

# CSE596: Introduction to the Theory of Computation

## Glossary

Jinghao Shi  
jinghaos@buffalo.edu  
Person #: 5009 4218

December 5, 2013

*This page intentionally left blank.*

# 1 Preliminaries

## 1.1 Words and Language

**Alphabet** A finite set of symbols.  $\Sigma = \{a_1, a_2, \dots, a_k\}$ .

**Word** A finite sequence of symbols.

**Language** A set of words.  $L \in \Sigma^*$ .

## 1.2 Partial Functions

**Partial Function**  $f : X' \rightarrow Y$ , where  $X' \subset X$ .

**Total Function** When  $X' = X$ .

**Converge** When  $f(x)$  is defined. Noted as  $f(x) \downarrow$ .

**Diverge** When  $f(x)$  is not defined. Noted as  $f(x) \uparrow$ .

## 1.3 Propositional Logic

**Satisfiable** A formula  $F$  is satisfiable if there exists an assignment to its variables that satisfies it.

**Tautology** A formula is valid (or is a tautology) if every assignment to its variables satisfies it.

**Conjunction**  $A_1 \wedge A_2 \wedge \dots \wedge A_n$

**Disjunction**  $A_1 \vee A_2 \vee \dots \vee A_n$

**Clause** Disjunction of literals.

**Conjunctive Normal Form (CNF)** Conjunction of clauses.

## 1.4 cardinality

**Same Cardinality**  $\text{card}(A) = \text{card}(B)$  iff.  $\exists f : A \rightarrow B$  is a bijection.

**Countable** A set  $A$  is countable if  $\text{card}(A) = \text{card}(\mathbb{N})$  or  $A$  is finite.

**Countable Infinite**  $\text{card}(A) = \text{card}(\mathbb{N})$ .

**Enumerable** A set is enumerable if it is the empty set or there is a function  $f : \mathbb{N} \rightarrow_{\text{onto}} A$ , i.e.,  $A = \text{range}(f) = \{a_0, a_1, \dots\}$

**Enumerable  $\Rightarrow$  Countable** Define  $h$  as follows:

$$h(0) = f(0)$$

$$h(n+1) = f(\min\{x | f(x) \notin \{h(0), h(1), \dots, h(n)\}\})$$

- $h$  is one-to-one since  $h(n+1) \notin \{h(0), h(1), \dots, h(n)\}$ .
- $\text{range}(h) \subseteq \text{range}(f) = S$ .
- $f(0) = h(0)$ , suppose by induction that  $f(n) \in \{h(0), h(1), \dots, h(n)\}$  and  $f(n+1) \notin \{h(0), h(1), \dots, h(n)\}$ , then  $n+1 = \min\{x | f(x) \notin \{h(0), h(1), \dots, h(n)\}\}$ , so  $h(n+1) = f(n+1)$ . So  $\forall n, f(n) \in \{h(0), h(1), \dots, h(n)\}$ ,  $S = \text{range}(f) \subseteq \text{range}(h)$ .

Thus  $S = \text{range}(f) = \text{range}(h)$ ,  $h : N \rightarrow_{1-1} S$ ,  $\text{card}(N) = \text{card}(S)$

**Theorem 1.3.** A set  $A$  is countable if and only if  $\text{card}(A) \leq \aleph_0$ .

$$\begin{aligned} & \text{card}(A) \leq \aleph_0 \\ \Rightarrow & \exists f : A \rightarrow_{1-1} N \\ \Rightarrow & f[A] \text{ doesn't have a largest number (otherwise, } A \text{ is finite.)} \\ \Rightarrow & a_0 = \min\{f[A]\}, a_{n+1} = \min\{f[A] - \{f(0), f(1), \dots, f(n)\}\} \\ \Rightarrow & A \text{ is enumerable.} \\ \Rightarrow & A \text{ is countable.} \end{aligned}$$

**Theorem 1.4.** The set of all functions from  $N$  to  $N$  is not countable.

Let  $A = \{f | f : N \rightarrow N\}$ , suppose for contradiction that  $A$  is countable, then  $A = \{f_1, f_2, \dots\}$ , define  $g(x) = f_x(x) + 1$  and  $g = f_k$  for some  $k$ , but  $g(k) = f_k(k) + 1 \neq f_k(k)$ .

**Theorem 1.5.**  $\mathcal{P}(N)$  has cardinality greater than  $\aleph_0$ .

Let  $A = \mathcal{P}(N) = \{S | S \subseteq N\}$  is power set of  $N$ . Suppose for contradiction that  $A$  is enumerable, then  $A = \{S_0, S_1, \dots\}$ , define  $T = \{k | k \notin S_k\}$  and  $T \in A$ . However,  $\forall k T \neq S_k$  since  $k \in T \Leftrightarrow k \notin S_k$ .

## 1.5 Misc

**onto/surjection**  $f : A \rightarrow_{\text{onto}} B$  iff.  $\forall b \in B, \exists a \in A$  s.t.  $f(a) = b$

**one-to-one/injection**  $f : A \rightarrow_{1-1} B$  iff.  $f(a) = f(b) \Rightarrow a = b$

**bijection** Both one-to-one and onto.

## 2 Turing Machine and RAM

### 2.1 Turing Machine

**Turing Machine**  $M = \langle Q, \Sigma, \Gamma, \delta, q_0, B, q_{accept}, q_{reject} \rangle$

**TM-acceptable** A language  $L, L \subseteq \Sigma^*$ , is Turing-machine-acceptable if there is a Turing machine that accepts  $L$ .

**TM-decidable** A language  $L$  is Turing-machine-decidable if  $L$  is accepted by some Turing machine that halts on every input.

$L(M)$   $L(M) = \{w \in \Sigma^* | M \text{ accepts } w\}$ .

$M$  **computes**  $\phi$   $M$  eventually enter an accepting configuration of  $\phi(w_1, \dots, w_n)q_{accept}$  iff.  $\phi(w_1, \dots, w_n) \downarrow$ .

**Partial Computable** A partial function  $\phi$  is partial computable if there is some Turing machine that computes it.

**Total Computable**  $\phi(w_1, \dots, w_n) \downarrow$  for all  $w_1, \dots, w_n$ . If  $M$  computes a total computable function,  $L(M) = \Sigma^*$ .

**Theorem 2.2.** A language  $L$  is decidable if and only if both  $L$  and  $\bar{L}$  are acceptable.  
Parallel simulation.

### RAM

1 <sub>j</sub>	$X \text{ add}_j Y$	append $a_j$ to $Y$
2	$X \text{ del } Y$	delete right most symbol of $Y$
3	$X \text{ clr } Y$	$Y \rightarrow \lambda$
4	$X Y \leftarrow Z$	$Y = Z$
5	$X \text{ jmp } Y$	
6 <sub>j</sub>	$X Y \text{ jmp}_j X'$	
7	$X \text{ continue}$	

### 3 Undecidability

#### 3.1 Undecidable Problems

**Characteristic Function**  $f_S(x) = \begin{cases} 0 & \text{if } x \in S \\ 1 & \text{if } x \notin S \end{cases}$

$w_M$  The word that encodes  $M$ .

**Gödel Number**  $e$  The code for a Turing machine  $M$ .

$\phi_e = \lambda x.U(e, x)$ .  $\phi_e$  is the partial function of one argument that is computed by  $M_e$ .

**Theorem 3.1.** The Program Termination problem (Example 3.2) is undecidable. There is no algorithm to determine whether an arbitrary partial computable function is total. Thus, there is no algorithm to determine whether a Turing machine halts on every input.

Define

$$\text{TEST}(i) = \begin{cases} \text{"yes"} & \text{if } \phi_i \text{ halts on every input.} \\ \text{"no"} & \text{otherwise} \end{cases}$$

$$\delta(k) = \begin{cases} \phi_k(k) + 1 & \text{if TEST(i) = "yes"} \\ 0 & \text{if TEST(k) = "no"} \end{cases}$$

Thus  $\delta$  is total computable. Let  $\delta = \phi_e$ , then  $\text{TEST}(e)$  is "yes".  $\delta(e) = \phi_e(e) + 1 \neq \phi_e(e)$ .

#### 3.2 Pairing Functions

**Pairing function** Computable one-to-one mapping  $\langle, \rangle: N \times N \rightarrow N$ , whose inverse  $\tau_1(\langle x, y \rangle) = x$  and  $\tau_2(\langle x, y \rangle) = y$  are also computable.

Example,  $\langle x, y \rangle = \frac{1}{2}(x^2 + 2xy + y^2 + 3x + 1)$ .

#### 3.3 Computably Enumerable Sets

**Computable enumerable (c.e.)** A set  $S$  is c.e. if  $S = \emptyset$  or  $S = \text{range}(f)$  in which  $f$  is a total computable function.

**index set** Let  $\mathcal{C}$  be any set of partial computable functions, then  $P(\mathcal{C}) = \{e | \phi_e \in \mathcal{C}\}$  is called index set.

**Homework 3.3**  $A = \{(e, j) | L(M_e) = L(M_j)\}$  is not decidable.

Suppose by contradiction that  $A$  is decidable, let  $L(M_j) = \Sigma^*$ , then  $\{e | L(M_e) = \Sigma^*\} = \{e | \phi_e \text{ is total computable}\}$  is decidable, this contradicts **Theorem 3.1**.

**Theorem 3.2.**  $\{e | \phi_e \text{ is total computable}\}$  is not computably enumerable.

Let  $S = \{e | \phi_e \text{ is total computable}\}$  and suppose for contradiction that  $S$  is c.e., then  $S = \text{range}(g)$  for some total computable function  $g$ . Define  $U_S(e, x) = \phi_{g(e)}(x)$  and  $h(x) = U_S(x, x) + 1$ , so  $\exists k \in S$  s.t.  $h = \phi_k$  and  $\exists e$  s.t.  $k = g(e)$ . Finally,

$$\begin{aligned} \phi_k(e) &= h(e) \\ &= U_S(e, e) + 1 \\ &= \phi_{g(e)}(e) + 1 \\ &= \phi_k(e) + 1 \end{aligned}$$

**Theorem 3.3.** A set  $S$  is computably enumerable if and only if there is a decidable relation  $R(x, y)$  such that

$$x \in S \Leftrightarrow \exists y R(x, y).$$

**Theorem 3.4.** A set  $S$  is computably enumerable if and only if it is Turing-machine- acceptable.

**Corollary 3.1.** A set  $S$  is decidable if and only if  $S$  and  $\bar{S}$  are both computably enumerable.

**Corollary 3.2.** A set  $S$  is computably enumerable if and only if  $S$  is the domain of some partial computable function.

**Homework 3.4** Prove that an infinite set is decidable if and only if it can be enumerated in increasing order by a one-to-one total computable function.

**Homework 3.6** Prove that every infinite c.e. set contains an infinite decidable subset.

$$\begin{aligned} h(0) &= f(0) \\ h(n+1) &= f(\min\{x \mid f(x) \notin \{h(0), h(1), \dots, h(n)\}\}) \end{aligned}$$

$$W_e = \text{dom}(\phi_e)$$

### 3.4 Complete Set

**Diagonal Set**  $K = \{x \mid \phi_x(x) \downarrow\} = \{x \mid U(x, x) \downarrow\} = \{\text{TM that accepts its own code.}\}$ . Since  $\lambda x. U(x, x)$  is partial computable,  $K$  is c.e.. However,  $K$  is not decidable, in particular,  $\bar{K}$  is not c.e. Suppose  $\bar{K} = W_e = \text{dom}(\phi_e)$ , then  $e \in \bar{K} \Leftrightarrow \phi_e(e) \downarrow \Leftrightarrow e \in K$ .

**Many-one reducible**  $A \leq_m B$  if there is a total computable function s.t.  $x \in A \Leftrightarrow f(x) \in B$

**Lemma 3.2.** 1. If  $A \leq_m B$  and  $B$  is c.e., then  $A$  is c.e.  
2. If  $A \leq_m B$  and  $B$  is decidable, then  $A$  is decidable.

**Theorem 3.6.** The Halting problem is undecidable. Specifically, the set  $L_U = \{(e, w) \mid M_e \text{ accepts } w\}$  is not decidable.

$x \in K \Leftrightarrow (x, x) \in L_U$ ,  $x \mapsto (x, x)$  is total, so  $K \leq_m L_U$ .

#### 3.4.1 Complete Problems

**Many-one complete**  $L$  is many-one complete if

1.  $L$  is c.e.
2. For every c.e. set  $A$ ,  $A \leq_m L$

**Homework 3.8** Show that  $K$  is a many-one complete set. Note that it suffices to show that  $L_U \leq_m K$ . Need to show  $(e, w) \in L_U \Leftrightarrow f((e, w)) \in K$  for some total computable function  $f$ . Define  $f((e, w)) = e'$  where  $M_{e'}$  is defined as follows.

```

on input x;
if  $M_e$  accepts  $w$  then
| ACCEPT;
else
| REJECT;
end

```

Then we have

$$\begin{aligned}(e, w) \in L_U &\Leftrightarrow L(M'_e) = \Sigma^* \\ &\Leftrightarrow e' \in L(M'_e) \\ &\Leftrightarrow e' \in K\end{aligned}$$

### 3.5 S-m-n Theorem

**Corollary 3.3.** For every partial computable function  $\lambda x.\Psi(e, x)$ , there is a total computable function  $f$  so that  $\phi_{f(e)}(x) = \Psi(e, x)$ .

**Theorem 3.9.** There is a total computable function  $f$  such that  $\text{range}\phi_{f(e)} = \text{dom}\phi_e$ .

Define

$$\Psi(e, x) = \begin{cases} x & \text{if } x \in \text{dom}\phi_e \\ \uparrow & \text{otherwise.} \end{cases}$$

So  $\text{range}(\lambda x.\Psi(e, x)) = \text{dom}\phi_e$ , and  $\phi_{f(e)} = \Psi(e, x)$ , so  $\text{range}(\phi_{f(e)}) = \text{dom}\phi_e$ .

**Homework 3.9** Prove that there is a total computable function  $g$  such that  $\text{dom}\phi_{g(e)} = \text{range}\phi_e$ .

Define

$$\Psi(e, x) = \begin{cases} 1 & \text{if } x \in \text{range}\phi_e \\ \uparrow & \text{otherwise.} \end{cases}$$

So  $\text{range}(\Psi(e, x)) = \text{range}(\phi_{g(e)}(x) = \text{range}(\phi_e)$

### 3.6 Recursion Theorem

**Theorem 3.10.** For every total computable function  $f$  there is a number  $n$  such that  $\phi_n = \phi_{f(n)}$ . A number  $n$  with this property is called a fixed point of  $f$ .

**Corollary 3.5.** There is a number (i.e., program)  $n$  such that  $\phi_n$  is the constant function with output  $n$ . Define  $\Psi(e, x) = e$ , then  $\Psi(e, x) = \phi_{f(e)}(x) = \phi_e(x) = e$ .

$W_n = \{n\}$  Define

$$\Psi(e, x) = \begin{cases} e & \text{if } x = e \\ \uparrow & \text{otherwise} \end{cases}$$

$\Psi(e, x) = \phi_{f(e)}(x) = \phi_e(x)$ ,  $\text{dom}\phi_e = \{e\}$

$W_n = \{n^2\}$  Define

$$\Psi(e, x) = \begin{cases} e & \text{if } x = e^2 \\ \uparrow & \text{otherwise} \end{cases}$$

$\Psi(e, x) = \phi_{f(e)}(x) = \phi_e(x)$ ,  $\text{dom}\phi_e = \{e^2\}$

**Homework 3.11** Show that there is no algorithm that given as input a Turing machine  $M$ , where  $M$  defines a partial function of one variable, outputs a Turing machine  $M'$  such that  $M'$  defines a different partial function of one variable.

Suppose for contradiction that such  $\exists f \forall n \phi_n \neq \phi_{f(n)}$ , and  $f$  is total.



### 3.7 Rice's Theorem

**Theorem 3.12.** An index set  $P_{\mathcal{C}}$  is decidable if and only if  $P_{\mathcal{C}} = \emptyset$  or  $P_{\mathcal{C}} = N$ . Suppose  $P_{\mathcal{C}} \neq \emptyset$  and  $P_{\mathcal{C}} \neq N$ , let  $j \in P_{\mathcal{C}}$  and  $k \notin P_{\mathcal{C}}$ , define

$$f(x) = \begin{cases} k & \text{if } x \in P_{\mathcal{C}} \\ j & \text{if } x \notin P_{\mathcal{C}} \end{cases}$$

Suppose for contradiction that  $P_{\mathcal{C}}$  is decidable, then  $f$  is total, then  $f$  has a fixed point  $n$  such that  $\phi_n = \phi_{f(n)}$ . Since  $n$  and  $f(n)$  is the code for same partial functions, either they both belong to  $P_{\mathcal{C}}$  or both belong to  $\overline{P_{\mathcal{C}}}$ , but  $x \in P_{\mathcal{C}} \Leftrightarrow f(x) \notin P_{\mathcal{C}}$ .

### 3.8 Turing Reductions and Oracle Turing Machines

$M^A$  an oracle TM with  $A$  as its oracle.

**Definition 3.5.**  $A$  is decidable in  $B$  if  $A = L(M^B)$ , where  $M^B$  halts on every input.

**Definition 3.6.**  $A$  is Turing-reducible to  $B$  if and only if  $A$  is decidable in  $B$ . In notation:  $A \leq_T B$ .

**Homework 3.13** Prove each of the following properties:

1.  $\leq_T$  is transitive;
2.  $\leq_T$  is reflexive;
3. For all sets  $A$ ,  $A \leq_T \bar{A}$ ;
4. If  $B$  is decidable and  $A \leq_T B$ , then  $A$  is decidable;
5. If  $A$  is decidable, then  $A \leq_T B$  for all sets  $B$ ;
6.  $A \leq_m B \Rightarrow A \leq_T B$ ;
7.  $\exists A, B [A \leq_T B \text{ and } A \not\leq_m B]$ ;
- $\bar{K} \leq_T K$  but  $\bar{K} \not\leq_m K$ .
8.  $\exists A, B [A \leq_T B \text{ and } B \text{ is c.e. and } A \text{ is not c.e.}]$ .  $\bar{K} \leq_T K$ ,  $K$  is c.e.,  $\bar{K}$  is not c.e.

## 4 Introduction to Complexity Theory

### 4.1 Complexity Classes and Complexity Measures

**Online TM** An online Turing machine is a multitape Turing machine whose input is written on one of the work tapes, which can be rewritten and used as an ordinary work tape.

**Time-bounded**  $M$  is a  $T(n)$  time-bounded Turing machine if for every input of length  $n$ ,  $M$  makes at most  $T(n)$  moves before halting.

$DTIME(T(n))$  to be the set of all languages having time complexity  $T(n)$ .

$NTIME(T(n))$  to be the set of all languages accepted by nondeterministic  $T(n)$  time-bounded Turing machines.

**Offline TM** An off-line Turing machine is a multitape Turing machine with a separate read-only input tape. The Turing machine can read the input but cannot write over the input.

**Space-bounded**  $M$  is an  $S(n)$  space-bounded Turing machine if, for every word of length  $n$ ,  $M$  scans at most  $S(n)$  cells over all storage tapes.

#### Complexity classes

1.  $L = DSPACE(\log(n))$
2.  $NL = NSPACE(\log(n))$
3.  $POLYLOGSPACE = \cup \{DSPACE((\log n)^k) | k \geq 1\}$
4.  $DLBA = \cup \{DSPACE(kn) | k \geq 1\}$
5.  $LBA = \cup \{NSPACE(kn) | k \geq 1\}$
6.  $P = \cup \{DTIME(n^k) | k \geq 1\}$
7.  $NP = \cup \{NTIME(n^k) | k \geq 1\}$
8.  $E = \cup \{DTIME(k^n) | k \geq 1\}$
9.  $NE = \cup \{NTIME(k^n) | k \geq 1\}$
10.  $PSPACE = \cup \{DSPACE(n^k) | k \geq 1\}$
11.  $EXP = \cup \{DTIME(2^{p(n)}) | p \text{ is a polynomial}\}$
12.  $NEXP = \cup \{NTIME(2^{p(n)}) | p \text{ is a polynomial}\}$

## 5 Basic Results of Complexity Theory

### 5.1 Linear Compression and Speedup

**Big-Oh Notation**  $g(n) \in O(f(n)) \Leftrightarrow \exists c > 0, \forall n, g(n) \leq cf(n)$ .

**Theorem 5.1 (Space Compression with Tape Reduction).** For every  $k$ -tape  $S(n)$  space-bounded off-line Turing machine  $M$  and constant  $c > 0$ , there exists a one- tape  $cS(n)$  space-bounded off-line Turing machine  $N$  such that  $L(M) = L(N)$ . Furthermore, if  $M$  is deterministic, then so is  $N$ .

**Corollary 5.1.** The following identities hold:

$$\text{DSPACE}(S(n)) = \text{DSPACE}(O(S(n)))$$

$$\text{NSPACE}(S(n)) = \text{NSPACE}(O(S(n)))$$

This implies  $\text{DLBA} = \text{DSPACE}(n)$ , and  $\text{LBA} = \text{NSPACE}(n)$ .

**Theorem 5.2 (Linear Speedup).** If  $L$  is accepted by a  $k$ -tape  $T(n)$  time- bounded Turing machine  $M$ ,  $k > 1$ , and if  $n \in o(T(n))$ , then for any  $c > 0$ ,  $L$  is accepted by a  $k$ -tape  $cT(n)$  time-bounded Turing machine  $N$ . Furthermore, if  $M$  is deterministic, then so is  $N$ .

### 5.2 Constructible Functions

**Space-constructible** There is an  $S(n)$  space-bounded Turing machine  $M$  such that for each  $n$  there is some input of length  $n$  on which  $M$  uses exactly  $S(n)$  cells.

**Property of Space-constructible**

- Space-constructible implies fully space-constructible for space bounds  $S(n)$  such that  $S(n) \geq n$ .
- If  $S_1(n)$  and  $S_2(n)$  are space-constructible, then so are  $S_1(n)S_2(n)$ ,  $2^{S_1(n)}$ , and  $S_1(n)^{S_2(n)}$ .

### 5.3 Tape Reduction

**Theorem 5.5** Let  $M$  be a  $k$ -tape  $T(n)$  time-bounded Turing machine such that  $n \in o(T(n))$ . There is a one-tape  $T^2(n)$  time-bounded Turing machine  $N$  such that  $L(N) = L(M)$ . Furthermore, if  $M$  is deterministic, then so is  $N$ .

**Oblivious TM** A Turing machine is oblivious if the sequence of head moves on the Turing machine's tapes is the same for all input words of the same length. That is, for  $t \geq 1$ , the position of each of the heads after  $t$  moves on an input word  $x$  depends on  $t$  and  $|x|$ , but not on  $x$ .

**Theorem 5.6.** If  $L$  is accepted by a  $k$ -tape  $T(n)$  time-bounded Turing machine  $M$ , then  $L$  is accepted by an oblivious two-tape Turing machine  $N$  in time  $O(T(n)\log T(n))$ . Furthermore, if  $M$  is deterministic, then so is  $N$ .

**Theorem 5.7.** If  $L$  is accepted by a  $k$ -tape  $T(n)$  time-bounded non- deterministic Turing machine  $M$ , then there are a constant  $c > 0$  and a two-tape nondeterministic Turing machine  $N$  that accepts  $L$  such that for each word  $x \in L$ , the number of steps in the shortest computation of  $N$  on  $x$  is at most  $cT(n)$ .

### 5.4 Inclusion Relationships

**Theorem 5.8.** For every function  $f$ ,  $\text{DTIME}(f) \in \text{DSPACE}(f)$  and  $\text{NTIME}(f) \in \text{NSPACE}(f)$

**Theorem 5.9.** If  $L$  is accepted by an  $S(n)$  space-bounded Turing machine,  $S(n) \geq \log n$ , then  $L$  is accepted by an  $S(n)$  space-bounded Turing machine that halts on every input.

**Theorem 5.10.**  $\text{NTIME}(T(n)) \in \text{DSPACE}(T(n))$ .

**Corollary 5.7.**  $\text{NP} \in \text{PSPACE}$ .

**Theorem 5.11.**  $\text{NTIME}(T(n)) \in \cup\{\text{DTIME}(c^{T(n)}) | c \geq 1\}$ .

**Corollary 5.8.** If  $S$  is fully time-constructible and  $S(n) \geq \log(n)$ , then  $\text{NSPACE}(S(n)) \subseteq \{\text{DTIME}(c^{S(n)}) | c \geq 1\}$ .

**Theorem 5.13 (Savitch).** If  $S$  is fully space-constructible and  $S(n) \geq \log(n)$ , then  $\text{NSPACE}(S(n)) \subseteq \text{DSPACE}(S^2(n))$ .

**Corollary 5.9.**

$$\begin{aligned} \text{PSPACE} &= \cup\{\text{DSPACE}(n^c) | c \geq 1\} \\ &= \cup\{\text{NSPACE}(n^c) | c \geq 1\} \\ \text{POLYLOGSPACE} &= \cup\{\text{DSPACE}(\log(n)^c) | c \geq 1\} \\ &= \cup\{\text{NSPACE}(\log(n)^c) | c \geq 1\} \end{aligned}$$

**Corollary 5.10.**

$$\begin{aligned} \text{NSPACE}(n) &\subseteq \text{DSPACE}(n^2) \\ \text{NL} &\subseteq \text{POLYLOGSPACE}. \end{aligned}$$

## 5.5 Separation Results

**Theorem 5.15 (Space Hierarchy Theorem).** Let  $S(n)$  be fully space-constructible. There is a language  $L \in \text{DSPACE}(S(n))$  such that for every function  $S'(n)$ , if  $S'(n) \in o(S(n))$ , then  $L \notin \text{DSPACE}(S'(n))$ .

**Corollary 5.13.**  $L \subset \text{POLYLOGSPACE}$ ,  $\text{POLYLOGSPACE} \subset \text{DLBA}$ , and  $\text{DLBA} \subset \text{PSPACE}$ .

$$\begin{aligned} \text{POLYLOGSPACE} &= \cup\{\text{DSPACE}((\log n)^k) | k \geq 1\} \\ &\subseteq \text{DSPACE}(n^{\frac{1}{2}}) \\ &\subseteq \text{DLBA}. \end{aligned}$$

**Corollary 5.14.**  $\text{LBA} \subset \text{PSPACE}$ .

$$\begin{aligned} \text{LBA} &= \text{NSPACE}(n), \text{ by Corollary 5.1} \\ &\subseteq \text{DSPACE}(n^2), \text{ by Theorem 5.13} \\ &\subset \text{DSPACE}(n^3), \text{ by Theorem 5.15} \\ &\subseteq \text{PSPACE}. \end{aligned}$$

**Theorem 5.16 (Time Hierarchy Theorem).** Let  $T$  be a fully time-constructible function and assume that there exists a function  $T'(n)$  so that  $T'(n)\log(T'(n)) \in o(T(n))$ . Then there is a language  $L \in \text{DTIME}(T(n))$  such that for every function  $T'(n)$  such that  $T'(n)\log(T'(n)) \in o(T(n))$ ,  $L \notin \text{DTIME}(T'(n))$ .

**Corollary 5.15.** For every constant  $c > 0$ ,  $\text{DTIME}(n^c) \subset \text{DTIME}(n^{c+1})$  and  $\text{DTIME}(2^{cn}) \subset \text{DTIME}(2^{(c+1)n})$ .

**Corollary 5.16.**  $\text{P} \subset \text{E}$  and  $\text{E} \subset \text{EXP}$ .

## 5.6 Translation Techniques and Padding

**Lemma 5.2.** Let  $S(n)$  and  $f(n)$  be fully space-constructible functions, where  $S(n) \geq n$  and  $f(n) \geq n$ . For a language  $L$ , define  $p(L) = \{x10^i | x \in L \text{ and } |x10^i| = f(|x|)\}$ . Then  $L \in \text{NSPACE}(S(f(n))) \Leftrightarrow p(L) \in \text{NSPACE}(S(n))$ .

**Theorem 5.17.** Let  $S_1(n)$ ,  $S_2(n)$ , and  $f(n)$  be fully space-constructible functions, where  $S_1(n) \geq n$ ,  $S_2(n) \geq n$  and  $f(n) \geq n$ . Then  $\text{NSPACE}(S_1(n)) \subseteq \text{NSPACE}(S_2(n))$  implies  $\text{NSPACE}(S_1(f(n))) \subseteq \text{NSPACE}(S_2(f(n)))$ .

**Example 5.5.**  $\text{NSPACE}(n^2) \subset \text{NSPACE}(n^3)$ .

Suppose for contradiction that  $\text{NSPACE}(n^3) \subseteq \text{NSPACE}(n^2)$ , then we have

$$\begin{aligned}\text{NSPACE}(n^6) &\subseteq \text{NSPACE}(n^4) \text{ with } f(n) = n^2 \\ \text{NSPACE}(n^9) &\subseteq \text{NSPACE}(n^6) \text{ with } f(n) = n^3\end{aligned}$$

Then we have the following.

$$\begin{aligned}\text{NSPACE}(n^9) &\subseteq \text{NSPACE}(n^6) \\ &\subseteq \text{NSPACE}(n^4) \\ &\subseteq \text{DSPACE}(n^8), \text{ by Savitch theorem} \\ &\subset \text{DSPACE}(n^9), \text{ by space hierarchy theorem} \\ &\subseteq \text{NSPACE}(n^9)\end{aligned}$$

**Example 5.6.** We use the analog of Theorem 5.17 for deterministic time to show that  $\text{DTIME}(2^n) \subset \text{DTIME}(n2^n)$ .

Suppose for contradiction that  $\text{DTIME}(n2^n) \subseteq \text{DTIME}(2^n)$ , then we have

$$\begin{aligned}\text{DTIME}(2^n 2^{2^n}) &\subseteq \text{DTIME}(2^{2^n}) \text{ with } f(n) = 2^n \\ \text{DTIME}((n + 2^n)2^{n+2^n}) &\subseteq \text{DTIME}(2^{n+2^n}) \text{ with } f(n) = n + 2^n \\ \text{DTIME}((n + 2^n)2^n 2^{2^n}) &\subseteq \text{DTIME}(2^{2^n}) \text{ combine above two.}\end{aligned}$$

Which violate the time hierarchy theorem.

### 5.6.1 Tally Languages

**Definition** For  $L \in \Sigma^*$ , let  $\text{Tally}(L) = \{1^{n(w)} | w \in L\}$ .

**Theorem 5.18.**  $\text{NE} \subseteq \text{E}$  if and only if every tally language in NP belongs to P.

**Corollary 5.17.**  $\text{P} = \text{NP}$  implies  $\text{E} = \text{NE}$ .

## 6 Nondeterminism and NP-Completeness

### 6.1 Characterizing NP

**Theorem 6.1.** A set  $A$  belongs to NP if and only if there exist a polynomial  $p$  and a binary relation  $R$  that is decidable in polynomial time such that for all words in  $\Sigma^*$ ,  $x \in A \Leftrightarrow \exists y[|y| \leq p(|x|) \wedge R(x, y)]$ .

**Verifier** Define a verifier for a language  $A$  to be an algorithm  $V$  such that  $A = \{x | \exists y[V \text{ accepts } \langle x, y \rangle]\}$ .

**Corollary 6.1.** NP is the class of all languages  $A$  having a polynomial-time verifier.

### 6.2 The Class P

### 6.3 Enumerations

**Definition 6.1.** A class of sets  $\mathcal{C}$  is effectively presentable if there is an effective enumeration  $\{M_i\}_i$  of Turing machines such that every Turing machine in the enumeration halts on all inputs and  $\mathcal{C} = \{L(M_i) | i \geq 0\}$ .

**Theorem 6.2.** There is no effective enumeration of the class of all deterministic Turing machines that operate in polynomial time. That is,  $S = \{i | DM_i \text{ operates in polynomial time}\}$  is not a computably enumerable set.

**Theorem 6.3.** P and NP are effectively presentable:

$$NP = \{L(NP_i) | i \geq 0\};$$

$$P = \{L(P_i) | i \geq 0\};$$

### 6.4 NP-Completeness

**Definition 6.2.** A set  $A$  is many-one reducible in polynomial time to a set  $B$  (notation:  $A \leq_m^P B$ ) if there exists a function  $f$  that is computable in polynomial time so that  $x \in A \Leftrightarrow f(x) \in B$ .

**Theorem 6.4.**  $NP \neq E$ .

**Definition 6.3.** A set  $A$  is  $\leq_m^P$ -complete for NP (commonly called NP-complete) if

1.  $A \in NP$ ;
2. for every set  $L \in NP$ ,  $L \leq_m^P A$ .

**Theorem 6.5.** If  $A$  is NP-complete, then  $A \in P$  if and only if  $P = NP$ .

**Universal set for NP**  $\mathcal{U} = \{\langle i, x, 0^n \rangle | \text{some computation of } NP_i \text{ accepts } x \text{ in fewer than } n \text{ steps}\}$