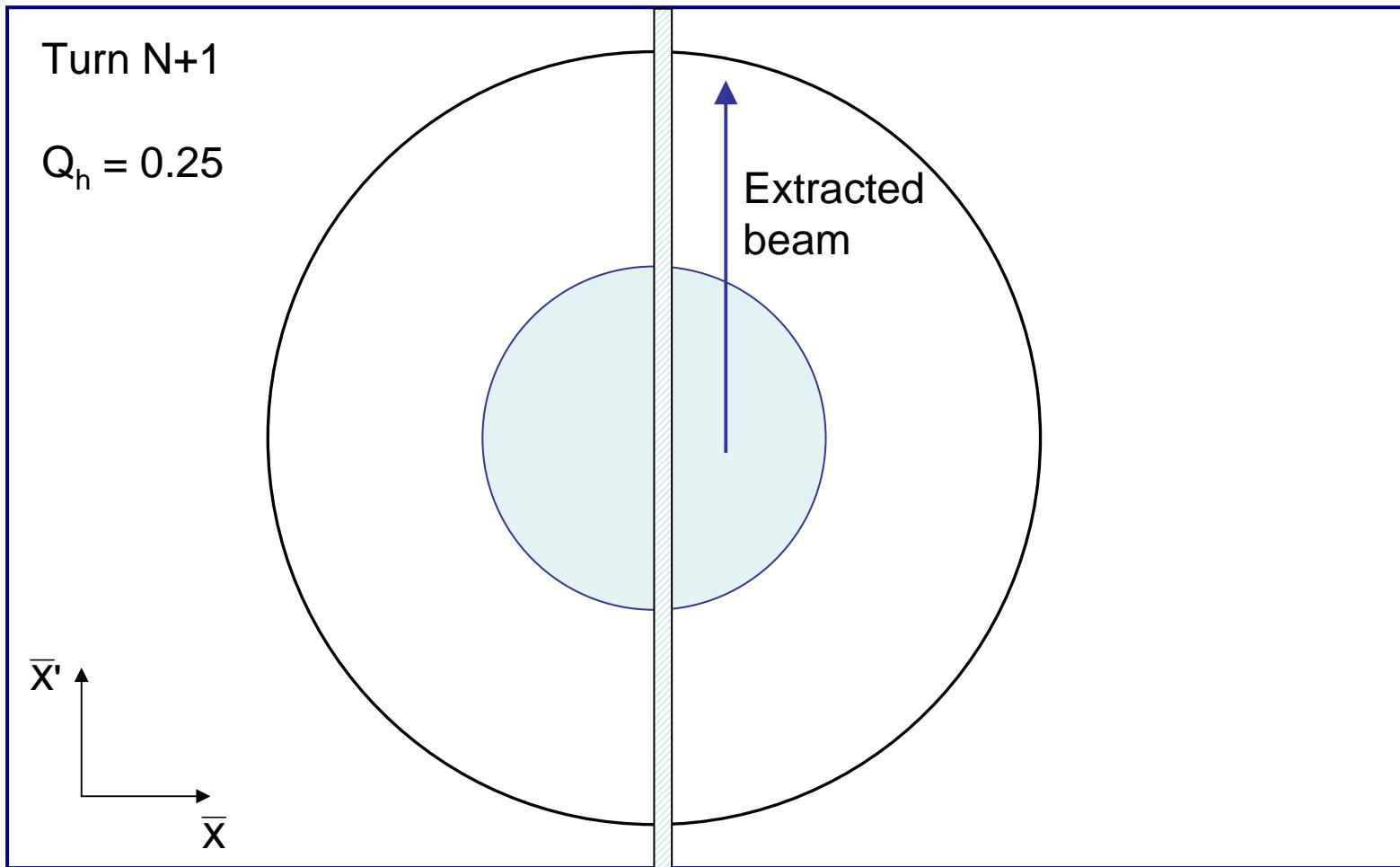
# Non-resonant multi-turn extraction

Just before extraction....



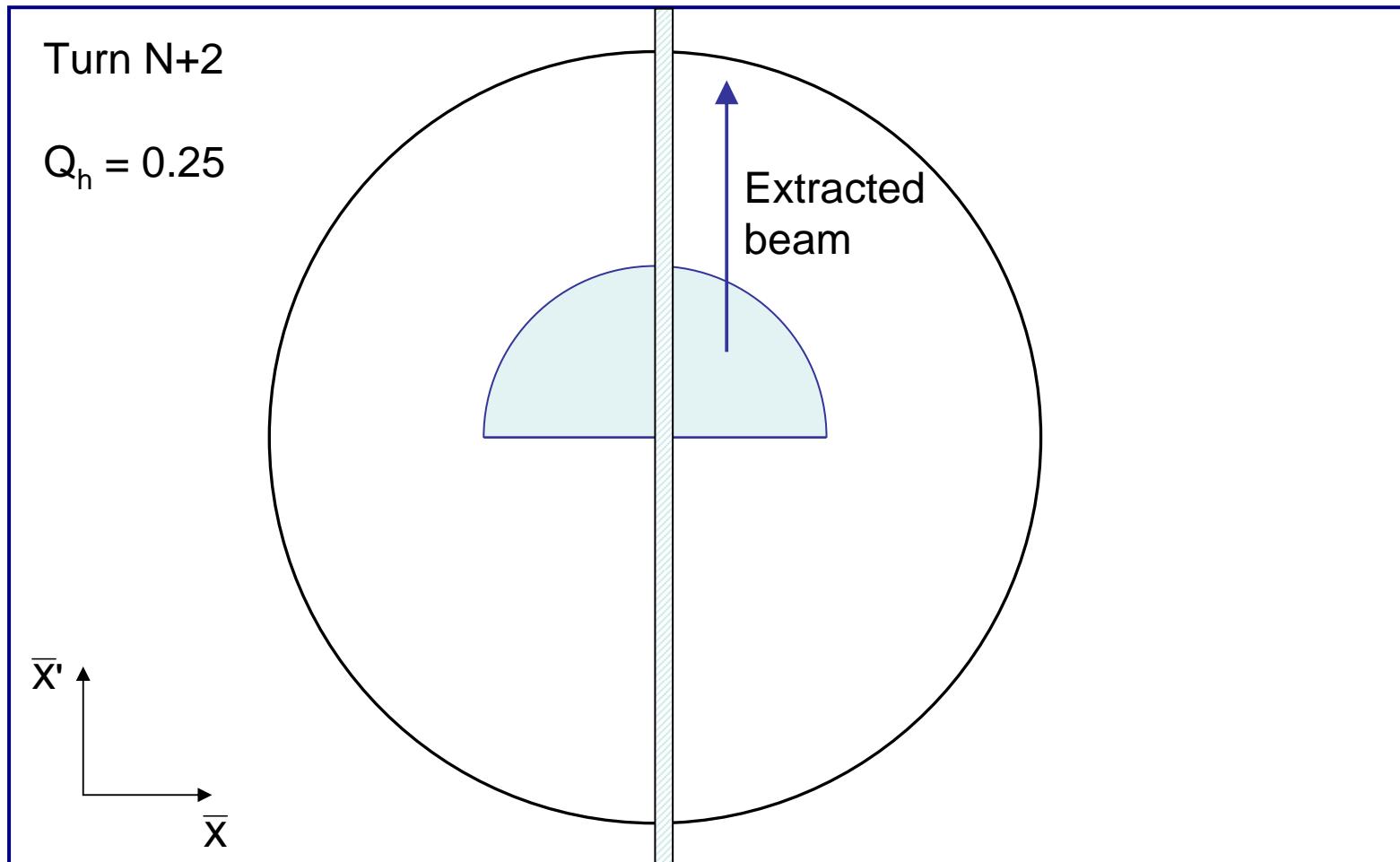
# Non-resonant multi-turn extraction

Fast closed orbit bump moves part of the beam across the septum



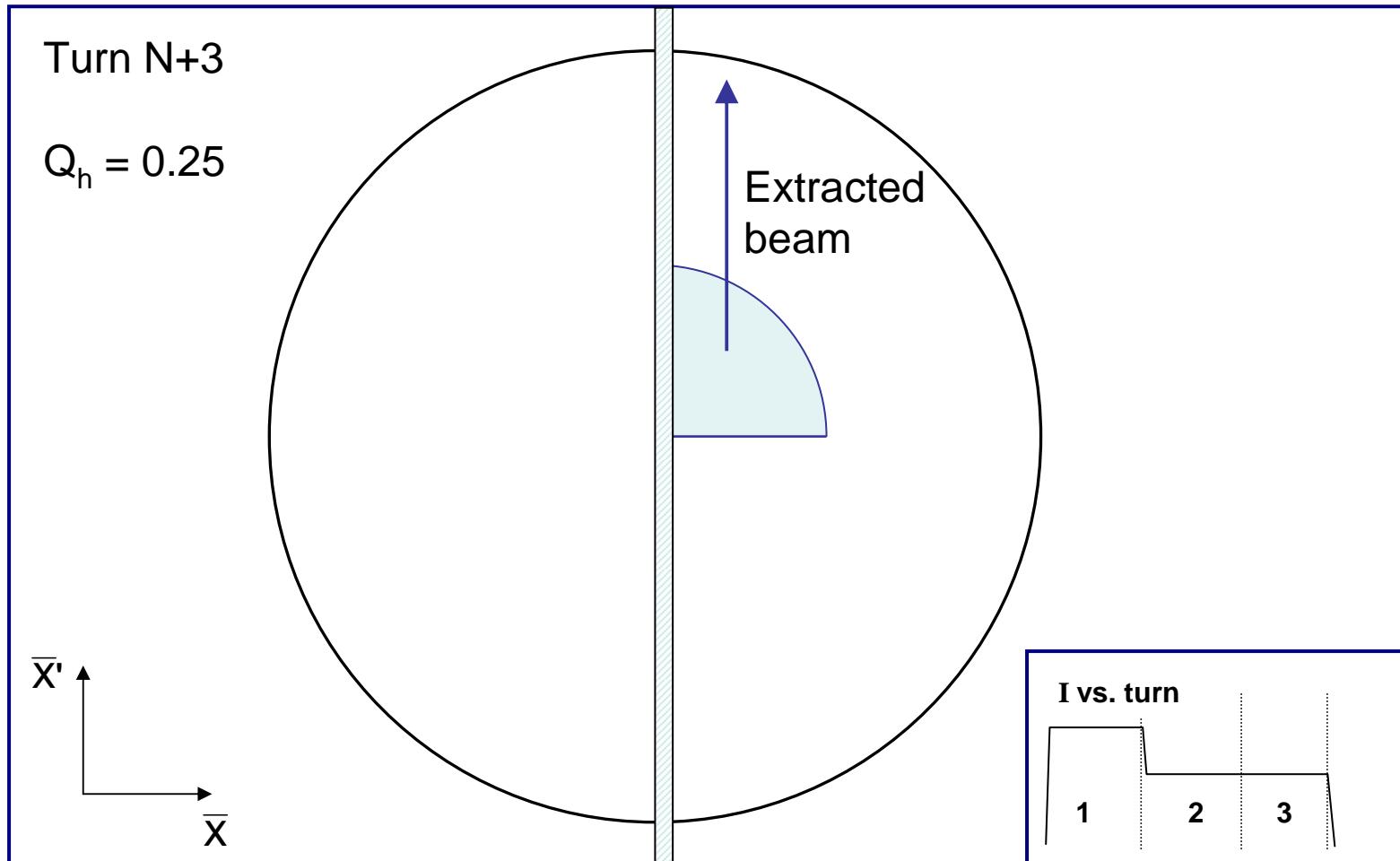
# Non-resonant multi-turn extraction

The beam rotates across the septum....



# Non-resonant multi-turn extraction

...and the last part is extracted on the final turn.

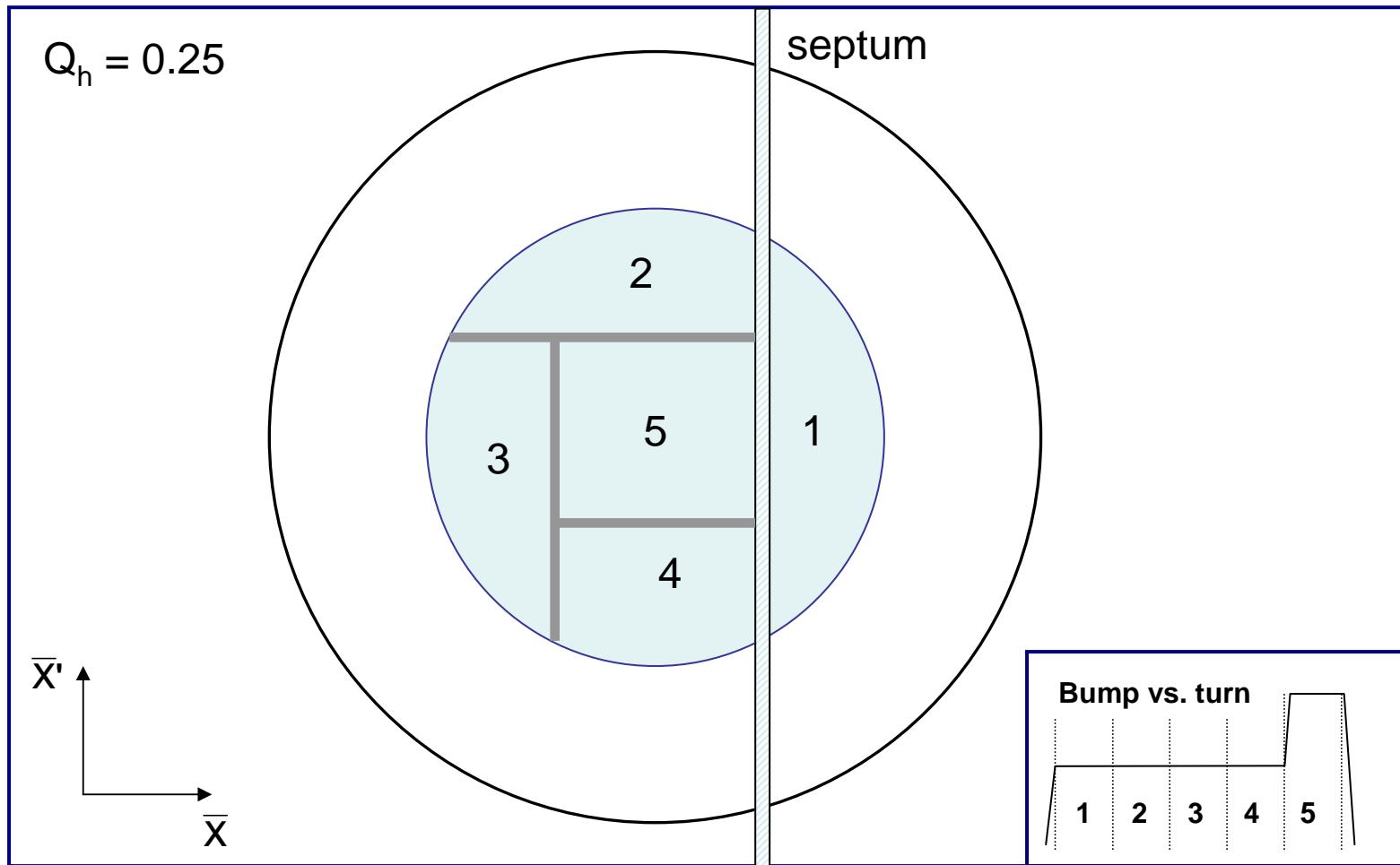


# Non-resonant multi-turn extraction

- Example system: CERN PS to SPS Fixed-Target ‘continuous transfer’.
  - Accelerate beam in PS to 14 GeV/c
  - Empty PS machine ( $2.1 \mu\text{s}$  long) in 5 turns into SPS
  - Do it again
  - Fill SPS machine ( $23 \mu\text{s}$  long)
  - Quasi-continuous beam in SPS ( $2 \times 1 \mu\text{s}$  gaps)
  - Total intensity per PS extraction  $\approx 3 \times 10^{13} \text{ p+}$
  - Total intensity in SPS  $\approx 5 \times 10^{13} \text{ p+}$

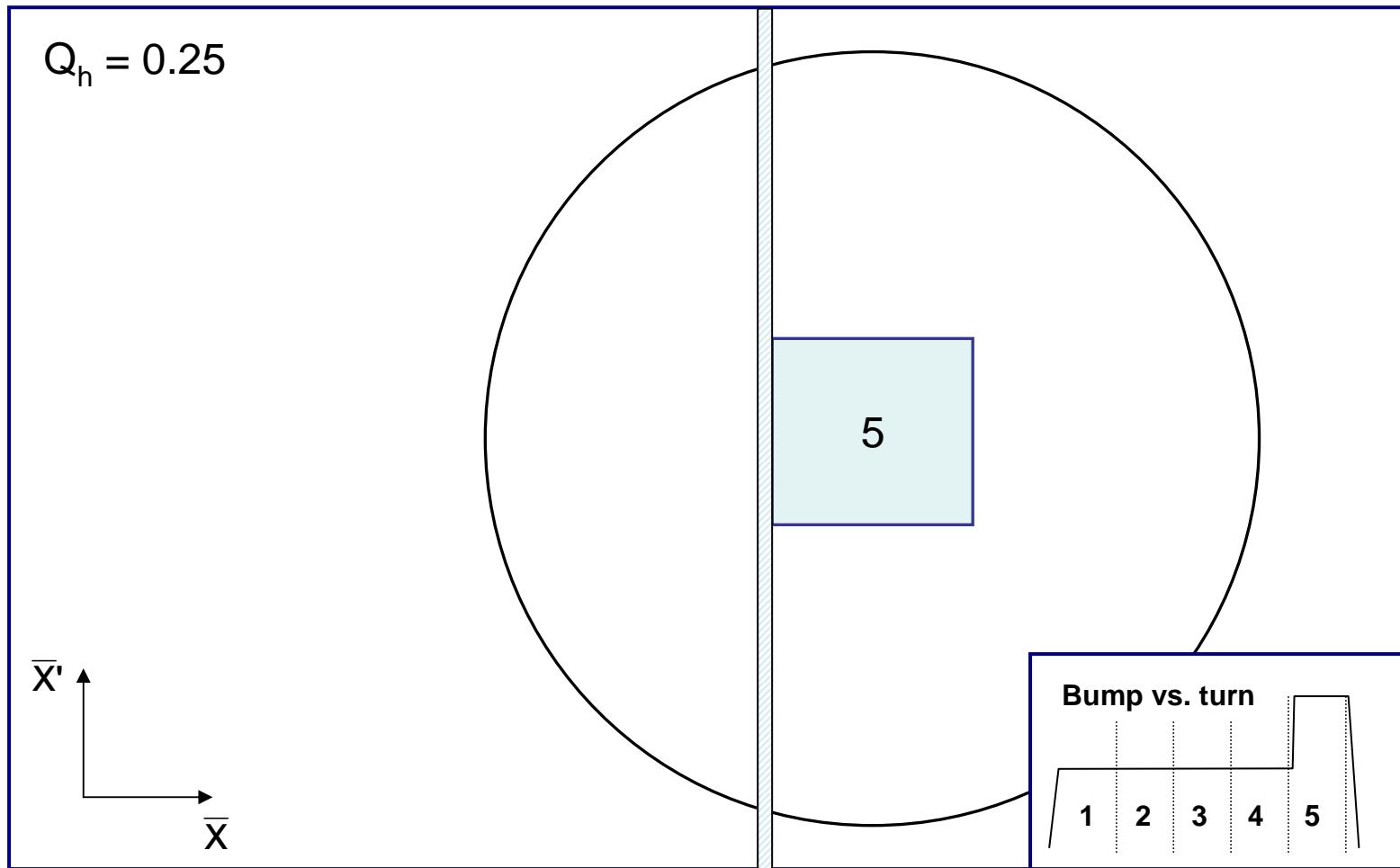
# Non-resonant multi-turn extraction

CERN PS to SPS: 5-turn continuous transfer



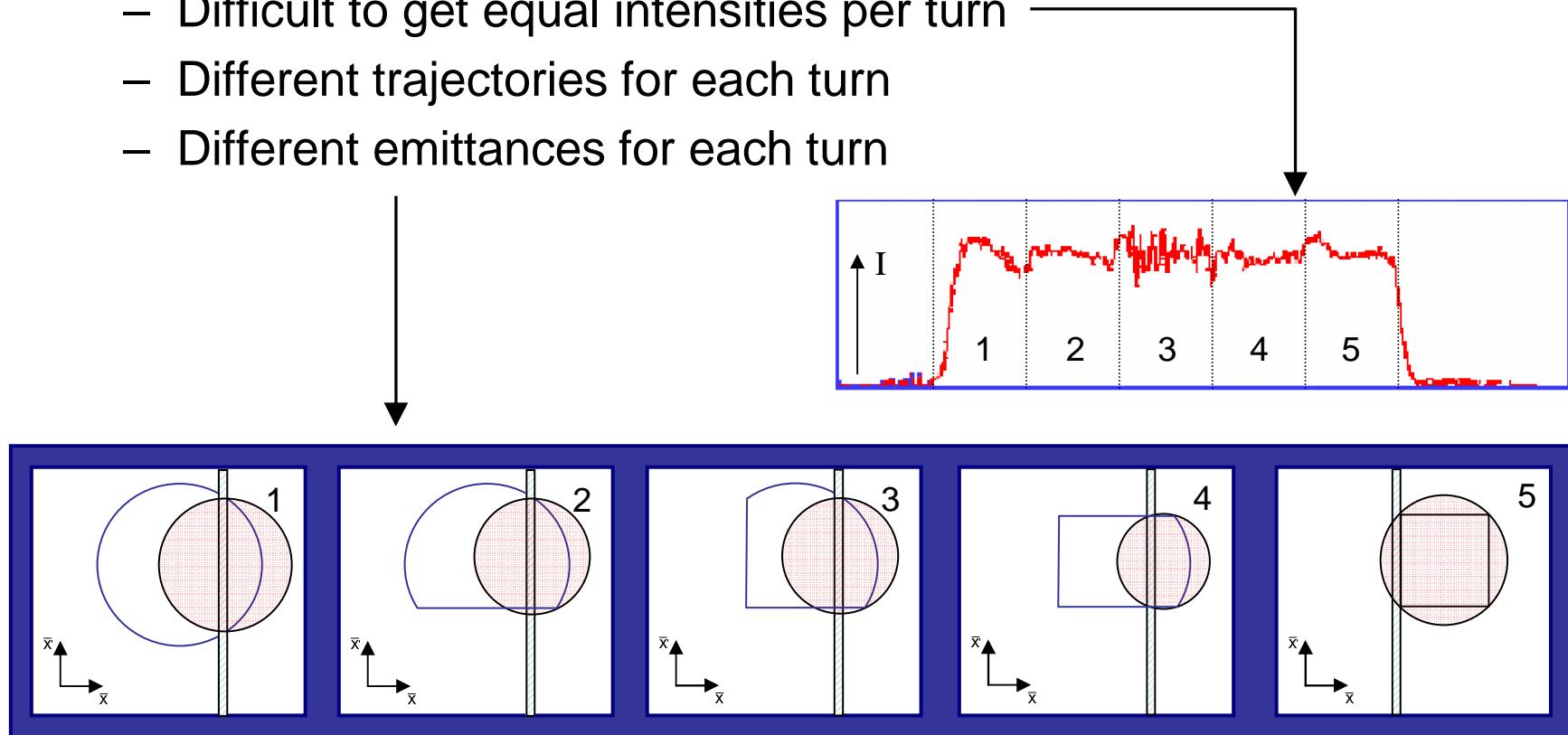
# Non-resonant multi-turn extraction

CERN PS to SPS: 5-turn continuous transfer – 5<sup>th</sup> turn

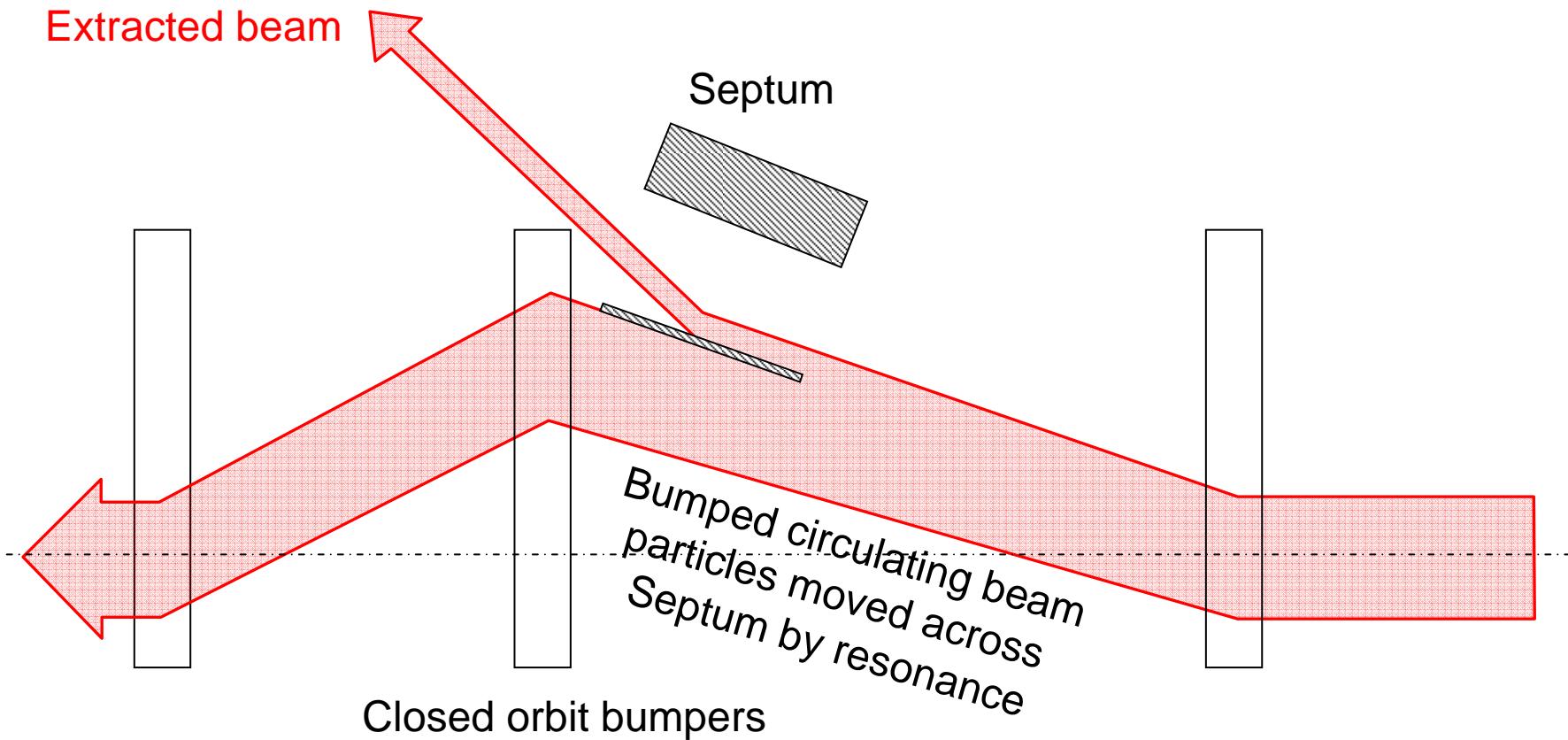


# Non-resonant multi-turn extraction

- CERN PS to SPS: 5-turn continuous transfer
  - Losses impose thin (ES) septum... second septum needed
  - Still about 15 % of beam lost in PS-SPS CT
  - Difficult to get equal intensities per turn
  - Different trajectories for each turn
  - Different emittances for each turn



# Resonant multi-turn extraction

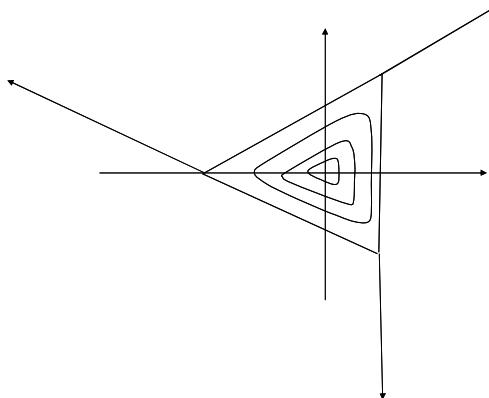


- Slow bumpers move the beam near the septum
- Horizontal tune adjusted closed to  $n^{\text{th}}$  order betatron resonance
- Multipole magnets excited to define stable area in phase space, size depends on  $\Delta Q = Q - Q_r$

# Resonant multi-turn extraction

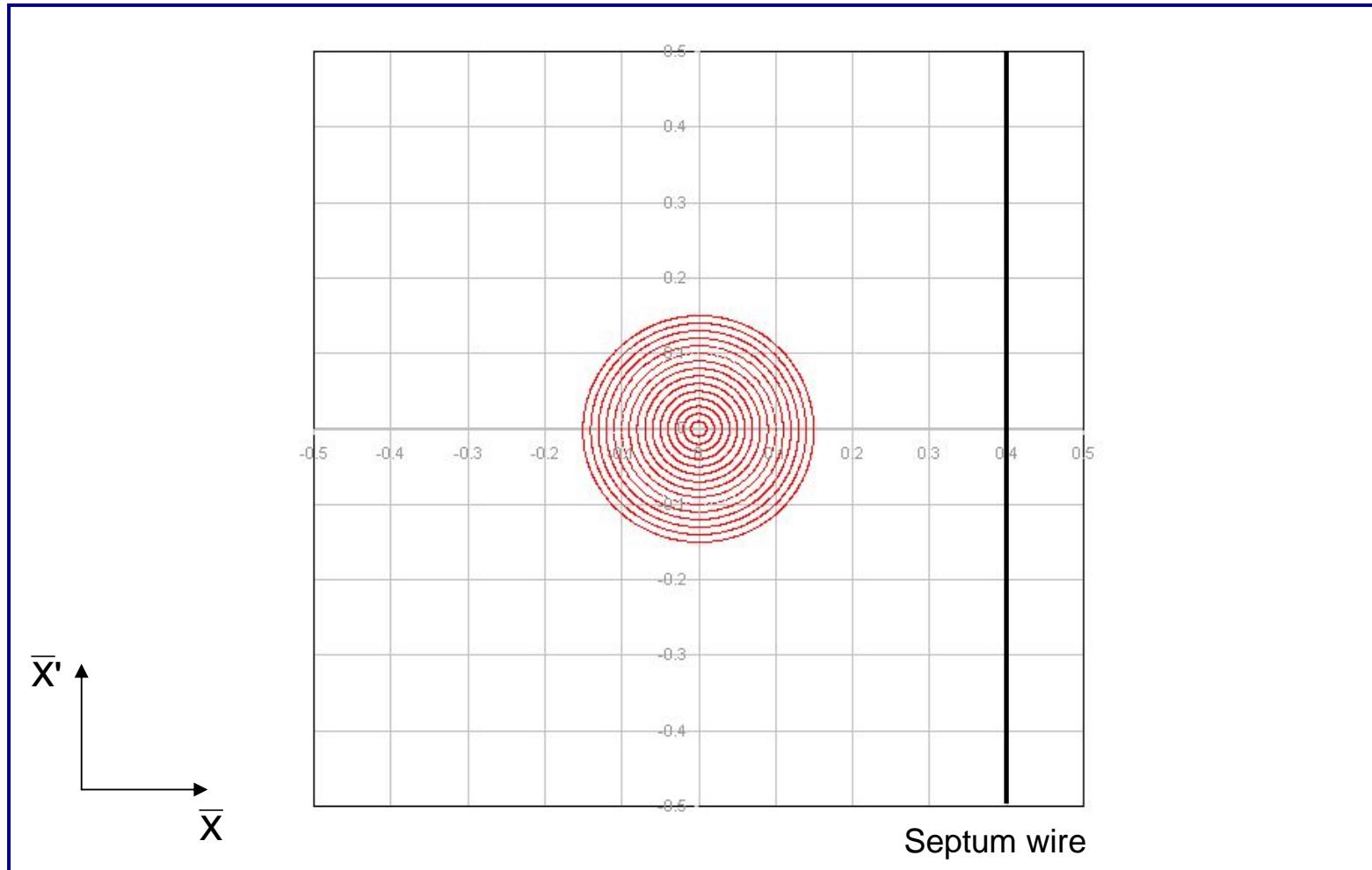
- 3<sup>rd</sup> order resonances – Lecture from O.B.
  - Sextupole fields distort the circular normalised phase space particle trajectories.
  - Stable area defined, delimited by unstable Fixed Points.

$$R_{fp}^{1/2} \propto \Delta Q \cdot \frac{1}{k_2}$$



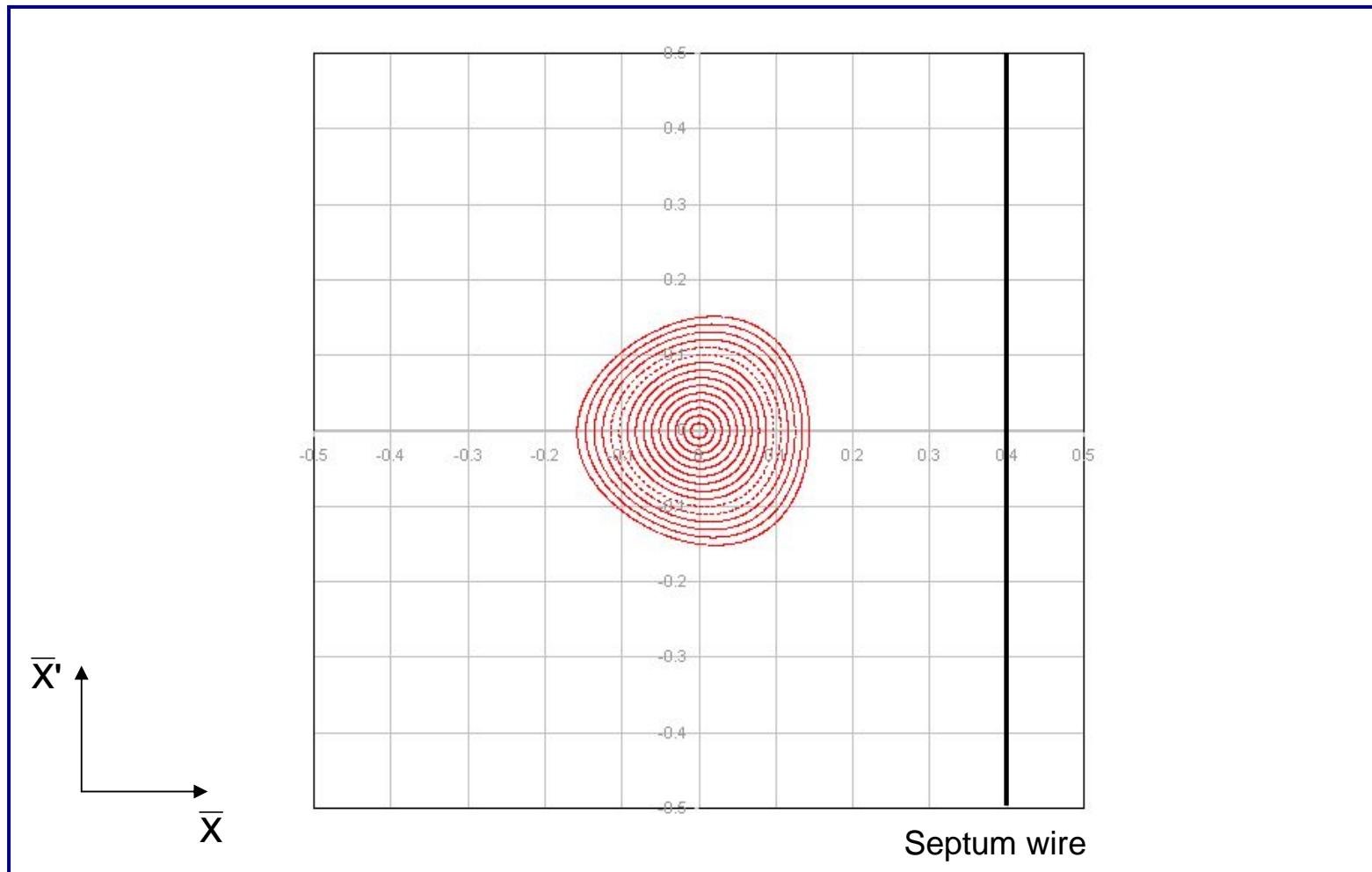
- Sextupoles families arranged to produce suitable phase space orientation of the stable triangle at thin electrostatic septum
- Stable area can be reduced by increasing the sextupole strength, or (easier) by approaching  $Q_h$  to the resonant 1/3 integer tune
- Reducing  $\Delta Q$  with main machine quadrupoles can be augmented with a ‘servo’ quadrupole, which can modulate  $\Delta Q$  in a servo loop, acting on a measurement of the spill intensity

# Third-order resonant extraction



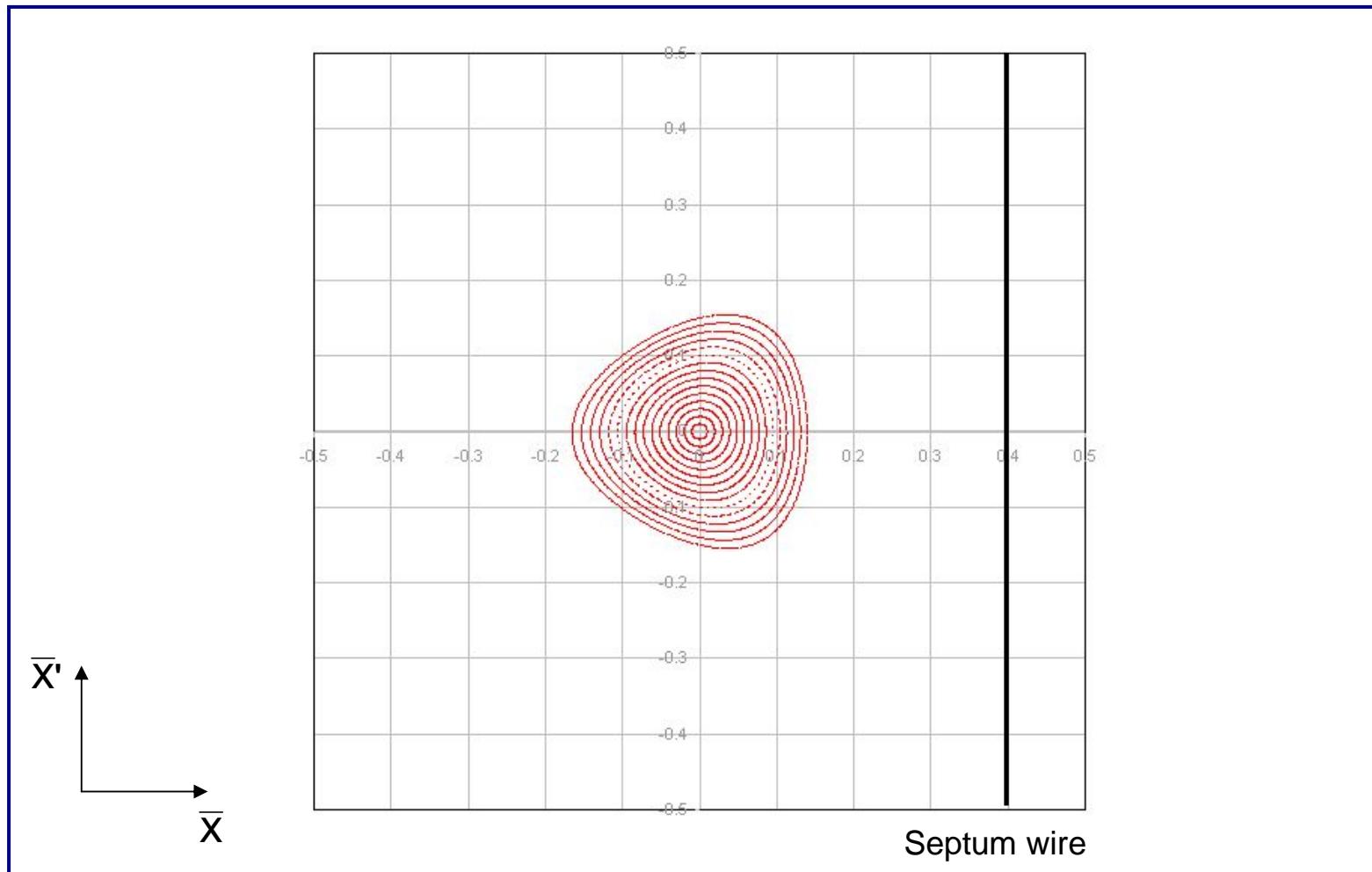
- Particles distributed on emittance contours
- $\Delta Q$  large – no phase space distortion

# Third-order resonant extraction

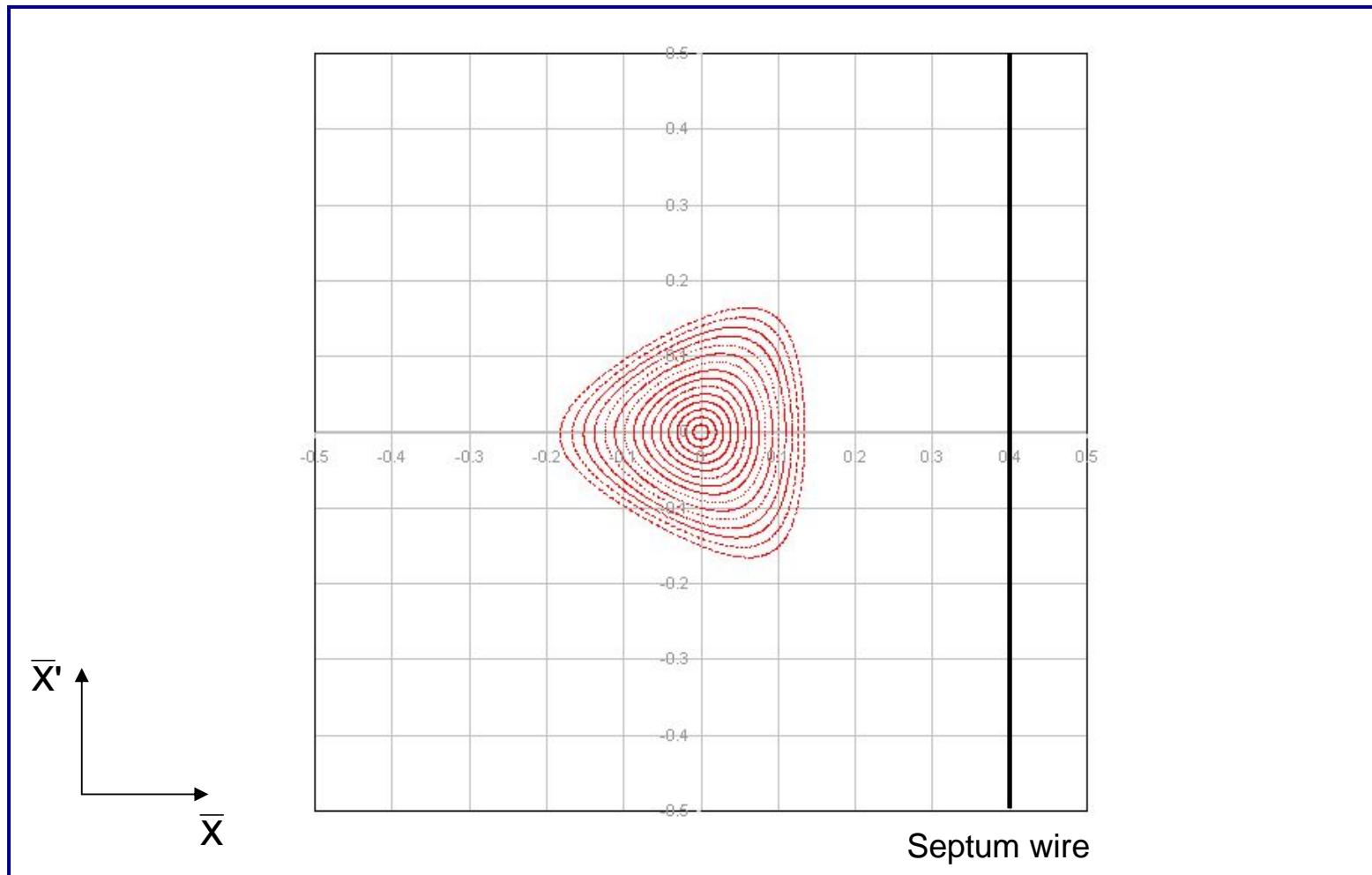


- Dedicated sextupole magnets produce a triangular stable area in phase space
- $\Delta Q$  decreasing – phase space distortion for largest amplitudes

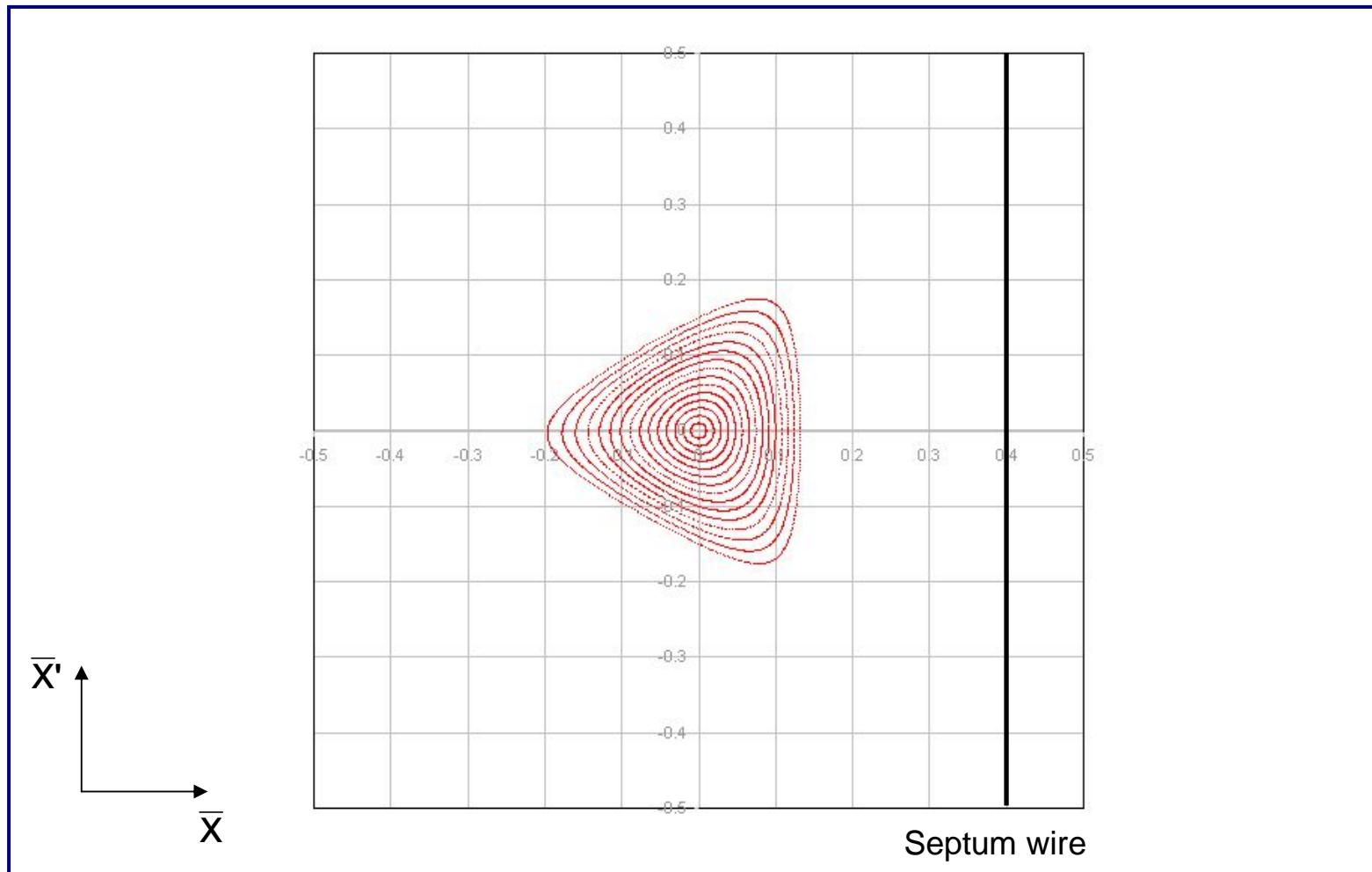
# Third-order resonant extraction



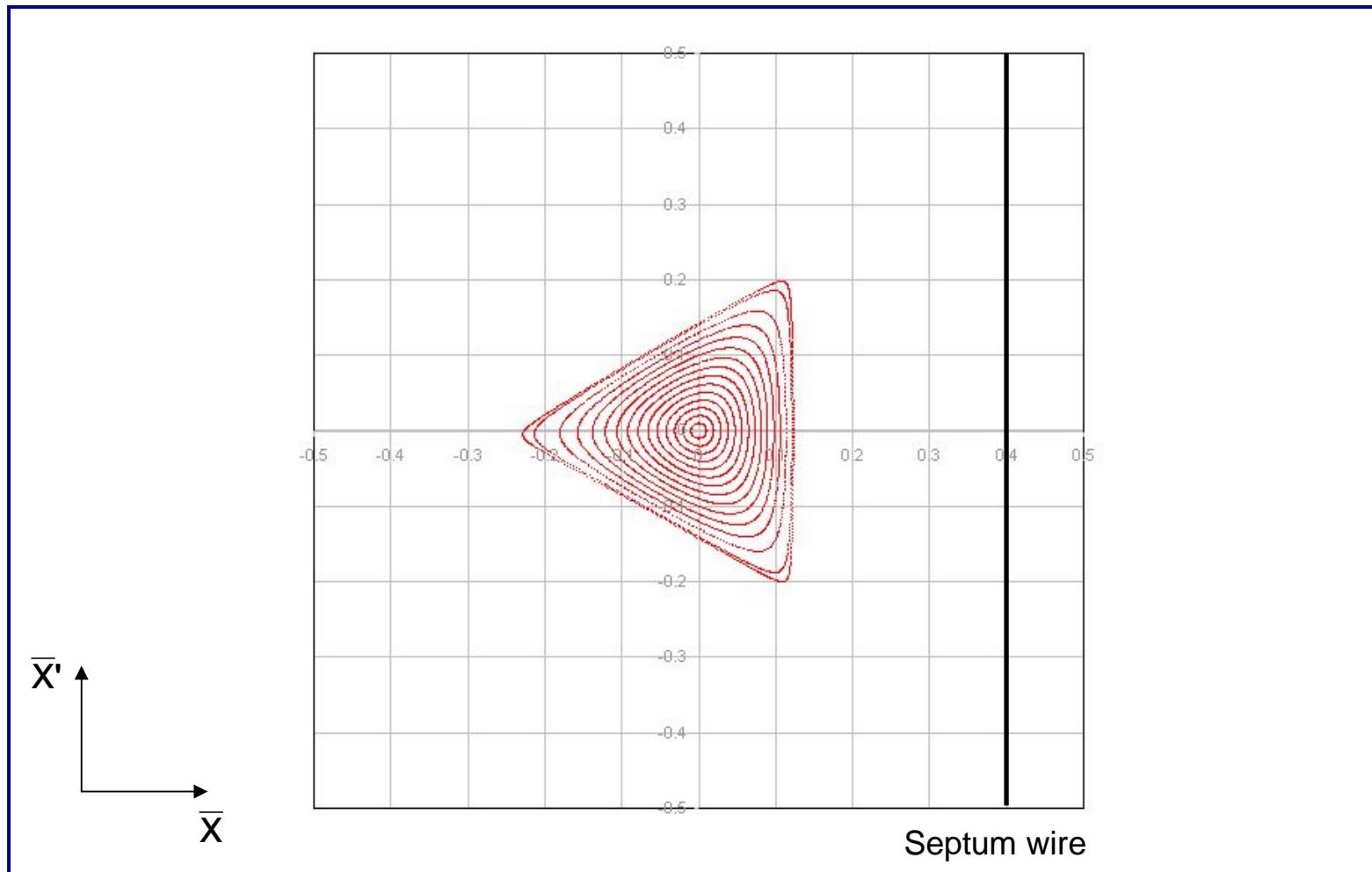
# Third-order resonant extraction



# Third-order resonant extraction

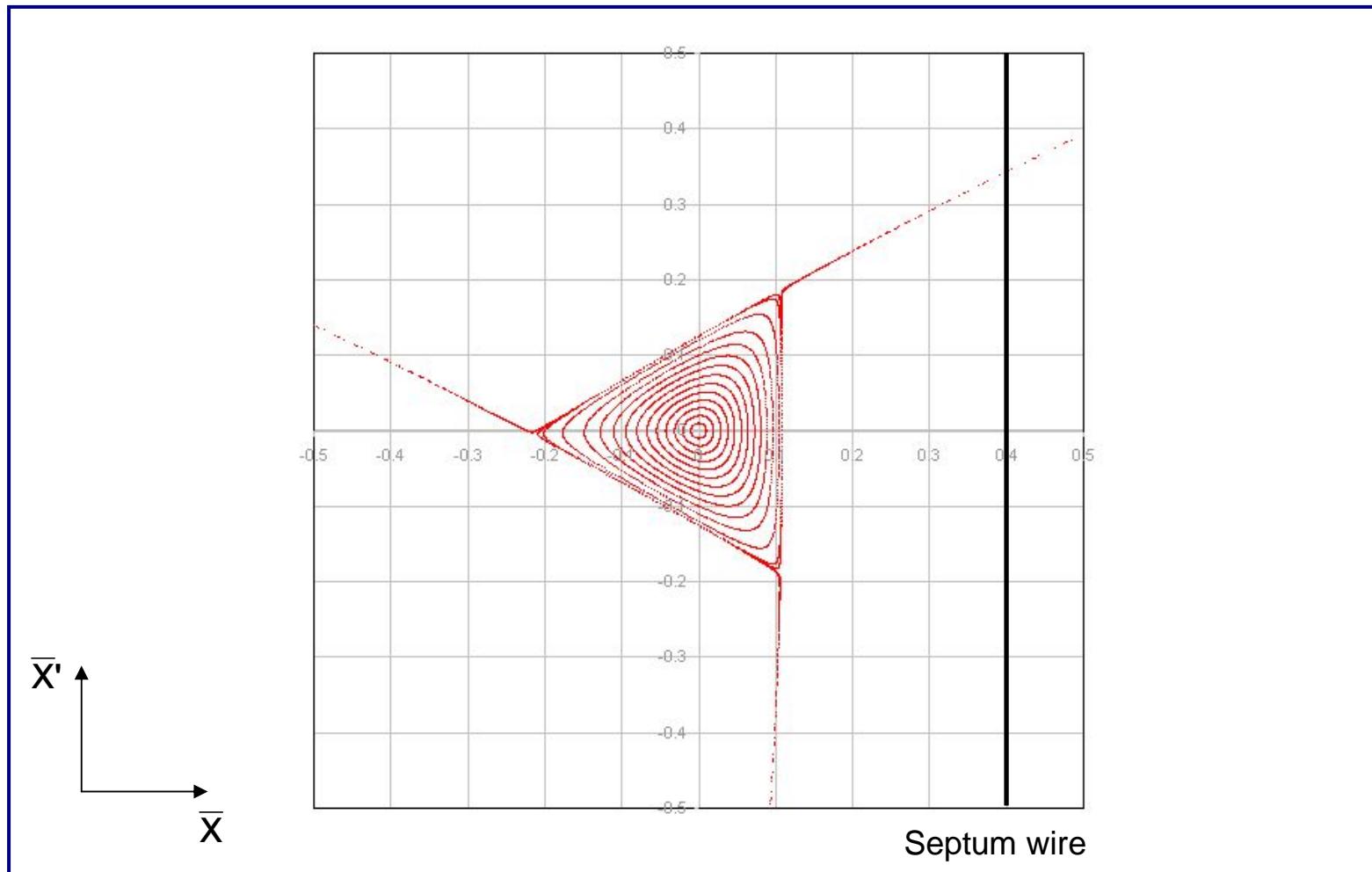


# Third-order resonant extraction



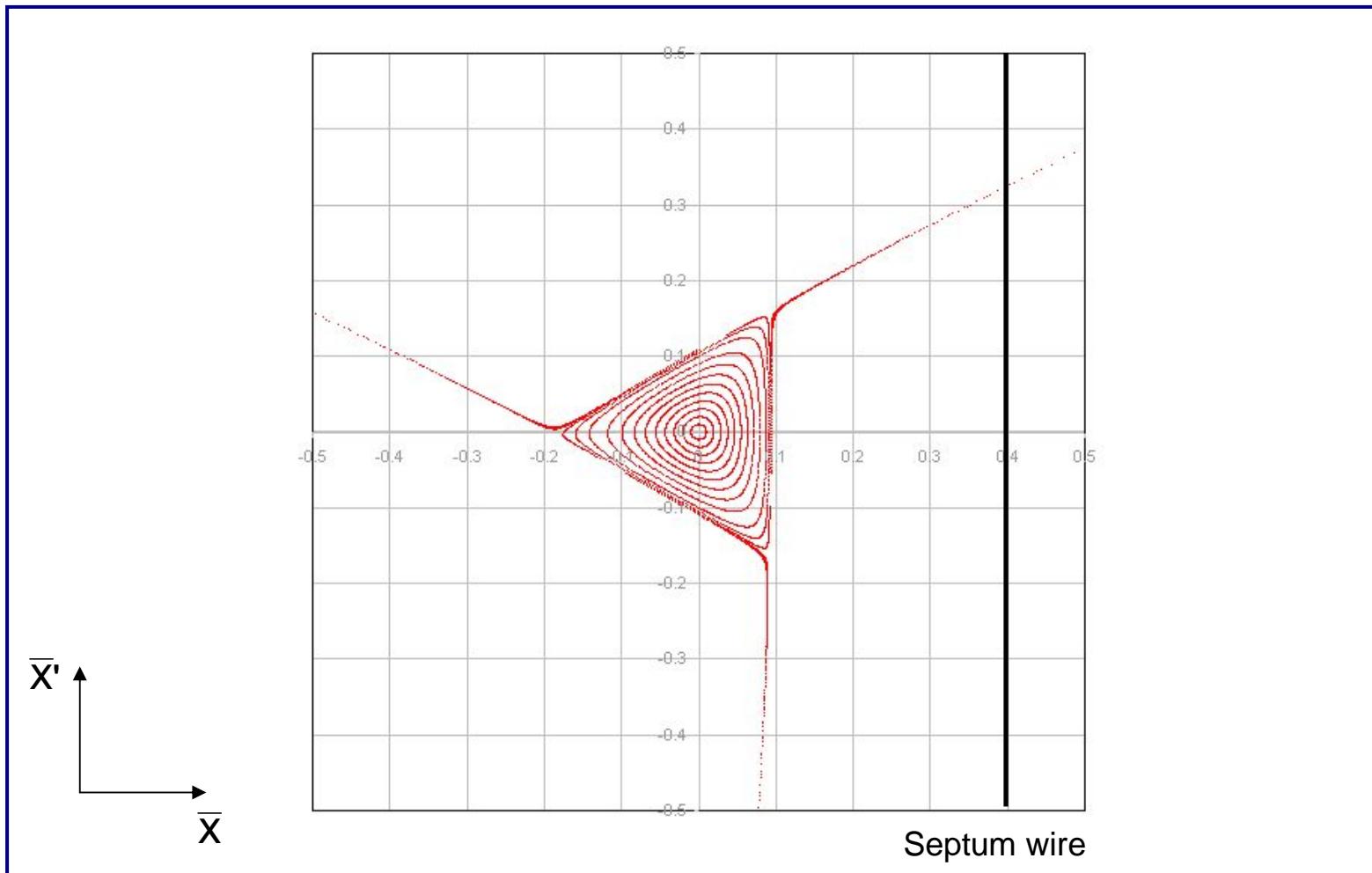
- $\Delta Q$  small enough that largest amplitude particles are close to the separatrices
- Fixed points locations discernable at extremities of phase space triangle

# Third-order resonant extraction



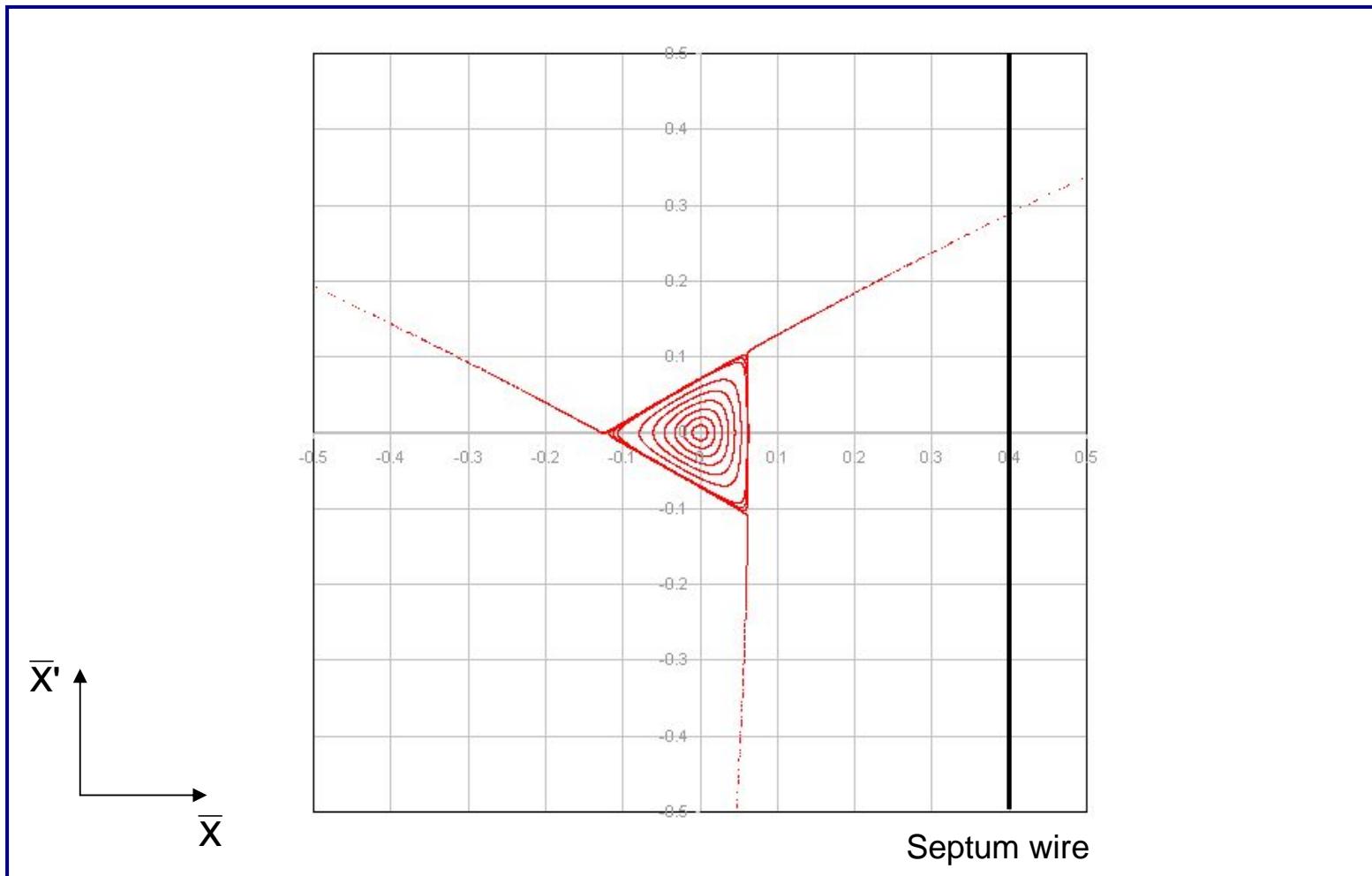
- $\Delta Q$  now small enough that largest amplitude particles are unstable
- Unstable particles follow separatrix branches as they increase in amplitude

# Third-order resonant extraction



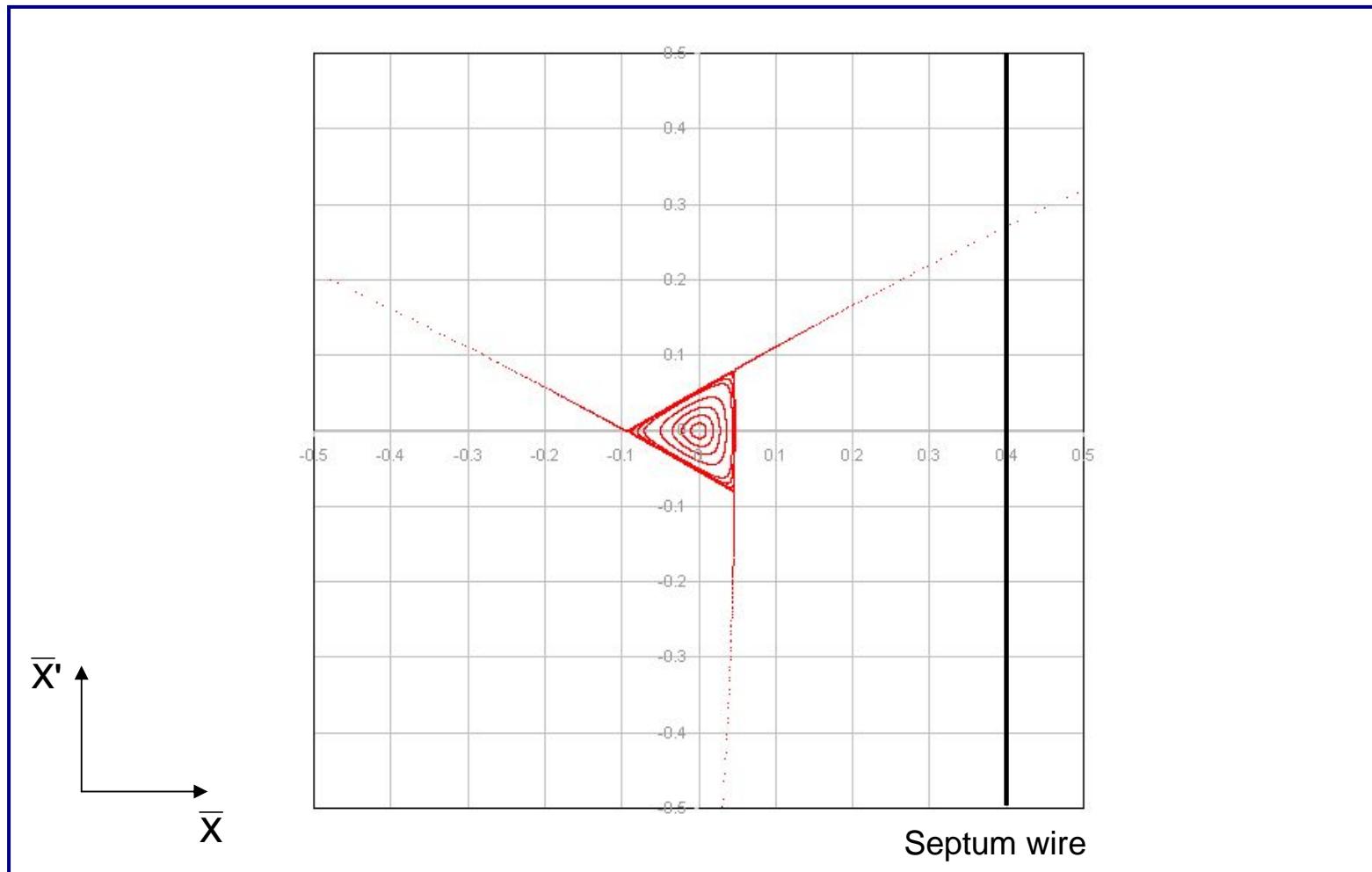
- Stable phase area shrinks as  $\Delta Q$  gets smaller

# Third-order resonant extraction



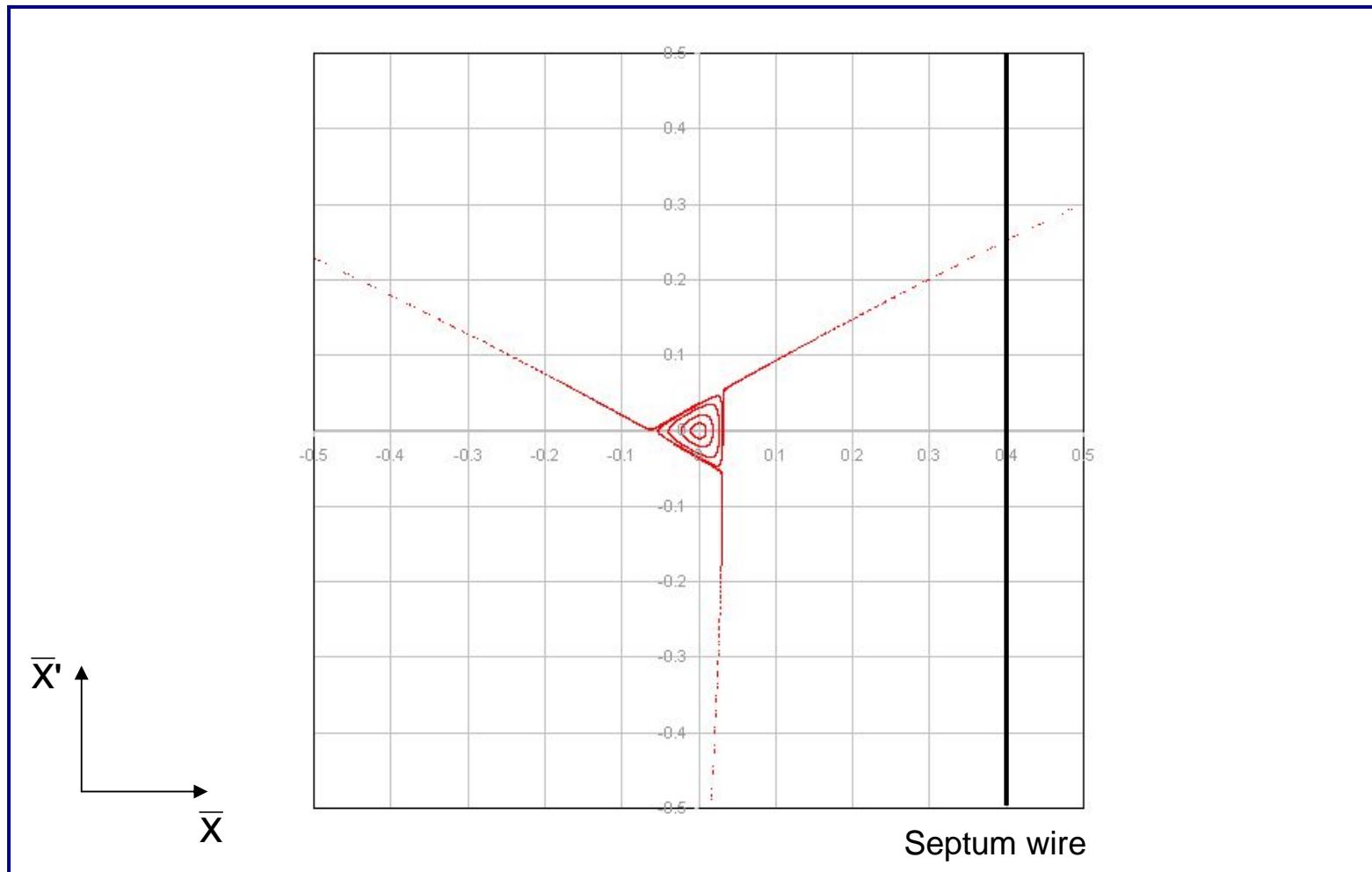
- Separatrix position in phase space shifts as the stable area shrinks

# Third-order resonant extraction

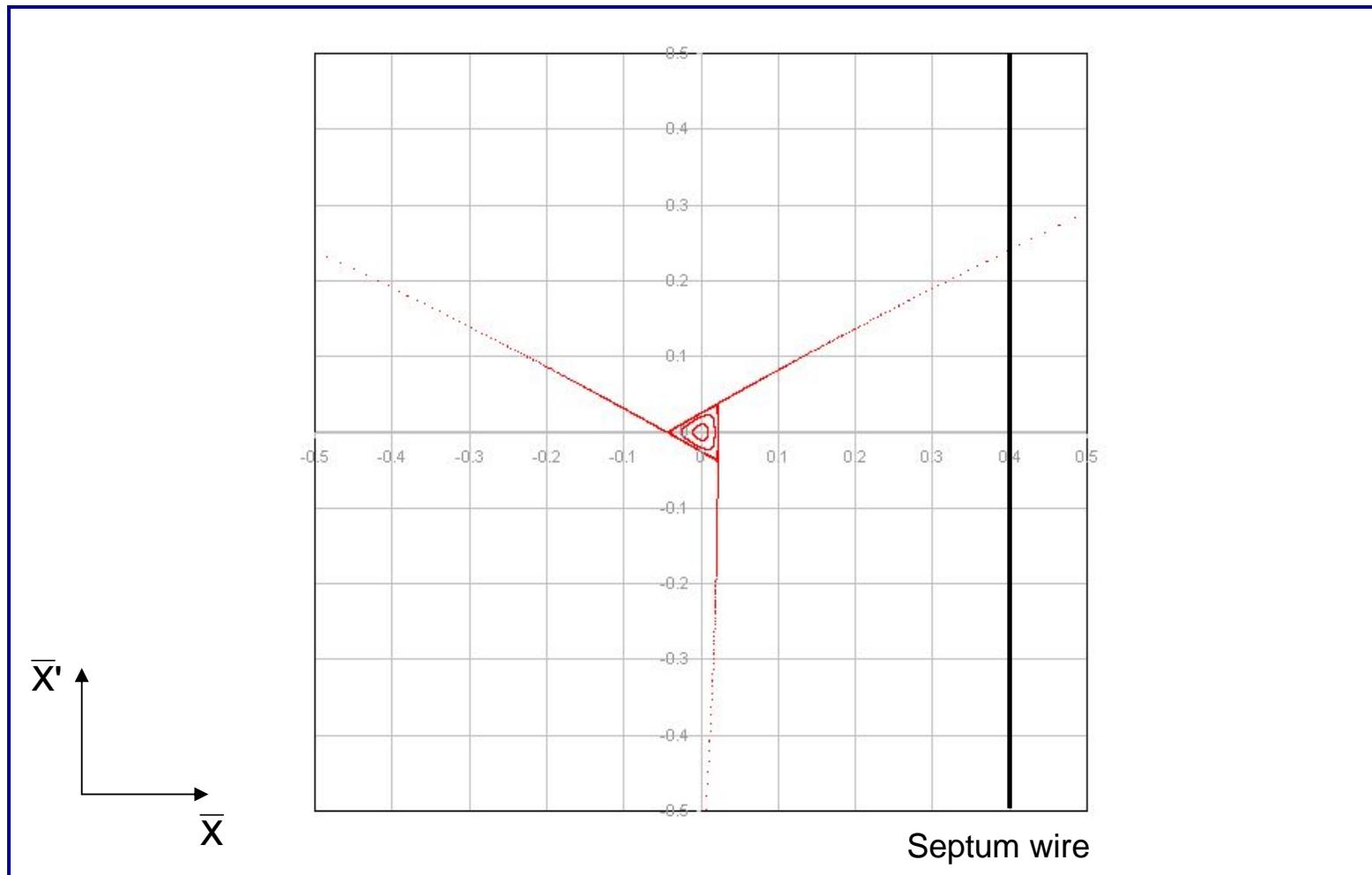


- As the stable area shrinks, the beam intensity drops since particles are being continuously extracted

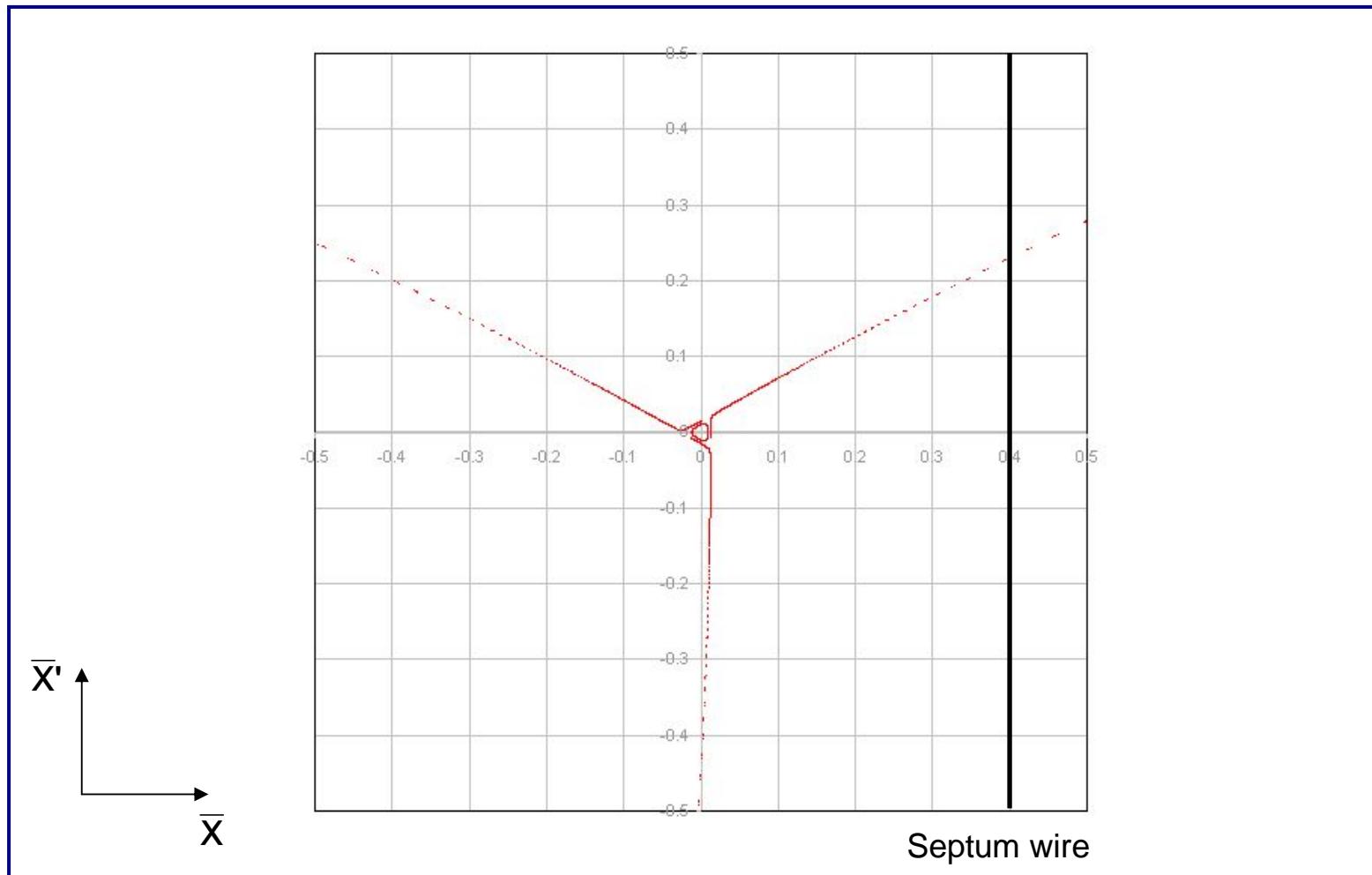
# Third-order resonant extraction



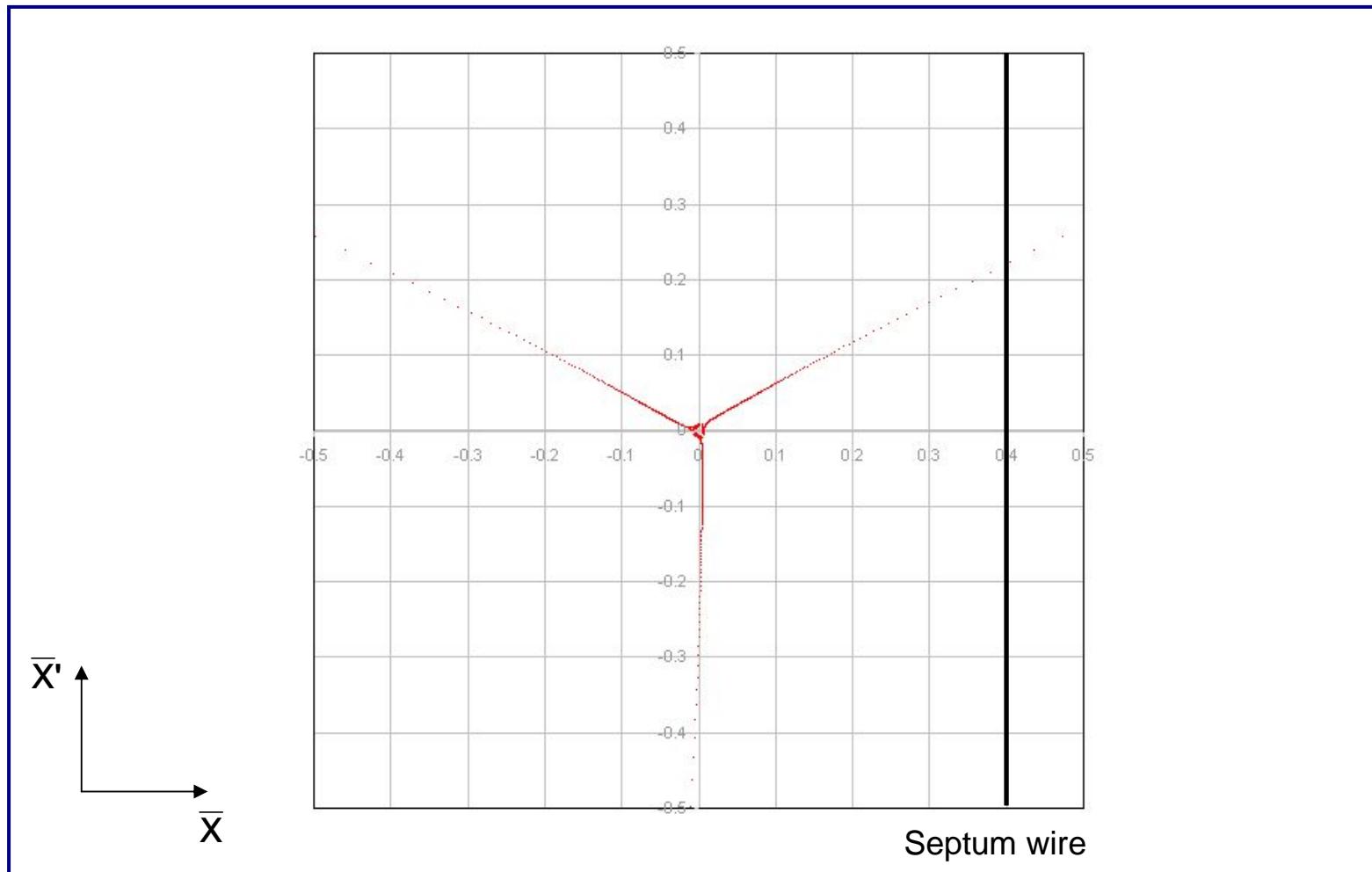
# Third-order resonant extraction



# Third-order resonant extraction



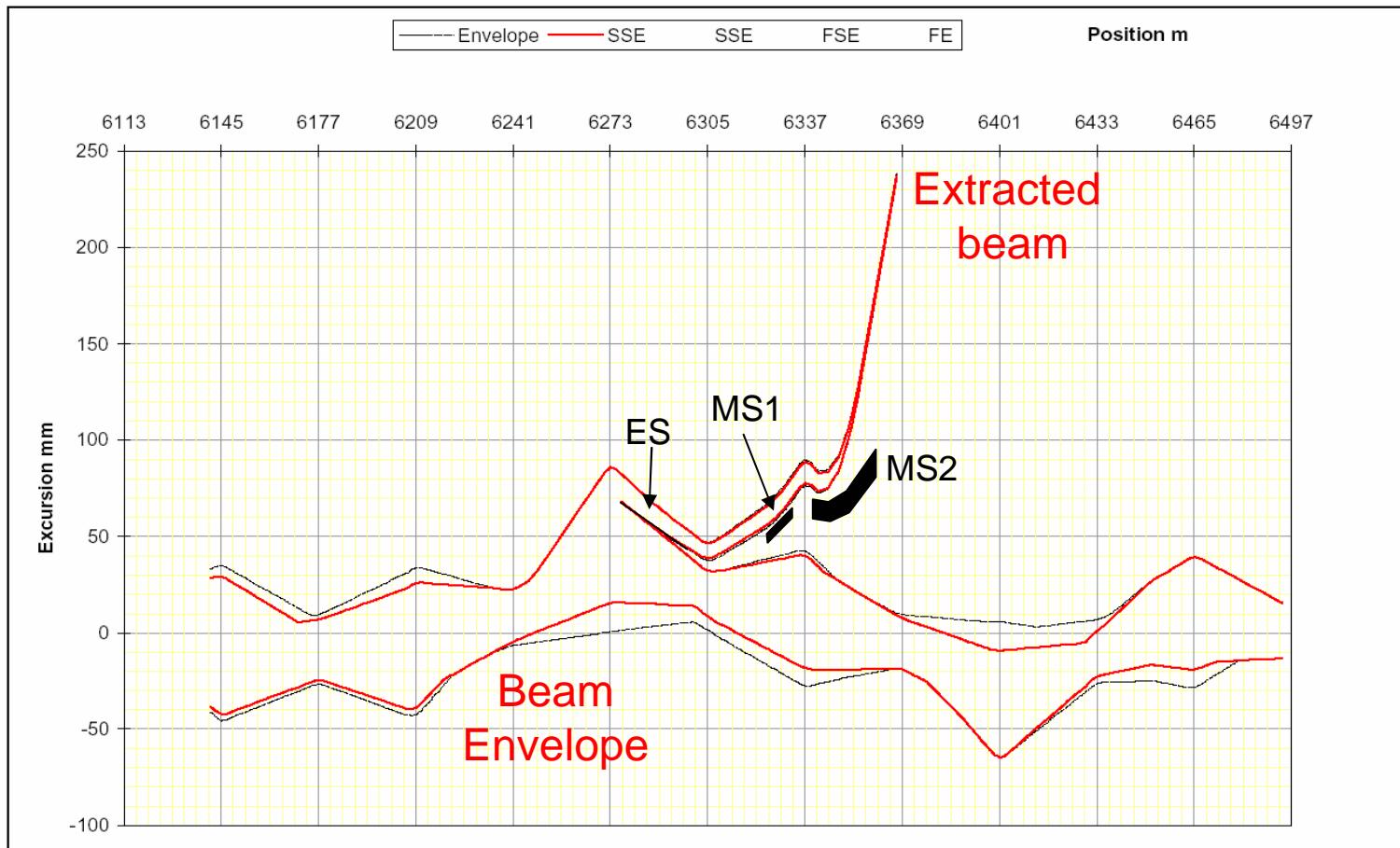
# Third-order resonant extraction



- As  $\Delta Q$  approaches zero, the particles with very small amplitude are extracted.

# Third-order resonant extraction

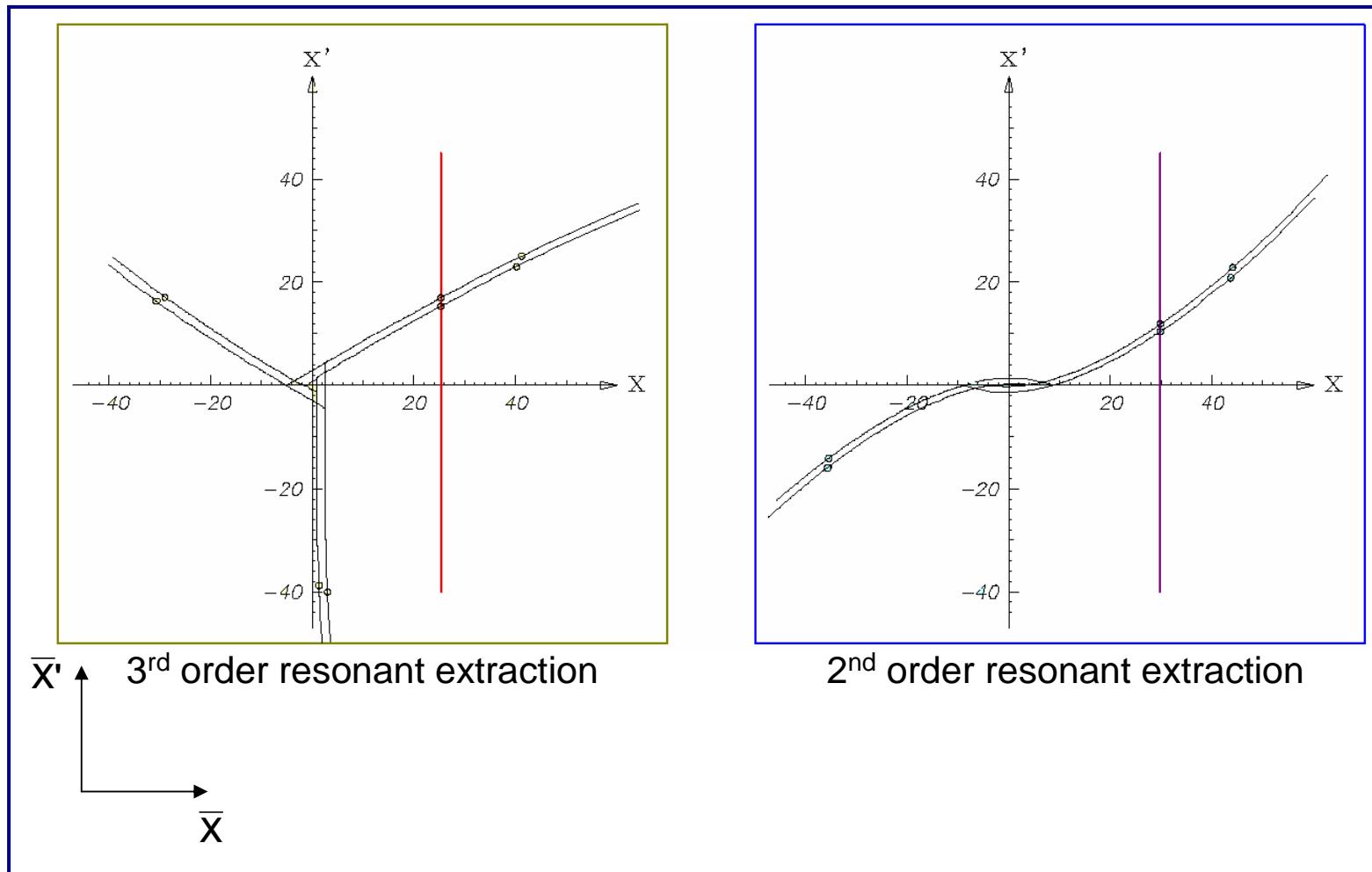
System example – SPS slow extraction at 450 GeV/c.  
~ $3 \times 10^{13}$  p+ extracted in a 2 second long spill (100,000 turns)



# Second-order resonant extraction

- 2<sup>nd</sup> and 4<sup>th</sup> order resonances – Lecture from O.B.
  - Octupole fields distort the regular phase space particle trajectories.
  - Stable area defined, delimited by two unstable Fixed Points.
  - Beam tune brought across a 2<sup>nd</sup> order resonance ( $Q \rightarrow 0.5$ )
  - Particle amplitudes quickly grow and beam is extracted in a few hundred turns.

# Second-order resonant extraction

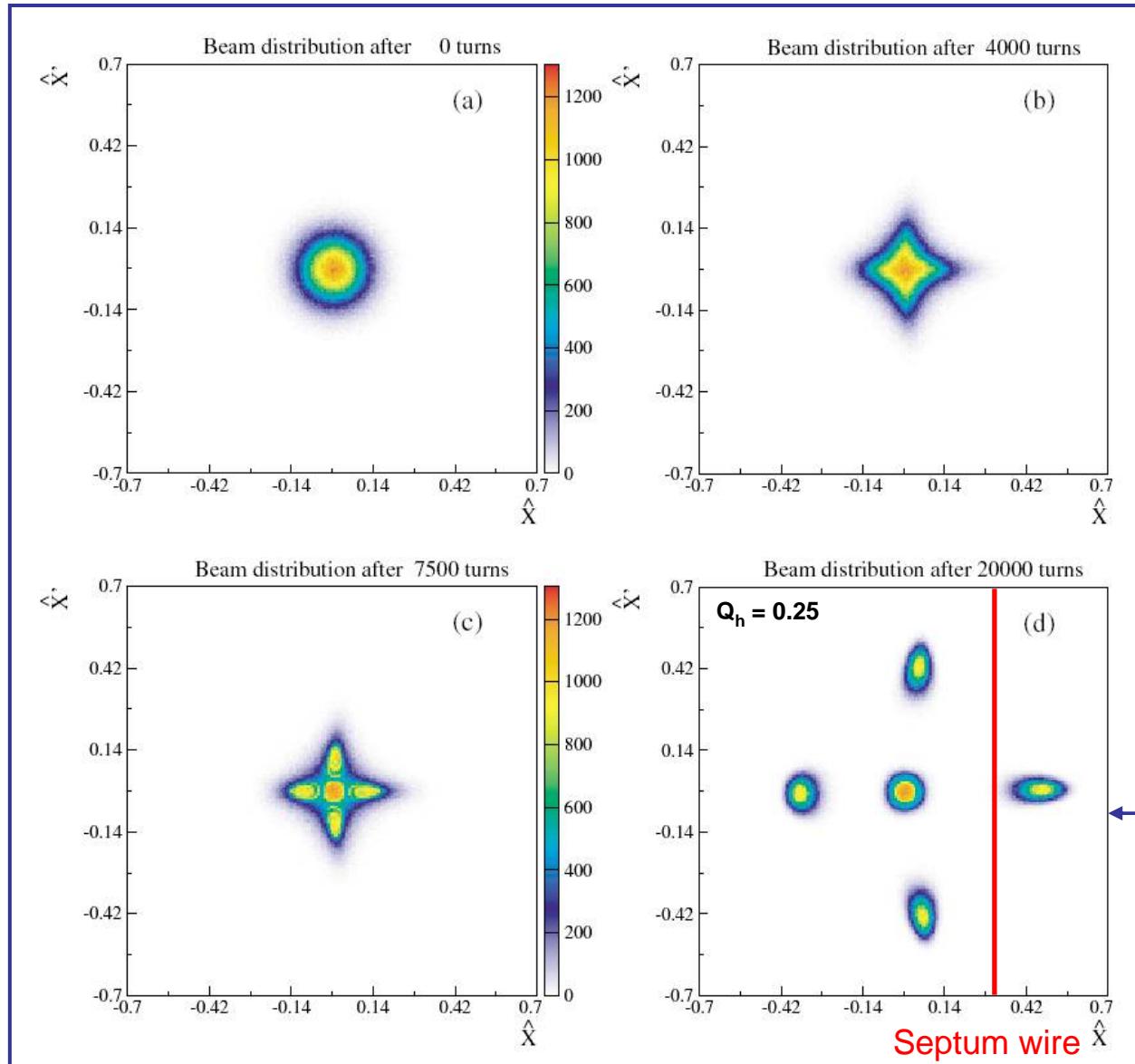


- Amplitude growth much faster than 3<sup>rd</sup> order resonance – much shorter spill
- Used where intense pulses are required on target – e.g. neutrino production

# Resonant low-loss multi-turn extraction

- Adiabatic capture of beam in stable islands
  - Use non-linear fields (sextupoles and octupoles) to create islands of stability in phase space
  - A slow (adiabatic) tune variation to cross a resonance and to drive particles into the islands (capture)
  - Variation of field strengths to separate the islands in phase space

# Resonant low-loss multi-turn extraction



- a. Unperturbed beam
- b. Increasing non-linear fields
- c. Beam captured in stable islands
- d. Islands separated and beam bumped across septum – extracted in 5 turns

# Resonant low-loss multi-turn extraction

- Several big advantages
  - Losses reduced virtually to zero (no particles at the septum)
  - Phase space matching improved with respect to existing non-resonant multi-turn extraction - all ‘beamlets’ have same emittance and optical parameters
- Being implemented in CERN PS – SPS
  - High intensity beam for neutrino experiment in SPS / Gran Sasso would produce too many losses with present CT
  - Only possibility to increase extracted beam intensity

# Extraction - summary

- Kickers, septa and bumpers elements used.
- Single-turn fast extraction for Boxcar stacking (transfer between machines in accelerator chain), beam abort
- Non-resonant multi-turn extraction: slice beam into equal parts for transfer between machine over a few turns.
- Resonant multi-turn extraction: create stable area in phase space  $\Rightarrow$  slowly drive particles into resonance  $\Rightarrow$  long spill over many thousand turns.
- Resonant low-loss multi-turn extraction: create stable islands in phase space: slice off over a few turns.