

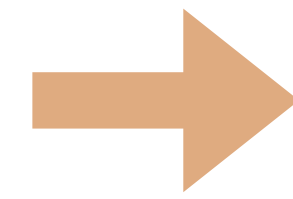
Understanding Neural Abstractive Summarization Models via **Uncertainty**

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Tests on 1,414 people showed that part of the immune system – called T-cells – was able to attack regions of the virus that were common to many different strains of flu. Tests on nearly 1,500 unvaccinated people over the course of four years indicated 43% had “cross protection” to seasonal and pandemic flus. "Having a cross-protective vaccine

Input Document



More than half of people without flu vaccination have a “cross protection” against the virus.

Decoded Summary

**Neural Abstractive
Summarization Model**

Tests on 1,414 people showed that part of the immune system – called T-cells – was attacking regions of the virus that were common to many different strains of flu. Tests on nearly 1,500 unvaccinated people over the course of four years indicated 43% had “cross protection” to seasonal and pandemic flus. "Having a cross-protective vaccine

Input Document

More	0.12
A	0.11
Nearly	0.08
Almost	0.07
Scientists	0.07
.....	0.55

High Entropy Prediction

4.08

More than half of people without flu

0.90

vaccination have a “cross protection”

4.47

against the virus.

against	0.15
defence	0.13
system	0.13
to	0.06
response	0.05
.....	0.48

ed Summary

of	0.86
the	0.03
.....	0.11

Low Entropy Prediction

0.61

protection	0.77
protective	0.15
.....	0.08

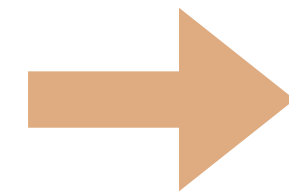


Experiment

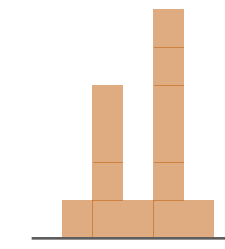
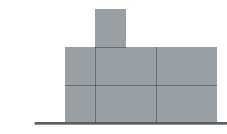
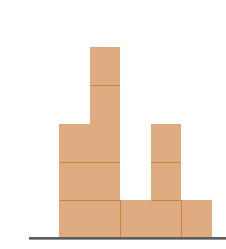
- Datasets: CNN/DM (Hermann et al., 2015) and XSum (Narayan et al., 2018)
- PEGASUS (Zhang et al., 2020)
 - Configuration: pagesus-large-xsum & pagesus-large-cnndm from Huggingface
- BART (Lewis et al., 2020)
 - Results including comparison are not covered here; check our paper

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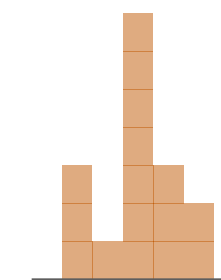
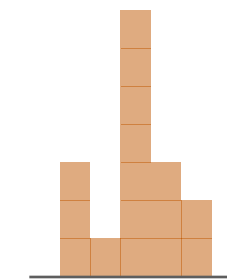
Input Document



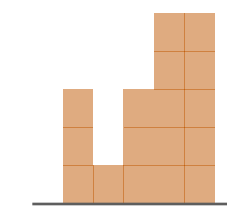
More than half of people without flu



vaccination have a “ cross protection ”



against the virus.



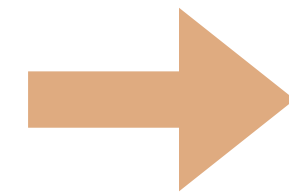
Decoded Summary

Tests on 1,414 people showed that part of the immune system – called T-cells – was able to attack regions of the virus that were common to many different strains of flu. Tests on nearly 1,500 unvaccinated people over the course of four years indicated 43% had “cross protection” to seasonal and pandemic flus. "Having a cross-protective vaccine

Input Document

GEN

More than half of people without flu



vaccination have a “cross protection ”

COPY

against the virus.

Decoded Summary

Can entropy tell us where ***copies*** / ***generation*** are happening?



Entropy of {Existing, Novel} Bigram

Summary

..... people without flu vaccination

Extract Bigram

flu_vaccination

without_flu

Found in Input Document?



addition to the flu
vaccination for adults



Novel or Existing

Existing

Novel

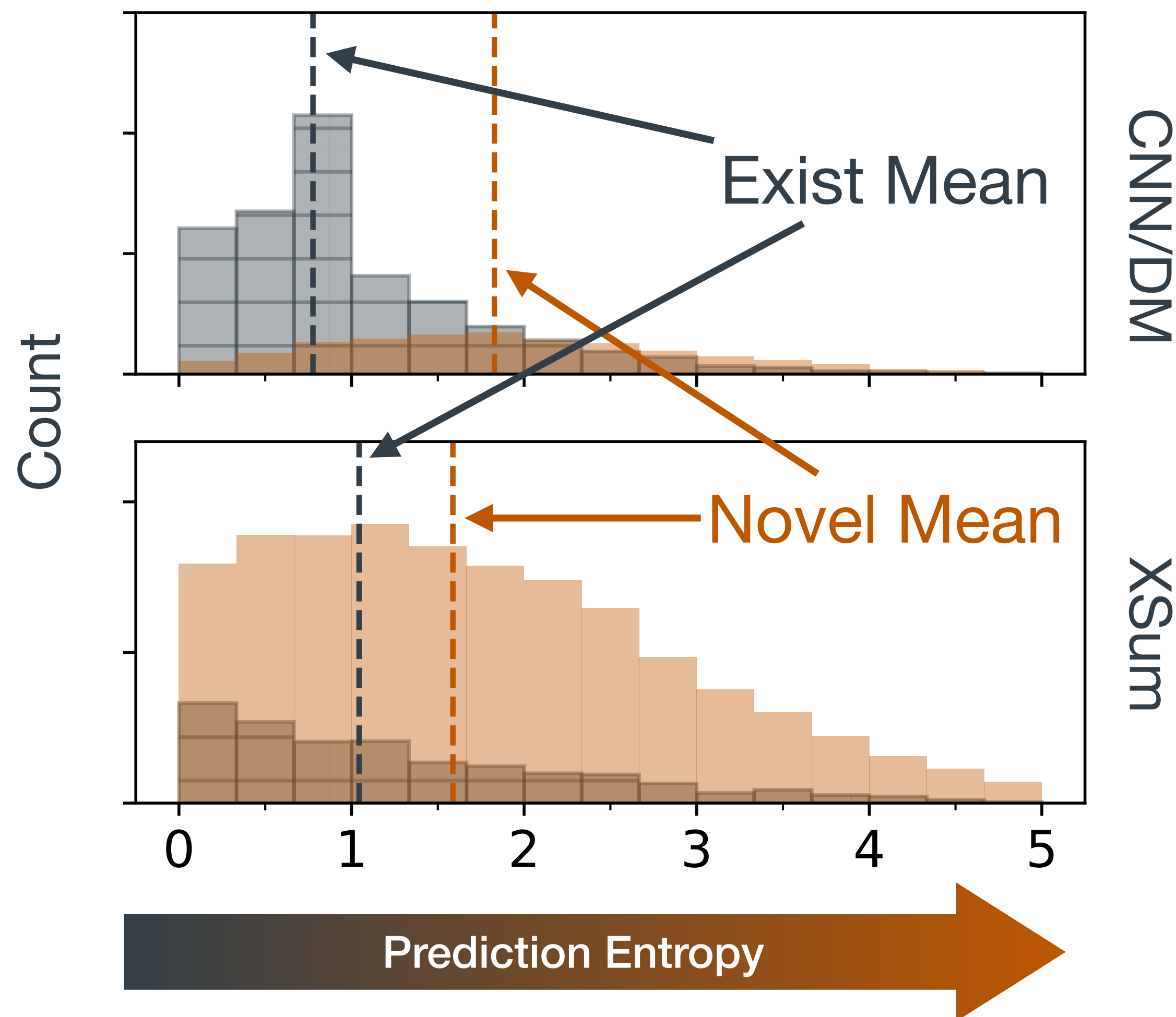
Indication of Behavior

COPY

GEN



Entropy of {Existing, Novel} Bigram



- Dotted lines: Mean of {Existing, Novel} Bigram entropy value
- Different datasets \Rightarrow different behavior
 - Different prediction entropy distribution
 - More COPY (Existing Bigram) on CNN/DM
 - More GEN (Novel Bigram) on XSum
- Behavior Pattern

Low
COPY

Entropy
Behavior

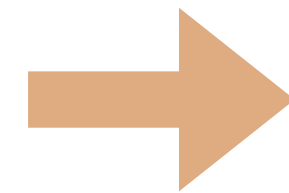
High
GEN



Entropy of {Existing, Novel} Bigram

Summary people without flu vaccination	
Extract Bigram	flu_vaccination	without_flu
Found in Input Document?	✓ <div>addition to the <u>flu vaccination</u> for adults</div>	✗
Novel or Existing	Existing	Novel
Indication of Behavior	COPY	GEN
Avg Entropy	Low	High

Tests on 1,414 people showed that part of the immune system – called T-cells – was able to attack regions of the virus that were common to many different strains of flu. Tests on nearly 1,500 unvaccinated people over the course of four years indicated 43% had “cross protection” to seasonal and pandemic flus. "Having a cross-protective vaccine



More than half of people without flu vaccination

Higher Entropy

have a “ cross protection ” against the virus.

Lower Entropy

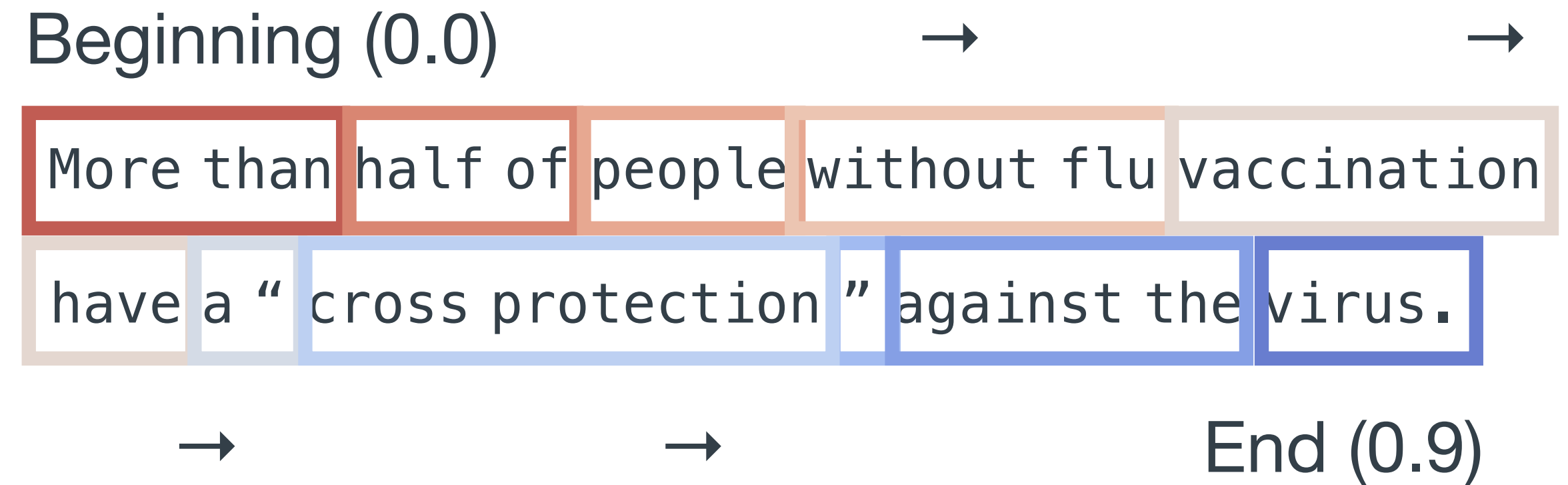
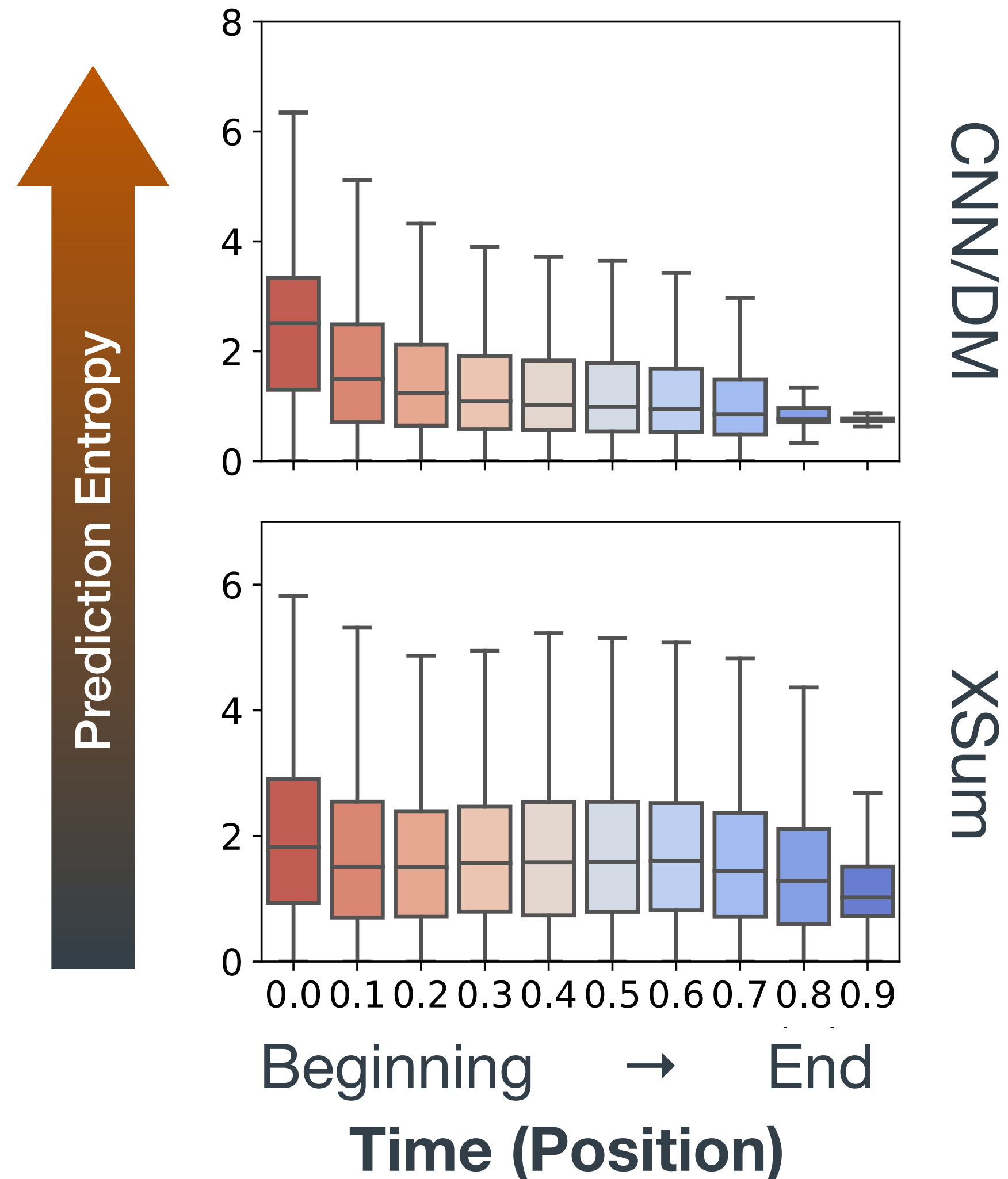
Decoded Summary

Input Document

How does entropy vary over *time*?



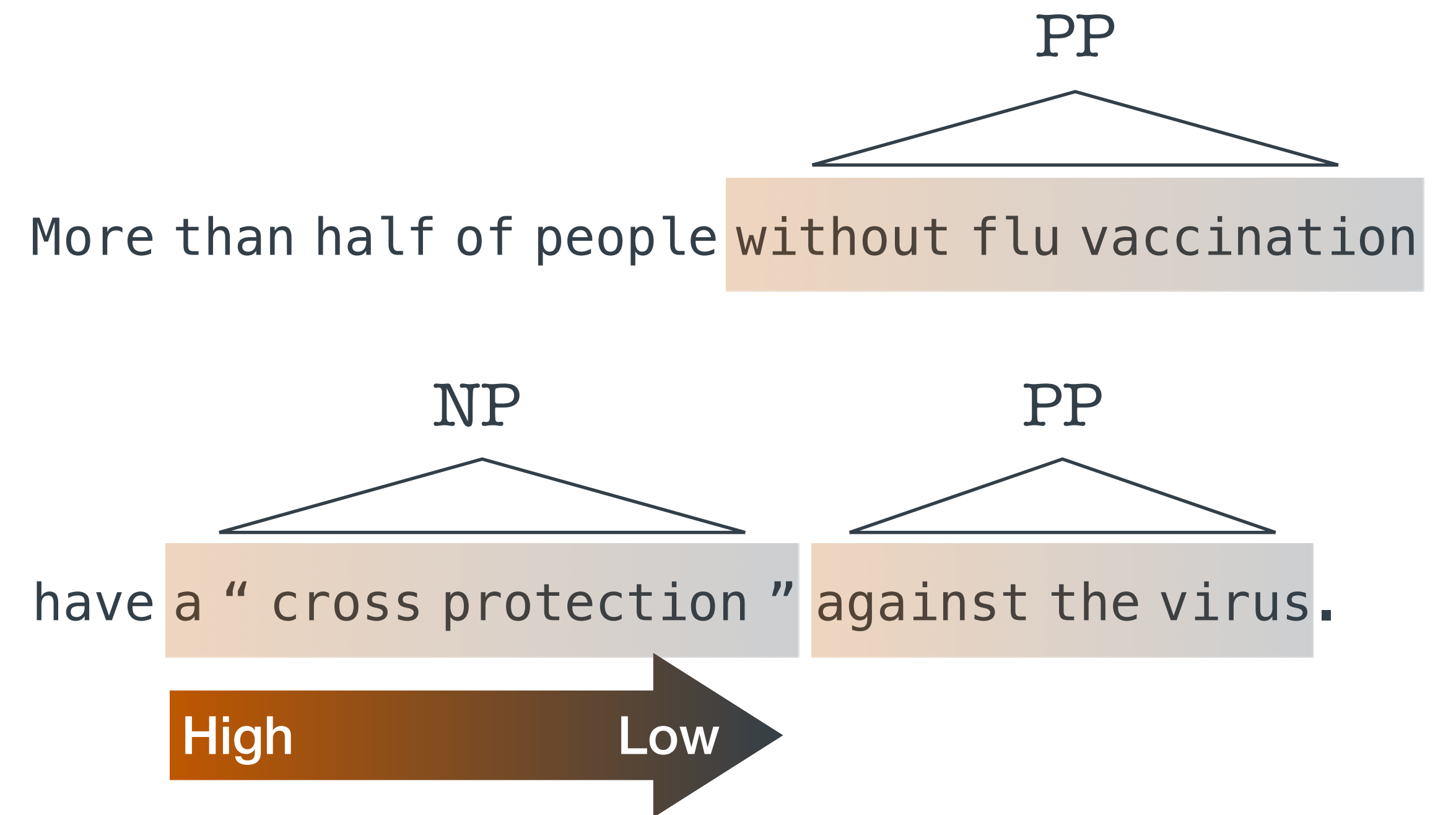
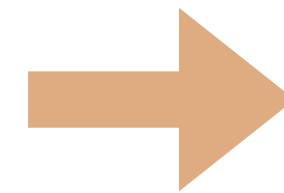
Entropy varies over time



- Content planing happens at the beginning of the sentence → relative higher entropy
- Entropy decreases during finishing a sentence, especially on CNN/DM

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Input Document



Decoded Summary

Does entropy connect to *syntactic* environment?



Entropy connects to Syntactic Distance

Summary

More than half of people without flu vaccination have a “ cross protection ” against the virus.

Constituency Parser



Linearized Tree

((((More than half)) (of (people (without (flu vaccination))))))
(have (a “ (cross protection) ”
(against (the virus)))) .)

Syntactic Distance

$D(\text{vaccination}, \text{have}) = \text{len}(\text{"")}) = 6$
 $D(\text{cross}, \text{protection}) = \text{len}(\text{""}) = 0$

Entropy

$H(\text{have}) = 2.3$
 $H(\text{protection}) = 0.6$

- Low and high entropy decisions can be localized to constituent span boundaries
- Finishing the current span ➡ Lower entropy
- Starting a new span ➡ Higher entropy

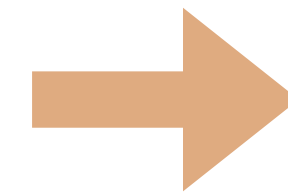
Entropies of Syntactic Productions

Check our paper!

Low Entropy
Low Syntactic Distance
High High

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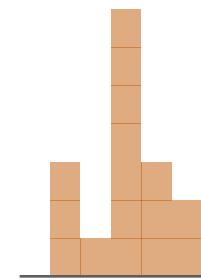


High Entropy
Attention

0.1

0.1

0.2



More than half of people without flu vaccination

have a “cross protection” against the virus.



Decoded Summary

0.1

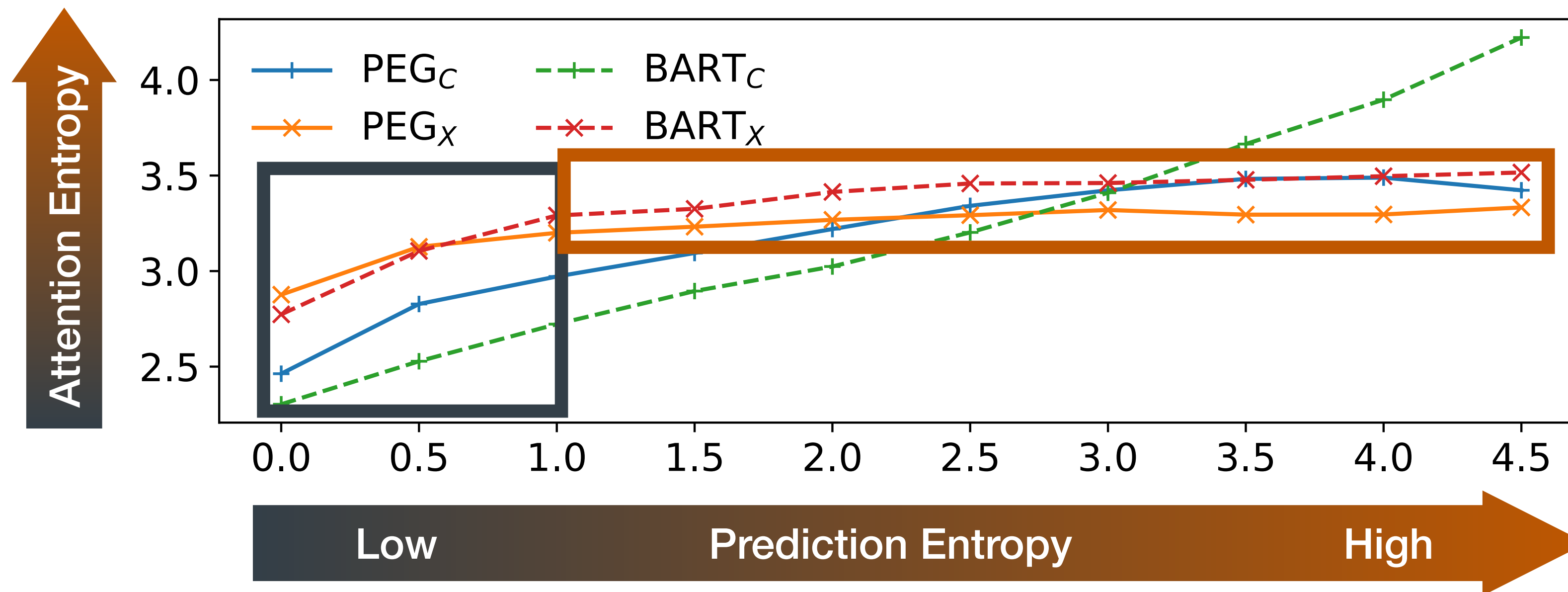
0.8

Low Entropy
Attention

How does entropy relate to model's *attention* behavior?



$H_{\text{attn}} = f(H_{\text{pred}})$ when H_{pred} is low



$$H_{\text{attn}} = \begin{cases} f(H_{\text{pred}}), & \text{when } H_{\text{pred}} < 1 \\ \text{no correlation,} & \text{otherwise} \end{cases}$$



Conclusion

- Uncertainty metrics like entropy is easy to access
 - Providing a lens into the inner workings of the model
- Uncertainty can help us understand
 - Model decision like COPY vs. GEN
 - Model behavior in different syntactic environments
 - Coarse properties of attention

Thank you!

Code: <https://github.com/jiacheng-xu/text-sum-uncertainty>

TAUR Lab: <http://taur.cs.utexas.edu/>

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