

# Cryptography - *Day 3*

## *Defining Security*

# Review

# XOR Operation

- XOR is a binary "exclusive or" operation that is represented by  $\oplus$

# XOR Operation

- XOR is a binary "exclusive or" operation that is represented by  $\oplus$
- Suppose  $A = a_1 \dots a_n$  and  $B = b_1 \dots b_n$  then  $A \oplus B = C$  where  $C = c_1 \dots c_n$  such that
$$c_i = 0 \text{ if } a_i = b_i \text{ and}$$
$$c_i = 1 \text{ if } a_i \neq b_i.$$

# XOR Operation

- Suppose  $A = 1001\ 0010$  and  $B = 0000\ 1110$

# XOR Operation

- Suppose  $A = 1001\ 0010$  and  $B = 0000\ 1110$
- $A \oplus B = 1001\ 0010$   
 $\oplus 0000\ 1110$

# XOR Operation

- Suppose  $A = 1001\ 0010$  and  $B = 0000\ 1110$
- $A \oplus B =$   
     $1001\ 0010$   
       $\oplus\ 0000\ 1110$   
       $1001\ 1100$

# Byte-wise shift cipher

- $\mathcal{M} = \{\text{strings of bytes}\}$



# Byte-wise shift cipher

- $\mathcal{M} = \{\text{strings of bytes}\}$
- Gen: choose uniform byte  $k \in \mathcal{K} = \{0, \dots, 255\}$

# Byte-wise shift cipher

- $\mathcal{M} = \{\text{strings of bytes}\}$
- Gen: choose uniform byte  $k \in \mathcal{K} = \{0, \dots, 255\}$
- $\text{Enc}_k(m_1 \dots m_t)$ : output  $c_1 \dots c_t$ , where
$$c_i := m_i \oplus k$$
- $\text{Dec}_k(c_1 \dots c_t)$ : output  $m_1 \dots m_t$ , where
$$m_i := c_i \oplus k$$

# Example

- Say plaintext is “Hi” and key is 1111 0001

# Example

- Say plaintext is “Hi” and key is 1111 0001
- “Hi” = 0x48 69 = 0100 1000 0110 1001

# Example

- Say plaintext is “Hi” and key is 1111 0001
- “Hi” = 0x48 69 = 0100 1000 0110 1001
- XOR with “Hi” with the key

# Example

- Say plaintext is “Hi” and key is 1111 0001
- “Hi” = 0x48 69 = 0100 1000 0110 1001
- XOR with “Hi” with the key
- 0100 1000 0110 1001  $\oplus$   
1111 0001 1111 0001

# Example

- Say plaintext is “Hi” and key is 1111 0001
- “Hi” = 0x48 69 = 0100 1000 0110 1001
- XOR with “Hi” with the key
- $$\begin{array}{r} 0100\ 1000\ 0110\ 1001 \oplus \\ 1111\ 0001\ 1111\ 0001 \\ \hline = 1011\ 1001\ 1001\ 1000 \end{array}$$

# Example

- Say plaintext is “Hi” and key is 1111 0001
- “Hi” = 0x48 69 = 0100 1000 0110 1001
- XOR with “Hi” with the key
- $$\begin{array}{r} 0100\ 1000\ 0110\ 1001 \oplus \\ 1111\ 0001\ 1111\ 0001 \\ \hline = 1011\ 1001\ 1001\ 1000 = 0xB9\ 98 = \text{unprintable} \end{array}$$



# Byte-wise Vigenère cipher

- The key is a string of bytes
- The plaintext is a string of bytes
- To encrypt, XOR each character in the plaintext with the next character of the key
  - Wrap around in the key as needed
- Decryption just reverses the process

# Example

- Say plaintext is “Hello!” and key is 0xA1 2F

# Example

- Say plaintext is “Hello!” and key is 0xA1 2F
- “Hello!” = 0x48 65 6C 6C 6F 21

# Example

- Say plaintext is “Hello!” and key is 0xA1 2F
- “Hello!” = 0x48 65 6C 6C 6F 21
- XOR with 0xA1 2F A1 2F A1 2F

# Example

- Say plaintext is “Hello!” and key is 0xA1 2F
- “Hello!” = 0x48 65 6C 6C 6F 21
- XOR with 0xA1 2F A1 2F A1 2F
- $0x48 \oplus 0xA1$

# Example

- Say plaintext is “Hello!” and key is 0xA1 2F
- “Hello!” = 0x48 65 6C 6C 6F 21
- XOR with 0xA1 2F A1 2F A1 2F
- $0x48 \oplus 0xA1$ 
  - $0100\ 1000 \oplus 1010\ 0001$

# Example

- Say plaintext is “Hello!” and key is 0xA1 2F
- “Hello!” = 0x48 65 6C 6C 6F 21
- XOR with 0xA1 2F A1 2F A1 2F
- $0x48 \oplus 0xA1$ 
  - $0100\ 1000 \oplus 1010\ 0001 = 1110\ 1001 = 0xE9$

# Example

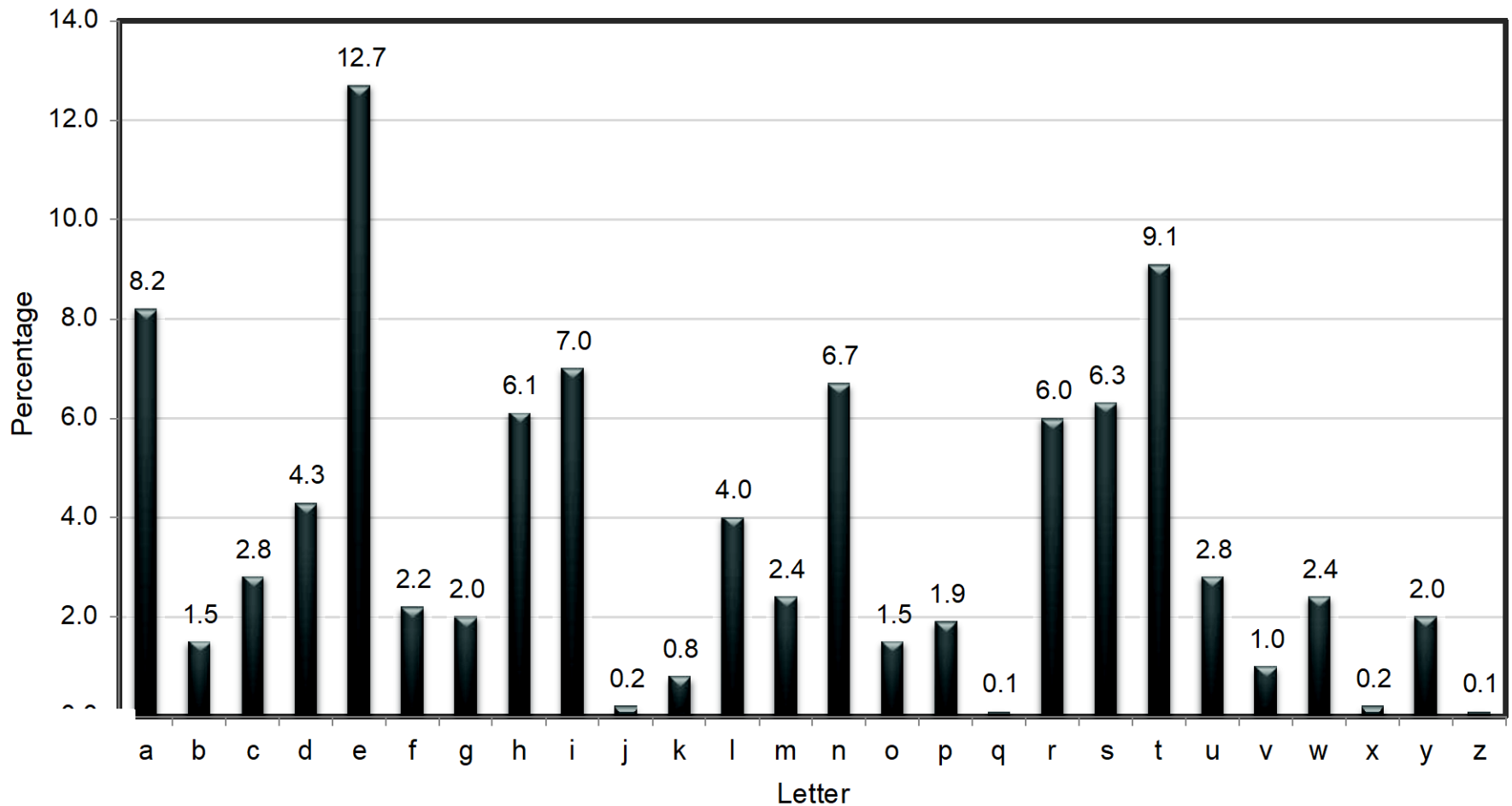
- Say plaintext is “Hello!” and key is 0xA1 2F
- “Hello!” = 0x48 65 6C 6C 6F 21
- XOR with 0xA1 2F A1 2F A1 2F
- $0x48 \oplus 0xA1$ 
  - $0100\ 1000 \oplus 1010\ 0001 = 1110\ 1001 = 0xE9$
- Ciphertext: 0xE9 4A CD 43 CE 0E



# Attacking the (variant) Vigenère cipher

- Two steps:
  - Determine the key length
  - Determine each byte of the key

# Using plaintext letter frequencies



# Useful observations

- Only 128 valid ASCII chars (128 bytes invalid)
- 0x20-0x7E printable
- 0x41-0x7a includes upper/lowercase letters
  - Uppercase letters begin with 0x4 or 0x5
  - Lowercase letters begin with 0x6 or 0x7

# Determining the key length

- Let  $p_i$  (for  $0 \leq i \leq 255$ ) be the frequency of **byte**  $i$  in general English text
  - I.e.,  $p_i = 0$  for  $i < 32$  or  $i > 127$
  - I.e.,  $p_{97}$  = frequency of 'a'
  - The distribution is far from uniform

# Determining the key length

- If the key length is  $N$ , then every  $N^{\text{th}}$  character of the plaintext is encrypted using the same “shift”

# Determining the key length

- If the key length is  $N$ , then every  $N^{\text{th}}$  character of the plaintext is encrypted using the same “shift”
  - If we take every  $N^{\text{th}}$  character and calculate frequencies, we should get the  $p_i$ 's in permuted order

# Determining the key length

- If the key length is  $N$ , then every  $N^{\text{th}}$  character of the plaintext is encrypted using the same “shift”
  - If we take every  $N^{\text{th}}$  character and calculate frequencies, we should get the  $p_i$ 's in permuted order
  - If we take every  $M^{\text{th}}$  character ( $M$  not a multiple of  $N$ ) and calculate frequencies, we should get something close to uniform

# Determining the key length

- Assume length is  $k$
- For key length  $k$ , tabulate  $q_0, \dots, q_{255}$  and compute  $\sum q_i^2$



# Determining the key length

- Assume length is  $k$
- For key length  $k$ , tabulate  $q_0, \dots, q_{255}$  and compute  $\sum q_i^2$ 
  - If close to uniform,  $\sum q_i^2 \approx 256 \cdot (1/256)^2 = 1/256$
  - If a permutation of  $p_i$ , then  $\sum q_i^2 \approx \sum p_i^2$ 
    - Could compute  $\sum p_i^2$  (but somewhat difficult)
    - Key point: will be much larger than  $1/256$

# Determining the key length

- Assume length is  $k$
- For key length  $k$ , tabulate  $q_0, \dots, q_{255}$  and compute  $\sum q_i^2$ 
  - If close to uniform,  $\sum q_i^2 \approx 256 \cdot (1/256)^2 = 1/256$
  - If a permutation of  $p_i$ , then  $\sum q_i^2 \approx \sum p_i^2$ 
    - Could compute  $\sum p_i^2$  (but somewhat difficult)
    - Key point: will be much larger than  $1/256$
- Compute  $\sum q_i^2$  for each possible key length, and look for maximum value

# Determining the $i^{\text{th}}$ byte of the key

- Assume the key length  $N$  is known

# Determining the $i^{\text{th}}$ byte of the key

- Assume the key length  $N$  is known
- Look at every  $N^{\text{th}}$  character of the ciphertext, starting with the  $i^{\text{th}}$  character
  - Call this the  $i^{\text{th}}$  ciphertext “stream”
  - Note that all bytes in this stream were generated by XORing plaintext with the same byte of the key

# Determining the $i^{\text{th}}$ byte of the key

- Assume the key length  $N$  is known
- Look at every  $N^{\text{th}}$  character of the ciphertext, starting with the  $i^{\text{th}}$  character
  - Call this the  $i^{\text{th}}$  ciphertext “stream”
  - Note that all bytes in this stream were generated by XORing plaintext with the same byte of the key
- Try decrypting the stream using every possible byte value  $B$ 
  - Get a candidate plaintext stream for each value

# Determining the $i^{\text{th}}$ byte of the key

- Could use  $\{p_i\}$  as before, but not easy to find

# Determining the $i^{\text{th}}$ byte of the key

- Could use  $\{p_i\}$  as before, but not easy to find
- When the guess  $B$  is correct:
  - All bytes in the plaintext stream will be between 32 and 127

# Determining the $i^{\text{th}}$ byte of the key

- Could use  $\{p_i\}$  as before, but not easy to find
- When the guess  $B$  is correct:
  - Frequencies of lowercase letters (as a fraction of all lowercase letters) should be close to known English-letter frequencies
    - Tabulate observed letter frequencies  $q'_0, \dots, q'_{25}$  (as fraction of all lowercase letters)
    - Should find  $\sum q'_i p'_i \approx \sum p'^2_i \approx 0.065$ , where  $p'_i$  corresponds to English-letter frequencies
    - In practice, take  $B$  that maximizes  $\sum q'_i p'_i$



Defining secure encryption

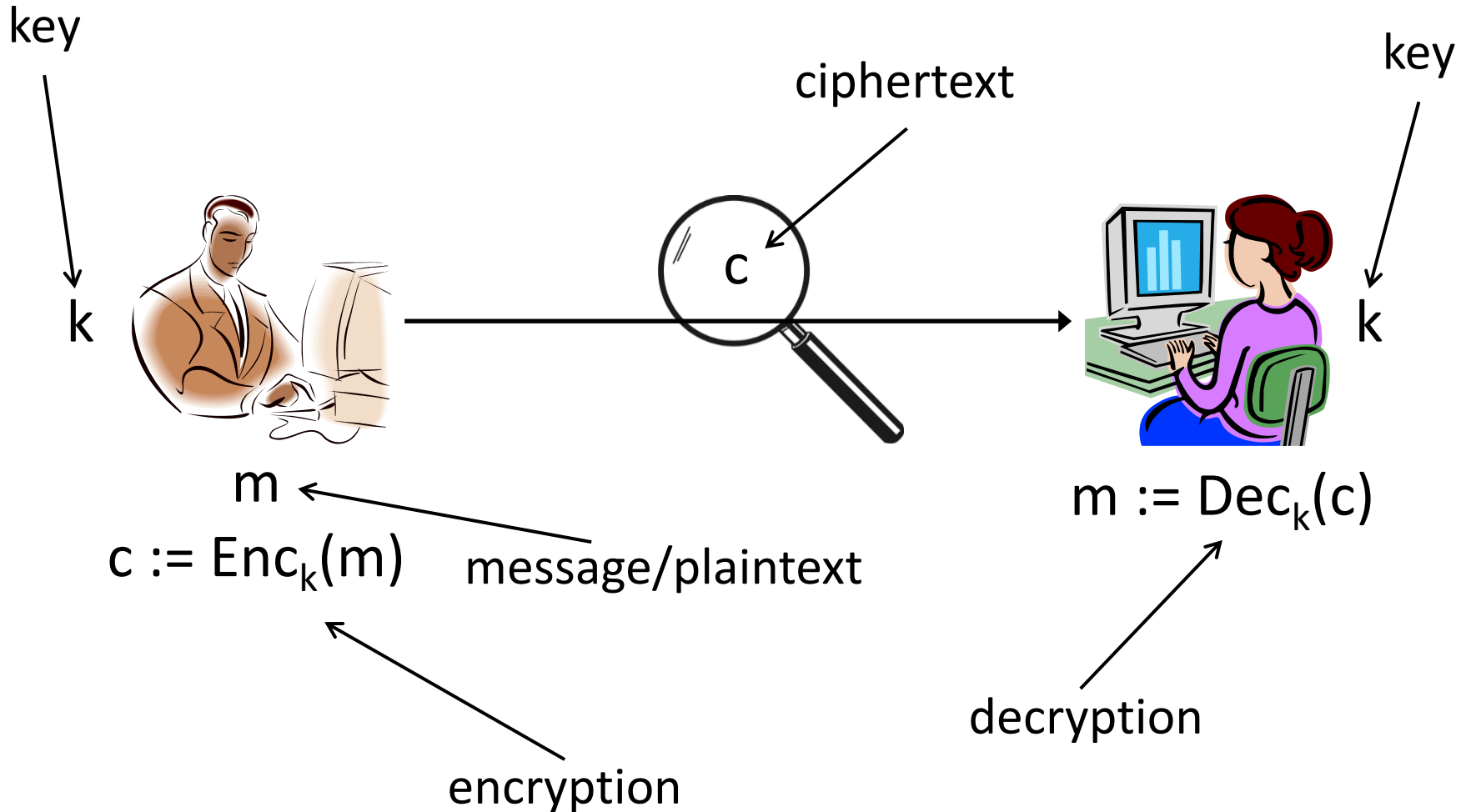
# Crypto definitions (generally)

- Security guarantee/goal
  - What we want to achieve and/or what we want to prevent the attacker from achieving
- Threat model
  - What (real-world) capabilities the attacker is assumed to have

# Recall

- A *private-key encryption scheme* is defined by a message space  $\mathcal{M}$  and algorithms (Gen, Enc, Dec):
  - Gen (key-generation algorithm): generates  $k$
  - Enc (encryption algorithm): takes key  $k$  and message  $m \in \mathcal{M}$  as input; outputs ciphertext  $c$   
$$c \leftarrow \text{Enc}_k(m)$$
  - Dec (decryption algorithm): takes key  $k$  and ciphertext  $c$  as input; outputs  $m$ .  
$$m := \text{Dec}_k(c)$$

# Private-key encryption



# Threat models for encryption

- Ciphertext-only attack - obtain only ciphertext
- Known-plaintext attack – obtain ciphertext with some knowledge of the message
- Chosen-plaintext attack - obtain encryptions of chosen messages
- Chosen-ciphertext attack – obtain decryptions of chosen ciphertext

# Goal of secure encryption?

- How would you define what it means for encryption scheme (Gen, Enc, Dec) over message space  $\mathcal{M}$  to be secure?
  - Against a (single) ciphertext-only attack

# Secure encryption?

- “Impossible for the attacker to learn the plaintext from the ciphertext”

# Secure encryption?

- “Impossible for the attacker to learn the plaintext from the ciphertext”
  - What if the attacker learns 90% of the plaintext?



# Secure encryption?

- “Impossible for the attacker to learn any character of the plaintext from the ciphertext”

# Secure encryption?

- “Impossible for the attacker to learn any character of the plaintext from the ciphertext”
  - What if the attacker is able to learn (other) partial information about the plaintext?
    - E.g., salary is greater than \$75K

# Perfect secrecy

- “Regardless of any *prior* information the attacker has about the plaintext, the ciphertext should leak no *additional* information about the plaintext”

# Example 1

- Consider the shift cipher
  - So for all  $k \in \{0, \dots, 25\}$ ,  $\Pr[K = k] = 1/26$
- Say  $\Pr[M = 'a'] = 0.7$ ,  $\Pr[M = 'z'] = 0.3$
- What is  $\Pr[C = 'b']$  ?
  - Either  $M = 'a'$  and  $K = 1$ , or  $M = 'z'$  and  $K = 2$
  - $\Pr[C='b'] = \Pr[M='a'] \cdot \Pr[K=1] + \Pr[M='z'] \cdot \Pr[K=2]$   
 $= 0.7 \cdot (1/26) + 0.3 \cdot (1/26)$   
 $= 1/26$

## Example 2

- Consider the shift cipher, and the distribution  $\Pr[M = \text{'one'}] = \frac{1}{2}$ ,  $\Pr[M = \text{'ten'}] = \frac{1}{2}$
- $\Pr[C = \text{'rqh'}] = ?$ 
  - $= \Pr[C = \text{'rqh'} \mid M = \text{'one'}] \cdot \Pr[M = \text{'one'}]$   
 $+ \Pr[C = \text{'rqh'} \mid M = \text{'ten'}] \cdot \Pr[M = \text{'ten'}]$
  - $= \frac{1}{26} \cdot \frac{1}{2} + 0 \cdot \frac{1}{2} = \frac{1}{52}$

# Example 3

- Consider the shift cipher, and the distribution  $\Pr[M = \text{'one'}] = \frac{1}{2}$ ,  $\Pr[M = \text{'ten'}] = \frac{1}{2}$
- Take  $m = \text{'ten'}$  and  $c = \text{'rqh'}$
- $\Pr[M = \text{'ten'} \mid C = \text{'rqh'}] = ?$   
 $= 0$   
 $\neq \Pr[M = \text{'ten'}]$

# Example 4

- Shift cipher;  
 $\Pr[M='hi'] = 0.3,$   
 $\Pr[M='no'] = 0.2,$   
 $\Pr[M='in'] = 0.5$
- $\Pr[M = 'hi' \mid C = 'xy'] = ?$   
 $= \Pr[C = 'xy' \mid M = 'hi'] \cdot \Pr[M = 'hi'] / \Pr[C = 'xy']$

## Example 4, continued

- $\Pr[C = \text{'xy'} \mid M = \text{'hi'}] = 1/26$
- $\Pr[C = \text{'xy'}]$ 
  - $= \Pr[C = \text{'xy'} \mid M = \text{'hi'}] \cdot 0.3 + \Pr[C = \text{'xy'} \mid M = \text{'no'}] \cdot 0.2$   
 $+ \Pr[C = \text{'xy'} \mid M = \text{'in'}] \cdot 0.5$
  - $= (1/26) \cdot 0.3 + (1/26) \cdot 0.2 + 0 \cdot 0.5$
  - $= 1/52$



## Example 4, continued

- $\Pr[M = \text{'hi'} \mid C = \text{'xy'}] = ?$   
=  $\Pr[C = \text{'xy'} \mid M = \text{'hi'}] \cdot \Pr[M = \text{'hi'}] / \Pr[C = \text{'xy'}]$   
=  $(1/26) \cdot 0.3 / (1/52)$   
= 0.6  
 $\neq \Pr[M = \text{'hi'}]$

# Conclusion

- The shift cipher is not perfectly secret!
  - At least not for 2-character messages
- How to construct a perfectly secret scheme?

# One-time pad

- Patented in 1917 by Vernam
  - Recent historical research indicates it was invented (at least) 35 years earlier
- Proven perfectly secret by Shannon (1949)

# One-time pad

- Let  $\mathcal{M} = \{0,1\}^n$
- Gen: choose a uniform key  $k \in \{0,1\}^n$
- $\text{Enc}_k(m) = k \oplus m$
- $\text{Dec}_k(c) = k \oplus c$

- Correctness:

$$\begin{aligned}\text{Dec}_k(\text{Enc}_k(m)) &= k \oplus (k \oplus m) \\ &= (k \oplus k) \oplus m = m\end{aligned}$$

# One-time pad

