

Unsupervised Learning of Neural Lexicon and Cross-lingual Word Embedding

Jiahui Geng

`jiahui.geng@rwth-aachen.de`

**Master Thesis Mid-term Talk
October 23, 2018**

**Human Language Technology and Pattern Recognition
Lehrstuhl für Informatik 6
Computer Science Department
RWTH Aachen University, Germany**

Introduction

Literature

Cross-lingual word embedding

- ▶ Supervised learning
- ▶ Unsupervised learning

Sentence Translation with cross-lingual word embedding

- ▶ Context-aware beam search
- ▶ Denoising autoencoder

Experiments

Outlook

Motivation

- ▶ **Building a machine translation system requires lots of bilingual data**
- ▶ **Cross-lingual word embedding offers elegant word matches between languages**
- ▶ **Unsupervised MT relies on back-translation which needs a long training time**

Goals

- ▶ **Study training details of cross-lingual word embedding**
- ▶ **Build a good unsupervised MT efficiently: combine with other models**
- ▶ **Improve the unsupervised learning algorithm for cross lingual word embedding**

Unsupervised cross-lingual embedding

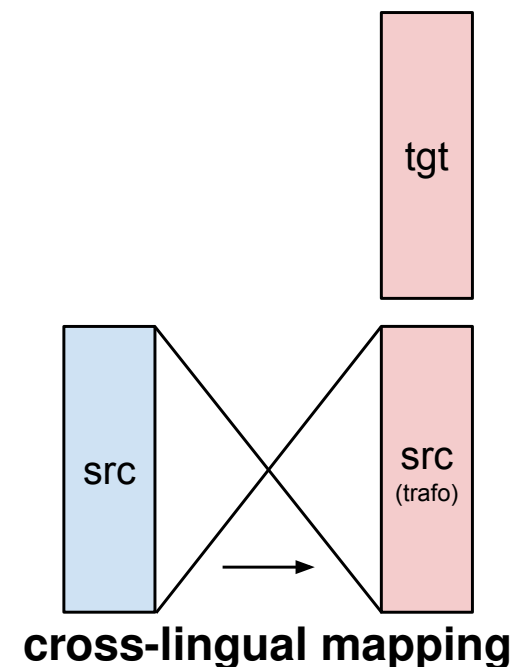
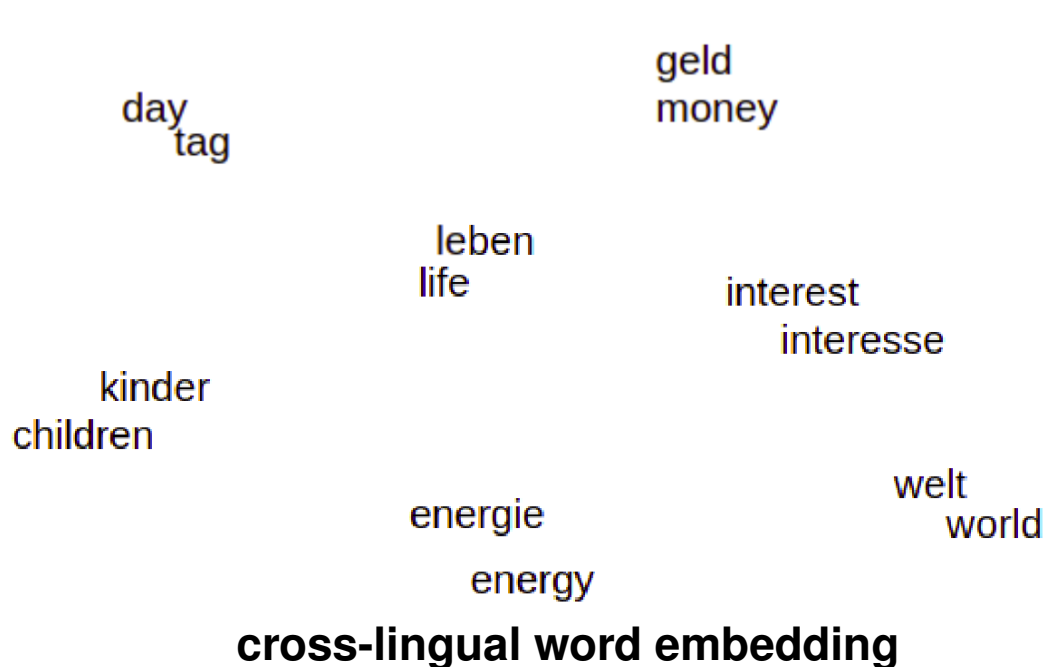
- ▶ **[Conneau & Lample⁺ 17] Word translation without parallel data**
 - ▷ **Implementation of GANs: discriminator trained to distinguish between two distributions while generator fools discriminator**
- ▶ **[Artetxe & Labaka⁺ 17a] Learning bilingual word embeddings with (almost) no bilingual data**
 - ▷ **A self-learning framework combining embedding mapping and dictionary induction techniques, needs seed dictionary to start**
- ▶ **[Hoshen & Wolf 18] An Iterative Closest Point Method for Unsupervised Word Translation**
 - ▷ **Iterative closest point method for embedding mapping learning**

Unsupervised machine translation

- ▶ [Artetxe & Labaka⁺ 17b] Unsupervised Neural Machine Translation
- ▶ [Lample & Denoyer⁺ 17] Unsupervised Machine Translation Using Monolingual Corpora Only
 - ▷ Seq2seq model with shared encoder and decoder for both languages, also with denoising autoencoder and back-translation
- ▶ [Artetxe & Labaka⁺ 17b] Phrase-Based & Neural Unsupervised Machine Translation
 - ▷ Simplifies the architecture and loss function for unsupervised NMT and propose a phrase-based SMT with back-translation

Definition

- ▶ Word embedding of multiple languages in a joint embedding space
- ▶ Linear mapping from source embedding to target embedding (this work)



Roles in unsupervised neural machine translation

- ▶ **Shared latent representations**
 - ▷ **Shared encoder for producing a language independent representation**
- ▶ **As word or phrase table for translation**

This work

- ▶ **Formulate a straightforward way to combine a language model with cross-lingual word similarities**

Training Methods

- ▶ **Mapping-based approaches (this work)**
- ▶ **Pseudo-multi-lingual corpora-based approaches**
- ▶ **Joint methods**

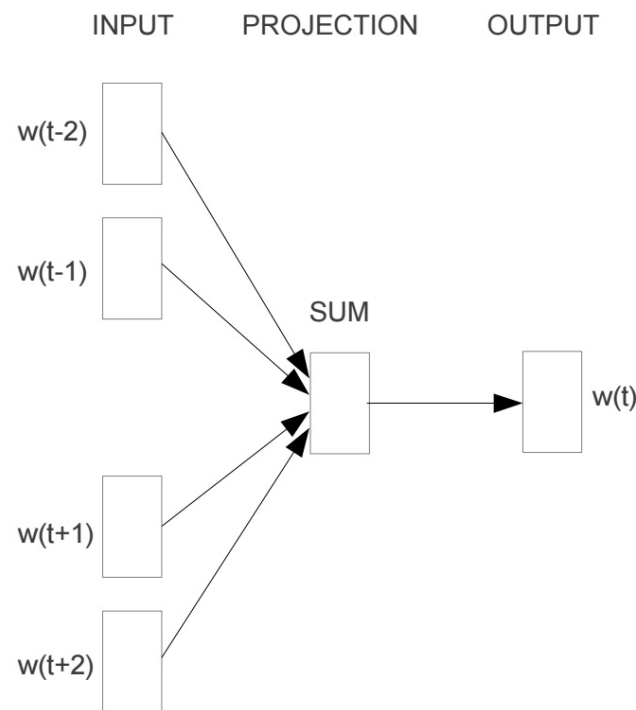
Mapping based approaches

- ▶ **Learn monolingual embedding separately**
 - ▷ **Skip-gram model**
- ▶ **Learn linear mapping between embedding spaces**
 - ▷ **Supervised learning**
 - **Procrustes analysis**
 - ▷ **Unsupervised learning**
 - **Iterative self-learning framework**
 - **Adversarial learning**
- ▶ **Synthetic dictionary induction**
 - ▷ **Nearest neighbor search**

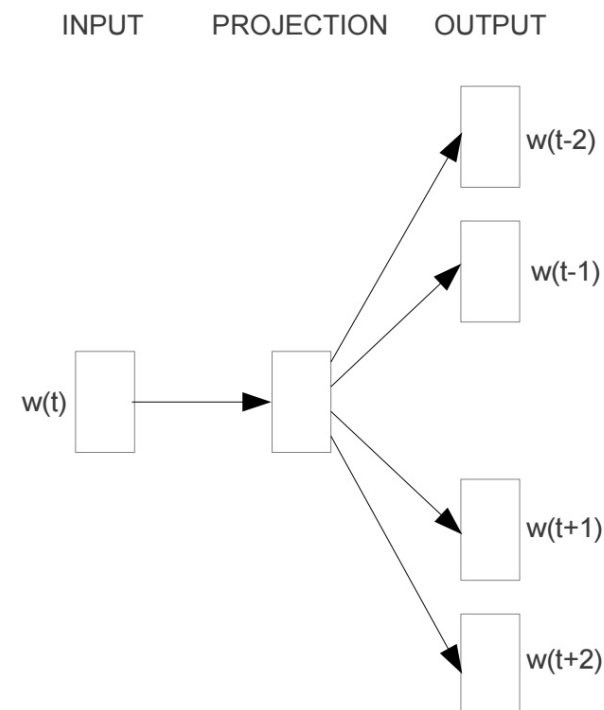
Monolingual Embedding

Fasttext [Bojanowski & Grave⁺ 17]

- ▶ Essentially an extension of skip-gram/CBOW model
- ▶ Treat each word as compound of character n -grams
- ▶ Learn the internal structure of words



CBOW



Skip-gram

Assume given

- ▶ **Word embedding**
trained independently for each language on monolingual corpora
- ▶ **Bilingual dictionary**
a known dictionary with pairs of words $\{f, e\}$ size N

Learn a linear mapping $W \in \mathbb{R}^{d \times d}$ such that

$$W^* = \operatorname{argmin}_{W \in \mathbb{R}^{d \times d}} \sum_{n=1}^N \|W f_n - e_n\|^2$$

- ▶ d : **Dimension of embedding**
- ▶ $f_n, e_n \in \mathbb{R}^d$: **the embedding pair of corresponding word pair in the dictionary**

Constrain W to be an orthogonal matrix

- ▶ **Enforce monolingual invariance**
- ▶ **Simplify the problem as the Procrustes problem**
 - ▷ **A closed-form solution obtained from SVD**
 - ▷ **$E, F \in \mathbb{R}^{d \times N}$ denote embedding projection of word pairs $\{e, f\}$**

$$W^* = \operatorname{argmin}_{W \in \mathbb{R}^{d \times d}} \|WF - E\|_F^2 = UV^T$$
$$U\Sigma V^T = \operatorname{SVD}(EF^T)$$

- ▶ **Can be efficiently computed in linear time w.r.t. seed dictionary size N**

Problem

- ▶ Large dictionary not readily available for many language pairs

Self-learning framework [Artetxe & Labaka⁺ 17a]

1. Given source and target embedding \mathcal{F} \mathcal{E} , seed dictionary D
2. Learn mapping with dictionary D
3. Induce dictionary D' according to mapping
4. $D := D'$ and repeat step 2, 3 until converges

Performance

- ▶ Works with initial dictionary
- ▶ Achieves comparable accuracy as supervised method
- ▶ Stuck in a poor local optimum without initial dictionary

Methods

- ▶ **Learn bilingual embeddings without any bilingual evidence (this work)**
 - ▷ **Adversarial training [Conneau & Lample⁺ 17]**
- ▶ **Design the seed dictionary**
 - ▷ **Shared words, digits and cognates [Artetxe & Labaka⁺ 17a]**
 - ▷ **Design heuristics to build the seed dictionary [Hoshen & Wolf 18] [Artetxe & Labaka⁺ 18]**

Adversarial Training

Model

- ▶ $\mathcal{F} = \{f_1, \dots, f_{V_f}\}$ and $\mathcal{E} = \{e_1, \dots, e_{V_e}\}$: set of embeddings, not parallel
- ▶ Discriminator is trained to discriminate $W f_n$ and e_n with f_n, e_n randomly sampled from \mathcal{F}, \mathcal{E}
- ▶ Generator W is trained to prevent the discriminator from making accurate prediction

Discriminator loss

$$\mathcal{L}_D(\theta_D|W) = -\frac{1}{N} \sum_{n=1}^N \log P_{\theta_D}(\text{'source'}|W f_n) - \frac{1}{M} \sum_{m=1}^M \log P_{\theta_D}(\text{'target'}|e_m)$$

Generator loss

$$\mathcal{L}_W(W|\theta_D) = -\frac{1}{N} \sum_{n=1}^N \log P_{\theta_D}(\text{'target'}|W f_n) - \frac{1}{M} \sum_{m=1}^M \log P_{\theta_D}(\text{'source'}|e_m)$$

Dictionary Induction

Nearest neighbor search

- ▶ **Hubness problem: some points (hubs) tends to be nearest neighbors of many points in high-dimensional space**

Cross-domain Similarity Local Scaling (CSLS)

- ▶ **Penalize the similarity score of hubs**
 - ▷ $N_T(Wf)$: target neighbours for mapped source embedding
 - ▷ $r_T(Wf)$: penalty for hubness

$$r_T(Wf) = \frac{1}{K} \sum_{e \in N_T(Wf)} \cos(Wf, e)$$

$$\text{CSLS}(Wf, e) = 2 \cos(Wf, e) - r_T(Wf) - r_S(e)$$

Bidirection dictionary induction

- ▶ **Unidirectional dictionary might lead to local optima**
- ▶ **Include only the mutual nearest neighbors**

Context-aware Beam Search

- ▶ Language model

Denoising Autoencoder

- ▶ Insertion
- ▶ Deletion
- ▶ Reordering

Given a history h of target words before e , the score of e to be the translation of f :

$$\hat{e}_1^N = \operatorname{argmax}_{e_1^N} \prod_{n=1}^N p^{\lambda_{LM}}(e_n | e_{n-4}^{n-1}) \cdot q^{\lambda_{emb}}(f_n, e_n)$$

► **Lexicon score** $q(f, e) \in [0, 1]$ **defined as:**

$$q(f, e) = \frac{d(f, e) + 1}{2}$$

where $d(f, e) \in [-1, 1]$ **cosine similarity** between f and e . In experiments, **lexicon score from linear scaling** works better than others, e.g. **sigmoid** or **softmax**

► **Empirically set** λ_{emb} **as 1**, λ_{LM} **as 0.1**

Basic idea

- ▶ **Model noise(e_1^I)** by injecting artificial noise into clean sentences e_1^I
- ▶ **Neural network learns to restore more smooth sentence from word-by-word translation**

Training criterion

$$\mathcal{L} = \sum_{e_1^I \in E} [-\log p(e_1^I | \text{noise}(e_1^I))]$$

- ▶ E denotes target corpus.
- ▶ In Seq2Seq training, e_1^I as label, noise(e_1^I) as input
- ▶ Artificial noise:
 - ▷ insertion, deletion, reordering

Insertion

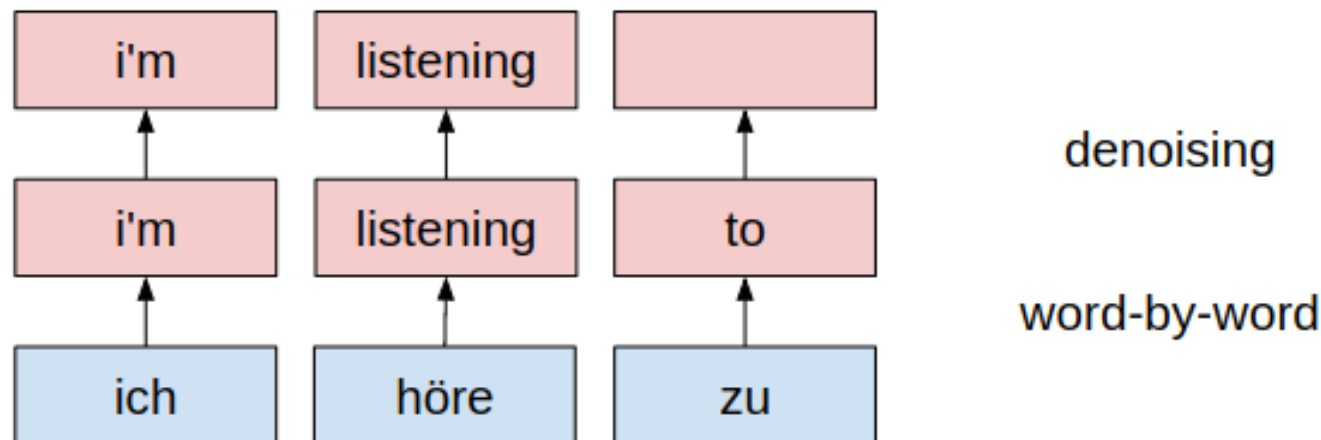
Insertion

► Motivation

- ▷ Word-by-word translation always outputs a target word for every position
- ▷ Some common words are considered as redundant ones

► Method

- ▷ For each position in a sentence, insert a frequent word according from set V_{ins} to a probability distribution p_{ins}
- ▷ Denoising network learns to delete the word when translating



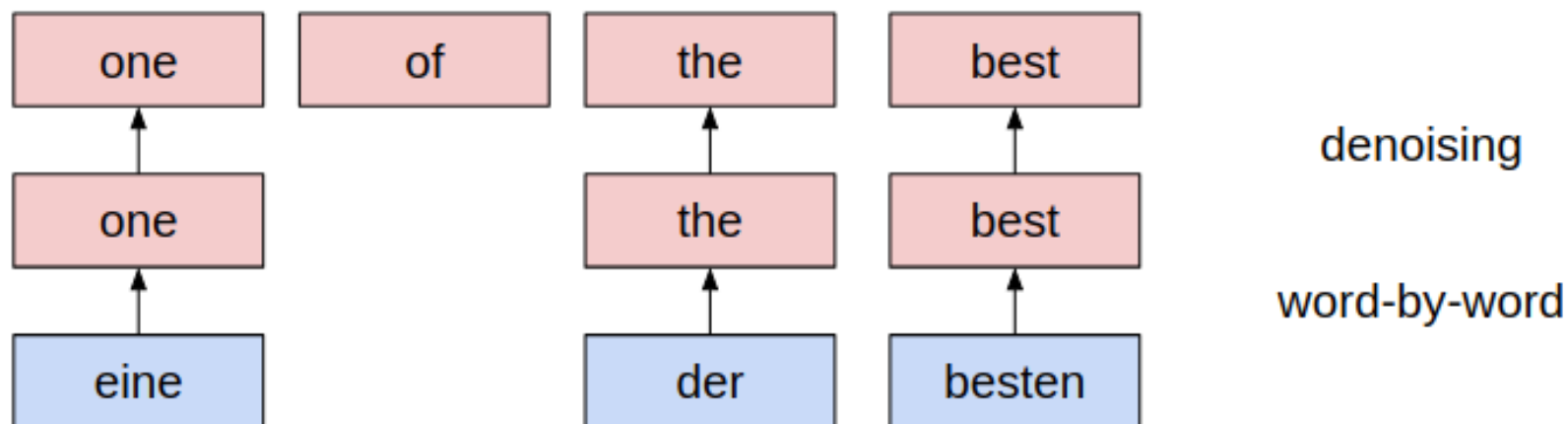
Deletion

► Motivation

- In contrary case: some words are not related to any source word

► Realization

- For each position in a sentence, delete the word according to a probability distribution p_{del} as input
- Denoising network learns to add some potential words when translating



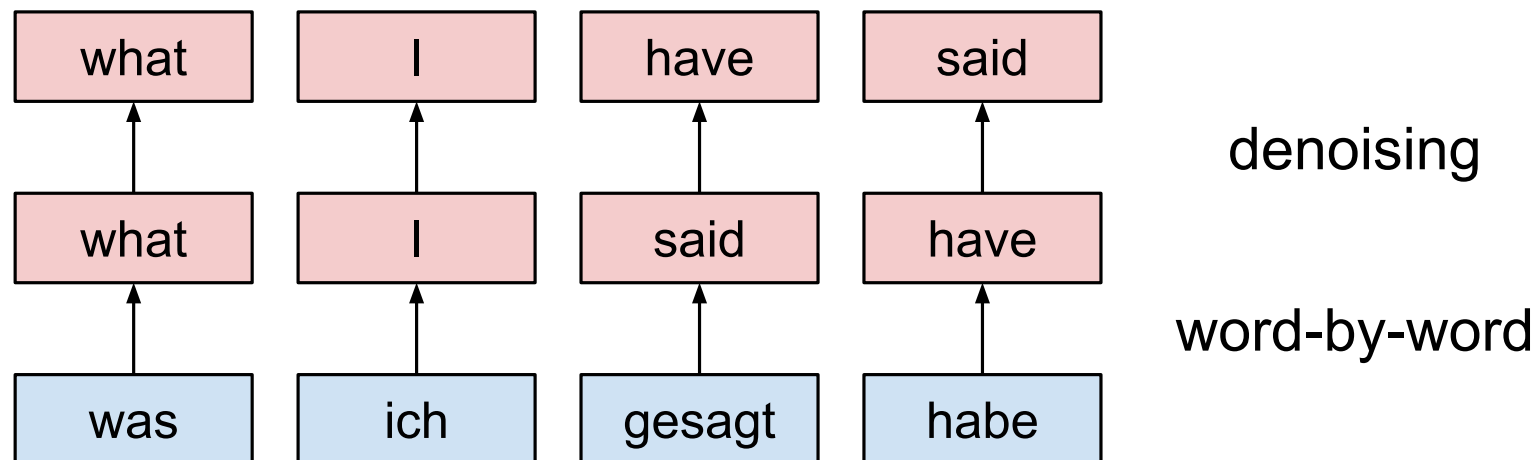
Reordering

► Motivation

- Generated words are not in a correct sequence of the target language

► Method

- For each position of a sentence, swap the words within a limited distance d_{per} as input
- Denoising network learns reordering information when translating



Motivation

- ▶ **Vocabulary-based just looks at the mutual nearest neighbours**
- ▶ **Word frequency should also be accounted**
- ▶ **Assign priority to frequent words**

Intuition

- ▶ **Integrate the translation task into the learning process of cross-lingual word embedding**
- ▶ **Make use of Language Model**

Algorithm 1: Iterative learning of corpus-based approach

Input: F (source embeddings)

Input: E (target embeddings)

Input: LM_e (language model)

Input: \mathcal{F} (source corpus)

Result: W (embedding mapping)

```
1 while not converge do
2   |    $\mathcal{E} \leftarrow \text{TRANSLATE}(\mathcal{F}, F, E, \text{LM}_e)$ 
   |    $W \leftarrow \text{LEARN\_MAPPING}(\mathcal{F}, \mathcal{E})$ 
3 end
```

- ▶ Composes of mapping learning, dictionary induction and corpus translation
- ▶ Efficiency turns out to be critical because of the additional translation task

Algorithm 2: Online learning for corpus-based approach

Input: F (source embeddings)

Input: E (target embeddings)

Input: LM_e (language model)

Input: \mathcal{F} (source corpus)

Result: W (embedding mapping)

```
1 while not converge do
2   Generate batch of source sentences  $\{f_1^J\}$  from  $\mathcal{F}$ 
3    $\{e_1^I\} \leftarrow \text{TRANSLATE}(\{f_1^J\}, F, E, \text{LM}_e)$ 
    $W \leftarrow \text{LEARN\_MAPPING}(\{f_1^J\}, \{e_1^I\})$ 
4 end
```

- ▶ **Dictionary Induction: CSLS retrieval**
- ▶ **Corpus Translation: Simplified as word-by-word translation**
- ▶ **Optimization Objective**
- ▶ **Orthogonal Constraint**

$$W \leftarrow (1 + \beta)W - \beta(WW^T)W$$

- ▶ **Stop Criterion**
average cosine similarity

- ▶ **Embedding Normalization**

Centering: mean word embedding should be no bias

- ▶ **Dictionary Induction**

CSLS retrieval

- ▶ **Corpus Translation: Simplified as word-by-word translation**

We use the word-by-word translation framework implemented before, with this translation pair at each position is taken as word translation candidate

- ▶ **Optimization Objective**

$$W = \operatorname{argmin}_{W \in \mathbb{R}^{d \times d}} \frac{1}{|\tilde{V}|} \sum_{n=1}^{|\tilde{V}|} l(W f_n, e_n)$$

► Orthogonal Constraint

$$W \leftarrow (1 + \beta)W - \beta(WW^\top)W$$

where $\beta = 0.01$ is suggested, we find the orthogonal constraint make the training procedure converge successfully

► Learning Rate Scheduling

- ▷ Starting with initial learning rate for each embedding training step
- ▷ Inherit learning rate from last training step

► Stop Criterion

Average cosine similarity between top 10k most frequent source words and corresponding translation

- ▶ **Word embedding and LM trained on News Crawl 2014–2017 (100M)**
- ▶ **BLEU evaluated on German↔English newstest2016**
- ▶ **Word accuracy evaluated on dictionaries released by Facebook**
 - ▷ **Dictionary built with internal translation tool**
 - ▷ **Each word has 1-4 word translation(s)**
 - ▷ **Top-1 accuracy**
- ▶ **Context-aware beam search**
 - ▷ **Lexicon candidates: 100**
 - ▷ **Beam width: 10**

Corpus Statistics

Train		German	English	French
	Sentences	100M	100M	100M
	Running Words	1880M	2360M	3017M
	Vocabulary	1254k	523k	660k

Test		newstest2016		newstest2014	
		German	English	French	English
	Sentences	2999	2999	3003	3003
	Running Words	62506	64619	81165	71290
	Vocabulary Size	11978	8645	10899	9200
	OOV Rates	4116 (6.6%)	1643 (2.5%)	1731 (2.1%)	1299 (1.8%)
	LM perplexity	211.0	109.6	51.2	84.6

Search vocabulary in testing: 50k (src/tgt)

Experiments: Translation

Translation results on German↔English `newstest2016` and French↔English `newstest2014`.

	de-en	en-de	fr-en	en-fr
System	BLEU [%]	BLEU [%]	BLEU [%]	BLEU [%]
Word-by-Word	11.1	6.7	10.6	7.8
+ LM (5-gram) + tgt w/ high LM score for OOV	12.9	8.9	12.7	10.0
+ LM (5-gram) + copy from src for OOV	14.5	9.9	13.6	10.9
+ Denoising (RNN)	16.2	10.6	15.8	13.3
+ Denoising (Transformer)	17.2	11.0	16.5	13.9
[Lample & Denoyer ⁺ 17]	13.3	9.6	14.3	15.1
[Artetxe & Labaka ⁺ 17b]	-	-	15.6	15.1

Experiments: Cross-lingual Word Embedding

Cross-lingual Word Retrieval Accuracy

		De-En [%]	En-De [%]
Corpus-based	lr scheduler 1	60.37	60.67
	lr scheduler 2	51.50	\
Corpus-based without LM	lr scheduler 1	54.36	\
Adversarial		53.50	\
Adversarial + Refinement		64.92	\
Supervised		65.38	\

Comprehensive results

- ▶ **Context-aware beam search with LM helps the lexicon choice**
- ▶ **Denoising networks aimed at insertion/deletion/reordering noise works for such problems in a small range of sentences**

Ablation studies

- ▶ **BPE embeddings performs worse than word embeddings, especially with smaller vocabulary size.**
- ▶ **Word-by-word translation with cross-lingual embedding depends highly on the frequent word mappings**
- ▶ **Phrase embedding only helps in word-by-word translation with LM**

Thank you for your attention

Jiahui Geng

`jgeng@cs.rwth-aachen.de`

`http://www.hltpr.rwth-aachen.de/`

References

- [Adams & Makarucha⁺ 17] O. Adams, A. Makarucha, G. Neubig, S. Bird, T. Cohn: Cross-lingual word embeddings for low-resource language modeling. In *Proceedings of the 15th Conference of the European Chapter of the Association for Computational Linguistics: Volume 1, Long Papers*, Vol. 1, pp. 937–947, 2017.
- [Artetxe & Labaka⁺ 17a] M. Artetxe, G. Labaka, E. Agirre: Learning bilingual word embeddings with (almost) no bilingual data. In *Proceedings of the 55th Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers)*, Vol. 1, pp. 451–462, 2017.
- [Artetxe & Labaka⁺ 17b] M. Artetxe, G. Labaka, E. Agirre, K. Cho: Unsupervised neural machine translation. *arXiv preprint arXiv:1710.11041*, Vol., 2017.
- [Artetxe & Labaka⁺ 18] M. Artetxe, G. Labaka, E. Agirre: A robust self-learning method for fully unsupervised cross-lingual mappings of word embeddings. *arXiv preprint arXiv:1805.06297*, Vol., 2018.
- [Bojanowski & Grave⁺ 17] P. Bojanowski, E. Grave, A. Joulin, T. Mikolov: Enriching Word Vectors with Subword Information. *Transactions of the Association for Computational Linguistics*, Vol. 5, pp. 135–146, 2017.

- [Conneau & Lample⁺ 17] A. Conneau, G. Lample, M. Ranzato, L. Denoyer, H. Jégou: Word translation without parallel data. *arXiv preprint arXiv:1710.04087*, Vol., 2017.
- [Hoshen & Wolf 18] Y. Hoshen, L. Wolf: An Iterative Closest Point Method for Unsupervised Word Translation. *arXiv preprint arXiv:1801.06126*, Vol., 2018.
- [Lample & Denoyer⁺ 17] G. Lample, L. Denoyer, M. Ranzato: Unsupervised Machine Translation Using Monolingual Corpora Only. *arXiv preprint arXiv:1711.00043*, Vol., 2017.
- [Mikolov & Sutskever⁺ 13] T. Mikolov, I. Sutskever, K. Chen, G.S. Corrado, J. Dean: Distributed representations of words and phrases and their compositionality. In *Advances in neural information processing systems*, pp. 3111–3119, 2013.