## 1 Outline

The outline for this paper (in the format of George M. Whitesides)

Title:

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## 2 Introduction

• objectives of the work: to devise a completely unsupervised method to cluster the samples (tissue or single cell samples) into biologically meaningful sub-types based on the RNA-seq gene counts data

## • justification of objectives :

- 1. People have mainly used hierarchical clustering from GTEx consortium paper to most single cell RNA seq papers I have come across. We have evidence Admixture model does better than hierarchical clustering from a biological viewpoint (see structure.beats.hierarchical.html).
- 2. Hierarchical clustering does not give us directly the genes that drive the clusters, Admixture model does, and it also provides us with a log likelihood to fix how many clusters to choose, based on Bayes factor.
- 3. We can predict the admixture proportions of cell types in any new sample coming in, so we can easily cluster new samples in cancer biopsy where the sub-types may involve cancer or non-cancer samples.

#### • Background

- 1. The BackSpin algorithm used by Zeisel et al. Claim is it does better than hierarchical but not model based (also not convincingly proven to be better)
- 2. Use of downsampling and then modified hierarchical clustering scheme as applied by Jaitin et al.
- 3. Mainly, people have used hierarchical clustering scheme
- 4. Population genetics uses Admixture model on a regular basis. We think we can generalize that to RNA-seq data. The only question is do we really see the tissue samples as cell type admixture, as we observe individuals as population admixture. The answer seems to be yes.

#### • Guidance to the reader

- 1. The Structure plot and t-SNE plots for GTEx tissues and for Zeisel data. Much better visualization than the regular heatmaps that we tend to see in RNA-seq papers.
- 2. The Structure plot analysis for Brain samples that shows 80% one cluster in cerebellum tissue samples and then from gene annotations, it is revealed this cluster is indeed associated with synaptic activities implying it must be neuronal cell types.

This is pretty cool because we have a priori knowledge from cell type specific markers that around 80% of cells in cerebellum are neurons.

3. Also the strategy is similar to the topic model strategy in natural language processing and it is a really nice technique to use for RNA-seq datasets clustering.

## 3 Methods and Materials

### 3.1 Data preprocessing

RNA-seq experiments usually provide us with a set of FASTQ files that contain the nucleotide sequence of each read and a quality score at each position, which can be mapped to reference genome or exome or transcriptome. The output of this mapping is usually saved in a SAM/BAM file using SAMtools [2]. This task is primarily accomplished by htseq-counts by Sanders et al 2014 [1] or feature Counts [R package Rsubread] by Liao et al 2013 [3]. RNA-seq raw counts are the basis of all statistical workflows, be it exploration or differential expression analysis [edgeR [4], limma [5]]. There is a growing trend to make the analysis ready raw counts tables openly accessible for statistical analysis. ReCount is a online site that hosts RNA-seq gene counts datasets from 18 different studies [6] along with relevant metadata. We start with such gene count datasets and assume that we have samples (say N) along the rows and the genes (say G) along the columns. Before we apply our methods, we remove the genes with 0 count of matched reads across all samples, implying that these genes are probably not expressed in any sample and hence non-informative for the clustering or differential analysis of the samples. We also remove the samples or genes with NA values of reads, if any. Additionally we also remove any ERCC spike-in controls as they may create bias to the biological clustering patterns. For illustration, we have applied our method on a single-cell RNA seq data due to Zeisel et al (2015) [7] and GTEx Version 4 gene counts data [8]. The GTEx data is a tissue sample data and the reads are recorded for multitude of cells present in the tissue sample. This can lead to really large values of read counts, in particular for highly expressed genes. To reduce the model over-dispersion and to make the analysis comparable to single cell datasets, we applied a thinning mechanism to the GTEx data. If  $C_{nq}$  is the gene count for g th gene in tissue sample n, then we define the thinned counts as

$$c_{ng} \sim Bin(C_{ng}, p_{thin})$$

where  $p_{thin}$  is the thinning probability. W chose  $p_{thin}$  to be of the order of the ratio of the total number of reads mapped to a single cell experiment (in this case Zeisel et al (2015) data for instance) and the total number of reads in the GTEx dataset, which turned out to be approximately 0.0001. To check for robustness of our clustering algorithm, we varied  $p_{thin}$  to be 0.01, 0.001, 0.0001 (see Fig ).

## 3.2 Methods Overview

We use a topic model approach due to Matt Taddy (package **maptpx**) to perform the clustering of the samples based on RNA-seq reads data [9]. We denote this matrix of counts by  $C_{N\times G}$  where

N is the total number of samples (tissue/single cell) and G is the number of genes. We assume that the row vector of counts for each sample n across the genes is multinomially distributed.

$$c_{n*} \sim Mult(c_{n..}, p_{n*})$$

where  $c_{n*}$  is the count vector for the n th sample,  $c_{n.}$  is the sum of the counts in the vector  $c_{n*}$ , and  $p_{n*}$  is the probability that a read coming from sample n would get assigned to one of the G genes.

The idea here is that this read could be coming from some cell type for the tissue level expression study (or from some cell cycle phase for the single cell case study) and its probability of getting assigned to some gene g will depend on which cell type (cell cycle phase) it comes from. In general, we may assume that the read is coming from one of the several (say K) underlying classes/groups, which are not observed. Denote the probability that the sample is coming from the k th subgroup by  $q_{nk}$  ( $q_{nk} \ge 0$  and  $\sum_{k=1}^{K} q_{nk} = 1$  for each n) and the probability of a read coming from the kth subgroup, to be matched to the gth gene, by  $\theta_{kg}$  ( $\theta_{kg} \ge 0$  and  $\sum_{g=1}^{G} \theta_{kg} = 1$  for kth subgroup). Then one can write

$$p_{ng} = \sum_{k=1}^{K} q_{nk} \theta_{kg}$$
  $\sum_{k=1}^{K} q_{nk} = 1$   $\sum_{g=1}^{G} \theta_{kg} = 1$ 

This model has in all  $N \times (K-1) + K \times (G-1)$  many unconstrained parameters, which is much smaller than the NG many counts data we have. Usually  $K \ll min\{N,G\}$  and for RNA-seq datasets, N is usually in the region of 100s to 1000s and G range from 20,000 to 50,000. To estimate the model, a Maximum a posteriori (MAP) based approach is used. It assumes the priors

$$q_{n*} \sim Dir(\frac{1}{K}, \frac{1}{K}, \cdots, \frac{1}{K})$$

$$\theta_{k*} \sim Dir(\frac{1}{KG}, \frac{1}{KG}, \cdots, \frac{1}{KG})$$

For better estimation stability, the usual parameters of the model are converted to natural exponential family parameters to which one can apply the EM algorithm (see Taddy 2012 [9]). The value of the Bayes factor for the model with K clusters compared to the model with 1 cluster, is recorded for each K, and the optimal K is chosen by running the clustering method for different choices of K and then choosing the one with maximum Bayes factor. The two main outputs from this method are the  $Q_{N\times K}$  topic proportion matrix and  $F_{K\times G}$  relative gene expression for each cluster.

#### 3.3 Post processing analysis

For each n,  $q_{nk}$ 's which will give an idea about the relative abundance of individual subgroups (cell functional groups or cell types) represented in the sample (single cell or tissue respectively). If two samples n and n' are very close, say both coming from the same tissue for the tissue level data, then we expect  $q_{n*}$  and  $q_{n'*}$  to be very close too. A nice way to visualize the amount of relatedness among the samples is through the Structure plot due to Pritchard Lab, which

is a popular tool to visualize the admixture patterns in population genetics based on SNP/microsatellite data [10] [11]. The Structure plot assigns a color to each of the subgroups and then presents a vertical barplot for each individual, which is fragmented by the subgroup proportions and colored accordingly. If the colored patterns of two bars are similar, then the two samples must be closely related. The other visualizing tool we use is t-distributed Stochastic Neighbor Embedding (t-SNE) due to Laurens van der Maaten, which is well-suited for visualizing the high dimensional datasets on 2D, preserving the relative distance between samples in high dimension to a fair extent in 2D [12] [13].

The other question of interest is which genes are significantly differentially expressed across the clusters, or in other words, which genes are driving the clustering. To answer this, we fix each gene and then look at the KL divergence matrix of one cluster/subgroup k relative to other cluster/subgroup k', which we call  $KL_{K\times K}^g$ . This matrix is symmetric and has all diagonal elements 0 as the divergence of a cluster with respect to itself is 0. Next we define the divergence measure for gene g as

$$Div(g) = \max_{k} \min_{l \neq k} KL^{g}[k, l]$$

$$K_{div}(g) = arg \max_{k} \min_{l \neq k} KL^{g}[k, l]$$

The higher the divergence measure, the more significant is the role of the gene in the clustering. We choose a small subset of around 50-100 genes with highest values of Div(g) and put the gene in the  $K_{div}(g)$  th cluster/subgroup. Then we perform gene annotations for the top genes in each subgroup using **mygene** R Bioconductor package [14]. We then try to see if the significant genes in a particular subgroup/cluster are associated with some specific biological functionality. This would indicate if the subgroups are actually biologically relevant or not. For instance, for GTEx tissue sample data, if the clusters are indeed driven by cell types, then the top genes for these clusters will probably be associated with proteins related to functions for that particular cell type.

## 4 Results

An outline for results (under consideration)

- Form two separate subsections, one for the GTEx Version 4 data and the other for the single cell Zeisel data.
- For GTEx data, give a figure comprising of 4 Structure plots for different Ks, may be 2, 5, 10, 15. Fix the thinning parameter  $p_{thin}$  to say 0.0001. Also record the log likelihoods (Bayes factors) for each of the 4 models, as reported by **maptpx**.
- Have one figure showing the robustness of the clustering method on the thinning parameter  $p_{thin}$ . Fix k = 10 and vary  $p_{thin}$  to be 0.0001, 0.001 and 0.01.

- One t-SNE plot for GTEx samples (with and without admixture in the same plot). Should this be in results or in discussions? Also the t-SNE probably would require an electronic supplemental file as I would need the **qtlcharts** highlighting for those plots.
- The GTEX brain samples Structure plot for K=4 that shows the neuron cell types in brain cerebellum and cerebellar hemisphere. That is to show that the clusters are driven by cell types.
- Gene annotations for the GTEx significant genes (for brain) and also for the general set up (to decide on which K to fix). Use Bayes Factor?
- The Structure plot for Zeisel single cell data. again Multiple K = 2, 5, 7, 10.
- Gene annotations for the Zeisel single cell data. Need to choose the optimal K. Use Bayes Factor?
- t-SNE plot of the admixture proportions??..Is that required? Depends on how we present t-SNE. If this goes to discussion, we will avoid it here

## 5 Discussions

## 5.1 Normalization issue

A common practice in RNA-seq literature is to normalize the counts data by the library size before applying any differential analysis or clustering methods to it. Depending on sequencing machine/ lane use or change in sequencing depth, it may happen that some samples have very high counts across most genes, while some other samples may have very low counts of reads across all genes. This can lead to severe bias in statistical analysis if not accounted for. A way to counter this, given the raw counts, is to use the CPM (counts per million) normalized data [4] [15]. We define the CPM normalized data  $X_{ng}$  as

$$X_{ng} = \frac{c_{ng}}{\left\lceil \frac{L_n}{10^6} \right\rceil}$$

Here  $L_n$  is the library size or the total sum of the counts of all reads for the sample n. Though we apply our clustering algorithm on raw counts, we claim that CPM-normalization is intrinsic to the method. In mathematical terms, we are trying to model

$$p_{ng} = \sum_{k=1}^{K} q_{nk} \theta_{kg}$$
  $\sum_{k=1}^{K} q_{nk} = 1$   $\sum_{g=1}^{G} \theta_{kg} = 1$ 

A very naive estimate of  $p_{nq}$  would be

$$\hat{p}_{ng} = \frac{c_{ng}}{L_n} = \frac{X_{ng}}{10^6}$$

Therefore clustering with respect to  $p_{ng}$  ideally takes into account the variation at the  $X_{ng}$  level or normalized counts level instead of at  $c_{ng}$  level. From an information theoretic point of view, it seems that all information required for the clustering is contained in  $X_{ng}$ 's.

## 5.2 Admixture model vs Hierarchical model

Admixture model is a model based soft clustering method and hierarchical model is a non-model based tree clustering method and it is difficult to find a common measure that can effectively compare these two clustering schemes. We use real data to compare between the two methods. We drew 50 tissue samples from the pool of Muscle-Skeletal and Heart- Left Ventricle samples in GTEx Version 4 gene counts data with thinning parameter  $(p_{thin})$  equal to 0.0001 and compared the heatmap of the admixture proportions q computed from the Admixture model with k=2 clusters, with the hierarchical clustering heatmap on the counts data. It seems that admixture reduces the noise in the high dimensional data and does a better job at segregating the tissue samples corresponding to Muscle-Skeletal and Heart Left-Ventricle (Fig ??).

#### 5.3 Batch effects

One of the important factors that may impact the clustering or differential analysis in tissue level RNA-seq or single cell RNA-seq analysis are technical or batch effects. These batch effects may stem from data coming from different laboratories or even for a single laboratory experiment, there may be effects due to the sequencing lane used, or the plate chosen for the experiment or the amplification process adopted. There has been a growing concern among biostatisticians today regarding how to deal with batch effects [17] [18]. For our clustering method as well, batch effects are an important concern. We present a case study where we used the Admixture model on a massively parallel single cell RNA-seq data obtained from mouse spleen by Jaitin et al 2015, with the aim to replicate the clustering results reported in the paper [16]. However, following the experimental metadata provided by the authors, we observed that the data coming from the same sequencing or amplification batch seemed to have very similar patterns and it seemed that the biological effects may be confounded with the batch effects (Fig??). To top that there was also a complete confounding between the amplification batch and then sequencing batch. So, one needs to be cautious about jumping to conclusions about clustering patterns just by looking at the Structure plot. We strongly suggest careful investigation of the experimental details to determine if there are any batch effects and also gene annotations to observe if the clustering method is indeed driven by genes that have biological functions relevant to the clustering patterns.

#### 5.4 Thinning

Since we have explored both tissue level and single cell level RNA-seq data for our clustering, we were curious to what extent the clustering patterns in GTEX V4 tissue level data would have been retained if we had observed single cell level data instead of tissue samples data. This is why we used the thinning of the GTEX dataset, by using various degrees of thinning. An objective choice of the thinning parameter for us would be  $p_{thin} = 0.001$ , which was the order of the ratio of total number of reads for the Jaitin et al single cell data and the GTEX V4 tissue level data [8] [16]. It is pretty obvious that the more we thin the data, the more we will lose the clustering patterns and for p = 0, we shall have only zero counts for all samples. We tried thinning parameters  $p_{thin} = 0.1, 0.01, 0.001$  and found that the patterns seemed more or less similar although a few of the patterns indeed disappeared as we thinned the data more and more. Besides the single cell analogy, there is a computational reason one might be motivated

to do thinning. Very large counts with intermittent zeros in tissue level data may lead to large overdispersion and a bad fit for our model, while smaller counts as in the thinned data checks the dispersion factor from blowing up. Secondly, running the admixture model on the thinned data is computationally much faster. However, one must be cautious regarding the extent of thinning and it is always a good idea to check the patterns for multiple values of the thinning parameter  $p_{thin}$  and note how robust the clustering results are to the change in thinning parameter.

# References

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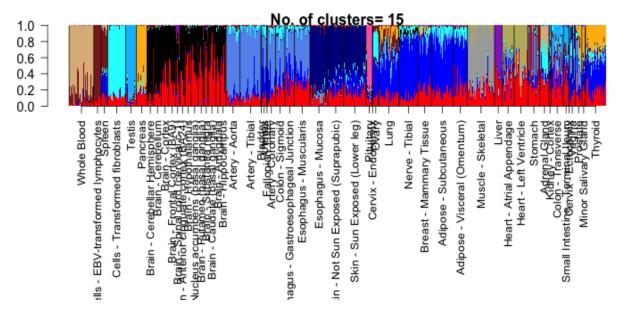
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Cluster	Gene names	Proteins	Summary
cluster	ENSG00000160882	cytochrome P450, family 11,	catalyze many reactions involved
1,red		subfamily B, polypeptide 1	in drug metabolism and synthesis
(nerve,		, , , , , , , , , , , , , , , , , , , ,	of cholesterol, steroids and other
adrenal)			lipids, mutations cause congenital
<b>'</b>			adrenal hyperplasia due to to 11-beta-
			hydroxylase deficiency.
	ENSG00000148795	cytochrome P450, family 17,	catalyze many reactions involved
	21.0 0.0000110,00	subfamily A, polypeptide 1	in drug metabolism and synthesis
		sasianing 11, polypopulae 1	of cholesterol, steroids and other
			lipids, muttaions associated with
			associated with isolated steroid-17
			alpha-hydroxylase deficiency, pseudo-
			hermaphroditism, and adrenal hyper-
	TMCC00000150007	1	plasia
	ENSG00000158887	myelin protein zero	encodes a major structural protein
			of peripheral myelin, mutations re-
			lated to autosomal dominant form of
			Charcot-Marie-Tooth disease type 1
	ENIC COOLOGO COOL		and other polyneuropathies.
cluster 2,	ENSG00000168878	surfactant protein B	an amphipathic surfactant protein es-
blue			sential for lung function and home-
(adipose			ostasis after birth, muttaions cause
and			pulmonary alveolar proteinosis, fatal
lung)			respiratory distress in the neonatal pe-
			riod.
	ENSG00000168484	surfactant protein C	hydrophobic surfactant protein essen-
			tial for lung function and homeostasis
			after birth, associated with pulmonary
			alveolar proteinosis, interstitial lung
			disease in older infants, children, and
			adults.
	ENSG00000185303	surfactant protein A2	encode pulmonary-surfactant associ-
			ated proteins, mutations associated
			with idiopathic pulmonary fibrosis.
cluster 3,	ENSG00000163017	actin, gamma 2, smooth	involved in various types of cell motil-
shallow		muscle, enteric	ity and maintenance of the cytoskele-
blue			ton, constituent of the contractile ap-
(colon			paratus and muscle tissues.
and	ENSG00000133392	myosin, heavy chain 11,	functions as a major contractile
esophagus		smooth muscle	protein, chromosomal rearrangement
			is associated with acute myeloid
			leukemia of the M4Eo subtype.
	ENSG00000107796	actin, alpha 2, smooth mus-	play a role in cell motility, structure
		cle, aorta	and integrity, associated with aortic
		,	aneurysm familial thoracic type 6.
			alloui, sili idililidi diloi dele dype o.

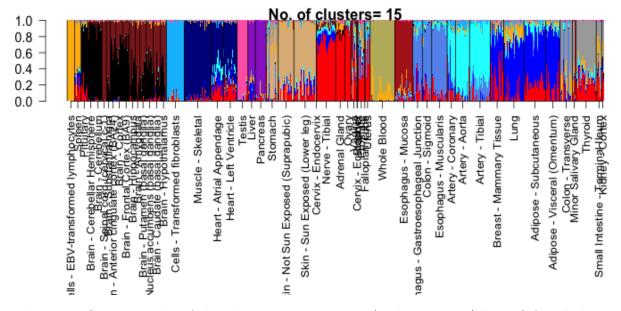
Cluster	Gene names	Proteins	Summary
cluster 4,	ENSG00000259384	growth hormone 1	is expressed in the pituitary, member
black			of the somatotropin/prolactin family
(brain)			of hormones, controls growth, muta-
			tions lead to short stature
	ENSG00000132639	synaptosomal-associated	involved in the regulation of neuro-
		protein	transmitter release
	ENSG00000115138	proopiomelanocortin	encodes a polypeptide hormone pre-
			cursor, synthesized mainly in corti-
			cotroph cells of the anterior pitu-
			itar, hypothalamus, placenta, and ep-
			ithelium, important for energy homeostasis, melanocyte stimulation, and
			immune modulation, associated with
			early onset obesity, adrenal insuffi-
			ciency, and red hair pigmentation.
cluster	ENSG00000133392	myosin, heavy chain 11,	major contractile protein, converting
5,light		smooth muscle	chemical energy into mechanical en-
blue			ergy through the hydrolysis of ATP
(artery)	ENSG00000143248	regulator of G-protein signal-	RGS proteins are signal transduction
		ing 5	molecules involved in regulation of
			heterotrimeric G proteins by acting as
			GTPase activators.
	ENSG00000111341	matrix Gla protein	likely acts as an inhibitor of bone
			formation, defects causes Keutel syn-
cluster	ENSG00000143632	action almba 1 abalatal mara	drome.
6,deep	EN5G00000145052	actin, alpha 1, skeletal muscle	produces highly conserved proteins that play a role in cell motility, struc-
blue		Cie	ture and integrity, mutations cause ne-
(muscle			maline myopathy type 3, congenital
heart)			myopathy, diseases leading to muscle
,			fibre defects
	ENSG00000104879	creatine kinase, muscle	protein encoded is cytoplasmic en-
			zyme involved in energy homeostasis
			and serum marker for myocardial in-
			farction.
	ENSG00000198125	myoglobin	encodes a member of the globin super-
			family and is expressed in skeletal and
-1 4	ENICOCCCC CECET		cardiac muscles.
cluster	ENSG00000197971	myelin basic protein	major constituent of the myelin sheath of oligodendrocytes and Schwann cells
7,dark brown			in the nervous system
(brain)	ENSG00000131095	glial fibrillary acidic protein	encodes one of the major intermedi-
(Diami)	2110 000000101000	Shar normary acture protein	ate filament proteins of mature as-
			trocytes, mutations casuses Alexander
			disease.
	ENSG00000180354	maturin, neural progenitor	NA
		differentiation regulator ho-	
		molog (Xenopus)	

Cluster	Gene names	Proteins	Summary
cluster 8,	ENSG00000186395	keratin 10, type I	encodes a member of the type I
shallow		, , ,	(acidic) cytokeratin family, mutations
yellow			associated with epidermolytic hyperk-
(skin			eratosis.
stomach)	ENSG00000096088	progastricsin	The protein is a digestive enzyme pro-
			duced in the stomach, major com-
			ponent of gastric mucosa, associated
			with gastric cancer, Helicobacter py-
	EMCC00000100222	1:	lori related gastritis.
	ENSG00000182333	lipase, gastric	encodes gastric lipase, responsible for
			fat digestion and digestion of triglycerides.
cluster 9,	ENSG00000211896	immunoglobulin heavy	NA
yellow	ENDG00000211090	constant gamma 1 (G1m	IVA
(cell		marker)	
EBV)	ENSG00000211893	immunoglobulin heavy	NA
,		constant gamma 2 (G2m	
		marker)	
	ENSG00000019582	CD74 molecule, major histo-	serves as cell surface receptor for the
		compatibility complex, class	cytokine macrophage migration in-
		II invariant chain	hibitory factor (MIF)
cluster	ENSG00000042832	thyroglobulin	thyroglobulin produced predomi-
10,grey			nantly in thyroid gland, synthesizes
(thyroid,			thyroxine and triiodothyronine, as-
small			sociated with Graves disease and
intestine)	ENSG00000171195	mucin 7, secreted	Hashimotot thyroiditis. encodes a small salivary mucin, aid-
	EN5G00000171195	muchi 7, secreted	ing in speech, mastication, associated
			with ashthma
	ENSG00000115705	thyroid peroxidase	plays a central role in thyroid gland
			function, associated with congeni-
			tal hypothyroidism, congenital goiter,
			IIA.
cluster	ENSG00000115414	fibronectin 1	Fibronectin is involved in cell adhe-
11,cyan			sion, embryogenesis, blood coagula-
cluster	ENIC COOCCE COOCCE		tion, host defense, and metastasis
(cells	ENSG00000108821	collagen, type I, alpha 1	Mutations in this gene associated
fibroblasts	)		with osteogenesis imperfecta types I-
			IV, Ehlers-Danlos syndrome type and
	ENSG00000164692	collagen, type I, alpha 2	Classical type, Caffey Disease Same as above
	ENSG00000104092	conagen, type i, aipna z	Dame as above

Cluster	Gene names	Proteins	Summary
cluster	ENSG00000244734	hemoglobin, beta	mutant beta globin causes sickle cell
12,shal-			anemia, absence of beta chain/ re-
low			duction in beta globin leads to tha-
green			lassemia
(Whole	ENSG00000188536	hemoglobin, alpha 2	deletion of alpha genes may lead to al-
blood)			pha thalassemia
	ENSG00000206172	hemoglobin, alpha 1	deletion of alpha genes may lead to al-
			pha thalassemia
cluster	ENSG00000171401	keratin 13, type I	keratins are intermediate filament pro-
13,light			teins responsible for the structural in-
brown			tegrity of epithelial cells
(esopha-	ENSG00000163209	small proline-rich protein	NA
gus	ENSG00000143536	cornulin	play a role in the mucosal/epithelial
mucosa)			immune response and epidermal dif-
			ferentiation
cluster	ENSG00000204983	protease, serine 1	secreted by pancreas, associated with
14, violet			pancreatitis
(liver	ENSG00000091704	carboxypeptidase A1	secreted by pancreas, linked to pan-
pancreas)			creatitis and pancreatic cancer
	ENSG00000169347	glycoprotein 2 (zymogen	secreted from intracellular zymogen
		granule membrane)	granules and associates with the
			plasma membrane via GPI linkage
cluster	ENSG00000122304	protamine 2	Protamines are the major DNA-
15,			binding proteins in the nucleus of
salmon			sperm
(testis)	ENSG00000175646	protamine 1	NA
	ENSG00000010318	PHD finger protein 7	This gene is expressed in the testis in
			Sertoli cells but not germ cells, regu-
			lates spermatogenesis.



**Figure 1.** Structure plot of the admixture proportions (with 15 topics/clusters) for all the tissue samples in GTEX Version 4 data based on 5000 genes with the highest mean expression. Some of the tissues form very distinct clusters, for instance Whole Blood, Pancreas, Skin, Arteries etc while there is a lot of similarity in cluster patterns between Muscle Skeletal and Heart Left Ventricle, or among the different sub-tissues of the Brain.



**Figure 2.** Structure plot of the admixture proportions (with 15 topics/clusters) for all the tissue samples in GTEX Version 4 data based on 16407 cis genes from the eQTL study conducted by the GTEx Consortium. Many of the patterns in this Structure plot are retained from the Structure plot based on the 5000 genes with highest mean expression.

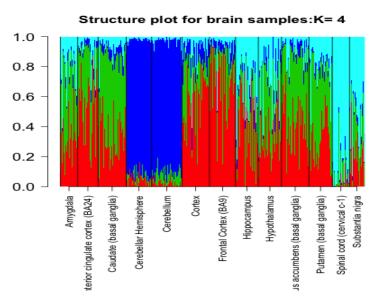


Figure 3. Structure plot of the admixture proportions (with 4 clusters) for the brain tissue samples drawn from GTEX Version 4 data. Quite clearly, brain cerebellum and cerebellar hemisphere seem to be dominated by the blue cluster while the Spinal cord and Substantia nigra by the cyan cluster. Prior marker based approaches have verified (?) that 80% of cells in brain cerebellum correspond to neurons. So, the blue cluster seems to be driven by the neuron cell type. This fact is further attested by the gene annotations of the top genes driving the blue cluster (Subsection 3.3).

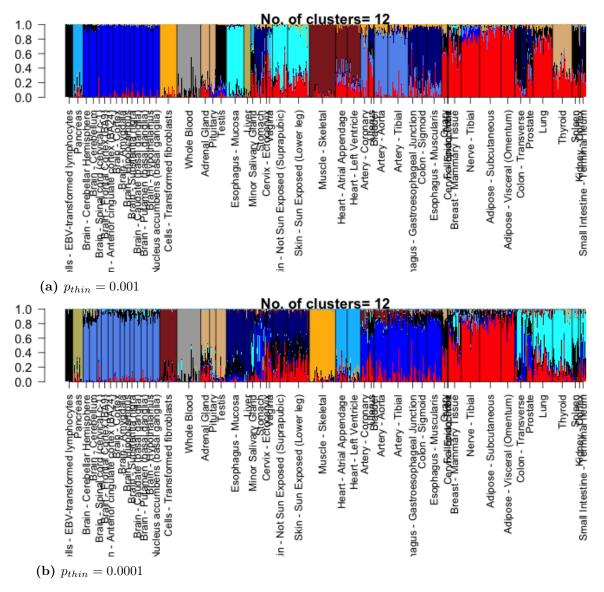
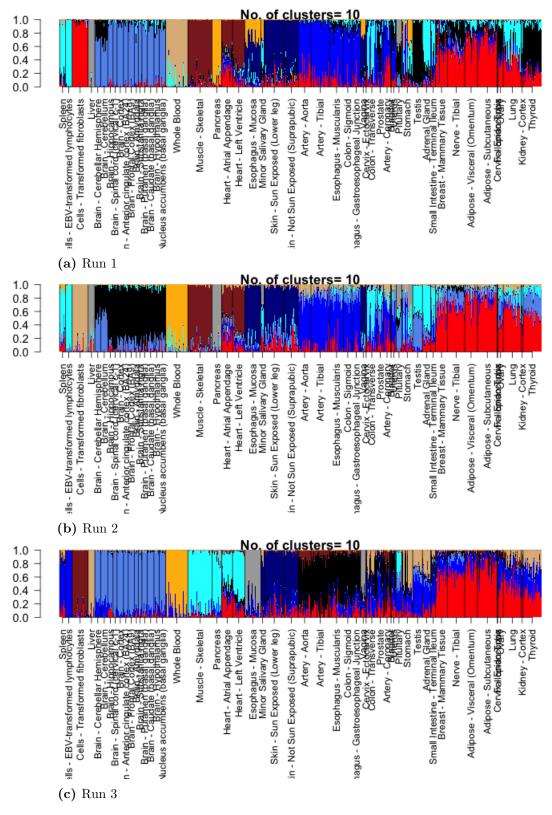
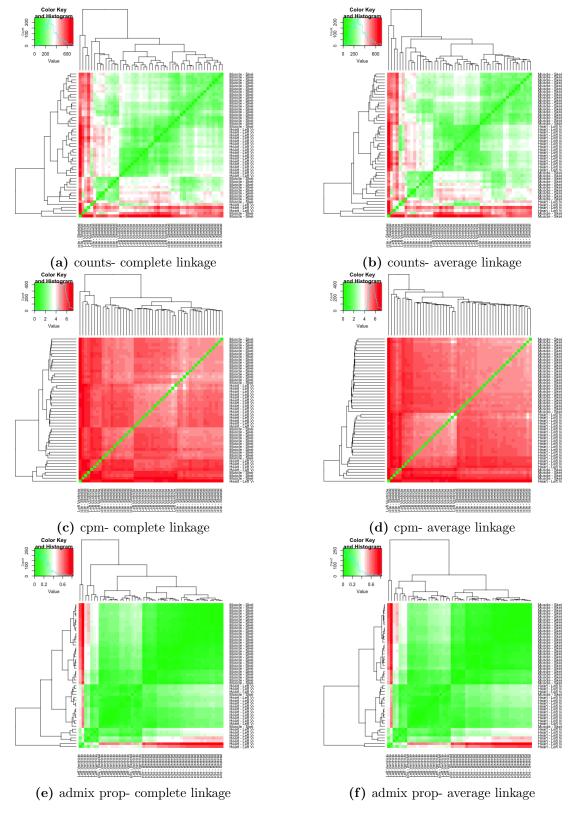


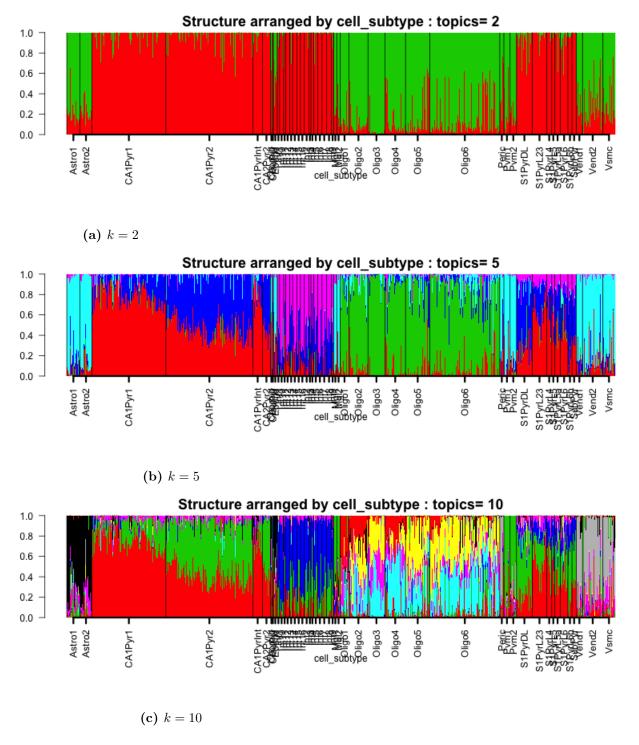
Figure 4. Structure plot of all tissue samples in GTEx version 4 data for K=12 under different values of the thinning parameter  $p_{thin}$ . The three values of the thinning parameter chosen are 0.01, 0.001 and 0.0001. It seems the results are pretty robust, though some of the cluster patterns seem to change. For instance, Muscle Skeletal and Heart tissue samples separate out at  $p_{thin} = 0.0001$  but cluster together at  $p_{thin} = 0.001$  for the same number of topics.



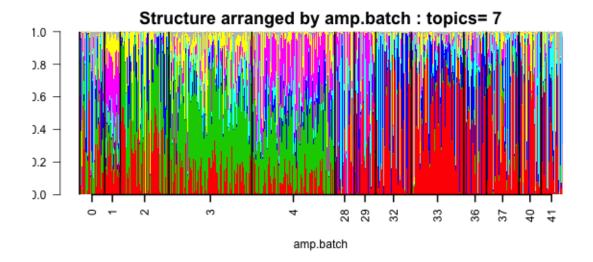
**Figure 5.** Structure plot of all tissue samples in 3 runs of the GTEx version 4 data for for K=10 for the thinning parameter  $p_{thin} = 0.01$ . For the 3 runs, the datasets are randomly generated from the actual counts data using  $p_{thin} = 0.01$ , so the datasets are different across the 3 runs. However, it seems the results are pretty robust.

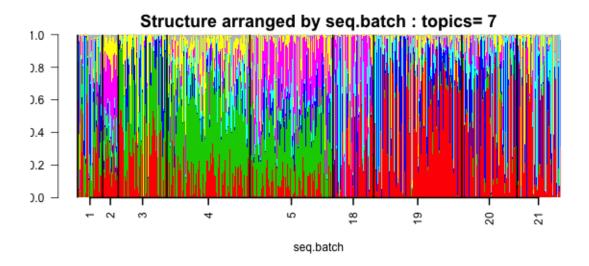


**Figure 6.** Comparison of heatmap of the counts data (top panel), the cpm normalized data (middle panel) and the admixture proportions data (bottom panel) on a randomly drawn 50 samples from the pool of Muscle-Skeletal and Heart-Left Ventricle samples in GTEx Version 4 RNA-seq thinned counts data with the thinning parameter  $p_{thin} = 0.001$ . The distance method used euclidean and the linkage used was average linkage. Color scale provided in the figure. It seems that for admixture model heatmap, all the Muscle-skeletal and Heart Left-Ventricle



**Figure 7.** Structure plot of all samples in Zeisel et al data [7] arranged by the cell subtype labels that were determined by the authors using their BackSpin algorithm and subsequent marker gene annotations. Here we present the Structure plots for number of topics k = 2, 5, 10.





**Figure 8.** Structure plot of the 1041 single cells for K=7 used for clustering in Jaitin et al data [16] arranged by the amplification (top panel) and the sequencing batches (bottom panel). It was claimed that the 7 topics corresponded to 7 cell types. However there is a potential batch effect that may be confounded with the biological factors or may be driving the clusters altogether. Also there seems to be confounding between the sequencing batch and the amplification batch.