

The weak Arthur packets of real classical groups

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(BIRS-IASM, Arthur packets)

Jordan-Chevalley decomposition

- For each $g \in G := \mathrm{GL}_n(\mathbb{C})$, $\exists!$ pair (s, u) such that

$$g = su = us,$$

- s is semisimple, and u is unipotent ($u - 1$ is nilpotent.)

Camille Jordan

Claude Chevalley



- Classification of conjugation classes in G :

$$G / \sim = \bigsqcup_{s \in G_{\text{s.s.}} / \sim} \{ su \mid u \in G_s \} / \sim \xleftrightarrow{\text{bij.}} \bigsqcup_{s \in G_{\text{s.s.}} / \sim} \text{unip}(G_s)$$

Finite group of Lie type: $G := \mathbf{G}(\mathbb{F}_q)$

- Deligne-Lusztig and Lusztig (1970s–1980s)
- **Jordan decomposition:**

Define dual group \check{G} . E.g. $\mathrm{Sp}_{2n} = G \longleftrightarrow \check{G} = \mathrm{SO}_{2n+1}$

Deligne



$$\mathrm{Irr}(G) = \bigsqcup_{s \in \check{G}_{\mathrm{s.s.}} / \sim} \mathcal{E}(G, s).$$

Lusztig

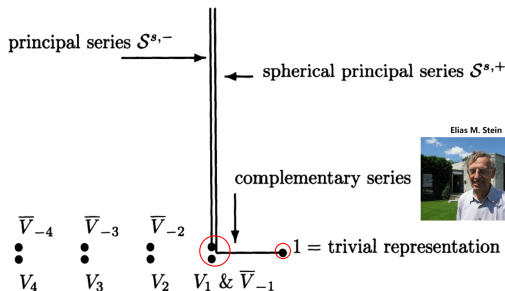


Lusztig's map to the unipotent packet.

$$\mathcal{E}(G, s) \xrightarrow[\mathcal{L}_s]{b_{ij.}} \mathcal{E}(\check{G}_s, 1)$$

Representations of Real Lie groups

- $G \in \{ \mathrm{GL}_n(\mathbb{R}), \mathrm{U}(p, q), \mathrm{O}(p, q), \mathrm{Sp}(2n, \mathbb{R}), \mathrm{Mp}(2n, \mathbb{R}), \dots \}$
 $\{ \text{discrete series} \} \subset \{ \text{tempered} \} \subset \{ \text{unitary} \} \subset \mathrm{Irr}(G)$
- Unitary dual of $\mathrm{SL}_2(\mathbb{R})$:



Elias M. Stein



- **Open problem:** Structure of the unitary dual!

Langlands and Arthur

- $W_{\mathbb{R}} = \mathbb{C}^{\times} \cup j\mathbb{C}^{\times}$ such that $jzj^{-1} = \bar{z}, j^2 = -1 \in \mathbb{C}^{\times}$.
- Langlands dual group ${}^L G = \check{G} \rtimes \langle j \rangle$.
Eg. $\mathrm{Sp}_{2n}(\mathbb{R}) = G \longleftrightarrow {}^L G = \mathrm{SO}_{2n+1}(\mathbb{C}) \times \langle j \rangle$
- Langlands



$$\mathrm{Irr}(G) \xrightarrow{\text{finite to one}} \{ \phi: W_{\mathbb{R}} \rightarrow {}^L G \} / \check{G}.$$

- Arthur $\mathrm{Irr}_{\mathrm{temp}}(G) \subset \mathrm{Irr}_A(G) \subset \mathrm{Irr}_{\mathrm{unit}}(G)$



$$\mathrm{Irr}_A(G) \xrightarrow{\text{finite to finite}} \{ \psi: W_{\mathbb{R}} \times \mathrm{SL}_2(\mathbb{C}) \rightarrow {}^L G \} / \check{G}.$$

Arthur's unipotent representations

- $\psi : W_{\mathbb{R}} \times \mathrm{SL}_2(\mathbb{C}) \rightarrow {}^L G$
- Unipotent parameter: $\psi|_{\mathbb{C}^\times} = \text{trivial}$
 \Leftrightarrow nilpotent orbit of a real form of \check{G} .



■ **Conjecture:** \exists unipotent Arthur packets

“On some problems suggested by the trace formula” 1980’s

Mœglin Renard



■ **Reduction to unipotent Arthur packet**

“Sur Les paquets d’Arthur des groupes classiques réels”
(2020 JEMS)

Barbasch-Vogan's definition of special unip. repn.

Barbasch



Vogan

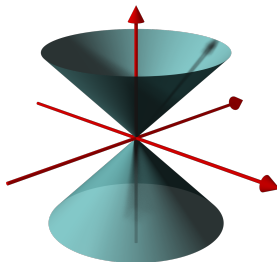


■ $\text{Unip}(G) := \text{repn. with smallest "size"}$

■ Wavefront cycle/Associated cycle:

$$AC : \text{Irr}(G) \longrightarrow \mathcal{K}(\text{equiv. sheaves on nil-cone})$$

■ E.g.: $\text{Lie}(\text{SL}_2(\mathbb{R})) \cong \mathbb{R}^3$.



Barbasch-Vogan's definition of special unip. repn. II

G : a real reductive group.

- $\check{\mathcal{O}}$: a nilpotent orbit in $\check{G} \rightsquigarrow$ inf. char. $\chi_{\check{\mathcal{O}}}$.

Duflo

\rightsquigarrow the maximal primitive ideal $\mathcal{I}_{\check{\mathcal{O}}} \subset \mathcal{U}(\mathfrak{g})$.



- **Definition** (Barbasch-Vogan):

An irr. adm. G -module is called **special unipotent** if

$$\mathrm{Ann}_{\mathcal{U}(\mathfrak{g})}(\pi) = \mathcal{I}_{\check{\mathcal{O}}}.$$

$$\iff \pi \text{ has inf. char. } \chi_{\check{\mathcal{O}}} \text{ and } \mathrm{AV}_{\mathbb{C}}(\pi) = \overline{\mathcal{O}}$$

Spaltenstein

- $\mathrm{Nil}^{\mathrm{special}}(\mathbf{G}) \ni \mathcal{O} :=$ the



dual of

$\check{\mathcal{O}}$.

- $\mathrm{Unip}_{\check{\mathcal{O}}}(G) := \{ \text{special unipotent repn. attached to } \check{\mathcal{O}} \}.$

Conjecture/Open problems

- Major open problem: Classify the unitary dual:

$$\mathrm{Irr}_{\mathrm{unit}}(G) = \{ \text{irr. unitary repn. of } G \}.$$

- Philosophy: $\mathrm{Unip}_{\mathcal{O}}(G)$ = the building blocks of the unitary dual.

Special unip. repn. are not enough in general

E.g., Complex Spin group, by Wong-Zhang.

Losev, Mason-Brown and Matvieievskyi for the generalization.

- Conjecture (1980s): $\mathrm{Unip}_{\mathcal{O}}(G)$ consists of unitary repn.

Question 1: Size of $\mathrm{Unip}_{\mathcal{O}}(G)$?

Question 2: Construction of unipotent repn.

Question 3: Character formula?

- Barbasch-Vogan 1985: Classification for complex groups.
- Barbasch 1989: Proved the conj. for complex classical groups.

Special unip. repn. of simply conn. classical groups

Theorem (Barbasch-M.-Sun-Zhu)

Suppose G is a simply connected real classical group, i.e. one of the following groups

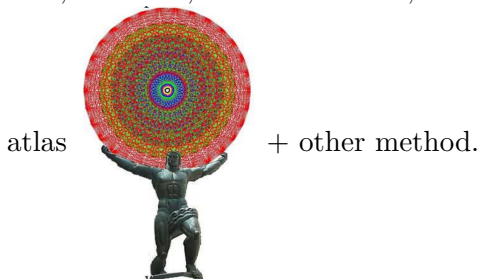
$$\mathrm{SU}(p, q), \mathrm{Spin}(p, q), \mathrm{Spin}(2n, \mathbb{H}), \mathrm{Sp}(2n, \mathbb{R}), \mathrm{Mp}(2n, \mathbb{R}), \mathrm{Sp}(p, q)$$

Arthur-Barbasch-Vogan's unitarity conj. for special unipotent repn. holds:

All special unipotent repn. of G are unitarizable.

Answer for exceptional groups

J. Adams, S. Miller, M. van Leeuwen, and D. A. Vogan



Counting G -module with a specific ann. variety

- Fix inf. char. $\mu \in \mathfrak{h}^*/W$
- integral Weyl group

$$W(\mu) := \{ w \in W \mid \langle \mu - w\mu, \check{\alpha} \rangle \in \mathbb{Z}, \forall \alpha \in \Delta(\mathfrak{g}, \mathfrak{h}) \}$$

double cell $\mathcal{D} \subset \text{Irr}(W(\mu)) \rightsquigarrow$ the special repn. τ_0

\rightsquigarrow truncated ind. $J_{W(\mu)}^W \tau_0 \xrightarrow{\text{Springer corr.}} \mathcal{O}.$

$$W_\mu = \{ w \in W \mid w\mu = \mu \}.$$

- $\mathcal{G}_\mu(G)$: the coherent countinuation repn. of $W(\mu)$.

Lemma: If \mathfrak{g} has no E_8 factor, then

$$\begin{aligned} & \# \{ \pi \in \text{Irr}_\mu(\mathfrak{g}, K)(G) \mid \text{AV}_{\mathbb{C}}(\pi) = \overline{\mathcal{O}} \} \\ &= \sum_{\substack{\mathcal{D} \rightsquigarrow \mathcal{O} \\ \tau \in \mathcal{D}}} [\tau : 1_{W_\mu}] \cdot [\tau : \mathcal{G}_\mu(G)] \end{aligned}$$

Applications

- $\text{Unip}_{\mathcal{O}}(\text{SL}_n(\mathbb{H})) = \text{restriction of } \text{Unip}_{\mathcal{O}}(\text{GL}_n(\mathbb{H})).$
- $\text{Unip}_{\mathcal{O}}(\text{SL}_n(\mathbb{R})) = \text{components in the rest. of } \text{Unip}_{\mathcal{O}}(\text{GL}_n(\mathbb{R})).$
- $\text{Unip}_{\mathcal{O}}(\text{SU}(p, q)) = \text{rest. of } \text{Unip}_{\mathcal{O}}(\text{det double cover of } \text{U}(p, q)).$
- genuine special unipotent repn. of $\text{Spin}(p, q)$ are some obvious irreducibly parabolically induced module.

Nilpotent orbits with “good/bad parity”

- Bad parity (must occur with even multiplicity in $\check{\mathcal{O}}$):

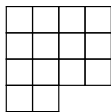
$$\begin{cases} \text{even number,} & \text{when } \check{G} \text{ is type } B \text{ or } D \\ \text{odd number,} & \text{when } \check{G} \text{ is type } C \end{cases}$$

- $\check{\mathcal{O}}$ has “good parity” if $\check{\mathcal{O}}$ only contains

$$\begin{cases} \text{odd rows,} & \text{when } \check{G} \text{ is type } B \text{ or } D \\ \text{even rows,} & \text{when } \check{G} \text{ is type } C \end{cases}$$

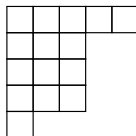
$\check{\mathcal{O}}$ good parity $\leadsto \chi_{\check{\mathcal{O}}}$ is integral.

\mathcal{O}



$\mathrm{Sp}(14, \mathbb{C})$

$\check{\mathcal{O}}$



$\mathrm{SO}(15, \mathbb{C})$

Reduction to the “good parity”

- Consider $G = \mathrm{Sp}(2n, \mathbb{R})$.
- $\check{\mathcal{O}}$ decompose into two parts $\check{\mathcal{O}}_g$ (good parity) and $\check{\mathcal{O}}_b$ (bad parity).
- Assume $\check{\mathcal{O}}_b = \{r_1, r_1, \dots, r_k, r_k\}$.

Theorem Let $\check{\mathcal{O}}'_b = \{r_1, \dots, r_k\} \in \mathrm{Nil}_{\mathrm{GL}}$.

$$\begin{aligned} \mathrm{Unip}_{\check{\mathcal{O}}'_b}(\mathrm{GL}) \times \mathrm{Unip}_{\check{\mathcal{O}}_g}(\mathrm{Sp}) &\xrightarrow{\text{bij.}} \mathrm{Unip}_{\check{\mathcal{O}}}(\mathrm{Sp}) \\ (\pi', \pi_0) &\mapsto \mathrm{Ind}_{\mathrm{GL}_{|\check{\mathcal{O}}'_b|} \times \mathrm{Sp}(2n_0, \mathbb{R}) \times U}^{\mathrm{Sp}(2n, \mathbb{R})} \pi' \otimes \pi_0 \end{aligned}$$

$$\mathrm{Unip}_{\check{\mathcal{O}}'_b}(\mathrm{GL}) = \left\{ \mathrm{Ind}_{j=1}^k \otimes \mathrm{sgn}_{\mathrm{GL}(r_j, \mathbb{R})}^{\epsilon_j} \mid \epsilon_j \in \mathbb{Z}/2\mathbb{Z} \right\}$$

- Use **theta correspondence** to study $\mathrm{Unip}_{\check{\mathcal{O}}_g}(G)$.
- We assume $\check{\mathcal{O}}$ has **good parity** from now on.

Counting unipotent representations I

- Example: $G = \mathrm{Sp}(2n, \mathbb{R})$ and $\chi_{\check{\mathcal{O}}} \in \rho_G +$ weight lattice.

$$W(\chi_{\check{\mathcal{O}}}) = S_n \ltimes \{\pm 1\}^n,$$

$$\mathcal{G}_{\rho_G}(G) = \sum_{\substack{b,c,d,r, \\ \sigma \in \hat{S}_r}} \mathrm{Ind}_{S_r \times W_{2b} \times W_c \times W_d}^{W_n} \mathrm{sgn} \otimes (\sigma \times \sigma) \otimes \mathbf{1} \otimes \mathbf{1}.$$



$$\text{max. torus } \mathbb{C}^b \times \mathrm{U}(1)^r \times \mathbb{R}^{c+d}$$

$$\check{\mathcal{O}} \rightsquigarrow \text{special repn. } \tau = \text{Springer}(d_{BV}(\check{\mathcal{O}}))$$

$$\text{Lusztig left cell } \mathcal{C} = \{\tau_1, \dots, \tau_{2^l}\} \text{ containing } \tau$$

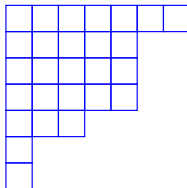
- $[\tau_i : 1_{W_{\lambda_{\check{\mathcal{O}}}}}] = 1$ and $[\tau_i : \mathcal{G}_{\rho_G}(G)] = [\tau : \mathcal{G}_{\rho_G}(G)]$

$$\# \mathrm{Unip}_{\check{\mathcal{O}}}(G) = 2^l \cdot [\tau : \mathcal{G}_{\rho_G}(G)]$$

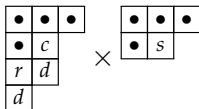
- $[\tau : \mathcal{G}_{\rho_G}(G)]$ is counted by painted bi-partitions $\mathrm{PBP}(\check{\mathcal{O}})$.

Example of PBP

$$\check{\mathcal{O}} = [7, 5, 5, 5, 3, 1, 1] =$$



$\text{PBP}_{\check{\mathcal{O}}}(\text{Sp}(2n, \mathbb{R}))$



\mapsto

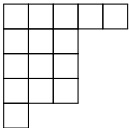
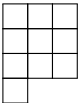


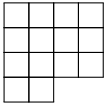
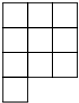


Associated character

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Inductive structure of nilpotent orbits

\widehat{G}_i	$SO(15, \mathbb{C})$	$O(10, \mathbb{C})$	$SO(7, \mathbb{C})$	$O(4, \mathbb{C})$
$\check{\mathcal{O}}_i$				
\mathcal{O}_i				
G_i	$Sp(14, \mathbb{C})$	$O(10, \mathbb{C})$	$Sp(6, \mathbb{C})$	$O(4, \mathbb{C})$

Kraft

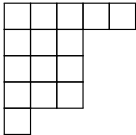
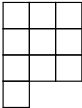


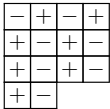
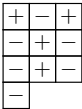




Procesi



resolution of singularities of nilpotent orbit closures.

Example of descent sequences

\mathbf{G}_i^\vee	$\mathrm{SO}(15, \mathbb{C})$	$\mathrm{O}(10, \mathbb{C})$	$\mathrm{SO}(7, \mathbb{C})$	$\mathrm{O}(4, \mathbb{C})$
$\check{\mathcal{O}}_i$				
\mathcal{O}_i				
G_i	$\mathrm{Sp}(14, \mathbb{R})$	$\mathrm{O}(4, 6)$	$\mathrm{Sp}(6, \mathbb{R})$	$\mathrm{O}(2, 2)$

Ohta's resolution of singularities of a nilpotent orbit closure in symmetric pairs.

Construction of elements in $\text{Unip}_{\check{\mathcal{O}}}(G)$

- $\chi = \bigotimes_{j=0}^a \chi_j$, a character of $\prod_{j=0}^a G_j$.
- $\chi_j \in \{\mathbf{1}, \text{sgn}^{+,-}, \text{sgn}^{-,+}, \det\}$ when G_j is an orthogonal group.
- Define a smooth repn. of $G = G_a$

$$\pi_{\chi} := (\omega_{G_a, G_{a-1}} \hat{\otimes} \omega_{G_{a-1}, G_{a-2}} \hat{\otimes} \cdots \hat{\otimes} \omega_{G_1, G_0} \otimes \chi)_{G_{a-1} \times G_{a-2} \times \cdots \times G_0}$$

Theorem (Barbasch-M.-Sun-Zhu)

Suppose $\check{\mathcal{O}}$ is an orbit with good parity. Then

- either $\pi_{\chi} = 0$ or
- $\pi_{\chi} \in \text{Unip}_{\check{\mathcal{O}}}(G)$ and unitarizable.
- Moreover,

$$\text{Unip}_{\check{\mathcal{O}}}(G) = \{ \pi_{\chi} \mid \pi_{\chi} \neq 0 \}.$$

Example: Coincidences of theta liftings

Lift to $G = \mathrm{Sp}(6, \mathbb{R})$ from real forms of $\mathbf{G} = \mathrm{O}(4, \mathbb{C})$.

$\check{\mathcal{O}} = 3^2 1^1$ and $\mathcal{O} = 2^3$.

		$\mathrm{Sp}(6, \mathbb{R})$	
$\mathrm{O}(4, 0)$		$\theta(\mathrm{sgn}^{+, -})$	
$\mathrm{O}(3, 1)$	$\theta(\mathbf{1})$	$\theta(\mathrm{sgn}^{+, -})$	$\theta(\mathrm{sgn}^{-, +})$
$\mathrm{O}(2, 2)$	$\theta(\mathbf{1})$	$\theta(\mathrm{sgn}^{+, -})$	$\theta(\mathrm{sgn}^{-, +})$
$\mathrm{O}(1, 3)$	$\theta(\mathbf{1})$	$\theta(\mathrm{sgn}^{+, -})$	$\theta(\mathrm{sgn}^{-, +})$
$\mathrm{O}(0, 4)$			$\theta(\mathrm{sgn}^{-, +})$

Matching unipotent representations with PBP

- $\text{PBP}(\check{\mathcal{O}})$ is complicate.
- $\text{LS}(\check{\mathcal{O}}) = \{ \text{AC}(\pi_\chi) \}$ is also complicate.
- **Proof of Exhaustion**

Define descent of painted bi-part., **compatible with the theta!**

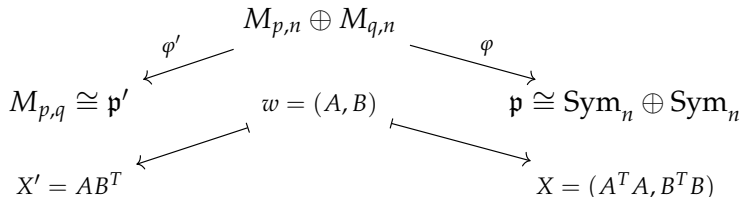
$$\begin{array}{ccccc}
 \text{LS}(\check{\mathcal{O}}) & \xleftarrow{\text{AC}} & \text{PBP}^{\text{ext}}(\check{\mathcal{O}}) & \longleftrightarrow & \bigcup \text{Unip}_{\check{\mathcal{O}}}(G) \\
 \uparrow \textcolor{red}{\theta}^{\text{geo}} & & \nabla \downarrow & & \uparrow \theta \\
 \text{LS}(\check{\mathcal{O}}') & \xleftarrow{\text{AC}} & \text{PBP}^{\text{ext}}(\check{\mathcal{O}}') & \longleftrightarrow & \bigcup \text{Unip}_{\check{\mathcal{O}}'}(G')
 \end{array}$$

For $\tau \in \text{PBP}^{\text{ext}}(\check{\mathcal{O}})$, define

$$\pi_\tau := \Theta(\pi_{\nabla(\tau)} \otimes \chi'_\tau) \otimes \chi_\tau$$

Lifting of Associated characters I

- Example $(G, G') = (\mathrm{Sp}(2n, \mathbb{R}), \mathrm{O}(p, q))$



- $\overline{\mathcal{O}} \cap \mathfrak{p} \supset \varphi(\varphi'^{-1}(\mathfrak{p}' \cap \mathcal{O}'))$ where \mathcal{O} is a cplx. nil. \mathbf{G} -orbit.
- **Upper bound** of associated cycle: we can define

$$\vartheta^{\mathrm{geo}}: \mathcal{K}_{\mathcal{O}'}(G') \longrightarrow \mathcal{K}_{\mathcal{O}}(G)$$

such that

$$\mathrm{AC}(\Theta(\pi')) \preceq \vartheta^{\mathrm{geo}}(\mathrm{AC}(\pi')),$$

for every π' with $\mathrm{AV}(\pi') \subset \overline{\mathcal{O}'}$

Lifting of Associated Characters II

- Recall $(G, G') = (\mathrm{Sp}(2n, \mathbb{R}), \mathrm{O}(p, q))$
- For $\mathcal{L}' \in \mathcal{K}_{\mathcal{O}'}(G')$, $\mathcal{L} = \vartheta(\mathcal{L}') \in \mathcal{K}_{\mathcal{O}}(G)$,

$$\mathcal{L}_X = \vartheta_w(\mathcal{L}_{X'}) := \text{det}^{(p-q)/2}|_{K_X} \otimes (\mathcal{L}'_{X'})^{K'_{2,X'}} \circ \alpha_w,$$

$\alpha_w: K_X \longrightarrow K'_{1,X'}$: a homomorphism between isotropic subgroups.

- The twisting is **crucial**.
 \Rightarrow **admissible orbit data** \rightsquigarrow **admissible orbit data**.
- Support of $\vartheta(\mathcal{L}')$ could be reducible.

Key ideas in the proof

- double θ -lift \approx parabolic induction
 θ -lift $\approx \sqrt{\text{parabolic induction}}$
use the structure of degenerate principle series.
- Estimation of matrix coeff. integrals \rightsquigarrow unitarity
- Sharp formula of Asso. Char.: lower bound=upper bound
 - double θ -lift \rightsquigarrow lower bound.
 - double fibration of moment maps \rightsquigarrow upper bound
- Exhaustion: lower bound=upper bound (Combinatorics)
 - Character theory (Kazhdan-Lusztig-Vogan theory)
 \rightsquigarrow upper bound by counting painted bipartitions.
 - Asso. Char.+Injectivity of θ \rightsquigarrow lower bound.

Relevant papers

- Definition for metaplectic groups

On the notion of metaplectic Barbasch-Vogan duality

<https://arxiv.org/abs/2010.16089>

- Counting and reduction to good parity

<https://arxiv.org/abs/2205.05266>

- Construction and unitarity using θ -lifting

<https://arxiv.org/abs/1712.05552>

Thank you for your attention!

