

ALGEBRA NOTE

Abstract Algebra Notes

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1 PREFACE

These abstract algebra notes primarily focus on self-study, with a writing style that deliberately maintains low information density and includes some redundancy for clarity.

My first encounter with abstract algebra was through an English textbook, which was heavily focused on theorem proofs. Progress was slow, and I struggled to see practical applications. After spending considerable time with this approach, I sought Chinese resources for potentially better learning methods. On Bilibili, I discovered Maki's abstract algebra lectures and accompanying notes, which provided an excellent introduction to the subject. However, the content still had some gaps. Later, after finding a recommended algebra book, "Methods of Algebra" by Professor Li Wenwei, I began compiling these notes based on that foundation to aid my future studies. The "Methods of Algebra" book is difficult, we math level maybe on the freshman level, so we find the "Algebra Note" by the Professor Li Wenwei, which is more suitable for us.

Something we not understand is marked (MORE), which meaning need more thinking or more information.

2 INTRODUCTION

2.1 What is Algebra?

In light of this, classical algebra can be understood as the art of solving equations by:

- Replacing specific numbers with variables
- Using operations such as transposition of terms

This traditional approach forms the foundation of algebraic manipulation and equation solving.

Theorem 2.1.1 (Fundamental Theorem of Algebra). *Let $f = X^n + a_{n-1}X^{n-1} + \dots + a_0$ be a polynomial in X with complex coefficients, where $n \in \mathbb{Z}_{\geq 1}$. Then there exist $x_1, \dots, x_n \in \mathbb{C}$ such that:*

$$f = \prod_{k=1}^n (X - x_k)$$

These x_1, \dots, x_n are precisely the complex roots of f (counting multiplicity); they are unique up to reordering.

Now let us further explain the previously raised question: What is algebra?

- **What is an equation?**

An expression obtained through a finite number of basic operations: addition, subtraction, multiplication, and division (with non-zero denominators).

- **What are numbers?**

At minimum, this includes common number systems like \mathbb{Q} , \mathbb{R} , and \mathbb{C} . All these systems support four basic operations, though division requires non-zero denominators. Note that \mathbb{Z} is not included in this list, as division is not freely applicable in \mathbb{Z} .

- **What is the art of solving?**

This involves:

- Determining whether equations have solutions
- Finding exact solutions when possible
- Developing efficient algorithms, Providing methods for approximating solutions

3 SETS MAPPINGS AND RELATIONSHIPS

3.1 Set Theory

 **Remark**

Element of Set also one of Set.

Axiom 3.1.1 (Extensionality). *If two sets have the same elements, then they are equal.*

$$A = B \Leftrightarrow (A \subset B) \wedge (B \subset A)$$

Axiom 3.1.2 (Pairing). *For any elements x and y , there exists a set $\{x, y\}$ whose elements are exactly x and y .*

Axiom 3.1.3 (Schema of Separation). *Let \mathcal{P} be a property of sets, and let $\mathcal{P}(u)$ denote that set u satisfies property \mathcal{P} . Then for any set X , there exists a set Y such that:*

$$Y = \{u \in X : \mathcal{P}(u)\}$$

Axiom 3.1.4 (Union). *For any set X , there exists its union set $\bigcup X$ defined as:*

$$\bigcup X := \{u : \exists v \in X, u \in v\}$$

Axiom 3.1.5 (Power Set). *For any set X , there exists its power set $\mathcal{P}(X)$ defined as:*

$$\mathcal{P}(X) := \{u : u \subset X\}$$

Axiom 3.1.6 (Infinity). *There exists an infinite set. More precisely, there exists a set X such that:*

1. $\emptyset \in X$
2. If $y \in X$, then $y \cup \{y\} \in X$

Axiom 3.1.7 (Schema of Replacement). *Let \mathcal{F} be a function with domain set X . Then there exists a set $\mathcal{F}(X)$ defined as:*

$$\mathcal{F}(X) = \{\mathcal{F}(x) : x \in X\}$$

Remark

The Replacement Axiom and the Separation Axiom Schema are to construct new sets from existing sets. Different is the Replacement can equal size of the set, but the Separation is a subset numbers of the set.

Definition 3.1.8 (Cartesian Product). *For any two sets A and B , their Cartesian product $A \times B$ (also called simply the product) consists of all ordered pairs (a, b) where $a \in A$ and $b \in B$. In other words:*

$$A \times B := \{(a, b) : a \in A, b \in B\}$$

Axiom 3.1.9 (Regularity). *Every non-empty set contains an element which is minimal with respect to the membership relation \in .*

Axiom 3.1.10 (Choice). *Let X be a set of non-empty sets. Then there exists a function $g : X \rightarrow \bigcup X$ (called a choice function) such that:*

$$\forall x \in X, g(x) \in x$$

Symmetric Difference. The symmetric difference of sets X and Y is defined as $X \triangle Y := (X \setminus Y) \cup (Y \setminus X)$. Let's verify that $X \triangle Y = (X \cup Y) \setminus (X \cap Y)$.

Proof. Let z be an arbitrary element. Then:

$$\begin{aligned} z \in X \triangle Y &\iff z \in (X \setminus Y) \cup (Y \setminus X) \\ &\iff z \in X \setminus Y \text{ or } z \in Y \setminus X \\ &\iff (z \in X \text{ and } z \notin Y) \text{ or } (z \in Y \text{ and } z \notin X) \\ &\iff z \in X \cup Y \text{ and } z \notin X \cap Y \\ &\iff z \in (X \cup Y) \setminus (X \cap Y) \end{aligned}$$

Therefore, $X \triangle Y = (X \cup Y) \setminus (X \cap Y)$. □

3.2 Mappings

Definition 3.2.1 (Mapping). *Let A and B be sets. A mapping from A to B is written as $f : A \xrightarrow{f} B$ or $A \xrightarrow{f} B$.*

In set-theoretic language, we understand a mapping $f : A \rightarrow B$ as a subset of $A \times B$, denoted Γ_f , satisfying the following condition: for each $a \in A$, the set

$$\{b \in B : (a, b) \in \Gamma_f\}$$

is a singleton, whose unique element is denoted $f(a)$ and called the image of a under f .

Definition 3.2.2 (Left and Right Inverses). *Consider a pair of mappings $A \xrightarrow{f} B \xrightarrow{g} A$. If $g \circ f = \text{id}_A$, then:*

- We call g the left inverse of f
- We call f the right inverse of g

A mapping with a left inverse (or right inverse) is called left invertible (or right invertible).

Composition of Invertible Maps. Let us show that the composition of two left (or right) invertible mappings is again left (or right) invertible.

Proof. Let $f : A \rightarrow B$ and $f' : B \rightarrow C$ be left invertible mappings. Then:

- Let g be left inverse of f , so $g \circ f = \text{id}_A$
- Let g' be left inverse of f' , so $g' \circ f' = \text{id}_B$
- Then for composition $f' \circ f$:

$$(g \circ g') \circ (f' \circ f) = g \circ (g' \circ f') \circ f = g \circ f = \text{id}_A$$

- Therefore $g \circ g'$ is a left inverse of $f' \circ f$

The proof for right invertible mappings is similar. \square

Proposition 3.2.3 (Injection and Left Inverse Equivalence). For a mapping $f : A \rightarrow B$ where A is non-empty, the following are equivalent:

1. f is injective
2. f has a left inverse
3. f satisfies the left cancellation law

Similarly, where A is non-empty, the following are equivalent:

1. f is surjective
2. f has a right inverse
3. f satisfies the right cancellation law

Proof. First, we prove the equivalence for injective properties:

(1) \Rightarrow (2): Assume f is injective. $\forall b \in \text{Im}(f), \exists a \in A, f(a) = b$. Define $g : B \rightarrow A$ by $g(b) = a$ if $b \in \text{Im}(f)$, and arbitrary otherwise. Then $g \circ f = \text{id}_A$, so g is left inverse.

(2) \Rightarrow (3): Assume $g \circ f = \text{id}_A$. If $fg_1 = fg_2$, then $g(fg_1) = g(fg_2) \Leftrightarrow (gf)g_1 = (gf)g_2 \Leftrightarrow g_1 = g_2$

(3) \Rightarrow (1): Assume left cancellation, $fg_1 = fg_2 \Rightarrow g_1 = g_2$. If $\forall a_1, a_2 \in A, f(a_1) = f(a_2)$, then $f(a_1) = f(a_2) \Rightarrow a_1 = a_2$.

The proof for surjective properties is similar. \square

Definition 3.2.4 (Invertible Mapping). A mapping f is called invertible if it is both left and right invertible. In this case, there exists a unique mapping $f^{-1} : B \rightarrow A$ such that:

$$f^{-1} \circ f = \text{id}_A \quad \text{and} \quad f \circ f^{-1} = \text{id}_B$$

This mapping f^{-1} is called the inverse of f .

Proposition 3.2.5 (Properties of Invertible Mappings). Let $f : A \rightarrow B$ be an invertible mapping. Then:

1. $f^{-1} : B \rightarrow A$ is also invertible, and $(f^{-1})^{-1} = f$
2. If $g : B \rightarrow C$ is also invertible, then the composition $g \circ f : A \rightarrow C$ is invertible, and

$$(g \circ f)^{-1} = f^{-1} \circ g^{-1}$$

Proof.

1. Since $f \circ f^{-1} = \text{id}_B$ and $f^{-1} \circ f = \text{id}_A$, f is both left and right inverse of f^{-1} , so $(f^{-1})^{-1} = f$
2. For composition:

$$(f^{-1} \circ g^{-1}) \circ (g \circ f) = f^{-1} \circ (g^{-1} \circ g) \circ f = f^{-1} \circ f = \text{id}_A$$

$$\text{Similarly, } (g \circ f) \circ (f^{-1} \circ g^{-1}) = \text{id}_C$$

□

Proposition 3.2.6 (Bijection and Invertibility). A mapping f is bijective if and only if it is invertible, in which case its inverse mapping is precisely the previously defined f^{-1} .

Proof. There are easy to prove by the proposition above.

(\Rightarrow) If f is bijective: Being injective implies f has a left inverse, Being surjective implies f has a right inverse, Therefore f is invertible.

(\Leftarrow) If f is invertible: Having left inverse implies f is injective, Having right inverse implies f is surjective, Therefore f is bijective. □

Definition 3.2.7 (Preimage). For a mapping $f : A \rightarrow B$ and $b \in B$, we denote:

$$f^{-1}(b) := f^{-1}(\{b\}) = \{a \in A : f(a) = b\}$$

❑ Remark

Note that this notation $f^{-1}(b)$ represents the preimage of b under f , which exists even when f is not invertible.

3.3 Product of Sets & Disjoint Union

Definition 3.3.1 (Generalized Cartesian Product). Using the language of mappings, we define:

$$\prod_{i \in I} A_i := \left\{ f : I \rightarrow \bigcup_{i \in I} A_i \mid \forall i \in I, f(i) \in A_i \right\}$$

Henceforth, we may write $f(i)$ as a_i , so elements of $\prod_{i \in I} A_i$ can be reasonably denoted as $(a_i)_{i \in I}$.

For any $i \in I$, there is a mapping $p_i : \prod_{j \in I} A_j \rightarrow A_i$ defined by $p_i((a_j)_{j \in I}) = a_i$, called the i -th projection.

Remark

For easy to understand, The $\prod_{i \in I} A_i$ as the three domain space, the $(a_i)_{i \in I}$ as the one point in the three domain space, the p_i as the projection from the three domain space to the one point.

Definition 3.3.2 (Disjoint Union and Partition). Let set A be the union of a family of subsets $(A_i)_{i \in I}$, and suppose these subsets are pairwise disjoint, that is:

$$\forall i, j \in I, i \neq j \Rightarrow A_i \cap A_j = \emptyset$$

In this case, we say A is the **disjoint union** of $(A_i)_{i \in I}$, or $(A_i)_{i \in I}$ is a partition of A , written as:

$$A = \bigsqcup_{i \in I} A_i$$

3.4 Structure of Order

Definition 3.4.1 (Binary Relation). A binary relation between sets A and B is any subset of $A \times B$. Let $R \subset A \times B$ be a binary relation. Then for all $a \in A$ and $b \in B$, we use the notation:

$$aRb \text{ to represent } (a, b) \in R$$

For convenience, when $A = B$, we call this a binary relation on A .

Definition 3.4.2 (Order Relations). Let \preceq be a binary relation on set A . We call \preceq a preorder and (A, \preceq) a preordered set when:

- Reflexivity: For all $a \in A$, $a \preceq a$
- Transitivity: For all $a, b, c \in A$, if $a \preceq b$ and $b \preceq c$, then $a \preceq c$

If it also satisfies:

- Antisymmetry: For all $a, b \in A$, if $a \preceq b$ and $b \preceq a$, then $a = b$

then \preceq is called a partial order and (A, \preceq) is called a **partially ordered set**.

A partially ordered set (A, \preceq) is called a totally ordered set or chain if any two elements $a, b \in A$ are comparable, that is, either $a \preceq b$ or $b \preceq a$ holds.

Definition 3.4.3 (Order-Preserving Maps). Let $f : A \rightarrow B$ be a mapping between preordered sets. Then:

- f is called order-preserving if:
 $a \preceq a' \Rightarrow f(a) \preceq f(a')$ for all $a, a' \in A$
- f is called strictly order-preserving if:
 $a \preceq a' \Leftrightarrow f(a) \preceq f(a')$ for all $a, a' \in A$

Definition 3.4.4 (Maximal, Minimal Elements and Bounds). Let (A, \preceq) be a partially ordered set.

- An element $a_{\max} \in A$ is called a maximal element of A if: there exists no $a \in A$ such that $a \succ a_{\max}$
- An element $a_{\min} \in A$ is called a minimal element of A if: there exists no $a \in A$ such that $a \prec a_{\min}$

Furthermore, let A' be a subset of A .

- An element $a \in A$ is called an upper bound of A' in A if: $\forall a' \in A', a' \preceq a$
- An element $a \in A$ is called a lower bound of A' in A if: $\forall a' \in A', a' \succeq a$

Remark

we can use the tree structure to understand the maximal, minimal elements and bounds. the partial order like the link between the nodes, the maximal, minimal elements like the root nodes and leaf nodes.

Definition 3.4.5 (Well-Ordered Set). A totally ordered set (A, \preceq) is called a well-ordered set if every non-empty subset $S \subseteq A$ has a minimal element.

3.5 Equivalence Relations and Quotient Sets

Definition 3.5.1 (Equivalence Relation). A binary relation \sim on set A is called an equivalence relation if it satisfies:

- Reflexivity: For all $a \in A$, $a \sim a$
- Symmetry: For all $a, b \in A$, if $a \sim b$ then $b \sim a$
- Transitivity: For all $a, b, c \in A$, if $a \sim b$ and $b \sim c$ then $a \sim c$

Definition 3.5.2 (Equivalence Class). Let \sim be an equivalence relation on set A . A non-empty subset $C \subset A$ is called an equivalence class if:

- Elements in C are mutually equivalent: for all $x, y \in C$, $x \sim y$
- C is closed under \sim : for all $x \in C$ and $y \in A$, if $x \sim y$ then $y \in C$

If C is an equivalence class and $a \in C$, then a is called a representative element of C .

Proposition 3.5.3 (Partition by Equivalence Classes). Let \sim be an equivalence relation on set A . Then A is the disjoint union of all its equivalence classes.

Proof. Let $\{C_i\}_{i \in I}$ be the collection of all equivalence classes of A .

1. First, $A = \bigcup_{i \in I} C_i$ since every element belongs to its equivalence class
2. For any distinct equivalence classes C_i and C_j : If $x \in C_i \cap C_j$ and $x \neq \emptyset$, then $C_i = C_j$, this is a contradiction, so $C_i \cap C_j = \emptyset$.
3. Therefore, $A = \bigsqcup_{i \in I} C_i$

□

Definition 3.5.4 (Quotient Set). Let \sim be an equivalence relation on a non-empty set A . The quotient set is defined as the following subset of the power set $\mathcal{P}(A)$:

$$A/\sim := \{C \subset A : C \text{ is an equivalence class with respect to } \sim\}$$

The quotient set comes with a quotient map $q : A \rightarrow A/\sim$ that maps each $a \in A$ to its unique equivalence class.

Remark

here we find the quotient set, we can use the boolean function symmetric for the equivalence relation, then we only travel the quotient set, which can *reduce the travel space*.

Proposition 3.5.5 (Universal Property of Quotient Maps). Let \sim be an equivalence relation on set A and $q : A \rightarrow A/\sim$ be the corresponding quotient map. If a mapping $f : A \rightarrow B$ satisfies:

$$a \sim a' \Rightarrow f(a) = f(a')$$

then there exists a unique mapping $\bar{f} : (A/\sim) \rightarrow B$ such that:

$$\bar{f} \circ q = f$$

Proof. First, \bar{f} is well-defined: for any $c \in A/\sim$. Then:

$$\bar{f}(c) := f(a), a = q^{-1}(c)$$

The proof of uniqueness: Assume \bar{f} and \bar{f}' , then $\bar{f} \circ q = \bar{f}' \circ q$, the q is surjective, so $\bar{f} = \bar{f}'$. \square

Proposition 3.5.6 (Canonical Factorization). For any mapping $f : A \rightarrow B$, define an equivalence relation \sim_f on A by:

$$a \sim_f a' \iff f(a) = f(a')$$

Then by the previous proposition, there exists a bijection:

$$\bar{f} : (A/\sim_f) \xrightarrow{1:1} \text{im}(f)$$

Proof. Let $q : A \rightarrow A/\sim_f$ be the quotient map. By the universal property:

1. Well-defined: If $[a] = [a']$, then $a \sim_f a'$, so $f(a) = f(a')$
2. Injective: If $\bar{f}([a]) = \bar{f}([a'])$, then $f(a) = f(a')$, so $a \sim_f a'$, thus $[a] = [a']$
3. Surjective: For any $b \in \text{im}(f)$, there exists $a \in A$ with $f(a) = b$, so $\bar{f}([a]) = b$

Therefore, \bar{f} is a bijection between A/\sim_f and $\text{im}(f)$. \square

3.6 Positive Integer to Rational Number

Definition 3.6.1 (Integers as Quotient Set). *The set of integers \mathbb{Z} is defined as the quotient set of $\mathbb{Z}_{\geq 0}^2$ under \sim . We temporarily denote the equivalence class containing (m, n) in $\mathbb{Z}_{\geq 0}^2$ as $[m, n]$.*

 **Remark**

the \sim relation is defined as $(m, n) \sim (m', n') \iff m + n' = m' + n \iff m - n = m' - n'$.

Definition 3.6.2 (Operations on Integer Equivalence Classes). *For any elements $[m, n]$ and $[r, s]$ in \mathbb{Z} , define:*

$$\begin{aligned}[m, n] + [r, s] &:= [m + r, n + s] \\ [m, n] \cdot [r, s] &:= [mr + ns, nr + ms]\end{aligned}$$

By convention, multiplication $x \cdot y$ is often written simply as xy .

Definition 3.6.3 (Total Order on Integers). *Define a total order \leq on \mathbb{Z} by:*

$$x \leq y \iff y - x \in \mathbb{Z}_{\geq 0}$$

Definition 3.6.4 (Rational Numbers). *Define the set of rational numbers \mathbb{Q} as the quotient set of $\mathbb{Z} \times (\mathbb{Z} \setminus \{0\})$ under the equivalence relation:*

$$(r, s) \sim (r', s') \iff rs' = r's$$

We temporarily denote the equivalence class containing (r, s) as $[r, s]$. Through the mapping $x \mapsto [x, 1]$, we view \mathbb{Z} as a subset of \mathbb{Q} .

Definition 3.6.5 (Total Order and Absolute Value on \mathbb{Q}). *Define a total order on \mathbb{Q} by:*

$$\begin{aligned}[r, s] \geq 0 &\iff rs \geq 0 \\ x \geq y &\iff x - y \geq 0\end{aligned}$$

For any $x \in \mathbb{Q}$, its absolute value $|x|$ is defined as:

$$|x| = \begin{cases} x & \text{if } x \geq 0 \\ -x & \text{if } x < 0 \end{cases}$$

Proposition 3.6.6 (Multiplicative Inverses in \mathbb{Q}). *Let $\mathbb{Q}^\times := \mathbb{Q} \setminus \{0\}$. For any $x \in \mathbb{Q}^\times$, there exists a unique $x^{-1} \in \mathbb{Q}^\times$ such that $xx^{-1} = 1$.*

Proof. For $x = [r, s] \in \mathbb{Q}^\times$, define $x^{-1} = [s, r]$ when $r > 0$ and $x^{-1} = [-s, -r]$ when $r < 0$. Then $xx^{-1} = 1$ and the uniqueness, here have the x', x'' , then $x'x = 1 = x''x$, the x have the right inverse, so $x' = x''$. \square

3.7 Arithmetical

Definition 3.7.1 (Integer Multiples and Divisibility). For any $x \in \mathbb{Z}$, define:

$$x\mathbb{Z} := \{xd : d \in \mathbb{Z}\}$$

which consists of all multiples of x .

For $x, y \in \mathbb{Z}$:

- We say x divides y , written $x|y$, if $y \in x\mathbb{Z}$
- Otherwise, we write $x \nmid y$
- When $x|y$, we call x a factor or divisor of y

Proposition 3.7.2 (Division Algorithm). For any integers $a, d \in \mathbb{Z}$ where $d \neq 0$, there exist unique integers $q, r \in \mathbb{Z}$ such that:

$$\begin{aligned} a &= dq + r \\ 0 \leq r &< |d| \end{aligned}$$

Proof. **Existence:** $\forall a, d, \exists q \in \mathbb{Z}$, let exist $r = a - dq$ (here can use the modular equivalence relation), and $0 \leq r < |d|$.

Uniqueness: Suppose $a = dq_1 + r_1 = dq_2 + r_2$ with $0 \leq r_1, r_2 < |d|$

- Then $d(q_1 - q_2) = r_2 - r_1$
- $|r_2 - r_1| < |d|$
- Therefore $q_1 = q_2$ and $r_1 = r_2$

□

Lemma 3.7.3 (Generator of Integer Ideals). Let I be a non-empty subset of \mathbb{Z} satisfying:

1. If $x, y \in I$, then $x + y \in I$
2. If $a \in \mathbb{Z}$ and $x \in I$, then $ax \in I$

Then there exists a unique $g \in \mathbb{Z}_{\geq 0}$ such that $I = g\mathbb{Z}$.

Proof. If $I = \{0\}$, take $g = 0$. Otherwise, let g be the smallest positive element in I .

For any $x \in I$, by division algorithm:

$$x = gq + r \text{ where } 0 \leq r < g$$

Then $r = x - gq \in I$ by properties of I . By minimality of g , we must have $r = 0$. Therefore $x \in g\mathbb{Z}$, so $I \subseteq g\mathbb{Z}$.

Since $g \in I$, we have $g\mathbb{Z} \subseteq I$. Thus $I = g\mathbb{Z}$.

Uniqueness follows from the fact that g must be the smallest positive element in I . □

Definition 3.7.4 (Greatest Common Divisor). For any integers $a, b \in \mathbb{Z}$, the greatest common divisor of a and b , denoted $\gcd(a, b)$, is the unique positive integer d such that:

- $d | a$ and $d | b$
- For any $d' \in \mathbb{Z}$, if $d' | a$ and $d' | b$, then $d' | d$

Note

For the GCD, we use the Lemma 3.7.3 to see. If $d \mid a$, then $a \in d\mathbb{Z}$, and $d \mid b$, then $b \in d\mathbb{Z}$, we know a, b are in the **many integer generators**. So, the GCD is to find the greatest common generator of the a, b .

Proposition 3.7.5 (Bézout's Identity). *For integers x_1, \dots, x_n :*

$$\mathbb{Z}x_1 + \dots + \mathbb{Z}x_n = \gcd(x_1, \dots, x_n)\mathbb{Z}$$

Consequently, x_1, \dots, x_n are coprime if and only if there exist $a_1, \dots, a_n \in \mathbb{Z}$ such that:

$$a_1x_1 + \dots + a_nx_n = 1$$

Proof. We proceed by induction on n .

For $n = 2$: Let $d = \gcd(x_1, x_2)$. By Euclidean algorithm, there exist $a_1, a_2 \in \mathbb{Z}$ such that:

$$d = a_1x_1 + a_2x_2 \in \mathbb{Z}x_1 + \mathbb{Z}x_2$$

Therefore $d\mathbb{Z} \subseteq \mathbb{Z}x_1 + \mathbb{Z}x_2$.

Conversely, since $d|x_1$ and $d|x_2$, we have $\mathbb{Z}x_1 + \mathbb{Z}x_2 \subseteq d\mathbb{Z}$.

For $n > 2$: Let $g = \gcd(x_1, \dots, x_{n-1})$. By induction:

$$\mathbb{Z}x_1 + \dots + \mathbb{Z}x_{n-1} = g\mathbb{Z}$$

Then:

$$\mathbb{Z}x_1 + \dots + \mathbb{Z}x_n = g\mathbb{Z} + \mathbb{Z}x_n = \gcd(g, x_n)\mathbb{Z} = \gcd(x_1, \dots, x_n)\mathbb{Z}$$

The corollary follows directly since $\gcd(x_1, \dots, x_n) = 1$ if and only if they are coprime. \square

Definition 3.7.6 (Prime Numbers). Let $p \in \mathbb{Z} \setminus \{0, \pm 1\}$. We say p is a prime element if its only divisors are ± 1 and $\pm p$. A positive prime element is called a prime number.

Proposition 3.7.7 (Euclid's Lemma). Let p be a prime element. If $a, b \in \mathbb{Z}$ such that $p|ab$, then either $p|a$ or $p|b$.

Proof. If $p \nmid a$, then $\gcd(p, a) = 1$ since p is prime. By the previous proposition, there exist $x, y \in \mathbb{Z}$ such that:

$$px + ay = 1$$

Multiply both sides by b :

$$\begin{aligned} pxb + aby &= b \\ pbx + aby &= b \end{aligned}$$

Since $p|ab$, $pbx + aby \in p\mathbb{Z}$, so $b \in p\mathbb{Z}$ $p|b$. \square

Theorem 3.7.8 (Fundamental Theorem of Arithmetic). Every non-zero integer $n \in \mathbb{Z}$ has a prime factorization:

$$n = \pm p_1^{a_1} \cdots p_r^{a_r}$$

where $r \in \mathbb{Z}_{\geq 0}$ (with the convention that the right side equals ± 1 when $r = 0$), p_1, \dots, p_r are distinct prime numbers, $a_1, \dots, a_r \in \mathbb{Z}_{\geq 1}$, and this factorization is unique up to ordering.

Proof. **Existence:** By induction on $|n|$

- Base case: When $|n| = 1$, take $r = 0$
- For $|n| > 1$: Let p be the smallest prime divisor of n
- Then $n = pm$ where $|m| < |n|$
- By induction, m has prime factorization
- Combine p with m 's factorization

Uniqueness: Suppose we have two factorizations:

$$p_1^{a_1} \cdots p_r^{a_r} = q_1^{b_1} \cdots q_s^{b_s}$$

- By Euclid's lemma, p_1 divides some q_i
- Since both are prime, $p_1 = q_i$
- Cancel and continue by induction
- Therefore $r = s$ and factorizations are same up to ordering

□

❑ Remark

For a prime number p , we use the notation $p^a \parallel n$ to indicate that $p^a \mid n$ but $p^{a+1} \nmid n$ (i.e., p^a is the exact power of p dividing n).

Corollary 3.7.8.1. Consider integers $n = \pm \prod_{i=1}^r p_i^{a_i}$ and $m = \pm \prod_{i=1}^r p_i^{b_i}$, where p_1, \dots, p_r are distinct primes and $a_i, b_i \in \mathbb{Z}_{\geq 0}$. Then:

$$\gcd(n, m) = \prod_{i=1}^r p_i^{\min\{a_i, b_i\}}, \quad \text{lcm}(n, m) = \prod_{i=1}^r p_i^{\max\{a_i, b_i\}}$$

Similar results hold for GCD and LCM of any number of positive integers.

Theorem 3.7.9 (Euclid). There are infinitely many prime numbers.

Proof. Let p_1, \dots, p_n be any finite collection of primes. Consider $N = p_1 \cdots p_n + 1$. Any prime factor p of N must be different from all p_i (since dividing N by any p_i leaves remainder 1). Therefore, no finite collection can contain all primes. □

3.8 Congruence Relation

Definition 3.8.1 (Congruence Relation). Let $N \in \mathbb{Z}$. Two integers $a, b \in \mathbb{Z}$ are called congruent modulo N if $N|(a - b)$. This relation is written as:

$$a \equiv b \pmod{N}$$

Definition 3.8.2 (Congruence Classes). For a fixed $N \in \mathbb{Z}$, we denote the quotient set of \mathbb{Z} under the equivalence relation modulo N as $\mathbb{Z}/N\mathbb{Z}$, or abbreviated as \mathbb{Z}/N . The equivalence classes are called congruence classes modulo N .

Proposition 3.8.3 (Multiplicative Inverses Modulo N). Let $N \in \mathbb{Z}_{\geq 1}$. For any $x \in \mathbb{Z}$:

$$(\exists y \in \mathbb{Z}, xy \equiv 1 \pmod{N}) \iff \gcd(N, x) = 1$$

Proof. (\Rightarrow) If $xy \equiv 1 \pmod{N}$, then $xy = kN + 1$ for some $k \in \mathbb{Z}$. Therefore $xy - kN = 1$, showing $\gcd(N, x) = 1$ by Bézout's identity.

(\Leftarrow) If $\gcd(N, x) = 1$, then by Bézout's identity: $\exists y, k \in \mathbb{Z}$ such that $xy + kN = 1$. Therefore $xy \equiv 1 \pmod{N}$. \square

Theorem 3.8.4 (Fermat's Little Theorem). Let p be a prime number. Then for all $x \in \mathbb{Z}$:

$$\gcd(p, x) = 1 \Rightarrow x^{p-1} \equiv 1 \pmod{p}$$

Consequently, for all $x \in \mathbb{Z}$:

$$x^p \equiv x \pmod{p}$$

Proof. Consider the sequence $x, 2x, \dots, (p-1)x \pmod{p}$. When $\gcd(p, x) = 1$, then by the previous proposition, $\exists y, xy \equiv 1 \pmod{p}$, then $xy, 2xy, \dots, (p-1)xy \pmod{p}$, these are all distinct and nonzero modulo p , thus they also mean for the $x, 2x, \dots, (p-1)x \pmod{p}$. Their product is congruent to $(p-1)! \cdot x^{p-1}$. Therefore $(p-1)! \cdot x^{p-1} \equiv (p-1)! \pmod{p}$. Since $\gcd(p, (p-1)!) = 1$, we can cancel to get $x^{p-1} \equiv 1 \pmod{p}$ by the previous proposition to reduce the $(p-1)!$. \square

Definition 3.8.5 (Euler's Totient Function). For $n \in \mathbb{Z}_{\geq 1}$, define $\varphi(n)$ as the number of positive integers not exceeding n that are coprime to n .

3.9 Radix

Definition 3.9.1 (Equipotent Sets). Two sets A and B are called equipotent (or have the same cardinality) if there exists a bijection $f : A \xrightarrow{1:1} B$. We denote this as $|A| = |B|$.

Definition 3.9.2 (Cardinality Comparison). For sets A and B , if there exists an injection $f : A \hookrightarrow B$, we write $|A| \leq |B|$. We write $|A| < |B|$ when $|A| \leq |B|$ but $|A| \neq |B|$.

Proposition 3.9.3 (Pigeonhole Principle). Let A and B be finite sets with the same cardinality. Then any injection (or surjection) $f : A \rightarrow B$ is automatically a bijection.

Proposition 3.9.4 (Characterization of Infinite Sets). A set A is infinite if and only if there exists an injection $\mathbb{Z}_{\geq 0} \hookrightarrow A$.

Proof. (\Rightarrow) If A is infinite, by axiom of choice we can construct an injection.

(\Leftarrow) If such injection exists, then $|A| \geq |\mathbb{Z}_{\geq 0}|$, so A must be infinite. \square

Definition 3.9.5 (Countable Sets). Let $\aleph_0 := |\mathbb{Z}_{\geq 0}|$. A set A is called countable (or enumerable) if $|A| = \aleph_0$. A set A is called at most countable if $|A| \leq \aleph_0$, meaning A is either finite or countable.

Proposition 3.9.6 (Countability Properties). The union and product of finitely many countable sets are countable. That is, if A_1, \dots, A_n are countable sets, then:

1. $\bigcup_{i=1}^n A_i$ is countable
2. $\prod_{i=1}^n A_i$ is countable

Proof. For union: Let $f_i : \mathbb{Z}_{\geq 0} \rightarrow A_i$ be bijections. Define $f : \mathbb{Z}_{\geq 0} \rightarrow \bigcup_{i=1}^n A_i$ by:

$$f(k) = f_{i(m)} \text{ where } k = in + m, 0 \leq m < n$$

This is surjective as each element appears in some A_i .

For product: Let $g_i : \mathbb{Z}_{\geq 0} \rightarrow A_i$ be bijections. Use Cantor's pairing function to construct bijection:

$$g : \mathbb{Z}_{\geq 0} \rightarrow \prod_{i=1}^n A_i$$

given by $g(k) = (g_1(k_1), \dots, g_n(k_n))$ where k_i are obtained from k by repeated pairing. \square

Theorem 3.9.7 (Cantor's Theorem). For any set A :

$$2^{|A|} = |\mathcal{P}(A)| > |A|$$

where $\mathcal{P}(A)$ is the power set of A .

4 RING, FIELD AND POLYNOMIAL

4.1 Ring & Field

Definition 4.1.1 (Ring). A ring is a tuple $(R, +, \cdot, 0_R, 1_R)$ where R is a set, $0_R, 1_R \in R$, and $+ : R \times R \rightarrow R$ and $\cdot : R \times R \rightarrow R$ are binary operations satisfying:

1. Addition satisfies:
 - Associativity: $(x + y) + z = x + (y + z)$
 - Identity: $x + 0_R = x = 0_R + x$
 - Commutativity: $x + y = y + x$
 - Inverse: For all x there exists $-x$ with $x + (-x) = 0_R$
2. Multiplication (written as xy for $x \cdot y$) satisfies:
 - Associativity: $(xy)z = x(yz)$
 - Identity: $x \cdot 1_R = x = 1_R \cdot x$
3. Distributive Laws:
 - $(x + y)z = xz + yz$
 - $z(x + y) = zx + zy$

Where x, y, z represent arbitrary elements of R . When no confusion arises, we write $0_R, 1_R$ as $0, 1$ and denote the ring by R . We write $x + (-y)$ as $x - y$.

Definition 4.1.2 (Subring). Let R be a ring. A subset $R_0 \subseteq R$ containing $0_R, 1_R$ is called a subring of R if it is closed under:

- Addition: $x, y \in R_0 \Rightarrow x + y \in R_0$
- Multiplication: $x, y \in R_0 \Rightarrow xy \in R_0$
- Additive inverse: $x \in R_0 \Rightarrow -x \in R_0$

Then $(R_0, +, \cdot, 0_R, 1_R)$ forms a ring.

Definition 4.1.3 (Ring Invertibility). Let x be an element of a ring R .

- If there exists $y \in R$ such that $xy = 1$ (resp. $yx = 1$), then y is called a right inverse (resp. left inverse) of x
- x is called right invertible (resp. left invertible) if it has a right (resp. left) inverse
- x is called invertible if it has both left and right inverses

The set of invertible elements in R is denoted by R^\times .

Proposition 4.1.4 (Uniqueness of Ring Inverses). *If an element x in a ring R is invertible, then:*

1. *Its left inverse is also its right inverse*
2. *There exists a unique $x^{-1} \in R$ such that $x^{-1}x = 1 = xx^{-1}$*
3. $(x^{-1})^{-1} = x$

Proof. Let y be a left inverse and z a right inverse of x . Then $y = y(xz) = (yx)z = z$. Therefore, $y = z = x^{-1}$ is the unique two-sided inverse. Clearly $(x^{-1})^{-1} = x$ by definition. \square

Definition 4.1.5 (Commutative Ring). *A ring R is called commutative if its multiplication is commutative, i.e.,*

$$xy = yx \text{ for all } x, y \in R$$

Definition 4.1.6 (Division Ring and Field). *A ring R is called a division ring if $R^\times = R \setminus \{0\}$ (i.e., every non-zero element is invertible). A commutative division ring is called a field. A subring of a field that is itself a field is called a subfield.*

Definition 4.1.7 (Integral Domain). *A non-zero commutative ring R is called an integral domain if for all $x, y \in R$:*

$$x, y \neq 0 \Rightarrow xy \neq 0$$

4.2 Homomorphism & Isomorphism

Definition 4.2.1 (Ring Homomorphism). *Let $f : R \rightarrow R'$ be a mapping between rings. We call f a ring homomorphism if:*

- $f(x + y) = f(x) + f(y)$
- $f(xy) = f(x)f(y)$
- $f(1_R) = 1_{R'}$

for all $x, y \in R$. A homomorphism from a ring to itself is called an endomorphism.

Definition 4.2.2 (Ring Isomorphism). *Let $f : R \rightarrow R'$ be a ring homomorphism. We call f a ring isomorphism if there exists a ring homomorphism $g : R' \rightarrow R$ such that:*

$$g \circ f = \text{id}_R \text{ and } f \circ g = \text{id}_{R'}$$

In this case, g is called the inverse of f , and we say R and R' are isomorphic.

Proposition 4.2.3. *If $f : R \rightarrow R'$ is a ring homomorphism that is bijective as a set mapping, then f is a ring isomorphism.*

Proof. Let $g : R' \rightarrow R$ be the inverse of f as a set mapping. We need to show g is a ring homomorphism:

- For addition: $g(x' + y') = g(f(g(x')) + f(g(y'))) = g(x') + g(y')$
- For multiplication: $g(x'y') = g(f(g(x'))f(g(y'))) = g(x')g(y')$
- For identity: $g(1_{R'}) = g(f(1_R)) = 1_R$

Therefore g is a ring homomorphism and f is an isomorphism. \square

Proposition 4.2.4 (Chinese Remainder Theorem - Ring Version). Let $N \in \mathbb{Z}_{\geq 1}$ factor as $N = n_1 \cdots n_k$ where n_1, \dots, n_k are pairwise coprime. Then there exists a ring isomorphism:

$$\varphi : \mathbb{Z}/N\mathbb{Z} \xrightarrow{\sim} \prod_{i=1}^k \mathbb{Z}/n_i\mathbb{Z}$$

given by $[x]_N \mapsto ([x]_{n_i})_{i=1}^k$

Proof.

1. **Well-defined:** If $x \equiv y \pmod{N}$, then $x \equiv y \pmod{n_i}$ for all i
2. **Ring homomorphism:**
 - $\varphi([x]_N + [y]_N) = \varphi([x+y]_N) = ([x+y]_{n_i}) = ([x]_{n_i} + [y]_{n_i})$
 - $\varphi([x]_{N[y]_N}) = \varphi([xy]_N) = ([xy]_{n_i}) = ([x]_{n_i}[y]_{n_i})$
3. **Injective:** If $\varphi([x]_N) = \varphi([y]_N)$, then $x \equiv y \pmod{n_i}$ for all i . Since n_i are coprime, $x \equiv y \pmod{N}$
4. **Surjective:** Given $([a_i]_{n_i})$, by CRT there exists x with $x \equiv a_i \pmod{n_i}$. Then $\varphi([x]_N) = ([a_i]_{n_i})$

□

Application of Chinese Remainder Theorem. Find x satisfying the system of congruences:

$$\begin{aligned} x &\equiv 2 \pmod{3} \\ x &\equiv 3 \pmod{5} \\ x &\equiv 2 \pmod{7} \end{aligned}$$

Solution:

1. $N = 3 \cdot 5 \cdot 7 = 105$
2. Find M_i :
 - $M_1 = 35$ (for mod 3)
 - $M_2 = 21$ (for mod 5)
 - $M_3 = 15$ (for mod 7)
3. Find y_i where $M_i y_i \equiv 1 \pmod{n_i}$:
 - $35y_1 \equiv 1 \pmod{3} \Rightarrow y_1 = 2$
 - $21y_2 \equiv 1 \pmod{5} \Rightarrow y_2 = 1$
 - $15y_3 \equiv 1 \pmod{7} \Rightarrow y_3 = 1$
4. $x = (2 \cdot 35 \cdot 2 + 3 \cdot 21 \cdot 1 + 2 \cdot 15 \cdot 1) \pmod{105} = 23$

Verify: $23 \equiv 2 \pmod{3}$, $23 \equiv 3 \pmod{5}$, $23 \equiv 2 \pmod{7}$

4.3 Polynomial Ring

Definition 4.3.1 (Polynomial Ring). Let R be a non-zero ring. A polynomial in variable X with coefficients in R is defined as a formal sum:

$$f = \sum_{n \geq 0} a_n X^n, \quad a_n \in R$$

where only finitely many a_n are non-zero. Terms with $a_n = 0$ may be omitted. When emphasis on the variable is needed, we write $f(X)$. The set of all such polynomials is denoted $R[X]$.

Definition 4.3.2 (Operations on Polynomials). Addition of polynomials is defined term by term:

$$\sum_{n \geq 0} a_n X^n + \sum_{n \geq 0} b_n X^n := \sum_{n \geq 0} (a_n + b_n) X^n$$

Multiplication is defined by convolution:

$$\left(\sum_{n \geq 0} a_n X^n \right) \cdot \left(\sum_{n \geq 0} b_n X^n \right) := \sum_{n \geq 0} \left(\sum_{h+k=n} a_h b_k \right) X^n$$

Proposition 4.3.3 (Ring Structure of Polynomials). With the above operations, $R[X]$ forms a ring where:

1. The zero polynomial is $0_{R[X]}$
2. The unit polynomial is the constant polynomial $1_{R[X]}$ corresponding to 1_R
3. R embeds as a subring of $R[X]$
4. If R is commutative, then $R[X]$ is also commutative

Lemma 4.3.4 (Degree Properties in Integral Domains). Let R be an integral domain. Then for all non-zero $f, g \in R[X]$:

$$\deg(fg) = \deg f + \deg g$$

Consequently:

1. $R[X]$ is also an integral domain
2. $R[X]^\times = R^\times$

Definition 4.3.5 (Homogeneous Polynomials). Let $f = \sum_{a_1, \dots, a_n \geq 0} c_{a_1, \dots, a_n} X_1^{a_1} \cdots X_n^{a_n}$ be an element of $R[X_1, \dots, X_n]$.

f is called homogeneous of degree N if there exists $N \in \mathbb{Z}_{\geq 0}$ such that $c_{a_1, \dots, a_n} \neq 0$ implies $a_1 + \cdots + a_n = N$.

Remark

This concept extends naturally to polynomial rings with infinitely many variables, as they can be written as unions of subrings with finitely many variables.

Definition 4.3.6 (Polynomial Composition). Let R be a commutative ring. For $n, m \in \mathbb{Z}_{\geq 1}$, given:

$$f = \sum_{a_1, \dots, a_n \geq 0} c_{a_1, \dots, a_n} X_1^{a_1} \cdots X_n^{a_n} \in R[X_1, \dots, X_n]$$

and $g_1, \dots, g_n \in R[Y_1, \dots, Y_m]$

Let $g := (g_1, \dots, g_n) \in R[Y_1, \dots, Y_m]^n$. The composition is defined as:

$$f \circ g := \sum_{a_1, \dots, a_n \geq 0} c_{a_1, \dots, a_n} g_1^{a_1} \cdots g_n^{a_n} \in R[Y_1, \dots, Y_m]$$

Proposition 4.3.7 (Polynomial Ring Isomorphisms). *For any ring R , there exists a natural ring isomorphism:*

$$R[X, Y] \simeq (R[X])[Y]$$

More generally, for any $n \geq 2$, there are ring isomorphisms:

$$R[X_1, \dots, X_n] \simeq R[X_1, \dots, X_{n-1}][X_n] \simeq \cdots \simeq R[X_1] \cdots [X_n]$$

Proof. Let's prove for $R[X, Y] \simeq (R[X])[Y]$. Define:

$$\varphi : R[X, Y] \rightarrow (R[X])[Y]$$

by sending $\sum_{i,j} a_{ij} X^i Y^j$ to $\sum_j (\sum_i a_{ij} X^i) Y^j$

1. Ring homomorphism:

- Addition: Clear from coefficients reorganization
- Multiplication: Terms with same total degree in Y combine

2. Bijective:

- Injective: Different polynomials map to different arrangements
- Surjective: Any element in $(R[X])[Y]$ comes from rearranging terms

The general case follows by induction on n . □

Corollary 4.3.7.1. *If R is an integral domain, then any polynomial ring $R[X, Y, \dots]$ with any number of variables is also an integral domain, and $R[X, Y, \dots]^\times = R^\times$.*

Proof. By induction on the number of variables:

1. Base case: For $R[X]$, already proved in Lemma 4.3.4
2. Inductive step: Assume true for n variables
3. For $n + 1$ variables, use isomorphism:

$$R[X_1, \dots, X_{n+1}] \simeq (R[X_1, \dots, X_n])[X_{n+1}]$$

4. By induction hypothesis, $R[X_1, \dots, X_n]$ is an integral domain
5. Apply one-variable case to get result

□

Proposition 4.3.8 (Polynomial Division Algorithm). *For any polynomials $a, d \in F[X]$ where $d \neq 0$, there exist unique polynomials $q, r \in F[X]$ such that:*

$$a = dq + r \quad \text{with} \quad \deg(r) < \deg(d)$$

where we define $\deg(0) := -\infty$.

Proof. **Existence:** By induction on $\deg(a)$

- If $\deg(a) < \deg(d)$: Take $q = 0, r = a$
- If $\deg(a) \geq \deg(d)$:
 - Let $c = \frac{\text{lcf}(a)}{\text{lcf}(d)}$ and $n = \deg(a) - \deg(d)$
 - Set $a_1 = a - dcX^n$
 - Note $\deg(a_1) < \deg(a)$

- By induction: $a_1 = dq_1 + r$
- Then $a = d(q_1 + cX^n) + r$

Uniqueness: If $a = dq_1 + r_1 = dq_2 + r_2$, then:

- $d(q_1 - q_2) = r_2 - r_1$
- If $q_1 \neq q_2$, then $\deg(r_2 - r_1) \geq \deg(d)$
- But $\deg(r_2 - r_1) < \deg(d)$
- Therefore $q_1 = q_2$ and $r_1 = r_2$

□

Definition 4.3.9 (Root of Polynomial). Let $f \in F[X]$ and $a \in F$. We say a is a root of f if $f(a) = 0$.

More generally, for a commutative ring R , if $f \in R[X]$ and $a \in R$ satisfy $f(a) = 0$, then a is called a root of f , or more precisely, a root of f in R .

Proposition 4.3.10 (Bound on Number of Roots). Let $f \in F[X] \setminus \{0\}$. Then f has at most $\deg f$ distinct roots in F .

Proof. By induction on $\deg f$:

- Base case: If $\deg f = 0$, then f is constant and non-zero, so has no roots
- Inductive step: Let a be a root of f
 - Then $X - a$ divides f , so $f = (X - a)g$ for some g
 - $\deg g = \deg f - 1$
 - Any root of f different from a must be a root of g
 - By induction, g has at most $\deg g$ distinct roots
 - Therefore f has at most $1 + \deg g = \deg f$ distinct roots

□

4.4 Fractional Field to Rational Function Field

Definition 4.4.1 (Rational Functions). A rational function over R is defined as a quotient $\frac{f}{g}$ where:

- $f, g \in R[X]$
- g is not the zero polynomial (i.e., $g \neq 0_{R[X]}$)

Proposition 4.4.2 (Fraction Field). Let R be an integral domain. Then:

1. $\text{Frac}(R)$ forms a field under the given operations
2. The map $f \mapsto [f, 1]$ embeds R as a subring of $\text{Frac}(R)$

Proposition 4.4.3 (Universal Property of Fraction Field (MORE)). Let R be an integral domain, R' a commutative ring, and $\varphi : R \rightarrow R'$ a ring homomorphism such that $\varphi(R \setminus \{0\}) \subset (R')^\times$. Then there exists a unique ring homomorphism $\Phi : \text{Frac}(R) \rightarrow R'$ making the following diagram commute:

$$\begin{array}{ccc}
 & \varphi & \\
 R & \xrightarrow{\quad} & R' \\
 \downarrow \iota & & \searrow \Phi \\
 \text{Frac}(R) & &
 \end{array}$$

Explicitly, $\Phi(f/g) = \varphi(f)\varphi(g)^{-1}$.

Corollary 4.4.3.1. Let F be a field containing an integral domain R as a subring. If every element of F can be expressed as fg^{-1} where $f, g \in R$ and $g \neq 0$, then there exists a unique ring isomorphism $\Phi : \text{Frac}(R) \rightarrow F$ making the following diagram commute:

$$\begin{array}{ccc}
 & \text{inclusion} & \\
 R & \xrightarrow{\quad} & F \\
 \downarrow \iota & & \searrow \tilde{\Phi} \\
 \text{Frac}(R) & &
 \end{array}$$

Proof. By universal property, there exists unique $\Phi : \text{Frac}(R) \rightarrow F$.

- Injective: As composition of non-zero elements remains non-zero
- Surjective: Every element in F has form fg^{-1} with $f, g \in R$
- Therefore Φ is an isomorphism

□

Definition 4.4.4 (Field of Rational Functions). Let F be a field. The field of fractions of $F[X, Y, \dots]$ is called the field of rational functions in variables X, Y, \dots , denoted $F(X, Y, \dots)$. It contains $F[X, Y, \dots]$ as a subring.

Elements of $F(X, Y, \dots)$ are called rational functions in variables X, Y, \dots with coefficients in F .

Definition 4.4.5 (Degree of Rational Functions). Let F be a field. For any $h = \frac{f}{g} \in F(X)$ where $h \neq 0$, define:

$$\deg h := \deg f - \deg g$$

Additionally, define $\deg(0) := -\infty$.

Corollary 4.4.5.1 (Bound on Roots in Integral Domain). Let R be an integral domain and $f \in R[X] \setminus \{0\}$. Then f has at most $\deg f$ distinct roots in R .

Proof. Let $F = \text{Frac}(R)$ be the fraction field of R . Since R is a subring of F , any root of f in R is also a root in F . By the previous proposition, f has at most $\deg f$ distinct roots in F . Therefore, f has at most $\deg f$ distinct roots in R . □

Proposition 4.4.6 (Function Evaluation Map). Let R be an integral domain and $n \in \mathbb{Z}_{\geq 1}$. The function evaluation map:

$$\text{Fcn} : R[X_1, \dots, X_n] \rightarrow \{\text{functions } R^n \rightarrow R\}$$

is injective if and only if R has infinitely many elements.

Theorem 4.4.7 (Extension Principle for Algebraic Equations). Let R be an infinite integral domain, $f, g_1, \dots, g_m \in R[X_1, \dots, X_n]$, where g_1, \dots, g_m are non-zero. If for all $(x_1, \dots, x_n) \in R^n$:

$$(g_1(x_1, \dots, x_n) \neq 0 \wedge \dots \wedge g_m(x_1, \dots, x_n) \neq 0) \Rightarrow f(x_1, \dots, x_n) = 0$$

then $f = 0$.

Proof. By contradiction, assume $f \neq 0$.

1. Let $U = \{x \in R^n : g_1(x) \neq 0, \dots, g_m(x) \neq 0\}$
2. Since R is infinite and g_i are non-zero, U is non-empty
3. By hypothesis, f vanishes on U
4. But $f \neq 0$ implies f can only vanish at finitely many points
5. This contradicts R being infinite

Therefore $f = 0$. □

4.5 Monoid Group

Definition 4.5.1 (Monoid). We say that $(S, *)$ is a monoid if the binary operation satisfies the associative law and has an identity element. That is,

$$\forall x, y, z \in S, \quad x * (y * z) = (x * y) * z$$

and

$$\exists e \in S, \forall x \in S, \quad e * x = x * e = x$$

Definition 4.5.2 (Commutative Monoid). We say that $(S, *)$ is a commutative monoid if it is a monoid and the operation satisfies the commutative law. That is,

$$\forall x, y \in S, \quad x * y = y * x$$

Proposition 4.5.3 (Uniqueness of Identity Element). Let (S, \cdot) be a monoid. Then the identity element is unique.

Proof. Suppose that e and e' are both identity elements of S . Then

$$e = e \cdot e' = e'$$

so $e = e'$. □

Proposition 4.5.4 (Expansion of Associative Law). Let $x_1, \dots, x_n, y_1, \dots, y_m \in S$. Then

$$x_1 \cdot x_2 \cdot \dots \cdot x_n \cdot y_1 \cdot y_2 \cdot \dots \cdot y_m = (x_1 \cdot x_2 \cdot \dots \cdot x_n) \cdot (y_1 \cdot y_2 \cdot \dots \cdot y_m)$$

Proof. We prove this by induction on n .

Base Case ($n = 1$): When $n = 1$, the statement simplifies to:

$$x_1 \cdot y_1 \cdot y_2 \cdot \dots \cdot y_m = x_1 \cdot (y_1 \cdot y_2 \cdot \dots \cdot y_m)$$

This is clearly true by the associative property of multiplication.

Inductive Step: Assume the statement holds for $n = k$, that is:

$$x_1 \cdot x_2 \cdot \dots \cdot x_k \cdot y_1 \cdot y_2 \cdot \dots \cdot y_m = (x_1 \cdot x_2 \cdot \dots \cdot x_k) \cdot (y_1 \cdot y_2 \cdot \dots \cdot y_m)$$

We need to show that the statement holds for $n = k + 1$. Consider:

$$x_1 \cdot x_2 \cdot \dots \cdot x_k \cdot x_{k+1} \cdot y_1 \cdot y_2 \cdot \dots \cdot y_m$$

By the associative property, we can regroup the terms as:

$$(x_1 \cdot x_2 \cdot \dots \cdot x_k) \cdot (x_{k+1} \cdot y_1 \cdot y_2 \cdot \dots \cdot y_m)$$

Using the inductive hypothesis on the first k terms, we have:

$$(x_1 \cdot x_2 \cdot \dots \cdot x_k) \cdot x_{k+1} \cdot (y_1 \cdot y_2 \cdot \dots \cdot y_m) = (x_1 \cdot x_2 \cdot \dots \cdot x_k \cdot x_{k+1}) \cdot (y_1 \cdot y_2 \cdot \dots \cdot y_m)$$

Thus, the statement holds for $n = k + 1$. \square

Proposition 4.5.5. Let $x \in S$ and $m, n \in \mathbb{N}$. Then

$$x^{m+n} = x^m \cdot x^n$$

Proof. We will prove this in three steps:

Step 1: First, recall from Proposition 4.5.4 that for any elements in S :

$$x_1 \cdot x_2 \cdot \dots \cdot x_n \cdot y_1 \cdot y_2 \cdot \dots \cdot y_m = (x_1 \cdot x_2 \cdot \dots \cdot x_n) \cdot (y_1 \cdot y_2 \cdot \dots \cdot y_m)$$

Step 2: Now, consider the special case where all elements are equal to x :

- Let $x_1 = x_2 = \dots = x_m = x$
- Let $y_1 = y_2 = \dots = y_n = x$

Step 3: By definition of exponentiation in a monoid:

$$\begin{aligned} x^{m+n} &= \underbrace{x \cdot x \cdot \dots \cdot x}_{m+n \text{ times}} \\ &= \left(\underbrace{x \cdot x \cdot \dots \cdot x}_{m \text{ times}} \right) \cdot \left(\underbrace{x \cdot x \cdot \dots \cdot x}_{n \text{ times}} \right) \\ &= x^m \cdot x^n \end{aligned}$$

Therefore, we have proved that $x^{m+n} = x^m \cdot x^n$ for all $x \in S$ and $m, n \in \mathbb{N}$. \square

Definition 4.5.6 (Submonoid). Let (S, \cdot) be a monoid. If $T \subset S$, we say that (T, \cdot) is a submonoid of (S, \cdot) if:

1. The identity element $e \in T$
2. T is closed under multiplication, that is:

$$\forall x, y \in T, \quad x \cdot y \in T$$

Proposition 4.5.7. If (T, \cdot) is a submonoid of (S, \cdot) , then (T, \cdot) is a monoid.

Proof. We need to verify two properties:

1. The operation is associative in T : Since $T \subset S$ and \cdot is associative in S , it is also associative in T .
2. T has an identity element: By definition of submonoid, the identity element $e \in T$.

Therefore, (T, \cdot) satisfies all properties of a monoid. \square

Definition 4.5.8 (Monoid Homomorphism). Let (S, \cdot) and $(T, *)$ be monoids, and let $f : S \rightarrow T$ be a mapping. We say f is a monoid homomorphism if f preserves multiplication and maps the identity element to the identity element. That is:

1. For all $x, y \in S$:

$$f(x \cdot y) = f(x) * f(y)$$

2. For the identity elements $e \in S$ and $e' \in T$:

$$f(e) = e'$$

Remark

While a homomorphism preserves operations, an isomorphism represents complete structural equivalence. An isomorphism is first a **bijective mapping**, meaning it establishes a one-to-one correspondence between elements - essentially “relabeling” elements uniquely. Beyond being bijective, an isomorphism preserves operations under this relabeling, implying that the only difference between two structures (like monoids) is their labeling.

Different Types of Monoid Maps. Let's examine several maps between monoids:

1. **A homomorphism that is not an isomorphism:** Consider $f : (\mathbb{Z}, +) \rightarrow (\mathbb{Z}, +)$ defined by $f(n) = 2n$
 - Preserves operation: $f(a + b) = 2(a + b) = 2a + 2b = f(a) + f(b)$
 - Is injective: $f(a) = f(b) \Rightarrow 2a = 2b \Rightarrow a = b$
 - Not surjective: odd numbers are not in the image
 - Therefore: homomorphism but not isomorphism
2. **Non-isomorphic homomorphism:** Consider $h : (\mathbb{Z}, +) \rightarrow (\mathbb{Z}_2, +)$ defined by $h(n) = n \bmod 2$
 - Preserves operation: $h(a + b) = (a + b) \bmod 2 = (a \bmod 2 + b \bmod 2) \bmod 2 = h(a) + h(b)$
 - Not injective: $h(0) = h(2) = 0$
 - Surjective: image is all of \mathbb{Z}_2
 - Therefore: homomorphism but not isomorphism

Definition 4.5.9 (Generated Submonoid). Let (S, \cdot) be a monoid and $A \subset S$ be a subset. The submonoid generated by A , denoted by $\langle A \rangle$, is defined as the intersection of all submonoids of S containing A . That is:

$$\langle A \rangle = \cap \{T \subset S : T \supseteq A, T \text{ is a submonoid}\}$$

Proposition 4.5.10. Let (S, \cdot) be a monoid and $A \subset S$ be a subset. Then $\langle A \rangle$ is also a submonoid. Therefore, it is the smallest submonoid containing A .

Proof. We will prove this in two steps:

Step 1: Show $\langle A \rangle$ contains the identity element

Let $\{T_\alpha\}_{\alpha \in I}$ be the collection of all submonoids containing A . Each T_α contains the identity e (by definition of submonoid). Therefore $e \in \cap_{\alpha \in I} T_\alpha = \langle A \rangle$

Step 2: Show closure under multiplication

Let $x, y \in \langle A \rangle = \cap_{\alpha \in I} T_\alpha$. Then $x, y \in T_\alpha$ for all $\alpha \in I$. Since each T_α is a submonoid, $x \cdot y \in T_\alpha$ for all $\alpha \in I$. Therefore $x \cdot y \in \cap_{\alpha \in I} T_\alpha = \langle A \rangle$. \square

Definition 4.5.11 (Monoid Isomorphism). Let (S, \cdot) and $(T, *)$ be monoids, and let $f : S \rightarrow T$ be a mapping. We say f is a monoid isomorphism if f is bijective and a homomorphism. That is:

1. f is bijective (one-to-one and onto)
2. For all $x, y \in S$:

$$f(x \cdot y) = f(x) * f(y)$$

3. For the identity elements $e \in S$ and $e' \in T$:

$$f(e) = e'$$

Proposition 4.5.12. If $f : (S, \cdot) \rightarrow (T, *)$ is a monoid isomorphism, then $f^{-1} : T \rightarrow S$ is a monoid homomorphism. Therefore, f^{-1} is also a monoid isomorphism.

Proof. Since f is an isomorphism, f^{-1} exists and is bijective. We need to show:

1. f^{-1} preserves operation:

$$\begin{aligned} f^{-1}(a * b) &= f^{-1}(f(f^{-1}(a)) * f(f^{-1}(b))) \\ &= f^{-1}(f(f^{-1}(a) \cdot f^{-1}(b))) \\ &= f^{-1}(a) \cdot f^{-1}(b) \end{aligned}$$

2. f^{-1} preserves identity:

$$f^{-1}(e') = e \text{ where } e' \text{ and } e \text{ are identity elements}$$

Therefore, f^{-1} is both a homomorphism and bijective, making it an isomorphism. \square

4.6 Group

Definition 4.6.1 (Invertible Element). Let (S, \cdot) be a monoid and $x \in S$. We say x is invertible if and only if

$$\exists y \in S, x \cdot y = y \cdot x = e$$

where y is called the inverse of x , denoted as x^{-1} .

Proposition 4.6.2 (Uniqueness of Inverse). Let (S, \cdot) be a monoid. If $x \in S$ is invertible, then its inverse is unique. That is, if $y, y' \in S$ are both inverses of x , then $y = y'$.

Proof. Let y and y' be inverses of x . Then:

$$\begin{aligned}
 y &= y \cdot e \\
 &= y \cdot (x \cdot y') \\
 &= (y \cdot x) \cdot y' \\
 &= e \cdot y' \\
 &= y'
 \end{aligned}$$

Therefore, the inverse is unique. \square

Definition 4.6.3 (Group). Let (G, \cdot) be a monoid. We say it is a group if every element in G is invertible.

Equivalently, if \cdot is a binary operation on G , we say (G, \cdot) is a group, or G forms a group under \cdot , when this operation satisfies:

1. *Associativity:* For all $x, y, z \in G$

$$x \cdot (y \cdot z) = (x \cdot y) \cdot z$$

2. *Identity element:* There exists $e \in G$ such that for all $x \in G$

$$x \cdot e = e \cdot x = x$$

3. *Inverse elements:* For each $x \in G$, there exists $y \in G$ such that

$$x \cdot y = y \cdot x = e$$

Proposition 4.6.4. Let (G, \cdot) be a group and $x \in G$. Then $(x^{-1})^{-1} = x$.

Proof. Let $y = x^{-1}$. Then:

$$y \cdot x = x \cdot y = e$$

This shows that x is the inverse of $y = x^{-1}$. Therefore, $(x^{-1})^{-1} = x$. \square

Proposition 4.6.5 (Inverse of Product). Let (G, \cdot) be a group and $x, y \in G$. Then $(x \cdot y)^{-1} = y^{-1} \cdot x^{-1}$.

Proof. We will show that $y^{-1} \cdot x^{-1}$ is the inverse of $x \cdot y$:

$$\begin{aligned}
 (x \cdot y)(y^{-1} \cdot x^{-1}) &= x \cdot (y \cdot y^{-1}) \cdot x^{-1} \\
 &= x \cdot e \cdot x^{-1} \\
 &= x \cdot x^{-1} \\
 &= e
 \end{aligned}$$

Similarly, $(y^{-1} \cdot x^{-1})(x \cdot y) = e$. Therefore, $(x \cdot y)^{-1} = y^{-1} \cdot x^{-1}$. \square

Definition 4.6.6 (Abelian Group). Let (G, \cdot) be a group. We say it is an abelian group, or commutative group, if the operation satisfies the commutative law:

$$\forall x, y \in G, \quad x \cdot y = y \cdot x$$

Lemma 4.6.7. Let (S, \cdot) be a monoid and let G be the subset of all invertible elements in S . Then (G, \cdot) is a group.

Proof. We need to verify three group axioms:

1. *Closure:* If $x, y \in G$, then $x \cdot y \in G$ (as product of invertible elements is invertible)
2. *Identity:* $e \in G$ (as e is invertible)

3. Inverse: If $x \in G$, then $x^{-1} \in G$ (by definition of invertible elements)

Associativity is inherited from S . Therefore, (G, \cdot) is a group. \square

Definition 4.6.8 (General Linear Group). The group of $n \times n$ invertible real matrices under matrix multiplication is called the general linear group of degree n over the real numbers, denoted as $(GL(n, \mathbb{R}), \cdot)$. Since a matrix is invertible if and only if its determinant is nonzero:

$$GL(n, \mathbb{R}) = \{A \in M(n, \mathbb{R}) : \det(A) \neq 0\}$$

Definition 4.6.9 (Special Linear Group). The special linear group of degree n over the real numbers is the group of $n \times n$ real matrices with determinant exactly 1 under matrix multiplication, denoted as $(SL(n, \mathbb{R}), \cdot)$. That is:

$$SL(n, \mathbb{R}) = \{A \in M(n, \mathbb{R}) : \det(A) = 1\}$$

Definition 4.6.10 (Subgroup). Let (G, \cdot) be a group and $H \subset G$. We say H is a subgroup of G , denoted as $H < G$, if it contains the identity element and is closed under multiplication and inverse operations. That is:

1. $\forall x, y \in H$, $x \cdot y \in H$ (closure under multiplication)
2. $\forall x \in H$, $x^{-1} \in H$ (closure under inverse)
3. $e \in H$ (contains identity)

Proposition 4.6.11. Let (G, \cdot) be a group. If H is a subgroup of G , then (H, \cdot) is also a group.

Proof. Since H is a subgroup:

1. Associativity: Inherited from G
2. Identity: $e \in H$ by definition of subgroup
3. Inverse: For all $x \in H$, $x^{-1} \in H$ by definition of subgroup
4. Closure: For all $x, y \in H$, $x \cdot y \in H$ by definition of subgroup

Therefore, (H, \cdot) satisfies all group axioms. \square

Proposition 4.6.12. For convenience, we can combine the first two conditions of a subgroup definition into one, reducing to two conditions:

1. $\forall x, y \in H$, $x \cdot y^{-1} \in H$
2. $e \in H$

These conditions are equivalent to the original subgroup definition.

Proof. (\Rightarrow) $\forall y \in H$, $y^{-1} \in H$, then the closure under multiplication, $\forall x, y, y^{-1} \in H$, $x \cdot y^{-1} \in H$

(\Leftarrow) $\forall x, y \in H$, $x \cdot y^{-1} \in H$, let $x = e$, then have $\forall y \in H$, $y^{-1} \in H$; so $\forall x, y^{-1} \in H$, $x \cdot (y^{-1})^{-1} \in H$, then $x \cdot y \in H$. \square

Proposition 4.6.13. $(SL(n, \mathbb{R}), \cdot)$ is a group.

Proof. We verify the group axioms:

1. Closure: If $A, B \in SL(n, \mathbb{R})$, then $\det(AB) = \det(A)\det(B) = 1 \cdot 1 = 1$, so $AB \in SL(n, \mathbb{R})$
2. Identity: The identity matrix $I_n \in SL(n, \mathbb{R})$ since $\det(I_n) = 1$
3. Inverse: If $A \in SL(n, \mathbb{R})$, then $\det(A^{-1}) = \frac{1}{\det(A)} = 1$, so $A^{-1} \in SL(n, \mathbb{R})$
4. Associativity: Inherited from matrix multiplication

Therefore, $(SL(n, \mathbb{R}), \cdot)$ is a group. □

Definition 4.6.14 (Group Homomorphism). Let (G, \cdot) and $(G', *)$ be groups, and let $f : G \rightarrow G'$ be a mapping. We say f is a group homomorphism if it preserves the operation, that is:

$$\forall x, y \in G, \quad f(x \cdot y) = f(x) * f(y)$$

Proposition 4.6.15. Let $f : (G, \cdot) \rightarrow (G', *)$ be a group homomorphism. Then:

1. $f(e) = e'$ (preserves identity)
2. $f(x^{-1}) = f(x)^{-1}$ (preserves inverses)

Proof.

1. For identity element:

$$\begin{aligned} f(e) * f(e) &= f(e \cdot e) = f(e) \quad \text{left multiply by } f(e)^{-1} \\ \therefore f(e) &= e' \end{aligned}$$

2. For inverse elements:

$$\begin{aligned} f(x) * f(x^{-1}) &= f(x \cdot x^{-1}) = f(e) = e' \quad \text{left multiply by } f(x)^{-1} \\ \therefore f(x^{-1}) &= f(x)^{-1} \end{aligned}$$

□

5 VECTOR SPACES AND LINEAR MAPPINGS

Broadly speaking, a vector space over a field F refers to a set V together with two operations:

- Vector addition $+ : V \times V \rightarrow V$, denoted $(v_1, v_2) \mapsto v_1 + v_2$, satisfying associativity, commutativity, and the existence of inverses;
- Scalar multiplication $\cdot : F \times V \rightarrow V$, denoted $(t, v) \mapsto t \cdot v = tv$, satisfying associativity and distributivity over addition.

If a mapping $T : V \rightarrow W$ between vector spaces satisfies the identities

$$\begin{aligned} T(v_1 + v_2) &= T(v_1) + T(v_2), \\ T(tv) &= tT(v), \end{aligned}$$

then T is called a linear mapping.

5.1 Introduction: Back to the System of Linear Equations

$$\begin{aligned} a_{11}X_1 + \cdots + a_{1n}X_n &= b_1 \\ a_{21}X_1 + \cdots + a_{2n}X_n &= b_2 \\ &\vdots \\ a_{m1}X_1 + \cdots + a_{mn}X_n &= b_m \end{aligned}$$

Definition 5.1.1. Consider a system of n linear equations over a field F in the form above. If $b_1 = \cdots = b_m = 0$, then the system is called homogeneous.

Given $n, m \in \mathbb{Z}_{\geq 1}$ and a family of coefficients $(a_{ij})_{1 \leq i \leq m, 1 \leq j \leq n}$ where $a_{ij} \in F$, define the mapping

$$\begin{aligned} T : F^n &\rightarrow F^m \\ (x_j)_{j=1}^n &\mapsto \left(\sum_{j=1}^n a_{1j}x_j, \dots, \sum_{j=1}^n a_{mj}x_j \right). \end{aligned}$$

Definition 5.1.2. Let $T : F^n \rightarrow F^m$ correspond to a homogeneous system of linear equations as described above. If $v_1, \dots, v_h \in F^n$ are all solutions of the system, and every solution $x \in F^n$ can be uniquely expressed through addition and scalar multiplication as

$$x = \sum_{i=1}^h t_i v_i, \quad t_1, \dots, t_h \in F,$$

where the tuple (t_1, \dots, t_h) is uniquely determined by x , then v_1, \dots, v_h is called a fundamental system of solutions for the homogeneous system.

Proposition 5.1.3. Consider a homogeneous system of n linear equations in the form above, where $\mathbf{b} = 0$. If the reduced row echelon matrix obtained by elimination has r pivot elements, then the corresponding homogeneous system has a fundamental system of solutions v_1, \dots, v_{n-r} .

5.2 Vector Spaces

Definition 5.2.1. A vector space over a field F , also called an F -vector space, is a tuple $(V, +, \cdot, 0_V)$ where V is a set, $0_V \in V$, and operations $+ : V \times V \rightarrow V$ and $\cdot : F \times V \rightarrow V$ are written as $(u, v) \mapsto u + v$ and $(t, v) \mapsto t \cdot v$ respectively, satisfying the following conditions:

1. Addition satisfies:

- *Associativity:* $(u + v) + w = u + (v + w)$;
- *Identity element:* $v + 0_V = v = 0_V + v$;
- *Commutativity:* $u + v = v + u$;
- *Additive inverse:* For every v , there exists $-v$ such that $v + (-v) = 0_V$.

2. Scalar multiplication, often written as tv instead of $t \cdot v$, satisfies:

- *Associativity:* $s \cdot (t \cdot v) = (st) \cdot v$;
- *Identity property:* $1 \cdot v = v$, where 1 is the multiplicative identity in F .

3. Scalar multiplication distributes over addition:

- *First distributive property:* $(s+t) \cdot v = s \cdot v + t \cdot v$;
- *Second distributive property:* $s \cdot (u+v) = s \cdot u + s \cdot v$.

Where u, v, w (or s, t) represent arbitrary elements of V (or F). When there is no risk of confusion, we denote 0_V simply as 0 , write $u + (-v)$ as $u - v$, and refer to the structure $(V, +, \cdot, 0)$ simply as V .

Definition 5.2.2. Let V be an F -vector space. If a subset V_0 of V contains 0 and is closed under addition and scalar multiplication, then $(V_0, +, \cdot, 0)$ is also an F -vector space, called a subspace of V .

5.3 Matrix & Calculate

Definition 5.3.1. Let $m, n \in \mathbb{Z}_{\geq 1}$. An $m \times n$ matrix over a field F is a rectangular array

$$A = (a_{ij})_{1 \leq i \leq m, 1 \leq j \leq n} = \begin{pmatrix} a_{11} & \cdots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{m1} & \cdots & a_{mn} \end{pmatrix} = (\cdots \cdots a_{ij} \cdots \cdots)$$

where $a_{ij} \in F$ is called the (i, j) -entry or (i, j) -element of matrix A , with i indicating the row and j indicating the column. An $n \times n$ matrix is called a square matrix of order n .

We denote the set of all $m \times n$ matrices over F by $M_{m \times n}(F)$.

Proposition 5.3.2. The set $M_{m \times n}(F)$ equipped with standard addition and scalar multiplication forms an F -vector space. The zero element is the zero matrix, and the additive inverse of a matrix $A = (a_{ij})_{i,j}$ is $-A = (-a_{ij})_{i,j}$.

Definition 5.3.3 (Matrix Multiplication). Matrix multiplication is a mapping defined as:

$$\begin{aligned} M_{m \times n}(F) \times M_{n \times r}(F) &\rightarrow M_{m \times r}(F) \\ (A, B) &\mapsto AB \end{aligned}$$

If $A = (a_{ij})_{1 \leq i \leq m, 1 \leq j \leq n}$ and $B = (b_{jk})_{1 \leq j \leq n, 1 \leq k \leq r}$, then $AB = (c_{ik})_{1 \leq i \leq m, 1 \leq k \leq r}$, where:

$$c_{ik} := \sum_{j=1}^n a_{ij} b_{jk} = (a_{i1} \ \cdots \ a_{in}) \begin{pmatrix} b_{1k} \\ \vdots \\ b_{nk} \end{pmatrix}$$

This represents the dot product of the i th row of A with the k th column of B .

Proposition 5.3.4. Matrix multiplication satisfies the following properties:

- *Associativity:* $(AB)C = A(BC)$;
- *Distributivity:* $A(B + C) = AB + AC$ and $(B + C)A = BA + CA$;
- *Linearity:* $A(tB) = t(AB) = (tA)B$;

where $t \in F$ and matrices A, B, C are arbitrary, provided their dimensions make these operations valid.

5.4 Bases & Dimensions

Definition 5.4.1. Let S be a subset of an F -vector space V .

- If $\langle S \rangle = V$, then S is said to generate V , or S is called a generating set of V .
- A linear relation in S is an equation of the form

$$\sum_{s \in S} a_s s = 0$$

This relation is called trivial if all coefficients a_s are zero; otherwise, it is non-trivial. The set S is linearly dependent if there exists a non-trivial linear relation among its elements; otherwise, S is linearly independent.

- If S is a linearly independent generating set, then S is called a basis of V .

Lemma 5.4.2. *For any subset S of an F -vector space V , the following statements are equivalent:*

1. S is a minimal generating set.
2. S is a basis.
3. S is a maximal linearly independent subset.

Proof. Let's prove the equivalence by showing $(1) \Rightarrow (2)$, $(2) \Rightarrow (3)$, and $(3) \Rightarrow (1)$.

$(1) \Rightarrow (2)$: If S is a minimal generating set, then $\langle S \rangle = V$. Suppose S is not linearly independent. Then there exists some $s_0 \in S$ that can be expressed as a linear combination of other elements in S . But this means $S \setminus \{s_0\}$ still generates V , contradicting the minimality of S . Therefore, S must be linearly independent, making it a basis.

$(2) \Rightarrow (3)$: Let S be a basis. Then S is linearly independent and $\langle S \rangle = V$. To show that S is maximal, suppose we add any vector $v \notin S$ to form $S' = S \cup \{v\}$. Since $\langle S \rangle = V$, we have $v \in \langle S \rangle$, meaning v can be written as a linear combination of elements in S . Therefore, S' must be linearly dependent, proving that S is a maximal linearly independent set.

$(3) \Rightarrow (1)$: Let S be a maximal linearly independent set. If $\langle S \rangle \neq V$, then there exists some $v \in V \setminus \langle S \rangle$. The set $S \cup \{v\}$ would still be linearly independent, contradicting the maximality of S . Therefore $\langle S \rangle = V$. Now suppose S is not minimal. Then there exists a proper subset $S' \subset S$ with $\langle S' \rangle = V$. But this means some element in $S \setminus S'$ can be expressed as a linear combination of elements in S' , making S linearly dependent, which is a contradiction. Thus, S is a minimal generating set. \square

Proposition 5.4.3. *Consider a family of F -vector spaces V_i , each with a given basis B_i , where i ranges over a given index set I . Embed each V_i as a subspace of the direct sum $\bigoplus_{j \in I} V_j$. Correspondingly, view each B_i as a subset of $\bigoplus_{j \in I} V_j$. These subsets B_i are pairwise disjoint, and $\bigcup_{i \in I} B_i$ forms a basis for $\bigoplus_{i \in I} V_i$.*

Definition 5.4.4. *Every F -vector space V has a basis. In fact, any linearly independent subset of V can be extended to a basis.*

Furthermore, all bases of V have the same cardinality. This common cardinality is called the dimension of V , denoted by $\dim_F V$ or simply $\dim V$.

Lemma 5.4.5. *Let $\{s_1, \dots, s_n\}$ be a generating set for an F -vector space V . If $m > n$, then any collection of m vectors $v_1, \dots, v_m \in V$ is linearly dependent.*

5.5 Linear Mappings

Definition 5.5.1 (Linear Mapping). *Let V and W be F -vector spaces. A mapping $T : V \rightarrow W$ is called a linear mapping (also known as a linear transformation or linear operator) if it satisfies:*

$$\begin{aligned} T(v_1 + v_2) &= T(v_1) + T(v_2), \quad \forall v_1, v_2 \in V, \\ T(tv) &= tT(v), \quad \forall t \in F, v \in V. \end{aligned}$$

Lemma 5.5.2. *If $T : U \rightarrow V$ and $S : V \rightarrow W$ are linear mappings, then their composition $S \circ T : U \rightarrow W$ is also a linear mapping.*

Proof. We need to verify that $S \circ T$ satisfies the two defining properties of linear mappings:

1. For any $u_1, u_2 \in U$:

$$\begin{aligned}(S \circ T)(u_1 + u_2) &= S(T(u_1 + u_2)) \\&= S(T(u_1) + T(u_2)) \quad (\text{by linearity of } T) \\&= S(T(u_1)) + S(T(u_2)) \quad (\text{by linearity of } S) \\&= (S \circ T)(u_1) + (S \circ T)(u_2)\end{aligned}$$

2. For any $t \in F$ and $u \in U$:

$$\begin{aligned}(S \circ T)(tu) &= S(T(tu)) \\&= S(tT(u)) \quad (\text{by linearity of } T) \\&= tS(T(u)) \quad (\text{by linearity of } S) \\&= t(S \circ T)(u)\end{aligned}$$

Therefore, $S \circ T$ is a linear mapping. \square

Definition 5.5.3. *If a linear mapping $T : V \rightarrow W$ is both left and right invertible, it is called an invertible linear mapping or an isomorphism.*

In this case, there exists a unique linear mapping $T^{-1} : W \rightarrow V$ such that $T^{-1} \circ T = \text{id}_V$ and $T \circ T^{-1} = \text{id}_W$. This mapping T^{-1} is called the inverse of T ; it is simultaneously the unique left inverse and the unique right inverse of T .

Definition 5.5.4. *Let V and W be F -vector spaces. Define $\text{Hom}(V, W)$ as the set of all linear mappings from V to W . Addition and scalar multiplication are defined by:*

$$\begin{aligned}(T_1 + T_2)(v) &= T_1(v) + T_2(v) \\(tT)(v) &= t \cdot T(v)\end{aligned}$$

The zero element of $\text{Hom}(V, W)$ is the zero mapping $0 : V \rightarrow W$.

5.6 Linear Mappings to Matrix

Definition 5.6.1. *Let V be an F -vector space. We denote $\text{End}(V) := \text{Hom}(V, V)$, whose elements are called endomorphisms of V .*

Corollary 5.6.1.1. *Let V be an F -vector space. Then $\text{End}(V)$ forms a ring where addition is the addition of linear maps, and multiplication is the composition of linear maps $(S, T) \mapsto ST$. The zero element is the zero mapping, and the multiplicative identity is the identity mapping id_V . Moreover, $\text{End}(V)$ is the zero ring if and only if $V = \{0\}$.*

Theorem 5.6.2. *Let V and W be finite-dimensional vector spaces with ordered bases v_1, \dots, v_n and w_1, \dots, w_m respectively, where $n, m \in \mathbb{Z}_{\geq 1}$. Then there exists a vector space isomorphism:*

$$\mathcal{M} : \text{Hom}(V, W) \xrightarrow{1:1} M_{m \times n}(F)$$

mapping $T \mapsto (a_{ij})_{1 \leq i \leq m, 1 \leq j \leq n}$, where $(a_{ij})_{i,j} = \mathcal{M}(T)$ is characterized by the property:

$$T(v_j) = \sum_{i=1}^m a_{ij} w_i, \quad 1 \leq j \leq n.$$

Consequently, $\dim \text{Hom}(V, W) = \dim V \cdot \dim W$.

Theorem 5.6.3. Let U , V , and W be finite-dimensional vector spaces with ordered bases u_1, \dots, u_r , v_1, \dots, v_n , and w_1, \dots, w_m respectively. The following diagram commutes:

$$\begin{array}{ccc} \text{Hom}(V, W) \times \text{Hom}(U, V) & \xrightarrow{\text{Map composition}} & \text{Hom}(U, W) \\ M \times M \mid & & M \\ M_{m \times n}(F) \times M_{n \times r}(F) & \xrightarrow{\text{Matrix multiplication}} & M_{m \times r}(F) \end{array}$$

In other words, $M(S \circ T) = M(S) \cdot M(T)$, where the left side represents composition of maps and the right side represents matrix multiplication.

Definition 5.6.4. A matrix $A \in M_{m \times n}(F)$ is called left invertible (or right invertible) if there exists $B \in M_{n \times m}(F)$ such that $BA = 1_{n \times n}$ (or $AB = 1_{m \times m}$). Such a matrix B is called a left inverse (or right inverse) of A .

If $m = n$ and A is both left and right invertible, then A is called an invertible $n \times n$ matrix. In this case, there exists a unique matrix $A^{-1} \in M_{n \times n}(F)$ such that $A^{-1}A = 1_{n \times n} = AA^{-1}$. This matrix A^{-1} serves simultaneously as both the unique left inverse and the unique right inverse of A .

In other words, invertible matrices are precisely the invertible elements in the ring $M_{m \times m}(F)$.

5.7 Transpose of a Matrix and Dual Spaces

> NOTE: not clear understand Dual Space

Definition 5.7.1. Let R be a ring. For any $A = (a_{ij}) \in M_{m \times n}(R)$, the transpose of A , denoted A^T , is the $n \times m$ matrix (a_{ji}) . That is,

$$A = \begin{pmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{m1} & a_{m2} & \cdots & a_{mn} \end{pmatrix} \Rightarrow A^T = \begin{pmatrix} a_{11} & a_{21} & \cdots & a_{m1} \\ a_{12} & a_{22} & \cdots & a_{m2} \\ \vdots & \vdots & \ddots & \vdots \\ a_{1n} & a_{2n} & \cdots & a_{mn} \end{pmatrix}$$

Proposition 5.7.2. Let $A \in M_{m \times m}(F)$. Then A is invertible if and only if A^T is invertible. Moreover, in this case,

$$(A^T)^{-1} = (A^{-1})^T.$$

Proof. Suppose A is invertible, so $A^{-1}A = AA^{-1} = I$. Taking transposes, $(A^{-1})^T(A^T) = (AA^{-1})^T = I^T = I$, and $(A^T)(A^{-1})^T = (A^{-1}A)^T = I$. Thus, A^T is invertible and $(A^T)^{-1} = (A^{-1})^T$.

Conversely, if A^T is invertible, the same argument applied to A^T shows A is invertible. \square

Definition 5.7.3. Let V be a vector space over a field F . The dual space of V , denoted V^* , is defined as

$$V^* := \text{Hom}(V, F),$$

the set of all linear functionals from V to F .

Definition 5.7.4. Let $T : V \rightarrow W$ be a linear map between vector spaces over F . The transpose (dual) map of T , denoted $T^T : W^* \rightarrow V^*$, is defined by

$$T^T(\lambda) = \lambda \circ T, \quad \forall \lambda \in W^*.$$

That is, for any $v \in V$,

$$(T^T(\lambda))(v) = \lambda(T(v)).$$

Proposition 5.7.5. Let U, V, W be vector spaces over F , and let $T : U \rightarrow V$, $S : V \rightarrow W$ be linear maps. Then

$$(ST)^T = T^T \circ S^T \in \text{Hom}(W^*, U^*).$$

Proof. For any $\lambda \in W^*$ and $u \in U$,

$$((ST)^T(\lambda))(u) = \lambda((ST)(u)) = \lambda(S(T(u))) = (S^T(\lambda))(T(u)) = (T^T(S^T(\lambda)))(u).$$

Thus, $(ST)^T = T^T \circ S^T$. \square

Proposition 5.7.6. Let V be a finite-dimensional vector space with basis v_1, \dots, v_n . For each $1 \leq i \leq n$, define $v_i^* \in V^*$ by

$$v_i^* \left(\sum_{j=1}^n x_j v_j \right) = x_i.$$

Then v_1^*, \dots, v_n^* form a basis of V^* , called the dual basis of v_1, \dots, v_n .

Proof. Each v_i^* is linear, and for any v_j we have $v_i^*(v_j) = \delta_{ij}$. Any $f \in V^*$ is determined by its values $f(v_j)$, so $f = \sum_{i=1}^n f(v_i)v_i^*$. Thus, $\{v_1^*, \dots, v_n^*\}$ is a basis for V^* . \square

5.8 Kernel, Image, and Gaussian Elimination

Definition 5.8.1. Let $T : V \rightarrow W$ be a linear map between vector spaces. The **kernel** of T is

$$\ker T := \{v \in V : T(v) = 0\} = T^{-1}(0).$$

The **image** of T is

$$\text{im } T := \{w \in W : \exists v \in V, T(v) = w\}.$$

Proposition 5.8.2. *Let $T : V \rightarrow W$ be a linear map. Then $\ker T$ is a subspace of V , and $\text{im } T$ is a subspace of W .*

Proof. For $\ker T$: Let $u, v \in \ker T$ and $a, b \in F$. Then $T(au + bv) = aT(u) + bT(v) = a \cdot 0 + b \cdot 0 = 0$, so $au + bv \in \ker T$. Thus, $\ker T$ is a subspace of V .

For $\text{im } T$: Let $w_1, w_2 \in \text{im } T$, so $w_1 = T(v_1), w_2 = T(v_2)$ for some $v_1, v_2 \in V$. For $a, b \in F$, $aw_1 + bw_2 = aT(v_1) + bT(v_2) = T(av_1 + bv_2) \in \text{im } T$. Thus, $\text{im } T$ is a subspace of W . \square

Proposition 5.8.3. *A linear map $T : V \rightarrow W$ is injective if and only if $\ker T = \{0\}$.*

Theorem 5.8.4. *Let $T : V \rightarrow W$ be a linear map between vector spaces, with V finite-dimensional. Then*

$$\dim V = \dim(\ker T) + \dim(\text{im } T).$$

Definition 5.8.5 (Rank). *Let $T : V \rightarrow W$ be a linear map between finite-dimensional vector spaces. The **rank** of T , denoted $\text{rk}(T)$, is defined as*

$$\text{rk}(T) := \dim(\text{im } T).$$

For a matrix $A \in M_{m \times n}(F)$, the rank $\text{rk}(A)$ is defined as the rank of the associated linear map $T_A : F^n \rightarrow F^m$.

Proposition 5.8.6. *Let $A' \in M_{m \times n}(F)$ be the row echelon form of $A \in M_{m \times n}(F)$ obtained by elementary row operations. Then the rank of A , $\text{rk}(A)$, equals the number r of pivots (leading ones) in A' .*

Proof. Elementary row operations do not change the row space of A , so $\text{rk}(A) = \text{rk}(A')$. In row echelon form, the number of pivots equals the dimension of the row (or column) space, which is the rank. \square

Proposition 5.8.7. *Let $A \in M_{m \times m}(F)$. The following statements are equivalent:*

1. A is invertible;
2. For any column vector $v \in F^m$, $Av = 0$ if and only if $v = 0$;
3. $\text{rk}(A) = m$;
4. A can be written as a product of elementary matrices.

Therefore, $A \in M_{m \times m}(F)$ is invertible if and only if it is left invertible, if and only if it is right invertible.

Proof. (1) \Rightarrow (2): If A is invertible and $Av = 0$, then $v = A^{-1}Av = A^{-1}0 = 0$.

(2) \Rightarrow (3): If $Av = 0$ only for $v = 0$, the columns of A are linearly independent, so $\text{rk}(A) = m$.

(3) \Rightarrow (4): If $\text{rk}(A) = m$, A can be reduced to the identity matrix by elementary row operations, so A is a product of elementary matrices.

(4) \Rightarrow (1): Elementary matrices are invertible, so their product A is invertible.

The equivalence of left and right invertibility for square matrices follows from these properties. \square

5.9 Change of Basis: Matrix Conjugation and Equivalence

Lemma 5.9.1. *The map $P_{\mathbf{v}'}^{\mathbf{v}} : F^n \rightarrow F^n$ defined by change of basis from \mathbf{v} to \mathbf{v}' is a vector space automorphism of F^n . Its inverse is $P_{\mathbf{v}'}^{\mathbf{v}}$.*

Proof. By definition, $P_{\mathbf{v}'}^{\mathbf{v}}$ is invertible, with $P_{\mathbf{v}'}^{\mathbf{v}}$ as its inverse, since changing from basis \mathbf{v} to \mathbf{v}' and then back recovers the original coordinates. Thus, $P_{\mathbf{v}'}^{\mathbf{v}}$ is an automorphism. \square

Theorem 5.9.2. *Let V and W be finite-dimensional vector spaces with ordered bases \mathbf{v}, \mathbf{v}' for V and \mathbf{w}, \mathbf{w}' for W . For any $T \in \text{Hom}(V, W)$, we have*

$$\mathcal{M}_{\mathbf{w}'}^{\mathbf{v}'}(T) = P_{\mathbf{w}'}^{\mathbf{v}'} \mathcal{M}_{\mathbf{w}}^{\mathbf{v}}(T) P_{\mathbf{v}'}^{\mathbf{v}'} = (P_{\mathbf{w}'}^{\mathbf{w}})^{-1} \mathcal{M}_{\mathbf{w}}^{\mathbf{v}}(T) P_{\mathbf{v}'}^{\mathbf{v}'}.$$

Definition 5.9.3 (Matrix Conjugation (Similarity)). *Let $A, B \in M_{n \times n}(F)$. If there exists an invertible matrix $P \in M_{n \times n}(F)$ such that*

$$B = P^{-1}AP,$$

*then A and B are called **conjugate** or **similar** matrices.*

Proposition 5.9.4. *Conjugation is an equivalence relation on $M_{n \times n}(F)$.*

Definition 5.9.5 (Matrix Equivalence). *Matrices $A, B \in M_{m \times n}(F)$ are called **equivalent** if there exist invertible matrices $Q \in M_{m \times m}(F)$ and $P \in M_{n \times n}(F)$ such that*

$$B = QAP.$$

Proposition 5.9.6. *Two matrices $A, B \in M_{m \times n}(F)$ are equivalent if and only if $\text{rk}(A) = \text{rk}(B)$.*

Theorem 5.9.7. *For any matrix $A \in M_{m \times n}(F)$, the row rank of A equals the column rank of A .*

Definition 5.9.8. *A matrix $A \in M_{m \times n}(F)$ is called **full rank** if $\text{rk}(A) = \min\{m, n\}$.*

5.10 Direct Sum Decomposition

Definition 5.10.1. *Let V be a vector space over a field F , and let $(V_i)_{i \in I}$ be a family of subspaces of V . The sum of these subspaces is defined as*

$$\sum_{i \in I} V_i := \left\{ \sum_{i \in I} v_i \in V : v_i \in V_i, \text{ and only finitely many } v_i \neq 0 \right\}.$$

For finitely many subspaces, we write $V_1 + \cdots + V_n$ for their sum. By convention, the sum over the empty index set $I = \emptyset$ is the zero subspace $\{0\}$.

Proposition 5.10.2. Let $(V_i)_{i \in I}$ be a family of subspaces of an F -vector space V , with $I \neq \emptyset$. If for every $i \in I$,

$$V_i \cap \sum_{j \neq i} V_j = \{0\},$$

then we denote $\sum_{i \in I} V_i$ by $\bigoplus_{i \in I} V_i$, called the (internal) **direct sum** of $(V_i)_{i \in I}$, and each V_i is called a **direct summand**.

This condition holds if and only if the canonical map from the external direct sum $\bigoplus_{i \in I} V_i$ to $\sum_{i \in I} V_i$ is an isomorphism.

Proposition 5.10.3. Let V be a vector space over a field F , and $V_0 \subset V$ any subspace. Then there exists a subspace $V_1 \subset V$ such that

$$V = V_0 \oplus V_1.$$

Proof. Let $\{v_1, \dots, v_k\}$ be a basis for V_0 . Extend this to a basis $\{v_1, \dots, v_k, w_1, \dots, w_m\}$ for V . Let $V_1 = \text{span}\{w_1, \dots, w_m\}$. Then every $v \in V$ can be uniquely written as $v = v_0 + v_1$ with $v_0 \in V_0$, $v_1 \in V_1$, so $V = V_0 \oplus V_1$. \square

5.11 Block Matrix Operations

Definition 5.11.1. Let $T : V \rightarrow W$ be a linear map, where $V = V_1 \oplus \dots \oplus V_n$ and $W = W_1 \oplus \dots \oplus W_m$ are direct sum decompositions. The matrix $A := M(T)$, with respect to these decompositions, can be partitioned into $m \times n$ blocks as follows:

$$A = \begin{pmatrix} A_{11} & \cdots & A_{1n} \\ \vdots & \ddots & \vdots \\ A_{m1} & \cdots & A_{mn} \end{pmatrix}$$

where $A_{ij} := M(T_{ij})$ is the matrix of the component map $T_{ij} : V_j \rightarrow W_i$.

A matrix A with such a partition is called a **block matrix**, and each A_{ij} is called its (i, j) -block.

Let V be a vector space over F and U a subspace. For any $v \in V$, the equivalence class of v modulo U is denoted by

$$v + U := \{v + u : u \in U\}.$$

Such subsets are called **cosets** of U in V , and v is called a representative of the coset. We have $v + U = v' + U$ if and only if $v - v' \in U$.

5.12 Quotient Spaces

Definition 5.12.1 (Quotient Space). Let U be a subspace of an F -vector space V . Define the **quotient space**

$$V/U := \{v + U : v \in V\}$$

as the set of all cosets of U in V .

On V/U , define the following operations:

- *Addition:* $(v_1 + U) + (v_2 + U) := (v_1 + v_2) + U$, for $v_1, v_2 \in V$;
- *Scalar multiplication:* $t(v + U) := (tv) + U$, for $t \in F$, $v \in V$;
- *Zero element:* $0_{V/U} := U = 0_V + U$ (the coset of 0).

With these operations, $(V/U, +, \cdot, 0_{V/U})$ is a vector space over F , called the **quotient space** of V by U .

Definition 5.12.2 (Cokernel). Let $T : V \rightarrow W$ be a linear map. The **cokernel** of T is defined as the quotient space of W by the image of T :

$$\text{coker}(T) := W/\text{im}(T).$$

Proposition 5.12.3. A linear map $T : V \rightarrow W$ is surjective if and only if $\text{coker}(T) = \{0\}$.

Proof. If T is surjective, then $\text{im}(T) = W$, so $W/\text{im}(T) = W/W = \{0\}$.

Conversely, if $\text{coker}(T) = \{0\}$, then $W/\text{im}(T) = \{0\}$, so $\text{im}(T) = W$, i.e., T is surjective. \square

Proposition 5.12.4. Let U be a subspace of a vector space V , and let $T : V \rightarrow W$ be a linear map.

1. If $U \subset \ker(T)$, then there exists a unique linear map $\bar{T} : V/U \rightarrow W$ such that the following diagram commutes:

$$\begin{array}{ccc} V & \xrightarrow{T} & W \\ q \downarrow & \bar{T} & \\ V/U & & \end{array}$$

where $q : V \rightarrow V/U$ is the quotient map. Explicitly, $\bar{T}(v + U) = T(v)$.

2. If $U = \ker(T)$ and $W = \text{im}(T)$, then $\bar{T} : V/U \rightarrow W$ is an isomorphism of vector spaces.

Proof. (1) If $U \subset \ker(T)$, then $T(v) = T(v')$ whenever $v - v' \in U$, so T is constant on cosets $v + U$. Thus, $\bar{T}(v + U) := T(v)$ is well-defined and linear. Uniqueness follows since q is surjective.

(2) If $U = \ker(T)$ and $W = \text{im}(T)$, then \bar{T} is injective (since $\ker(\bar{T}) = \{U\}$) and surjective (since T is surjective onto W), so it is an isomorphism. \square

Proposition 5.12.5. Let U be a subspace of V . Let $\bar{V} := V/U$, and let $q : V \rightarrow \bar{V}$ be the quotient map. There is a bijection

$$\{W \subset V : W \text{ is a subspace, } W \supset U\} \leftrightarrow \{\bar{W} \subset \bar{V} : \bar{W} \text{ is a subspace}\}$$

given by $W \mapsto \overline{W} := q(W)$ and $\overline{W} \mapsto W := q^{-1}(\overline{W})$.

This bijection has the following properties:

- It is strictly order-preserving: $W_1 \supset W_2$ if and only if $\overline{W}_1 \supset \overline{W}_2$.
- If W corresponds to \overline{W} , then there is a natural isomorphism

$$V/W \cong \overline{V}/\overline{W}, \quad v + W \mapsto q(v) + \overline{W}.$$

If we write $\overline{W} = W/U$, this isomorphism can be viewed as

$$V/W \cong (V/U)/(W/U).$$

Proposition 5.12.6. Let V, W be subspaces of a vector space. Then there is a natural isomorphism

$$V/(V \cap W) \cong (V + W)/W$$

given by $v + (V \cap W) \mapsto v + W$ for $v \in V$.

6 DETERMINANT

6.1 Permutations Introduction

Definition 6.1.1. Let X be a non-empty set. The set of permutations on X is defined as

$$S_X := \{\sigma : X \rightarrow X \mid \sigma \text{ is a bijection}\}.$$

It contains the identity mapping $\text{id} = \text{id}_X \in S_X$. These permutations can be composed as functions, $(\sigma, \sigma') \mapsto \sigma\sigma'$, or inverted, $\sigma \mapsto \sigma^{-1}$, and the result still belongs to S_X .

Definition 6.1.2. Fix $n \in \mathbb{Z}_{\geq 1}$. For $1 \leq i \neq j \leq n$, the corresponding transposition $(i \ j) \in S_n$ is defined as the following permutation:

$$(i \ j) : k \mapsto \begin{cases} j, & \text{if } k = i \\ i, & \text{if } k = j \\ k, & \text{if } k \neq i, j. \end{cases}$$

In other words, $(i \ j)$ swaps i and j , and leaves all other elements unchanged.

Definition 6.1.3. Let $\sigma \in S_n$. The elements of the following set are called the inversions of σ :

$$\text{Inv}_\sigma := \{(i, j) \in \mathbb{Z}^2 : 1 \leq i < j \leq n, \sigma(i) > \sigma(j)\}.$$

The number of inversions of σ is defined as $\ell(\sigma) := |\text{Inv}_\sigma|$.

Proposition 6.1.4. Let $\sigma \in S_n$. Then there exists $\ell \in \mathbb{Z}_{\geq 0}$ and a sequence of transpositions $\tau_1, \dots, \tau_\ell \in S_n$ such that

$$\sigma = \tau_1 \dots \tau_\ell;$$

when $\ell = 0$, the product on the right is understood as the identity id . We call ℓ the length of the above decomposition. Among all decompositions of σ into transpositions, the minimal possible length is $\ell(\sigma)$.

Proposition 6.1.5. There exists a unique map $\text{sgn} : S_n \rightarrow \{\pm 1\}$ such that the following properties hold:

1. For all $\sigma, \xi \in S_n$, we have $\text{sgn}(\sigma\xi) = \text{sgn}(\sigma)\text{sgn}(\xi)$,
2. If $\tau \in S_n$ is a transposition, then $\text{sgn}(\tau) = -1$.

The above map sgn satisfies $\text{sgn}(\text{id}) = 1$ and $\text{sgn}(\sigma^{-1}) = \text{sgn}(\sigma)^{-1} = \text{sgn}(\sigma)$. Its value can further be expressed in terms of the number of inversions as

$$\text{sgn}(\sigma) = (-1)^{\ell(\sigma)}.$$

Definition 6.1.6. Let $\sigma \in S_n$. If there exists a sequence of transpositions τ_1, \dots, τ_ℓ such that $\sigma = \tau_1 \dots \tau_\ell$, where $\ell \in \mathbb{Z}_{\geq 0}$ is even (respectively, odd), then σ is called an even permutation (respectively, odd permutation).

6.2 A Characterization of a Class of Alternating Forms

Definition 6.2.1. Let V be a vector space over a field F . For every $m \in \mathbb{Z}_{\geq 1}$, define

$$D_{V,m} := \{D : V^m \rightarrow F \mid D \text{ satisfies the following properties D.1 and D.2}\}.$$

1. **D.1** For each $1 \leq i \leq m$,

$$D(\dots, v_i + v_{i'}, \dots) = D(\dots, v_i, \dots) + D(\dots, v_{i'}, \dots),$$

$$D(\dots, tv_i, \dots) = tD(\dots, v_i, \dots),$$

where $v_i, v_{i'} \in V$ and $t \in F$, and the ellipsis indicates that the other $m - 1$ variables are fixed.

2. **D.2** If there exist $1 \leq i < j \leq m$ such that $v_i = v_j$, then

$$D(v_1, \dots, v_m) = 0.$$

A map in $D_{V,m}$ is also called an m -linear alternating form on V .

Lemma 6.2.2. Let $m \in \mathbb{Z}_{\geq 1}$, $D \in D_{V,m}$, and $\sigma \in S_m$. Then

$$D(v_{\sigma^{-1}(1)}, \dots, v_{\sigma^{-1}(m)}) = \text{sgn}(\sigma)D(v_1, \dots, v_m),$$

where $\text{sgn} : S_m \rightarrow \{\pm 1\}$ is the sign map.

Theorem 6.2.3. Let V be a finite-dimensional vector space. Then $\dim D_V = 1$. If $n := \dim V \geq 1$ and e_1, \dots, e_n is an ordered basis of V , denoted by e , then there exists a unique $D_e \in D_V$ such that $D_{e(e_1, \dots, e_n)} = 1$.

6.3 Definition of Determinant

Definition 6.3.1. Let V be an n -dimensional vector space, $n \in \mathbb{Z}_{\geq 1}$. For each $T \in \text{End}(V)$, define $\det T \in F$ to be the unique element such that

$$T^*(D) = (\det T) \cdot D, \quad D \in D_V;$$

equivalently, for every $D \in D_V$ and $(v_1, \dots, v_n) \in V^n$,

$$D(Tv_1, \dots, Tv_n) = \det T \cdot D(v_1, \dots, v_n).$$

In the case of the zero vector space ($n = 0$), for $T = 0_V = \text{id}_V$, we define $\det(T) := 1$.

Theorem 6.3.2. *The determinant has the following properties:*

1. $\det(\text{id}_V) = 1$.
2. For $S, T \in \text{End}(V)$, $\det(ST) = \det(S)\det(T)$.
3. If T is invertible, then $\det T \in F$ is also invertible, and $\det(T^{-1}) = (\det T)^{-1}$.

Proposition 6.3.3. *Let $T \in \text{End}(V)$ and let $S : V \cong W$ be an isomorphism of finite-dimensional vector spaces. Then $STS^{-1} \in \text{End}(W)$ satisfies*

$$\det(STS^{-1}) = \det T.$$

Proposition 6.3.4. *Let V be an n -dimensional vector space (with $n \geq 1$), and let e_1, \dots, e_n be an ordered basis of V , denoted by e . Let $D_e \in \text{End}(V)$. Then*

$$\det T = D_{e(Te_1, \dots, Te_n)}.$$

Proposition 6.3.5. *Let e be the standard ordered basis of F^n , $n \in \mathbb{Z}_{\geq 1}$. In this way, each $A = (a_{ij})_{i,j} \in M_{n \times n}(F)$ is identified with an element of $\text{End}(F^n)$. The determinant of the matrix A is defined as*

$$\det A := D_{e(Ae_1, \dots, Ae_n)},$$

Then,

$$\begin{aligned} \det A &= \sum_{\sigma \in S_n} \text{sgn}(\sigma) a_{\sigma(1),1} \dots a_{\sigma(n),n} \\ &= \sum_{\sigma \in S_n} \text{sgn}(\sigma) a_{1,\sigma(1)} \dots a_{n,\sigma(n)}. \end{aligned}$$

Definition 6.3.6. *Let $1 \leq i, j \leq n$, where $n \in \mathbb{Z}_{\geq 1}$. The (i, j) -th minor of a matrix $A \in M_{n \times n}(F)$ is defined as the determinant of the $(n - 1) \times (n - 1)$ matrix M_{ij} obtained by deleting the i -th row and j -th column from A . It is denoted by*

$$M_{ij} := \det M_{ij} \in F.$$

6.4 Cramer's Rule

Proposition 6.4.1. *Let V be a finite-dimensional F -vector space. Then $T \in \text{End}(V)$ is invertible if and only if $\det T \in F^\times$.*

Corollary 6.4.1.1. *Let v_1, \dots, v_n be elements of an n -dimensional F -vector space V ($n \in \mathbb{Z}_{\geq 1}$). Then the following statements are equivalent:*

1. v_1, \dots, v_n are linearly dependent;
2. $D(v_1, \dots, v_n) = 0$ for all $D \in \text{End}(V)$;
3. There exists an ordered basis e_1, \dots, e_n of V , denoted by e , such that $D_{e(v_1, \dots, v_n)} = 0$.

Definition 6.4.2. Let $n \in \mathbb{Z}_{\geq 1}$. For $A = (a_{ij})_{i,j} \in M_{n \times n}(F)$, the classical adjugate matrix is defined as

$$A^v = (A_{ji})_{i,j} \in M_{n \times n}(F),$$

where

$$A_{ij} := (-1)^{i+j} M_{ij}, \quad 1 \leq i, j \leq n,$$

and M_{ij} is the (i, j) -th minor of A .

Theorem 6.4.3. For any $A \in M_{n \times n}(F)$, we have

$$AA^v = \det A \cdot 1_{n \times n} = A^v A.$$

Corollary 6.4.3.1 (Cramer's Rule). Consider the system of n linear equations over a field F :

$$\begin{cases} a_{11}X_1 + \dots + a_{1n}X_n = b_1 \\ \vdots \\ a_{n1}X_1 + \dots + a_{nn}X_n = b_n \end{cases}$$

Let the coefficient matrix $A = (a_{ij})_{i,j} \in M_{n \times n}(F)$ be regarded as a linear map from F^n to F^n .

1. If the system is homogeneous, i.e., $b_1 = \dots = b_n = 0$, then its solution set is $\ker A$. In particular, the system has a nontrivial solution if and only if $\det A = 0$.
2. When $(b_1, \dots, b_n) \in F^n$ is given, the system either has no solution, or its solution set is of the form

$$(x_1, \dots, x_n) + \ker A,$$

where $(x_1, \dots, x_n) \in F^n$ is any particular solution.

3. If $\det A \in F^\times$, then for any $(b_1, \dots, b_n) \in F^n$, the system has a unique solution (x_1, \dots, x_n) , where

$$x_j = \frac{\det \begin{pmatrix} a_{11} & \dots & b_1 & \dots & a_{1n} \\ a_{21} & \dots & b_2 & \dots & a_{2n} \\ \vdots & & \vdots & & \vdots \\ a_{n1} & \dots & b_n & \dots & a_{nn} \end{pmatrix}}{\det \begin{pmatrix} a_{11} & \dots & a_{1j} & \dots & a_{1n} \\ a_{21} & \dots & a_{2j} & \dots & a_{2n} \\ \vdots & & \vdots & & \vdots \\ a_{n1} & \dots & a_{nj} & \dots & a_{nn} \end{pmatrix}}, \quad j = 1, \dots, n,$$

where in the numerator, the j -th column of A is replaced by the column vector $(b_1, \dots, b_n)^T$.

Remark

Although Cramer's rule provides an exact solution to a system of linear equations when the coefficient matrix is invertible, in practice the computational cost of evaluating determinants increases rapidly with n . Even when these determinants can be computed efficiently, Cramer's rule remains numerically unstable: since it involves division, when the denominator $\det A$ is

close to zero, even a **small perturbation can cause large changes** in x_1, \dots, x_n . Therefore, the value of Cramer's rule lies mainly in its theoretical significance.

6.5 Characteristic Polynomial and the Cayley Hamilton Theorem

Proposition 6.5.1. *Let $T \in \text{End}(V)$ be invertible. Then there exists a nonzero polynomial $g \in F[X]$ such that $T^{-1} = g(T)$.*

Definition 6.5.2 (Characteristic Polynomial). *Let $A \in M_{n \times n}(F)$. We embed F as a subfield of the field of rational functions $F(X)$, thereby constructing the matrix $X \cdot 1_{n \times n} - A \in M_{n \times n}(F(X))$. The characteristic polynomial of A is defined as*

$$\text{Char}_A := \det(X \cdot 1_{n \times n} - A).$$

Using Kronecker's delta notation

$$\delta_{i,j} := \begin{cases} 1 & \text{if } i = j \\ 0 & \text{if } i \neq j \end{cases}$$

the explicit formula for the determinant gives

$$\det(X \cdot 1_{n \times n} - A) = \sum_{\sigma \in S_n} \text{sgn}(\sigma) \prod_{i=1}^n (\delta_{i,\sigma(i)} X - a_{i,\sigma(i)}).$$

The highest degree term in X comes from the contribution of $\sigma = \text{id}$, yielding X^n , so $\deg \text{Char}_A = n$.

Proposition 6.5.3. *Let $P \in M_{n \times n}(F)$ be invertible. Then $\text{Char}_{P^{-1}AP} = \text{Char}_A$.*

Proposition 6.5.4. *Transposition does not change the characteristic polynomial: for all $A \in M_{n \times n}(F)$, we have $\text{Char}_A = \text{Char}_{A^t}$.*

Proposition 6.5.5. *For any $A \in M_{m \times n}(F)$ and $B \in M_{n \times m}(F)$, the following equality holds in $F[X]$:*

$$X^n \text{Char}_{AB} = X^m \text{Char}_{BA}.$$

Theorem 6.5.6 (A. Cayley, W. R. Hamilton). *Let $n \in \mathbb{Z}_{\geq 1}$. For all $A \in M_{n \times n}(F)$, we have*

$$\text{Char}_A(A) = 0_{n \times n}.$$

Similarly, for any finite-dimensional F -vector space V and $T \in \text{End}(V)$, we have

$$\text{Char}_T(T) = 0_V.$$

Corollary 6.5.6.1. *Let $n \geq 1$. For any $A \in M_{n \times n}(F)$ and any invertible matrix $P \in M_{n \times n}(F)$, we have*

$$(P^{-1}AP)^v = P^{-1}A^vP.$$

Lemma 6.5.7. Let $A = (a_{ij})_{i,j} \in M_{n \times n}(F)$, and let the characteristic polynomial $\text{Char}_A \in F[X]$ be written as

$$X^n + c_{n-1}X^{n-1} + \dots + c_0,$$

then

$$-c_{n-1} = \sum_{i=1}^n a_{ii}.$$

Definition 6.5.8 (Trace). For a matrix $A = (a_{ij})_{i,j} \in M_{n \times n}(F)$, its trace is defined as

$$\text{Tr}(A) := \sum_{i=1}^n a_{ii}.$$

Definition 6.5.9 (Invariant Subspace). Given a linear operator $T \in \text{End}(V)$, if a subspace $U \subset V$ satisfies $T(U) \subset U$, then U is called a T -invariant subspace of V , or simply an invariant subspace.

Proposition 6.5.10. Let V be a finite-dimensional vector space over F , $T \in \text{End}(V)$, and U a T -invariant subspace of V . Then the linear map $\bar{T} \in \text{End}(V/U)$ satisfies

$$\text{Char}_{T|_U} \cdot \text{Char}_{\bar{T}} = \text{Char}_T.$$

Lemma 6.5.11. Let $C \in M_{n \times n}(F)$ and $1 \leq k \leq n$. In the polynomial $\det(X \cdot 1_{n \times n} + C) \in F[X]$, the coefficient of the X^{n-k} term is

$$\sum_{I \subset \{1, \dots, n\}, |I|=k} \det C \begin{pmatrix} I \\ I \end{pmatrix},$$

where $C \begin{pmatrix} I \\ I \end{pmatrix}$ denotes the principal submatrix of C indexed by I .

7 RING AND POLYNOMIAL REVISITED

7.1 Ideals and Quotient Rings

Definition 7.1.1. Let I be a non-empty subset of ring R . I is called an **ideal** of R when the following conditions hold¹:

- **Closure under addition** If $x, y \in I$ then $x + y \in I$.
- **Two-sided closure under multiplication** For any $r \in R$ we have $rI \subset I$ and $Ir \subset I$.

Proposition 7.1.2. For any ideal I of ring R , we have

$$I = R \iff 1 \in I.$$

Definition 7.1.3. Kernel of Ring Homomorphism Let $f : R \rightarrow R'$ be a ring homomorphism. Its **kernel** (also called **null kernel**) is defined as

$$\ker(f) := f^{-1}(0) = \{x \in R : f(x) = 0\}.$$

This is an ideal of R .

Definition 7.1.4. Quotient Ring Let I be an ideal of ring R . Define

$$R/I := \{\text{cosets } x + I : x \in R\} = R/\equiv_I.$$

On R/I we can reasonably define operations on cosets:

▷ **Addition**

$$(x + I) + (y + I) := x + y + I;$$

▷ **Multiplication**

$$(x + I) \cdot (y + I) := xy + I;$$

▷ **Zero element**

$$0_{R/I} := I = 0_R + I \quad (\text{as a coset of } I);$$

¹This terminology comes from the study of “ideal numbers” in number theory by 19th century mathematicians like Kummer, Dedekind, etc.

▷ **Unit element**

$$1_{R/I} := 1_R + I.$$

The resulting ring $(R/I, +, \cdot, 0_{R/I}, 1_{R/I})$ is called the **quotient ring** of R by the ideal I .

Proposition 7.1.5. If R is a commutative ring and $I \subset R$ is an ideal, then R/I is also a commutative ring.

Proposition 7.1.6. Let I be an ideal of ring R , and $f : R \rightarrow R'$ be a ring homomorphism.

(i) If $I \subset \ker(f)$, then there exists a unique ring homomorphism $\bar{f} : R/I \rightarrow R'$ such that the following diagram commutes:

$$\begin{array}{ccc} & f & \\ R & \xrightarrow{\quad} & R' \\ q \downarrow & & \swarrow \bar{f} \\ R/I & & \end{array}$$

In other words, $\bar{f} \circ q = f$. Specifically, $\bar{f}(x + I) = f(x)$.

(ii) If $I = \ker(f)$ and $R' = \text{im}(f)$, then \bar{f} is a ring isomorphism.

Corollary 7.1.6.1. Let $f : R_1 \rightarrow R_2$ be a ring homomorphism, $I_1 \subset R_1$ and $I_2 \subset R_2$ be ideals, and $f(I_1) \subset I_2$. Then there exists a unique ring homomorphism $\bar{f} : R_1/I_1 \rightarrow R_2/I_2$ such that the following diagram commutes:

$$\begin{array}{ccc} & f & \\ R_1 & \xrightarrow{\quad} & R_2 \\ q_1 \downarrow & & \downarrow q_2 \\ R_1/I_1 & \xrightarrow{\quad \bar{f} \quad} & R_2/I_2 \end{array}$$

In other words, $q_2 \circ f = \bar{f} \circ q_1$. In the diagram, q_1 and q_2 are respectively the quotient homomorphisms from R_1 and R_2 to their respective quotient rings. Specifically, $\bar{f}(x + I_1) = f(x) + I_2$.

Proposition 7.1.7. Let I be an ideal of R , $\bar{R} := R/I$, and let the quotient map still be denoted $q : R \rightarrow \bar{R}$. We have a bijection

$$\{J \subset R : \text{ideal}, J \supseteq I\} \stackrel{1:1}{\Leftrightarrow} \{\bar{J} \subset \bar{R} : \text{ideal}\}$$

given by the maps:

$$J \mapsto \bar{J} := q(J)$$

$$\bar{J} \mapsto J := q^{-1}(\bar{J})$$

This bijection has the following properties:

- It is **strictly order-preserving**: $J_1 \supset J_2$ if and only if $\bar{J}_1 \supset \bar{J}_2$.
- If J corresponds to \bar{J} , then there is a natural ring isomorphism

$$R/J \cong \bar{R}/\bar{J}$$

$$x + J \mapsto q(x) + \bar{J}.$$

7.2 Unique Factorization Properties of Polynomials

Definition 7.2.1. Let R be an integral domain, $x, y \in R$. If there exists $r \in R^\times$ such that $x = ry$, then we write $x \sim y$.

Lemma 7.2.2. Let R be an integral domain, $x, y \in R$. Then:

- $x \mid y$ if and only if $(x) \supset (y)$;
- $x \sim y$ if and only if x and y divide each other, if and only if $(x) = (y)$.

Definition 7.2.3. Let p be a non-zero element of integral domain R , with $p \notin R^\times$.

- If p satisfies $p \mid ab \iff (p \mid a) \vee (p \mid b)$, then p is called a **prime element**.
- If p satisfies $a \mid p \iff (a \sim p) \vee (a \sim 1)$, then p is called an **irreducible element**.

Lemma 7.2.4. Let p be a prime element of integral domain R . Then p is an irreducible element.

Definition 7.2.5. If for every non-zero element r in integral domain R , there exist $n \in \mathbb{Z}_{\geq 0}$ and irreducible elements $p_1, \dots, p_n \in R$ such that

$$r \sim p_1 \dots p_n,$$

and the \sim equivalence classes of p_1, \dots, p_n (counting multiplicities) are uniquely determined by r up to reordering, then R is called a **unique factorization domain**. By convention, when $n = 0$, the above expression should be interpreted as $r \sim 1$.

Definition 7.2.6. Let R be a unique factorization domain, $h \in \text{Frac}(R) \setminus \{0\}$. Then there exist $f, g \in R$ such that $g \neq 0$ and f and g are coprime, and $h = \frac{f}{g}$. Such a fraction $\frac{f}{g}$ is called a **reduced fraction**.

If $f_1, g_1 \in R$ also satisfy $g_1 \neq 0$ and $h = \frac{f_1}{g_1}$, then we must have $f \mid f_1$ and $g \mid g_1$.

Lemma 7.2.7. All ideals I of the integral domain $F[X]$ are principal ideals.

Lemma 7.2.8. All irreducible elements of the integral domain $F[X]$ are prime elements.

Theorem 7.2.9. (Fundamental Theorem of Arithmetic for Polynomial Rings) The integral domain $F[X]$ is a unique factorization domain.

Theorem 7.2.10. (Partial Fraction Decomposition) Let $f, g \in F[X]$ with $g \neq 0$ and $g = g_1 \dots g_n$, where $g_1, \dots, g_n \in F[X]$ are pairwise coprime. Then there exist unique $q, h_1, \dots, h_n \in F[X]$ such that:

- $h_i \in F[X]$ satisfies $\deg h_i < \deg g_i$ for $1 \leq i \leq n$;
- We have the equality in $F(X)$:

$$\frac{f}{g} = q + \sum_{i=1}^n \frac{h_i}{g_i}.$$

7.3 Simple Generalization: Unique Factorization in Principal Ideal Domains

Definition 7.3.1. Let R be an integral domain. If all ideals of R are principal ideals, then R is called a **principal ideal domain**.

Proposition 7.3.2. An integral domain R is a unique factorization domain if and only if the following conditions hold:

- Every $r \in R \setminus \{0\}$ can be written as a product of irreducible elements.
- Every irreducible element is a prime element.

Lemma 7.3.3. Let R be a principal ideal domain, and let $(I_n)_{n=1}^\infty$ be a sequence of ideals of R satisfying $I_1 \subset I_2 \subset I_3 \subset \dots$. Then for sufficiently large $n \in \mathbb{Z}_{\geq 1}$, we have $I_n = I_{n+1} = \dots$

Theorem 7.3.4. If R is a principal ideal domain, then R is a unique factorization domain.

Proposition 7.3.5. Let R be a principal ideal domain. Elements r_1, \dots, r_n are coprime if and only if $\langle r_1, \dots, r_n \rangle = R$, or equivalently, there exist $s_1, \dots, s_n \in R$ such that $\sum_{i=1}^n r_i s_i = 1$.

Proposition 7.3.6. Let R be a principal ideal domain, $t \in R \setminus \{0\}$ and $t \notin R^\times$. The following properties are equivalent:

- (i) $R/(t)$ is a field;
- (ii) $R/(t)$ is an integral domain;
- (iii) t is a prime element;
- (iv) t is irreducible.

Theorem 7.3.7. (Chinese Remainder Theorem for Principal Ideal Domains) Let R be a principal ideal domain, $a_1, \dots, a_n \in R \setminus \{0\}$ be pairwise coprime, and $a := a_1 \dots a_n$. Then there is a ring isomorphism

$$\begin{aligned} \varphi : R/(a) &\rightarrow \prod_{i=1}^n R/(a_i) \\ r + (a) &\mapsto (r + (a_i))_{i=1}^n. \end{aligned}$$

7.4 Formal Derivatives

Definition 7.4.1. (*Formal Derivative*) Let $f = \sum_{n \geq 0} a_n X^n \in F[X]$. Define the **formal derivative** of f as

$$f' := \sum_{n \geq 1} n a_n X^{n-1} \in F[X],$$

where $na_n \in F$. Recursively define the derivatives of any order by

$$f^{(0)} = f, \quad f^{(m)} := (f^{(m-1)})' \quad (m \in \mathbb{Z}_{\geq 1})$$

We also write $f'' = (f')'$, and so on.

Corollary 7.4.1.1. The derivative mapping $f \mapsto f'$ is a linear map from the F -vector space $F[X]$ to itself.

Proposition 7.4.2. The property $f' = 0 \iff f \in F$ holds in $F[X]$ if and only if $\text{char}(F) = 0$.

Proposition 7.4.3. There exists a unique mapping

$$F(X) \rightarrow F(X)$$

$$f \mapsto f'$$

such that its restriction to $F[X]$ gives the formal derivative of polynomials, and for all $f, g \in F(X)$ we have

$$(f + g)' = f' + g',$$

$$(fg)' = f'g + fg'.$$

In fact, it can be precisely given by the formula:

$$\left(\frac{f}{g}\right)' = \frac{f'g - fg'}{g^2},$$

where $f, g \in F[X]$ and $g \neq 0$.

Definition 7.4.4. (*Formal Partial Derivatives*) For an n -variable polynomial expressed as a finite sum

$$f = \sum_{i_1, \dots, i_n \geq 0} c_{i_1, \dots, i_n} X_1^{i_1} \cdots X_n^{i_n} \in F[X_1, \dots, X_n]$$

and $1 \leq k \leq n$, we borrow notation from analysis to define

$$\frac{\partial f}{\partial X_k} := \sum_{i_1, \dots, i_n \geq 0} i_k c_{i_1, \dots, i_n} X_1^{i_1} \cdots X_k^{i_k-1} \cdots X_n^{i_n}.$$

7.5 Applications: Mason–Stothers Theorem

Definition 7.5.1. (*Radical of a Polynomial*) Let $f \in F[X]$ be nonzero. Define the **radical** of f by

$$\text{rad}(f) := \prod_{p|f} p,$$

where p runs over the monic irreducible polynomials of $F[X]$ that divide f . If $f \in F^\times$, interpret the right-hand side as 1.

Lemma 7.5.2. Let $f, g \in F[X]$ be coprime with $f' \neq 0$ and $g' \neq 0$. Then $ff' \neq gg'$.

Theorem 7.5.3. (*Mason–Stothers Theorem, 1981*) Let $a, b, c \in F[X]$ be pairwise coprime polynomials with $a' \neq 0$, $b' \neq 0$, $c' \neq 0$, and suppose $a + b + c = 0$. Then

$$\max\{\deg(a), \deg(b), \deg(c)\} \leq \deg(\text{rad}(abc)) - 1.$$

Corollary 7.5.3.1. (*Polynomial Fermat’s Last Theorem*) Let $n \in \mathbb{Z}_{\geq 1}$ with $\text{char}(F) \nmid n$. Suppose there exist pairwise coprime polynomials $u, v, w \in F[X]$ whose derivatives satisfy $u' \neq 0$, $v' \neq 0$, $w' \neq 0$, and that $u^n + v^n = w^n$. Then $n < 3$.

7.6 Roots and Repeated Factors

We already know $F[X]$ is a unique factorization domain. By a simple degree argument the linear polynomial $X - a$ is irreducible for every $a \in F$. Consequently, $X - a$ and $h \in F[X]$ are coprime if and only if $X - a$ does not divide h , which is equivalent to $h(a) \neq 0$ by the remainder theorem.

Fix $a \in F$. Every nonzero $f \in F[X]$ can be written uniquely in the form

$$f = (X - a)^{m_a} h,$$

where $m_a \in \mathbb{Z}_{\geq 0}$, $h \in F[X]$, and $h(a) \neq 0$ (equivalently, h is coprime to $X - a$). The exponent m_a is determined uniquely by f and a , and satisfies $m_a > 0$ precisely when a is a root of f .

Definition 7.6.1. (*Multiplicity of a Root*) In the factorization $f = (X - a)^{m_a} h$ above, the integer m_a is called the **multiplicity** of a in the nonzero polynomial f .

Definition 7.6.2. (*Splitting Polynomial*) A polynomial $f \in F[X]$ is said to **split** over F if it factors as a product of linear polynomials in $F[X]$.

Proposition 7.6.3. Let $f \in F[X]$ be nonzero and let $a_1, \dots, a_m \in F$ be its roots counted with multiplicities. Then $0 \leq m \leq \deg(f)$. Moreover, $m = \deg(f)$ if and only if f is constant or f splits over F .

Definition 7.6.4. (*Algebraically Closed Field*) A field F is **algebraically closed** if every nonconstant polynomial over F splits over F .

Proposition 7.6.5. Let $f \in F[X]$ be nonzero.

- (i) If f and f' are coprime, then f has no repeated factors.
- (ii) If f has no repeated factors and each irreducible factor p of f satisfies $p' \neq 0$ (which holds automatically when $\text{char}(F) = 0$), then f and f' are coprime.

Corollary 7.6.5.1. Suppose $f \in F[X]$ splits over F . Then f has no repeated roots if and only if f and f' are coprime.

7.7 Symmetric Polynomials

Definition 7.7.1. (Symmetric Polynomial) Let $f \in F[X_1, \dots, X_n]$. If $\sigma f = f$ holds for all $\sigma \in S_n$, then f is called a **symmetric polynomial**.

Definition 7.7.2. (Elementary Symmetric Polynomials) For $1 \leq k \leq n$, define the n -variable polynomial

$$e_k := \sum_{1 \leq i_1 < \dots < i_k \leq n} X_{i_1} \cdots X_{i_k}.$$

It is easy to see that this is a homogeneous symmetric polynomial of degree k , called the **k -th elementary symmetric polynomial**. For the case $k = 0$, we conveniently define $e_0 := 1$.

Lemma 7.7.3. Let f be an n -variable symmetric polynomial. Then $f(X_1, \dots, X_{n-1}, 0) = 0$ if and only if $e_n \mid f$.

Theorem 7.7.4. (Fundamental Theorem of Symmetric Polynomials) Let f be an n -variable symmetric polynomial. Then there exists $g \in F[X_1, \dots, X_n]$ such that

$$f = g(e_1, \dots, e_n).$$

Theorem 7.7.5. Let $g \in F[X_1, \dots, X_n]$. If $g(e_1, \dots, e_n) = 0$, then $g = 0$.

7.8 Resultants

Definition 7.8.1. (Resultant) Let $n, m \in \mathbb{Z}_{\geq 1}$. Consider elements of $F[X]$

$$f = v_0 X^n + \cdots + v_n,$$

$$g = w_0 X^m + \cdots + w_m,$$

where $v_i, w_j \in F$. Define the **resultant** of f and g as

$$\text{Res}(f, g) := \det \begin{pmatrix} v_0 & v_1 & \dots & v_n \\ v_0 & v_1 & \dots & v_n \\ \ddots & \ddots & \ddots & \ddots \\ w_0 & w_1 & \dots & w_m \\ w_0 & w_1 & \dots & w_m \\ \ddots & \ddots & \ddots & \ddots \\ w_0 & w_1 & \dots & w_m \end{pmatrix}$$

This is a determinant of order $n+m$, where the first m rows contain shifted copies of the coefficients of f , the next n rows contain shifted copies of the coefficients of g , and blank entries are zero.

Lemma 7.8.2. Fix $n, m \in \mathbb{Z}_{\geq 1}$. Let $f, g \in F[X]$ be as in Definition 7.8.1. Then $\text{Res}(f, g) = 0$ if and only if there exist $f_1, g_1 \in F[X]$, not both zero, such that

$$fg_1 + gf_1 = 0, \quad \deg f_1 < n, \quad \deg g_1 < m.$$

Theorem 7.8.3. Fix $n, m \in \mathbb{Z}_{\geq 1}$. Let $f, g \in F[X]$ be as in Definition 7.8.1. Then $\text{Res}(f, g) = 0$ if and only if one of the following conditions holds: either $v_0 = 0 = w_0$, or f and g have a common factor of degree > 0 .

Theorem 7.8.4. Fix $n, m \in \mathbb{Z}_{\geq 1}$. Let $f, g \in F[X]$ have the following factorizations

$$f = a \prod_{i=1}^n (X - \alpha_i), \quad g = b \prod_{j=1}^m (X - \beta_j),$$

where a, b and α_i, β_j are all elements of F . Then

$$\text{Res}(f, g) = a^m \prod_{i=1}^n g(\alpha_i) = (-1)^{nm} b^n \prod_{j=1}^m f(\beta_j) = a^m b^n \prod_{i,j} (\alpha_i - \beta_j).$$

7.9 Introduction to Irreducible Polynomials

Definition 7.9.1. (Content and Primitive Polynomials) Let $f = \sum_{k=0}^n a_k X^k \in \mathbb{Z}[X]$ be nonzero. Define

$$c(f) := \gcd(a_0, \dots, a_n)$$

to be the greatest common divisor of all coefficients. If $c(f) = 1$, then f is called a **primitive polynomial**.

Lemma 7.9.2. (Gauss's Lemma) Let $g, h \in \mathbb{Z}[X]$ be primitive polynomials. Then gh is also primitive.

Lemma 7.9.3. For all nonzero $g, h \in \mathbb{Z}[X]$, we have $c(gh) = c(g)c(h)$.

Proposition 7.9.4. Let $f \in \mathbb{Z}[X]$ be a primitive polynomial. The following are equivalent:

- (a) f is irreducible in $\mathbb{Q}[X]$;
(b) There do not exist polynomials $g, h \in \mathbb{Z}[X]$, both of degree > 0 , such that $f = gh$.

Moreover, if a primitive polynomial f factors as gh in $\mathbb{Q}[X]$, then by multiplying g and h by some $\alpha \in \mathbb{Q}^\times$ and α^{-1} respectively, we can ensure that both g and h are primitive polynomials.

Theorem 7.9.5. *The irreducible elements of the integral domain $\mathbb{Z}[X]$ fall into two classes:*

- ▷ **First class:** Irreducible elements of \mathbb{Z} .
- ▷ **Second class:** Primitive polynomials f of degree > 0 that satisfy the equivalent conditions (a) or (b) of Proposition 7.9.4.

Furthermore, $\mathbb{Z}[X]$ is a unique factorization domain.

Theorem 7.9.6. (Eisenstein's Criterion) Let $n \in \mathbb{Z}_{\geq 1}$ and $f = a_0 + a_1X + \dots + a_nX^n \in \mathbb{Z}[X]$. If there exists a prime p satisfying

$$p \nmid a_n,$$

$$0 \leq i < n \implies p \mid a_i,$$

$$p^2 \nmid a_0,$$

then f is irreducible as an element of $\mathbb{Q}[X]$.

Proposition 7.9.7. (Rational Root Test) Let $\alpha = u/v$ be a rational number that is a root of a polynomial with integer coefficients $a_nX^n + a_{n-1}X^{n-1} + \dots + a_0 \in \mathbb{Z}[X]$, where u and v are coprime. Then $v \mid a_n$ and $u \mid a_0$.

Corollary 7.9.7.1. Any rational root of a monic polynomial with integer coefficients must be an integer.

7.10 Constructing Field Extensions from Irreducible Polynomials

Lemma 7.10.1. Let $f \in F[X] \setminus F$. Then

$$\begin{aligned} \iota : F &\rightarrow F[X]/(f) \\ a &\mapsto a + (f) \end{aligned}$$

is an injective ring homomorphism.

Lemma 7.10.2. Let $f \in F[X] \setminus F$. Then the cosets of $1, X, \dots, X^{\deg f - 1}$ modulo (f) form a basis for $F[X]/(f)$ as an F -vector space.

Proposition 7.10.3. Let $f = \sum_{n \geq 0} a_nX^n \in F[X]$ be irreducible.

(i) With respect to the field embedding $\iota : F \hookrightarrow F[X]/(f) =: E$, let $\alpha := X + (f) \in E$. Then the polynomial $f^\iota \in E[X]$ satisfies $f^\iota(\alpha) = 0$.

(ii) If L is a commutative ring, $\xi : F \rightarrow L$ is a ring homomorphism, and $\beta \in L$ satisfies $f^\xi(\beta) = 0$, then there exists a unique ring homomorphism $\psi : E \rightarrow L$ such that $\psi(\alpha) = \beta$ and the following diagram commutes:

$$\begin{array}{ccc}
 & \iota & \\
 F & \xrightarrow{\quad} & E \\
 \xi \searrow & & \swarrow \psi \\
 & L &
 \end{array}$$

This is equivalent to saying that ψ is a linear map with respect to the F -vector space structure on E (or L) given by ι (or ξ).

Corollary 7.10.3.1. For any field F and any nonconstant polynomial $f \in F[X]$, there exists a field embedding $F \hookrightarrow E_f$ such that f splits over E_f .

Definition 7.10.4. (Degree of Extension) Consider a field F , a ring L , together with a given homomorphism $F \rightarrow L$. This data makes L an F -vector space. Define the **degree** of L relative to F as

$$[L : F] := \dim_F L.$$

In particular, for any field extension E of F , we can discuss its degree $[E : F]$.

Proposition 7.10.5. (Tower Law) Let E be a field extension of F , and let L be any ring with a given homomorphism $E \rightarrow L$, making L an E -vector space. If we restrict the scalar multiplication to the subfield F , then L is also an F -vector space. The degrees satisfy the tower property:

$$[L : F] = [L : E] \cdot [E : F].$$

Corollary 7.10.5.1. Let $f \in F[X]$ satisfy $n := \deg f \geq 1$. Then the extension field E_f can be suitably chosen such that $[E_f : F] \leq n!$.