

# Four Forms of Polymorphism

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- **Background and Motivations**

Polymorphism - Motivating Examples - A Refresher Course on Operational Semantics

- **Subtyping polymorphism**

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- **Parametric polymorphism**

Introduction - Hindley-Milner System - Inference algorithm

- **Ad-Hoc polymorphism**

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- **Gradual Typing (dynamic type polymorphism)**

Main ideas - Formal system - Algorithmic Aspects - Criteria for Gradual Typing - Implementation issues - References

# Background and Motivations

- 1 Polymorphism
- 2 Motivating Examples
- 3 A Refresher Course on Operational Semantics

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There exists several polymorphic programming entities:

- polymorphic functions (e.g., a function of type `int→int` and of type `bool→bool`)
- polymorphic data structures (e.g., a list whose elements are of any possible type)
- polymorphic classes (e.g. a class whose instances are stack of `int` and stacks of `bool`)
- polymorphic operators (e.g., the symbol `+` to denote arithmetic sum and string concatenation)
- ...



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**In this course I focus on functions.**

# Polymorphic functions

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Functions that can be applied to arguments of different types

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## GOAL

How to define **sound** type system for polymorphic functions

Sound = all expressions that pass type-checking will never reduce to *stuck* terms such as `3(true)`

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## Four forms of polymorphism:

- 1 parametric,
- 2 subtyping,
- 3 ad-hoc,
- 4 dynamic

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Functions that make no assumption about the type *of some specific arguments*

They delay the check to the type of these arguments at run-time

# Outline

- 1 Polymorphism
- 2 Motivating Examples
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# 1. Parametric polymorphism

## Functions that work with arguments of any type.

They do not inspect “parametric” arguments, they just:

- either ignore them
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```
function first (x , y) {  
    return x;  
}
```

It can be applied to pairs of type  $S \times T \rightarrow S$  and returns a result of type  $S$ , whatever types  $S$  and  $T$  are.

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## Intuition

Add type variables and quantify them universally:

$$\forall \alpha, \beta . \alpha \times \beta \rightarrow \alpha$$

## 2. Subtyping polymorphism

**Functions that work with arguments of with certain properties:** They use the known properties of the arguments

```
function size (x) {  
    return x.length;  
}
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It can be applied to objects with the property `length` and return (in general) an integer.



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### Intuition

Define an order relation on types and accept arguments of any subtype

$$\{ \text{length: number} \} \rightarrow \text{number}$$

Accepts arguments of any type  $T \leq \{ \text{length: number} \}$   
(e.g.  $\{ \text{length: number, concat: string} \rightarrow \text{string} \}$ )

# Combined usage

```
function size (x) {  
  return x.length;  
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```

## Subtyping + Parametric

Possibility two combine the two form of polymorphism

$$\forall \alpha. \{ \text{length} : \alpha \} \rightarrow \alpha$$

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$$\forall \alpha. \{ \text{length} : \alpha \} \rightarrow \alpha$$

```
function size (x) {  
    if (x.length > 4) { x = setCharAt(str,4,'a') }  
    return x  
}
```

## Bounded parametric

$$\forall \alpha \leq \{ \text{length} : \text{number} \} . \quad \alpha \rightarrow \alpha$$

### 3. *Ad hoc* polymorphism

#### Functions for arguments in a specific (finite) set of different types

They execute different code for each type of the argument

```
function double (x) {  
  (typeof(x) === "number") ? 2*x : x.concat(x)  
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```

If applied to an integer returns an integer, if applied to a string returns a string

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- Naive solution: union types

$$(\text{number} \mid \text{string}) \rightarrow (\text{number} \mid \text{string})$$

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needs some form of occurrence typing

# Combined usage

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```

## Set-theoretic + Subtyping

```
( number→number ) &  
( (not(number) & {concat: string→string}) → string )
```

Actually, set-theoretic types are defined by subtyping

# Combined usage

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function double (x) {  
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## Set-theoretic + Subtyping

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## Set-theoretic + Parametric

$$\begin{aligned} \forall \alpha, \beta. \quad & ( \text{number} \rightarrow \text{number} ) \ \& \\ & ( ( \alpha \ \& \ \text{not}(\text{number}) \ \& \ \{ \text{concat} : \alpha \rightarrow \beta \} ) \rightarrow \beta ) \end{aligned}$$

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## Set-theoretic + Parametric

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a sophisticated way to write bounded polymorphism and recursive types:

$$\begin{aligned} \forall \beta, \forall (\gamma \leq \text{not}(\text{number}) \ \& \ \mu X. \{\text{concat}: X \rightarrow \beta\}). \\ ( \text{number} \rightarrow \text{number} ) \ \& \ (\gamma \rightarrow \beta) \end{aligned}$$

## 4. Dynamic types

Functions that *for some specific arguments* delay the check of types at run-time

```
function double (x) {  
    ( typeof(x) === "number" ) ? 2*x : x.concat(x)  
}
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## 4. Dynamic types

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```
function double (x) {  
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Cannot give a type to `x` that works with both `2*x` and `x.concat(x)`

## 4. Dynamic types

Functions that *for some specific arguments* delay the check of types at run-time

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function double (x: ?) {  
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Cannot give a type to `x` that works with both `2*x` and `x.concat(x)`

**Solution**

**Add an unknown/type “?”**



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Cannot give a type to `x` that works with both `2*x` and `x.concat(x)`

### Solution

**Add an unknown/type “?”**

**Develop a type theory for “?” such that:**

- No solution for ? for some execution  $\Rightarrow$  statically reject
- No problem for any solution for ?  $\Rightarrow$  statically accept, do nothing
- For each possible execution there exists some solution for ?  $\Rightarrow$  statically accept and add run-time checks

## Reject at compile time:

```
function wrong (x : ?) {  
  return (2*x + x(2));  //cannot be a number and a function  
}
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### Accept as is:

```
function ok (x : ?) {  
  if (typeof(x) === "number"){ return 42 } else { return x }  
}
```

Intuitively the function has type:  $? \rightarrow (\text{number} \mid ?)$

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Intuitively the function has type:  $? \rightarrow (\text{number} \mid ?)$

## Accept and insert checks:

```
function double (x : ?) {  
  (<condition>) ? 2*x : x.concat(x)  
}
```

Compile as

```
function double (x : ?) {  
  (<condition>) ? 2*(x<number>) : (x<string>).concat(x<string>)  
}
```

## Combined usage: all 4 together! (OCaml style)

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let mymap (condition) (f) (x : ?) =  
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Type:  $\text{bool} \rightarrow (\alpha \rightarrow \beta) \rightarrow ? \rightarrow ?$

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- no information on the type of the result (though only  $\beta\text{list}$  or  $\beta\text{array}$  are possible)

```
let mymap (condition) (f) (x : ( $\alpha\text{ array}$  |  $\alpha\text{ list}$ ) & ?) =  
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Type:  $\text{bool} \rightarrow (\alpha \rightarrow \beta) \rightarrow ((\alpha\text{ array} | \alpha\text{ list}) \& ?) \rightarrow (\beta\text{ array} | \beta\text{ list})$

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Compiled as:

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**Cutting edge research:** *Gradual typing, a new perspective*, POPL 19

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# Syntax and small-step semantics

## Syntax

<i>Terms</i>	$a, b$	$::=$	$N$	Numeric constant
		$ $	$x$	Variable
		$ $	$ab$	Application
		$ $	$\lambda x. a$	Abstraction
<i>Values</i>	$v$	$::=$	$\lambda x. a \mid N$	

# Syntax and small-step semantics

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## Small step semantics for strict functional languages

*Evaluation Contexts*  $E ::= [] \mid E a \mid v E$

BETA<sub>v</sub>  
 $(\lambda x. a) v \rightarrow a[v/x]$

CONTEXT  
 $\frac{a \rightarrow b}{E[a] \rightarrow E[b]}$

## Characteristics of the reduction strategy

**Weak reduction:** We cannot reduce under  $\lambda$ -abstractions;

**Call-by-value:** In an application  $(\lambda x.a) b$ , the argument  $b$  must be fully reduced to a value before  $\beta$ -reduction can take place.

**Left-most reduction:** In an application  $a b$ , we must reduce  $a$  to a value first before we can start reducing  $b$ .

**Deterministic:** For every term  $a$ , there is at most one  $b$  such that  $a \rightarrow b$ .

# Strategy and big-step semantics

## Characteristics of the reduction strategy

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**Call-by-value:** In an application  $(\lambda x.a) b$ , the argument  $b$  must be fully reduced to a value before  $\beta$ -reduction can take place.

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## Big step semantics for strict functional languages

$$N \Rightarrow N \qquad \lambda x.a \Rightarrow \lambda x.a \qquad \frac{a \Rightarrow \lambda x.c \quad b \Rightarrow v_o \quad c[v_o/x] \Rightarrow v}{ab \Rightarrow v}$$

## The big step semantics induces an efficient implementation

```
type term =  
  Const of int | Var of string | Lam of string * term | App of term * term  
  
exception Error  
  
let rec subst x v = function          (* assumes v is closed *)  
  | Const n -> Const n  
  | Var y -> if x = y then v else Var y  
  | Lam(y, b) -> if x = y then Lam(y, b) else Lam(y, subst x v b)  
  | App(b, c) -> App(subst x v b, subst x v c)  
  
let rec eval = function  
  | Const n -> Const n  
  | Var x -> raise Error  
  | Lam(x, a) -> Lam(x, a)  
  | App(a, b) ->  
    match eval a with  
    | Lam(x, c) -> let v = eval b in eval (subst x v c)  
    | _ -> raise Error
```

## Exercises

- 1 Define the small-step and big-step semantics for the call-by-name
- 2 Deduce from the latter the interpreter
- 3 Use the technique introduced for the type 'a delayed earlier in the course to implement an interpreter with lazy evaluation.



## Environments

- Implementing textual substitution  $a[x/v]$  is *inefficient*. This is why compilers and interpreters *do not* implement it.
- Alternative: record the binding  $x \mapsto v$  in an *environment*  $e$

$$\frac{e(x) = v}{e \vdash x \Rightarrow v} \qquad e \vdash N \Rightarrow N \qquad e \vdash \lambda x. a \Rightarrow \lambda x. a$$

$$\frac{e \vdash a \Rightarrow \lambda x. c \quad e \vdash b \Rightarrow v_0 \quad e; x \mapsto v_0 \vdash c \Rightarrow v}{e \vdash ab \Rightarrow v}$$

# Improving implementation

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Giving up substitutions in favor of environments does not come for free

- Lexical scoping** requires careful handling of environments

```
let x = 1 in
let f = λy. (x+1) in
let x = "foo" in
f 2
```

In the environment used to evaluate `f 2` the variable `x` is bound to 1.

# Exercise

Try to evaluate

```
let x = 1 in
let f =  $\lambda y. (x+1)$  in
let x = "foo" in
f 2
```

by the big-step semantics in the previous slide,  
where `let  $x = a$  in  $b$`  is syntactic sugar for  $(\lambda x. b)a$

*let us outline it together*

# Function closures

To implement *lexical scoping in the presence of environments*, function abstractions  $\lambda x.a$  must not evaluate to themselves, but to a function *closure*: a pair  $(\lambda x.a)[e]$  (ie, the function and the *environment of its definition*)

## Big step semantics with environments and closures

*Values*  $v ::= N \mid (\lambda x.a)[e]$

*Environments*  $e ::= x_1 \mapsto v_1; \dots; x_n \mapsto v_n$

$$\frac{e(x) = v}{e \vdash x \Rightarrow v} \qquad e \vdash N \Rightarrow N \qquad e \vdash \lambda x.a \Rightarrow (\lambda x.a)[e]$$
$$\frac{e \vdash a \Rightarrow (\lambda x.c)[e_0] \quad e \vdash b \Rightarrow v_0 \quad e_0; x \mapsto v_0 \vdash c \Rightarrow v}{e \vdash ab \Rightarrow v}$$

# De Bruijn indexes

Identify variable not by names but by the number  $\underline{n}$  of  $\lambda$ 's that separate the variable from its binder in the syntax tree.

$$\lambda x.(\lambda y.y x)x \quad \text{is} \quad \lambda.(\lambda.\underline{0}\underline{1})\underline{0}$$

$\underline{n}$  is the variable bound by the  $n$ -th enclosing  $\lambda$ . Environments become sequences of values, the  $n$ -th value of the sequence being the value of variable  $\underline{n-1}$ .

$$\begin{array}{ll} \text{Terms} & a, b ::= N \mid \underline{n} \mid \lambda.a \mid ab \\ \text{Values} & v ::= N \mid (\lambda.a)[e] \\ \text{Environments} & e ::= v_0; v_1; \dots; v_n \end{array}$$

$$\frac{e = v_0; \dots; v_n; \dots; v_m}{e \vdash \underline{n} \Rightarrow v_n} \qquad e \vdash N \Rightarrow N \qquad e \vdash \lambda.a \Rightarrow (\lambda.a)[e]$$

$$\frac{e \vdash a \Rightarrow (\lambda.c)[e_0] \quad e \vdash b \Rightarrow v_0 \quad v_0; e_0 \vdash c \Rightarrow v}{e \vdash ab \Rightarrow v}$$

# The canonical, efficient interpreter

```
# type term = Const of int | Var of int | Lam of term | App of term * term
    and value = Vint of int | Vclos of term * environment
    and environment = value list                                (* use Vec instead *)

# exception Error

# let rec eval e a =
  match a with
  | Const n -> Vint n
  | Var n -> List.nth e n                                     (* will fail for open terms *)
  | Lam a -> Vclos(Lam a, e)
  | App(a, b) ->
    match eval e a with
    | Vclos(Lam c, e') ->
      let v = eval e b in
      eval (v :: e') c
    | _ -> raise Error

# eval [] (App (Lam (Var 0), Const (2))));;                  (*  $(\lambda x.x)2 \rightarrow 2$  *)
- : value = Vint 2
```

**Note:** To obtain improved performance one should implement environments by persistent extensible arrays: for instance by the `Vec` library by Luca de Alfaro.

# Subtyping



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# Simply Typed $\lambda$ -calculus

## Syntax

<i>Types</i>	$T ::= T \rightarrow T$	function types
	$\text{Bool} \mid \text{Int} \mid \text{Real} \mid \dots$	basic types
<i>Terms</i>	$a, b ::= \text{true} \mid \text{false} \mid 1 \mid 2 \mid \dots$	constants
	$  x$	variable
	$  ab$	application
	$  \lambda x:T. a$	abstraction

## Reduction

*Contexts*  $C[] ::= [] \mid a[] \mid []a \mid \lambda x:T. []$

BETA

$(\lambda x:T. a)b \longrightarrow a[b/x]$

CONTEXT

$$\frac{a \longrightarrow b}{C[a] \longrightarrow C[b]}$$

## Typing

$$\begin{array}{l} \text{VAR} \\ \Gamma \vdash x : \Gamma(x) \end{array} \qquad \begin{array}{c} \rightarrow\text{INTRO} \\ \Gamma, x : S \vdash a : T \\ \hline \Gamma \vdash \lambda x:S. a : S \rightarrow T \end{array} \qquad \begin{array}{c} \rightarrow\text{ELIM} \\ \Gamma \vdash a : S \rightarrow T \quad \Gamma \vdash b : S \\ \hline \Gamma \vdash ab : T \end{array}$$

(plus the typing rules for constants).

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## Theorem (Subject Reduction)

*If  $\Gamma \vdash a : T$  and  $a \rightarrow^* b$ , then  $\Gamma \vdash b : T$ .*

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### Theorem (Subject Reduction)

*If  $\Gamma \vdash a : T$  and  $a \longrightarrow^* b$ , then  $\Gamma \vdash b : T$ .*

We will essentially focus on the subject reduction property (a.k.a. *type preservation*), though well-typed programs must also satisfy *progress*:

### Theorem (Progress)

*If  $\emptyset \vdash a : T$  and  $a \not\rightarrow$ , then  $a$  is a value*

where a value is either a constant or a lambda abstraction

$$v ::= \lambda x : T. a \mid \text{true} \mid \text{false} \mid 1 \mid 2 \mid \dots$$

# Subject Reduction + Progress = Soundness

## Soundness [Wright & Felleisen 1994]

A type system is *sound* if every well-typed expression either diverges or reduces to a value of type

Soundness is a corollary of subject reduction and progress

# Type checking algorithm

The deduction system is *syntax directed* and satisfies the *subformula property*.  
As such it describes a deterministic algorithm.



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let rec typecheck gamma = function
  | x -> gamma(x)                                (* Var rule *)
  |  $\lambda x:T.a \rightarrow T \rightarrow$  (typecheck (gamma,  $x:T$ ) a) (* Intro rule *)
  | ab -> let  $T_1 \rightarrow T_2 =$  typecheck gamma a in (* Elim rule *)
          let  $T_3 =$  typecheck gamma b in
          if  $T_1 == T_3$  then  $T_2$  else fail
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```

**Exercise.** Write the *typecheck* function for the following definitions:

```
type stype = Int | Bool | Arrow of stype * stype
```

```
type term =
  Num of int | BVal of bool | Var of string
  | Lam of string * stype * term | App of term * term
```

```
exception Error
```

Use `List.assoc` for environments.

# Subtyping

The rule for application requires the argument of the function to be *exactly of the same type* as the domain of the function:

$$\frac{\begin{array}{c} \rightarrow\text{ELIM} \\ \Gamma \vdash a : S \rightarrow T \quad \Gamma \vdash b : S \end{array}}{\Gamma \vdash ab : T}$$

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- If we are in OOP, send a message defined for objects of the class `Persons` to an instance of the subclass `Students`.

## Subtyping polymorphism

We need a kind of polymorphism different from the ML one (parametric polymorphism).

# Subtyping relation

- Define a pre-order (ie, a reflexive and transitive binary relation)  $\leq$  on types:  $\leq \subset \textit{Types} \times \textit{Types}$  (some literature uses the notation  $<:$ )



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**Containment:** If  $S \leq T$ , then every value of type  $S$  *is also* of type  $T$ .  
For instance an odd number *is also* an integer, a student *is also* a person.  
Sometimes called a “**is\_a**” relation.

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- We'll see how each interpretation has a formal counterpart.

# Subtyping for simply typed $\lambda$ -calculus

- We suppose to have a predefined preorder  $\mathcal{B} \subset \text{Basic} \times \text{Basic}$  for basic types (given by the language designer).

For instance take the reflexive and transitive closure of  $\{(\text{Odd}, \text{Int}), (\text{Even}, \text{Int}), (\text{Int}, \text{Real})\}$

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- To extend it to function types, we resort to the substitutability interpretation. We will try to deduce when we can safely replace a function of some type by a term of a different type

# Subtyping of arrows: intuition

## Problem

Determine for which type  $S$  we have  $S \leq T_1 \rightarrow T_2$

Let  $g : S$  and  $f : T_1 \rightarrow T_2$ . Let us follow the **substitutability interpretation**:

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 $\Rightarrow g$  is a function, therefore  $S = S_1 \rightarrow S_2$



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## Solution

$$S_1 \rightarrow S_2 \leq T_1 \rightarrow T_2 \quad \Leftrightarrow \quad T_1 \leq S_1 \text{ and } S_2 \leq T_2$$

# Covariance and contravariance

$$S_1 \rightarrow S_2 \leq T_1 \rightarrow T_2 \quad \Leftrightarrow \quad T_1 \leq S_1 \text{ and } S_2 \leq T_2$$

Notice the different orientation of containment on domains and co-domains.

We say that the type constructor  $\rightarrow$  is

- *covariant* on codomains, since it preserves the direction of the relation;
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$\text{Int} \rightarrow \text{Int} \leq \text{Int} \rightarrow \text{Real}$  (covariance of the codomains)

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$\text{Int} \rightarrow \text{Int} \leq \text{Int} \rightarrow \text{Real}$  (covariance of the codomains)

- *is also* a function that maps odds to integers: when fed with integers it returns integers, so will do the same when fed with odd numbers.

$\text{Int} \rightarrow \text{Int} \leq \text{Odd} \rightarrow \text{Int}$  (contravariance of the codomains)

# Subtyping deduction system

$$\text{BASIC} \frac{(B_1, B_2) \in \mathcal{B}}{B_1 \leq B_2}$$

$$\text{ARROW} \frac{T_1 \leq S_1 \quad S_2 \leq T_2}{S_1 \rightarrow S_2 \leq T_1 \rightarrow T_2}$$

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These rules describe a deterministic and terminating algorithm (we say that the system is algorithmic).

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## Theorem (Admissibility of Refl and Trans)

*In the system composed just by the rules Arrow and Basic:*

- 1)  $T \leq T$  is provable for all types  $T$
- 2) If  $T_1 \leq T_2$  and  $T_2 \leq T_3$  are provable, so is  $T_1 \leq T_3$ .

The rules Refl and Trans are *admissible*

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This corresponds to the *containment relation*:

if  $S \leq T$  and  $a$  is of type  $S$  then  $a$  *is also* of type  $T$

# Type system

We defined the subtyping relation and we know how to decide it. How do we use it for typing our programs?

$$\begin{array}{c} \text{VAR} \\ \Gamma \vdash x : \Gamma(x) \end{array} \qquad \begin{array}{c} \rightarrow\text{INTRO} \\ \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x : S. a : S \rightarrow T} \end{array} \qquad \begin{array}{c} \rightarrow\text{ELIM} \\ \frac{\Gamma \vdash a : S \rightarrow T \quad \Gamma \vdash b : S}{\Gamma \vdash ab : T} \end{array}$$
$$\begin{array}{c} \text{SUBSUMPTION} \\ \frac{\Gamma \vdash a : S \quad S \leq T}{\Gamma \vdash a : T} \end{array}$$

This corresponds to the *containment relation*:

if  $S \leq T$  and  $a$  is of type  $S$  then  $a$  *is also* of type  $T$

**Subject reduction:** If  $\Gamma \vdash a : T$  and  $a \longrightarrow^* b$ , then  $\Gamma \vdash b : T$ .

**Progress property:** If  $\emptyset \vdash a : T$  and  $a \not\rightarrow$ , then  $a$  is a value

# Typing algorithm

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Subsumption makes the type system non-algorithmic:

- it is not *syntax directed*: subsumption can be applied whatever the term.
- it does not satisfy the *subformula property*: even if we know that we have to apply subsumption which  $T$  shall we choose?

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- 1 The system is algorithmic: it describes a typing algorithm (exercise: program typecheck and subtype by using the previous structures)
- 2 The system conforms the substitutability interpretation: we *use* an expression of a subtype  $U$  where a supertype  $S$  is expected (note “use” = elimination rule).

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For subtyping, admissibility ensured that the system and the algorithm prove the same judgements. Here it is no longer true. For instance:

$\emptyset \vdash \lambda x : \text{Int}. x : \text{Odd} \rightarrow \text{Real}$       but       $\emptyset \not\vdash_{\mathcal{A}} \lambda x : \text{Int}. x : \text{Odd} \rightarrow \text{Real}.$

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**This is expected:** Algorithm = one type returned for each typable term.

# Soundness and completeness of the typing algorithm

$a$  is typable by  $\vdash \Leftrightarrow a$  is typable by  $\vdash_{\mathcal{A}}$

$\Leftarrow$  = soundness

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## Theorem (Soundness)

*If  $\Gamma \vdash_{\mathcal{A}} a : T$ , then  $\Gamma \vdash a : T$*

## Theorem (Completeness)

*If  $\Gamma \vdash a : T$ , then  $\Gamma \vdash_{\mathcal{A}} a : S$  with  $S \leq T$*

# Minimum type and soundness

## Corollary (Minimum type)

*If  $\Gamma \vdash_{\mathcal{A}} a : T$  then  $T = \min\{S \mid \Gamma \vdash a : S\}$*

**Proof.** Let  $\mathcal{S} = \{S \mid \Gamma \vdash a : S\}$ . Soundness ensures that  $\mathcal{S}$  is not empty. Completeness states that  $T$  is a lower bound of  $\mathcal{S}$ . Minimality follows by using soundness once more.

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The corollary above explains that the typing algorithm works with the minimum types of the terms. It keeps track of the best type information available

## Theorem (Algorithmic subject reduction)

*If  $\Gamma \vdash_{\mathcal{A}} a : T$  and  $a \longrightarrow^* b$ , then  $\Gamma \vdash_{\mathcal{A}} b : S$  with  $S \leq T$ .*

The theorem above explains that the computation reduces the minimum type of a program. As such it increases the type information about it.

## Summary for simply-typed $\lambda$ -calculs + $\leq$

- The *containment* interpretation of the subtyping relation corresponds to the “logical” view of the type system embodied by subsumption.
- The *substitutability* interpretation of the subtyping relation corresponds to the “algorithmic” view of the type system.



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- To *define* the type system one usually starts from the “logical” system, which is simpler since subtyping is concentrated in the subsumption rule
- To *implement* the type system one passes to the substitutability view. Subsumption is eliminated and the check of the subtyping relation is distributed in the places where values are used/consumed. This in general corresponds to embed subtype checking into elimination rules.

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- To *implement* the type system one passes to the substitutability view. Subsumption is eliminated and the check of the subtyping relation is distributed in the places where values are used/consumed. This in general corresponds to embed subtype checking into elimination rules.
- The obtained algorithm works on the *minimum types* of the logical system
- Computation reduces the (algorithmic) type thus increasing type information (the result of a computation represents the best possible type information: it is the *singleton type* containing the result).
- The last point makes *dynamic dispatch* (aka, dynamic binding) meaningful.

# Products I

## Syntax

*Types*      $T ::= \dots \mid T \times T$      product types

*Terms*    $a, b ::= \dots$   
                   $\mid (a, a)$      pair  
                   $\mid \pi_i(a)$      ( $i=1,2$ )     projection

## Reduction

$$\pi_i((a_1, a_2)) \longrightarrow a_i \quad (i=1,2)$$

## Typing

$$\frac{\times\text{INTRO} \quad \Gamma \vdash a_1 : T_1 \quad \Gamma \vdash a_2 : T_2}{\Gamma \vdash (a_1, a_2) : T_1 \times T_2}$$

$$\frac{\times\text{ELIM}_i \quad \Gamma \vdash a : T_1 \times T_2}{\Gamma \vdash \pi_i(a) : T_i} \quad (i=1,2)$$

## Subtyping

$$\frac{\text{PROD} \quad S_1 \leq T_1 \quad S_2 \leq T_2}{S_1 \times S_2 \leq T_1 \times T_2}$$

**Exercise:** Check whether the above rule is compatible with the containment and/or the substitutability interpretation of the subtyping relation.

The subtyping rule above is also algorithmic. Similarly, for the typing rules there is no need to embed subtyping in the elimination rules since  $\pi_i$  is an operator that works on all products, not a particular one (cf. with the application of a function, which requires a particular domain).

Of course subject reduction and progress still hold.

**Exercise:** Define values and reduction contexts for this extension.

# Records

Up to now subtyping rules « lift » the subtyping relation  $\mathcal{B}$  on basic types to constructed types. But if  $\mathcal{B}$  is the identity relation, so is the whole subtyping relation. Record subtyping is non-trivial even when  $\mathcal{B}$  is the identity relation.

## Syntax

<i>Types</i>	$T ::= \dots \mid \{\ell : T, \dots, \ell : T\}$	record types
<i>Terms</i>	$a, b ::= \dots$	
	$\mid \{\ell = a, \dots, \ell = a\}$	record
	$\mid a.\ell$	field selection

## Reduction

$$\{\dots, \ell = a, \dots\}.\ell \longrightarrow a$$

## Typing

$\{\}$ INTRO

$$\frac{\Gamma \vdash a_1 : T_1 \dots \Gamma \vdash a_n : T_n}{\Gamma \vdash \{\ell_1 = a_1, \dots, \ell_n = a_n\} : \{\ell_1 : T_1, \dots, \ell_n : T_n\}}$$

$\{\}$ ELIM

$$\frac{\Gamma \vdash a : \{\dots, \ell : T, \dots\}}{\Gamma \vdash a.\ell : T}$$

# Record Subtyping

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We can replace some record by a record of different type if in the latter we can select the same fields as in the former and their contents can substitute the respective contents in the former.

## Subtyping

RECORD

$$\frac{S_1 \leq T_1 \dots S_n \leq T_n}{\{\ell_1:S_1, \dots, \ell_n:S_n, \dots, \ell_{n+k}:S_{n+k}\} \leq \{\ell_1:T_1, \dots, \ell_n:T_n\}}$$

**Exercise.** Which are the algorithmic typing rules?

- 4 Simple Types
- 5 Recursive Types**
- 6 Bibliography



# Iso-recursive and Equi-recursive types

Lists are a classic example of recursive types:

$$X \approx (\text{Int} \times X) \vee \text{Nil}$$

also written as  $\mu X.((\text{Int} \times X) \vee \text{Nil})$

Two different approaches according to whether  $\approx$  is interpreted as an isomorphism or an equality:

**Iso-recursive types:**  $\mu X.((\text{Int} \times X) \vee \text{Nil})$  is considered *isomorphic* to its one-step unfolding  $(\text{Int} \times \mu X.((\text{Int} \times X) \vee \text{Nil})) \vee \text{Nil}$ . Terms include a pair of built-in coercion functions for each recursive type  $\mu X.T$ :

$$\text{unfold} : \mu X.T \rightarrow T[\mu X.T/X] \quad \text{fold} : T[\mu X.T/X] \rightarrow \mu X.T$$

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Subtyping for recursive types generalizes the equi-recursive approach.

The  $\approx$  relation corresponds to subtyping in both directions:

$$\mu X.T \leq T[\mu X.T/X] \quad T[\mu X.T/X] \leq \mu X.T$$

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Then  $\mu X.(\text{Int} \times X)$  is the empty type.

- Actually if you have recursive terms and allow infinite values you can easily jeopardize decidability of the subtyping relation (which resorts to checking type emptiness)
- This contrasts with their intuition which looks simple: we always informally applied a rule such as:

$$\frac{A, X \leq Y \vdash S \leq T}{A \vdash \mu X.S \leq \mu Y.T}$$

# Subtyping recursive types

## Syntax

<i>Types</i>	$T ::=$	$\text{Any}$	top type
		$T \rightarrow T$	function types
		$T \times T$	product types
		$X$	type variables
		$\mu X. T$	recursive types

where  $T$  is *contractive*, that is (two equivalent definitions):

- 1  $T$  is contractive iff for every subexpression  $\mu X. \mu X_1 \dots \mu X_n. S$  it holds  $S \neq X$ .
- 2  $T$  is contractive iff every type variable  $X$  occurring in it is separated from its binder by a  $\rightarrow$  or a  $\times$ .

# Subtyping recursive types

The subtyping relation is defined *COINDUCTIVELY* by the rules

$$\begin{array}{lll} \text{TOP} \frac{}{T \leq \text{Any}} & \text{PROD} \frac{S_1 \leq T_1 \quad S_2 \leq T_2}{S_1 \times S_2 \leq T_1 \times T_2} & \text{ARROW} \frac{T_1 \leq S_1 \quad S_2 \leq T_2}{S_1 \rightarrow S_2 \leq T_1 \rightarrow T_2} \\ \\ \text{UNFOLD LEFT} \frac{S[\mu X.S/X] \leq T}{\mu X.S \leq T} & & \text{UNFOLD RIGHT} \frac{S \leq T[\mu X.T/X]}{S \leq \mu X.T} \end{array}$$

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## Coinductive definition

- 1 Why coinduction?
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- 1 Why coinduction?
- 2 Why no reflexivity/transitivity rules?
- 3 Why no rule to compare two  $\mu$ -types?

## Short answers (more detailed answers to come):

- 1 Because we compare infinite expansions
- 2 Because it would be unsound
- 3 Useless since obtained by coinduction and unfold

# Example of coinductive derivation

$$\begin{array}{l} \text{ARROW} \frac{\text{Even} \leq \text{Int} \quad \mu X.\text{Int} \rightarrow X \leq \mu Y.\text{Even} \rightarrow Y}{\text{Int} \rightarrow (\mu X.\text{Int} \rightarrow X) \leq \text{Even} \rightarrow (\mu Y.\text{Even} \rightarrow Y)} \\ \text{UNFOLD RIGHT} \frac{\text{Int} \rightarrow (\mu X.\text{Int} \rightarrow X) \leq \text{Even} \rightarrow (\mu Y.\text{Even} \rightarrow Y)}{\text{Int} \rightarrow (\mu X.\text{Int} \rightarrow X) \leq \mu Y.\text{Even} \rightarrow Y} \\ \text{UNFOLD LEFT} \frac{\text{Int} \rightarrow (\mu X.\text{Int} \rightarrow X) \leq \mu Y.\text{Even} \rightarrow Y}{\mu X.\text{Int} \rightarrow X \leq \mu Y.\text{Even} \rightarrow Y} \end{array}$$

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**Notice the use of coinduction**

# Amadio and Cardelli's subtyping algorithm

Let  $A \subset \text{Types} \times \text{Types}$

$$\frac{}{A \vdash S \leq T} (S, T) \in A$$

$$\frac{}{A \vdash S \leq \text{Any}} (S, \text{Any}) \notin A$$

$$\frac{A' \vdash S_1 \leq T_1 \quad A' \vdash S_2 \leq T_2}{A \vdash S_1 \times S_2 \leq T_1 \times T_2} A' = A \cup (S_1 \times S_2, T_1 \times T_2); A \neq A'$$

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$$\frac{A' \vdash S[\mu X.S/X] \leq T}{A \vdash \mu X.S \leq T} A' = A \cup (\mu X.S, T); A \neq A'; T \neq \text{Any}$$

$$\frac{A' \vdash S \leq T[\mu X.T/X]}{A \vdash S \leq \mu X.T} A' = A \cup (S, \mu X.T); A \neq A'; S \neq \mu Y.U$$

## Determinization of the rules

$$\frac{}{A \vdash S \leq T} (S, T) \in A$$

$$\frac{}{A \vdash S \leq \text{Any}} (S, \text{Any}) \notin A$$

$$\frac{A' \vdash S_1 \leq T_1 \quad A' \vdash S_2 \leq T_2}{A \vdash S_1 \times S_2 \leq T_1 \times T_2} A' = A \cup (S_1 \times S_2, T_1 \times T_2); A \neq A'$$

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## Record type to implement coinduction

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# Amadio and Cardelli's subtyping algorithm

## Determinization of the rules

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# Amadio and Cardelli's subtyping algorithm

The rest is similar

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# Amadio and Cardelli's subtyping algorithm

Let  $A \subset \text{Types} \times \text{Types}$

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## Theorem (Soundness and Completeness)

*Let  $S$  and  $T$  be closed types.  $S \leq T$  belongs the relation coinductively defined by the rules on slide 55 if and only if  $\emptyset \vdash S \leq T$  is provable*

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Notice that the algorithm above is exponential. We will show how to define an  $O(n^2)$  algorithm to decide  $S \leq T$ , where  $n$  is the total number of different subexpressions of  $S \leq T$ .

# Induction and coinduction

## Intuition

Given a deduction system, it characterizes two possible distinct sets (of provable judgements) according to whether an inductive or a coinductive approach is used.

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Let  $\mathcal{F}$  be a deduction system on a universe  $\mathcal{U}$  (i.e. a monotone function from  $\mathcal{P}(\mathcal{U})$  to  $\mathcal{P}(\mathcal{U})$ ). A set  $X \in \mathcal{P}(\mathcal{U})$  is:

**$\mathcal{F}$ -closed** if it contains all the elements that can be deduced by  $\mathcal{F}$  with hypothesis in  $X$ .

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## Induction and coinduction

A deduction system

- *inductively* defines the least  $\mathcal{F}$ -closed set
- *coinductively* defines the greatest  $\mathcal{F}$ -consistent set



# Induction and coinduction

**induction:** start from  $\emptyset$ , add all the consequences of the deduction system, and iterate.

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In all the (algorithmic, ie without refl and trans) subtyping system met so far, the two coincide. This is not true in general, due to the presence of *self-justifying sets*, that is sets in which the deductions do not start just by axioms.

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## Example:

$$\mathcal{U} = \{a, b, c, d, e, f, g\} \qquad \frac{a}{b} \qquad \frac{b}{c} \qquad \frac{c}{a} \qquad \frac{}{d} \qquad \frac{d}{e} \qquad \frac{e}{f} \qquad \frac{f}{g}$$

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$$\{a, b, c, d, e, f, g\} = \mathcal{U}$$



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Inductively:  
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Coinductively:  
 $\{a, b, c, d, e\}$

Self-justifying set:  
 $\{a, b, c\}$

- ❶ Let  $\mathcal{U} = \mathbb{Z}$  and take as deduction system all the instances of the rule

$$\frac{n}{n+1}$$

for  $n \in \mathbb{Z}$ . Which are the sets inductively and coinductively defined by it?

- ❷ Same question but with  $\mathcal{U} = \mathbb{N}$ .
- ❸ Same question but with  $\mathcal{U} = \mathbb{N}^2$  and as deduction system all the rules instance of

$$\frac{(m, n) \quad (n, o)}{(m, o)}$$

for  $m, n, o \in \mathbb{N}$

# Why Coinduction for Recursive types?

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Use the substitutability interpretation.

Let  $e : T$  then  $e$ :

- 1 waits for an **Even** number,
- 2 fed by an **Even** number returns a function that behaves similarly: (1) wait for an **Even** ...



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$S$  and  $T$  are in subtyping relation because their infinite expansions are in subtyping relation.

$$S \leq T \implies \text{Int} \rightarrow S \leq \text{Even} \rightarrow T \implies S \leq T \wedge \text{Even} \leq \text{Int}$$

This is exactly the proof we saw at the beginning:

$$\begin{array}{c}
 \text{ARROW} \frac{\text{Even} \leq \text{Int} \quad \overbrace{\mu X.\text{Int} \rightarrow X}^S \leq \overbrace{\mu Y.\text{Even} \rightarrow Y}^T}{\text{Int} \rightarrow (\mu X.\text{Int} \rightarrow X) \leq \text{Even} \rightarrow (\mu Y.\text{Even} \rightarrow Y)} \\
 \text{UNFOLD RIGHT} \frac{}{\text{Int} \rightarrow (\mu X.\text{Int} \rightarrow X) \leq \mu Y.\text{Even} \rightarrow Y} \\
 \text{UNFOLD LEFT} \frac{}{\underbrace{\mu X.\text{Int} \rightarrow X}_S \leq \underbrace{\mu Y.\text{Even} \rightarrow Y}_T}
 \end{array}$$

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$S \leq T$  is not an axiom but  $\{S \leq T, \text{Even} \leq \text{Int}\}$  is a *self-justifying set*.

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## Observation:

- 1 The deduction above shows why a specific rule for  $\mu$  is useless (apply consecutively the two unfold rules).
- 2 If we added reflexivity and/or transitivity rules, then  $\mathcal{U}$  would be  $\mathcal{F}$ -consistent (cf. the third exercise on slide 61).

A naive implementation of the Amadio-Cardelli algorithm is exponential (why?). If we “thread” the computation of the memoization environments we obtain a quadratic complexity. This is done as follows:

$$\textit{subtype}(A, S, T) \quad = \quad \textbf{if } (S, T) \in A \textbf{ then } A \textbf{ else}$$

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                        let A0 = A ∪ {(S, T)} in  
                        if T = Any then A0  
                        else if S = S1 × S2 and T = T1 × T2 then  
                            subtype(subtype(A0, S1, T1), S2, T2)
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                        else if S = S1 × S2 and T = T1 × T2 then  
                            subtype(subtype(A0, S1, T1), S2, T2)  
                        else if S = S1 → S2 and T = T1 → T2 then  
                            subtype(subtype(A0, T1, S1), S2, T2)
```

A naive implementation of the Amadio-Cardelli algorithm is exponential (why?). If we “thread” the computation of the memoization environments we obtain a quadratic complexity. This is done as follows:

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subtype(A, S, T)  =  if (S, T) ∈ A then A else  
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                            subtype(subtype(A0, T1, S1), S2, T2)  
                        else if T = μX. T1 then  
                            subtype(A0, S, T1 [μX. T1 / X])
```

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subtype(A, S, T)  =  if (S, T) ∈ A then A else  
                        let  $A_0 = A \cup \{(S, T)\}$  in  
                        if T = Any then  $A_0$   
                        else if  $S = S_1 \times S_2$  and  $T = T_1 \times T_2$  then  
                            subtype(subtype( $A_0, S_1, T_1$ ),  $S_2, T_2$ )  
                        else if  $S = S_1 \rightarrow S_2$  and  $T = T_1 \rightarrow T_2$  then  
                            subtype(subtype( $A_0, T_1, S_1$ ),  $S_2, T_2$ )  
                        else if  $T = \mu X. T_1$  then  
                            subtype( $A_0, S, T_1[\mu X. T_1 / X]$ )  
                        else if  $S = \mu X. S_1$  then  
                            subtype( $A_0, S_1[\mu X. S_1 / X], T$ )
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                            subtype(A0, S, T1[μX.T1/X])  
                        else if S = μX.S1 then  
                            subtype(A0, S1[μX.S1/X], T)  
                        else fail
```

## Compare the previous algorithm with the Amadio-Cardelli algorithm:

$$\frac{}{A \vdash S \leq T} (S, T) \in A$$

$$\frac{}{A \vdash S \leq \text{Any}} (S, \text{Any}) \notin A$$

$$\frac{A' \vdash S_1 \leq T_1 \quad A' \vdash S_2 \leq T_2}{A \vdash S_1 \times S_2 \leq T_1 \times T_2} A' = A \cup (S_1 \times S_2, T_1 \times T_2); A \neq A'$$

$$\frac{A' \vdash T_1 \leq S_1 \quad A' \vdash S_2 \leq T_2}{A \vdash S_1 \rightarrow S_2 \leq T_1 \rightarrow T_2} A' = A \cup (S_1 \rightarrow S_2, T_1 \rightarrow T_2); A \neq A'$$

$$\frac{A' \vdash S[\mu X.S/X] \leq T}{A \vdash \mu X.S \leq T} A' = A \cup (\mu X.S, T); A \neq A'; T \neq \text{Any}$$

$$\frac{A' \vdash S \leq T[\mu X.T/X]}{A \vdash S \leq \mu X.T} A' = A \cup (S, \mu X.T); A \neq A'; S \neq \mu Y.U$$

They both check containment in the relation coinductively defined by:

$$\begin{array}{lll}
 \text{TOP} \frac{}{T \leq \text{Any}} & \text{PROD} \frac{S_1 \leq T_1 \quad S_2 \leq T_2}{S_1 \times S_2 \leq T_1 \times T_2} & \text{ARROW} \frac{T_1 \leq S_1 \quad S_2 \leq T_2}{S_1 \rightarrow S_2 \leq T_1 \rightarrow T_2} \\
 \\
 \text{UNFOLD LEFT} \frac{S[\mu X.S/X] \leq T}{\mu X.S \leq T} & & \text{UNFOLD RIGHT} \frac{S \leq T[\mu X.T/X]}{S \leq \mu X.T}
 \end{array}$$

But the former is far more efficient.

- 4 Simple Types
- 5 Recursive Types
- 6 Bibliography**





R. Amadio and L. Cardelli. Subtyping recursive types. *ACM Transactions on Programming Languages and Systems*, 14(4):575-631, 1993.



Pierce et al. Recursive types revealed, *Journal of Functional Programming*, 12(6):511-548, 2002.

# Parametric polymorphism

7 Introduction

8 Hindley-Milner System

9 Inference algorithm

## 7 Introduction

## 8 Hindley-Milner System

## 9 Inference algorithm

# Monomorphic calculus

<i>Types</i>	$T ::= \text{Bool} \mid \text{Int} \mid \text{Real} \mid \dots$	basic types
	$\mid T \rightarrow T$	function types
<i>Terms</i>	$a, b ::= \text{true} \mid \text{false} \mid 1 \mid 2 \mid \dots$	constants
	$\mid x$	variable
	$\mid ab$	application
	$\mid \lambda x:T. a$	abstraction
	$\mid \text{let } x:T = a \text{ in } b$	let

$$\frac{}{\Gamma \vdash x : \Gamma(x)} \quad \frac{\Gamma, x:S \vdash a:T}{\Gamma \vdash \lambda x:S. a : S \rightarrow T} \quad \frac{\Gamma \vdash a:S \rightarrow T \quad \Gamma \vdash b:S}{\Gamma \vdash ab:T}$$

$$\frac{\Gamma \vdash a:S \quad \Gamma, x:S \vdash b:T}{\Gamma \vdash \text{let } x:S = a \text{ in } b:T}$$

# Parametric polymorphism

It is a pity to use the identity function just with a single type.

`let  $f : \text{Int} \rightarrow \text{Int} = \lambda x : \text{Int}. x$  in  $b$`

In particular, if we get rid of type annotations we see that the identity function can be given several different types.

$$\frac{}{\Gamma \vdash x : \Gamma(x)} \qquad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x. a : S \rightarrow T} \qquad \frac{\Gamma \vdash a : S \rightarrow T \quad \Gamma \vdash b : S}{\Gamma \vdash ab : T}$$

$$\frac{\Gamma \vdash a : S \quad \Gamma, x : S \vdash b : T}{\Gamma \vdash \text{let } x = a \text{ in } b : T}$$

In particular,  $\lambda x. x$  can be given all the types of the form  $T \rightarrow T$  for every  $T$ .

# Parametric polymorphism

We extend the syntax of types

<i>Types</i>	$T ::=$	$\text{Bool} \mid \text{Int} \mid \text{Real} \mid \dots$	basic types
		$T \rightarrow T$	function types
		$\alpha$	type variables
		$\forall \alpha. T$	polymorphic types

We add to the previous rules these two rules

$$\frac{\Gamma \vdash a : T \quad \alpha \notin \text{fv}(\Gamma)}{\Gamma \vdash a : \forall \alpha. T} \qquad \frac{\Gamma \vdash a : \forall \alpha. T}{\Gamma \vdash a : T[S/\alpha]}$$

The resulting system is called System F (Girard/Reynolds)

We can for instance derive

$$\lambda x.x x : (\forall \alpha. \alpha \rightarrow \alpha) \rightarrow (\forall \alpha. \alpha \rightarrow \alpha)$$

and supposing we have pairs:

```
let f =  $\lambda x.x$  in (f3, ftrue) : Int  $\times$  Bool
```



# Remark

The condition  $\alpha \notin \text{fv}(\Gamma)$  in the rule

$$\frac{\Gamma \vdash a : T \quad \alpha \notin \text{fv}(\Gamma)}{\Gamma \vdash a : \forall \alpha. T}$$

is crucial ... without it we can derive

$$\frac{\frac{x : \alpha \vdash x : \alpha}{x : \alpha \vdash \forall \alpha. \alpha}}{\vdash \lambda x. x : \alpha \rightarrow (\forall \alpha. \alpha)}$$

and therefore type, for instance,  $(\lambda x. x) 1 2$  with any type we wish

# Bad news

For terms without type annotations the problems:

- **type inference**: given an expression  $a$  find if there exists a type  $T$  such that  $a : T$
- **type checking**: given an expression  $a$  and a type  $T$  check whether  $a : T$  holds

are both undecidable

(J. B. Wells. *Typability and type checking in the second-order lambda-calculus are equivalent and undecidable*, 1994.)

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**Solution 1**: use explicit type abstractions and instantiations (e.g., generics)

**Solution 2**: restrict the power of the system (e.g., Hindley-Milner)

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**Solution 1**: use explicit type abstractions and instantiations (e.g., generics)

**Solution 2**: restrict the power of the system (e.g., Hindley-Milner)

## Hindley-Milner

We restrict the power of System F to have decidable type inference and type checking

(used in OCaml, SML, Haskell, etc ...)

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The quantification can only be prenex:

<i>Types</i>	$T ::=$	$\text{Bool} \mid \text{Int} \mid \text{Real} \mid \dots$	basic types
		$\mid T \rightarrow T$	function types
		$\mid \alpha$	type variables
<i>Schemas</i>	$\sigma ::=$	$T$	type
		$\mid \forall \alpha. \sigma$	schema

A type environment  $\Gamma$  now maps variable to *schemas*, and typing judgement have the form  $\Gamma \vdash a : \sigma$

The following types (schemas) are ok:

$$\forall\alpha.\alpha \rightarrow \alpha$$

$$\forall\alpha.\forall\beta.(\alpha \times \beta) \rightarrow \alpha$$

$$\forall\alpha.\text{Bool} \rightarrow \alpha \rightarrow \alpha \rightarrow \alpha$$

$$\forall\alpha.(\alpha \rightarrow \alpha) \rightarrow \alpha$$

but the following type is not longer allowed:

$$(\forall\alpha.\alpha \rightarrow \alpha) \rightarrow (\forall\alpha.\alpha \rightarrow \alpha)$$

# Hindley-Milner System

$$\frac{}{\Gamma \vdash x : \Gamma(x)} \quad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x. a : S \rightarrow T} \quad \frac{\Gamma \vdash a : S \rightarrow T \quad \Gamma \vdash b : S}{\Gamma \vdash ab : T}$$

$$\frac{\Gamma \vdash a : \sigma_1 \quad \Gamma, x : \sigma_1 \vdash b : \sigma_2}{\Gamma \vdash \text{let } x = a \text{ in } b : \sigma_2} \quad \frac{\Gamma \vdash a : T \quad \alpha \notin \text{fv}(\Gamma)}{\Gamma \vdash a : \forall \alpha. T} \quad \frac{\Gamma \vdash a : \forall \alpha. T}{\Gamma \vdash a : T[S/\alpha]}$$



# Hindley-Milner System

Notice that the rule for let is the (only) rule that introduce a polymorphic type in the type environment.

$$\frac{\Gamma \vdash a : \sigma_1 \quad \Gamma, x : \sigma_1 \vdash b : \sigma_2}{\Gamma \vdash \text{let } x = a \text{ in } b : \sigma_2}$$

Thanks to this we can for instance type

$$\text{let } f = \lambda x.x \text{ in } (ff)(f1)$$

with  $f : \forall \alpha. \alpha \rightarrow \alpha$  in the context to type  $(ff)(f1)$  in order to use three times the instantiation rule for the type schema:

$$\frac{f : \forall \alpha. \alpha \rightarrow \alpha \vdash f : \forall \alpha. \alpha \rightarrow \alpha}{f : \forall \alpha. \alpha \rightarrow \alpha \vdash f : (\alpha \rightarrow \alpha)[T/\alpha]}$$

where  $T$  is respectively for each occurrence of  $f$ ,  $(\text{Int} \rightarrow \text{Int}) \rightarrow \text{Int} \rightarrow \text{Int}$ ,  $\text{Int} \rightarrow \text{Int}$ , and  $\text{Int}$ .

On the contrary the rule for abstractions does not introduce in the environment a schema, but just a type

$$\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x. a : S \rightarrow T}$$

otherwise  $S \rightarrow T$  would not be well formed.

In particular,

$$\lambda x. xx$$

is no longer typeable, while

$$\text{let } f = \lambda x. x \text{ in } ff$$

is still typeable.

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The system is not syntax directed because of the following two rules apply to any expression:

$$\frac{\Gamma \vdash a : T \quad \alpha \notin \text{fv}(\Gamma)}{\Gamma \vdash a : \forall \alpha. T} \qquad \frac{\Gamma \vdash a : \forall \alpha. T}{\Gamma \vdash a : T[S/\alpha]}$$

# Hindley-Milner syntax-directed system

$$\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x. a : S \rightarrow T} \qquad \frac{\Gamma \vdash a : S \rightarrow T \quad \Gamma \vdash b : S}{\Gamma \vdash ab : T}$$

$$\frac{T \sqsubseteq \Gamma(x)}{\Gamma \vdash x : T} \qquad \frac{\Gamma \vdash a : S \quad \Gamma, x : \text{Gen}(S, \Gamma) \vdash b : T}{\Gamma \vdash \text{let } x = a \text{ in } b : T}$$

# Hindley-Milner syntax-directed system

$$\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x. a : S \rightarrow T} \quad \frac{\Gamma \vdash a : S \rightarrow T \quad \Gamma \vdash b : S}{\Gamma \vdash ab : T}$$

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Where

$$T \sqsubseteq \forall \alpha_1 \dots \forall \alpha_n. S \iff \exists S_1, \dots, S_n \text{ such that } T = S[S_1/\alpha_1 \dots S_n/\alpha_n]$$

and

$$\text{Gen}(S, \Gamma) = \forall \alpha_1 \dots \forall \alpha_n. S \text{ where } \{\alpha_1, \dots, \alpha_n\} = \text{fv}(S) \setminus \text{fv}(\Gamma)$$

# Hindley-Milner syntax-directed system

$$\frac{\Gamma, x : \textcolor{red}{S} \vdash a : T}{\Gamma \vdash \lambda x. a : S \rightarrow T} \quad \frac{\Gamma \vdash a : S \rightarrow T \quad \Gamma \vdash b : S}{\Gamma \vdash ab : T}$$

$$\frac{\textcolor{red}{T} \sqsubseteq \Gamma(x)}{\Gamma \vdash x : T} \quad \frac{\Gamma \vdash a : S \quad \Gamma, x : \text{Gen}(S, \Gamma) \vdash b : T}{\Gamma \vdash \text{let } x = a \text{ in } b : T}$$

Where

$$T \sqsubseteq \forall \alpha_1 \dots \forall \alpha_n. S \iff \exists S_1, \dots, S_n \text{ such that } T = S[S_1/\alpha_1 \dots S_n/\alpha_n]$$

and

$$\text{Gen}(S, \Gamma) = \forall \alpha_1 \dots \forall \alpha_n. S \text{ where } \{\alpha_1, \dots, \alpha_n\} = \text{fv}(S) \setminus \text{fv}(\Gamma)$$

Syntax directed but **Not an algorithm yet!**

State: a current substitution  $\phi$  and an infinite set of fresh variables  $V$

```
fresh  =  do  $\alpha \in V$   
         do  $V := V \setminus \{\alpha\}$   
         return  $\alpha$ 
```

```
 $W(\Gamma \vdash x)$   =  let  $\forall \alpha_1, \dots, \alpha_n. T \leftarrow \Gamma(x)$   
                    do  $\beta_1, \dots, \beta_n \leftarrow \text{fresh}, \dots, \text{fresh}$   
                    return  $T[\beta_1/\alpha_1, \dots, \beta_n/\alpha_n]$ 
```

```
 $W(\Gamma \vdash \lambda x. a)$  = do  $\alpha \leftarrow \text{fresh}$   
                       do  $T \leftarrow W(\Gamma, x : \alpha \vdash a)$   
                       return  $\alpha \rightarrow T$ 
```

```
 $W(\Gamma \vdash ab)$    =  do  $T \leftarrow W(\Gamma \vdash a)$   
                       do  $S \leftarrow W(\Gamma \vdash b)$   
                       do  $\alpha \leftarrow \text{fresh}$   
                       do  $\phi := \text{mgu}(\phi(T), \phi(S \rightarrow \alpha)) \circ \phi$   
                       return  $\alpha$ 
```

```
 $W(\Gamma \vdash \text{let } x = a \text{ in } b)$  = do  $S \leftarrow W(\Gamma \vdash a)$   
                                   do  $\sigma \leftarrow \text{Gen}(\phi(S), \phi(\Gamma))$   
                                   return  $W(\Gamma, x : \sigma \vdash b)$ 
```



# Most General Unifier

$$\text{mgu}(\emptyset) = \text{id}$$

$$\text{mgu}(\{(\alpha, \alpha)\} \cup C) = \text{mgu}(C)$$

$$\text{mgu}(\{(\alpha, T)\} \cup C) = \text{mgu}(C[T/\alpha]) \circ [T/\alpha] \text{ if } \alpha \text{ not free in } T$$

$$\text{mgu}(\{(T, \alpha)\} \cup C) = \text{mgu}(C[T/\alpha]) \circ [T/\alpha] \text{ if } \alpha \text{ not free in } T$$

$$\text{mgu}(\{(S_1 \rightarrow S_2, T_1 \rightarrow T_2)\} \cup C) = \text{mgu}(\{(S_1, T_1), (S_2, T_2)\} \cup C)$$

In all the other cases  $\text{mgu}$  fails

# Ad-Hoc Polymorphism

- 10 Set-theoretic types
- 11 Semantic Subtyping
- 12 Application to a language.
- 13 Adding Parametric Polymorphism: the Types
- 14 Adding Parametric Polymorphism: the Language

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# Set-theoretic types

We consider the following possibly recursive types:

$$T ::= \text{Bool} \mid \text{Int} \mid \text{Any} \mid (T, T) \mid T \vee T \mid T \ \& \ T \mid \text{not}(T) \mid T \rightarrow T$$

Useful for:

- 1 XML types
- 2 Precise typing of pattern matching
- 3 Overloaded functions
- 4 Mixins
- 5 General programming paradigms

Let us see each point more in detail

Note: henceforward I will sometimes use  $T_1 \mid T_2$  to denote  $T_1 \vee T_2$

# 1. XML types

```
<?xml version="1.0"?>
  <!DOCTYPE biblio [
    <!ELEMENT biblio (book*)>
    <!ELEMENT book (title, (author+)|(editor+), price?)>
    <!ELEMENT title (#PCDATA)>
    <!ELEMENT author (#PCDATA)>
    <!ELEMENT editor (#PCDATA)>
    <!ELEMENT price (#PCDATA)>
  ]>
```

Can be encoded with union and recursive types

```
type Biblio = ('biblio, X)
type       X = (Book, X) ∨ 'nil

type Book = ('book, (Title, Y ∨ Z))
type     Y = (Author, Y ∨ (Price, 'nil) ∨ 'nil)
type     Z = (Editor, Z ∨ (Price, 'nil) ∨ 'nil)

type Title = ('title, String)
type Author = ('author, String)
type Editor = ('editor, String)
type Price = ('price, String)
```

## 2. Precise typing of pattern matching (I)

Consider the following pattern matching expression

`match e with  $p_1 \rightarrow e_1$  |  $p_2 \rightarrow e_2$`

where patterns are defined as follows:

$p ::= x \mid (p, p) \mid p|p \mid p\&p$

## 2. Precise typing of pattern matching (I)

Consider the following pattern matching expression

`match e with p1 -> e1 | p2 -> e2`

where patterns are defined as follows:

`p ::= x | (p, p) | p|p | p&p`

If we interpret types as set of values

$t = \{v \mid v \text{ is a value of type } t\}$

then the set of all values that match a pattern is a type

$\llbracket p \rrbracket = \{v \mid v \text{ is a value that matches } p\}$

$\llbracket x \rrbracket = \text{Any}$

$\llbracket (p_1, p_2) \rrbracket = (\llbracket p_1 \rrbracket, \llbracket p_2 \rrbracket)$

$\llbracket p_1 | p_2 \rrbracket = \llbracket p_1 \rrbracket \vee \llbracket p_2 \rrbracket$

$\llbracket p_1 \& p_2 \rrbracket = \llbracket p_1 \rrbracket \& \llbracket p_2 \rrbracket$



## 2. Precise typing of pattern matching (II)

**Boolean type connectives are needed to *type pattern matching*:**

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**Boolean type connectives are needed to *type pattern matching*:**

$\text{match } e \text{ with } p_1 \rightarrow e_1 \mid p_2 \rightarrow e_2$

Suppose that  $e : T$  and let us write  $T_1 \setminus T_2$  for  $T_1 \&\text{not}(T_2)$

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Suppose that  $e : T$  and let us write  $T_1 \setminus T_2$  for  $T_1 \&\text{not}(T_2)$

- To infer the type  $T_1$  of  $e_1$  we need  $T \& \wr p_1$ ;

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- To infer the type  $T_1$  of  $e_1$  we need  $T \& \{p_1\}$ ;
- To infer the type  $T_2$  of  $e_2$  we need  $(T \setminus \{p_1\}) \& \{p_2\}$ ;

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- The type of the match expression is  $T_1 \vee T_2$ .

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- To infer the type  $T_2$  of  $e_2$  we need  $(T \setminus \{p_1\}) \& \{p_2\}$ ;
- The type of the match expression is  $T_1 \vee T_2$ .
- Pattern matching is exhaustive if  $T \leq \{p_1\} \vee \{p_2\}$ ;

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**Boolean type connectives are needed to *type pattern matching*:**

$\text{match } e \text{ with } p_1 \rightarrow e_1 \mid p_2 \rightarrow e_2$

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- The type of the match expression is  $T_1 \vee T_2$ .
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$\text{match } e \text{ with } p_1 \rightarrow e_1 \mid p_2 \rightarrow e_2$

Suppose that  $e : T$  and let us write  $T_1 \setminus T_2$  for  $T_1 \&\text{not}(T_2)$

- To infer the type  $T_1$  of  $e_1$  we need  $T \& \lambda p_1$ ;
- To infer the type  $T_2$  of  $e_2$  we need  $(T \setminus \lambda p_1) \& \lambda p_2$ ;
- The type of the match expression is  $T_1 \vee T_2$ .
- Pattern matching is exhaustive if  $T \leq \lambda p_1 \vee \lambda p_2$ ;

**Formally:**

[MATCH]

$$\frac{\Gamma \vdash e : T \quad \Gamma, T \& \lambda p_1 / p_1 \vdash e_1 : T_1 \quad \Gamma, T \setminus \lambda p_1 / p_2 \vdash e_2 : T_2}{\Gamma \vdash \text{match } e \text{ with } p_1 \rightarrow e_1 \mid p_2 \rightarrow e_2 : T_1 \vee T_2} (T \leq \lambda p_1 \vee \lambda p_2)$$

where  $T/p$  is the type environment for the capture variables in  $p$  when the pattern is matched against values in  $T$ .

(e.g.,  $((\text{Int}, \text{Int}) \vee (\text{Bool}, \text{Char})) / (x, y)$  is  $x : \text{Int} \vee \text{Bool}, y : \text{Int} \vee \text{Char}$ )

### 3. Overloaded functions

Intersection types are useful to type overloaded functions (in the Go language):

```
package main
import "fmt"
func Opposite (x interface{}) interface{} {
    var res interface{}
    switch value := x.(type) {
        case bool:
            res = (!value)           // x has type bool
        case int:
            res = (-value)          // x has type int
    }
    return res
}
```

```
func main() { fmt.Println(Opposite(3) , Opposite(true)) }
```

In Go `Opposite` has type `Any-->Any` (every value has type `interface{}`).

Better type with intersections `Opposite`: `(Int-->Int) & (Bool-->Bool)`

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In Go `Opposite` has type `Any-->Any` (every value has type `interface{}`).

Better type with intersections `Opposite: (Int-->Int) & (Bool-->Bool)`

Intersections can also to give a more refined description of standard functions:

```
func Successor(x int) { return(x+1) }
```

which could be typed as `Successor: (Odd-->Even) & (Even-->Odd)`

## 2+3. Precise typing of OCaml

### Exercise:

- 1 What is the type returned by

```
let foo = function  
  | ('A,'B) -> true  
  | ('B,'A) -> false
```

and what is the problem ?

- 2 Which type could we give if we had full-fledged union types?
- 3 Give an intersection type that refines the previous type

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`[< 'A | 'B ] * [< 'A | 'B ] -> bool` thus `foo( 'A , 'A)` fails

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`('A * 'B ) | ( 'B * 'A) -> bool`

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## 2+3. Precise typing of OCaml

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let foo = function  
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```

and what is the problem ?

`[< 'A | 'B ] * [< 'A | 'B ] -> bool` thus `foo( 'A , 'A)` fails

- ❷ Which type could we give if we had full-fledged union types?

`('A * 'B ) | ( 'B * 'A) -> bool`

- ❸ Give an intersection type that refines the previous type

`(( 'A * 'B ) -> true) & (( 'B * 'A) -> false)`

You can try it on <http://www.cduce.org/ocaml/bi>

## 4. Typing of Mixins

Intersection types are used in Microsoft's Typescript to type mixins.

```
function extend<T, U>(first: T, second: U): T & U {  
    /* <T> exp is a type cast (equivalent: exp as T) */  
    let result = <T & U>{};  
    for (let id in first) {  
        (<any>result)[id] = (<any>first)[id]; }  
    for (let id in second) { if (!result.hasOwnProperty(id)) {  
        (<any>result)[id] = (<any>second)[id]; } }  
    return result;  
}  
  
class Person {  
    constructor(public name: string) { }  
}  
  
interface Loggable {  
    log(): void;  
}  
  
class ConsoleLogger implements Loggable {  
    log() { ... }  
}  
  
var jim = extend(new Person("Jim"), new ConsoleLogger());  
var n = jim.name;  
jim.log();
```



## 5. General programming paradigms

Consider red-black trees. Recall that they must satisfy 4 invariants.

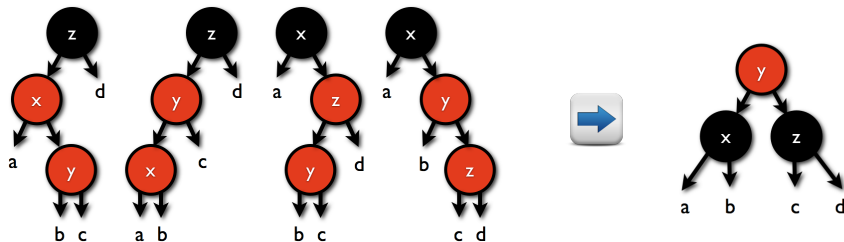
- 1 the root of the tree is black
- 2 the leaves of the tree are black
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- 4 every path from root to a leaf contains the same number of black nodes

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The key of Okasaki's insertion is the function **balance** which transforms an *unbalanced tree*, into a *valid red-black tree* (as long as a, b, c, and d are valid):

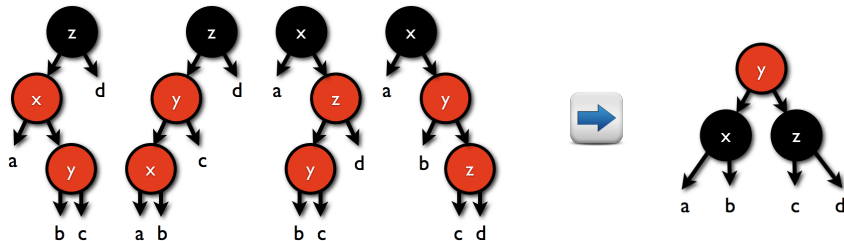


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The key of Okasaki's insertion is the function **balance** which transforms an *unbalanced tree*, into a *valid red-black tree* (as long as a, b, c, and d are valid):



In ML we need GADTs to enforce the invariants.

```

type  $\alpha$ RBtree =
  | Leaf
  | Red(  $\alpha$  , RBtree , RBtree)
  | Blk(  $\alpha$  , RBtree , RBtree)

let balance =
  function
  | Blk( z , Red( x, a, Red(y,b,c) ) , d )
  | Blk( z , Red( y, Red(x,a,b), c ) , d )
  | Blk( x , a , Red( z, Red(y,b,c), d ) )
  | Blk( x , a , Red( y, b, Red(z,c,d) ) )
    -> Red ( y, Blk(x,a,b), Blk(z,c,d) )
  | x -> x

let insert =
  function ( x , t ) ->
    let ins =
      function
      | Leaf -> Red(x,Leaf,Leaf)
      | c(y,a,b) as z ->
          if x < y then balance c( y, (ins a), b ) else
          if x > y then balance c( y, a, (ins b) ) else z
    in let _ (y,a,b) = ins t in Blk(y,a,b)

```

① Write the correct definitions

```
type  $\alpha$ RBtree =
```

```
| Leaf  
| Red(  $\alpha$  , RBtree , RBtree)  
| Blk(  $\alpha$  , RBtree , RBtree)
```

```
let balance =
```

```
function
```

```
| Blk( z , Red( x, a, Red(y,b,c) ) , d )  
| Blk( z , Red( y, Red(x,a,b), c ) , d )  
| Blk( x , a , Red( z, Red(y,b,c), d ) )  
| Blk( x , a , Red( y, b, Red(z,c,d) ) )  
  -> Red ( y, Blk(x,a,b), Blk(z,c,d) )  
| x -> x
```

```
let insert =
```

```
function ( x , t ) ->
```

```
  let ins =
```

```
    function
```

```
      | Leaf -> Red(x,Leaf,Leaf)
```

```
      | c(y,a,b) as z ->
```

```
        if x < y then balance c( y, (ins a), b ) else
```

```
        if x > y then balance c( y, a, (ins b) ) else z
```

```
in let _ (y,a,b) = ins t in Blk(y,a,b)
```

```
type  $\alpha$  Rbtree =  
  | Leaf  
  | Red(  $\alpha$  , Rbtree , Rbtree)  
  | Blk(  $\alpha$  , Rbtree , Rbtree)
```

① Write the correct definitions

```
let balance =  
  function  
    | Blk( z , Red( x, a, Red(y,b,c) ) , d )  
    | Blk( z , Red( y, Red(x,a,b), c ) , d )  
    | Blk( x , a , Red( z, Red(y,b,c), d ) )  
    | Blk( x , a , Red( y, b, Red(z,c,d) ) )  
      -> Red ( y, Blk(x,a,b), Blk(z,c,d) )  
    | x -> x
```

```
let insert =  
  function ( x , t ) ->  
    let ins =  
      function  
        | Leaf -> Red(x,Leaf,Leaf)  
        | c(y,a,b) as z ->  
          if x < y then balance c( y, (ins a), b ) else  
          if x > y then balance c( y, a, (ins b) ) else z  
    in let _ (y,a,b) = ins t in Blk(y,a,b)
```

~~type α Rbtree =  
| Leaf  
| Red( α , Rbtree , Rbtree)  
| Blk( α , Rbtree , Rbtree)~~

- ① Write the correct definitions
- ② Add type annotations to function definitions

let balance =  
function  
| Blk( z , Red( x , a , Red(y,b,c) ) , d )  
| Blk( z , Red( y , Red(x,a,b), c ) , d )  
| Blk( x , a , Red( z , Red(y,b,c), d ) )  
| Blk( x , a , Red( y , b , Red(z,c,d) ) )  
  -> Red ( y , Blk(x,a,b), Blk(z,c,d) )  
| x -> x

let insert =  
function ( x , t ) ->  
  let ins =  
  function  
  | Leaf -> Red(x,Leaf,Leaf)  
  | c(y,a,b) as z ->  
    if x < y then balance c( y , (ins a), b ) else  
    if x > y then balance c( y , a , (ins b) ) else z  
in let \_ (y,a,b) = ins t in Blk(y,a,b)

```

type RBtree = Btree | Rtree
type Rtree  = Red( $\alpha$ , Btree , Btree )
type Btree  = Blk( $\alpha$ , RBtree, RBtree) | Leaf

type Wrong = Red(  $\alpha$ , (Rtree,RBtree) | (RBtree,Rtree) )
type Unbal  = Blk(  $\alpha$ , (Wrong,RBtree) | (RBtree,Wrong) )

let balance: (Unbal  $\rightarrow$  Rtree) & ( ( $\beta$ \Unbal)  $\rightarrow$  ( $\beta$ \Unbal) ) =
function
| Blk( z , Red( y, Red(x,a,b), c ) , d )
| Blk( z , Red( x, a, Red(y,b,c) ) , d )
| Blk( x , a , Red( z, Red(y,b,c), d ) )
| Blk( x , a , Red( y, b, Red(z,c,d) ) )
  -> Red ( y, Blk(x,a,b), Blk(z,c,d) )
| x -> x

let insert: ( $\alpha$ , Btree)  $\rightarrow$  Btree =
function ( x , t ) ->
  let ins: (Leaf  $\rightarrow$  Rtree) & (Btree  $\rightarrow$  RBtree\Leaf) & (Rtree  $\rightarrow$  Rtree | Wrong) =
    function
      | Leaf -> Red(x,Leaf,Leaf)
      | c(y,a,b) as z ->
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          if x > y then balance c( y, a, (ins b) ) else z
  in let _(y,a,b) = ins t in Blk(y,a,b)

```



```

type RBtree = Btree | Rtree
type Rtree  = Red( $\alpha$ , Btree , Btree )
type Btree  = Blk( $\alpha$ , RBtree, RBtree) | Leaf

```

Constraints are respected

```

type Wrong = Red(  $\alpha$ , (Rtree,RBtree) | (RBtree,Rtree) )
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```

```

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function
| Blk( z , Red( y, Red(x,a,b), c ) , d )
| Blk( z , Red( x, a, Red(y,b,c) ) , d )
| Blk( x , a , Red( z, Red(y,b,c), d ) )
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  -> Red ( y, Blk(x,a,b), Blk(z,c,d) )
| x -> x

```

```

let insert: ( $\alpha$ , Btree)  $\rightarrow$  Btree =
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```

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```

```

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| x -> x

```

*Result of insert satisfies constraints statically by typing*

```

let insert: ( $\alpha$ , Btree)  $\rightarrow$  Btree =
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  -> Red ( y, Blk(x,a,b), Blk(z,c,d) )
| x -> x

```

Use of overloading  
and full fledged  
set-theoretic types

```

let insert: (( $\alpha$ , Btree)  $\rightarrow$  Btree) =
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  -> Red ( y, Blk(x,a,b), Blk(z,c,d) )
| x -> x

```

A form of bounded  
polymorphism

$\forall (\alpha \leq \tau \text{Unbal}). \alpha \rightarrow \alpha$

```

let insert: (( $\alpha$ , Btree)  $\rightarrow$  Btree) =
function ( x , t ) ->
  let ins: (Leaf  $\rightarrow$  Rtree) & (Btree  $\rightarrow$  RBtree \ Leaf) & (Rtree  $\rightarrow$  Rtree | Wrong) =
    function
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    in let _(y,a,b) = ins t in Blk(y,a,b)

```

# Cutting edge research

Type checking the previous definitions is not so difficult.

The hard part is to type partial applications:

$$\text{map} : ( \alpha \rightarrow \beta ) \rightarrow [ \alpha ] \rightarrow [ \beta ]$$
$$\text{balance} : (\text{Unbal} \rightarrow \text{Rtree}) \ \& \ ( \beta \backslash \text{Unbal} ) \rightarrow ( \beta \backslash \text{Unbal} )$$
$$\begin{aligned} \text{map balance} : & ( [ \text{Unbal} ] \rightarrow [ \text{Rtree} ] ) \\ & \& ( [ \alpha \backslash \text{Unbal} ] \rightarrow [ \alpha \backslash \text{Unbal} ] ) \\ & \& ( [ \alpha | \text{Unbal} ] \rightarrow [ ( \alpha \backslash \text{Unbal} ) | \text{Rtree} ] ) \end{aligned}$$

Fortunately, programmers (and you) are spared from these gory details.

# New languages use union and intersections

## Facebook's Flow:

```
// @flow
function toStringPrimitives(val: number | boolean | string) {
  return String(val);
}

type One = { foo: number };
type Two = { bar: boolean };

type Both = One & Two;

var value: Both = {
  foo: 1,
  bar: true
};
```

# New languages use union and intersections

## Typed-Racket

```
(let ([a-number 37])
  (if (even? a-number)
      'yes
      'no))
- : Symbol [more precisely: (U 'no 'yes)]
'no

(: f : (case-> (-> True Integer Integer)
              (-> False Boolean Boolean)))
(define (f condition x)
  (if condition
      (add1 x)
      (not x)))
```

# New languages using negation

## Typescript

Negation types are proposed in a merge request for TypeScript:

```
function asValid<T extends not null>  
  (value: T, isValid: (value: T) => boolean) : T | null  
  return isValid(value) ? value : null;
```

```
declare const x: number;  
declare const y: number | null;  
asValid(x, n => n >= 0);    // OK  
asValid(y, n => n >= 0);    // Error
```



# Full-fledged connectives for novel type expressivity

The recursive `flatten` function:

# Full-fledged connectives for novel type expressivity

The recursive `flatten` function:

```
let flatten
  | [] -> []
  | [h ; t] -> (flatten h)@(flatten t)
  | x -> [x]
```

# Full-fledged connectives for novel type expressivity

The recursive `flatten` function:

```
(* recursive type with union intersection and negation *)
```

```
type Tree('a) = ('a\[Any*]) | [ (Tree('a))* ]
```

```
let flatten ( (Tree('a)) -> ['a*] )  
  | [] -> []  
  | [h ; t] -> (flatten h)@(flatten t)  
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```

# Full-fledged connectives for novel type expressivity

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let flatten ( (Tree('a)) -> ['a*] )  
  | [] -> []  
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  | x -> [x]
```

The function `flatten` can be applied to any expression since `Tree('a)` unifies with every type.

It returns a list whose element type is the union of the types of all the leaves:

```
# flatten [ 3 'r' [4 ['true 5]] [ "quo" [['false] "stop"] ] ];;  
- : [ (Bool | 3--5 | 'o'--'u')* ]  
= [ 3 'r' 4 true 5 'quo' false 'stop' ]
```

# Encoding of bounded polymorphism

When combined with polymorphic types, set-theoretic types can encode a limited form of bounded polymorphism:

$$\forall (T_1 \leq \alpha \leq T_2). T$$

is encoded as

$$T\{\alpha := (\alpha \vee T_1) \wedge T_2\}$$

For instance:

`balance : (Unbal  $\rightarrow$  Rtree) & ( $\beta \backslash$ Unbal  $\rightarrow$   $\beta \backslash$ Unbal)`

can be read as:

`balance :  $\forall (\beta \leq \text{not}(\text{Unbal})) . (\text{Unbal} \rightarrow \text{Rtree}) \ \& \ (\beta \rightarrow \beta)$`

Limited form since you can compare just types with equal bounds

# How to understand/explain set-theoretic type connectives?

- The type connectives union, intersection, and negation are completely defined by the subtyping relation:
  - $T_1 \vee T_2$  is the least upper bound of  $T_1$  and  $T_2$
  - $T_1 \& T_2$  is the greatest lower bound of  $T_1$  and  $T_2$
  - $\text{not}(T)$  is the only type whose union and intersection with  $T$  yield the Any and Empty types, respectively.
- Defining (and deciding) subtyping for *type connectives* (i.e.,  $\vee$ ,  $\&$ ,  $\text{not}()$ ) is far more difficult than for *type constructors* (i.e.,  $\rightarrow$ ,  $\times$ ,  $\{\dots\}$ ,  $\dots$ ).  
[examples later on]
- Understanding connectives in terms of subtyping is out of reach of simple programmers

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[examples later on]
- Understanding connectives in terms of subtyping is out of reach of simple programmers

**Give a set-theoretic semantics to types  
define subtyping semantically**

# Types as sets of values and semantic subtyping

$T ::= \text{Bool} \mid \text{Int} \mid \text{Any} \mid (T, T) \mid T \vee T \mid T \& T \mid \text{not}(T) \mid T \rightarrow T$

Each type *denotes* a set of values:

Bool is the set that contains just two values  $\{\text{true}, \text{false}\}$

Int is the set of all the numeric constants:  $\{0, -1, 1, -2, 2, -3, \dots\}$ .

Any is the set of *all* values.

$(T_1, T_2)$  is the set of all the pairs  $(v_1, v_2)$  where  $v_1$  is a value in  $T_1$  and  $v_2$  a value in  $T_2$ , that is  $\{(v_1, v_2) \mid v_1 \in T_1, v_2 \in T_2\}$ .

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# Types as sets of values and semantic subtyping

$T ::= \text{Bool} \mid \text{Int} \mid \text{Any} \mid (T, T) \mid T \vee T \mid T \& T \mid \text{not}(T) \mid T \rightarrow T$

Each type *denotes* a set of values:

Bool is the set that contains just two values  $\{\text{true}, \text{false}\}$

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## Semantic subtyping

**Subtyping is set-containment**

# Semantic Subtyping in a nutshell

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Define subtyping semantically:

[Hosoya, Pierce]

- 1 Interpret types as sets (of values)
- 2 *Define* subtyping as set containment.

# Semantic subtyping: formalization

● **First**, define an interpretation of types into sets.

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[Benzaken, Castagna, Frisch]

- 1 Gives an interpretation satisfying the above constraints;
- 2 Gives an algorithm to decide the induced subtyping relation.

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# 1: An interpretation that satisfies the previous constraints.

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$$\llbracket s_1 \rightarrow s_2 \rrbracket \subseteq \llbracket t_1 \rightarrow t_2 \rrbracket \iff \overline{\mathcal{P}(\llbracket s_1 \rrbracket \times \llbracket s_2 \rrbracket)} \subseteq \overline{\mathcal{P}(\llbracket t_1 \rrbracket \times \llbracket t_2 \rrbracket)}$$

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It is the **best** model: for any other model  $\llbracket \cdot \rrbracket_{\mathcal{D}'}$

$$t_1 \leq_{\mathcal{D}'} t_2 \implies t_1 \leq_{\mathcal{D}} t_2$$

## 2: An algorithm to decide $t_1 \leq t_2$ .

**Step 1:** *Transform the subtyping problem into an emptiness decision problem:*

$$t_1 \leq t_2 \iff \llbracket t_1 \rrbracket \subseteq \llbracket t_2 \rrbracket \iff \llbracket t_1 \wedge \neg t_2 \rrbracket = \emptyset \iff t_1 \wedge \neg t_2 \leq 0$$

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$$\bigvee_{i \in I} \bigwedge_{j \in J} \ell_{ij}$$

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**Step 3: Simplify mixed intersections:**

Mixed summands of the union can be simplified. For instance:

- $(t_1 \times t_2) \wedge (t_1 \rightarrow t_2) \leq \mathbb{0}$  is always true
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The problem is reduced to deciding:

$$\bigwedge_{i \in I} s_i \times t_i \bigwedge_{j \in J} \neg(s_j \times t_j) \leq \mathbb{0} \quad \text{and} \quad \bigwedge_{i \in I} s_i \rightarrow t_i \bigwedge_{j \in J} \neg(s_j \rightarrow t_j) \leq \mathbb{0}$$

(similarly for basic types)

**Step 4: Use the set-theoretic interpretation to simplify the intersections:**

Decomposition law for products:

$$\bigwedge_{i \in I} t_i \times s_i \leq \bigvee_{i \in J} t_i \times s_i \iff \\ \forall J' \subset J. \left( \bigwedge_{i \in I} t_i \leq \bigvee_{i \in J'} t_i \right) \text{ or } \left( \bigwedge_{i \in I} s_i \leq \bigvee_{i \in J \setminus J'} s_i \right)$$

Decomposition law for arrows:

$$\bigwedge_{i \in I} t_i \rightarrow s_i \leq \bigvee_{i \in J} t_i \rightarrow s_i \iff \\ \exists j \in J. \forall I' \subset I. \left( t_j \leq \bigvee_{i \in I'} t_i \right) \text{ or } \left( I' \neq I \text{ et } \bigwedge_{i \in I \setminus I'} s_i \leq s_j \right)$$

**Step 5: Memoize (for recursive types) and recurse.**

# **Application to a language.**

## Syntax

<b>Exprs</b>	$e ::= x$	variables
	$  \lambda^{\wedge_{i \in I} s_i \rightarrow t_i} x.e$	abstractions
	$  ee$	applications
	$  (e, e)$	pairs
	$  \pi_i e$	projections, $i = 1, 2$
	$  (x = e \in t)?e : e$	binding type case
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## Semantics

$$\begin{aligned}(\lambda^{\wedge_{i \in I} s_i \rightarrow t_i} x. e) v &\longrightarrow e[v/x] \\ \pi_i(v_1, v_2) &\longrightarrow v_i \quad i = 1, 2 \\ (x = v \in t)? e_1 : e_2 &\longrightarrow e_1[v/x] \quad v \in t \\ (x = v \in t)? e_1 : e_2 &\longrightarrow e_2[v/x] \quad v \notin t\end{aligned}$$

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A form of occurrence typing

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Necessary for typing overloaded functions:

$$\lambda^{(\text{Int} \rightarrow \text{Int}) \wedge (\text{Bool} \rightarrow \text{Bool})} x. (y = x \in \text{Int}) ? (y + 1) : \text{not}(y)$$

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**The type system is sound**



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### Exercise

Use the previous rules to check that (1) is well-typed for:

- $t = (\text{Int} \vee \text{String}) \rightarrow (\text{Int} \vee \text{String})$
- $t = (\text{Int} \rightarrow \text{Int}) \wedge (\text{String} \rightarrow \text{String})$

where  $\text{String} = \mu X. \{\text{concat} : X \rightarrow X\}$

# Closing the circle

**What about the interpretation of types as set of “values”?**

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I interpreted types into subsets of  $\mathcal{D}$  rather than into sets of:

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Actually, it is not a new one ... it is the old one:

**Theorem [Frisch, Castagna, Benzaken 2002&2008]**

$$t \leq_{\mathcal{V}} s \iff t \leq_{\mathcal{D}} s$$

where  $\leq_{\mathcal{D}}$  is the subtyping via  $\mathcal{D}$  and used to define  $\vdash v : t$



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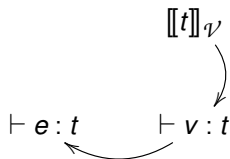
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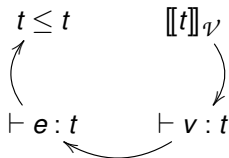


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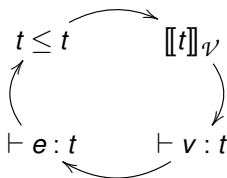


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Was then  $\mathcal{D}$  really necessary?

**YES!**

$\lambda$ -abstractions are values and need (sub)typing to be defined.  
We are in a circular definition

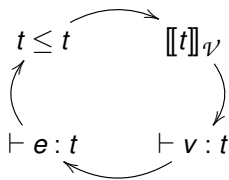


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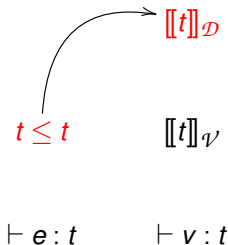
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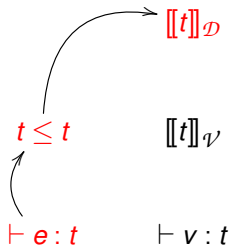


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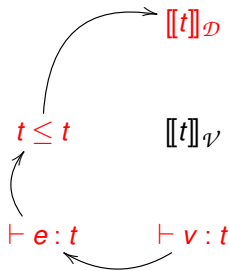


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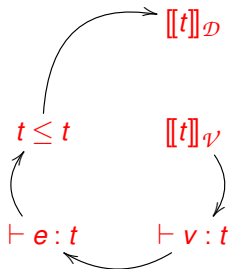


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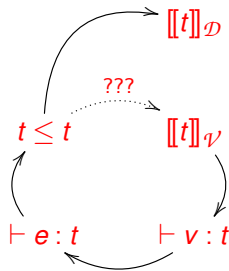


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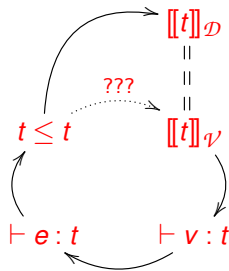
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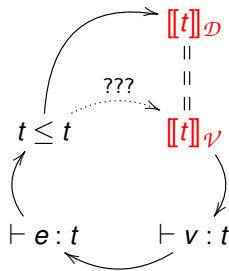
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Theorem 5.5 [Frisch, Castagna, Benzaken JACM 2008]

- 10 Set-theoretic types
- 11 Semantic Subtyping
- 12 Application to a language.
- 13 Adding Parametric Polymorphism: the Types**
- 14 Adding Parametric Polymorphism: the Language

# Motivating examples: reminder 1

**The recursive `flatten` function:**

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## The recursive flatten function:

(\* recursive type with union intersection and negation \*)

```
type Tree( $\alpha$ ) = ( $\alpha$ \[Any*]) | [ (Tree( $\alpha$ ))* ]
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(\* recursive flatten written in polymorphic CDuce \*)

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let flatten ( (Tree( $\alpha$ )) -> [ $\alpha$ *] )  
  | [] -> []  
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## Rationale

The language does not change apart from the fact that type variables such as  $\alpha$  may occur in type annotations.

**Type refinement of `balance` for red-black trees**

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```
let balance: (Unbal → Rtree) & ( (β\Unbal) → (β\Unbal) ) =  
function  
| Blk( z , Red( x, a, Red(y,b,c) ) , d )  
| Blk( z , Red( y, Red(x,a,b), c ) , d )  
| Blk( x , a , Red( z, Red(y,b,c), d ) )  
| Blk( x , a , Red( y, b, Red(z,c,d) ) )  
  -> Red ( y, Blk(x,a,b), Blk(z,c,d) )  
| x -> x
```

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$$t ::= B \mid t \times t \mid t \rightarrow t \mid t \vee t \mid t \wedge t \mid \neg t \mid 0 \mid 1$$



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Let  $\sigma : \mathbf{Vars} \rightarrow \mathbf{ClosedTypes}$  denote ground substitutions. Define:

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THIS IS A WRONG WAY:  
TOO MANY PROBLEMS

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- If  $\alpha \leq \neg t$  then the left element of the union in (2) suffices;
- If  $t \leq \alpha$ , then  $\alpha = (\alpha \setminus t) \vee t$ . Thus  $(t \times \alpha) = (t \times (\alpha \setminus t)) \vee (t \times t)$ . This union is contained component-wise in the one in (2).

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## A SEMANTIC SOLUTION IS POSSIBLE

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## The *leitmotiv* of this work

A semantic characterization of models where *stuttering* is absent, should yield a subtyping relation that is:

- 1 Semantic
- 2 Intuitive for the programmer
- 3 Decidable

# A semantic solution

## Rough idea

**Make indivisible types “splittable”** so that type variables can range over strict subsets of every type, indivisible types included.

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and such that it satisfies:

$$\llbracket t_1 \rightarrow s_1 \rrbracket \eta \subseteq \llbracket t_2 \rightarrow s_2 \rrbracket \eta \iff \overline{\mathcal{P}(\llbracket t_1 \rrbracket \eta \times \llbracket s_1 \rrbracket \eta)} \subseteq \overline{\mathcal{P}(\llbracket t_2 \rrbracket \eta \times \llbracket s_2 \rrbracket \eta)}$$

In this framework the natural definition of subtyping is

$$s \leq t \stackrel{\text{def}}{\iff} \forall \eta. \llbracket s \rrbracket \eta \subseteq \llbracket t \rrbracket \eta$$

It “**just**” remains to find the uniformity condition to avoid stuttering and recover parametricity.



# The magic property: **convexity**

Consider **only** models of semantic subtyping in which the following **convexity** property holds

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# Examples of subtyping relations

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Of course the problematic relation never holds, whatever the  $t$ :

$$(t \times \alpha) \not\leq (t \times \neg t) \vee (\alpha \times t)$$

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and that it is itself contained in the union of the two, that is:

$$\alpha\text{-list} \sim (\mu z. (\alpha \times (\alpha \times z)) \vee \text{nil}) \vee (\mu z. (\alpha \times (\alpha \times z)) \vee (\alpha \times \text{nil}))$$

We can prove relevant relations on infinite types, *eg.*, for the type of generic  $\alpha$ -lists:

$$\alpha\text{-list} = \mu z. (\alpha \times z) \vee \text{nil}$$

we can prove that it contains both the  $\alpha$ -lists of even length

$$\underbrace{\mu z. (\alpha \times (\alpha \times z)) \vee \text{nil}}_{\alpha\text{-lists of even length}} \leq \underbrace{\mu z. (\alpha \times z) \vee \text{nil}}_{\alpha\text{-lists}}$$

and the  $\alpha$ -lists with of odd length

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And we can prove far more complicated relations (see paper).

# Subtyping algorithm



# Subtyping Algorithm: $t_1 \leq t_2$

**Step 1:** *Transform the subtyping problem into an emptiness decision problem:*

$$t_1 \leq t_2 \iff \forall \eta. \llbracket t_1 \rrbracket \eta \subseteq \llbracket t_2 \rrbracket \eta \iff \forall \eta. \llbracket t_1 \wedge \neg t_2 \rrbracket \eta = \emptyset \iff t_1 \wedge \neg t_2 \leq \mathbb{0}$$

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**Step 2:** *Put the type whose emptiness is to be decided in disjunctive normal form.*

$$\bigvee_{i \in I} \bigwedge_{j \in J} \ell_{ij}$$

where  $a ::= b \mid t \times t \mid t \rightarrow t \mid \mathbb{0} \mid \mathbb{1} \mid \alpha$  and  $\ell ::= a \mid \neg a$

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where  $a ::= b \mid t \times t \mid t \rightarrow t \mid \mathbb{0} \mid \mathbb{1} \mid \alpha$  and  $\ell ::= a \mid \neg a$

**Step 3: Simplify mixed intersections:**

Solve: 
$$\bigwedge_{i \in I} a_i \bigwedge_{j \in J} \neg a'_j \bigwedge_{h \in H} \alpha_h \bigwedge_{k \in K} \neg \beta_k$$

where all  $a$  have the same toplevel constructor.

**Step 4: Eliminate toplevel negative variables.**

$$\forall \eta. [[t]]\eta = \emptyset \iff \forall \eta. [[t[\neg\alpha/\alpha]]]\eta = \emptyset$$

so replace  $\neg\beta_k$  for  $\beta_k$  (forall  $k \in K$ )

Solve:

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Solve: 
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**Step 5: *Eliminate toplevel variables.***

$$\bigwedge_{t_1 \times t_2 \in P} t_1 \times t_2 \bigwedge_{h \in H} \alpha_h \leq \bigvee_{t'_1 \times t'_2 \in N} t'_1 \times t'_2$$

holds if and only if

$$\bigwedge_{t_1 \times t_2 \in P} t_1 \sigma \times t_2 \sigma \bigwedge_{h \in H} \gamma_h^1 \times \gamma_h^2 \leq \bigvee_{t'_1 \times t'_2 \in N} t'_1 \sigma \times t'_2 \sigma$$

where  $\sigma = [(\gamma_h^1 \times \gamma_h^2) \vee \alpha_h / \alpha_h]_{h \in H}$

(similarly for arrows)

**Step 6: *Eliminate toplevel constructors, memoize, and recurse.***

$$\bigwedge_{t_1 \times t_2 \in P} t_1 \times t_2 \leq \bigvee_{t'_1 \times t'_2 \in N} t'_1 \times t'_2 \quad (3)$$

Equation (3) holds if and only if for all  $N' \subseteq N$ ,

$$\forall \eta. \left( \left[ \bigwedge_{t_1 \times t_2 \in P} t_1 \wedge \bigwedge_{t'_1 \times t'_2 \in N'} \neg t'_1 \right] \eta = \emptyset \text{ or } \left[ \bigwedge_{t_1 \times t_2 \in P} t_2 \wedge \bigwedge_{t'_1 \times t'_2 \in N \setminus N'} \neg t'_2 \right] \eta = \emptyset \right)$$

Apply *convexity* to distribute the quantification over the or's:

$$\forall \eta. \left( \left[ \bigwedge_{t_1 \times t_2 \in P} t_1 \wedge \bigwedge_{t'_1 \times t'_2 \in N'} \neg t'_1 \right] \eta = \emptyset \right) \text{ or } \forall \eta. \left( \left[ \bigwedge_{t_1 \times t_2 \in P} t_2 \wedge \bigwedge_{t'_1 \times t'_2 \in N \setminus N'} \neg t'_2 \right] \eta = \emptyset \right)$$

Yielding the following simplification:

(similarly for arrows)

$$\forall N' \subseteq N. \left( \bigwedge_{t_1 \times t_2 \in P} t_1 \leq \bigvee_{t'_1 \times t'_2 \in N'} t'_1 \right) \text{ or } \left( \bigwedge_{t_1 \times t_2 \in P} t_2 \leq \bigvee_{t'_1 \times t'_2 \in N \setminus N'} t'_2 \right)$$

- 10 Set-theoretic types
- 11 Semantic Subtyping
- 12 Application to a language.
- 13 Adding Parametric Polymorphism: the Types
- 14 Adding Parametric Polymorphism: the Language**

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## A motivating example in Haskell (almost) [cf. typing of balance]

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type case

- **Expression:** if the argument is an integer then return the Boolean expression otherwise return the argument
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*type case*  *Boolean connectives* 

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## A motivating example in Haskell (almost) [cf. typing of balance]

`map ::  $\alpha \rightarrow \beta \rightarrow [\alpha] \rightarrow [\beta]$`   
`map f l = case l of`  
 `| [] -> []`  
 `| (x : xs) -> (f x : map f xs)`

*type variables*

`even :: (Int  $\rightarrow$  Bool)  $\wedge$  (( $\alpha$  Int)  $\rightarrow$  ( $\alpha$  Int))`  
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*Boolean connectives*

*type case*

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Common pattern for functional data structures: **red-black trees**  
balancing; **ZDD** operations; **XML** nodes modification



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**The combination of type-case and intersections  
yields statically typed **dynamic overloading**.**

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This example as a yardstick. I want to define a language that:

- 1 Can define both `map` and `even`

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This example as a yardstick I want to define a language that:

- 1 Can define both `map` and **Tough!**
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We expect **map even** to have the following type:

$$\begin{aligned} & ([\text{Int}] \rightarrow [\text{Bool}]) \wedge \\ & ([\alpha \backslash \text{Int}] \rightarrow [\alpha \backslash \text{Int}]) \wedge \\ & ([\alpha \vee \text{Int}] \rightarrow [(\alpha \backslash \text{Int}) \vee \text{Bool}]) \end{aligned}$$

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$([\alpha \vee Int] \rightarrow [(\alpha \backslash Int) \vee Bool])$	ints in the arg. are replaced by bools



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Difficult because of expansion: needs *a set of type substitutions* —rather than just one— to unify the domain and the argument types.

# The rule for applications

## 1. In the type system:

$$\begin{array}{c} \text{(APPL)} \\ \frac{\Gamma \vdash e_1 : s \rightarrow u \quad \Gamma \vdash e_2 : s}{\Gamma \vdash e_1 e_2 : u} \end{array}$$

[The type of the function is subsumed to an arrow and the type of the argument is subsumed to the domain of this arrow].

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## 2. Subsumption elimination:

$$\begin{array}{c} \text{(APPL-ALGORITHM)} \\ \frac{\Gamma \vdash_{\mathcal{A}} e_1 : t \quad \Gamma \vdash_{\mathcal{A}} e_2 : s}{\Gamma \vdash_{\mathcal{A}} e_1 e_2 : \min\{u \mid t \leq s \rightarrow u\}} \quad \begin{array}{l} t \leq 0 \rightarrow 1 \\ s \leq \text{dom}(t) \end{array} \end{array}$$

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## 3. Inference of type substitutions

[ where  $t[\sigma_i]_{i \in I} \stackrel{\text{def}}{=} \bigvee_{i \in I} t\sigma_i$  ]

$$\begin{array}{c} \text{(APPL-INFERENCE)} \\ \frac{\exists [\sigma_i]_{i \in I}, [\sigma'_j]_{j \in J} \quad \Gamma \vdash_I e_1 : t \quad \Gamma \vdash_I e_2 : s \quad t[\sigma'_j]_{j \in J} \leq \mathbb{0} \rightarrow \mathbb{1}}{\Gamma \vdash_I e_1 e_2 : \min\{u \mid t[\sigma'_j]_{j \in J} \leq s[\sigma_i]_{i \in I} \rightarrow u\}} \quad s[\sigma_i]_{i \in I} \leq \text{dom}(t[\sigma'_j]_{j \in J}) \end{array}$$

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[ where  $t[\sigma_i]_{i \in I} \stackrel{\text{def}}{=} \bigvee_{i \in I} t \sigma_i$  ]

conditions  
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# Tallying problem

The problem of inferring the type of an application is thus to find for  $s$  and  $t$  given, two sets  $[\sigma_i]_{i \in I}, [\sigma'_j]_{j \in J}$  such that:

$$t[\sigma'_j]_{j \in J} \leq 0 \rightarrow 1 \quad \text{and} \quad s[\sigma_i]_{i \in I} \leq \text{dom}(t[\sigma'_j]_{j \in J})$$



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This can be reduced to solving a suite of *tallying problems*:

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Type tallying is decidable and a sound and complete set of solutions for every tallying problem can be effectively found in **three** simple **steps**.

## Step 1: Decompose constraints.

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## Step 2: Merge constraints on the same variable.

- if  $\alpha \leq t_1$  and  $\alpha \leq t_2$  are in  $C$ , then replace them by  $\alpha \leq t_1 \wedge t_2$ ;
- if  $s_1 \leq \alpha$  and  $s_2 \leq \alpha$  are in  $C$ , then replace them by  $s_1 \vee s_2 \leq \alpha$ ;

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## Step 3: Transform into a set of equations.

After Step 2 we have constraint-sets of the form  $\{s_i \leq \alpha_i \leq t_i \mid i \in [1..n]\}$  where  $\alpha_i$  are pairwise distinct.

- 1 select  $s \leq \alpha \leq t$  and replace it by  $\alpha = (s \vee \beta) \wedge t$  with  $\beta$  fresh.
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3.  $\{(\text{Int} \leq \alpha_1 \leq \text{Real}), (\alpha_2 \leq \alpha_1 \wedge \text{Int})\} \rightsquigarrow \{\alpha_1 = (\text{Int} \vee \beta) \wedge \text{Real}, (\alpha_2 = \text{Int})\}$

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At the end we have a sets of equations  $\{\alpha_i = u_i \mid i \in [1..n]\}$  that (with some care) are *contractive*. By Courcelle there exists a solution, ie, a substitution for  $\alpha_1, \dots, \alpha_n$  into (possibly recursive regular) types  $t_1, \dots, t_n$  (in which the fresh  $\beta$ 's are free variables).

## Example: map even

Start with the following tallying problem:

$$(\alpha_1 \rightarrow \beta_1) \rightarrow [\alpha_1] \rightarrow [\beta_1] \leq s \rightarrow \gamma$$

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- The algorithm generates 9 constraint-sets: one is unsatisfiable ( $s \leq 0$ ); four are implied by the others; remain

$$\{\gamma \geq [\alpha_1] \rightarrow [\beta_1], \alpha_1 \leq 0\}, \{\gamma \geq [\alpha_1] \rightarrow [\beta_1], \alpha_1 \leq \text{Int}, \text{Bool} \leq \beta_1\},$$

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- Four solutions for  $\gamma$ :

$$\begin{aligned} &\{\gamma = [] \rightarrow []\}, \\ &\{\gamma = [\text{Int}] \rightarrow [\text{Bool}]\}, \\ &\{\gamma = [\alpha \setminus \text{Int}] \rightarrow [\alpha \setminus \text{Int}]\}, \\ &\{\gamma = [\alpha \vee \text{Int}] \rightarrow [(\alpha \setminus \text{Int}) \vee \text{Bool}]\}. \end{aligned}$$

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$$\{\gamma = [\alpha \vee \text{Int}] \rightarrow [(\alpha \setminus \text{Int}) \vee \text{Bool}]\}.$$
- The last two are minimal and we take their intersection:
$$\{\gamma = ([\alpha \setminus \text{Int}] \rightarrow [\alpha \setminus \text{Int}]) \wedge ([\alpha \vee \text{Int}] \rightarrow [(\alpha \setminus \text{Int}) \vee \text{Bool}])\}$$

# On completeness and decidability

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**Decidability:** The algorithm is a semi-decision procedure. We conjecture decidability (N.B.: the problem is unrelated to type- reconstruction for intersection types since we have *recursive types*).



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In a dully execution of the algorithm on **map even** the good solution is the second one.

**Principality:** This raises the problem of the existence of principal types: may an infinite sequence of increasingly general solutions exist?

- Frisch et al: *Semantic Subtyping: dealing set-theoretically with function, union, intersection, and negation types*. JACM, vol. 55, n. 4, 2008.  
Reference publication for monomorphic semantic subtyping.
- G. Castagna: *Covariance and Contravariance: a fresh look at an old issue (a primer in advanced type systems for learning functional programmers)*. Logical Methods in Computer Science. 2019 (To appear).  
A simple introduction to semantic subtyping and a detailed description of the implementation of subtyping and type-checking algorithms.
- G. Castagna and Z. Xu: *Set-theoretic foundation of parametric polymorphism and subtyping*. In ICFP 11.  
Subtyping for polymorphic set-theoretic types
- Castagna et al.: *Polymorphic Functions with Set-Theoretic Types*. Part 1 (POPL 14) and Part 2 (POPL 15).  
Languages with polymorphic set-theoretic types
- T. Petrucciani: *Polymorphic Set-Theoretic Types for Functional Languages*. PhD thesis, March 2019.  
Type reconstruction for polymorphic set-theoretic types

# To try it out

- CDuce: <http://www.cduce.org>.
- For polymorphism use the development branch available at <https://gitlab.math.univ-paris-diderot.fr/cduce>
- For a flavor of type reconstruction try the interactive interpreter at <http://www.cduce.org/ocaml/bi>

# Gradual Typing

- 15 Main ideas
- 16 Formal system
- 17 Algorithmic Aspects
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## Motivating example: reminder

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function double (x    ) {  
    (<condition>) ? 2*x : x.concat(x)  
}
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Cannot give a type to `x` that works with both `2*x` and `x.concat(x)`



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## Solution

**Add an unknown/type “?”**

**Develop a type theory for “?” such that:**

- No solution for ? for some execution  $\Rightarrow$  statically reject
- No problem for any solution for ?  $\Rightarrow$  statically accept, do nothing
- For each possible execution there exists some solution for ?  $\Rightarrow$  statically accept and add run-time checks

## Reject at compile time:

```
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### Accept and insert checks:

```
function double (x : ?) {  
  (<condition>) ? 2*x : x.concat(x)  
}
```

Compile as

```
function double (x : ?) {  
  (<condition>) ? 2*(x<number>) : (x<string>).concat(x<string>)  
}
```

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```
function double (x : ?) {  
  (<condition>) ? 2*x : x.concat(x)  
  
function apply (f : number --> number, x : number) {  
  return (f x);  
}  
  
apply (double , (double 42))
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*Dynamically typed:*

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Add checks at the boundaries:

```
apply (double , (double 42))
```

must be compiled as

```
apply (double<number→number> , (double 42)<number>)
```

# A hot topic

## Prominent Languages with Gradual Typing:

- Typed Racket
- Reticulated Python
- TypeScript (Microsoft)
- Flow (Facebook)
- Hack (Facebook)
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- Retrofitted on existing languages
- New languages
- Insert checks at run-time (a.k.a. sound gradual typing)
- Permissive typing (no checks inserted)
- Strict typing
- Occurrence typing

- ➊ Add “?” to types
- ➋ Define a typing discipline for programs with “?”
  - A well-typed program must still be well-typed with less-precise annotations
  - Less-precise annotations may make a program to become well-typed
- ➌ Use the typing derivation to add dynamic type-checks at the boundaries between statically-type and dynamically-typed parts
  - Using less precise annotations in a well-typed program must not yield failures of dynamic checks (preserve semantics)
  - Failures of dynamic checks are due only to the dynamically-typed parts

Type precision: the lesser the “?”, the more precise the type.

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*Types*       $T ::= \text{Bool} \mid \text{Int} \mid T \rightarrow T$

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A new **consistency** relation “ $\sim$ ” governs implicit casts involving “?”:

$$\frac{}{\text{Bool} \sim \text{Bool}} \quad \frac{}{\text{Int} \sim \text{Int}} \quad \frac{}{T \sim ?} \quad \frac{}{? \sim T} \quad \frac{S_1 \sim T_1 \quad S_2 \sim T_2}{S_1 \rightarrow S_2 \sim T_1 \rightarrow T_2}$$

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Relax application for consistent types:

$$[\rightarrow\text{ELIM}_{\sim}] \frac{\Gamma \vdash a : \textcolor{red}{S} \rightarrow T \quad \Gamma \vdash b : \textcolor{red}{U} \quad \textcolor{red}{U} \sim \textcolor{red}{S}}{\Gamma \vdash ab : T}$$

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Use the type derivation to insert casts

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$$[\rightarrow\text{ELIM}_{\sim}] \frac{\Gamma \vdash a : \textcolor{red}{S} \rightarrow T \xrightarrow{\text{compiles}} \textcolor{green}{a'} \quad \Gamma \vdash b : \textcolor{red}{U} \xrightarrow{\text{compiles}} \textcolor{green}{b'} \quad \textcolor{red}{U} \sim \textcolor{red}{S}}{\Gamma \vdash ab : T \xrightarrow{\text{compiles}} \textcolor{green}{a}(b(\textcolor{red}{S}))} \quad (U \neq S)$$

Simply-typed  $\lambda$ -calculus types:

*Types*       $T ::= \text{Bool} \mid \text{Int} \mid T \rightarrow T \mid ?$

A new **consistency** relation “ $\sim$ ” governs implicit casts involving “?”:

$$\frac{}{\text{Bool} \sim \text{Bool}} \quad \frac{}{\text{Int} \sim \text{Int}} \quad \frac{}{T \sim ?} \quad \frac{}{? \sim T} \quad \frac{S_1 \sim T_1 \quad S_2 \sim T_2}{S_1 \rightarrow S_2 \sim T_1 \rightarrow T_2}$$

Relax application for consistent types:

$$[\rightarrow\text{ELIM}_{\sim}] \frac{\Gamma \vdash a : S \rightarrow T \quad \Gamma \vdash b : U}{\Gamma \vdash ab : T}$$

The remaining compilation rules implement the identity (they do not modify the compiled term)

Use the type derivation to insert casts

$$[\rightarrow\text{ELIM}_{\sim}] \frac{\Gamma \vdash a : S \rightarrow T \xrightarrow{\text{compiles}} a' \quad \Gamma \vdash b : U \xrightarrow{\text{compiles}} b' \quad U \sim S}{\Gamma \vdash ab : T \xrightarrow{\text{compiles}} a(b\langle S \rangle)} \quad (U \neq S)$$

# Problems

## ● The consistency relation *must not* be transitive:

Since  $\text{Int} \sim ?$  and  $? \sim \text{Bool}$ , then transitivity would imply  $\text{Int} \sim \text{Bool}$ :

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function double (x : ?) { (<condition>) ? 2*x : x.concat(x) }  
function apply (f : number-->number, x : number) { return (f x) }  
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It compiles as `apply ( double<Int → Int> , (double(42<?>))<Int> )`

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🔴 The  $[\rightarrow\text{ELIM}_{\sim}]$  rule looks more an algorithmic step than a typing rule:

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**We need a more principled methodology**

Let's take inspiration from what we did for subtyping

# Precision and Materialization

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Precision relates a type with unknown “?” components to the types it *may* dynamically become at run time.

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### Intuition

$T \sqsubseteq T'$  means that at run-time type  $T$  may turn out to be the type  $T'$   
**we say that  $T$  *may materialize into*  $T'$**

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The precision relation is a pre-order thus, in particular, it is *transitive*:

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We can add it to any type system to embed gradual typing in it.

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We can add it to any type system to embed gradual typing in it.

## Rationale

As *subtyping* captures “*safe replacement*”,  
so *precision* captures “*potential materialization*”.



# Precision and Materialization

Since *potential materialization* does not mean *assured* materialization, then we have to check it at run-time:

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## From a logical viewpoint:

$$[\text{SUBSUMPTION}] \frac{\Gamma \vdash a : S \xrightarrow{\text{compiles}} a' \quad S \leq T}{\Gamma \vdash a : T \xrightarrow{\text{compiles}} a' \langle T \rangle}$$

Subsumption as implicit  
coercions (subtyping)

$$[\text{MATERIALIZE}] \frac{\Gamma \vdash a : S \xrightarrow{\text{compiles}} a' \quad S \sqsubseteq T}{\Gamma \vdash a : T \xrightarrow{\text{compiles}} a' \langle T \rangle}$$

Materialization as explicit  
casts (precision)

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- 1 Take your favorite typed language
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Types  $T ::= \text{Int} \mid \text{Bool} \mid T \rightarrow T$

Terms  $a, b ::= x \mid ab \mid \lambda x:T.a \mid 1 \mid 2 \mid \dots$

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[VAR]

$\frac{}{\Gamma \vdash x : \Gamma(x)}$

[ $\rightarrow$ INTRO]


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[ $\rightarrow$ ELIM]

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Is it that simple?!?!



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# Algorithmic aspects

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$(\text{double}\langle\text{Int}\rightarrow\text{Int}\rangle)(42) \longrightarrow \text{????}$



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- **Materialization elimination:** as we had to eliminate subsumption to get a type-checking algorithm so we have to do the same for [MATERIALIZE].
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$(\text{double}\langle\text{Int}\rightarrow\text{Int}\rangle)(42) \longrightarrow ????$

- **Error messages:** when a cast fails which part of the program is to blame?

## From more theoretical to more practical ones:

- **Materialization elimination:** as we had to eliminate subsumption to get a type-checking algorithm so we have to do the same for [MATERIALIZE].
- **Implementation of casts:** the implementation of the cast calculus is not trivial. How do we check casts? In particular, how do we handle functional casts:

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**But before that, let me show you that the approach works and it is pretty general**

# A principled approach

## Simply Typed Lambda Calculus

Syntax:

*Types*      $T ::= \text{Int} \mid \text{Bool} \mid T \rightarrow T$   
*Terms*    $a, b ::= x \mid ab \mid \lambda x:T.a \mid 1 \mid 2 \mid \dots$

Semantics:

( $\beta$ )                     $(\lambda x:T.a)b \longrightarrow a[b/x]$

Typing

$$\frac{}{\Gamma \vdash x : \Gamma(x)} \qquad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x:S.a : S \rightarrow T} \qquad \frac{\Gamma \vdash a : S \rightarrow T \quad \Gamma \vdash b : S}{\Gamma \vdash ab : T}$$

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semantics must be  
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$$[\text{MATERIALIZE}_{\text{COMPIL}}] \frac{\Gamma \vdash a : S \xrightarrow{\text{compiles}} a' \quad S \sqsubseteq T}{\Gamma \vdash a : T \xrightarrow{\text{compiles}} a' \langle T \rangle}$$

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## Simply Typed Lambda Calculus + Gradual Typing

Syntax:

*Types*  $T ::= \text{Int} \mid \text{Bool} \mid T \rightarrow T \mid ?$

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Semantics:

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Typing

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# A principled approach

## Simply Typed Lambda Calculus + Gradual Typing + Subtyping

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If the reduction semantics of the cast calculus is reasonably defined (see later) then:

## Theorem (Soundness)

If  $\Gamma \vdash a : T$ , then  $\Gamma \vdash a : T \xrightarrow{\text{compiles}} a'$  and

- either  $a'$  reduces to a value of type  $T$
- or  $a'$  diverges
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# HM Polymorphism

Syntax:

*Types*      $T ::= \text{Int} \mid \text{Bool} \mid T \rightarrow T \mid \alpha$

*Schemas*  $\sigma ::= T \mid \forall \alpha. \sigma$

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Semantics:

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$$\frac{\Gamma \vdash a : \sigma_1 \quad \Gamma, x : \sigma_1 \vdash b : \sigma_2}{\Gamma \vdash \text{let } x = a \text{ in } b : \sigma_2} \quad \frac{\Gamma \vdash a : T \quad \alpha \notin \text{fv}(\Gamma)}{\Gamma \vdash a : \forall \alpha. T} \quad \frac{\Gamma \vdash a : \forall \alpha. T}{\Gamma \vdash a : T[S/\alpha]}$$

# HM Polymorphism + Gradual Typing

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Terms  $a, b ::= x \mid ab \mid \lambda x. a \mid \text{let } x = a \text{ in } b$

Some details are missing:  
annotations and no inference for  
gradual types ... but that's it!!

Semantics:

$$[\text{MATERIALIZE}_{\text{COMPIL}}] \frac{\Gamma \vdash a : S \xrightarrow{\text{compiles}} a' \quad S \sqsubseteq T}{\Gamma \vdash a : T \xrightarrow{\text{compiles}} a' \langle T \rangle}$$

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 Schemas  $\sigma ::= T \mid \forall \alpha. \sigma$   
 Terms  $a, b ::= x \mid ab \mid \lambda x. a \mid \text{let } x$  ...

That's all, but how  
do I implement it?!?

Semantics:

$$[\text{MATERIALIZE}_{\text{COMPIL}}] \frac{\Gamma \vdash a : S \xrightarrow{\text{compiles}} a' \quad S \sqsubseteq T}{\Gamma \vdash a : T \xrightarrow{\text{compiles}} a' \langle T \rangle}$$

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- 15 Main ideas
- 16 Formal system
- 17 Algorithmic Aspects**
- 18 Criteria for Gradual Typing
- 19 Implementation issues
- 20 References

# 1. Type-checking algorithm

$$\begin{array}{c} \frac{}{\Gamma \vdash x : \Gamma(x)} \qquad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x : S. a : S \rightarrow T} \\[2ex] \frac{\Gamma \vdash a : S \rightarrow T \quad \Gamma \vdash b : S}{\Gamma \vdash ab : T} \qquad \frac{[\text{MATERIALIZE}] \quad \Gamma \vdash a : S \quad S \sqsubseteq T}{\Gamma \vdash a : T} \end{array}$$

# 1. Type-checking algorithm

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$$[\rightarrow\text{ELIM}_{\sqsubseteq}] \frac{\Gamma \vdash_{\mathcal{A}} a : S \rightarrow T \quad \Gamma \vdash_{\mathcal{A}} b : U}{\Gamma \vdash_{\mathcal{A}} ab : T} \quad \exists V. S \sqsubseteq V, U \sqsubseteq V$$

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It is a sound and complete algorithm:

$$\Gamma \vdash a : T \iff \Gamma \vdash a : S \text{ and } S \sqsubseteq T$$

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Actually this is the good old  $[\rightarrow\text{ELIM}_{\sim}]$  rule of Siek&Taha (but defined for a sensible relation):

$$[\rightarrow\text{ELIM}_{\sim}] \frac{\Gamma \vdash a : S \rightarrow T \quad \Gamma \vdash b : U \quad U \sim S}{\Gamma \vdash ab : T}$$

since  $U \sim S \iff \exists V. S \sqsubseteq V, U \sqsubseteq V$

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corresponds to the derivation

$$\rightarrow\text{ELIM} \frac{\text{MATER} \frac{\Gamma \vdash a : S \rightarrow T \quad \frac{S \sqsubseteq V \quad T \sqsubseteq T}{S \rightarrow T \sqsubseteq V \rightarrow T}}{\Gamma \vdash a : V \rightarrow T} \quad \text{MATER} \frac{\Gamma \vdash b : U \quad U \sqsubseteq V}{\Gamma \vdash b : V}}{\Gamma \vdash_{\mathcal{A}} a(b) : T}$$

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corresponds to the derivation *which tells us where to put cast*:

$$\begin{array}{c} \text{MATER} \frac{\Gamma \vdash a : S \rightarrow T \quad \frac{S \sqsubseteq V \quad T \sqsubseteq T}{S \rightarrow T \sqsubseteq V \rightarrow T}}{\Gamma \vdash a \langle V \rightarrow T \rangle : V \rightarrow T} \quad \frac{\Gamma \vdash b : U \quad U \sqsubseteq V}{\Gamma \vdash b \langle V \rangle : V} \text{MATER} \\ \rightarrow\text{ELIM} \frac{\Gamma \vdash a \langle V \rightarrow T \rangle : V \rightarrow T \quad \Gamma \vdash b \langle V \rangle : V}{\Gamma \vdash_{\mathcal{A}} a \langle V \rightarrow T \rangle (b \langle V \rangle) : T} \end{array}$$

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**Which  $V$  shall we use? well, obviously:**

$$V = \min_{\sqsubseteq} \{ W \mid S \sqsubseteq W, U \sqsubseteq W \}$$

## 2. Compilation

This yields the following compilation rule:

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Cast insertion different from Siek&Taha: we cast both the function and the argument:

We only use “upcast”, that is cast from less precise to more precise types. This is formalized by the [MATERIALIZE] rule for *the language with casts* (all the other rules are as before)

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The compilation rules map well-typed terms into well-typed terms: terms are cast to types *more precise* than their static type.

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It's time to speak of this  
*language with casts*



The compilation rules map well-typed terms into well-typed terms: terms are cast to types *more precise* than their static type.



# The cast language

## Gradually Typed Language

### Syntax:

*Types*      $T ::= \text{Int} \mid \text{Bool} \mid T \rightarrow T \mid ?$

*Terms*    $a, b ::= x \mid ab \mid \lambda x:T.a \mid 1 \mid 2 \mid \dots$

### Typing

$$\frac{}{\Gamma \vdash x : \Gamma(x)} \quad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x:S.a : S \rightarrow T} \quad \frac{\Gamma \vdash a : S \rightarrow T \quad \Gamma \vdash b : S}{\Gamma \vdash ab : T}$$

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### Typing

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# The cast language

## Gradually Typed Language

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## Gradually Typed Language with Casts

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**Still missing the semantics for casts**

# The cast language

**What is the dynamic semantics of casts?**

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Easy for non functional values:

$3\langle\text{Int}\rangle \longrightarrow 3$

$3\langle\text{Bool}\rangle \longrightarrow \text{Fail}$



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Not so trivial for functions:

```
function foo (x : ?) {  
  if (x == 42) { return (2*x) } else { true }  
}
```

Consider  $\text{foo}\langle\text{Int} \rightarrow \text{Int}\rangle$ .

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That is easy, but what about



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$$(\text{foo}\langle \text{Int} \rightarrow \text{Int} \rangle)(\text{exp})$$

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$(\text{foo}\langle \text{Int} \rightarrow \text{Int} \rangle)(42)$



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Delay the dynamic check of a type until you get to non-functional values

$$(\text{foo}\langle \text{Int} \rightarrow \text{Int} \rangle)(42) \longrightarrow (\text{foo}(42\langle \text{Int} \rangle))\langle \text{Int} \rangle$$

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*Values*     $v ::= \lambda x:T.a \mid 1 \mid 2 \mid \dots$

## Typing

$$\begin{array}{c}
 \hline \Gamma \vdash x : \Gamma(x) \\
 \hline
 \end{array}
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 \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x:S.a : S \rightarrow T}
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$$\text{[MATERIALIZE]} \frac{\Gamma \vdash a : S \quad S \sqsubseteq T}{\Gamma \vdash a\langle T \rangle : T}$$

## Semantics:

$$\begin{array}{ll}
 (\lambda x:T.a)v & \longrightarrow a[v/x] \\
 v\langle T \rangle & \longrightarrow v \quad \text{if } T \neq S_1 \rightarrow S_2 \text{ and } \vdash v : T \\
 v\langle T \rangle & \longrightarrow \text{Fail} \quad \text{if } T \neq S_1 \rightarrow S_2 \text{ and } \not\vdash v : T \\
 (v_1\langle S \rightarrow T \rangle)v_2 & \longrightarrow (v_1(v_2\langle S \rangle))\langle T \rangle
 \end{array}$$

# The cast language

## The cast language is sound:

### Theorem (Soundness)

For every term  $a$  of the cast language, if  $\Gamma \vdash a : T$ , then

- either  $a$  reduces to a value of type  $T$
- or  $a$  diverges
- or  $a$  reduces to **Fail**

[no stuck term]

What are the consequences of this theorem on our initial language?  
How does it fit our framework? Let me first add a further bit

# Tracking errors

The message **Fail** is not very useful for debugging

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## The message Fail is not very useful for debugging

We can modify compilation to track the origine of failures:

$$[\text{MATERIALIZE}] \frac{\Gamma \vdash a : S \xrightarrow{\text{compiles}} a' \quad S \sqsubseteq T}{\Gamma \vdash a : T \xrightarrow{\text{compiles}} a' \langle T \rangle^\ell}$$

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where  $\ell$  is a pointer to the source code of  $a$

Then it suffices to change the semantics of the cast language to return this pointer:

Semantics:

$$\begin{array}{ll} (\lambda x:T.a)v & \longrightarrow a[v/x] \\ v \langle T \rangle^\ell & \longrightarrow v & \text{if } T \neq S_1 \rightarrow S_2 \text{ and } \vdash v : T \\ v \langle T \rangle^\ell & \longrightarrow \text{blame } \ell & \text{if } T \neq S_1 \rightarrow S_2 \text{ and } \not\vdash v : T \\ (v_1 \langle S \rightarrow T \rangle^\ell v_2) & \longrightarrow (v_1 (v_2 \langle S \rangle^\ell) \langle T \rangle^\ell) \end{array}$$

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- 17 Algorithmic Aspects
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## Criterion: Type Soundness

**Every expression must only result in values whose type agrees with the static type of the expression.**



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If  $\Gamma \vdash a : T$ , then  $\Gamma \vdash a : T \xrightarrow{\text{compiles}} a'$  and

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A Corollary of the soundness of the cast calculus and of the following lemma of type preservation.

**Lemma.** If  $\Gamma \vdash a : T$  then then  $\Gamma \vdash a : T \xrightarrow{\text{compiles}} a'$  and  $\Gamma \vdash a' : S \sqsubseteq T$

**When a runtime type error occurs, it is never the fault of a statically typed region of code.**

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## Theorem (Blame Theorem)

Let  $C[a]$  be a program such that  $\text{?}$  does not occur in  $a$ .

If  $\Gamma \vdash C[a] : T \xrightarrow{\text{compiles}} b$  and  $b \longrightarrow \text{blame } \ell$ , then  $\ell \in C[]$  and  $\ell \notin a$ .

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An expression  $a$  is *less precise* than  $b$ , written  $a \sqsubseteq b$ , if  $a$  is  $b$  but with less precise annotations.

**Note:** a dynamically typed version of  $a$  is where all annotations are  $?$ : it is a minimal element in the precision lattice.

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**Note:** a dynamically typed version of  $a$  is where all annotations are  $?$ : it is a minimal element in the precision lattice.

## Theorem (Gradual Guarantee)

If  $\Gamma \vdash a : T \xrightarrow{\text{compiles}} a'$  and  $b \sqsubseteq a$ , then:

- $\Gamma \vdash b : T' \xrightarrow{\text{compiles}} b'$  and  $T' \sqsubseteq T$
- if  $a' \longrightarrow v$ , then  $b' \longrightarrow v'$  and  $v' \sqsubseteq v$ .

# Outline

- 15 Main ideas
- 16 Formal system
- 17 Algorithmic Aspects
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# A hint to efficient implementation

A gradually typed tail-recursive function:

```
let rec odd : Int -> ? = fun n ->
  if n = 0 then false
  else (even (n-1))
and even : Int -> Bool = fun n ->
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# A hint to efficient implementation

A gradually typed tail-recursive function: In Siek&Taha it is compiled into:

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let rec odd : Int -> ? = fun n ->
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It produces accumulation of casts:

```
odd 5  → (even 4)<?>
      → (odd 3)<Bool><?>
      → (even 2)<?><Bool><?>
      → (odd 1)<Bool><?><Bool><?>
      → (even 0)<?><Bool><?><Bool><?>
```

# A hint to efficient implementation

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```

**Solution:** specific implementation of tail-recursion combine with cast compression via intersection types:

$E \langle \tau \rangle \langle \tau' \rangle$  can be “compressed” to  $E \langle \tau \wedge \tau' \rangle$ .

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# To go further

## Some starting points:

- **Objects:** Siek & Taha (ECOOP 2007)
- **Type inference:** Siek & Vachharajani (DLS 2008), Garcia & Cimini (POPL 2015) [both superseded by Castagna & al (POPL 2019)]
- **Occurrence Typing:** Tobin-Hochstadt & Felleisen (POPL 2008)
- **Foundational approach:** Garcia & Clark & Tanter (POPL 2016)
- **Gradual Guarantees:** Siek & Vitousek & Cimini & Boyland (SNAPL 2015)
- **Second order parametric polymorphism:** Igarashi et al. (ICFP 2017), Xie & Bi & Oliveira (ESOP 2018)
- **Union and intersection types:** Castagna & Lanvin (ICFP 2017)
- **Implementation aspects:** Takikawa et al. (POPL 2016), Bauman et al. (OOPSLA 2017), Kuhlenschmidt et al. (PLDI 2019), Castagna & Duboc & Lanvin & Siek (IFL 2019)
- **Type inference, subtyping, union and intersection types:** Castagna & Lanvin & Petrucciani & Siek (POPL 2019) **The full monty!**

# HM Polymorphism + Gradual Typing

## Syntax:

*Types*  $T ::= \text{Int} \mid \text{Bool} \mid T \rightarrow T \mid \alpha \mid ?$

*Schemas*  $\sigma ::= T \mid \forall \alpha. \sigma$

*Terms*  $a, b ::= x \mid ab \mid \lambda x. a \mid \text{let } x = a \text{ in } b \mid 1 \mid 2 \mid \dots$

## Semantics:

$$[\text{MATERIALIZE}_{\text{COMPILE}}] \frac{\Gamma \vdash a : S \xrightarrow{\text{compiles}} a' \quad S \sqsubseteq T}{\Gamma \vdash a : T \xrightarrow{\text{compiles}} a' \langle T \rangle}$$

## Typing

$$\begin{array}{c} \frac{}{\Gamma \vdash x : \Gamma(x)} \quad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x. a : S \rightarrow T} \quad \frac{\Gamma \vdash a : S \rightarrow T \quad \Gamma \vdash b : S}{\Gamma \vdash ab : T} \\[10pt] \frac{\Gamma \vdash a : \sigma_1 \quad \Gamma, x : \sigma_1 \vdash b : \sigma_2}{\Gamma \vdash \text{let } x = a \text{ in } b : \sigma_2} \quad \frac{\Gamma \vdash a : T \quad \alpha \notin \text{fv}(\Gamma)}{\Gamma \vdash a : \forall \alpha. T} \quad \frac{\Gamma \vdash a : \forall \alpha. T}{\Gamma \vdash a : T[S/\alpha]} \\[10pt] [\text{MATERIALIZE}] \frac{\Gamma \vdash a : S \quad S \sqsubseteq T}{\Gamma \vdash a : T} \end{array}$$

# HM Polymorphism + Gradual Typing + Subtyping

Syntax:

Types  $T ::= \text{Int} \mid \text{Bool} \mid T \rightarrow T \mid \alpha \mid ?$

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Terms  $a, b ::= x \mid ab \mid \lambda x. a \mid \text{let } x = a \text{ in } b$

Some details are missing:  
annotations and no inference for  
gradual types ... but that's it!!

Semantics:

$$[\text{MATERIALIZE}_{\text{COMPIL}}] \frac{\Gamma \vdash a : S \xrightarrow{\text{compiles}} a' \quad S \sqsubseteq T}{\Gamma \vdash a : T \xrightarrow{\text{compiles}} a' \langle T \rangle}$$

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 Terms  $a, b ::= x \mid ab \mid \lambda x. a \mid \text{let } x \mid \dots$

That's all, but how  
do I implement it?!?

Semantics:

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Typing

$$\begin{array}{c}
 \frac{}{\Gamma \vdash x : \Gamma(x)} \quad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x. a : S \rightarrow T} \quad \frac{\Gamma \vdash a : S \rightarrow T \quad \Gamma \vdash b : S}{\Gamma \vdash ab : T} \\
 \\
 \frac{\Gamma \vdash a : \sigma_1 \quad \Gamma, x : \sigma_1 \vdash b : \sigma_2}{\Gamma \vdash \text{let } x = a \text{ in } b : \sigma_2} \quad \frac{\Gamma \vdash a : T \quad \alpha \notin \text{fv}(\Gamma)}{\Gamma \vdash a : \forall \alpha. T} \quad \frac{\Gamma \vdash a : \forall \alpha. T}{\Gamma \vdash a : T[S/\alpha]} \\
 \\
 [\text{MATERIALIZE}] \frac{\Gamma \vdash a : S \quad S \sqsubseteq T}{\Gamma \vdash a : T} \quad [\text{SUBSUM}] \frac{\Gamma \vdash a : S \quad S \leq T}{\Gamma \vdash a : T}
 \end{array}$$



# The missing details

## Syntax:

*StaticTypes*  $T ::= \text{Int} \mid \text{Bool} \mid T \rightarrow T \mid \alpha$

*GradualTypes*  $\tau ::= \text{Int} \mid \text{Bool} \mid \tau \rightarrow \tau \mid \alpha \mid ?$

*Schemas*  $\sigma ::= T \mid \forall \alpha. \sigma$

*Terms*  $a, b ::= x \mid ab \mid \lambda x. a \mid \lambda x : \tau. a \mid \text{let } x = a \text{ in } b \mid 1 \mid 2$

## Typing

$$\frac{}{\Gamma \vdash x : \Gamma(x)} \quad \frac{\Gamma \vdash a : \tau' \rightarrow \tau \quad \Gamma \vdash b : \tau'}{\Gamma \vdash ab : \tau}$$

$$\frac{\Gamma, x : \tau \vdash a : \tau'}{\Gamma \vdash \lambda x : \tau. a : \tau \rightarrow \tau'} \quad \frac{\Gamma, x : S \vdash a : \tau}{\Gamma \vdash \lambda x. a : S \rightarrow \tau}$$

$$\frac{\Gamma \vdash a : \sigma_1 \quad \Gamma, x : \sigma_1 \vdash b : \sigma_2}{\Gamma \vdash \text{let } x = a \text{ in } b : \sigma_2} \quad \frac{\Gamma \vdash a : \tau \quad \alpha \notin \text{fv}(\Gamma)}{\Gamma \vdash a : \forall \alpha. \tau} \quad \frac{\Gamma \vdash a : \forall \alpha. \tau}{\Gamma \vdash a : \tau[\tau'/\alpha]}$$

$$[\text{MATERIALIZE}] \frac{\Gamma \vdash a : \tau' \quad \tau' \sqsubseteq \tau}{\Gamma \vdash a : \tau}$$

$$[\text{SUBSUM}] \frac{\Gamma \vdash a : \tau' \quad \tau' \leq \tau}{\Gamma \vdash a : \tau}$$

We generate sets  $D$  of *type constraints*

$$D ::= \emptyset \mid (t_1 \dot{\leq} t_2) \cup D \mid (\tau \dot{\sqsubseteq} \alpha) \cup D$$

Then we find a type substitution  $\theta$  that *solves*  $D$  that is

- for all  $(t_1 \dot{\leq} t_2)$  we have  $t_1 \theta = t_2 \theta$
- for all  $(\tau \dot{\sqsubseteq} \alpha)$  we have  $\tau \theta \sqsubseteq \alpha \theta$  and  $\tau \theta$  is a static type

# Constraint generation

We do not directly generate *type constraint*.

We first *generate structured constraints* of the form<sup>1</sup>:

$$C ::= (t \dot{\leq} t) \mid (\tau \dot{\sqsubseteq} \alpha) \mid (x \dot{\sqsubseteq} \alpha) \mid \mathbf{def} \ x : \tau \mathbf{in} \ C \mid \exists \vec{\alpha}. C \mid C \wedge C$$

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$$\langle\langle x : t \rangle\rangle = \exists \alpha. (x \dot{\sqsubseteq} \alpha) \wedge (\alpha \dot{\leq} t)$$

$$\langle\langle (\lambda x. e) : t \rangle\rangle = \exists \alpha_1, \alpha_2. (\mathbf{def} \ x : \alpha_1 \mathbf{in} \ \langle\langle e : \alpha_2 \rangle\rangle) \wedge (\alpha_1 \dot{\sqsubseteq} \alpha_1) \wedge (\alpha_1 \rightarrow \alpha_2 \dot{\leq} t)$$

$$\langle\langle \lambda x : \tau. e \rangle\rangle = \exists \alpha_1, \alpha_2. (\mathbf{def} \ x : \tau \mathbf{in} \ \langle\langle e : \alpha_2 \rangle\rangle) \wedge (\tau \dot{\sqsubseteq} \alpha_1) \wedge (\alpha_1 \rightarrow \alpha_2 \dot{\leq} t)$$

$$\langle\langle e_1 e_2 : t \rangle\rangle = \exists \alpha. \langle\langle e_1 : \alpha \rightarrow t \rangle\rangle \wedge \langle\langle e_2 : \alpha \rangle\rangle$$

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$$\begin{aligned}\langle\langle x : t \rangle\rangle &= \exists \alpha. (x \dot{\sqsubseteq} \alpha) \wedge (\alpha \dot{\leq} t) \\ \langle\langle (\lambda x. e) : t \rangle\rangle &= \exists \alpha_1, \alpha_2. (\mathbf{def} \ x : \alpha_1 \mathbf{in} \ \langle\langle e : \alpha_2 \rangle\rangle) \wedge (\alpha_1 \dot{\sqsubseteq} \alpha_1) \wedge (\alpha_1 \rightarrow \alpha_2 \dot{\leq} t) \\ \langle\langle \lambda x : \tau. e \rangle\rangle &= \exists \alpha_1, \alpha_2. (\mathbf{def} \ x : \tau \mathbf{in} \ \langle\langle e : \alpha_2 \rangle\rangle) \wedge (\tau \dot{\sqsubseteq} \alpha_1) \wedge (\alpha_1 \rightarrow \alpha_2 \dot{\leq} t) \\ \langle\langle e_1 e_2 : t \rangle\rangle &= \exists \alpha. \langle\langle e_1 : \alpha \rightarrow t \rangle\rangle \wedge \langle\langle e_2 : \alpha \rangle\rangle\end{aligned}$$

Note that  $\langle\langle (\lambda x : ?.x) : \text{Int} \rightarrow \text{Int} \rangle\rangle$  *can be solved*,  
whereas  $\langle\langle (\lambda x. x) : ? \rightarrow ? \rangle\rangle$  *cannot*.

---

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$$\frac{}{\Gamma \vdash (x \dot{\sqsubseteq} \alpha) \rightsquigarrow \{\tau[\vec{\alpha} := \vec{\beta}] \dot{\sqsubseteq} \alpha\}} \quad \begin{array}{l} \Gamma(x) = \forall \vec{\alpha}. \tau \\ \vec{\beta} \text{ FRESH} \end{array}$$

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$$\frac{(\Gamma, x : \tau) \vdash C \rightsquigarrow D}{\Gamma \vdash \mathbf{def} \ x : \tau \ \mathbf{in} \ C \rightsquigarrow D}$$

$$\frac{\Gamma \vdash C_1 \rightsquigarrow D_1 \quad \Gamma \vdash C_2 \rightsquigarrow D_2}{\Gamma \vdash C_1 \wedge C_2 \rightsquigarrow D_1 \cup D_2}$$

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and solving it will return the unifier

$$\theta : X_1 \mapsto \text{Bool}; X_2 \mapsto \beta; X_3 \mapsto \gamma; \alpha \mapsto (\beta \rightarrow \gamma)$$

# Compilation and Results

To summarize, given an expression  $e$ , and a constraint derivation  $\mathcal{D}$  of  $\Gamma \vdash \langle\langle e : t \rangle\rangle \rightsquigarrow D$ , we can *compute a unifier*  $\theta$  satisfying  $\mathcal{D}$ .

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This derivation and the associated unifier *can be used to compile  $e$*  in a straightforward way: **to every materialization constraint introduced in  $\mathcal{D}$  corresponds a cast.**

For instance

if  $\mathcal{D} = \Gamma; \vdash \langle\langle x : t \rangle\rangle \rightsquigarrow \{(\tau \dot{\sqsubseteq} \alpha), (\alpha \dot{\sqsubseteq} t)\}$  and  $\theta$  is a solution for  $\{(\tau \dot{\sqsubseteq} \alpha), (\alpha \dot{\sqsubseteq} t)\}$  then



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$$\mathcal{D}; \theta \vdash x \overset{\text{compiles}}{\dashrightarrow} x \langle \alpha \theta \rangle$$

Inference (and compilation) for this system is *sound*, *type-preserving* and *complete* w.r.t. the declarative system.

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For example,

```
fun x -> if (fst x) then (1 + snd x) else x
```

should be of type  $(\text{Bool} \times \text{Int}) \rightarrow (\text{Int} \mid (\text{Bool} \times \text{Int}))$

## Part 3: Adding Set-Theoretic Types

*The types* become:

Constraints are *unchanged*. However, the inference algorithm is now based on the *tallying algorithm* of Castagna et al. [2015], rather than unification (but the principle is the same).

$$\{(\alpha \dot{\leq} t_1), (\alpha \dot{\leq} t_2)\} \rightsquigarrow \{(\alpha \dot{\leq} t_1 \wedge t_2)\}$$

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*Soundness still holds* for the inference algorithm, but *completeness no longer holds*.