

Lab report 2: phonon calculation of bulk Si and AlAs

1. Exercise one

- a. Calculate **total energy of bulk Si** and report energy

```
si.scf.out
total energy      = -15.85438131 Ry
```

- b. Calculate **Gamma point phonon frequency of bulk Si** and report phonon frequency at Gamma

```
si.phG.out
```

Dielectric constant in cartesian axis

```
( 13.998253693 -0.000000000 -0.000000000 )
( -0.000000000 13.998253693 -0.000000000 )
( -0.000000000 -0.000000000 13.998253693 )
```

Effective charges (d Force / dE) in cartesian axis without acoustic sum rule applied (asr)

Effective charges (d Force / dE) in cartesian axis with asr applied:

```
atom 1 Si Mean Z*: -0.00000
E*x ( -0.00000 -0.00000 0.00000 )
E*y ( -0.00000 -0.00000 0.00000 )
E*z ( 0.00000 0.00000 -0.00000 )
atom 2 Si Mean Z*: 0.00000
E*x ( 0.00000 0.00000 -0.00000 )
E*y ( 0.00000 0.00000 -0.00000 )
E*z ( -0.00000 -0.00000 0.00000 )
```

Diagonalizing the dynamical matrix

```
q = ( 0.000000000 0.000000000 0.000000000 )
```

```
*****
freq ( 1) = 0.088873 [THz] = 2.964471 [cm-1]
freq ( 2) = 0.088873 [THz] = 2.964471 [cm-1]
freq ( 3) = 0.088873 [THz] = 2.964471 [cm-1]
freq ( 4) = 15.549157 [THz] = 518.664042 [cm-1]
freq ( 5) = 15.549157 [THz] = 518.664042 [cm-1]
freq ( 6) = 15.549157 [THz] = 518.664042 [cm-1]
*****
```

```
dyn.G
```

Diagonalizing the dynamical matrix

```
q = ( 0.000000000 0.000000000 0.000000000 )
```

```
*****
freq ( 1) = 0.088873 [THz] = 2.964471 [cm-1]
( -0.696529 0.000000 0.114392 -0.000000 0.041975 -0.000000 )
( -0.696529 0.000000 0.114392 -0.000000 0.041975 -0.000000 )
freq ( 2) = 0.088873 [THz] = 2.964471 [cm-1]
( -0.067381 0.000000 -0.158644 0.000000 -0.685778 0.000000 )
( -0.067381 0.000000 -0.158644 0.000000 -0.685778 0.000000 )
freq ( 3) = 0.088873 [THz] = 2.964471 [cm-1]
( 0.101524 0.000000 0.679519 0.000000 -0.167171 0.000000 )
( 0.101524 0.000000 0.679519 0.000000 -0.167171 0.000000 )
freq ( 4) = 15.549157 [THz] = 518.664042 [cm-1]
( -0.411199 0.000000 -0.571990 0.000000 0.061182 0.000000 )
( 0.411199 0.000000 0.571990 0.000000 -0.061182 0.000000 )
freq ( 5) = 15.549157 [THz] = 518.664042 [cm-1]
( -0.080177 0.000000 0.131458 -0.000000 0.690138 -0.000000 )
( 0.080177 -0.000000 -0.131458 0.000000 -0.690138 0.000000 )
freq ( 6) = 15.549157 [THz] = 518.664042 [cm-1]
( -0.569638 0.000000 0.394394 0.000000 -0.141302 0.000000 )
( 0.569638 0.000000 -0.394394 0.000000 0.141302 0.000000 )
*****
```

2. Exercise two

- a. Calculate **total energy of AlAs** and report energy

alas.scf.out
total energy = -16.98632170 Ry

- b. Calculate **Gamma point phonon frequency of AlAs** and report phonon frequency at Gamma

alas.phG.out

Dielectric constant in cartesian axis

```
( 9.091766604 -0.000000000 -0.000000000 )
( -0.000000000 9.091766604 0.000000000 )
( -0.000000000 0.000000000 9.091766604 )
```

Effective charges (d Force / dE) in cartesian axis without acoustic sum rule applied (asr)

```
Effective charges (d Force / dE) in cartesian axis with asr applied:
atom 1 Al Mean Z*: 2.09004
E*x ( 2.09004 0.00000 0.00000 )
E*y ( 0.00000 2.09004 -0.00000 )
E*z ( 0.00000 0.00000 2.09004 )
atom 2 As Mean Z*: -2.09004
E*x ( -2.09004 -0.00000 -0.00000 )
E*y ( -0.00000 -2.09004 0.00000 )
E*z ( -0.00000 -0.00000 -2.09004 )
```

Diagonalizing the dynamical matrix

q = (0.000000000 0.000000000 0.000000000)

```
*****
freq ( 1) = 0.148956 [THz] = 4.968626 [cm-1]
freq ( 2) = 0.148956 [THz] = 4.968626 [cm-1]
freq ( 3) = 0.148956 [THz] = 4.968626 [cm-1]
freq ( 4) = 12.384087 [THz] = 413.088681 [cm-1]
freq ( 5) = 12.384087 [THz] = 413.088681 [cm-1]
freq ( 6) = 12.384087 [THz] = 413.088681 [cm-1]
*****
```

dyn.G

Diagonalizing the dynamical matrix

q = (0.000000000 0.000000000 0.000000000)

```
*****
freq ( 1) = 0.148956 [THz] = 4.968626 [cm-1]
( -0.535164 0.000000 0.002244 0.000000 -0.462196 0.000000 )
( -0.535131 0.000000 0.002244 0.000000 -0.462168 0.000000 )
freq ( 2) = 0.148956 [THz] = 4.968626 [cm-1]
( 0.115330 0.000000 -0.684104 0.000000 -0.136860 0.000000 )
( 0.115323 0.000000 -0.684063 0.000000 -0.136851 0.000000 )
freq ( 3) = 0.148956 [THz] = 4.968626 [cm-1]
( -0.447581 0.000000 -0.178960 0.000000 0.517373 -0.000000 )
( -0.447554 0.000000 -0.178949 0.000000 0.517342 -0.000000 )
freq ( 4) = 12.384087 [THz] = 413.088681 [cm-1]
( 0.906096 0.000000 0.034405 0.000000 0.250977 0.000000 )
( -0.326332 0.000000 -0.012391 0.000000 -0.090390 0.000000 )
freq ( 5) = 12.384087 [THz] = 413.088681 [cm-1]
( -0.116901 0.000000 -0.770153 0.000000 0.527619 0.000000 )
( 0.042102 0.000000 0.277372 0.000000 -0.190023 0.000000 )
freq ( 6) = 12.384087 [THz] = 413.088681 [cm-1]
( 0.224738 0.000000 -0.539318 0.000000 -0.737436 0.000000 )
( -0.080940 0.000000 0.194236 0.000000 0.265589 0.000000 )
*****
```

- c. Apply **Acoustic sum rule and LO-TO splitting** then report phonon frequency at Gamma

alas.dynmat.out

#	mode	[cm-1]	[THz]	IR
1	0.00	0.0000	0.0000	
2	0.00	0.0000	0.0000	
3	0.00	0.0000	0.0000	
4	374.24	11.2195	5.3672	
5	374.24	11.2195	5.3672	
6	410.67	12.3115	5.3672	

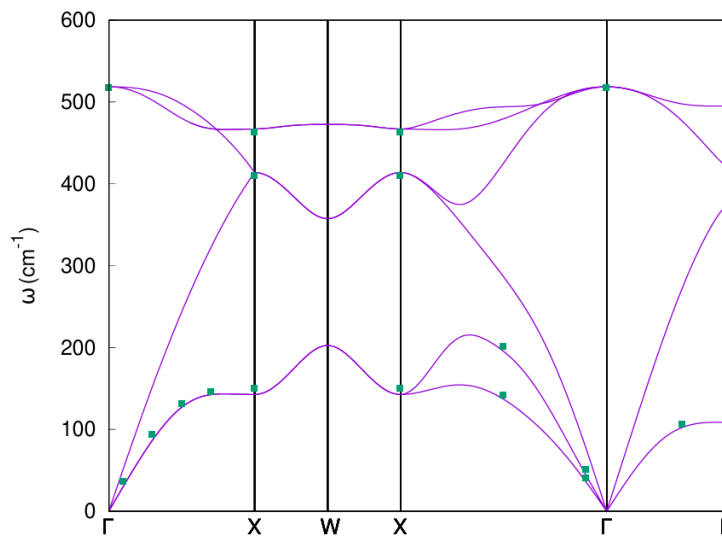
3. Exercise three (Bulk Si)

- a. Calculate **phonon frequency** at uniform grid 4x4x4

si.freq

```
&plot nbnd= 6, nks= 8 /
0.000000 0.000000 0.000000
0.0000 0.0000 0.0000 518.6779 518.6779 518.6779
-0.250000 0.250000 -0.250000
94.5487 94.5487 233.0106 489.8554 500.8858 500.8858
0.500000 -0.500000 0.500000
108.6634 108.6634 377.2493 418.6630 495.1119 495.1119
0.000000 0.500000 0.000000
127.0369 127.0369 243.5610 480.0542 480.0542 497.5688
0.750000 -0.250000 0.750000
139.7615 198.3612 322.5409 418.7902 473.0484 486.0663
0.500000 0.000000 0.500000
136.8300 195.4058 286.5263 425.3250 479.5034 494.1714
0.000000 -1.000000 0.000000
142.6706 142.6706 413.8565 413.8565 467.1091 467.1091
-0.500000 -1.000000 0.000000
202.4693 202.4693 357.6096 357.6096 472.6910 472.6910
```

- b. Calculate **phonon dispersion** and plot phonon dispersion



c. Calculate **phonon density of states** and plot phonon density of states

