

STATS 507

Data Analysis in Python

Week5-1: Algorithm thinking

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Recap: Iterator as an object

An iterator is an object that represents a “data stream”

Supports method `__next__()`:

returns next element of the stream/sequence

raises `StopIteration` error when there are no more elements left

```
1 class Squares():
2     '''Iterator over the squares.'''
3     def __init__(self):
4         self.n = 0
5     def __next__(self):
6         (self.n, k) = (self.n+1, self.n)
7         return(k*k)
8     def __iter__(self):
9         return(self)
10 s = Squares()
11 for x in s:
12     print(x)
```

Iterable means that an object has the `__iter__()` method, which returns an iterator. So `__iter__()` returns a new object that supports `__next__()`.

`__next__()` is the important point, here.
It returns a value, the next square.

Now `Squares` supports `__iter__()` (it just returns itself!), so Python allows us to iterate over it.

Recap: Generators

```
1 def harmonic():
2     (h,n) = (0,1)
3     while True:
4         (h,n) = (h+1/n, n+1)
5         yield h
6 h = harmonic()
7 h
```

Python sees the `yield` keyword and determines that this should be a generator definition rather than a function definition.

<generator object harmonic at 0x1053b9fc0>

```
1 next(h)
```

1.0

Each time we call `next`, Python runs the code in `h` from where it left off until it encounters a `yield` statement.

```
1 next(h)
```

1.5

```
1 next(h)
```

1.8333333333333333

If/when we run out of `yield` statements (i.e., because we reach the end of the definition block), the generator returns a `StopIteration` error, as required of an iterator (not shown here).

Recap: Handling exceptions

Exception handler in Python

```
try:                                if <all potentially problematic code succeeds>:
    # do some potentially          # great, all that code
    # problematic code            # just ran fine!
except:                              else:
    # do something to             # do something to
    # handle the problem          # handle the problem
```

Besides `except` blocks

- `else`
 - Body will always be executed **when** `try` block completes with no exceptions
- `finally`
 - Useful for cleanup actions

```
def divide_numbers(a, b):
    try:
        result = a / b
    except ZeroDivisionError:
        return "Error: Division by zero is not allowed."
    else:
        return f"The result is: {result}"
    finally:
        print("Execution complete.")
```

Execution complete.

'The result is: 2.0'

Recap: Assertion as a defensive programming

- Check inputs to functions, but can be used anywhere
- Check outputs to functions to avoid propagating bad values
- Can make it easier to debug.

`assert <statement that should evaluate to be true>, "statement not true"`

```
def divide(a, b):  
    assert b != 0, "Division by zero is not allowed"  
    return a / b  
  
# Test the function  
print(divide(10, 2)) # This will work fine  
print(divide(10, 0)) # This will raise an AssertionError
```

```
class Person:  
    def __init__(self, age):  
        assert age > 0, "Age must be positive"  
        self.age = age  
  
# Create a Person object  
p = Person(25) # Works fine  
p = Person(-5) # Raises AssertionError
```

Program efficiency is also important

Besides correctness

- Test cases to check the output ...

The efficiency of the programs is also of great importance

- **Data sets** can be very large
- Problem can get **complex**

What should we really be about?

- **Time** efficiency (how fast)
- Space efficiency (how much memory)
- Tradeoff between them (use more memory to save time)
 - Fibonacci recursive v.s Fibonacci with memorization
- Focus on the **algorithms** not implementations
 - `while` and `for`

1. Timing programs and counting operations

2. Big Oh and Theta

3. More Examples

Measure with a timer

We can evaluate programs by

- Measure with a **timer**
- Count the operations

- **Start** clock
- **Call** function
- **Stop** clock

```
def my_fun(n):  
    total = 0  
    for i in range(n):  
        total += i  
    return total
```

```
import time  
def measure_time(func, n):  
    start = time.time()  
    func(n)  
    end = time.time()  
    return end - start  
input_sizes = [10, 100, 1000, 10000, 100000]  
for n in input_sizes:  
    execution_time = measure_time(my_fun, n)  
    print(f"n = {n:<7}, Time = {execution_time:.6f} seconds")
```

Seconds since the **epoch**: Jan, 01, 1970, where time starts for Unix Systems.

```
n = 10      , Time = 0.000005 seconds  
n = 100     , Time = 0.000007 seconds  
n = 1000    , Time = 0.000058 seconds  
n = 10000   , Time = 0.000624 seconds  
n = 100000  , Time = 0.007215 seconds
```


Timer in practice: `time.perf_counter()`

`time.perf_counter()` in practice

- Specifically designed for performance measurement
- More accurate, higher precision, often in nanosecond v.s microsecond for `time.time()`

- **Start** clock
- **Call** function
- **Stop** clock

```
def perf_measure(func, n):  
    start = time.perf_counter()  
    func(n)  
    end = time.perf_counter()  
    return end - start  
  
input_sizes = [10, 100, 1000, 10000, 100000]  
for n in input_sizes:  
    execution_time = perf_measure(my_fun, n)  
    print(f"n = {n:<7}, Time = {execution_time:.6f} seconds")
```

```
n = 10      , Time = 0.000001 seconds  
n = 100     , Time = 0.000002 seconds  
n = 1000    , Time = 0.000019 seconds  
n = 10000   , Time = 0.000166 seconds  
n = 100000  , Time = 0.001698 seconds
```

Does the time depend on the input parameters? How?

Potential problem with timing to evaluate efficiency?

Counting operations

Besides measure with a timer, We can also evaluate programs by

- Count the operations

Assume all those steps take constant time

- Mathematical operations
- Comparisons
- Assignments
- Accessing objects in memory (indexing)

```
def array_sum(arr):  
    total = 0  
    for i in range(len(arr)):  
        total += arr[i]  
    return total
```

```
def array_sum(arr):  
    total = 0 # 1 operation (assignment)  
    for i in range(len(arr)):  
        total += arr[i] # 3 operations per iteration:  
                        # 1 for array access  
                        # 1 for addition  
                        # 1 for assignment back to total  
    return total # 1 operation (return)
```

$$1 + (3 * n) + 1 = 3n + 2$$

Counting operations

```
def print_all_pairs(arr):  
    n = len(arr) # 1 operation  
    for i in range(n):  
        for j in range(n):  
            print(f"({arr[i]}, {arr[j]})") #  
print_all_pairs([1,2,3])
```

(1, 1)
(1, 2)
(1, 3)
(2, 1)
(2, 2)
(2, 3)
(3, 1)
(3, 2)
(3, 3)

$$1 + (n * n) * 2 = 2n^2 + 1$$

```
import time  
def fibonacci(n):  
    if n <= 1:  
        return 1 # Base case, 1 operation  
    # For each n, the function calls itself twice  
    return fibonacci(n - 1) + fibonacci(n - 2)
```

```
def perf_measure(func, n):  
    start = time.perf_counter()  
    func(n)  
    end = time.perf_counter()  
    return end - start
```

```
input_sizes = [5, 10, 20, 100]  
for n in input_sizes:  
    execution_time = perf_measure(fibonacci, n)  
    print(f"n = {n:<7}, Time = {execution_time:.6f} seconds")
```

```
n = 5      , Time = 0.000006 seconds  
n = 10     , Time = 0.000034 seconds  
n = 20     , Time = 0.003413 seconds
```

What is the closed form?

[Reference](#)

$\sim 2^n$ (exponential)

Problems with timing and counting

Timing the exact running time of the program:

- Depends on machine
- Depends in implementation
- Small inputs don't show growth

Counting the exact number of steps:

- Gives a formula
- Do NOT depend on machine
- Depends on the implementation
- Also consider irrelevant operations for largest inputs
 - Initial assignment, addition

Goal:

- Evaluate algorithms (not implementation)
- Evaluate scalability just in terms of input size

1. Timing programs and counting operations

2. Big Oh and Theta

3. More Examples

Asymptotic growth: the order of growth

We can evaluate programs by

- Timer
- Count the operations
- Abstract notion of order of growth

Goal: Describe how run time grows as size of input grows

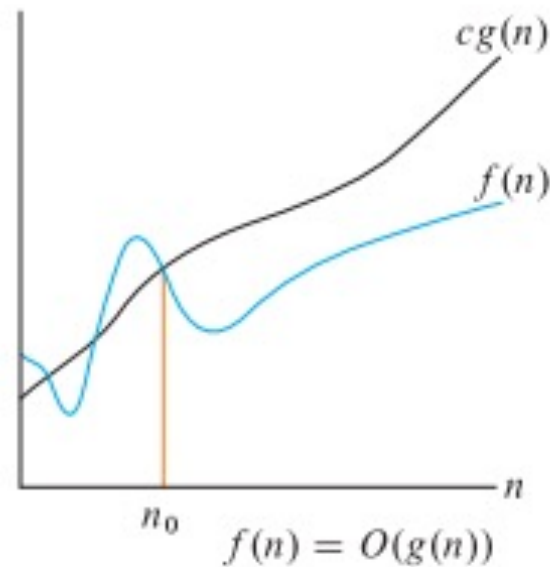
- Want to put a **bound** on growth
- Do **NOT** need to be precise: “order of ” not “exact” growth
- Want to focus on terms that grows most rapidly
 - Ignore additive and multiplicative constants

This is called **order of growth**

- Use mathematical notions of “Big Oh(O)” and “Big Theta(Θ)”

Big O definition

O-notation characterizes an upper bound on the asymptotic behavior of a function. In other words, it says that a function grows no faster than a certain rate, based on the highest-order term.



A function $f(n)$ belongs to the set $O(g(n))$

For example:

$$f(n) = 3n^2 + 5$$
$$g(n) ?$$

Just an upper bound:

$$c_0 g(n) = 4n^2$$

$$c_0 g(n) = 2n^3$$

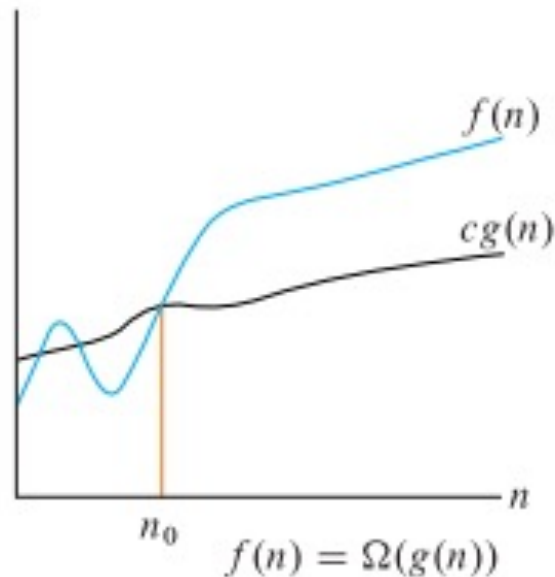
$$c_0 g(n) = 1 * 2^n$$

$f(n) = O(g(n))$ means there exist constants c_0, n_0 for which

$$c_0 g(n) \geq f(n) \quad \forall n > n_0$$

Big Ω definition

Ω -notation characterizes a **lower bound** on the asymptotic behavior of a function. In other words, it says that a function grows no slower than a certain rate, based on the highest-order term.



For example:

$$f(n) = 3n^2 + 5$$
$$g(n) ?$$

Just an lower bound:

$$c_0 g(n) = 2n^2$$

$$c_0 g(n) = 2n$$

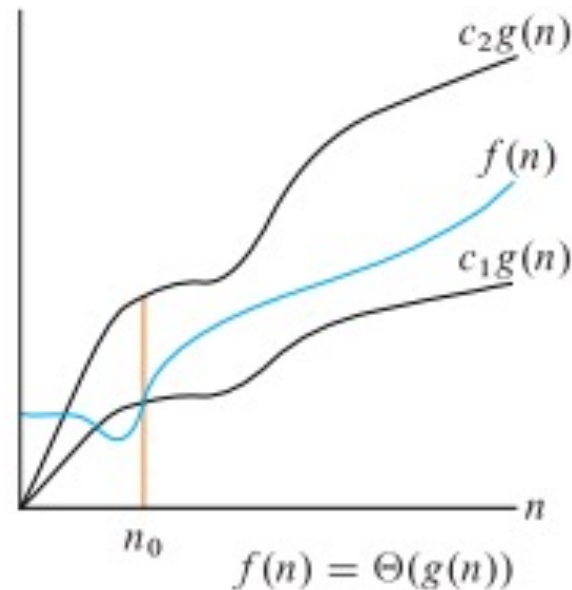
$$c_0 g(n) = 5$$

$f(n) = \Omega(g(n))$ means there exist constants c_0, n_0 for which

$$f(n) \geq c_0 g(n). \forall n > n_0$$

Big Θ definition

Θ -notation characterizes a **tight bound** (upper and lower) on the asymptotic behavior of a function.



Now for Θ -notation :
 $f(n) = 3n^2 + 5$
 $g(n) ?$

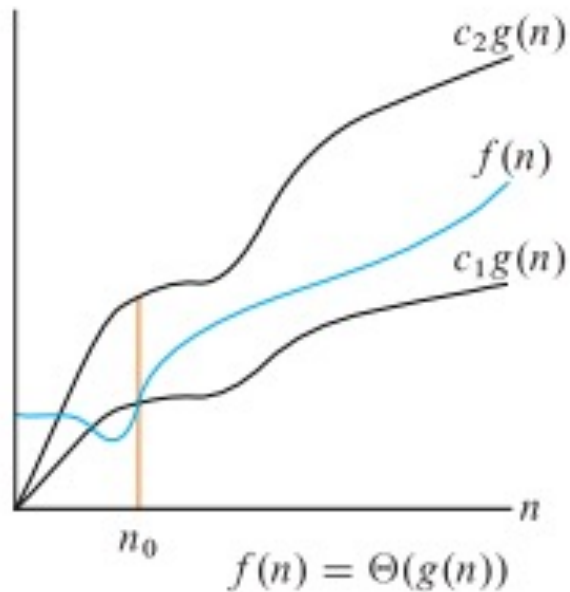
$$c_0g(n) = 4n^2$$

$f(n) = \Theta(g(n))$ means there exist constants c_1, c_2, n_0 for which

$$c_2g(n) \geq f(n) \geq c_1g(n). \forall n > n_0$$

O v.s Θ

In practice, Θ bounds are preferred, because they are “tighter”



Now for Θ -notation :

$$f(n) = 3n^2 + 5$$
$$g(n) ?$$

$$c_0g(n) = 4n^2$$



$$c_0g(n) = 2n^3$$



$$c_0g(n) = 1 * 2^n$$



Let's try to find $\Theta(x)$

- Drop **constants** and **multiplicative** factors
- Focus on **dominant** terms

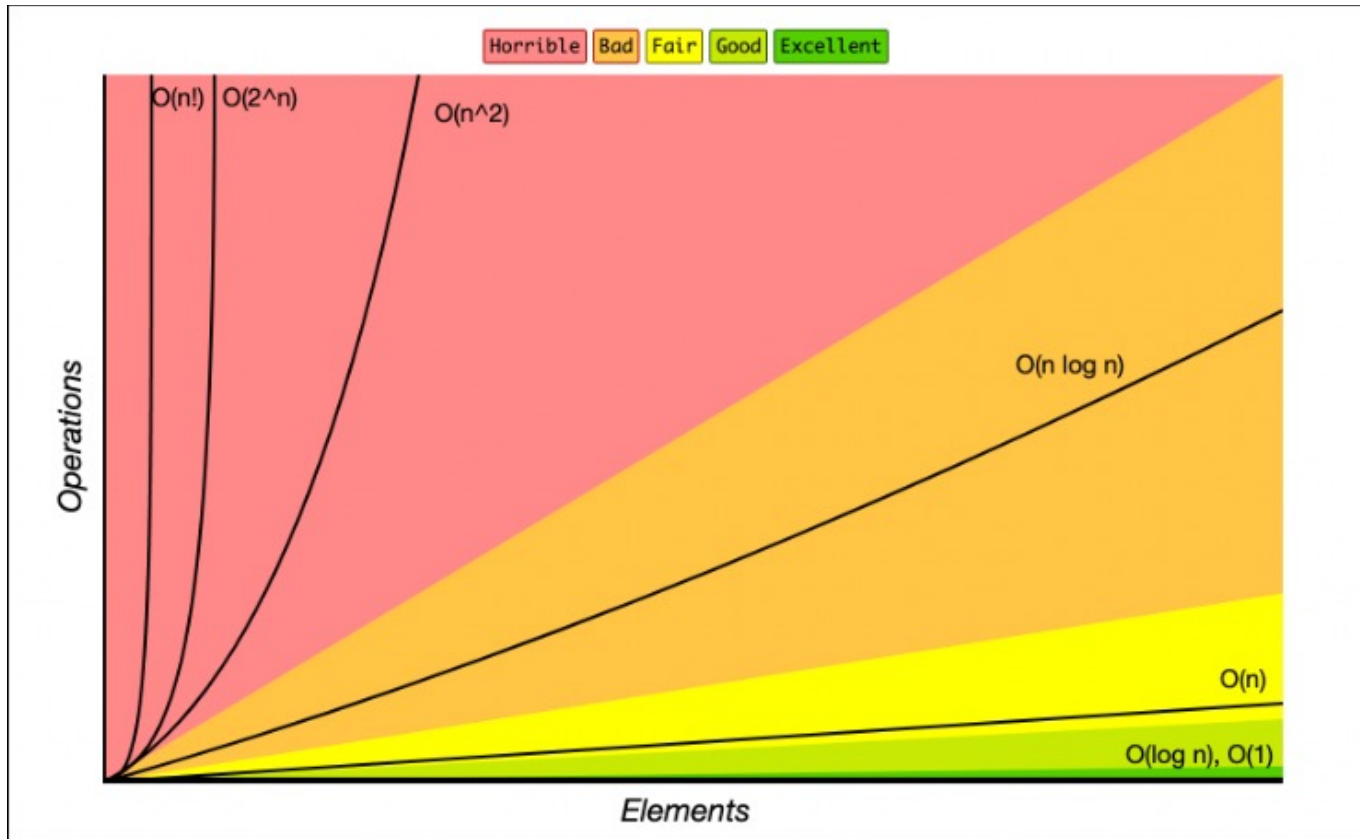
$1000 \cdot \log(x) + x$

$n^2 \log(n) + n^3$

$\log(y) + 0.0000001y$

$2^b + 1000a^2 + 100 \cdot b^2 + 0.0001a^3$

$\Theta(x)$ Complexity Classes



- $\Theta(1)$: denotes **constant** running time
- $\Theta(\log n)$: denotes **logarithmic** running time
- $\Theta(n)$: denotes **linear** running time
- $\Theta(n \log n)$: denotes **log-linear** running time
- $\Theta(n^c)$: denotes **polynomial** running time
- $\Theta(c^n)$: denotes **exponential** running time
- $\Theta(n!)$: denotes **factorial** running time

In-class practice

$\Theta(x)$: Worst case scenario


We'll usually (but not always) concentrating on find the **worst-case** running time.

- Holds true for any input
- Happen fairly often.
- Sometimes, we use **average-case**

```
def is_element_in_list(element, lst):  
    for item in lst:  
        if item == element:  
            return True  
    return False
```

```
numbers = [1, 3, 5, 7, 9, 11, 13, 15]  
print(is_element_in_list(1, numbers))  
print(is_element_in_list(7, numbers))  
print(is_element_in_list(19, numbers))
```

```
True  
True  
False
```

- 
- $\Theta(1)$: denotes **constant** running time
 - $\Theta(\log n)$: denotes **logarithmic** running time
 - $\Theta(n)$: denotes **linear** running time
 - $\Theta(n \log n)$: denotes **log-linear** running time
 - $\Theta(n^c)$: denotes **polynomial** running time
 - $\Theta(c^n)$: denotes **exponential** running time
 - $\Theta(n!)$: denotes **factorial** running time

1. Timing programs and counting operations

2. Big Oh and Theta Notation

3. More Examples

Constant and linear running time

- $\Theta(1)$: denotes **constant** running time
- Independent of input size
- $\Theta(n)$: denotes **linear** running time

```
def get_element(arr, index):  
    return arr[index]
```

```
def is_even(number):  
    return number % 2 == 0
```

```
def array_sum(arr):  
    total = 0 # 1 operation (assignment)  
    for i in range(len(arr)):  
        total += arr[i] # 3 operations per iteration:  
                        # 1 for array access  
                        # 1 for addition  
                        # 1 for assignment back to total  
    return total # 1 operation (return)
```


Logarithmic running time

- $\Theta(\log n)$: denotes **logarithmic** running time
- Example: takes a **sorted** array and a target value as input.

```
def binary_search(arr, target):
    left, right = 0, len(arr) - 1

    while left <= right:
        mid = (left + right) // 2

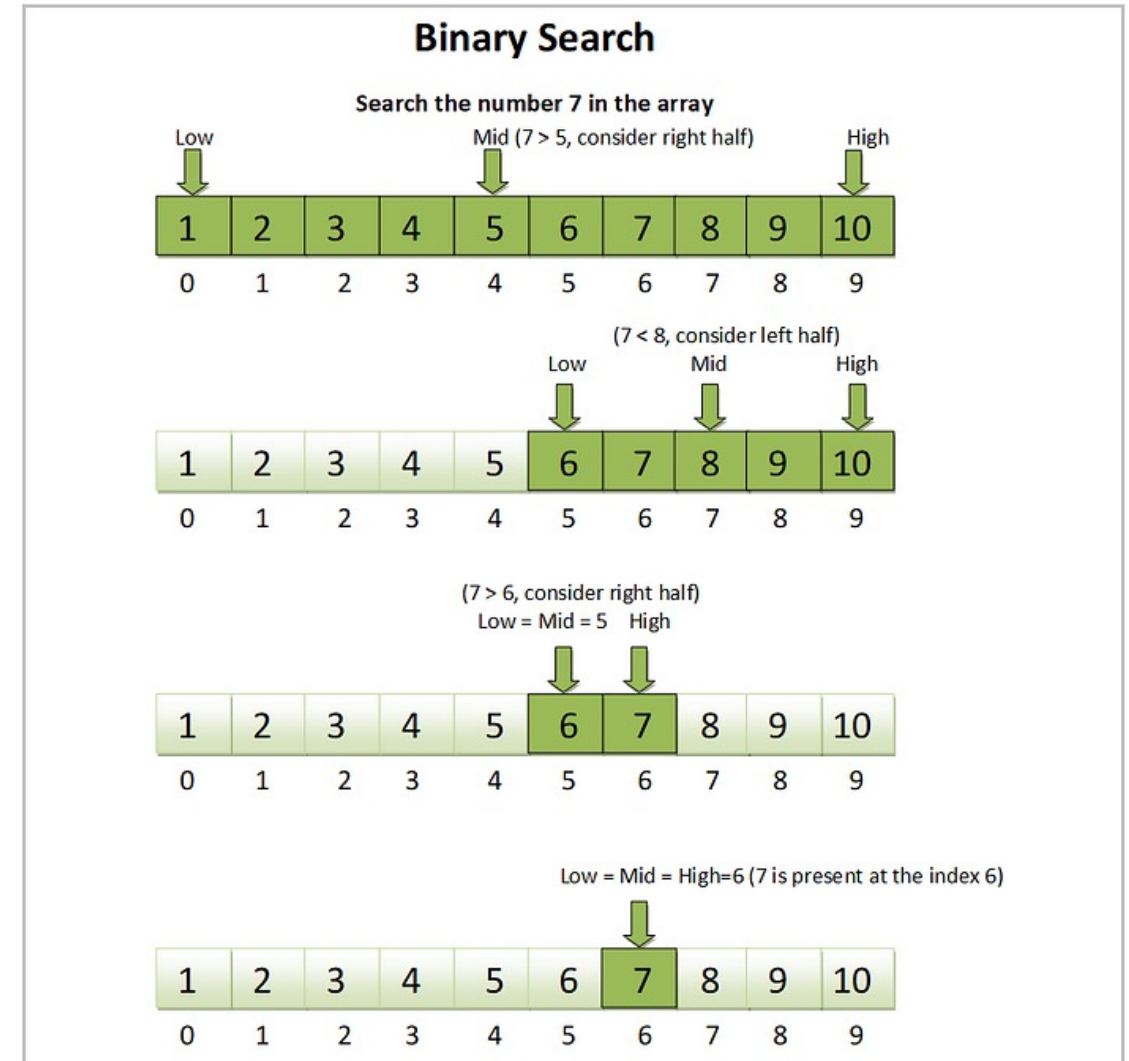
        if arr[mid] == target:
            return mid # Target found, return its index
        elif arr[mid] < target:
            left = mid + 1 # Target is in the right half
        else:
            right = mid - 1 # Target is in the left half

    return -1 # Target not found

# Example usage
sorted_array = [1, 2, 3, 4, 5, 6, 7, 8, 9, 10]
target = 7
result = binary_search(sorted_array, target)

if result != -1:
    print(f"Target {target} found at index {result}")
else:
    print(f"Target {target} not found in the array")
```

Target 7 found at index 6



Log-linear running time

- $\Theta(n \log n)$: denotes **log-linear** running time
 - Divide ($\log n$)
 - Merge (n)

These algorithms are generally preferred for large datasets because they **scale** well.

More on sorting: [Intro to Algorithm](#)

```
def merge_sort(arr):
    if len(arr) <= 1:
        return arr
    # Divide the array into two halves
    mid = len(arr) // 2
    left_half = arr[:mid]
    right_half = arr[mid:]
    # Recursively sort both halves
    left_half = merge_sort(left_half)
    right_half = merge_sort(right_half)
    # Merge the sorted halves
    return merge(left_half, right_half)

def merge(left, right):
    result = []
    left_index, right_index = 0, 0

    # Compare elements from both lists and add the smaller one to the result
    while left_index < len(left) and right_index < len(right):
        if left[left_index] <= right[right_index]:
            result.append(left[left_index])
            left_index += 1
        else:
            result.append(right[right_index])
            right_index += 1
    result.extend(left[left_index:])
    result.extend(right[right_index:])
    return result

# Example usage
unsorted_array = [64, 34, 25, 12, 22, 11, 90]
sorted_array = merge_sort(unsorted_array)
print("Sorted array:", sorted_array)
```

Sorted array: [11, 12, 22, 25, 34, 64, 90]

Exponential and factorial running time

- $\Theta(c^n)$: denotes **exponential** running time
- Sometimes can be replaced by **faster** algorithms via memorization

```
import time
def fibonacci(n):
    if n <= 1:
        return 1 # Base case, 1 operation
    # For each n, the function calls itself twice
    return fibonacci(n - 1) + fibonacci(n - 2)

def perf_measure(func, n):
    start = time.perf_counter()
    func(n)
    end = time.perf_counter()
    return end - start

input_sizes = [5, 10, 20, 100]
for n in input_sizes:
    execution_time = perf_measure(fibonacci, n)
    print(f"n = {n:<7}, Time = {execution_time:.6f} seconds")
```

```
n = 5      , Time = 0.000006 seconds
n = 10     , Time = 0.000034 seconds
n = 20     , Time = 0.003413 seconds
```

- $\Theta(n!)$: denotes **factorial** running time
 - For n distinct objects, there are $(n!)$ permutations

```
def permute(lst):
    # Base case: if the list has only one element
    if len(lst) == 1:
        return [lst]
    # Recursive case
    permutations = []
    for i in range(len(lst)):
        current = lst[i]
        remaining = lst[:i] + lst[i+1:]
        for p in permute(remaining):
            permutations.append([current] + p)
    return permutations

# Example usage
example_list = [1, 2, 3]
result = permute(example_list)
print(f"All permutations of {example_list}:")
for perm in result:
    print(perm)
```

All permutations of [1, 2, 3]:

```
[1, 2, 3]
[1, 3, 2]
[2, 1, 3]
[2, 3, 1]
[3, 1, 2]
[3, 2, 1]
```

Other things

HW4 due this week.

Midterm in 2.5 weeks.

Read chap1-3 of [Intro to Algorithm](#)

Coming next:
Intro to Numpy.