#### CS5800 Module 2

#### How Algorithms Can Make Differences To Any Problem

Multiple Ways of Computing Fibonacci Numbers

#### Fibonacci Numbers

- $Fib_0 = 0, Fib_1 = 1$
- $Fib_n = Fib_{n-1} + Fib_{n-2}$  for any integer  $n \ge 2$
- 0, 1, \_\_, \_\_, \_\_, \_\_, \_\_, ...

#### Lesson Objectives

- Calculate correct Fibonacci numbers manually
- Write recursive and iterative algorithms to calculate arbitrary Fibonacci numbers
- Distinguish time complexities of recursive and iterative Fibonacci calculation algorithms
- Argue what makes recursive Fibonacci calculation algorithm very slow



1/2



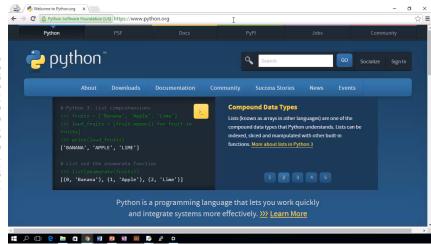
#### Simple (Naive) Fibonacci Computation Algorithm

- How did you find  $Fib_{15}$  in the previous quiz problem?
- Will the following simple Fibonacci computation code be good enough for finding  $Fib_{50}$ ?

```
# Simple Python code to compute/print Fib 50.
# (should be readable to everyone)
def fib(n):
    if n <= 1:
        return n
    return fib (n-1) + fib (n-2)
print fib(50)
```

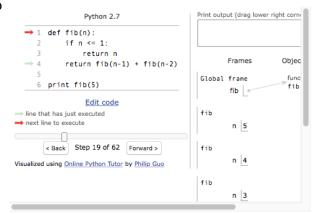


# Recursive Fibonacci Code Screencast



#### Why So Slow?

- How recursive calls are executed?
- Did you see how many times fib(3) was called?
- How about fib(2)? fib(1)? fib(0)?
- Edit code by changing 5 in fib(5) and check # steps.



#### **Unnecessary Redundant Work**

- When computing  $Fib_{50}$ ,
  - How many times fib(50) was called?
  - How many times fib(49) was called?
  - How many times fib(48) was called?
  - How many times fib(47) was called?
  - How many times fib(46) was called?
  - How many times fib(45) was called?
  - ..
  - How many times fib(3) was called?
  - How many times fib(2) was called?

#### Can We Do Any Better?

- How did you find  $Fib_{15}$  in the Checkpoint Quiz?
- Can you implement that idea in code?
- Check the interactive codes in the next slides
  - Click 'Visualize Execution' to visualize/trace the algorithm's execution.
  - Trace each execution by clicking Forward. Make sure to think about what the next step does before clicking Forward.
  - Compare the two different numbers of steps for the same n.
    - Click 'Edit Code', replace 5 in 'print fib(5)' with another number, and click 'Visualize Execution' again to see the new number of steps for the different n.

#### Analysis of Recursive Fibonacci

• *T*(*n*): # execution steps when calling fib(n)

• "Execution steps" include comparisons, arithmetic operations (e.g., +), assignments, return, ... . It may not be the same as # lines of code.

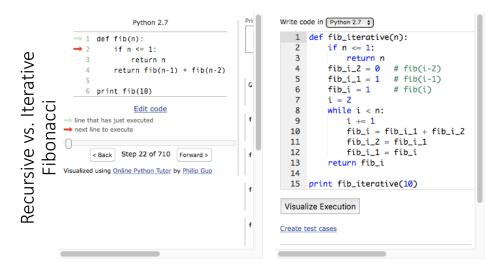
```
• T(0)? T(1)? def fib(n):

• For n \ge 2, T(n)? if n <= 1:

• return n

• return fib(n-1) + fib(n-2)
```

- An equation like above is called a recurrence relation.
- Closed form solution (non-recurrence):



#### Analysis of Iterative Fibonacci

- T(0), T(1) are the same as recursive.
- For  $n \ge 2$ , the while loop iterates exactly n-2 times
  - T(n) =
- Asymptotic notation for T(n)

```
def fib_iterative(n):
    if n <= 1:
        return n

    fib_i_2 = 0  # fib(i-2)
    fib_i_1 = 1  # fib(i-1)
    fib_i = 1  # fib(i)
    i = 2
    while i < n:
        i += 1
        fib_i = fib_i_1 + fib_i_2
        fib_i_2 = fib_i_1
        fib_i_1 = fib_i
    return fib_i</pre>
```

#### Are All Recursive Algorithms Bad?

- Fibonacci is an extreme case
- Usually same time complexity
  - But still bigger coefficient
- Higher space complexity
  - Iterative is usually O(1), whereas recursive is usually O(n).
  - If memory is limited, can't use recursive.
- On the other hand, recursive algorithm can be:
  - Easier to understand
  - Smaller code: Iterative code can be extremely complicated in many cases
  - Easier to analyze time complexity

## Comparing Growths of Linear Time and Exponential Time Complexities

• Assuming each execution step takes  $1\mu s$  (microsecond =  $10^{-6}$ s, frequently typed as 'us'),  $T_{rec}(n)=2^n$  and  $T_{iter}(n)=10000n$  (some big coefficient),

| n              | 5 | 10 | 20 | 30 | 40 | 50 | 100 | 1000 | 10000 |
|----------------|---|----|----|----|----|----|-----|------|-------|
| Iter. time     |   |    |    |    |    |    |     |      |       |
| Recur.<br>time |   |    |    |    |    |    |     |      |       |

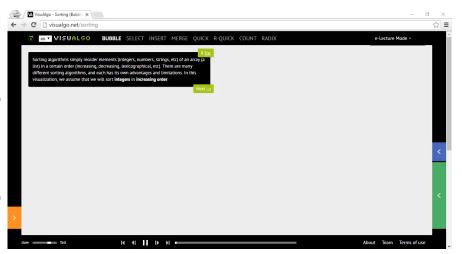
- See for yourself by computing/printing first 50 Fibonacci numbers using fib\_iterative(n)
- Which algorithm would you use? Can we do better?

# Time Complexities of Quadratic Sorting Algorithms

Selection, Insertion, Bubble Sorts

#### **Lesson Objectives**

- Utilize algorithm visualization tool to understand how well-known elementary sorting algorithms work
- Utilize algorithm visualization tool to gain understanding on time complexities of well-known elementary sorting algorithms
- Task: Make sure to click the link in the following page and experiment as instructed.
  - There's also a screencast introducing the algorithm visualization tool



#### VisuAlgo.Net/sorting

- Click the link above and do the following experiments:
- For each 'BUBBLE', 'SELECT', 'INSERT', do the following:
  - Click Create. Then for each 'Random', 'Sorted-Increasing', 'Sorted-Decreasing':
     Click 'Sort-Go'.
- See how each algorithm works visually
  - There are checkpoint guiz problems about the sorting algorithms
- Think about time complexity of each case
  - "Complexity": Since we are not using the raw running time, the word "complexity" is used to refer to the performant nature of an algorithm (its difficulty)
  - Complexity is always stated in asymptotic notation (big-Oh, Omega, Theta)

#### Time Complexities of Quadratic Sorts

- "Quadratic" because their worst-case time complexities are all  $O(n^2)$ .
- We saw in Module 1 that in fact, selection sort is  $\Theta(n^2)$ !
  - Its best-case time complexity is still  $\Omega(n^2)$ .
- What is the best case time complexity for bubble & insertion sort?
- Can you prove formally your claim for the question above?
- Can we do better?

/isuAlgo.Net/sorting Screencast

#### Merge Sort And Intro To Divide-And-Conquer

Improving Sorting Performance From Quadratic To Linear-Logarithmic

#### **Sub-Problem Property**

- Recall selection sort:
  - After the first pass, we got a smaller problem of the same type (sorting).
    - · Sorting an array with one fewer items, though the indexing structure is different.
  - Or we could say that we deliberately seek to reduce the original problem into a smaller sub-problem and the related reduction process.
    - In this case, the reduction process is a pre-process of finding the smallest and swapping it with the first entry.
  - Very similar nature in bubble sort: Reducing problem size by one every pass
- Can we think of a different sub-problem structure and reduction?
  - If we are reducing the problem size by one, why not reducing bigger?
  - How about dividing the original problem by halves?

#### Lesson Objectives

- Identify the result of merging given two sorted subarrays, by applying the merge operation correctly
- Write the merge sort pseudocode fluently
- Derive merge sort's asymptotic time complexity by establishing and solving a recurrence relation

#### Merge Sort: Divide-And-Conquer Sorting

- Divide the original array into two halves.
  - Sort each half.
    - Need to use recursion. Recall the recursive algorithm for Fibonacci calculation.
    - For now, do not consider jumping into the recursive calls.
      - Just assume that the recursive call returned with the sorted sub-array (half).
- Then merge the two sorted halves. E.g.:

Sorted first half: 11, 33, 44, 66, 88
Sorted second half: 22, 35, 40, 77, 80

- Merging two sorted subarrays:
- CLRS Section 2.3 Designing Algorithms



Code: Merge Sort

• Top-level call is MERGE-SORT(A, 1, A.length) for  $A = \langle A[1], A[2], ..., A[n] \rangle$  where A.length = n.

• What's difficult is MERGE(A, p, q, r)

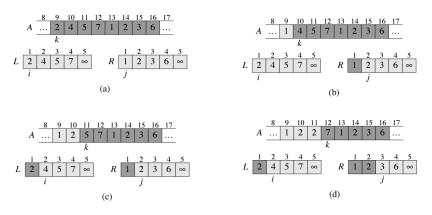
MERGE-SORT(A)

1 MERGE-SORT(A, 1, A.length)

Code: Merge (CLRS pp. 31)

MERGE(A, p, q, r) $1 \quad n_1 = q - p + 1$  $n_2 = r - q$ let  $L[1...n_1 + 1]$  and  $R[1...n_2 + 1]$  be new arrays for i = 1 to  $n_1$ 5 L[i] = A[p+i-1]for j = 1 to  $n_2$ R[j] = A[q+j] $L[n_1 + 1] = \infty$  $R[n_2+1]=\infty$ 10 i = 111 i = 112 for k = p to r13 if  $L[i] \leq R[j]$ 14 A[k] = L[i]15 i = i + 116 else A[k] = R[j]17 j = j + 1

#### Merge Operations (CLRS Fig. 2.3 in pp. 32)



#### Sidebar: MERGE() Implementation

- What if we can't depend on the availability of ∞?
- Creating "new arrays" in Line 3 of MERGE(A,p,q,r) is computationally expensive. How can we avoid creating new arrays every time MERGE() is called?
- Can you re-implement MERGE(A,p,q,r) with the two constraints above?
  - This is a homework problem, and may be an exam problem!

### Solving $T(n) = 2T\left(\frac{n}{2}\right) + cn$

• Expansion method:

• Solution: T(n) =

#### Analysis of Merge Sort

MERGE-SORT(A, p, r)1 if p < r2  $q = \lfloor (p + r)/2 \rfloor$ 3 MERGE-SORT(A, p, q)4 MERGE-SORT(A, q + 1, r)5 MERGE(A, p, q, r)

• Straightforward top-level recurrence for  $T_{MergeSort}(n)$ :

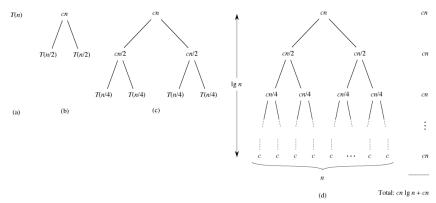
$$T_{MergeSort}(n) = 2T_{MergeSort}\left(\frac{n}{2}\right) + T_{Merge}(n) + c_1$$

- Of course  $T_{MergeSort}(0) = T_{Merge}(0) = c_2$  (all  $c_i$ 's are some constants)
- What is  $T_{Merge}(n)$ ?
  - Counting the number of steps executed in MERGE(A,p,q,r) when r-p+1=n, we get:

$$T_{Merge}(n) = c_3 n + c_4$$

• Therefore,  $T_{MergeSort}(n) = 2T_{MergeSort}(\frac{n}{2}) + cn + c'$ 

# Recursion Tree Method for Solving Recurrence (CLRS Fig. 2.5 in pp. 38)



#### Merge Sort vs. Quadratic Sorts

- $\Theta(n\log_2 n)$  merge sort vs.  $O(n^2)$  quadratic (selection, insertion, bubble) sorts
- Assuming each execution step takes  $1\mu s$  (microsecond =  $10^{-6} s$ , frequently typed as 'us'),  $T_{quad}(n)=n^2$  and  $T_{merge}(n)=10000n\log_2 n$  (some big coefficient),

| n                | 10     | 100   | 1000  | 10000 | 100000 | 10 <sup>6</sup> | 10 <sup>7</sup> | 10 <sup>8</sup> |
|------------------|--------|-------|-------|-------|--------|-----------------|-----------------|-----------------|
| n <sup>2</sup>   | 0.1ms  | 0.01s | 1s    | 100s  | ~2.8h  | ~11.6 days      | ~3.17 years     | ~317 years      |
| $10000n\log_2 n$ | ~0.33s | ~6.6s | ~100s | 22m   | ~4.6h  | ~2.3 days       | ~26.7 days      | ~307.6<br>days  |

• Which algorithm would you use? Can we do better?