

A results-driven professional with extensive experience in IT auditing, risk management, and process improvement, obtaining to leverage expertise in internal and external audits, stakeholder management, and strategic planning to contribute to an organization's growth and efficiency.

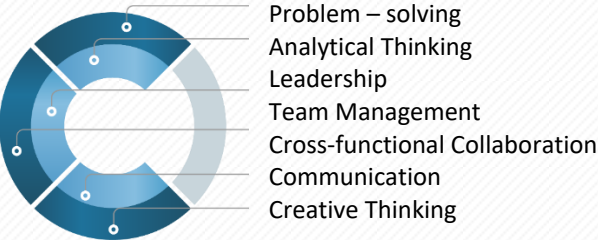
PROFILE SUMMARY

- ❖ Extensive experience of over 10.5 years in IT Internal Audit, IT External Audit, and Risk Management in the financial services industry.
- ❖ In my last organization as AVP - Internal Audit at JP Morgan Chase & Co., I was actively engaged in pre-planning walk-throughs, determining scope, and presenting the Audit scope in Planning Tollgate.
- ❖ Proficient in conducting tests on Automated controls, updating status during team meetings, and managing projects efficiently for timely delivery.
- ❖ Conducted comprehensive financial, operational, IT control, and compliance audits to evaluate adherence to organizational policies, assess the effectiveness of internal controls, and ensure the implementation of sound business practices.
- ❖ Evaluated risks to prioritize audit projects and objectives; developed audit programs that addressed identified risks by redefining controls within the assigned business area.
- ❖ Coordinated multiple audit projects, including issue identification and execution of corrective action plans in accordance with regulatory and departmental guidelines
- ❖ Ensured that audit objectives were met while gathering and documenting sufficient evidence to support audit conclusion; communicated written audit results in a timely, comprehensive, and clear manner
- ❖ Recognized for expertise in Risk Management, Relationship Building, and Performance Improvements.
- ❖ Skilled in resource allocation, collaborative leadership, and maintaining stakeholder relationships.
- ❖ Knowledgeable in COSO and ICFR frameworks.
- ❖ Well-versed in IT Audit processes and adept at driving continuous improvement initiatives.

CAREER TIMELINE



SOFT SKILLS



CORE COMPETENCIES

- ❖ Risk Management
- ❖ IT Internal Audit
- ❖ IT External Audit
- ❖ Relationship Building
- ❖ Performance Improvement
- ❖ Business Leadership
- ❖ Resource Allocation
- ❖ COSO Framework
- ❖ ICFR (Internal Controls over Financial Reporting)
- ❖ Process Improvement
- ❖ Stakeholder Management

EDUCATION



MBA in Finance & Banking
IBS Business School, Bangalore, India
Jun 2012 – Apr 2014



B.Com. in Accounting and Finance
New L.J. College of Commerce, Ahmedabad, India
Jun 2009 – Apr 2012

WORK EXPERIENCE

AVP – Internal Audit | JP Morgan Chase & Co., Mumbai, India

Apr 2023 – Apr 2025

- Spearheading pre-planning walk-throughs, determining the audit scope based on detailed discussions with senior management, ensuring a tailored audit approach.
- Conducting tests on automated controls within the Tech scope, ensuring compliance with industry standards and mitigating potential risks.
- Presenting audit scope at Planning Tollgate meetings, gaining alignment and setting expectations with senior leadership.
- Reviewed audit observations, assessed risk exposure, and delivered high-quality audit reports with actionable insights to improve organizational control frameworks.
- Managing multiple audit projects simultaneously, ensuring on-time delivery and top-notch results, contributing to overall process efficiency.
- Redefining internal audit methodology and processes to introduce significant efficiencies which included reduction in reporting times reduction in outstanding audit points and improvement of the quality and relevance of reports
- Increasing productivity of the Internal Audit Department over the previous year through initiatives.
- Planning, completed and led risk based audits for support and operational departments
- Devising and delivered risk and compliance based training in area.
- Playing a key role in the Reward & Recognition program, fostering team morale and recognizing outstanding performance.

Manager – IT Audit & Advisory | KPMG India, Mumbai, India

Feb 2019 – Apr 2023

- Led the DTAC practice, managing IT audits for high-profile clients in the Banking and Non-Banking Financial Services sectors, delivering impactful risk management solutions.
- Fostered strong client relationships through regular communication, ensuring alignment on audit goals, expectations, and project timelines.
- Led a diverse team of 10-12 professionals, supervising the execution of audits focused on Access Management, Change Management, IPE testing, and IT general controls.
- Championed team development by creating effective training programs for M-level employees, enhancing technical expertise and fostering leadership skills.
- Managed project budgets and resources, ensuring smooth operations, and consistently delivering projects within scope, timeline, and budget.
- Played a pivotal role in improving client satisfaction by resolving complex audit issues promptly, enhancing the overall audit experience and strengthening client trust.

Senior Executive – IT Audit & Advisory | KPMG Global Services, Bangalore, India

Oct 2014 – Feb 2019

- Led IRMeA/SOX audits for Tier 1 clients, focusing on Finance and Healthcare sectors, delivering in-depth analysis and assessments of control effectiveness to ensure regulatory compliance.
- Conducted detailed walk-throughs of business processes, documenting and assessing control designs, identifying areas for improvement, and enhancing control structures.
- Managed project resources and budgets, optimizing operational efficiency and ensuring the successful execution of audits within strict timelines.
- Cultivated and maintained strong stakeholder relationships with onshore engagement managers and clients, ensuring clear communication of audit findings and enhancing collaboration across global teams.
- Delivered timely audit reports, identifying risks, providing actionable recommendations, and supporting clients in making informed, data-driven decisions to improve control environments

AWARDS

- Kudos Award for Exceptional Performance – Q4, 2021
- Accolades Award for Team Ownership – Q1, 2021
- Accolades Award for Sailor of the Project – Q3, 2020
- Super Team Award for Excellent Project Delivery – Q4, 2019
- Kudos Award for Exceptional Performance – Q4, 2018



PERSONAL DETAILS

Date of Birth 10/10/1991

Languages Known: Gujarati, English & Hindi