

# A NEW SPINE FOR TEICHMUELLER SPACE OF CLOSED HYPERBOLIC SURFACES

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ABSTRACT. We provide a self-contained construction of a minimal dimension equivariant spine for Teichmüller space of hyperbolic surfaces. The spine consists of hyperbolic surfaces which are filled by their shortest nonseparating essential curves. The spine is not identical with Thurston’s original proposal.

## 1. INTRODUCTION

Let  $S$  be a closed compact connected hyperbolic surface. In this article we prove the following

**Main Theorem.** *A minimal dimension equivariant spine  $W$  of Teichmüller space  $Teich(S)$  consists of surfaces which are filled by their shortest essential nonseparating geodesics.*

The spine  $W$  is distinct from W. Thurston’s original construction [3], the key difference being our emphasis on essential *nonseparating* curves. The complete proof of our Main Theorem requires constructing a continuous mapping class group equivariant strong deformation retract of  $Teich(S)$  onto  $W$ , and proving that  $W$  has codimension equal to  $2g-1$  in  $Teich(S)$ . To construct continuous retractions requires canonical tangent vectors, and this is addressed in Lemma ?? which constructs harmonic one forms  $\phi$  on the surface adapted to short nonseparating curves. Our observation is the Belt Tightening Lemma 3 in §4 which says: whenever short nonseparating curves are non filling, we can *simultaneously increase* their lengths by flowing along a Teichmüller deformation in the “direction of  $\phi$ ”. We iterate the construction of  $\phi$  and the Teichmüller deformations to obtain a sequence of continuous retracts  $W_j \rightarrow W_{j+1}$  whose composition yields the desired deformation retract of Teichmüller space onto  $W$ .

## 2. C-SYSTOLES, HOMOLOGICAL RANK, AND FILLING

We begin with some definitions and notation. Let  $(S, g)$  be a closed hyperbolic surface with constant Gauss curvature  $\kappa = -1$ . A collection of curves is *essential* if the curves are homotopically nontrivial. The collection is *nonseparating* if the curves are nonzero in  $H_1(S, \mathbf{Z})$ . Let  $C = C(g)$  denote the set of all geodesic nonseparating essential curves on  $(S, g)$ . Let  $C_0$  be an arbitrary subset of  $C$ . The complexity of  $C_0$  is defined as the rank of the homological image of  $C_0$ , namely

$$\xi(C_0) := \dim \text{span}(H_1(C_0)).$$

We observe  $\xi = \xi(C_0)$  is an integer taking every integral value between  $1 \leq \xi \leq 2g$  where  $g$  is the genus of the surface  $S$ . A collection of curves  $C_0$  *fills the surface*  $S$  if the complement  $S - C_0$  is a disjoint union of topological disks. We define the  $C$ -systoles of  $(S, g)$  to be the shortest curves in  $C$  relative to  $g$ -length. We emphasize that  $C$  consists of essential *nonseparating* geodesics. The  $C$ -systoles of a given metric  $g$  are denoted by  $C' = C'(g)$ .

These definitions imply the following

**Lemma 1.** *A subset  $C_0 \subset C$  fills  $S$  if and only if  $H_1(C_0) = H_1(S)$ .*

*Proof.* By definition  $C_0$  consists of essential nonseparating curves, and their homological image generates a subspace  $H'$  in  $H_1$ . By classification of surfaces, the complement  $S - C'$  is a disjoint union of surfaces with geodesic corners and topological types  $S_{g,b}$  for various values of  $g \geq 0, b \geq 1$ . If  $C'$  does not fill  $S$ , then by definition there exists some connected component  $S_{g,b}$  with  $g + b \geq 2$ . We have two cases:

(Case 1) If  $g > 0$ , then it's evident there exists a nonseparating interior homology curve on  $S_{g,b}$  which injects into  $H_1(S)$ .

(Case 2) If  $g = 0$  then our assumptions imply the component has topological type  $S_{0,b}$  with  $b \geq 2$ . This implies there exists nontrivial relative one cycles in  $S_{0,b}$  modulo  $\partial S_{0,b}$ . This nonzero relative one cycle extends nonuniquely to some nonseparating curve  $\hat{\beta}$  in  $S$ . We claim the nonunique homology cycle  $[\hat{\beta}]$  is linearly independant from  $H'$ . This follows from Poincare-Lefschetz duality.  $\square$

## 3. CANONICAL HARMONIC ONE FORMS ADAPTED TO $C$ -SYSTOLES

The construction of equivariant retracts of Teichmueller space requires defining “canonical flow directions”. This is subtle and crucial aspect

of global continuous retracts, and leads to controversy especially with respect to Thurston's preprint [3]. In the following lemma we apply a simple variational idea to canonically define harmonic one forms along which we will "Teichmueller flow".

**Lemma 2.** *If the  $C$ -systoles  $C'$  do not fill the hyperbolic surface, then there exists a canonical harmonic one form  $\phi$  such that:*

- (i) *the kernel  $\ker \phi^*$  is parallel to  $\alpha$  for all  $\alpha \in C'$ ; and*
- (ii) *the harmonic form  $\phi$  satisfies  $|\phi| = 1$  almost everywhere along the curves  $\alpha \in C'$ . Equivalently if the curves  $\alpha$  are parameterized by arclength, then  $|\phi(\alpha')| = 1$  almost everywhere along  $C'$ .*

*Proof of Lemma.* Consider the homological image  $H' := H_1(C')$  in  $H_1(S)$ . The annihilator of  $H'$  in the cohomology group  $H^1(S)$  consists of one forms  $\psi$  which satisfy  $\int_{\alpha} \psi = 0$  for all  $\alpha \in H'$ . If  $C'$  does not fill  $S$ , then  $H' \neq H_1(S)$  and  $\text{Ann}(H')$  is a nonzero subspace of  $H^1(S)$ . Now consider the closed convex subset  $K'$  of all one forms  $\phi$  which satisfy  $\int_{\alpha} \phi \geq 1$  for all  $\alpha \in H'$ . This subset is nonempty if  $C'$  is not filling. We observe that there exists a uniquely defined  $L^2$  shortest one form  $\phi_0$  in  $K'$ . This shortest one form is also orthogonal to  $\text{Ann}(H')$ , i.e. we have  $\iint_S \alpha \wedge \beta^* = 0$  for all  $\beta \in \text{Ann}(H')$ . By Hodge's theorem  $\phi_0$  is uniquely represented as a harmonic one form. This shortest harmonic one form  $\phi = \phi_0$  is the desired canonical one form.  $\square$

#### 4. BELT TIGHTENING LEMMA

Recall that  $C' = C'(g)$  consists of the shortest essential nonseparating geodesics on the hyperbolic surface  $(S, g)$ . The following lemma is our main observation.

**Lemma 3** (Belt Tightening). *Let  $(S, g)$  be hyperbolic surface with  $C$ -systoles  $C'$ . If  $C'$  does not fill the surface, then there exists a one-parameter deformation  $\{g_t\}$  in  $\text{Teich}(S)$  such that*

- (i) *the metric  $g_t$  is hyperbolic for all  $t \geq 0$  and  $g_0 = g$ , and*
- (ii) *the curve lengths  $\ell(\gamma, g_t)$  are simultaneously increasing for all  $t \geq 0$  and all  $\gamma \in C'$ .*

The harmonic one form  $\phi = \phi_0$  constructed in Lemma 2 allows us to define holomorphic quadratic differentials  $q := (\phi + i\phi^*)^2$  on  $S$ .

Moreover for every real parameter  $t \geq 0$  we define  $q_t := (e^t \phi + i e^{-t} \phi^*)^2$ . By construction  $q_t$  is a holomorphic quadratic differential for every  $t \geq 0$  and  $q_0 = q$ . Teichmüller's Theorem [1] says holomorphic quadratic forms  $q$  induce deformations of hyperbolic structures on  $S$  via  $g' := g + \operatorname{Re}(q)$ . If  $q = (\phi + i\phi^*)^2$ , then we find

$$g' = g + (\phi\phi - \phi^*\phi^*).$$

The idea behind the following Belt Tightening Lemma is to explicitly deform the hyperbolic metric via  $g_t := g + tq_t$  for  $t \geq 0$ , and then directly compute the variation in the  $g_t$ -lengths of the curves  $\alpha$  in  $C'$ .

**Lemma 4.** *For  $t \geq 0$  let  $g_t := g + tq_t$  be the symmetric quadratic form constructed above. For every curve  $\alpha$  we have ratio  $\ell(\alpha, g)/\ell(\alpha, g_t)$  satisfying [insert].*

*Proof.*

□

## 5. CONTINUOUS WELL ROUNDED RETRACTS OF TEICHMUELLER SPACE

In this section we construct the well-rounded retract of  $\operatorname{Teich}(S)$ . If the systoles  $C'$  do not fill  $S$ , then Lemma ?? proves there exists a canonical harmonic one form  $\phi$  such that  $\ker \phi^*$  is parallel to  $\alpha$  and  $\phi$  is a.e. uniform for every  $\alpha \in C'$ . By Belt Tightening Lemma 3 we can simultaneously increase the lengths in the direction of  $\phi$ . This leads us to defining our well rounded retract.

**Definition:** For every index  $1 \leq j \leq 2g$ , let  $W_j$  be the subvariety of  $\operatorname{Teich}(S)$  consisting of hyperbolic metrics whose  $C$ -systoles satisfy  $\xi(C) \geq j$ .

**Theorem 5.** *For every index  $1 \leq j \leq 2g - 1$ , there exists a continuous equivariant deformation retract  $W_j \rightarrow W_{j+1}$ . Moreover  $W_{j+1}$  has codimension one in  $W_j$ .*

*Proof.* The general retract  $W_j \rightarrow W_{j+1}$  is defined as follows. Let  $(S, g)$  be a hyperbolic surface in  $W_j$  with  $\xi(C(g)) = j < 2g$ . Let  $\{g_t\}$  be the unique one-parameter deformation of hyperbolic metrics constructed in Belt Tightening Lemma 3 which simultaneously increase the lengths of  $C'$ . The result follows from the following Claims (i), (ii), (iii).

\*Claim (i):\* There exists a minimal stopping time  $\tau = \tau(g)$  which depends continuously on  $g$  such that  $g_\tau \in W_{j+1}$ .

Equivalently  $\tau$  is the unique minimal time such that a new homologically independent  $C$ -systole appears and which strictly increases the complexity  $\xi$ . Analytically  $\tau$  is defined as the least time  $t$  such that  $\xi(S_0(g_t)) > \xi(S_0(g))$ .

\*Claim (ii):\* The one-parameter deformation defines a continuously well-posed global retraction  $g \mapsto g_\tau$  from  $W_j$  to  $W_{j+1}$ .

\*Claim (iii):\* The subvariety  $W_{j+1}$  is a codimension one subvariety of  $W_j$ .

These claims are established below.  $\square$

*Proof of Main Theorem.* The retract  $Teich \rightarrow W$  is defined as the composition of retracts  $W_1 \rightarrow W_2 \rightarrow \cdots \rightarrow W_{2g}$  constructed in Theorem 5. It follows that  $W_{2g}$  is a codimension  $2g - 1$  subvariety of  $Teich(S)$ , and this is the minimal possible dimension according to Bieri-Eckmann homological duality.  $\square$

**Remark:** Geometric minimality requires a further homological duality argument a la Souto-Pettet [2].

#### REFERENCES

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