

Automated reconstruction of ancient languages using probabilistic models of sound change

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One of the oldest problems in linguistics is reconstructing the words that appeared in the protolanguages from which modern languages evolved. Identifying the forms of these ancient languages makes it possible to evaluate proposals about the nature of language change and to draw inferences about human history. Protolanguages are typically reconstructed using a painstaking manual process known as the comparative method. We present a family of probabilistic models of sound change as well as algorithms for performing inference in these models. The resulting system automatically and accurately reconstructs protolanguages from modern languages. We apply this system to 637 Austronesian languages, providing an accurate, large-scale automatic reconstruction of a set of protolanguages. Over 85% of the system's reconstructions are within one character of the manual reconstruction provided by a linguist specializing in Austronesian languages. Being able to automatically reconstruct large numbers of languages provides a useful way to quantitatively explore hypotheses about the factors determining which sounds in a language are likely to change over time. We demonstrate this by showing that the reconstructed Austronesian protolanguages provide compelling support for a hypothesis about the relationship between the function of a sound and its probability of changing that was first proposed in 1955.

ancestral | computational | diachronic

Reconstruction of the protolanguages from which modern languages are descended is a difficult problem, occupying historical linguists since the late 18th century. To solve this problem linguists have developed a labor-intensive manual procedure called the comparative method (1), drawing on information about the sounds and words that appear in many modern languages to hypothesize protolanguage reconstructions even when no written records are available, opening one of the few possible windows to prehistoric societies (2, 3). Reconstructions can help in understanding many aspects of our past, such as the technological level (2), migration patterns (4), and scripts (2, 5) of early societies. Comparing reconstructions across many languages can help reveal the nature of language change itself, identifying which aspects of language are most likely to change over time, a long-standing question in historical linguistics (6, 7).

In many cases, direct evidence of the form of protolanguages is not available. Fortunately, owing to the world's considerable linguistic diversity, it is still possible to propose reconstructions by leveraging a large collection of extant languages descended from a single protolanguage. Words that appear in these modern languages can be organized into cognate sets that contain words suspected to have a shared ancestral form (Table 1). The key observation that makes reconstruction from these data possible is that languages seem to undergo a relatively limited set of regular sound changes, each applied to the entire vocabulary of a language at specific stages of its history (1). Still, several factors make reconstruction a hard problem. For example, sound changes are often context sensitive, and many are string insertions and deletions.

In this paper, we present an automated system capable of large-scale reconstruction of protolanguages directly from words that appear in modern languages. This system is based on a probabilistic model of sound change at the level of phonemes,

building on work on the reconstruction of ancestral sequences and alignment in computational biology (8–12). Several groups have recently explored how methods from computational biology can be applied to problems in historical linguistics, but such work has focused on identifying the relationships between languages (as might be expressed in a phylogeny) rather than reconstructing the languages themselves (13–18). Much of this type of work has been based on binary cognate or structural matrices (19, 20), which discard all information about the form that words take, simply indicating whether they are cognate. Such models did not have the goal of reconstructing protolanguages and consequently use a representation that lacks the resolution required to infer ancestral phonetic sequences. Using phonological representations allows us to perform reconstruction and does not require us to assume that cognate sets have been fully resolved as a preprocessing step. Representing the words at each point in a phylogeny and having a model of how they change give a way of comparing different hypothesized cognate sets and hence inferring cognate sets automatically.

The focus on problems other than reconstruction in previous computational approaches has meant that almost all existing protolanguage reconstructions have been done manually. However, to obtain more accurate reconstructions for older languages, large numbers of modern languages need to be analyzed. The Proto-Austronesian language, for instance, has over 1,200 descendant languages (21). All of these languages could potentially increase the quality of the reconstructions, but the number of possibilities increases considerably with each language, making it difficult to analyze a large number of languages simultaneously. The few previous systems for automated reconstruction of protolanguages or cognate inference (22–24) were unable to handle this increase in computational complexity, as they relied on deterministic models of sound change and exact but intractable algorithms for reconstruction.

Being able to reconstruct large numbers of languages also makes it possible to provide quantitative answers to questions about the factors that are involved in language change. We demonstrate the potential for automated reconstruction to lead to novel results in historical linguistics by investigating a specific hypothesized regularity in sound changes called functional load. The functional load hypothesis, introduced in 1955, asserts that sounds that play a more important role in distinguishing words are less likely to change over time (6). Our probabilistic reconstruction of hundreds of protolanguages in the Austronesian phylogeny provides a way to explore this question quantitatively, producing compelling evidence in favor of the functional load hypothesis.

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Table 1. Sample of reconstructions produced by the system

Gloss [†]	Known Modern Languages				Reconstructed Ancestors*		
	Fijian	Pazeh	Melanau	Inabaknon	Manual	Automated	Δ [‡]
star	kalokalo [§]	mintol	biten	bitu'on	*bituqen	*bituqen	0
to hold	taura	ma:ra?	magem	kumkom	*gemgem	*gemgem	0
house	vale	xuma?	lebu?	ruma	*rumaq	*rumaq	0
bird	manumanu	aiam	manuk	manok	*qayam	*qayam	0
to cut, hack	tata	tartatak	tutek	hadhad	*taraq	*taraq	0
at	e	- [†]	ga?	-	*i	*i	0
what?	cava	?axai	ua? inew	ay	*nanu	*anu	1
this	oqo	?imini	itew	ayto	*ini	*ani	1
wind	cagi	varə	pajay	baryo	*bali	*beliu	2

*Complete sets of reconstructions can be found in *SI Appendix*.

[†]Randomly selected by stratified sampling according to the Levenshtein edit distance Δ .

[‡]Levenshtein distance to a reference manual reconstruction, in this case the reconstruction of Blust (42).

[§]The colors encode cognate sets.

[¶]We use this symbol for encoding missing data.

Model

We use a probabilistic model of sound change and a Monte Carlo inference algorithm to reconstruct the lexicon and phonology of protolanguages given a collection of cognate sets from modern languages. As in other recent work in computational historical linguistics (13–18), we make the simplifying assumption that each word evolves along the branches of a tree of languages, reflecting the languages' phylogenetic relationships. The tree's internal nodes are languages whose word forms are not observed, and the leaves are modern languages. The output of our system is a posterior probability distribution over derivations. Each derivation contains, for each cognate set, a reconstructed transcription of ancestral forms, as well as a list of sound changes describing the transformation from parent word to child word. This representation is rich enough to answer a wide range of queries that would normally be answered by carrying out the comparative method manually, such as which sound changes were most prominent along each branch of the tree.

We model the evolution of discrete sequences of phonemes, using a context-dependent probabilistic string transducer (8). Probabilistic string transducers efficiently encode a distribution over possible changes that a string might undergo as it changes through time. Transducers are sufficient to capture most types of regular sound changes (e.g., lenitions, epentheses, and elisions) and can be sensitive to the context in which a change takes place. Most types of changes not captured by transducers are not regular (1) and are therefore less informative (e.g., metatheses, reduplications, and haplogogies). Unlike simple molecular InDel models used in computational biology such as the TKF91 model (25), the parameterization of our model is very expressive: Mutation probabilities are context sensitive, depending on the neighboring characters, and each branch has its own set of parameters. This context-sensitive and branch-specific parameterization plays a central role in our system, allowing explicit modeling of sound changes.

Formally, let τ be a phylogenetic tree of languages, where each language is linked to the languages that descended from it. In such a tree, the modern languages, whose word forms will be observed, are the leaves of τ . The most recent common ancestor of these modern languages is the root of τ . Internal nodes of the tree (including the root) are protolanguages with unobserved word forms. Let L denote all languages, modern and otherwise. All word forms are assumed to be strings in the International Phonetic Alphabet (IPA).

We assume that word forms evolve along the branches of the tree τ . However, it is usually not the case that a word belonging to each cognate set exists in each modern language—words are lost or replaced over time, meaning that words that appear in the root languages may not have cognate descendants in the languages at the leaves of the tree. For the moment, we assume there is a known list of C cognate sets. For each $c \in \{1, \dots, C\}$ let $L(c)$

denote the subset of modern languages that have a word form in the c th cognate set. For each set $c \in \{1, \dots, C\}$ and each language $\ell \in L(c)$, we denote the modern word form by $w_{c\ell}$. For cognate set c , only the minimal subtree $\tau(c)$ containing $L(c)$ and the root is relevant to the reconstruction inference problem for that set.

Our model of sound change is based on a generative process defined on this tree. From a high-level perspective, the generative process is quite simple. Let c be the index of the current cognate set, with topology $\tau(c)$. First, a word is generated for the root of $\tau(c)$, using an (initially unknown) root language model (i.e., a probability distribution over strings). The words that appear at other nodes of the tree are generated incrementally, using a branch-specific distribution over changes in strings to generate each word from the word in the language that is its parent in $\tau(c)$. Although this distribution differs across branches of the tree, making it possible to estimate the pattern of changes involved in the transition from one language to another, it remains the same for all cognate sets, expressing changes that apply stochastically to all words. The probabilities of substitution, insertion and deletion are also dependent on the context in which the change occurs. Further details of the distributions that were used and their parameterization appear in *Materials and Methods*.

The flexibility of our model comes at the cost of having literally millions of parameters to set, creating challenges not found in most computational approaches to phylogenetics. Our inference algorithm learns these parameters automatically, using established principles from machine learning and statistics. Specifically, we use a variant of the expectation-maximization algorithm (26), which alternates between producing reconstructions on the basis of the current parameter estimates and updating the parameter estimates on the basis of those reconstructions. The reconstructions are inferred using an efficient Monte Carlo inference algorithm (27). The parameters are estimated by optimizing a cost function that penalizes complexity, allowing us to obtain robust estimates of large numbers of parameters. See *SI Appendix, Section 1* for further details of the inference algorithm.

If cognate assignments are not available, our system can be applied just to lists of words in different languages. In this case it automatically infers the cognate assignments as well as the reconstructions. This setting requires only two modifications to the model. First, because cognates are not available, we index the words by their semantic meaning (or gloss) g , and there are thus G groups of words. The model is then defined as in the previous case, with words indexed as $w_{g\ell}$. Second, the generation process is augmented with a notion of innovation, wherein a word $w_{g\ell'}$ in some language ℓ' may instead be generated independently from its parent word $w_{g\ell}$. In this instance, the word is generated from a language model as though it were a root string. In effect, the tree is “cut” at a language when innovation happens, and so the word begins anew. The probability of innovation in any given

Agreement between linguists was computed on only Proto-Oceanic because the dataset used lacked multiple reconstructions for other protolanguages. (B) The effect of the topology on the quality of the reconstruction. On one hand, the difference between reconstruction error rates obtained from the system that ran on an uninformed topology (first and second) and rates obtained from the system that ran on an informed topology (third and fourth) is statistically significant. On the other hand, the corresponding difference between a flat tree and a random binary tree is not statistically significant, nor is the difference between using the consensus tree of ref. 41 and the Ethnologue tree (29). This suggests that our method has a certain robustness to moderate topology variations. (C) Reconstruction error rate as a function of the number of languages used to train our automatic reconstruction system. Note that the error is not expected to go down to zero, perfect reconstruction being generally unidentifiable. The results in A and B are directly comparable: In fact, the entry labeled “Ethnologue” in B corresponds to the green Proto-Austronesian entry in A. The results in A and B and those in C are not directly comparable because the evaluation in C is restricted to those coates with at least one reflex in the smallest evaluation set (to make the curve comparable across the horizontal axis of C).

Using these metrics, we found that our system achieved a precision of 0.844, recall of 0.621, F1 of 0.715, and cluster purity of 0.918. Thus, over 9 of 10 words are correctly grouped, and our system errs on the side of undergrouping words rather than clustering words that are not cognates. Because the null hypothesis in historical linguistics is to deem words to be unrelated unless proved otherwise, a slight undergrouping is the desired behavior.

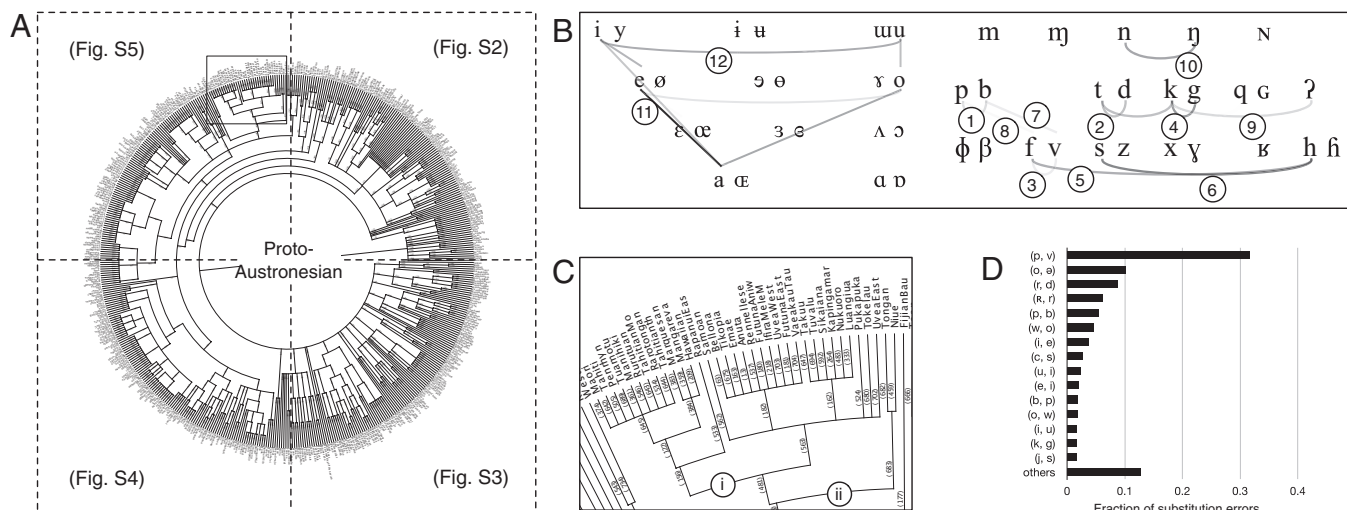


Fig. 2. Analysis of the output of our system in more depth. (A) An Austronesian phylogenetic tree from ref. 29 used in our analyses. Each quadrant is available in a larger format in *SI Appendix, Figs. S2–S5*, along with a detailed table of sound changes (*SI Appendix, Table S5*). The numbers in parentheses attached to each branch correspond to rows in *SI Appendix, Table S5*. The colors and numbers in parentheses encode the most prominent sound change along each branch, as inferred automatically by our system in *SI Appendix, Section 4*. (B) The most supported sound changes across the phylogeny, with the width of links proportional to the support. Note that the standard organization of the IPA chart into columns and rows according to place, manner, height, and backness is only for visualization purposes: This information was not encoded in the model in this experiment, showing that the model can recover realistic cross-linguistic sound change trends. All of the arcs correspond to sound changes frequently used by historical linguists: sonorizations $/p/ > /b/$ (1) and $/t/ > /d/$ (2), voicing changes (3, 4), debuccalizations $/t/ > /h/$ (5) and $/s/ > /h/$ (6), spirantizations $/b/ > /v/$ (7) and $/p/ > /f/$ (8), changes of place of articulation (9, 10), and vowel changes in height (11) and backness (12) (1). Whereas this visualization depicts sound changes as undirected arcs, the sound changes are actually represented with directionality in our system. (C) Zooming in a portion of the Oceanic languages, where the Nuclear Polynesian family (i) and Polynesian family (ii) are visible. Several attested sound changes such as debuccalization to Maori and place of articulation change $/t/ > /k/$ to Hawaiian (30) are successfully localized by the system. (D) Most common substitution errors in the PAN reconstructions produced by our system. The first phoneme in each pair (x, y) represents the reference phoneme, followed by the incorrectly hypothesized one. Most of these errors could be plausible disagreements among human experts. For example, the most dominant error (p, v) could arise over a disagreement over the phonemic inventory of Proto-Austronesian, whereas vowels are common sources of disagreement.

Because we are ultimately interested in reconstruction, we then compared our reconstruction system's ability to reconstruct words given these automatically determined cognates. Specifically, we took every cognate group found by our system (run on the Oceanic subclade) with at least two words in it. Then, we automatically reconstructed the Proto-Oceanic ancestor of those words, using our system. For evaluation, we then looked at the average Levenshtein distance from our reconstructions to the known reconstructions described in the previous sections. This time, however, we average per modern word rather than per cognate group, to provide a fairer comparison. (Results were not substantially different when averaging per cognate group.) Compared with reconstruction from manually labeled cognate sets, automatically identified cognates led to an increase in error rate of only 12.8% and with a significant reduction in the cost of curating linguistic databases. See *SI Appendix, Fig. S1* for the fraction of words with each Levenshtein distance for these reconstructions.

Functional Load. To demonstrate the utility of large-scale reconstruction of protolanguages, we used the output of our system to investigate an open question in historical linguistics. The functional load hypothesis (FLH), introduced 1955 (6), claims that the probability that a sound will change over time is related to the amount of information provided by a sound. Intuitively, if two phonemes appear only in words that are differentiated from one another by at least one other sound, then one can argue that no information is lost if those phonemes merge together, because no new ambiguous forms can be created by the merger.

A first step toward quantitatively testing the FLH was taken in 1967 (7). By defining a statistic that formalizes the amount of information lost when a language undergoes a certain sound change—on the basis of the proportion of words that are discriminated by each pair of phonemes—it became possible to evaluate the empirical support for the FLH. However, this initial

investigation was based on just four languages and found little evidence to support the hypothesis. This conclusion was criticized by several authors (31, 32) on the basis of the small number of languages and sound changes considered, although they provided no positive counterevidence.

Using the output of our system, we collected sound change statistics from our reconstruction of 637 Austronesian languages, including the probability of a particular change as estimated by our system. These statistics provided the information needed to give a more comprehensive quantitative evaluation of the FLH, using a much larger sample than previous work (details in *SI Appendix, Section 2.4*). We show in Fig. 3 *A* and *B* that this analysis provides clear quantitative evidence in favor of the FLH. The revealed pattern would not be apparent had we not been able to reconstruct large numbers of protolanguages and supply probabilities of different kinds of change taking place for each pair of languages.

Discussion

We have developed an automated system capable of large-scale reconstruction of protolanguage word forms, cognate sets, and sound change histories. The analysis of the properties of hundreds of ancient languages performed by this system goes far beyond the capabilities of any previous automated system and would require significant amounts of manual effort by linguists. Furthermore, the system is in no way restricted to applications like assessing the effects of functional load: It can be used as a tool to investigate a wide range of questions about the structure and dynamics of languages.

In developing an automated system for reconstructing ancient languages, it is by no means our goal to replace the careful reconstructions performed by linguists. It should be emphasized that the reconstruction mechanism used by our system ignores many of the phenomena normally used in manual reconstructions. We have mentioned limitations due to the transducer

model specifies a distribution over transition probabilities by assigning weights to a set of features that describe properties of the sound changes involved. These features provide a more coherent representation of the transition probabilities, capturing regularities in sound changes that reflect the underlying linguistic structure.

We used the following feature templates: OPERATION, which identifies whether an operation in the mutation Markov chain is an insertion, a deletion, a substitution, a self-substitution (i.e., of the form $x > y$, $x = y$), or the end of an insertion event; MARKEDNESS, which consists of language-specific n -gram indicator functions for all symbols in Σ (during reconstruction, only unigram and bigram features are used for computational reasons; for cognate inference, only unigram features are used); FAITHFULNESS, which consists of indicators for mutation events of the form $1[x > y]$, where $x \in \Sigma$, $y \in \mathcal{S}$. Feature templates similar to these can be found, for instance, in the work of refs. 37 and 38, in the context of string-to-string transduction models used in computational linguistics. This approach to specifying the transition probabilities produces an interesting connection to stochastic optimality theory (39, 40), where a logistic regression model mediates markedness and faithfulness of the production of an output form from an underlying input form.

Data sparsity is a significant challenge in protolanguage reconstruction. Although the experiments we present here use an order of magnitude more languages than previous computational approaches, the increase in observed data also brings with it additional unknowns in the form of intermediate protolanguages. Because there is one set of parameters for each language, adding more data is not sufficient to increase the quality of the reconstruction; it is important to share parameters across different branches in the tree to benefit from having observations from more languages. We used the following technique to address this problem: We augment the parameterization to include the current language (or language at the bottom of the current branch) and use a single, global weight vector instead of a set of branch-specific weights. Generalization across branches is then achieved by using features that ignore ℓ , whereas branch-specific features depend on ℓ . Similarly, all of the features in OPERATION, MARKEDNESS, and FAITHFULNESS have universal and branch-specific versions.

Using these features and parameter sharing, the logistic regression model defines the transition probabilities of the mutation process and the root language model to be

$$\theta_{\omega, t, p, \ell} = \theta_{\omega, t, p, \ell}(\xi; \lambda) = \frac{\exp\{\langle \lambda, f(\omega, t, p, \ell, \xi) \rangle\}}{Z(\omega, t, p, \ell, \lambda)} \times \mu(\omega, t, \xi), \quad [1]$$

where $\xi \in \mathcal{S}$, $f: \{S, I, R\} \times \Sigma \times \Sigma \times L \times \mathcal{S} \rightarrow \mathbb{R}^k$ is the feature function (which indicates which features apply for each event), $\langle \cdot, \cdot \rangle$ denotes inner product, and $\lambda \in \mathbb{R}^k$ is a weight vector. Here, k is the dimensionality of the feature space of the logistic regression model. In the terminology of exponential families, Z and μ are the normalization function and the reference measure, respectively:

$$Z(\omega, t, p, \ell, \lambda) = \sum_{\xi \in \mathcal{S}} \exp\{\langle \lambda, f(\omega, t, p, \ell, \xi) \rangle\}$$

$$\mu(\omega, t, \xi) = \begin{cases} 0 & \text{if } \omega = S, t = \#, \xi \neq \# \\ 0 & \text{if } \omega = R, \xi = \zeta \\ 0 & \text{if } \omega \neq R, \xi = \# \\ 1 & \text{o.w.} \end{cases}$$

Here, μ is used to handle boundary conditions, ensuring that the resulting probability distribution is well defined.

During cognate inference, the innovation Bernoulli random variables ν_{gt} are similarly parameterized, using a logistic regression model with two kinds of features: a global innovation feature $\kappa_{\text{global}} \in \mathbb{R}$ and a language-specific feature $\kappa_{\ell} \in \mathbb{R}$. The likelihood function for each ν_{gt} then takes the form

$$\nu_{gt} = \frac{1}{1 + \exp\{-\kappa_{\text{global}} - \kappa_{\ell}\}}. \quad [2]$$

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