# Four Forms of Polymorphism SIGPL Summer School 2019

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#### Outline of the course

#### Background and Motivations

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#### Subtyping polymorphism

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#### Parametric polymorphism

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#### Ad-Hoc polymorphism

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#### Gradual Typing (dynamic type polymorphism)

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# Background and Motivations

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Polymorphism

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A Refresher Course on Operational Semantics

### Merriam-Webster Dictionary

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There exists several polymorphic programming entities:

- polymorphic functions (e.g., a function of type int→int and of type bool→bool)
- polymophic data structures (e.g., a list whose elements are of any possible type)
- polymorphic classes (e.g. a class whose instances are stack of int and stacks of bool
- polymorphic operators (e.g., the symbol + to denote arithmetic sum and string concatenation
- ...

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#### In this course I focus on functions.

# Polymorphic functions

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Functions that can be applied to arguments of different types

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#### **GOAL**

How to define sound type system for polymorphic functions

Sound = all expressions that pass type-checking will never reduce to *stuck* terms such as 3(true)

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#### Four forms of polymorphism:

- parametric,
- subtyping,
- ad-hoc,
- dynamic

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Functions that make no assumption about the type of some specific arguments

They delay the check to the type of these arguments at run-time

#### Outline

Polymorphism

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# 1. Parametric polymophism

#### Functions that work with arguments of any type.

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```
function first (x , y) {
  return x;
}
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It can be applied to pairs of type  $S\times T\to S$  and returns a result of type S, whatever types S and T are.

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#### Intuition

Add type variables and quantify them universally:

$$\forall \alpha, \beta : \alpha \times \beta \rightarrow \alpha$$

# 2. Subtyping polymorphism

**Functions that work with arguments of with certain properties:** They use the known properties of the arguments

```
function size (x) {
  return x.length;
}
```

It can be applied to objects with the property lenght and return (in general) an integer.

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```

It can be applied to objects with the property length and return (in general) an integer.

#### Intuition

Define an order relation on types and accept arguments of any subtype

```
Accepts arguments of any type T ≤{ length: number } (e.g. { length: number, concat: string→string})
```

{ length: number }  $\rightarrow$  number

```
function size (x) {
  return x.length;
}
```

#### Subtyping + Parametric

Possibility two combine the two form of polymophism

```
\forall \alpha \,.\, \{ \text{ length }: \ \alpha \ \} \ \rightarrow \ \alpha
```

```
function size (x) {
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#### Subtyping + Parametric

Possibility two combine the two form of polymophism

```
\forall \alpha. \{ \text{ length} : \alpha \} \rightarrow \alpha
```

```
function size (x) {
  if (x.length > 4) { x = setCharAt(str,4,'a') }
  return x
}
```

#### Bounded parametric

```
\forall \alpha \leq \{ \text{ length : number } \} . \alpha \rightarrow \alpha
```

#### Functions for arguments in a specific (finite) set of different types

They execute different code for each type of the argument

```
function double (x) {
    (typeof(x) === "number") ? 2*x : x.concat(x)
}
```

If applied to an integer returns an integer, if applied to a string returns a string

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Naive solution: union types

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(number|string) \rightarrow (number|string)
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- Naive solution: union types
  - $(number|string) \rightarrow (number|string)$
- Better solution: intersection types

```
(number→number) & (string→string)
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```
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needs some form of occurrence typing

```
function double (x) {
  (typeof(x) === "number") ? 2*x : x.concat(x)
}
```

### Set-theoretic + Subtyping

```
( number→number ) &
( (not(number) & {concat: string→string}) → string )
```

Actually, set-theoretic types are defined by subtyping

```
function double (x) {
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```
( number \rightarrow number ) & ( (not(number) & {concat: string} \rightarrow string )
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#### Set-theoretic + Parametric

```
\forall \alpha, \beta. ( number\rightarrownumber ) & ( (\alpha & not(number) & {concat: \alpha \rightarrow \beta}) \rightarrow \beta)
```

```
function double (x) {
  (typeof(x) === "number") ? 2*x : x.concat(x)
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```

# Set-theoretic + Subtyping

```
( number\rightarrownumber ) & ( (not(number) & {concat: string}\rightarrowstring}) \rightarrow string )
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Actually, set-theoretic types are defined by subtyping

#### Set-theoretic + Parametric

```
\begin{array}{ll} \forall \alpha,\beta. & (\text{ number} \rightarrow \text{number}) \text{ \&} \\ & (\text{ ($\alpha$ \& not(number) \& {concat: $\alpha \rightarrow \beta$})} \rightarrow \beta) \\ \text{a sophisticated way to write bounded polymorphism and recursive types:} \\ \forall \beta,\forall (\gamma \leq \text{not(number) \& $\mu X$.} \{\text{concat: $X \rightarrow \beta$}\}) \,. \\ & (\text{number} \rightarrow \text{number}) \text{ \& } (\gamma \rightarrow \beta) \end{array}
```

Functions that for some specific arguments delay the check of types at run-time

```
function double (x) {
    ( typeof(x) === "number" ) ? 2*x : x.concat(x)
}
```

Functions that for some specific arguments delay the check of types at run-time

```
function double (x) {
     (<some twisted condition>) ? 2*x : x.concat(x)
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Cannot give a type to x that works with both 2\*x and x.concat(x)

Functions that *for some specific arguments* delay the check of types at run-time

```
function double (x:?) {
    (<some twisted condition>) ? 2*x : x.concat(x)
}
```

Cannot give a type to x that works with both 2\*x and x.concat(x)

### Solution

Add an unknown/type "?"

Functions that for some specific arguments delay the check of types at run-time

```
function double (x:?) {
    (<some twisted condition>) ? 2*x : x.concat(x)
}
```

Cannot give a type to x that works with both 2\*x and x.concat(x)

#### Solution

#### Add an unknown/type "?"

### Develop a type theory for "?" such that:

- No solution for ? for some execution ⇒ statically reject
- No problem for any solution for ? ⇒ statically accept, do nothing
- For each possible execution there exists some solution for ? ⇒ statically accept and add run-time checks

## Reject at compile time:

```
function wrong (x : ?) { return (2*x + x(2)); //cannot be a number and a function }
```

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Accept as is:

function ok (x : ?) {
	if (typeof(x) === "number"){ return 42 } else { return x }
}

Intuitively the function has type: ? \rightarrow (number | ?)
```

```
Reject at compile time:
function wrong (x : ?) {
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Accept as is:
function ok (x : ?) {
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}
Intuitively the function has type: ? \rightarrow (number \mid ?)
Accept and insert checks:
function double (x : ?) {
  (\langle condition \rangle) ? 2*x : x.concat(x)
}
Compile as
function double (x : ?) {
  (\langle condition \rangle) ? 2*(x\langle number \rangle) : (x\langle string \rangle).concat(x\langle string \rangle)
```

```
let mymap (condition) (f) (x : ?) =
  if condition then Array.map f x else List.map f x
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```

- x can be bound to anything (though only  $\alpha$ list or  $\alpha$ array work)
- no information on the type of the result (though only  $\beta$ list or  $\beta$ array are possible)

```
let mymap (condition) (f) (x : (\alpha array | \alpha list) & ?) = if condition then Array.map f x else List.map f x

Type: bool \rightarrow (\alpha \rightarrow \beta) \rightarrow ((\alpha array | \alpha list) & ?) \rightarrow (\beta array | \beta list)
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```
let mymap (condition) (f) (x : ?) =
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Type: bool → (α → β) →? →?
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let mymap (condition) (f) (x : (α array | α list) & ?) =
    if condition then Array.map f x else List.map f x
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Type: bool  $\rightarrow$  ( $\alpha \rightarrow \beta$ )  $\rightarrow$  (( $\alpha \operatorname{array} | \alpha \operatorname{list}$ ) & ?)  $\rightarrow$  ( $\beta \operatorname{array} | \beta \operatorname{list}$ )

let mymap (condition) (f) (x : ( $\alpha$  array |  $\alpha$  list) & ?) =

if condition then Array.map f  $(x\langle \alpha array \rangle)$ 

else List.map f  $(x\langle\alpha|ist\rangle)$ 

Compiled as:

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let mymap (condition) (f) (x : ?) = if condition then Array.map f x else List.map f x 
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```

```
if condition then Array.map f x else List.map f x Type: bool \to (\alpha \to \beta) \to ( (\alpha \operatorname{array} | \alpha \operatorname{list}) \& ?) <math>\to (\beta \operatorname{array} | \beta \operatorname{list}) Compiled as:
```

```
let mymap (condition) (f) (x : (\alpha array | \alpha list) & ?) = if condition then Array.map f (x\langle\alphaarray\rangle) else List.map f (x\langle\alphalist\rangle)
```

Cutting edge research: Gradual typing, a new perspective, POPL 19

### Outline

Polymorphism

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# Syntax and small-step semantics

## Syntax

Terms 
$$a,b$$
 ::=  $N$  Numeric constant  $\begin{vmatrix} x & Variable \\ ab & Application \\ \lambda x.a & Abstraction \end{vmatrix}$ 

Values  $v$  ::=  $\lambda x.a \mid N$ 

# Syntax and small-step semantics

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## Small step semantics for strict functional languages

Evaluation Contexts 
$$E ::= [] | Ea | vE$$

Beta
$$_{v}$$
  $(\lambda x.a) \, v o a[v/x]$   $\qquad \qquad \frac{a o b}{E[a] o E[b]}$ 

# Strategy and big-step semantics

### Characteristics of the reduction strategy

Weak reduction: We cannot reduce under  $\lambda$ -abstractions;

Call-by-value: In an application  $(\lambda x.a)b$ , the argument b must be fully reduced to a value before  $\beta$ -reduction can take place.

Left-most reduction: In an application *ab*, we must reduce *a* to a value first before we can start reducing *b*.

Deterministic: For every term a, there is at most one b such that  $a \rightarrow b$ .

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Deterministic: For every term a, there is at most one b such that  $a \rightarrow b$ .

### Big step semantics for strict functional languages

$$N \Rightarrow N$$
  $\lambda x.a \Rightarrow \lambda x.a$   $\frac{a \Rightarrow \lambda x.c \quad b \Rightarrow v_{\circ} \quad c[v_{\circ}/x] \Rightarrow v}{ab \Rightarrow v}$ 

### Interpreter

### The big step semantics induces an efficient implementation

```
type term =
  Const of int | Var of string | Lam of string * term | App of term * term
exception Error
let rec subst x v = function (* assumes v is closed *)
  | Const n -> Const n
  | Var y \rightarrow if x = y then v else Var y
  | Lam(y, b) -> if x = y then Lam(y, b) else Lam(y, subst x y b)
  | App(b, c) -> App(subst x v b, subst x v c)
let rec eval = function
  | Const n -> Const n
  | Var x -> raise Error
  | Lam(x, a) -> Lam(x, a)
   App(a, b) ->
      match eval a with
      | Lam(x, c) \rightarrow let v = eval b in eval (subst x v c)
      | _ -> raise Error
```

#### **Exercises**

- Define the small-step and big-step semantics for the call-by-name
- 2 Deduce from the latter the interpreter
- Use the technique introduced for the type 'a delayed earlier in the course to implement an interpreter with lazy evaluation.

# Improving implementation

#### **Environments**

- Implementing textual substitution a[x/v] is *inefficient*. This is why compilers and interpreters *do not* implement it.
- Alternative: record the binding  $x \mapsto v$  in an *environment e*

$$\frac{e(x) = v}{e \vdash x \Rightarrow v} \qquad e \vdash N \Rightarrow N \qquad e \vdash \lambda x.a \Rightarrow \lambda x.a$$

$$\frac{e \vdash a \Rightarrow \lambda x.c \quad e \vdash b \Rightarrow v_{\circ} \quad e; x \mapsto v_{\circ} \vdash c \Rightarrow v}{e \vdash ab \Rightarrow v}$$

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Giving up substitutions in favor of environments does not come for free

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### Giving up substitutions in favor of environments does not come for free

Lexical scoping requires careful handling of environments

```
let x = 1 in
let f = \lambda y.(x+1) in
let x = "foo" in
f = 2
```

In the environment used to evaluate f 2 the variable x is bound to 1.

### Exercise

#### Try to evaluate

```
let x = 1 in
let f = \lambda y.(x+1) in
let x = "foo" in
f = 2
```

by the big-step semantics in the previous slide, where let x = a in b is syntactic sugar for  $(\lambda x.b)a$ 

let us outline it together

### **Function closures**

To implement *lexical scoping in the presence of environments*, function abstractions  $\lambda x.a$  must not evaluate to themselves, but to a function *closure*: a pair  $(\lambda x.a)[e]$  (ie, the function and the *environment of its definition*)

### Big step semantics with environments and closures

Values 
$$v ::= N \mid (\lambda x.a)[e]$$

Environments  $e ::= x_1 \mapsto v_1; ...; x_n \mapsto v_n$ 

$$\frac{e(x) = v}{e \vdash x \Rightarrow v} \qquad e \vdash N \Rightarrow N \qquad e \vdash \lambda x.a \Rightarrow (\lambda x.a)[e]$$

$$\frac{e \vdash a \Rightarrow (\lambda x.c)[e_\circ] \quad e \vdash b \Rightarrow v_\circ \quad e_\circ; x \mapsto v_\circ \vdash c \Rightarrow v}{e \vdash ab \Rightarrow v}$$

# De Bruijn indexes

Identify variable not by names but by the number  $\underline{n}$  of  $\lambda$ 's that separate the variable from its binder in the syntax tree.

$$\lambda x.(\lambda y.yx)x$$
 is  $\lambda.(\lambda.01)0$ 

 $\underline{n}$  is the variable bound by the n-th enclosing  $\lambda$ . Environments become sequences of values, the n-th value of the sequence being the value of variable  $\underline{n-1}$ .

Terms 
$$a,b ::= N \mid \underline{n} \mid \lambda.a \mid ab$$
 $Values \quad v ::= N \mid (\lambda.a)[e]$ 
 $Environments \quad e ::= v_0; v_1; ...; v_n$ 

$$\frac{e = v_0; ...; v_n; ...; v_m}{e \vdash \underline{n} \Rightarrow v_n} \quad e \vdash N \Rightarrow N \quad e \vdash \lambda.a \Rightarrow (\lambda.a)[e]$$

$$\underline{e \vdash a \Rightarrow (\lambda.c)[e_\circ] \quad e \vdash b \Rightarrow v_\circ \quad v_\circ; e_\circ \vdash c \Rightarrow v}$$

$$\underline{e \vdash ab \Rightarrow v}$$

# The canonical, efficient interpreter

# eval [] (App ( Lam (Var 0), Const (2)));;

```
# type term = Const of int | Var of int | Lam of term | App of term * term
   and value = Vint of int | Vclos of term * environment
   and environment = value list
                                                        (* use Vec instead *)
# exception Error
# let rec eval e a =
    match a with
      Const n -> Vint n
    | Var n -> List.nth e n
                                              (* will fail for open terms *)
    | Lam a -> Vclos(Lam a, e)
    | App(a, b) ->
        match eval e a with
        | Vclos(Lam c, e') ->
            let v = eval e b in
            eval (v :: e') c
        | _ -> raise Error
                                                     (* (\lambda x.x)2 \rightarrow 2 *)
```

```
Note: To obtain improved performance one should implement environments by
persistent extensible arrays: for instance by the Vec library by Luca de Alfaro.
```

- : value = Vint 2

# Subtyping

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Simple Types

Recursive Types

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Simple Types

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# Simply Typed λ-calculus

### Syntax

Types 
$$T$$
 ::=  $T \rightarrow T$  function types  $Bool \mid Int \mid Real \mid ...$  basic types  $Terms$   $a,b$  ::=  $true \mid false \mid 1 \mid 2 \mid ...$  constants  $\mid x \quad variable \quad ab \quad application \quad \lambda x: T.a$ 

#### Reduction

Contexts 
$$C[] ::= [] \mid a[] \mid []a \mid \lambda x:T.[]$$

BETA 
$$(\lambda x:T.a)b\longrightarrow a[b/x]$$
  $CONTEXT a\longrightarrow b \over C[a]\longrightarrow C[b]$ 

### Type system

## Typing

VAR
$$\Gamma \vdash x : \Gamma(x)$$

$$\xrightarrow{} \frac{\neg INTRO}{\Gamma, x : S \vdash a : T}$$

$$\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x : S . a : S \rightarrow T}$$

$$\frac{\neg \vdash \text{LIM}}{\Gamma \vdash a : S \rightarrow T \qquad \Gamma \vdash b : S}{\Gamma \vdash ab : T}$$

(plus the typing rules for constants).

## Type system

### Typing

VAR
$$\Gamma \vdash x : \Gamma(x)$$

$$\xrightarrow{} \Gamma(x) = \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x : S.a : S \rightarrow T}$$

INTRO
$$\frac{\Gamma, x : S \vdash a : T}{\neg \lambda x : S . a : S \rightarrow T}$$

$$\xrightarrow{\rightarrow \text{ELIM}}$$

$$\frac{\Gamma \vdash a : S \rightarrow T}{\Gamma \vdash ab : T}$$

$$\frac{}{\Gamma \vdash ab : T}$$

(plus the typing rules for constants).

### Theorem (Subject Reduction)

If 
$$\Gamma \vdash a : T$$
 and  $a \longrightarrow^* b$ , then  $\Gamma \vdash b : T$ .

## Type system

### Typing

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$$\frac{\neg \mathsf{INTRO}}{\Gamma, x : S \vdash a : T} \qquad \frac{\neg \mathsf{ELIM}}{\Gamma \vdash a : S \rightarrow T} \qquad \frac{\neg \vdash \mathsf{ELIM}}{\Gamma \vdash a : S \rightarrow T} \qquad \frac{\neg \vdash \mathsf{b} : S}{\Gamma \vdash a b : T}$$

(plus the typing rules for constants).

## Theorem (Subject Reduction)

If 
$$\Gamma \vdash a : T$$
 and  $a \longrightarrow^* b$ , then  $\Gamma \vdash b : T$ .

We will essentially focus on the subject reduction property (a.k.a. type preservation), though well-typed programs must also satisfy progress:

### Theorem (Progress)

If 
$$\varnothing \vdash a : T$$
 and  $a \longrightarrow$ , then a is a value

where a value is either a constant or a lambda abstraction

$$v ::= \lambda x : T.a \mid \text{true} \mid \text{false} \mid 1 \mid 2 \mid \dots$$

# Subject Reduction + Progress = Soundness

## Soundness [Wright & Felleisen 1994]

A type system is *sound* if every well-typed expression either diverges or reduces to a value of type

Soundness is a corollary of subject reduction and progress

## Type checking algorithm

The deduction system is *syntax directed* and satisfies the *subformula property*. As such it describes a deterministic algorithm.

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```
let rec typecheck gamma = function  | x -> \text{gamma}(x)  (* Var rule *)  | \lambda x:T.a -> T \rightarrow \text{(typecheck (gamma, } x:T) a) }  (* Intro rule *)  | ab -> \text{let } T_1 \rightarrow T_2 = \text{typecheck gamma } a \text{ in }  (* Elim rule *)  | \text{let } T_3 = \text{typecheck gamma } b \text{ in }  if T_1 == T_3 then T_2 else fail
```

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The deduction system is *syntax directed* and satisfies the *subformula property*. As such it describes a deterministic algorithm.

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let rec typecheck gamma = function  | x -> \text{gamma}(x) \qquad (* \text{Var rule } *) \\ | \lambda x: T.a -> T \rightarrow (\text{typecheck (gamma, } x:T) \ a) \qquad (* \text{Intro rule } *) \\ | ab -> \text{let } T_1 \rightarrow T_2 = \text{typecheck gamma } a \text{ in} \qquad (* \text{Elim rule } *) \\ | \text{let } T_3 = \text{typecheck gamma b in} \\ | \text{if } T_1 == T_3 \text{ then } T_2 \text{ else fail}
```

**Exercise.** Write the typecheck function for the following definitions:

```
type stype = Int | Bool | Arrow of stype * stype

type term =
   Num of int | BVal of bool | Var of string
   | Lam of string * stype * term | App of term * term

exception Error
```

Use List.assoc for environments.

The rule for application requires the argument of the function to be *exactly of the same type* as the domain of the function:

$$\frac{\neg \text{ELIM}}{\Gamma \vdash a \colon S \to T \qquad \Gamma \vdash b \colon S}{\Gamma \vdash ab \colon T}$$

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 Apply a function of type Int → Int to an argument of type Odd even though every odd number is an integer number, too.

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- Apply a function of type Int → Int to an argument of type Odd even though every odd number is an integer number, too.
- If we have records, apply the function  $\lambda x: \{\ell : Int\}.(3+x.\ell)$  to a record of type  $\{\ell : Int, \ell' : Bool\}$

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- If we have records, apply the function  $\lambda x: \{\ell : Int\}.(3+x.\ell)$  to a record of type  $\{\ell : Int, \ell' : Bool\}$
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### Subtyping polymorphism

We need a kind of polymorphism different from the ML one (parametric polymorphism).

 Define a pre-order (ie, a reflexive and transitive binary relation) ≤ on types: ≤ ⊂ Types × Types (some literature uses the notation <:)</li>

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**Containment:** If  $S \le T$ , then every value of type S is also of type T.

For instance an odd number *is also* an integer, a student *is also* a person.

Sometimes called a "is\_a" relation.

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    - Sometimes called a "is\_a" relation.
  - **Substitutability:** If  $S \le T$ , then every value of type S can be *safely* used where a value of type T is expected.
    - Where "safely" means, without disrupting type preservation and progress.
- We'll see how each interpretation has a formal counterpart.

# Subtyping for simply typed $\lambda$ -calculus

 We suppose to have a predefined preorder B ⊂ Basic × Basic for basic types (given by the language designer).

```
For instance take the reflexive and transitive closure of {(Odd, Int), (Even, Int), (Int, Real)}
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# Subtyping for simply typed $\lambda$ -calculus

- We suppose to have a predefined preorder B ⊂ Basic × Basic for basic types (given by the language designer).
  - For instance take the reflexive and transitive closure of {(Odd, Int), (Even, Int), (Int, Real)}
- To extend it to function types, we resort to the sustitutability interpretation.
   We will try to deduce when we can safely replace a function of some type by a term of a different type

#### Problem

Determine for which type *S* we have  $S \leq T_1 \rightarrow T_2$ 

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  - $\Rightarrow g$  is a function, therefore  $S = S_1 o S_2$

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  - $\Rightarrow g$  is a function, therefore  $S = S_1 \to S_2$
- ② If  $a: T_1$ , then f(a) is well typed. If  $S_1 \to S_2 \le T_1 \to T_2$ , then also g(a) is well-typed. g expects arguments of type  $S_1$  but a is of type  $T_1$ 
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- f(a): T<sub>2</sub>, but since g returns results in S<sub>2</sub>, then g(a): S<sub>2</sub>. If I use g where f is expected, then it must be safe to use S<sub>2</sub> results where T<sub>2</sub> results are expected
  - $\Rightarrow S_2 \leq T_2$  must hold.

### Problem

Determine for which type *S* we have  $S \leq T_1 \rightarrow T_2$ 

Let g: S and  $f: T_1 \to T_2$ . Let us follow the **substitutability interpretation:** 

- If  $a: T_1$ , then we can apply f to a. If  $S \le T_1 \to T_2$ , then we can apply g to a, as well.
  - $\Rightarrow g$  is a function, therefore  $S = S_1 \rightarrow S_2$
- ② If  $a: T_1$ , then f(a) is well typed. If  $S_1 \to S_2 \le T_1 \to T_2$ , then also g(a) is well-typed. g expects arguments of type  $S_1$  but a is of type  $T_1 \to \infty$  we can safely use  $T_1$  where  $S_1$  is expected, ie  $T_1 < S_1$
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  - $\Rightarrow$   $S_2 \leq T_2$  must hold.

#### Solution

$$S_1 \rightarrow S_2 \leq T_1 \rightarrow T_2 \quad \Leftrightarrow \quad T_1 \leq S_1 \text{ and } S_2 \leq T_2$$

$$S_1 \rightarrow S_2 \leq T_1 \rightarrow T_2 \quad \Leftrightarrow \quad T_1 \leq S_1 \text{ and } S_2 \leq T_2$$

Notice the different orientation of containment on domains and co-domains. We say that the type constructor  $\rightarrow$  is

- covariant on codomains, since it preserves the direction of the relation;
- contravariant on domains, since it reverses the direction of the relation.

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The *containment interpretation* yields exactly the same relation as obtained by the *substitutability interpretation*. For instance a function that maps integers to integers ...

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• *is also* a function that maps integers to reals: it returns results in Int so they will be also in Real.

 $Int \rightarrow Int \le Int \rightarrow Real$  (covariance of the codomains)

$$S_1 \rightarrow S_2 \leq T_1 \rightarrow T_2 \quad \Leftrightarrow \quad T_1 \leq S_1 \text{ and } S_2 \leq T_2$$

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- *is also* a function that maps integers to reals: it returns results in Int so they will be also in Real.
  - $Int \rightarrow Int \le Int \rightarrow Real$  (covariance of the codomains)
- is also a function that maps odds to integers: when fed with integers it returns integers, so will do the same when fed with odd numbers.
   Int→Int< Odd→Int (contravariance of the codomains)</li>

Basic 
$$\frac{(B_1,B_2) \in \mathcal{B}}{B_1 \leq B_2}$$

Refl 
$$\frac{}{T < 7}$$

$$\text{Arrow } \frac{T_1 \leq S_1 \qquad S_2 \leq T_2}{S_1 \rightarrow S_2 \leq T_1 \rightarrow T_2}$$

Trans 
$$rac{T_1 \leq T_2 \qquad T_2 \leq T_3}{T_1 \leq T_3}$$

$$\mathsf{BASIC} \ \frac{(B_1, B_2) \in \mathcal{B}}{B_1 \leq B_2} \qquad \mathsf{ARROW} \ \frac{T_1 \leq S_1}{S_1 \to S_2 \leq T_1 \to T_2}$$
 
$$\mathsf{REFL} \ \frac{T}{T \leq T} \qquad \mathsf{TRANS} \ \frac{T_1 \leq T_2}{T_1 \leq T_3}$$

This system is neither syntax directed nor satisfies the subformula property

BASIC 
$$\frac{(B_1, B_2) \in \mathcal{B}}{B_1 \leq B_2}$$
 ARROW  $\frac{T_1 \leq S_1}{S_1 \rightarrow S_2 \leq T_1 \rightarrow T_2}$ 

REFL  $\frac{T_1 \leq T_2}{T_1 \leq T_3}$ 

This system is neither syntax directed nor satisfies the subformula property

How do we define an algorithm to check the subtyping relation?

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$$\mathsf{BASIC} \; \frac{\left(B_1, B_2\right) \in \mathcal{B}}{B_1 \leq B_2} \qquad \qquad \mathsf{ARROW} \; \frac{T_1 \leq S_1 \qquad S_2 \leq T_2}{S_1 \to S_2 \leq T_1 \to T_2}$$

These rules describe a deterministic and terminating algorithm (we say that the system is algorithmic).

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### Theorem (Admissibility of Refl and Trans)

In the system composed just by the rules Arrow and Basic:

- 1)  $T \le T$  is provable for all types T
- 2) If  $T_1 \leq T_2$  and  $T_2 \leq T_3$  are provable, so is  $T_1 \leq T_3$ .

The rules Refl and Trans are admissible

We defined the subtyping relation and we know how to decide it. How do we use it for typing our programs?

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VAR
$$\Gamma \vdash x : \Gamma(x) \qquad \frac{\neg \text{INTRO}}{\Gamma, x : S \vdash a : T} \qquad \frac{\neg \text{ELIM}}{\Gamma \vdash a : S \rightarrow T} \qquad \frac{\neg \vdash b : S}{\Gamma \vdash a : S \rightarrow T}$$

We defined the subtyping relation and we know how to decide it. How do we use it for typing our programs?

VAR
$$\Gamma \vdash x : \Gamma(x) \qquad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x : S . a : S \rightarrow T} \qquad \frac{\neg ELIM}{\Gamma \vdash a : S \rightarrow T} \qquad \frac{\Gamma \vdash a : S \rightarrow T}{\Gamma \vdash a : T}$$

$$\frac{SUBSUMPTION}{\Gamma \vdash a : T}$$

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SUBSUMPTION
$$\frac{\Gamma \vdash a : S \qquad S \leq T}{\Gamma \vdash a : T}$$

This corresponds to the *containment relation*:

if  $S \le T$  and a is of type S then a is also of type T

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\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x : S . a : S \rightarrow T} = 
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\frac{\Gamma \vdash a : S \rightarrow T}{\Gamma \vdash a : T}$$
SUBSUMPTION
$$\frac{\Gamma \vdash a : S}{\Gamma \vdash a : T}$$

This corresponds to the *containment relation*:

if  $S \le T$  and a is of type S then a is also of type T

Subject reduction: If  $\Gamma \vdash a : T$  and  $a \longrightarrow^* b$ , then  $\Gamma \vdash b : T$ . Progress property: If  $\varnothing \vdash a : T$  and  $a \longrightarrow^*$ , then a : a : T and b : a : T.

# Typing algorithm

VAR
$$\Gamma \vdash x : \Gamma(x) = \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x : S . a : S \to T}$$

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$$\Gamma \vdash a : T$$

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$$\Gamma \vdash x : \Gamma(x) = \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x : S . a : S \to T}$$

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$$\frac{\Gamma \vdash a : S \to T \qquad \Gamma \vdash b : S}{\Gamma \vdash a : T}$$

Subsumption makes the type system non-algorithmic:

- it is not syntax directed: subsumption can be applied whatever the term.
- it does not satisfy the subformula property: even if we know that we have to apply subsumption which T shall we choose?

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$$\begin{array}{c}
\mathsf{VAR} \\
\Gamma \vdash_{\mathcal{A}} x : \Gamma(x) \\
\hline
\Gamma \vdash_{\mathcal{A}} \lambda x : S \vdash_{\mathcal{A}} a : T \\
\hline
\Gamma \vdash_{\mathcal{A}} \lambda x : S . a : S \to T
\end{array}
\qquad
\begin{array}{c}
\xrightarrow{\mathsf{ELIM} \leq} \\
\Gamma \vdash_{\mathcal{A}} a : S \to T \\
\hline
\Gamma \vdash_{\mathcal{A}} a : S \to T
\end{array}
\qquad
\begin{array}{c}
\vdash_{\mathcal{A}} b : U \\
\hline
\Gamma \vdash_{\mathcal{A}} b : T
\end{array}$$

$$\begin{array}{c}
\mathsf{SUBSUMPTION} \\
\Gamma \vdash_{\mathcal{A}} : S \to T
\end{array}
\qquad
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- The system is algorithmic: it describes a typing algorithm (exercise: program typecheck and subtype by using the previous structures)
- The system conforms the substitutability interpretation: we use an expression of a subtype U where a supertype S is expected (note "use" = elimination rule).

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- The system is algorithmic: it describes a typing algorithm (exercise: program typecheck and subtype by using the previous structures)
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#### How do we relate the two systems?

For subtyping, admissibility ensured that the system and the algorithm prove the same judgements. Here it is no longer true. For instance:

$$\varnothing \vdash \lambda x \cdot \mathsf{Int.} \ x \cdot \mathsf{Odd} \to \mathsf{Real}$$

$$\varnothing \vdash \lambda x : \mathtt{Int}.x : \mathtt{Odd} \to \mathtt{Real}$$
 but  $\varnothing \nvdash_{\mathscr{A}} \lambda x : \mathtt{Int}.x : \mathtt{Odd} \to \mathtt{Real}.$ 

$$\begin{array}{c} \mathsf{VAR} \\ \Gamma \vdash_{\mathcal{A}} x : \Gamma(x) \end{array} \xrightarrow{\begin{array}{c} \rightarrow \mathsf{INTRO} \\ \Gamma, x : S \vdash_{\mathcal{A}} a : T \\ \Gamma \vdash_{\mathcal{A}} \lambda x : S . a : S \rightarrow T \end{array}} \xrightarrow{\begin{array}{c} \rightarrow \mathsf{ELIM}_{\leq} \\ \Gamma \vdash_{\mathcal{A}} a : S \rightarrow T \end{array}} \xrightarrow{\Gamma \vdash_{\mathcal{A}} b : U \qquad U \leq S}$$

- The system is algorithmic: it describes a typing algorithm (exercise: program typecheck and subtype by using the previous structures)
- The system conforms the substitutability interpretation: we use an expression of a subtype U where a supertype S is expected (note "use" = elimination rule).

#### How do we relate the two systems?

For subtyping, admissibility ensured that the system and the algorithm prove the same judgements. Here it is no longer true. For instance:

$$\varnothing \vdash \lambda x : \mathtt{Int}.x : \mathtt{Odd} \to \mathtt{Real} \qquad \mathtt{but} \qquad \varnothing \not\vdash_{\mathcal{A}} \lambda x : \mathtt{Int}.x : \mathtt{Odd} \to \mathtt{Real}.$$

**This is expected:** Algorithm = one type returned for each typable term.

# Soundness and completeness of the typing algorithm

$$a$$
 is typable by  $\vdash \Leftrightarrow a$  is typable by  $\vdash_{\mathcal{A}}$ 

 $\Leftarrow$  = soundness

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#### Theorem (Soundness)

If  $\Gamma \vdash_{\mathcal{A}} a : T$ , then  $\Gamma \vdash a : T$ 

### Theorem (Completeness)

If  $\Gamma \vdash a : T$ , then  $\Gamma \vdash_{\mathcal{A}} a : S$  with  $S \leq T$ 

# Minimum type and soundness

### Corollary (Minimum type)

If  $\Gamma \vdash_{\mathcal{A}} a : T \text{ then } T = \min\{S \mid \Gamma \vdash a : S\}$ 

Proof. Let  $S = \{S \mid \Gamma \vdash a : S\}$ . Soundness ensures that S is not empty.

Completeness states that  $\mathcal{T}$  is a lower bound of  $\mathcal{S}$ . Minimality follows by using soundness once more.

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The corollary above explains that the typing algorithm works with the minimum types of the terms. It keeps track of the best type information available

### Theorem (Algorithmic subject reduction)

If 
$$\Gamma \vdash_{\mathcal{A}} a : T$$
 and  $a \longrightarrow^* b$ , then  $\Gamma \vdash_{\mathcal{A}} b : S$  with  $S \leq T$ .

The theorem above explains that the computation reduces the minimum type of a program. As such it increases the type information about it.

# Summary for simply-typed $\lambda$ -calculs + $\leq$

- The containment interpretation of the subtyping relation corresponds to the "logical" view of the type system embodied by subsumption.
- The *substitutability* interpretation of the subtyping relation corresponds to the "algorithmic" view of the type system.

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- The *substitutability* interpretation of the subtyping relation corresponds to the "algorithmic" view of the type system.
- To define the type system one usually starts from the "logical" system, which is simpler since subtyping is concentrated in the subsumption rule
- To implement the type system one passes to the substitutability view.
   Subsumption is eliminated and the check of the subtyping relation is distributed in the places where values are used/consumed. This in general corresponds to embed subtype checking into elimination rules.

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   Subsumption is eliminated and the check of the subtyping relation is distributed in the places where values are used/consumed. This in general corresponds to embed subtype checking into elimination rules.
- The obtained algorithm works on the *minimum types* of the logical system
- Computation reduces the (algorithmic) type thus increasing type information (the result of a computation represents the best possible type information: it is the *singleton type* containing the result).
- The last point makes dynamic dispatch (aka, dynamic binding) meaningful.

#### Products I

#### Syntax

#### Reduction

$$\pi_i((a_1,a_2)) \longrightarrow a_i \qquad (i=1,2)$$

#### **Typing**

#### Products II

#### Subtyping

$$\begin{aligned} & \frac{\mathsf{PROD}}{S_1 \leq T_1} & S_2 \leq T_2 \\ & \frac{S_1 \times S_2 \leq T_1 \times T_2} \end{aligned}$$

**Exercise:** Check whether the above rule is compatible with the containement and/or the substitutability interpretation of the subtyping relation.

The subtyping rule above is also algorithmic. Similarly, for the typing rules there is no need to embed subtyping in the elimination rules since  $\pi_i$  is an operator that works on all products, not a particular one (*cf.* with the application of a function, which requires a particular domain).

Of course subject reduction and progress still hold.

Exercise: Define values and reduction contexts for this extension.

#### Records

Up to now subtyping rules « lift » the subtyping relation  $\mathcal B$  on basic types to constructed types. But if  $\mathcal B$  is the identity relation, so is the whole subtyping relation. Record subtyping is non-trivial even when  $\mathcal B$  is the identity relation. Syntax

$$\begin{array}{lll} \textit{Types} & \textit{T} & ::= & \ldots \mid \{\ell: \textit{T}, \ldots, \ell: \textit{T}\} & \text{record types} \\ \textit{Terms} & \textit{a}, \textit{b} & ::= & \ldots \\ & \mid & \{\ell = \textit{a}, \ldots, \ell = \textit{a}\} & \text{record} \\ & \mid & \textit{a}.\ell & \text{field selection} \\ \end{array}$$

#### Reduction

$$\{...,\ell=a,...\}.\ell\longrightarrow a$$

#### **Typing**

$$\begin{array}{ll} \text{\{}\text{FINTRO} & & \text{\{}\}\text{ELIM} \\ \hline \Gamma \vdash a_1 : T_1 \ldots \Gamma \vdash a_n : T_n & & \overline{\Gamma \vdash a : \{...,\ell : T,...\}} \\ \hline \Gamma \vdash \{\ell_1 = a_1,...,\ell_n = a_n\} : \ \{\ell_1 : T_1,...,\ell_n : T_n\} & & \overline{\Gamma \vdash a : \{...,\ell : T,...\}} \end{array}$$

# Record Subtyping

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We can replace some record by a record of different type if in the latter we can select the same fields as in the former and their contents can substitute the respective contents in the former.

#### Subtyping

$$\frac{\mathcal{S}_1 \leq \mathcal{T}_1 \ ... \ \mathcal{S}_n \leq \mathcal{T}_n}{\{\ell_1 : \mathcal{S}_1, ..., \ell_n : \mathcal{S}_n, ..., \ell_{n+k} : \mathcal{S}_{n+k}\} \leq \{\ell_1 : \mathcal{T}_1, ..., \ell_n : \mathcal{T}_n\}}$$

Exercise. Which are the algorithmic typing rules?

### Outline

Simple Types

Recursive Types

Bibliography

### Iso-recursive and Equi-recursive types

Lists are a classic example of recursive types:

$$X \approx (\operatorname{Int} \times X) \vee \operatorname{Nil}$$
 also written as  $\mu X.((\operatorname{Int} \times X) \vee \operatorname{Nil})$ 

Two different approaches according to whether  $\approx$  is interpreted as an isomorphism or an equality:

Iso-recursive types:  $\mu X.((\operatorname{Int} \times X) \vee \operatorname{Nil})$  is considered *isomorphic* to its one-step unfolding  $(\operatorname{Int} \times \mu X.((\operatorname{Int} \times X) \vee \operatorname{Nil})) \vee \operatorname{Nil})$ . Terms include a pair of built-in coercion functions for each recursive type  $\mu X.T$ :

unfold 
$$:\mu X.T \to T[\mu X.T/X]$$
 fold  $:T[\mu X.T/X] \to \mu X.T$ 

Equi-recursive types:  $\mu X.((\operatorname{Int} \times X) \vee \operatorname{Nil})$  is considered *equal* to its one-step unfolding  $(\operatorname{Int} \times \mu X.((\operatorname{Int} \times X) \vee \operatorname{Nil})) \vee \operatorname{Nil})$ . The two types are completely interchangeable. No support needed from terms.

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Equi-recursive types:  $\mu X.((\operatorname{Int} \times X) \vee \operatorname{Nil})$  is considered *equal* to its one-step unfolding (Int  $\times \mu X$ .((Int  $\times X$ )  $\vee$  Nil))  $\vee$  Nil). The two types are completely interchangeable. No support needed from terms.

Subtyping for recursive types generalizes the equi-recursive approach.

The  $\approx$  relation corresponds to subtyping in both directions:

$$\mu X.T < T[\mu X.T/X]$$
  $T[\mu X.T/X] < \mu X.T$ 

$$T[\mu X.T/X] \leq \mu X.7$$

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interpret the type above as the *finite* lists of integers.

Then  $\mu X$ .(Int  $\times X$ ) is the empty type.

- Actually if you have recursive terms and allow infinite values you can easily jeopardize decidability of the subtyping relation (which resorts to checking type emptiness)
- This contrasts with their intuition which looks simple: we always informally applied a rule such as:

$$\frac{A, X \le Y \vdash S \le T}{A \vdash \mu X.S \le \mu Y.T}$$

#### Syntax

Types 
$$T$$
 ::= Any top type  $\mid T \rightarrow T \mid$  function types  $\mid T \times T \mid$  product types  $\mid X \mid$  type variables  $\mid \mu X.T \mid$  recursive types

where *T* is *contractive*, that is (two equivalent definitions):

- **1** T is contractive iff for every subexpression  $\mu X.\mu X_1...\mu X_n.S$  it holds  $S \neq X$ .
- T is contractive iff every type variable X occurring in it is separated from its binder by a → or a ×.

The subtyping relation is defined COINDUCTIVELY by the rules

Top 
$$\frac{S_1 \leq T_1}{T \leq \text{Any}}$$
 Prod  $\frac{S_1 \leq T_1}{S_1 \times S_2 \leq T_1 \times T_2}$  Arrow  $\frac{T_1 \leq S_1}{S_1 \to S_2 \leq T_1 \to T_2}$ 

$$\text{Unfold Left } \frac{S[\mu X.S/X] \leq T}{\mu X.S \leq T} \qquad \qquad \text{Unfold Right } \frac{S \leq T[\mu X.T/X]}{S \leq \mu X.T}$$

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#### Coinductive definition

- Why coinduction?
- Why no reflexivity/transitivity rules?
- **3** Why no rule to compare two  $\mu$ -types?

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#### Coinductive definition

- Why coinduction?
- Why no reflexivity/transitivity rules?
- **3** Why no rule to compare two  $\mu$ -types?

#### Short answers (more detailed answers to come):

- Because we compare infinite expansions
- Because it would be unsound
- Useless since obtained by coinduction and unfold

# Example of coinductive derivation

$$\begin{array}{l} \text{ARROW} \\ \text{UNFOLD RIGHT} \\ \hline \text{UNFOLD LEFT} \\ \hline \frac{\text{Int} \rightarrow (\mu X. \text{Int} \rightarrow X) \leq \text{Even} \rightarrow (\mu Y. \text{Even} \rightarrow Y)}{\text{Int} \rightarrow (\mu X. \text{Int} \rightarrow X) \leq \mu Y. \text{Even} \rightarrow Y} \\ \hline \\ \mu X. \text{Int} \rightarrow X \leq \mu Y. \text{Even} \rightarrow Y \\ \hline \\ \mu X. \text{Int} \rightarrow X \leq \mu Y. \text{Even} \rightarrow Y \\ \hline \end{array}$$

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#### Notice the use of coinduction

Let  $A \subset \mathit{Types} \times \mathit{Types}$ 

$$\overline{A \vdash S \leq T} \quad (S,T) \in A$$

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$$\frac{A' \vdash S_1 \leq T_1 \qquad A' \vdash S_2 \leq T_2}{A \vdash S_1 \times S_2 \leq T_1 \times T_2} \quad A' = A \cup (S_1 \times S_2, T_1 \times T_2); A \neq A'$$

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# Amadio and Cardelli's subtyping algorithm

#### The rest is similar

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# **Properties**

# Theorem (Soundness and Completeness)

Let S and T be closed types.  $S \le T$  belongs the relation coinductively defined by the rules on slide 55 if and only if  $\varnothing \vdash S \le T$  is provable

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To see the proof of the above theorem you can refer to the following reference Pierce et al. Recursive types revealed, Journal of Functional Programming, 12(6):511-548, 2002.

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Notice that the algorithm above is exponential. We will show how to define an  $O(n^2)$  algorithm to decide  $S \le T$ , where n is the total number of different subexpressions of  $S \le T$ .

#### Intuition

Given a deduction system, it characterizes two possible distinct sets (of provable judgements) according to whether an inductive or a coinductive approach is used.

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Let  $\mathcal F$  be a deduction system on a universe  $\mathcal U$  (i.e. a monotone function from  $\mathcal P(\mathcal U)$  to  $\mathcal P(\mathcal U)$ ). A set  $X\in\mathcal P(\mathcal U)$  is:

 ${\mathcal F}$ -closed if it contains all the elements that can be deduced by  ${\mathcal F}$  with hypothesis in X.

 $\mathcal{F}$ -consistent if every element of X can be deduced by  $\mathcal{F}$  from other elements in X.

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Given a deduction system, it characterizes two possible distinct sets (of provable judgements) according to whether an inductive or a coinductive approach is used.

Let  $\mathcal F$  be a deduction system on a universe  $\mathcal U$  (i.e. a monotone function from  $\mathcal P(\mathcal U)$  to  $\mathcal P(\mathcal U)$ ). A set  $X\in\mathcal P(\mathcal U)$  is:

 ${\mathcal F}$ -closed if it contains all the elements that can be deduced by  ${\mathcal F}$  with hypothesis in X.

 $\mathcal{F}$ -consistent if every element of X can be deduced by  $\mathcal{F}$  from other elements in X.

#### Induction and coinduction

A deduction system

- *inductively* defines the least  $\mathcal{F}$ -closed set
- ullet coinductively defines the greatest  ${\mathcal F}$ -consistent set

**induction:** start from  $\emptyset$ , add all the consequences of the deduction system, and iterate.

**coinduction:** start from  $\mathcal{U}$ , remove all elements that are not consequence of other elements, and iterate.

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### Observation

In all the (algorithimic, ie without refl and trans) subtyping system met so far, the two coincide. This is not true in general, due to the presence of *self-justifying sets*, that is sets in which the deductions do not start just by axioms.

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#### **Example:**

$$\mathcal{U} = \{a, b, c, d, e, f, g\}$$

**induction:** start from  $\emptyset$ , add all the consequences of the deduction system, and iterate.

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#### **Example:**

$$U = \{a, b, c, d, e, f, g\}$$

$$\bar{d}$$

$$\frac{f}{g}$$

Inductively:

**induction:** start from  $\emptyset$ , add all the consequences of the deduction system, and iterate.

**coinduction:** start from  $\mathcal{U}$ , remove all elements that are not consequence of other elements, and iterate.

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$$U = \{a, b, c, d, e, f, g\}$$

Inductively:

$$\{d, e\}$$

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### **Example:**

$$\mathcal{U} = \{a, b, c, d, e, f, g\}$$
 
$$\frac{a}{b} \quad \frac{b}{c} \quad \frac{c}{a} \quad \frac{d}{d} \quad \frac{d}{e}$$

# Inductively:

$$\{d,e\}$$

**induction:** start from  $\emptyset$ , add all the consequences of the deduction system, and iterate.

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#### **Example:**

$$\mathcal{U} = \{a, b, c, d, e, f, g\}$$

$$egin{array}{cccc} a & b & c \ b & c & a \end{array}$$

Inductively:

Coinductively:

$$\{d,e\}$$

$$\{a,b,c,d,e,f,g\}=\mathcal{U}$$

**induction:** start from  $\emptyset$ , add all the consequences of the deduction system, and iterate.

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**induction:** start from  $\emptyset$ , add all the consequences of the deduction system, and iterate.

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#### **Example:**

$$\mathcal{U} = \{a, b, c, d, e, f, g\}$$

$$\bar{d}$$

 $\frac{1}{g}$ 

Inductively:  $\{d, e\}$ 

Coinductively:

$$\{a, b, c, d, e, g\}$$

**induction:** start from  $\emptyset$ , add all the consequences of the deduction system, and iterate.

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### **Example:**

$$\mathcal{U} = \{a, b, c, d, e, f, g\}$$

G. Castagna (CNRS)

$$\bar{d}$$

Inductively:  $\{d, e\}$ 

Coinductively:

 $\{a, b, c, d, e, g\}$ 

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#### **Example:**

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**induction:** start from  $\emptyset$ , add all the consequences of the deduction system, and iterate.

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### **Example:**

$$\mathcal{U} = \{a, b, c, d, e, f, g\}$$

$$\bar{d}$$

$$\frac{1}{g}$$

Inductively: { d, e}

Coinductively:  $\{a, b, c, d, e\}$ 

Self-justifying set:  $\{a, b, c\}$ 

# **Exercises**

lacktriangle Let  $\mathcal{U}=\mathbb{Z}$  and take as deduction system all the instances of the rule

$$\frac{n}{n+1}$$

for  $n \in \mathbb{Z}$ . Which are the sets inductively and coinductively defined by it?

- 2 Same question but with  $U = \mathbb{N}$ .

$$\frac{(m,n) \qquad (n,o)}{(m,o)}$$

for  $m, n, o \in \mathbb{N}$ 

We want to use  $S = \mu X$ . Int  $\to X$  where  $T = \mu Y$ . Even  $\to Y$  is expected.

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Use the substitutability interpretation.

Let e: T then e:

- waits for an Even number,
- fed by an Even number returns a function that behaves similarly: (1) wait for an Even ...

We want to use  $S = \mu X$ . Int  $\to X$  where  $T = \mu Y$ . Even  $\to Y$  is expected.

Use the substitutability interpretation.

Let e: T then e:

- waits for an Even number,
- e fed by an Even number returns a function that behaves similarly: (1) wait for an Even ...

Now consider f: S, then f:

- waits for an Int number,
- fed by an Int (or a Even) number returns a function that behaves similarly: (1) wait for ...

We want to use  $S = \mu X$ . Int  $\to X$  where  $T = \mu Y$ . Even  $\to Y$  is expected.

Use the substitutability interpretation.

Let e: T then e:

- waits for an Even number,
- fed by an Even number returns a function that behaves similarly: (1) wait for an Even ...

Now consider f: S, then f:

- waits for an Int number,
- fed by an Int (or a Even) number returns a function that behaves similarly: (1) wait for ...

S and T are in subtyping relation because their infinite expansions are in subtyping relation.

$$S \le T \implies \text{Int} \to S \le \text{Even} \to T \implies S \le T \land \text{Even} \le \text{Int}$$

This is exactly the proof we saw at the beginning:

ARROW UNFOLD RIGHT 
$$\frac{\text{Even} \leq \text{Int} \qquad \overbrace{\mu X. \text{Int} \to X}^{S} \leq \overbrace{\mu Y. \text{Even} \to Y}^{T}}{\frac{\text{Int} \to (\mu X. \text{Int} \to X) \leq \text{Even} \to (\mu Y. \text{Even} \to Y)}{\text{UNFOLD LEFT}}}$$

$$\frac{\text{Int} \to (\mu X. \text{Int} \to X) \leq \mu Y. \text{Even} \to Y}{\underbrace{\mu X. \text{Int} \to X} \leq \underbrace{\mu Y. \text{Even} \to Y}_{T}}$$

This is exactly the proof we saw at the beginning:

$$\begin{array}{l} \text{ARROW} \\ \text{UNFOLD RIGHT} \\ \hline \text{UNFOLD LEFT} \\ \hline \frac{\text{Int} \rightarrow (\mu X. \text{Int} \rightarrow X) \leq \text{Even} \rightarrow (\mu Y. \text{Even} \rightarrow Y)}{\text{Int} \rightarrow (\mu X. \text{Int} \rightarrow X) \leq \mu Y. \text{Even} \rightarrow Y} \\ \hline \\ \frac{\mu X. \text{Int} \rightarrow X}{S} \leq \frac{\mu Y. \text{Even} \rightarrow Y}{T} \\ \hline \end{array}$$

### Coinduction

 $S \le T$  is not an axiom but  $\{S \le T, \text{ Even } \le \text{Int}\}$  is a *self-justifying set*.

This is exactly the proof we saw at the beginning:

#### Coinduction

 $S \le T$  is not an axiom but  $\{S \le T, \text{ Even} \le \text{Int}\}$  is a *self-justifying set*.

### Observation:

- The deduction above shows why a specific rule for  $\mu$  is useless (apply consecutively the two unfold rules).
- ② If we added reflexivity and/or transitivity rules, then  $\mathcal{U}$  would be  $\mathcal{F}$ -consistent (*cf.* the third exercise on slide 61).

$$subtype(A, S, T) = if(S, T) \in A then A else$$

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$$\text{let } A_0 = A \cup \{(S,T)\} \text{ in}$$
 
$$\text{if } T = \text{Any then } A_0$$

$$subtype(A,S,T) = if (S,T) \in A \text{ then } A \text{ else}$$

$$let A_0 = A \cup \{(S,T)\} \text{ in}$$

$$if T = Any \text{ then } A_0$$

$$else if S = S_1 \times S_2 \text{ and } T = T_1 \times T_2 \text{ then}$$

$$subtype(subtype(A_0,S_1,T_1),S_2,T_2)$$

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$$subtype(A,S,T) = \text{ if } (S,T) \in A \text{ then } A \text{ else} \\ \text{ let } A_0 = A \cup \{(S,T)\} \text{ in } \\ \text{ if } T = \text{Any then } A_0 \\ \text{ else if } S = S_1 \times S_2 \text{ and } T = T_1 \times T_2 \text{ then } \\ subtype(subtype(A_0,S_1,T_1),S_2,T_2) \\ \text{ else if } S = S_1 \to S_2 \text{ and } T = T_1 \to T_2 \text{ then } \\ subtype(subtype(Subtype(A_0,T_1,S_1),S_2,T_2)) \\ \text{ else if } T = \mu X.T_1 \text{ then } \\ subtype(A_0,S,T_1[\mu X.T_1/X]) \\ \end{cases}$$

$$subtype(A,S,T) = \quad \text{if } (S,T) \in A \text{ then } A \text{ else} \\ \text{let } A_0 = A \cup \{(S,T)\} \text{ in} \\ \text{if } T = \text{Any then } A_0 \\ \text{else if } S = S_1 \times S_2 \text{ and } T = T_1 \times T_2 \text{ then} \\ subtype(subtype(A_0,S_1,T_1),S_2,T_2) \\ \text{else if } S = S_1 \to S_2 \text{ and } T = T_1 \to T_2 \text{ then} \\ subtype(subtype(A_0,T_1,S_1),S_2,T_2) \\ \text{else if } T = \mu X.T_1 \text{ then} \\ subtype(A_0,S,T_1[\mu X.T_1/X]) \\ \text{else if } S = \mu X.S_1 \text{ then} \\ subtype(A_0,S_1[\mu X.S_1/X],T) \\ \end{cases}$$

A naive implementation of the Amadio-Cardelli algorithm is exponential (why?). If we "thread" the computation of the memoization environments we obtain a quadratic complexity. This is done as follows:

$$subtype(A,S,T) = \quad \text{if } (S,T) \in A \text{ then } A \text{ else} \\ \text{let } A_0 = A \cup \{(S,T)\} \text{ in} \\ \text{if } T = \text{Any then } A_0 \\ \text{else if } S = S_1 \times S_2 \text{ and } T = T_1 \times T_2 \text{ then} \\ subtype(subtype(A_0,S_1,T_1),S_2,T_2) \\ \text{else if } S = S_1 \rightarrow S_2 \text{ and } T = T_1 \rightarrow T_2 \text{ then} \\ subtype(subtype(A_0,T_1,S_1),S_2,T_2) \\ \text{else if } T = \mu X.T_1 \text{ then} \\ subtype(A_0,S,T_1[\mu X.T_1/X]) \\ \text{else if } S = \mu X.S_1 \text{ then} \\ subtype(A_0,S_1[\mu X.S_1/X],T) \\ \text{else fail}$$

#### Compare the previous algorithm with the Amadio-Cardelli algorithm:

$$\overline{A \vdash S \leq T} (S,T) \in A$$

$$\overline{A \vdash S \leq \operatorname{Any}} (S,\operatorname{Any}) \notin A$$

$$\frac{A' \vdash S_1 \leq T_1 \qquad A' \vdash S_2 \leq T_2}{A \vdash S_1 \times S_2 \leq T_1 \times T_2} A' = A \cup (S_1 \times S_2, T_1 \times T_2); A \neq A'$$

$$\frac{A' \vdash T_1 \leq S_1 \qquad A' \vdash S_2 \leq T_2}{A \vdash S_1 \to S_2 \leq T_1 \to T_2} A' = A \cup (S_1 \to S_2, T_1 \to T_2); A \neq A'$$

$$\frac{A' \vdash S[\mu X.S/X] \leq T}{A \vdash \mu X.S \leq T} A' = A \cup (\mu X.S, T); A \neq A'; T \neq \operatorname{Any}$$

$$\frac{A' \vdash S \leq T[\mu X.T/X]}{A \vdash S \leq \mu X.T} A' = A \cup (S, \mu X.T); A \neq A'; S \neq \mu Y.U$$

#### They both check containment in the relation coinductively defined by:

TOP 
$$\frac{S_1 \leq T_1}{S_1 \times S_2 \leq T_1 \times T_2}$$
 ARROW  $\frac{T_1 \leq S_1}{S_1 \to S_2 \leq T_1 \to T_2}$ 

$$\text{Unfold Left } \frac{\mathcal{S}[\mu X.\mathcal{S}/X] \leq T}{\mu X.\mathcal{S} \leq T} \qquad \qquad \text{Unfold Right } \frac{\mathcal{S} \leq T[\mu X.T/X]}{\mathcal{S} \leq \mu X.T}$$

But the former is far more efficient.

### Outline

Simple Types

6 Recursive Types

6 Bibliography

#### References



R. Amadio and L. Cardelli. Subtyping recursive types. ACM Transactions on Programming Languages and Systems, 14(4):575-631, 1993.



Pierce et al. Recursive types revealed, Journal of Functional Programming, 12(6):511-548, 2002.

# Parametric polymorphism

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## Monomorphic calculus

Types
$$T$$
::=Bool | Int | Real | ...basic types| $T \rightarrow T$ function typesTerms $a,b$ ::=true | false | 1 | 2 | ...constants| $x$ variable| $ab$ application| $\lambda x$ :  $T$ .  $a$ abstraction|let  $x$ :  $T$  =  $a$  in  $b$ let

$$\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash x : \Gamma(x)} \qquad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x : S . a : S \to T} \qquad \frac{\Gamma \vdash a : S \to T \qquad \Gamma \vdash b : S}{\Gamma \vdash ab : T}$$

$$\frac{\Gamma \vdash a : S \quad \Gamma, x : S \vdash b : T}{\Gamma \vdash \text{let } x : S = a \text{ in } b : T}$$

## Parametric polymorphism

It is a pity to use the identity function just with a single type.

let 
$$f: Int \rightarrow Int = \lambda x: Int.x$$
 in  $b$ 

In particular, if we get rid of type annotations we see that the identity function can be given several different types.

$$\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash x : \Gamma(x)} \qquad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x . a : S \to T} \qquad \frac{\Gamma \vdash a : S \to T \qquad \Gamma \vdash b : S}{\Gamma \vdash ab : T}$$

$$\frac{\Gamma \vdash a : S \quad \Gamma, x : S \vdash b : T}{\Gamma \vdash \text{let } x = a \text{ in } b : T}$$

In particular,  $\lambda x.x$  can be given all the types of the form  $T \to T$  for every T.

## Parametric polymorphism

We extend the syntax of types

We add to the previous rules these two rules

$$\frac{\Gamma \vdash a : T \quad \alpha \not\in \mathsf{fv}(\Gamma)}{\Gamma \vdash a : \forall \alpha. T} \qquad \frac{\Gamma \vdash a : \forall \alpha. T}{\Gamma \vdash a : T[S/\alpha]}$$

The resulting system is called System F (Girard/Reynolds)

We can for instance derive

$$\lambda x.xx: (\forall \alpha.\alpha \rightarrow \alpha) \rightarrow (\forall \alpha.\alpha \rightarrow \alpha)$$

and supposing we have pairs:

let 
$$f = \lambda x.x$$
 in  $(f3, f\text{true}): \text{Int} \times \text{Bool}$ 

#### Remark

The condition  $\alpha \notin \text{fv}(\Gamma)$  in the rule

$$\frac{\Gamma \vdash a : T \quad \alpha \not\in \mathsf{fv}(\Gamma)}{\Gamma \vdash a : \forall \alpha . T}$$

is crucial ... without it we can derive

$$\frac{x:\alpha\vdash x:\alpha}{x:\alpha\vdash\forall\alpha.\alpha}$$
$$\frac{\vdash \lambda x.x:\alpha\rightarrow(\forall\alpha.\alpha)}{\vdash \lambda x.x:\alpha\rightarrow(\forall\alpha.\alpha)}$$

and therefore type, for instance,  $(\lambda x.x)$ 12 with any type we wish

#### Bad news

For terms without type anotations the problems:

- type inference: given an expression a find if there exists a type T such that a: T
- type checking: given and expression a and a type T check whether a: T holds

are both undecidable

(J. B. Wells. *Typability and type checking in the second-order lambda-calculus are equivalent and undecidable*, 1994.)

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Solution 1: use explicit type abstractions and instantiations (e.g., generics) Solution 2: restrict the power of the system (e.g., Hindley-Milner)

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Solution 1: use explicit type abstractions and instantiations (e.g., generics) Solution 2: restrict the power of the system (e.g., Hindley-Milner)

#### Hindley-Milner

We restrict the power of System F to have decidable type inference and type checking

(used in OCaml, SML, Haskell, etc ...)

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The quantification can only be prenex:

A type environment  $\Gamma$  now maps variable to *schemas*, and typing judgement have the form  $\Gamma \vdash a$ :  $\sigma$ 

The following types (schemas) are ok:

$$\begin{array}{l} \forall \alpha.\alpha \rightarrow \alpha \\ \forall \alpha.\forall \beta.(\alpha \times \beta) \rightarrow \alpha \\ \forall \alpha. \texttt{Bool} \rightarrow \alpha \rightarrow \alpha \rightarrow \alpha \\ \forall \alpha.(\alpha \rightarrow \alpha) \rightarrow \alpha \end{array}$$

but the following type is not longer allowed:

$$(\forall \alpha.\alpha \rightarrow \alpha) \rightarrow (\forall \alpha.\alpha \rightarrow \alpha)$$

$$\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash x : \Gamma(x)} \qquad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x. a : S \to T} \qquad \frac{\Gamma \vdash a : S \to T \qquad \Gamma \vdash b : S}{\Gamma \vdash ab : T}$$

$$\frac{\Gamma \vdash a : \sigma_1 \qquad \Gamma, x : \sigma_1 \vdash b : \sigma_2}{\Gamma \vdash \text{let } x = a \text{ in } b : \sigma_2} \qquad \frac{\Gamma \vdash a : T \quad \alpha \not\in \text{fv}(\Gamma)}{\Gamma \vdash a : \forall \alpha. T} \qquad \frac{\Gamma \vdash a : \forall \alpha. T}{\Gamma \vdash a : T[S/\alpha]}$$

Notice that the rule for let is the (only) rule that introduce a polymorphic type in the type environment.

$$\frac{\Gamma \vdash a : \sigma_1 \quad \Gamma, x : \sigma_1 \vdash b : \sigma_2}{\Gamma \vdash \text{let } x = a \text{ in } b : \sigma_2}$$

Thanks to this we can for instance type

let 
$$f = \lambda x.x$$
 in  $(ff)(f1)$ 

with  $f: \forall \alpha.\alpha \to \alpha$  in the context to type (ff)(f1) in order to use three times the instantiation rule for the type schema:

$$\frac{f: \forall \alpha.\alpha \to \alpha \vdash f: \forall \alpha.\alpha \to \alpha}{f: \forall \alpha.\alpha \to \alpha \vdash f: (\alpha \to \alpha)[T/\alpha]}$$

where T is respectively for each occurrence of f, (Int  $\rightarrow$  Int)  $\rightarrow$  Int  $\rightarrow$  Int, Int, and Int.

On the contrary the rule for abstractions does not introduce in the environment a schema, but just a type

$$\frac{\Gamma, \mathbf{x} : \mathbf{S} \vdash \mathbf{a} : T}{\Gamma \vdash \lambda \mathbf{x}.\mathbf{a} : \mathbf{S} \rightarrow T}$$

otherwise  $S \rightarrow T$  would not be well formed.

In particular,

$$\lambda x.xx$$

is no longer typeable, while

let 
$$f = \lambda x.x$$
 in  $ff$ 

is still typeable.

#### Outline

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### Hindley-Milner Algorithm

The system is not syntax directed because of the following two rules apply to any expression:

$$\frac{\Gamma \vdash a : T \quad \alpha \not\in \mathsf{fv}(\Gamma)}{\Gamma \vdash a : \forall \alpha . T} \qquad \frac{\Gamma \vdash a : \forall \alpha . T}{\Gamma \vdash a : T[S/\alpha]}$$

### Hindley-Milner syntax-directed system

$$\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x.a : S \rightarrow T} \qquad \frac{\Gamma \vdash a : S \rightarrow T \qquad \Gamma \vdash b : S}{\Gamma \vdash ab : T}$$

$$\frac{T \sqsubseteq \Gamma(x)}{\Gamma \vdash x : T} \qquad \frac{\Gamma \vdash a : S \quad \Gamma, x : \operatorname{Gen}(S, \Gamma) \vdash b : T}{\Gamma \vdash \operatorname{let} \ x = a \text{ in } b : T}$$

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Where

$$\textit{T} \sqsubseteq \forall \alpha_1 .... \forall \alpha_n. \textit{S} \iff \exists \textit{S}_1, ..., \textit{S}_n \text{ such that } \textit{T} = \textit{S}[\textit{S}_1/\alpha_1 .... \textit{S}_n/\alpha_n]$$

and

$$\mathsf{Gen}(\mathcal{S},\Gamma) = \forall \alpha_1 .... \forall \alpha_n. \mathcal{S} \text{ where } \{\alpha_1,...,\alpha_n\} = \mathsf{fv}(\mathcal{S}) \setminus \mathsf{fv}(\Gamma)$$

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Where

$$T \sqsubseteq \forall \alpha_1 .... \forall \alpha_n.S \iff \exists S_1, ..., S_n \text{ such that } T = S[S_1/\alpha_1....S_n/\alpha_n]$$

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#### Syntax directed but Not an algorithm yet!

State: a current substitution  $\phi$  and an infinite set of fresh variables V

$$\text{fresh} = \text{do } \alpha \in V \\ \text{do } V := V \setminus \{\alpha\} \\ \text{return } \alpha$$

$$W(\Gamma \vdash X) = \text{let } \forall \alpha_1 .... \alpha_n . T \leftarrow \Gamma(X) \\ \text{do } \beta_1, ..., \beta_n \leftarrow \text{fresh}, ..., \text{fresh} \\ \text{return } T[\beta_1/\alpha_1, ..., \beta_n/\alpha_n]$$

$$W(\Gamma \vdash \lambda x.a) = \text{do } \alpha \leftarrow \text{fresh} \\ \text{do } T \leftarrow W(\Gamma, x : \alpha \vdash a) \\ \text{return } \alpha \rightarrow T$$

$$W(\Gamma \vdash ab) = \text{do } T \leftarrow W(\Gamma, x : \alpha \vdash a) \\ \text{do } S \leftarrow W(\Gamma, x : \alpha \vdash b) \\ \text{do } \alpha \leftarrow \text{fresh} \\ \text{do } \phi := \text{mgu}(\phi(T), \phi(S \rightarrow \alpha)) \circ \phi \\ \text{return } \alpha$$

$$W(\Gamma \vdash \text{let } x = a \text{ in } b) = \text{do } S \leftarrow W(\Gamma, x : \alpha \vdash a) \\ \text{do } \sigma \leftarrow \text{Gen}(\phi(S), \phi(\Gamma)) \\ \text{return } W(\Gamma, x : \sigma \vdash b)$$

#### Most General Unifier

$$\begin{split} & \operatorname{mgu}(\varnothing) &= \operatorname{id} \\ & \operatorname{mgu}(\{(\alpha,\alpha)\} \cup C) &= \operatorname{mgu}(C) \\ & \operatorname{mgu}(\{(\alpha,T)\} \cup C) &= \operatorname{mgu}(C[T/\alpha]) \circ [T/\alpha] \text{ if } \alpha \text{ not free in } T \\ & \operatorname{mgu}(\{(T,\alpha)\} \cup C) &= \operatorname{mgu}(C[T/\alpha]) \circ [T/\alpha] \text{ if } \alpha \text{ not free in } T \\ & \operatorname{mgu}(\{(S_1 \to S_2, T_1 \to T_2)\} \cup C) &= \operatorname{mgu}(\{(S_1, T_1), (S_2, T_2)\} \cup C) \end{split}$$

In all the other cases mgu fails

# Ad-Hoc Polymorphism

#### Outline

- Set-theoretic types
- Semantic Subtyping
- Application to a language.
- 13 Adding Parametric Polymorphism: the Types
- 4 Adding Parametric Polymorphism: the Language

#### Outline

- Set-theoretic types
- Semantic Subtyping
- Application to a language.
- 13 Adding Parametric Polymorphism: the Types
- 14 Adding Parametric Polymorphism: the Language

### Set-theoretic types

We consider the following possibly recursive types:

$$T ::= Bool \mid Int \mid Any \mid (T,T) \mid T \lor T \mid T \& T \mid not(T) \mid T-->T$$

#### Useful for:

- XML types
- Precise typing of pattern matching
- Overloaded functions
- Mixins
- General programming paradigms

Let us see each point more in detail

Note: henceforward I will sometimes use  $T_1 \mid T_2$  to denote  $T_1 \vee T_2$ 

## 1. XML types

```
<?xml version="1.0"?>
   <!DOCTYPE biblio [
   <!ELEMENT biblio (book*)>
   <!ELEMENT book (title, (author|editor)+, price?)>
   <!ELEMENT title (#PCDATA)>
   <!ELEMENT author (#PCDATA)>
   <!ELEMENT editor (#PCDATA)>
   <!ELEMENT price (#PCDATA)>
 ]>
Can be encoded with union and recursive types
 type Biblio = ('biblio,X)
 type X = (Book, X) \vee 'nil
 type Book = ('book, (Title, Y \lor Z))
 type Y = (Author, Y \( \text{Price}, 'nil) \( \text{'nil} \)
 type Z = (Editor, Z \lor (Price, 'nil) \lor 'nil)
 type Title = ('title,String)
 type Author = ('author, String)
  type Editor = ('editor,String)
  type Price = ('price,String)
```

# 2. Precise typing of pattern matching (I)

Consider the following pattern matching expression

match 
$$e$$
 with  $p_1 \rightarrow e_1 \mid p_2 \rightarrow e_2$ 

where patterns are defined as follows:

$$p := x \mid (p, p) \mid p \mid p \mid p \& p$$

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match e with 
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where patterns are defined as follows:

$$p := x | (p, p) | p | p | p \& p$$

If we interpret types as set of values

$$t = \{v \mid v \text{ is a value of type } t\}$$

then the set of all values that match a pattern is a type

$$\{p\} = \{v \mid v \text{ is a value that matches } p\}$$

$$\{x\} = \text{Any}$$

match 
$$e$$
 with  $p_1 \rightarrow e_1 \mid p_2 \rightarrow e_2$ 

### Boolean type connectives are needed to type pattern matching:

```
match e with p_1 \rightarrow e_1 \mid p_2 \rightarrow e_2
```

Suppose that e: T and let us write  $T_1 \setminus T_2$  for  $T_1 \& not(T_2)$ 

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- To infer the type  $T_1$  of  $e_1$  we need  $T \& \{p_1\}$ ;

```
match e with p_1 -> e_1 | p_2 -> e_2
Suppose that e: T and let us write T_1 \setminus T_2 for T_1 \& not(T_2)
```

- To infer the type  $T_1$  of  $e_1$  we need  $T \& \{p_1\}$ ;
- To infer the type  $T_2$  of  $e_2$  we need  $(T \setminus (p_1)) \& (p_2)$ ;

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- Pattern matching is exhaustive if  $T \leq (p_1) \vee (p_2)$ ;

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match e with p_1 \rightarrow e_1 \mid p_2 \rightarrow e_2
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match e with p_1 \rightarrow e_1 \mid p_2 \rightarrow e_2
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### Formally:

```
\frac{\Gamma \vdash e : T \qquad \Gamma, T \& \langle p_1 \rangle / p_1 \vdash e_1 : T_1 \qquad \Gamma, T \setminus \langle p_1 \rangle / p_2 \vdash e_2 : T_2}{\Gamma \vdash \text{match } e \text{ with } p_1 \rightarrow e_1 \ | \ p_1 \rightarrow e_2 : T_1 \lor T_2} (T \leq \langle p_1 \rangle \lor \langle p_2 \rangle)
```

where T/p is the type environment for the capture variables in p when the pattern is matched against values in T.

(e.g., 
$$((Int, Int) \lor (Bool, Char))/(x, y)$$
 is  $x : Int \lor Bool, y : Int \lor Char)$ 

### 3. Overloaded functions

Intersection types are useful to type overloaded functions (in the Go language):

```
package main
import "fmt"
func Opposite (x interface{}) interface{} {
 var res interface{}
  switch value := x.(type) {
    case bool:
      res = (!value)
                               // x has type bool
    case int:
      res = (-value)
                               // x has type int
 return res
func main() { fmt.Println(Opposite(3) , Opposite(true)) }
```

In Go Opposite has type Any-->Any (every value has type interface{}).

Better type with intersections Opposite: (Int-->Int) & (Bool-->Bool)

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In Go Opposite has type Any-->Any (every value has type interface{}).
```

Better type with intersections Opposite: (Int-->Int) & (Bool-->Bool)

Intersections can also to give a more refined description of standard functions:

```
which could be typed as Successor: (Odd-->Even) & (Even-->Odd)
```

func Successor(x int) { return(x+1) }

#### **Exercise:**

What is the type returned by

```
let foo = function
| ('A,'B) -> true
| ('B,'A) -> false
and what is the problem?
```

Which type could we give if we had full-fledged union types?

Give an intersection type that refines the previous type

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What is the type returned by

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```
('A * 'B )| ( 'B * 'A) -> bool
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What is the type returned by

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Which type could we give if we had full-fledged union types?

$$('A * 'B) | ('B * 'A) -> bool$$

Give an intersection type that refines the previous type

You can try it on http://www.cduce.org/ocaml/bi

### 4. Typing of Mixins

Intersection types are used in Microsoft's Typescript to type mixins.

```
function extend<T, U>(first: T, second: U): T & U {
   /* <T> exp is a type cast (equivalent: exp as T) */
   let result = <T & U>{}:
   for (let id in first) {
            (<any>result)[id] = (<any>first)[id]; }
   for (let id in second) { if (!result.hasOwnProperty(id)) {
            (<any>result)[id] = (<any>second)[id]; } }
   return result;
class Person {
    constructor(public name: string) { }
}
interface Loggable {
   log(): void;
}
class ConsoleLogger implements Loggable {
   log() { ... }
}
var jim = extend(new Person("Jim"), new ConsoleLogger());
var n = jim.name;
jim.log()
```

# 5. General programming paradigms

Consider red-black trees. Recall that they must satisfy 4 invariants.

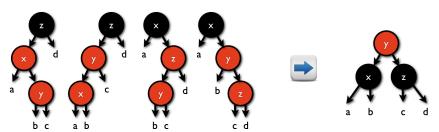
- the root of the tree is black
- the leaves of the tree are black
- no red node has a red child
- every path from root to a leaf contains the same number of black nodes

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The key of Okasaki's insertion is the function balance which transforms an *unbalanced tree*, into a *valid red-black tree* (as long as a, b, c, and d are valid):

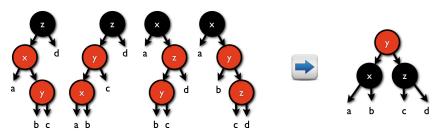


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The key of Okasaki's insertion is the function balance which transforms an *unbalanced tree*, into a *valid red-black tree* (as long as a, b, c, and d are valid):



In ML we need GADTs to enforce the invariants.

```
type αRBtree =
   | Leaf
   | Red(\alpha, RBtree, RBtree)
   | Blk(α, RBtree, RBtree)
let balance =
function
  | Blk( z , Red( x, a, Red(y,b,c) ) , d )
| Blk( z , Red( y, Red(x,a,b), c ) , d )
  | Blk(x, a, Red(z, Red(y,b,c), d))
  | Blk(x, a, Red(y, b, Red(z,c,d)))
      \rightarrow Red ( y, Blk(x,a,b), Blk(z,c,d) )
  | x -> x
let insert =
function (x,t)->
  let ins =
   function
     | Leaf -> Red(x,Leaf,Leaf)
     | c(y,a,b) as z \rightarrow
         if x < y then balance c(y, (ins a), b) else
         if x > y then balance c(y, a, (ins b)) else z
  in let (y,a,b) = ins t in Blk(y,a,b)
```

```
1 Write the correct definitions
```

```
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   | Leaf
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 | Blk(z, Red(y, Red(x,a,b), c), d)
 | Blk(x, a, Red(z, Red(y,b,c), d))
 | Blk(x, a, Red(y, b, Red(z,c,d)))
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    | c(y,a,b) as z ->
```

if x < y then balance c(y, (ins a), b) else if x > y then balance c(y, a, (ins b)) else z

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```
a) Write the conect definitions
                                        d type annotations to
                                     Function definitions
let balance =
function
   Blk(z, Red(x, a, Red(y,b,c))
   Blk(z, Red(y, Red(x,a,b), c))
   Blk(x, a, Red(z, Red(y, 0, c), d)
  | Blk(x, a, Red(y, b, Red(z,c,d)
     \rightarrow Red (y, Blk(x,a,b), Blk(z,c,d)
  | x -> x
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 let ins =
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     | Leaf > Red(x,Leaf,Leaf
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```

```
type RBtree = Btree | Rtree
type Rtree = Red(\alpha, Btree, Btree)
type Btree = Blk(\alpha, RBtree, RBtree) | Leaf
type Wrong = Red( \(\alpha\), (Rtree, RBtree) | (RBtree, Rtree) )
type Unbal = Blk( \(\alpha\), (Wrong, RBtree) | (RBtree, Wrong) )
let balance: (Unbal \rightarrow Rtree) & ((\beta\Unbal) \rightarrow (\beta\Unbal)) =
function
 | Blk(z, Red(y, Red(x,a,b), c), d)
 | Blk(z, Red(x, a, Red(y,b,c)).d)
 | Blk(x, a, Red(z, Red(y,b,c), d))
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function (x, t) \rightarrow
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 | Blk(z, Red(y, Red(x,a,b), c), d)
 | Blk(z, Red(x, a, Red(y,b,c)), d)
 | Blk(x, a, Red(z, Red(y,b,c), d))
 | Blk(x, a, Red(y, b, Red(z,c,d)))
      \rightarrow Red (y, Blk(x,a,b), Blk(z,c,d))
  | x -> x
let insert: (\alpha, Btree) \rightarrow Btree =
function (x, t) \rightarrow
  let ins: (Leaf \rightarrow Rtree) & (Btree \rightarrow RBtree\Leaf) & (Rtree \rightarrow Rtree | Wrong) =
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let insert: (a, Btree) Btree = Constionts statically by typing
  let ins: (Leaf \rightarrow Rtree) & (Btree \rightarrow RBtree\Leaf) & (Rtree \rightarrow Rtree | Wrong) =
   function
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let balance: (Unbal \rightarrow Rtree) & ((\beta\Unbal) \rightarrow (\beta\Unbal)) =
  function
    | Blk(z, Red(x, a, Red(y, b, c)), d) | Blk(z, Red(x, a, Red(y, b, c)), d) | Blk(x, a, Red(z, Red(y, b, c), d)) | Blk(z, a, Red(z, Red(z, Red(z, c, d))) | -> Red(z, Blk(z, a, b), Blk(z, c, d) | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z | z
let insert: (\alpha, Btree) \rightarrow Btree =
 function (x, t) \rightarrow
      let ins: (Leaf \rightarrow Rtree) & (Btree \rightarrow RBtree\Leaf) & (Rtree \rightarrow Rtree | Wrong) =
         function
                | Leaf -> Red(x,Leaf,Leaf)
               | c(y,a,b) as z \rightarrow
                            if x < y then balance c(y, (ins a), b) else
                             if x > y then balance c(y, a, (ins b)) else z
       in let (y,a,b) = ins t in Blk(y,a,b)
```

### Cutting edge research

Type checking the previous definitions is not so difficult.

The hard part is to type partial applications:

```
\begin{array}{c} \text{map} : (\ \alpha \to \beta\ ) \to [\ \alpha\ ] \to [\ \beta\ ] \\ \\ \text{balance} : (\text{Unbal} \to \text{Rtree}) \& ((\beta \setminus \text{Unbal}) \to (\beta \setminus \text{Unbal})) \\ \\ \text{map balance} : ([\ \text{Unbal}\ ] \to [\ \text{Rtree}\ ]\ ) \\ \\ \& ([\ \alpha \setminus \text{Unbal}\ ] \to [\ \alpha \setminus \text{Unbal}\ ]\ ) \\ \\ \& ([\ \alpha \mid \text{Unbal}\ ] \to [(\alpha \setminus \text{Unbal}) \mid \text{Rtree}\ ]\ ) \end{array}
```

Fortunately, programmers (and you) are spared from these gory details.

### New languages use union and intersections

#### Facebook's Flow:

```
// @flow
function toStringPrimitives(val: number | boolean | string) {
  return String(val);
}
type One = { foo: number };
type Two = { bar: boolean };
type Both = One & Two;
var value: Both = {
  foo: 1,
  bar: true
};
```

### New languages use union and intersections

### Typed-Racket

```
(let ([a-number 37])
    (if (even? a-number)
        'yes
        'no))
- : Symbol [more precisely: (U 'no 'yes)]
'no
(: f : (case-> (-> True Integer Integer)
                (-> False Boolean Boolean)))
  (define (f condition x)
    (if condition
       (add1 x)
       (not x)))
```

### New languages using negation

### **Typescript**

Negation types are proposed in a merge request for TypeScript:

```
function asValid<T extends not null>
  (value: T, isValid: (value: T) => boolean) : T | null
    return isValid(value) ? value : null;

declare const x: number;
declare const y: number | null;
asValid(x, n => n >= 0);  // OK
asValid(y, n => n >= 0);  // Error
```

The recursive flatten function:

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```
(* recursive type with union intersection and negation *)
type Tree('a) = ('a\[Any*]) | [ (Tree('a))* ]

let flatten ( (Tree('a)) -> ['a*] )
    | [] -> []
    | [h ; t] -> (flatten h)@(flatten t)
    | x -> [x]
```

The function flatten can be applied to any expression since Tree('a) unifies with every type.

It returns a list whose element type is the union of the types of all the leaves:

```
# flatten [ 3 'r' [4 ['true 5]] [ "quo" [['false] "stop"] ] ];;
- : [ (Bool | 3--5 | 'o'--'u')* ]
= [ 3 'r' 4 true 5 'quo' false 'stop' ]
```

### Encoding of bounded polymorphism

When combined with polymorphic types, set-theoretic types can encode a limited form of bounded polymorphism:

$$\forall (T_1 \leq \alpha \leq T_2).T$$

is encoded as

$$T\{\alpha := (\alpha \vee T_1) \wedge T_2\}$$

For instance:

$$\mathtt{balance} \; : \quad (\mathtt{Unbal} \to \mathtt{Rtree}) \; \& \; (\beta \backslash \mathtt{Unbal} \to \beta \backslash \mathtt{Unbal})$$

can be read as:

$$\texttt{balance} \ : \forall \big(\beta \leq \texttt{not(Unbal)}\big) \ . \ (\texttt{Unbal} \to \texttt{Rtree}) \ \& \ (\beta \to \beta)$$

Limited form since you can compare just types with equal bounds

# How to understand/explain set-theoretic type connectives?

- The type connectives union, intersection, and negation are completely defined by the subtyping relation:
  - $T_1 \vee T_2$  is the least upper bound of  $T_1$  and  $T_2$
  - T<sub>1</sub> & T<sub>2</sub> is the greatest lower bound of T<sub>1</sub> and T<sub>2</sub>
  - not(T) is the only type whose union and intersection with T yield the Any and Empty types, respectively.
- Defining (and deciding) subtyping for *type connectives* (i.e.,  $\vee$ , & , not()) is far more difficult than for *type constructors* (i.e., -->,  $\times$ ,  $\{...\}$ , ...). [examples later on]
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# Give a set-theoretic semantics to types define subtyping semantically

### Types as sets of values and semantic subtyping

$$\texttt{T} ::= \texttt{Bool} \ | \ \texttt{Int} \ | \ \texttt{Any} \ | \ (\texttt{T},\texttt{T}) \ | \ \texttt{T} \lor \texttt{T} \ | \ \texttt{T} \ \& \ \texttt{T} \ | \ \texttt{not}(\texttt{T}) \ | \ \texttt{T} --> \texttt{T}$$

Each type *denotes* a set of values:

```
<u>Bool</u> is the set that contains just two values {true, false}
```

<u>Int</u> is the set of all the numeric constants:  $\{0, -1, 1, -2, 2, -3, \ldots\}$ .

Any is the set of all values.

 $(T_1, T_2)$  is the set of all the pairs  $(v_1, v_2)$  where  $v_1$  is a value in  $T_1$  and  $v_2$  a value in  $T_2$ , that is  $\{(v_1, v_2) \mid v_1 \in T_1, v_2 \in T_2\}$ .

 $\underline{T_1 \lor T_2}$  is the *union* of the sets  $T_1$  and  $T_2$ , that is  $\{v \mid v \in T_1 \text{ or } v \in T_2\}$ 

 $\underline{T_1 \ \& \ T_2} \ \text{ is the } \textit{intersection} \ \text{of the sets} \ T_1 \ \text{and} \ T_2, \text{ i.e.} \ \big\{ v \mid v \in T_1 \ \text{and} \ v \in T_2 \big\}.$ 

 $\underline{\mathtt{not}(\mathtt{T})}$  is the set of all the values not in T, that is  $\{v \mid v \notin \mathtt{T}\}$ .

In particular not (Any) is the empty set (written Empty).

 $\frac{T_1-->T_2}{t}$  is the set of all function values that when applied to a value in  $T_1$ , if they return a value, then this value is in  $T_2$ .

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#### Semantic subtyping

#### Subtyping is set-containment

# Semantic Subtyping in a nutshell

$$t ::= B \mid t \times t \mid t \rightarrow t \mid t \lor t \mid t \land t \mid \neg t \mid 0 \mid 1$$

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 Constructor subtyping is easy: constructors do not mix, eg.:

$$\frac{s_2 \le s_1 \qquad t_1 \le t_2}{s_1 \rightarrow t_1 \le s_2 \rightarrow t_2}$$

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Connective subtyping is harder.
 connectives distribute over constructors, eg.

$$(s_1 \lor s_2) \rightarrow t \stackrel{\geq}{<} (s_1 \rightarrow t) \land (s_2 \rightarrow t)$$

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#### Define subtyping semantically:

[Hosoya, Pierce]

- Interpret types as sets (of values)
- 2 Define subtyping as set containment.

First, define an interpretation of types into sets.

$$[\![\ ]\!]: \mathbf{Types} \to \mathcal{P}(\mathcal{D})$$

such that

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$$\llbracket \ \rrbracket : \mathsf{Types} o \mathscr{P}(\mathscr{D})$$

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Connectives have their set-theoretic interpretation:

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Connectives have their set-theoretic interpretation:

Constructors have their natural interpretation:

```
\begin{bmatrix} t_1 \times t_2 \end{bmatrix} &= \begin{bmatrix} t_1 \end{bmatrix} \times \begin{bmatrix} t_2 \end{bmatrix} \\
\begin{bmatrix} t_1 \to t_2 \end{bmatrix} &= \{ f \mid f \text{ function from} \begin{bmatrix} t_1 \end{bmatrix} \text{ to } \begin{bmatrix} t_2 \end{bmatrix} \}
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$$s \le t \iff \llbracket s \rrbracket \subseteq \llbracket t \rrbracket$$

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 $\mathcal{D}^2 \subseteq \mathcal{D}$   $\mathcal{D}^{\mathcal{D}} \subseteq \mathcal{D}$ 

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$$[\![0]\!] = \varnothing \qquad [\![t_1 \lor t_2]\!] = [\![t_1]\!] \quad [\![t_1 \lor t_2]\!] = [\![t_1]\!] \quad \text{cardinality problem}$$

Constructors have their natural

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$$\begin{bmatrix} [t_1 \times t_2] \end{bmatrix} &= & [[t_1]] \times [[t_2]] \\
[[t_1 \to t_2]] &= & \{ f \subseteq \mathcal{D}^2 \mid (d_1, d_2) \in f, d_1 \in [[t_1]] \Rightarrow d_2 \in [[t_2]] \}
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$$\begin{bmatrix} 0 \end{bmatrix} = \varnothing \qquad \begin{bmatrix} t_1 \lor t_2 \end{bmatrix} = \begin{bmatrix} t_1 \end{bmatrix} \cup \begin{bmatrix} t_2 \end{bmatrix} \\ \begin{bmatrix} -t \end{bmatrix} = \mathcal{D} \setminus \begin{bmatrix} t \end{bmatrix} \qquad \begin{bmatrix} t_1 \land t_2 \end{bmatrix} = \begin{bmatrix} t_1 \end{bmatrix} \cap \begin{bmatrix} t_2 \end{bmatrix}$$

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• Constructors have the same ⊆ as their natural interpretation:

$$\begin{bmatrix} t_1 \times t_2 \end{bmatrix} &= & \begin{bmatrix} t_1 \end{bmatrix} \times \begin{bmatrix} t_2 \end{bmatrix} \\
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$$\begin{bmatrix}
[s_1 \times s_2] \subseteq \llbracket t_1 \times t_2 \rrbracket & \iff & \llbracket [s_1] \times \llbracket s_2 \rrbracket \subseteq \llbracket t_1 \rrbracket \times \llbracket t_2 \rrbracket \\
\llbracket [s_1 \to s_2] \subseteq \llbracket t_1 \to t_2 \rrbracket & \iff & \mathcal{P}(\llbracket [s_1] \times \llbracket s_2 \rrbracket) \subseteq \mathcal{P}(\llbracket t_1 \rrbracket \times \overline{\llbracket t_2 \rrbracket})$$

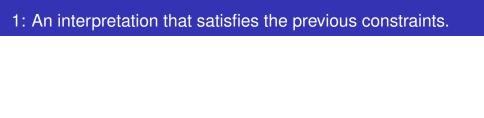
Then define the subtyping relation as set-containment.

$$s \le t \iff \llbracket s \rrbracket \subseteq \llbracket t \rrbracket$$

#### Semantic subtyping

[Benzaken, Castagna, Frisch]

- Gives an interpretation satisfying the above constraints;
- Gives an algorithm to decide the induced subtyping relation.



$$\llbracket s_1 \rightarrow s_2 \rrbracket \subseteq \llbracket t_1 \rightarrow t_2 \rrbracket \iff \mathcal{P}(\overline{\llbracket s_1 \rrbracket \times \overline{\llbracket s_2 \rrbracket}}) \subseteq \mathcal{P}(\overline{\llbracket t_1 \rrbracket \times \overline{\llbracket t_2 \rrbracket}})$$

Looking for  $\mathcal D$  and  $[\![\ ]\!]$ : Types o  $\mathcal P(\mathcal D)$  such that:

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**1** D least solution of  $X = X^2 + \mathcal{P}_f(X^2)$ 

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It is the **best** model: for any other model  $[\![\ ]\!]_{\mathcal{D}'}$ 

$$t_1 \leq_{\mathcal{D}'} t_2 \implies t_1 \leq_{\mathcal{D}} t_2$$

### 2: An algorithm to decide $t_1 \leq t_2$ .

Step 1: Transform the subtyping problem into an emptiness decision problem:

$$t_1 \leq t_2 \iff \llbracket t_1 \rrbracket \subseteq \llbracket t_2 \rrbracket \Leftrightarrow \llbracket t_1 \land \neg t_2 \rrbracket = \varnothing \iff t_1 \land \neg t_2 \leq 0$$

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Step 2: Put the type whose emptiness is to be decided in disjunctive normal form.

$$\bigvee_{i \in I} \bigwedge_{j \in J} \ell_{ij}$$
 where  $a ::= b \mid t \times t \mid t \to t \mid 0 \mid 1$  and  $\ell ::= a \mid \neg a$ 

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Step 3: Simplify mixed intersections:

Mixed summands of the union can be simplified. For instance:

- $(t_1 \times t_2) \wedge (t_1 \rightarrow t_2) \leq 0$  is always true
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The problem is reduced to deciding:

$$\bigwedge_{i \in I} s_i \times t_i \bigwedge_{j \in J} \neg (s_j \times t_j) \leq \mathbb{0} \quad \text{and} \quad \bigwedge_{i \in I} s_i \rightarrow t_i \bigwedge_{j \in J} \neg (s_j \rightarrow t_j) \leq \mathbb{0}$$

# Step 4: Use the set-theoretic interpretation to simplify the intersections:

Decomposition law for products:

$$\bigwedge_{i \in I} t_i \times s_i \leq \bigvee_{i \in J} t_i \times s_i \iff \\
\forall J' \subset J. \left( \bigwedge_{i \in I} t_i \leq \bigvee_{i \in J'} t_i \right) \text{ or } \left( \bigwedge_{i \in I} s_i \leq \bigvee_{i \in J \setminus J'} s_i \right)$$

Decomposition law for arrows:

$$\bigwedge_{i \in I} t_i \rightarrow s_i \leq \bigvee_{i \in J} t_i \rightarrow s_i \iff 
\exists j \in J. \forall I' \subset I. \left( t_j \leq \bigvee_{i \in I'} t_i \right) \text{ or } \left( I' \neq I \text{ et } \bigwedge_{i \in I \setminus I'} s_i \leq s_j \right)$$

Step 5: Memoize (for recursive types) and recurse.

# Application to a language.

### Language

### **Syntax**

Exprs 
$$e ::= x$$
 variables  $\lambda^{\wedge_{i \in I} s_i \rightarrow t_i} x.e$  abstractions  $ee$  applications  $ee$  pairs  $\pi_i e$  projections,  $i=1,2$   $x=e \in t$ ?  $e:e$  binding type case

### Language

### **Syntax**

**Exprs** 
$$e$$
 ::=  $x$  variables |  $\lambda^{\wedge_{i \in l} s_i \rightarrow t_i} x.e$  abstractions |  $ee$  applications |  $(e,e)$  pairs |  $\pi_i e$  projections,  $i=1,2$  |  $(x=e \in t)$ ? $e:e$  binding type case

$$\begin{array}{cccc} \textbf{Values} & v & ::= & (v,v) \\ & & \lambda^{\wedge_{i\in I}s_i \to t_i}x.e \end{array}$$

#### **Semantics**

$$\begin{array}{cccc} (\lambda^{\wedge_{i\in I}s_i\to t_i}x.e)v &\longrightarrow & e[v/x] \\ \pi_i(v_1,v_2) &\longrightarrow & v_i & i=1,2 \\ (x=v\in t)?e_1:e_2 &\longrightarrow & e_1[v/x] & v\in t \\ (x=v\in t)?e_1:e_2 &\longrightarrow & e_2[v/x] & v\not\in t \end{array}$$

$$\text{[Subsumption]} \ \frac{\Gamma \vdash e : t \qquad t \leq t'}{\Gamma \vdash e : t'}$$

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A form of occurrence typing

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### Necessary for typing overloaded functions:

$$\lambda^{(Int \to Int) \land (Bool \to Bool)} x. (y = x \in Int)? (y+1): not(y)$$

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### The type system is sound

### Back to the initial example

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function double (x) {
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#### Exercise

Use the previous rules to check that (1) is well-typed for:

- $\bullet \ \ \mathbf{t} = (\texttt{Int} \lor \texttt{String}) \to (\texttt{Int} \lor \texttt{String})$
- $\mathbf{t} = (\text{Int} \rightarrow \text{Int}) \land (\text{String} \rightarrow \text{String})$

where String =  $\mu X$ .{concat :  $X \rightarrow X$ }

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This induces a new subtyping relation:

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Actually, it is not a new one ... it is the old one:

### Theorem [Frisch, Castagna, Benzaken 2002&2008]

$$t \leq_{\mathcal{V}} s \iff t \leq_{\mathcal{D}} s$$

where  $\leq_{\mathcal{D}}$  is the subtyping via  $\mathcal{D}$  and used to define  $\vdash v : t$ 

Was then  $\mathcal{D}$  really necessary?

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$$[\![t]\!]_{\mathcal{V}}$$

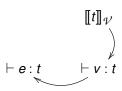
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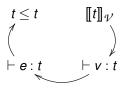
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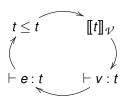
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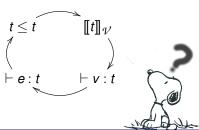
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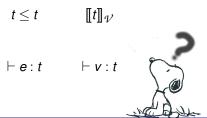
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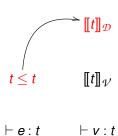
$$[t]_{\mathcal{D}}$$

$$t \leq t \qquad [t]_{\mathcal{V}}$$

$$\vdash e: t \qquad \vdash v: t$$

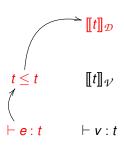
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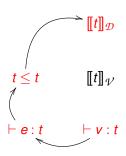
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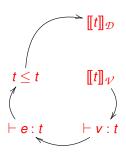
 $\lambda$ -abstractions are values and need (sub)typing to be defined. We are in a circular definition



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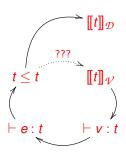
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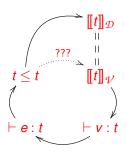


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Theorem 5.5 [Frisch, Castagna, Benzaken JACM 2008]

#### Outline

- Set-theoretic types
- Semantic Subtyping
- 12 Application to a language.
- Adding Parametric Polymorphism: the Types
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(* recursive type with union intersection and negation *)
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(* recursive flatten written in polymorphic CDuce
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#### Rationale

The language does not changes apart from the fact that type variables such as  $\alpha$  may occur in type annontations.

Type refinement of balance for red-black trees

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```
let balance: (Unbal \rightarrow Rtree) & ((\beta\Unbal) \rightarrow (\beta\Unbal)) = function

| Blk( z , Red( x, a, Red(y,b,c) ) , d )
| Blk( z , Red( y, Red(x,a,b), c ) , d )
| Blk( x , a , Red( z, Red(y,b,c), d ) )
| Blk( x , a , Red( y, b, Red(z,c,d) ) )
| -> Red ( y, Blk(x,a,b), Blk(z,c,d) )
| x -> x
```

$$t ::= B \mid t \times t \mid t \rightarrow t \mid t \lor t \mid t \land t \mid \neg t \mid 0 \mid \mathbb{1}$$

$$t ::= B \mid t \times t \mid t \to t \mid t \vee t \mid t \wedge t \mid \neg t \mid 0 \mid 1$$

$$t ::= B \mid t \times t \mid t \rightarrow t \mid t \vee t \mid t \wedge t \mid \neg t \mid 0 \mid 1 \mid \alpha$$

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Let  $\sigma$ : Vars  $\rightarrow$  ClosedTypes denote ground substitutions. Define:

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# THIS IS A WRONG WAY: TOO MANY PROBLEMS

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If t is an *indivisible type*, then for all possible interpretations of  $\alpha$ 

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- If  $\alpha \leq \neg t$  then the left element of the union in (2) suffices;
- If  $t \le \alpha$ , then  $\alpha = (\alpha \setminus t) \lor t$ . Thus  $(t \times \alpha) = (t \times (\alpha \setminus t)) \lor (t \times t)$ . This union is contained component-wise in the one in (2).

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# A SEMANTIC SOLUTION IS POSSIBLE

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#### The *leitmotiv* of this work

A semantic characterization of models where *stuttering* is absent, should yield a subtyping relation that is:

- Semantic
- Intuitive for the programmer
- Decidable

#### Rough idea

**Make indivisible types "splittable"** so that type variables can range over strict subsets of every type, indivisible types included.

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and such that it satisfies:

$$\llbracket [t_1 \rightarrow s_1 \rrbracket \eta \subseteq \llbracket t_2 \rightarrow s_2 \rrbracket \eta \quad \Longleftrightarrow \quad \mathcal{P}(\overline{\llbracket t_1 \rrbracket \eta \times \overline{\llbracket s_1 \rrbracket \eta}}) \subseteq \mathcal{P}(\overline{\llbracket t_2 \rrbracket \eta \times \overline{\llbracket s_2 \rrbracket \eta}})$$

## Subtyping relation

In this framework the natural definition of subtyping is

$$s \leq t \iff \forall \eta . [\![s]\!] \eta \subseteq [\![t]\!] \eta$$

It "just" remains to find the uniformity condition to avoid stuttering and recover parametricity.

$$\forall \eta.(\llbracket t_1 \rrbracket \eta = \varnothing \text{ or } \llbracket t_2 \rrbracket \eta = \varnothing) \iff (\forall \eta. \llbracket t_1 \rrbracket \eta = \varnothing) \text{ or } (\forall \eta. \llbracket t_2 \rrbracket \eta = \varnothing)$$

Consider **only** models of semantic subtyping in which the following **convexity** property holds

$$\forall \eta.(\llbracket t_1 \rrbracket \eta = \varnothing \text{ or } \llbracket t_2 \rrbracket \eta = \varnothing) \iff (\forall \eta. \llbracket t_1 \rrbracket \eta = \varnothing) \text{ or } (\forall \eta. \llbracket t_2 \rrbracket \eta = \varnothing)$$

• It avoids stuttering:  $\forall \eta.([[t \land \neg \alpha]] \eta = \emptyset \text{ or } [[t \land \alpha]] \eta = \emptyset)$  —that is,  $(t \leq \alpha \text{ or } \alpha \leq \neg t)$ — holds if and only if t is empty.

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# **Examples of subtyping relations**

We can internalize properties such as:

$$(\alpha \mathop{\rightarrow} \gamma) \land (\beta \mathop{\rightarrow} \gamma) \ \sim \ \alpha {\vee} \beta \mathop{\rightarrow} \gamma$$

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and combining them deduce:

$$(\alpha {\times} \gamma {\,\rightarrow\,} \delta_1) {\,\wedge\,} (\beta {\times} \gamma {\,\rightarrow\,} \delta_2) \, \leq \, (\alpha {\vee} \beta {\times} \gamma) {\,\rightarrow\,} \delta_1 {\,\vee\,} \delta_2$$

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$$(\alpha \times \gamma \to \delta_1) \wedge (\beta \times \gamma \to \delta_2) \, \leq \, (\alpha \vee \beta \times \gamma) \to \delta_1 \vee \delta_2$$

Of course the problematic relation never holds, whatever the t:

$$(t \times \alpha) \not \leq (t \times \neg t) \vee (\alpha \times t)$$

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$$\underbrace{\mu z.(\mathbf{\alpha} \times (\mathbf{\alpha} \times z)) \vee \text{nil}}_{\mathbf{\alpha}\text{-lists of even length}} \leq \underbrace{\mu z.(\mathbf{\alpha} \times z) \vee \text{nil}}_{\mathbf{\alpha}\text{-lists}}$$

and the  $\alpha$ -lists with of odd length

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and that it is itself contained in the union of the two, that is:

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-list  $\sim (\mu z.(\alpha \times (\alpha \times z)) \vee \text{nil}) \vee (\mu z.(\alpha \times (\alpha \times z)) \vee (\alpha \times \text{nil}))$ 

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And we can prove far more complicated relations (see paper).

# **Subtyping algorithm**

# Subtyping Algorithm: $t_1 \le t_2$

Step 1: Transform the subtyping problem into an emptiness decision problem:

$$t_1 \leq t_2 \iff \forall \eta. \llbracket t_1 \rrbracket \eta \subseteq \llbracket t_2 \rrbracket \eta \iff \forall \eta. \llbracket t_1 \land \neg t_2 \rrbracket \eta = \varnothing \iff t_1 \land \neg t_2 \leq 0$$

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Step 2: Put the type whose emptiness is to be decided in disjunctive normal form.

$$\bigvee_{i\in I}\bigwedge_{j\in J}\ell_{ij}$$

where  $a := b \mid t \times t \mid t \to t \mid 0 \mid 1 \mid \alpha$  and  $\ell := a \mid \neg a$ 

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Step 3: Simplify mixed intersections:

where all a have the same toplevel constructor.

#### Step 4: Eliminate toplevel negative variables.,

$$\forall \eta. [[t]] \eta = \emptyset \iff \forall \eta. [[t[\neg \alpha/\alpha]]] \eta = \emptyset$$

so replace  $\neg \beta_k$  for  $\beta_k$  (forall  $k \in K$ )

Solve: 
$$\bigwedge_{i \in I} a_i \bigwedge_{j \in J} \neg a'_j \bigwedge_{h \in H} \alpha_h$$

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#### Step 5: Eliminate toplevel variables.

$$\bigwedge_{t_1 \times t_2 \in P} t_1 \times t_2 \bigwedge_{h \in H} \alpha_h \ \leq \ \bigvee_{t_1' \times t_2' \in N} t_1' \times t_2'$$

holds if and only if

$$\bigwedge_{t_1 \times t_2 \in P} t_1 \sigma \times t_2 \sigma \bigwedge_{h \in H} \gamma_h^1 \times \gamma_h^2 \quad \leq \quad \bigvee_{t_1' \times t_2' \in N} t_1' \sigma \times t_2' \sigma$$

where  $\sigma = [(\gamma_h^1 \times \gamma_h^2) \vee \alpha_h / \alpha_h]_{h \in H}$ 

(similarly for arrows)

Step 6: Eliminate toplevel constructors, memoize, and recurse.

$$\bigwedge_{t_1 \times t_2 \in P} t_1 \times t_2 \leq \bigvee_{t_1' \times t_2' \in N} t_1' \times t_2' \tag{3}$$

Equation (3) holds if and only if for all  $N' \subseteq N$ ,

$$\forall \eta. \left( \left[ \left[ \bigwedge_{t_1 \times t_2 \in P} t_1 \wedge \bigwedge_{t_1' \times t_2' \in N'} \neg t_1' \right] \right] \eta = \varnothing \text{ or } \left[ \left[ \bigwedge_{t_1 \times t_2 \in P} t_2 \wedge \bigwedge_{t_1' \times t_2' \in N \setminus N'} \neg t_2' \right] \right] \eta = \varnothing \right)$$

Apply *convexity* to distribute the quantification over the or's:

$$\forall \eta. \left( \left[ \bigwedge_{t_1 \times t_2 \in P} t_1 \wedge \bigwedge_{t_1' \times t_2' \in N'} \neg t_1' \right] \right] \eta = \varnothing \right) \text{ or } \forall \eta. \left( \left[ \bigwedge_{t_1 \times t_2 \in P} t_2 \wedge \bigwedge_{t_1' \times t_2' \in N \setminus N'} \neg t_2' \right] \right] \eta = \varnothing \right)$$

Yielding the following simplification:

(similarly for arrows)

$$\forall N' \subseteq N. \left( \bigwedge_{t_1 \times t_2 \in P} t_1 \le \bigvee_{t_1' \times t_2' \in N'} t_1' \right) \text{ or } \left( \bigwedge_{t_1 \times t_2 \in P} t_2 \le \bigvee_{t_1' \times t_2' \in N \setminus N'} t_2' \right)$$

### Outline

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```
\begin{array}{l} \text{map} :: (\pmb{\alpha} \rightarrow \pmb{\beta}) \rightarrow [\pmb{\alpha}] \rightarrow [\pmb{\beta}] \\ \text{map f l = case l of} \\ & \mid \text{[] -> []} \\ & \mid (\text{x : xs) -> (f x : map f xs)} \end{array}
```

```
map :: (\alpha \to \beta) \to [\alpha] \to [\beta]

map f l = case l of

| [] \to []

| (x : xs) \to (f x : map f xs)

even :: (Int \to Bool) \land ((\alpha \setminus Int) \to (\alpha \setminus Int))

even x = case x of

| Int \to (x \text{ 'mod' 2}) == 0

| -> x
```

```
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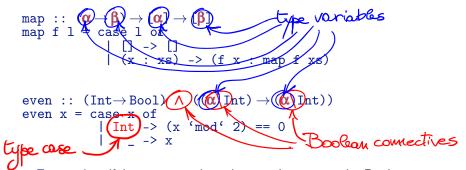
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Common pattern for functional data structures: red-black trees balancing; ZDD operations; XML nodes modification

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The combination of type-case and intersections yields statically typed dynamic overloading.

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## A motivating example in Haskell (almost) [cf. typing of balance]

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We expect map even to have the following type:

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We expect map even to have the following type:

Difficult because of expansion: needs *a set of type substitutions* —rather than just one— to unify the domain and the argument types.

#### 1. In the type system:

$$\frac{(\mathsf{APPL})}{\Gamma \vdash e_1 : s \to u \qquad \Gamma \vdash e_2 : s}{\Gamma \vdash e_1 e_2 : u}$$

[The type of the function is subsumed to an arrow and the type of the argument is subsumed to the domain of this arrow].

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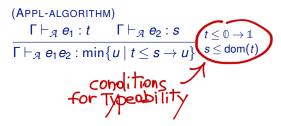
$$\frac{\Gamma \vdash_{\mathcal{A}} e_1 : t \qquad \Gamma \vdash_{\mathcal{A}} e_2 : s}{\Gamma \vdash_{\mathcal{A}} e_1 e_2 : \min\{u \mid t \leq s \rightarrow u\}} \quad \substack{t \leq 0 \rightarrow \mathbb{1} \\ s \leq \text{dom}(t)}$$

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### 3. Inference of type substitutions

[ where 
$$t[\sigma_i]_{i\in I} \stackrel{\text{def}}{=} \bigvee_{i\in I} t\sigma_i$$
 ]

$$\frac{\exists [\sigma_i]_{i\in I}, [\sigma'_j]_{j\in J} \quad \Gamma \vdash_I e_1 : t \qquad \Gamma \vdash_I e_2 : s}{\Gamma \vdash_I e_1 e_2 : \min\{u \mid t[\sigma'_j]_{j\in J} \leq s[\sigma_i]_{i\in I} \to u\}} \quad t[\sigma'_j]_{j\in J} \leq \emptyset \to \mathbb{1} \\ s[\sigma_i]_{i\in I} \leq \mathsf{dom}(t[\sigma'_j]_{j\in J})$$

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(APPL-INFERENCE)  $\exists [\sigma_i]_{i\in I}, [\sigma'_j]_{j\in J} \quad \Gamma \vdash_I e_1 : t \qquad \Gamma \vdash_I e_2 : s \qquad t[\sigma'_j]_{j\in J} \le 0 \to 1$   $\Gamma \vdash_I e_1 e_2 : \min\{u \mid t[\sigma'_i]_{i\in J} \le s[\sigma_i]_{i\in I} \to u\} \quad s[\sigma_i]_{i\in I} \le \dim(t[\sigma'_j]_{j\in J})$ 

The problem of inferring the type of an application is thus to find for s and t given, two sets  $[\sigma_i]_{i \in I}$ ,  $[\sigma'_i]_{j \in J}$  such that:

$$t[\sigma'_j]_{j\in J} \leq \mathbb{O} \to \mathbb{1}$$
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This can be reduced to solving a suite of *tallying problems*:

### Definition (Type tallying)

Let s and t be two types. A type-substitution  $\sigma$  is a solution for the *tallying* of (s,t) iff  $s\sigma \leq t\sigma$ .

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**Generally:** let  $C = \{(s_1 \le t_1), ..., (s_n \le t_n)\}$  a constraint set. A type-substitution  $\sigma$  is a solution for the *tallying* of C iff  $s\sigma \le t\sigma$  for all  $(s \le t) \in C$ .

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Type tallying is decidable and a sound and complete set of solutions for every tallying problem can be effectively found in **three** simple **steps**.

Use the set-theoretic decomposition rules to transform C into a set of constraint sets whose constraints are of the form  $\alpha \le t$  or  $t \le \alpha$ .

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  $\longrightarrow$   $\{(s_2 \le 0)\}$  or  $\{(s_2 \le s_1), (t_1 \le t_2)\}$ 

Use the set-theoretic decomposition rules to transform C into a set of constraint sets whose constraints are of the form  $\alpha \leq t$  or  $t \leq \alpha$ .

- Step 2: Merge constraints on the same variable.
  - if  $\alpha \le t_1$  and  $\alpha \le t_2$  are in C, then replace them by  $\alpha \le t_1 \land t_2$ ;
  - if  $s_1 \le \alpha$  and  $s_2 \le \alpha$  are in C, then replace them by  $s_1 \lor s_2 \le \alpha$ ;

Possibly decompose the new constraints generated by transitivity.

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2. \{(\operatorname{Int} \le \alpha), (\operatorname{Bool} \le \alpha)\} \rightsquigarrow \{(\operatorname{Int} \lor \operatorname{Bool} \le \alpha)\}
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### Step 3: Transform into a set of equations.

After Step 2 we have constraint-sets of the form  $\{s_i \le \alpha_i \le t_i \mid i \in [1..n]\}$  where  $\alpha_i$  are pairwise distinct.

- select  $s \le \alpha \le t$  and replace it by  $\alpha = (s \lor \beta) \land t$  with  $\beta$  fresh.
- 2 substitute  $(s \lor \beta) \land t$  for all  $\alpha$  in the other constraints of C
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```
1. \{(s_1 \to t_1 \le s_2 \to t_2)\} \hookrightarrow \{(s_2 \le 0)\} or \{(s_2 \le s_1), (t_1 \le t_2)\}

2. \{(\operatorname{Int} \le \alpha), (\operatorname{Bool} \le \alpha)\} \hookrightarrow \{(\operatorname{Int} \lor \operatorname{Bool} \le \alpha)\}

3. \{(\operatorname{Int} \le \alpha_1 \le \operatorname{Real}), (\alpha_2 \le \alpha_1 \land \operatorname{Int})\} \hookrightarrow \{\alpha_1 = (\operatorname{Int} \lor \beta) \land \operatorname{Real}), (\alpha_2 = \operatorname{Int})\}
```

Use the set-theoretic decomposition rules to transform C into a set of constraint sets whose constraints are of the form  $\alpha \le t$  or  $t \le \alpha$ .

Step 2: Merge constraints on the same variable.

- if  $\alpha \leq t_1$  and  $\alpha \leq t_2$  are in C, then replace them by  $\alpha \leq t_1 \wedge t_2$ ;
- if  $s_1 \le \alpha$  and  $s_2 \le \alpha$  are in C, then replace them by  $s_1 \lor s_2 \le \alpha$ ;

Possibly decompose the new constraints generated by transitivity.

### Step 3: Transform into a set of equations.

After Step 2 we have constraint-sets of the form  $\{s_i \le \alpha_i \le t_i \mid i \in [1..n]\}$  where  $\alpha_i$  are pairwise distinct.

- select  $s \le \alpha \le t$  and replace it by  $\alpha = (s \lor \beta) \land t$  with  $\beta$  fresh.
- 2 substitute  $(s \lor \beta) \land t$  for all  $\alpha$  in the other constraints of C
- repeat with another constraint

At the end we have a sets of equations  $\{\alpha_i = u_i \mid i \in [1..n]\}$  that (with some care) are *contractive*. By Courcelle there exists a solution, *ie*, a substitution for  $\alpha_1, ..., \alpha_n$  into (possibly recursive regular) types  $t_1, ..., t_n$  (in which the fresh  $\beta$ 's are free variables).

### Start with the following tallying problem:

$$\begin{array}{c} (\alpha_1 \to \beta_1) \to [\alpha_1] \to [\beta_1] \leq s \to \gamma \\ \text{where } s = (\texttt{Int} \to \texttt{Bool}) \land (\alpha \backslash \texttt{Int} \to \alpha \backslash \texttt{Int}) \text{ is the type of even} \end{array}$$

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• The algorithm generates 9 constraint-sets: one is unsatisfiable ( $s \le 0$ ); four are implied by the others; remain

```
\begin{split} &\{\pmb{\gamma} \geq [\alpha_1] \rightarrow [\beta_1] \;,\; \alpha_1 \leq 0\} \;,\; \{\pmb{\gamma} \geq [\alpha_1] \rightarrow [\beta_1] \;,\; \alpha_1 \leq \text{Int} \;,\; \text{Bool} \leq \beta_1\}, \\ &\{\pmb{\gamma} \geq [\alpha_1] \rightarrow [\beta_1] \;,\; \alpha_1 \leq \alpha \setminus \text{Int} \;,\; \alpha \setminus \text{Int} \leq \beta_1\}, \\ &\{\pmb{\gamma} \geq [\alpha_1] \rightarrow [\beta_1] \;,\; \alpha_1 \leq \alpha \vee \text{Int} \;,\; (\alpha \setminus \text{Int}) \vee \text{Bool} \leq \beta_1\}; \end{split}
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Four solutions for γ:

```
\begin{split} & \{ \gamma = [] \rightarrow [] \}, \\ & \{ \gamma = [\text{Int}] \rightarrow [\text{Bool}] \}, \\ & \{ \gamma = [\alpha \setminus \text{Int}] \rightarrow [\alpha \setminus \text{Int}] \}, \\ & \{ \gamma = [\alpha \vee \text{Int}] \rightarrow [(\alpha \setminus \text{Int}) \vee \text{Bool}] \}. \end{split}
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Four solutions for γ:

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\begin{split} & \{ \gamma = [] \rightarrow [] \}, \\ & \{ \gamma = [Int] \rightarrow [Bool] \}, \\ & \{ \gamma = [\alpha \backslash Int] \rightarrow [\alpha \backslash Int] \}, \\ & \{ \gamma = [\alpha \lor Int] \rightarrow [(\alpha \backslash Int) \lor Bool] \}. \end{split}
```

• The last two are minimal and we take their intersection:

$$\{ \gamma = ([\alpha \setminus Int] \rightarrow [\alpha \setminus Int]) \land ([\alpha \lor Int] \rightarrow [(\alpha \setminus Int) \lor Bool]) \}$$

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In a dully execution of the algorithm on map even the good solution is the second one.

Principality: This raises the problem of the existence of principal types: may an infinite sequence of increasingly general solutions exist?

#### References

- Frisch et al: Semantic Subtyping: dealing set-theoretically with function, union, intersection, and negation types. JACM, vol. 55, n. 4, 2008. Reference publication for monomorphic semantic subtyping.
- G. Castagna: Covariance and Contravariance: a fresh look at an old issue (a primer in advanced type systems for learning functional programmers). Logical Methods in Computer Science. 2019 (To appear).
  - A simple introduction to semantic subtyping and a detailed description of the implementation of subtyping and type-checking algorithms.
- G. Castagna and Z. Xu: Set-theoretic foundation of parametric polymorphism and subtyping. In ICFP 11. Subtyping for polymorphic set-theoretic types
- Castagna et al.: Polymorphic Functions with Set-Theoretic Types. Part 1 (POPL 14) and Part 2 (POPL 15).
  - Languages with polymorphic set-theoretic types
- T. Petrucciani: Polymorphic Set-Theoretic Types for Functional Languages. PhD thesis, March 2019.

## To try it out

- CDuce: http://www.cduce.org.
- For polymorphism use the development branch available at https://gitlab.math.univ-paris-diderot.fr/cduce)
- For a flavor of type reconstruction try the interactive interpreter at http://www.cduce.org/ocaml/bi

# **Gradual Typing**

### Outline

- 15 Main ideas
- 16 Formal system
- Malgorithmic Aspects
- 18 Criteria for Gradual Typing
- Implementation isssues
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## Motivating example: reminder

```
function double (x ) {
  (<condition>) ? 2*x : x.concat(x)
}
```

Cannot give a type to x that works with both 2\*x and x.concat(x)

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Add an unknown/type "?"

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Cannot give a type to x that works with both 2\*x and x.concat(x)

#### Solution

#### Add an unknown/type "?"

## Develop a type theory for "?" such that:

- No solution for ? for some execution ⇒ statically reject
- No problem for any solution for ? ⇒ statically accept, do nothing
- For each possible execution there exists some solution for ? ⇒ statically accept and add run-time checks

# Reject at compile time:

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function wrong (x : ?) { return (2*x + x(2)); //cannot be a number and a function }
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Accept as is:
function ok (x : ?) {
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Intuitively the function has type: ? → (number | ?)
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Accept as is:
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}
Intuitively the function has type: ? \rightarrow (number \mid ?)
Accept and insert checks:
function double (x : ?) {
  (\langle condition \rangle) ? 2*x : x.concat(x)
}
Compile as
function double (x : ?) {
  (\langle condition \rangle) ? 2*(x\langle number \rangle) : (x\langle string \rangle).concat(x\langle string \rangle)
```

Mix static and dynamic typing

## Mix static and dynamic typing

```
function double (x : ?) {
    (<condition>) ? 2*x : x.concat(x)

function apply (f : number --> number, x : number) {
    return (f x);
}

apply (double , (double 42))
```

## Mix static and dynamic typing

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Dynamically typed:
function double (x : ?) {
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Statically typed:
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Mixed typing:
apply (double , (double 42))
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Statically typed:
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Mixed typing:
apply (double , (double 42))
```

#### Add checks at the boundaries:

```
apply (double , (double 42)) must be compiled as apply (double \langle number \rightarrow number \rangle, (double 42) \langle number \rangle)
```

# A hot topic

## **Prominent Languages with Gradual Typing:**

- Typed Racket
- Reticulated Python
- TypeScript (Microsoft)
- Flow (Facebook)
- Hack (Facebook)
- Dart (Google)
- Thorn
- Safe Typescript

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- New languages

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- TypeScript (Microsoft)
- Flow (Facebook)
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- Dart (Google)
- Thorn
- Safe Typescript
- Retrofitted on existing languages
- New languages
- Insert checks at run-time (a.k.a. sound gradual typing)
- Permissive typing (no checks inserted)
- Strict typing
- Occurrence typing

# Roadmap

- Add "?" to types
- Define a typing discipline for programs with "?"
  - A well-typed program must still be well-typed with less-precise annotations
  - Less-precise annotations may make a program to become well-typed
- Use the typing derivation to add dynamic type-checks at the boundaries between statically-type and dynamically-typed parts
  - Using less precise annotations in a well-typed program must not yield failures of dynamic checks (preserve semantics)
  - Failures of dynamic checks are due only to the dynamically-typed parts

Type precision: the lesser the "?", the more precise the type.

## Outline

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Types  $T ::= Bool \mid Int \mid T \rightarrow T$ 

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A new **consistency** relation "~" governs implicit casts involving "?":

$$\overline{{\tt Int}{\sim}{\tt Int}}$$

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A new **consistency** relation "~" governs implicit casts involving "?":

$$\frac{}{\text{Bool} \sim \text{Bool}} \qquad \frac{}{\text{Int} \sim \text{Int}} \qquad \frac{}{T \sim ?} \qquad \frac{S_1 \sim T_1}{S_1 \rightarrow S_2 \sim T_1 \rightarrow T_2}$$

Relax application for consistent types:

$$[\rightarrow \mathsf{ELIM}_{\sim}] \frac{\Gamma \vdash a : S \rightarrow T \quad \Gamma \vdash b : U \quad U \sim S}{\Gamma \vdash ab : T}$$

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Use the type derivation to insert casts

$$[\rightarrow \mathsf{ELIM}_{\sim}] \xrightarrow{\Gamma \vdash a : S \rightarrow T \xrightarrow{\mathsf{compiles}} a' \qquad \Gamma \vdash b : U \xrightarrow{\mathsf{compiles}} b' \qquad U \sim S \\ \hline \Gamma \vdash ab : T \xrightarrow{\mathsf{compiles}} a(b \langle S \rangle) \qquad (U \not\equiv S)$$

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A new **consistency** relation "∼" governs implicit casts involving "?":

## Relax application for consistent types:

$$[\rightarrow \mathsf{ELIM}_{\sim}] \ \frac{\Gamma \vdash a \colon S \rightarrow T \quad \Gamma \vdash b \colon U}{\Gamma \vdash ab \colon T} \ \text{The remaining compilation rules implement the identity (they do not modify the compiled term)}$$

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### The consistency relation must not be transitive:

Since Int $\sim$ ? and ? $\sim$ Bool, then transitivity would imply Int $\sim$ Bool:

$$\frac{\vdash \lambda x : \mathtt{Int}.x + 1 : \mathtt{Int} \to \mathtt{Int} \quad \vdash \mathsf{true} : \mathtt{Bool} \quad \mathtt{Int} \sim \mathtt{Bool}}{\vdash (\lambda x : \mathtt{Int}.x + 1) \mathsf{true} : \mathtt{Int}}$$

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It has a flavor of substitutivity ... but not always:

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function double (x : ?) { (< condition>) ? 2*x : x.concat(x) } function apply (f : number-->number, x : number) { return (f x) } apply (double , (double 42))

It compiles as apply (double<Int\rightarrowInt>, (double(42<<?>))<Int>)
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- Casting  $? \rightarrow ?$  to Int  $\rightarrow$  Int is ok.
- Casting? to Int is ok.
- Casting an Int to? looks weird

■ The [→ELIM<sub>~</sub>] rule looks more an algorithic step than a typing rule:

$$\frac{ [\rightarrow \mathsf{ELIM}_{\sim}] }{ \Gamma \vdash a \colon S \to T \quad \Gamma \vdash b \colon U \quad U \sim S } \qquad \frac{ [\rightarrow \mathsf{ELIM}_{\leq}] }{ \Gamma \vdash_{\mathcal{A}} a \colon S \to T \quad \Gamma \vdash_{\mathcal{A}} b \colon U \quad U \leq S } \\ \frac{ \Gamma \vdash_{\mathcal{A}} a \colon S \to T \quad \Gamma \vdash_{\mathcal{A}} b \colon U \quad U \leq S }{ \Gamma \vdash_{\mathcal{A}} ab \colon T }$$

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### We need a more principled methodology

Let's take inspiration from what we did for subtyping

## The precision relation "⊑":

Precision relates a type with unknown "?" components to the types it *may* dynamically become at run time.

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# Informally

The less "?" it uses, the more *precise* a type is.

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Can be defined by induction for simple types:

$$\frac{S_1 \sqsubseteq T_1 \qquad S_2 \sqsubseteq T_2}{S_1 \to S_2 \sqsubseteq T_1 \to T_2} \qquad \qquad \frac{T \sqsubseteq T_1 \qquad S_2 \sqsubseteq T_2}{T \sqsubseteq T_1 \to T_2}$$

$$T \sqsubseteq T$$

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$$\begin{array}{ccc} S_1 \sqsubseteq T_1 & S_2 \sqsubseteq T_2 \\ \hline \ref{S_1} \sqsubseteq T & S_1 \Rightarrow S_2 \sqsubseteq T_1 \rightarrow T_2 \end{array}$$

$$\overline{T \sqsubseteq T}$$

$$\frac{T_1 \sqsubseteq T_2 \qquad T_2 \sqsubseteq T_3}{T_1 \sqsubseteq T_3}$$

- It is not subtyping
- It is a pre-order

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$$T \sqsubseteq T$$

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- It is not subtyping
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#### Intuition

 $T \sqsubseteq T'$  means that at run-time type T may turn out to be the type T' we say that T may materialize into T'

The precision relation is a pre-order thus, in particular, it is *transitive*:

?  $\square$  ?  $\rightarrow$  ?  $\square$  Int  $\square$  Int  $\rightarrow$  Int

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?  $\sqsubseteq$  ?  $\rightarrow$ ?  $\sqsubseteq$  ?  $\rightarrow$  Int  $\sqsubseteq$  Int  $\rightarrow$  Int

but:

The precision relation is a pre-order thus, in particular, it is *transitive*:

? 
$$\sqsubseteq$$
 ?  $\rightarrow$ ?  $\sqsubseteq$  ?  $\rightarrow$  Int  $\sqsubseteq$  Int  $\rightarrow$  Int

but:

This means that it can be used in a subsumption-like rule:

[MATERIALIZE] 
$$\frac{\Gamma \vdash a : S \qquad S \sqsubseteq T}{\Gamma \vdash a : T}$$

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? 
$$\sqsubseteq$$
 ?  $\rightarrow$ ?  $\sqsubseteq$  ?  $\rightarrow$  Int  $\sqsubseteq$  Int  $\rightarrow$  Int

but:

? 
$$\sqsubseteq$$
 Int  $\not\sqsubseteq$  ?

This means that it can be used in a subsumption-like rule:

[MATERIALIZE] 
$$\frac{\Gamma \vdash a : S \qquad S \sqsubseteq T}{\Gamma \vdash a : T}$$

We can add it to any type system to embed gradual typing in it.

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? 
$$\sqsubseteq$$
 ?  $\rightarrow$ ?  $\sqsubseteq$  ?  $\rightarrow$  Int  $\sqsubseteq$  Int  $\rightarrow$  Int

but:

This means that it can be used in a subsumption-like rule:

[MATERIALIZE] 
$$\frac{\Gamma \vdash a : S \qquad S \sqsubseteq T}{\Gamma \vdash a : T}$$

We can add it to any type system to embed gradual typing in it.

#### Rationale

As subtyping caputures "safe replacement", so precision captures "potential materialization".

Since *potential materialization* does not mean *assured* materialization, then we have to check it at run-time:

$$[\mathsf{MATERIALIZE}] \ \frac{\Gamma \vdash a : S \xrightarrow{\mathsf{compiles}} a' \qquad S \sqsubseteq T}{\Gamma \vdash a : T \xrightarrow{\mathsf{compiles}} a' \langle T \rangle}$$

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#### Rationale

- Subtyping = assured materialization (cast always works)
- Precision = possible materialization (cast may fail)

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[MATERIALIZE] 
$$\frac{\Gamma \vdash a : S \xrightarrow{\text{compiles}} a' \qquad S \sqsubseteq T}{\Gamma \vdash a : T \xrightarrow{\text{compiles}} a' \langle T \rangle}$$

#### Rationale

- Subtyping = assured materialization (cast always works)
- Precision = possible materialization (cast may fail)

### From a logical viewpoint:

$$\frac{\Gamma \vdash a : S \xrightarrow{\text{compiles}} a' \qquad S \leq T}{\Gamma \vdash a : T \xrightarrow{\text{compiles}} a' (T)}$$

Subsumption as implicit coercions (subtyping)

$$\frac{\Gamma \vdash a : S \xrightarrow{\text{compiles}} a' \qquad S \sqsubseteq T}{\Gamma \vdash a : T \xrightarrow{\text{compiles}} a' \langle T \rangle}$$

Materialization as explicit casts (precision)

- Take your favorite typed language
- Add "?" to types
- Add the materialization rule (with suitable □)
- Compile to insert casts
- 6 Et voila: you have added gradual typing

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Types 
$$T ::= \text{Int} \mid \text{Bool} \mid T \to T$$
Terms  $a, b ::= x \mid ab \mid \lambda x : T . a \mid 1 \mid 2 \mid ...$ 

$$(\lambda x : T . a) b \longrightarrow a[b/x]$$

$$[VAR] \qquad [\to \text{INTRO}] \qquad [\to \text{ELIM}]$$

$$\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash x : \Gamma(x)} \qquad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x : S . a : S \to T} \qquad \frac{\Gamma \vdash a : S \to T}{\Gamma \vdash ab : T}$$

- Take your favorite typed language
- Add "?" to types
- Add the materialization rule (with suitable □)
- Compile to insert casts
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Types 
$$T$$
 ::= Int | Bool |  $T \to T$  | ?

Terms  $a, b$  ::=  $x \mid ab \mid \lambda x : T . a \mid 1 \mid 2 \mid ...$ 

[VAR] [ $\to$ INTRO] [ $\to$ ELIM]

$$\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash x : \Gamma(x)} = \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x : S . a : S \to T} = \frac{\Gamma \vdash a : S \to T}{\Gamma \vdash ab : T}$$

[MATERIALIZE]
$$\frac{\Gamma \vdash a : S}{\Gamma \vdash a : T}$$

- Take your favorite typed language
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- Add the materialization rule (with suitable □)
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Types 
$$T ::= \text{Int} \mid \text{Bool} \mid T \to T \mid$$
 ?

Terms  $a,b ::= x \mid ab \mid \lambda x : T . a \mid 1 \mid 2 \mid ...$ 

$$\begin{bmatrix}
\text{VAR} \\
\hline
\Gamma \vdash x : \Gamma(x)
\end{bmatrix} \qquad \begin{bmatrix}
\rightarrow \text{INTRO} \\
\hline
\Gamma \vdash x : S \vdash a : T
\end{bmatrix} \qquad \begin{bmatrix}
\rightarrow \text{ELIM} \\
\hline
\Gamma \vdash a : S \to T
\end{bmatrix} \qquad \begin{bmatrix}
\rightarrow \text{ELIM} \\
\hline
\Gamma \vdash a : S \to T
\end{bmatrix} \qquad \begin{bmatrix}
\vdash a : S \to T
\end{bmatrix} \qquad \Gamma \vdash b : S$$

$$\hline
\Gamma \vdash a : S \xrightarrow{\text{Compiles}} a' \qquad S \sqsubseteq T$$

$$\hline
\Gamma \vdash a : T$$

$$\begin{bmatrix}
\text{MATERIALIZE}_{\text{Compiles}} \\
\hline
\Gamma \vdash a : T
\end{bmatrix} \qquad \begin{bmatrix}
\vdash a : S \xrightarrow{\text{compiles}} a' & S \sqsubseteq T
\end{bmatrix}$$

$$\Gamma \vdash a : T$$

Take your favorite typed language

 $\Gamma \vdash a \cdot T$ 

- Add "?" to types
- Add the materialization rule (with suitable □)
- Compile to insert casts
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Types 
$$T ::= \text{Int} \mid \text{Bool} \mid T \to T \mid$$
 ?

Terms  $a,b ::= x \mid ab \mid \lambda x : T . a \mid 1 \mid 2 \mid ...$   $(\lambda x : T . a)b \longrightarrow a[b/x]$ 

$$\begin{bmatrix}
\text{[VAR]} & [\to \text{INTRO]} & [\to \text{ELIM}] \\
\hline
\Gamma \vdash x : \Gamma(x) & \overline{\Gamma \vdash \lambda x : S . a : S \to T} & \overline{\Gamma \vdash a : S \to T} & \overline{\Gamma \vdash b : S} \\
\hline
[MATERIALIZE] & [MATERIALIZE_{\text{COMPIL}}] \\
\Gamma \vdash a : S & S \sqsubseteq T & \Gamma \vdash a : S \xrightarrow{\text{compiles}} a' & S \sqsubseteq T
\end{bmatrix}$$

 $\Gamma \vdash a : T \xrightarrow{\text{compiles}} a' \langle T \rangle$ 

- Take your favorite typed language
- Add "?" to types
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Types 
$$T$$
 ::= Int | Bool |  $T \rightarrow T$  | ?

Terms  $a,b$  ::=  $x \mid ab \mid \lambda x$ : $T.a \mid 1 \mid 2 \mid ...$   $(\lambda x:T.a)b \longrightarrow a[b/x]$ 

[VAR] [ $\rightarrow$ INTRO] [ $\rightarrow$ ELIM]

$$\frac{[\forall \mathsf{NR}]}{\Gamma \vdash x : \Gamma(x)} \qquad \frac{[\neg \mathsf{INTRO}]}{\Gamma \vdash \lambda x : S \cdot a : T} \qquad \frac{[\neg \mathsf{ELIM}]}{\Gamma \vdash a : S \rightarrow T} \qquad \frac{\Gamma \vdash a : S \rightarrow T}{\Gamma \vdash ab : T}$$

$$\frac{[\mathsf{MATERIALIZE}]}{\Gamma \vdash a \colon S} \frac{S \sqsubseteq T}{\Gamma \vdash a \colon T}$$

$$\frac{ \left[ \mathsf{MATERIALIZE}_{\mathsf{COMPIL}} \right] }{ \Gamma \vdash a : S \xrightarrow{\mathsf{compiles}} a' \qquad S \sqsubseteq T }$$

$$\frac{\Gamma \vdash a : T \xrightarrow{\mathsf{compiles}} a' \langle T \rangle}{ \Gamma \vdash a : T \xrightarrow{\mathsf{compiles}} a' \langle T \rangle}$$

Is it that

simple?!?!

- Take your favorite typed language
- Add "?" to types
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Types 
$$T ::= \text{Int} \mid \text{Bool} \mid T \to T \mid ?$$

Terms  $a, b ::= x \mid ab \mid \lambda x : T . a \mid 1 \mid 2 \mid ...$ 

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$$\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash x : \Gamma(x)} \qquad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x : S . a : S \to T} \qquad \frac{\Gamma \vdash a : S \to T}{\Gamma \vdash ab : T}$$

$$\frac{\Gamma \vdash a : S \qquad S \sqsubseteq T}{\Gamma \vdash a : T}$$

$$\frac{ [\mathsf{MATERIALIZE}_{\mathsf{COMPIL}}] }{ \Gamma \vdash a : S \xrightarrow{\mathsf{compiles}} a' \qquad S \sqsubseteq T } \\ \hline \Gamma \vdash a : T \xrightarrow{\mathsf{compiles}} a' \langle T \rangle }$$

YES!...

- Take your favorite typed language
- Add "?" to types
- Add the materialization rule (with suitable =)
- Compile to insert casts
- Et voila: you have added gradual typing

YES!...as long as you don't pretend to implement it!!!

```
Types T ::= \text{Int} \mid \text{Bool} \mid T \to T \mid ?

Terms a, b ::= x \mid ab \mid \lambda x: T.a \mid 1 \mid 2 \mid ...

[VAR] [\to \text{INTRO}] [\to \text{ELIM}]
```

$$\frac{\Gamma \vdash x : \Gamma(x)}{\Gamma \vdash x : \Gamma(x)} = \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x : S . a : S \to T} = \frac{\Gamma \vdash a : S \to T}{\Gamma \vdash ab : T}$$

$$\frac{[\mathsf{MATERIALIZE}]}{\Gamma \vdash a \colon S} \frac{S \sqsubseteq T}{\Gamma \vdash a \colon T}$$

$$\frac{ \begin{bmatrix} \mathsf{MATERIALIZE}_{\mathsf{COMPIL}} \end{bmatrix} }{ \Gamma \vdash a : S \xrightarrow{\mathsf{compiles}} a' \qquad S \sqsubseteq T }$$

$$\Gamma \vdash a : T \xrightarrow{\mathsf{compiles}} a' \langle T \rangle$$

- Take your favorite typed language
- Add "?" to types
- Add the materialization rule (with suitable =)

Types  $T ::= Int \mid Bool \mid T \rightarrow T \mid$ ?

- Compile to insert casts
- Et voila: you have added gradual typing

Terms  $a,b ::= x \mid ab \mid \lambda x:T.a \mid 1 \mid 2 \mid ...$ 

YES!...as long as you don't pretend to implement it!!!



$$\frac{[\mathsf{MATERIALIZE}]}{\Gamma \vdash a \colon S \qquad S \sqsubseteq T}$$
$$\frac{\Gamma \vdash a \colon T}{\Gamma \vdash a \colon T}$$

$$\frac{ \begin{bmatrix} \mathsf{MATERIALIZE}_{\mathsf{COMPIL}} \end{bmatrix} }{ \Gamma \vdash a : S \xrightarrow{\mathsf{compiles}} a' \qquad S \sqsubseteq T }$$

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 Materialization elimination: as we had to eliminate subsumption to get a type-checking algorithm so we have to do the same for [MATERIALIZE].

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$$(double(Int \rightarrow Int))(42) \longrightarrow ????$$

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- Error messages: when a cast fails which part of the program is to blame?
- Efficient implementation: how to avoid accumulation of cast compositions (i.e., stack overflow) and how to implement efficiently tail recursion for functions with casts?

But before that, let me show you that the approach works and it is pretty general

#### **Simply Typed Lambda Calculus**

### Syntax:

Types 
$$T$$
 ::= Int | Bool |  $T \rightarrow T$   
Terms  $a,b$  ::=  $x \mid ab \mid \lambda x:T.a \mid 1 \mid 2 \mid ...$ 

#### Semantics:

$$(\beta) \hspace{1cm} (\lambda x: T.a)b \hspace{1cm} \longrightarrow \hspace{1cm} a[b/x]$$

$$\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash x : \Gamma(x)} \qquad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x : S . a : S \to T} \qquad \frac{\Gamma \vdash a : S \to T \qquad \Gamma \vdash b : S}{\Gamma \vdash ab : T}$$

#### **Simply Typed Lambda Calculus**

#### Syntax:

Types 
$$T ::= Int \mid Bool \mid T \rightarrow T \mid ?$$
  
Terms  $a,b ::= x \mid ab \mid \lambda x:T.a \mid 1 \mid 2 \mid ...$ 

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$$(\beta) \hspace{1cm} (\lambda x : T.a)b \hspace{1cm} \longrightarrow \hspace{1cm} a[b/x]$$

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$$[MATERIALIZE] \qquad \frac{\Gamma \vdash a : S \qquad S \sqsubseteq T}{\Gamma \vdash a : T}$$

### **Simply Typed Lambda Calculus**

### Syntax:

Types 
$$T ::= Int \mid Bool \mid T \rightarrow T \mid ?$$
Terms  $a,b ::= x \mid ab \mid \lambda x : T . a \mid 1 \mid 2 \mid ...$ 

semantics must be given by compilation.

#### Semantics:

$$(\beta) \qquad (\lambda x \cdot T = ) \tau \qquad \exists [b/x]$$

$$\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash x : \Gamma(x)} \qquad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x : S . a : S \to T} \qquad \frac{\Gamma \vdash a : S \to T \qquad \Gamma \vdash b : S}{\Gamma \vdash a b : T}$$

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#### Simply Typed Lambda Calculus

#### Syntax:

Types 
$$T$$
 ::= Int | Bool |  $T \rightarrow T$  | ?  
Terms  $a,b$  ::=  $x \mid ab \mid \lambda x:T.a \mid 1 \mid 2 \mid ...$ 

#### Semantics:

$$[\mathsf{MATERIALIZE}_{\mathsf{COMPIL}}] \frac{\Gamma \vdash a : S^{\frac{\mathsf{compiles}}{-----}} a' \qquad S \sqsubseteq T}{\Gamma \vdash a : T^{\frac{\mathsf{compiles}}{-----}} a' \langle T \rangle}$$

$$\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash x : \Gamma(x)} \qquad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x : S . a : S \to T} \qquad \frac{\Gamma \vdash a : S \to T \qquad \Gamma \vdash b : S}{\Gamma \vdash a b : T}$$

$$[MATERIALIZE] \qquad \frac{\Gamma \vdash a : S \qquad S \sqsubseteq T}{\Gamma \vdash a : T}$$

### Simply Typed Lambda Calculus + Gradual Typing

### Syntax:

Types 
$$T$$
 ::= Int | Bool |  $T \rightarrow T$  | ?  
Terms  $a,b$  ::=  $x \mid ab \mid \lambda x:T.a \mid 1 \mid 2 \mid ...$ 

#### Semantics:

$$[\mathsf{MATERIALIZE}_{\mathsf{COMPIL}}] \xrightarrow{\Gamma \vdash a : S \xrightarrow{\mathsf{compiles}} a' \qquad S \sqsubseteq T} \Gamma \vdash a : T \xrightarrow{\mathsf{compiles}} a' \langle T \rangle$$

$$\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash x : \Gamma(x)} \qquad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x : S . a : S \to T} \qquad \frac{\Gamma \vdash a : S \to T \qquad \Gamma \vdash b : S}{\Gamma \vdash a b : T}$$

$$[MATERIALIZE] \qquad \frac{\Gamma \vdash a : S \qquad S \sqsubseteq T}{\Gamma \vdash a : T}$$

## Simply Typed Lambda Calculus + Gradual Typing + Subtyping

#### Syntax:

Types 
$$T$$
 ::= Int | Bool |  $T \rightarrow T$  | ?  
Terms  $a,b$  ::=  $x \mid ab \mid \lambda x:T.a \mid 1 \mid 2 \mid ...$ 

#### Semantics:

$$[\mathsf{MATERIALIZE}_{\mathsf{COMPIL}}] \frac{\Gamma \vdash a : S^{\frac{\mathsf{compiles}}{-----}} a' \qquad S \sqsubseteq T}{\Gamma \vdash a : T^{\frac{\mathsf{compiles}}{-----}} a' \langle T \rangle}$$

$$\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash x : \Gamma(x)} \qquad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x : S . a : S \to T} \qquad \frac{\Gamma \vdash a : S \to T \qquad \Gamma \vdash b : S}{\Gamma \vdash a b : T}$$

$$[MATERIALIZE] \qquad \frac{\Gamma \vdash a : S \qquad S \sqsubseteq T}{\Gamma \vdash a : T} \qquad [SUBSUM] \qquad \frac{\Gamma \vdash a : S \qquad S \leq T}{\Gamma \vdash a : T}$$

#### Soundness

If the reduction semantics of the cast calculus is reasonably defined (see later) then:

## Theorem (Soundness)

If  $\Gamma \vdash a : T$ , then  $\Gamma \vdash a : T \xrightarrow{\text{compiles}} a'$  and

- either a' reduces to a value of type T
- or a diverges
- or a' fails for a cast on a dynamic type

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If the reduction semantics of the cast calculus is reasonably defined (see later) then:

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- or a' fails for a cast on a dynamic type

# **HM Polymorphism**

#### Syntax:

```
Types T ::= Int | Bool | T \rightarrow T | \alpha
Schemas \sigma ::= T | \forall \alpha.\sigma
Terms a,b ::= x | ab | \lambda x.a | let x = a in b | 1 | 2 | ...
```

#### Semantics:

$$(\beta) \qquad (\lambda x.a)b \longrightarrow a[b/x]$$

$$\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash x : \Gamma(x)} \qquad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x. a : S \to T} \qquad \frac{\Gamma \vdash a : S \to T \qquad \Gamma \vdash b : S}{\Gamma \vdash ab : T}$$

$$\frac{\Gamma \vdash a : \sigma_1 \qquad \Gamma, x : \sigma_1 \vdash b : \sigma_2}{\Gamma \vdash 1et \qquad x = a \text{ in } b : \sigma_2} \qquad \frac{\Gamma \vdash a : T \quad \alpha \not\in \mathsf{fv}(\Gamma)}{\Gamma \vdash a : \forall \alpha. T} \qquad \frac{\Gamma \vdash a : \forall \alpha. T}{\Gamma \vdash a : T[S/\alpha]}$$

# **HM Polymorphism + Gradual Typing**

#### Syntax:

```
Types T ::= Int | Bool | T \rightarrow T | \alpha | ?

Schemas \sigma ::= T | \forall \alpha.\sigma

Terms a,b ::= x | ab | \lambda x.a | let x = a in b | 1 | 2 | ...
```

#### Semantics:

$$[\mathsf{MATERIALIZE}_{\mathsf{COMPIL}}] \frac{\Gamma \vdash a : S \xrightarrow{\mathsf{compiles}} a' \qquad S \sqsubseteq T}{\Gamma \vdash a : T \xrightarrow{\mathsf{compiles}} a' \langle T \rangle}$$

$$\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash x : \Gamma(x)} \qquad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x . a : S \to T} \qquad \frac{\Gamma \vdash a : S \to T \qquad \Gamma \vdash b : S}{\Gamma \vdash a b : T}$$

$$\frac{\Gamma \vdash a : \sigma_1 \qquad \Gamma, x : \sigma_1 \vdash b : \sigma_2}{\Gamma \vdash 1 \text{ et } x = a \text{ in } b : \sigma_2} \qquad \frac{\Gamma \vdash a : T \qquad \alpha \not\in \mathsf{fv}(\Gamma)}{\Gamma \vdash a : \forall \alpha . T} \qquad \frac{\Gamma \vdash a : \forall \alpha . T}{\Gamma \vdash a : T[S/\alpha]}$$

$$[\mathsf{MATERIALIZE}] \qquad \frac{\Gamma \vdash a : S \qquad S \sqsubseteq T}{\Gamma \vdash a : T}$$

# **HM Polymorphism + Gradual Typing + Subtyping**

#### Syntax:

```
Types T ::= Int | Bool | T \rightarrow T | \alpha | ?

Schemas \sigma ::= T | \forall \alpha.\sigma

Terms a,b ::= x | ab | \lambda x.a | let x = a in b | 1 | 2 | ...
```

#### Semantics:

[MATERIALIZE<sub>COMPIL</sub>] 
$$\frac{\Gamma \vdash a : S \xrightarrow{\text{compiles}} a' \qquad S \sqsubseteq T}{\Gamma \vdash a : T \xrightarrow{\text{compiles}} a' \langle T \rangle}$$

$$\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash x : \Gamma(x)} \qquad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x . a : S \to T} \qquad \frac{\Gamma \vdash a : S \to T \qquad \Gamma \vdash b : S}{\Gamma \vdash a b : T}$$

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$$\frac{\Gamma \vdash a : S \qquad S \sqsubseteq T}{\Gamma \vdash a : T} \qquad \text{[Subsum]} \qquad \frac{\Gamma \vdash a : S \qquad S \leq T}{\Gamma \vdash a : T}$$

# HM Polymorphism + Gradual Typing + Subtyping

#### Syntax:

Types T::= Int  $\mid Bool \mid T \rightarrow T$ Schemas  $\sigma::=$   $T \mid \forall \alpha.\sigma$ Terms a,b::=  $x \mid ab \mid \lambda x.a \mid let$  Some details are missing: annotations and no inference gradual types ... but that's it!!

#### Semantics:

$$[\mathsf{MATERIALIZE}_{\mathsf{COMPIL}}] \frac{\Gamma \vdash a : S \xrightarrow{\mathsf{compiles}} a' \qquad S \sqsubseteq T}{\Gamma \vdash a : T \xrightarrow{\mathsf{compiles}} a' \langle T \rangle}$$

$$\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash x : \Gamma(x)} \qquad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x . a : S \to T} \qquad \frac{\Gamma \vdash a : S \to T \qquad \Gamma \vdash b : S}{\Gamma \vdash ab : T}$$

$$\frac{\Gamma \vdash a : \sigma_1 \qquad \Gamma, x : \sigma_1 \vdash b : \sigma_2}{\Gamma \vdash \text{let } x = a \text{ in } b : \sigma_2} \qquad \frac{\Gamma \vdash a : T \quad \alpha \not\in \mathsf{fv}(\Gamma)}{\Gamma \vdash a : \forall \alpha. T} \qquad \frac{\Gamma \vdash a : \forall \alpha. T}{\Gamma \vdash a : T[S/\alpha]}$$

$$[\text{MATERIALIZE}] \ \frac{\Gamma \vdash a : S \qquad S \sqsubseteq T}{\Gamma \vdash a : T} \quad [\text{SUBSUM}] \ \frac{\Gamma \vdash a : S \qquad S \leq T}{\Gamma \vdash a : T}$$

# **HM Polymorphism + Gradual Typing + Subtyping**

#### Syntax:

```
Types T ::= Int | Bool | T \rightarrow T | That's all, but how Schemas \sigma ::= T \mid \forall \alpha.\sigma | Terms a,b ::= x \mid ab \mid \lambda x.a \mid let x
```

#### Semantics:

$$[\mathsf{MATERIALIZE}_{\mathsf{COMPIL}}] \frac{\Gamma \vdash a : S \xrightarrow{\mathsf{compiles}} a' \qquad S \sqsubseteq T}{\Gamma \vdash a : T \xrightarrow{\mathsf{compiles}} a' \langle T \rangle}$$

$$\frac{\Gamma,x:S\vdash a:T}{\Gamma\vdash x:\Gamma(x)} \qquad \frac{\Gamma,x:S\vdash a:T}{\Gamma\vdash \lambda x.a:S\to T} \qquad \frac{\Gamma\vdash a:S\to T \qquad \Gamma\vdash b:S}{\Gamma\vdash ab:T}$$

$$\frac{\Gamma \vdash a : \sigma_1 \qquad \Gamma, x : \sigma_1 \vdash b : \sigma_2}{\Gamma \vdash \text{let } x = a \text{ in } b : \sigma_2} \qquad \frac{\Gamma \vdash a : T \quad \alpha \not\in \text{fv}(\Gamma)}{\Gamma \vdash a : \forall \alpha. T} \qquad \frac{\Gamma \vdash a : \forall \alpha. T}{\Gamma \vdash a : T[S/\alpha]}$$

$$[\text{MATERIALIZE}] \ \frac{\Gamma \vdash a : S \qquad S \sqsubseteq T}{\Gamma \vdash a : T} \quad [\text{SUBSUM}] \ \frac{\Gamma \vdash a : S \qquad S \leq T}{\Gamma \vdash a : T}$$

## Outline

- 15 Main ideas
- 16 Formal system
- Malgorithmic Aspects
- Criteria for Gradual Typing
- 19 Implementation isssues
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$$\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash x : \Gamma(x)} \qquad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x : S . a : S \to T}$$

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$$[\rightarrow \mathsf{ELIM}_{\sqsubseteq}] \; \frac{\Gamma \vdash_{\mathcal{A}} a \colon S \to T \qquad \Gamma \vdash_{\mathcal{A}} b \colon U}{\Gamma \vdash_{\mathcal{A}} ab \colon T} \; \exists \textit{\textbf{V}} . S \sqsubseteq \textit{\textbf{V}}, U \sqsubseteq \textit{\textbf{V}}$$

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It is a sound and complete algorithm:

$$\Gamma \vdash a : T \iff \Gamma \vdash a : S \text{ and } S \sqsubseteq T$$

$$\frac{\Gamma, x : S \vdash_{\mathcal{A}} a : T}{\Gamma \vdash_{\mathcal{A}} \lambda x : S : a : S \to T}$$

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It is a sound and complete algorithm:

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Actually this is the good old [ $\rightarrow$ ELIM $_{\sim}$ ] rule of Siek&Taha (but defined for a sensible relation):

$$[
ightarrow {\sf ELIM}_{\sim}] \; rac{\Gamma \vdash a \colon S 
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since  $U \sim S \iff \exists V.S \sqsubseteq V, U \sqsubseteq V$ 

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corresponds to the derivation

$$\underbrace{ \frac{S \sqsubseteq V \qquad T \sqsubseteq T}{S \!\!\to\! T \sqsubseteq V \!\!\to\! T}}_{\text{MATER}} \qquad \underbrace{ \frac{\Gamma \vdash a \colon S \!\!\to\! T \qquad V \!\!\to\! T}{\Gamma \vdash a \colon V \!\!\to\! T}}_{\Gamma \vdash a \colon V \!\!\to\! T} \qquad \underbrace{ \frac{\Gamma \vdash b \colon U \qquad U \sqsubseteq V}{\Gamma \vdash b \colon V}}_{\text{MATER}}$$

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$$\rightarrow \text{ELIM} \qquad \frac{\Gamma \vdash a \colon S \rightarrow T \qquad \overline{S} \rightarrow T \sqsubseteq V \rightarrow T}{\Gamma \vdash_{\mathcal{A}} a \langle V \rightarrow T \rangle \left( b \langle V \rangle \right) : T}$$

Which V shall we use? well, obviously:

$$V = \min_{\sqsubseteq} \{ W \mid S \sqsubseteq W, U \sqsubseteq W \}$$

## This yields the following compilation rule:

$$\frac{ \left[ \rightarrow \mathsf{ELIM}_{\sqsubseteq \mathsf{COMPIL}} \right] }{ \Gamma \vdash a \colon S \rightarrow T \xrightarrow{\mathsf{compiles}} a' \qquad \Gamma \vdash b \colon U \xrightarrow{\mathsf{compiles}} b' } \\ \frac{\Gamma \vdash a \colon S \rightarrow T \xrightarrow{\mathsf{compiles}} a' \quad \Gamma \vdash b \colon U \xrightarrow{\mathsf{compiles}} b'}{ \Gamma \vdash_{\mathcal{A}} ab \colon T \xrightarrow{\mathsf{compiles}} a' \langle V \rightarrow T \rangle (b' \langle V \rangle) } (V = \min_{\mathbb{Z}} \{W \mid S \sqsubseteq W, U \sqsubseteq W\})$$

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Cast insertion different from Siek&Taha: we cast both the function and the arguement:

We only use "upcast", that is cast from less precise to more precise types. This is formalized by the [MATERIALIZE] rule for *the language with casts* (all the other rules are as before)

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### **Gradually Typed Language**

## Syntax:

Types 
$$T$$
 ::= Int | Bool |  $T \rightarrow T$  | ?

Terms  $a,b$  ::=  $x$  |  $ab$  |  $\lambda x:T.a$  | 1 | 2 |...

## Typing

$$\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash x : \Gamma(x)} \qquad \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x : S . a : S \to T} \qquad \frac{\Gamma \vdash a : S \to T \qquad \Gamma \vdash b : S}{\Gamma \vdash ab : T}$$

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#### Semantics:

$$(\beta) \qquad (\lambda x: T.a)b \longrightarrow a[b/x]$$

### **Gradually Typed Language with Casts**

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#### Still missing the semantics for casts

What is the dynamic semantics of casts?

## What is the dynamic semantics of casts?

### Easy for non functional values:

$$\begin{array}{cccc} 3\langle \mathtt{Int}\rangle & \longrightarrow & 3 \\ 3\langle \mathtt{Bool}\rangle & \longrightarrow & \mathsf{Fail} \end{array}$$

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Not so trivial for functions: function foo (x : ?) {
  if (x == 42) { return (2*x)} else { true }
}
Consider foo(Int\rightarrowInt).
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(foo⟨Int→Int⟩) (42) must not fail: it's applied to an Int and returns an Int.
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(foo\langle Int \rightarrow Int \rangle) (42) \longrightarrow (foo(42\langle Int \rangle))\langle Int \rangle
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### Syntax:

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$$T$$
 ::= Int | Bool |  $T \rightarrow T$  | ?  
Terms  $a,b$  ::=  $x$  |  $ab$  |  $\lambda x$ : $T.a$  |  $a\langle T \rangle$  | 1 | 2 | ...  
Values  $v$  ::=  $\lambda x$ : $T.a$  | 1 | 2 | ...

### **Typing**

$$\frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash x : \Gamma(x)} \frac{\Gamma, x : S \vdash a : T}{\Gamma \vdash \lambda x : S . a : S \to T} \frac{\Gamma \vdash a : S \to T \qquad \Gamma \vdash b : S}{\Gamma \vdash ab : T}$$

$$[MATERIALIZE] \frac{\Gamma \vdash a : S}{\Gamma \vdash a \langle T \rangle} : T$$

### Semantics:

$$\begin{array}{cccc} (\lambda x : T . a)v & \longrightarrow & a[v/x] \\ & v \langle T \rangle & \longrightarrow & v & \text{if } T \neq S_1 \rightarrow S_2 \text{ and } \vdash v : T \\ & v \langle T \rangle & \longrightarrow & \text{Fail} & \text{if } T \neq S_1 \rightarrow S_2 \text{ and } \not\vdash v : T \\ & (v_1 \langle S \rightarrow T \rangle)v_2 & \longrightarrow & (v_1 \langle v_2 \langle S \rangle) \langle T \rangle \end{array}$$

### The cast language is sound:

## Theorem (Soundness)

For every term a of the cast language, if  $\Gamma \vdash a : T$ , then

- either a reduces to a value of type T
- or a diverges
- or a reduces to Fail

[no stuck term]

What are the consenquences of this theorem on our initial language? How does it fit our framework? Let me first add a further bit

## Tracking errors

The message Fail is not very useful for debugging

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We can modify compilation to track the origine of failures:

[MATERIALIZE] 
$$\frac{\Gamma \vdash a : S \xrightarrow{\text{compiles}} a' \qquad S \sqsubseteq T}{\Gamma \vdash a : T \xrightarrow{\text{compiles}} a' \langle T \rangle^{\ell}}$$

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where  $\ell$  is a pointer to the source code of a

Then it suffices to change the semantics of the cast language to return this pointer:

#### Semantics:

$$\begin{array}{cccc} (\lambda x : T.a)v & \longrightarrow & a[v/x] \\ & v \langle T \rangle^{\ell} & \longrightarrow & v & \text{if } T \neq S_1 \rightarrow S_2 \text{ and } \vdash v : T \\ & v \langle T \rangle^{\ell} & \longrightarrow & \text{blame } \ell \\ & (v_1 \langle S \rightarrow T \rangle^{\ell})v_2 & \longrightarrow & (v_1(v_2 \langle S \rangle^{\ell}) \langle T \rangle^{\ell} \end{array}$$

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### **Criterion: Type Soundness**

Every expression must only result in values whose type agrees with the static type of the expression.

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If \Gamma \vdash a : T, then \Gamma \vdash a : T \xrightarrow{\text{compiles}} a' and
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A Corollary of the soundness of the cast calculus and of the following lemma of type preservation.

```
Lemma. If \Gamma \vdash a : T then then \Gamma \vdash a : T \xrightarrow{\text{compiles}} a' and \Gamma \vdash a' : S \sqsubseteq T
```

### Criterion: Blame Tracking

When a runtime type error occurs, it is never the fault of a statically typed region of code.

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When a runtime type error occurs, it is never the fault of a statically typed region of code.

### Theorem (Blame Theorem)

Let C[a] be a program such that ? does not occur in a.

If  $\Gamma \vdash C[a] : T \xrightarrow{\text{compiles}} b$  and  $b \longrightarrow \text{blame } \ell$ , then  $\ell \in C[]$  and  $\ell \notin a$ .

### Criterion: Gradual Guarantee

Using less precise types must not change the outcome of type checking or of running a program.

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Using less precise types must not change the outcome of type checking or of running a program.

An expression a is *less precise* than b, written  $a \sqsubseteq b$ , if a is b but with less precise annotations.

**Note**: a dynamically typed version of *a* is where all annotations are ?: it is a minimal element in the precision lattice.

### Criterion: Gradual Guarantee

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An expression a is *less precise* than b, written  $a \sqsubseteq b$ , if a is b but with less precise annotations.

**Note**: a dynamically typed version of *a* is where all annotations are ?: it is a minimal element in the precision lattice.

### Theorem (Gradual Guarantee)

If  $\Gamma \vdash a : T \xrightarrow{\text{compiles}} a'$  and  $b \sqsubseteq a$ , then:

- $\Gamma \vdash b : T' \xrightarrow{\text{compiles}} b' \text{ and } T' \sqsubseteq T$
- if  $a' \longrightarrow v$ , then  $b' \longrightarrow v'$  and  $v' \sqsubseteq v$ .

### Outline

- Main ideas
- 16 Formal system
- Algorithmic Aspects
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- 19 Implementation isssues
- 20 References

# A hint to efficient implementation

A gradually typed tail-recursive function:

```
let rec odd : Int -> ? = fun n ->
    if n = 0 then false
    else (even (n-1))
and even : Int -> Bool = fun n ->
    if n = 0 then true
    else (odd (n-1))
```

# A hint to efficient implementation

A gradually typed tail-recursive function:

In Siek&Taha it is compiled into:

```
let rec odd : Int -> ? = fun n ->
    if n = 0 then false<?>
    else (even (n-1))<?>
and even : Int -> Bool = fun n ->
    if n = 0 then true
    else (odd (n-1))<Bool>
```

# A hint to efficient implementation

A gradually typed tail-recursive function:

```
let rec odd : Int -> ? = fun n ->
    if n = 0 then false<?>
    else (even (n-1))<?>
and even : Int -> Bool = fun n ->
    if n = 0 then true
    else (odd (n-1))<Bool>
```

It produces accumulation of casts:

```
odd 5 \longrightarrow (even 4)<?>

\longrightarrow (odd 3)<Bool><?>

\longrightarrow (even 2)<?><Bool><?>

\longrightarrow (odd 1)<Bool><?><Bool><?>

\longrightarrow (even 0)<?><Bool><?>
```

**Solution:** specific implementation of tail-recursion combine with cast compression via intersection types:

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### To go further

#### Some starting points:

- Objects: Siek & Taha (ECOOP 2007)
- Type inference: Siek & Vachharajani (DLS 2008), Garcia & Cimini (POPL 2015)
- Adapting dynamic languages: Tobin-Hochstadt & Felleisen (POPL 2008)
- Foundational approach: Garcia & Clark & Tanter (POPL 2016)
- Gradual Guarantees: Siek& Vitousek & Cimini & Boyland (SNAPL 2015)
- Second order parametric polymorphism: Igarashi et al. (ICFP 2017),
   Xie & Bi & Oliveira (ESOP 2018)
- Union and intersection types: Castagna & Lanvin (ICFP 2017)
- Implementation aspects: Takikawa et al. (POPL 2016), Bauman et al. (OOPSLA 2017), Kuhlenschmidt et al. (PLDI 2019), Castagna & Duboc & Lanvin & Siek (IFL 2019)
- Type inference, subtyping, union and intersection types: Castagna & Lanvin & Petrucciani & Siek (POPL 2019) The full monty!

#### More practical aspects

cast compression computing failures (grounding) hint to implementation aspects? hint to type inference