

# COUNTING SPECIAL UNIPOTENT REPRESENTATIONS OF REAL CLASSICAL GROUPS

DAN M. BARBASCH, JIA-JUN MA, BINYONG SUN, AND CHEN-BO ZHU

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## 1. COUNTING UNIPOTENT REPRESENTATIONS

In this section, let  $G_{\mathbb{C}}$  be a connected complex reductive group and  $\mathfrak{g}$  is its Lie algebra. Fix a antiholomorphic involution  $\sigma$  on  $G_{\mathbb{C}}$  and a corresponding Cartan involution  $\theta$  of  $G_{\mathbb{C}}$ . Let  $G$  be a finite central extension of a open subgroup of  $G_{\mathbb{C}}^{\sigma}$  and

$$\text{pr}: G \rightarrow G_{\mathbb{C}}^{\sigma}$$

be the canonical projection. Let  $K = \text{pr}^{-1}(G_{\mathbb{C}}^{\sigma})$  and  $W = W(G_{\mathbb{C}})$ .

Let  ${}^a\mathfrak{h}$  be the abstract Cartan subalgebra of  $\mathfrak{g}$  and  ${}^aX$  be the lattice of abstract weight spaces. Let  ${}^aR \subseteq {}^aX$ ,  ${}^aR^+$  and  ${}^aQ$  be the abstract root system, the set of positive roots and the root lattice. Let

$$\mathbb{C} = \left\{ \mu \in {}^a\mathfrak{h}^* \mid \begin{array}{l} \text{either } \langle \text{Re}(\mu), \check{\alpha} \rangle > 0 \text{ or} \\ \langle \text{Re}(\mu), \check{\alpha} \rangle = 0 \text{ and } \sqrt{-1} \langle \text{Im}(\mu), \check{\alpha} \rangle > 0 \end{array} \right\}$$

and  $\overline{\mathbb{C}}$  be the closure of  $\mathbb{C}$  in  ${}^a\mathfrak{h}$ .

We identify the set of infinitesimal characters with  ${}^a\mathfrak{h}^*/W$ .

**1.1. Coherent family.** For each finite dimensional  $\mathfrak{g}$ -module or  $G_{\mathbb{C}}$ -module  $F$ , let  $F^*$  be its contragredient representation and let  $\Delta(F) \subseteq {}^aX$  denote the multi-set of weights in  $F$ .

Let  $\Pi_{\Lambda_0}(G_{\mathbb{C}})$  be the set of irreducible finite dimensional representations of  $G_{\mathbb{C}}$  with external weight in  $\Lambda_0$  and  $\mathcal{G}_{\Lambda}(G_{\mathbb{C}})$  be the subgroup generated by  $\Pi_{\Lambda_0}(G_{\mathbb{C}})$ . Let

$${}^aP := \{ \mu \in {}^aX \mid \mu \text{ is a } {}^a\mathfrak{h}\text{-weight of an } F \in \Pi_{\text{fin}}(G_{\mathbb{C}}) \}.$$

Via the highest weight theory, every  $W$ -orbit  $W \cdot \mu$  in  ${}^aP$  corresponds with the irreducible finite dimensional representation  $F \in \Pi_{\text{fin}}(G_{\mathbb{C}})$  with external weight  $\mu$ .

Now the Grothendieck group  $\mathcal{G}(G_{\mathbb{C}})$  of finite dimensional representation of  $G_{\mathbb{C}}$  is identified with  $\mathbb{Z}[{}^aP/W]$ . In fact  $\mathcal{G}(G_{\mathbb{C}})$  is a  $\mathbb{Z}$ -algebra under the tensor product and equipped with the involution  $F \mapsto F^*$ .

Fix a  $W$ -invariant sub-lattice  $\Lambda_0 \subset {}^aX$  containing  ${}^aQ$ .

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Take a lattice  $\Lambda = \lambda + \Lambda_0 \in \mathfrak{h}^*/\Lambda$  with  $\lambda \in \overline{\mathbb{C}}$ . Let

$$R(\lambda) := \{ \alpha \in {}^a R \mid \langle \lambda, \check{\alpha} \rangle \in \mathbb{Z} \} \quad \text{and} \quad W(\lambda) := \langle s_\alpha \mid \alpha \in R(\lambda) \rangle.$$

**Definition 1.1.** Suppose  $\mathcal{M}$  is an abelian group with  $\mathcal{G}_\Lambda(G_\mathbb{C})$ -action

$$\mathcal{G}_\Lambda(G_\mathbb{C}) \times \mathcal{M} \ni (F, m) \mapsto F \otimes m.$$

In addition, we fix a subgroup  $\mathcal{M}_{[\mu]}$  of  $\mathcal{M}$  for each  $W$ -coset  $[\mu] \in \Lambda/W$ .

A function  $f: \Lambda \rightarrow \mathcal{M}$  is called a coherent family based on  $\Lambda$  if it satisfies  $f(\mu) \in \mathcal{M}_\mu$  and

$$F \otimes f(\mu) = \sum_{\nu \in \Delta(F)} f(\mu + \nu) \quad \forall \mu \in \Lambda, F \in \Pi_{\Lambda_0}(G_\mathbb{C}).$$

Let  $\text{Coh}_\Lambda(\mathcal{M})$  be the abelian group of all coherent families based on  $\Lambda$  and value in  $\mathcal{M}$ .

In this paper, we will consider the following cases.

**Example 1.2.** Suppose  $\mathcal{M} = \mathbb{Q}$  and  $F \otimes m = \dim(F) \cdot m$  for  $F \in \Pi_{\Lambda_0}(G_\mathbb{C})$  and  $m \in \mathcal{M}$ . We let  $\mathcal{M}_\mu = \mathcal{M}$  for every  $\mu \in \Lambda$ . When  $\Lambda = \Lambda_0$ , the set of  $W$ -harmonic polynomials on  ${}^a \mathfrak{h}^*$  is naturally identified with  $\text{Coh}_\Lambda(\mathcal{M})$  via restriction (Vogan's result)

**Example 1.3.** Let  $\mathcal{G}(\mathfrak{g}, K)$  be the Grothendieck group of finite length  $(\mathfrak{g}, K)$ -modules and  $\mathcal{G}_\mu(\mathfrak{g}, K)$  be the subgroup of  $\mathcal{G}(\mathfrak{g}, K)$  generated by the set of irreducible  $(\mathfrak{g}, K)$ -modules with infinitesimal character  $\mu$ .

Then  $\text{Coh}_\Lambda(\mathcal{G}(\mathfrak{g}, K))$  is the group of coherent families of Harish-Chandra modules.

**Example 1.4.** Fixing a Borel subalgebra  $\mathfrak{b} \subset \mathfrak{g}$ , let  $\mathcal{G}(\mathfrak{g}, \mathfrak{b})$  be the Grothendieck group of the category  $\mathcal{O}^{\mathfrak{b}}$ . The space  $\text{Coh}_\Lambda(\mathcal{G}(\mathfrak{g}, \mathfrak{b}))$  is defined similarly.

Note that the lattice  $\Lambda$  is stable under the  $W(\lambda)$  action. We can define  $W(\lambda)$  action on  $\text{Coh}_\Lambda(\mathcal{M})$  by

$$w \cdot f(\mu) = f(w^{-1}\mu) \quad \forall \mu \in \Lambda, w \in W(\lambda).$$

**Translation principal assumption.** Recall that we have fixed a set of simple roots of  $W_\Lambda$ . We make the following assumption for  $\text{Coh}_\Lambda(\mathcal{M})$ .

- There is a basis  $\{\Theta_\gamma \mid \gamma \in \text{Parm}\}$  of  $\text{Coh}_\Lambda(\mathcal{M})$  where  $\text{Parm}$  is a parameter set;
- For every  $\mu \in \Lambda$ , the evaluation at  $\mu$  is surjective;

$$\text{ev}_\mu: \text{Coh}_\Lambda(\mathcal{M}) \rightarrow \mathcal{M}_{[\mu]} \quad f \mapsto f(\mu)$$

is surjective;

- a subset  $\tau(\gamma)$  of the simple roots of  $W_\Lambda$  is attached to each  $\gamma \in \text{Parm}$  such that  $s \cdot \Theta_\gamma = -\Theta_\gamma$ ;
- $\Theta_\gamma(\mu) = 0$  if and only if  $\tau(\gamma) \cap R_\mu \neq \emptyset$ .
- $\{\Theta_\gamma(\mu) \mid \tau(\gamma) \cap R_\mu = \emptyset\}$  form a basis of  $\mathcal{M}_\gamma$ .

The translation principle assumption implies

$$(1.1) \quad \text{Ker ev}_\mu = \text{span} \{ \Theta_\gamma \mid \tau(\gamma) \cap R_\mu \neq \emptyset \}$$

Now we have the following counting lemma.

**Lemma 1.5.** For each  $\mu$ , we have

$$\dim \mathcal{M}_{[\mu]} = \dim(\text{Coh}_\Lambda(\mathcal{M}))_{W_\mu} = [\text{Coh}_\Lambda(\mathcal{M}), 1_{W_\mu}].$$

*Proof.* Clearly

$$\text{span} \{ \Theta_\gamma - w\Theta_\gamma \mid \gamma \in \text{Parm}, w \in W_\Lambda \} \subseteq \text{ker ev}_\mu$$

since  $w \cdot \Theta_\gamma(\mu) = \Theta_\gamma(w^{-1} \cdot \mu) = \Theta_\gamma(\mu)$  for  $w \in W_\mu$ . Combine this with (1.1), we conclude that

$$\text{span} \{ \Theta_\gamma - w\Theta_\gamma \mid \gamma \in \text{Parm}, w \in W_\Lambda \} = \text{ker ev}_\mu.$$

Therefore,  $\text{ev}_\mu$  induces an isomorphism  $(\text{Coh}_\Lambda(\mathcal{M}))_{W_\mu} \rightarrow \mathcal{M}_{[\mu]}$ . Now the dimension equality follows.  $\square$

**Example 1.6.** *For the case of category  $\mathcal{O}$ . We can take  $\text{Parm} = W_\Lambda$ . Let  $\Theta_w(\mu) = L(w\mu)$  for each  $w \in W_\Lambda$  with  $\tau(w) = \{s_\alpha \mid \alpha \in \Delta^+, w\alpha \notin R^+\}$ .*

**Example 1.7.** *In the Harish-Chandra module case,  $\text{Parm}$  consists of certain irreducible  $K$ -equivariant local systems on a  $K$ -orbit of the flag variety of  $G$ .  $\tau(\gamma)$  is the  $\tau$ -invariants of the parameter  $\gamma$ .*

## 2. PARAMETERIZE OF UNIPOTENT REPRESENTATIONS

We fix an abstract complex Cartan subgroup  $\mathbf{H}_a$  and  $\mathfrak{h}_a$  in  $\mathbf{G}$  and a set of simple roots  $\Pi_a$ . Let  $\mathcal{P}(\mathbf{G})$  be the set of all Langlands parameters of  $G$ -modules with character  $\rho$  (i.e. the infinitesimal character of the trivial representation). For  $\gamma \in \mathcal{P}(\mathbf{G})$ , let  $\mathcal{L}(\gamma)$ ,  $\mathcal{S}(\gamma)$  and  $\Phi_\gamma$  be the corresponding Langlands quotient, standard module and coherent family such that  $\Phi_\gamma(\rho) = \mathcal{L}(\gamma)$ . Let  $\mathcal{M}(\mathbf{G})$  be the span of  $\mathcal{L}(\gamma)$ . Let  $\{\mathcal{B}\}$  be the set of all blocks. Then  $\mathcal{P}(\mathbf{G}) = \bigsqcup_{\mathcal{B}} \mathcal{B}$ . The Weyl group  $W = W(G)$  acts on  $\mathcal{M}(\mathbf{G})$  by coherent continuation. Let  $\mathcal{M}_{\mathcal{B}}$  be the submodule of  $\mathcal{M}(\mathbf{G})$  spanned by  $\gamma \in \mathcal{B}$ , then

$$\mathcal{M}(\mathbf{G}) = \bigoplus_{\mathcal{B}} \mathcal{M}_{\mathcal{B}}$$

Let  $\tau(\gamma) \subset \Pi_a$  be the  $\tau$ -invariant of  $\gamma$ .

Let  $\mathcal{O}$  be even orbit.  $\lambda = \frac{1}{2}\check{h}$ . Define

$$S(\lambda) = \{ \alpha \in \Pi_a \mid \langle \alpha, \lambda \rangle = 0 \}.$$

Let  $\mathcal{P}_\lambda(\mathbf{G})$  be the set of all Langlands parameters with infinitesimal character  $\lambda$ . Let  $T_{\lambda,\rho}$  be the translation functor. Let

$$\mathcal{B}(S) = \{ \gamma \in \mathcal{B} \mid S \cap \tau(\gamma) = \emptyset \}$$

and

$$\mathcal{P}(\mathbf{G}, S) = \bigsqcup_{\mathcal{B}} \mathcal{B}(S)$$

Then

$$\begin{aligned} \mathcal{P}(\mathbf{G}, S) &\longrightarrow \mathcal{P}_\lambda(\mathbf{G}) \\ \gamma &\longmapsto T_{\lambda,\rho}(\gamma) \end{aligned}$$

Let  $\mathcal{O}$  be a complex nilpotent orbit in  $\mathfrak{g}$ . Let

$$\mathcal{B}(S, \mathcal{O}) = \{ \gamma \in \mathcal{B}(S) \mid \text{AV}_{\mathbb{C}}(\mathcal{L}(\gamma)) \subset \overline{\mathcal{O}} \}$$

Let

$$\begin{aligned} m_S(\sigma) &= [\sigma : \text{Ind}_{W(S)}^W \mathbf{1}] \\ m_{\mathcal{B}}(\sigma) &= [\sigma : \mathcal{M}_{\mathcal{B}}] \end{aligned}$$

Barbasch [10, Theorem 9.1] established the following theorem.

**Theorem 2.1.**

$$|\mathcal{B}(S, \mathcal{O})| = \sum_{\sigma} m_{\mathcal{B}}(\sigma) m_S(\sigma)$$

Here  $\sigma \times \sigma$  running over the  $W \times W$  appears in the double cell  $\mathcal{C}(\mathcal{O})$ .

*Proof.* We need to take the graded module of  $\mathcal{M}(\mathbf{G})$  with respect to the  $\overset{LR}{\leq}$ . By abuse of notation, we identify the basis  $\mathcal{P}(\mathbf{G})$  with its image in the graded module. Note that  $S \cap \tau(\lambda) = \emptyset$  if and only if  $W(S)$  acts on  $\gamma$  trivially by [70, Lemma 14.7]. On the other hand, by [70, Theorem 14.10, and page 58],  $\text{AV}_{\mathbb{C}}(\mathcal{L}(\gamma)) \subset \overline{\mathcal{O}}$  only if  $\gamma$  generate a  $W$ -module in the double cell of  $\mathcal{O}$ .  $\square$

Now assume  $S = S(\lambda)$ . By [12, Cor 5.30 b) and c)],  $[\sigma : \text{Ind}_{W(S)}^W \mathbf{1}] = [\mathbf{1}|_{W(S)} : \sigma] \leq 1$ .

### 3. PRIMITIVE IDEALS

In this section, we recall some results about the primitive ideals and cells developed by Barbasch Vogan, Joseph and Lusztig etc.

**3.1. Highest weight module.** Let  $\mathfrak{g}$  be a reductive Lie algebra,  $\mathfrak{b} = \mathfrak{h} \oplus \mathfrak{n}$  is a fixed Borel. Let

$$M(\lambda) := \mathcal{U}(\mathfrak{g}) \otimes_{\mathcal{U}(\mathfrak{b})} \mathbb{C}_{\lambda - \rho}$$

and  $L(\lambda)$  be the unique irreducible quotient of  $M(\lambda)$ .

In the rest of this section, we fix a lattice  $\Lambda \in \mathfrak{h}^*/X^*$ . Let  $R_{\Lambda}$  and  $R_{\Lambda}^+$  be the set of integral root system and the set of positive integral roots. Write  $W_{\Lambda}$  for the integral Weyl group.

For  $\mu \in \Lambda$ ,

$$\begin{aligned} \mu \geq 0 &\Leftrightarrow \mu \text{ is dominant} \Leftrightarrow \langle \lambda, \check{\alpha} \rangle \geq 0 \quad \forall \alpha \in R_{\Lambda}^+ \\ \mu \not\geq 0 &\Leftrightarrow \mu \text{ is regular} \Leftrightarrow \langle \lambda, \check{\alpha} \rangle \neq 0 \quad \forall \alpha \in R_{\Lambda}^+ \end{aligned}$$

regular if  $\langle \lambda, \check{\alpha} \rangle \neq 0$ .

For each  $w \in W_{\Lambda}$ , it give a coherent family such that

$$M_w(\mu) = M(w\mu) \quad \forall \mu \in \Lambda \text{ dominant}$$

[ Note that the Gorthendieck group  $\mathcal{K}(\mathcal{O})$  of category  $\mathcal{O}$  is naturally embedded in the space of formule character.  $M_w$  is a coherent family: the formal character  $\text{ch} M_w(\mu)$  of  $M_w(\mu)$  is  $e^{w\mu} / \prod_{\alpha \in R^+} (1 - e^{-\alpha})$ . It is clear that  $\text{ch} M_w$  satisfies the condition for coherent continuation. ]

For each  $w \in W_{\Lambda}$ , the function

$$\tilde{p}_w(\mu) := \text{rank}(\mathcal{U}(\mathfrak{g}) / \text{Ann}(L(w\mu))) \quad \forall \mu \in \Lambda \text{ dominant.}$$

extends to a Harmonic polynomial on  $\mathfrak{h}^*$ . [ Let  $\Lambda^+$  be the set of dominant weights in  $\Lambda$ . Assume  $\lambda > 0$ , i.e. dominant regular. Then  $\mathbb{R}_{\lambda}^0 =, w^{w\lambda} = w^{-1}$ . The set

$$\hat{F}_{w\lambda} = \{ \mu \in \Lambda \mid w^{-1}\mu \geq 0 \} = w\Lambda^+.$$

Joseph's  $p_w(\mu) = \text{rank} \mathcal{U}(\mathfrak{g}) / (\text{Ann} L(w\mu))$  is defined on  $\hat{F}_{w\lambda} = w\Lambda^+$ . Now his  $\tilde{p}_w(\mu) = w^{-1}p_w$  is defined on  $\Lambda^+$  and given by  $\tilde{p}_w(\mu) = \text{rank} \mathcal{U}(\mathfrak{g}) / (\text{Ann} L(w\mu))$ . ]

Let  $\text{Coh}_{[\Lambda]}$

### 4. COUNTING IN TYPE A

The results in this section are well known to the experts.

Let  $\mathbf{YD}$  be the set of Young diagrams viewed as a finite multiset of positive integers. The set of nilpotent orbits in  $\text{GL}_n(\mathbb{C})$  is identified with Young diagram of  $n$  boxes.

Let  $\check{G}_{\mathbb{C}} = \text{GL}_n(\mathbb{C})$ . Fix an orbit  $\check{\mathcal{O}} \in \text{Nil}(ckG)$ , let  $\check{\mathcal{O}}_e$  (resp.  $\check{\mathcal{O}}_o$ ) be the partition consists of all even (resp. odd) rows in  $\check{\mathcal{O}}$ .

Let  $S_n$  deonte the Weyl group of  $\text{GL}_n(\mathbb{C})$ . Let  $W_n := S_n \ltimes \{\pm 1\}^n$  denote the Weyl group of type  $B_n$  or  $C_n$ . Let  $\text{sgn}$  denote the sign representation of the Weyl group. The group

$W_n$  is naturally embedded in  $S_{2n}$ . For  $W_n$ , let  $\epsilon$  denote the unique non-trivial character which is trivial on  $S_n$ . Note that  $\epsilon$  is also the restriction of the  $\text{sgn}$  of  $S_{2n}$  on  $W_n$ .

**4.1. Special unipotent representations of  $G = \text{GL}_n(\mathbb{C})$ .** By [12], the set of unipotent representations of  $G = \text{GL}_n(\mathbb{C})$  one-one corresponds to nilpotent orbits in  $\text{Nil}(\check{G}_{\mathbb{C}})$ . Suppose  $\check{\mathcal{O}}$  has rows

$$\mathbf{r}_1(\check{\mathcal{O}}) \geq \mathbf{r}_2(\check{\mathcal{O}}) \geq \cdots \geq \mathbf{r}_k(\check{\mathcal{O}}) > 0.$$

Then  $\mathcal{O} := d_{\text{BV}}(\check{\mathcal{O}})$  has columns  $\mathbf{c}_i(\mathcal{O}) = \mathbf{r}_i(\check{\mathcal{O}})$  for all  $i \in \mathbb{N}^+$ . The map  $\check{\mathcal{O}} \mapsto \mathcal{O}$  is a bijection.

We set  $\text{CP} = \text{YD}$  be the set of Young diagrams. For  $\tau \in \text{CP}$  which has  $k$  columns, let  $1_c$  be the trivial representations of  $\text{GL}_c(\mathbb{C})$ .

$$\pi_{\tau} = 1_{\mathbf{c}_1(\tau)} \times 1_{\mathbf{c}_2(\tau)} \times \cdots \times 1_{\mathbf{c}_k(\tau)}.$$

The Vogan duality gives a duality between Harish-Chandra cells. In this case, Harish-Chandra cells is the double cell of Lusztig. Now we have a duality

$$\pi_{\tau} \leftrightarrow \pi_{\tau^t}.$$

Let  $\tau' := \nabla(\tau)$  be the partition obtained by deleting the first column of  $\tau$ . Let  $\theta_{a,b}$  (resp.  $\Theta_{a,b}$ ) be the theta lift (resp. big theta lift) from  $\text{GL}_a(\mathbb{C})$  to  $\text{GL}_b(\mathbb{C})$ . Then we have

$$\pi_{\tau} = \theta_{|\tau'|, |\tau|}(\pi_{\tau}).$$

**4.2. Counting unipotent representations of  $\text{GL}_n(\mathbb{R})$ .** Now let  $\check{\mathcal{O}} \in \text{Nil}(\check{G}_{\mathbb{C}})$ . Recall the decomposition  $\check{\mathcal{O}} = \check{\mathcal{O}}_e \cup \check{\mathcal{O}}_o$ . Let  $n_e = |\check{\mathcal{O}}_e|$ ,  $n_o = |\check{\mathcal{O}}_o|$  and  $\lambda_{\check{\mathcal{O}}} = \frac{1}{2}\check{h}$ .

Then

$$\begin{aligned} W(\lambda_{\check{\mathcal{O}}}) &\cong S_{|\check{\mathcal{O}}_e|} \times S_{|\check{\mathcal{O}}_o|}. \\ W_{\lambda_{\check{\mathcal{O}}}} &= \prod_j S_{\mathbf{c}_j(\check{\mathcal{O}}_e)} \times \prod_j S_{\mathbf{c}_j(\check{\mathcal{O}}_o)} \end{aligned}$$

By the formula of  $a$ -function, one can easily see that The cell in  $W(\lambda_{\check{\mathcal{O}}})$  consists of the unique representatoin  $J_{W_{\lambda_{\check{\mathcal{O}}}}}^{W[\lambda_{\check{\mathcal{O}}}]}(1)$ . Now the  $W$ -cell is  $J_{W_{\lambda_{\check{\mathcal{O}}}}}^W 1$  corresponds to the orbit  $\mathcal{O} = \check{\mathcal{O}}^t$  under the Springer correspondence. [ WLOG, we assume  $\check{\mathcal{O}} = \check{\mathcal{O}}_o$ .

Let  $\sigma \in \widehat{S_n}$ . We identify  $\sigma$  with a Young diagram. Let  $c_i = \mathbf{c}_i(\sigma)$ . Then  $\sigma = J_{W'}^{S_n} \epsilon_{W'}$  where  $W' = \prod S_{c_i}$  (see Carter's book). This implies Lusztig's  $a$ -function takes value

$$a(\sigma) = \sum_i c_i(c_i - 1)/2$$

Comparing the above with the dimension formula of nilpotent Orbits [17, Collary 6.1.4], we get (for the formula, see Bai ZQ-Xie Xun's paper on GK dimension of  $SU(p, q)$ )

$$\frac{1}{2} \dim(\sigma) = \dim(L(\lambda)) = n(n-1)/2 - a(\sigma).$$

Here  $\dim(\sigma)$  is the dimension of nilpotent orbit attached to the Young diagram of  $\sigma$  (it is the Springer correspondence, regular orbit maps to trivial representation, note that  $a(\text{triv}) = 0$ ),  $L(\lambda)$  is any highest weight module in the cell of  $\sigma$ .

Return to our question, let  $S' = \prod_i S_{\mathbf{c}_i(\check{\mathcal{O}})}$ . We want to find the component  $\sigma_0$  in  $\text{Ind}_{S'}^{S_n} 1$  whose  $a(\sigma_0)$  is maximal, i.e. the Young diagram of  $\sigma_0$  is minimal.

By the branching rule,  $\sigma \subset \text{Ind}_{S'}^{S_n} 1$  is given by adding rows of lenght  $\mathbf{c}_i(\check{\mathcal{O}})$  repeatedly (Each time add at most one box in each column). Now it is clear that  $\sigma_0 = \check{\mathcal{O}}^t$  is desired.

This agrees with the Barbasch-Vogan duality  $d_{\text{BV}}$  given by

$$\check{\mathcal{O}} \xrightarrow{\text{Springer}} \check{\mathcal{O}} \xrightarrow{\otimes \text{sgn}} \check{\mathcal{O}}^t \xrightarrow{\text{Springer}} \check{\mathcal{O}}^t.$$

]

The  $W_{[\lambda_{\check{\mathcal{O}}}]}$ -module  $\text{Coh}_{[\lambda_{\check{\mathcal{O}}}]}$  is given by the following formula:

$$\begin{aligned} \text{Coh}_{[\lambda_{\check{\mathcal{O}}}]} &\cong \mathcal{C}_{n_e} \otimes \mathcal{C}_{n_o} \quad \text{with} \\ \mathcal{C}_n &:= \bigoplus_{\substack{s,a,b \\ 2s+a+b=n}} \text{Ind}_{W_s \times S_a \times S_b}^{S_n} \epsilon \otimes 1 \otimes 1. \end{aligned}$$

According to Vogan duality, we can obtain the above formula by tensoring  $\text{sgn}$  on the formula of the unitary groups in [8, Section 4].

By branching rules of the symmetric groups,  $\text{Unip}_{\check{\mathcal{O}}}(G)$  can be parameterized by painted partition.

$$\text{PP}_{\check{\mathcal{O}}} = \{ \tau: \text{Box}(\check{\mathcal{O}}^t) \rightarrow \{ \bullet, c, d \} \mid \begin{array}{l} \text{“}\bullet\text{” occurs with even} \\ \text{multiplicity in each column} \end{array} \}.$$

[ The typical diagram of all columns with even length  $2c$  are

•	...	•	•	...	•
⋮	⋮	⋮	⋮	⋮	⋮
•	...	•	$c$	...	$c$
•	...	•	$d$	...	$d$

The typical diagram of all columns with odd length  $2c + 1$  are

•	...	•	•	...	•
⋮	⋮	⋮	⋮	⋮	⋮
•	...	•	•	...	•
$c$	...	$c$	$d$	...	$d$

]

Let  $\text{sgn}_n: \text{GL}_n(\mathbb{R}) \rightarrow \{ \pm 1 \}$  be the sign of determinant. Let  $1_n$  be the trivial representation of  $\text{GL}_n(\mathbb{R})$ . For  $\tau \in \text{PP}_{\check{\mathcal{O}}}$ , we attache the representation

$$(4.1) \quad \pi_{\tau} := \bigtimes_j \underbrace{1_j \times \cdots \times 1_j}_{c_j\text{-terms}} \times \underbrace{\text{sgn}_j \times \cdots \times \text{sgn}_j}_{d_j\text{-terms}}.$$

Here

- $j$  running over all column lengths in  $\check{\mathcal{O}}^t$ ,
- $d_j$  is the number of columns of length  $j$  ending with the symbol “d”,
- $c_j$  is the number of columns of length  $j$  ending with the symbol “•” or “c”, and
- “ $\times$ ” denote the parabolic induction.

**4.3. Special Unipotent representations of  $G = \text{GL}_m(\mathbb{H})$ .** Suppose that  $\mathcal{O}$  is the complexification of a rational nilpotent  $\text{GL}_m(\mathbb{H})$ -orbit. Then  $\mathcal{O}$  has only even length columns. Therefore,  $\text{Unip}_{\check{\mathcal{O}}}(G) \neq \emptyset$  only if  $\check{\mathcal{O}} = \check{\mathcal{O}}_e$ .

In this case the coherent continuation representation is given by

$$\text{Coh}_{[\lambda_{\check{\mathcal{O}}}]}(G) = \text{Ind}_{W_m}^{S_{2m}} \epsilon$$

and  $\text{Unip}_{\check{\mathcal{O}}}(G)$  is a singleton. For each partition  $\tau$  only having even columns, we define

$$\pi_{\tau} := \bigtimes_i 1_{\mathbf{c}_i(\tau)/2}.$$

**4.4. Counting special unipotent representations of  $U(p, q)$ .** We call the parity of  $|\check{\mathcal{O}}|$  the “good pairity”. The other pairity is called the “bad pairity”. We write  $\check{\mathcal{O}} = \check{\mathcal{O}}_g \cup \check{\mathcal{O}}_b$  where  $\check{\mathcal{O}}_g$  and  $\check{\mathcal{O}}_b$  consist of good pairity length rows and bad pairity rows respectively.

Let  $(n_g, n_b) = (|\check{\mathcal{O}}_g|, |\check{\mathcal{O}}_b|)$ . Now as the  $S_{n_g} \times S_{n_b}$

$$\bigoplus_{\substack{p, q \in \mathbb{N} \\ p+q=n}} \text{Coh}_{[\lambda_{\check{\mathcal{O}}}]}(U(p, q)) = \mathcal{C}_{gd} \otimes \mathcal{C}_{bd}$$

where

$$\mathcal{C}_{gd} = \bigoplus_{\substack{s, a, b \in \mathbb{N} \\ 2s+a+b=n_g}} \text{Ind}_{W_s \times S_a \times S_b}^{S_{n_g}} 1 \otimes \text{sgn} \otimes \text{sgn}$$

$$\mathcal{C}_{bd} = \begin{cases} \text{Ind}_{W_{\frac{n_b}{2}}}^{S_{n_b}} 1 & \text{if } n_b \text{ is even} \\ 0 & \text{otherwise.} \end{cases}$$

By the above formula, we have

**Lemma 4.1.** (i) The set  $\text{Unip}_{\check{\mathcal{O}}_b}(U(p, q)) \neq \emptyset$  if and only if  $p = q$  and each row lenght in  $\check{\mathcal{O}}$  has even multiplicity.

(ii) Suppose  $\text{Unip}_{\check{\mathcal{O}}_b}(U(p, p)) \neq \emptyset$ , let  $\check{\mathcal{O}}'$  be the Young diagram such that  $\mathbf{r}_i(\check{\mathcal{O}}') = \mathbf{r}_{2i}(\check{\mathcal{O}}_b)$  and  $\pi'$  be the unique special uinpotent representation in  $\text{Unip}_{\check{\mathcal{O}}'}(\text{GL}_p(\mathbb{C}))$ . Then the unique element in  $\text{Unip}_{\check{\mathcal{O}}_b}(U(p, p))$  is given by

$$\pi := \text{Ind}_P^{U(p, p)} \pi'$$

where  $P$  is a parabolic subgroup in  $U(p, p)$  with Levi factor equals to  $\text{GL}_p(\mathbb{C})$ .

(iii) In general, when  $\text{Unip}_{\check{\mathcal{O}}_b}(U(p, p)) \neq \emptyset$ , we have a natural bijection

$$\begin{aligned} \text{Unip}_{\check{\mathcal{O}}_g}(U(n_1, n_2)) &\longrightarrow \text{Unip}_{\check{\mathcal{O}}}(U(n_1 + p, n_2 + p)) \\ \pi_0 &\mapsto \text{Ind}_P^{U(n_1 + p, n_2 + p)} \pi' \otimes \pi_0 \end{aligned}$$

where  $P$  is a parabolic subgroup with Levi factor  $\text{GL}_p(\mathbb{C}) \times U(n_1, n_2)$ .

The above lemma ensure us to reduce the problem to the case when  $\check{\mathcal{O}} = \check{\mathcal{O}}_g$ . Now assume  $\check{\mathcal{O}} = \check{\mathcal{O}}_g$  and so  $\text{Coh}_{[\check{\mathcal{O}}]}$  corresponds to the blocks of the infinitesimal character of the trivial representation.

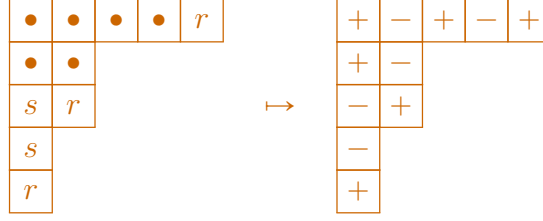
By [8, Theorem 4.2], Harish-Chandra cells in  $\text{Coh}_{[\check{\mathcal{O}}]}$  are in one-one correspondence to real nilpotent orbits in  $\mathcal{O} := d_{\text{BV}}(\check{\mathcal{O}}) = \check{\mathcal{O}}^t$ .

[ From the branching rule, the cell is parametered by painted partition

$$\text{PP}(U) := \{ \tau \in \text{PP} \mid \begin{array}{l} \text{Im}(\tau) \subseteq \{ \bullet, s, r \} \\ \text{“}\bullet\text{” occurs even times in each row} \end{array} \}.$$

The bijection  $\text{PP}(U) \rightarrow \text{SYD}, \tau \mapsto \mathcal{O}$  is given by the following recipe: The shape of  $\mathcal{O}$  is the same as that of  $\tau$ .  $\mathcal{O}$  is the unique (upto row switching) signed Young diagram such that

$$\mathcal{O}(i, \mathbf{r}_i(\tau)) := \begin{cases} +, & \text{when } \tau(i, \mathbf{r}_i(\tau)) = r; \\ -, & \text{otherwise, i.e. } \tau(i, \mathbf{r}_i(\tau)) \in \{ \bullet, s \}. \end{cases}$$

**Example 4.2.**

]

Now the following lemma is clear.

**Lemma 4.3.** *When  $\check{\mathcal{O}} = \check{\mathcal{O}}_g$ , the associated variety of every special unipotent representations in  $\text{Unip}_{\check{\mathcal{O}}}(\mathbf{U})$  is irreducible. Moreover, the following map is a bijection.*

$$\begin{aligned} \text{Unip}_{\check{\mathcal{O}}_g}(\mathbf{U}(n_1, n_2)) &\longrightarrow \{ \text{rational forms of } \check{\mathcal{O}}^t \} \\ \pi_0 &\longmapsto \text{AV}^{\text{weak}}(\pi_0). \end{aligned}$$

□

*Remark.* Note that the parabolic induction of an rational nilpotent orbit can be reducible. Therefore, when  $\check{\mathcal{O}}_b \neq \emptyset$ , the special unipotent representations can have reducible associated variety. Meanwhile, it is easy to see that the map  $\text{Unip}_{\check{\mathcal{O}}}(\mathbf{U}) \ni \pi \mapsto \text{AV}^{\text{weak}}(\pi)$  is still injective.

We will show that every elements in  $\text{Unip}_{\check{\mathcal{O}}_g}$  can be constructed by iterated theta lifting. For each  $\tau$ , let  $\mathcal{O}$  be the corresponding real nilpotent orbit. Let  $\text{Sign}(\mathcal{O})$  be the signature of  $\mathcal{O}$ ,  $\nabla(\mathcal{O})$  be the signed Young diagram obtained by deleting the first column of  $\mathcal{O}$ . Suppose  $\mathcal{O}$  has  $k$ -columns. Inductively we have a sequence of unitary groups  $\mathbf{U}(p_i, q_i)$  with  $(p_i, q_i) = \text{Sign}(\nabla^i(\mathcal{O}))$  for  $i = 0, \dots, k$ . Then

$$(4.2) \quad \pi_\tau = \theta_{\mathbf{U}(p_1, q_1)}^{\mathbf{U}(p_0, q_0)} \theta_{\mathbf{U}(p_2, q_2)}^{\mathbf{U}(p_1, q_1)} \dots \theta_{\mathbf{U}(p_k, q_k)}^{\mathbf{U}(p_{k-1}, q_{k-1})}(1)$$

where 1 is the trivial representation of  $\mathbf{U}(p_k, q_k)$ .

Suppose  $\check{\mathcal{O}} = \check{\mathcal{O}}_g$ . Form the duality between cells of  $\mathbf{U}(p, q)$  and  $\text{GL}(n, \mathbb{R})$ . We have an ad-hoc (bijective) duality between unipotent representations:

$$\begin{aligned} d_{\text{BV}}: \text{Unip}_{\check{\mathcal{O}}}(\mathbf{U}) &\longrightarrow \text{Unip}_{\check{\mathcal{O}}^t}(\text{GL}(\mathbb{R})) \\ \pi_\tau &\longmapsto \pi_{d_{\text{BV}}(\tau)} \end{aligned}$$

Here  $\check{\mathcal{O}}^t = d_{\text{BV}}(\check{\mathcal{O}})$  and  $d_{\text{BV}}(\tau)$  is the paired bipartition obtained by transposing  $\tau$  and replace  $s$  and  $r$  by  $c$  and  $d$  respectively. See (4.2) and (4.1) for the definition of special unipotent representations on the two sides.

## 5. COUNTING IN TYPE BCD

In this section, we count special unipotent representations of real orthogonal groups (type  $B, D$ ), symplectic groups (type  $C$ ) and metaplectic groups (type  $\tilde{C}$ ).

Recall that

$$\text{good parity} = \begin{cases} \text{odd} & \text{in type } C \text{ and } D \\ \text{even} & \text{in type } C \text{ and } D \end{cases}$$

We decompose  $\check{\mathcal{O}} = \check{\mathcal{O}}_g \cup \check{\mathcal{O}}_b$  as before.

Let  $\widetilde{\text{sgn}}$  be the character of  $W_n$  inflated from the sign character of  $S_n$  via the natural map  $W_n \rightarrow S_n$ . Recall the following formula

$$\text{Ind}_{W_b \ltimes \{\pm 1\}^b}^{W_{2b}} 1 \otimes \text{sgn} = \sum_{\sigma} (\sigma, \sigma)$$

where  $\sigma$  running over all Young diagrams of size  $b$ .



5.1. **Counting special unipotent representation of  $G = \mathrm{Sp}(p, q)$ .** . The dual group of  $G_{\mathbb{C}} = \mathrm{Sp}(2n, \mathbb{C})$  is  $\check{G}_{\mathbb{C}} = \mathrm{SO}(2n+1, \mathbb{C})$ . We set  $(2m+1, 2m') = (|\check{\mathcal{O}}_g|, |\check{\mathcal{O}}_n|)$ . By Vogan duality, the dual group for class  $[\lambda_{\check{\mathcal{O}}}]$  is

$$\mathrm{SO}(2m+1, \mathbb{C}) \times \mathrm{O}(2m', \mathbb{C}).$$

Now we have

$$\mathrm{Coh}_{[\lambda_{\check{\mathcal{O}}}]}(G) \otimes \mathrm{sgn} = \mathcal{C}_g \otimes \mathcal{C}_b$$

with

$$\begin{aligned} \mathcal{C}_g &= \bigoplus_{p+q=m} \mathrm{Coh}_{[\rho]}(\mathrm{Sp}(p, q)) \\ &= \bigoplus_{\substack{b, s, r \in \mathbb{N} \\ 2b+s+r=m}} \mathrm{Ind}_{W_b \ltimes \{\pm 1\}^b \times W_s \times W_b}^{W_m} 1 \otimes \mathrm{sgn} \otimes \mathrm{sgn} \otimes \mathrm{sgn} \\ &= \bigoplus_{\substack{b, s, r \in \mathbb{N} \\ 2b+s+r=m}} \mathrm{Ind}_{W_{2b} \times W_s \times W_b}^{W_m} (\sigma, \sigma) \otimes \mathrm{sgn} \otimes \mathrm{sgn} \\ \mathcal{C}_b &= \mathrm{Ind}_{W_{\frac{m'}{2}} \ltimes \{\pm 1\}^{\frac{m'}{2}}}^{W_{m'}} \mathrm{sgn} \end{aligned}$$

In  $\mathrm{SO}(2m', \mathbb{C})$ , the double cell corresponds to  $\check{\mathcal{O}}_b$  consists of a single representation

$$\check{\tau}_b = (\check{\sigma}_b, \check{\sigma}_b) \text{ such that } \mathbf{r}_i(\check{\sigma}_b) = \mathbf{r}_{2i}(\check{\mathcal{O}}_b)/2$$

Let  $\check{\mathcal{O}}'_b$  be the Young diagram such that  $\mathbf{r}_i(\check{\mathcal{O}}'_b) = \mathbf{r}_{2i}(\check{\mathcal{O}}_b)$  and  $\pi'_b$  be the unique special unipotent representation attached to  $\mathrm{GL}_p(\mathbb{H})$

**Lemma 5.1.** *The set  $\mathrm{Unip}_{\check{\mathcal{O}}_b}(\mathrm{Sp}(p, q)) \neq \emptyset$  only if  $p = q = |\check{\sigma}_b|$  and in this case it consists a single element*

$$\pi_b := \mathrm{Ind}_P^{\mathrm{Sp}(p, p)} \pi'_{\check{\sigma}_b}$$

where  $P$  has the Levi subgroup  $\mathrm{GL}_p(\mathbb{H})$ .

The special representation corresponds to  $\check{\mathcal{O}}_b$  is

$$aa$$

Let

$$\mathrm{PBP}_{\check{\mathcal{O}}_g}(C^*) := \left\{ (i, j, \mathcal{P}, \mathcal{Q}) \mid \begin{array}{l} (i, j) = \check{\tau}_g \\ \mathrm{Im} \mathcal{P} \subset \{\bullet\}, \mathrm{Im} \mathcal{Q} \subset \{\bullet, s, r\} \end{array} \right\}.$$

**Lemma 5.2.** *The set of  $\mathrm{Unip}_{\check{\mathcal{O}}}(\mathrm{Sp}(p, q))$  is parameterized by the set such that*

$$ss$$

[ Let  $W = W(G_{\mathbb{C}})$  where  $G_{\mathbb{C}}$  is naturally embedded in  $\mathrm{GL}(n, \mathbb{C})$ . Let  $s_{\varepsilon_i i, \varepsilon_j j}$  be the permutation matrix of index  $i, j$ , where the  $(i, j)$ -th entry is  $\varepsilon_i$ , the  $(j, i)$ -th entry is  $\varepsilon_j$  and the other place is the identity matrix. Let  $w_{i, \pm j}^{\varepsilon}$  be the element such that

- it is in  $G_{\mathbb{C}}$  and entries in  $\{0\} \cup \mu_4$
- it lifts the element  $e_i \leftrightarrow \pm e_j$  in the Weyl group.
- $(w_{i, \pm j}^{\varepsilon})^2 = \epsilon 1 \in \{\pm 1\}$ .

Let

$$h_{\pm i}^+ = \mathrm{diag}(1, \dots, 1, \pm 1, 1, \dots, 1)$$

where  $\pm 1$  is the  $i$ -th place. Let

$$h_{\pm i}^- = \mathrm{diag}(1, \dots, 1, \pm \sqrt{-1}, 1, \dots, 1)$$

where  $\pm \sqrt{-1}$  is the  $i$ -th place. Let  $e_{\pm i} = s_{\pm i, \pm(n-i+1)}$ .

Let  $\check{w}_{i, \pm j}^{\pm}$  be the lift of  $e_i \leftrightarrow \pm e_j$  such that

- it is in  $G_{\mathbb{C}}$  and entries in  $\{0\} \cup \mu_4$
- it lifts the element  $e_i \leftrightarrow \pm e_j$  in the Weyl group.
- $(w_{i,\pm j}^\epsilon)^2|_{[i,j]} = \epsilon 1 \in \{\pm 1\}$  here “ $|_{[i,j]}$ ” means restricts on the  $e_i, e_j, -e_i, -e_j$ -weights space.

Let

$$\begin{aligned} x_{b,s,r} &= w_{1,2}^+ \cdots w_{2b-1,2b}^+ h_{2b+1}^+ \cdots h_{2b+s}^+ \\ y_{b,s,r}^+ &= \tilde{w}_{1,-2}^+ \cdots \tilde{w}_{2b-1,-2b}^+ e_{2b+1} \cdots e_{2b+s+r} \\ y_{b,s,r}^- &= \tilde{w}_{1,-2}^- \cdots \tilde{w}_{2b-1,-2b}^- \end{aligned}$$

We compute the parameter space

$$\begin{aligned} \mathcal{Z}_g &= \bigcup_{2b+s+r=m} W_m \cdot (x_{b,s,r}^+, y_{b,s,r}^+) \\ \mathcal{Z}_b &= \bigcup_{2b=m} W_m \cdot (x_{b,0,0}^+, y_{b,0,0}^-) \end{aligned}$$

]

5.2. **Counting special unipotent representation of  $G = \mathrm{Sp}(2n, \mathbb{R})$ .** Now we have

$$\mathrm{Coh}_{[\lambda_{\tilde{\mathcal{O}}}]}(G) \otimes \mathrm{sgn} = \mathcal{C}_g \otimes \mathcal{C}_b$$

with

$$\begin{aligned} \mathcal{C}_g &= \bigoplus_{p+q=2m+1} \mathrm{Coh}_{[\rho]}(\mathrm{SO}(p, q)) \\ &= \bigoplus_{2b+s+r+c+d=m} \mathrm{Ind}_{W_b \ltimes \{-1\}^b \times W_s \times W_r \times W_c \times W_d}^{W_m} 1 \otimes \det \otimes \det \otimes 1 \otimes 1 \\ \mathcal{C}_b &= \mathrm{Coh}_{[\rho]}(\mathrm{SO}^*(2m)) = \bigoplus_{2s+b=m} \mathrm{Ind}_{W_s \ltimes \{-1\}^s \times S_b}^{W_m} 1 \otimes \mathrm{sgn} \otimes \mathrm{sgn} \end{aligned}$$

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THE DEPARTMENT OF MATHEMATICS, 310 MALOTT HALL, CORNELL UNIVERSITY, ITHACA, NEW YORK 14853

*Email address:* dmb14@cornell.edu

SCHOOL OF MATHEMATICAL SCIENCES, SHANGHAI JIAO TONG UNIVERSITY, 800 DONGCHUAN ROAD, SHANGHAI, 200240, CHINA

*Email address:* hoxide@sjtu.edu.cn

ACADEMY OF MATHEMATICS AND SYSTEMS SCIENCE, CHINESE ACADEMY OF SCIENCES, BEIJING, 100190, CHINA

*Email address:* sun@math.ac.cn

DEPARTMENT OF MATHEMATICS, NATIONAL UNIVERSITY OF SINGAPORE, 10 LOWER KENT RIDGE ROAD, SINGAPORE 119076

*Email address:* matzhucb@nus.edu.sg