

프로젝트 #1 결과 발표

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충북대학교 산업인공지능학과

21-3조, 최준혁, 이지연

수행방법 및 기여도

수행방법

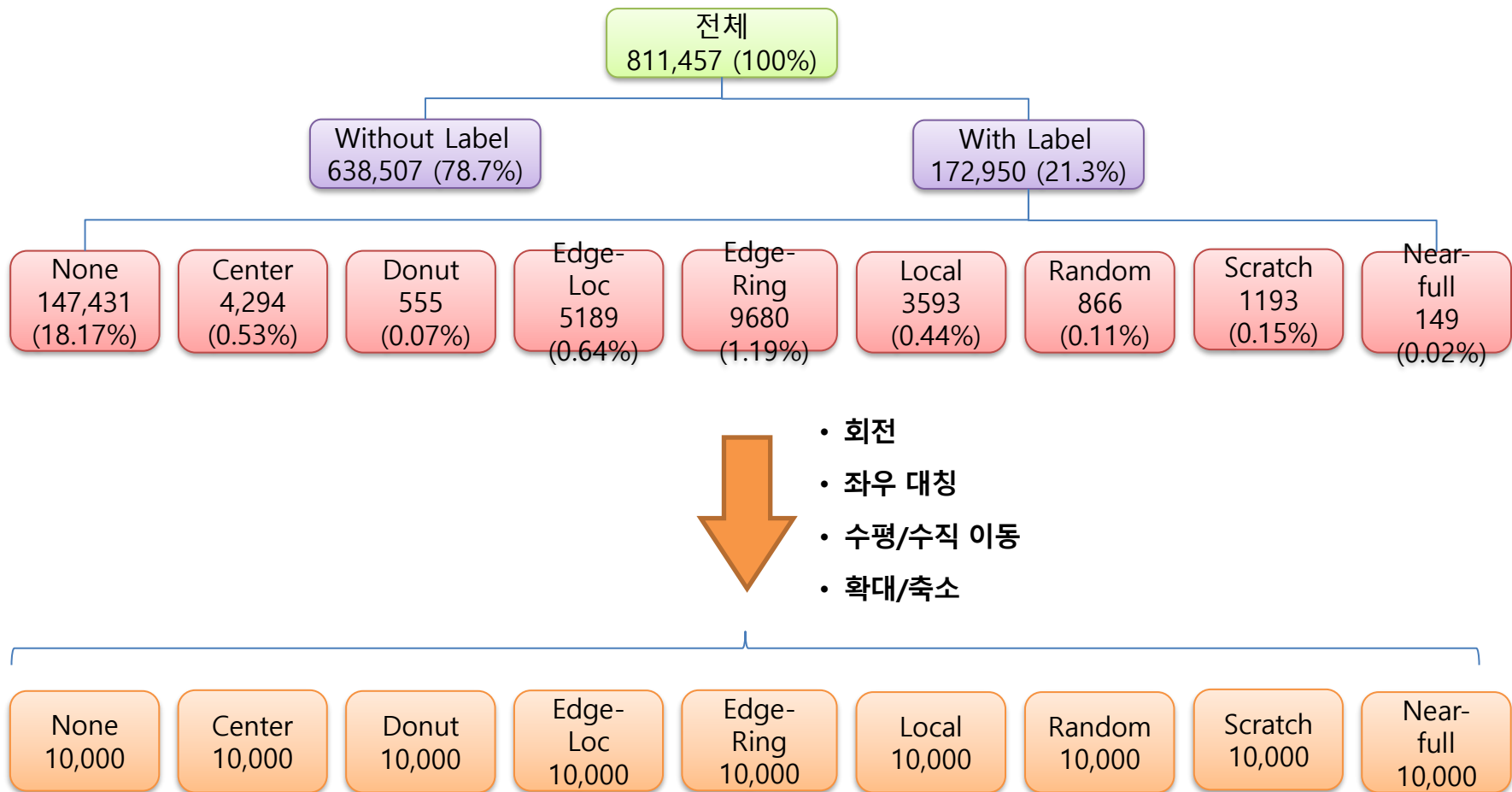
- 같은 회사에 재직하여 업무분담과 진행상황 파악 용이.
- 작업환경은 Colab을 사용하고, 링크를 이용한 공유로 작업 진행.
- 하나의 CNN 예제를 기준으로 하여 각자 업무 진행중 작성된 코드 반영.

업무분장 및 기여도

이름	비중	수행내용	비고
최준혁	50%	<ul style="list-style-type: none">• 데이터 전처리 및 증량 작업• 데이터셋 발표	
이지연	50%	<ul style="list-style-type: none">• CNN 작업 및 결과 분석• CNN 구조 및 학습 발표	

데이터셋

데이터 구성

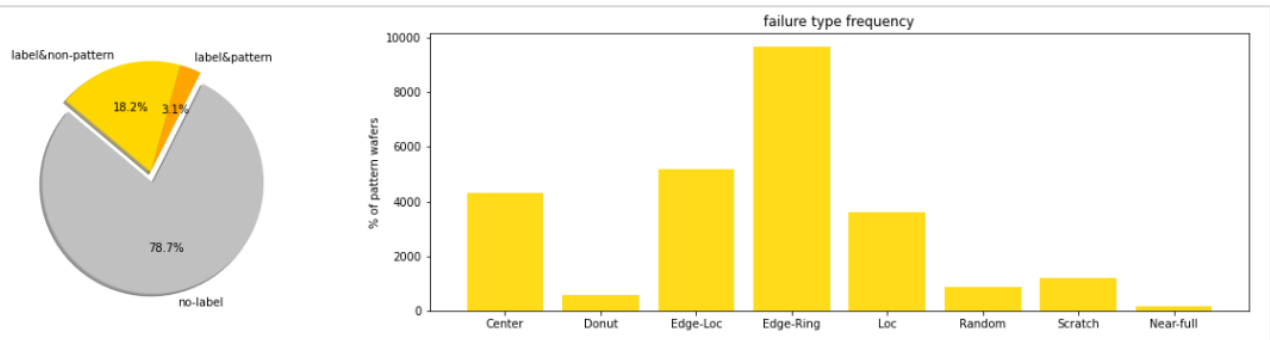


데이터셋

Data augmentation/전처리



```
df = pd.read_pickle('/content/drive/MyDrive/충북대/2-1 캡스톤/CNN을 이용한 불량 검출/LSWMD.pkl')
```



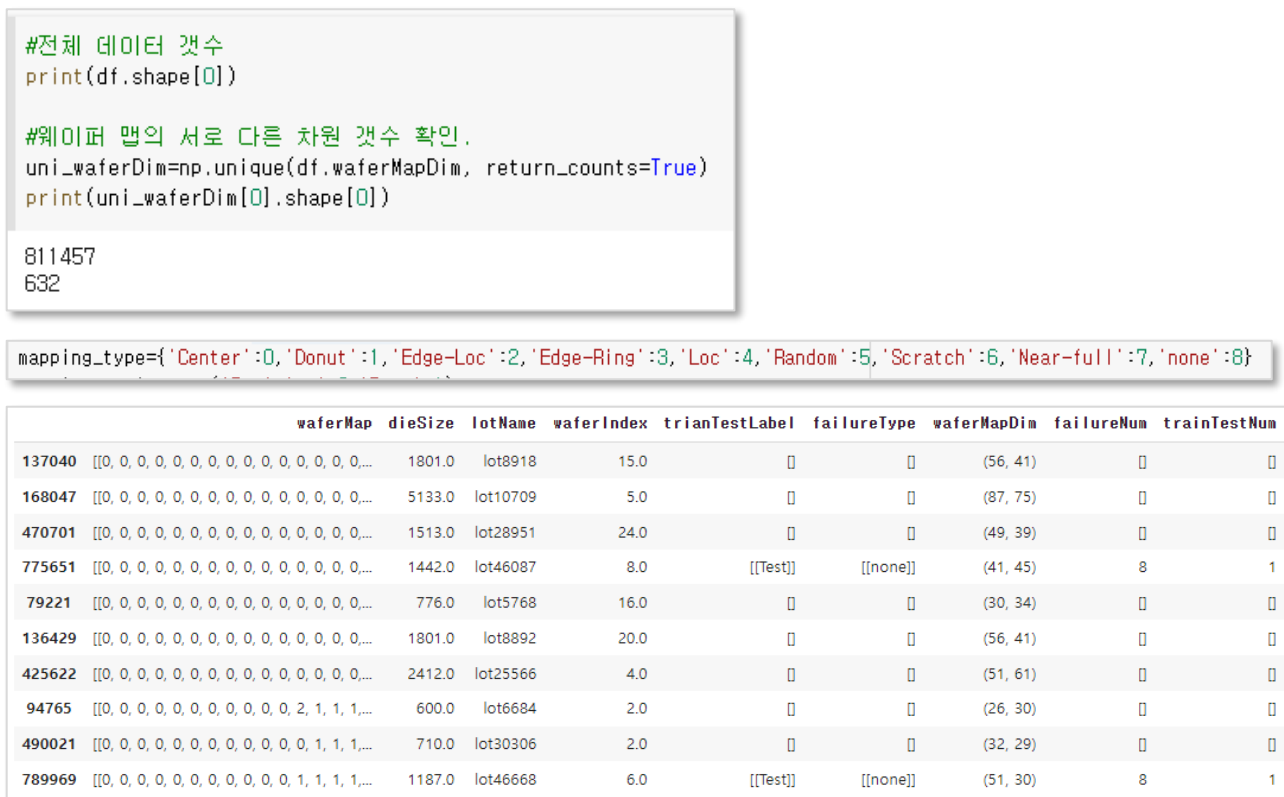
	waferMap	dieSize	lotName	waferIndex	trianTestLabel	failureType
0	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, ...]]	1683.0	lot1	1.0	[[Training]]	[[none]]
1	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, ...]]	1683.0	lot1	2.0	[[Training]]	[[none]]
2	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, ...]]	1683.0	lot1	3.0	[[Training]]	[[none]]
3	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, ...]]	1683.0	lot1	4.0	[[Training]]	[[none]]
4	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, ...]]	1683.0	lot1	5.0	[[Training]]	[[none]]

```
#라벨 있는 데이터, None 아닌 패턴라벨 있는 데이터, None 패턴 데이터
data_with_label.shape[0], data_with_pattern.shape[0], data_non_pattern.shape[0]

(172950, 25519, 147431)
```

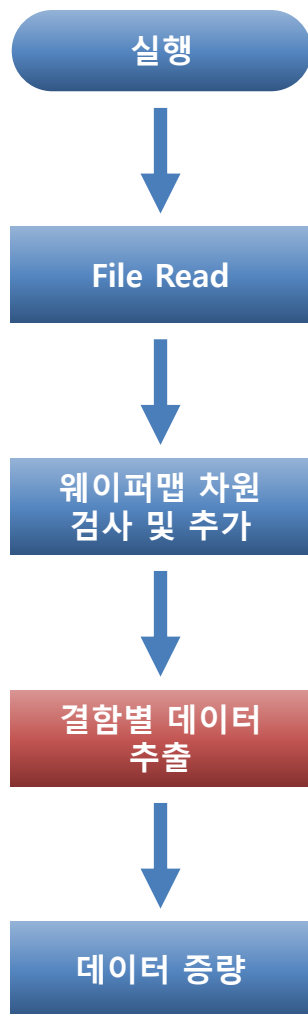
LSWMD.pkl 데이터 구조

Data augmentation/전처리



데이터셋

Data augmentation/전처리



결함번호

```
mapping_type={'Center':0, 'Donut':1, 'Edge-Loc':2, 'Edge-Ring':3, 'Loc':4, 'Random':5, 'Scratch':6, 'Near-full':7, 'none':8}
```

```
#case 0 ~ 8
for i in range(0, 9):
    _x, _y = preProcess(i)
```

결함번호

해당 이미지, 라벨

#전처리

```
def preProcess(failureNum):
    data_with_label = df[(df['failureNum']==failureNum) & (df['waferMapDim'] == (26, 26))]
    data_with_label = data_with_label.reset_index()

    sw = np.ones((1, 26, 26))
    label = list()

    for i in range(len(data_with_label)):
        # skip null label
        if len(data_with_label.iloc[i,:]['failureType']) == 0:
            continue
        sw = np.concatenate((sw, data_with_label.iloc[i,:]['waferMap'].reshape(1, 26, 26)))
        label.append(failureNum)

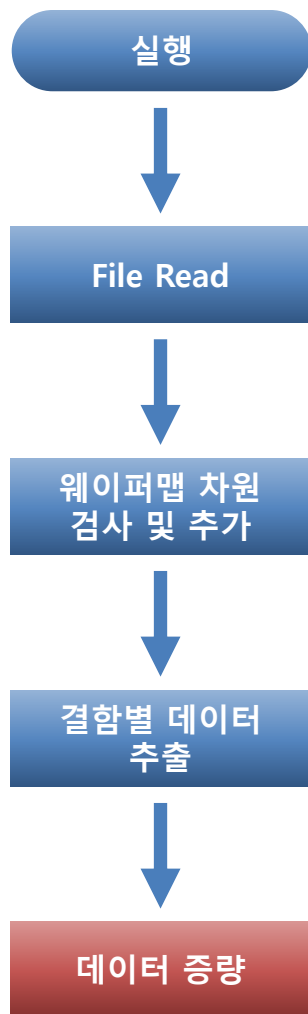
    x = sw[1:]
    x = x.reshape((x.shape[0], x.shape[1], x.shape[2], 1))
    y = np.array(label).reshape((-1,1))

    return x, y
```

해당 데이터 병합

데이터셋

Data augmentation/전처리



결함번호

```
mapping_type={'Center':0,'Donut':1,'Edge-Loc':2,'Edge-Ring':3,'Loc':4,'Random':5,'Scratch':6,'Near-full':7,'none':8}
```

```
#case 0 ~ 8
for i in range(0, 9):
    _x, _y = preProcess(i)
    data_x, data_y = imageGen(_x, i)
```

결함번호

해당 이미지, 라벨

```
#증량
def imageGen(x, failureNum):

    gen_x = np.zeros((1, 256, 256, 1))

    X_data = x

    datagen = ImageDataGenerator(
        rescale = 1./255, # 모든 이미지 원소값들을 255로 나누기
        rotation_range=50, # 0~25도 사이에서 임의의 각도로 원본이미지를 회전
        zoom_range=0.2, # (1-0.2)~(1+0.2) => 0.8~1.2 사이에서 임의의 수치만큼 확대/축소
        horizontal_flip=True, # 좌우로 뒤집기
        vertical_flip=True,
        fill_mode='nearest',
    )

    datagen.fit(X_data)

    label = list()
    for i in range(0, 10000):
        flow=datagen.flow(_x,_y,batch_size=1, shuffle=True)
        x, y = flow.next()
        gen_x = np.concatenate((gen_x, x), axis=0)
        label.append(failureNum)

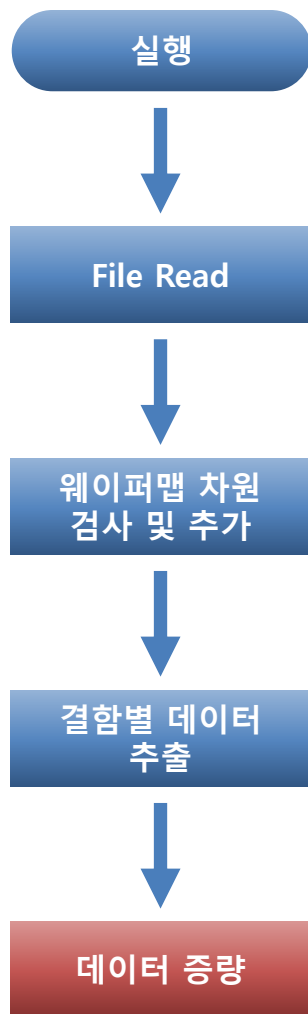
    gen_x = gen_x[1:]
    gen_y = np.array(label).reshape((-1,1))
    return gen_x, gen_y
```

from keras_preprocessing.image
import ImageDataGenerator 활용

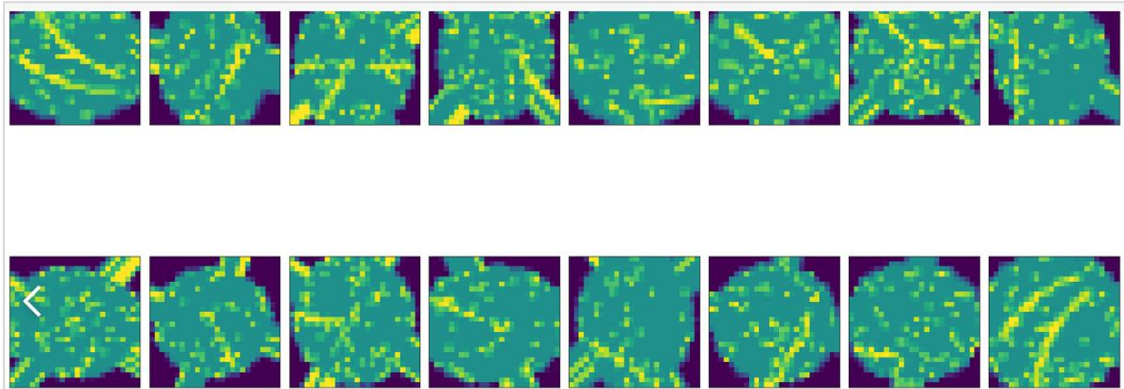
데이터 생성 후 변수에 병합

데이터셋

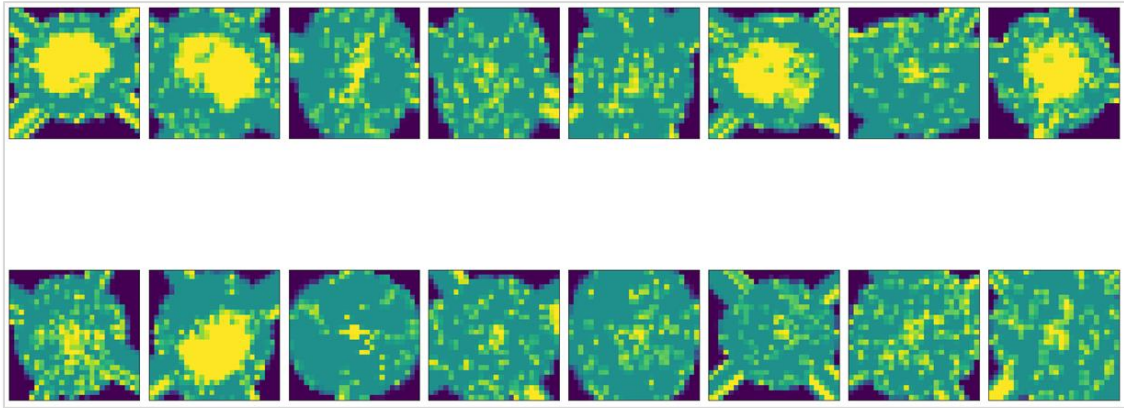
Data augmentation/전처리



Scratch 증량 데이터 이미지



Center 증량 데이터 이미지



데이터셋

주요 코드 및 실행 결과

```
[ ] #df = pd.read_pickle('/content/drive/MyDrive/LSWD.pkl')
df = pd.read_pickle('/content/drive/MyDrive/출력대/2-1 컨스론/DNN을 이용한 불량 검출/LSWD.pkl')
```

● # 차원 검사용 변수 waferMapDim 생성

```
def find_dim(x):
    dim0=np.size(x,axis=0)
    dim1=np.size(x,axis=1)
    return dim0,dim1
df['waferMapDim']=df.waferMap.apply(find_dim)
df.sample(10)
```

	waferMap	dieSize	lotName	waferIndex	trianTestLabel	failureType	waferMapDim
418995	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 2, 1, 1, ...	899.0	lot25130	20.0	[]	[]	(37, 31)
374375	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 2, 2, ...	811.0	lot22362	15.0	[]	[]	(34, 31)
764609	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 2, 2, ...	904.0	lot45635	13.0	[[Test]]	[[Loc]]	(34, 34)
209355	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, ...	3532.0	lot13355	4.0	[]	[]	(64, 71)
240662	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, ...	1791.0	lot14997	2.0	[]	[]	(46, 50)
223445	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, ...	1507.0	lot14069	8.0	[]	[]	(44, 44)
499159	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 1, ...	710.0	lot31068	12.0	[]	[]	(32, 29)
192460	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 2, 1, ...	1187.0	lot12175	17.0	[]	[]	(51, 30)
282210	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, ...	2459.0	lot17275	6.0	[]	[]	(60, 54)
480450	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 2, 2, 1, ...	846.0	lot29768	24.0	[]	[]	(33, 33)

[] #Label -> Num 치환

```
df[['failureNum']]=df.failureType
df[['trainTestNum']]=df.trianTestLabel
mapping_type={'Center':0,'Donut':1,'Edge-Loc':2,'Edge-Ring':3,'Loc':4,'Random':5,'Scratch':6,'Near-full':7,'none':8}
mapping_trainTest={'Training':0,'Test':1}
df=df.replace({'failureNum':mapping_type, 'trainTestNum':mapping_trainTest})
df.sample(10)
```

	waferMap	dieSize	lotName	waferIndex	trianTestLabel	failureType	waferMapDim	failureNum	trainTestNum
137040	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, ...	1801.0	lot8918	15.0	[]	[]	(56, 41)	[]	[]
168047	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, ...	5133.0	lot10709	5.0	[]	[]	(87, 75)	[]	[]
470701	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, ...	1513.0	lot28951	24.0	[]	[]	(49, 39)	[]	[]
775651	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, ...	1442.0	lot46087	8.0	[[Test]]	[[none]]	(41, 45)	8	1
79221	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, ...	776.0	lot5768	16.0	[]	[]	(30, 34)	[]	[]
136429	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, ...	1801.0	lot8892	20.0	[]	[]	(56, 41)	[]	[]
425622	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, ...	2412.0	lot25566	4.0	[]	[]	(51, 61)	[]	[]
94765	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 2, 1, 1, 1, ...	600.0	lot6684	2.0	[]	[]	(26, 30)	[]	[]
490021	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, ...	710.0	lot30306	2.0	[]	[]	(32, 29)	[]	[]
789969	[[0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 1, ...	1187.0	lot46668	6.0	[[Test]]	[[none]]	(51, 30)	8	1

```
[ ] #전처리
def preProcess(failureNum):
    data_with_label = df[(df['failureNum']==failureNum) & (df['waferMapDim'] == (26, 26))]
    data_with_label = data_with_label.reset_index()

    sw = np.ones((1, 26, 26))
    label = list()

    for i in range(len(data_with_label)):
        # skip null label
        if len(data_with_label.iloc[i,:]['failureType']) == 0:
            continue
        sw = np.concatenate((sw, data_with_label.iloc[i,:]['waferMap'].reshape(1, 26, 26)))
        label.append(failureNum)

    x = sw[1:]
    x = x.reshape((x.shape[0], x.shape[1], x.shape[2], 1))
    y = np.array(label).reshape((-1,1))

    return x, y
```

```
[ ] #중략
def imageGen(x, failureNum):

    gen_x = np.zeros((1, 26, 26, 1))

    X_data = x

    datagen = ImageDataGenerator(
        rescale = 1./255, # 모든 이미지 원소값들을 255로 나누기
        rotation_range=50, # 0-25도 사이에서 임의의 각도로 원본이미지를 회전
        zoom_range=0.2, # (1-0.2)~(1+0.2) => 0.8~1.2 사이에서 임의의 수치만큼 확대/축소
        horizontal_flip=True, # 좌우로 뒤집기
        vertical_flip=True,
        fill_mode='nearest',
    )

    datagen.fit(X_data)

    label = list()
    for i in range(0, 10000):
        flow=datagen.flow(x,y,batch_size=1, shuffle=True)
        x, y = flow.next()
        gen_x = np.concatenate((gen_x, x), axis=0)
        label.append(failureNum)

    gen_x = gen_x[1:]
    gen_y = np.array(label).reshape((-1,1))
    return gen_x, gen_y
```

```
[ ] x = np.ones((1, 26, 26, 1))
y = np.ones((1, 1))

#case 0 ~ 8
for i in range(0, 9):
    _x, _y = preProcess(i)
    data_x, data_y = imageGen(_x, i)

    x = np.concatenate((x, data_x), axis=0)
    y = np.concatenate((y, data_y), axis=0)
#flow=ImageGenerator.flow(_x,_y,batch_size=100, shuffle=True)

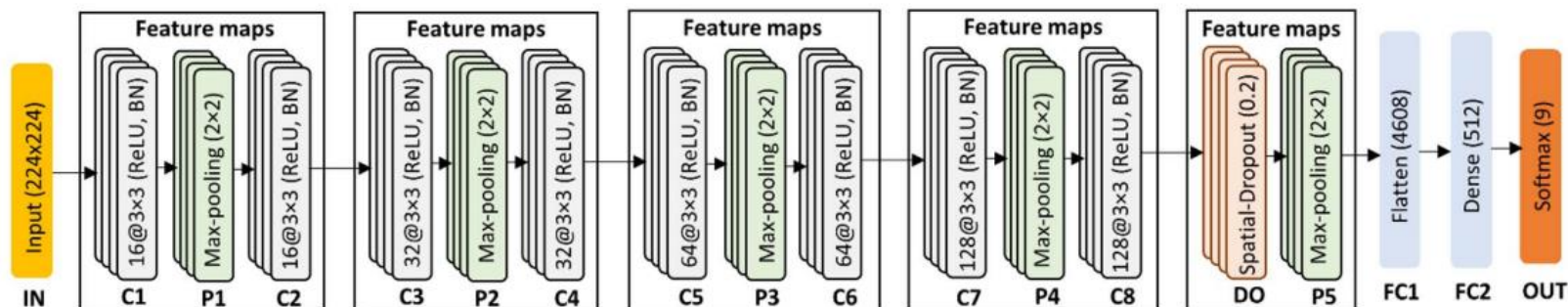
x = x[1:]
y = y[1:]
```

CNN 구조

CNN 구조

TABLE I
PROPOSED DEEP CNN MODEL PARAMETERS

Layer	Type	Feature Maps	Output Size	Filter size	Padding	Activation
IN	Input	3 (RGB)	224×224	-	-	-
C1	Convolution1	16	222×222	3×3	No	ReLU
P1	Max Pooling1	16	111×111	2×2	No	-
C2	Convolution2	16	111×111	3×3	Yes	ReLU
C3	Convolution3	32	111×111	3×3	Yes	ReLU
P2	Max Pooling2	32	55×55	2×2	No	-
C4	Convolution4	32	55×55	3×3	Yes	ReLU
C5	Convolution5	64	55×55	3×3	Yes	ReLU
P3	Max Pooling3	64	27×27	2×2	No	-
C6	Convolution6	64	27×27	3×3	Yes	ReLU
C7	Convolution7	128	27×27	3×3	Yes	ReLU
P4	Max Pooling4	128	13×13	2×2	No	-
C8	Convolution8	128	13×13	3×3	Yes	ReLU
P5	Max Pooling5	128	6×6	2×2	No	-
FC1	Fully-Connected1	1	4608	-	-	ReLU
FC2	Fully Connected2	1	512	-	-	ReLU
OUT	Output	1	9	-	-	Softmax



*Note: IN denotes input layer; C convolutional layer; P pooling layer; DO dropout layer; FC fully connected layer; OUT output layer; and BN batch normalization

과적합을 방지하기 위한 규제화(regulation)

- Batch Normalization(정규화)
- Spatial Dropout = 0.2

학습 방법

딥러닝 학습 조건

학습사양

- Google Colab 사용

CNN 모델 하이퍼 파라미터

- optimizer(최적화알고리즘) : Adam Stochastic
- Batch size : 100
- Epoch : 20
- Learning rate : 0.001
- Loss function(손실함수) : categorical crossentropy

CNN 구조

주요 코드 및 실행 결과

- *tensorflow, keras 사용*

```
from keras.models import Sequential
from keras import optimizers
from keras.layers import Dense, Activation, Flatten, Conv2D, MaxPooling2D
import tensorflow as tf
from keras.layers import Dropout, BatchNormalization
from keras.preprocessing.image import ImageDataGenerator
```

#순차적 레이어 층을 더해줌

model = Sequential()

#16@3*3 ReLu, BN (Conv계층) : C1

model.add(Conv2D(filters=16, kernel_size = (3,3), padding = 'valid', activation='relu', input_shape = (X_data.shape[1], X_data.shape[2], X_data.shape[3])))

model.add(BatchNormalization())

#MaxPooling 2*2 : P1

model.add(MaxPooling2D(pool_size=(2, 2), padding='valid'))

#16@3*3 ReLu, BN (Conv계층) : C2

model.add(Conv2D(filters=16, kernel_size = (3,3), padding = 'same', activation='relu'))

model.add(BatchNormalization())

#32@3*3 ReLu, BN (Conv계층) : C3

model.add(Conv2D(filters=32, kernel_size = (3,3), padding = 'same', activation='relu'))

model.add(BatchNormalization())

#MaxPooling 2*2 : P2

model.add(MaxPooling2D(pool_size=(2, 2), padding='valid'))

#32@3*3 ReLu, BN (Conv계층) : C4

model.add(Conv2D(filters=32, kernel_size = (3,3), padding = 'same', activation='relu'))

model.add(BatchNormalization())

#64@3*3 ReLu, BN (Conv계층) : C5

model.add(Conv2D(filters=64, kernel_size = (3,3), padding = 'same', activation='relu'))

model.add(BatchNormalization())

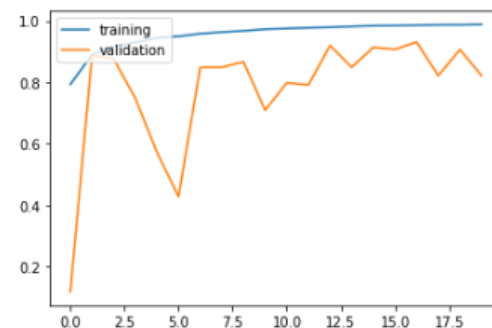
CNN 구조

주요 코드 및 실행 결과

- 총 1시간 46분 소요 epochs 20

```
Epoch 1/20
630/630 - loss: 0.5471 - accuracy: 0.7930 - val_loss: 5.7678 - val_accuracy: 0.1177 - 330s/epoch - 525ms/step
Epoch 2/20
630/630 - loss: 0.2956 - accuracy: 0.8896 - val_loss: 0.3045 - val_accuracy: 0.8866 - 330s/epoch - 523ms/step
Epoch 3/20
630/630 - loss: 0.2291 - accuracy: 0.9155 - val_loss: 0.3562 - val_accuracy: 0.8756 - 324s/epoch - 514ms/step
Epoch 4/20
630/630 - loss: 0.1936 - accuracy: 0.9297 - val_loss: 0.9597 - val_accuracy: 0.7480 - 327s/epoch - 519ms/step
Epoch 5/20
630/630 - loss: 0.1534 - accuracy: 0.9442 - val_loss: 1.9315 - val_accuracy: 0.5720 - 325s/epoch - 516ms/step
Epoch 6/20
630/630 - loss: 0.1386 - accuracy: 0.9495 - val_loss: 7.6670 - val_accuracy: 0.4276 - 326s/epoch - 517ms/step
Epoch 7/20
630/630 - loss: 0.1173 - accuracy: 0.9579 - val_loss: 0.6298 - val_accuracy: 0.8477 - 324s/epoch - 515ms/step
Epoch 8/20
630/630 - loss: 0.1023 - accuracy: 0.9632 - val_loss: 0.5988 - val_accuracy: 0.8486 - 323s/epoch - 513ms/step
Epoch 9/20
630/630 - loss: 0.0935 - accuracy: 0.9670 - val_loss: 0.7790 - val_accuracy: 0.8663 - 322s/epoch - 512ms/step
Epoch 10/20
630/630 - loss: 0.0772 - accuracy: 0.9726 - val_loss: 1.5495 - val_accuracy: 0.7088 - 316s/epoch
Epoch 11/20
630/630 - loss: 0.0726 - accuracy: 0.9748 - val_loss: 0.8647 - val_accuracy: 0.7979 - 310s/epoch
Epoch 12/20
630/630 - loss: 0.0641 - accuracy: 0.9772 - val_loss: 1.1183 - val_accuracy: 0.7914 - 313s/epoch
Epoch 13/20
630/630 - loss: 0.0600 - accuracy: 0.9790 - val_loss: 0.3366 - val_accuracy: 0.9194 - 312s/epoch
Epoch 14/20
630/630 - loss: 0.0534 - accuracy: 0.9816 - val_loss: 0.7763 - val_accuracy: 0.8487 - 316s/epoch
Epoch 15/20
630/630 - loss: 0.0459 - accuracy: 0.9838 - val_loss: 0.3896 - val_accuracy: 0.9136 - 311s/epoch
Epoch 16/20
630/630 - loss: 0.0447 - accuracy: 0.9843 - val_loss: 0.4138 - val_accuracy: 0.9070 - 311s/epoch
Epoch 17/20
630/630 - loss: 0.0415 - accuracy: 0.9855 - val_loss: 0.2909 - val_accuracy: 0.9306 - 313s/epoch
Epoch 18/20
630/630 - loss: 0.0390 - accuracy: 0.9866 - val_loss: 0.9001 - val_accuracy: 0.8213 - 311s/epoch
Epoch 19/20
630/630 - loss: 0.0380 - accuracy: 0.9867 - val_loss: 0.3653 - val_accuracy: 0.9060 - 310s/epoch
Epoch 20/20
630/630 - loss: 0.0350 - accuracy: 0.9880 - val_loss: 1.1293 - val_accuracy: 0.8216 - 312s/epoch
```

```
plt.plot(history.history['accuracy'])
plt.plot(history.history['val_accuracy'])
plt.legend(['training', 'validation'], loc = 'upper left')
plt.show()
```



```
results = model.evaluate(X_test, y_test)
print('Test accuracy: ', results[1])
```

844/844 [=====] - 33s 39ms/step - loss: 1.1293 - accuracy: 0.8216
Test accuracy: 0.8216296434402466

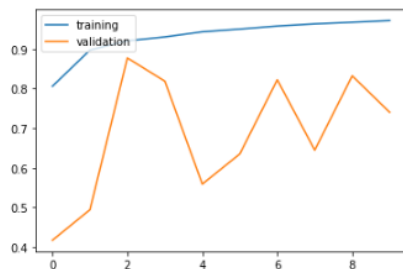
CNN 구조

주요 코드 및 실행 결과

- 총 1시간 소요 *epochs 10*

```
[11] Epoch 1/10  
720/720 - 367s - loss: 0.5183 - accuracy: 0.8061 - val_loss: 1.9119 - val_accuracy: 0.4170 - 367s/epoch - 509ms/step  
Epoch 2/10  
720/720 - 372s - loss: 0.2794 - accuracy: 0.8973 - val_loss: 4.0533 - val_accuracy: 0.4940 - 372s/epoch - 517ms/step  
Epoch 3/10  
720/720 - 368s - loss: 0.2211 - accuracy: 0.9207 - val_loss: 0.4237 - val_accuracy: 0.8774 - 368s/epoch - 511ms/step  
Epoch 4/10  
720/720 - 366s - loss: 0.1886 - accuracy: 0.9306 - val_loss: 0.6135 - val_accuracy: 0.8188 - 366s/epoch - 509ms/step  
Epoch 5/10  
720/720 - 371s - loss: 0.1540 - accuracy: 0.9436 - val_loss: 3.0143 - val_accuracy: 0.5589 - 371s/epoch - 515ms/step  
Epoch 6/10  
720/720 - 370s - loss: 0.1364 - accuracy: 0.9504 - val_loss: 2.0974 - val_accuracy: 0.6350 - 370s/epoch - 515ms/step  
Epoch 7/10  
720/720 - 370s - loss: 0.1167 - accuracy: 0.9581 - val_loss: 0.7827 - val_accuracy: 0.8224 - 370s/epoch - 514ms/step  
Epoch 8/10  
720/720 - 374s - loss: 0.0997 - accuracy: 0.9636 - val_loss: 2.8506 - val_accuracy: 0.6446 - 374s/epoch - 519ms/step  
Epoch 9/10  
720/720 - 371s - loss: 0.0909 - accuracy: 0.9677 - val_loss: 0.7290 - val_accuracy: 0.8324 - 371s/epoch - 515ms/step  
Epoch 10/10  
720/720 - 371s - loss: 0.0773 - accuracy: 0.9719 - val_loss: 1.7237 - val_accuracy: 0.7402 - 371s/epoch - 515ms/step
```

```
[12] plt.plot(history.history['accuracy'])  
plt.plot(history.history['val_accuracy'])  
plt.legend(['training', 'validation'], loc = 'upper left')  
plt.show()
```



```
[13] results = model.evaluate(X_test, y_test)  
print('Test accuracy: ', results[1])  
  
563/563 [=====] - 25s 43ms/step - loss: 1.7237 - accuracy: 0.7402  
Test accuracy: 0.7401666641235352
```

결과 및 토의

성능 평가

- Confusion matrix

```
import numpy as np
import matplotlib.pyplot as plt

# Look at confusion matrix

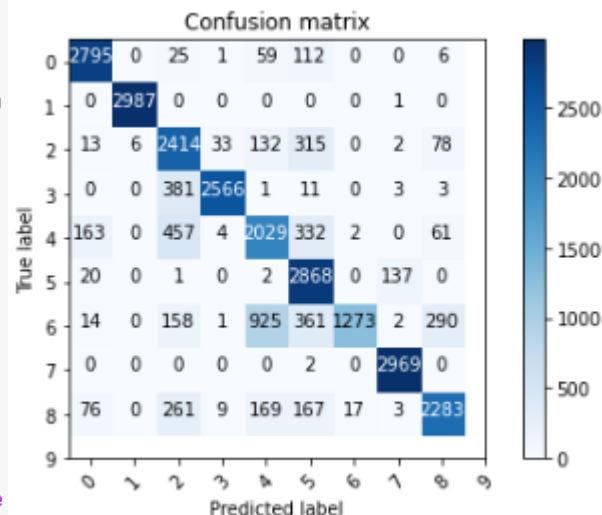
def plot_confusion_matrix(cm, classes, normalize=False, title='Confusion matrix', cmap=plt.cm.Blues):
    plt.imshow(cm, interpolation='nearest', cmap=cmap)
    plt.title(title)
    plt.colorbar()
    tick_marks=np.arange(len(classes))
    plt.xticks(tick_marks, classes, rotation=45)
    plt.yticks(tick_marks, classes)

    if normalize:
        cm = cm.astype('float') / cm.sum(axis=1)[:, np.newaxis]

    thresh = cm.max() / 2.
    for i in range(cm.shape[0]):
        for j in range(cm.shape[1]):
            plt.text(j, i, cm[i, j], horizontalalignment="center", color="white" if cm[i, j] > thresh else

    plt.tight_layout()
    plt.ylabel('True label')
    plt.xlabel('Predicted label')

# Predict the values from the validation dataset
Y_pred = model.predict(X_test)
# Convert predictions classes to one hot vectors
Y_pred_classes = np.argmax(Y_pred, axis = 1)
# Convert validation observations to one hot vectors
Y_true = np.argmax(y_test, axis = 1)
# compute the confusion matrix
confusion_mtx = confusion_matrix(Y_true, Y_pred_classes)
# plot the confusion matrix
plot_confusion_matrix(confusion_mtx, classes = range(10))
```



'Center':0, 'Donut':1, 'Edge-Loc':2, 'Edge-Ring':3,
'Loc':4, 'Random':5, 'Scratch':6, 'Near-full':7, 'none':8

감사합니다