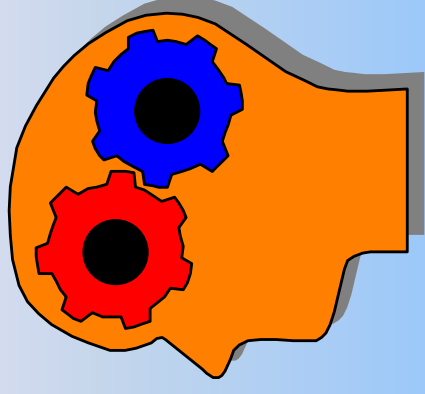


ARTIFICIAL INTELLIGENCE



CSC304

CZ3005

**School of Computer Engineering
Nanyang Technological University**



- **I Artificial Intelligence**
- **II Problem Solving**
- **III Knowledge and Reasoning**
- **IV Acting Logically**
- **V Uncertain Knowledge and Reasoning**
- **VI Learning**
- **VII Communicating, Perceiving and Acting**
- **VIII Conclusions**



• Dr Chai Quek **Profile of Lecturer**

- **Ph.D. – H.W. Edinburgh 1990**
 - An Intelligent Supervisory Control Schema
- **Area of Research: Learning Systems, Neural Network, Fuzzy System, Hybrid Fuzzy Neural Systems, Softcomputing, Computational Intelligence**
- **Application Areas: Computational Finance, Biomedical Engg, Intelligent Control, Intelligent Education, Soft modelling, (cognitive) sentiment mining**
- **Students groomed: over 12 gold medalists, 30 Ph.D.s, MSc, MEng etc.**
- **Hall 7 Head Counsellor, Assoc Chair (Students)**



Part III – Knowledge and Reasoning

• 6 Agents that Reason Logically

- Knowledge-based Agents. – Representations.
- Propositional Logic. – The Wumpus World.

• 7 First-Order Logic

- Syntax and Semantics. – Using First-Order Logic.
- Logical Agents. – Representing Changes.
- Deducing Properties of the World.
- Goal-based Agents.

• 8 Building a Knowledge Base

- Knowledge Engineering. – General Ontology.



Part III – Knowledge and Reasoning

- **9 Inference in First-Order Logic**
 - Inference Rules. – Generalised Modus Ponens.
 - Forward and Backward Chaining. – Resolution.
- **10 Example classes - Prolog as KBS**
 - Starting week 10 – intro
 - Starting week 12 – assignment Wk 14 (Venue TBA)

(marks to be part of continuous assessments)



6 – AGENTS THAT **REASON LOGICALLY**

“In which we design agents that can form representations of the world, use a process of inference to derive new representations about the world, and use these new representations to deduce what to do.”



The Knowledge-Based Approach

- **Agents that *know***
 - Achieve competence by being told new knowledge or by learning
 - Achieve adaptability by updating their knowledge
 - > *Knowledge representation*
 - State of the world, properties and evolution of the world; goals of the agent, actions and their effect
- **Agents that *reason***
 - Use knowledge to deduce course of actions
 - > *Knowledge inference*

Logic



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Knowledge-Based Agents

- **Knowledge base (KB)**
 - Set of sentences i.e., representations of facts (DB)
 - Knowledge representation language
- **Adding and querying knowledge**
 - **Tell**: add a sentence to the KB
 - **Ask**: retrieve knowledge from the KB
 - Answers must *follow* from what has been **Tell**'ed (told)
- **Inference mechanism**
 - Role: determine what follows from the KB



Problem Formulation of KBS

- **Knowledge Based System**

- States: Instances of the KB (sets of sentences)

- Use **Tell** to build the KB

- e.g. Tell(KB, "Smoke \Rightarrow Fire")

- Tell(KB, "Fire \Rightarrow Call_911")

- ...

- Tell(KB, "Smoke")

- Operators: Add / Infer a new sentence

- Goal: Answer a query
→ Use **Ask** to query the KB

- e.g. Ask(KB, "? Call_911")



A Generic Knowledge-Based Agent

```
function KB-Agent (percept) returns action
  static KB, // a knowledge base
           t // a time counter, initially 0

  Tell (KB, Make-Percept-Sentence (percept, t))
  action ← Ask (KB, Make-Action-Query (percept, t))
  Tell (KB, Make-Action-Sentence (action, t))
  t ← t + 1
  return action
```

- > 3 steps: interpretation, inference, execution
- > KB: background knowledge (observed)
+ acquired information (deduced)



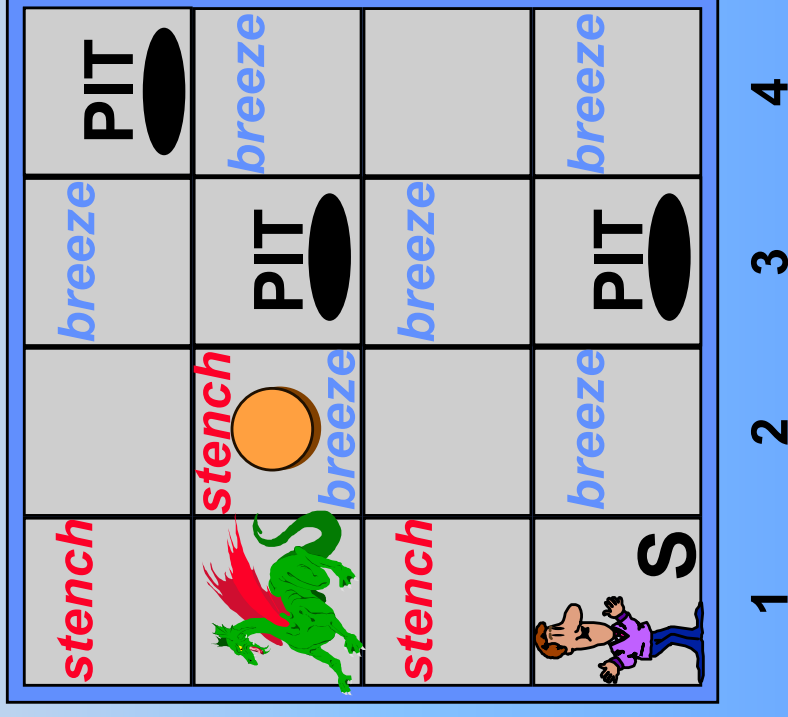
Example: the Wumpus World

- Problem description (**PAGE**)

- Environment
 - Grid of squares, walls;
 - Agent, gold, pits, wumpus.
- Goal
 - Find the gold, return to S at [1,1].
- **Percepts**
 - A list of 5 symbols, e.g. [Stench, Breeze, Glitter, Bump, Scream];
 - Agent's location *not* perceived.

- **Actions**

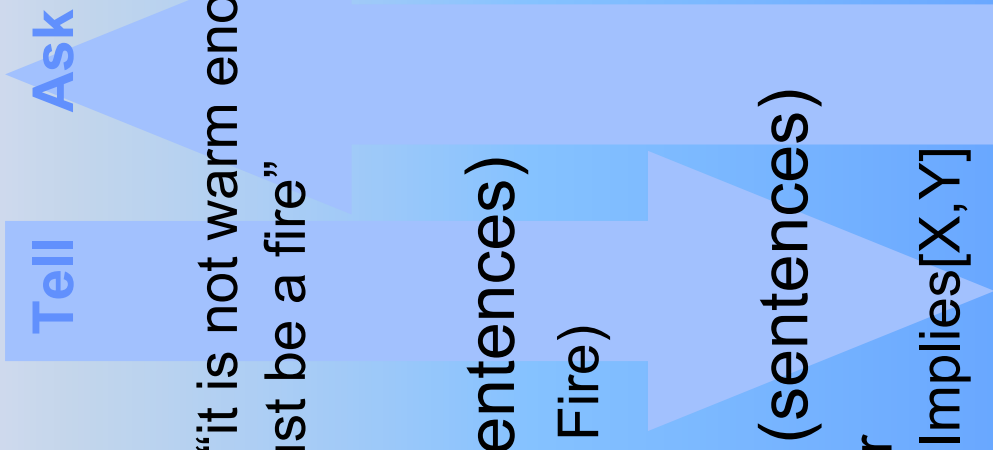
- Go-Forward, Turn-Left, Turn-Right, Grab, Shoot (1 arrow only), Climb.





Levels of Knowledge

- **Epistemological level**
 - Declarative description of knowledge
 - e.g. facts: “there is smoke in the kitchen”, “it is not warm enough”
rules: “if there is smoke then there must be a fire”
- **Logical level**
 - Logical encoding of knowledge (into sentences)
 - e.g. facts: Smoke; rules: Implies(Smoke, Fire)
- **Implementation level**
 - Physical representation of knowledge (sentences)
 - e.g. - the string “Implies(Smoke, Fire)”, or
 - a “1” entry in a 2-dimensional array: Implies[X,Y]





The Wumpus World

- **Problem description (cont'd)**
 - Initial state
 - Agent at [1,1]; gold, pits and wumpus in random squares.
 - Path-cost
 - Climbing out with the gold: +1000 (without: 0) • Each action: -1
 - Getting killed (pit or wumpus): -10000
 - Knowledge
 - “In all squares adjacent to the one where the wumpus is, the agent will perceive a stench.”
 - “In all squares adjacent to a pit, the agent will perceive a breeze.”
 - In the square where the gold is, the agent will perceive a glitter.”
 - When walking into a wall, the agent will perceive a bump.”
 - When the wumpus is killed, the agent will perceive a scream.”



Acting and Reasoning in the Wumpus World

(0) Initial state

[nil, nil, nil, nil]

4 3 2 1

A = Agent

B = Breeze

G = Glitter, Gold

OK = Safe square

1

2

3

4

(1) after {F}

[nil, Breeze, nil, nil]

4 3 2 1

P = Pit

S = Stench

V = Visited

W = Wumpus

1

2

3

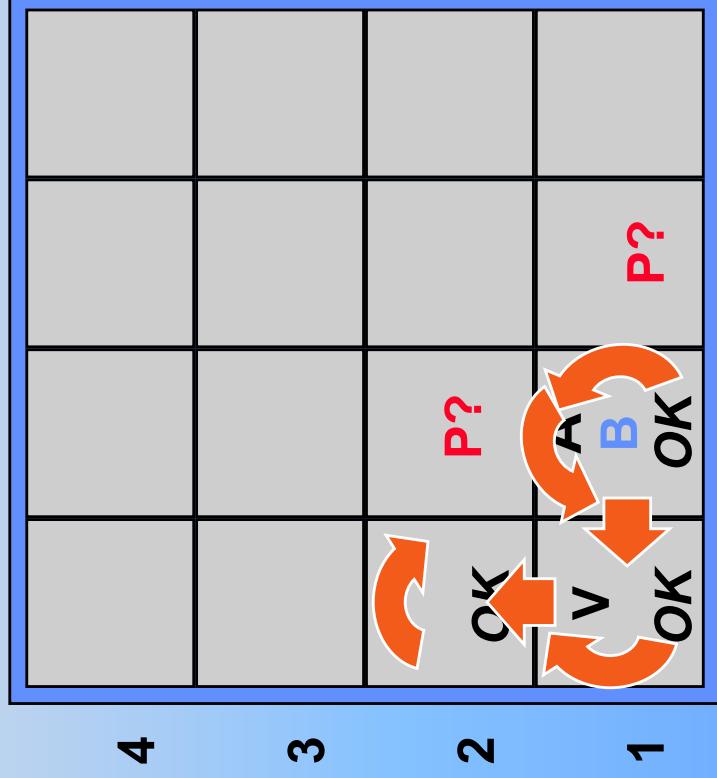
4



Acting and Reasoning in the Wumpus World

(1) after {F}

[nil, Breeze, nil, nil, nil]



A = Agent

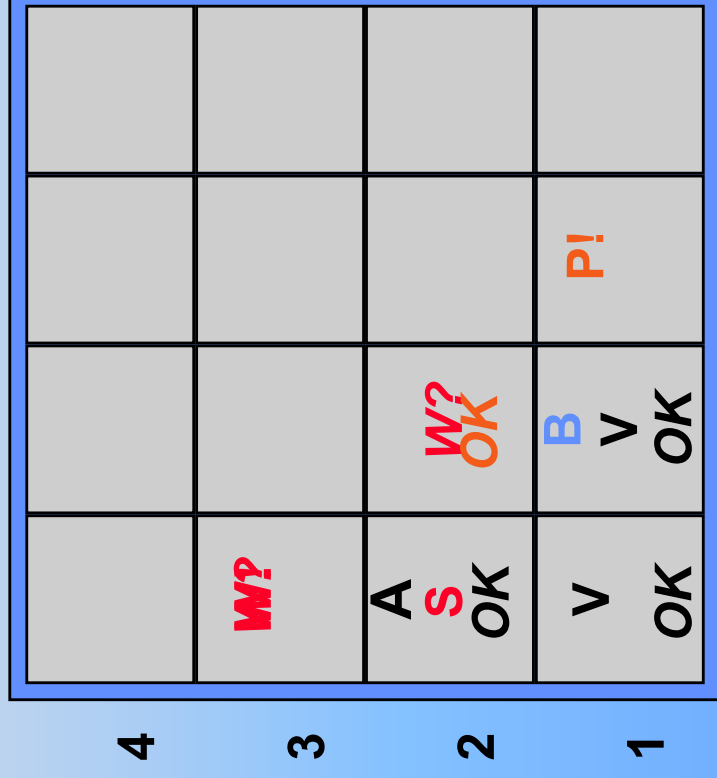
B = Breeze

G = Glitter, Gold

OK = Safe square

(6) after {F, L, L, F, R, F}

[Stench, nil, nil, nil, nil]



P = Pit

S = Stench

V = Visited

W = Wumpus



Acting and Reasoning in the Wumpus World

(6) after {F, L, L, F, R, F}
[Stench, nil, nil, nil, nil]

4				
3	W!			
2		OK	OK	
1	V	B	V	P!

A = Agent

B = Breeze

G = Glitter, Gold

OK = Safe square

(10) after {F, L, L, F, R, F, R, F, L, F}
[Stench, Breeze, Glitter, nil, nil]

4		P?		
3	W!	A	P?	
2	S	S	V	OK
1	V	B	V	P!

P = Pit

S = Stench

V = Visited

W = Wumpus

end



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Knowledge Representations

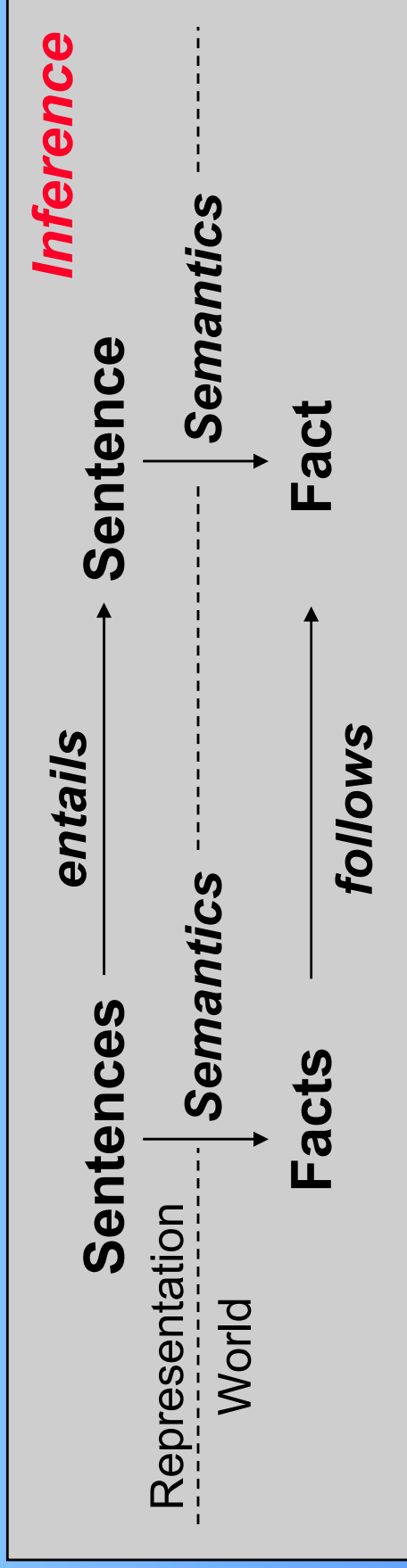
- **Knowledge representation (KR)**
 - KB: set of sentences → need to
 - Express knowledge in a (computer-) tractable form
- **Knowledge representation language**
 - Syntax – implementation level
 - Possible configurations that constitute sentences
 - Semantics – knowledge level
 - Facts of the world the sentences refer to
 - e.g. language of arithmetics: x, y numbers
sentence: “ $x \geq y$ ”, semantics: “greater or equal”





Reasoning and Logic

- **Logic**
 - Representation + Inference = Logic
 - Where representation = syntax + semantics
- **Reasoning**
 - Construction of new sentences from existing ones
- **Entailment as logical inference**





Deduction and Induction

- **Mechanical reasoning**

- Example
 - If a chord sequence is tonal, then it can be generated by a context-sensitive grammar.
 - The twelve-bar blues has a chord sequence that is tonal. |-
 - The twelve-bar blues has a chord sequence that can be generated by a context-sensitive grammar.

- **Deductive inference**

- KB: Monday \Rightarrow Work, Monday |- Work *sound (MP)*

- **Inductive inference**

- KB: Monday \Rightarrow Work, Work |- Monday *unsound!*
- Generalization e.g., “*all swans are white ...*”



Entailment and Inference

- **Entailment**
 - Generate sentences that are necessarily true, given that the existing sentences are true
 - Notation: $KB \models \alpha$
 - e.g. Wumpus world:
 $\{ \neg S(1,1), \neg B(1,1) \} \models \text{OK}(2,1)$
 - Arithmetics:
 $\{ x \geq y, y \geq z \} \models x \geq z$
- **Inference**
 - **Tell**, given KB : $(KB \models \alpha)$!
 - **Ask**, given KB and α : $(KB \models \alpha)$?



Properties of Inference

- *Can be described by the sentences it derives, $KB \models \alpha_I$*
- **Soundness**
 - Generate only entailed sentences
 - Proof: sequence of operations of a sound inference
 - Record of operations that generate a specific entailed sentence
e.g. “Smoke \Rightarrow Fire” and “Smoke” \models “Fire”
“Fire \Rightarrow Call_911” and “Fire” \models “Call_911”
- **Completeness**
 - A proof can be found for any entailed sentence
- **Proof theory**
 - Specify the reasoning operations that are sound



An Example of Sound Inference

- Sentence: x
 - Semantics: an expression; can be a single symbol or number, the concatenation of 2 expressions, etc.
- Sentence: $x y$
 - Semantics: an expression which refers to a quantity that is the product of the quantities referred to by each of the expressions
- Sentence: $x = y$
 - Semantics: the 2 expressions on each side of “=” refer to the same quantity
- A sound inference: from $E = mc^2$ $T_1 \geq T_2$ $\models E T_1 \geq mc^2 T_2$



Knowledge Representation

Languages

- **Formal (programming) languages**
 - Good at describing algorithms and data structures
 - e.g. the Wumpus world as a 4x4 array, $\text{World}[2,2] \leftarrow \text{Pit}$
 - Poor at representing incomplete / uncertain information
 - e.g. “there is a pit in $[2,2]$ or $[3,1]$ ”, or “...a wumpus *somewhere*”
 - > *not expressive enough*
- **Natural languages**
 - Very expressive (too much, thus very complex)
 - More appropriate for communication than representation
 - Suffer from ambiguity
 - e.g. “It’s hot!”
 - e.g. “small cats and dogs” compared to “ $-x + y$ ”.



Properties of Representations

- *KR languages should combine the advantages of both programming and natural languages.*
- **Desired properties**
 - Expressive
 - Can represent everything we need to.
 - Concise
 - Unambiguous
 - Sentences have a unique interpretation.
 - Context independent
 - Interpretation of sentences depends on semantics only.
 - Effective
 - An inference procedure allows to create new sentences.



Properties of Semantics

- **Interpretation (meaning)**

- *Correspondence between sentences and facts*
- Arbitrary meaning, fixed by the writer of the sentence
 - e.g. Natural languages: meaning fixed by usage (cf. dictionary)
exceptions: encrypted messages, codes (e.g. Morse)
- Systematic relationship: compositional languages
 - *The meaning of a sentence is a function of the meaning of its parts.*
- Truth value
 - A sentence make a claim about the world → TRUE or FALSE
 - *Depends on the interpretation and the state of the world*
 - e.g. Wumpus world: $S(1,2)$ true if means “Stench at [1,2]” and the world has a wumpus at either [1,3] or [2,2].

one
un
uno
yi
ichi
...
|
1
—
...

chat



Properties of Inference

- **Definition**
 - *Inference (reasoning) is the process by which conclusions are reached*
 - Logical inference (deduction) is the process that implements entailment between sentences
- **Useful properties**
 - Valid sentence (tautology)
 - iff TRUE under all possible interpretations in all possible worlds.
 - e.g. “S or \neg S” is valid, “S(2,1) or \neg S(2,1)”, etc.
 - Satisfiable sentence
 - iff there is some interpretation in some world for which it is TRUE
 - e.g. “S and \neg S” is unsatisfiable



Inference and Agent Programs

- **Inference in computers**

- Does not know the interpretation the agent is using for the sentences in the KB
- Does not know about the world (actual facts)
- Knows only what appears in the KB (sentences)
 - e.g. Wumpus world: doesn't know the meaning of "OK", what a wumpus or a pit is, etc. – can only see: $KB \models "[2,2] \text{ is OK}"$
- > *Cannot reason informally*
 - does not matter, however, if $KB \models "[2,2] \text{ is OK}"$ is a valid sentence

- **Formal inference**

- Can handle arbitrarily complex sentences, $KB \models P$



Different Logics

- **Formal logic**
 - Syntax
 - A set of rules for writing sentences
 - Semantics
 - A set of rules (constraints) for relating sentences to facts
 - Proof theory / inference procedure
 - A set of rules for deducing entailments of sentences
- **Propositional logic**
 - Symbols, representing propositions (facts)
 - Boolean connectives, combining symbols
 - e.g. “Hot” or “Hot and Humid”



Different Logics

- **First-order logic**
 - Objects and predicates, representing properties of and relations between objects
 - Variables, Boolean connectives and quantifiers
 - e.g. “Hot(x)”, “Hot(Air)” or “Hot(Air) and Humid(Air)”
- **Temporal logic**
 - World ordered by a set of time points (intervals)
- **Probabilistic and fuzzy logic**
 - Degrees of belief and truth in sentences
 - e.g. “Washington is a state” with belief degree 0.4, “a city” 0.6, “Washington is a large city” with truth degree 0.6



Different Degrees of Truth

- Q: *Is there a tuna sandwich in the refrigerator?*
- A: *0.5 !*

- **Probabilities**

- There *is* or there *isn't* (50% chance either way).

- **Measures**

- There is *half* a tuna sandwich there.

- **Fuzzy answer**

- There is *something* there, but it *isn't really* a tuna sandwich. Perhaps it is some other kind of sandwich, or a tuna salad with no bread...



The Commitments of Logics

Formal (KR) Language	Ontological commitment (what exists in the world)	Epistemological commitment (what an agent believes about facts)
Propositional logic	facts	true / false / unknown
First-order logic	facts, objects, relations	true / false / unknown
Temporal logic	facts, objects, rel., times	true / false / unknown
Probability logic	facts	degree of belief 0...1
Fuzzy logic	degrees of truth 0...1	degree of belief 0...1



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Elements of Propositional Logic

- **Symbols**
 - Logical constants: TRUE, FALSE
 - Propositional symbols: P, Q, etc.
 - Logical connectives: \wedge , \vee , \Leftrightarrow , \Rightarrow , \neg
 - Parentheses: $()$
- **Sentences**
 - Atomic sentences: constants, propositional symbols
 - Combined with connectives, e.g. $P \wedge Q \vee R$
also wrapped in parentheses, e.g. $(P \wedge Q) \vee R$



Logical Connectives

- Conjunction \wedge
 - Binary op., e.g. $P \wedge Q$, “P and Q”, where P, Q are the *conjuncts*
- Disjunction \vee
 - Binary op., e.g. $P \vee Q$, “P or Q”, where P, Q are the *disjuncts*
- Implication \Rightarrow
 - Binary op., e.g. $P \Rightarrow Q$, “P implies Q”, where P is the *premise* (antecedent) and Q the *conclusion* (consequent)
 - Conditionals, “if-then” statements, or rules
- Equivalence \Leftrightarrow
 - Binary op., e.g. $P \Leftrightarrow Q$, “P equivalent to Q” • Biconditionals.
- Negation \neg
 - Unary op., e.g. $\neg P$, “not P”



Syntax of Propositional Logic

(Backus-Naur Form)

Sentence	→	<u>AtomicSentence</u> <u>ComplexSentence</u>
AtomicSentence	→	<u>LogicalConstant</u> <u>PropositionalSymbol</u>
ComplexSentence	→	(Sentence) Sentence <u>LogicalConnective</u> Sentence ¬Sentence
LogicalConstant	→	TRUE FALSE
PropositionalSymbol	→	P Q R ...
LogicalConnective	→	\wedge \vee \Leftrightarrow \Rightarrow \neg

Precedence (from highest to lowest): \neg , \wedge , \vee , \Rightarrow , \Leftrightarrow

e.g.: $\neg P \wedge Q \vee R \Rightarrow S$ (not ambiguous), eq. to: $((\neg P) \wedge Q) \vee R \Rightarrow S$



Semantics of Propositional Logic

- **Interpretation of symbols**
 - Logical constants have fixed meaning
 - True: always means the fact is the case; valid
 - False: always means the fact is not the case; unsatisfiable
 - Propositional symbols mean “whatever they mean”
 - e.g.: **P** “we are in a pit”, etc.
 - Satisfiable, but not valid (true only when the fact is the case)
- **Interpretation of sentences**
 - Meaning derived from the meaning of its parts
 - Sentence as a combination of sentences using connectives
 - Logical connectives as (boolean) functions:
TruthValue f (TruthValue, TruthValue)



Semantics of Propositional Logic

- Interpretation of connectives

- Truth-table
- Define a mapping from input to output

P	Q	$\neg P$	$P \wedge Q$	$P \vee Q$	$P \Rightarrow Q$	$P \Leftrightarrow Q$
False	False	True	False	False	True	True
False	True	True	False	True	True	False
<u>True</u>	<u>False</u>	False	False	True	False	False
<u>True</u>	<u>True</u>	False	True	True	True	True

- Interpretation of sentences by decomposition

- e.g.: $\neg P \wedge Q \vee R \Rightarrow S$, with $P \leftarrow T, Q \leftarrow T, R \leftarrow F, S \leftarrow F$:
 $\neg P \leftarrow F$ $((\neg P) \wedge Q) \vee R) \leftarrow F$
 $(\neg P) \wedge Q \leftarrow F$ $((\neg P) \wedge Q) \vee R) \Rightarrow S \leftarrow T$

end



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Validity and Inference

- **Testing for validity**
 - Using truth-tables, checking all possible configurations
 - e.g.: $((P \vee Q) \wedge \neg Q) \Rightarrow P$

P	Q	$P \vee Q$	$\neg Q$	$(P \vee Q) \wedge \neg Q$	$((P \vee Q) \wedge \neg Q) \Rightarrow P$
False	False	False	True	False	True
False	True	True	False	False	True
True	False	True	True	True	True
True	True	True	False	False	True

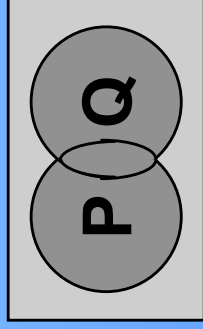
- **A method for sound inference**
 - Build and check a truth-table for *Premises* \Rightarrow *Conclusion*



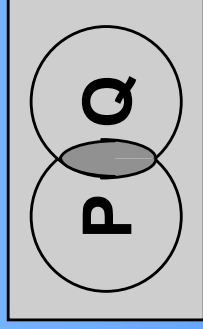
Models

- **Definition**
 - *A world in which a sentence is true under a particular interpretation*
 - e.g. the Wumpus world example is a model for $S(1,2)$ meaning “there is a stench in $[1,2]$ ”
 - Entailment: $KB \models \alpha$ if the models of KB are all models of α
- **Models as mathematical objects**
 - A mapping from propositional symbols to truth-values

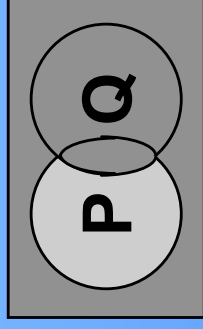
- **Models as sets**



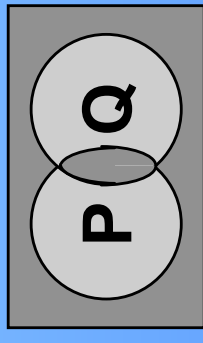
$$P \vee Q$$



$$P \wedge Q$$



$$P \Rightarrow Q$$



$$P \Leftrightarrow Q$$



Rules of Inference

- **Sound inference rules**
 - Pattern of inference, that occur again and again α
 - Soundness proven once and for all (truth-table) β

- **Classic rules of inference**

- Implication-Elimination, or *Modus Ponens*

$$\bullet \quad \frac{\alpha \Rightarrow \beta, \alpha}{\beta}$$

e.g. Cloudy \wedge Humid \Rightarrow Rain \models Rain
Cloudy \wedge Humid



Rules of Inference

- **Classic rules of inference**

- And-Elimination
 - And-Introduction

$$\begin{array}{c} \bullet \frac{\alpha_1 \wedge \alpha_2 \wedge \dots \wedge \alpha_n}{\alpha_i} \end{array} \qquad \bullet \frac{\alpha_1, \alpha_2, \dots, \alpha_n}{\alpha_1 \wedge \alpha_2 \wedge \dots \wedge \alpha_n}$$

e.g. Cloudy \wedge Humid \models Cloudy e.g. Cloudy, Humid
Cloudy \Rightarrow NoSunTan Cloudy \wedge Humid \Rightarrow Rain

- Or-Introduction

$$\bullet \frac{\alpha_i}{\alpha_1 \vee \alpha_2 \vee \dots \vee \alpha_n}$$

- Double-Negation-Elimination

$$\bullet \frac{\neg \neg \alpha}{\alpha}$$



Rules of Inference

- The resolution rule of inference

- Unit Resolution

- Resolution

$$\bullet \quad \text{same as MP: } \frac{P \Rightarrow Q, P}{Q} \quad \text{i.e.} \quad \frac{\neg\beta \Rightarrow \alpha, \neg\beta}{\alpha}$$

$$\frac{\alpha \vee \beta, \neg\beta}{\alpha} \quad \frac{\alpha \vee \beta, \neg\beta \vee \gamma}{\alpha \vee \gamma}$$

e.g. monday \vee tuesday, \neg monday \models tuesday

Truth-table
for the
resolution

α	β	γ	$\alpha \vee \beta$	$\neg\beta \vee \gamma$	$\alpha \vee \gamma$
False	False	False	False	True	False
False	False	True	False	True	True
False	True	False	True	False	False
False	True	True	True	True	True
True	False	False	True	True	True
True	False	True	True	True	True
True	True	False	True	False	True
True	True	True	True	True	True



Equivalence Rules

- **Inference as implication**

- Equivalent notations, e.g. MP:

- $$\frac{\alpha \Rightarrow \beta, \alpha}{\beta} \quad \alpha \Rightarrow \beta, \beta \vdash \beta \quad ((\alpha \Rightarrow \beta) \wedge \alpha) \Rightarrow \beta$$

- **Equivalence rules**

- Associativity:

$$\alpha \wedge (\beta \wedge \gamma) \Leftrightarrow (\alpha \wedge \beta) \wedge \gamma$$
$$\alpha \vee (\beta \vee \gamma) \Leftrightarrow (\alpha \vee \beta) \vee \gamma$$

- Distributivity:

$$\alpha \wedge (\beta \vee \gamma) \Leftrightarrow (\alpha \wedge \beta) \vee (\alpha \wedge \gamma)$$
$$\alpha \vee (\beta \wedge \gamma) \Leftrightarrow (\alpha \vee \beta) \wedge (\alpha \vee \gamma)$$

- De Morgan's Law:

$$\neg(\alpha \vee \beta) \Leftrightarrow \neg\alpha \wedge \neg\beta$$
$$\neg(\alpha \wedge \beta) \Leftrightarrow \neg\alpha \vee \neg\beta$$



Complexity of Inference

- **Proof by truth-table**
 - Complete
 - The truth-table can always be written.
 - Exponential time complexity
 - A proof involving N proposition symbols requires 2^N rows.
 - In practice, a proof may refer only to a small subset of the KB.

- **Monotonicity**

- Knowledge always increases
 - if** $KB_1 \models \alpha$ **then** $(KB_1 \cup KB_2) \models \alpha$
 - Allows for local rules,
 - e.g. Modus Ponens $\alpha \Rightarrow \beta, \alpha \vdash \beta$
 - Propositional and first-order logic are monotonic.



Horn Sentences

- **A particular sub-class of sentences**
 - Implication: $P_1 \wedge P_2 \wedge \dots \wedge P_N \Rightarrow Q$ where P_1, \dots, P_N, Q are non-negated atoms.
 - Particular cases:
 - $Q \Leftrightarrow (\text{True} \Rightarrow Q)$
 - $(P_1 \vee P_2 \vee \dots \vee P_N \Rightarrow Q) \Leftrightarrow (P_1 \Rightarrow Q) \wedge \dots \wedge (P_N \Rightarrow Q)$
 - $(P \Rightarrow Q_1 \wedge \dots \wedge Q_N) \Leftrightarrow (P \Rightarrow Q_1) \wedge \dots \wedge (P \Rightarrow Q_N)$
 - $(P \Rightarrow Q_1 \vee \dots \vee Q_N)$ cannot be represented
- **Prolog, a logic programming language**
 - Horn sentences + Modus-Ponens $Q :- P_1, P_2, \dots, P_N$
 - Inference of polynomial time complexity



Part III – Knowledge and Reasoning

• 6 Agents that Reason Logically

- Knowledge-based Agents. – Representations.
- Propositional Logic. – The Wumpus World.

• 7 First-Order Logic

- Syntax and Semantics. – Using First-Order Logic.
- Logical Agents. – Representing Changes.
- Deducing Properties of the World.
- Goal-based Agents.

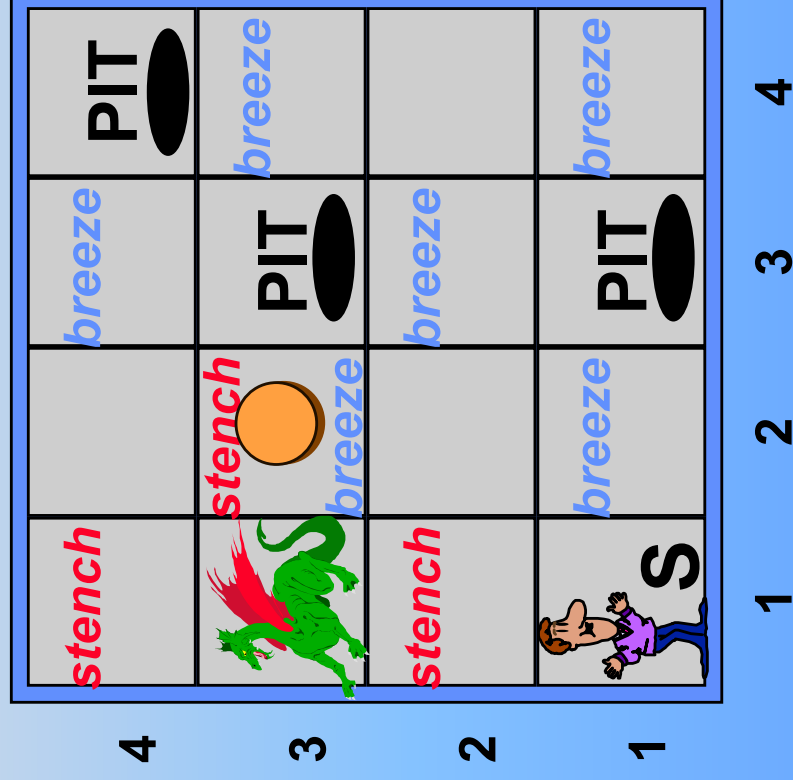
• 8 Building a Knowledge Base

- Knowledge Engineering. – General Ontology.



An Agent for the Wumpus World

- A reasoning agent
 - Propositional logic as the “programming language”
 - Knowledge base (KB) as problem representation
 - Percepts
 - Knowledge | – sentences
 - Actions
 - Rule of inference (e.g. Modus Ponens) as the algorithm that will find a solution





The Knowledge Base

- **TELLing the KB: percepts**

- Syntax and semantics
 - Symbol **S11**, meaning “there is a stench at [1,1]”
 - Symbol **B12**, meaning “there is a breeze at [1,2]”
- ...

- **Percept sentences**

- Partial list:
 - $\neg S11, \neg B11, \neg G11, \dots$
 - $\neg S21, B21, \neg G21, \dots$
 - $S12, \neg B12, \neg G11, \dots$
- ...

[Stench, nil, nil, nil]

4				
3	W!			
2	A S OK		OK	
1	V OK	B V OK	P!	
	1	2	3	4



The Knowledge Base

- **TELLing the KB: knowledge**

- Rules about the environment

- “All squares adjacent to the wumpus have a stench.”

$$S12 \Rightarrow W11 \vee W12 \vee W22 \vee W13$$

- “A square with no stench has no wumpus and adjacent squares have no wumpus either.”

$$\neg S11 \Rightarrow \neg W11 \wedge \neg W21 \wedge \neg W12$$

$$\neg S21 \Rightarrow \neg W11 \wedge \neg W21 \wedge \neg W22$$

$$\wedge \neg W31$$

$$\neg S12 \Rightarrow \neg W11 \wedge \neg W12 \wedge \neg W22$$

$$\wedge \neg W13$$

[Stench, nil, nil, nil, nil]

W!				
A S OK		OK		
V OK		B V OK	P!	
1	2	3	4	



Finding the Wumpus

- **Checking the truth-table**
 - Exhaustive check: every row for which KB is true also has W13 true
 - 12 propositional symbols, i.e. S11, S21, S12, W11, W21, W12, W22, W13, W31, B11, B21, B12
 - $2^{12} = 4096$ rows
 - *> possible, but lengthy* *impossible for the complete problem*
- **Reasoning by inference**
 - Application of a sequence of inference rules (proof)
 - Modus Ponens, And-Elimination, and Unit-Resolution

KB \Rightarrow W13





07-15

07-15



Proof for “ $KB \Rightarrow W13$ ”

Knowledge Base	Inferences
$\neg S11, \neg B11, \neg G11,$ $\neg S21, B21, \neg G21,$ $S12, \neg B12, \neg G11,$ R1: $\neg S11 \Rightarrow \neg W11 \wedge \neg W21$ $\wedge \neg W12$ R2: $\neg S21 \Rightarrow \neg W11 \wedge \neg W21$ $\wedge \neg W22 \wedge \neg W31$ R3: $\neg S12 \Rightarrow \neg W11 \wedge \neg W12$ $\wedge \neg W22 \wedge \neg W13$ R4: $S12 \Rightarrow W11 \vee W12 \vee W22$ $\vee W13$	$KB += \neg W11, \neg W21, \neg W12,$ $\neg W22, \neg W31$ 5. Modus Ponens: S12, R4 $\vdash (W13 \vee W12 \vee W22) \vee W11$ 6. Unit-Resolution: $\blacklozenge, \neg W11$ $\vdash (W13 \vee W12) \vee W22$



Proof for “ $KB \Rightarrow W13$ ”

Knowledge Base		
$\neg S11,$	$\neg B11,$	$\neg G11,$
$\neg S21,$	$B21,$	$\neg G21,$
$S12,$	$\neg B12,$	$\neg G11,$
R1: $\neg S11 \Rightarrow \neg W11 \wedge \neg W21$ $\wedge \neg W12$		
R2: $\neg S21 \Rightarrow \neg W11 \wedge \neg W21$ $\wedge \neg W22 \wedge \neg W31$		
R3: $\neg S12 \Rightarrow \neg W11 \wedge \neg W12$ $\wedge \neg W22 \wedge \neg W13$		
R4: $S12 \Rightarrow W11 \vee W12 \vee W22$ $\vee W13$		

Inferences
$KB += \neg W11, \neg W21, \neg W12,$ $\neg W22, \neg W31,$ $(W13 \vee W12) \vee W22$
7. Unit-Resolution: $\blacklozenge, \neg W22$ \vdash $W13 \vee W12$
8. Unit-Resolution: $\blacklozenge, \neg W12$ \vdash $W13$

$KB \Rightarrow W13$





From Knowledge to Actions

- **TELLing the KB: actions**
 - Additional rules
 - e.g. “if the wumpus is 1 square ahead then do not go forward”
 $A12 \wedge \text{East} \wedge W22 \Rightarrow \neg \text{Forward}$
 $A12 \wedge \text{North} \wedge W13 \Rightarrow \neg \text{Forward}$
...
- **ASKing the KB**
 - Cannot ask “which action?”
but “should I go forward?”

[Stench, nil, nil, nil]

4				
3	W!			
2	A S OK		OK	
1	V OK	B V OK	P!	
	1	2	3	4



A Knowledge-Based Agent Using Propositional Logic

```
function Propositional-KB-Agent (percept) returns action
    static KB,           // a knowledge base
           t             // a time counter, initially 0

    Tell (KB, Make-Percept-Sentence (percept, t))
    foreach action in the list of possible actions
    do
        if Ask (KB, Make-Action-Query (t, action)) then
            Tell (KB, Make-Action-Sentence (action, t))
            t  $\leftarrow$  t + 1
            return action
    end
```



The Limits of Propositional Logic

- **A weak logic**
 - Too many propositions to TELL the KB
 - e.g. the rule “if the wumpus is 1 square ahead then do not go forward” needs 64 sentences (16 squares x 4 orientations)!
 - Result in increased time complexity of inference
 - Handling change is difficult
 - Need time-dependent propositional symbols
e.g. A11 means “the agent is in square [1,1]” - when?
at t = 0: A11-0; at t = 1: A21-1;
at t = 2: A11-2
 - Need to rewrite rules as time-dependent
e.g. $A12-0 \wedge \text{East-0} \wedge W22-0 \Rightarrow \neg \text{Forward-0}$
 $A12-2 \wedge \text{East-2} \wedge W22-2 \Rightarrow \neg \text{Forward-2}$



Summary

- **Intelligent agents need ...**
 - Knowledge about the world, so as to take good decisions.
- **Knowledge can be ...**
 - Defined using a knowledge representation language.
 - Stored in a knowledge base in the form of sentences.
 - Inferred, using an inference mechanism and rules.
- **A representation language is defined by ...**
 - A syntax, which specifies the structure of sentences, and
 - A semantics, which specifies how the sentences relate to facts in the world.



Summary

- **Inference is ...**
 - The process of deducing new sentences from old ones.
 - Sound if it derives true conclusions from true premises.
 - Complete if it can derive all possible true conclusions.
- **Logics ...**
 - Make different commitments about what the world is made of and what kind of beliefs we can have about facts.
 - Are useful for the commitments they *do not* make.
- **Propositional logic ...**
 - Commits only to the existence of facts.
 - Has simple syntax and semantics and is therefore limited.

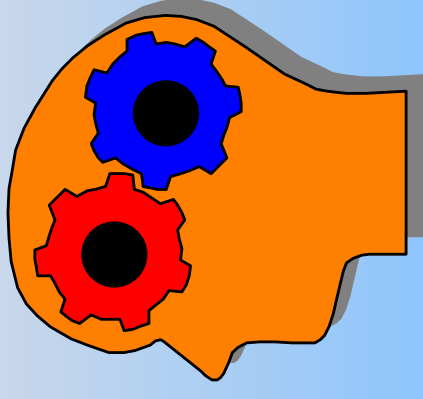


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end

ARTIFICIAL INTELLIGENCE



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Part III – Knowledge and Reasoning

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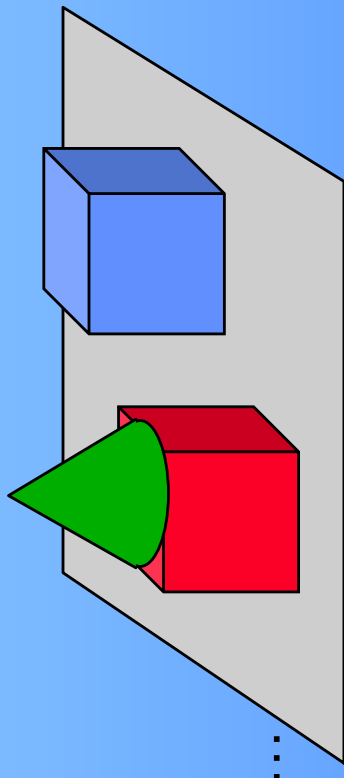
7 – FIRST-ORDER LOGIC

“In which we introduce a logic that is sufficient for building knowledge-based agents.”



Representing Knowledge

- **Knowledge-based agent**
 - Have representations of the world in which they operate
 - Use those representations to infer what actions to take
- **Ontological commitments**
 - The world as facts (propositional logic)
 - The world as objects (first-order logic)
 - with properties *about* each object,
 - and relations *between* objects
 - e.g. the blocks world:
 - Objects: cubes, cylinders, cones, ...
 - Properties: shape, colour, location, ...
 - Relations: above, under, next-to, ...





Why is FOL Important?

- **A very powerful KR scheme**
 - Essential representation of the world
 - Deal with objects, properties, and relations (as Philosophy).
 - Simple, generic representation
 - Does not deal with specialised concepts such as categories, time, and events.
 - Universal language
 - Can express anything that can be programmed.
 - Most studied and best understood
 - More powerful proposals still debated.
 - Less powerful schemes too limited.



Propositional vs. First-Order Logic

- Aristotle's syllogism

- Socrates is a man. All men are mortal. Therefore Socrates is mortal.

Statement	Propositional Logic	First-Order Logic
"Socrates is a man."	SocratesMan, S43	Man(Socrates), P52(S21)
"Plato is a man."	PlatoMan, S157	Man(Plato), P52(S99)
"All men are mortal."	MortalMan S421 Man \Rightarrow Mortal S9 \Rightarrow S4	Man(x) \Rightarrow Mortal(x), P52(V1) \Rightarrow P66(V1)
"Socrates is mortal."	MortalSocrates S957 S43 \wedge S421 \vdash S957 ?!	Mortal(Socrates) V1 \leftarrow S21, ... \vdash P66(S21)



Syntax and Semantics of FOL

- **Sentences**
 - Built from quantifiers, predicate symbols, and terms
- **Terms**
 - Represent objects
 - Built from variables, constant and function symbols
- **Constant symbols**
 - Refer to (“name”) particular objects of the world
 - The object is specified by the interpretation
e.g. “John” is a constant , may refer to “John, king of England from 1199 to 1216 and younger brother of Richard Lionheart” ,
or my uncle, or ...



Predicate and Function Symbols

- **Predicate symbols**
 - Refer to particular relations on objects
 - Binary relation specified by the interpretation
e.g. $\text{Brother}(\text{KingJohn}, \text{RichardLionheart}) \rightarrow T \text{ or } F$
 - A n -ary relation if defined by a set of n -tuples
 - Collection of objects arranged in a fixed order
e.g. $\{ \langle \text{KingJohn}, \text{RichardLionheart} \rangle, \langle \text{KingJohn}, \text{Henry} \rangle, \dots \}$
- **Function symbols**
 - Refer to functional relations on objects
 - Many-to-one relation specified by the interpretation
e.g. $\text{BrotherOf}(\text{KingJohn}) \rightarrow \text{a person, e.g. Richard (not T/F)}$
 - Defined by a set of $n+1$ -tuples
 - Last element is the function value for the first n elements.



Variables and Terms in FOL

- **Variables**
 - Refer to any object of the world
 - e.g. x , person, ... as in $\text{Brother}(\text{KingJohn}, \text{person})$.
 - Can be substituted by a constant symbol
 - e.g. $\text{person} \leftarrow \text{Richard}$, yielding $\text{Brother}(\text{KingJohn}, \text{Richard})$.
- **Terms**
 - Logical expressions referring to objects
 - Include constant symbols (“names”) and variables.
 - Make use of function symbols.
 - e.g. $\text{LeftLegOf}(\text{KingJohn})$ to refer to his leg without naming it
 - Compositional interpretation
 - e.g. $\text{LeftLegOf}(), \text{KingJohn} \rightarrow \text{LeftLegOf}(\text{KingJohn})$.



Sentences in FOL

- **Atomic sentences**
 - State facts, using terms and predicate symbols
 - e.g. Brother(Richard, John).
 - Can have complex terms as arguments
 - e.g. Married(FatherOf(Richard), MotherOf(John)).
 - Have a truth value
 - Depends on both the interpretation and the world.
- **Complex sentences**
 - Combine sentences with connectives
 - e.g. Father(Henry, KingJohn) \wedge Mother(Mary, KingJohn)
 - Connectives identical to propositional logic
 - i.e.: \wedge , \vee , \Leftrightarrow , \Rightarrow , \neg



Sentence Equivalence

- *There are many ways to write a logical statement in FOL*
 - Example

• $A \Rightarrow B$ equivalent to $\neg A \vee B$
“rule form” “complementary cases”

$\text{Dog}(x) \Rightarrow \text{Mammal}(x)$ $\neg \text{Dog}(x) \vee \text{Mammal}(x)$
“dogs are mammals” “either it’s not a dog or it’s a mammal”

• $A \wedge B \Rightarrow C$ equivalent to $A \Rightarrow (B \Rightarrow C)$

Proof: $A \wedge B \Rightarrow C \Leftrightarrow \neg (A \wedge B) \vee C \Leftrightarrow (\neg A \vee \neg B) \vee C$
 $\neg P \vee Q \Leftrightarrow P \Rightarrow Q \Leftrightarrow \neg A \vee \neg B \vee C \Leftrightarrow \neg A \vee (\neg B \vee C)$
 $\Leftrightarrow \neg A \vee (B \Rightarrow C) \Leftrightarrow A \Rightarrow (B \Rightarrow C)$



Sentences in Normal Form

- *There is only one way to write a logical statement using a Normal Form of FOL*
 - Example
 - $A \Rightarrow B$, $A \wedge B \Rightarrow C$ equivalent to $\neg A \vee B$, $\neg A \vee \neg B \vee C$
“Implicative Normal Form” “Conjunctive Normal Form”
 $\neg B \Rightarrow \neg A$
- *Rewriting logical sentences allows to determine whether they are equivalent or not*
 - Example
 - $A \wedge B \Rightarrow C$ and $A \Rightarrow (B \Rightarrow C)$
both have the same CNF: $\neg A \vee \neg B \vee C$
- *Using FOL is the most convenient, but using a Normal Form is the most efficient*



Sentence Verification

- ***Rewriting logical sentences helps to understand their meaning***
 - Example
 - $\text{Owns}(x,y) \Rightarrow (\text{Dog}(y) \Rightarrow \text{AnimalLover}(x))$ $A \Rightarrow (B \Rightarrow C)$
 - $\text{Owns}(x,y) \wedge \text{Dog}(y) \Rightarrow \text{AnimalLover}(x)$ $A \wedge B \Rightarrow C$
 - “A dog owner is an animal lover”
- ***Rewriting logical sentences helps to verify their meaning is as intended***
 - Example
 - “Dogs all have the same enemies”
 $\text{Dog}(x) \wedge \text{Enemy}(z, x) \Rightarrow (\text{Dog}(y) \Rightarrow \text{Enemy}(z, y))$ same as
 $\text{Dog}(x) \wedge \text{Dog}(y) \wedge \text{Enemy}(z, x) \Rightarrow \text{Enemy}(z, y)$



Universal Quantifier \forall

- **Express properties of collections of objects**
 - Make a statement about *every* objects w/out enumerating
 - e.g. “All kings are mortal”
 $\text{King}(\text{Henry}) \Rightarrow \text{Mortal}(\text{Henry}) \wedge$
 $\text{King}(\text{John}) \Rightarrow \text{Mortal}(\text{John}) \wedge$
 $\text{King}(\text{Richard}) \Rightarrow \text{Mortal}(\text{Richard}) \wedge$
 $\text{King}(\text{London}) \Rightarrow \text{Mortal}(\text{London}) \wedge$
...
- instead: $\forall x, \text{King}(x) \Rightarrow \text{Mortal}(x)$

- Note: the semantics of the implication says $F \Rightarrow F$ is TRUE, thus for those individuals that satisfy the premise $\text{King}(x)$ the rule asserts the conclusion $\text{Mortal}(x)$
 - but for those individuals that do not satisfy the premise the rule makes no assertion.



Using the Universal Quantifier

- *The implication (\Rightarrow) is the natural connective to use with the universal quantifier (\forall)*

- Example

- General form: $\forall x P(x) \Rightarrow Q(x)$ e.g. $\forall x \text{ Dog}(x) \Rightarrow \text{Mammal}(x)$
“all dogs are mammals”
- Use conjunction? $\forall x P(x) \wedge Q(x)$ e.g. $\forall x \text{ Dog}(x) \wedge \text{Mammal}(x)$
same as $\forall x P(x)$ and $\forall x Q(x)$
e.g. $\forall x \text{ Dog}(x)$ and $\forall x \text{ Mammal}(x)$
→ yields a very strong statement (too strong! i.e. *incorrect*)



Existential Quantifier \exists

- **Express properties of some particular objects**
 - Make a statement about *one* object without naming it
 - e.g. “King John has a brother who is king”
 $\exists x, \text{Brother}(x, \text{KingJohn}) \wedge \text{King}(x)$

instead of

$\text{Brother}(\text{Henry}, \text{KingJohn}) \wedge \text{King}(\text{Henry}) \vee$
 $\text{Brother}(\text{KingJohn}, \text{KingJohn}) \wedge \text{King}(\text{KingJohn}) \vee$
 $\text{Brother}(\text{Mary}, \text{KingJohn}) \wedge \text{King}(\text{Mary}) \vee$
 $\text{Brother}(\text{London}, \text{KingJohn}) \wedge \text{King}(\text{London}) \vee$
 $\text{Brother}(\text{Richard}, \text{KingJohn}) \wedge \text{King}(\text{Richard}) \vee$
...



Using the Existential Quantifier

- *The conjunction (\wedge) is the natural connective to use with the existential quantifier (\exists)*
 - Example
 - General form: $\exists x P(x) \wedge Q(x)$ e.g. $\exists x \text{ Dog}(x) \wedge \text{Owns}(\text{John}, x)$
“John owns a dog”
 - Use Implication? $\exists x P(x) \Rightarrow Q(x)$ e.g. $\exists x \text{ Dog}(x) \Rightarrow \text{Owns}(\text{John}, x)$
true for all x such that $P(x)$ is false
e.g. $\text{Dog}(\text{Garfield}) \Rightarrow \text{Owns}(\text{John}, \text{Garfield})$
→ yields a very weak statement (too weak! i.e. *useless*)

end



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Nesting and Mixing Quantifiers

- **Combining \forall and \exists**

- Express more complex sentences

- e.g. “if x is the parent of y then y is the child of x”:

$$\forall x, \forall y \text{ Parent}(x, y) \Rightarrow \text{Child}(y, x)$$

”every person has a parent”: $\forall x \text{ Person}(x) \Rightarrow \exists y \text{ Parent}(y, x)$

- Semantics depends on quantifiers ordering

- e.g. $\exists y, \forall x \text{ Parent}(y, x)$

”there is someone who is everybody’s parent” ?!?

- Choosing variables to avoid confusion

- e.g. $\forall x \text{ King}(x) \vee \exists x \text{ Brother}(\text{Richard}, x)$ is better written:
 $\forall x \text{ King}(x) \vee \exists z \text{ Brother}(\text{Richard}, z)$

- **Well-formed formula (WFF)**

- Sentences with all variables properly quantified



Connections between Quantifiers

- **Equivalences**

- Using the negation (*hence only one quantifier is needed*)

$$\forall x \, P(x) \Leftrightarrow \neg \exists x \, \neg P(x)$$

- e.g. "everyone is mortal":

$$\forall x \, \text{Mortal}(x) \Leftrightarrow \neg \exists x \, \neg \text{Mortal}(x)$$

- De Morgan's Laws

•	$\forall x \, P \Leftrightarrow \neg \exists x \, \neg P$	$P \wedge Q \Leftrightarrow \neg(\neg P \vee \neg Q)$
	$\forall x \, \neg P \Leftrightarrow \neg \exists x \, P$	$\neg P \wedge \neg Q \Leftrightarrow \neg(P \vee Q)$
	$\neg \forall x \, P \Leftrightarrow \exists x \, \neg P$	$\neg(P \wedge Q) \Leftrightarrow \neg P \vee \neg Q$
	$\neg \forall x \, \neg P \Leftrightarrow \exists x \, P$	$\neg(\neg P \wedge \neg Q) \Leftrightarrow P \vee Q$



Equality Predicate Symbol

- Need for equality
 - State that two terms refer to the same object
 - e.g. $\text{Father}(\text{John}) = \text{Henry}$, or $=(\text{Father}(\text{John}), \text{Henry})$
 - Predicate symbol with fixed semantics
 - Identity relation, i.e. the set of pairs (2-tuples) of objects where both elements of a pair are the same object.
 - e.g. $\{ \langle \text{Henry}, \text{Henry} \rangle,$
 $\langle \text{KingJohn}, \text{KingJohn} \rangle,$
 $\langle \text{RichardLionheart}, \text{RichardLionheart} \rangle,$
 $\dots \}$
- Useful to define properties
 - e.g. “King John has two brothers”:
$$\exists x, y \text{ Brother}(x, \text{KingJohn}) \wedge \text{Brother}(y, \text{KingJohn}) \wedge \neg(x=y)$$



Grammar of First-Order Logic

(Backus-Naur Form)

Sentence

→
AtomicSentence | (Sentence)
| Sentence Connective Sentence
| ¬Sentence
| Quantifier Variable, ... Sentence

AtomicSentence

→
Predicate(Term, ...) | Term = Term

Term

→
Function(Term, ...) | Constant | Variable

Connective

→
 \wedge | \vee | \Leftrightarrow | \Rightarrow

Quantifier

→
 \forall | \exists

Constant

→
 A | X_1 | John | ...

Variable

→
 a | x | person | ...

Predicate

→
 $P()$ | Colour() | Before() | ...

Function

→
 $F()$ | MotherOf() | SquareRootOf() | ...



Extensions to First-Order Logic

- **Higher-order logics**

- First-order logic: quantifiers over objects

- e.g. $\forall x,y \text{ Equal}(x, y) \Leftrightarrow \forall x,y (x=y)$

- Second-order logic: quantifiers over relations

- e.g. “2 objects are equal iff all properties are equivalent”:

$$\forall x,y \text{ Equal}(x, y) \Leftrightarrow (\forall p \text{ p}(x)=\text{p}(y))$$

- or “2 functions are equal iff they have the same value for all args”:

$$\forall f,g \text{ (f=g)} \Leftrightarrow (\forall x \text{ f}(x)=\text{g}(x))$$

- Problem: inference procedures not well understood.

- **λ -expressions**

- “Macros” to construct complex predicates and functions

- e.g. Definition: $\lambda_{x,y} \text{ King}(x) \wedge \text{Brother}(x,y)$

- Usage: $(\lambda_{x,y} \text{ King}(x) \wedge \text{Brother}(x,y))$ (Richard, John)



Using First-Order Logic

- **Knowledge domain**
 - A part of the world we want to express knowledge about
- **Example of the kinship domain**
 - Objects: people e.g., Elizabeth, Charles, William, etc.
 - Properties: gender i.e., male, female
 - Unary predicates: Male() and Female()
 - Relations: kinship e.g., motherhood, brotherhood, etc.
 - Binary predicates: Parent(), Sibling(), Brother(), Child(), etc.
 - Functions: MotherOf(), FatherOf()
 - > Express facts e.g., Charles is a male
and rules e.g., the mother of a parent is a grandmother



Sample Functions and Predicates

- **Functions**

$\forall x,y \text{ FatherOf}(x)=y \Leftrightarrow \text{Parent}(y,x) \wedge \text{Male}(y)$

$\forall x,y \text{ MotherOf}(x)=y \Leftrightarrow \text{Parent}(y,x) \wedge \text{Female}(y)$

- **Predicates**

$\forall x,y \text{ Parent}(x,y) \Leftrightarrow \text{Child}(y,x)$

$\forall x,y \text{ Grandparent}(x,y) \Leftrightarrow \exists z, \text{Parent}(x,z) \wedge \text{Parent}(z,y)$

$\forall x,y \text{ Sibling}(x,y) \Leftrightarrow \neg x=y \wedge \exists z, \text{Parent}(z,x) \wedge \text{Parent}(z,y)$

$\forall x \text{ Male}(x) \Leftrightarrow \neg \text{Female}(x)$

- **Potential problems**

- Self-definition (causes infinite recursion)
 - e.g.: $\forall x,y \text{ Child}(x,y) \Leftrightarrow \text{Parent}(y,x)$ following the above



TELLing and ASKing

- **TELLing the KB**

- Assertion: add a sentence to the knowledge base
 - e.g.
 - TELL(KB, $\forall x,y \text{ MotherOf}(x)=y \Leftrightarrow \text{Parent}(y,x) \wedge \text{Female}(y)$)
 - and so on, then
 - TELL(KB, $\text{Female}(\text{Elizabeth}) \wedge \text{Parent}(\text{Elizabeth}, \text{Charles}) \wedge \text{Parent}(\text{Charles}, \text{William})$)

- **ASKing the KB**

- Query: retrieve/infer a sentence from the knowledge base
- Yes/no answer
 - e.g. ASK(KB, Grandparent(Elizabeth, William))
- Binding list, or substitution
 - e.g. ASK(KB, $\exists x \text{ Child}(\text{William}, x)$) yields {x / Charles}



A Logical Agent for the Wumpus World

- **TELLing the KB**
 - Interface: percepts + actions
 - Percept sentences
 - e.g. Percept([Stench, Breeze, Glitter, None, None], t)
 - Action sentences
 - e.g. Turn(Right), Turn(Left), Forward, Shoot, Grab, Climb
- **ASKing the KB**
 - Queries
 - e.g. $\exists a \text{ Action}(a, t+1)$
returning a binding list, such as {a / Grab}



Summary

- **First-order logic ...**
 - Is a general-purpose knowledge representation language.
 - Is based on the ontological commitment that the world is composed of objects, with properties and relations.
- **The syntax of first-order logic ...**
 - Constant symbols name objects.
 - Predicate symbols name relations.
 - Complex terms name objects using function symbols.
 - Atomic sentences consist of predicates applied to terms.
 - Complex sentences use connectives.
 - Quantified sentences allow to express general rules.



Summary

- **Knowledge-based agents can ...**
 - Be designed using first-order logic.
 - Reason using first-order logic.
- **Knowledge-based agents need to ...**
 - React to what they perceive.
 - Abstract descriptions of states from percepts.
 - Maintain an internal model of the relevant aspects of the world not directly available from percepts.
 - Express and use information about the desirability of their actions.
 - Use goals in conjunction with knowledge to make plans.



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9 – INFERENCE IN FIRST-ORDER LOGIC

“In which we define inference mechanisms that can efficiently answer questions posed in first-order logic.”



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Inferences Rules for FOL

- Inference rules from Propositional Logic

- *Modus Ponens*
- Double-Negation-Elimination

- $$\frac{\alpha \Rightarrow \beta, \alpha}{\beta}$$
- $$\frac{\neg\neg\alpha}{\alpha}$$

- And-Elimination

- $$\frac{\alpha_1 \wedge \alpha_2 \wedge \dots \wedge \alpha_n}{\alpha_i}$$

- And-Introduction

- $$\frac{\alpha_1, \alpha_2, \dots, \alpha_n}{\alpha_1 \wedge \alpha_2 \wedge \dots \wedge \alpha_n}$$

- Or-Introduction

- $$\frac{\alpha_i}{\alpha_1 \vee \alpha_2 \vee \dots \vee \alpha_n}$$

- Resolution

- $$\frac{\alpha \vee \beta, \neg\beta \vee \gamma}{\alpha \vee \gamma}$$



Inferences Rules with Quantifiers

- **Substitutions**

- $\text{SUBST}(\theta, \alpha)$: binding list θ applied to a sentence α
 - e.g.: $\text{SUBST}(\{x / \text{John}, y / \text{Richard}\}, \text{Brother}(x, y)) = \text{Brother}(\text{John}, \text{Richard})$

- **Inference rules**

- Universal Elimination

$$\frac{\bullet \quad \forall x \alpha}{\text{SUBST}(\{x/g\}, \alpha)}$$

$\forall x \text{Dog}(x) \Rightarrow \text{Friendly}(x)$

$\vdash \text{Dog}(\text{Snoopy}) \Rightarrow \text{Friendly}(\text{Snoopy})$

- Existential Introduction

$$\frac{\bullet \quad \alpha}{\exists x \text{SUBST}(\{g/v\}, \alpha)}$$

- Existential Elimination

$$\frac{\bullet \quad \exists x \alpha}{\text{SUBST}(\{x/K\}, \alpha)}$$

(Skolemization)

$\exists x \text{Dog}(x) \wedge \text{Owns}(\text{John}, x)$

$\vdash \text{Dog}(\text{Lassie}), \text{Owns}(\text{John}, \text{Lassie})$



An Example of Logical Proof

- **Proof procedure**
 - Analysis of the problem description (Natural Language)
 - Translation from NL to first-order logic
 - Application of inference rules (proof)
- **Problem statement**
 - *“It is a crime for an American to sell weapons to hostile nations. The country Nono, an enemy of America, has some missiles, and all of its missiles were sold by Col. West, who is American.”*

end



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- $$\frac{\alpha_1 \wedge \alpha_2 \wedge \dots \wedge \alpha_n}{\alpha_i}$$

- And-Introduction

- $$\frac{\alpha_1, \alpha_2, \dots, \alpha_n}{\alpha_1 \wedge \alpha_2 \wedge \dots \wedge \alpha_n}$$

- Or-Introduction

- $$\frac{\alpha_i}{\alpha_1 \vee \alpha_2 \vee \dots \vee \alpha_n}$$

- Resolution

- $$\frac{\alpha \vee \beta, \neg\beta \vee \gamma}{\alpha \vee \gamma}$$



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$$\frac{\bullet \quad \exists x \alpha}{\text{SUBST}(\{x/K\}, \alpha)} \quad (\text{Skolemization})$$

$$\exists x \text{Dog}(x) \wedge \text{Owns}(\text{John}, x)$$

$$\vdash \text{Dog}(\text{Lassie}), \text{Owns}(\text{John}, \text{Lassie})$$



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“Webscape, a competitor of Microsoft, developed some nice software, all of which was stolen by Bill, who is a CEO. It is immoral for a CEO to steal business from rival companies. A competitor of Microsoft is a rival. Software is business.”

immoral \leftarrow criminal
CEO \leftarrow American
steal \leftarrow sell
business \leftarrow weapon
rival \leftarrow hostile
company \leftarrow nation
Webscape \leftarrow Nono
competitor \leftarrow enemy
Microsoft \leftarrow America
software \leftarrow missile
develop \leftarrow own
Bill \leftarrow West

Competitor(Webscape, Microsoft)
 $\exists x$ Software(x) \wedge Developed(Webscape, x)
 $\forall x$ Software(x) \wedge Developed(Webscape, x) \Rightarrow
Steal(Bill, x , Webscape)
CEO(Bill)
 $\forall x, y, z$ CEO(x) \wedge Business(y) \wedge Company(z) \wedge
Rival(z) \wedge Steal(x, y, z) \Rightarrow Immoral(x)
 $\forall x$ Competitor(x , Microsoft) \Rightarrow Rival(x)
 $\forall x$ Software(x) \Rightarrow Business(x)
Company(Webscape)
Company(Microsoft)



Proof using Modus Ponens
→ Bill is immoral

From 2-a, 7, and Modus
Ponens, w/ { x/Mozilla }:
(10) Business(Mozilla)

Horn Clauses (Prolog style)
Competitor (Webscape, Microsoft).
(2a) Software(Mozilla). // Skolem constant
(2b) Developed(Webscape, Mozilla).
Steal(Bill, x, Webscape) :- Software(x),
Developed(Webscape, x).
CEO(bill).

Immoral(x) :- CEO(x), Business(y),
Company(z), Rival(z), Steal(x,y,z).
Rival(x) :- Competitor(x, Microsoft).
Business(x) :- Software(x).
Company(Webscape).
Company(Microsoft).

From 1, 6, and Modus Ponens,
w/ { x/Webscape}:
(11) Rival(Webscape)

From 2-a, 2-b, 3, and Modus
Ponens, w/ { x/Mozilla }::
(12) Steal(Bill, Mozilla,
Webscape)

From 4, 10, 8, 11, 12, 5, and
Modus Ponens, w/ { x/Bill,
y/Mozilla, z/Webscape}:
(13) Immoral(Bill)



Translation in First-Order-Logic

- “It is a crime for an American to sell weapons to hostile nations ...”
(1) $\forall x, y, z \text{ American}(x) \wedge \text{Weapon}(y) \wedge \text{Nation}(z) \wedge \text{Hostile}(z) \wedge \text{Sells}(x, z, y) \Rightarrow \text{Criminal}(x)$
- “The country Nono [...] has some missiles, ...”
(2) $\exists x \text{ Owns}(\text{Nono}, x) \wedge \text{Missile}(x)$
- “... all of its missiles were sold by Col. West, ...”
(3) $\forall x \text{ Owns}(\text{Nono}, x) \wedge \text{Missile}(x) \Rightarrow \text{Sells}(\text{West}, \text{Nono}, x)$
- A missile is a weapon.
(4) $\forall x \text{ Missile}(x) \Rightarrow \text{Weapon}(x)$
- An enemy of America is hostile.
(5) $\forall x \text{ Enemy}(x, \text{America}) \Rightarrow \text{Hostile}(x)$
- “... West, who is American.”
(6) $\text{American}(\text{West})$
- “The country Nono ...”
(7) $\text{Nation}(\text{Nono})$
- “Nono, an enemy of America ...”
(8) $\text{Enemy}(\text{Nono}, \text{America})$
(9) $\text{Nation}(\text{America})$



Proof

Knowledge Base	Inferences
<p>(1) $\forall x, y, z \text{ American}(x) \wedge \text{Weapon}(y) \wedge \text{Nation}(z) \wedge \text{Hostile}(z) \wedge \text{Sells}(x, z, y) \Rightarrow \text{Criminal}(x)$</p> <p>(2) $\exists x \text{ Owns}(\text{Nono}, x) \wedge \text{Missile}(x)$</p> <p>(3) $\forall x \text{ Owns}(\text{Nono}, x) \wedge \text{Missile}(x) \Rightarrow \text{Sells}(\text{West}, \text{Nono}, x)$</p> <p>(4) $\forall x \text{ Missile}(x) \Rightarrow \text{Weapon}(x)$</p> <p>(5) $\forall x \text{ Enemy}(x, \text{America}) \Rightarrow \text{Hostile}(x)$</p> <p>(6) $\text{American}(\text{West})$</p> <p>(7) $\text{Nation}(\text{Nono})$</p> <p>(8) $\text{Enemy}(\text{Nono}, \text{America})$</p> <p>(9) $\text{Nation}(\text{America})$</p>	<p>From (2) and Existential-Elimination: (10) $\text{Owns}(\text{Nono}, M1) \wedge \text{Missile}(M1)$</p> <p>From (10) and And-Elimination: (11) $\text{Owns}(\text{Nono}, M1)$ (12) $\text{Missile}(M1)$</p> <p>From (4) and Universal-Elimination: (13) $\text{Missile}(M1) \Rightarrow \text{Weapon}(M1)$</p> <p>From (12, 13) and Modus Ponens: (14) $\text{Weapon}(M1)$</p>



Proof (2)

Knowledge Base	Inferences
<p>(1) $\forall x, y, z$ American(x) \wedge Weapon(y) \wedge Nation(z) \wedge Hostile(z) \wedge Sells(x, z, y) \Rightarrow Criminal(x)</p> <p>...</p> <p>(3) $\forall x$ Owns(Nono, x) \wedge Missile(x) \Rightarrow Sells(West, Nono, x)</p> <p>...</p> <p>(6) American(West)</p> <p>...</p> <p>(10) Owns(Nono, M1) \wedge Missile(M1)</p> <p>...</p> <p>(14) Weapon(M1)</p>	<p>From (3) and Universal-Elimination: (15) Owns(Nono, M1) \wedge Missile(M1) \Rightarrow Sells(West, Nono, M1)</p> <p>From (15, 10) and Modus Ponens: (16) Sells(West, Nono, M1)</p> <p>From (1) and Universal-Elimination (three times): (17) American(West) \wedge Weapon(M1) \wedge Nation (Nono) \wedge Hostile(Nono) \wedge Sells(West, Nono, M1) \Rightarrow Criminal(West)</p>



Proof (3)

Knowledge Base	Inferences
<p>...</p> <p>(5) $\forall x \text{ Enemy}(x, \text{America}) \Rightarrow \text{Hostile}(x)$</p> <p>(6) $\text{American}(\text{West})$</p> <p>(7) $\text{Nation}(\text{Nono})$</p> <p>(8) $\text{Enemy}(\text{Nono}, \text{America})$</p> <p>...</p> <p>(14) $\text{Weapon}(M1)$</p> <p>(16) $\text{Sells}(\text{West}, \text{Nono}, M1)$</p> <p>(17) $\text{American}(\text{West}) \wedge \text{Weapon}(M1)$ $\wedge \text{Nation}(\text{Nono}) \wedge \text{Hostile}(\text{Nono})$ $\wedge \text{Sells}(\text{West}, \text{Nono}, M1)$ $\Rightarrow \text{Criminal}(\text{West})$</p>	<p>From (5) and Universal-Elimination: (18) $\text{Enemy}(\text{Nono}, \text{America})$ $\Rightarrow \text{Hostile}(\text{Nono})$</p> <p>From (8, 18) and Modus Ponens: (19) $\text{Hostile}(\text{Nono})$</p> <p>From (6, 7, 14, 16, 19) and And-Intro.: (20) $\text{American}(\text{West}) \wedge \text{Weapon}(M1)$ $\wedge \text{Nation}(\text{Nono}) \wedge \text{Hostile}(\text{Nono})$ $\wedge \text{Sells}(\text{West}, \text{Nono}, M1)$</p> <p>From (17, 20) and Modus Ponens: (21) Criminal(West)</p>



Proof as a Search Problem

- **Proof procedure**
 - Sequence of inference rules applied to the KB
- **Search problem formulation**
 - Initial state: KB (sentences 1 to 9)
 - Operators: applicable inference rules
 - Goal state: KB containing Criminal(West)
- **Characteristics**
 - Solution depth: 14
 - Branching factor increases as the KB grows, very large for some operators (e.g. Universal Elimination)
 - Common inference patterns (using U.E., A.I., M.P.)



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Generalised Modus Ponens

- **Inference pattern**

- Universal-Elimination + And-Introduction + Modus Ponens

- e.g.: $\forall x \text{ Missile}(x) \wedge \text{Owns}(\text{Nono}, x) \Rightarrow \text{Sells}(\text{West}, \text{Nono}, x)$
Missile(M1)
Owns(Nono, M1) \vdash Sells(West, Nono, M1)

- **Inference rule**

- *Generalised Modus Ponens*

- $$\frac{\chi_1, \chi_2, \dots, \chi_N, (\alpha_1 \wedge \alpha_2 \wedge \dots \wedge \alpha_N \Rightarrow \beta)}{\text{SUBST}(\theta, \beta)}$$

where $\forall i \text{ SUBST}(\theta, \chi_i) = \text{SUBST}(\theta, \alpha_i)$



Example of GMP Application

- **Knowledge base (extract)**
 - $\forall x \text{ Missile}(x) \wedge \text{Owns}(\text{Nono}, x) \Rightarrow \text{Sells}(\text{West}, \text{Nono}, x)$
 - $\forall y \text{ Owns}(y, \text{M1})$
 - $\text{Missile}(\text{M1})$
- **Generalized Modus Ponens**
 - Matching
 - $\chi_1 \leftarrow \text{Missile}(\text{M1})$
 - $\chi_2 \leftarrow \text{Owns}(y, \text{M1})$
 - $\theta \leftarrow \{x/\text{M1}, y/\text{Nono}\}$
 - Inference rule
 - $$\frac{\chi_1, \chi_2, (\alpha_1 \wedge \alpha_2 \Rightarrow \beta)}{\text{SUBST}(\theta, \beta)}$$
$$\leftarrow \text{Sells}(\text{West}, \text{Nono}, \text{M1})$$



Using the GMP

- **Characteristics**
 - Combine several inferences into one
 - Use helpful substitutions (rather than random U.E.)
 - Make use of pre-compiled rules in...
- **Canonical form**
 - Matches the premises of the GMP rule
 - Horn sentences (Horn normal forms / clause forms)
 - i.e. $\alpha_1 \wedge \alpha_2 \wedge \dots \wedge \alpha_n \Rightarrow \beta$
 - Sentences converted when entered in the KB
 - e.g. $\exists x \text{ Missile}(x) \wedge \text{Owns}(\text{Nono}, x)$ becomes
Missile(M66) and Owns(Nono, M66)



Unification

- **The UNIFY routine**

- Find a substitution that make 2 atomic sentences alike
i.e.

$$\text{UNIFY}(\alpha, \beta) = \theta \quad \text{where} \quad \text{SUBST}(\theta, \alpha) = \text{SUBST}(\theta, \beta)$$

unifier

- **Example**

- Sample rule in canonical form:
 - $\text{Knows}(\text{John}, x) \Rightarrow \text{Hates}(\text{John}, x)$
 - Query: “who does John hate?”
 - $?p, \text{Hates}(\text{John}, p)$
 - Find all the sentences in the KB that unify with $\text{Knows}(\text{John}, x)$, then apply the unifier to $\text{Hates}(\text{John}, x)$.



Variable Substitution

- **Renaming**
 - Sentence identical to another, except for variable names
 - e.g. Hates(x,Elizabeth) and Hates(y,Elizabeth)
- **Composition of substitutions**
 - *Substitution with composed unifier identical to the sequence of substitutions with each unifier*
i.e.
 - $\text{Subst}(\text{Compose}(\theta_1, \theta_2), \alpha) = \text{Subst}(\theta_2, \text{Subst}(\theta_1, \alpha))$
 - e.g. $\alpha = \text{Knows}(x, y)$, $\theta_1 = \{x/\text{John}\}$, $\theta_2 = \{y/\text{Elizabeth}\}$
 $\text{Subst}(\theta_2, \text{Subst}(\theta_1, \alpha)) = \text{Subst}(\theta_2, \text{Knows}(\text{John}, y)) =$
 $\text{Subst}(\{x/\text{John}, y/\text{Elizabeth}\}, \text{Knows}(x, y)) = \text{Knows}(\text{John}, \text{Elizabeth})$



Standardising Sentences

- **Example**
 - Knowledge base:
 - Knows(John,Jane) • Knows(z,Mother(z))
 - Knows(y,Leonid) • Knows(x,Elizabeth)
 - Unifying with Knows(John,x):
 - UNIFY(Knows(John,x), Knows(John,Jane)) = {x/Jane}
 - UNIFY(Knows(John,x), Knows(y,Leonid)) = {x/Leonid, y/John}
 - UNIFY(Knows(John,x), Knows(z,Mother(z))) = {z/John, x/Mother(John)}
 - UNIFY(Knows(John,x), Knows(x,Elizabeth)) = {} ?
- **Standardise sentences apart**
 - Renaming variables to avoid clashes, e.g. Knows(z,Elizabeth)



Most General Unifier

- **Example**
 - Unifying yields an infinite number of substitutions
 - $\text{UNIFY}(\text{Knows}(\text{John}, x), \text{Knows}(z, \text{Elizabeth})) = \{x/\text{Elizabeth}, z/\text{John}\}$
 - or $\{x/\text{Elizabeth}, z/\text{John}, w/\text{Richard}\}$,
 - or $\{x/\text{Elizabeth}, y/\text{Elizabeth}, z/\text{John}\}$,
 - or ...
- **Most General Unifier (MGU)**
 - *Unifier that makes the least commitments about the bindings of the variables*
 - UNIFY always returns the MGU



Sample Proof Revisited

Knowledge Base	KB in Horn Normal Form
(1) $\forall x, y, z$ American(x) \wedge Weapon(y) \wedge Nation (z) \wedge Hostile(z) \wedge Sells(x, z, y) \Rightarrow Criminal(x)	(1) American(x) \wedge Weapon(y) \wedge Nation (z) \wedge Hostile(z) \wedge Sells(x, z, y) \Rightarrow Criminal(x)
(2) $\exists x$ Owns(Nono, x) \wedge Missile(x)	(2a) Owns(Nono, M1)
(3) $\forall x$ Owns(Nono, x) \wedge Missile(x) \Rightarrow Sells(West, Nono, x)	(2b) Missile(M1)
(4) $\forall x$ Missile(x) \Rightarrow Weapon(x)	(3) Owns(Nono, x) \wedge Missile(x) \Rightarrow Sells(West, Nono, x)
(5) $\forall x$ Enemy(x , America) \Rightarrow Hostile(x)	(4) Missile(x) \Rightarrow Weapon(x)
(6) American(West)	(5) Enemy(x , America) \Rightarrow Hostile(x)
(7) Nation(Nono)	(6) American(West)
(8) Enemy(Nono, America)	(7) Nation(Nono)
(9) Nation(America)	(8) Enemy(Nono, America)
	(9) Nation(America)



Sample Proof (2)

Knowledge Base (HNF)	Inferences
<p>(1) $\text{American}(x) \wedge \text{Weapon}(y) \wedge$ $\text{Nation}(z) \wedge \text{Hostile}(z) \wedge \text{Sells}(x,z,y)$ $\Rightarrow \text{Criminal}(x)$</p> <p>(2a) $\text{Owns}(\text{Nono}, M1)$</p> <p>(2b) $\text{Missile}(M1)$</p> <p>(3) $\text{Owns}(\text{Nono}, x) \wedge \text{Missile}(x) \Rightarrow$ $\text{Sells}(\text{West}, \text{Nono}, x)$</p> <p>(4) $\text{Missile}(x) \Rightarrow \text{Weapon}(x)$</p> <p>(5) $\text{Enemy}(x, \text{America}) \Rightarrow \text{Hostile}(x)$</p> <p>(6) $\text{American}(\text{West})$</p> <p>(7) $\text{Nation}(\text{Nono})$</p> <p>(8) $\text{Enemy}(\text{Nono}, \text{America})$</p> <p>(9) $\text{Nation}(\text{America})$</p>	<p>From (2b, 4) and Modus Ponens: (10) $\text{Weapon}(M1)$</p> <p>From (8, 5) and Modus Ponens: (11) $\text{Hostile}(\text{Nono})$</p> <p>From (2a, 2b, 3) and Modus Ponens: (12) $\text{Sells}(\text{West}, \text{Nono}, M1)$</p> <p>From (6, 10, 7, 11, 12, 1) and Modus Ponens: Ponens: (13) Criminal(West)</p>

end



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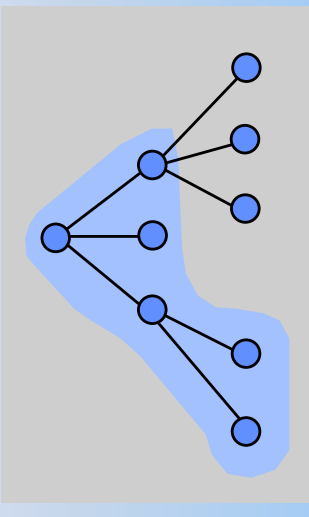
Forward and Backward Chaining

- **Reasoning**
 - Knowledge representation language (First-Order Logic)
 - Efficient inference rule (Generalised Modus Ponens)
 - > *Generate the proof*
- **Using the GMP**
 - Forward chaining (data-driven): $KB, \alpha \vdash ?$
 - Start with the KB and generate new sentences
e.g. to derive the consequences of newly added facts.
 - Backward chaining (goal-driven): $KB \vdash \alpha?$
 - Start with a sentence not in the KB and attempt to establish its premises, e.g. to prove some new fact.



Forward Chaining

- **Idea: inferring consequences**
 - TELLing a new sentence α , $KB, \alpha \vdash -$?



- **Pseudo-algorithm**

- If α already in the KB, do nothing
- Find all implications that have α as a premise, i.e.
 - $\alpha \wedge \alpha_1 \wedge \dots \wedge \alpha_n \Rightarrow \beta$ then
- If all other premises α_i are known under some MGU θ , infer the conclusion β under θ
- If some premises α_i can be matched several ways, then infer each corresponding conclusion



Variable Substitution

- **Renaming**
 - Sentence identical to another, except for variable names
 - e.g. Hates(x,Elizabeth) and Hates(y,Elizabeth)
- **Composition of substitutions**
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 $\text{Subst}(\theta_2, \text{Subst}(\theta_1, \alpha)) = \text{Subst}(\theta_2, \text{Knows}(\text{John}, y)) =$
 $\text{Subst}(\{x/\text{John}, y/\text{Elizabeth}\}, \text{Knows}(x, y)) = \text{Knows}(\text{John}, \text{Elizabeth})$



Example of Forward Chaining

Knowledge Base (HNF)	Adding Atomic Sentences
<p>(1) $\text{American}(x) \wedge \text{Weapon}(y) \wedge$ $\text{Nation}(z) \wedge \text{Hostile}(z) \wedge \text{Sells}(x,z,y)$ $\Rightarrow \text{Criminal}(x)$</p> <p>(2) $\text{Owns}(\text{Nono},x) \wedge \text{Missile}(x) \Rightarrow$ $\text{Sells}(\text{West},\text{Nono},x)$</p> <p>(3) $\text{Missile}(x) \Rightarrow \text{Weapon}(x)$</p> <p>(4) $\text{Enemy}(x,\text{America}) \Rightarrow \text{Hostile}(x)$</p>	<p>$\text{Forward-Chain}(\text{KB}, \text{American}(\text{West}))$:</p> <p>(5) $\text{American}(\text{West})$ Unifies with a premise of (1), others not known: no new inference.</p> <p>$\text{Forward-Chain}(\text{KB}, \text{Nation}(\text{Nono}))$:</p> <p>(6) $\text{Nation}(\text{Nono})$ id.</p> <p>$\text{Forward-Chain}(\text{KB},$ $\quad \text{Enemy}(\text{Nono},\text{America}))$:</p> <p>(7) $\text{Enemy}(\text{Nono},\text{America})$ Unifies with (4), with unifier $\{x/\text{Nono}\}$; call ...</p>



Knowledge Base (HNF)	Inferences
<p>(1) $\text{American}(x) \wedge \text{Weapon}(y) \wedge$ $\text{Nation}(z) \wedge \text{Hostile}(z) \wedge \text{Sells}(x,z,y)$ $\Rightarrow \text{Criminal}(x)$</p> <p>(2) $\text{Owns}(\text{Nono},x) \wedge \text{Missile}(x) \Rightarrow$ $\text{Sells}(\text{West},\text{Nono},x)$</p> <p>(3) $\text{Missile}(x) \Rightarrow \text{Weapon}(x)$</p> <p>(4) $\text{Enemy}(x,\text{America}) \Rightarrow \text{Hostile}(x)$</p> <p>(5) $\text{American}(\text{West})$</p> <p>(6) $\text{Nation}(\text{Nono})$</p> <p>(7) $\text{Enemy}(\text{Nono},\text{America})$</p>	<p>$\text{Forward-Chain}(\text{KB}, \text{Hostile}(\text{Nono}))$: (8) $\text{Hostile}(\text{Nono})$ Unifies with (1), no new inference.</p> <p>$\text{Forward-Chain}(\text{KB}, \text{Owns}(\text{Nono},M1))$: (9) $\text{Owns}(\text{Nono},M1)$ Unifies with (2), no new inference.</p> <p>$\text{Forward-Chain}(\text{KB}, \text{Missile}(M1))$: (10) $\text{Missile}(M1)$ Unifies with (2), with unifier $\{x/M1\}$. Other premise known; call $\text{Forward-Chain}(\text{KB}, \text{Sells}(\text{West},$ $\text{Nono},M1))$:</p>

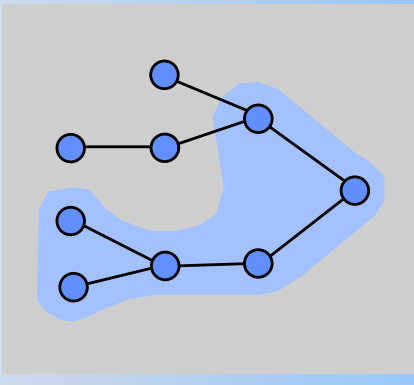


Knowledge Base (HNF)	Inferences
<p>(1) $\text{American}(x) \wedge \text{Weapon}(y) \wedge$ $\text{Nation}(z) \wedge \text{Hostile}(z) \wedge \text{Sells}(x,z,y)$ $\Rightarrow \text{Criminal}(x)$</p> <p>(2) $\text{Owns}(\text{Nono},x) \wedge \text{Missile}(x) \Rightarrow$ $\text{Sells}(\text{West},\text{Nono},x)$</p> <p>(3) $\text{Missile}(x) \Rightarrow \text{Weapon}(x)$</p> <p>(4) $\text{Enemy}(x,\text{America}) \Rightarrow \text{Hostile}(x)$</p> <p>(5) $\text{American}(\text{West})$</p> <p>(6) $\text{Nation}(\text{Nono})$</p> <p>(7) $\text{Enemy}(\text{Nono},\text{America})$</p> <p>(8) $\text{Hostile}(\text{Nono})$</p> <p>(9) $\text{Owns}(\text{Nono},M1)$</p> <p>(10) $\text{Missile}(M1)$</p>	<p>(11) $\text{Sells}(\text{West},\text{Nono},M1)$ Unifies with (1), no new inference.</p> <p>Back to (10) $\text{Missile}(M1)$: Unifies with (3), w/ unifier $\{x/M1\}$; call $\text{Forward-Chain}(\text{KB}, \text{Weapon}(M1))$:</p> <p>(12) $\text{Weapon}(M1)$ Unifies with (1), all other premises known, with $\{x/\text{West}, y/M1, z/\text{Nono}\}$; Call $\text{Forward-Chain}(\text{KB}, \text{Criminal}(\text{West}))$:</p> <p>(13) Criminal(West)</p>



Backward Chaining

- **Idea: checking for causes**
 - ASKing a query β , $KB \vdash \beta$?
- **Pseudo-algorithm**
 - If β already in the KB, proof immediate
 - Find all implications that have β as a conclusion, i.e.
 $\alpha_1 \wedge \alpha_2 \wedge \dots \wedge \alpha_n \Rightarrow \beta$ then
 - Try and establish all the (qlist) premises $[\alpha_i]$ then infer β



```
function Backward-Chain (KB,  $\beta$ ) returns substitutions  
return Back-Chain-List(KB,  $[\beta]$ , { })
```



Example of Backward Chaining

Knowledge Base (HNF)	Establishing Premises
<p>(1) $\text{American}(x) \wedge \text{Weapon}(y) \wedge$ $\text{Nation}(z) \wedge \text{Hostile}(z) \wedge \text{Sells}(x,z,y)$ $\Rightarrow \text{Criminal}(x)$</p> <p>(2a) $\text{Owns}(\text{Nono}, \text{M1})$</p> <p>(2b) $\text{Missile}(\text{M1})$</p> <p>(3) $\text{Owns}(\text{Nono}, u) \wedge \text{Missile}(u) \Rightarrow$ $\text{Sells}(\text{West}, \text{Nono}, u)$</p> <p>(4) $\text{Missile}(v) \Rightarrow \text{Weapon}(v)$</p> <p>(5) $\text{Enemy}(c, \text{America}) \Rightarrow \text{Hostile}(c)$</p> <p>(6) $\text{American}(\text{West})$</p> <p>(7) $\text{Nation}(\text{Nono})$</p> <p>(8) $\text{Enemy}(\text{Nono}, \text{America})$</p> <p>(9) $\text{Nation}(\text{America})$</p>	<p>Backward-Chain(KB, Criminal(West)): Call B-Chain-List(KB, [Criminal(West)], {})</p> <p>Unifies with conclusion of (1); answers = {x/West}; call B-Chain-List(KB, [American(West), Weapon(y), Nation(z), Hostile(z), Sells(West,z,y)], {x/West}):</p> <p>American(West) as (6); Weapon(y) unifies with conclusion of (4) ...</p>



Knowledge Base (HNF)	Establishing Premises
<p>(1) $\text{American}(x) \wedge \text{Weapon}(y) \wedge$ $\text{Nation}(z) \wedge \text{Hostile}(z) \wedge \text{Sells}(x,z,y)$ $\Rightarrow \text{Criminal}(x)$</p> <p>(2a) $\text{Owns}(\text{Nono}, M1)$</p> <p>(2b) $\text{Missile}(M1)$</p> <p>(3) $\text{Owns}(\text{Nono}, u) \wedge \text{Missile}(u) \Rightarrow$ $\text{Sells}(\text{West}, \text{Nono}, u)$</p> <p>(4) $\text{Missile}(v) \Rightarrow \text{Weapon}(v)$</p> <p>(5) $\text{Enemy}(c, \text{America}) \Rightarrow \text{Hostile}(c)$</p> <p>(6) $\text{American}(\text{West})$</p> <p>(7) $\text{Nation}(\text{Nono})$</p> <p>(8) $\text{Enemy}(\text{Nono}, \text{America})$</p> <p>(9) $\text{Nation}(\text{America})$</p>	<p>answers = $\{x/\text{West}, y/v\}$; call B-Chain-List(KB, [Missile(v)], $\{x/\text{West}, y/v\}$):</p> <p>Missile(v) unifies with (2b); answers = $\{x/\text{West}, y/M1\}$; Weapon(M1) established; back, call B-Chain-List(KB, [Nation(z)], $\{x/\text{West}, y/M1\}$):</p> <p>Nation(z) unifies with (7); answers = $\{x/\text{West}, y/M1, z/\text{Nono}\}$; Hostile(Nono) unifies with conclusion of (5); call ...</p>

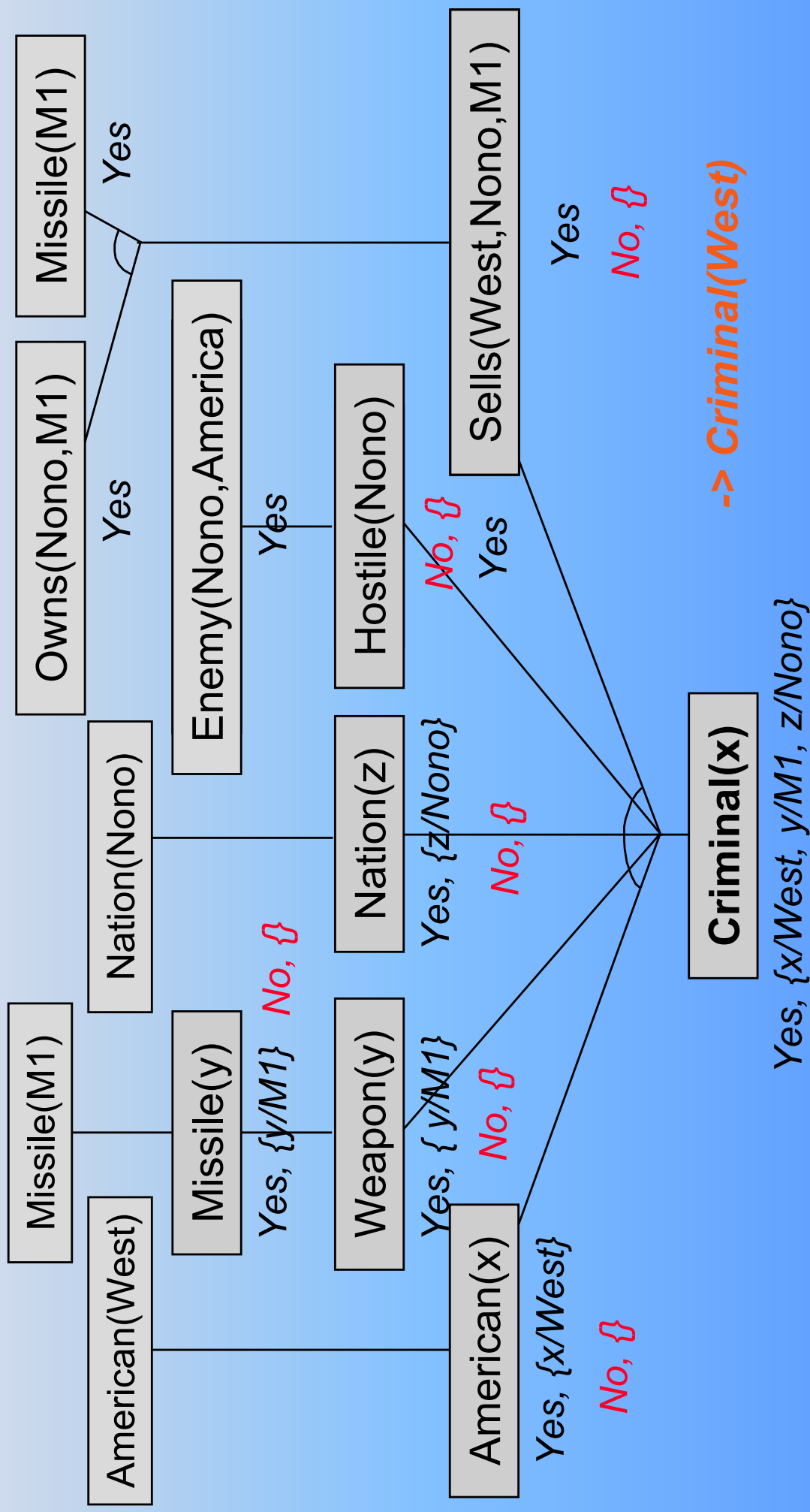


Knowledge Base (HNF)	Establishing Premises
<p>(1) $\text{American}(x) \wedge \text{Weapon}(y) \wedge$ $\text{Nation}(z) \wedge \text{Hostile}(z) \wedge \text{Sells}(x,z,y)$ $\Rightarrow \text{Criminal}(x)$</p> <p>(2a) $\text{Owns}(\text{Nono}, M1)$</p> <p>(2b) $\text{Missile}(M1)$</p> <p>(3) $\text{Owns}(\text{Nono}, u) \wedge \text{Missile}(u) \Rightarrow$ $\text{Sells}(\text{West}, \text{Nono}, u)$</p> <p>(4) $\text{Missile}(v) \Rightarrow \text{Weapon}(v)$</p> <p>(5) $\text{Enemy}(c, \text{America}) \Rightarrow \text{Hostile}(c)$</p> <p>(6) $\text{American}(\text{West})$</p> <p>(7) $\text{Nation}(\text{Nono})$</p> <p>(8) $\text{Enemy}(\text{Nono}, \text{America})$</p> <p>(9) $\text{Nation}(\text{America})$</p>	<p>B-Chain-List(KB, [Enemy(Nono, America)], {x/West, y/M1, z/Nono}):</p> <p>Enemy(Nono, America) as (8); Hostile(Nono) established; back, call</p> <p>B-Chain-List(KB, [Sells(West, Nono, M1), {x/West, y/M1, z/Nono}]):</p> <p>Sells(West, Nono, M1) unifies with (3); call</p> <p>B-Chain-List(KB, [Owns(Nono, M1)] ...)</p> <p>Owns(Nono, M1) as (2a); B-Chain-List(KB, [Missile(M1)], ...) end</p>



Chaining as a Proof Tree

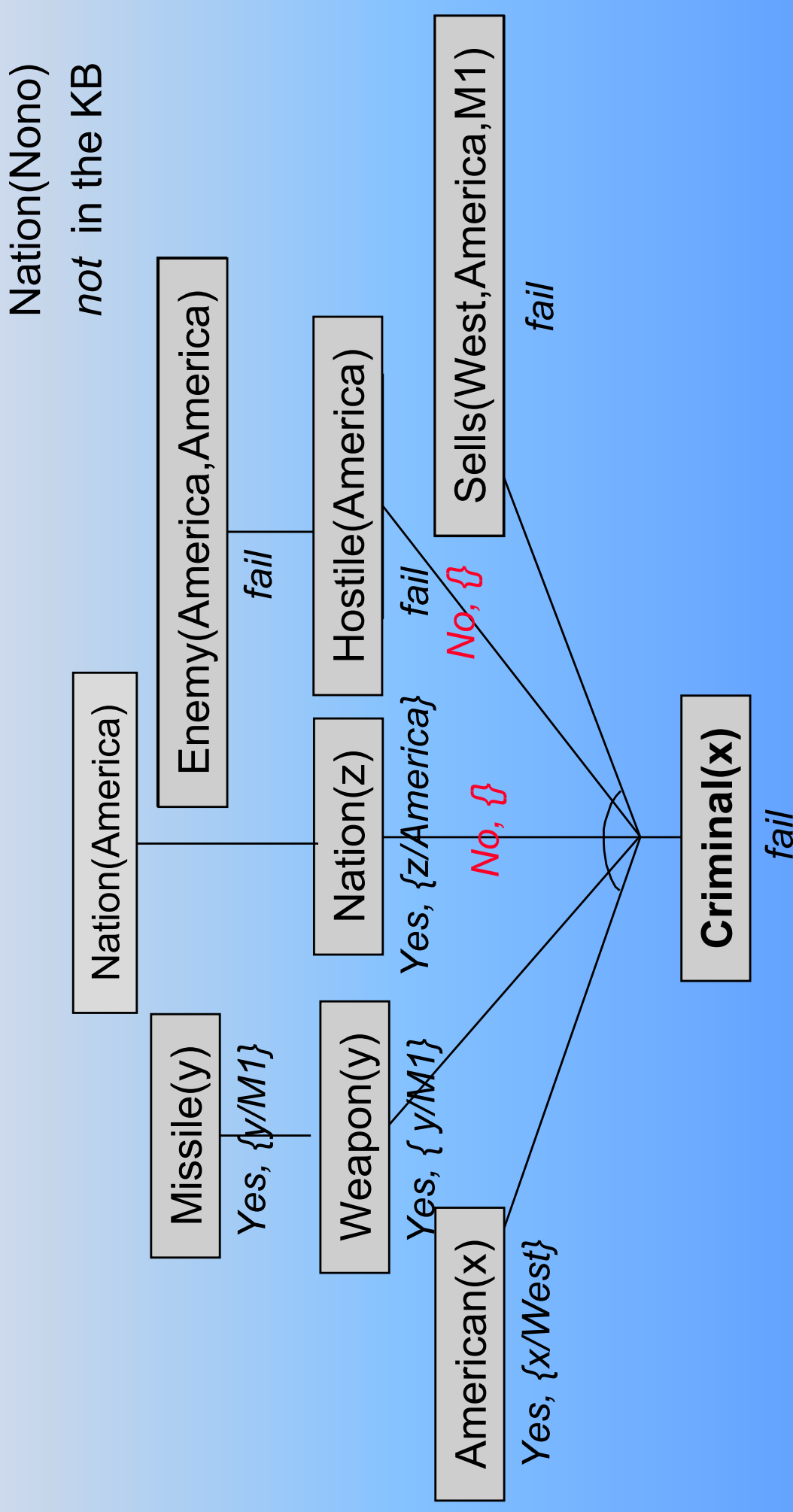
(with Success)





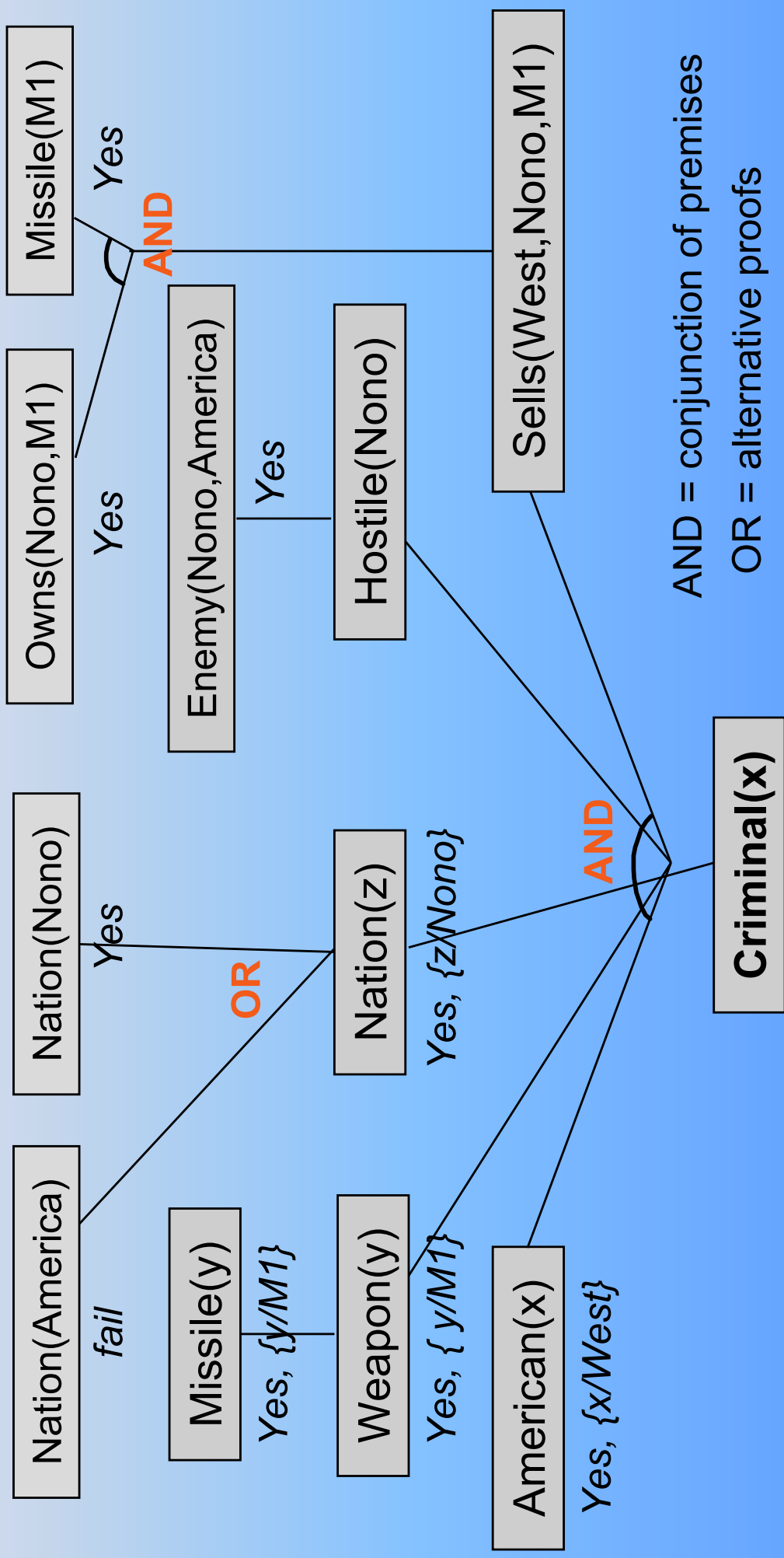
Chaining as a Proof Tree

(with Failure)





And-Or Proof Tree (with Backtracking)





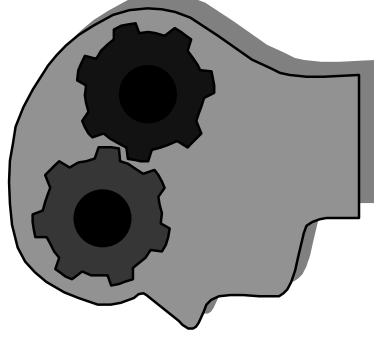
Completeness

- **GMP is not complete**
 - There exist entailed sentences that GMP cannot prove
 - Some sentences cannot be converted to Horn sentences
e.g.
KB: $\forall x \ P(x) \Rightarrow Q(x)$ $\forall x \ Q(x) \Rightarrow S(x)$ entails:
 $\forall x \ \neg P(x) \Rightarrow R(x)$ $\forall x \ R(x) \Rightarrow S(x)$ $\forall x \ S(x)$
no Horn form
- **Need for complete inference rules**
 - Completeness theorem (Gödel, 1930)
 - There are complete inference rules for first-order logic
 - Resolution algorithm (Robinson, 1965)

end

ARTIFICIAL

INTELLIGENCE



CSC304

CPE406

SC430

**School of Computer Engineering
Nanyang Technological University**



Part III – Knowledge and Reasoning

- **9 Inference in First-Order Logic**
 - Inference Rules. – Generalised Modus Ponens.
 - Forward and Backward Chaining. – Resolution.
- **10 Logical Reasoning Systems**
 - Indexing, Retrieval and Unification. – Logic Programming / Prolog. – Production Systems.
 - Frames and Semantic / Conceptual Networks.
 - Managing Retractions, Assumptions, and Explanations.



Forward-Chaining Production Systems

- **Forward-chaining system**
 - Assertions instead of queries
 - Inference generates new knowledge until a criterion is met
 - Appropriate for condition-action rules
 - i.e. add percepts to the KB ,then infer actions to perform
 - Theorem provers too generic
 - First-order logic w/ resolution \Rightarrow huge branching factor
- **Typical features**
 - Rule memory (KB): sentences $p_1 \wedge \dots \wedge p_m \Rightarrow act_1 \wedge \dots \wedge act_n$
 - Working memory (WM): positive literals with no variables
 - 3-step inference: matching, conflict resolution, acting



Production Rules and Inference

Rule memory:

$A(x) \wedge B(x) \wedge C(y) \Rightarrow \text{add } D(x)$

$A(x) \wedge B(y) \wedge D(x) \Rightarrow \text{add } E(x)$

$A(x) \wedge B(x) \wedge E(x) \Rightarrow \text{delete } A(x)$

Working memory: [1]

$A(1), A(2), B(2), B(3), B(4), C(5)$

Inference:

$A(2) \wedge B(2) \wedge C(5) \Rightarrow \text{add } D(2)$

Working memory: [2]

$A(1), A(2), B(2), B(3), B(4), C(5),$

$D(2)$

Inference:

$A(2) \wedge B(2) \wedge C(5) \Rightarrow \text{add } D(2)$

$A(2) \wedge B(2) \wedge D(2) \Rightarrow \text{add } E(2)$

$A(2) \wedge B(3) \wedge \dots$

Working memory: [3]

$A(1), A(2), B(2), B(3), B(4), C(5),$

$D(2), E(2)$

Inference:

$A(2) \wedge B(2) \wedge C(5) \Rightarrow \text{add } D(2)$

$A(2) \wedge B(2) \wedge D(2) \Rightarrow \text{add } E(2)$

$A(2) \wedge B(2) \wedge E(2) \Rightarrow \text{delete } A(2)$



Example of Production System

- **Sorting a character string**

– e.g. “cbaca” \rightarrow “aabcc”

3-char production rules:

ba \Rightarrow ab	(1)
ca \Rightarrow ac	(2)
cb \Rightarrow bc	(3)

#	Working memory	Conflict set	Rule fired
0	cb <u>a</u> ca	{ 3, 1, 2 }	1
1	cab <u>c</u> a	{ 2 }	2
2	ac <u>b</u> ca	{ 3, 2 }	2
3	ac <u>b</u> ac	{ 3, 1 }	1
4	ac <u>a</u> bc	{ 2 }	2
5	aa <u>c</u> bc	{ 3 }	3
6	aa <u>b</u> cc	{ }	HALT



Conflict Resolution

- **Control strategy**

Which of the matching rules should be fired?

- None: execute systematically all rules.
- No duplication: do not execute the same rule on the same arguments twice.
- Recency: favour rules that refer to elements recently created in WM
- Specificity:
 - favour rules that are more specific (have more constraints).
 - e.g. $\text{Mammal}(x) \Rightarrow \text{add Legs}(x,4)$
 $\text{Mammal}(x) \wedge \text{Human}(x) \Rightarrow \text{add Legs}(x,2)$
- Operation priority: favour rules that yield high-priority actions.
 - e.g. $\text{Dusty}(x) \Rightarrow \text{Action}(\text{Dust}(x))$
 $\text{Dangerous}(x) \Rightarrow \text{Action}(\text{Leave}(x))$



Using Production Systems

- **Forward-chaining production systems**
 - Modular systems
 - Inference engines (matching, conflict resolution, firing); OPS-5
 - Domain specific knowledge bases
 - Expert systems
 - Hundreds of commercial systems, from XCON (1982) onwards
 - Varied domains, such as accounting, biology, chemistry, computer eng., farming, finance, mathematics, medical diagnosis, etc.
 - Cognitive architectures
 - Models of human reasoning:

productions		long-term memory
working memory		short-term memory
new productions		learned knowledge



A Simple Resolution

- **From propositional logic**

- Unit Resolution

$$\begin{array}{c} \bullet \quad \alpha \vee \beta, \neg\beta \\ \hline \alpha \end{array}$$

$$(P \Rightarrow Q) \Leftrightarrow (\neg P \vee Q)$$

- Disjunctive Resolution

$$\begin{array}{c} \bullet \quad \alpha \vee \beta, \neg\beta \vee \gamma \\ \hline \alpha \vee \gamma \end{array}$$

- Implicative Resolution

$$\begin{array}{c} \bullet \quad \neg\alpha \Rightarrow \beta, \beta \Rightarrow \gamma \\ \hline \neg\alpha \Rightarrow \gamma \end{array}$$

- **Interpretations**

- Reasoning by case, i.e. given β , either α or γ is true
 - Transitivity of implication



Generalised Resolution (CNF)

– Generalised Disjunctive Resolution

$$\frac{\alpha_1 \vee \dots \vee \alpha_j \dots \vee \alpha_M, \gamma_1 \vee \dots \vee \gamma_k \dots \vee \gamma_N}{\text{SUBST}(\theta, \alpha_1 \vee \dots \vee \alpha_{j-1} \vee \alpha_{j+1} \dots \vee \alpha_M \vee \gamma_1 \vee \dots \vee \gamma_{k-1} \vee \gamma_{k+1} \dots \vee \gamma_N)} \quad \text{UNIFY}(\alpha_j, \neg\gamma_k) = \theta$$

– Examples

- $A \vee B, \neg B \vee C \quad \vdash \quad A \vee C$
- $A \vee B \vee \neg D, \neg B \vee C \quad \vdash \quad A \vee C \vee \neg D$
- $A \vee B \vee \neg D \vee E, C \vee D \vee \neg F \quad \vdash \quad A \vee B \vee C \vee E \vee \neg F$
- $A \vee B(K), \neg B(x) \vee C(x) \quad \vdash \quad A \vee C(K) \quad \text{under } \{x/K\}$
- $\neg A \vee \neg B(x) \vee E(x), B(y) \vee C(y) \quad \vdash \quad \neg A \vee C(x) \vee E(x)$
under $\{x/y\}$



Generalised Resolution (INF)

– Generalised Implicative Resolution

- $\alpha_1 \wedge \dots \wedge \alpha_j \dots \wedge \alpha_{M1} \Rightarrow \rho_1 \vee \dots \vee \rho_{M2}, \quad \text{UNIFY}(\alpha_j, \gamma_k) = \theta$
 $\sigma_1 \wedge \dots \wedge \sigma_{N1} \Rightarrow \gamma_1 \vee \dots \vee \gamma_k \dots \vee \gamma_{N2}$

$\text{SUBST}(\theta, \alpha_1 \wedge \dots \wedge \alpha_{j-1} \wedge \alpha_{j+1} \dots \wedge \alpha_{M1} \wedge \sigma_1 \wedge \dots \wedge \sigma_{N1}$
 $\Rightarrow \rho_1 \vee \dots \vee \rho_{M2} \vee \gamma_1 \vee \dots \vee \gamma_{k-1} \vee \gamma_{k+1} \dots \vee \gamma_{N2})$

– Examples

- $A \Rightarrow B, B \Rightarrow C \quad \vdash \quad A \Rightarrow C$
- $A \wedge E \Rightarrow B, B \Rightarrow C \quad \vdash \quad A \wedge E \Rightarrow C$
- $A \Rightarrow B, B \wedge F \Rightarrow C \quad \vdash \quad A \wedge F \Rightarrow C$
- $A \Rightarrow B, B \Rightarrow C \vee G \quad \vdash \quad A \Rightarrow C \vee G$
- $A \Rightarrow B \vee H, B \Rightarrow C \quad \vdash \quad A \Rightarrow C \vee H$
- $A \Rightarrow B(K), B(x) \wedge F \Rightarrow C(x) \quad \vdash \quad A \wedge F \Rightarrow C(K) \text{ under } \{x/K\}$



Canonical Forms of Resolution

- **Conjunctive Normal Form (CNF)**
 - All sentences are a disjunction of literals, *negated or not*:
$$\alpha_1 \vee \dots \vee \alpha_N$$
- **Implicative Normal Form (INF)** or Kowalski form
 - All sentences are implications of *non-negated literals*, with a conjunction of premises and a disjunction of consequents: $\alpha_1 \wedge \dots \wedge \alpha_M \Rightarrow \beta_1 \vee \dots \vee \beta_N$
- **Conjunctive knowledge base**
 - All sentences joined in one big, implicit conjunction
 - e.g. $P, Q \Rightarrow R, \alpha \wedge \beta, \gamma_1 \vee \dots \vee \gamma_N$ is equivalent to
$$(P) \wedge (Q \Rightarrow R) \wedge (\alpha \wedge \beta) \wedge (\gamma_1 \vee \dots \vee \gamma_N)$$



Equivalence of CNF and INF

– Conversion

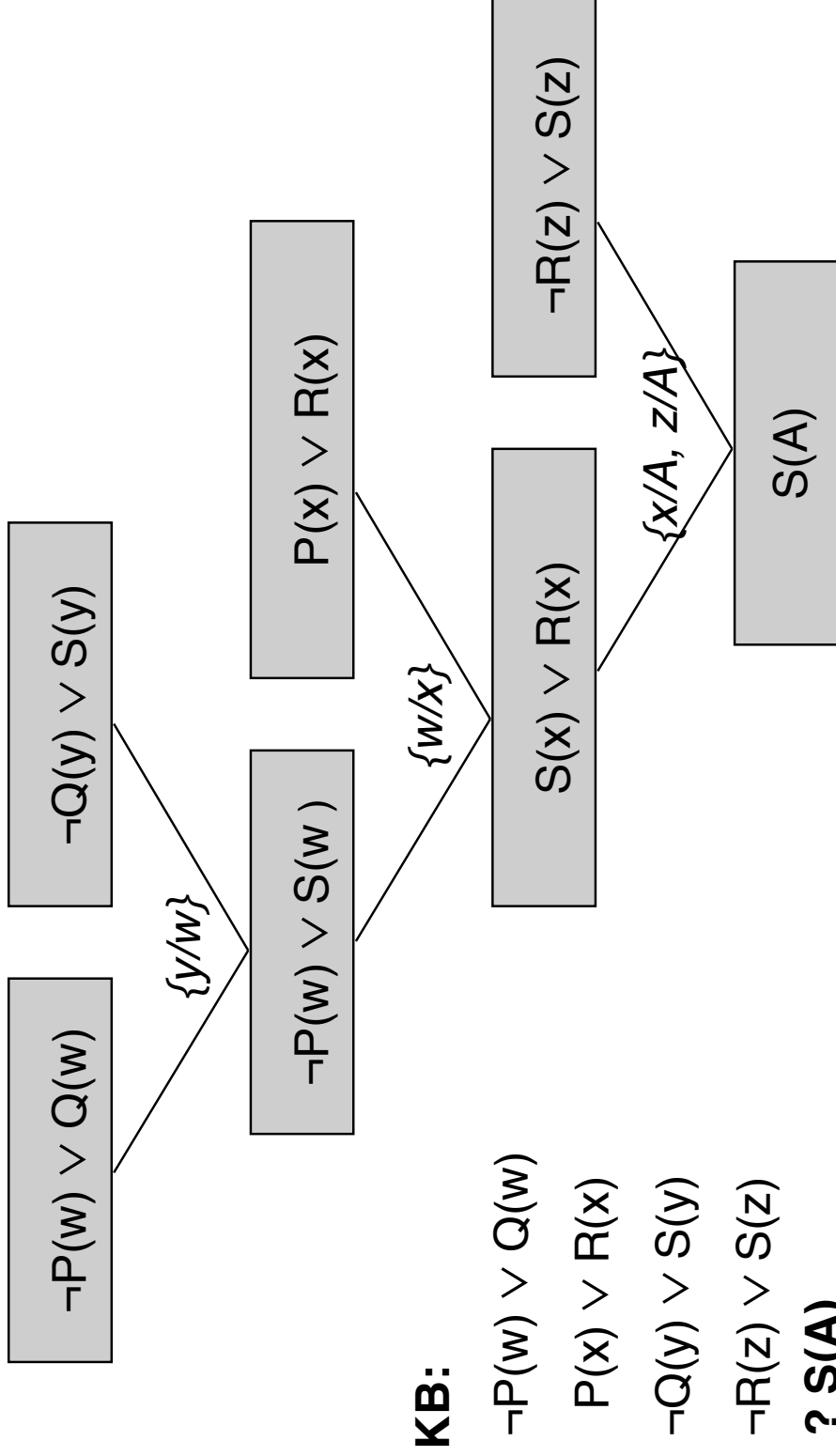
$$\begin{aligned} \bullet \quad & \beta_1 \vee \beta_2 \vee \neg\alpha_1 \vee \dots \vee \beta_j \vee \dots \vee \neg\alpha_k \vee \dots \vee \beta_M \vee \dots \vee \neg\alpha_N \quad \text{CNF} \\ & \neg\alpha_1 \vee \dots \vee \neg\alpha_N \vee \beta_1 \vee \dots \vee \beta_M \\ & \neg(\alpha_1 \wedge \dots \wedge \alpha_N) \vee (\beta_1 \vee \dots \vee \beta_M) \\ & \alpha_1 \wedge \dots \wedge \alpha_N \Rightarrow \beta_1 \vee \dots \vee \beta_M \quad \text{INF} \end{aligned}$$

– Examples

- $A \vee B \Leftrightarrow \text{True} \Rightarrow A \vee B$
- $\neg A \vee B \Leftrightarrow A \Rightarrow B$
- $\neg A \vee \neg B \Leftrightarrow A \wedge B \Rightarrow \text{False}$
- $A \vee \neg B \vee \neg C \vee D \vee \neg E \Leftrightarrow B \wedge C \wedge E \Rightarrow A \wedge D$



Example of Resolution Proof (CNF)





Conversion to Normal Form

- **From first order logic to NF:**
 - Eliminate implications
 - i.e. $P \Rightarrow Q$ becomes $\neg P \vee Q$
 - Reduce scope of negations, using De Morgan's laws
 - i.e. $\neg(P \vee Q)$ becomes $\neg P \wedge \neg Q$,
 $\neg(P \wedge Q)$ becomes $\neg P \vee \neg Q$, $\neg\neg P$ becomes P
 $\neg\forall x P$ becomes $\exists x \neg P$, and $\neg\exists x \neg P$ becomes $\forall x P$
 - Standardise sentences apart, renaming variables
 - e.g. $(\forall x P(x)) \vee (\exists x Q(x))$ becomes $(\forall x P(x)) \vee (\exists y Q(y))$
 - Move quantifiers left
 - e.g. $P(x) \wedge (\forall y Q(y))$ becomes $\forall y P(x) \wedge Q(y) \dots$



Conversion to Normal Form (2)

- Remove existential quantifiers (Skolemization)
 - Replacing by a constant, e.g.: $\exists x P(x)$ becomes $P(C21)$
 - Replacing by a function, e.g.:
 - $\forall x \text{ Person}(x) \Rightarrow \exists y \text{ Mother}(y, x)$, “Everyone has a mother”
 - w/ a constant: $\forall x \text{ Person}(x) \Rightarrow \text{Mother}(\text{Mum}, x) \rightarrow \text{wrong!}$
 - w/ a Skolem function: $\forall x \text{ Person}(x) \Rightarrow \text{Mother}(\text{Mum}(x), x)$
- Drop the universal quantifiers
- Distribute conjunctions (\wedge) over disjunctions (\vee)
 - e.g. $(P \wedge Q) \vee R$ becomes $(P \vee R) \wedge (Q \vee R)$
- Flatten nested conjunctions and disjunctions \rightarrow **CNF**
 - e.g. $(P \vee Q) \vee R$ becomes $P \vee Q \vee R$
- Convert disjunctions back to implications \rightarrow **INF**



Summary

- **Inference rules for first-order logic ...**
 - Are simply extended from propositional logic.
 - Are complex to use, because of a huge branching factor.
- **Unification ...**
 - Improves efficiency by identifying appropriate variable substitutions.
- **The Generalised Modus Ponens ...**
 - Uses unification to provide a powerful inference rule.
 - Can be either data-driven, using forward-chaining, or goal-oriented, using backward-chaining.
- ...



Summary

- Uses sentences in Horn form, i.e. $\alpha_1 \wedge \dots \wedge \alpha_n \Rightarrow \beta$.
- Is not complete.
- **The Generalised Resolution ...**
 - Provides a complete system for proof by refutation.
 - Requires sentences in either Conjunctive Normal Form or Implicative Normal Form, i.e. $\alpha_1 \wedge \dots \wedge \alpha_m \Rightarrow \beta_1 \vee \dots \vee \beta_n$ (which are equivalent).
 - Can use several strategies (heuristics) to improve efficiency and reduce the size of the search space.



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- Hunter, G. (1971). *Metalogic: An Introduction to the Metatheory of Standard First-Order Logic*. University of California Press, Berkeley and Los Angeles.
- Siekmann, J. and Wrightson, G., editors (1983). *Automation of Reasoning*. Springer-Verlag, Berlin. Two volumes.
- Wos, L., Overbeek, R., Lusk, E., and Boyle, J. (1992). *Automated Reasoning: Introduction and Applications*. McGraw-Hill, New York, second edition.

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Part III – Knowledge and Reasoning

• 9 Inference in First-Order Logic

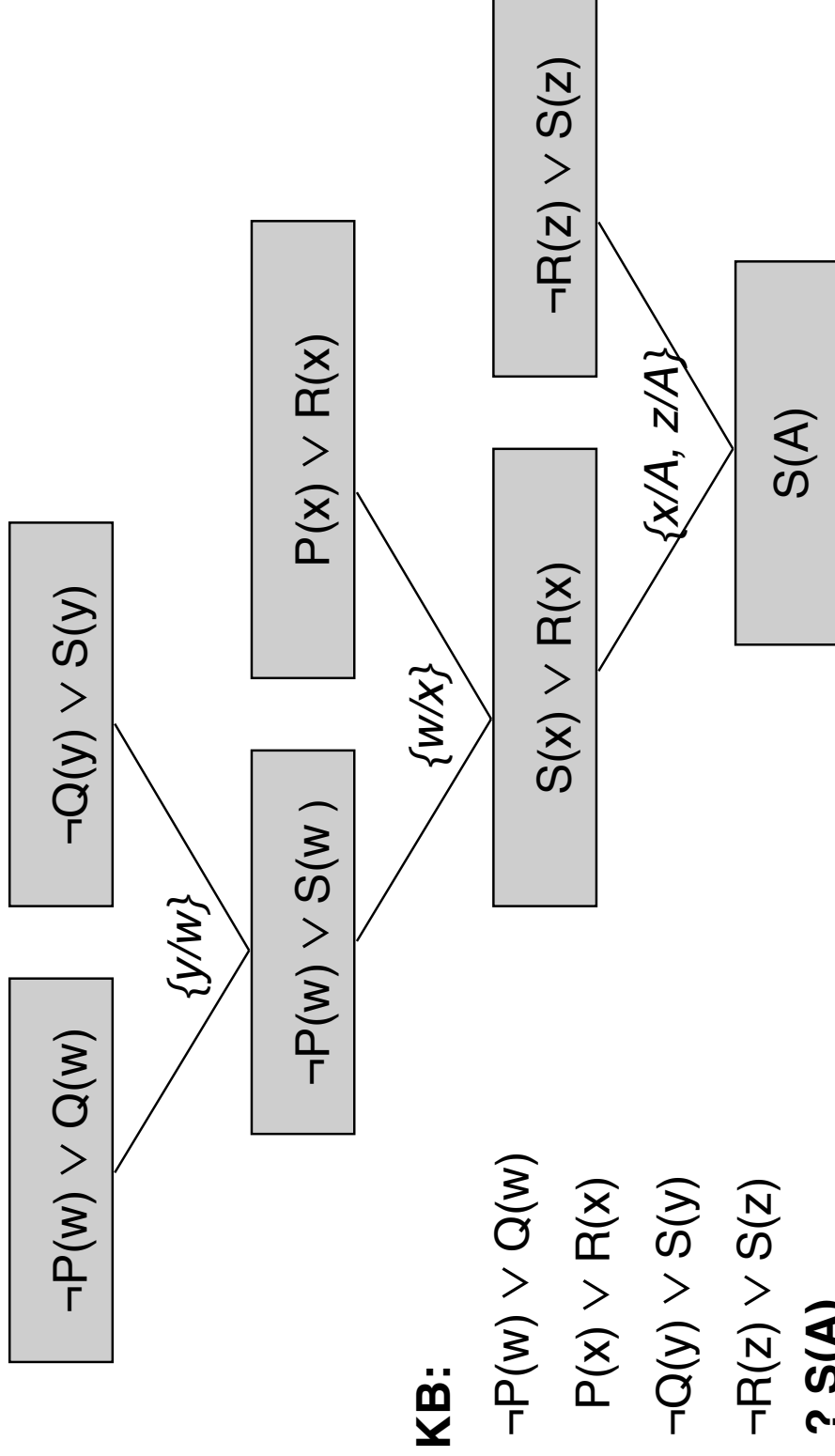
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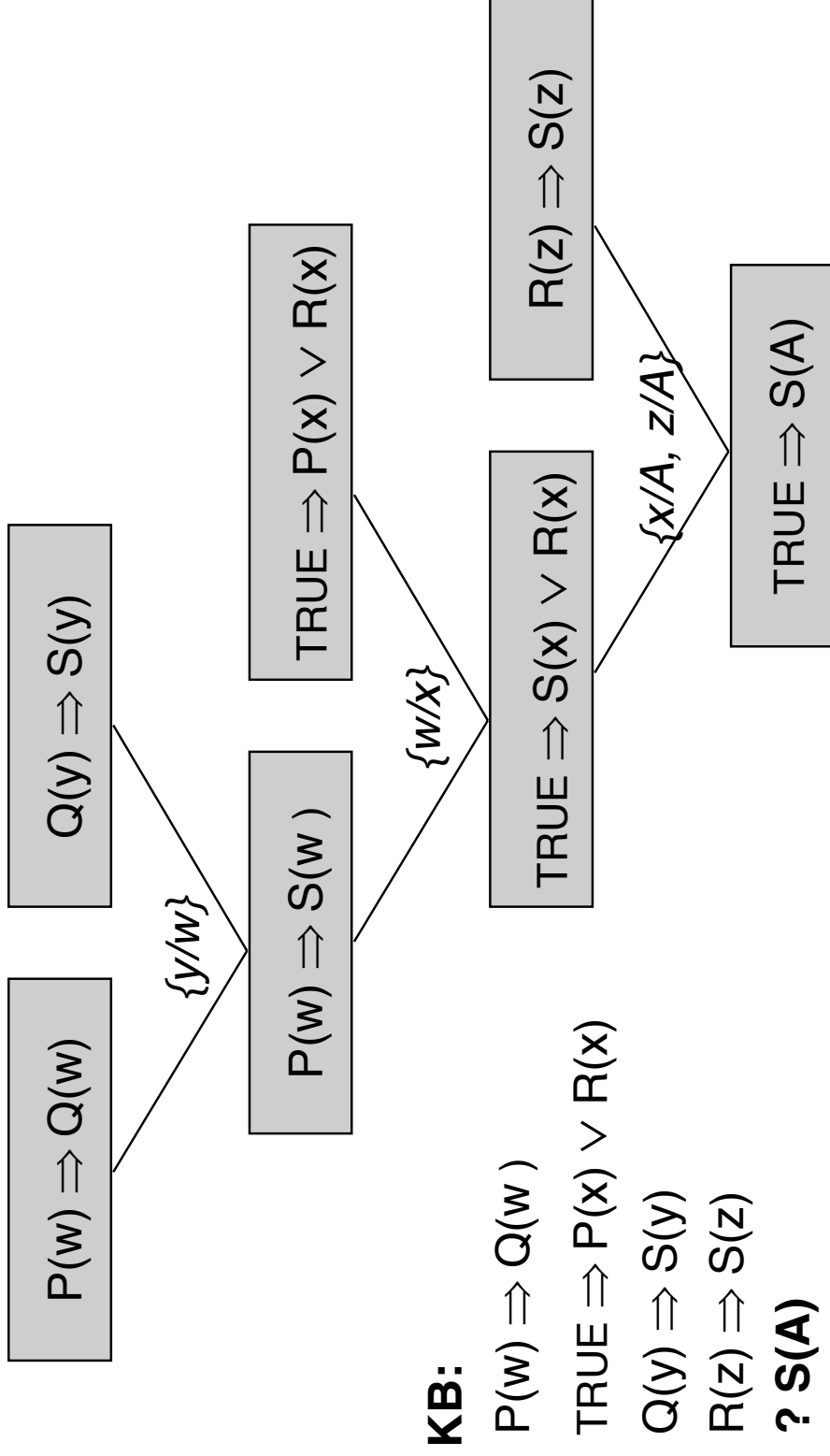


Example of Resolution Proof (CNF)





Example of Resolution Proof (INF)





Refutation

- **Resolution is incomplete**
 - There exist entailed sentences that it cannot prove
 - e.g. Empty KB (!), $KB \models P \vee \neg P$, but resolution cannot prove it
- **Resolution by refutation**
 - Proof by contradiction (reductio ad absurdum):
To prove P true, assume it false and prove a contradiction
i.e.
$$(KB \wedge \neg P \Rightarrow \text{FALSE}) \Leftrightarrow (KB \Rightarrow P)$$
 - Simple, sound, complete
 - e.g. assume $\neg(P \vee \neg P)$, rewrite as $\neg P \wedge P$, infer contradiction.



Another Example Proof

- **Problem statement and query**
 - “Jack owns a dog. Every dog owner is an animal lover. No animal lover kills an animal. Either Jack or curiosity killed the cat, who is named Tuna.”
 - *Did curiosity kill the cat?*
- **Translation in First Order Logic**
 - 1. $\exists x \text{ Dog}(x) \wedge \text{Owns}(\text{Jack}, x)$
 - 2. $\forall x \forall y \text{ Owns}(x, y) \wedge \text{Dog}(y) \Rightarrow \text{AnimalLover}(x)$
 - 3. $\forall x \text{ AnimalLover}(x) \Rightarrow (\forall y \text{ Animal}(y) \Rightarrow \neg \text{Kills}(x, y))$
 - 4. $\text{Kills}(\text{Jack}, \text{Tuna}) \vee \text{Kills}(\text{Curiosity}, \text{Tuna})$
 - 5. $\text{Cat}(\text{Tuna})$
 - 6. $\forall x \text{ Cat}(x) \Rightarrow \text{Animal}(x)$ [background knowledge]



Variations on Translation to FOL

- **Example**

- Statement: “No animal lover kills an animal.”
- Translation in FOL:

$$1. \quad \forall x \, L(x) \Rightarrow (\forall y \, A(y) \Rightarrow \neg K(x,y))$$

$$\forall x \, \neg L(x) \vee (\forall y \, \neg A(y) \vee \neg K(x,y))$$

$$\forall x \, \forall y \, \neg L(x) \vee \neg A(y) \vee \neg K(x,y)$$

CNF

2.

$$\forall x,y \, \neg(L(x) \wedge A(y)) \vee \neg K(x,y)$$

$$\forall x,y \, L(x) \wedge A(y) \Rightarrow \neg K(x,y)$$

3.

$$\neg (L(x) \wedge A(y) \wedge K(x,y))$$

$$L(x) \wedge A(y) \wedge K(x,y) \Rightarrow F$$

INF



Conversion from FOL to CNF

- 1. $\exists x \text{ Dog}(x) \wedge \text{Owns}(\text{Jack}, x)$
 - 1a. $\text{Dog}(D)$
 - 1b. $\text{Owns}(\text{Jack}, D)$
 - 2. $\forall x \forall y \text{ Owns}(x, y) \wedge \text{Dog}(y) \Rightarrow \text{AnimalLover}(x)$
 - 2. $\neg \text{Dog}(y) \vee \neg \text{Owns}(x, y) \vee \text{AnimalLover}(x)$
 - 3. $\forall x \text{ AnimalLover}(x) \Rightarrow (\forall y \text{ Animal}(y) \Rightarrow \neg \text{Kills}(x, y))$
 - 3. $\neg \text{AnimalLover}(u) \vee \neg \text{Animal}(v) \vee \neg \text{Kills}(u, v)$
 - 4. $\text{Kills}(\text{Jack}, \text{Tuna}) \vee \text{Kills}(\text{Curiosity}, \text{Tuna})$
 - 4. $\text{Kills}(\text{Jack}, \text{Tuna}) \vee \text{Kills}(\text{Curiosity}, \text{Tuna})$
 - 5. $\text{Cat}(\text{Tuna})$
 - 5. $\text{Cat}(\text{Tuna})$
 - 6. $\forall x \text{ Cat}(x) \Rightarrow \text{Animal}(x)$
 - 6. $\neg \text{Cat}(z) \vee \text{Animal}(z)$
- Query:
? $\text{Kills}(\text{Curiosity}, \text{Tuna})$
 $\neg \text{Kills}(\text{Curiosity}, \text{Tuna})$

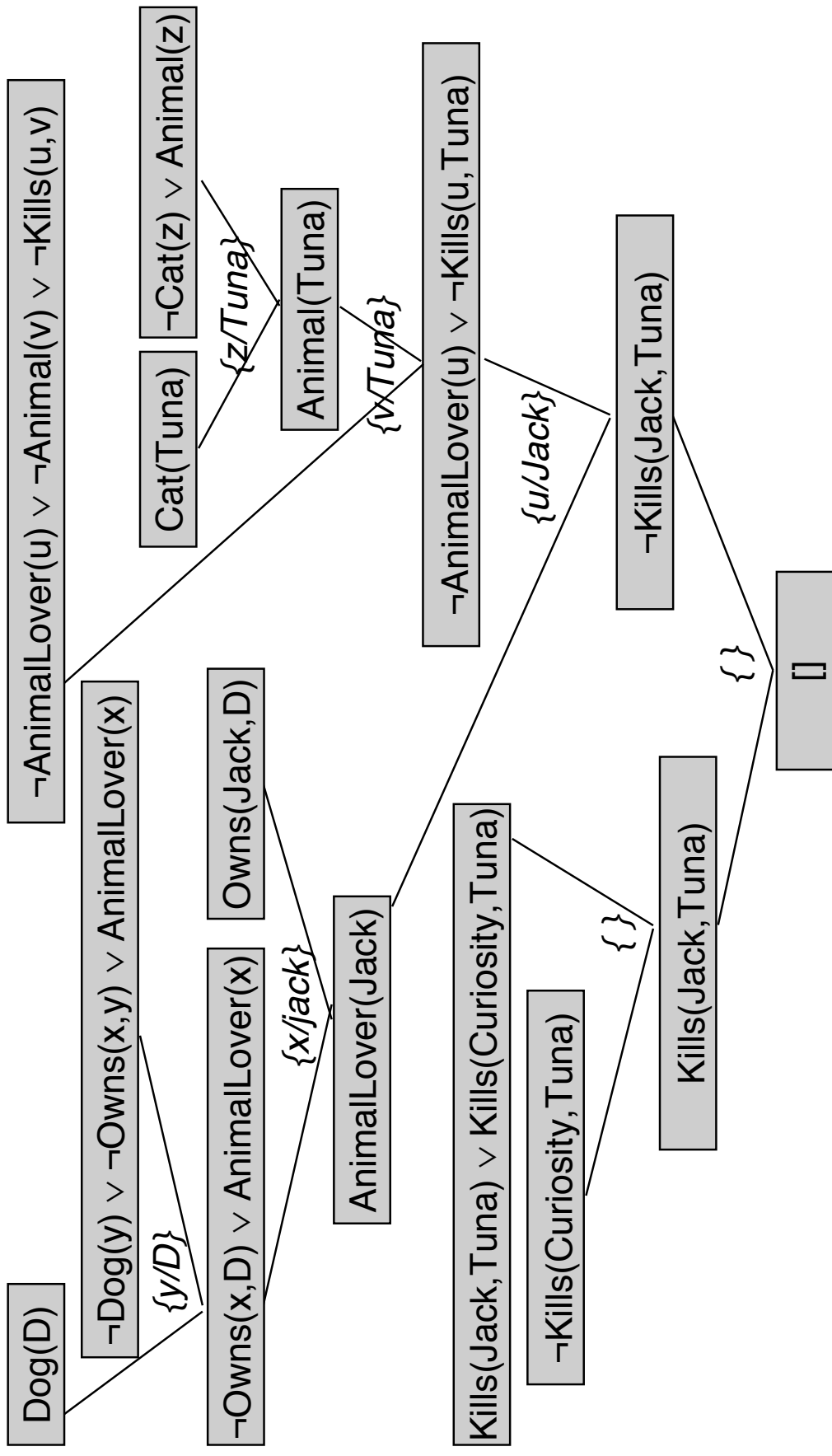


Conversion from FOL to INF

- 1. $\exists x \text{ Dog}(x) \wedge \text{Owns}(\text{Jack}, x)$
 - 1a. $\text{Dog}(D)$
 - 1b. $\text{Owns}(\text{Jack}, D)$
 - 2. $\forall x \forall y \text{ Owns}(x, y) \wedge \text{Dog}(y) \Rightarrow \text{AnimalLover}(x)$
 - 2. $\text{Dog}(y) \wedge \text{Owns}(x, y) \Rightarrow \text{AnimalLover}(x)$
 - 3. $\forall x \text{ AnimalLover}(x) \Rightarrow (\forall y \text{ Animal}(y) \Rightarrow \neg \text{Kills}(x, y))$
 - 3. $\text{AnimalLover}(u) \wedge \text{Animal}(v) \wedge \text{Kills}(u, v) \Rightarrow \text{FALSE}$
 - 4. $\text{Kills}(\text{Jack}, \text{Tuna}) \vee \text{Kills}(\text{Curiosity}, \text{Tuna})$
 - 4. $\text{Kills}(\text{Jack}, \text{Tuna}) \vee \text{Kills}(\text{Curiosity}, \text{Tuna})$
 - 5. $\text{Cat}(\text{Tuna})$
 - 5. $\text{Cat}(\text{Tuna})$
 - 6. $\forall x \text{ Cat}(x) \Rightarrow \text{Animal}(x)$
 - 6. $\text{Cat}(z) \Rightarrow \text{Animal}(z)$
- Query:
? $\text{Kills}(\text{Curiosity}, \text{Tuna})$
 $\text{Kills}(\text{Curiosity}, \text{Tuna}) \Rightarrow \text{F}$

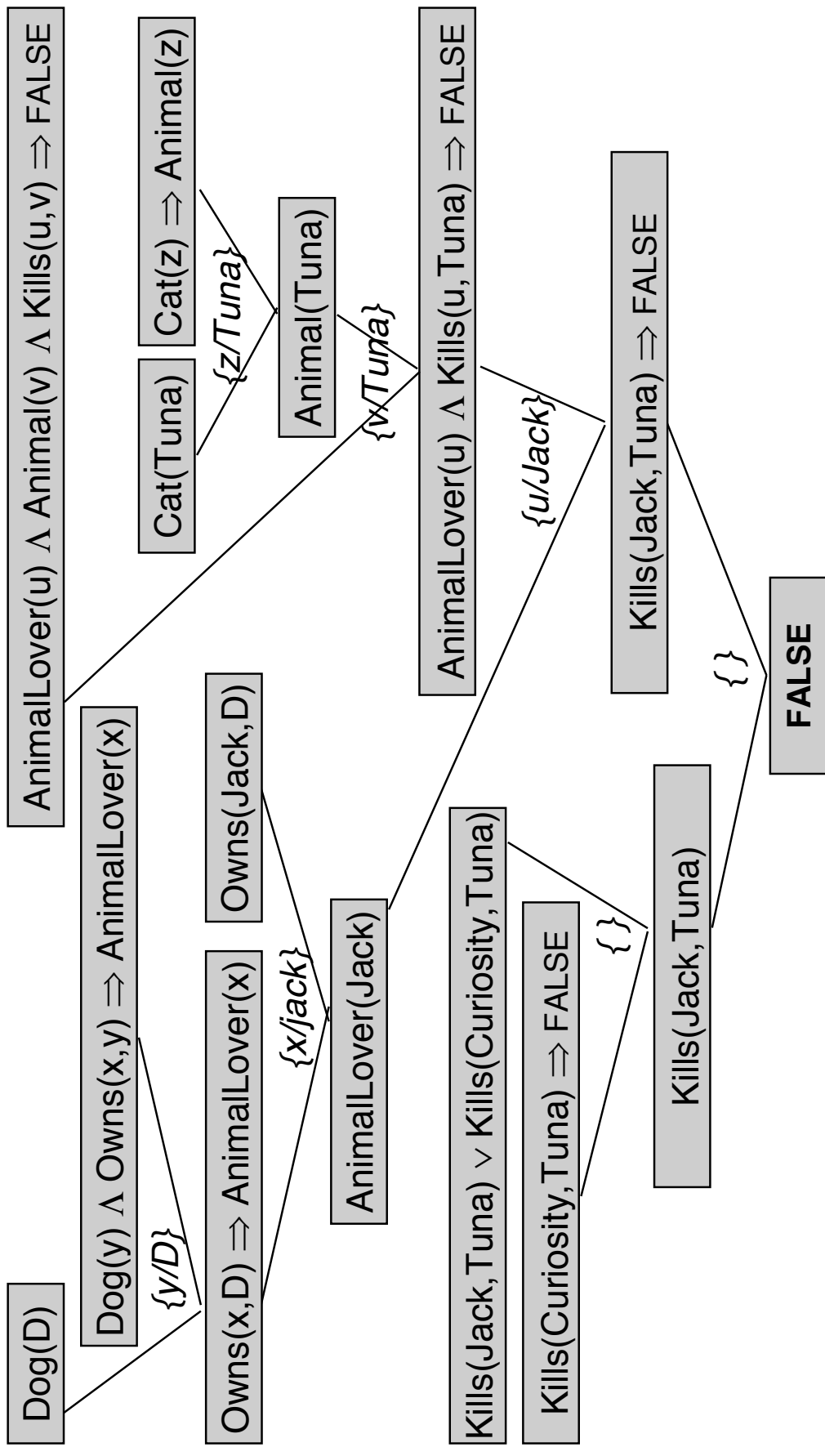


Proof by Resolution-Refutation (CNF)





Proof by Resolution-Refutation (INF)



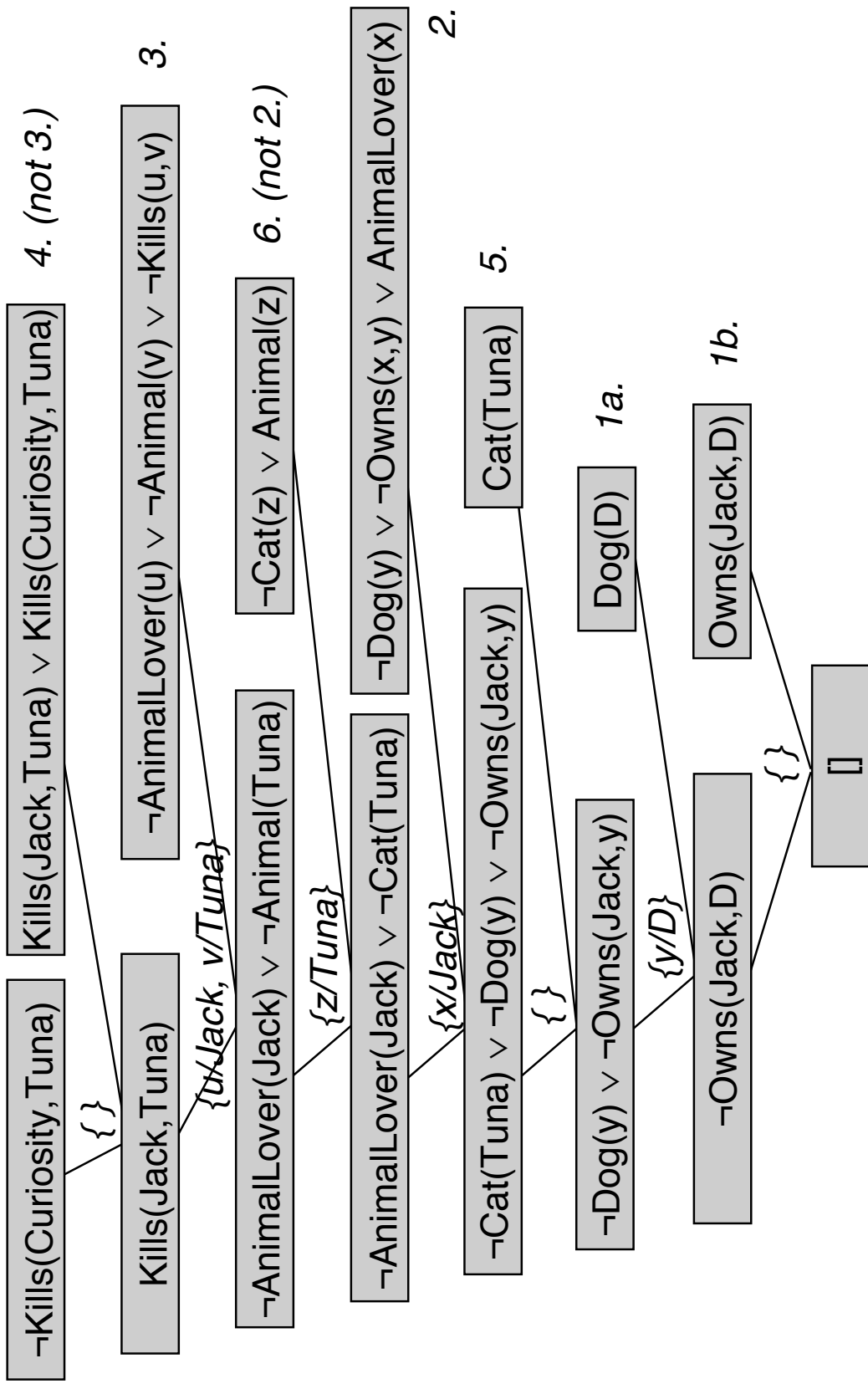


Resolution Strategies

- *Resolution is guaranteed to find a solution, but how?*
- **Unit Preference**
 - Favour short sentences (even unit clauses, if possible)
- **Set of Support**
 - Define a subset of the KB and use those sentences only
 - e.g. { negated query }, as in refutation
- **Input Resolution**
 - Combine KB sentences some inferred sentence
- **Subsumption**
 - Eliminate all sentences subsumed (more specific than) existing sentences in the KB, e.g. if $P(x)$ then $P(A)$ not needed



Proof Using IR and UP Strategies





Theorem Provers

- **Differences with LPL**
 - Use full first-order logic
 - Control kept distinct from knowledge
 - Order of writing does not matter, e.g. $A \Leftarrow B \wedge C$ or $A \Leftarrow C \wedge B$
- **Design of a theorem prover**
 - Design of a control strategy, e.g. OTTER
 - Using a set of support, unit preference, other strategies ...
 - Extending Prolog , e.g. PTPP
 - Make search sound: use Occur-Check in unification
 - Make search complete: use IDS instead of depth-first search
 - Make inference complete: using linear input resolution
 - Use locking: store rules different ways
 - Allow negated literals



Using Theorem Provers

- **Practical uses**
 - Assistant, e.g. decision making
 - Proof-checker, supervised by a mathematician
 - Socratic reasoner
 - Provide partial answers, so that a series of “right” questions always leads to the solution
 - Verification of software and hardware
 - e.g. computer algorithms (RSA, Boyer-Moore, etc.), logic design
 - Synthesis of software and hardware
 - i.e. prove “there exists a program p satisfying the specifications”
 - e.g. hand-guided synthesis of new algorithms,
deductive synthesis in circuit design, large-scale integration



Summary

- **Inference rules for first-order logic ...**
 - Are simply extended from propositional logic.
 - Are complex to use, because of a huge branching factor.
- **Unification ...**
 - Improves efficiency by identifying appropriate variable substitutions.
- **The Generalised Modus Ponens ...**
 - Uses unification to provide a powerful inference rule.
 - Can be either data-driven, using forward-chaining, or goal-oriented, using backward-chaining.
- ...



Summary

- Uses sentences in Horn form, i.e. $\alpha_1 \wedge \dots \wedge \alpha_n \Rightarrow \beta$.
- Is not complete.

- **The Generalised Resolution ...**

- Provides a complete system for proof by refutation.
- Requires sentences in either Conjunctive Normal Form or Implicative Normal Form, i.e. $\alpha_1 \wedge \dots \wedge \alpha_m \Rightarrow \beta_1 \vee \dots \vee \beta_n$ (which are equivalent).
- Can use several strategies (heuristics) to improve efficiency and reduce the size of the search space.



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