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The big question

Are there dependent changes in language? And if so, how can we tell?



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- Structure-preserving sound change: compensatory
 lengthening (de Chene and Anderson 1979, Kavitskaya 2002,
 Kavitskaya 2017, Gess 1998), metathesis

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- Structure-preserving sound change: compensatory lengthening (de Chene and Anderson 1979, Kavitskaya 2002, Kavitskaya 2017, Gess 1998), metathesis
- Lexicon: Color terms (Berlin and Kay 1969, Haynie and Bowern 2016)

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- Lexicon: Color terms (Berlin and Kay 1969, Haynie and Bowern 2016)
- Morphosyntax: "Linguistic cycles" (Gelderen 2011, Gelderen 2013)

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(i)
$$*t > \theta$$

(i)
$$t > \theta$$
(ii) $d > t$



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(i)
$$t > \theta$$

(ii)
$$*d > t$$

(ii) *
$$d > t$$
(iii) * $d^h > d$



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(i)
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(ii)
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(iii) *
$$d^h > d$$

(i)
$$*d^h > d$$



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(i)
$$t > \theta$$

(ii)
$$*d > t$$

(iii) *
$$d^{\hat{h}} > d$$

(i)
$$*d^{\hat{n}} > d$$

(ii)
$$*d > t$$



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(i)
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(ii)
$$*d > t$$

(iii) *
$$d^{\hat{h}} > d$$

(i)
$$*d^{\hat{h}} > d$$

(ii)
$$*d > t$$

(iii)
$$t > \theta$$



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(ii) Red



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- (i) Dark-cool and light-warm
- (ii) Red
- (iii) Either green or yellow



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- (i) Dark-cool and light-warm
- (ii) Red
- (iii) Either green or yellow
- (iv) Both green and yellow



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- (i) Dark-cool and light-warm
- (ii) Red
- (iii) Either green or yellow
- $\textbf{(iv)} \ Both \ green \ and \ yellow$
- (v) Blue



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- (i) Dark-cool and light-warm
- (ii) Red
- (iii) Either green or yellow
- $\textbf{(iv)}\ Both\ green\ and\ yellow$
- (v) Blue
- (vi) Brown



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- (i) Dark-cool and light-warm
- (ii) Red
- (iii) Either green or yellow
- (iv) Both green and yellow
- (v) Blue
- (vi) Brown
- (vii)Purple, pink, orange, or gray



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- (1) i. in macc 'the boy'
 - ii. macc 'a boy'
- (2) Persian
- (3) krasivyj novyj derevjannyj **dom** '**a/the** beautiful new wood **house**' (Bailyn 2012, p. 45)
- (4) i. I see the farmer on his farm.
 - ii. I see a duck on the other side of the road.



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Definiteness marking in the history of Indo-European When do articles start to appear in Indo-European or are they a property of the root node (Proto-Indo-European)?

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- When do articles start to appear in Indo-European or are they a property of the root node (Proto-Indo-European)?
- Does one type of article tend to emerge first? If so, which one?

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- When do articles start to appear in Indo-European or are they a property of the root node (Proto-Indo-European)?
- Does one type of article tend to emerge first? If so, which one?
- Does the presence of one type of article in a language make the development of the other more likely? If so, why?

Articles in the history of Indo-European

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in the history of Indo-European Independence

"[T]he emergence of a definite article does not entail the simultaneous emergence of an indefinite one." (Irslinger 2013, p. 46)

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"[T]he emergence of a definite article does not entail the simultaneous emergence of an indefinite one." (Irslinger 2013, p. 46)

Precedence

Definite articles tend to emerge before indefinite ones. (de Mulder and Carlier 2011, p. 524)

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"[T]he emergence of a definite article does not entail the simultaneous emergence of an indefinite one." (Irslinger 2013, p. 46)

- Precedence

Definite articles tend to emerge before indefinite ones. (de Mulder and Carlier 2011, p. 524)

– Dependence?

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Definiteness marking in the history of Indo-European Although dependent morphosyntactic changes have been claimed to exist in the literature, no study that I am aware of specifically tests this hypothesis.

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- Although dependent morphosyntactic changes have been claimed to exist in the literature, no study that I am aware of specifically tests this hypothesis.
- Precedence is not the same thing as dependence.

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- Although dependent morphosyntactic changes have been claimed to exist in the literature, no study that I am aware of specifically tests this hypothesis.
- Precedence is not the same thing as dependence.
- 3 Phylogenetics plays a minimal role in these studies.

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- Although dependent morphosyntactic changes have been claimed to exist in the literature, no study that I am aware of specifically tests this hypothesis.
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- 3 Phylogenetics plays a minimal role in these studies.
- 4 If one change occurs several centuries after a preceding change, to what extent are they really dependent?

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- 4 If one change occurs several centuries after a preceding change, to what extent are they really dependent?
- If you don't take a phylogenetic perspective, how do you know that things are correlated? Could just be chance?

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Although dependent morphosyntactic changes have been claimed to exist in the literature, no study that I am aware of specifically **tests** this hypothesis.

- Precedence is not the same thing as dependence.
- $_{\mbox{\scriptsize |3|}}$ Phylogenetics plays a minimal role in these studies.
- If one change occurs several centuries after a preceding change, to what extent are they really dependent?
- If you don't take a phylogenetic perspective, how do you know that things are correlated? Could just be chance?
- 6 Claims of dependency do not test hypotheses of dependency. That is, they do not pose the question: Does a model of dependent change better account for the data than a model of independent change?

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Although dependent morphosyntactic changes have been claimed to exist in the literature, no study that I am aware of specifically **tests** this hypothesis.

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Definiteness markin in the history of

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What's at stake/Implications

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Definiteness marking in the history of Indo-European i. Biological integration/Linguistic integration? Universal Grammar? Parameter hierarchies? Acquisition?
 PHENOTYPIC INTEGRATION (See Wikipedia entry! and Olson and Miller 1999, Pigliucci and Preston 2004)
 Complex phenotypes
 Speakers favor certain combinations of traits?
 Has implications for learnability.
 Hale's concentric circles???
 The linguistic system itself can facilitate change

- ii. One of the central pursuits of linguistic theory is the elaboration of Universal Grammar (UG). Dependent properties of language cannot belong to UG.
- iii. Most methods of phylogenetic inference assume independence of characters.

Claims

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- -Grammaticalization of indefinite articles in Indo-European is facilitated by the presence of definiteness as a morphosyntactic category, be it definite articles or definiteness-/specificity-based differential object marking (DOM).
- -The grammaticalization of definiteness marking proceeds along the reference hierarchy.

Reference hierarchy

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Definiteness marking in the history of Indo-European

Keenan 2009

PREDICTION: INDEFINITE DETERMINERS WILL FIRST BE INDEF SPECIFIC??? OR NOT NEC A PREDICTION OF ANALYSIS?

- (6) REFERENCE HIERARCHY (Dryer 2014, e235)
 Anaphoric definites >
 nonanaphoric definites >
 pragmatically specific indefinites >
 pragmatically nonspecific (but semantically specific) definites >
 semantically nonspecific indefinites
- (7) Definite > Specific > Non-specific > Non-referential/generic

Data

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Definiteness marking in the history of Indo-European Sample of 94 Indo-European languages (Broad dataset of Chang et al. 2015)

Data

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- Sample of 94 Indo-European languages (Broad dataset of Chang et al. 2015)
- 78 spoken

Data

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- Sample of 94 Indo-European languages (Broad dataset of Chang et al. 2015)
- 78 spoken
- 16 fossilized

MAP tree (Chang et al. 2015)

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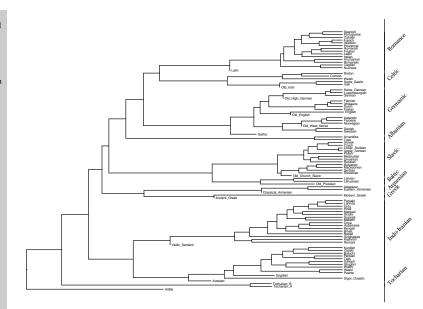
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- (8) Function
 Definite and indefinite articles are markers of referentiality
- (9) DomainOne of their signal features is that they must co-occur with a noun phrase
- (10) Linear properties
 I abstract away from differences in linear order and
 co-occurrence with deictics



Referential types

| A model-based | Түре | Example |
|--|---------------------|---|
| approach to dependent | Deictic | Do you see the house over there? |
| linguistic change | Recognitional | What happened to that dog (we used to |
| D. M. Goldstein | | have)? |
| Introduction | Absolutely unique | The Earth is round. |
| Articles in | Anaphoric | Did you like the movie last night? B: The |
| Indo-European | | movie was great! |
| Precedence | Bridging | Eva bought a book. The author is French. |
| Testing for dependent | Contextually unique | What's the best way to the center? |
| grammatical- ization | Establishing | Did you hear the news? They are going |
| Discussion | | to close the museum. |
| The rise of the indefinite article | Specific | I met a strange new neighbour yester- |
| Envoi | | day. |
| Definiteness marking in the history of | Non-specific | Do you have a pen? Any pen will do. |
| Indo-European | Generic | I like cats. |
| | | |

Table 1: Referential types

Frequency distribution

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Definiteness marking in the history of Indo-European



 Many languages (e.g., English) have morphologically distinct articles—other languages do not!

Frequency distribution

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- Many languages (e.g., English) have morphologically distinct articles—other languages do not!
- Definite articles can be homophonous with demonstratives

Frequency distribution

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- Many languages (e.g., English) have morphologically distinct articles—other languages do not!
- Definite articles can be homophonous with demonstratives
- Indefinite articles can be homophonous with the cardinal numeral 'one'

Examples

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Articles in Indo-European

Deciding whether or not a language has a definite and indefinite article is not always straightforward (e.g., Old English)

- DEMONSTRATIVE (11)
- CARDINAL (12)

Two datasets

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in the history of Indo-European Narrow dataset

Only morphologically distinct definite and indefinite articles



Two datasets

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Definiteness marking in the history of Indo-European Narrow dataset

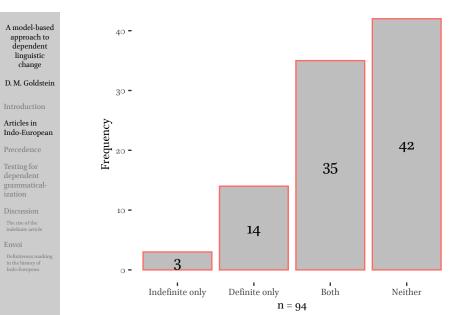
Only morphologically distinct definite and indefinite articles

Broad dataset

Morphologically distinct and non-distinct definite and indefinite articles



Articles across Indo-European (Narrow dataset)



Articles across Indo-European (Narrow dataset)

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Definiteness marking in the history of Indo-European Most languages in the sample (77 out of 94, or 0.82 percent) either have both a definite and indefinite determiner or neither.

Articles across Indo-European (Narrow dataset)

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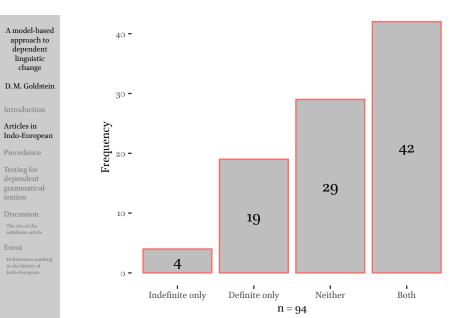
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- Most languages in the sample (77 out of 94, or 0.82 percent) either have both a definite and indefinite determiner or neither.
- Among languages with only one article in the sample, more have a definite (14) than an indefinite (3). The latter class is limited to Upper and Lower Sorbian; Persian; Tajik; Shughni; Sariqoli; and Singhalese.

Articles across Indo-European (Broad dataset)



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Definiteness marking in the history of Indo-European The inclusion of demonstratives that exhibit definite-article behavior has the following effects on the frequency distribution:

- (13) i. The number of languages with neither definite nor indefinite article dropped to 29 from 42
 - ii. The number of languages with only an indefinite article dropped to 4 from 3 (Tajik, Persian, and Singhalese)
 - iii. The number of languages with only a definite article increased to 19 from 14
 - The number of languages with both a definite and indefinite article increased slightly to 42 from 35

Languages with demonstratives used to mark definite referent types

| definite referent types | | |
|---|--|--|
| A model-based approach to dependent linguistic change | (14) i. Gothic (Wright 1910, §265, Sauvageot 1929) ii. Old High German (Kraiss 2014) | |
| D. M. Goldstein | iii. Old English | |
| Introduction | iv. Latin | |
| Articles in v. Up | v. Upper and Lower Sorbian (Berger 2007) | |
| Indo-European | vi. Latvian (Bielenstein 1863, pp. 257, 416) | |
| Precedence | vii. Kashmiri (Wali et al. 1997, p. 100) | |
| Testing for | , | |
| dependent grammatical- | viii. Sogdian (Sims-Williams 1994, Skjærvø 2007, p. 19, | |
| ization | Wendtland 2011) | |
| Discussion | ix. Wakhi (Bashir 2009, p. 841, Lorimer 1958, pp. 60, 208) | |
| The rise of the indefinite article | , | |
| Envoi | x. Shughni | |
| Definiteness marking in the history of Indo-European | xi. Sariqoli (Edelman and Dodykhudoeva 2009, p. 781?) | |
| пао загореан | xii. Balochi (Gilbertson 1923, p. 12) | |

xiii. Ossetic

Coarse comparison

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Definiteness marking in the history of Indo-European



(15) co·n-acca ara chind in fer
 'He saw a man in front of him.'
 Lebor na hUidre 4932–4933 GOI, §470 (cf. Best and Bergin 1929, Ronan 2004)

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Articles typologically

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Definiteness marking in the history of Indo-European

WALS?

■ Definite articles attested in approx. 30% of the world's languages

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Definiteness marking in the history of Indo-European In most clades, the articles that we find in a given language is cognate with an article in another language of that clade.

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- In most clades, the articles that we find in a given language is cognate with an article in another language of that clade.
- All of the Celtic languages in my sample have a definite article, for instance.

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- In most clades, the articles that we find in a given language is cognate with an article in another language of that clade.
- All of the Celtic languages in my sample have a definite article, for instance.
- These various definite articles all descend from an original demonstrative *sindos.

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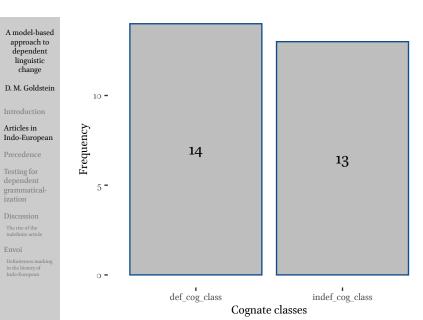
Discussion

indefinite ar

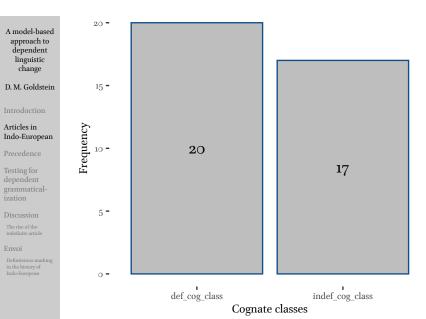
Envoi

- In most clades, the articles that we find in a given language is cognate with an article in another language of that clade.
- All of the Celtic languages in my sample have a definite article, for instance.
- These various definite articles all descend from an original demonstrative *sindos.
- The definite article in Celtic thus forms a cognate class.

Cognate classes (Narrow dataset)



Cognate classes (Broad dataset)



Definite article cognate classes

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. . .

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Definiteness marki in the history of

| CLADE | COGNATE CLASS | LANGUAGE |
|--------------------|---------------|---|
| Celtic | 1 | Old Irish, Irish, Scots Gaelic, Welsh, Breton, Cornish |
| Romance | 2 | Nuorese, Cagliari |
| Romance | 3 | Romanian, Arumenian, Catalan, Portuguese, Spanish, French, |
| | | Provençal, Walloon, Ladin, Romansh, Friulian, Italian |
| Northwest Germanic | 4 | Old Norse, Icelandic, Faroese, Norwegian, Swedish, Danish |
| Germanic | 5 | Gothic, Old English, English, Frisian, Old High German, German, |
| | | Luxembourgish, Swiss German, Dutch, Flemish, Afrikaans |
| Albanian | 6 | Tosk, Arvanitika |
| Greek | 7 | Ancient Greek, Modern Greek |
| Armenian | 8 | Classical Armenian, Eastern Armenian, Adapazar |
| Baltic | 9 | Old Prussian |
| Baltic | 10 | Latvian |
| Slavic | 11 | Upper Sorbian, Lower Sorbian |
| Slavic | 12 | Macedonian, Bulgarian |
| Iranian | 13 | Sogdian, Wakhi, Baluchi |
| Iranian | 14 | Kurdish |
| Iranian | 15 | Shugni, Sariqoli |
| Indic | 16 | Assamese, Oriya, Bengali |
| Indic | 17 | Kashmiri |
| Indic | 18 | Romani |
| | | |

Table 2: Cognate classes for the definite article

Indefinite article cognate classes

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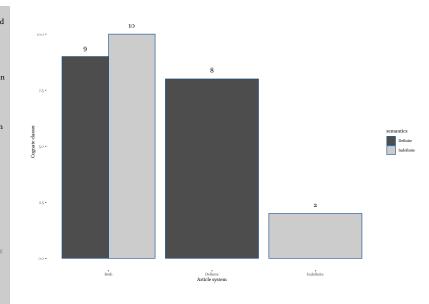
| CLADE | COGNATE CLASS | LANGUAGE |
|----------|---------------|---|
| Celtic | 1 | Breton |
| Romance | 2 | Nuorese, Cagliari, Romanian, Arumenian, Catalan, Portuguese, Spanish, French, |
| | | Provençal, Walloon, Ladin, Romansh, Friulian, Italian |
| Germanic | 3 | Icelandic, Faroese, Norwegian, Swedish |
| Germanic | 4 | English, Frisian |
| Germanic | 5 | German, Luxembourgish, Swiss German |
| Germanic | 6 | Dutch, Flemish, Afrikaans |
| Albanian | 7 | Tosk, Arvanitika |
| Greek | 8 | Modern Greek |
| Armenian | 9 | Eastern Armenian, Adapazar |
| Slavic | 10 | Upper Sorbian, Lower Sorbian |
| Iranian | 11 | Tajik, Persian |
| Iranian | 12 | Baluchi, Kurdish, Zazaki |
| Iranian | 13 | Shughni, Sariqoli |
| Indic | 14 | Assamese, Oriya, Bengali |
| Indic | 15 | Singhalese |
| Indic | 16 | Romani |
| | | |

Table 3: Cognate classes for the indefinite article

Cognate classes per language type (Narrow dataset)







Cognate classes per language type (Broad dataset)



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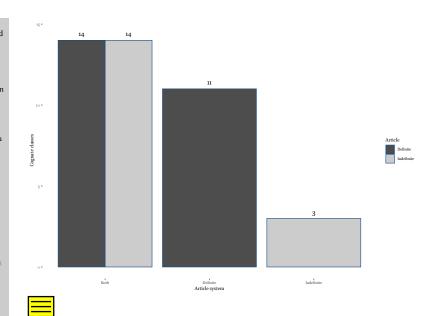
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Ancestral state reconstruction

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Definiteness marking in the history of Indo-European % http://blog.phytools.org/2014/12/simulating-correlated-evolution-of.html

ASE Definite article (narrow dataset)

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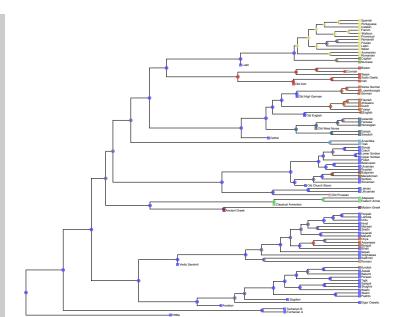
dependent grammatica

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D13CU33101

indefinite artic

Envoi



ASE Definite broad dataset

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Articles in Indo-European

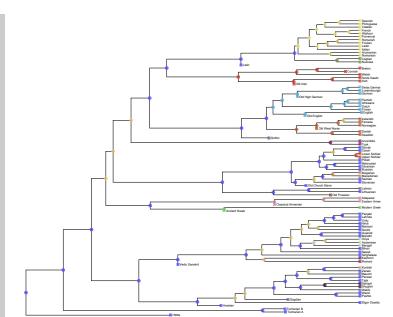
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ASE Indefinite narrow dataset

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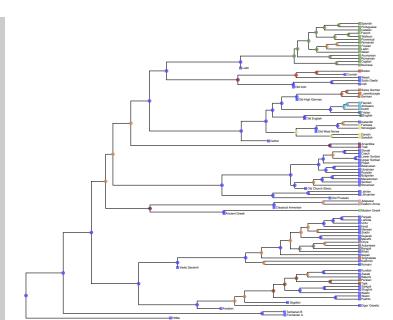
Testing for dependent grammatical-

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ASE Indefinite broad dataset

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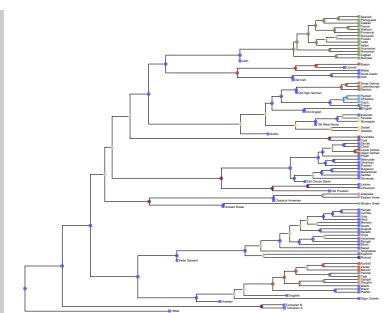
dependent grammatical-

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- (16) Distal demonstrativeTrue for ON?What to say about Armenian?
- (17) Reflexive intensifier (ipse)
- (18) Relative pronoun ???

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Definiteness marking in the history of Indo-European - Textual evidence



Establishing precedence

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- Textual evidence
- Comparative parsimony analysis



Textual evidence

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The rise of the

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| Definite Article | Indefinite article |
|--------------------|--------------------|
| Archaic Greek | Medieval Greek |
| Old English | Middle English |
| Classical Armenian | Modern Armenian |



Comparative evidence

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Definiteness marking in the history of Indo-European The Celtic languages in my sample all have a definite article than continues *sindos, but only Breton has an indefinite article.

Comparative evidence

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The rise of the indefinite article

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- The Celtic languages in my sample all have a definite article than continues *sindos, but only Breton has an indefinite article.
- Parsimony analysis would again assign the definite article to an earlier state of the Celtic languages than the indefinite article.

Inferring past states

A model-based approach to dependent linguistic change

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Definiteness marking in the history of Indo-European With the exception of Celtic, the earliest attested languages of each clade uniformly lack definite and indefinite articles.

Inferring past states

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The rise of the indefinite articl

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- With the exception of Celtic, the earliest attested languages of each clade uniformly lack definite and indefinite articles.
- There is no definite article found early enough and with cognates in other clades for there to be a viable candidate for a definite or indefinite article in Proto-Indo-European.

A history of gain

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Definiteness marking in the history of Indo-European If we assume that PIE lacked both definite and indefinite articles, then such forms in the attested languages all constitute innovations.

A history of gain

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Envoi

- If we assume that PIE lacked both definite and indefinite articles, then such forms in the attested languages all constitute innovations.
- The history of definiteness marking across IE is then only exclusively of gain: there are no known cases of a definite or indefinite article being lost in the history of IE.

Slavic

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Definiteness marking in the history of Indo-European ■ PIE then resembles languages such as Russian and Lithuanian

Gundel et al. 1993, p. 284 has a table

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Definiteness marking in the history of Indo-European Is the presence of an indefinite article in a language dependent on that of a definite article?

What we want to know

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- Is the presence of an indefinite article in a language dependent on that of a definite article?
- Does the rate at which an indefinite article develops differ if the presence of a definite article is taken into account?

Uncertainty

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Definiteness marking in the history of Indo-European We don't know the true phylogenetic tree

Uncertainty

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- We don't know the true phylogenetic tree
- We don't know the ancestral states

Bayesian phylogenetics

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Definiteness marking in the history of Indo-European

- use environment?
- Main idea

What is the probability of a tree and its associate parameters given the observed data?



Bayesian phylogenetics

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Definiteness marking in the history of Indo-European

- use environment?
- Main idea

What is the probability of a tree and its associate parameters given the observed data?

More specifically for our purposes

Given a set of trees and the observed data, what is the probability of the rate of change of the linguistic characters?

Bayesian phylogenetics

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Definiteness marking in the history of Indo-European

$$f(\tau, \nu, \Phi | \mathbf{X}) = \frac{f(\mathbf{X} | \tau, \nu, \Phi) \times f(\tau, \nu, \Phi)}{f(\mathbf{X})}$$

Parameters

- au Tree topology
- ν Branch lengths
- Φ Model of trait change
- X "Observed" data

Informative priors

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Definiteness marking in the history of Indo-European Model is heavily biased toward no articles at the root node

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- Model is heavily biased toward no articles at the root node
- The number of cognate classes were used as priors for the transition rates

Accounting for uncertainty

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Definiteness marking in the history of Indo-European Bayesian-MCMC analyses yield not one tree, but a distribution of phylogenetic trees.

 Ancestral states and transition rates estimated across 50 phylogenetic trees to account for phylogenetic uncertainty

Markov Chain Monte Carlo

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Definiteness marking in the history of Indo-European For the sort of situations we're working with, there's no analytic situation to Bayes' formula.

So we approximate an answer via Markov Chain Monte Carlo.

Transition models

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Testing for correlated evolution

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Definiteness marking in the history of Indo-European

Hypothesis

The rate of developing a indefinite article given the presence of a definite article \neq rate of developing an indefinite article given the absence of a definite article.

Two models

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Definiteness marking in the history of Indo-European In the simple model there is one rate parameter for the development of definite articles and one rate parameter for the development of indefinite articles.

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- In the simple model there is one rate parameter for the development of definite articles and one rate parameter for the development of indefinite articles.
- In the more complex model there are four rate parameters:

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- In the simple model there is one rate parameter for the development of definite articles and one rate parameter for the development of indefinite articles.
- In the more complex model there are four rate parameters:
 - (0,0) > (1,0) [Gain definite article without an indefinite article]

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- In the simple model there is one rate parameter for the development of definite articles and one rate parameter for the development of indefinite articles.
- In the more complex model there are four rate parameters:
 - (0,0) > (1,0) [Gain definite article without an indefinite article]
 - (0,0) > (0,1) [Gain indefinite article without a definite article]

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- In the simple model there is one rate parameter for the development of definite articles and one rate parameter for the development of indefinite articles.
- In the more complex model there are four rate parameters:
 - (0,0) > (1,0) [Gain definite article without an indefinite article]
 - (0,0) > (0,1) [Gain indefinite article without a definite article]
 - 3 (0,1) > (1,1) [Gain definite article with an indefinite article already present]

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- In the simple model there is one rate parameter for the development of definite articles and one rate parameter for the development of indefinite articles.
- In the more complex model there are four rate parameters:
 - (0,0) > (1,0) [Gain definite article without an indefinite article]
 - 2 (0,0) > (0,1) [Gain indefinite article without a definite article]
 - 3 (0,1) > (1,1) [Gain definite article with an indefinite article already present]
 - 4 (1,0) > (1,1) [Gain indefinite article with a definite article already present]

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- In the simple model there is one rate parameter for the development of definite articles and one rate parameter for the development of indefinite articles.
- In the more complex model there are four rate parameters:
 - (0,0) > (1,0) [Gain definite article without an indefinite article]
 - (0,0) > (0,1) [Gain indefinite article without a definite article]
 - 3 (0,1) > (1,1) [Gain definite article with an indefinite article already present]
 - 4 (1,0) > (1,1) [Gain indefinite article with a definite article already present]
- Is the increase in model complexity justified by the observable evidence?

Dealing with phylogenetic uncertainty

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Definiteness marking in the history of Indo-European To accommodate phylogenetic uncertainty, the transition rates of the definite and indefinite articles are being estimated across a sample of 50 trees.

Reversible Jump

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Definiteness markin in the history of Indo-European Pagel 1994; Pagel and Meade 2006

Results (Narrow dataset)

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Definiteness marking in the history of Indo-European ■ Graph here!

Results (Broad dataset)

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Definiteness marking in the history of Indo-European ■ Graph here!

Model comparison

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Definiteness marking in the history of Indo-European (19) REFERENCE HIERARCHY (Dryer 2014, e235)
Anaphoric definites > nonanaphoric definites > pragmatically specific indefinites > pragmatically nonspecific (but semantically specific) definites > semantically nonspecific indefinites

Dryer 2014

Greenberg 1978

Keenan 2009

The givenness hierarchy

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Definiteness marking in the history of Indo-European Determiners and pronominal forms conventionally signal different cognitive statuses.

(20) Gundel et al. 1993

in focus: it »

activated: this, that, this N »

familiar: that N »

uniquely identifiable (definite specific) the N »

referential (indefinite specific) this N »

type identifiable (generic) a N

Indefinite trajectory

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Discussion

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Definiteness marking in the history of Indo-European (21) Heine 1997, pp. 71–76 Numeral 'one' > presentative marker > specific marker > nonspecific marker > generalized marker

Givón 1981

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Definiteness marking in the history of Indo-European Numeral 'one' Individuation and quantification

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Definiteness marking in the history of Indo-European Numeral 'one' Individuation and quantification

Presentative marker Signals prominent, topical discourse referents not yet identifiable to the hearer. Most uses correspond to the introduction of new referents into the discourse.

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Definiteness marking in the history of Indo-European Numeral 'one' Individuation and quantification

Presentative marker Signals prominent, topical discourse referents not yet identifiable to the hearer. Most uses correspond to the introduction of new referents into the discourse.

Specific marker Exclusive-specific articles/indefinite specific

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Definiteness marking in the history of Indo-European Numeral 'one' Individuation and quantification

Presentative marker Signals prominent, topical discourse referents not yet identifiable to the hearer. Most uses correspond to the introduction of new referents into the discourse.

Specific marker Exclusive-specific articles/indefinite specific

Nonspecific marker Indefinite article that encodes both specific and nonspecific referents

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Definiteness marking in the history of Indo-European Numeral 'one' Individuation and quantification

Presentative marker Signals prominent, topical discourse referents not yet identifiable to the hearer. Most uses correspond to the introduction of new referents into the discourse.

Specific marker Exclusive-specific articles/indefinite specific

Nonspecific marker Indefinite article that encodes both specific and nonspecific referents

Generalized marker ?????

Latin

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The rise of the

Envoi

- Use as a numeral and presentative marker?
- We only find specific readings in Romance

The rise of exclusive-specific readings????

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Old French

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Definiteness marking in the history of Indo-European (OF) texts is the Cantilène de Ste Eulalie ca. 880 CE

Bede's account of the poet Caedmon

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The Voyages of Ohthere and Wulfstan—first ex. of indefinite?

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Definiteness marking in the history of Indo-European (22) Ponne is **ān** port on sūðeweardum þæm lande, þone man hæt Scīringes hēal.

In this passage, Ohthere is describing Helgeland, his home country:

Motivating the change

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Definiteness marking in the history of Indo-European ■ The structure has already developed?

When a definite article develops, it introduces the category of definiteness into the language/creates a contrast (Bauer 2007 disagrees with this).

This step facilitates the development of indefinite articles, because a definite-indefinite contrast already exists. It simply that indefinites are not marked.

So the development of an indefinite article is just replacement of the null realization of indefiniteness with something pronouncable.

Not a linguistic universal

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Definiteness marking in the history of Indo-European ■ Run the analysis without Romance and Germanic?

This is a lineage-specific pattern with a strong areal bent

"But the trend is to a system containing both a definite and an indefinite article." (Lakoff 1972, p. 174)

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Definiteness marking in the history of Indo-European The rise of the indefinite article

What semantic mechanisms are at play?

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in the history of Indo-European Mosegaard Hansen and Waltereit 2006

The indefinite specific

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Definiteness marking in the history of Indo-European In line with the trajectory view is the fact that in some languages the first reference type of the indefinite article is the indefinite specific article.

Keenan's work

A stronger effect?

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D:----i---

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- It is likely that the dependency between indefinite and definite article is stronger than my results indicate.
 - 1 Romance
 - Tajik and Persian

Romance

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- The dependency between definite and indefinite article is stronger than my experiment indicates because of the Romance languages.
- In my view it is likely that the development of the indefinte article was homoplastic in Romance, but it's difficult to know how homoplastic.
- Uniformly coded

Parsimony upset: The case of Romance

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- (23) i. Romance indefinite articles uniformly continue Latin *unus* 'one'.
 - ii. The definite articles, however, do not develop from a singular source.
 - iii. A parsimony analysis of the comparative data would reconstruct an indefinte article *unus to Proto-Romance and a definite article to a later stage.

Homoplasy

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Definiteness marking in the history of Indo-European (24) Old French reveals that such a reconstruction is demonstrably false. In the earliest Old French texts, the definite article is present, but the indefinite is nascent???

Meyer-Lübke (1923 [1890-1906]: III, 231) point outs the evolution from \bar{u} nus to indefinite article is later than the formation of the definite article.

Definite article present but indefinite only used in...?

See biblio!

This also happened in Germanic!

The grammaticalization of definiteness marking

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Definiteness marking in the history of Indo-European ■ structure before exponent???

Börjars et al. 2016

Hawkins

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indefinite article

Definiteness markin in the history of Hawkins 2004, pp. 84–86identifies four stages of semantic/pragmatic evolution of articles derived from demonstrative pronouns. I include the deictic pronoun as stage 0. Hawkins' stage 2 picks up where Leiss (1994) left off.

- Use as deictic only
- Abandonment of deictic restriction and adoption of anaphoric function for visible or recently mentioned nouns
- Expansion of the range of use from visible to nonvisible nouns
- Universal or generic use with little or no pragmatic delineation
- Extension to specific indefinite reference and purely syntactic purposes, for example, as a noun class marker

Implications for the DP-Hypothesis

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indefinite article

Definiteness markin in the history of I have argued that the grammaticalization of an indefinite article depends on the previous existence of definiteness as a morphosyntactic category in the language (whether realized by a definite article, DOM, OR DEFINITE ADJECTIVES????).

If we adopt DP analyses of languages without definite articles we introduce definiteness as a category.

Under this view, languages without an overt definite article should have more indefinite articles.

Pereltsvaig 2007, Pereltsvaig 2013

Anagenetic or cladogenetic change?

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Definiteness marking in the history of Indo-European What does it add to the analysis to know whether the change is an energiance and energian and energian energian and energian ener

Following givenness hierarchy and also undergoing a burst of change?

It's a historical accident that

Build bigger character suites for Boskovic' NP and DP languages?

The development of definite articles

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ization

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Definiteness marking in the history of Indo-European Becker 2019a: slide 41

(25) (distal) deictic > anaphoric > (contextually) unique

Himmelmann 1997, pp. 93-97

 My study did not investigate the diachronic trajectory among definite referential types.

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The rise of the indefinite article

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Future work

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A better method

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- Rather than focus on the presence/absence of definite and indefinite articles, I look at which type of referential phrases get marked.
- No distinction between marker. All that is being scored is whether or not the NPs get marked
- Some definite article function are covered by the demonstrative!

Carving up the referentiial space

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Definiteness marking in the history of Indo-European My study does not account for the differences in marking along the definiteness hierarchy and why some languages draw the dividing line between definite and indefinite marker at different points in the referential space

Rapa Nui

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in the history of Indo-European One marker for definite, specific, and non-specific referent types

Becker's areal data?

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in the history of Indo-European Use it to make a claim about development of articles outside of IE? You need trees...

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Definiteness marking in the history of Indo-European

(26) Lineage-specific trajectory/generalizations

- i. If a language has one article, it marks definiteness/specificity
- ii. If a language has two articles, one marks definiteness/specificity and one non-specifics
- iii. If a language has three articles, one marks definiteness/specificity, one non-specifics, and one generics

Typological gaps

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Definiteness marking in the history of Indo-European slide 20, Becker 2019a

The existence of nonspecific articles depends on the existence of specific articles in the system.

As expected, a single article cannot encode definite and nonspecific referents without encoding specific referents.

The numeral one as exclusive specific

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Envoi

- Kashmiri (Wali et al. 1997, p. 100) (27)
- (28)Persian
- Tajik (29)
- NO: Balochi? -e? Balochi has cardinal yakk: they can (30)co-occur! Axenov 2006, p. 63

A first generalization

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Envoi

Definiteness marking in the history of Indo-European (31) In all languages with both a definite and an indefinite article where we can infer a precedence relationship, the definite article developed before the indefinite—with two exceptions, Persian and Tajik.

Tajik and Persian

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- (32) i. Persian has no definite article (Mace 2003, p. 37)
 - ii. Two markers of indefiniteness in Persian (Jasbi 2014)ye: which behaves very much the English a(n).i: which behaves a bit like the English any.

Persian indefinite marking

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- (33) i. ye keik xord-am a cake eat-18G I ate a cake.
 - ii. *keik i xord-am cake INDEF eat-1SG
 - iii. ye keik i xord-am a cake INDEF eat–1SG I ate a cake.

Indefiniteness in Tajik

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Definiteness markin in the history of Indo-European Perry 2005

Tajik Persian: demonstrative covers the definite referent types and the indefinite is a specific indefinite?

These are both specificity/definiteness DOM languages! Jasbi 2014 on Persian

Becker 2019b, pp. 231–232

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Envoi

Definiteness marking in the history of Indo-European Lycian: DOM but not the right demonstrative system? Or Hittite? Becker 2019b discusses this in relation to australian

One would not want to say that Tajik and Persian have a definite and indefinite articles.

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Envoi

in the history of Indo-European First demonstrative used to marks definites and then indefinites develop thereafter.

YOU THEREFORE ABSOLUTELY MUST RECOGNIZE DEMONSTRATIVES/DEICTICS USED TO MARK DEFINITES!

Slide 31, Becker 2019a: case marking can handle referential marking of objects!

in direct object position, case markers indicate referential type

Differential object marking (DOM)

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The rise of the

indefinite artic

Envoi

Definiteness marking in the history of Indo-European Although Tajik and Persian lack definite articles, they do mark definites.

It is, however, true that Tajik and Persian mark both definite and indefinite referent types and the development of these articles is consistent with the definiteness hierarchy above.

Sinnemäki 2014

What about definite adjectives? Does that count too?

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- Definite article
- Differential object marking (DOM)
- Adjective declension

MCMC chains

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Diversification rates

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A common view of the history of IE

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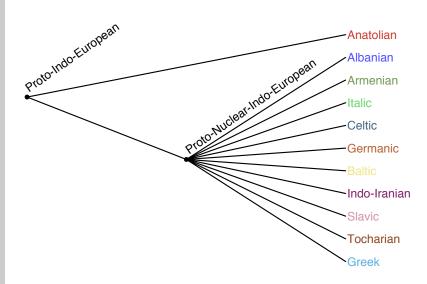
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Testing ground

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Definiteness marking in the history of Indo-European Definition

A **prime number** is a number that has exactly two divisors.

Structure Structure Structure Structure

What's this

approach to dependent linguistic change

A model-based

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Definiteness marking in the history of Indo-European Axenov, Serge (2006). *The Balochi language of Turkmenistan. A corpus-based grammatical description*. Studia Iranica Upsaliensia 10. Uppsala: Acta Universitatis Upsaliensis.

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Definiteness marking in the history of Indo-European Chang, Will, Chundra Cathcart, David P. Hall, and Andrew J. Garrett (2015). "Ancestry-constrained phylogenetic analysis supports the Indo-European steppe hypothesis". In: *Language* 91.1 (Mar. 2015), pp. 194–244. DOI: 10.1353/lan.2015.0005.

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Definiteness marking in the history of Indo-European Edelman, Džoy I. and Leila R. Dodykhudoeva (2009). "Shughni". In: *The Iranian languages*. Ed. by Gernot Windfuhr. London: Routledge, pp. 787–824.

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Definiteness marking in the history of Indo-European Greenberg, Joseph H. (1978). "How does a language acquire gender markers?" In: *Universals of Human Language*. Ed. by Joseph H. Greenberg, Charles A. Ferguson, and Edith A. Moravcsik. Vol. 3. Palo Alto: Stanford University Press,

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Oxford: Oxford University Press.

pp. 47–82.

approach to the evolution of color term systems". In: *Proceedings* of the National Academy of Sciences of the United States of America 113.48, pp. 13666–13671. DOI: 10.1073/pnas.1613666113.

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Definiteness marking in the history of Indo-European Himmelmann, Nikolaus (1997). *Deiktikon, Artikel, Nominalphrase.*Zur Emergenz syntaktischer Struktur. German. Tübingen:
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