def find\_cycle(G):
 #make set of all!
 #for each edge, call find\_set(v), find\_set(u)
# if find\_set(v) < findset(u), has cycle
# else union(u, v)</pre>

def find\_cycle(G):
 vetices =
 for i in range(0, vertex):
 make\_set(i)
 for i in range(0, vertex):
 for j in range (0, vertex):
 if a[i, j] =

### Minimum Spanning Tree Overview

A Tree Spanning All Vertices Of A Given Connected Graph With Minimum Total Weight

#### Minimum Spanning Tree (MST) Overview

ullet A motivating example: To interconnect a set of n pins in an electronic circuit.



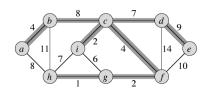
#### Minimum Spanning Tree (MST) Overview

- ullet A motivating example: To interconnect a set of n pins in an electronic circuit
- Given a connected, undirected graph G = (V, E) and a weight w(u, v) for each edge  $(u, v) \in E$ , specifying the cost to connect (or traverse) u and v,
- We want to find an acyclic subset of edges  $T \subseteq E$  that connects all vertices in V and whose total weight w(T) is minimized:

$$w(T) = \sum_{(u,v)\in T} w(u,v)$$

• Since *T* is acyclic and connects all vertices, it must form a tree, which we call a *spanning tree*, and a *minimum*(-weight) *spanning tree*.

#### MST Example (CLRS Fig. 23.1)



- Total weight (minimum) is 37. Shaded edges are tree edges.
- The MST is not necessarily unique
  - Remove (b, c) and add (a, h).
  - You still get a spanning tree, and its edge-weight total is also 37.

#### Strategy To Find an MST

- We actually grow an MST. Of course it's not an MST until it's fully grown. It's just a subgraph of the final MST we'll get.
- Starting from the smallest subset of edges  $A = \emptyset \subseteq T \subseteq E$ ,
  - We grow A (the subgraph that's being grown) to T (a full MST)
  - By adding one edge to A at a time (at each iteration of a loop).
- Surprisingly, there's a greedy choice property that makes this strategy possible.
  - That is, given a subset (of edges) A of an MST, we can always find an edge (u, v) such that  $A \cup \{(u, v)\}$  is still a subset of an MST.
  - This edge (u, v) is called a **safe edge** for A.
    - Since we can add it safely to A while maintaining the invariant (A being a subset of an MST)

#### Generic MST Finding Logic

```
GENERIC-MST(G, w) // G = (V, E), w: E \to \mathbb{R} (edge-weight function)

1 A = \emptyset

2 while A does not form a spanning tree // i.e., while |A| < |G, V| - 1

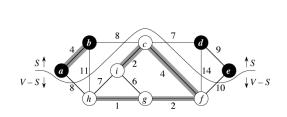
3 find an edge (u, v) that is safe for A

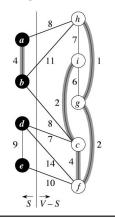
4 A = A \cup \{(u, v)\}

5 return A
```

- Of course line 3 is not trivial.
- Theorem 23.1 gives us a general strategy to find a safe edge.
  - And it's a greedy choice (thus all MST algorithms we will learn are greedy)
  - Need to understand the following:
    - A cut of vertices
    - · An edge crossing a cut
    - A set of edges respecting a cut
    - A light edge crossing a cut.

## Cut, Cut-Crossing Edge, Mutually Respecting Cut & Edge Set, Light Cut-Crossing Edge (CLRS Fig. 23.2)



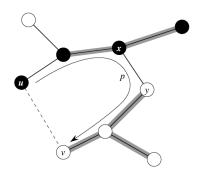


## Greedy Choice Property of MST Algorithms (Theorem 23.1)

- Given a cut (S, V S) and a cut-respecting edge set A which is also a subgraph of an MST, a light cut-crossing edge (u, v) is safe!
- A lot of terminology, but bottom line is:
  - Given a subgraph A of an MST, *find a cut (any cut) that respects A* (no edges in A crossing that cut). Let's call the cut (S, V S).
  - Find all edges crossing the cut. Pick a light edge of those edges (minimum weight). Call such a light edge (u, v).
  - Then  $A \cup \{(u, v)\}$  is still a subgraph of an MST.
  - Repeat this |V| 1 times from  $A = \emptyset$ , and you get an MST!
- It's a greedy strategy (picking a minimum-weight crossing edge).

#### Proof Sketch (CLRS Fig. 23.3)

- "Cut-and-paste" technique.
- Assume T is an MST which A is a subgraph of, but not including a light edge (u, v) for a cut (S, V S).
- Then we can "cut" the edge in the MST T crossing the cut. Remove ("cut") that edge, and add ("paste") the light edge (u, v). Call the resulting tree T'.
- Then we can show that T' is still an MST.



#### Multiple MST Algorithms Possible

- Because there are many ways to form A and find a cut (any cut) that respects A!
- Kruskal's strategy:
  - Given a sub-forest of an MST, find all edges that connect two trees, pick a minimum-weight edge and add it to the forest.
  - Starting from |V| singleton trees, reduce # trees by 1 at every iteration, ending with only 1 spanning tree, which must be an MST (Theorem 23.1).
  - The cut here is a partition of the forest of all trees, which the minimum-weight edge crosses.

#### Sub-Forest (Kruskal) vs. Sub-Tree (Prim)

- Prim's strategy:
  - Given a sub-tree of an MST, find all edges that connect a vertex in the tree to a non-tree vertex, pick a minimum-weight edge of all and add it to the tree.
  - Starting from one singleton tree (root), grow the tree's size by 1 at every iteration, ending with 1 spanning tree, which must be an MST (Theorem 23.1).
  - The cut here is the growing sub-tree of an MST, and rest of vertices.

### Kruskal's MST Algorithm

Add Minimum-Weight Edge To Ongoing MST Subgraph (Forest) If It Doesn't Form A Cycle. Discard It If It Forms A Cycle. Then Proceed To Next Smallest-Weight Edge.

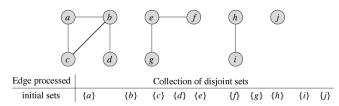
#### Strategy of Kruskal's MST Algorithm

- Finding a cut that respects the ongoing MST subgraph is not really important.
- Focus on a light edge. What's the first candidate?
  - · Minimum-weight edge of all remaining.
- If a minimum-weight edge doesn't form a cycle, it must be a safe (because it doesn't form a cycle) and light (because it's minimum-weight) edge!
- If it forms a cycle, throw it away (can't use it anyway) and try the next minimum-weight edge.
  - It would be handy to sort edges in non-decreasing order of weights, then scan them one by one.
- The key point here is **how to check if adding an edge forms a cycle**.

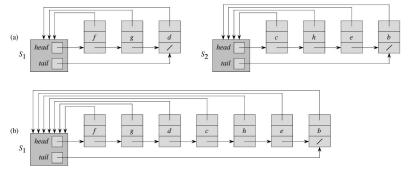
#### Disjoint-Set Data Structure (CLRS Ch. 21)

- Efficiently maintains disjoint sets of elements (vertices).
- Supports three operations:
  - MAKE-SET(x): Make a singleton set with one element x. Trivial (O(1)).
  - FIND-SET(x): Returns a representative (unique) element from the set that
    contains x. Time complexity depends on how to implement the data
    structure.
  - UNION(x, y): Returns the union of two *disjoint* sets  $S_x$  and  $S_y$  (where  $x \in S_x$  and  $y \in S_y$ ). Time complexity depends on how to implement.
- Then, the idea is to form disjoint sets of vertices each of which corresponds to a tree in the ongoing forest in MST algorithm.
  - Then checking if adding (u, v) to the forest would form a cycle is equivalent to check whether FIND-SET(u)=FIND-SET(v)!

#### Disjoint-Set Concept & Example: Connected Components (CLRS Fig. 21.1)

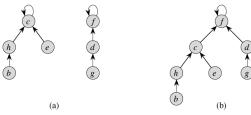


# Linked-List Representation Of Disjoint Sets (CLRS Ch. 21-2, Fig. 21.2)



• FIND-SET(x) is O(1), UNION(x, y) is  $O(\max(|S_x|, |S_y|)$ .

#### Disjoint-Set Forests (CLRS Ch. 21-3, Fig. 21.4)



- FIND-SET(x) is O(h) (where h is height of the tree).
- UNION(x, y) is O(h) for the above straightforward idea.
- But this is NOT an improvement over linked-list representation.
- There are heuristics to improve running time (study CLRS Ch. 21-3).
  - Giving  $O(m\alpha(n))$ , where  $\alpha(n)$  is a very slowly growing function (Ch. 21-4: optional)
    - m: sum of # MAKE-SET, UNION, and FIND-SET ops. n: # MAKE-SET ops.

#### Kruskal's Algorithm Example (CLRS Fig. 23.4)

Sorted edges: (*h*, *g*): 1, (*c*, *i*): 2, (*g*, *f*): 2, (*a*, *b*): 4, (*c*, *f*): 4, (*g*, *i*): 6, (*c*, *d*): 7, (*h*, *i*): 7, (*a*, *h*): 8: (*b*, *c*): 8, (*d*, *e*): 9, (*e*, *f*): 10, (*b*, *h*): 11, (*d*, *f*): 14

```
MST-KRUSKAL(G, w)

1 A = \emptyset

2 for each vertex v \in G. V

3 MAKE-SET(v)

4 sort the edges of G. E into nondecreasing order by weight w

5 for each edge (u, v) \in G. E, taken in nondecreasing order by weight

6 if FIND-SET(u) \neq FIND-SET(v)

7 A = A \cup \{(u, v)\}

8 UNION(u, v)

9 return A
```

#### Time Complexity of Kruskal's Algorithm

- O(E) FIND-SET and UNION operations in for loop (line 5-8).
- $|E| \ge |V| 1$ , because G is assumed to be connected.
- Total  $O(E\alpha(V))$  (disjoint-set forest representation with heuristics).
- Since  $\alpha(V) = O(\lg V)$ , total is  $O(E \lg V)$ .

```
MST-KRUSKAL(G, w)

1 A = \emptyset

2 for each vertex v \in G.V

3 MAKE-SET(v)

4 sort the edges of G.E into nondecreasing order by weight w

5 for each edge (u, v) \in G.E, taken in nondecreasing order by weight

6 if FIND-SET(u) \neq FIND-SET(v)

7 A = A \cup \{(u, v)\}

UNION(u, v)

9 return A
```

## Prim's MST Algorithm

Ongoing MST Subgraph Is Always a Tree. Add To The Tree a Minimum-Weight Edge that Will Still Form a Tree.

#### Strategy Of Prim's MST Algorithm

- The ongoing MST subgraph always forms a regular tree (MST subtree).
- Then at each iteration, we add to the tree a new *connected* edge which will still form a tree (no cycle).
- Since the newly added edge should be safe (i.e., the resulting bigger tree should still be a subgraph of an MST), it must be a minimumweight one of all possible such edges.
- Starting from a singleton MST subtree (root vertex only), add one edge at a time until the tree includes all vertices.
- The key point here is how to find such an edge.

#### Maintaining Not-Yet-Included Vertices In The Order Of Proximity To Current MST Subtree

- Observation: When a new vertex is added (moved) to the ongoing/growing MST subtree, only its adjacent vertices might get new proximity values (minimum weight to any tree vertex).
- The not-yet-included vertices can be maintained in a min-priority queue (heap).
- When the minimum is extracted from the heap, adjust the proximity values of all its (the minimum's) adjacent vertices in the heap.

#### Prim's MST Algorithm And Example

```
Q =
MST-PRIM(G, w, r)
    for each u \in G.V
 2
         u.kev = \infty
         u.\pi = NIL
 4 r.kev = 0
 5 \quad O = G.V
 6 while Q \neq \emptyset
         u = \text{EXTRACT-MIN}(Q)
 8
         for each v \in G.Adi[u]
 9
              if v \in O and w(u, v) < v. key
10
                   \nu.\pi = u
11
                   v.key = w(u, v) // This assignment may cause rearrangement of vertices in Q.
```

#### Time Complexity Of Prim's Algorithm

- Depends on how to implement the min-priority queue Q.
- If we use a binary min-heap (CLRS Ch. 6),
  - Line 1-5: BUILD-MIN-HEAP for O(V) time.
  - Line 6 while loop iterates |V| times. Line 7 EXTRACT-MIN for  $O(\lg V)$ .
    - Giving  $O(V \lg V)$  for EXTRACT-MIN.

```
MST-PRIM(G, w, r)
1 for each u \in G.V
        u.\pi = NIL
    r.kev = 0
```

- Line 8 for loop iterates O(E) times all together (amortized):  $\sum |Adj(v)| = 2|E|$
- Line 9 (Q membership test) can be O(1) (maintain flags)
- Line 11: DECREASE-KEY on the min-heap:  $O(\lg V)$

```
5 O = G.V
                                • \therefore O(V \lg V + E \lg V) = O(E \lg V).
    while Q \neq \emptyset
         u = \text{EXTRACT-MIN}(Q)
         for each v \in G.Adj[u]
              if v \in Q and w(u, v) < v. key
 9
10
                  \nu.\pi = u
11
                   v.key = w(u, v) // This assignment may cause rearrangement of vertices in Q.
```