

# Supplementary Material for Deep 3D Dual Path Nets for Automated Pulmonary Nodule Detection and Classification

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## 1. Detailed network structure for 3D Faster R-CNN with Deep 3D Dual Path Net in Nodule Detection

The encoder network is adapted from DPN92 directly by changing  $7 \times 7$  filters into  $3 \times 3$  [1]. The numbers of blocks are changed from 3, 4, 20, 3 to 2, 2, 2, 2. The decoder network is to make the network symmetric. The stride 2 of 3D convolution is added in the first  $3 \times 3 \times 3$  convolution in each block.

Stage	Output	Weights
Pre-dual path	$96 \times 96 \times 96$ , 24	$3 \times 3 \times 3$ , 24
Dual path block 1	$48 \times 48 \times 48$ , 48	$\left\{ \begin{array}{l} 1 \times 1 \times 1, 24 \\ 3 \times 3 \times 3, 24, (\text{stride } 2) \\ 1 \times 1 \times 1, 32 \end{array} \right\} \times 2$
Dual path block 2	$24 \times 24 \times 24$ , 72	$\left\{ \begin{array}{l} 1 \times 1 \times 1, 48 \\ 3 \times 3 \times 3, 48, (\text{stride } 2) \\ 1 \times 1 \times 1, 56 \end{array} \right\} \times 2$
Dual path block 3	$12 \times 12 \times 12$ , 96	$\left\{ \begin{array}{l} 1 \times 1 \times 1, 72 \\ 3 \times 3 \times 3, 72, (\text{stride } 2) \\ 1 \times 1 \times 1, 80 \end{array} \right\} \times 2$
Dual path block 4	$6 \times 6 \times 6$ , 120	$\left\{ \begin{array}{l} 1 \times 1 \times 1, 96 \\ 3 \times 3 \times 3, 96, (\text{stride } 2) \\ 1 \times 1 \times 1, 104 \end{array} \right\} \times 2$
Deconv. 1	$12 \times 12 \times 12$ , 216	$2 \times 2 \times 2$ , 216
Dual path block 5	$12 \times 12 \times 12$ , 152	$\left\{ \begin{array}{l} 1 \times 1 \times 1, 128 \\ 3 \times 3 \times 3, 128 \\ 1 \times 1 \times 1, 136 \end{array} \right\} \times 2$
Deconv. 2	$24 \times 24 \times 24$ , 224	$2 \times 2 \times 2$ , 152
Dual path block 6	$24 \times 24 \times 24$ , 248	$\left\{ \begin{array}{l} 1 \times 1 \times 1, 224 \\ 3 \times 3 \times 3, 224 \\ 1 \times 1 \times 1, 232 \end{array} \right\} \times 2$
Output	$24 \times 24 \times 24$ , $3 \times 5$	Dropout, $p=0.5$ $1 \times 1 \times 1$ , 64 $1 \times 1 \times 1$ , 15

## 2. Detailed network structure for 3D Faster R-CNN with Deep 3D Residual Network in Nodule Detection

The encoder network is adapted from Res18 directly by changing  $7 \times 7$  filters into  $3 \times 3$  [2]. We find the latest reference for 3D Res18 network in [3], and will add it into the reference.

Stage	Output	Weights
Pre-Residual	$96 \times 96 \times 96$ , 24	$3 \times 3 \times 3$ , 24 $3 \times 3 \times 3$ , 24
Residual block 1	$48 \times 48 \times 48$ , 32	$\left\{ \begin{array}{l} 3 \times 3 \times 3, 32 \\ 3 \times 3 \times 3, 32, (\text{stride } 2) \end{array} \right\} \times 2$
Residual block 2	$24 \times 24 \times 24$ , 64	$\left\{ \begin{array}{l} 3 \times 3 \times 3, 64 \\ 3 \times 3 \times 3, 64, (\text{stride } 2) \end{array} \right\} \times 2$
Residual block 3	$12 \times 12 \times 12$ , 64	$\left\{ \begin{array}{l} 3 \times 3 \times 3, 64 \\ 3 \times 3 \times 3, 64, (\text{stride } 2) \end{array} \right\} \times 3$
Residual block 4	$6 \times 6 \times 6$ , 64	$\left\{ \begin{array}{l} 3 \times 3 \times 3, 64 \\ 3 \times 3 \times 3, 64, (\text{stride } 2) \end{array} \right\} \times 3$
Deconv. 1	$12 \times 12 \times 12$ , 128	$2 \times 2 \times 2$ , 64
Residual block 5	$12 \times 12 \times 12$ , 64	$\left\{ \begin{array}{l} 3 \times 3 \times 3, 64 \\ 3 \times 3 \times 3, 64 \end{array} \right\} \times 3$
Deconv. 2	$24 \times 24 \times 24$ , 128	$2 \times 2 \times 2$ , 64
Residual block 6	$24 \times 24 \times 24$ , 64	$\left\{ \begin{array}{l} 3 \times 3 \times 3, 64 \\ 3 \times 3 \times 3, 64 \end{array} \right\} \times 3$
Output	$24 \times 24 \times 24$ , $3 \times 5$	Dropout, $p=0.5$ $1 \times 1 \times 1$ , 64 $1 \times 1 \times 1$ , 15

## 3. Comparison with different methods for each fold and average false positives on LUNA16 dataset

Method	Deep 3D Res18	Deep 3D DPN26
Fold 0	0.8610	0.8750
Fold 1	0.8538	0.8783
Fold 2	0.7902	0.8170
Fold 3	0.7863	0.7731
Fold 4	0.8795	0.8850
Fold 5	0.8360	0.8095
Fold 6	0.8959	0.8649
Fold 7	0.8700	0.8816
Fold 8	0.8886	0.8668
Fold 9	0.8041	0.8122

block 2		$3 \times 3 \times 3, 192, (\text{stride } 2) \times 4$ $1 \times 1 \times 1, 544$
Dual path block 3	$8 \times 8 \times 8, 1528$	$\left\{ \begin{array}{l} 1 \times 1 \times 1, 384 \\ 3 \times 3 \times 3, 72, (\text{stride } 2) \\ 1 \times 1 \times 1, 1048 \end{array} \right\} \times 20$
Dual path block 4	$4 \times 4 \times 4, 2560$	$\left\{ \begin{array}{l} 1 \times 1 \times 1, 768 \\ 3 \times 3 \times 3, 768, (\text{stride } 2) \\ 1 \times 1 \times 1, 2176 \end{array} \right\} \times 3$
Output	$2560$ $2$	3D average pool $2560 \times 2$

Methods	0.125	0.25	0.5	1	2	4	8	FROC
DIAG_ConvNet	0.692	0.771	0.809	0.863	0.895	0.914	0.923	0.838
ZENT	0.661	0.724	0.779	0.831	0.872	0.892	0.915	0.811
Aidenc e	0.601	0.712	0.783	0.845	0.885	0.908	0.917	0.807
MOT_M5Lv1	0.597	0.670	0.718	0.759	0.788	0.816	0.843	0.742
VisiaCT Lung	0.577	0.644	0.697	0.739	0.769	0.788	0.793	0.715
Etrocad	0.250	0.522	0.651	0.752	0.811	0.856	0.887	0.676
Dou et al 2017	0.659	0.745	0.819	0.865	0.906	0.933	0.946	0.839
3D RES	0.662	0.746	0.815	0.864	0.902	0.918	0.932	0.834
3D DPN	0.692	0.769	0.824	0.865	0.893	0.917	0.933	0.842

## References

- [1] Y. Chen, J. Li, H. Xiao, X. Jin, S. Yan, and J. Feng. "Dual path networks." In Advances in Neural Information Processing Systems, pp. 4468-4476. 2017.
- [2] K. He, X. Zhang, S. Ren, and J. Sun. "Deep residual learning for image recognition." In Proceedings of the IEEE conference on computer vision and pattern recognition, pp. 770-778. 2016.
- [3] F. Liao, M. Li, Z. Li, X. Hu, and S. Song. "Evaluate the Malignancy of Pulmonary Nodules Using the 3D Deep Leaky Noisy-or Network." arXiv preprint arXiv:1711.08324 (2017).

## 4. Detailed network structure for Deep 3D Dual Path Net in Nodule Classification

We design 3D dual path network with 92 layers for nodule classification.

Stage	Output	Weights
Pre-dual path	$32 \times 32 \times 32, 64$	$3 \times 3 \times 3, 64$
Dual path block 1	$32 \times 32 \times 32, 320$	$\left\{ \begin{array}{l} 1 \times 1 \times 1, 96 \\ 3 \times 3 \times 3, 96, (\text{stride } 2) \\ 1 \times 1 \times 1, 272 \end{array} \right\} \times 3$
Dual path	$16 \times 16 \times 16, 672$	$1 \times 1 \times 1, 192$