



# How and where can cosmic rays reach ultrahigh energies?

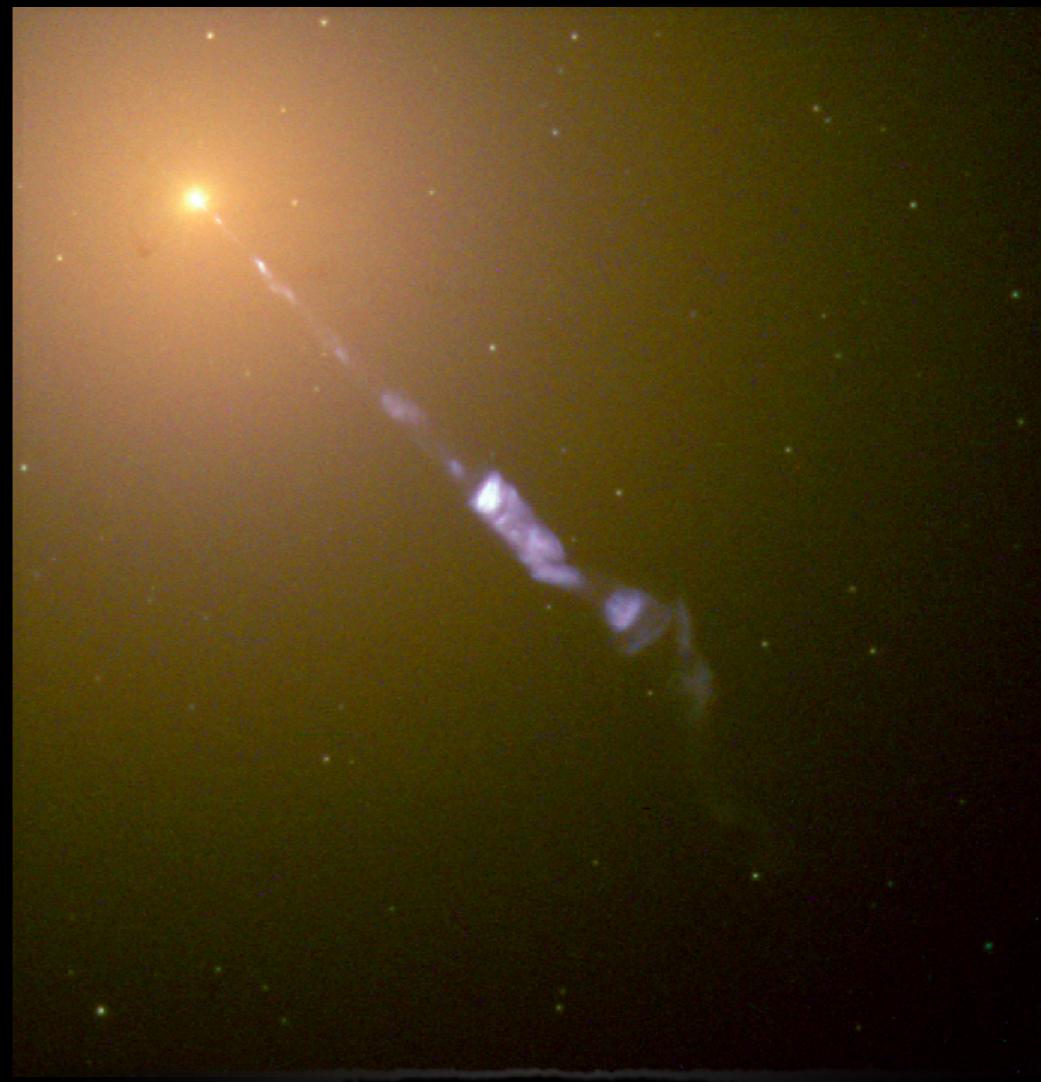
James Matthews  
Institute of Astronomy, University of Cambridge

Thanks to:  
Tony Bell, Katherine Blundell (Oxford),  
Andrew Taylor (DESY Zeuthen)  
Anabella Araudo (Czech Academy of Sciences)

# Two 100-year old physics problems...



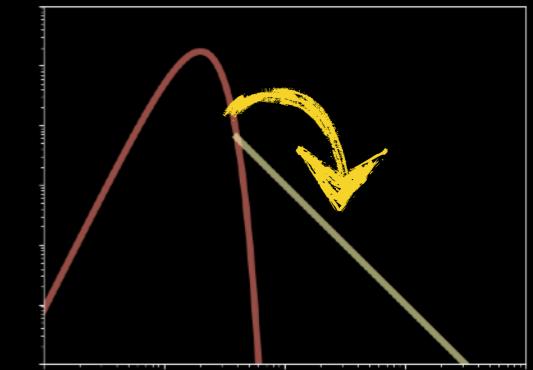
*“The results of the observations seem most likely to be explained by the assumption that radiation of very high penetrating power enters from above into our atmosphere.”*



*“A curious straight ray lies in a gap in the nebulosity, apparently connected with the nucleus by a thin line of matter.”*

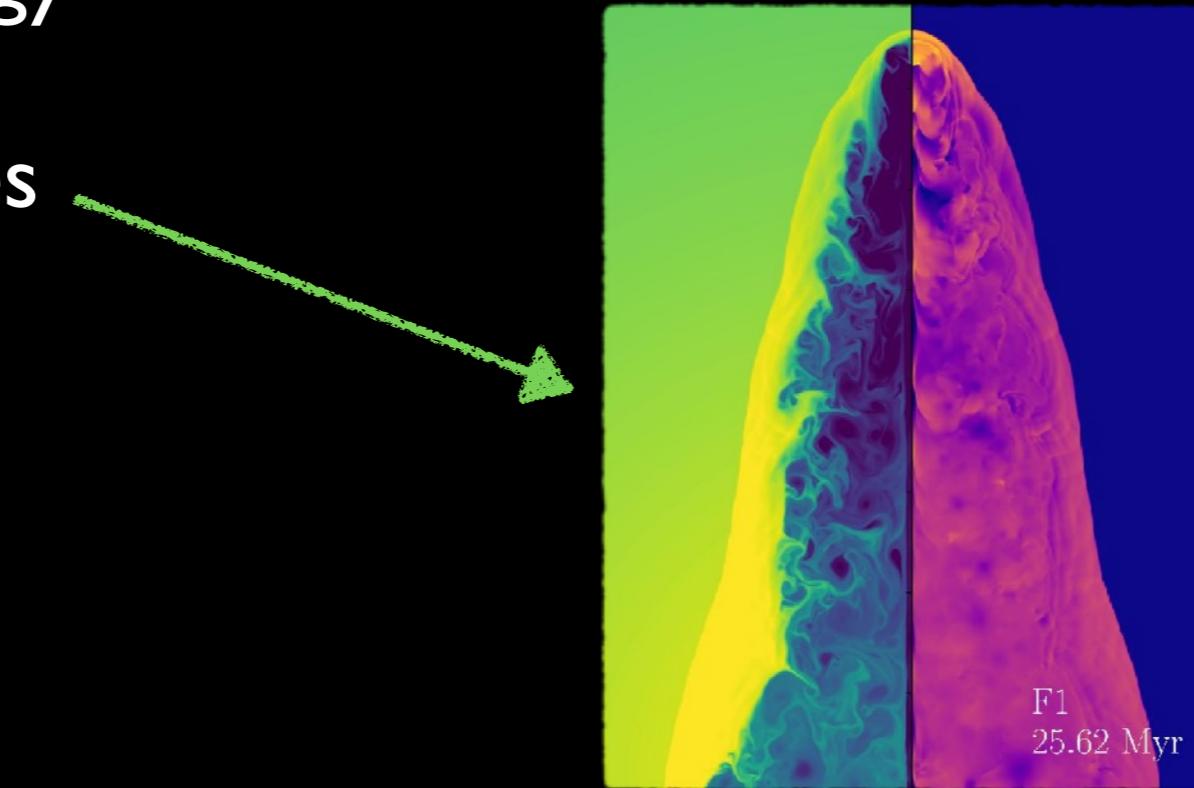
# Structure

- Cosmic Ray Intro
- How to accelerate a particle
- The Hillas energy and the maximum energy



$$E_H = Z u B R$$

- UHECR sources



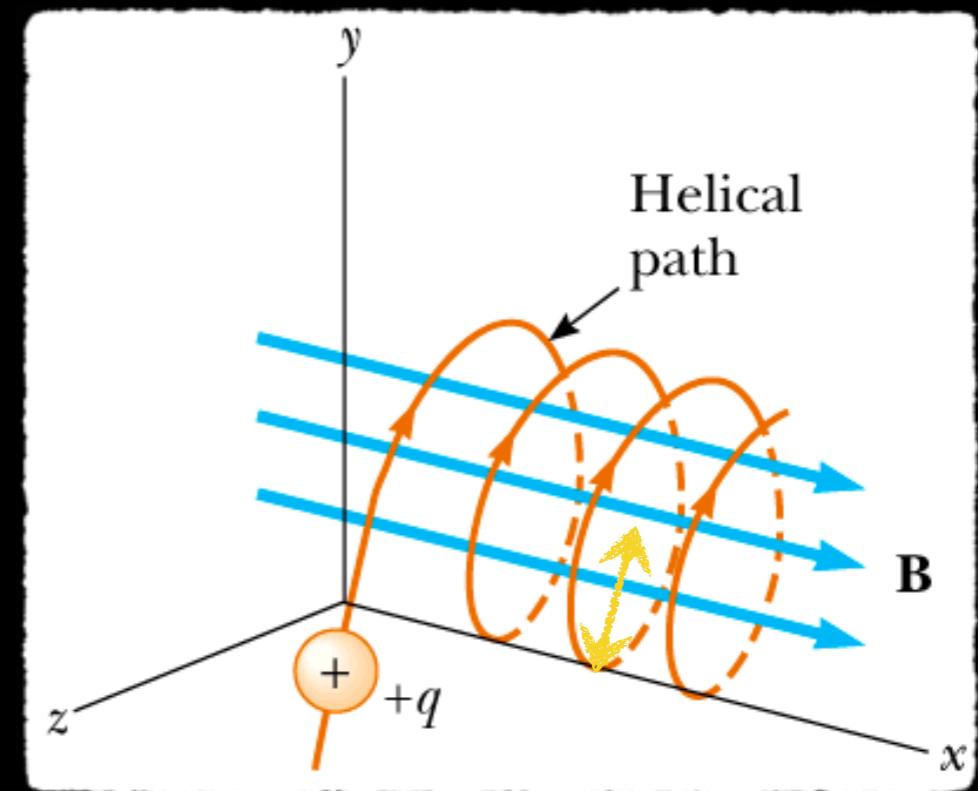
# Cosmic Rays

# Fundamentals: The Larmor radius or gyroradius

$$R_g = \frac{p_\perp}{ZeB}$$

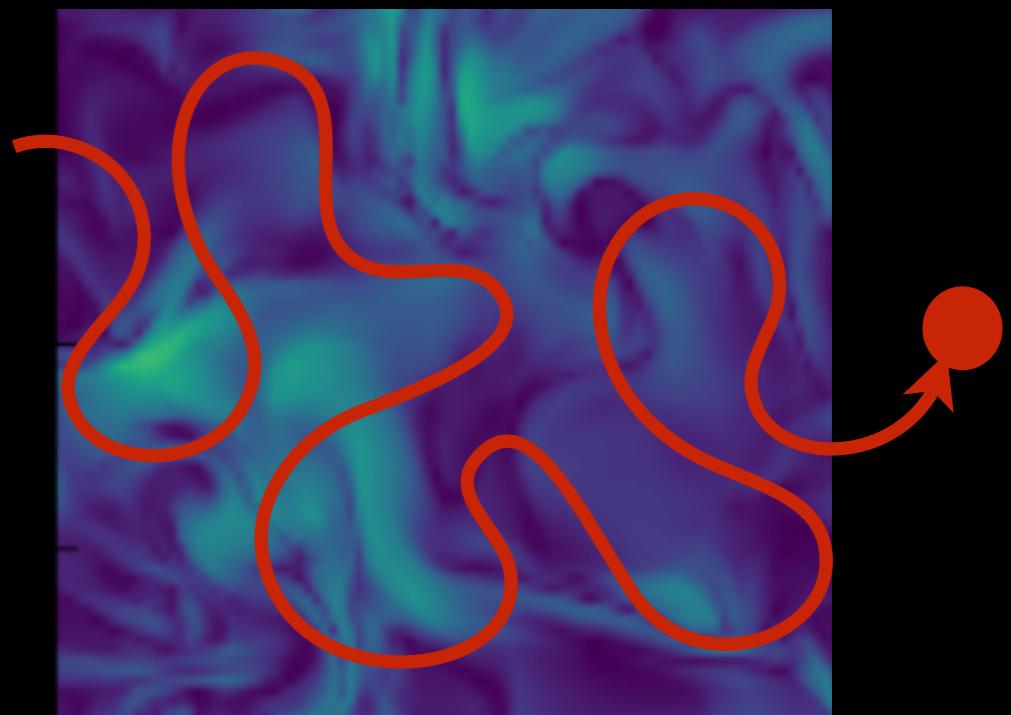
$$R_g = \frac{E}{ZcB} \quad (\text{if relativistic, eV energies})$$

...so energetic particles gyrate in bigger cycles



I'm going to talk about “scattering” and “diffusion” - what really happens:

$$\frac{dn}{dt} = \nabla \cdot (D \nabla n)$$



Victor Franz Hess (1912) - Nobel prize in 1936 for “his discovery of cosmic radiation”

Discovered ionisation rate increasing with altitude. We now know high energy particles (CRs) bombarding atmosphere.

### Jargon etc:

UHECR = ultrahigh energy cosmic ray ( $\sim 10^{18}$ eV or higher, ion or proton)

Throughout this talk: energies in eV (no elementary charge needed)



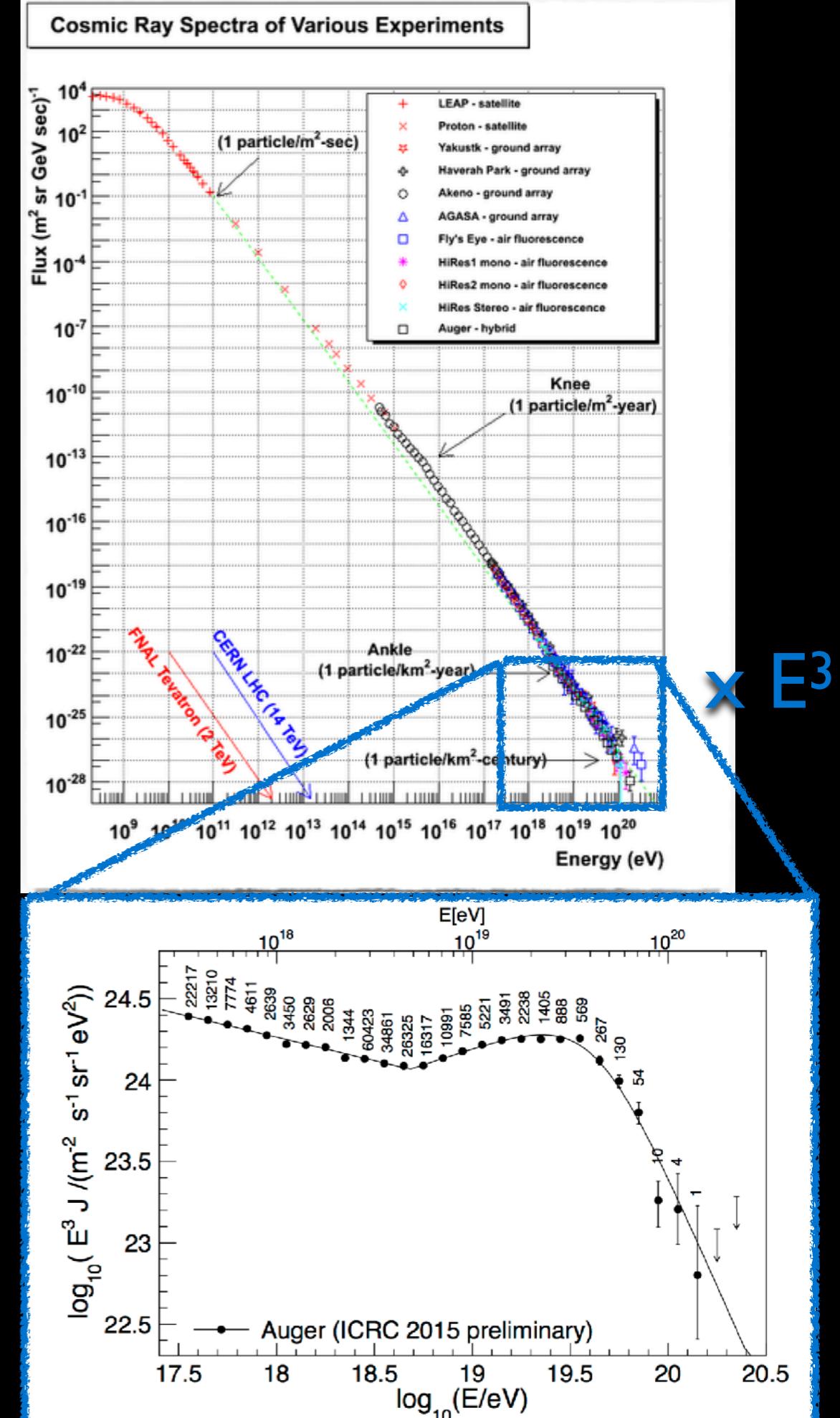
## Cosmic rays

### List of unsolved problems in physics

From Wikipedia, the free encyclopedia

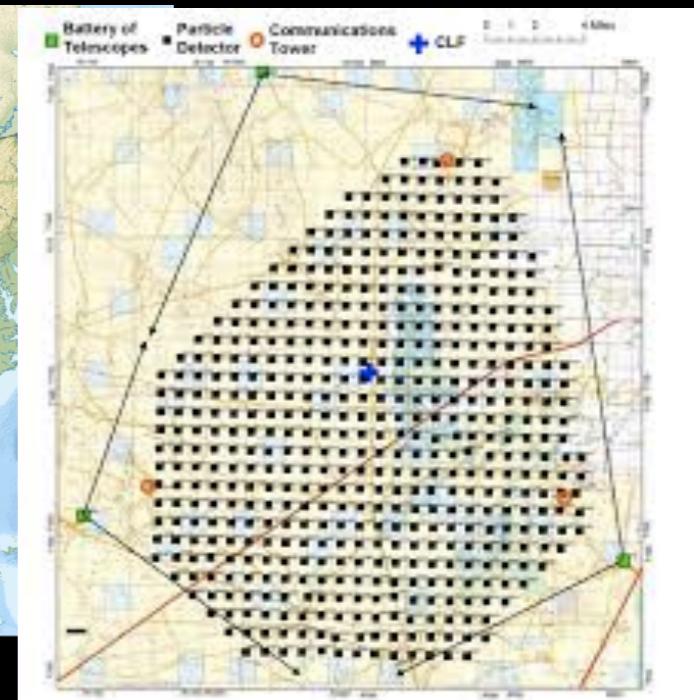
# The CR power-law

- The Cosmic Ray spectrum: The best power law in nature?
- $11 \text{ OOM}$  in particle energy and  $32 \text{ OOM}$  in flux!
- $n(E) \sim E^{-2.7}$ , sometimes steeper (3) or shallower (2.6)
- Intrinsic galactic CRs have  $E^{-2.3}$  (Hillas 2006)
- Similar to non-thermal electrons in SNR, AGN, XRBs etc.
- Maximum energy of protons probably around 10 EeV ( $10^{19} \text{ eV}$ )

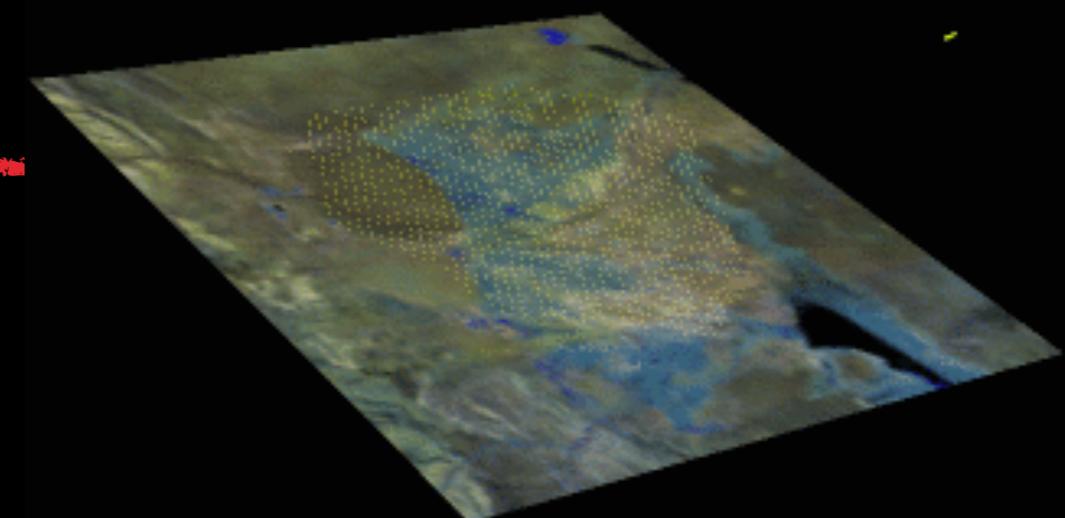


# UHECR observatories

- Telescope Array
- effective area  $\sim 700$  sq km
- 507 surface detectors with plastic scintillators
- 3 atmospheric Fluorescence Detector telescopes



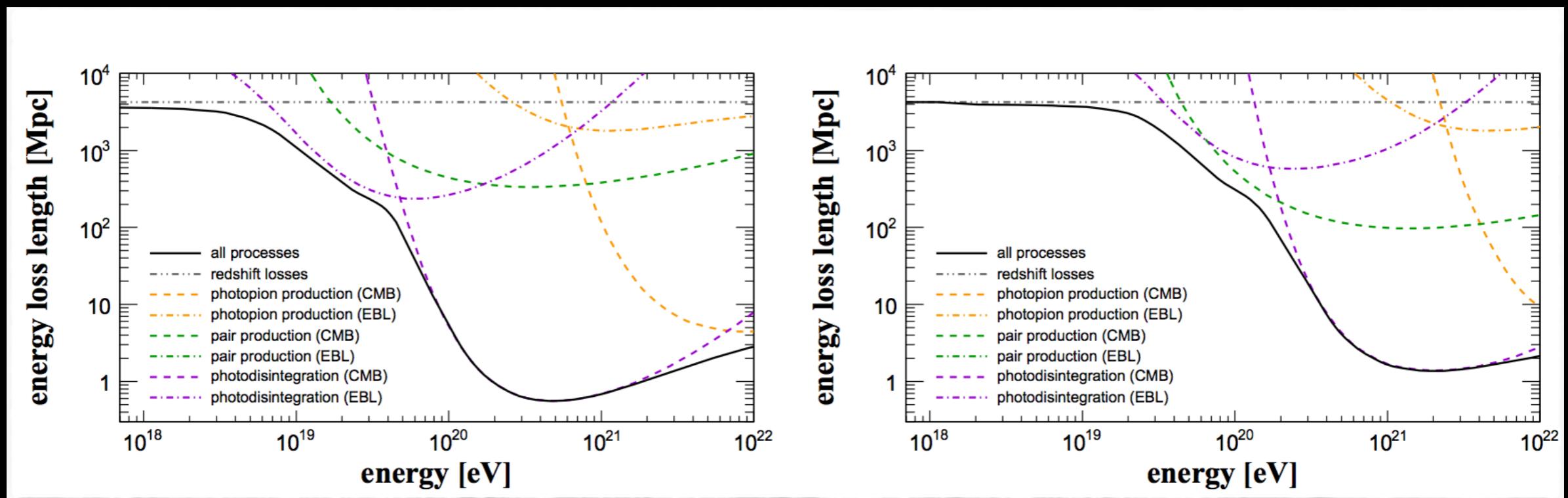
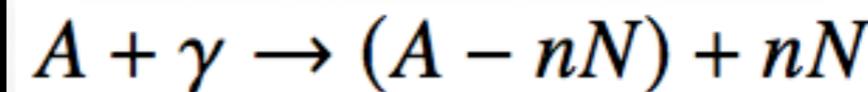
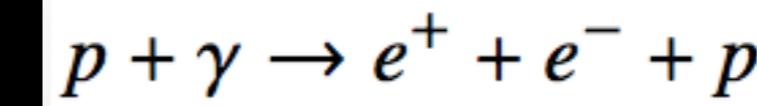
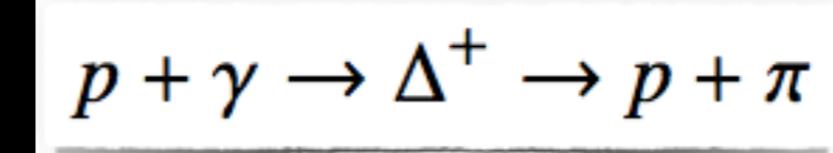
- Pierre Auger observatory
- effective area  $\sim 3000$  sq km
- 1600 water Cherenkov Detectors
- 24 atmospheric Fluorescence Detector telescopes

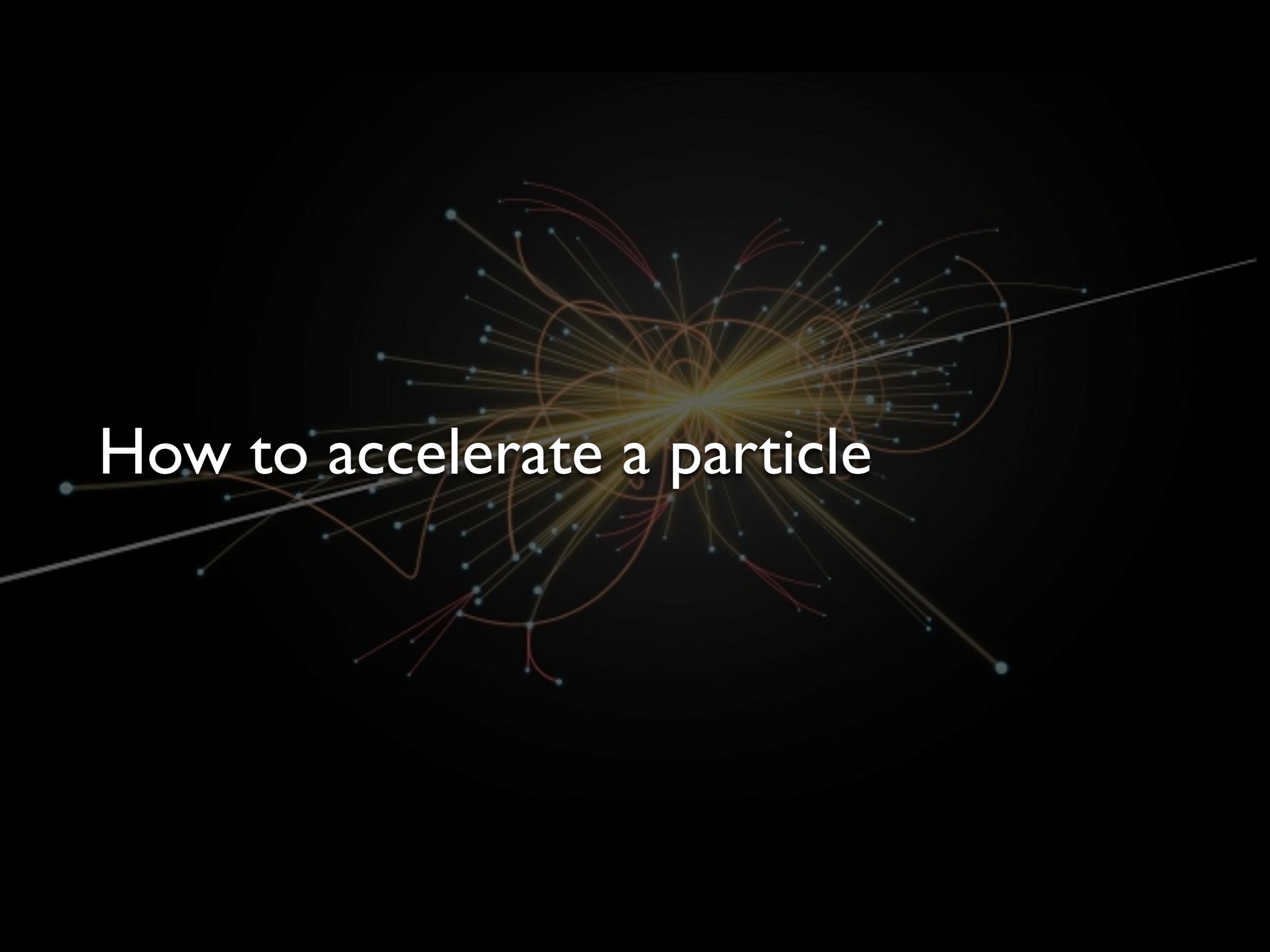


Both also measure ***directions*** and ***composition*** of UHECRs

# A Horizon for UHECRs

- UHECRs are “attenuated” by radiation fields (CMB and extragalactic background light):
  - Photopion or GZK effect:
  - Pair production:
  - Photodisintegration:
- Horizon length is very composition dependent,  $\sim 100$  Mpc for 60 EeV



The background of the slide features a dark, abstract design. It consists of numerous small, glowing blue and orange dots scattered across the frame. These dots are connected by thin, translucent lines that form complex, swirling patterns, resembling a microscopic view of particles or a neural network. A prominent feature is a large, roughly circular cluster of these glowing points in the center-right area, with many lines radiating outwards towards the edges.

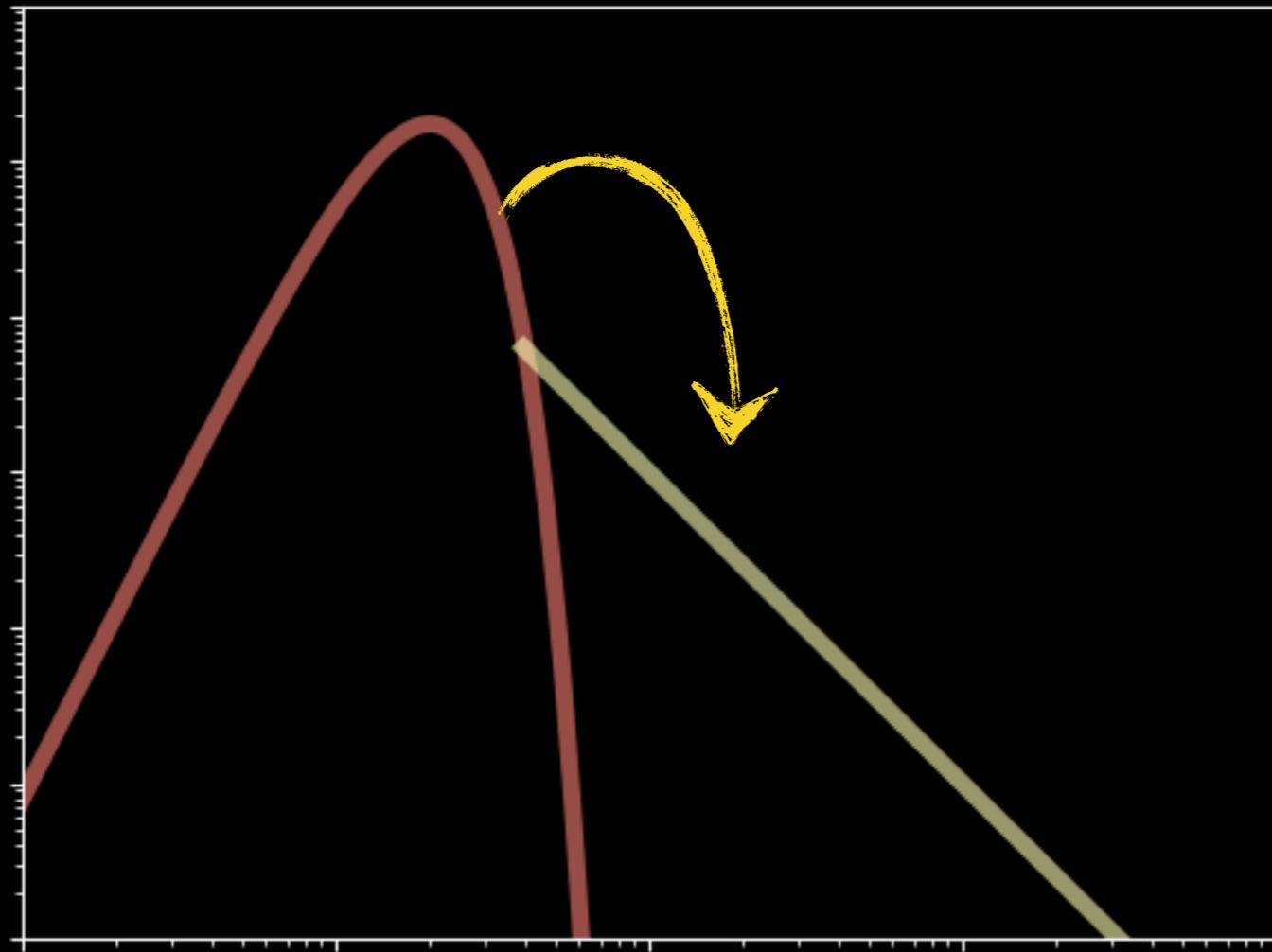
How to accelerate a particle

# How to accelerate a particle

Maxwellian

Log-scaled and shifted

With a non-thermal tail



*Particle acceleration is the process of “lifting” a particle from the thermal population onto a non-thermal tail*

How do we form a power-law?

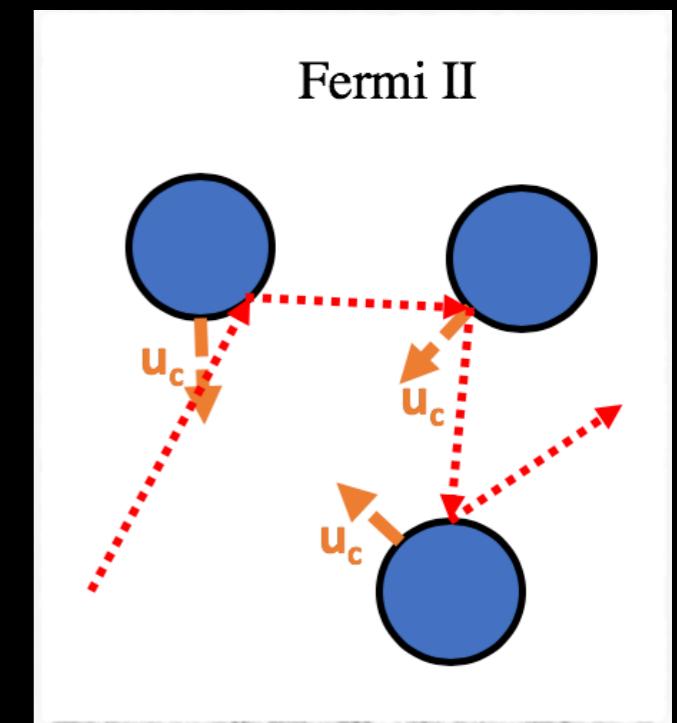
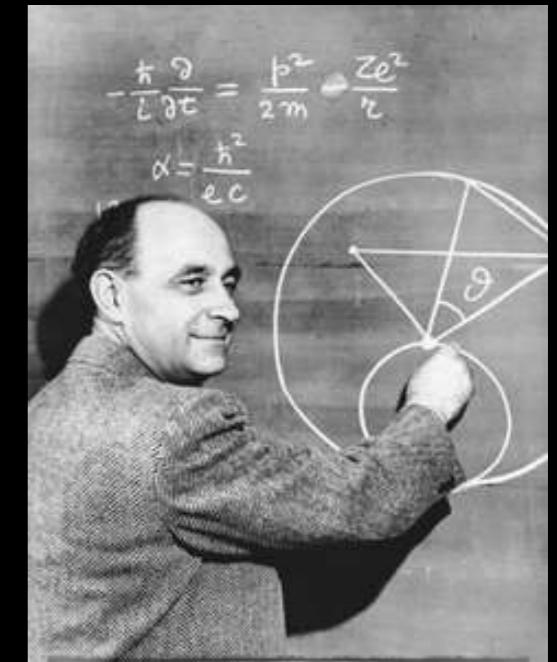
# Particle Acceleration

- Assume you undergo a series of “scattering” events
- Allow particles to gain a fractional increase of energy  $\beta$  in each scattering event
- Particles have a probability  $P$  of remaining in the interaction region after each scatter
- Produces a power-law as required for CR and observed nonthermal synchrotron spectra!

$$n(E) dE \propto E^{(\ln P / \ln \beta) - 1} dE$$

# Fermi II

- Second-order Fermi acceleration was proposed in 1949 by Fermi
- Particles scatter off cloud/turbulence that acts as magnetic mirrors, particle gains or loses u/c on each collision, but head on collisions more likely
- Requires fine tuning to get a power-law, more fine-tuning for specific index
- Energy gain is second-order, so a slow process unless u is high



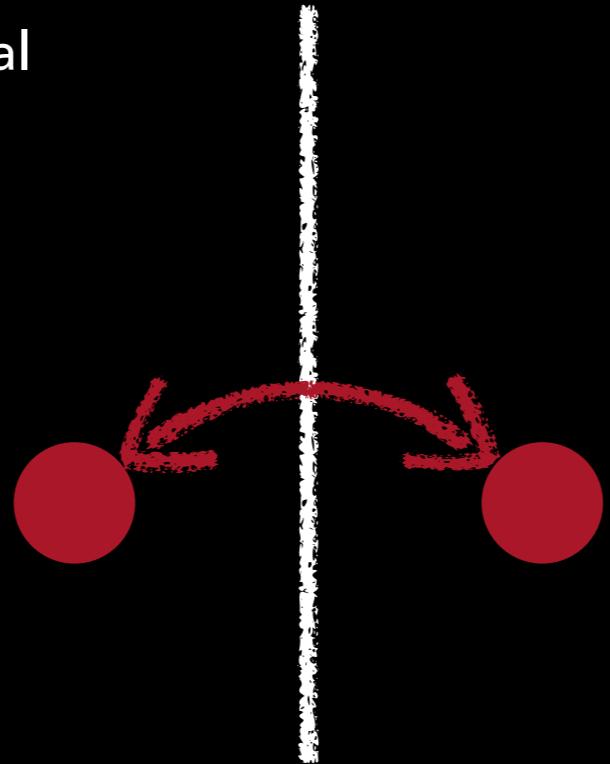
$$\left\langle \frac{\Delta E}{E} \right\rangle = \frac{8}{3} \left( \frac{u_c}{c} \right)^2$$

# Shock Acceleration

(Krymskii 1977; Axford+ 1977; Bell 1978; Blandford & Ostriker 1978)

Shocked material

Unshocked ISM



- Transforming from U to D always results in head-on “collision”
- Fraction of CRs lost  $\sim -u_s/c$
- Fractional energy gain per crossing  $\sim u_s/c$
- Balance between them gives power law  $n(E)$  with slope -2

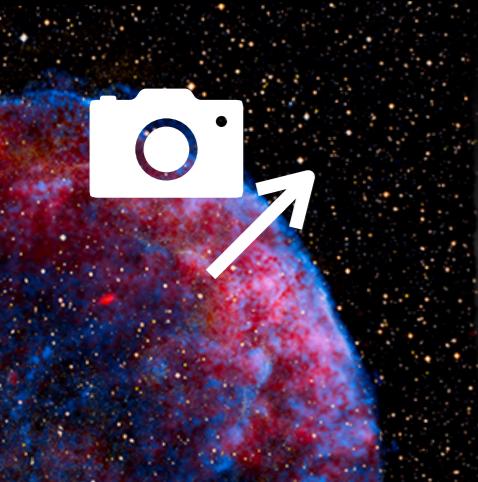
**Shock frame**



Downstream



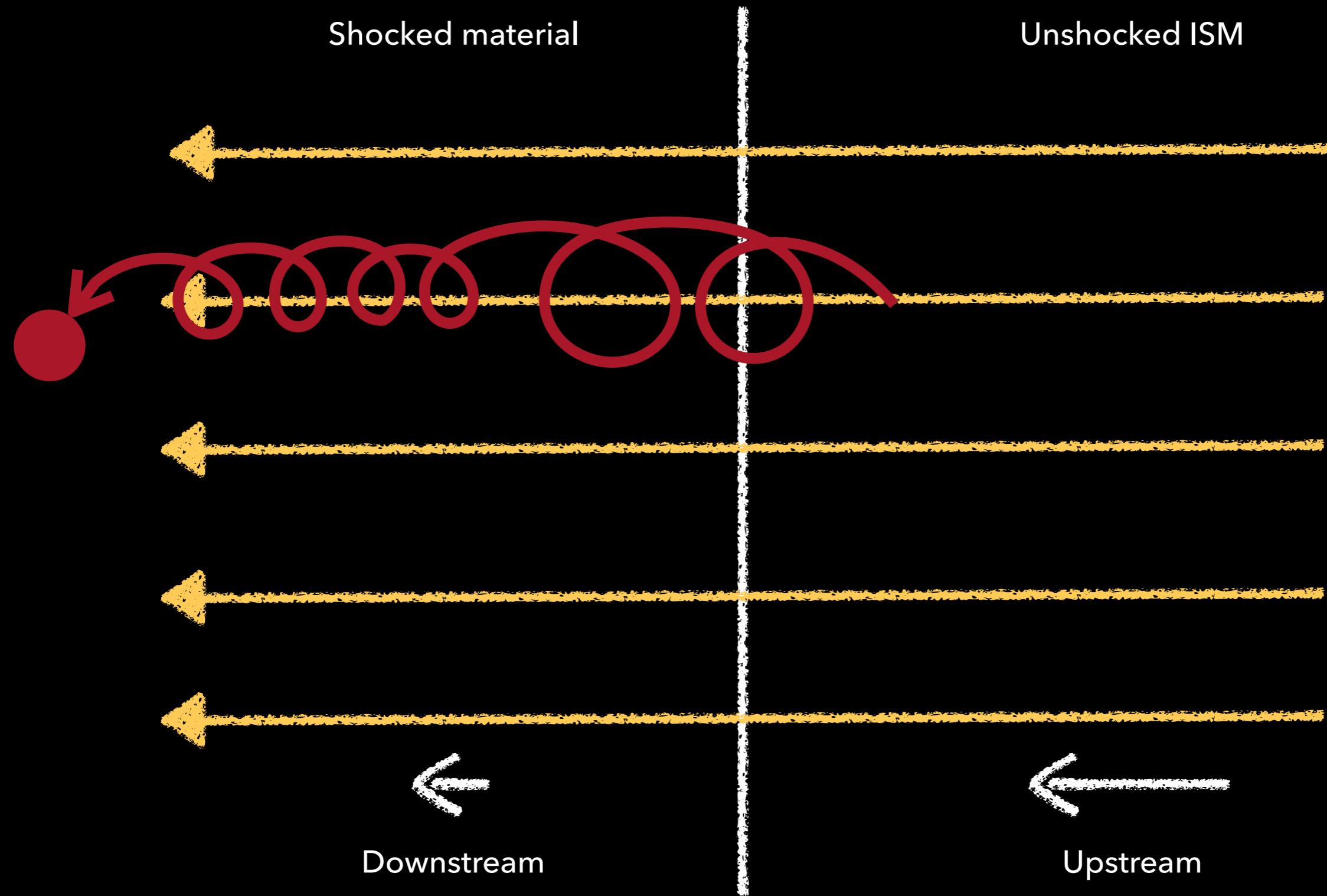
Upstream



# Shock Acceleration

(Krymskii 1977; Axford+ 1977; Bell 1978; Blandford & Ostriker 1978)

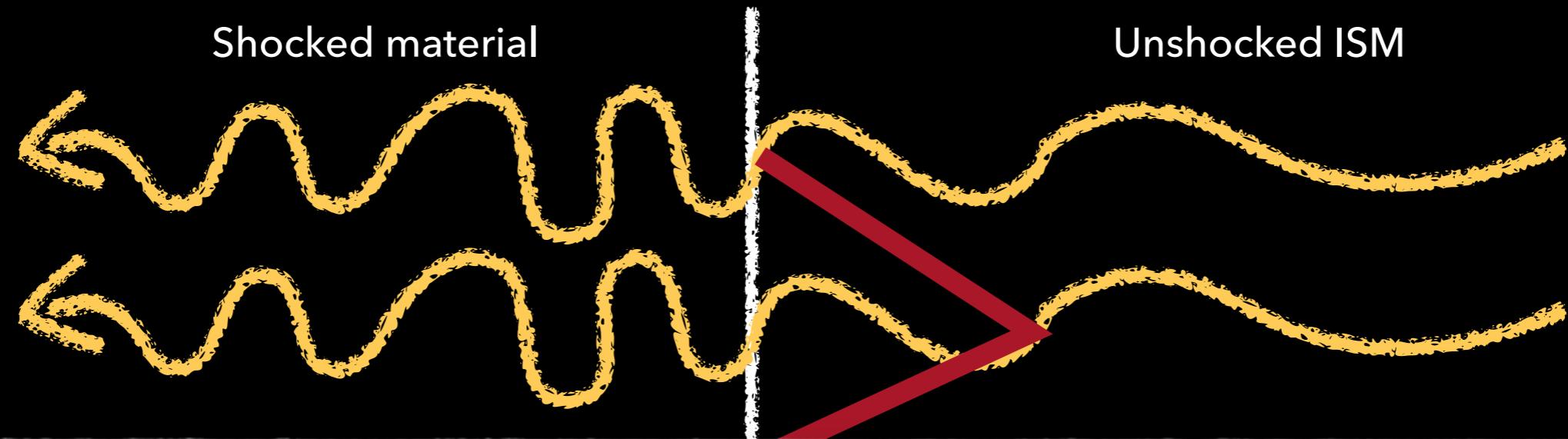
## *Shock frame*



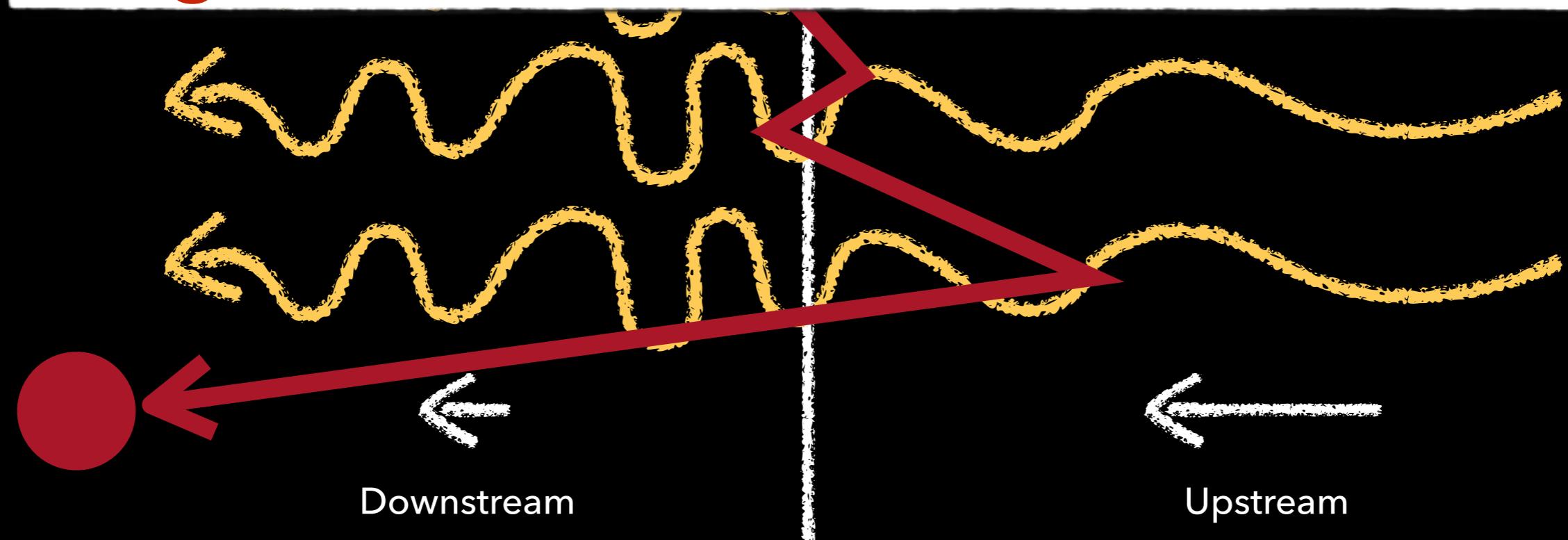
# Shock Acceleration

(Krymskii 1977; Axford+ 1977; Bell 1978; Blandford & Ostriker 1978)

*Shock frame*

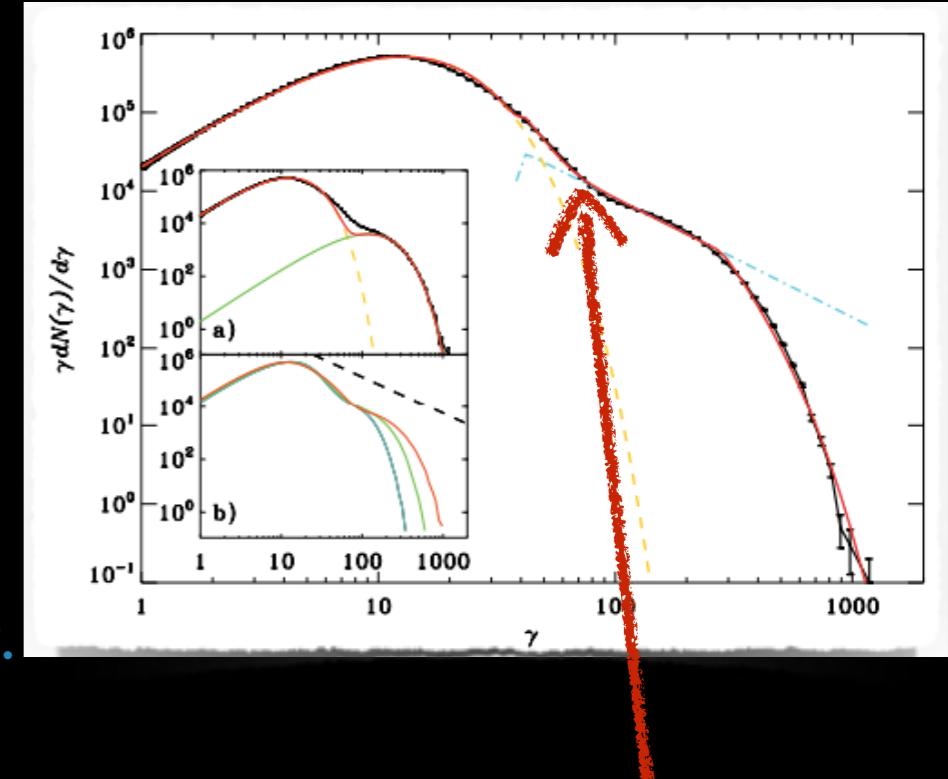


**CR-generated MHD turbulence is crucial!**

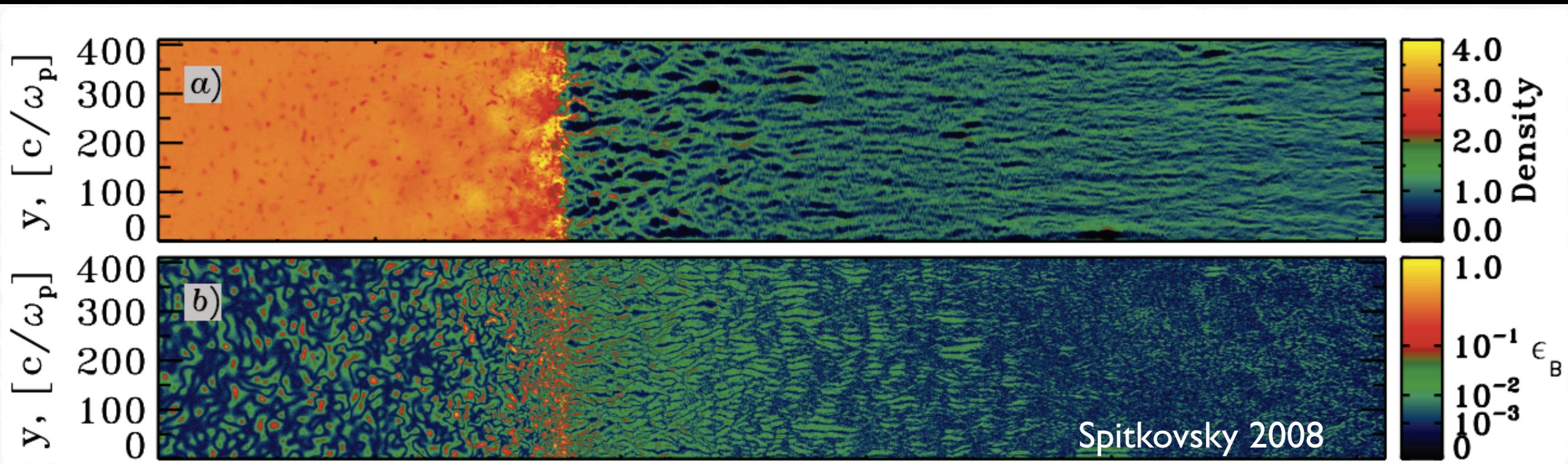


# PIC Simulations

- Relatively simple theory where particle escape balances energy gain = power-law spectrum
- Verified by complex particle-in-cell (PIC) simulation (e.g. Spitkovsky 2008)
- Self-consistent generation of instabilities and power-law super thermal tail in momentum distribution

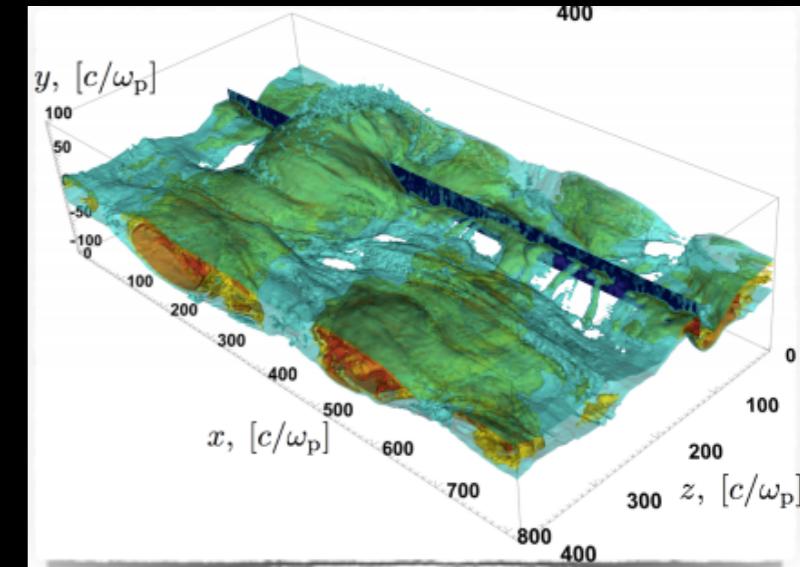


“Injection”

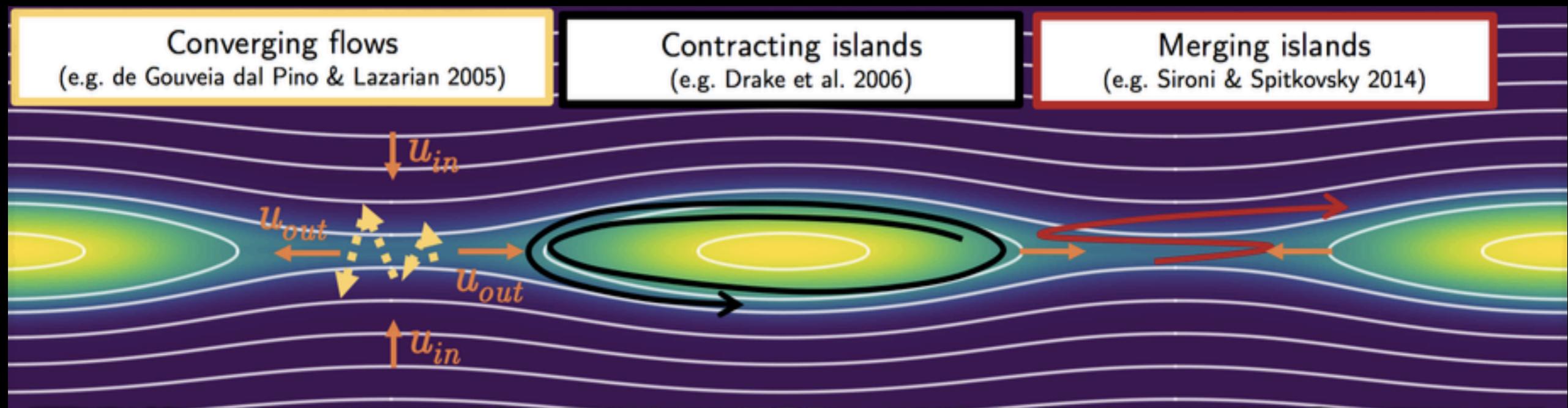


# Magnetic Reconnection

- Regions of opposite magnetic polarity approach each other at Alfvén speed,  $\sim 0.1c$  (if relativistic reconnection)
- Dissipates magnetic energy - important in astrophysical jets
- Direct acceleration in X-point electric field
- Particles undergo various forms of Fermi acceleration by scattering off and within “magnetic islands”

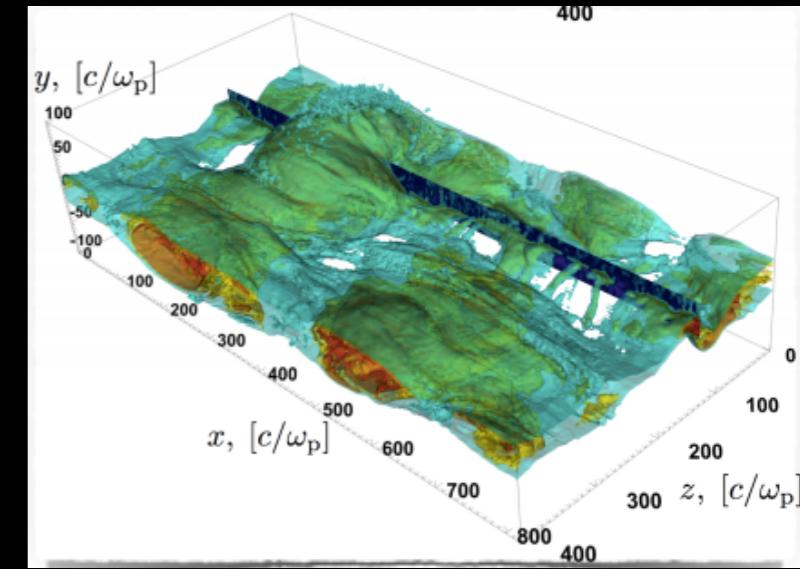


Sironi & Spitkovsky 2014

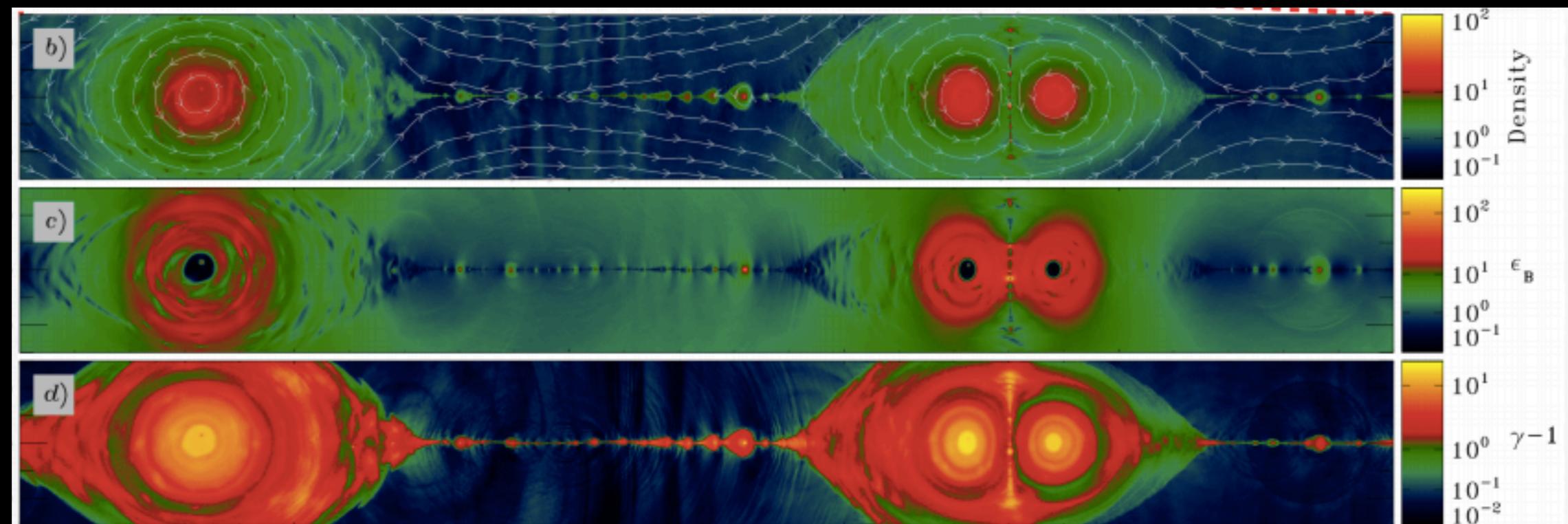


# Magnetic Reconnection

- Regions of opposite magnetic polarity approach each other at Alfvén speed,  $\sim 0.1c$  (if relativistic reconnection)
- Dissipates magnetic energy - important in astrophysical jets
- Direct acceleration in X-point electric field
- Particles undergo various forms of Fermi acceleration by scattering off and within “magnetic islands”



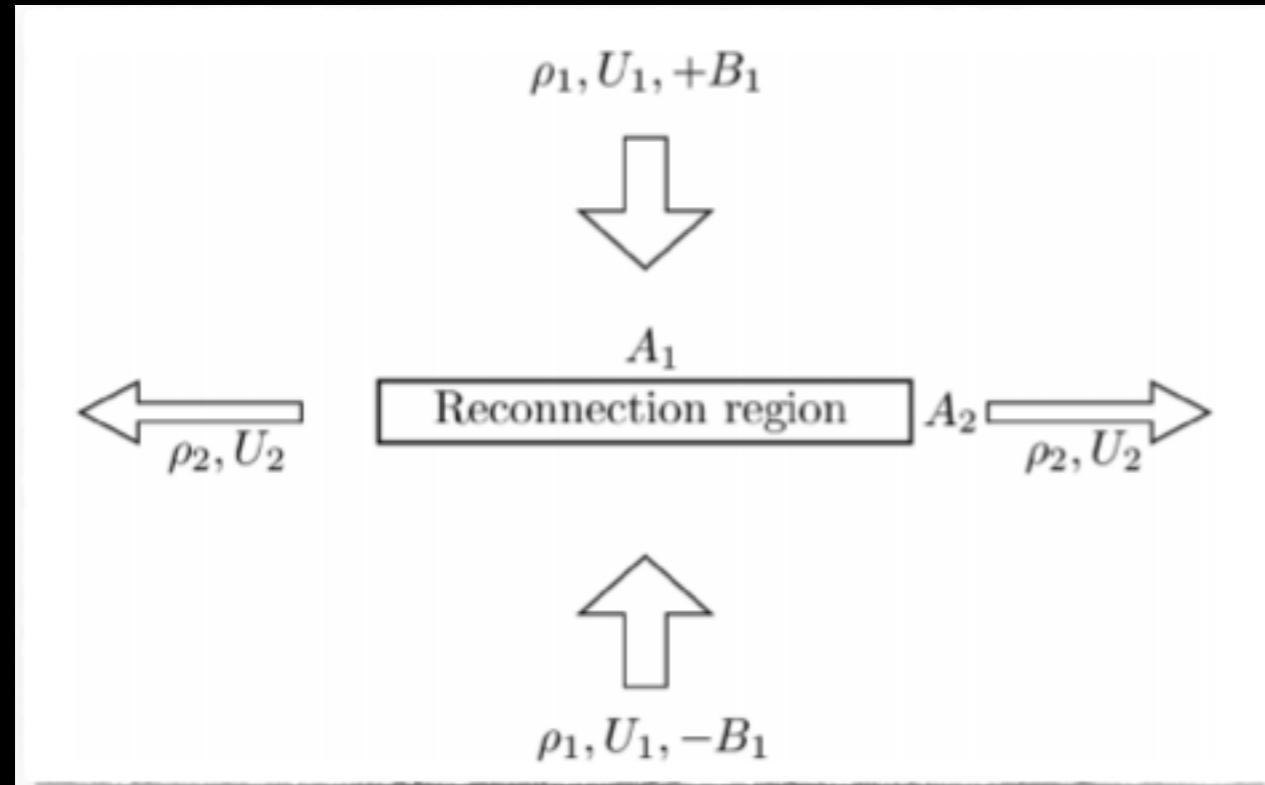
Sironi & Spitkovsky 2014



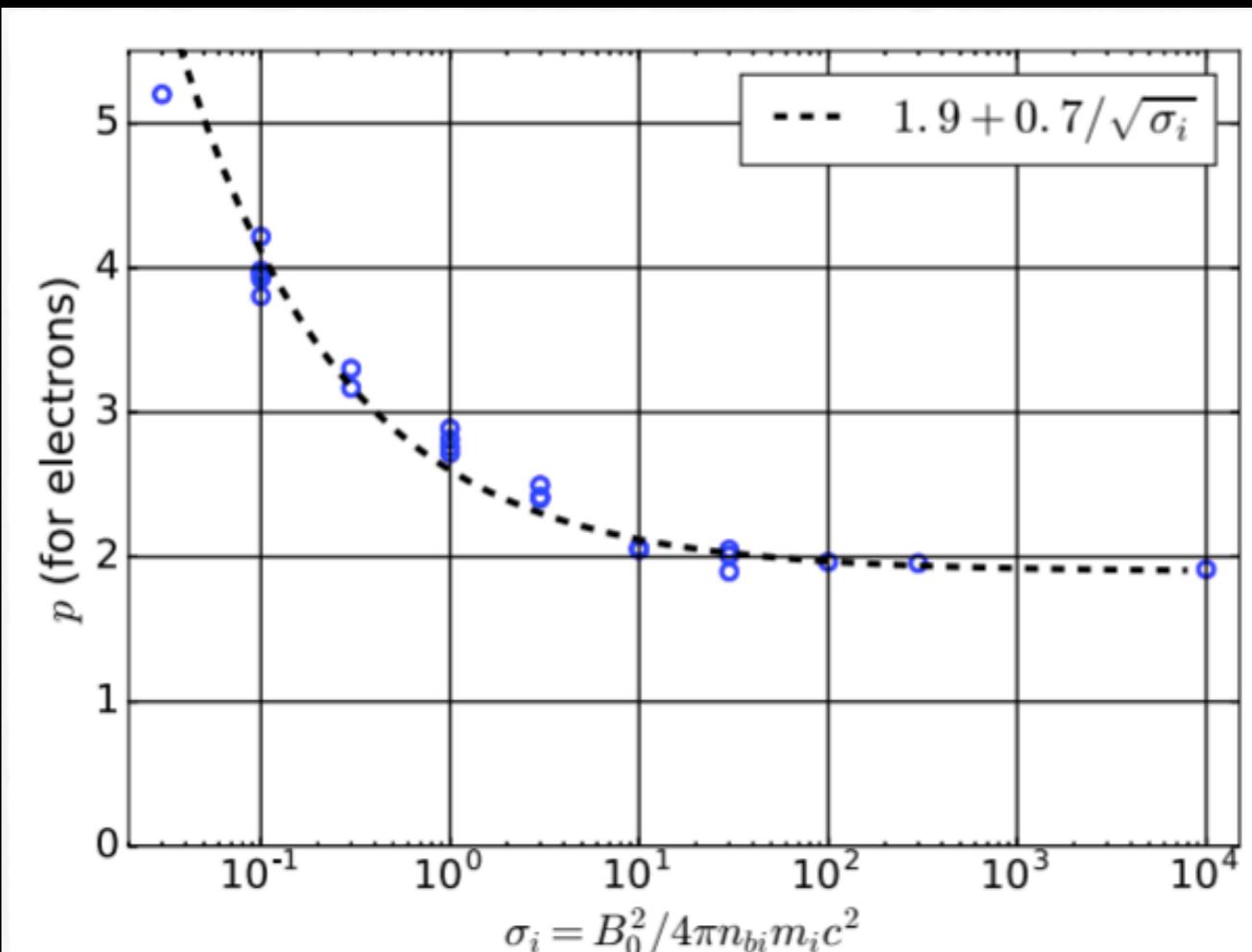
# Magnetic Reconnection

- Interesting parallels with shocks: escape and energy gain might be hardwired by either “compressivity” or magnetisation
- Connects macroscopic energy dissipation to non thermal particles?  
Explains “Magnetoluminescence”?

Drury 2012



Werner+ 2016



$$\frac{\partial \ln f}{\partial \ln p} = -\frac{3r}{r-1}, \quad r = \frac{\rho_2}{\rho_1}$$

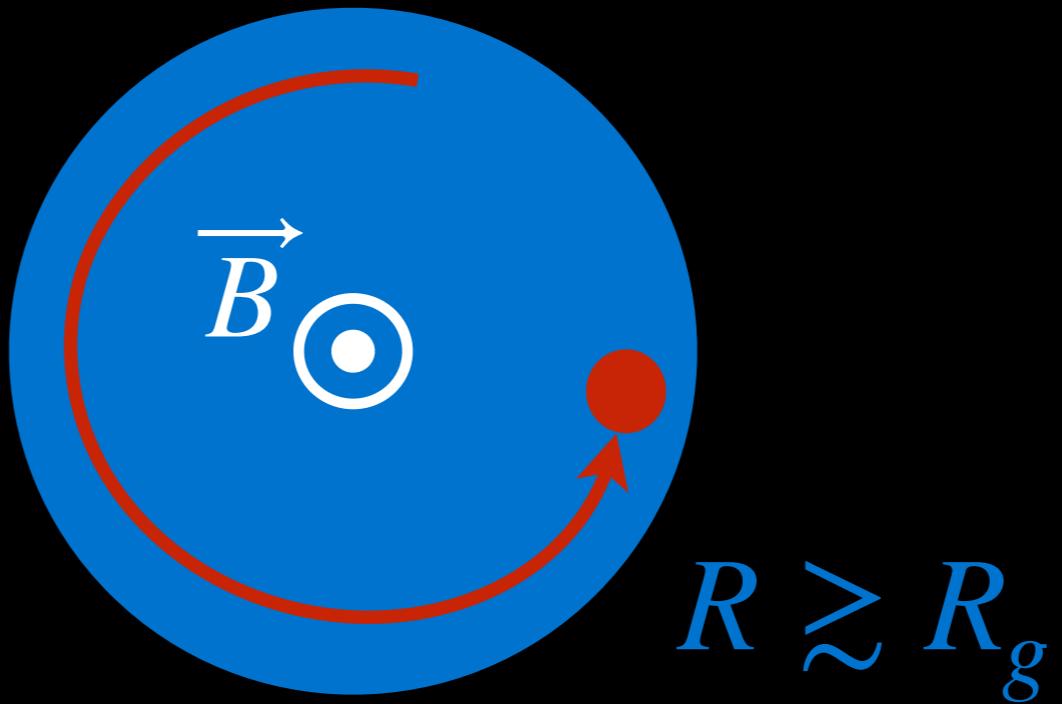
# The Maximum Particle Energy

(How can we get protons to  $10^{19}$  eV?)

# Confinement condition

- Simplest condition on UHECR accelerators:
  - Larmor radius  $\leq$  system size

$$E = ZcBR$$



# Hillas Energy

- Maximum characteristic energy,  $R$  bigger than  $R_g$  by factor  $(c/u)$

$$E_H = Z u B R$$

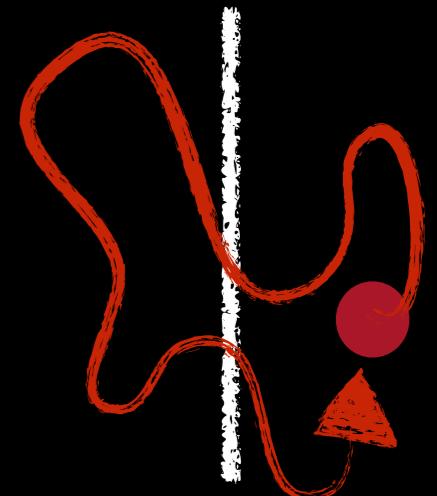
- Can be understood in various ways, e.g.:
  - Moving particle a distance  $R$  through  $u \times B$  electric field
  - Taking time derivative of magnetic flux  $BR^2$  to give potential drop  $uBR$

# Hillas Energy Derivation in Shocks

- Recall that energy gain depends on  $u/c$

$$\Delta E \sim E \frac{u_s}{c}$$

$$\Delta t \sim \frac{D}{cu_s}$$



e.g. Drury (1983)

$$\frac{1}{E} \frac{dE}{dt} \sim \frac{u_s^2}{D}$$

- Acceleration time:

$$\tau_{\text{acc}} \equiv \frac{E}{dE/dt} \sim \frac{D}{u_s^2}$$

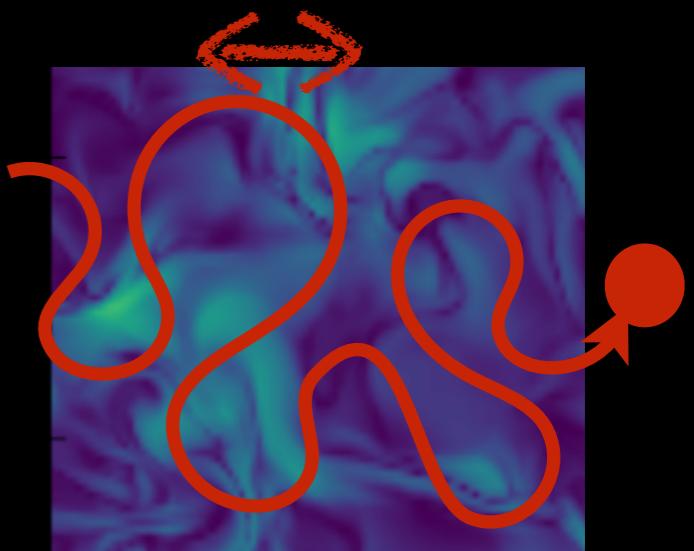
# Hillas Energy Derivation in Shocks

- Except for special situations, particle cannot have a mean free path smaller than Larmor radius
- We call the situation when  $\lambda_{\text{mfp}} \sim R_g$  Bohm diffusion with diffusion coefficient  $D_B$
- Write diffusion coefficient as

$$D = \eta D_B \sim \eta R_g c, \quad \eta \geq 1$$

$$\lambda_{\text{mfp}} \sim R_g$$

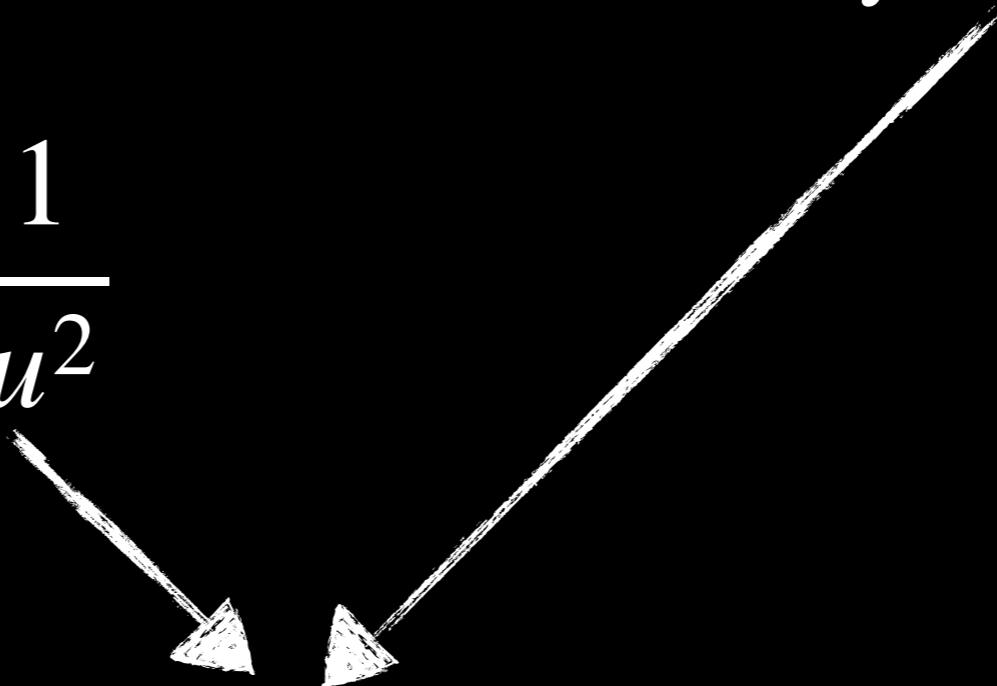
$$\tau_{\text{acc}} = \eta \frac{E}{ZB} \frac{1}{u^2}$$



# Hillas Energy Derivation in Shocks

- Time available for acceleration at the shock  $\tau_{\text{dyn}} \sim R/u_s$

$$\tau_{\text{acc}} = \eta \frac{E}{ZB} \frac{1}{u^2}$$



$$E_{\text{max}} = \eta^{-1} Z u B R$$

# Necessary but not sufficient

$$E_{\max} = \eta^{-1} Z u B R = \eta^{-1} E_H$$

*Hillas energy only reached when Bohm diffusion applies ( $\eta \sim 1$ ).*

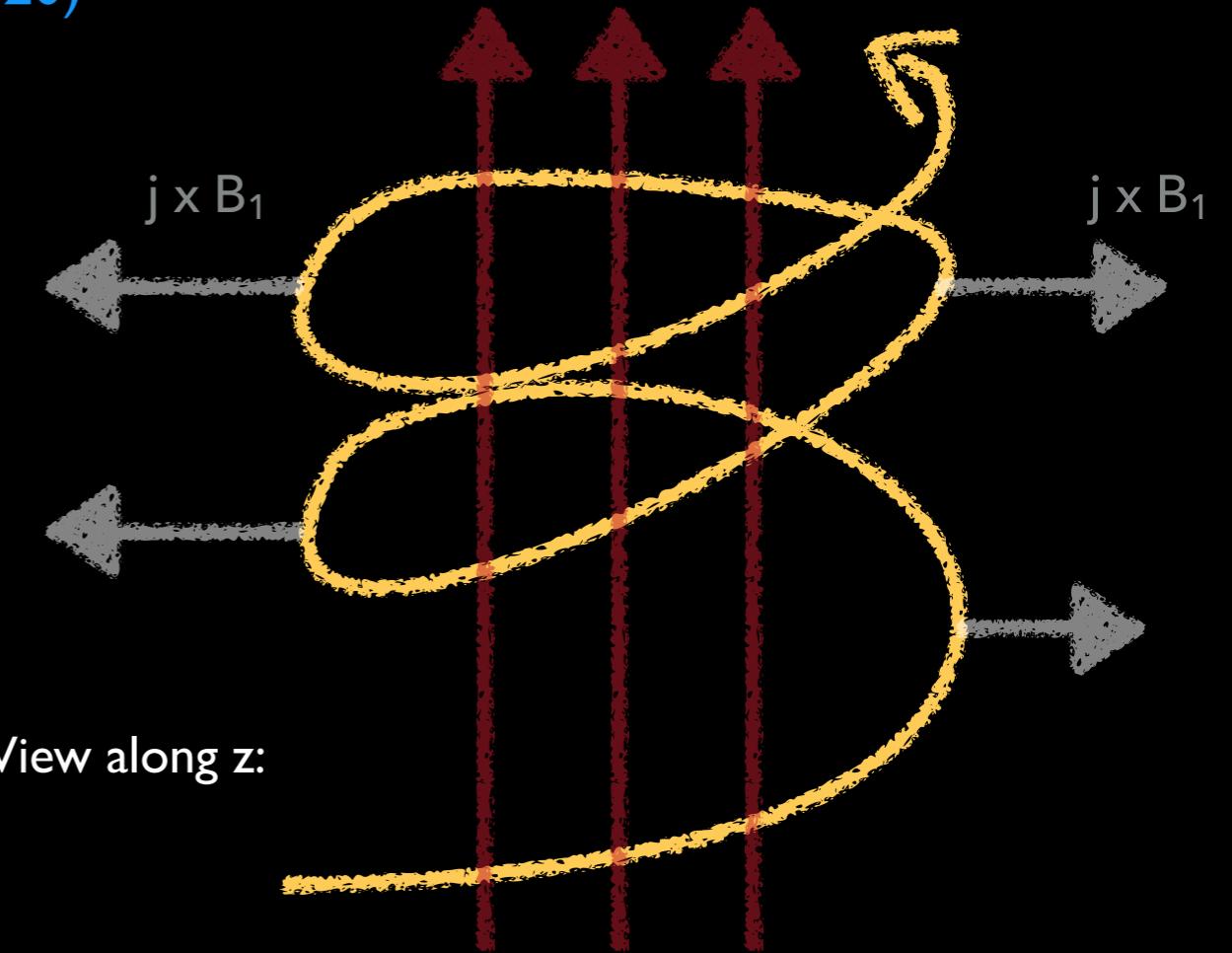
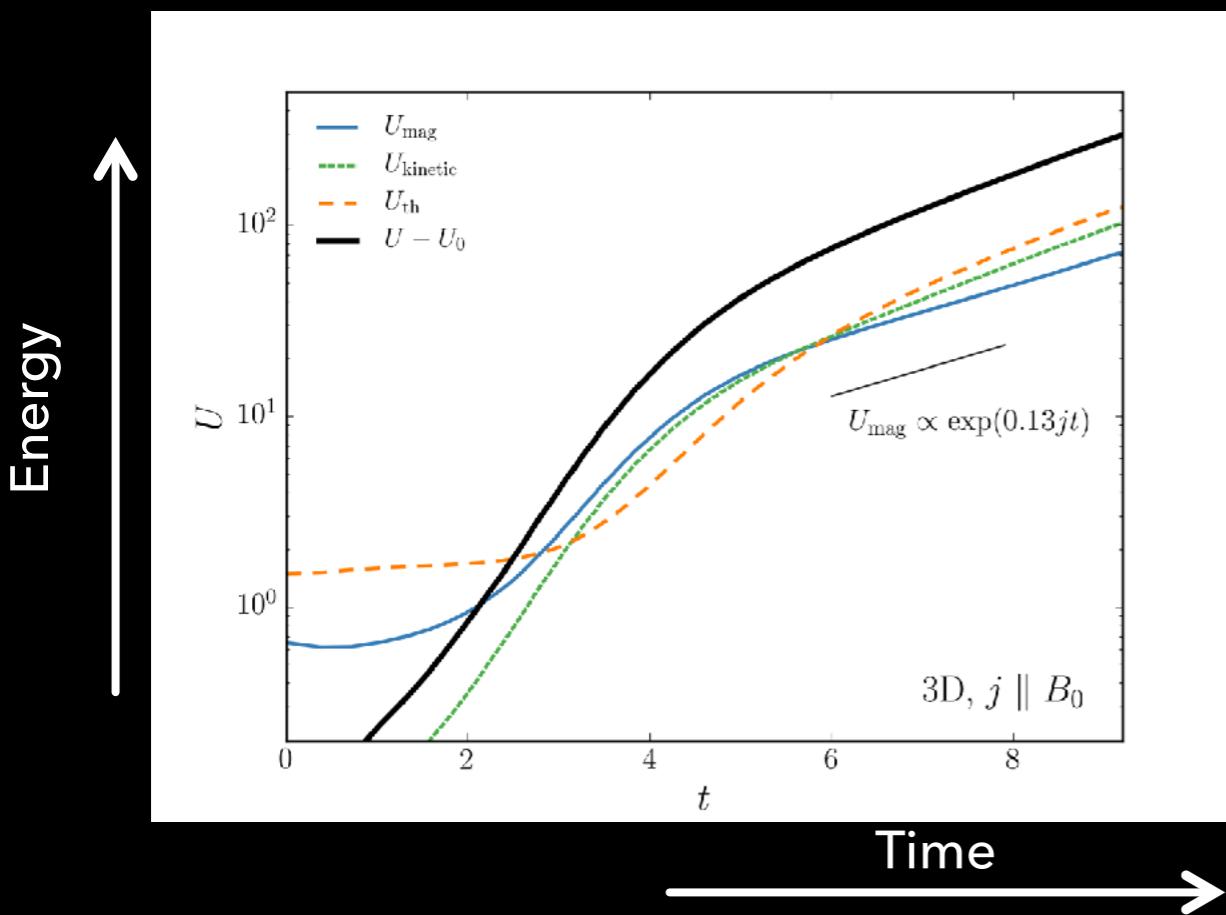
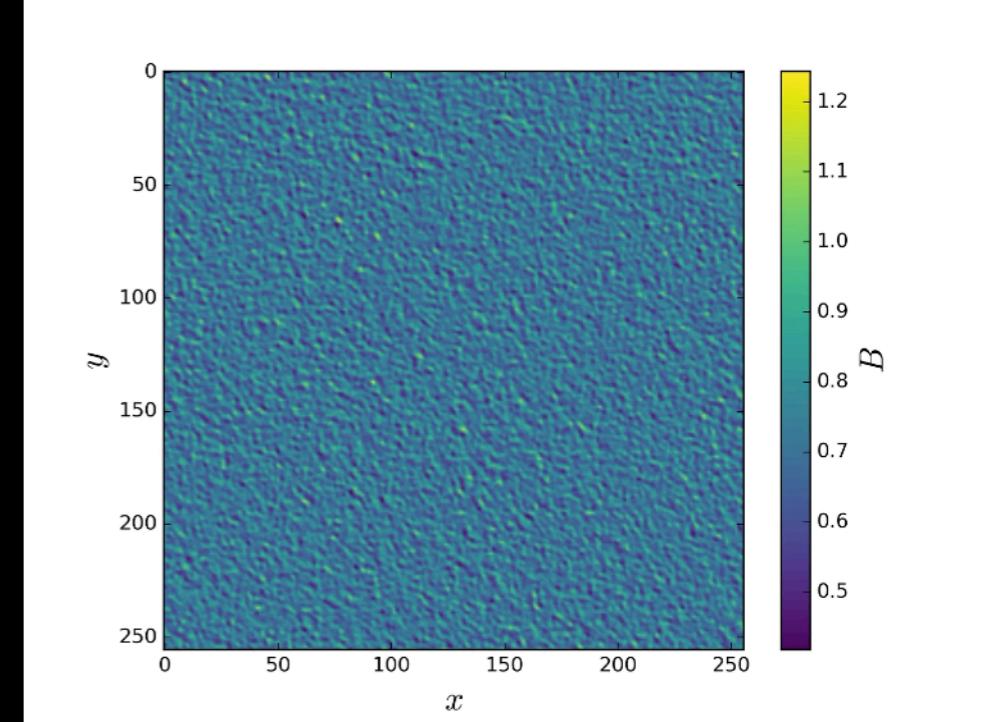
Requires:

- Structure in the magnetic field on scale of the Larmor radius
- Strong turbulence ( $dB/B \sim 1$ )

# CR-driven instabilities

(Bell 2004, 2005)

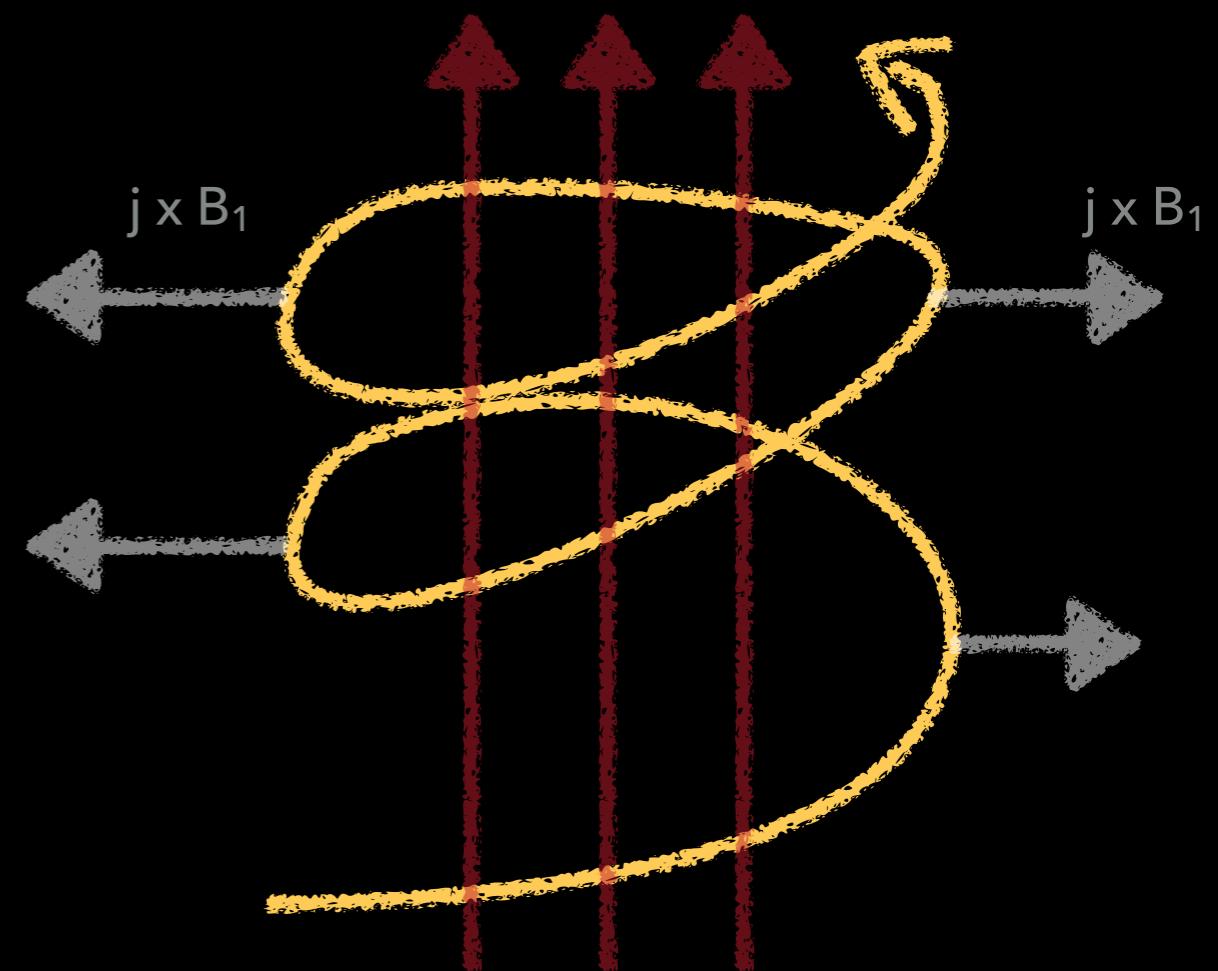
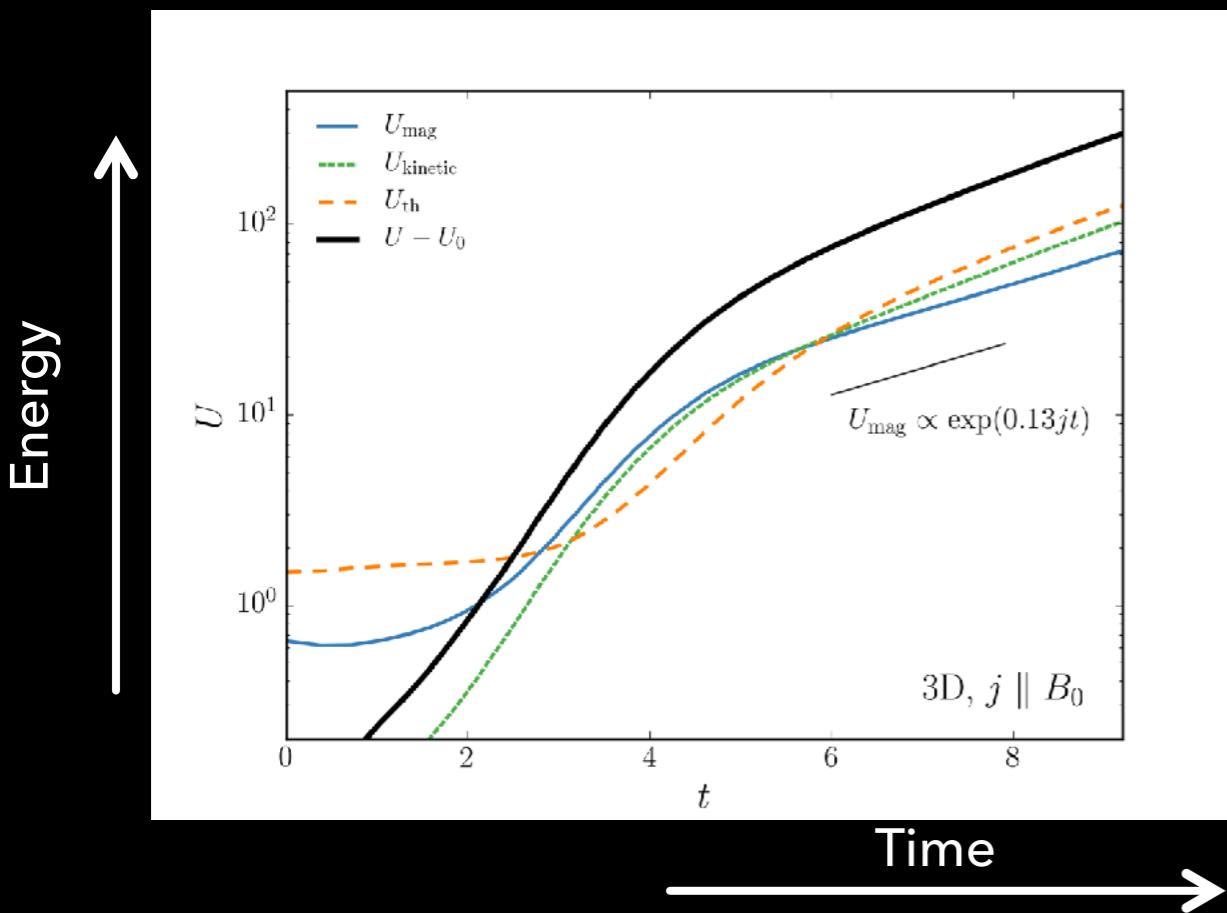
- CRs produce a return current in a plasma that drives MHD turbulence - the non-resonant or Bell instability\*
- Also amplifies magnetic field
- A natural way to grow turbulence to Larmor radius scales and reach the Hillas energy
- Similar instabilities in collisional form (Bell, JM+2020)



\* Other instabilities are available

# CR-driven instabilities

- Necessity for turbulence introduces additional time constraint
- Need enough time to drive instability - displacement of plasma set by  $s = 1/2 a t^2 = 1/2 (j B / \rho) t^2 = r_g$
- Limits maximum energy in SNRs to  $\sim 0.1 \text{ PeV}$  and **severely** limits maximum energy in relativistic shocks



# Hillas energy

$$E_H = ZuBR$$

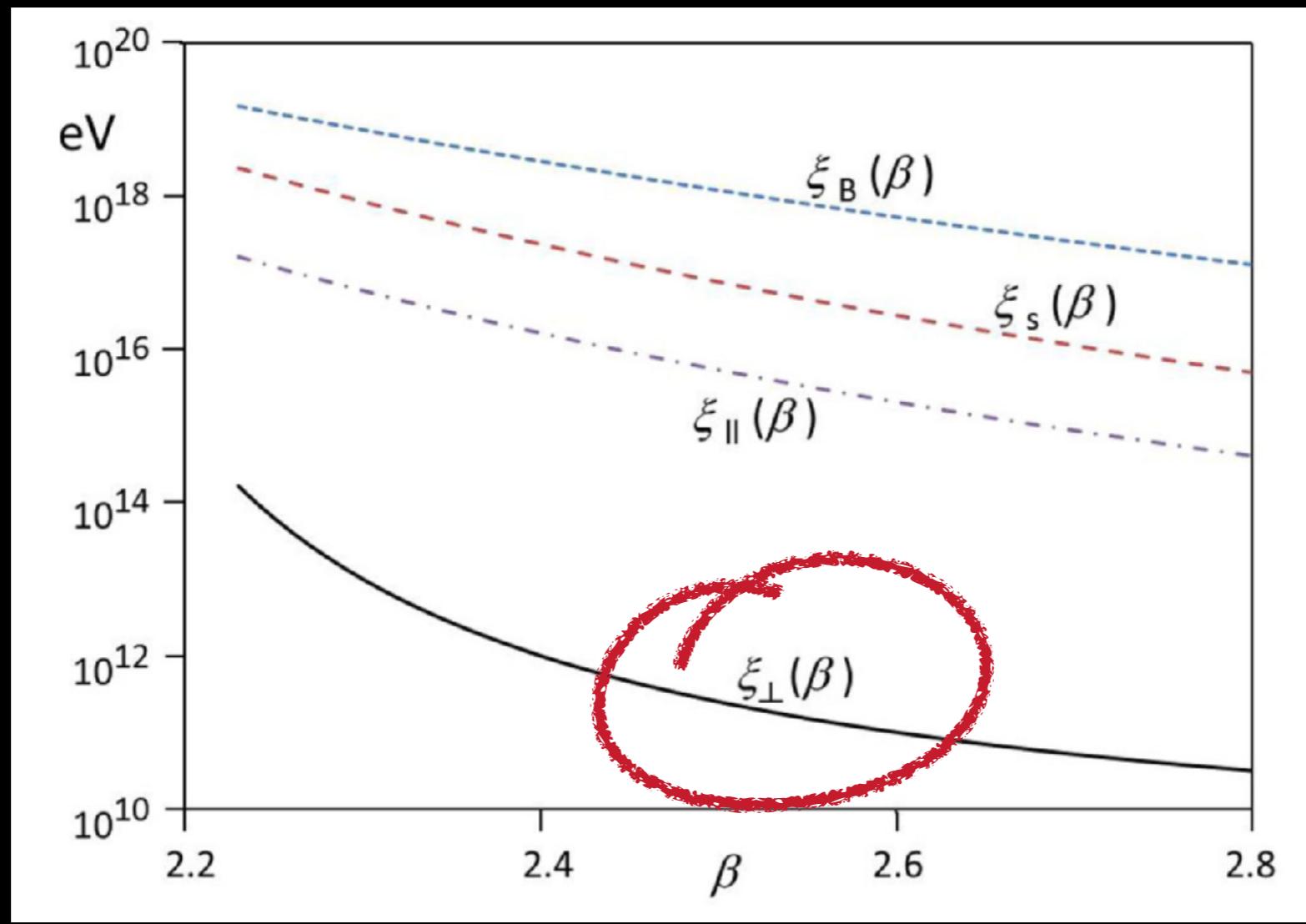
Highest when  $u \sim c??$

# Relativistic shocks are problematic

Consequently, it appears that if shocks are to accelerate UHE-CRs, they probably must have velocities less than  $c$  by a factor of a few, but not by a factor very much larger than this. An important

Bell+2018

Shock and B-field physics



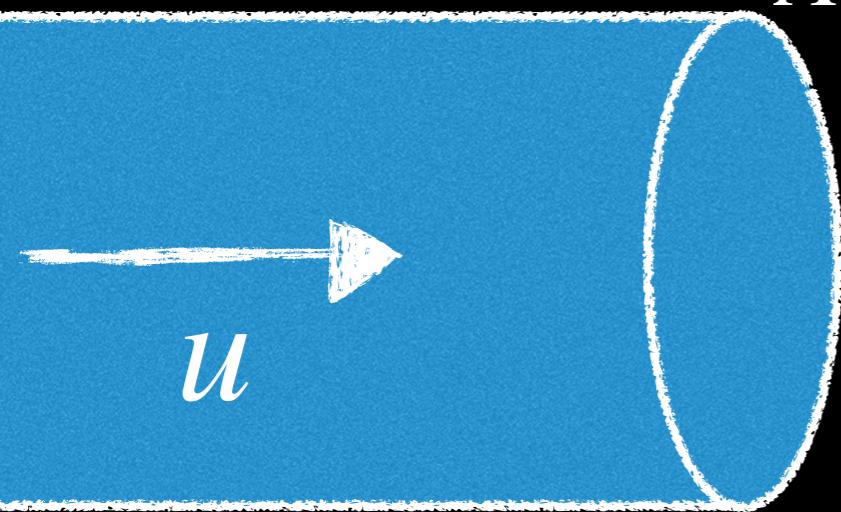
Steeper energy spectra



**“Goldilocks  
shocks?”**



# Power Requirement (Hillas-Lovelace Limit)



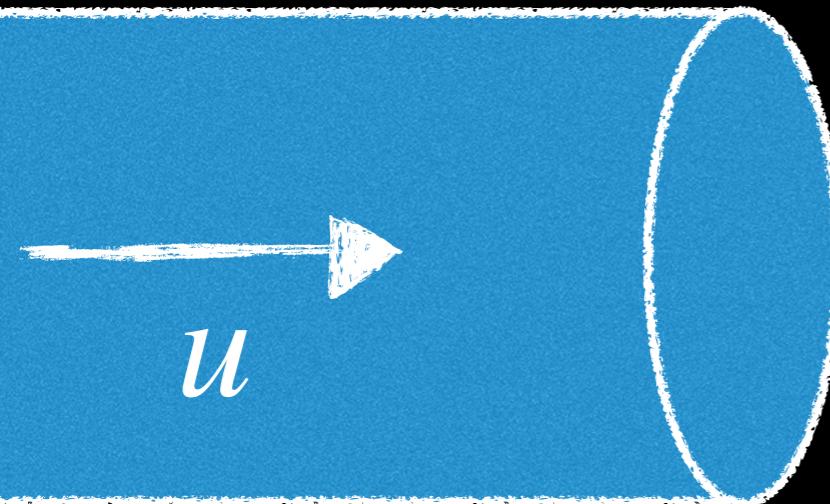
$$Q_B \sim \frac{B^2}{\mu_0} u \pi R^2$$

$$E_H = Z u B R$$

$$Q_B \sim \frac{\pi}{\mu_0} \frac{1}{u Z^2} E_H^2$$

# Power Requirement (Hillas-Lovelace Limit)

$$A = \pi R^2$$



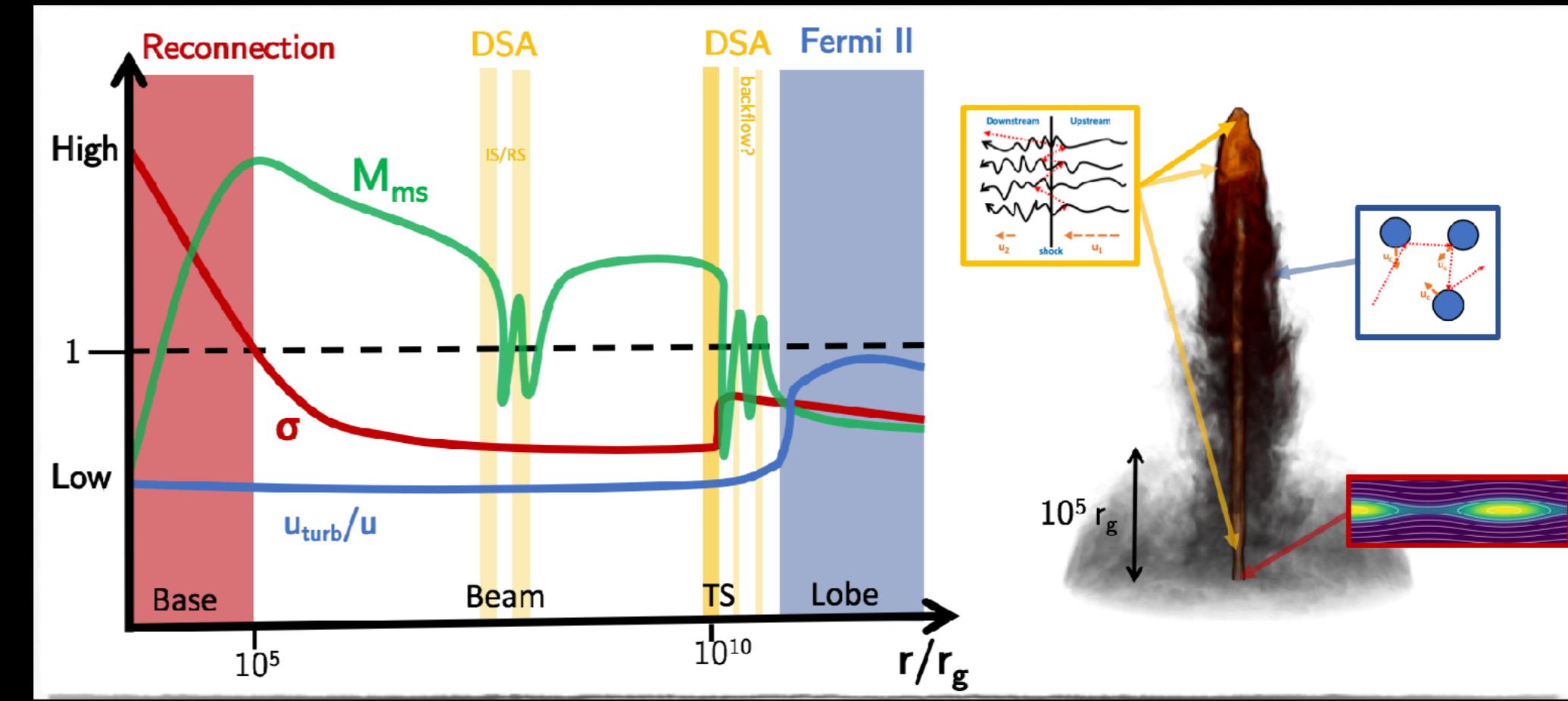
Assume kinetic power higher than magnetic power  $Q_B \sim \epsilon Q_k$

$$Q_k \gtrsim 10^{43} \epsilon^{-1} \left( \frac{E/Z}{10^{19} \text{eV}} \right)^2 \left( \frac{u}{c} \right)^{-1} \text{erg s}^{-1}$$

# 'Schematic Physics'

"100 years of jets" anthology, Eds: Wijers, Fender.

In jets, which mechanisms operate where?



Most general  
Least restrictive

Confinement  
(eq. 2)

**ENERGETICS**

**DYNAMICS**

Hillas  
*New Astronomy Reviews*  
Volume 89, September 2020, 101543

$$t_{\text{acc}} < R / u$$

$$t_{\text{acc}} < t_{\text{dyn}}$$

$$pp / p\gamma$$

$$t_{\text{acc}} < t_{pp}$$

$$t_{\text{acc}} < t_{p\gamma}$$

Most specific  
Most restrictive



ELSEVIER

Particle acceleration in astrophysical jets

F

James H. Matthews <sup>a</sup>✉, Anthony R. Bell <sup>b</sup>, Katherine M. Blundell <sup>c</sup>

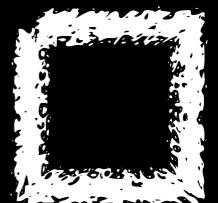
**PHYSICS**

What sets the maximum particle energy?

# UHECR Checklist

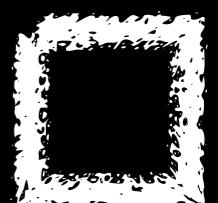
- Hillas energy

$$E_H = Z u B R$$



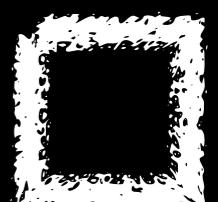
- Non-relativistic shocks

$$u < f_{\text{crit}} c$$

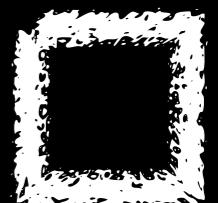


- Enough powerful sources

$$Q_k \gtrsim 10^{43} \left( \frac{E/Z}{10^{19} \text{eV}} \right)^2 \left( \frac{u}{c} \right)^{-1} \text{erg s}^{-1}$$

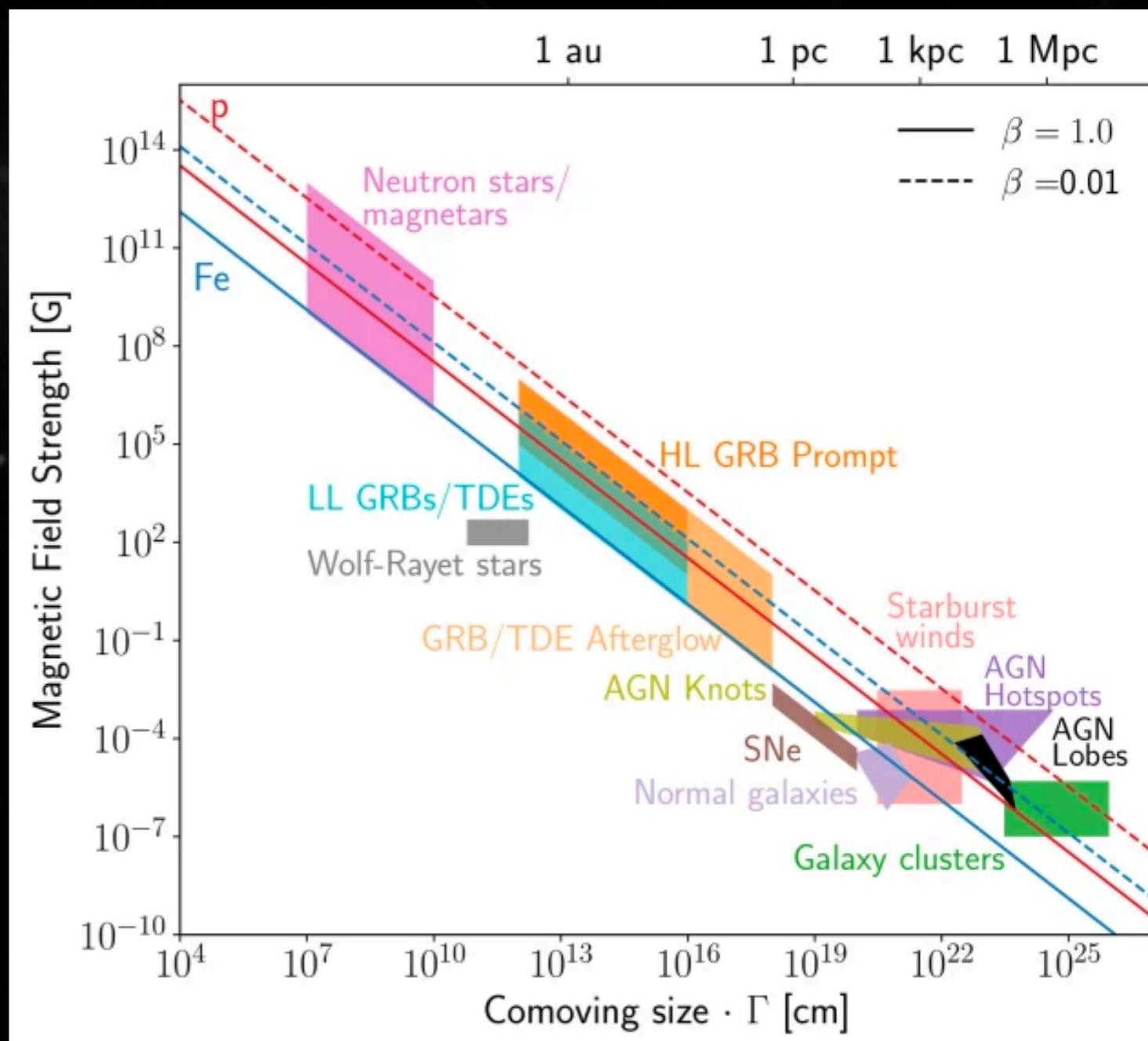


- Powerful sources within “horizons” (e.g. GZK)



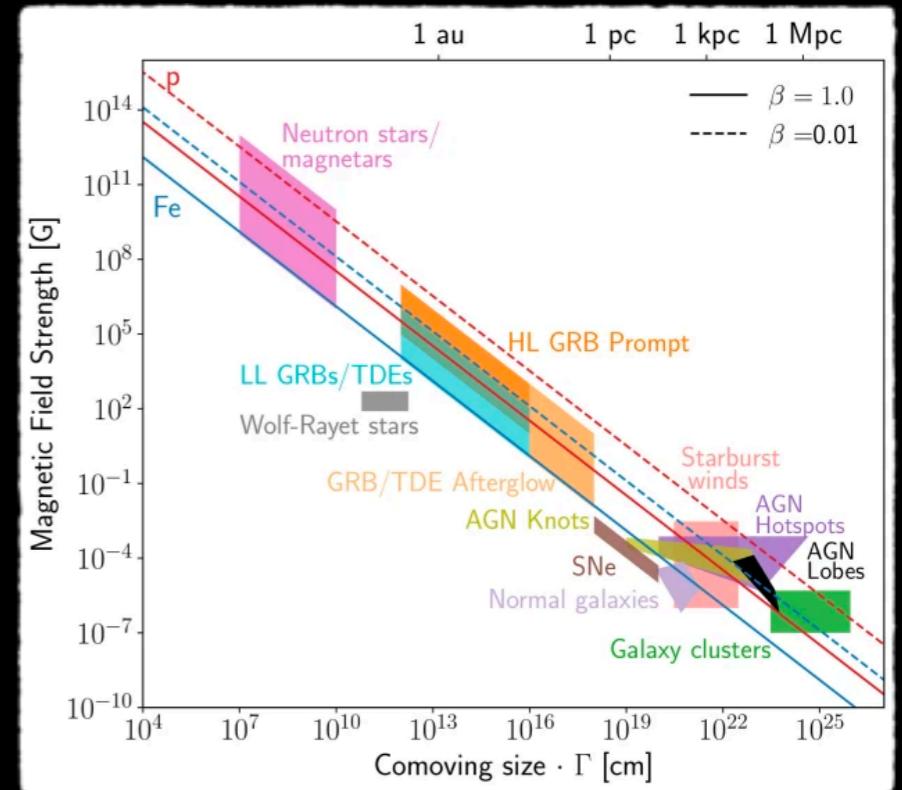
# UHECR Sources

“Hillas Plot” (Hillas 1984)  
Update from Bustamente



# Getting to ultrahigh energies

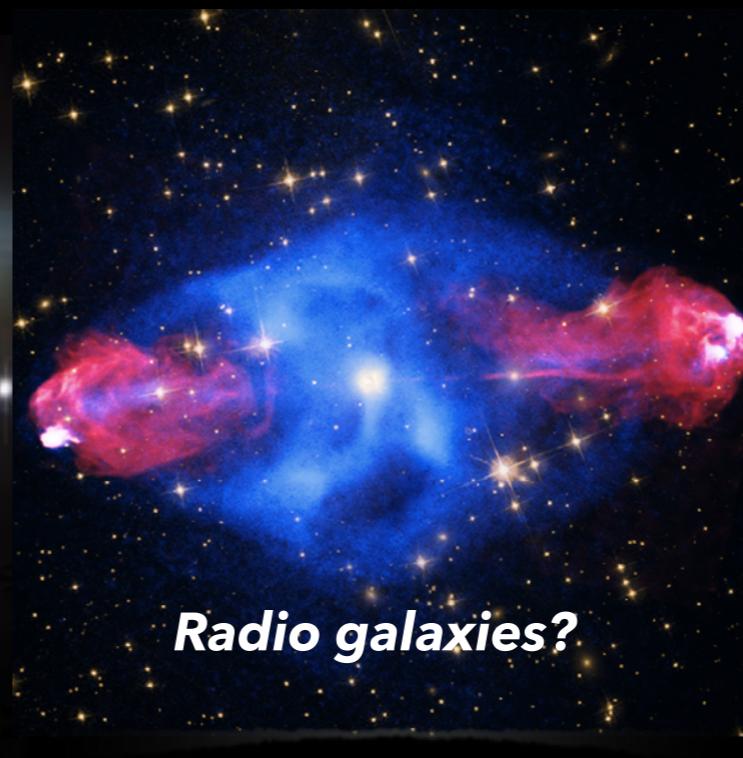
$$E_{\max} \sim Z\eta^{-1} \left( \frac{B}{\mu G} \right) \left( \frac{R}{10 \text{ kpc}} \right) \left( \frac{u}{c} \right) 10^{19} \text{ eV}$$



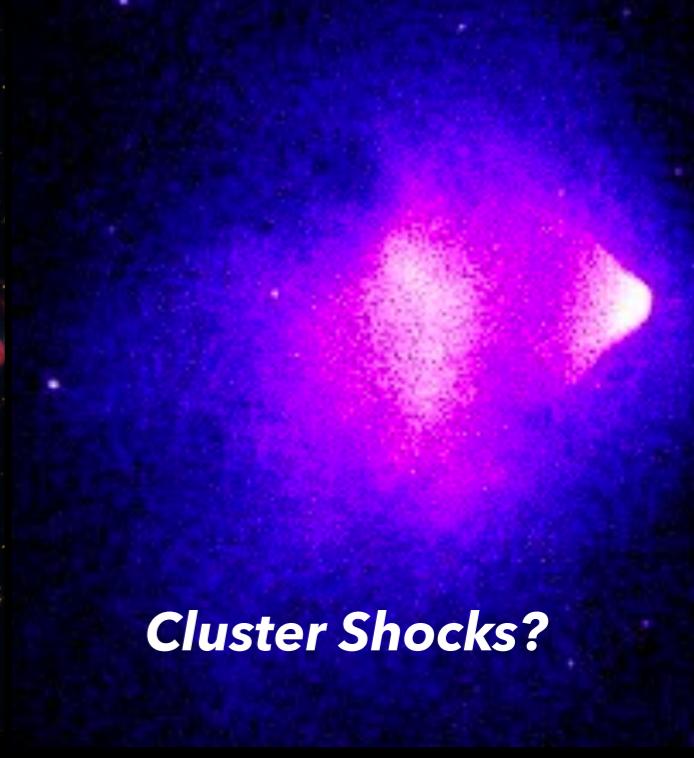
Gamma-ray bursts?



Starburst winds?



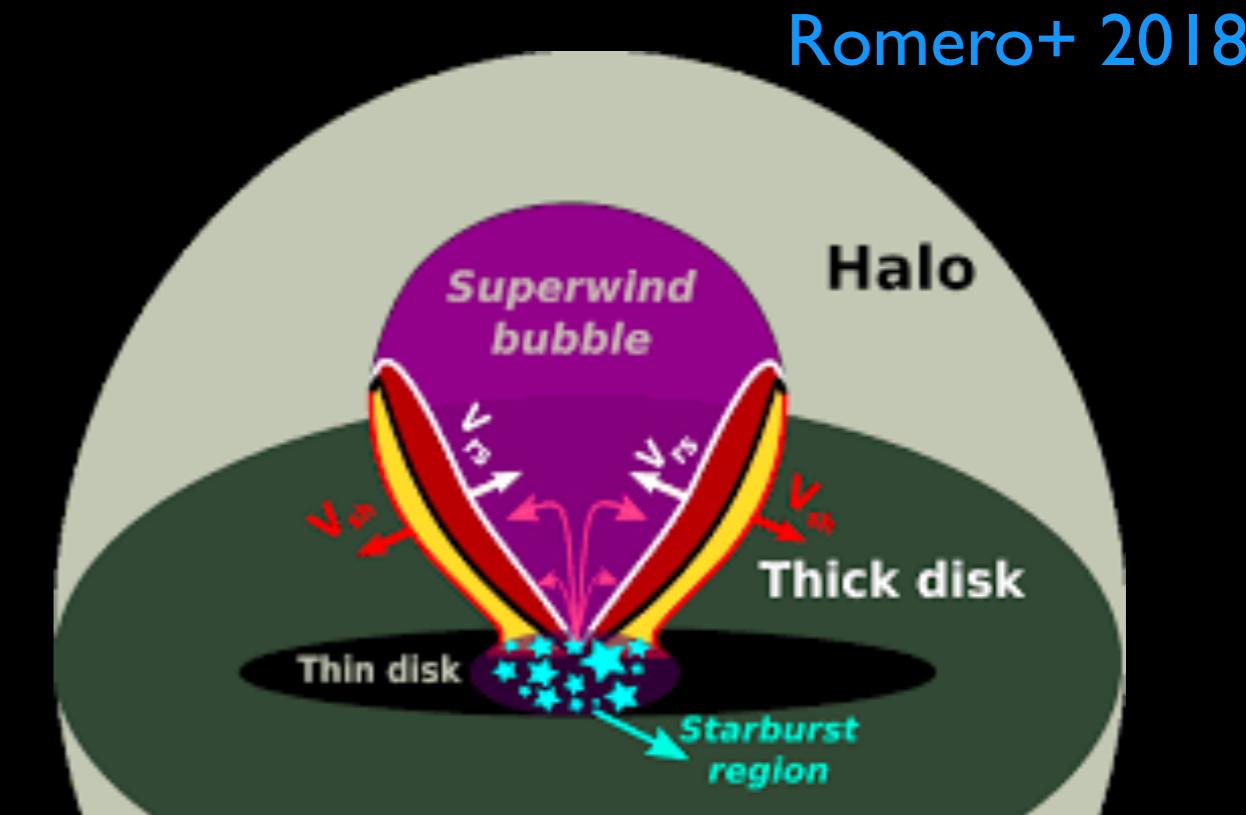
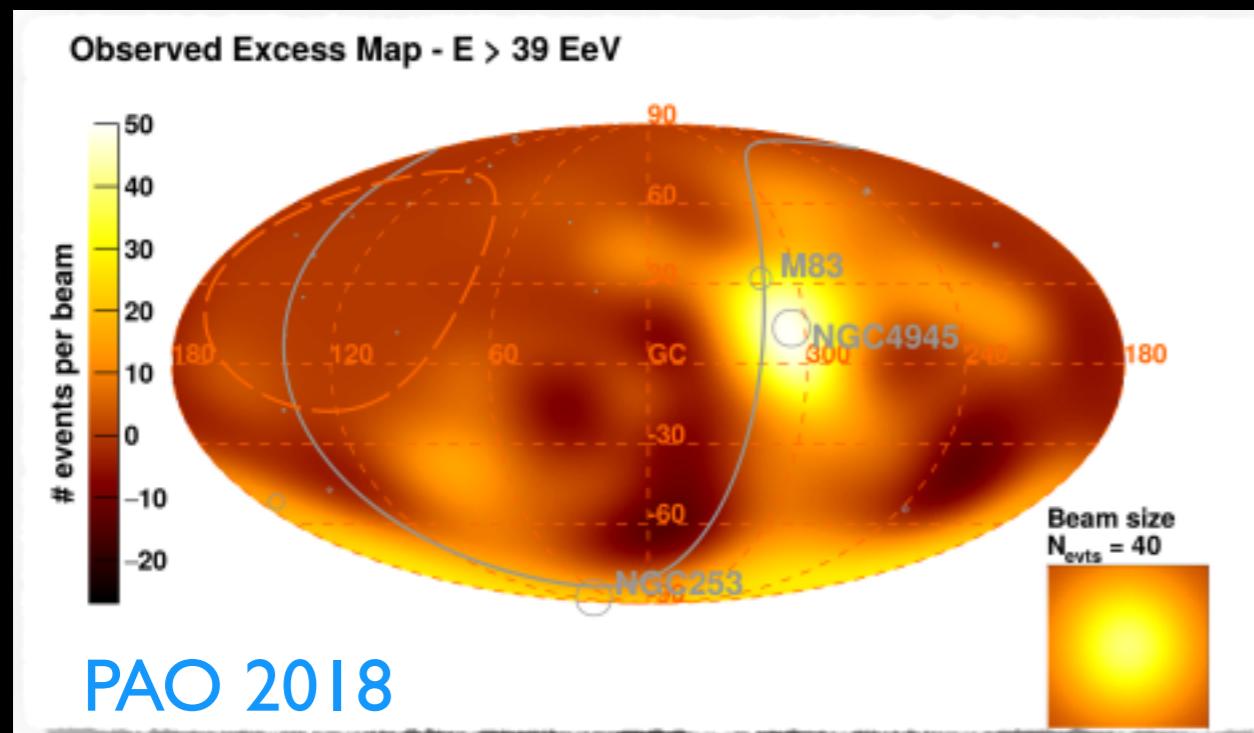
Radio galaxies?



Cluster Shocks?

# Starburst winds

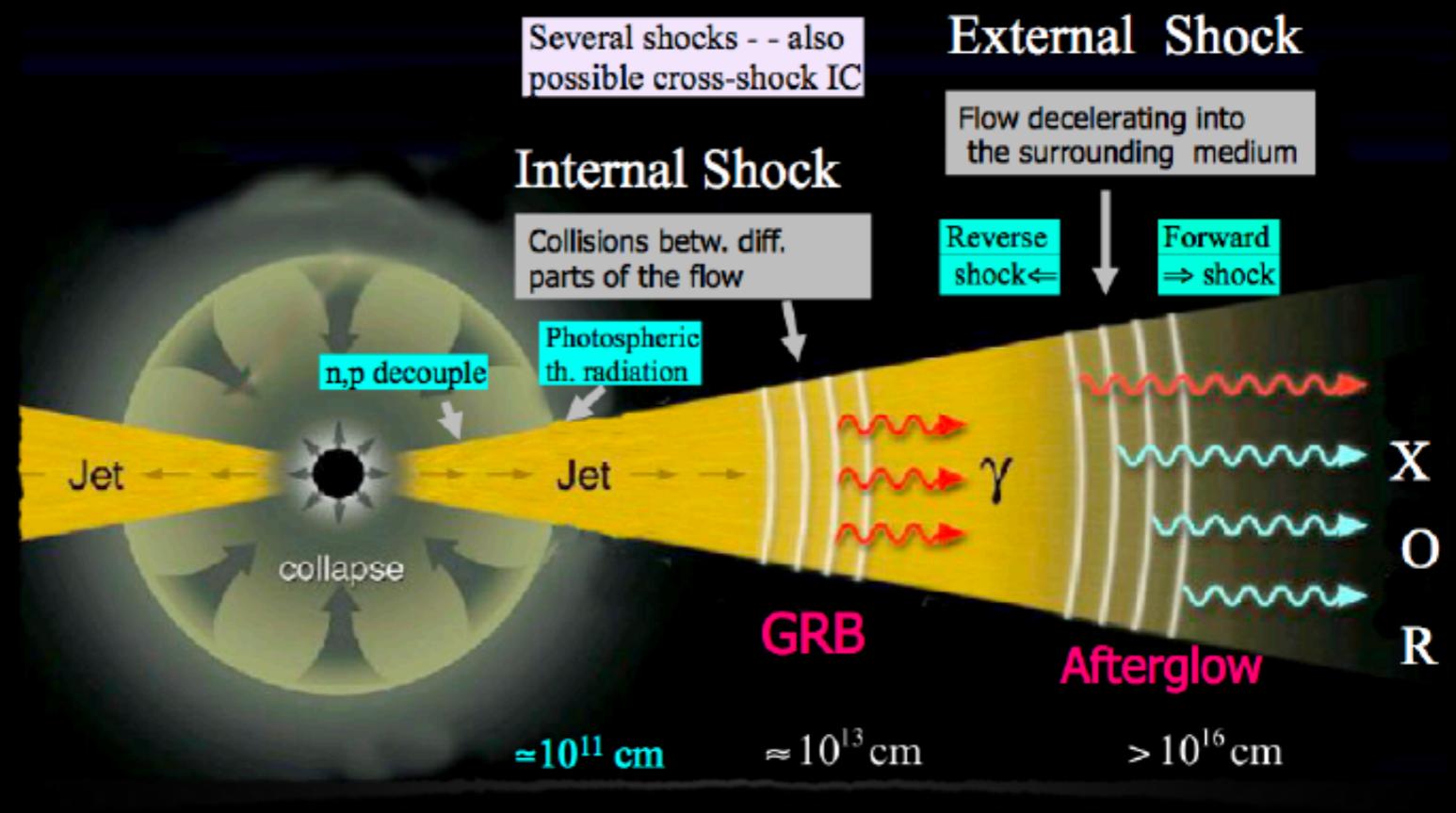
- Tantalising indications of UHECR anisotropies in directions of Starburst galaxies ([PAO 2018](#))
- Acceleration in the termination shock of the starburst “superwind” proposed (e.g. [Anchordoqui 2018](#))
- but...power and velocity of wind way too low (see e.g. [Romero+ 2018, Matthews+ 2018](#))
- *More or less ruled out on energetic grounds for highest energies*



# Gamma Ray Bursts



- Loads of power!!!
- Pioneering work by Waxman (1995) suggests GRB internal shocks as accelerators
- Need high baryon loading and high efficiencies to explain observed UHECR flux (e.g. Baerwald+ 2014, Globus+ 2015)
- Shocks are highly relativistic which prohibits UHECR acceleration (e.g. Reville & Bell 2014, Bell+ 2018)



Meszaros 2001,2015

# Cluster Shocks

- Recent suggestion from TA that correlation with Perseus cluster observed (TA)
- Cluster shocks are large (~Mpc) and have been proposed as UHECR (Kang, Blandford, Globus)
- Slow velocities means they only just reach the require energies
- Can acceleration to UHEs proceed in weak slow shocks?
- Hierarchical scheme with reacceleration of seed CRs?

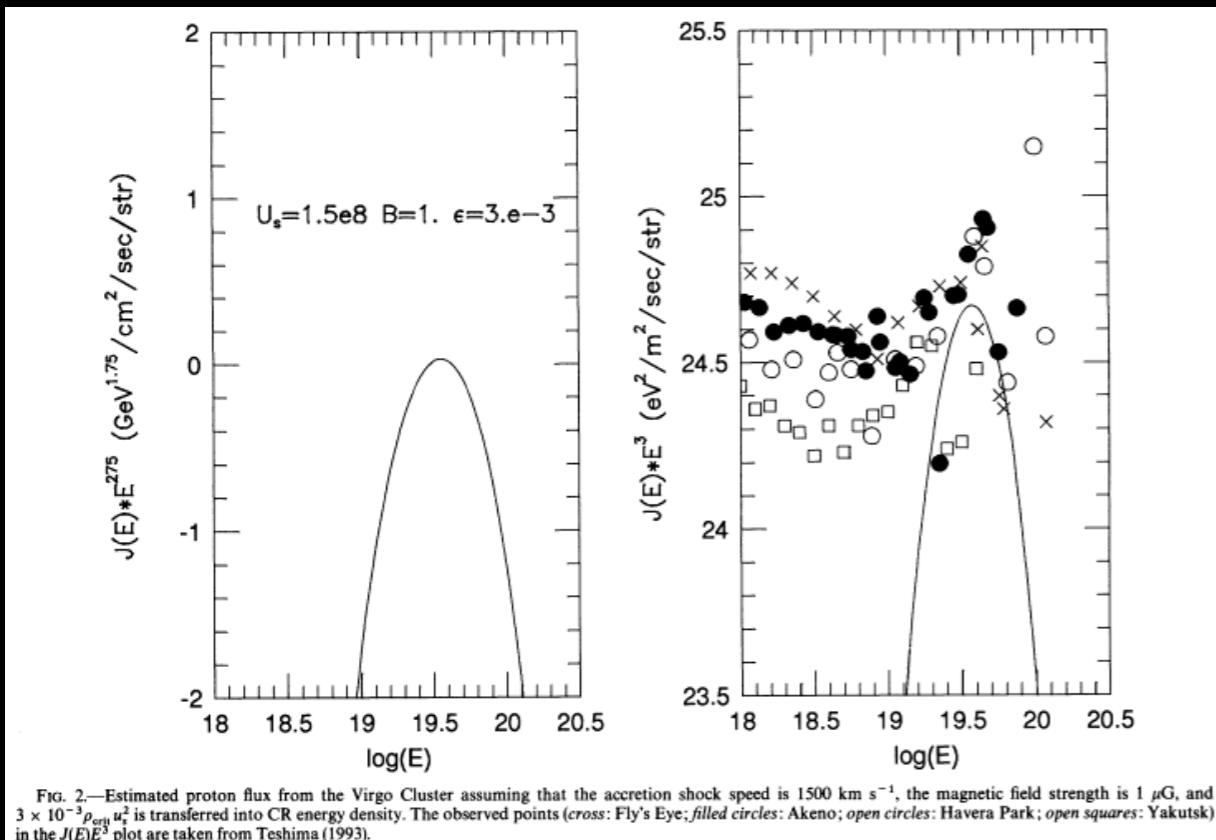
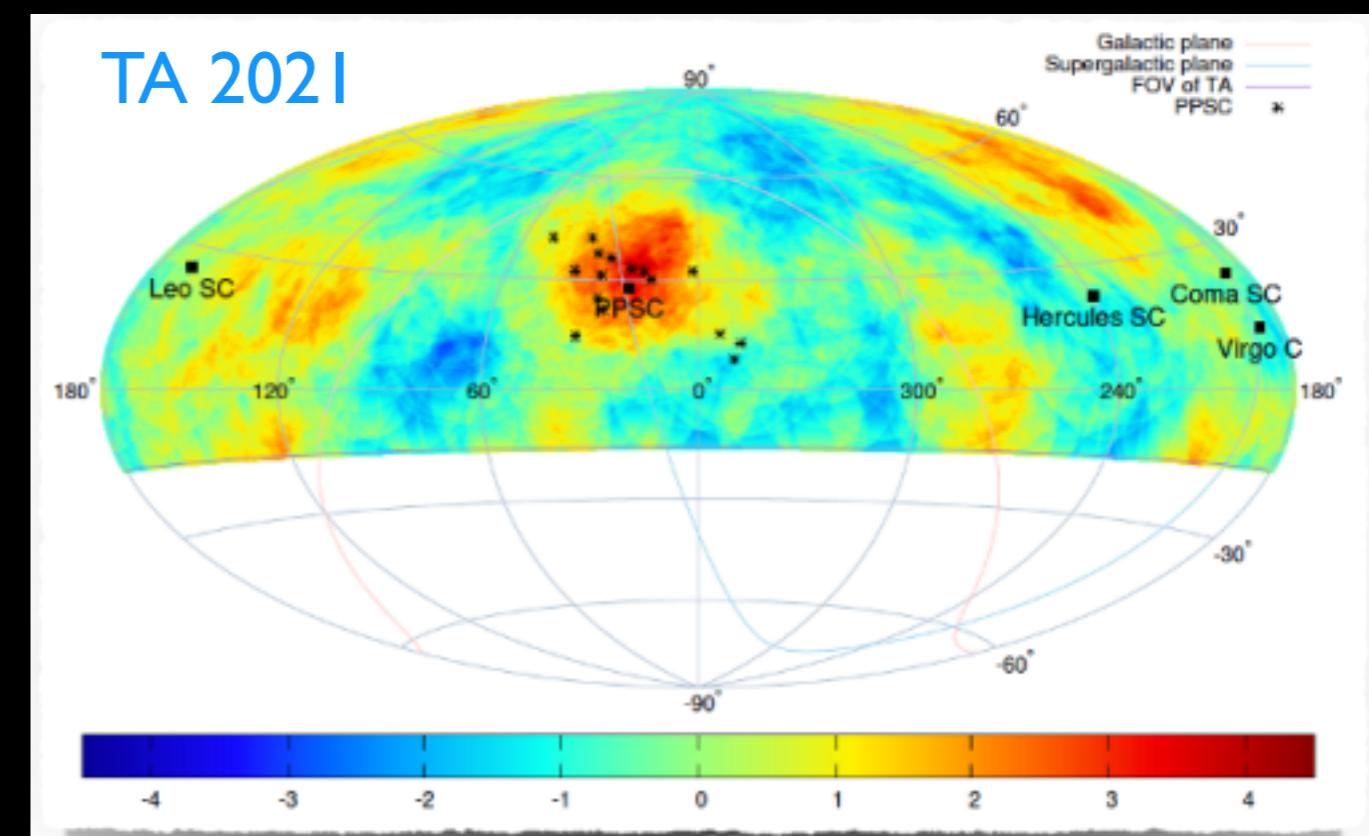
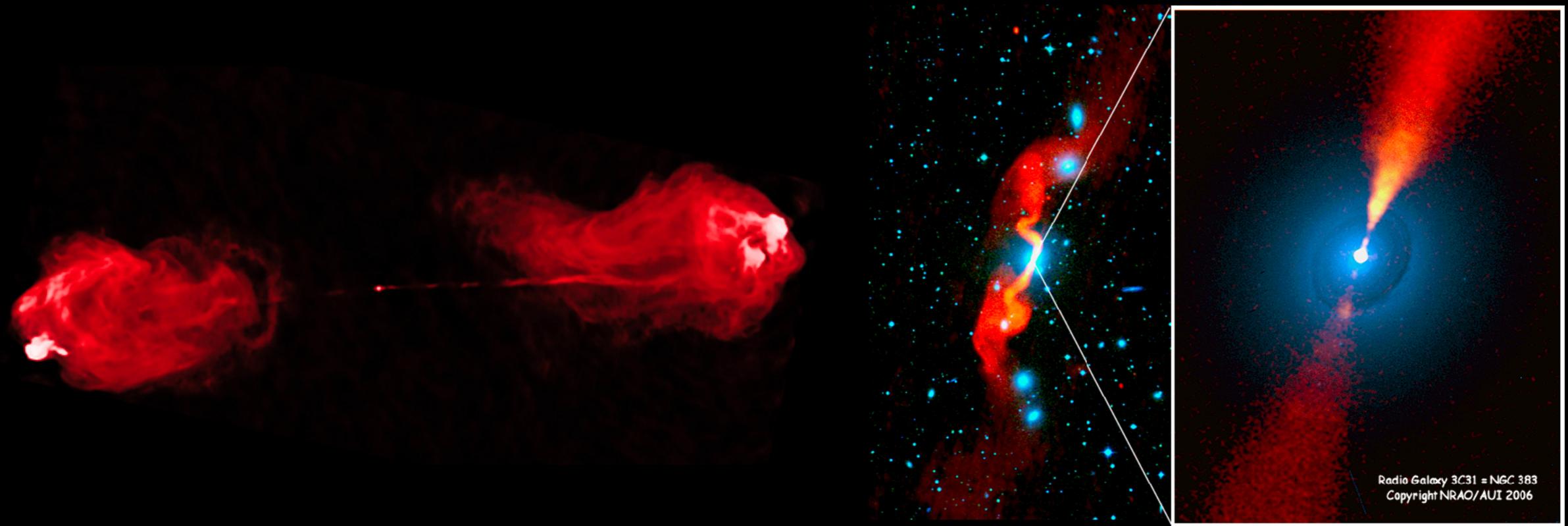


FIG. 2.—Estimated proton flux from the Virgo Cluster assuming that the accretion shock speed is  $1500 \text{ km s}^{-1}$ , the magnetic field strength is  $1 \mu\text{G}$ , and  $3 \times 10^{-3} \rho_{\text{crit}} u_s^3$  is transferred into CR energy density. The observed points (cross: Fly's Eye; filled circles: Akeno; open circles: Haverah Park; open squares: Yakutsk) in the  $J(E)E^3$  plot are taken from Teshima (1993).



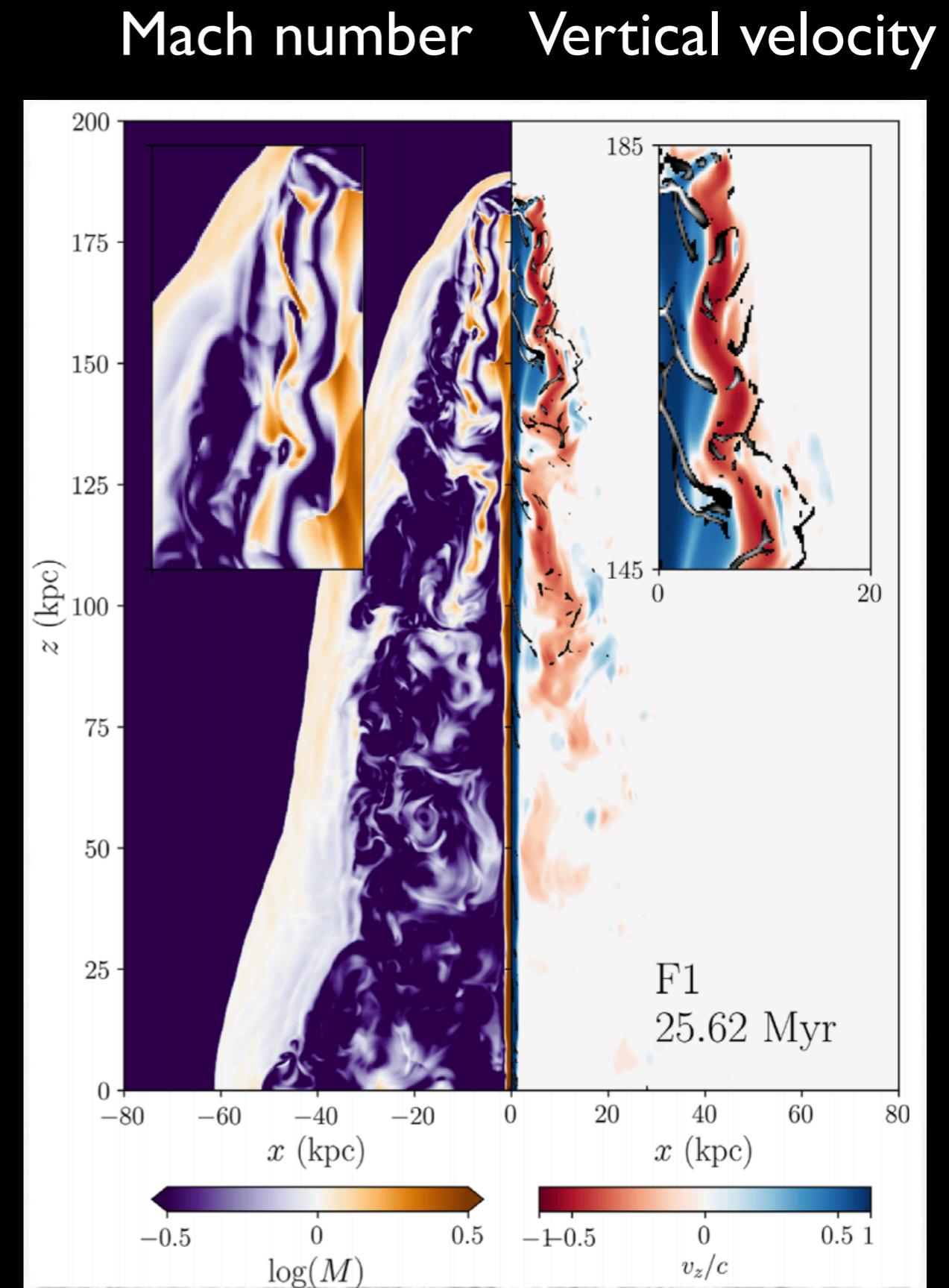
# Radio galaxies



- Giant (kpc to Mpc) jets from AGN that produce lobes or cocoons of radio emitting plasma
- Two main morphologies – FRII, left, and lower power FRIs, right.
- Obvious UHECR candidates, since they are **big** and **fast**- See e.g. Hillas 1984, Norman+ 1995, Hardcastle 2010, but also many, many others!
- However - relativistic hotspots don't appear to reach high enough energies ([Araudo+ 2015, 2016, 2018](#))
- *Basic idea: search for non-relativistic shocks that have high enough Hillas energy!*

# Jet simulations

- We conducted relativistic hydro sims of light jets in a cluster
  - 2D and 3D, using PLUTO (Mignone+ 2007)
- Jets produce strong, supersonic backflow -> shocks
- Compression structures and pressure jumps seen
- Observed in other simulations (e.g. Saxton+ 2002, Reynolds+ 2002, Mignone+ 2009)



# Jets in 3D

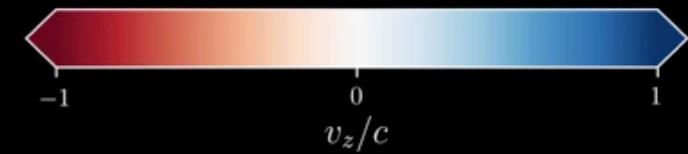
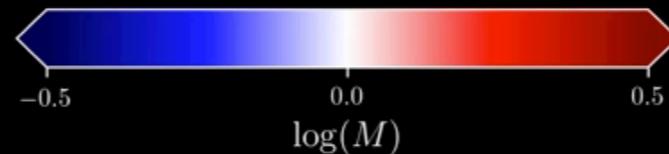
<http://jhmatthews.github.io/uhecr-movies>

17.46 Myr

0.16 Myr

0

0



Matthews+ 2019

# UHECR Checklist (Radio galaxies)

- Hillas energy

$$E_H = Z u B R$$



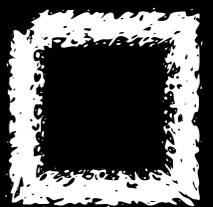
- Non-relativistic shocks

$$u < f_{\text{crit}} c$$

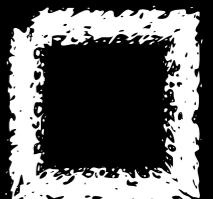


- Enough powerful sources

$$Q_k \gtrsim 10^{43} \left( \frac{E/Z}{10^{19} \text{eV}} \right)^2 \left( \frac{u}{c} \right)^{-1} \text{erg s}^{-1}$$

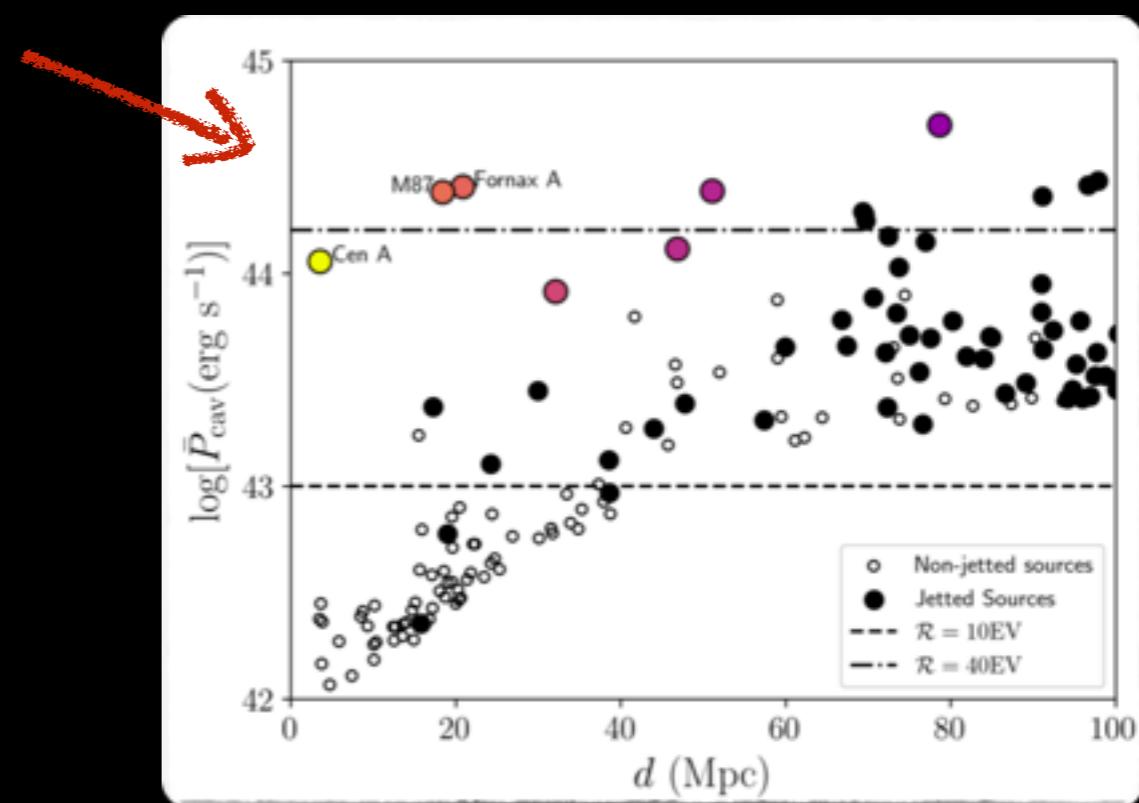
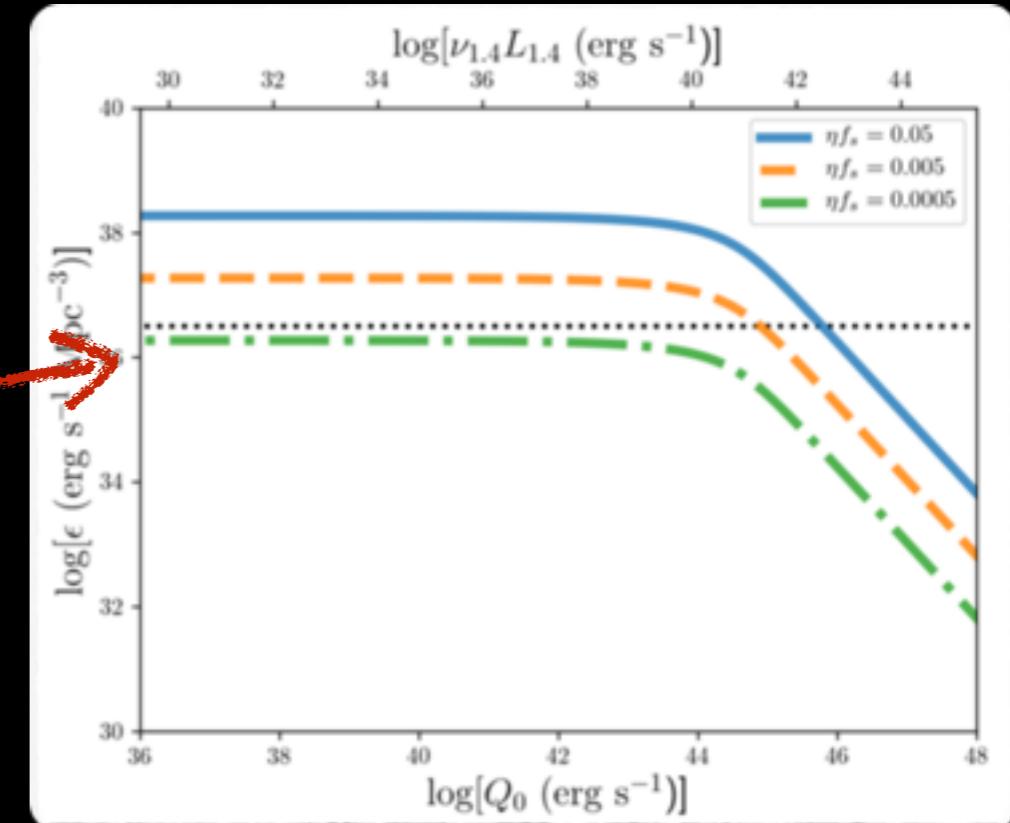


- Powerful sources within “horizons”



# Are there enough powerful sources?

- Powerful RGs are on average common and energetic enough
- But, barely any currently active sources within GZK horizon powerful enough
- Are the sources variable / intermittent?



# UHECR Checklist

- Hillas energy

$$E_H = Z u B R$$



- Non-relativistic shocks

$$u < f_{\text{crit}} c$$



- Enough powerful sources

$$Q_k \gtrsim 10^{43} \left( \frac{E/Z}{10^{19} \text{eV}} \right)^2 \left( \frac{u}{c} \right)^{-1} \text{erg s}^{-1}$$

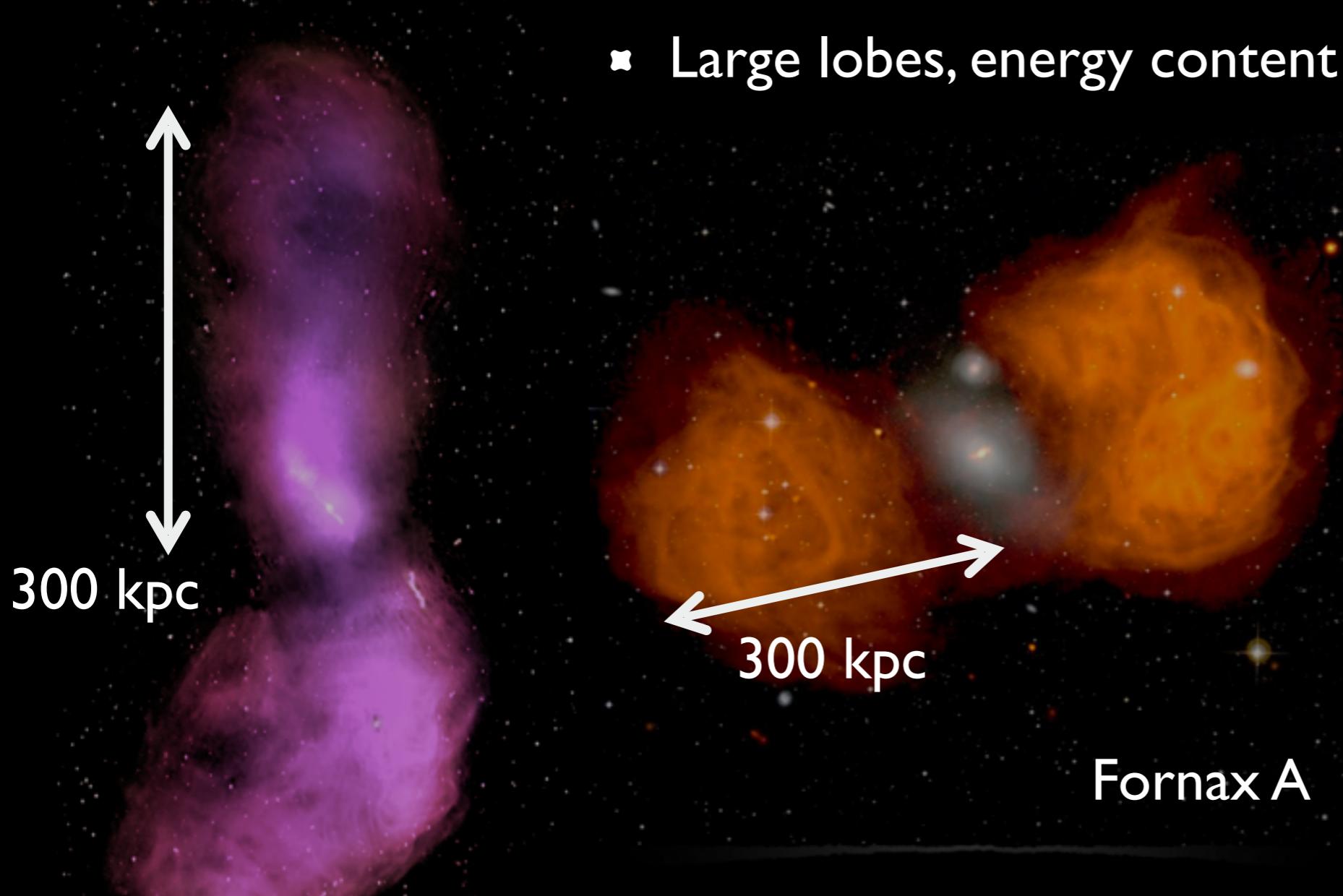


- Powerful sources within “horizons”



# Dormant Radio Sources?

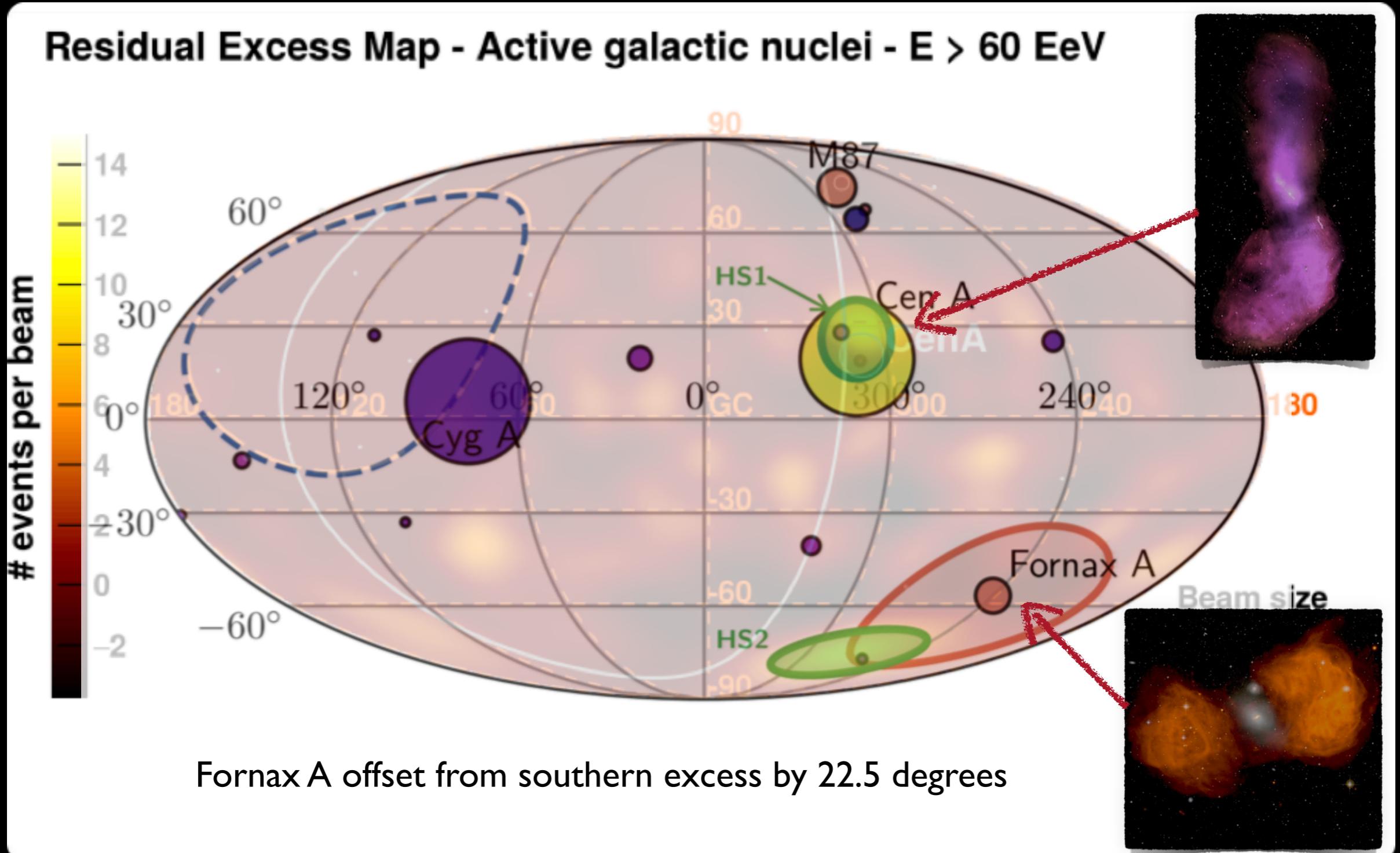
- Large lobes, energy content  $> 10^{58}$  erg



- Declining AGN activity in Fornax A
- Recent merger activity in both sources
- “Dormant” radio galaxies? More active in the past?

# Arrival Directions

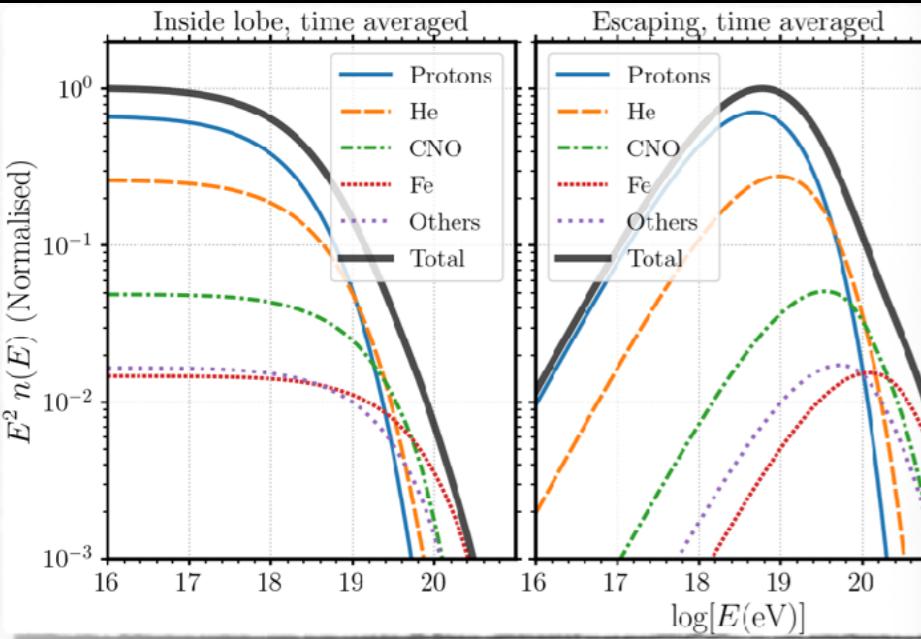
- Fornax A and Cen A are also compellingly close to UHECR excesses!



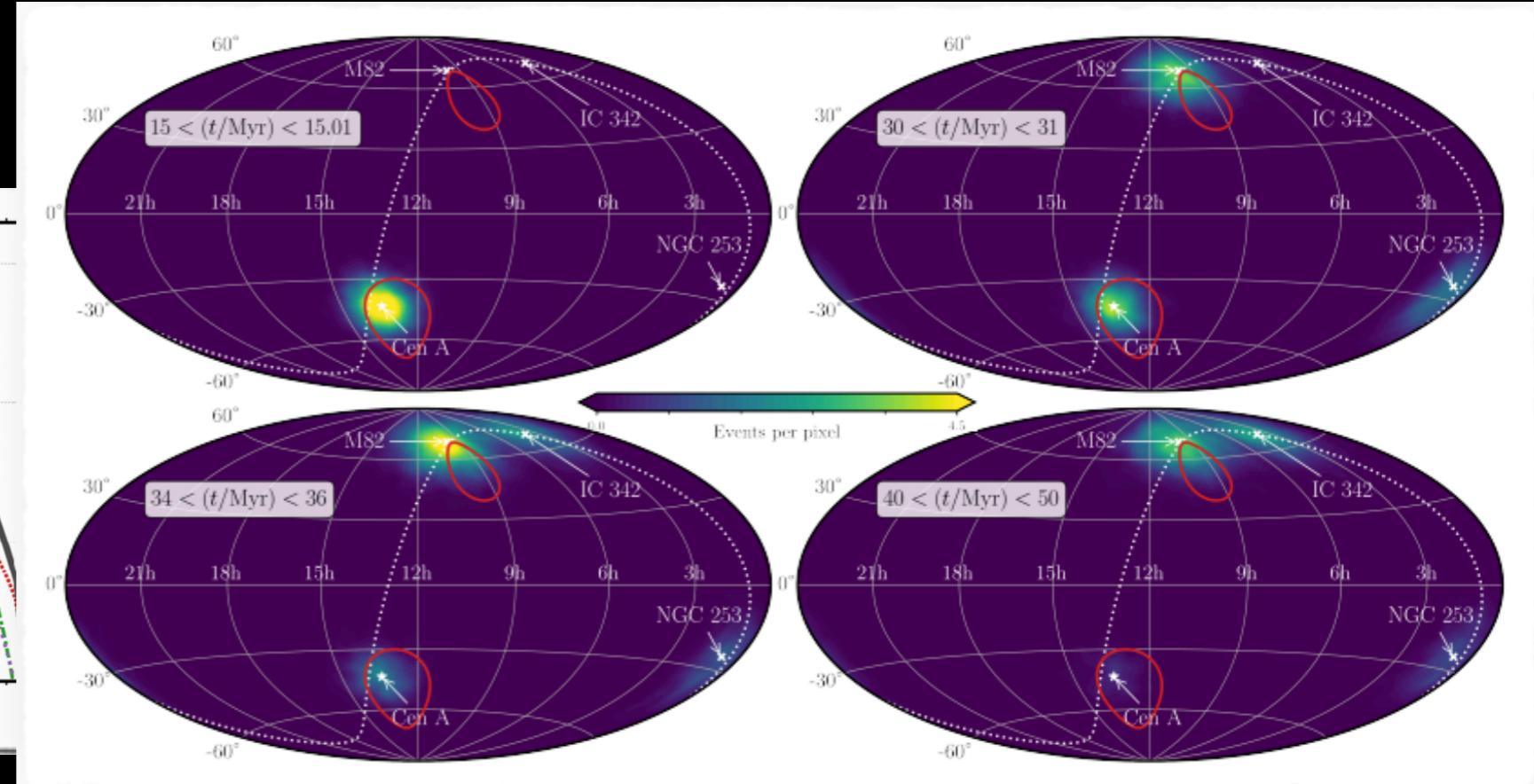
# UHECR Echoes from the past

- Time variability important in determining UHECR spectrum and luminosity (e.g. Matthews & Taylor 2021)
- New idea: Cen A was 100x more luminous than it is now and these UHECRs are scattering towards us off magnetic structures like starburst galaxy haloes
- UHECR map may be “echo” of past activity from nearby structure

Matthews & Taylor 2021

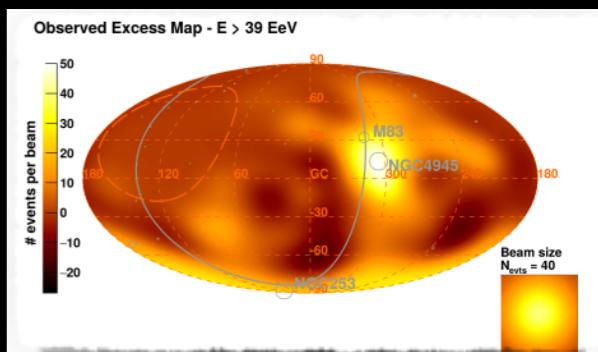
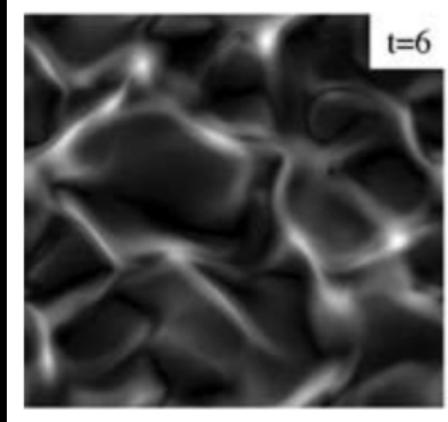
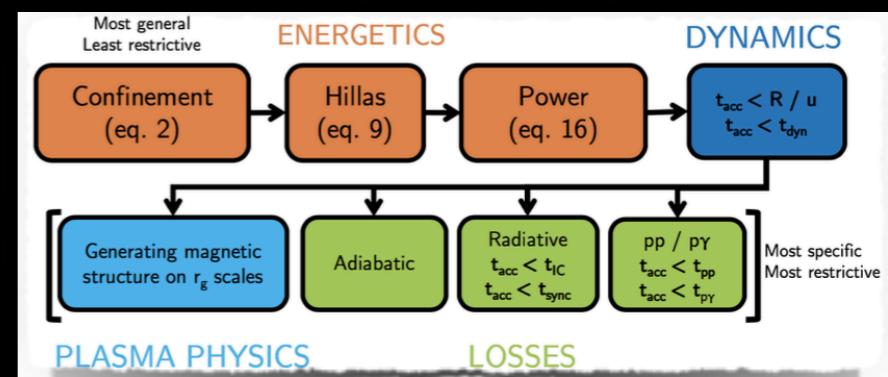


Bell & Matthews 2021



# Summary

- Understanding UHECR origins is a perennial challenge
- Shocks and reconnection can both transfer energy to nonthermal particles and create power law particle distributions
- Simple back of envelope calculations can be used to identify potential UHECR sources
- The maximum CR energy is limited by a variety of factors - self-regulating acceleration process must be carefully considered
- UHECRs may be produced in the backflows of radio galaxies where the shock velocity is non-relativistic
  - Compelling associations between Cen A and Fornax A and UHECR excesses, variability critical



Main references:

Jets Review: Matthews+ 2020, *New Astronomy Reviews*, 89, 101543

Matthews+ 2018, *MNLett*, 479, 76

Matthews+ 2019, *MNRAS*, 482, 4303

Matthews & Taylor 2021, *MNRAS*, 503, 5948

Bell & Matthews, submitted, arXiv: 2108.080879

