

# SPECIAL UNIPOTENT REPRESENTATIONS : ORTHOGONAL AND SYMPLECTIC GROUPS

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## 1. INTRODUCTION AND THE MAIN RESULTS

**1.1. Unitary representations and the orbit method.** A fundamental problem in representation theory is to determine the unitary dual of a given Lie group  $G$ , namely the set of equivalent classes of irreducible unitary representations of  $G$ . A principal idea, due to Kirillov and Kostant, is that there is a close connection between irreducible unitary representations of  $G$  and the orbits of  $G$  on the dual of its Lie algebra [43, 44]. This is known as orbit method (or the method of coadjoint orbits). Due to its resemblance with the process of attaching a quantum mechanical system to a classical mechanical system, the process of attaching a unitary representation to a coadjoint orbit is also referred to as quantization in the representation theory literature.

As it is well-known, the orbit method has achieved tremendous success in the context of nilpotent and solvable Lie groups [6, 43]. For more general Lie groups, work of Mackey and Duflo [28, 56] suggest that one should focus attention on reductive Lie groups. As expounded by Vogan in his writings (see for example [82, 84, 85]), the problem finally is to

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quantize nilpotent coadjoint orbits in reductive Lie groups. The “corresponding” unitary representations are called unipotent representations.

Significant developments on the problem of unipotent representations occurred in the 1980’s. We mention two. Motivated by Arthur’s conjectures on unipotent representations in the context of automorphic forms [4, 5], Adams, Barbasch and Vogan established some important local consequences for the unitary representation theory of the group  $G$  of real points of a connected reductive algebraic group defined over  $\mathbb{R}$ . See [2]. The problem of classifying (integral) special unipotent representations for complex semisimple groups was solved earlier by Barbasch and Vogan [16] and the unitarity of these representations was established by Barbasch for complex classical groups [7, Section 10]. Shortly after, Barbasch outlined a proof of the unitarity of special unipotent representations for real classical groups in his 1990 ICM talk [8]. The second major development is Vogan’s theory of associated varieties [83] in which Vogan pursues the method of coadjoint orbits by investigating the relationship between a Harish-Chandra module and its associate variety. Roughly speaking, the Harish-Chandra module of a representation “attached” to a nilpotent coadjoint orbit should have a simple structure after taking the “classical limit”, and it should have a specified support dictated by the nilpotent coadjoint orbit via the Kostant-Sekiguchi correspondence.

Simultaneously but in an entirely different direction, there were significant developments in Howe’s theory of (local) theta lifting and it was clear by the end of 1980’s that the theory has much relevance for unitary representations of classical groups. The relevant works include the notion of rank by Howe [39], the description of discrete spectrum by Adams [1] and Li [51], and the preservation of unitarity in stable range theta lifting by Li [50]. Therefore it was natural, and there were many attempts, to link the orbit method with Howe’s theory, and in particular to construct unipotent representations in this formalism. See for example [12, 17, 34, 36, 37, 68, 71, 74, 80]. We would also like to mention the work of Przebinda [71] in which a double fibration of moment maps made its appearance in the context of theta lifting, and the work of He [34] in which an innovative technique called quantum induction was devised to show the non-vanishing of the lifted representations. More recently the double fibration of moment maps was successfully used by a number of authors to understand refined (nilpotent) invariants of representations such as associated cycles and generalized Whittaker models [29, 53, 62, 64], which among other things demonstrate the tight link between the orbit method and Howe’s theory.

In the present article we will demonstrate that the orbit method and Howe’s theory in fact have superb synergy when it comes to special unipotent representations. (Barbasch, Mœglin, He and Trapa pursued a similar theme. See [12, 34, 59, 80].) We will restrict our attention to a real classical group  $G$  of type  $B$ ,  $C$  or  $D$  (which in our terminology includes a real metaplectic group), and we will classify all special unipotent representations of  $G$  attached to  $\check{O}$ , in the sense of Barbasch and Vogan. Here  $\check{O}$  is a nilpotent adjoint orbit of  $\check{G}$ , the Langlands dual of  $G$  (or the metaplectic dual of  $G$  when  $G$  is a real metaplectic group [13]). When  $\check{O}$  is of good parity [60], we will construct all special unipotent representations of  $G$  attached to  $\check{O}$  via the method of theta lifting. As a direct consequence of the construction and the classification, we conclude that all special unipotent representations of  $G$  are unitarizable, as predicted by the Arthur-Barbasch-Vogan conjecture ([2, Introduction]). Here we wish to emphasize, that while there have been extensive investigations of unipotent representations for real reductive groups, by Vogan and his collaborators (see e.g. [2, 82, 83]), it is only for unitary groups complete results are known (cf. [15, 80]), in which case all such representations may also be described in terms of cohomological induction.

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**1.2. Special unipotent representations of classical groups of type  $B$ ,  $C$  or  $D$ .** In this article, we aim to classify special unipotent representations of classical groups of type  $B$ ,  $C$  or  $D$ . As the cases of complex orthogonal groups and complex symplectic groups are well-understood (see [16] and [12]), we will focus on the following groups:

$$(1.1) \quad \mathrm{O}(p, q), \mathrm{Sp}_{2n}(\mathbb{R}), \widetilde{\mathrm{Sp}}_{2n}(\mathbb{R}), \mathrm{Sp}(p, q), \mathrm{O}^*(2n),$$

where  $p, q, n \in \mathbb{N} := \{0, 1, 2, \dots\}$ . Here  $\widetilde{\mathrm{Sp}}_{2n}(\mathbb{R})$  denotes the real metaplectic group, namely the double cover of the symplectic group  $\mathrm{Sp}_{2n}(\mathbb{R})$  that does not split unless  $n = 0$ .

Let  $G$  be one of the groups in (1.1). As usual, we view  $G$  as a real form of  $G_{\mathbb{C}}$  (or a double cover of a real form of  $G_{\mathbb{C}}$  in the metaplectic case), where

$$G_{\mathbb{C}} := \begin{cases} \mathrm{O}_{p+q}(\mathbb{C}), & \text{if } G = \mathrm{O}(p, q); \\ \mathrm{Sp}_{2n}(\mathbb{C}), & \text{if } G = \mathrm{Sp}_{2n}(\mathbb{R}) \text{ or } \widetilde{\mathrm{Sp}}_{2n}(\mathbb{R}); \\ \mathrm{Sp}_{2p+2q}(\mathbb{C}), & \text{if } G = \mathrm{Sp}(p, q); \\ \mathrm{O}_{2n}(\mathbb{C}), & \text{if } G = \mathrm{O}^*(2n). \end{cases}$$

Write  $\mathfrak{g}_{\mathbb{R}}$  and  $\mathfrak{g}$  for the Lie algebras of  $G$  and  $G_{\mathbb{C}}$ , respectively, and view  $\mathfrak{g}_{\mathbb{R}}$  as a real form of  $\mathfrak{g}$ .

Denote  $r_{\mathfrak{g}}$  the rank of  $\mathfrak{g}$ . Let  $W_{r_{\mathfrak{g}}}$  be the subgroup of  $\mathrm{GL}_{r_{\mathfrak{g}}}(\mathbb{C})$  generated by the permutation matrices and the diagonal matrices with diagonal entries  $\pm 1$ . Then as usual, Harish-Chandra isomorphism yields an identification

$$(1.2) \quad \mathrm{U}(\mathfrak{g})^{G_{\mathbb{C}}} = (\mathrm{S}(\mathbb{C}^{r_{\mathfrak{g}}}))^{W_{r_{\mathfrak{g}}}}.$$

Here and henceforth, “U” indicates the universal enveloping algebra of a Lie algebra, a superscript group indicate the space of invariant vectors under the group action, and “S” indicates the symmetric algebra. Unless  $G_{\mathbb{C}}$  is an even orthogonal group,  $\mathrm{U}(\mathfrak{g})^{G_{\mathbb{C}}}$  equals the center  $\mathrm{Z}(\mathfrak{g})$  of  $\mathrm{U}(\mathfrak{g})$ . By (1.2), we have the following parameterization of characters of  $\mathrm{U}(\mathfrak{g})^{G_{\mathbb{C}}}$ :

$$\mathrm{Hom}_{\mathrm{alg}}(\mathrm{U}(\mathfrak{g})^{G_{\mathbb{C}}}, \mathbb{C}) = W_{r_{\mathfrak{g}}} \backslash (\mathbb{C}^{r_{\mathfrak{g}}})^* = W_{r_{\mathfrak{g}}} \backslash \mathbb{C}^{r_{\mathfrak{g}}}$$

Here “ $\mathrm{Hom}_{\mathrm{alg}}$ ” indicates the set of  $\mathbb{C}$ -algebra homomorphisms, and a superscript “ $*$ ” over a vector space indicates the dual space.

We define the Langlands dual of  $G$  to be the complex group

$$\check{G} := \begin{cases} \mathrm{Sp}_{p+q-1}(\mathbb{C}), & \text{if } G = \mathrm{O}(p, q) \text{ and } p+q \text{ is odd;} \\ \mathrm{O}_{p+q}(\mathbb{C}), & \text{if } G = \mathrm{O}(p, q) \text{ and } p+q \text{ is even;} \\ \mathrm{O}_{2n+1}(\mathbb{C}), & \text{if } G = \mathrm{Sp}_{2n}(\mathbb{R}); \\ \mathrm{Sp}_{2n}(\mathbb{C}), & \text{if } G = \widetilde{\mathrm{Sp}}_{2n}(\mathbb{R}); \\ \mathrm{O}_{2p+2q+1}(\mathbb{C}), & \text{if } G = \mathrm{Sp}(p, q); \\ \mathrm{O}_{2n}(\mathbb{C}), & \text{if } G = \mathrm{O}^*(2n). \end{cases}$$

Write  $\check{\mathfrak{g}}$  for the Lie algebra of  $\check{G}$ .

*Remark.* The authors have defined the notion of metaplectic dual for a real metaplectic group [13]. In this article, we have chosen to use the uniform terminology of Langlands dual (rather than metaplectic dual in the case of a real metaplectic group).

Denote by  $\mathrm{Nil}(\check{\mathfrak{g}})$  the set of nilpotent  $\check{G}$ -orbits in  $\check{\mathfrak{g}}$ . When no confusion is possible, we will not distinguish a nilpotent orbit in  $\mathrm{GL}_n(\mathbb{C})$ ,  $\mathrm{O}_n(\mathbb{C})$  or  $\mathrm{Sp}_{2n}(\mathbb{C})$  with its corresponding Young diagram. In particular, the zero orbit is represented by the Young diagram consisting of one nonempty column.

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Let  $\check{\mathcal{O}} \in \text{Nil}(\check{\mathfrak{g}})$ . It determines a character  $\chi(\check{\mathcal{O}}) : U(\mathfrak{g})^{G_{\mathbb{C}}} \rightarrow \mathbb{C}$  as in what follows. For every  $a \in \mathbb{N}$ , write

$$\rho(a) := \begin{cases} (1, 2, \dots, \frac{a-1}{2}), & \text{if } a \text{ is odd;} \\ (\frac{1}{2}, \frac{3}{2}, \dots, \frac{a-1}{2}), & \text{if } a \text{ is even;} \end{cases}$$

By convention,  $\rho(1)$  and  $\rho(0)$  are the empty sequence. Write  $a_1 \geq a_2 \geq \dots \geq a_s > 0$  ( $s \geq 0$ ) for the row lengths of  $\check{\mathcal{O}}$ . Define

$$(1.3) \quad \chi(\check{\mathcal{O}}) := (\rho(a_1), \rho(a_2), \dots, \rho(a_s), 0, 0, \dots, 0),$$

to be viewed as a character  $\chi(\check{\mathcal{O}}) : U(\mathfrak{g})^{G_{\mathbb{C}}} \rightarrow \mathbb{C}$ . Here the number of 0's is

$$\left\lfloor \frac{\text{the number of odd rows of the Young diagram of } \check{\mathcal{O}}}{2} \right\rfloor.$$

Recall the following well-known result of Dixmier ([18, Section 3]): for every algebraic character  $\chi$  of  $Z(\mathfrak{g})$ , there exists a unique maximal ideal of  $U(\mathfrak{g})$  that contains the kernel of  $\chi$ . As an easy consequence, there will be a unique maximal  $G_{\mathbb{C}}$ -stable ideal of  $U(\mathfrak{g})$  that contains the kernel of  $\chi(\check{\mathcal{O}})$ , for  $\check{\mathcal{O}} \in \text{Nil}(\check{\mathfrak{g}})$ . Write  $I_{\check{\mathcal{O}}}$  for this ideal.

Recall that a smooth Fréchet representation of moderate growth of a real reductive group is called a Casselman-Wallach representation ([20, 87]) if its Harish-Chandra module has finite length. When  $G = \widetilde{\text{Sp}}_{2n}(\mathbb{R})$  is a metaplectic group, write  $\varepsilon_G$  for the non-trivial element in the kernel of the covering map  $G \rightarrow \text{Sp}_{2n}(\mathbb{R})$ . Then a representation of  $G$  is said to be genuine if  $\varepsilon_G$  acts via the scalar multiplication by  $-1$ . The notion of “genuine” will be used in similar situations without further explanation. Following Barbasch and Vogan ([2, 16]), we make the following definition.

**Definition 1.1.** *Let  $\check{\mathcal{O}} \in \text{Nil}(\check{\mathfrak{g}})$ . An irreducible Casselman-Wallach representation  $\pi$  of  $G$  is attached to  $\check{\mathcal{O}}$  if*

- $I_{\check{\mathcal{O}}}$  annihilates  $\pi$ ; and
- $\pi$  is genuine if  $G$  is a metaplectic group.

Write  $\text{Unip}_{\check{\mathcal{O}}}(G)$  for the set of isomorphism classes of irreducible Casselman-Wallach representations of  $G$  that are attached to  $\check{\mathcal{O}}$ . We say that an irreducible Casselman-Wallach representation of  $G$  is special unipotent if it is attached to  $\check{\mathcal{O}}$ , for some  $\check{\mathcal{O}} \in \text{Nil}(\check{\mathfrak{g}})$ . As mentioned earlier, we will construct all special unipotent representations of  $G$ , and will show that all of them are unitarizable, as predicted by the Arthur-Barbasch-Vogan conjecture ([2, Introduction]).

**1.3. Combinatorial construct: painted bipartitions.** We introduce a symbol  $\star$ , taking values in  $\{B, C, D, \tilde{C}, C^*, D^*\}$ , to specify the type of the groups that we are considering as in (1.1), namely odd real orthogonal groups, real symplectic groups, even real orthogonal groups, real metaplectic groups, quaternionic symplectic groups and quaternionic orthogonal groups, respectively.

For a Young diagram  $\iota$ , write

$$\mathbf{r}_1(\iota) \geq \mathbf{r}_2(\iota) \geq \mathbf{r}_3(\iota) \geq \dots$$

for its row lengths, and similarly, write

$$\mathbf{c}_1(\iota) \geq \mathbf{c}_2(\iota) \geq \mathbf{c}_3(\iota) \geq \dots$$

for its column lengths. Denote by  $|\iota| := \sum_{i=1}^{\infty} \mathbf{r}_i(\iota)$  the total size of  $\iota$ .

For any Young diagram  $\iota$ , we introduce the set  $\text{Box}(\iota)$  of boxes of  $\iota$  as the following subset of  $\mathbb{N}^+ \times \mathbb{N}^+$  ( $\mathbb{N}^+$  denotes the set of positive integers):

$$(1.4) \quad \text{Box}(\iota) := \{ (i, j) \in \mathbb{N}^+ \times \mathbb{N}^+ \mid j \leq \mathbf{r}_i(\iota) \}.$$

We also introduce five symbols  $\bullet$ ,  $s$ ,  $r$ ,  $c$  and  $d$ , and make the following definition.

**Definition 1.2.** A painting on a Young diagram  $\iota$  is a map

$$\mathcal{P} : \text{Box}(\iota) \rightarrow \{\bullet, s, r, c, d\}$$

with the following properties:

- $\mathcal{P}^{-1}(S)$  is the set of boxes of a Young diagram when  $S = \{\bullet\}$ ,  $\{\bullet, s\}$ ,  $\{\bullet, s, r\}$  or  $\{\bullet, s, r, c\}$ ;
- when  $S = \{s\}$  or  $\{r\}$ , every row of  $\iota$  has at most one box in  $\mathcal{P}^{-1}(S)$ ;
- when  $S = \{c\}$  or  $\{d\}$ , every column of  $\iota$  has at most one box in  $\mathcal{P}^{-1}(S)$ .

A painted Young diagram is then a pair  $(\iota, \mathcal{P})$ , consisting of a Young diagram  $\iota$  and a painting  $\mathcal{P}$  on  $\iota$ .

*Example.* Suppose that  $\iota = \begin{array}{|c|c|} \hline & \\ \hline & \\ \hline \end{array}$ , then there are  $25 + 12 + 6 + 2 = 45$  paintings on  $\iota$  in total as listed below.

$$\begin{array}{|c|c|} \hline \bullet & \alpha \\ \hline \beta & \\ \hline \end{array} \quad \alpha, \beta \in \{\bullet, s, r, c, d\} \qquad \begin{array}{|c|c|} \hline s & \alpha \\ \hline \beta & \\ \hline \end{array} \quad \alpha \in \{r, c, d\}, \beta \in \{s, r, c, d\}$$

$$\begin{array}{|c|c|} \hline r & \alpha \\ \hline \beta & \\ \hline \end{array} \quad \alpha \in \{c, d\}, \beta \in \{r, c, d\} \qquad \begin{array}{|c|c|} \hline c & \alpha \\ \hline d & \\ \hline \end{array} \quad \alpha \in \{c, d\}$$

We introduce two more symbols  $B^+$  and  $B^-$ , and make the following definition.

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**Definition 1.3.** A painted bipartition is a triple  $\tau = (\iota, \mathcal{P}) \times (j, \mathcal{Q}) \times \alpha$ , where  $(\iota, \mathcal{P})$  and  $(j, \mathcal{Q})$  are painted Young diagrams, and  $\alpha \in \{B^+, B^-, C, D, \tilde{C}, C^*, D^*\}$ , subject to the following conditions:

- $\mathcal{P}^{-1}(\bullet) = \mathcal{Q}^{-1}(\bullet)$ ;
- the image of  $\mathcal{P}$  is contained in
$$\left\{ \begin{array}{ll} \{\bullet, c\}, & \text{if } \alpha = B^+ \text{ or } B^-; \\ \{\bullet, r, c, d\}, & \text{if } \alpha = C; \\ \{\bullet, s, r, c, d\}, & \text{if } \alpha = D; \\ \{\bullet, s, c\}, & \text{if } \alpha = \tilde{C}; \\ \{\bullet\}, & \text{if } \alpha = C^*; \\ \{\bullet, s\}, & \text{if } \alpha = D^*, \end{array} \right.$$
- the image of  $\mathcal{Q}$  is contained in

$$\left\{ \begin{array}{ll} \{\bullet, s, r, d\}, & \text{if } \alpha = B^+ \text{ or } B^-; \\ \{\bullet, s\}, & \text{if } \alpha = C; \\ \{\bullet\}, & \text{if } \alpha = D; \\ \{\bullet, r, d\}, & \text{if } \alpha = \tilde{C}; \\ \{\bullet, s, r\}, & \text{if } \alpha = C^*; \\ \{\bullet, r\}, & \text{if } \alpha = D^*. \end{array} \right.$$

For any painted bipartition  $\tau$  as in Definition 1.3, we write

$$\iota_\tau := \iota, \mathcal{P}_\tau := \mathcal{P}, j_\tau := j, \mathcal{Q}_\tau := \mathcal{Q}, \alpha_\tau := \alpha,$$

and

$$\star_\tau := \begin{cases} B, & \text{if } \alpha = B^+ \text{ or } B^-; \\ \alpha, & \text{otherwise.} \end{cases}$$

We further attach some objects to  $\tau$  in what follows:

$$|\tau|, (p_\tau, q_\tau), G_\tau, \dim \tau, \varepsilon_\tau.$$

$|\tau|$ : This is the natural number

$$|\tau| := |\iota| + |j|.$$

$(p_\tau, q_\tau)$ : If  $\star_\tau \in \{B, D, C^*\}$ , this is a pair of natural numbers given by counting the various symbols appearing in  $(\iota, \mathcal{P})$ ,  $(j, \mathcal{Q})$  and  $\{\alpha\}$ :

$$\begin{cases} p_\tau := \# \bullet + 2\#r + \#c + \#d + \#B^+; \\ q_\tau := \# \bullet + 2\#s + \#c + \#d + \#B^-. \end{cases}$$

If  $\star_\tau \in \{C, \tilde{C}, D^*\}$ , we let  $p_\tau := q_\tau := |\tau|$ .

$G_\tau$ : This is a classical group given by

$$G_\tau := \begin{cases} \mathrm{O}(p_\tau, q_\tau), & \text{if } \star_\tau = B \text{ or } D; \\ \mathrm{Sp}_{2|\tau|}(\mathbb{R}), & \text{if } \star_\tau = C; \\ \widetilde{\mathrm{Sp}}_{2|\tau|}(\mathbb{R}), & \text{if } \star_\tau = \tilde{C}; \\ \mathrm{Sp}(\frac{p_\tau}{2}, \frac{q_\tau}{2}), & \text{if } \star_\tau = C^*; \\ \mathrm{O}^*(2|\tau|), & \text{if } \star_\tau = D^*. \end{cases}$$

$\dim \tau$ : This is the dimension of the standard representation of the complexification of  $G_\tau$ , or equivalently,

$$\dim \tau := \begin{cases} 2|\tau| + 1, & \text{if } \star_\tau = B; \\ 2|\tau|, & \text{otherwise.} \end{cases}$$

$\varepsilon_\tau$ : This is the element in  $\mathbb{Z}/2\mathbb{Z}$  such that

$$\varepsilon_\tau = 0 \Leftrightarrow \text{the symbol } d \text{ occurs in the first column of } (\iota, \mathcal{P}) \text{ or } (j, \mathcal{Q}).$$

The triple  $\mathbf{s}_\tau = (\star_\tau, p_\tau, q_\tau) \in \{B, C, D, \tilde{C}, C^*, D^*\} \times \mathbb{N} \times \mathbb{N}$  will also be referred to as the classical signature attached to  $\tau$ .

*Example.* Suppose that

$$\tau = \begin{array}{|c|c|} \hline \bullet & c \\ \hline \bullet & \\ \hline c & \\ \hline \end{array} \times \begin{array}{|c|c|c|} \hline \bullet & s & r \\ \hline \bullet & & \\ \hline r & & \\ \hline r & & \\ \hline \end{array} \times B^+.$$

Then

$$\begin{cases} |\tau| = 10; \\ p_\tau = 4 + 6 + 2 + 0 + 1 = 13; \\ q_\tau = 4 + 2 + 2 + 0 + 0 = 8; \\ G_\tau = \mathrm{O}(13, 8); \\ \dim \tau = 21; \\ \varepsilon_\tau = 1. \end{cases}$$

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**1.4. Counting special unipotent representations by painted bipartitions.** We fix a classical group  $G$  which has type  $\star$ . Following [60, Definition 4.1], we say that  $\check{\mathcal{O}} \in \mathrm{Nil}(\check{\mathfrak{g}})$  has  $\star$ -good parity if

$$\begin{cases} \text{all nonzero row lengths of } \check{\mathcal{O}} \text{ are even if } \check{G} \text{ is a complex symplectic group; and} \\ \text{all nonzero row lengths of } \check{\mathcal{O}} \text{ are odd if } \check{G} \text{ is a complex orthogonal group.} \end{cases}$$

In general, the study of the special unipotent representations attached to  $\check{\mathcal{O}}$  will be reduced to the case when  $\check{\mathcal{O}}$  has  $\star$ -good parity. We refer the reader to [14].

For the rest of this subsection, assume that  $\check{\mathcal{O}}$  has  $\star$ -good parity. Equivalently we consider  $\check{\mathcal{O}}$  as a Young diagram that has  $\star$ -good parity in the following sense:

$$\begin{cases} \text{all nonzero row lengths of } \check{\mathcal{O}} \text{ are even if } \star \in \{B, \tilde{C}\}; \\ \text{all nonzero row lengths of } \check{\mathcal{O}} \text{ are odd if } \star \in \{C, D, C^*, D^*\}; \text{ and} \\ \text{the total size } |\check{\mathcal{O}}| \text{ is odd if and only if } \star \in \{C, C^*\}. \end{cases}$$

**Definition 1.4.** A  $\star$ -pair is a pair  $(i, i+1)$  of consecutive positive integers such that

$$u \begin{cases} i \text{ is odd,} & \text{if } \star \in \{C, \tilde{C}, C^*\}; \\ i \text{ is even,} & \text{if } \star \in \{B, D, D^*\}. \end{cases}$$

A  $\star$ -pair  $(i, i+1)$  is said to be

- vacant in  $\check{\mathcal{O}}$ , if  $\mathbf{r}_i(\check{\mathcal{O}}) = \mathbf{r}_{i+1}(\check{\mathcal{O}}) = 0$ ;
- balanced in  $\check{\mathcal{O}}$ , if  $\mathbf{r}_i(\check{\mathcal{O}}) = \mathbf{r}_{i+1}(\check{\mathcal{O}}) > 0$ ;
- tailed in  $\check{\mathcal{O}}$ , if  $\mathbf{r}_i(\check{\mathcal{O}}) - \mathbf{r}_{i+1}(\check{\mathcal{O}})$  is positive and odd;
- primitive in  $\check{\mathcal{O}}$ , if  $\mathbf{r}_i(\check{\mathcal{O}}) - \mathbf{r}_{i+1}(\check{\mathcal{O}})$  is positive and even.

Denote  $\text{PP}_\star(\check{\mathcal{O}})$  the set of all  $\star$ -pairs that are primitive in  $\check{\mathcal{O}}$ .

For any  $\check{\mathcal{O}}$ , we attach a pair of Young diagrams

$$(\iota_{\check{\mathcal{O}}}, j_{\check{\mathcal{O}}}) := (\iota_\star(\check{\mathcal{O}}), j_\star(\check{\mathcal{O}})),$$

as follows.

**The case when  $\star = B$ .** In this case,

$$\mathbf{c}_1(j_{\check{\mathcal{O}}}) = \frac{\mathbf{r}_1(\check{\mathcal{O}})}{2},$$

and for all  $i \geq 1$ ,

$$(\mathbf{c}_i(\iota_{\check{\mathcal{O}}}), \mathbf{c}_{i+1}(j_{\check{\mathcal{O}}})) = \left( \frac{\mathbf{r}_{2i}(\check{\mathcal{O}})}{2}, \frac{\mathbf{r}_{2i+1}(\check{\mathcal{O}})}{2} \right).$$

**The case when  $\star = \tilde{C}$ .** In this case, for all  $i \geq 1$ ,

$$(\mathbf{c}_i(\iota_{\check{\mathcal{O}}}), \mathbf{c}_i(j_{\check{\mathcal{O}}})) = \left( \frac{\mathbf{r}_{2i-1}(\check{\mathcal{O}})}{2}, \frac{\mathbf{r}_{2i}(\check{\mathcal{O}})}{2} \right).$$

**The case when  $\star \in \{C, C^*\}$ .** In this case, for all  $i \geq 1$ ,

$$(\mathbf{c}_i(j_{\check{\mathcal{O}}}), \mathbf{c}_i(\iota_{\check{\mathcal{O}}})) = \begin{cases} (0, 0), & \text{if } (2i-1, 2i) \text{ is vacant in } \check{\mathcal{O}}; \\ \left( \frac{\mathbf{r}_{2i-1}(\check{\mathcal{O}})-1}{2}, 0 \right), & \text{if } (2i-1, 2i) \text{ is tailed in } \check{\mathcal{O}}; \\ \left( \frac{\mathbf{r}_{2i-1}(\check{\mathcal{O}})-1}{2}, \frac{\mathbf{r}_{2i}(\check{\mathcal{O}})+1}{2} \right), & \text{otherwise.} \end{cases}$$

**The case when  $\star \in \{D, D^*\}$ .** In this case,

$$\mathbf{c}_1(\iota_{\check{\mathcal{O}}}) = \begin{cases} 0, & \text{if } \mathbf{r}_1(\check{\mathcal{O}}) = 0; \\ \frac{\mathbf{r}_1(\check{\mathcal{O}})+1}{2}, & \text{if } \mathbf{r}_1(\check{\mathcal{O}}) > 0, \end{cases}$$

and for all  $i \geq 1$ ,

$$(\mathbf{c}_i(j_{\check{\mathcal{O}}}), \mathbf{c}_{i+1}(\iota_{\check{\mathcal{O}}})) = \begin{cases} (0, 0), & \text{if } (2i, 2i+1) \text{ is vacant in } \check{\mathcal{O}}; \\ \left( \frac{\mathbf{r}_{2i}(\check{\mathcal{O}})-1}{2}, 0 \right), & \text{if } (2i, 2i+1) \text{ is tailed in } \check{\mathcal{O}}; \\ \left( \frac{\mathbf{r}_{2i}(\check{\mathcal{O}})-1}{2}, \frac{\mathbf{r}_{2i+1}(\check{\mathcal{O}})+1}{2} \right), & \text{otherwise.} \end{cases}$$

Define

$$\text{PBP}_\star(\check{\mathcal{O}}) := \left\{ \tau \text{ is a painted bipartition} \mid \star_\tau = \star \text{ and } (\iota_\tau, j_\tau) = (\iota_\star(\check{\mathcal{O}}), j_\star(\check{\mathcal{O}})) \right\}.$$

We also define the following extended parameter set:

$$\text{PBP}_\star^{\text{ext}}(\check{\mathcal{O}}) := \begin{cases} \text{PBP}_\star(\check{\mathcal{O}}) \times \{\wp \subset \text{PP}_\star(\check{\mathcal{O}})\}, & \text{if } \star \in \{B, C, D, \tilde{C}\}; \\ \text{PBP}_\star(\check{\mathcal{O}}) \times \{\emptyset\}, & \text{if } \star \in \{C^*, D^*\}. \end{cases}$$

We use  $\tau = (\tau, \wp)$  to denote an element in  $\text{PBP}_\star^{\text{ext}}(\check{\mathcal{O}})$ .

Put

$$\text{Unip}_\star(\check{\mathcal{O}}) := \begin{cases} \bigsqcup_{p,q \in \mathbb{N}, p+q=|\check{\mathcal{O}}|+1} \text{Unip}_{\check{\mathcal{O}}}(\text{O}(p, q)), & \text{if } \star = B; \\ \text{Unip}_{\check{\mathcal{O}}}(\text{Sp}_{|\check{\mathcal{O}}|-1}(\mathbb{R})), & \text{if } \star = C; \\ \bigsqcup_{p,q \in \mathbb{N}, p+q=|\check{\mathcal{O}}|} \text{Unip}_{\check{\mathcal{O}}}(\text{O}(p, q)), & \text{if } \star = D; \\ \text{Unip}_{\check{\mathcal{O}}}(\widetilde{\text{Sp}}_{|\check{\mathcal{O}}|}(\mathbb{R})), & \text{if } \star = \tilde{C}; \\ \bigsqcup_{p,q \in \mathbb{N}, 2p+2q=|\check{\mathcal{O}}|-1} \text{Unip}_{\check{\mathcal{O}}}(\text{Sp}(p, q)), & \text{if } \star = C^*; \\ \text{Unip}_{\check{\mathcal{O}}}(\text{O}^*(|\check{\mathcal{O}}|)), & \text{if } \star = D^*. \end{cases}$$

The main result of [BMSZ22], on counting of special unipotent representations, is as follows.

**Theorem 1.5.** *Suppose that  $\check{\mathcal{O}} \in \text{Nil}(\check{\mathfrak{g}})$  has  $\star$ -good parity. Then*

$$\#(\text{Unip}_\star \check{\mathcal{O}}) = \begin{cases} 2\#(\text{PBP}_\star^{\text{ext}}(\check{\mathcal{O}})), & \text{if } \star \in \{B, D\} \text{ and } (\star, \check{\mathcal{O}}) \neq (D, \emptyset); \\ \#(\text{PBP}_\star^{\text{ext}}(\check{\mathcal{O}})), & \text{otherwise.} \end{cases}$$

**1.5. Descending painted bipartitions and constructing representations by induction.** Let  $\star$  and  $\check{\mathcal{O}}$  be as before. For every  $\tau = (\tau, \wp) \in \text{PBP}_\star^{\text{ext}}(\check{\mathcal{O}})$ , in what follows we will construct a representation  $\pi_\tau$  of  $G_\tau$  by the method of theta lifting. For the initial case when  $\check{\mathcal{O}}$  is the empty Young diagram, define

$$\pi_\tau := \begin{cases} \text{the one dimensional genuine representation,} & \text{if } \star = \tilde{C} \text{ so that } G_\tau = \widetilde{\text{Sp}}_0(\mathbb{R}); \\ \text{the one dimensional trivial representation,} & \text{if } \star \neq \tilde{C}. \end{cases}$$

Define a symbol

$$\star' := \tilde{C}, D, C, B, D^* \text{ or } C^*$$

respectively if

$$\star = B, C, D, \tilde{C}, C^* \text{ or } D^*.$$

We call  $\star'$  the Howe dual of  $\star$ . Assume now that  $\check{\mathcal{O}}$  is nonempty, and define its dual descent to be

$$\check{\mathcal{O}}' := \check{\nabla}(\check{\mathcal{O}}) := \text{the Young diagram obtained from } \check{\mathcal{O}} \text{ by removing the first row.}$$

Note that  $\check{\mathcal{O}}'$  has  $\star'$ -good parity, and

$$\text{PP}_{\star'}(\check{\mathcal{O}}') = \{(i, i+1) \mid i \in \mathbb{N}^+, (i+1, i+2) \in \text{PP}_\star(\check{\mathcal{O}})\}.$$

Define the dual descent of  $\wp$  to be

$$(1.5) \quad \wp' := \check{\nabla}(\wp) := \{(i, i+1) \mid i \in \mathbb{N}^+, (i+1, i+2) \in \wp\} \subset \text{PP}_{\star'}(\check{\mathcal{O}}').$$

In Section 2, we will define the descent map

$$\nabla : \text{PBP}_\star(\check{\mathcal{O}}) \rightarrow \text{PBP}_{\star'}(\check{\mathcal{O}}').$$

We then define the descent of  $\tau = (\tau, \wp) \in \text{PBP}_\star^{\text{ext}}(\check{\mathcal{O}})$  to be the element

$$\tau' := (\tau', \wp') := \nabla(\tau) := (\nabla(\tau), \check{\nabla}(\wp)) \in \text{PBP}_{\star'}(\check{\mathcal{O}}').$$



Let  $(W_{\tau,\tau'}, \langle \cdot, \cdot \rangle_{\tau,\tau'})$  be a real symplectic space of dimension  $\dim \tau \cdot \dim \tau'$ . As usual, there are continuous homomorphisms  $G_\tau \rightarrow \mathrm{Sp}(W_{\tau,\tau'})$  and  $G_{\tau'} \rightarrow \mathrm{Sp}(W_{\tau,\tau'})$  whose images form a reductive dual pair in  $\mathrm{Sp}(W_{\tau,\tau'})$ . We form the semidirect product

$$J_{\tau,\tau'} := (G_\tau \times G_{\tau'}) \ltimes \mathrm{H}(W_{\tau,\tau'}),$$

where

$$\mathrm{H}(W_{\tau,\tau'}) := W_{\tau,\tau'} \times \mathbb{R}$$

is the Heisenberg group with group multiplication

$$(w, t)(w', t') := (w + w', t + t' + \langle w, w' \rangle_{\tau,\tau'}), \quad w, w' \in W_{\tau,\tau'}, \quad t, t' \in \mathbb{R}.$$

Let  $\omega_{\tau,\tau'}$  be a suitably normalized smooth oscillator representation of  $J_{\tau,\tau'}$  such that every  $t \in \mathbb{R} \subset J_{\tau,\tau'}$  acts on it through the scalar multiplication by  $e^{2\pi\sqrt{-1}t}$  (the letter  $\pi$  often denotes a representation, but here it stands for the circumference ratio). See Section 4.1 for details.

For any Casselman-Wallach representation  $\pi'$  of  $G_{\tau'}$ , write

$$\check{\Theta}_{\tau'}^\tau(\pi') := (\omega_{\tau,\tau'} \hat{\otimes} \pi')_{G_{\tau'}} \quad (\text{the Hausdorff coinvariant space}),$$

where  $\hat{\otimes}$  indicates the complete projective tensor product. This is a Casselman-Wallach representation of  $G_\tau$ . Now we define the representation  $\pi_\tau$  of  $G_\tau$  by induction on the number of nonempty rows of  $\check{\mathcal{O}}$ :

$$(1.6) \quad \pi_\tau := \begin{cases} \check{\Theta}_{\tau'}^\tau(\pi_{\tau'}) \otimes (1_{p_\tau, q_\tau}^{+, -})^{\varepsilon_\tau}, & \text{if } \star = B \text{ or } D; \\ \check{\Theta}_{\tau'}^\tau(\pi_{\tau'} \otimes \det^{\varepsilon_\varphi}), & \text{if } \star = C \text{ or } \tilde{C}; \\ \check{\Theta}_{\tau'}^\tau(\pi_{\tau'}), & \text{if } \star = C^* \text{ or } D^*. \end{cases}$$

Here  $1_{p_\tau, q_\tau}^{+, -}$  denotes the character of  $\mathrm{O}(p_\tau, q_\tau)$  whose restriction to  $\mathrm{O}(p_\tau) \times \mathrm{O}(q_\tau)$  equals  $1 \otimes \det$  (1 stands for the trivial character), and  $\varepsilon_\varphi$  denote the element in  $\mathbb{Z}/2\mathbb{Z}$  such that

$$\varepsilon_\varphi := 1 \Leftrightarrow (1, 2) \in \varphi.$$

In the sequel, we will use  $\emptyset$  to denote the empty set (in the usual way), as well as the empty Young diagram or the painted Young diagram whose underlying Young diagram is empty. As always, we let  $\star \in \{B, C, D, \tilde{C}, C^*, D^*\}$ .

We are now ready to state our first main theorem.

thm1

**Theorem 1.6.** *Suppose  $\check{\mathcal{O}} \in \mathrm{Nil}(\check{\mathfrak{g}})$  has  $\star$ -good parity.*

(a) *For every  $\tau = (\tau, \varphi) \in \mathrm{PBP}_\star^{\mathrm{ext}}(\check{\mathcal{O}})$ , the representation  $\pi_\tau$  of  $G_\tau$  in (1.6) is irreducible and attached to  $\check{\mathcal{O}}$ .*

(b) *If  $\star \in \{B, D\}$  and  $(\star, \check{\mathcal{O}}) \neq (D, \emptyset)$ , then the map*

$$\begin{aligned} \mathrm{PBP}_\star^{\mathrm{ext}}(\check{\mathcal{O}}) \times \mathbb{Z}/2\mathbb{Z} &\rightarrow \mathrm{Unip}_\star(\check{\mathcal{O}}), \\ (\tau, \epsilon) &\mapsto \pi_\tau \otimes \det^\epsilon \end{aligned}$$

*is bijective.*

(c) *In all other cases, the map*

$$\begin{aligned} \mathrm{PBP}_\star^{\mathrm{ext}}(\check{\mathcal{O}}) &\rightarrow \mathrm{Unip}_\star(\check{\mathcal{O}}), \\ \tau &\mapsto \pi_\tau \end{aligned}$$

*is bijective.*

By the above theorem, we have explicitly constructed all special unipotent representations in  $\mathrm{Unip}_\star(\check{\mathcal{O}})$ , when  $\check{\mathcal{O}}$  has  $\star$ -good parity. The method of matrix coefficient integrals (Section 16) will imply the following

cor1

**Corollary 1.7.** *Suppose  $\check{\mathcal{O}} \in \mathrm{Nil}(\check{\mathfrak{g}})$  has  $\star$ -good parity. Then all special unipotent representations in  $\mathrm{Unip}_\star(\check{\mathcal{O}})$  are unitarizable.*

The reduction of [14] allows us to classify all special unipotent presentations attached to a general  $\check{O} \in \text{Nil}(\check{\mathfrak{g}})$  from those which have  $\star$ -good parity. We thus conclude

**Theorem 1.8.** *All special unipotent representations of the classical groups in (1.1) are unitarizable.*

**1.6. Computing associated cycles of the constructed representations.** Let  $G, G_{\mathbb{C}}, \mathfrak{g}_{\mathbb{R}}, \mathfrak{g}, \check{\mathfrak{g}}$  and  $\check{O} \in \text{Nil}(\check{\mathfrak{g}})$  be as in Section 1.2. Fix a maximal compact subgroup  $K$  of  $G$  whose Lie algebra is denoted by  $\mathfrak{k}_{\mathbb{R}}$ . We equip  $\mathfrak{g}$  with the trace form. Then we have an orthogonal decomposition

$$\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p},$$

where  $\mathfrak{k}$  is the complexification of  $\mathfrak{k}_{\mathbb{R}}$ . By taking the dual spaces, we also have a decomposition

$$\mathfrak{g}^* = \mathfrak{k}^* \oplus \mathfrak{p}^*,$$

Write  $K_{\mathbb{C}}$  for the complexification of the compact group  $K$ . It is a complex algebraic group with an obvious algebraic action on  $\mathfrak{p}^*$ .

For any  $\check{O} \in \text{Nil}(\check{\mathfrak{g}})$ , write  $\mathcal{O} \in \text{Nil}(\mathfrak{g}^*)$  for the Barbarsch-Vogan dual of  $\check{O}$  so that its Zariski closure in  $\mathfrak{g}^*$  equals the associated variety of the ideal  $I_{\check{O}} \subset U(\mathfrak{g})$ . See [13, 16]. The algebraic variety  $\mathcal{O} \cap \mathfrak{p}^*$  is a finite union of  $K_{\mathbb{C}}$ -orbits. Given any such orbit  $\mathcal{O}$ , write  $\mathcal{K}_{K_{\mathbb{C}}}(\mathcal{O})$  for the Grothendieck group of the category of  $K_{\mathbb{C}}$ -equivariant algebraic vector bundles on  $\mathcal{O}$ . Put

$$\mathcal{K}_{K_{\mathbb{C}}}(\mathcal{O}) := \bigoplus_{\mathcal{O} \text{ is a } K_{\mathbb{C}}\text{-orbit in } \mathcal{O} \cap \mathfrak{p}^*} \mathcal{K}_{K_{\mathbb{C}}}(\mathcal{O}).$$

We say that a Casselman-Wallach representation of  $G$  is  $\mathcal{O}$ -bounded if the associated variety of its annihilator ideal is contained in the Zariski closure of  $\mathcal{O}$ . Note that all representations in  $\text{Unip}_{\check{O}}(G)$  are  $\mathcal{O}$ -bounded. Write  $\mathcal{K}(G)_{\mathcal{O}\text{-bounded}}$  for the Grothendieck group of the category of all such representations. From [83, Theorem 2.13], we have a canonical homomorphism

$$\text{AC}_{\mathcal{O}}: \mathcal{K}(G)_{\mathcal{O}\text{-bounded}} \longrightarrow \mathcal{K}_{K_{\mathbb{C}}}(\mathcal{O}).$$

We call  $\text{AC}_{\mathcal{O}}(\pi)$  the associated cycle of  $\pi$ , where  $\pi$  is an  $\mathcal{O}$ -bounded Casselman-Wallach representation of  $G$ . This is a fundamental invariant attached to  $\pi$ .

Following Vogan [83, Section 8], we make the following definition.

**Definition 1.9.** *Let  $\mathcal{O}$  be a  $K_{\mathbb{C}}$ -orbit in  $\mathcal{O} \cap \mathfrak{p}^*$ . An admissible orbit datum over  $\mathcal{O}$  is an irreducible  $K_{\mathbb{C}}$ -equivariant algebraic vector bundle  $\mathcal{E}$  on  $\mathcal{O}$  such that*

- $\mathcal{E}_{\mathbf{e}}$  is isomorphic to a multiple of  $(\bigwedge^{\text{top}} \mathfrak{k}_{\mathbf{e}})^{\frac{1}{2}}$  as a representation of  $\mathfrak{k}_{\mathbf{e}}$ ;
- if  $\star = \tilde{C}$ , then  $\varepsilon_G$  acts on  $\mathcal{E}$  by the scalar multiplication by  $-1$ .

Here  $\mathbf{e} \in \mathcal{O}$ ,  $\mathcal{E}_{\mathbf{e}}$  is the fibre of  $\mathcal{E}$  at  $\mathbf{e}$ ,  $\mathfrak{k}_{\mathbf{e}}$  denotes the Lie algebra of the stabilizer of  $\mathbf{e}$  in  $K_{\mathbb{C}}$ , and  $(\bigwedge^{\text{top}} \mathfrak{k}_{\mathbf{e}})^{\frac{1}{2}}$  is a one-dimensional representation of  $\mathfrak{k}_{\mathbf{e}}$  whose tensor square is the top degree wedge product  $\bigwedge^{\text{top}} \mathfrak{k}_{\mathbf{e}}$ .

Note that in the situation of the classical groups we consider in this article, all admissible orbit data are line bundles. Denote by  $\text{AOD}_{K_{\mathbb{C}}}(\mathcal{O})$  the set of isomorphism classes of admissible orbit data over  $\mathcal{O}$ , to be viewed as a subset of  $\mathcal{K}_{K_{\mathbb{C}}}(\mathcal{O})$ . Put

$$(1.7) \quad \text{AOD}_{K_{\mathbb{C}}}(\mathcal{O}) := \bigsqcup_{\mathcal{O} \text{ is a } K_{\mathbb{C}}\text{-orbit in } \mathcal{O} \cap \mathfrak{p}^*} \text{AOD}_{K_{\mathbb{C}}}(\mathcal{O}) \subset \mathcal{K}_{K_{\mathbb{C}}}(\mathcal{O}).$$

For the rest of this subsection, we suppose that  $G$  has type  $\star \in \{B, C, D, \tilde{C}, C^*, D^*\}$ , and  $\check{O}$  has  $\star$ -good parity. Recall that a nilpotent orbit in  $\check{\mathfrak{g}}$  is said to be distinguished if it has no nontrivial intersection with any proper Levi subalgebra of  $\check{\mathfrak{g}}$ . Combinatorially,

this is equivalent to saying that no pair of rows of the Young diagram have equal nonzero length. Note that all distinguished nilpotent orbits in  $\check{\mathfrak{g}}$  has  $\star$ -good parity.

**Definition 1.10.** (a) The orbit  $\check{\mathcal{O}}$  (which has  $\star$ -good parity) is said to be *quasi-distinguished* if there is no  $\star$ -pair that is balanced in  $\check{\mathcal{O}}$ .

(b) If  $\star \in \{B, D, D^*\}$ , then  $\check{\mathcal{O}}$  is said to be *weakly-distinguished* if there is no positive even integer  $i$  such that  $\mathbf{r}_i(\check{\mathcal{O}}) = \mathbf{r}_{i+1}(\check{\mathcal{O}}) = \mathbf{r}_{i+2}(\check{\mathcal{O}}) = \mathbf{r}_{i+3}(\check{\mathcal{O}}) > 0$ .

(c) If  $\star \in \{C, \tilde{C}, C^*\}$  so that its Howe dual  $\star' \in \{B, D, D^*\}$ , then  $\check{\mathcal{O}}$  is said to be *weakly-distinguished* if either it is the empty Young diagram or it is nonempty and its dual descent  $\check{\mathcal{O}}'$  (which is a Young diagram that has  $\star'$ -good parity) is weakly-distinguished.

We will compute the associated cycle of  $\pi_\tau$  for every painted bipartition  $\tau$  associated to  $\check{\mathcal{O}}$  which has  $\star$ -good parity. The computation will be a key ingredient in the proof of Theorem 1.6. Our second main theorem is the following result concerning associated cycles of the special unipotent representations.

thmac0

**Theorem 1.11.** Suppose that  $\check{\mathcal{O}} \in \text{Nil}(\check{\mathfrak{g}})$  has  $\star$ -good parity.

(a) For any  $\pi \in \text{Unip}_{\check{\mathcal{O}}}(G)$ , the associated cycle  $\text{AC}_{\mathcal{O}}(\pi) \in \mathcal{K}_{K_{\mathbb{C}}}(\mathcal{O})$  is a nonzero sum of pairwise distinct elements of  $\text{AOD}_{K_{\mathbb{C}}}(\mathcal{O})$ .

(b) If  $\check{\mathcal{O}}$  is weakly-distinguished, then the map

$$\text{AC}_{\mathcal{O}} : \text{Unip}_{\check{\mathcal{O}}}(G) \rightarrow \mathcal{K}_{K_{\mathbb{C}}}(\mathcal{O})$$

is injective.

(c) If  $\check{\mathcal{O}}$  is quasi-distinguished, then the map  $\text{AC}_{\mathcal{O}}$  induces a bijection

$$\text{Unip}_{\check{\mathcal{O}}}(G) \rightarrow \text{AOD}_{K_{\mathbb{C}}}(\mathcal{O}).$$

*Remark.* Suppose that  $\star \in \{C^*, D^*\}$  so that  $G$  is quaternionic. Then there is precisely one admissible orbit datum over  $\mathcal{O}$  for each  $K_{\mathbb{C}}$ -orbit  $\mathcal{O} \subset \mathcal{O} \cap \mathfrak{p}^*$ . Thus

$$\text{AOD}_{K_{\mathbb{C}}}(\mathcal{O}) = K_{\mathbb{C}} \backslash (\mathcal{O} \cap \mathfrak{p}^*).$$

If  $\check{\mathcal{O}}$  is not quasi-distinguished, then  $\mathcal{O} \cap \mathfrak{p}^*$  is empty (see [23, Theorems 9.3.4 and 9.3.5]), and hence  $\text{Unip}_{\check{\mathcal{O}}}(G)$  is also empty.

sec:comb

## 2. DESCENTS OF PAINTED BIPARTITIONS: COMBINATORICS

In this section, we define the descent of a painted bipartition, as alluded to in Section 1.5. As before, let  $\star \in \{B, C, D, \tilde{C}, C^*, D^*\}$  and let  $\check{\mathcal{O}}$  be a Young diagram that has  $\star$ -good parity.

For a diagram  $\iota$ , its naive descent, which is denoted by  $\nabla_{\text{naive}}(\iota)$ , is defined to be the Young diagram obtained from  $\iota$  by removing the first column. By convention,  $\nabla_{\text{naive}}(\emptyset) = \emptyset$ .

In the rest of this section, we assume that  $\check{\mathcal{O}} \neq \emptyset$ . Recall that we have its dual descent  $\check{\mathcal{O}}'$ , and  $\check{\mathcal{O}}'$  has  $\star'$ -good parity, where  $\star'$  is the Howe dual of  $\star$ .

**2.1. Naive descents of painted bipartitions.** In this subsection, let  $\tau = (\iota, \mathcal{P}) \times (j, \mathcal{Q}) \times \alpha$  be a painted bipartition such that  $\star_\tau = \star$ . Put

$$(2.1) \quad \alpha' = \begin{cases} B^+, & \text{if } \alpha = \tilde{C} \text{ and } c \text{ does not occur in the leading column of } \tau; \\ B^-, & \text{if } \alpha = \tilde{C} \text{ and } c \text{ occurs in the leading column of } \tau; \\ \star', & \text{if } \alpha \neq \tilde{C}. \end{cases}$$

ef.alphap}

lemDDn1

**Lemma 2.1.** If  $\star \in \{B, C, C^*\}$ , then there is a unique painted bipartition of the form  $\tau' = (\iota', \mathcal{P}') \times (j', \mathcal{Q}') \times \alpha'$  with the following properties:

- $(i', j') = (i, \nabla_{\text{naive}}(j))$ ;
- for all  $(i, j) \in \text{Box}(i')$ ,

$$\mathcal{P}'(i, j) = \begin{cases} \bullet \text{ or } s, & \text{if } \mathcal{P}(i, j) \in \{\bullet, s\}; \\ \mathcal{P}(i, j), & \text{if } \mathcal{P}(i, j) \notin \{\bullet, s\}; \end{cases}$$

- for all  $(i, j) \in \text{Box}(j')$ ,

$$\mathcal{Q}'(i, j) = \begin{cases} \bullet \text{ or } s, & \text{if } \mathcal{Q}(i, j+1) \in \{\bullet, s\}; \\ \mathcal{Q}(i, j+1), & \text{if } \mathcal{Q}(i, j+1) \notin \{\bullet, s\}. \end{cases}$$

*Proof.* First assume that the images of  $\mathcal{P}$  and  $\mathcal{Q}$  are both contained in  $\{\bullet, s\}$ . Then the image of  $\mathcal{P}$  is in fact contained in  $\{\bullet\}$ , and  $(i, j)$  is right interlaced in the sense that

$$\mathbf{c}_1(j) \geq \mathbf{c}_1(i) \geq \mathbf{c}_2(j) \geq \mathbf{c}_2(i) \geq \mathbf{c}_3(j) \geq \mathbf{c}_3(i) \geq \cdots.$$

Hence  $(i', j') := (i, \nabla(j))$  is left interlaced in the sense that

$$\mathbf{c}_1(i') \geq \mathbf{c}_1(j') \geq \mathbf{c}_2(i') \geq \mathbf{c}_2(j') \geq \mathbf{c}_3(i') \geq \mathbf{c}_3(j') \geq \cdots.$$

Then it is clear that there is a unique painted bipartition of the form  $\tau' = (i', \mathcal{P}') \times (j', \mathcal{Q}') \times \alpha'$  such that images of  $\mathcal{P}'$  and  $\mathcal{Q}'$  are both contained in  $\{\bullet, s\}$ . This proves the lemma in the special case when the images of  $\mathcal{P}$  and  $\mathcal{Q}$  are both contained in  $\{\bullet, s\}$ .

The proof of the lemma in the general case is easily reduced to this special case.  $\square$

1emDDn2

**Lemma 2.2.** If  $\star \in \{\tilde{C}, D, D^*\}$ , then there is a unique painted bipartition of the form  $\tau' = (i', \mathcal{P}') \times (j', \mathcal{Q}') \times \alpha'$  with the following properties:

- $(i', j') = (\nabla_{\text{naive}}(i), j)$ ;
- for all  $(i, j) \in \text{Box}(i')$ ,

$$\mathcal{P}'(i, j) = \begin{cases} \bullet \text{ or } s, & \text{if } \mathcal{P}(i, j+1) \in \{\bullet, s\}; \\ \mathcal{P}(i, j+1), & \text{if } \mathcal{P}(i, j+1) \notin \{\bullet, s\}; \end{cases}$$

- for all  $(i, j) \in \text{Box}(j')$ ,

$$\mathcal{Q}'(i, j) = \begin{cases} \bullet \text{ or } s, & \text{if } \mathcal{Q}(i, j) \in \{\bullet, s\}; \\ \mathcal{Q}(i, j), & \text{if } \mathcal{Q}(i, j) \notin \{\bullet, s\}. \end{cases}$$

*Proof.* The proof is similar to that of [Lemma 2.1](#).  $\square$

**Definition 2.3.** In the notation of [Lemma 2.1](#) and [2.2](#), we call  $\tau'$  the naive descent of  $\tau$ , to be denoted by  $\nabla_{\text{naive}}(\tau)$ .

*Example.* If

$$\tau = \begin{array}{|c|c|c|c|} \hline \bullet & \bullet & \bullet & c \\ \hline \bullet & s & c & \\ \hline s & & & \\ \hline c & & & \\ \hline \end{array} \times \begin{array}{|c|c|c|} \hline \bullet & \bullet & \bullet \\ \hline \bullet & r & d \\ \hline d & d & \\ \hline \end{array} \times \tilde{C},$$

then

$$\nabla_{\text{naive}}(\tau) = \begin{array}{|c|c|c|} \hline \bullet & \bullet & c \\ \hline \bullet & c & \\ \hline \end{array} \times \begin{array}{|c|c|c|} \hline \bullet & \bullet & s \\ \hline \bullet & r & d \\ \hline d & d & \\ \hline \end{array} \times B^-.$$

sec:desc

**2.2. Descents of painted bipartitions.** Suppose that  $\tau = (i, \mathcal{P}) \times (j, \mathcal{Q}) \times \alpha \in \text{PBP}_\star(\check{\mathcal{O}})$  and write

$$\tau'_{\text{naive}} = (i', \mathcal{P}'_{\text{naive}}) \times (j', \mathcal{Q}'_{\text{naive}}) \times \alpha'$$

for the naive descent of  $\tau$ . This is clearly an element of  $\text{PBP}_\star(\check{\mathcal{O}}')$ .

The following two lemmas are easily verified and we omit the proofs. We will give an example for each case.

descb2

**Lemma 2.4.** *Suppose that*

$$\begin{cases} \alpha = B^+; \\ \mathbf{r}_2(\check{\mathcal{O}}) > 0; \\ \mathcal{Q}(\mathbf{c}_1(j), 1) \in \{r, d\}. \end{cases}$$

*Then there is a unique element in  $\text{PBP}_\star(\check{\mathcal{O}}')$  of the form*

$$\tau' = (i', \mathcal{P}') \times (j', \mathcal{Q}') \times \alpha'$$

*such that  $\mathcal{Q}' = \mathcal{Q}'_{\text{naive}}$  and for all  $(i, j) \in \text{Box}(i')$ ,*

$$\mathcal{P}'(i, j) = \begin{cases} s, & \text{if } (i, j) = (\mathbf{c}_1(i'), 1); \\ \mathcal{P}'_{\text{naive}}(i, j), & \text{otherwise.} \end{cases}$$

*Example.* If

$$\tau = \begin{array}{|c|c|} \hline \bullet & c \\ \hline c & \\ \hline \end{array} \times \begin{array}{|c|c|} \hline \bullet & r \\ \hline r & d \\ \hline \end{array} \times B^+,$$

then

$$\tau'_{\text{naive}} = \begin{array}{|c|c|} \hline s & c \\ \hline c & \\ \hline \end{array} \times \begin{array}{|c|} \hline r \\ \hline d \\ \hline \end{array} \times \tilde{C} \quad \text{and} \quad \tau' = \begin{array}{|c|c|} \hline s & c \\ \hline s & \\ \hline \end{array} \times \begin{array}{|c|} \hline r \\ \hline d \\ \hline \end{array} \times \tilde{C}.$$

Note that in this case, the nonzero row lengths of  $\check{\mathcal{O}}$  are 4, 4, 4, 2.

descd2

**Lemma 2.5.** *Suppose that*

$$\begin{cases} \alpha = D; \\ \mathbf{r}_2(\check{\mathcal{O}}) = \mathbf{r}_3(\check{\mathcal{O}}) > 0; \\ (\mathcal{P}(\mathbf{c}_2(i), 1), \mathcal{P}(\mathbf{c}_2(i), 2)) = (r, c); \\ \mathcal{P}(\mathbf{c}_1(i), 1) \in \{r, d\}. \end{cases}$$

*Then there is a unique element in  $\text{PBP}_\star(\check{\mathcal{O}}')$  of the form*

$$\tau' = (i', \mathcal{P}') \times (j', \mathcal{Q}') \times \alpha'$$

*such that  $\mathcal{Q}' = \mathcal{Q}'_{\text{naive}}$  and for all  $(i, j) \in \text{Box}(i')$ ,*

$$\mathcal{P}'(i, j) = \begin{cases} r, & \text{if } (i, j) = (\mathbf{c}_1(i'), 1); \\ \mathcal{P}'_{\text{naive}}(i, j), & \text{otherwise.} \end{cases}$$

*Example.* If

$$\tau = \begin{array}{|c|c|} \hline \bullet & \bullet \\ \hline \bullet & s \\ \hline \bullet & s \\ \hline r & c \\ \hline \end{array} \times \begin{array}{|c|c|} \hline \bullet & \bullet \\ \hline \bullet & \\ \hline \bullet & \\ \hline \end{array} \times D,$$

then

$$\tau'_{\text{naive}} = \begin{array}{|c|} \hline \bullet \\ \hline \bullet \\ \hline \bullet \\ \hline c \\ \hline \end{array} \times \begin{array}{|c|c|} \hline \bullet & s \\ \hline \bullet & \\ \hline \bullet & \\ \hline \end{array} \times C, \quad \text{and} \quad \tau' = \begin{array}{|c|} \hline \bullet \\ \hline \bullet \\ \hline \bullet \\ \hline r \\ \hline \end{array} \times \begin{array}{|c|c|} \hline \bullet & s \\ \hline \bullet & \\ \hline \bullet & \\ \hline \end{array} \times C.$$

Note that in this case, the nonzero row lengths of  $\check{\mathcal{O}}$  are 7, 7, 7, 3.

**Definition 2.6.** We define the descent of  $\tau$  to be

$$\nabla(\tau) := \begin{cases} \tau', & \text{if either of the condition of Lemma \ref{descb2} or \ref{descd2} holds;} \\ \nabla_{\text{naive}}(\tau), & \text{otherwise,} \end{cases}$$

which is an element of  $\text{PBP}_{\star}(\check{\mathcal{O}}')$ . Here  $\tau'$  is as in Lemmas \ref{descb2} and \ref{descd2}.

In conclusion, we have by now a well-defined descent map

$$\nabla : \text{PBP}_{\star}(\check{\mathcal{O}}) \rightarrow \text{PBP}_{\star}(\check{\mathcal{O}}').$$

The following injectivity result will be important for us.

**Proposition 2.7.** If  $\star \in \{B, D, C^*\}$ , then the map

$$(2.2) \quad \begin{aligned} \text{PBP}_{\star}(\check{\mathcal{O}}) &\rightarrow \text{PBP}_{\star}(\check{\mathcal{O}}') \times \mathbb{N} \times \mathbb{N} \times \mathbb{Z}/2\mathbb{Z}, \\ \tau &\mapsto (\nabla(\tau), p_{\tau}, q_{\tau}, \varepsilon_{\tau}) \end{aligned}$$

is injective. If  $\star \in \{C, \tilde{C}, D^*\}$ , then the map

$$(2.3) \quad \nabla : \text{PBP}_{\star}(\check{\mathcal{O}}) \rightarrow \text{PBP}_{\star}(\check{\mathcal{O}}')$$

is injective.

### 3. ASSOCIATED CYCLES OF PAINTED BIPARTITIONS: GEOMETRY

**3.1. Classical spaces.** Let  $\star \in \{B, C, D, \tilde{C}, C^*, D^*\}$  as before. Put

$$(\epsilon, \dot{\epsilon}) := (\epsilon_{\star}, \dot{\epsilon}_{\star}) := \begin{cases} (1, 1), & \text{if } \star \in \{B, D\}; \\ (-1, -1), & \text{if } \star \in \{C, \tilde{C}\}; \\ (-1, 1), & \text{if } \star = C^*; \\ (1, -1), & \text{if } \star = D^*. \end{cases}$$

A classical signature is defined to be a triple  $\mathbf{s} = (\star, p, q) \in \{B, C, D, \tilde{C}, C^*, D^*\} \times \mathbb{N} \times \mathbb{N}$  such that

$$\begin{cases} p + q \text{ is odd,} & \text{if } \star = B; \\ p + q \text{ is even,} & \text{if } \star = D; \\ p = q, & \text{if } \star \in \{C, \tilde{C}, D^*\}; \\ \text{both } p \text{ and } q \text{ are even,} & \text{if } \star = C^*. \end{cases}$$

Suppose that  $\mathbf{s} = (\star, p, q)$  is a classical signature in the rest of this section.

We omit the proof of the following lemma (cf. [65, Section 1.3]).

**Lemma 3.1.** Then there is quadruple  $(V, \langle, \rangle, J, L)$  satisfying the following conditions:

- $V$  is a complex vector space of dimension  $p + q$ ;
- $\langle, \rangle$  is an  $\epsilon$ -symmetric non-degenerate bilinear form on  $V$ ;
- $J : V \rightarrow V$  is a conjugate linear automorphism of  $V$  such that  $J^2 = \epsilon \cdot \dot{\epsilon}$ ;
- $L : V \rightarrow V$  is a linear automorphism of  $V$  such that  $L^2 = \dot{\epsilon}$ ;

- $\langle Ju, Jv \rangle = \overline{\langle u, v \rangle}$ , for all  $u, v \in V$ ;
- $\langle Lu, Lv \rangle = \langle u, v \rangle$ , for all  $u, v \in V$ ;
- $LJ = JL$ ;
- the Hermitian form  $(u, v) \mapsto \langle Lu, Jv \rangle$  on  $V$  is positive definite;
- if  $\epsilon = 1$ , then  $\dim\{v \in V \mid Lv = v\} = p$  and  $\dim\{v \in V \mid Lv = -v\} = q$ .

Moreover, such a quadruple is unique in the following sense: if  $(V', \langle, \rangle', J', L')$  is another quadruple satisfying the analogous conditions, then there is a linear isomorphism  $\phi : V \rightarrow V'$  that respectively transforms  $\langle, \rangle$ ,  $J$  and  $L$  to  $\langle, \rangle'$ ,  $J'$  and  $L'$ .

In the notation of Lemma 3.1, we call  $(V, \langle, \rangle, J, L)$  a classical space of signature  $\mathbf{s}$ , and denote it by  $(V_{\mathbf{s}}, \langle, \rangle_{\mathbf{s}}, J_{\mathbf{s}}, L_{\mathbf{s}})$ .

Denote by  $G_{\mathbf{s}, \mathbb{C}}$  the isometry group of  $(V_{\mathbf{s}}, \langle, \rangle_{\mathbf{s}})$ , which is an complex orthogonal group if  $\epsilon = 1$  and a complex symplectic group if  $\epsilon = -1$ . Respectively denote by  $G_{\mathbf{s}, \mathbb{C}}^{J_{\mathbf{s}}}$  and  $G_{\mathbf{s}, \mathbb{C}}^{L_{\mathbf{s}}}$  the centralizes of  $J_{\mathbf{s}}$  and  $L_{\mathbf{s}}$  in  $G_{\mathbf{s}, \mathbb{C}}$ . Then  $G_{\mathbf{s}, \mathbb{C}}^{J_{\mathbf{s}}}$  is a real classical group isomorphic with

$$\begin{cases} \mathrm{O}(p, q), & \text{if } \star = B \text{ or } D; \\ \mathrm{Sp}_{2p}(\mathbb{R}), & \text{if } \star \in \{C, \tilde{C}\}; \\ \mathrm{Sp}(\frac{p}{2}, \frac{q}{2}), & \text{if } \star = C^*; \\ \mathrm{O}^*(2p), & \text{if } \star_{\tau} = D^*. \end{cases}$$

Put

$$G_{\mathbf{s}} := \begin{cases} \text{the metaplectic double cover of } G_{\mathbf{s}, \mathbb{C}}^{J_{\mathbf{s}}}, & \text{if } \star = \tilde{C}; \\ G_{\mathbf{s}, \mathbb{C}}^{J_{\mathbf{s}}}, & \text{otherwise; .} \end{cases}$$

Denote by  $K_{\mathbf{s}}$  the inverse image of  $G_{\mathbf{s}, \mathbb{C}}^{L_{\mathbf{s}}}$  under the natural homomorphism  $G_{\mathbf{s}} \rightarrow G_{\mathbf{s}, \mathbb{C}}$ , which is a maximal compact subgroup of  $G_{\mathbf{s}}$ . Write  $K_{\mathbf{s}, \mathbb{C}}$  for the complexification of  $K_{\mathbf{s}}$ , which is a reductive complex linear algebraic group.

For every  $\lambda \in \mathbb{C}$ , write  $V_{\mathbf{s}, \lambda}$  for the eigenspace of  $L_{\mathbf{s}}$  with eigenvalue  $\lambda$ . Write

$$\det_{\lambda} : K_{\mathbf{s}, \mathbb{C}} \rightarrow \mathbb{C}^{\times}$$

for the composition of

$$(3.1) \quad K_{\mathbf{s}, \mathbb{C}} \xrightarrow{\text{the natural homomorphism}} \mathrm{GL}(V_{\mathbf{s}, \lambda}) \xrightarrow{\text{the determinant character}} \mathbb{C}^{\times}.$$

If  $\star = \tilde{C}$ , then the natural homomorphism  $K_{\mathbf{s}, \mathbb{C}} \rightarrow \mathrm{GL}(V_{\mathbf{s}, \sqrt{-1}})$  is a double cover, and there is a unique genuine algebraic character  $\det_{\sqrt{-1}}^{\frac{1}{2}} : K_{\mathbf{s}, \mathbb{C}} \rightarrow \mathbb{C}^{\times}$  whose square equals  $\det_{\sqrt{-1}}$ .

Write

$$\det : K_{\mathbf{s}, \mathbb{C}} \rightarrow \mathbb{C}^{\times}$$

for the composition of

$$(3.2) \quad K_{\mathbf{s}, \mathbb{C}} \xrightarrow{\text{the natural homomorphism}} \mathrm{GL}(V_{\mathbf{s}}) \xrightarrow{\text{the determinant character}} \mathbb{C}^{\times}.$$

This is the trivial character unless  $\star = B$  or  $D$ .

Denote by  $\mathfrak{g}_{\mathbf{s}}$  the Lie algebra of  $G_{\mathbf{s}, \mathbb{C}}$ . Then we have a decomposition

$$\mathfrak{g}_{\mathbf{s}} = \mathfrak{k}_{\mathbf{s}} \oplus \mathfrak{p}_{\mathbf{s}},$$

where  $\mathfrak{k}_{\mathbf{s}}$  is the Lie algebra of  $K_{\mathbf{s}, \mathbb{C}}$ , and  $\mathfrak{p}_{\mathbf{s}}$  is the orthogonal complement of  $\mathfrak{k}_{\mathbf{s}}$  in  $\mathfrak{g}_{\mathbf{s}}$  under the trace form. Using the trace form, we also identify  $\mathfrak{g}_{\mathbf{s}}^*$  with  $\mathfrak{g}_{\mathbf{s}}$ . Denote by  $\mathrm{Nil}(\mathfrak{g}_{\mathbf{s}})$  the set of nilpotent  $G_{\mathbf{s}, \mathbb{C}}$ -orbits in  $\mathfrak{g}_{\mathbf{s}}$ , and by  $\mathrm{Nil}(\mathfrak{p}_{\mathbf{s}})$  the set of nilpotent  $K_{\mathbf{s}, \mathbb{C}}$ -orbits in  $\mathfrak{p}_{\mathbf{s}}$ .

Given a  $K_{\mathbf{s}, \mathbb{C}}$ -orbit  $\mathcal{O}$  in  $\mathfrak{p}_{\mathbf{s}}$ , write  $\mathcal{K}_{\mathbf{s}}(\mathcal{O})$  for the Grothendieck group of the category of  $K_{\mathbf{s}, \mathbb{C}}$ -equivariant algebraic vector bundles over  $\mathcal{O}$ . Denote by  $\mathcal{K}_{\mathbf{s}}^+(\mathcal{O}) \subset \mathcal{K}_{\mathbf{s}}(\mathcal{O})$  the

submonoid generated by all these equivariant algebraic vector bundles. The notion of admissible orbit datum over  $\mathcal{O}$  is defined as in Definition 1.9. Write

$$\text{AOD}_s(\mathcal{O}) \subset \mathcal{K}_s^+(\mathcal{O})$$

for the set of isomorphism classes of admissible orbit data over  $\mathcal{O}$ .

Let  $\mathcal{O}$  be a  $G_{s,\mathbb{C}}$ -orbit in  $\mathfrak{g}_{s,\mathbb{C}}$ . It is well-known that  $\mathcal{O} \cap \mathfrak{p}_s$  has only finitely many  $K_{s,\mathbb{C}}$ -orbits. Put

$$\mathcal{K}_s(\mathcal{O}) := \bigoplus_{\mathcal{O} \text{ is a } K_{s,\mathbb{C}}\text{-orbit in } \mathcal{O} \cap \mathfrak{p}_s} \mathcal{K}_s(\mathcal{O}),$$

and

$$\mathcal{K}_s^+(\mathcal{O}) := \sum_{\mathcal{O} \text{ is a } K_{s,\mathbb{C}}\text{-orbit in } \mathcal{O} \cap \mathfrak{p}_s} \mathcal{K}_s^+(\mathcal{O}).$$

Put

$$\text{AOD}_s(\mathcal{O}) := \bigsqcup_{\mathcal{O} \text{ is a } K_{s,\mathbb{C}}\text{-orbit in } \mathcal{O} \cap \mathfrak{p}_s} \text{AOD}_s(\mathcal{O}) \subset \mathcal{K}_s^+(\mathcal{O}).$$

Define a partial order  $\leq$  on  $\mathcal{K}_s(\mathcal{O})$  such that

$$\mathcal{E}_1 \leq \mathcal{E}_2 \Leftrightarrow \mathcal{E}_2 - \mathcal{E}_1 \in \mathcal{K}_s^+(\mathcal{O}) \quad (\mathcal{E}_1, \mathcal{E}_2 \in \mathcal{K}_s(\mathcal{O})).$$

For every algebraic character  $\chi$  of  $K_{s,\mathbb{C}}$ , the twisting map

$$\mathcal{K}_s(\mathcal{O}) \rightarrow \mathcal{K}_s(\mathcal{O}), \quad \mathcal{E} \mapsto \mathcal{E} \otimes \chi$$

is obviously defined.

**3.2. The moment maps.** Recall that  $\star'$  is the Howe dual of  $\star$ . Suppose that  $s' = (\star', p', q')$  is another classical signature. Put

$$W_{s,s'} := \text{Hom}_{\mathbb{C}}(V_s, V_{s'}).$$

Then we have the adjoint map

$$W_{s,s'} \rightarrow W_{s',s}, \quad \phi \mapsto \phi^*$$

that is specified by requiring

$$\langle \phi v, v' \rangle_{s'} = \langle v, \phi^* v' \rangle_s, \quad \text{for all } v \in V_s, v' \in V_{s'}, \phi \in W_{s,s'}.$$

Define three maps

$$\langle, \rangle_{s,s'} : W_{s,s'} \times W_{s,s'} \rightarrow \mathbb{C}, \quad (\phi_1, \phi_2) \mapsto \text{tr}(\phi_1^* \phi_2),$$

$$J_{s,s'} : W_{s,s'} \rightarrow W_{s,s'}, \quad \phi \mapsto J_{s'} \circ \phi \circ J_s^{-1};$$

and

$$L_{s,s'} : W_{s,s'} \rightarrow W_{s,s'}, \quad \phi \mapsto \epsilon L_{s'} \circ \phi \circ L_s^{-1}.$$

It is routine to check that

$$(W_{s,s'}, \langle, \rangle_{s,s'}, J_{s,s'}, L_{s,s'})$$

is a classical space of signature  $(C, \frac{(p+q)(p'+q')}{2}, \frac{(p+q)(p'+q')}{2})$ .

Write

$$W_{s,s'} = \mathcal{X}_{s,s'} \oplus \mathcal{Y}_{s,s'},$$

where  $\mathcal{X}_{s,s'}$  and  $\mathcal{Y}_{s,s'}$  are the eigenspaces of  $L_{s,s'}$  with eigenvalues  $\sqrt{-1}$  and  $-\sqrt{-1}$ , respectively. Then we have the following two well-defined algebraic maps:

$$(3.3) \quad \begin{array}{ccc} \mathfrak{p}_s & \xleftarrow{M_s} \mathcal{X}_{s,s'} & \xrightarrow{M_{s'}} \mathfrak{p}_{s'}, \\ \phi^* \phi & \longleftarrow \phi & \longrightarrow \phi \phi^*. \end{array}$$



These two maps  $M_s$  and  $M_{s'}$  are called the moment maps. They are both  $K_{s,\mathbb{C}} \times K_{s',\mathbb{C}}$ -equivariant. Here  $K_{s',\mathbb{C}}$  acts trivially on  $\mathfrak{p}_s$ ,  $K_{s,\mathbb{C}}$  acts trivially on  $\mathfrak{p}_{s'}$ , and all the other actions are the obvious ones.

Put

$$W_{s,s'}^\circ := \{\phi \in W_{s,s'} \mid \text{the image of } \phi \text{ is non-degenerate with respect to } \langle, \rangle_{s'}\}$$

and

$$\mathcal{X}_{s,s'}^\circ := \mathcal{X}_{s,s'} \cap W_{s,s'}^\circ.$$

descko

**Lemma 3.2** (cf. [65, Lemma 13]). *Let  $\mathcal{O}$  be a  $K_{s,\mathbb{C}}$ -orbit in  $\mathfrak{p}_s$ . Suppose that  $\mathcal{O}$  is contained in the image of the moment map  $M_s$ . Then the set*

{kkpo}

$$(3.4) \quad M_s^{-1}(\mathcal{O}) \cap \mathcal{X}_{s,s'}^\circ$$

*is a single  $K_{s,\mathbb{C}} \times K_{s',\mathbb{C}}$ -orbit. Moreover, for any element  $\phi$  in  $M_s^{-1}(\mathcal{O}) \cap \mathcal{X}_{s,s'}^\circ$ , there is an exact sequence of algebraic groups:*

$$1 \rightarrow K_{s_0,\mathbb{C}} \rightarrow (K_{s,\mathbb{C}} \times K_{s',\mathbb{C}})_\phi \xrightarrow{\text{the projection to the first factor}} (K_{s,\mathbb{C}})_\mathbf{e} \rightarrow 1,$$

where  $\mathbf{e} := M_s(\phi) \in \mathcal{O}$ ,  $s_0$  is a certain classical signature of form  $(\star', p_0, q_0)$  ( $p_0, q_0 \in \mathbb{N}$ ),  $(K_{s,\mathbb{C}} \times K_{s',\mathbb{C}})_\phi$  is the stabilizer of  $\phi$  in  $K_{s,\mathbb{C}} \times K_{s',\mathbb{C}}$ , and  $(K_{s,\mathbb{C}})_\mathbf{e}$  is the stabilizer of  $\mathbf{e}$  in  $K_{s,\mathbb{C}}$ .

In the notation of Lemma 3.2, write

$$\nabla_{s'}^s(\mathcal{O}) := \text{the image of the set (3.4) under the moment map } M_{s'},$$

which is a  $K_{s',\mathbb{C}}$ -orbit in  $\mathfrak{p}_{s'}$ . This is called the descent of  $\mathcal{O}$ . It is an element of  $\text{Nil}(\mathfrak{p}_{s'})$  if  $\mathcal{O} \in \text{Nil}(\mathfrak{p}_s)$ .

**3.3. Geometric theta lift.** Let  $\zeta_{s,s'}$  denote the algebraic character on  $K_{s,\mathbb{C}} \times K_{s',\mathbb{C}}$  such that

$$(\zeta_{s,s'})|_{K_{s,\mathbb{C}}} = \begin{cases} 1, & \text{if } \star \in \{B, D, C^*\}; \\ (\det_{\sqrt{-1}})^{\frac{q'-p'}{2}}, & \text{if } \star \in \{C, D^*\}; \\ (\det_{\sqrt{-1}}^{\frac{1}{2}})^{q'-p'}, & \text{if } \star = \tilde{C}, \end{cases}$$

and

$$(\zeta_{s,s'})|_{K_{s',\mathbb{C}}} = \begin{cases} 1, & \text{if } \star \in \{C, \tilde{C}, D^*\}; \\ (\det_{\sqrt{-1}})^{\frac{p-q}{2}}, & \text{if } \star \in \{D, C^*\}; \\ (\det_{\sqrt{-1}}^{\frac{1}{2}})^{p-q}, & \text{if } \star = B. \end{cases}$$

Here and henceforth, when no confusion is possible, we use 1 to indicate the trivial representation of a group (we also use 1 to denote the identity element of a group). Let  $\mathcal{O}$  be a  $K_{s,\mathbb{C}}$ -orbit in  $\mathfrak{p}_s$  as before. Suppose that  $\mathcal{O}$  is contained in the image of the moment map  $M_s$ , and write  $\mathcal{O}' := \nabla_{s'}^s(\mathcal{O})$ . Let  $\phi, \mathbf{e}$  be as in Lemma 3.2 and let  $\mathbf{e}' := M_{s'}(\phi)$ . We have an exact sequence

$$1 \rightarrow K_{s_0,\mathbb{C}} \rightarrow (K_{s,\mathbb{C}} \times K_{s',\mathbb{C}})_\phi \rightarrow (K_{s,\mathbb{C}})_\mathbf{e} \rightarrow 1$$

as in Lemma 3.2.

Let  $\mathcal{E}'$  be a  $K_{s',\mathbb{C}}$ -equivariant algebraic vector bundle over  $\mathcal{O}'$ . Its fibre  $\mathcal{E}'_{\mathbf{e}'}$  at  $\mathbf{e}'$  is an algebraic representation of the stabilizer group  $(K_{s',\mathbb{C}})_{\mathbf{e}'}$ . We also view it as a representation of the group  $(K_{s,\mathbb{C}} \times K_{s',\mathbb{C}})_\phi$  by the pull-back through the homomorphism

$$(K_{s,\mathbb{C}} \times K_{s',\mathbb{C}})_\phi \xrightarrow{\text{the projection to the second factor}} (K_{s',\mathbb{C}})_{\mathbf{e}'}$$

Then  $\mathcal{E}'_{\mathbf{e}'} \otimes \zeta_{s,s'}$  is a representation of  $(K_{s,\mathbb{C}} \times K_{s',\mathbb{C}})_{\phi}$ , and the coinvariant space

$$(\mathcal{E}'_{\mathbf{e}'} \otimes \zeta_{s,s'})_{K_{s_0,\mathbb{C}}}$$

is an algebraic representation of  $(K_{s,\mathbb{C}})_{\mathbf{e}}$ . Write  $\mathcal{E} := \check{\vartheta}_{\mathcal{O}'}^{\mathcal{O}}(\mathcal{E}')$  for the  $K_{s,\mathbb{C}}$ -equivariant algebraic vector bundle over  $\mathcal{O}$  whose fibre at  $\mathbf{e}$  equals this coinvariant space representation. In this way, we get an exact functor  $\check{\vartheta}_{\mathcal{O}'}^{\mathcal{O}}$  from the category of  $K_{s',\mathbb{C}}$ -equivariant algebraic vector bundle over  $\mathcal{O}'$  to the category of  $K_{s,\mathbb{C}}$ -equivariant algebraic vector bundle over  $\mathcal{O}$ . This exact functor induces a homomorphism of the Grothendieck groups:

$$\check{\vartheta}_{\mathcal{O}'}^{\mathcal{O}} : \mathcal{K}_{s'}(\mathcal{O}') \rightarrow \mathcal{K}_s(\mathcal{O}).$$

The above homomorphism is independent of the choice of  $\phi$ .

Similar to (3.3), we have the following two well-defined algebraic maps:

$$(3.5) \quad \begin{array}{ccc} \mathfrak{g}_s & \xleftarrow{\tilde{M}_s} W_{s,s'} & \xrightarrow{\tilde{M}_{s'}} \mathfrak{g}_{s'}, \\ \phi^* \phi & \longleftarrow \phi & \longrightarrow \phi \phi^*. \end{array}$$

These two maps are also called the moment maps. Similar to the maps in (3.3), they are both  $G_{s,\mathbb{C}} \times G_{s',\mathbb{C}}$ -equivariant.

Now we suppose that  $\mathcal{O}$  is contained in the image of the moment map  $\tilde{M}_s$ . Similar to the first assertion of Lemma 3.2, the set

$$(3.6) \quad \tilde{M}_s^{-1}(\mathcal{O}) \cap W_{s,s'}^{\circ}$$

is a single  $G_{s,\mathbb{C}} \times G_{s',\mathbb{C}}$ -orbit. Write

$$\mathcal{O}' := \nabla_{s'}^s(\mathcal{O}) := \text{the image of the set (3.6) under the moment map } \tilde{M}_{s'},$$

which is a  $G_{s',\mathbb{C}}$ -orbit in  $\mathfrak{g}_{s'}$ . This is called the descent of  $\mathcal{O}$ . It is an element of  $\text{Nil}(\mathfrak{g}_{s'})$  if  $\mathcal{O} \in \text{Nil}(\mathfrak{g}_s)$ .

Finally, we define the geometric theta lift to be the homomorphism

$$\check{\vartheta}_{\mathcal{O}'}^{\mathcal{O}} : \mathcal{K}_{s'}(\mathcal{O}') \rightarrow \mathcal{K}_s(\mathcal{O})$$

such that

$$\check{\vartheta}_{\mathcal{O}'}^{\mathcal{O}}(\mathcal{E}') = \sum_{\substack{\mathcal{O}' \text{ is a } K_{s,\mathbb{C}}\text{-orbit in } \mathcal{O} \cap \mathfrak{p}_s, \\ \nabla_{s'}^s(\mathcal{O}') = \mathcal{O}'}} \check{\vartheta}_{\mathcal{O}'}^{\mathcal{O}}(\mathcal{E}'),$$

for all  $K_{s',\mathbb{C}}$ -orbit  $\mathcal{O}'$  in  $\mathcal{O}' \cap \mathfrak{p}_{s'}$ , and all  $\mathcal{E}' \in \mathcal{K}_{s'}(\mathcal{O}')$ .

**3.4. Associated cycles of painted bipartitions.** As before, let  $\check{\mathcal{O}}$  be a Young diagram that has  $\star$ -good parity. Suppose that  $\mathcal{O} \in \text{Nil}(\mathfrak{g}_s)$  is its Barbasch-Vogan dual, where  $s = (\star, p, q)$  is a classical signature. Put

$$\text{PBP}_{\star}^{\text{ext}}(\check{\mathcal{O}}, s) := \{(\tau, \wp) \in \text{PBP}_{\star}^{\text{ext}}(\check{\mathcal{O}}) \mid (p_{\tau}, q_{\tau}) = (p, q)\}.$$

Let  $\tau = (\tau, \wp) \in \text{PBP}_{\star}^{\text{ext}}(\check{\mathcal{O}}, s)$ . In what follows we will define the associated cycle  $\text{AC}(\tau) \in \mathcal{K}_s(\mathcal{O})$  of  $\tau$ .

First assume that  $\check{\mathcal{O}} = \emptyset$ . Then  $\mathcal{O} \cap \mathfrak{p}_s$  is a singleton. If  $\star = \tilde{C}$ , we define  $\text{AC}(\tau) \in \mathcal{K}_s(\mathcal{O})$  to be the element that corresponds to the one dimensional genuine representation of  $K_{s,\mathbb{C}} = \{\pm 1\}$ . In all other cases, we define  $\text{AC}(\tau) \in \mathcal{K}_s(\mathcal{O})$  to be the element that corresponds to the one dimensional trivial representation of  $K_{s,\mathbb{C}}$ .

Now we assume that  $\check{\mathcal{O}} \neq \emptyset$ . As before, let  $\check{\mathcal{O}}'$  be its dual descent. Write  $\tau' \in \text{PBP}_{\star}^{\text{ext}}(\check{\mathcal{O}}')$  for the descent of  $\tau$ , and assume that  $\tau' \in \text{PBP}_{\star}^{\text{ext}}(\check{\mathcal{O}}', s')$ .

**Lemma 3.3.** *The orbit  $\mathcal{O}$  is in the image of the moment map  $\tilde{M}_s$ , and*

$$\nabla_{s'}^s(\mathcal{O}) = \text{the Barbasch-Vogan dual of } \check{\mathcal{O}}'.$$

By Lemma 3.3,  $\mathcal{O}' := \nabla_{s'}^{\text{dualdesc}}(\mathcal{O}) \in \text{Nil}(\mathfrak{g}_{s'})$  equals the Barbasch-Vogan dual of  $\check{\mathcal{O}}'$ .

Similar to the definition of  $\pi_\tau$  in the introductory section, we inductively define  $\text{AC}(\tau) \in \mathcal{K}_s(\mathcal{O})$  by

$$\text{AC}(\tau) := \begin{cases} \check{\vartheta}_{\mathcal{O}'}^{\mathcal{O}}(\text{AC}(\tau')) \otimes (\det_{-1})^{\varepsilon_\tau}, & \text{if } \star = B \text{ or } D; \\ \check{\vartheta}_{\mathcal{O}'}^{\mathcal{O}}(\text{AC}(\tau') \otimes \det^{\varepsilon_\varphi}), & \text{if } \star = C \text{ or } \tilde{C}; \\ \check{\vartheta}_{\mathcal{O}'}^{\mathcal{O}}(\text{AC}(\tau')), & \text{if } \star = C^* \text{ or } D^*. \end{cases}$$

We have the following three analogous results of Theorem 1.11. thmac0

**thmac1** **Proposition 3.4.** *For every  $\tau \in \text{PBP}_\star^{\text{ext}}(\check{\mathcal{O}})$ , the associated cycle  $\text{AC}(\tau) \in \mathcal{K}_s(\mathcal{O})$  is a nonzero sum of pairwise distinct elements of  $\text{AOD}_{\mathcal{O}}(K_{s,\mathbb{C}})$ .*

*Proof.* This follows from “theorem AOD lifts to AOD” and Lemma 12.2. lem:ac0  $\square$

**thmac2** **Proposition 3.5.** *Suppose that  $\check{\mathcal{O}}$  is weakly-distinguished. If  $\star \in \{B, D\}$  and  $(\star, \check{\mathcal{O}}) \neq (D, \emptyset)$ , then the map*

$$\text{AC} : \text{PBP}_\star^{\text{ext}}(\check{\mathcal{O}}, s) \times \mathbb{Z}/2\mathbb{Z} \rightarrow \mathcal{K}_s(\mathcal{O}), \quad (\tau, \epsilon) \mapsto \text{AC}(\tau) \otimes \det^\epsilon$$

*is injective. In all other cases, the map*

$$\text{AC} : \text{PBP}_\star^{\text{ext}}(\check{\mathcal{O}}, s) \rightarrow \mathcal{K}_s(\mathcal{O})$$

*is injective.*

*Proof.* When  $\star \in \{B, D\}$ , this follows from Lemma 12.4 (b). When  $\star = C^*$ , this follows from Lemma 12.5. When  $\star \in \{C, \tilde{C}, D^*\}$ , this follows from Lemma 12.3 (b). lem:BD lem:C\* lem:C  $\square$

**thmac3** **Proposition 3.6.** *Suppose that  $\check{\mathcal{O}}$  is quasi-distinguished. If  $\star \in \{B, D\}$  and  $(\star, \check{\mathcal{O}}) \neq (D, \emptyset)$ , then the map*

$$\text{AC} : \text{PBP}_\star^{\text{ext}}(\check{\mathcal{O}}, s) \times \mathbb{Z}/2\mathbb{Z} \rightarrow \text{AOD}_{K_{\mathbb{C}}}(\mathcal{O}), \quad (\tau, \epsilon) \mapsto \text{AC}(\tau) \otimes \det^\epsilon$$

*is well-defined and bijective. In all other cases, the map*

$$\text{AC} : \text{PBP}_\star^{\text{ext}}(\check{\mathcal{O}}, s) \rightarrow \mathcal{K}_s(\mathcal{O})$$

*is well-defined and bijective.*

*Proof.* When  $\star \in \{B, D\}$ , this follows from Lemma 12.4 (c). When  $\star = C^*$ , this follows from Lemma 12.5. When  $\star \in \{C, \tilde{C}, D^*\}$ , this follows from Lemma 12.3 (c). lem:BD lem:C\* lem:C  $\square$

The following two propositions will also be important for us.

**thmac4** **Proposition 3.7.** *Suppose that  $\star \in \{B, D\}$  and  $(\star, \check{\mathcal{O}}) \neq (D, \emptyset)$ . Let  $\tau_i = (\tau_i, \wp_i) \in \text{PBP}_\star^{\text{ext}}(\check{\mathcal{O}}, s)$  and  $\epsilon_i \in \mathbb{Z}/2\mathbb{Z}$  ( $i = 1, 2$ ). If*

$$\text{AC}(\tau_1) \otimes \det^{\epsilon_1} = \text{AC}(\tau_2) \otimes \det^{\epsilon_2},$$

*then*

$$\epsilon_1 = \epsilon_2 \quad \text{and} \quad \varepsilon_{\tau_1} = \varepsilon_{\tau_2}.$$

*Proof.* This follows from Lemma 12.4 (a). lem:BD  $\square$

**thmac5** **Proposition 3.8.** *Suppose that  $\star \in \{C, \tilde{C}, D^*\}$  and  $\check{\mathcal{O}} \neq \emptyset$ . Let  $\tau_i = (\tau_i, \wp_i) \in \text{PBP}_\star^{\text{ext}}(\check{\mathcal{O}}, s)$ , and write  $\tau'_i = (\tau'_i, \wp'_i)$  for its descent ( $i = 1, 2$ ). If*

$$\text{AC}(\tau_1) = \text{AC}(\tau_2),$$

*then*

$$(p_{\tau'_1}, q_{\tau'_1}) = (p_{\tau'_2}, q_{\tau'_2}) \quad \text{and} \quad \varepsilon_{\wp_1} = \varepsilon_{\wp_2}.$$

*Proof.* This follows from Lemma 12.3 (a). lem:C  $\square$

**3.5. Distinguishing the constructed representations.** Let  $\tau \in \text{PBP}_\star^{\text{ext}}(\check{\mathcal{O}}, \mathfrak{s})$ . Recall that  $\pi_\tau$  is the Casselman-Wallach representation of  $G_{\mathfrak{s}}$ , defined in the introductory section. We will prove the following theorem in Section 9.

**Theorem 3.9.** *The representation  $\pi_\tau$  is irreducible, unitarizable and attached to  $\check{\mathcal{O}}$ . Moreover,*

$$\text{AC}_{\mathcal{O}}(\pi_\tau) = \text{AC}(\tau)$$

as elements of  $\mathcal{K}_{\mathfrak{s}}(\mathcal{O})$ .

Recall from the introductory section,  $\text{Unip}_{\check{\mathcal{O}}}(G_{\mathfrak{s}})$ , which is the set of isomorphism classes of irreducible Casselman-Wallach representations of  $G_{\mathfrak{s}}$  that are attached to  $\check{\mathcal{O}}$ .

**Theorem 3.10.** *If  $\star \in \{B, D\}$  and  $(\star, \check{\mathcal{O}}) \neq (D, \emptyset)$ , then the map*

$$(3.7) \quad \text{PBP}_\star^{\text{ext}}(\check{\mathcal{O}}, \mathfrak{s}) \times \mathbb{Z}/2\mathbb{Z} \rightarrow \text{Unip}_{\check{\mathcal{O}}}(G_{\mathfrak{s}}), \quad (\tau, \epsilon) \mapsto \pi_\tau \otimes \det^\epsilon$$

is bijective. In all other cases, the map

$$(3.8) \quad \text{PBP}_\star^{\text{ext}}(\check{\mathcal{O}}, \mathfrak{s}) \rightarrow \text{Unip}_{\check{\mathcal{O}}}(G_{\mathfrak{s}}), \quad \tau \mapsto \pi_\tau$$

is bijective.

*Proof.* In view of Theorem 1.5, we only need to show the injectivity of the two maps in the statement of the theorem. We prove by induction on the number of nonempty rows of  $\check{\mathcal{O}}$ . The theorem is trivially true in the case when  $\check{\mathcal{O}} = \emptyset$ . So we assume that  $\check{\mathcal{O}} \neq \emptyset$  and the theorem has been proved for the dual descent  $\check{\mathcal{O}}'$ . Suppose that  $\tau_i = (\tau_i, \wp_i) \in \text{PBP}_\star^{\text{ext}}(\check{\mathcal{O}}, \mathfrak{s})$ ,  $\epsilon_i \in \mathbb{Z}/2\mathbb{Z}$  ( $i = 1, 2$ ). Write  $\tau'_i = (\tau'_i, \wp'_i)$  for the descent of  $\tau_i$ .

First assume that  $\star \in \{B, D\}$ , and

$$\pi_{\tau_1} \otimes \det^{\epsilon_1} \cong \pi_{\tau_2} \otimes \det^{\epsilon_2}.$$

Theorem 3.9 implies that

$$\text{AC}(\tau_1) \otimes \det^{\epsilon_1} = \text{AC}(\tau_2) \otimes \det^{\epsilon_2}.$$

By Proposition 3.7, we know that

$$\epsilon_1 = \epsilon_2 \quad \text{and} \quad \varepsilon_{\tau_1} = \varepsilon_{\tau_2}.$$

Then the definition of  $\pi_{\tau_1}$  and  $\pi_{\tau_2}$  implies that

$$\check{\Theta}_{\tau'_1}^{\tau_1}(\pi_{\tau'_1}) \cong \check{\Theta}_{\tau'_2}^{\tau_2}(\pi_{\tau'_2}).$$

Consequently,

$$\pi_{\tau'_1} \cong \pi_{\tau'_2}$$

by the injectivity property of the theta correspondence. Hence  $\tau'_1 = \tau'_2$  by the induction hypothesis, and Proposition 2.7 finally implies  $\tau_1 = \tau_2$ . This proves that the map (3.7) is injective.

A slightly simplified argument shows that the map (3.8) is injective when  $\star = C^*$ .

Now assume that  $\star \in \{C, \tilde{C}, D^*\}$ . Suppose that

$$\pi_{\tau_1} \cong \pi_{\tau_2}.$$

Theorem 3.9 implies that

$$\text{AC}(\tau_1) = \text{AC}(\tau_2).$$

By Proposition 3.8, we know that

$$(p_{\tau'_1}, q_{\tau'_1}) = (p_{\tau'_2}, q_{\tau'_2}) \quad \text{and} \quad \varepsilon_{\wp_1} = \varepsilon_{\wp_2}.$$

Then the definition of  $\pi_{\tau_1}$  and  $\pi_{\tau_2}$  implies that

$$\check{\Theta}_{\tau'_1}^{\tau_1}(\pi_{\tau'_1} \otimes \det^{\varepsilon_{\wp_1}}) \cong \check{\Theta}_{\tau'_2}^{\tau_2}(\pi_{\tau'_2} \otimes \det^{\varepsilon_{\wp_2}}).$$

The injectivity property of the theta correspondence then implies that

$$\pi_{\tau'_1} \otimes \det^{\varepsilon_{\wp_1}} \cong \pi_{\tau'_2} \otimes \det^{\varepsilon_{\wp_2}},$$

which further implies that  $\pi_{\tau'_1} \cong \pi_{\tau'_2}$ . Hence  $\tau'_1 = \tau'_2$  by the induction hypothesis, and Proposition 2.7 finally implies  $\tau_1 = \tau_2$ . This proves that the map (3.8) is injective.

A slightly simplified argument shows that the map (3.8) is injective when  $\star = D^*$ . This finishes the proof of the theorem.  $\square$

Finally, our first main theorem (Theorem 1.6) follows from Theorems 3.9 and 3.10. Our second main theorem (Theorem 1.11) follows from Propositions 3.4 and 3.5, and Theorems 3.9 and 3.10.

#### 4. THETA LIFTS VIA MATRIX COEFFICIENT INTEGRALS

In this section, we study theta lift via matrix coefficient integrals. Let  $\mathbf{s} = (\star, p, q)$  and  $\mathbf{s}' = (\star', p', q')$  be classical signatures such that  $\star'$  is the Howe dual of  $\star$ .

**4.1. The oscillator representation.** We use the notation of Section 3.2. Write  $W_{\mathbf{s}, \mathbf{s}'}^{J_{\mathbf{s}, \mathbf{s}'}} \subset W_{\mathbf{s}, \mathbf{s}'}$  for the fixed point set of  $J_{\mathbf{s}, \mathbf{s}'}$ . It is a real symplectic space under the restriction of the form  $\langle, \rangle_{\mathbf{s}, \mathbf{s}'}$ . Let  $H_{\mathbf{s}, \mathbf{s}'} := W_{\mathbf{s}, \mathbf{s}'}^{J_{\mathbf{s}, \mathbf{s}'}} \times \mathbb{R}$  denote the Heisenberg group attached to  $W_{\mathbf{s}, \mathbf{s}'}^{J_{\mathbf{s}, \mathbf{s}'}}$ , with group multiplication

$$(u, t) \cdot (u', t') := (u + u', t + t' + \langle u, u' \rangle_{\mathbf{s}, \mathbf{s}'}), \quad u, u' \in W_{\mathbf{s}, \mathbf{s}'}^{J_{\mathbf{s}, \mathbf{s}'}} , \quad t, t' \in \mathbb{R}.$$

Denote by  $\mathfrak{h}_{\mathbf{s}, \mathbf{s}'}$  the complexified Lie algebra of  $H_{\mathbf{s}, \mathbf{s}'}$ . Then  $\mathcal{X}_{\mathbf{s}, \mathbf{s}'}$  is an abelian Lie subalgebra of  $\mathfrak{h}_{\mathbf{s}, \mathbf{s}'}$ .

**Lemma 4.1.** *Up to isomorphism, there exists a unique irreducible smooth Fréchet representation  $\omega_{\mathbf{s}, \mathbf{s}'}$  of  $H_{\mathbf{s}, \mathbf{s}'}$  of moderate growth with central character*

$$\mathbb{R} \rightarrow \mathbb{C}^\times, \quad t \mapsto e^{\sqrt{-1}t}.$$

Moreover, the space

$$\omega_{\mathbf{s}, \mathbf{s}'}^{\mathcal{X}_{\mathbf{s}, \mathbf{s}'}} := \{v \in \omega_{\mathbf{s}, \mathbf{s}'} \mid x.v = 0 \text{ for all } x \in \mathcal{X}_{\mathbf{s}, \mathbf{s}'}\}$$

is one-dimensional.

The group  $G_{\mathbf{s}} \times G_{\mathbf{s}'}$  acts on  $H_{\mathbf{s}, \mathbf{s}'}$  as group automorphisms through the following action of  $G_{\mathbf{s}} \times G_{\mathbf{s}'}$  on  $W_{\mathbf{s}, \mathbf{s}'}^{J_{\mathbf{s}, \mathbf{s}'}}$ :

$$(g, g').\phi := g' \circ \phi \circ g^{-1}, \quad (g, g') \in G_{\mathbf{s}} \times G_{\mathbf{s}'}, \quad \phi \in W_{\mathbf{s}, \mathbf{s}'}^{J_{\mathbf{s}, \mathbf{s}'}}.$$

Using this action, we form the semidirect product  $(G_{\mathbf{s}} \times G_{\mathbf{s}'}) \ltimes H_{\mathbf{s}, \mathbf{s}'}$ .

**Lemma 4.2.** *The representation  $\omega_{\mathbf{s}, \mathbf{s}'}$  of  $H_{\mathbf{s}, \mathbf{s}'}$  in Lemma 4.1 uniquely extends to a smooth representation of  $(G_{\mathbf{s}} \times G_{\mathbf{s}'}) \ltimes H_{\mathbf{s}, \mathbf{s}'}$  such that  $K_{\mathbf{s}} \times K_{\mathbf{s}'}$  acts on  $\omega_{\mathbf{s}, \mathbf{s}'}^{\mathcal{X}_{\mathbf{s}, \mathbf{s}'}}$  through the scalar multiplication by  $\zeta_{\mathbf{s}, \mathbf{s}'}$ .*

Let  $\omega_{\mathbf{s}, \mathbf{s}'}$  denote the representation of  $(G_{\mathbf{s}} \times G_{\mathbf{s}'}) \ltimes H_{\mathbf{s}, \mathbf{s}'}$  as in Lemma 4.2, which is called the smooth oscillator representation. This representation is unitarizable. Fix an invariant continuous Hermitian inner product  $\langle, \rangle$  on it, which is unique up to a positive scalar multiplication. Denote by  $\hat{\omega}_{\mathbf{s}, \mathbf{s}'}$  the completion of  $\omega_{\mathbf{s}, \mathbf{s}'}$  with respect to this inner product, which is a unitary representation of  $(G_{\mathbf{s}} \times G_{\mathbf{s}'}) \ltimes H_{\mathbf{s}, \mathbf{s}'}$ .

Let  $\omega_{\mathbf{s}, \mathbf{s}'}^\vee$  denote the contragredient of the oscillator representation  $\omega_{\mathbf{s}, \mathbf{s}'}$ . It is the smooth Fréchet representation of  $(G_{\mathbf{s}} \times G_{\mathbf{s}'}) \ltimes H_{\mathbf{s}, \mathbf{s}'}$  of moderate growth specified by the following conditions:

- there is given a  $(G_s \times G_{s'}) \ltimes H_{s,s'}$ -invariant, non-degenerate, continuous bilinear form

$$\langle, \rangle : \omega_{s,s'} \times \omega_{s,s'}^\vee \rightarrow \mathbb{C};$$

- $\omega_{s,s'}^\vee$  is irreducible as a representation of  $H_{s,s'}$ .

Since  $\omega_{s,s'}$  is contained in the unitary representation  $\hat{\omega}_{s,s'}$ ,  $\omega_{s,s'}^\vee$  is identified with the complex conjugation of  $\omega_{s,s'}$ .

Put

$$s'^- := (\star', q', p'),$$

Fix a linear isomorphism

$$(4.1) \quad \iota_{s'} : V_{s'} \rightarrow V_{s'^-},$$

such that  $(-\langle, \rangle_{s'}, J_{s'}, -L_{s'})$  corresponds to  $(\langle, \rangle_{s'^-}, J_{s'^-}, L_{s'^-})$  under this isomorphism. This induces an isomorphism

$$(4.2) \quad G_{s'} \rightarrow G_{s'^-}, \quad g' \mapsto g'^-.$$

Then we have a group isomorphism

$$(4.3) \quad \begin{aligned} (G_s \times G_{s'}) \ltimes H_{s,s'} &\rightarrow (G_{s'^-} \times G_s) \ltimes H_{s'^-,s}, \\ (g, g', (\phi, t)) &\mapsto (g'^-, g, (\phi^* \circ \iota_{s'}^{-1}, t)). \end{aligned}$$

It is easy to see that the irreducible representation  $\omega_{s,s'}$  corresponds to the irreducible representation  $\omega_{s'^-,s}$  under this isomorphism.

For every Casselman-Wallach representation  $\pi'$  of  $G_{s'}$ , put

$$\check{\Theta}_{s'}^s(\pi') := (\omega_{s,s'} \hat{\otimes} \pi')_{G_{s'}} \quad (\text{the Hausdorff coinvariant space}).$$

This is a Casselman-Wallach representation of  $G_s$ .

**4.2. Growth of Casselman-Wallach representations.** Write  $\mathfrak{p}_s^{J_s}$  for the centralizer of  $J_s$  in  $\mathfrak{p}_s$ , which is a real form of  $\mathfrak{p}_s$ . The Cartan decomposition asserts that

$$G_s = K_s \cdot \exp(\mathfrak{p}_s^{J_s}).$$

Denote by  $\Psi_s$  the function of  $G_s$  satisfying the following conditions:

- it is both left and right  $K_s$ -invariant;
- for all  $g \in \exp(\mathfrak{p}_s^{J_s})$ ,

$$\Psi_s = \prod_a \left( \frac{1+a}{2} \right)^{-\frac{1}{2}},$$

where  $a$  runs over all eigenvalues of  $g : V_s \rightarrow V_s$ , counted with multiplicities.

Note that all the eigenvalues of  $g \in \exp(\mathfrak{p}_s^{J_s})$  are positive real numbers, and  $0 < \Psi_s(g) \leq 1$  for all  $g \in G_s$ .

Denote by  $\Xi_s$  the bi- $K_s$ -invariant Harish-Chandra's  $\Xi$  function on  $G_s$ . Set

$$|s| := p + q,$$

and

$$\nu_s := \begin{cases} |s|, & \text{if } \star \in \{C, \tilde{C}\}; \\ |s| - 1, & \text{if } \star = C^*; \\ |s| - 2, & \text{if } \star \in \{B, D\}; \\ |s| - 3, & \text{if } \star = D^*. \end{cases}$$

**Lemma 4.3.** *There exists a real number  $C_s > 0$  such that*

$$\Psi_s^{\nu_s}(g) \leq C_s \cdot \Xi_s(g) \quad \text{for all } g \in G_s.$$

*Proof.* This is implied by the well-known estimate of Harish-Chandra's  $\Xi$  function ([86, Theorem 4.5.3]).  $\square$

**Lemma 4.4.** *Let  $f$  be a bi- $K_s$ -invariant positive function on  $G_s$  such that*

$$\mathfrak{p}_s^{J_s} \rightarrow \mathbb{R}, \quad x \mapsto f(\exp(x))$$

*is a polynomial function. Then for every real number  $\nu > 0$ , the function  $f \cdot \Psi_s^\nu \cdot \Xi_s^2$  is integrable with respect to a Haar measure on  $G_s$ .*

*Proof.* This follows from the integral formula for  $G_s$  under the Cartan decomposition (see [86, Lemma 2.4.2]), as well as the estimate of Harish-Chandra's  $\Xi$  function ([86, Theorem 4.5.3]).  $\square$

For every Casselman-Wallach representation  $\pi$  of  $G_s$ , write  $\pi^\vee$  for its contragredient representation, which is a Casselman-Wallach representation of  $G_s$  equipped with a  $G_s$ -invariant, non-degenerate, continuous bilinear form

$$\langle, \rangle : \pi \times \pi^\vee \rightarrow \mathbb{C}.$$

**Definition 4.5.** *Let  $\nu \in \mathbb{R}$ . A positive function  $\Psi$  on  $G_s$  is  $\nu$ -bounded if there is a function  $f$  as in Lemma 4.4 such that*

$$\Psi(g) \leq f(g) \cdot \Psi_s^\nu(g) \cdot \Xi_s(g) \quad \text{for all } g \in G_s.$$

*A Casselman-Wallach representation  $\pi$  of  $G_s$  is said to be  $\nu$ -bounded if there exist a  $\nu$ -bounded positive function  $\Psi$  on  $G_s$ , and continuous seminorms  $|\cdot|_\pi$  and  $|\cdot|_{\pi^\vee}$  on  $\pi$  and  $\pi^\vee$  respectively such that*

$$|\langle g.u, v \rangle| \leq \Psi(g) \cdot |u|_\pi \cdot |v|_{\pi^\vee}$$

*for all  $u \in \pi$ ,  $v \in \pi^\vee$ , and  $g \in G_s$ .*

Let  $X_s$  be a maximal  $J_s$ -stable totally isotropic subspace of  $V_s$ , which is unique up to the action of  $K_s$ . Put  $Y_s := L_s(X_s)$ . Then  $X_s \cap Y_s = \{0\}$ . Write

$$P_s = R_s \ltimes N_s$$

for the parabolic subgroup of  $G_s$  stabilizing  $X_s$ , where  $R_s$  is the Levi subgroup stabilizing both  $X_s$  and  $Y_s$ , and  $N_s$  is the unipotent radical. For every character  $\chi : R_s \rightarrow \mathbb{C}^\times$ , view it as a character of  $P_s$  that is trivial on  $N_s$ . Write

$$I(\chi) := \text{Ind}_{P_s}^{G_s} \chi \quad (\text{normalized smooth induction}),$$

which is a Casselman-Wallach representation of  $G_s$  under the right translations. Note that the representations  $I(\chi)$  and  $I(\chi^{-1})$  are contragredients of each other with the  $G_s$ -invariant pairing

$$\langle, \rangle : I(\chi) \times I(\chi^{-1}) \rightarrow \mathbb{C}, \quad (f, f') \mapsto \int_{K_s} f(g) \cdot f'(g) \, dg.$$

Let  $\nu_\chi$  be a real number such that  $|\chi|$  equals the composition of

$$(4.4) \quad R_s \xrightarrow{\text{the natural homomorphism}} \text{GL}(X_s) \xrightarrow{|\det|^{\nu_\chi}} \mathbb{C}^\times.$$

**Definition 4.6.** *A classical signature  $\mathfrak{s}$  is split if  $V_s = X_s \oplus Y_s$ .*

When  $\mathfrak{s}$  is split,  $P_s$  is called a Siegel parabolic subgroup of  $G_s$ .

**Lemma 4.7.** *Suppose that  $\mathfrak{s}$  is split and let  $\chi : P_s \rightarrow \mathbb{C}^\times$  be a character. Then there is a positive function  $\Psi$  on  $G_s$  with the following properties:*

- $\Psi$  is  $(1 - 2|\nu_\chi| - \frac{|\mathfrak{s}|}{2})$ -bounded if  $\star \in \{B, C, D, \tilde{C}\}$ , and  $(2 - 2|\nu_\chi| - \frac{|\mathfrak{s}|}{2})$ -bounded if  $\star \in \{C^*, D^*\}$ ;

- for all  $f \in I(\chi)$ ,  $f' \in I(\chi^{-1})$  and  $g \in G_s$ ,

$$(4.5) \quad |\langle g \cdot f, f' \rangle| \leq \Psi(g) \cdot |f|_{K_s} \cdot |f'|_{K_s} \quad (|\cdot|_{\infty} \text{ stands for the supnorm}).$$

*Proof.* Let  $f_0$  denote the element in  $I(|\chi|)$  such that  $(f_0)|_{K_s} = 1$ , and likewise let  $f'_0$  denote the element in  $I(|\chi^{-1}|)$  such that  $(f'_0)|_{K_s} = 1$ . Put

$$\Psi(g) := \langle g \cdot f_0, f'_0 \rangle, \quad g \in G_s.$$

Then it is easy to see that (4.5) holds. Note that  $\Psi$  is an elementary spherical function, and the lemma then follows by using the well-known estimate of the elementary spherical functions ([86, Lemma 3.6.7]).  $\square$

**4.3. Matrix coefficient integrals against the oscillator representation.** We begin with the following lemma.

**Lemma 4.8.** *There exist continuous seminorms  $|\cdot|_{s,s'}$  and  $|\cdot|_{s,s'}^{\vee}$  on  $\omega_{s,s'}$  and  $\omega_{s,s'}^{\vee}$  respectively such that*

$$|\langle (g, g') \cdot u, v \rangle| \leq \Psi_s^{|s|}(g) \cdot \Psi_{s'}^{|s|}(g') \cdot |u|_{s,s'} \cdot |v|_{s,s'}^{\vee}$$

for all  $u \in \omega_{s,s'}$ ,  $v \in \omega_{s,s'}^{\vee}$ , and  $(g, g') \in G_s \times G_{s'}$ .

*Proof.* This follows from the proof of [50, Theorem 3.2].  $\square$

**Definition 4.9.** *A Casselman-Wallach representation of  $G_{s'}$  is convergent for  $\check{\Theta}_{s'}^s$  if it is  $\nu$ -bounded for some  $\nu > \nu_{s'} - |s|$ .*

*Example.* Suppose that  $\star' \neq \tilde{C}$ . Then the trivial representation of  $G_{s'}$  is convergent for  $\check{\Theta}_{s'}^s$  if  $|s| > 2\nu_{s'}$ .

Let  $\pi'$  be a Casselman-Wallach representation of  $G_{s'}$  that is convergent for  $\check{\Theta}_{s'}^s$ . Consider the integrals

$$(4.6) \quad \begin{aligned} (\pi' \times \omega_{s,s'}) \times (\pi'^{\vee} \times \omega_{s,s'}^{\vee}) &\rightarrow \mathbb{C}, \\ ((u, v), (u', v')) &\mapsto \int_{G_{s'}} \langle g \cdot u, u' \rangle \cdot \langle g \cdot v, v' \rangle dg. \end{aligned}$$

Unless otherwise specified, all the measures on Lie groups occurring in this article are Haar measures.

**Lemma 4.10.** *The integrals in (4.6) are absolutely convergent and the map (4.6) is continuous and multi-linear.*

*Proof.* This is a direct consequence of Lemmas 4.3, 4.4 and 4.8.  $\square$

By Lemma 4.10, the integrals in (4.6) yield a continuous bilinear form

$$(4.7) \quad (\pi' \hat{\otimes} \omega_{s,s'}) \times (\pi'^{\vee} \hat{\otimes} \omega_{s,s'}^{\vee}) \rightarrow \mathbb{C}.$$

Put

$$(4.8) \quad \bar{\Theta}_{s'}^s(\pi') := \frac{\pi' \hat{\otimes} \omega_{s,s'}}{\text{the left kernel of (4.7)}}$$

**Proposition 4.11.** *The representation  $\bar{\Theta}_{s'}^s(\pi')$  of  $G_s$  is a quotient of  $\check{\Theta}_{s'}^s(\pi')$ , and is  $(|s'| - \nu_s)$ -bounded.*

*Proof.* Note that the bilinear form (4.7) is  $(G_{s'} \times G_{s'})$ -invariant, as well as  $G_s$ -invariant. Thus  $\bar{\Theta}_{s'}^s(\pi')$  is a quotient of  $\check{\Theta}_{s'}^s(\pi')$ , and is therefore a Casselman-Wallach representation. Its contragredient representation is identified with

$$(\bar{\Theta}_{s'}^s(\pi'))^{\vee} := \frac{\pi'^{\vee} \hat{\otimes} \omega_{s,s'}^{\vee}}{\text{the right kernel of (4.7)}}$$



Lemmas 4.4 and 4.8 implies that there are continuous seminorms  $|\cdot|_{\pi', s, s'}$  and  $|\cdot|_{\pi'^\vee, s, s'}$  on  $\pi' \hat{\otimes} \omega_{s, s'}$  and  $\pi'^\vee \hat{\otimes} \omega_{s, s'}^\vee$  respectively such that

$$|\langle g.u, v \rangle| \leq \Psi_s^{|s'|}(g) \cdot |u|_{\pi', s, s'} \cdot |v|_{\pi'^\vee, s, s'}$$

for all  $u \in \pi' \hat{\otimes} \omega_{s, s'}$ ,  $v \in \pi'^\vee \hat{\otimes} \omega_{s, s'}^\vee$ , and  $g \in G_s$ . The proposition then easily follows in view of Lemma 4.3.  $\square$

**4.4. Unitarity.** For the notation of weakly containment of unitary representations, see [24] for example.

**Lemma 4.12.** *Suppose that  $|s| \geq \nu_{s'}$ . Then as a unitary representation of  $G_{s'}$ ,  $\hat{\omega}_{s, s'}$  is weakly contained in the regular representation.*

*Proof.* This has been known to experts (see [50, Theorem 3.2]). Lemmas 4.3, 4.4 and 4.8 implies that for a dense subspace of  $\hat{\omega}_{s, s'}|_{G_{s'}}$ , the diagonal matrix coefficients are almost square integrable. Thus the lemma follows from [24, Theorem 1].  $\square$

However, if  $\star' \in \{B, C^*, D^*\}$ ,  $|s| \neq \nu_{s'}$  for the parity reason. For this reason, we introduce

$$\nu_{s'}^\circ := \begin{cases} \nu_{s'}, & \text{if } \star' \in \{C, D, \tilde{C}\}; \\ \nu_{s'} + 1, & \text{if } \star' \in \{B, C^*, D^*\}. \end{cases}$$

The following definition is a slight variation of definition 14.2.

**Definition 4.13.** *A Casselman-Wallach representation of  $G_{s'}$  is over-convergent for  $\check{\Theta}_{s'}^s$  if it is  $\nu$ -bounded for some  $\nu > \nu_{s'}^\circ - |s|$ .*

We will prove the following unitarity result in the rest of this subsection.

**Theorem 4.14.** *Assume that  $|s| \geq \nu_{s'}^\circ$ . Let  $\pi'$  be a Casselman-Wallach representation of  $G_{s'}$  that is over-convergent for  $\check{\Theta}_{s'}^s$ . If  $\pi'$  is unitarizable, then so is  $\bar{\Theta}_{s'}^s(\pi')$ .*

Recall the following positivity result of matrix coefficient integrals, which is a special case of [32, Theorem A. 5].

**Lemma 4.15.** *Let  $G$  be a real reductive group with a maximal compact subgroup  $K$ . Let  $\pi_1$  and  $\pi_2$  be two unitary representations of  $G$  such that  $\pi_2$  is weakly contained in the regular representation. Let  $u_1, u_2, \dots, u_r$  ( $r \in \mathbb{N}$ ) be vectors in  $\pi_1$  such that for all  $i, j = 1, 2, \dots, r$ , the integral*

$$\int_G \langle g.u_i, u_j \rangle \Xi_G(g) dg$$

*is absolutely convergent, where  $\Xi_G$  is the bi- $K$ -invariant Harish-Chandra's  $\Xi$  function on  $G$ . Let  $v_1, v_2, \dots, v_r$  be  $K$ -finite vectors in  $\pi_2$ . Put*

$$u := \sum_{i=1}^r u_i \otimes v_i \in \pi_1 \otimes \pi_2.$$

*Then the integral*

$$\int_G \langle g.u, u \rangle dg$$

*absolutely converges to a nonnegative real number.*

Now we come to the proof of Theorem 4.14.

*Proof of Theorem 4.14.* <sup>positivity000</sup> Fix an invariant continuous Hermitian inner product on  $\pi'$ , and write  $\hat{\pi}'$  for the completion of  $\pi'$  with respect to this Hermitian inner product. The space  $\pi' \hat{\otimes} \omega_{s,s'}$  is equipped with the inner product  $\langle \cdot, \cdot \rangle$  that is the tensor product of the ones on  $\pi'$  and  $\omega_{s,s'}$ . It suffices to show that

$$\int_{G_{s'}} \langle g.u, u \rangle dg \geq 0$$

for all  $u$  in a dense subspace of  $\pi' \hat{\otimes} \omega_{s,s'}$ .

If  $\nu_{s'}^\circ < 0$ , then  $\star \in \{D, D^*\}$  and  $|s'| = 0$ . The theorem is trivial in this case. Thus we assume that  $\nu_{s'}^\circ \geq 0$ . We also assume that  $\star = B$ . The proof in the other cases is similar and is omitted.

Note that  $\nu_{s'}^\circ = \nu_{s'} = |s'|$  is even. Let  $s_1 = (B, p_1, q_1)$  and  $s_2 = (D, p_2, q_2)$  be two classical signatures such that

$$(p_1, q_1) + (p_2, q_2) = (p, q) \quad \text{and} \quad |s_2| = \nu_{s'}^\circ.$$

View  $\hat{\omega}_{s_2, s'}$  as a unitary representation of the symplectic group  $G_{(C, p', q')}$ . Define  $\pi_2$  to be its pull-back through the covering homomorphism  $G_{s'} \rightarrow G_{(C, p', q')}$ . By Lemma 4.12, <sup>weaklycont</sup> the representation  $\pi_2$  of  $G_{s'}$  is weakly contained in the regular representation.

Put

$$\pi_1 := \hat{\pi}' \hat{\otimes}_h (\hat{\omega}_{s_1, s'}|_{G_{s'}}) \quad (\hat{\otimes}_h \text{ indicates the Hilbert space tensor product}).$$

Lemmas 4.4 <sup>int</sup> and 4.8 <sup>matrico</sup> imply that the integral

$$\int_{G_{s'}} \langle g.u, v \rangle \cdot \Xi_{G_{s'}}(g) dg$$

is absolutely convergent for all  $u, v \in \pi' \otimes \omega_{s_1, s'}$ . The theorem then follows by Lemma 16.5. <sup>positivity</sup>  $\square$

## 5. DOUBLE THETA LIFTS VIA MATRIX COEFFICIENT INTEGRALS

In this section, we study double theta lifts via matrix coefficient integrals. Let

$$\dot{s} = (\dot{\star}, \dot{p}, \dot{q}), \quad s' = (\star', p', q') \quad \text{and} \quad s'' = (\star'', p'', q'')$$

be classical signatures such that

- $(q', p') + (p'', q'') = (\dot{p}, \dot{q})$ ;
- if  $\dot{\star} \in \{B, D\}$ , then  $\star', \star'' \in \{B, D\}$ ;
- if  $\dot{\star} \notin \{B, D\}$ , then  $\star' = \star'' = \dot{\star}$ .

As in Section 4.1, <sup>secoscl</sup> put  $s'^- := (\star', q', p')$ . We view  $V_{s'^-}$  and  $V_{s''}$  as subspaces of  $V_{\dot{s}}$  such that  $(\langle \cdot, \cdot \rangle_{\dot{s}}, J_{\dot{s}}, L_{\dot{s}})$  extends both  $(\langle \cdot, \cdot \rangle_{s'^-}, J_{s'^-}, L_{s'^-})$  and  $(\langle \cdot, \cdot \rangle_{s''}, J_{s''}, L_{s''})$ , and  $V_{s'^-}$  and  $V_{s''}$  are perpendicular to each other under the form  $\langle \cdot, \cdot \rangle_{\dot{s}}$ . Then we have an orthogonal decomposition

$$V_{\dot{s}} = V_{s'^-} \oplus V_{s''},$$

and both  $G_{s'^-}$  and  $G_{s''}$  are identified with subgroups of  $G_{\dot{s}}$ .

Fix a linear isomorphism

$$(5.1) \quad \iota_{s'} : V_{s'} \rightarrow V_{s'^-}$$

as in (4.1), <sup>iota0</sup> which induces an isomorphism

$$(5.2) \quad G_{s'} \rightarrow G_{s'^-}, \quad g \mapsto g^-.$$

Let  $\pi'$  be a Casselman-Wallach representation of  $G_{s'}$ . Write  $\pi'^-$  for the representation of  $G_{s'^-}$  that corresponds to  $\pi'$  under the isomorphism (5.2). <sup>isosfss1</sup>

**5.1. Matrix coefficient integrals and double theta lifts.** We begin with the following lemma.

**Lemma 5.1.** *The function  $(\Xi_s)|_{G_{s'}}$  on  $G_{s'}$  is  $|s''|$ -bounded.*

*Proof.* This follows from the estimate of Harish-Chandra's  $\Xi$  function ([86, Theorem 4.5.3]).  $\square$

**Definition 5.2.** *The Casselman-Wallach representation  $\pi'^{-}$  of  $G_{s'}$  is convergent for a Casselman-Wallach representation  $\dot{\pi}$  of  $G_{\dot{s}}$  if there are real number  $\nu'$  and  $\dot{\nu}$  such that  $\pi'$  is  $\nu'$ -bounded,  $\dot{\pi}$  is  $\dot{\nu}$ -bounded, and*

$$\nu' + \dot{\nu} > -|s''|.$$

Let  $\dot{\pi}$  be a Casselman-Wallach representations of  $G_{\dot{s}}$  such that  $\pi'^{-}$  is convergent for  $\dot{\pi}$ . Consider the integrals

$$(5.3) \quad \begin{aligned} (\pi'^{-} \times \dot{\pi}) \times ((\pi'^{-})^{\vee} \times \dot{\pi}^{\vee}) &\rightarrow \mathbb{C}, \\ ((u, v), (u', v')) &\mapsto \int_{G_{s'}} \langle g^{-}.u, u' \rangle \cdot \langle g^{-}.v, v' \rangle dg. \end{aligned}$$

**Lemma 5.3.** *The integrals in (5.3) are absolutely convergent and the map (5.3) is continuous and multi-linear.*

*Proof.* Note that  $(\Psi_{\dot{s}})|_{G_{s'}} = \Psi_{s'}$ . Thus the lemma follows from Lemmas 4.4 and 5.1.  $\square$

By Lemma 5.3, the integrals in (5.3) yield a continuous bilinear form

$$(5.4) \quad \langle , \rangle : (\pi'^{-} \hat{\otimes} \dot{\pi}) \times ((\pi'^{-})^{\vee} \hat{\otimes} \dot{\pi}^{\vee}) \rightarrow \mathbb{C}.$$

Put

$$(5.5) \quad \pi'^{-} * \dot{\pi} := \frac{\pi'^{-} \hat{\otimes} \dot{\pi}}{\text{the left kernel of (5.4)}}.$$

This is a smooth Fréchet representation of  $G_{s''}$  of moderate growth.

For every classical signature  $s = (\star, p, q)$ , put

$$[s] := \begin{cases} (C, p, q), & \text{if } \star = \tilde{C}; \\ s, & \text{if } \star \neq \tilde{C}. \end{cases}$$

**Proposition 5.4.** *Suppose that  $s = (\star, p, q)$  is a classical signature such that both  $\star'$  and  $\star''$  equals the Howe dual of  $\star$ , and  $2\nu_s < |\dot{s}|$ . Assume that  $\pi'$  is  $\nu'$ -bounded for some  $\nu' > \nu_{s'} - |s|$ . Then*

- $\pi'$  is convergent for  $\check{\Theta}_{s'}^s$ ;
- $\bar{\Theta}_{s'}^s(\pi')$  is convergent for  $\check{\Theta}_s^{s''}$ ;
- the trivial representation 1 of  $G_{\dot{s}}$  is convergent for  $\check{\Theta}_{\dot{s}}^{\dot{s}}$ ;
- $\pi'^{-}$  is convergent for  $\bar{\Theta}_{[s]}^{\dot{s}}(1)$ ;
- as representations of  $G_{s''}$ ,

$$(5.6) \quad \bar{\Theta}_s^{s''}(\bar{\Theta}_{s'}^s(\pi')) \cong \pi'^{-} * \bar{\Theta}_{[s]}^{\dot{s}}(1).$$

*Proof.* The first four claims in the proposition is obvious. We only need to prove the last one. Note that the integrals in

$$(5.7) \quad \begin{aligned} (\pi' \hat{\otimes} \omega_{s, s'} \hat{\otimes} \omega_{s'', s}) \times ((\pi')^{\vee} \hat{\otimes} \omega_{s, s'}^{\vee} \hat{\otimes} \omega_{s'', s}^{\vee}) &\rightarrow \mathbb{C}, \\ (u, v) &\mapsto \int_{G_{s'} \times G_s} \langle (g', g).u, v \rangle dg' dg \end{aligned}$$

are absolutely convergent and defines a continuous bilinear map. Also note that

$$\omega_{s, s'} \hat{\otimes} \omega_{s_2, s} \cong \omega_{\dot{s}, s}.$$

In view of Fubini's theorem, the lemma follows as both sides of (5.6) are isomorphic to the quotient of  $\pi' \widehat{\otimes} \omega_{s,s'} \widehat{\otimes} \omega_{s'',s}$  by the left kernel of the pairing (5.7). □

sec:DP

**5.2. Matrix coefficient integrals against degenerate principal series.** We are particularly interested in the case when  $\pi$  is a degenerate principal series representation. Suppose that  $\mathfrak{s}$  is split, and

$$p' \leq p'' \quad \text{and} \quad q' \leq q''.$$

Then there is a split classical signature  $\mathfrak{s}_0 = (\star_0, p_0, q_0)$  such that

- $\star_0 = \star$ ;
- $(p', q') + (p_0, q_0) = (p'', q'')$ .

We view  $V_{s'}$  and  $V_{s_0}$  as subspaces of  $V_{s''}$  such that  $(\langle, \rangle_{s''}, J_{s''}, L_{s''})$  extends both  $(\langle, \rangle_{s'}, J_{s'}, L_{s'})$  and  $(\langle, \rangle_{s_0}, J_{s_0}, L_{s_0})$ , and  $V_{s'}$  and  $V_{s_0}$  are perpendicular to each other under the form  $\langle, \rangle_{s_2}$ .

Put

$$V_{s'}^\Delta := \{\iota_{s'}(v) + v \in V_{\mathfrak{s}} \mid v \in V_{s'}\} \quad \text{and} \quad V_{s'}^\nabla := \{\iota_{s'}(v) - v \in V_{\mathfrak{s}} \mid v \in V_{s'}\}.$$

As before, we have that

$$V_{s_0} = X_{s_0} \oplus Y_{s_0},$$

where  $X_{s_0}$  is a maximal  $J_{s_0}$ -stable totally isotropic subspace of  $V_{s_0}$ , and  $Y_{s_0} := L_{s_0}(X_{s_0})$ . Suppose that

$$X_{\mathfrak{s}} = V_{s'}^\Delta \oplus X_{s_0} \quad \text{and} \quad Y_{\mathfrak{s}} = V_{s'}^\nabla \oplus Y_{s_0}.$$

In summary, we have decompositions

$$V_{\mathfrak{s}} = V_{s'-} \oplus V_{s''} = V_{s'-} \oplus V_{s'} \oplus V_{s_0} = (V_{s'}^\Delta \oplus X_{s_0}) \oplus (V_{s'}^\nabla \oplus Y_{s_0}).$$

As before,  $X_{\mathfrak{s}}$  and  $X_{s_0}$  yield the Siegel parabolic subgroups

$$P_{\mathfrak{s}} = R_{\mathfrak{s}} \ltimes N_{\mathfrak{s}} \subset G_{\mathfrak{s}} \quad \text{and} \quad P_{s_0} = R_{s_0} \ltimes N_{s_0} \subset G_{s_0}.$$

Write

$$P_{s'',s_0} = R_{s'',s_0} \ltimes N_{s'',s_0}$$

for the parabolic subgroup of  $G_{s''}$  stabilizing  $X_{s_0}$ , where  $R_{s'',s_0}$  is the Levi subgroup stabilizing both  $X_{s_0}$  and  $Y_{s_0}$ , and  $N_{s'',s_0}$  is the unipotent radical. We have an obvious homomorphism

$$G_{s'} \times R_{s_0} \rightarrow R_{s'',s_0},$$

which is a two fold covering map when  $\star = \tilde{C}$ , and an isomorphism in the other cases. For every  $g \in G_{s'}$ , write  $g^\Delta := g^- g$ , which is an element of  $R_{\mathfrak{s}}$ .

Let  $\dot{\chi} : R_{\mathfrak{s}} \rightarrow \mathbb{C}^\times$  be a character. It yields a degenerate principal series representation

$$I(\dot{\chi}) := \text{Ind}_{P_{\mathfrak{s}}}^{G_{\mathfrak{s}}} \dot{\chi}$$

of  $G_{\mathfrak{s}}$ . Write

$$(5.8) \quad \chi_0 := \dot{\chi}|_{R_{s_0}},$$

and define a character

$$(5.9) \quad \chi' : G_{s'} \rightarrow \mathbb{C}^\times, \quad g \mapsto \dot{\chi}(g^\Delta) \quad (\text{this is a quadratic character}).$$

Recall the representations  $\pi'$  of  $G_{s'}$  and  $\pi'^-$  of  $G_{s'-}$ . If  $\mathfrak{s} = \tilde{C}$ , we assume that both  $\pi'$  and  $\dot{\chi}$  are genuine. Then  $(\pi' \otimes \chi') \otimes \chi_0$  descends to a Casselman-Wallach representation of  $R_{s'',s_0}$ . View it as a representation of  $P_{s'',s_0}$  via the trivial action of  $N_{s'',s_0}$ , and form the representation  $\text{Ind}_{P_{s'',s_0}}^{G_{s''}} ((\pi_1 \otimes \chi') \otimes \chi_0)$

Let  $\nu_{\dot{\chi}} \in \mathbb{R}$  be as in (4.4). The rest of this subsection is devoted to a proof of the following theorem.

**Theorem 5.5.** Assume that  $\pi'$  is  $\nu'$  bounded for some

$$\nu' > \begin{cases} 2|\nu_{\dot{\chi}}| - \frac{|\mathfrak{s}_0|}{2} - 1, & \text{if } \star \in \{B, C, D, \tilde{C}\}; \\ 2|\nu_{\dot{\chi}}| - \frac{|\mathfrak{s}_0|}{2} - 2, & \text{if } \star \in \{C^*, D^*\}. \end{cases}$$

Then  $\pi'^{-}$  is convergent for  $I_{\dot{\mathfrak{s}}}(\dot{\chi})$ , and

$$\pi'^{-} * I(\dot{\chi}) \cong \text{Ind}_{P_{\dot{\mathfrak{s}}'', \mathfrak{s}_0}}^{G_{\dot{\mathfrak{s}}''}} ((\pi' \otimes \chi') \otimes \chi_0).$$

Let the notation and assumptions be as in Theorem 5.5. Recall that the representations  $I(\dot{\chi})$  and  $I(\dot{\chi}^{-1})$  are contragredients of each other with the  $G_{\dot{\mathfrak{s}}}$ -invariant pairing

$$\langle , \rangle : I(\dot{\chi}) \times I(\dot{\chi}^{-1}) \rightarrow \mathbb{C}, \quad (f, f') \mapsto \int_{K_{\dot{\mathfrak{s}}}} f(g) \cdot f'(g) dg,$$

The first assertion of Theorem 5.5 is a direct consequence of Lemma 4.7. As in (5.4), we have a continuous bilinear form

$$(5.10) \quad \begin{aligned} (\pi'^{-} \hat{\otimes} I(\dot{\chi})) \times ((\pi'^{-})^{\vee} \hat{\otimes} I(\dot{\chi}^{-1})) &\rightarrow \mathbb{C}, \\ (u, v) &\mapsto \int_{G_{\dot{\mathfrak{s}}'}} \langle g^{-} \cdot u, v \rangle dg \end{aligned}$$

so that

$$(5.11) \quad \pi'^{-} * I(\dot{\chi}) := \frac{\pi'^{-} \hat{\otimes} I(\dot{\chi})}{\text{the left kernel of (5.10)}}$$

Note that

$$G_{\dot{\mathfrak{s}}}^{\circ} := P_{\dot{\mathfrak{s}}} \cdot G_{\dot{\mathfrak{s}}''}$$

is open and dense in  $G_{\dot{\mathfrak{s}}}$ , and its complement has measure zero in  $G_{\dot{\mathfrak{s}}}$ . Moreover,

$$(5.12) \quad P_{\dot{\mathfrak{s}}} \backslash G_{\dot{\mathfrak{s}}}^{\circ} = (R_{\mathfrak{s}_0} \ltimes N_{\dot{\mathfrak{s}}'', \mathfrak{s}_0}) \backslash G_{\dot{\mathfrak{s}}''}.$$

Form the normalized Schwartz induction

$$I^{\circ}(\dot{\chi}) := \text{ind}_{R_{\mathfrak{s}_0} \ltimes N_{\dot{\mathfrak{s}}'', \mathfrak{s}_0}}^{G_{\dot{\mathfrak{s}}''}} \chi_0.$$

The reader is referred to [21, Section 6.2] for the general notion of Schwartz inductions (in a slightly different unnormalized setting). Similarly, put

$$I^{\circ}(\dot{\chi}^{-1}) := \text{ind}_{R_{\mathfrak{s}_0} \ltimes N_{\dot{\mathfrak{s}}'', \mathfrak{s}_0}}^{G_{\dot{\mathfrak{s}}''}} \chi_0^{-1}.$$

Note that

$$(5.13) \quad \text{the modulus character of } P_{\dot{\mathfrak{s}}} \text{ restricts to the modulus character of } R_{\mathfrak{s}_0} \ltimes N_{\dot{\mathfrak{s}}'', \mathfrak{s}_0}.$$

In view of (5.12) and (5.13), by extension by zero,  $I^{\circ}(\dot{\chi})$  is viewed as a closed subspace of  $I(\dot{\chi})$ , and  $I^{\circ}(\dot{\chi}^{-1})$  is viewed as a closed subspace of  $I(\dot{\chi}^{-1})$ . These two closed subspaces are  $(G_{\dot{\mathfrak{s}}'} \times G_{\dot{\mathfrak{s}}''})$ -stable.

Similar to (5.10), we have a continuous bilinear form

$$(5.14) \quad \begin{aligned} (\pi'^{-} \hat{\otimes} I^{\circ}(\dot{\chi})) \times ((\pi'^{-})^{\vee} \hat{\otimes} I^{\circ}(\dot{\chi}^{-1})) &\rightarrow \mathbb{C}, \\ (u, v) &\mapsto \int_{G_{\dot{\mathfrak{s}}'}} \langle g^{-} \cdot u, v \rangle dg. \end{aligned}$$

Put

$$(5.15) \quad \pi'^{-} * I^{\circ}(\dot{\chi}) := \frac{\pi'^{-} \hat{\otimes} I^{\circ}(\dot{\chi})}{\text{the left kernel of (5.14)}},$$

which is still a smooth Fréchet representation of  $G_{\dot{\mathfrak{s}}''}$  of moderate growth.

**Lemma 5.6.** As representations of  $G_{\dot{\mathfrak{s}}''}$ ,

$$\pi'^{-} * I^{\circ}(\dot{\chi}) \cong \text{Ind}_{P_{\dot{\mathfrak{s}}'', \mathfrak{s}_0}}^{G_{\dot{\mathfrak{s}}''}} ((\pi' \otimes \chi') \otimes \chi_0).$$

*Proof.* As Fréchet spaces,  $\pi'^{-}$  is obviously identified with  $\pi'$ . Note that the natural map  $G_{s'} \rightarrow (R_{s_0} \ltimes N_{s'', s_0}) \backslash G_{s''}$  is proper and hence the following integrals are absolutely convergent and yield a  $G_{s''}$ -equivariant continuous linear map:

$$\begin{aligned} \xi : \pi'^{-} \widehat{\otimes} I^\circ(\dot{\chi}) &\rightarrow \text{Ind}_{P_{s'', s_0}}^{G_{s''}} ((\pi' \otimes \chi') \otimes \chi_0), \\ v \otimes f &\mapsto \left( h \mapsto \int_{G_{s'}} \chi'(g) \cdot f(g^{-1}h) \cdot g.v \, dg \right). \end{aligned}$$

Moreover, this map is surjective (cf. [21, Section 6.2]). It is thus open by the open mapping theorem. Similarly, we have a open surjective  $G_{s''}$ -equivariant continuous linear map

$$\begin{aligned} \xi' : (\pi'^{-})^\vee \widehat{\otimes} I^\circ(\dot{\chi}^{-1}) &\rightarrow \text{Ind}_{P_{s'', s_0}}^{G_{s''}} ((\pi'^\vee \otimes \chi') \otimes \chi_0^{-1}), \\ v' \otimes f' &\mapsto \left( h \mapsto \int_{G_{s'}} \chi'(g) \cdot f'(g^{-1}h) \cdot g.v' \, dg \right). \end{aligned}$$

For all  $v \otimes f \in \pi'^{-} \widehat{\otimes} I^\circ(\dot{\chi})$  and  $v' \otimes f' \in (\pi'^{-})^\vee \widehat{\otimes} I^\circ(\dot{\chi}^{-1})$ , we have that

$$\begin{aligned} &\int_{G_{s'}} \langle g^-. (v \otimes f), v' \otimes f' \rangle \, dg \\ &= \int_{G_{s'}} \langle g^-. v, v' \rangle \cdot \langle g^-. f, f' \rangle \, dg \\ &= \int_{G_{s'}} \langle g^-. v, v' \rangle \cdot \int_{K_{s''}} \int_{G_{s'}} (g^-. f)(hx) \cdot f'(hx) \, dh \, dx \, dg \\ &= \int_{G_{s'}} \langle g^-. v, v' \rangle \cdot \int_{K_{s''}} \int_{G_{s'}} \chi'(g) \cdot f(g^{-1}hx) \cdot f'(hx) \, dh \, dx \, dg \\ &= \int_{K_{s''}} \int_{G_{s'}} \int_{G_{s'}} \langle (h^-(g^-)^{-1}).v, v' \rangle \cdot \chi'(hg^{-1}) \cdot f(gx) \cdot f'(hx) \, dg \, dh \, dx \\ &= \int_{K_{s''}} \langle \xi(v \otimes f)(x), \xi'(v' \otimes f')(x) \rangle \, dx \\ &= \langle \xi(v \otimes f), \xi'(v' \otimes f') \rangle. \end{aligned}$$

This implies the lemma. □

**Lemma 5.7.** *Let  $u \in \pi'^{-} \widehat{\otimes} I(\dot{\chi})$ . Assume that*

$$(5.16) \quad \int_{G_{s'}} \langle g^-. u, v \rangle \, dg = 0$$

*for all  $v \in (\pi'^{-})^\vee \widehat{\otimes} I^\circ(\dot{\chi}^{-1})$ . Then (5.16) also holds for all  $v \in (\pi'^{-})^\vee \widehat{\otimes} I(\dot{\chi}^{-1})$ .*

*Proof.* Take a sequence  $(\eta_1, \eta_2, \eta_3, \dots)$  of real valued smooth functions on  $P_{\mathfrak{s}} \backslash G_{\mathfrak{s}}$  such that

- for all  $i \geq 1$ , the support of  $\eta_i$  is contained in  $P_{\mathfrak{s}} \backslash G_{\mathfrak{s}}^\circ$ ;
- for all  $i \geq 1$  and  $x \in P_{\mathfrak{s}} \backslash G_{\mathfrak{s}}$ ,  $0 \leq \eta_i(x) \leq \eta_{i+1}(x) \leq 1$ ;
- $\bigcup_{i=1}^\infty \eta_i^{-1}(1) = P_{\mathfrak{s}} \backslash G_{\mathfrak{s}}^\circ$ .

Let  $v \in (\pi'^{-})^\vee \widehat{\otimes} I^\circ(\dot{\chi}^{-1})$ . Note that  $\eta_i I(\dot{\chi}^{-1}) \subset I^\circ(\dot{\chi}^{-1})$ . Thus  $\eta_i v \in (\pi'^{-})^\vee \widehat{\otimes} I^\circ(\dot{\chi}^{-1})$ .

Lemma 4.7 and Lebesgue's dominated convergence theorem imply that

$$\int_{G_{s'}} \langle g^-. u, v \rangle \, dg = \lim_{i \rightarrow +\infty} \int_{G_{s'}} \langle g^-. u, \eta_i v \rangle \, dg = 0.$$

This proves the lemma. □

Lemma 5.7 implies that we have a natural continuous linear map

$$\text{Ind}_{P_{s'', s_0}}^{G_{s''}} ((\pi' \otimes \chi') \otimes \chi_0) = \pi'^- * I^\circ(\dot{\chi}) \rightarrow \pi'^- * I(\dot{\chi}).$$

This map is clearly injective and  $G_{s''}$ -equivariant. Similarly to Lemma 5.7, we know that the natural paring

$$(\pi'^- * I(\dot{\chi})) \times ((\pi'^-)^{\vee} * I^\circ(\dot{\chi}^{-1})) \rightarrow \mathbb{C}$$

is well-defined and non-degenerate. Thus Theorem 5.5 follows by the following lemma.

**Lemma 5.8.** *Let  $G$  be a real reductive group. Let  $\pi$  be a Casselman-Wallach representation of  $G$ , and let  $\tilde{\pi}$  be a smooth Fréchet representation of  $G$  with a  $G$ -equivariant injective continuous linear map*

$$\phi : \pi \rightarrow \tilde{\pi}.$$

*Assume that there is a non-degenerate  $G$ -invariant continuous bilinear map*

$$\langle \cdot, \cdot \rangle : \tilde{\pi} \times \pi^{\vee} \rightarrow \mathbb{C},$$

*such that the composition of*

$$\pi \times \pi^{\vee} \xrightarrow{(u,v) \mapsto (\phi(u), v)} \tilde{\pi} \times \pi^{\vee} \xrightarrow{\langle \cdot, \cdot \rangle} \mathbb{C}$$

*is the natural paring. Then  $\phi$  is a topological isomorphism.*

*Proof.* Let  $u \in \tilde{\pi}$ . Using the theorem of Dixmier-Malliavin [27, Theorem 3.3], we write

$$u = \sum_{i=1}^s \int_G \varphi_i(g) \cdot g.u_i \, dg \quad (s \in \mathbb{N}, u_i \in \tilde{\pi}),$$

where  $\varphi_i$ 's are compactly supported smooth functions on  $G$ . As a continuous linear functional on  $\pi^{\vee}$ , we have that

$$\langle u, \cdot \rangle = \sum_{i=1}^s \int_G \varphi_i(g) \cdot \langle g.u_i, \cdot \rangle \, dg.$$

By [79, Lemma 3.5], the right-hand side functional equals  $\langle u_0, \cdot \rangle$  for a unique  $u_0 \in \pi$ . Thus  $u = u_0$ , and the lemma follows by the open mapping theorem.  $\square$

**5.3. Double theta lifts and parabolic inductions.** In this subsection, we further assume that

$$\dot{s} = (C, 2k-1, 2k-1), (D, 2k-1, 2k-1), (\tilde{C}, 2k, 2k), (C^*, 2k, 2k), \text{ or } (D^*, 2k, 2k),$$

where  $k \in \mathbb{N}^+$ . We also assume that the character  $\dot{\chi} : R_{\dot{s}} \rightarrow \mathbb{C}^\times$  satisfies the following conditions:

$$(5.17) \quad \begin{cases} \dot{\chi} = 1, & \text{if } \dot{s} \in \{B, D\}; \\ \dot{\chi}^2 = 1, & \text{if } \dot{s} = C; \\ \dot{\chi} \text{ is genuine and } \dot{\chi}^4 = 1, & \text{if } \dot{s} = \tilde{C}; \\ \dot{\chi}^2 \text{ equals the composition of } R_{\dot{s}} \xrightarrow{\text{natural map}} \text{GL}(Y_{\dot{s}}) \xrightarrow{\det} \mathbb{C}^\times, & \text{if } \dot{s} = C^*; \\ \dot{\chi}^2 \text{ equals the composition of } R_{\dot{s}} \xrightarrow{\text{natural map}} \text{GL}(X_{\dot{s}}) \xrightarrow{\det} \mathbb{C}^\times, & \text{if } \dot{s} = D^*. \end{cases}$$

If  $\dot{s} \notin \{C, \tilde{C}\}$ , the character  $\dot{\chi}$  is uniquely determined by (5.17). If  $\dot{s} \in \{C, \tilde{C}\}$ , there are two characters satisfying (5.17), and we let  $\dot{\chi}'$  denote the one other than  $\dot{\chi}$ .

The relationship between the degenerate principle series representation  $I(\dot{\chi})$  and Rallis quotients is summarized in the following lemma.

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**Lemma 5.9.** (a) If  $\dot{s} = (C, 2k - 1, 2k - 1)$ , then

$$I(\dot{\chi}) \oplus I(\dot{\chi}') \cong \bigoplus_{s=(D,p,q), p+q=2k} \check{\Theta}_s^{\dot{s}}(1).$$

(b) If  $\dot{s} = (\tilde{C}, 2k, 2k)$ , then

$$I(\dot{\chi}) \oplus I(\dot{\chi}') \cong \bigoplus_{s=(B,p,q), p+q=2k+1} \check{\Theta}_s^{\dot{s}}(1).$$

(c) If  $\dot{s} = (D, 2k - 1, 2k - 1)$ , then

$$I(\dot{\chi}) \cong \check{\Theta}_s^{\dot{s}}(1) \oplus (\check{\Theta}_s^{\dot{s}}(1) \otimes \det), \quad \text{where } s = (C, k - 1, k - 1).$$

(d) If  $\dot{s} = (C^*, 2k, 2k)$ , then

$$I(\dot{\chi}) \cong \check{\Theta}_s^{\dot{s}}(1), \quad \text{where } s = (D^*, k, k).$$

(e) If  $\dot{s} = (D^*, 2k, 2k)$ , then there is an exact sequence of representations of  $G_{\dot{s}}$ :

$$0 \rightarrow \bigoplus_{s=(C^*, 2p, 2q), p+q=k} \check{\Theta}_s^{\dot{s}}(1) \rightarrow I(\dot{\chi}) \rightarrow \bigoplus_{s_1=(C^*, 2p_1, 2q_1), p_1+q_1=k-1} \check{\Theta}_{s_1}^{\dot{s}}(1) \rightarrow 0.$$

(f) All the representations  $\check{\Theta}_s^{\dot{s}}(1)$  and  $\check{\Theta}_{s_0}^{\dot{s}}(1)$  appearing in (a), (b), (c), (d), (e) are irreducible and unitarizable.

*Proof.* See [47, Theorem 2.4], [48, Introduction], [49, Theorem 6.1] and [89, Sections 9 and 10].  $\square$

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**Lemma 5.10.** For all  $s$  appearing in Lemma 5.9, the trivial representation 1 of  $G_s$  is over-convergent for  $\check{\Theta}_s^{\dot{s}}$ , and

$$\bar{\Theta}_s^{\dot{s}}(1) = \check{\Theta}_s^{\dot{s}}(1).$$

*Proof.* Note that the trivial representation 1 of  $G_s$  is 0-bounded, and  $0 > \nu_s + \nu_s^\circ - |\dot{s}|$ . This implies the first assertion. Since  $\check{\Theta}_s^{\dot{s}}(1)$  is irreducible and  $\bar{\Theta}_s^{\dot{s}}(1)$  is a quotient of  $\check{\Theta}_s^{\dot{s}}(1)$ , for the proof of the second assertion, it suffices to show that  $\bar{\Theta}_s^{\dot{s}}(1)$  is nonzero.

Put

$$V_s(\mathbb{R}) := \begin{cases} \text{the fixed point set of } J_s \text{ in } V_s, & \text{if } \star \in \{B, C, D, \tilde{C}\}; \\ V_s, & \text{if } \star \in \{C^*, D^*\}. \end{cases}$$

As usual, realize  $\hat{\omega}_{\dot{s}, s}$  on the space of square integrable functions on  $(V_s(\mathbb{R}))^{\dot{p}}$  so that  $\omega_{\dot{s}, s}$  is identified with the space of the Schwartz functions, and  $G_s$  acts on it through the obvious transformation.

Take a positive valued Schwartz function  $\phi$  on  $(V_s(\mathbb{R}))^{\dot{p}}$ . Then

$$\langle g \cdot \phi, \phi \rangle = \int_{(V_s(\mathbb{R}))^{\dot{p}}} \phi(g^{-1} \cdot x) \cdot \phi(x) dx > 0, \quad \text{for all } g \in G_s.$$

Thus

$$\int_{G_s} \langle g \cdot \phi, \phi \rangle dg \neq 0,$$

and the lemma follows.  $\square$

oublelift5

**Lemma 5.11.** Assume that  $\pi'$  is  $\nu'$ -bounded for some

$$\nu' > \begin{cases} -\frac{|\dot{s}_0|}{2} - 3, & \text{if } \dot{s} = D^*; \\ -\frac{|\dot{s}_0|}{2} - 1, & \text{otherwise.} \end{cases}$$

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Then for all  $s$  appearing in Lemma 5.9 and all unitary character  $\alpha'$  of  $G_{s'}$ , the representation  $\pi' \otimes \alpha'$  is convergent for  $\check{\Theta}_s^{\dot{s}}$ , and  $\bar{\Theta}_s^{\dot{s}}(\pi' \otimes \alpha')$  is over-convergent for  $\check{\Theta}_s^{\dot{s}''}$ .



*Proof.* The first assertion follows by noting that

$$\nu_{s'} - |s| = \begin{cases} -\frac{|s_0|}{2} - 3, & \text{if } \dot{s} = D^*; \\ -\frac{|s_0|}{2} - 1, & \text{otherwise.} \end{cases}$$

The proof of second assertion is similar to the proof of the first assertion of Lemma 5.10.  $\square$

Recall the character  $\chi_0 := \dot{\chi}|_{R_{s_0}}$  from (5.8). Similarly we define  $\chi'_0 := \dot{\chi}'|_{R_{s_0}}$ . Recall that  $\pi'$  is assumed to be genuine when  $\star = \tilde{C}$ .

**Theorem 5.12.** *Assume that  $\pi'$  is  $\nu'$ -bounded for some  $\nu' > -\frac{|s_0|}{2} - 1$ .*

(a) *If  $\dot{s} = (C, 2k - 1, 2k - 1)$ , then*

$$\bigoplus_{s=(D,p,q), p+q=2k} \bar{\Theta}_s^{s''}(\bar{\Theta}_{s'}^s(\pi')) \cong \text{Ind}_{P_{s'',s_0}}^{G_{s''}}(\pi' \otimes \chi_0) \oplus \text{Ind}_{P_{s'',s_0}}^{G_{s''}}(\pi' \otimes \chi'_0).$$

(b) *If  $\dot{s} = (\tilde{C}, 2k, 2k)$ , then*

$$\bigoplus_{s=(B,p,q), p+q=2k+1} \bar{\Theta}_s^{s''}(\bar{\Theta}_{s'}^s(\pi')) \cong \text{Ind}_{P_{s'',s_0}}^{G_{s''}}(\pi' \otimes \chi_0) \oplus \text{Ind}_{P_{s'',s_0}}^{G_{s''}}(\pi' \otimes \chi'_0).$$

(c) *If  $\dot{s} = (D, 2k - 1, 2k - 1)$ , then*

$$\bar{\Theta}_s^{s''}(\bar{\Theta}_{s'}^s(\pi')) \oplus \left( (\bar{\Theta}_s^{s''}(\bar{\Theta}_{s'}^s(\pi' \otimes \det))) \otimes \det \right) \cong \text{Ind}_{P_{s'',s_0}}^{G_{s''}}(\pi' \otimes \chi_0),$$

where  $s = (C, k - 1, k - 1)$  if  $|s'|$  is even, and  $s = (\tilde{C}, k - 1, k - 1)$  if  $|s'|$  is odd.

(d) *If  $\dot{s} = (C^*, 2k, 2k)$ , then*

$$\bar{\Theta}_s^{s''}(\bar{\Theta}_{s'}^s(\pi')) \cong \text{Ind}_{P_{s'',s_0}}^{G_{s''}}(\pi' \otimes \chi_0),$$

where  $s = (D^*, k, k)$ .

(e) *If  $\dot{s} = (D^*, 2k, 2k)$ , then there is an exact sequence*

$$0 \rightarrow \bigoplus_{s=(C^*, 2p, 2q), p+q=k} \bar{\Theta}_s^{s''}(\bar{\Theta}_{s'}^s(\pi')) \rightarrow \text{Ind}_{P_{s'',s_0}}^{G_{s''}}(\pi' \otimes \chi_0) \rightarrow \mathcal{J} \rightarrow 0$$

of representations of  $G_{s''}$  such that  $\mathcal{J}$  is a quotient of

$$\bigoplus_{s_1=(C^*, 2p_1, 2q_1), p_1+q_1=k-1} \check{\Theta}_{s_1}^{s''}(\check{\Theta}_{s'}^{s_1}(\pi')).$$

*Proof.* Using Lemma 4.7, we know that  $\pi'^{-}$  is convergent for  $I(\dot{\chi})$  (and convergent for  $I(\dot{\chi}')$  when  $\star \in \{C, \tilde{C}\}$ ). Also note that the character  $\chi'$  (see (5.9)) is trivial.

If we are in the situation (a), (b), (c) or (d), by using Theorem 5.5, Lemma 5.10 and Proposition 5.4, the theorem follows by applying the operation  $\pi'^{-} * (\cdot)$  to the isomorphism in Lemma 5.9.

Now assume that we are in the situation (e). For simplicity, write  $0 \rightarrow I_1 \rightarrow I_2 \rightarrow I_3 \rightarrow 0$  for the exact sequence in part (e) of Lemma 5.9. Since  $\pi'^{-}$  is nuclear as a Fréchet space, the sequence

$$0 \rightarrow \pi'^{-} \hat{\otimes} I_1 \rightarrow \pi'^{-} \hat{\otimes} I_2 \rightarrow \pi'^{-} \hat{\otimes} I_3 \rightarrow 0$$

is also topologically exact. Note that the natural map

$$\pi'^{-} * I_1 \rightarrow \pi'^{-} * I_2$$

is injective, and the natural map

$$\pi'^{-} \hat{\otimes} I_3 \cong \frac{\pi'^{-} \hat{\otimes} I_2}{\pi'^{-} \hat{\otimes} I_1} \longrightarrow \frac{\pi'^{-} * I_2}{\pi'^{-} * I_1}$$

descends to a surjective map

$$(\pi'^{-} \hat{\otimes} I_3)_{G_{s' -}} \rightarrow \frac{\pi'^{-} * I_2}{\pi'^{-} * I_1}.$$

Note that

$$(\pi'^{-} \hat{\otimes} I_3)_{G_{s' -}} \cong \bigoplus_{s_1 = (C^*, 2p_1, 2q_1), p_1 + q_1 = k-1} \check{\Theta}_{s_1}^{s''}(\check{\Theta}_{s_1}^{s_1}(\pi')).$$

Theorem 5.5 implies that

$$\pi'^{-} * I_2 \cong \text{Ind}_{P_{s'', s_0}}^{G_{s''}} (\pi' \otimes \chi_0).$$

Lemma 5.10 and Proposition 5.4 implies that.

$$\pi'^{-} * I_1 \cong \bigoplus_{s = (C^*, 2p, 2q), p+q=k} \bar{\Theta}_s^{s''}(\bar{\Theta}_s^s(\pi')).$$

Therefore the theorem follows.  $\square$

## 6. BOUNDING THE ASSOCIATED CYCLES

sec:AC

In this section, let  $s = (\star, p, q)$  and  $s' = (\star', p', q')$  be classical signatures such that  $\star'$  is the Howe dual of  $\star$ . Denote by  $\omega_{s, s'}^{\text{alg}}$  the  $\mathfrak{h}_{s, s'}$ -submodule of  $\omega_{s, s'}$  generated by  $\omega_{s, s'}^{\chi_{s, s'}}$ . This is an  $(\mathfrak{g}_s, K_s) \times (\mathfrak{g}_{s'}, K_{s'})$ -module. For every  $(\mathfrak{g}_{s'}, K_{s'})$ -module  $\rho'$  of finite length, put

$$\check{\Theta}_{s'}^s(\rho') := (\omega_{s, s'}^{\text{alg}} \otimes \rho')_{\mathfrak{g}_{s'}, K_{s'}} \quad (\text{the coinvariant space}).$$

This is a  $(\mathfrak{g}_s, K_s)$ -module of finite length.

Let  $\mathcal{O} \in \text{Nil}(\mathfrak{g}_s)$ . We retain the notation of Section 3. sec:Nil

6.1. **The main result.** Recall from (3.5) the moment maps momentmap2

$$\begin{array}{ccc} \mathfrak{g}_s & \xleftarrow{\tilde{M}_s} & W_{s, s'} \xrightarrow{\tilde{M}_{s'}} \mathfrak{g}_{s'}, \\ \phi^* \phi & \longleftarrow & \phi \longmapsto \phi \phi^*. \end{array}$$

**Lemma 6.1.** *The orbit  $\mathcal{O}$  is contained in the image of the moment map  $\tilde{M}_s$  if and only if*

$$\delta := |s'| - |\nabla_{\text{naive}}(\mathcal{O})| \geq 0.$$

*When this is the case, the Young diagram of  $\nabla_{s'}^s(\mathcal{O}) \in \text{Nil}(\mathfrak{g}_{s'})$  is obtained from that of  $\nabla_{\text{naive}}(\mathcal{O})$  by adding  $\delta$  boxes in the first column.*

*Proof.* This is implied by [25, Theorem 3.6]. DKPC

**Definition 6.2.** *The orbit  $\mathcal{O} \in \text{Nil}(\mathfrak{g}_s)$  is regular for  $\nabla_{s'}^s$  if either*

$$|s'| = |\nabla_{\text{naive}}(\mathcal{O})|,$$

*or*

$$|s'| > |\nabla_{\text{naive}}(\mathcal{O})| \quad \text{and} \quad \mathbf{c}_1(\mathcal{O}) = \mathbf{c}_2(\mathcal{O}).$$

In the rest of this section we suppose that  $\mathcal{O}$  is regular for  $\nabla_{s'}^s$ . Then  $\mathcal{O}$  is contained in the image of the moment map  $\tilde{M}_s$ . Put  $\mathcal{O}' := \nabla_{s'}^s(\mathcal{O}) \in \text{Nil}(\mathfrak{g}_{s'})$ . Let  $\bar{\mathcal{O}}$  denote the Zariski closure of  $\mathcal{O}$  in  $\mathfrak{g}_s$ , and likewise let  $\bar{\mathcal{O}'}$  denote the Zariski closure of  $\mathcal{O}'$  in  $\mathfrak{g}_{s'}$ .

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**Lemma 6.3.** *As subsets of  $\mathfrak{g}_s$ ,*

$$\tilde{M}_s(\tilde{M}_{s'}^{-1}(\bar{\mathcal{O}'})) = \bar{\mathcal{O}}.$$

*Proof.* This is implied by [25, Theorems 5.2 and 5.6]. DKPC

$\square$

As in the Introduction, a finite length  $(\mathfrak{g}_s, K_s)$ -module  $\rho$  is said to be  $\mathcal{O}$ -bounded if the associated variety of its annihilator ideal in  $U(\mathfrak{g}_s)$  is contained in the Zariski closure of  $\mathcal{O}$ . When this is the case, we have the associated  $AC_{\mathcal{O}}(\pi) \in \mathcal{K}_s(\mathcal{O})$  as in the Introduction.

**Lemma 6.4.** *For every  $\mathcal{O}'$ -bounded  $(\mathfrak{g}_{s'}, K_{s'})$ -module  $\rho'$  of finite length, the  $(\mathfrak{g}_s, K_s)$ -module  $\check{\Theta}_{s'}^s(\rho')$  is  $\mathcal{O}$ -bounded.*

*Proof.* In view of Lemma 6.3, this is implied by [53, Theorem B] and [83, Theorem 8.4].  $\square$

The main goal of this section is to prove the following theorem.

**Theorem 6.5.** *Suppose that  $\mathcal{O}$  is regular for  $\nabla_{s'}^s$ . Let  $\rho'$  be an  $\mathcal{O}'$ -bounded  $(\mathfrak{g}_{s'}, K_{s'})$ -module of finite length. Then*

$$AC_{\mathcal{O}}(\check{\Theta}_{s'}^s(\rho')) \leq \check{\vartheta}_{\mathcal{O}'}^{\mathcal{O}}(AC_{\mathcal{O}'}(\rho')).$$

**6.2. Geometric preparation.** Define a map

$$\tilde{M}_{s,s'} := \tilde{M}_s \times \tilde{M}_{s'} : W_{s,s'} \rightarrow \mathfrak{g}_s \times \mathfrak{g}_{s'}.$$

**Lemma 6.6.** *As subsets of  $W_{s,s'}$ ,*

$$\tilde{M}_{s,s'}^{-1}(\mathcal{O} \times \mathcal{O}') = \tilde{M}_{s,s'}^{-1}(\mathcal{O} \times \overline{\mathcal{O}'} ) = \tilde{M}^{-1}(\mathcal{O}) \cap W_{s,s'}^{\circ}.$$

*Moreover, the above set is a single  $G_{s,\mathbb{C}} \times G_{s',\mathbb{C}}$ -orbit.*

**Definition 6.7.** *The orbit  $\mathcal{O} \in \text{Nil}(\mathfrak{g}_s)$  is regular for  $\nabla_{s'}^s$  if the scheme theoretic inverse image  $\tilde{M}_{s,s'}^{-1}(\mathcal{O} \times \mathcal{O}')$  is reduced as a scheme.*

Define a map

$$M_{s,s'} := M_s \times M_{s'} : \mathcal{X}_{s,s'} \rightarrow \mathfrak{p}_s \times \mathfrak{p}_{s'}.$$

**Lemma 6.8.** *As subsets of  $\mathcal{X}_{s,s'}$ ,*

$$M_{s,s'}^{-1}(\mathcal{O} \times (\overline{\mathcal{O}'} \cap \mathfrak{p}_{s'})) = M^{-1}(\mathcal{O}) \cap \mathcal{X}_{s,s'}^{\circ}.$$

*Moreover, the above set is a single  $G_{s,\mathbb{C}} \times G_{s',\mathbb{C}}$ -orbit.*

## 7. GEOMETRIES OF REGULAR DESCENT

In this section, let  $(V, V')$  be a complex dual pair. We investigate the geometric properties of regular descent.

Suppose  $\mathcal{O} \in \text{Nil}(\mathfrak{g}_s)$  and  $\mathcal{O}' = \nabla_{s'}^s(\mathcal{O})$  is the descent of  $\mathcal{O}$ .

Let  $U = \mathfrak{g}_s - (\overline{\mathcal{O}} - \mathcal{O})$  which is a Zariski Open subset of  $\mathfrak{p}$ . We define

$$W_{U, \overline{\mathcal{O}'}} = W_{s,s'} \times_{M_{s,s'}} (U \times \overline{\mathcal{O}'})$$

to be the scheme theoretical pre-image of  $U \times \overline{\mathcal{O}'}$ . For  $\mathbf{e} \in \mathcal{O}$ , let

$$W_{\mathbf{e}, \overline{\mathcal{O}'}} = W_{s,s'} \times_{M_{s,s'}} (\mathbf{e} \times \overline{\mathcal{O}'})$$

be the scheme theoretical pre-image of  $\mathbf{e} \times \overline{\mathcal{O}'}$ .

**Lemma 7.1.** *Suppose  $\mathcal{O}$  regular for  $\nabla_{s'}^s$ . Then*

(a) *The underlying set*

$$W_{U, \overline{\mathcal{O}'}} = \tilde{M}_s^{-1}(\mathcal{O}) \cap W_{s,s'}^{\circ} = \tilde{M}_{s,s'}^{-1}(\mathcal{O} \times \mathcal{O}')$$

*is a single  $G_s \times G_{s'}$  orbit.*

(b)  *$W_{U, \overline{\mathcal{O}'}}$  is a reduced scheme of  $W_{s,s'}$ .*

(c) *The underlying set  $W_{X, \overline{\mathcal{O}'}} = \tilde{M}_s^{-1}(X) \cap W_{s,s'}^{\circ} = \tilde{M}_{s,s'}^{-1}(\{\mathbf{e}\} \times \mathcal{O}')$  is a single  $G_{s'}$  orbit.*

(d)  *$W_{X, \overline{\mathcal{O}'}}$  is a reduced scheme of  $W_{s,s'}$ .*

*Proof.* The set theoretical statements (a) and (c) follows from the explicit description of the nilpotent orbits under the moment maps, see [25, Theorem 3.6].

Consider the following diagram.

$$\begin{array}{ccccccc}
 W_{U, \mathbf{e}'} & \longrightarrow & W_{U, \mathcal{O}'} & \xlongequal{\quad} & W_{U, \overline{\mathcal{O}'}} & \hookrightarrow & W_{s, s'} \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \tilde{M}_{s, s'} \\
 U \times \mathbf{e}' & \longrightarrow & U \times \mathcal{O}' & \hookrightarrow & U \times \overline{\mathcal{O}'} & \hookrightarrow & \mathfrak{g}_s \times \mathfrak{g}_{s'} \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \text{pr}_2 \\
 \mathbf{e}' & \longrightarrow & \mathcal{O}' & \longrightarrow & \overline{\mathcal{O}'} & \longrightarrow & \mathfrak{g}_{s'}
 \end{array}$$

Here  $W_{U, \mathcal{O}'} := W_{s, s'} \times_{\tilde{M}_{s, s'}} (U \times \mathcal{O}')$  and  $W_{U, \overline{\mathcal{O}'}}$  are naturally identified as schemes by the set theoretical result (a).

By generic flatness [30, Théorème 6.9.1], the  $G_s \times G_{s'}$ -equivariant morphism  $W_{U, \mathcal{O}'} \rightarrow \mathcal{O}'$  is flat. Since  $\mathcal{O}'$  is reduced it suffice to show that  $W_{U, \mathbf{e}'}$  is reduced for every point  $\mathbf{e}' \in \mathcal{O}'$  by Lemma 7.2. Note that  $W_{U, \mathbf{e}'}$  is a single  $G_s \times G_{s'}$ -orbit and open in  $W_{\mathbf{e}'}$ . It suffice to prove that  $\phi$  is reduced at  $W_\phi$  for any fixed point  $\phi \in W_{U, \mathbf{e}'}$ .

[ Note that  $U \times \mathcal{O}' \rightarrow U \times \overline{\mathcal{O}'}$  is étale, so  $W_{U, \mathcal{O}'} \rightarrow W_{U, \overline{\mathcal{O}'}}$ . Generic flatness: Suppose  $f: X \rightarrow S$  is a morphism between scheme and  $\mathcal{F}$  is a quasi-coherent sheaf of  $\mathcal{O}_X$  module, such that (1)  $S$  is reduced, (2)  $f$  is of finite type, and (3)  $\mathcal{F}$  is a finite type  $\mathcal{O}_X$ -module. Then there is a open dense subscheme  $U \subset S$  such that  $X_U \rightarrow U$  is flat and of finite presentation, and  $\mathcal{F}|_{X_U}$  is flat over  $U$  and of finite presentation over  $\mathcal{O}_{X_U}$ .

**Lemma 7.2** ([31, Proposition 11.3.13]). *Suppose  $f: X \rightarrow Y$  is a morphism between schemes,  $x$  is a point in  $X$  such that  $f$  is flat at  $x$ . Let  $y = f(x)$ .*

- (a) *If  $X$  is reduced at the point  $x$ , then  $Y$  is reduced at  $y$ .*
- (b) *We assume  $f$  is of finite presentation at the point  $x$ . If  $Y$  is reduced at  $x$  and  $x$  is reduced at the scheme theoretical fiber  $X_y$ , then  $X$  is reduced at  $x$ .*

] □

Let  $\phi \in \tilde{M}_{s'}^{-1}(\mathcal{O}) \cap W_{s, s'}^\circ$ , and  $\mathbf{e}' = \tilde{M}_{s'}(\phi)$ . By the Jacobian criterion for regularity (see [52, Theorem 2.19]), the following sequence

$$(7.1) \quad 0 \longrightarrow T_\phi \tilde{M}_{s, s'}^{-1}(\mathbf{e}') \longrightarrow T_\phi W_{s, s'}^\circ \xrightarrow{d\tilde{M}_{s'}} T_{\mathbf{e}'} \mathfrak{g}_s$$

is exact if and only if  $\phi$  is regular at  $W_{s, s'} \mathbf{e}'$ .

We identify  $T_\phi W_{s, s'}$  and  $T_{\mathbf{e}'} \mathfrak{g}_{s'}$  with  $W_{s, s'}$  and  $\mathfrak{g}_{s'}$  respectively. Since  $(G_s \times (G_{s'})_{\mathbf{e}'}) \cdot \phi$  is open in  $\tilde{M}_{s'}^{-1}(\mathbf{e}')$ , the map

$$\mathfrak{g}_s \times (\mathfrak{g}_{s'})_{\mathbf{e}'} \xrightarrow{(A, A') \mapsto A' \phi - \phi A} T_\phi \tilde{M}_{s'}^{-1}(\mathbf{e}')$$

is surjective. Then the sequence (7.1) is exact if and only if the sequence (7.2) is exact.

$$(7.2) \quad \mathfrak{g} \times (\mathfrak{g}_s)_{\mathbf{e}'} \longrightarrow W \xrightarrow{b \mapsto \phi b^* + b \phi^*} \mathfrak{g}_s.$$

**Lemma 7.3.** *The sequence (7.2) is exact and  $W_{\mathbf{e}'}$  is regular at  $\phi$ .*

*Proof.* Suppose  $|s'| = \nabla_{s'}^s(\mathcal{O})$ . Then every element  $\phi \in W_{U, \mathbf{e}'} \subset \text{Hom}(V, V')$  is a surjective morphism. Therefore  $dM_{s'}: T_\phi W_{s, s'} \rightarrow T_{\mathbf{e}'} \mathfrak{g}_{s'}$  is surjective, which implies  $M_{s'}$  is smooth at  $\phi$  (cf. [33, Proposition 10.4]). Pulling back  $M_{s'}$  via the inclusion  $\mathbf{e}' \hookrightarrow \mathfrak{g}_s$ , we conclude that  $W_{U, \mathbf{e}'}$  is smooth and so regular at  $\phi$ . This also establishes the exactness of (7.2).

Now suppose  $|s'| > \nabla_{s'}^s(\mathcal{O})$  and  $\mathbf{c}_1(\mathcal{O}) = \mathbf{c}_2(\mathcal{O})$ . We now establish the exactness of (7.2), which concludes the lemma.

Let  $V_{s'_1} := \phi V_s$  and  $V_{s'_2}$  be the orthogonal complement of  $V_{s'_1}$  so that  $V_{s'} = V_{s'_1} \oplus V_{s'_2}$ .

Let  $W_{s,s'_i} := \text{Hom}(V_s, V_{s'_i})$  and  $\mathfrak{g}_{s'_i} := \mathfrak{g}_{s_i}$  for  $i = 1, 2$ . Let  $*$ :  $\text{Hom}(V_{s'_1}, V_{s'_2}) \rightarrow \text{Hom}(V_{s'_2}, V_{s'_1})$  denote the adjoint map. We have  $W_{s,s'} = W_{s,s'_1} \oplus W_{s,s'_2}$  and  $\mathfrak{g}_{s'} = \mathfrak{g}_{s'_1} \oplus \mathfrak{g}_{s'_2} \oplus \mathfrak{g}'^{\square}$ , where

$$\mathfrak{g}'^{\square} := \left\{ \begin{pmatrix} 0 & -E^* \\ E & 0 \end{pmatrix} \mid E \in \text{Hom}(V_{s'_1}, V_{s'_2}) \right\}.$$

Now  $\phi$  and  $\mathbf{e}'$  are naturally identified with an element  $\phi_1$  in  $W_{s,s'_1}$  and an element  $\mathbf{e}'_1$  in  $\mathfrak{g}_{s'_1}$  respectively. We have  $(\mathfrak{g}_{s'})_{\mathbf{e}'} = (\mathfrak{g}_{s'_1})_{\mathbf{e}'_1} \oplus \mathfrak{g}_{s'_2} \oplus \mathfrak{g}'^{\square}_{\mathbf{e}'_1}$ , where

$$\mathfrak{g}'^{\square}_{\mathbf{e}'_1} = \left\{ \begin{pmatrix} 0 & -E^* \\ E & 0 \end{pmatrix} \mid E \in \text{Hom}(V_{s'_1}, V_{s'_2}) \text{ and } E\mathbf{e}'_1 = 0 \right\}.$$

Now maps in (7.2) have the following forms respectively:

$$\mathfrak{g}_s \oplus (\mathfrak{g}_{s'})_{\mathbf{e}'} \ni (A, A') = \left( A, (A'_1, A'_2, \begin{pmatrix} 0 & -E^* \\ E & 0 \end{pmatrix}) \right) \mapsto A'\phi - \phi A = (A'_1\phi_1 - \phi_1 A, E\phi_1) \in W_{s,s'}$$

and

$$W_{s,s'} \ni (b_1, b_2) \mapsto \left( b_1^* w_1 + w_1^* b_1, \begin{pmatrix} b_1\phi_1^* + \phi_1 b_1^* & \phi_1 b_2^* \\ b_2\phi_1^* & 0 \end{pmatrix} \right) \in \mathfrak{g}_s \oplus \mathfrak{g}_{s'}.$$

Note that  $G_{s'_1} \cdot \mathbf{e}'_1 = \mathcal{O}'_1 := \nabla_{s'_1}^s(\mathcal{O})$ , we have the exactness of the sequence

$$\mathfrak{g}_s \oplus (\mathfrak{g}_{s'_1})_{\mathbf{e}'_1} \longrightarrow W_{s,s'_1} \longrightarrow \mathfrak{g}_s \oplus \mathfrak{g}_{s'_1}.$$

It left to show that the following sequence is exact:

{eq:GG2}

$$(7.3) \quad \mathfrak{g}'^{\square}_{\mathbf{e}'_1} \xrightarrow{\eta_1} W_{s,s'_2} \xrightarrow{\eta_2} \text{Hom}(V_{s'_1}, V_{s'_2}).$$

Here  $\eta_1$  is given by  $\begin{pmatrix} 0 & -E^* \\ E & 0 \end{pmatrix} \mapsto E\phi_1$  and  $\eta_2$  is given by  $b_2 \mapsto b_2\phi_1^*$ . We have

$$\begin{aligned} \dim \text{Im}(\eta_1) &= \dim \mathfrak{g}'^{\square}_{\mathbf{e}'_1} \quad (\phi_1 \text{ is surjective.}) \\ &= \dim V_{s'_2} \cdot \dim(V_{s'_1} / \text{Im}(\mathbf{e}'_1)) \\ &= \dim V_{s'_2} \cdot \dim \text{Ker}(\mathbf{e}'_1) \\ &= \dim V_{s'_2} \cdot \dim \mathbf{c}_2(\mathcal{O}) \end{aligned}$$

and

$$\begin{aligned} \dim \text{Ker}(\eta_2) &= \dim V_{s'_2} \cdot \dim(V_s / \text{Im}(\phi_1^*)) \\ &= \dim V_{s'_2} \cdot (\dim V_s - \dim V_{s'_1}) \\ &= \dim V_{s'_2} \cdot \mathbf{c}_1(\mathcal{O}) \\ &= \dim V_{s'_2} \cdot \mathbf{c}_2(\mathcal{O}). \end{aligned}$$

Now the exactness of (7.3) and (7.2) follow by dimension counting. □

**Lemma 7.4.** *The following sequence is exact.*

$$\mathfrak{k}_s \times (\mathfrak{k}_{s'})_{\mathbf{e}'} \longrightarrow \mathcal{X}_{s,s'} \longrightarrow \mathfrak{p}_s \oplus \mathfrak{p}_{s'}$$

**Lemma 7.5.**

## 7.0.1. Scheme theoretical results on generalized descents of good orbits.

**Lemma 7.6.** Suppose  $\mathcal{O} \in \text{Nil}_{\mathbf{K}}(\mathfrak{p})$  is good for generalized descent (see [Definition 15.20](#)) and  $\mathcal{O}' = \nabla_{\mathbf{V}, \mathbf{V}'}^{\text{gen}}(\mathcal{O}) \in \text{Nil}_{\mathbf{K}'}(\mathfrak{p}')$ . Fix  $X \in \mathcal{O}$  and let  $\mathcal{Z}_X := \mathcal{X} \times_{\check{M}} (\{X\} \times \mathcal{O}')$ . Then

- (i)  $\mathcal{Z}_X$  is smooth;
- (ii)  $\mathcal{Z}_X$  is a single  $\mathbf{K}'$ -orbit;
- (iii)  $\mathcal{Z}_X$  is contained in  $\mathcal{X}^{\text{gen}}$  and  $M'(\mathcal{Z}_X) = \mathcal{O}'$ .

*Proof.* Part (ii) follows from [\[26, Table 4\]](#). Part (iii) follows from part (ii). By the generic smoothness, in order to prove part (i), it suffices to show that  $\mathcal{Z}_X$  is reduced. Note that the  $\mathbf{K}'$ -equivariant morphism  $\mathcal{Z}_X \xrightarrow{M'} \mathcal{O}'$  is flat by generic flatness [\[30, Théorème 6.9.1\]](#). By [\[31, Proposition 11.3.13\]](#), to show that  $\mathcal{Z}_X$  is reduced, it suffices to show that

$$\mathcal{Z}_{\check{X}} := \mathcal{Z}_X \times_{M'|_{\mathcal{Z}_X}} \{X'\} = \mathcal{X} \times_{\check{M}} \{\check{X}\}$$

is reduced, where  $X' \in \mathcal{O}'$  and  $\check{X} := (X, X')$ . Let  $w \in \mathcal{Z}_{\check{X}}$  be a closed point. Then  $\mathbf{K}' \cdot w$  is the underlying set of  $\mathcal{Z}_X$ , and  $\mathcal{Z}_{\check{X}} := \mathbf{K}'_{X'} \cdot w$  is the underlying set of  $\mathcal{Z}_{\check{X}}$ . By the Jacobian criterion for regularity, to complete the proof of the lemma, it remains to prove the following claim.

**Claim.** The following sequence is exact:

$$0 \longrightarrow T_w \mathcal{Z}_{\check{X}} \longrightarrow T_w \mathcal{X} \xrightarrow{d\check{M}} T_X \mathfrak{p} \oplus T_{X'} \mathfrak{p}'.$$

We prove the above claim in what follows. Identify  $T_w \mathcal{X}$ ,  $T_X \mathfrak{p}$  and  $T_{X'} \mathfrak{p}'$  with  $\mathcal{X}$ ,  $\mathfrak{p}$  and  $\mathfrak{p}'$  respectively and view  $T_w \mathcal{Z}_{\check{X}}$  as a quotient of  $\mathfrak{k}'_{X'}$ . It suffices to show that the following sequence is exact:

$$(7.4) \quad \mathfrak{k}'_{X'} \xrightarrow{A' \mapsto A'w} \mathcal{X} \xrightarrow[b \mapsto (b^*w + w^*b, bw^* + wb^*)]{d\check{M}_w} \mathfrak{p} \oplus \mathfrak{p}' = \check{\mathfrak{p}}.$$

In fact, we will show that the following sequence

$$(7.5) \quad \mathfrak{g}'_{X'} \longrightarrow \mathbf{W} \longrightarrow \mathfrak{g} \oplus \mathfrak{g}'$$

is exact, where  $\mathfrak{g}'_{X'}$  is the Lie algebra of the stabilizer group  $\text{Stab}_{\mathbf{G}'}(X')$  and the arrows are defined by the same formulas in (A.3). Then the exactness of (A.3) will follow since (A.4) is compatible with the natural  $(L, L')$ -actions.

We now prove the exactness of (A.4). Let  $\mathbf{V}'_1 := w\mathbf{V}$  and  $\mathbf{V}'_2$  be the orthogonal complement of  $\mathbf{V}'_1$  so that

$$\mathbf{V}' = \mathbf{V}'_1 \oplus \mathbf{V}'_2.$$

Let  $\mathbf{W}_i := \text{Hom}(\mathbf{V}, \mathbf{V}'_i)$  and  $\mathfrak{g}'_i := \mathfrak{g}_{\mathbf{V}'_i}$  for  $i = 1, 2$ . Let  $*$ :  $\text{Hom}(\mathbf{V}'_1, \mathbf{V}'_2) \rightarrow \text{Hom}(\mathbf{V}'_2, \mathbf{V}'_1)$  denote the adjoint map. We have  $\mathbf{W} = \mathbf{W}_1 \oplus \mathbf{W}_2$  and  $\mathfrak{g}' = \mathfrak{g}'_1 \oplus \mathfrak{g}'_2 \oplus \mathfrak{g}'^{\square}$ , where

$$\mathfrak{g}^{\square} := \left\{ \begin{pmatrix} 0 & -E^* \\ E & 0 \end{pmatrix} \middle| E \in \text{Hom}(\mathbf{V}'_1, \mathbf{V}'_2) \right\}.$$

Now  $w$  and  $X'$  are naturally identified with an element  $w_1$  in  $\mathbf{W}_1$  and an element  $X'_1$  in  $\mathfrak{g}'_1$ , respectively. We have  $\mathfrak{g}'_{X'} = \mathfrak{g}'_{1, X'_1} \oplus \mathfrak{g}'_2 \oplus \mathfrak{g}'^{\square}_{X'_1}$ , where

$$\mathfrak{g}'^{\square}_{X'_1} = \left\{ \begin{pmatrix} 0 & -E^* \\ E & 0 \end{pmatrix} \middle| E \in \text{Hom}(\mathbf{V}'_1, \mathbf{V}'_2), EX'_1 = 0 \right\}.$$

Now maps in (A.4) have the following forms respectively:

$$\begin{aligned} \mathfrak{g}'_{X'} \ni A' = (A'_1, A'_2, \begin{pmatrix} 0 & -E^* \\ E & 0 \end{pmatrix}) &\mapsto A'w = (A'_1w_1, Ew_1) \in \mathbf{W} \quad \text{and} \\ \mathbf{W} \ni (b_1, b_2) &\mapsto \left( b_1^*w_1 + w_1^*b_1, \begin{pmatrix} b_1w_1^* + w_1b_1^* & w_1b_2^* \\ b_2w_1^* & 0 \end{pmatrix} \right) \in \mathfrak{g} \oplus \mathfrak{g}'. \end{aligned}$$

Applying (A.1) to the complex dual pair  $(\mathbf{V}, \mathbf{V}'_1)$ , we see that the sequence

$$\mathfrak{g}'_{1, X'_1} \xrightarrow{A'_1 \mapsto A'_1w_1} \mathbf{W}_1 \xrightarrow{b_1 \mapsto (b_1^*w_1 + w_1^*b_1, b_1w_1^* + w_1b_1^*)} \mathfrak{g} \oplus \mathfrak{g}'_1$$

is exact. The task is then reduced to show that the following sequence is exact:

$$(7.6) \quad \mathfrak{g}_{X'_1}^{\square} \xrightarrow[\textcircled{1}]{\begin{pmatrix} 0 & -E^* \\ E & 0 \end{pmatrix} \mapsto Ew_1} \mathbf{W}_2 \xrightarrow[\textcircled{2}]{b_2 \mapsto b_2w_1^*} \text{Hom}(\mathbf{V}'_1, \mathbf{V}'_2).$$

Note that  $w_1$  is a surjection, hence  $\textcircled{1}$  is an injection. We have

$$\dim \mathfrak{g}_{X'_1}^{\square} = \dim \mathbf{V}'_2 \cdot \dim \text{Ker}(X'_1)$$

and

$$\dim \text{Ker}(\textcircled{2}) = \dim \mathbf{V}'_2 \cdot (\dim \mathbf{V} - \dim \text{Im}(w_1)) = \dim \mathbf{V}'_2 \cdot (\dim \mathbf{V} - \dim \mathbf{V}'_1).$$

Note that  $\dim \text{Ker}(X'_1) = c_1$ , and  $\dim \mathbf{V} - \dim \mathbf{V}'_1 = c_0$ . Since we are in the setting of good generalized descent (Definition 15.20), we have  $c_0 = c_1$ . Now the exactness of (A.5) follows by dimension counting.  $\square$

## 8.

*Proof.* With the geometric properties investigated in Appendix A.2, the proof to be given is similar to that of [53, Theorem C].

We recall some results in [53]. There are natural good filtrations on  $\pi'$  and  $\check{\Theta}(\pi')$  generated by the minimal degree  $\tilde{K}'$ -types and  $\tilde{K}$ -types, respectively. Define

$$\mathcal{A} := \text{Gr } \pi' \otimes \varsigma_{\mathbf{V}, \mathbf{V}'}|_{\tilde{K}'} \quad \text{and} \quad \mathcal{B} := \text{Gr } \check{\Theta}(\pi') \otimes \varsigma_{\mathbf{V}, \mathbf{V}'}^{-1}|_{\tilde{K}}.$$

We view  $\mathcal{A}$  and  $\mathcal{B}$  as a  $\mathbf{K}'$ -equivariant coherent sheaf on  $\mathfrak{p}'^* \cong \mathfrak{p}'$  and a  $\mathbf{K}$ -equivariant coherent sheaf on  $\mathfrak{p}^* \cong \mathfrak{p}$ , respectively. Moreover,  $\text{AV}(\pi') = \text{Supp}(\mathcal{A})$  and  $\text{AV}(\check{\Theta}(\pi')) = \text{Supp}(\mathcal{B})$ .

Recall the moment maps defined in Section 15.1.4. Define the following right exact functor

$$\mathcal{L}: \mathcal{F} \mapsto (M_*(M'^*(\mathcal{F})))^{\mathbf{K}'}$$

from the category of  $\mathbf{K}'$ -equivariant quasi-coherent sheaves on  $\mathfrak{p}'$  to the category of  $\mathbf{K}$ -equivariant quasi-coherent sheaves on  $\mathfrak{p}$ , where  $\mathcal{F}$  is a  $\mathbf{K}'$ -equivariant quasi-coherent sheaf on  $\mathfrak{p}'$ , and  $M'^*$  and  $M_*$  denote the pull-back and push-forward functors respectively. There is a canonical surjective morphism of  $\mathbf{K}$ -equivariant sheaves on  $\mathfrak{p}$  as follows: (cf. [53, Equation (16)])

$$\mathcal{Q}: \mathcal{L}(\mathcal{A}) \twoheadrightarrow \mathcal{B}.$$

Note that the first equality of (15.11) and the first assertion of Lemma 15.21 imply that  $\text{Supp}(\mathcal{L}(\mathcal{A}))$  is contained in  $M(M'^{-1}(\text{Supp}(\mathcal{A}))) \subset \overline{\mathcal{O}} \cap \mathfrak{p}$ . In particular,  $\check{\Theta}(\pi)$  is  $\mathcal{O}$ -bounded.

According to [53, Proposition 4.3], we may fix a finite filtration

$$0 = \mathcal{A}_0 \subset \cdots \subset \mathcal{A}_l \subset \cdots \subset \mathcal{A}_f = \mathcal{A} \quad (f \geq 0)$$

of  $\mathcal{A}$  by  $\mathbf{K}'$ -equivariant coherent sheaves on  $\mathfrak{p}'$  such that for any  $1 \leq l \leq f$ , the space of global sections of  $\mathcal{A}^l := \mathcal{A}_l / \mathcal{A}_{l-1}$  is an irreducible  $(\mathbb{C}[\overline{\mathcal{O}}'_l], \mathbf{K}')$ -module for a nilpotent  $\mathbf{K}'$ -orbit  $\mathcal{O}'_l$  in  $\overline{\mathcal{O}'} \cap \mathfrak{p}'$ .

Let  $\mathcal{O}$  be a  $\mathbf{K}$ -orbit in  $\mathcal{O} \cap \mathfrak{p}$ . If  $\mathcal{O}$  does not admit a generalized descent, then

$$\mathcal{O} \cap M(\mathcal{X}) = \emptyset,$$

and  $\mathcal{O}$  does not appear in the support of  $\text{Ch}_{\mathcal{O}}(\check{\Theta}(\pi))$ .

Now suppose that  $\mathcal{O}$  admits a generalized descent  $\mathcal{O}' := \nabla_{\mathbf{V}, \mathbf{V}'}^{\text{gen}}(\mathcal{O}) \in \text{Nil}_{\mathbf{K}'}(\mathfrak{p}')$ . Retain the notation in Section 15.8.2 where an  $(\epsilon', \epsilon')$ -space decomposition  $\mathbf{V}' = \mathbf{V}'_1 \oplus \mathbf{V}'_2$  and an element

$$T \in \mathcal{X}_1^{\circ} := \{ w \in \text{Hom}(\mathbf{V}, \mathbf{V}'_1) \mid w \text{ is surjective} \} \cap \mathcal{X} \subseteq \mathcal{X}^{\text{gen}}$$

is fixed such that  $X := M(T) \in \mathcal{O}$  and  $X' := M'(T) \in \mathcal{O}'$ .

For  $1 \leq l \leq f$ , if  $\mathcal{O}'_l = \mathcal{O}'$ , then Lemma A.4 and Lemma A.6 ensure that there exists a Zariski open set  $U$  in  $\mathfrak{p}$  such that  $U \cap M(M'^{-1}(\overline{\mathcal{O}'})) = \mathcal{O}$  and the quasi-coherent sheaf  $\mathcal{L}(\mathcal{A}^l)|_U$  descends to a quasi-coherent sheaf on the closed subvariety  $\mathcal{O}$  of  $U$ . (Thus  $\mathcal{L}(\mathcal{A}^l)$  is generically reduced around  $X$  in the sense of [83, Proposition 2.9].)

**Claim.** Assume that  $\mathcal{O}'_l = \mathcal{O}'$  ( $1 \leq l \leq f$ ). Then we have the following isomorphism of algebraic representations of  $\mathbf{K}_X$ :

$$i_X^*(\mathcal{L}(\mathcal{A}^l)) \cong (i_{X'}^*(\mathcal{A}^l))^{\mathbf{K}'_2} \circ \alpha_1.$$

Here  $\alpha_1$  is defined in (15.22),  $i_X: \{X\} \hookrightarrow \mathfrak{p}$  and  $i_{X'}: \{X'\} \hookrightarrow \mathfrak{p}'$  are the inclusion maps, and  $i_X^*$  and  $i_{X'}^*$  are the associated pull-back functors of the quasi-coherent sheaves.

Let  $\mathfrak{m}_{\mathfrak{p}}(X)$  be the maximal ideal of  $\mathbb{C}[\mathfrak{p}]$  associated to  $X$ , and  $\kappa(X) := \mathbb{C}[\mathfrak{p}] / \mathfrak{m}_{\mathfrak{p}}(X)$  be the residual field at  $X$ . Let

$$Z_{X, \overline{\mathcal{O}'}} := \{ w \in \mathcal{X} \mid M(w) = X, M'(w) \in \overline{\mathcal{O}'} \}.$$

Then  $T \in Z_{X, \overline{\mathcal{O}'}}$  and

$$\mathbb{C}[Z_{X, \overline{\mathcal{O}'}}] = \mathbb{C}[\mathcal{X}] \otimes_{\mathbb{C}[\mathfrak{p}] \otimes \mathbb{C}[\mathfrak{p}']} (\kappa(X) \otimes \mathbb{C}[\overline{\mathcal{O}'}])$$

by Lemma A.3 and Lemma A.5.

Let  $A^l$  be the module of global sections of  $\mathcal{A}^l$ . We have

$$\begin{aligned} i_X^*(\mathcal{L}(\mathcal{A}^l)) &= \kappa(X) \otimes_{\mathbb{C}[\mathfrak{p}]} \left( \mathbb{C}[\mathcal{X}] \otimes_{\mathbb{C}[\mathfrak{p}']} \mathbb{C}[\overline{\mathcal{O}'}] \otimes_{\mathbb{C}[\overline{\mathcal{O}'}]} A^l \right)^{\mathbf{K}'} \\ &= \left( \mathbb{C}[\mathcal{X}] \otimes_{\mathbb{C}[\mathfrak{p}] \otimes \mathbb{C}[\mathfrak{p}']} (\kappa(X) \otimes \mathbb{C}[\overline{\mathcal{O}'}]) \otimes_{\mathbb{C}[\overline{\mathcal{O}'}]} A^l \right)^{\mathbf{K}'} \\ &= (\mathbb{C}[Z_{X, \overline{\mathcal{O}'}}] \otimes_{\mathbb{C}[\overline{\mathcal{O}'}]} A^l)^{\mathbf{K}'}. \end{aligned}$$

Let  $\rho' := i_{X'}^*(\mathcal{A}^l)$  be the isotropy representation of  $\mathcal{A}^l$  at  $X'$ . Since  $Z_{X, \overline{\mathcal{O}'}}$  is the  $\mathbf{K}' \times \mathbf{K}_X$ -orbit of  $T$  by Lemma A.3 (iii) and Lemma A.5 (ii), by considering the diagram (cf. [53, Section 4.2])

$$\begin{array}{ccccc} \{X'\} & \longleftarrow & \{T\} & & \\ \downarrow & & \downarrow & \searrow & \\ \overline{\mathcal{O}'} & \xleftarrow{M'} & Z_{X, \overline{\mathcal{O}'}} & \xrightarrow{M} & \{X\}, \end{array}$$



we know that  $\mathbb{C}[Z_{X,\overline{\mathcal{O}}}] \otimes_{\mathbb{C}[\overline{\mathcal{O}}]} A^l$  is isomorphic to the algebraically induced representation  $\text{Ind}_{\mathbf{S}_T}^{\mathbf{K}' \times \mathbf{K}_X} \rho'$ , where  $\mathbf{S}_T$  is the stabilizer group as in (15.23), and  $\rho'$  is viewed as an  $\mathbf{S}_T$ -module via the natural projection  $\mathbf{S}_T \rightarrow \mathbf{K}'_{X'}$ . Now by Frobenius reciprocity, we have

$$i_X^*(\mathcal{L}(\mathcal{A}^l)) \cong (\text{Ind}_{\mathbf{S}_T}^{\mathbf{K}' \times \mathbf{K}_X} \rho')^{\mathbf{K}'} = (\text{Ind}_{\mathbf{K}'_2 \times (\mathbf{K}'_{1,X'} \times_{\alpha_1} \mathbf{K}_X)}^{\mathbf{K}' \times \mathbf{K}_X} \rho')^{\mathbf{K}'} = (\rho')^{\mathbf{K}'_2} \circ \alpha_1.$$

This finishes the proof of the claim.

On the other hand, if  $\mathcal{O}'_l \neq \mathcal{O}'$ , then (15.14) implies that

$$\mathcal{O} \cap M(M'^{-1}(\overline{\mathcal{O}'_l})) = \emptyset,$$

and hence  $\mathcal{O}$  is not contained in  $\text{Supp}(\mathcal{L}(\mathcal{A}^l))$ . In conclusion, the following inequality holds in the Grothedieck group of the category of algebraic representations of  $\mathbf{K}_X$ :

$$\chi(X, \mathcal{B}) \leq \chi(X, \mathcal{L}(\mathcal{A})) \leq \sum_{l=1}^f \chi(X, \mathcal{L}(\mathcal{A}^l)) = \sum_{l=1}^f (i_{X'}^*(\mathcal{A}^l))^{\mathbf{K}'_2} \circ \alpha_1 = \chi(X', \mathcal{A})^{\mathbf{K}'_2} \circ \alpha_1,$$

where  $\chi(X, \cdot)$  indicates the virtual character of  $\mathbf{K}_X$  attached to a  $\mathbf{K}$ -equivariant coherent sheaf on  $\mathfrak{p}$  whose support is contained in  $\overline{\mathcal{O}} \cap \mathfrak{p}$ , and similarly for  $\chi(X', \cdot)$  (cf. [83, Definition 2.12]). This finishes the proof of the theorem.  $\square$

## 9. A PROOF OF THEOREM 3.9

### 10. NILPOTENT ORBITS: COMBINATORICS

In this section, we describes our combinatorial parameterization of nilpotent orbits and the local systems in details.

**10.1. Young diagrams, signed Young diagrams and admissible orbit data.** The set of  $\text{Nil}(\mathfrak{g})$  nilpotent  $G_{\mathbb{C}}$ -orbits in  $\mathfrak{g}$  are parameterized by Young diagrams, see [23, Section 5.1]. The nilpotent orbit  $G_{\mathbb{C}} \cdot \mathbf{e}$  generated by a nilpotent element  $\mathbf{e}$  in  $\mathfrak{g}$  is attached to the Young diagram  $\mathcal{O}$  such that

$$\mathbf{c}_i(\mathcal{O}) = \dim(\text{Ker}(\mathbf{e}^{i+1})/\text{Ker}(\mathbf{e}^i)), \quad \text{for all } i \in \mathbb{N}^+$$

By abuse of notation, we identify  $\mathcal{O}$  with the orbit  $G_{\mathbb{C}} \cdot \mathbf{e}$ .

Let  $\mathfrak{sl}_2(\mathbb{C})$  be the complex Lie algebra consisting of  $2 \times 2$  complex matrices of trace zero,

$$\mathring{\mathbf{e}} := \begin{pmatrix} 1/2 & \sqrt{-1}/2 \\ \sqrt{-1}/2 & -1/2 \end{pmatrix}, \quad \text{and} \quad \mathring{\mathbf{e}} := \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}.$$

By the Jacobson-Morozov theorem, there is a Lie algebra homomorphism

$$\varphi: \mathfrak{sl}_2(\mathbb{C}) \rightarrow \mathfrak{g} \subset \mathfrak{gl}(V)$$

such that  $\varphi(\mathring{\mathbf{e}}) = \mathbf{e}$ .

Let  $\text{St}_i = \text{Sym}^{i-1}(\mathbb{C}^2)$  denote the realization of the irreducible  $\mathfrak{sl}_2(\mathbb{C})$ -module  $\varphi_i$  on the  $i-1$ -symmetric power of the standard representation  $\mathbb{C}^2$ .

As an  $\mathfrak{sl}_2(\mathbb{C})$ -module via  $\varphi$ , we have

$$(10.1) \quad V = \bigoplus_{i \in \mathbb{N}^+} V_i \otimes_{\mathbb{C}} \text{St}_i,$$

and

$${}^i V := \text{Hom}_{\mathfrak{sl}_2(\mathbb{C})}(\text{St}_i, V)$$

is the multiplicity space and  $\dim {}^iV = \mathbf{c}_i(\mathcal{O}) - \mathbf{c}_{i-1}(\mathcal{O})$ . We view  $\mathcal{O}$  as the function

$$\mathcal{O}: \mathbb{N}^+ \rightarrow \mathbb{N} \text{ such that } \mathcal{O}(i) = \dim {}^iV.$$

We equip  $\text{St}_i$  with a “standard” classical space structure  $(\text{St}_i, \langle, \rangle_{\text{St}_i}, J_{\text{St}_i}, L_{\text{St}_i})$ . Here

- the classical signature of  $\text{St}_i$  is  $(B, (i+1)/2, (i-1)/2)$  if  $i$  is odd and  $(C, i/2, i/2)$  if  $i$  is even,
- $J_{\text{St}_i}$  is the complex conjugation on  $\text{St}_i = \text{Sym}^{i-1}(\mathbb{C}^2)$ ,
- $L_{\text{St}_i} = (-1)^{\lfloor \frac{i-1}{2} \rfloor} \text{Sym}^{i-1} \left( \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \right)$ ,
- $\langle, \rangle_{\text{St}_i}$  is uniquely determined by  $J_{\text{St}_i}$  and  $L_{\text{St}_i}$  up to a positive scalar (We fix a form  $\langle, \rangle_{\text{St}_i}$  for each  $i$ ).

**$L_{\text{St}_i}$  should be the Lie group element action.**

We define a equivalent relation on the set  $\{B, C, \tilde{C}, D, C^*, D^*\}$  with the relation  $B \sim D$  and  $C \sim \tilde{C}$ .

Let  $(V, \langle, \rangle, J, L)$  be a classical group with signature  $\mathbf{s}$ . Let  $\mathcal{O} \in \text{Nil}(\mathfrak{p}_{\mathbf{s}})$ . By [75] (also see [83, Section 6]), there is a Lie-algebra homomorphism  $\varphi: \mathfrak{sl}_2(\mathbb{C}) \rightarrow \mathfrak{g} \subset \mathfrak{gl}(V)$  such that  $\varphi(\mathfrak{e}) \in \mathcal{O}$  and compatible with the classical space structure on  $V$ . More precisely, the multiplicity space  ${}^iV$  have a unique classical space structure  $({}^iV, \langle, \rangle_{iV}, J_i, L_i)$  with classical signature  $\mathbf{s}_i = (\star_i, p_i, q_i)$  such that  $\star_i \neq \tilde{C}$  and restricted on the  $\mathfrak{sl}_2(\mathbb{C})$ -isotypic component  ${}^iV \otimes \text{St}_i$ ,

- $\langle, \rangle$  is given by  $\langle, \rangle_{iV} \otimes \langle, \rangle_{\text{St}_i}$ ,
- $J$  is given by  $J_i \otimes J_{\text{St}_i}$ ,
- $L$  is given by  $L_i \otimes L_{\text{St}_i}$ ,
- the symbol  $\star_i$  satisfies the following condition

$$(10.2) \quad \begin{cases} \star_i \sim \star_{\mathbf{s}} & \text{if } i \text{ is odd} \\ \star_i \sim \star'_{\mathbf{s}} & \text{if } i \text{ is even} \end{cases}$$

Here  $\star'_{\mathbf{s}}$  is the Howe dual of  $\star_{\mathbf{s}}$ , and  $\sim$  is the equivalent relation on  $\{B, C, \tilde{C}, C^*, D, D^*\}$  such that  $B \sim D$  and  $C \sim \tilde{C}$ . Then the classical signature  $\mathbf{s}_i$  are independent of the choice of  $\varphi$  and uniquely determined the orbit  $\mathcal{O}$ .

Let

$$\overline{\text{CS}} := \{(\star, p, q) \mid \star \neq \tilde{C} \text{ and } (\star, p, q) \text{ is a classical signature}\}.$$

We identify  $\mathcal{O}$  with the function

$$\mathcal{O}: \mathbb{N}^+ \rightarrow \overline{\text{CS}}, \quad i \mapsto \mathbf{s}_i.$$

A important feature of a compatible  $\varphi$  map is that the Kostant-Sekiguchi correspondence is given by

$$G_{\mathbf{s}} \cdot \varphi(\mathfrak{e}) \leftrightarrow K_{\mathbf{s}, \mathbb{C}} \cdot \varphi(\mathfrak{e}).$$

Let  $\mathbf{m}: \overline{\text{CS}} \rightarrow \mathbb{N}$  be the map given by  $(\star, p, q) \mapsto p + q$ . Now the complexification  $G_{\mathbb{C}} \cdot \mathcal{O}$  of a rational nilpotent orbit is given by  $\mathcal{O} := \mathbf{m} \circ \mathcal{O}$ . Define

$$\text{SYD}_{\star}(\mathcal{O}) := \left\{ \mathcal{O}: \mathbb{N}^+ \rightarrow \overline{\text{CS}} \mid \begin{array}{l} \star_{\mathcal{O}(i)} \text{ satisfies (10.2),} \\ \mathbf{m} \circ \mathcal{O} = \mathcal{O} \end{array} \right\}$$

$$\text{SYD}_{\star} := \bigsqcup_{\mathcal{O} \in \text{Nil}_{\star}} \text{SYD}_{\star}(\mathcal{O}).$$

Now  $\text{SYD}_{\star}$  is naturally identified with the set  $\bigsqcup_{\mathbf{s}} \text{Nil}(\mathfrak{g}_{\mathbf{s}})$  (the set of real nilpotent orbits) and  $\bigsqcup_{\mathbf{s}} \text{Nil}(\mathfrak{p}_{\mathbf{s}})$ . This is nothing but the signed Young diagram classification of rational nilpotent orbits, see [23].

For any  $\mathcal{O} \in \text{SYD}_\star$ , let  $\text{Sign}(\mathcal{O}) = (p, q)$  if  $\mathcal{F} \circ \mathcal{O}$  corresponds to a nilpotent orbit in  $\text{Nil}_\mathbf{s}(\mathfrak{p})$  with the classical signature  $\mathbf{s} = (\star, p, q)$ . When  $\mathcal{O}(i) = (\mathbf{s}_i, p_i, q_i)$ , the signature is computed by the following formula.

$$\begin{aligned} \text{Sign}(\mathcal{O}) = & \sum_{i \in \mathbb{N}^+} (i \cdot p_{2i} + i \cdot q_{2i}, i \cdot p_{2i} + i \cdot q_{2i}) \\ & + \sum_{i \in \mathbb{N}^+} (i \cdot p_{2i-1} + (i-1) \cdot q_{2i-1}, (i-1) \cdot p_{2i-1} + i \cdot q_{2i-1}). \end{aligned}$$

We write

$$\text{SYD}_\mathbf{s}(\mathcal{O}) = \{ \mathcal{O} \in \text{SYD}_\star(\mathcal{O}) \mid \text{Sign}(\mathcal{O}) = (p, q) \}.$$

which is identified with  $\text{Nil}_\mathbf{s}(\mathcal{O})$ .

For a classical signature  $\mathbf{s}$ , we define the complex group

$$K_{[\mathbf{s}], \mathbb{C}} := G_{\mathbb{C}}^{L_\mathbf{s}}.$$

Suppose  $\mathcal{O} \in \text{Nil}(\mathfrak{p}_\mathbf{s})$ ,  $\varphi$  is compatible with  $\mathcal{O}$  and  $\mathbf{e} = \varphi(\mathring{\mathbf{e}}) \in \mathcal{O}$ . Let

$$(K_{[\mathbf{s}], \mathbb{C}})_\mathbf{e} := \text{Stab}_{K_{[\mathbf{s}], \mathbb{C}}}(\mathbf{e})$$

be the stabilizer of  $\mathbf{e}$  in  $K_{[\mathbf{s}], \mathbb{C}}$ . Using the signed Young diagram classification, we have the following well known fact of the isotropic subgroup.

lem:KX1

**Lemma 10.1.** *Then  $(K_{[\mathbf{s}], \mathbb{C}})_\mathbf{e} = R_\mathbf{e} \ltimes U_\mathbf{e}$ , where  $U_\mathbf{e}$  is the unipotent radical of  $(K_{[\mathbf{s}], \mathbb{C}})_\mathbf{e}$  and  $R_\mathbf{e}$  is a reductive group canonically identified with*

$$\prod_{i \in \mathbb{N}^+} K_{[\mathbf{s}_{\mathcal{O}(i)}], \mathbb{C}}.$$

As a consequence,  $R_\mathbf{e}$  is a products of complex general linear group and orthogonal groups

$$\prod_{\substack{\mathcal{O}(i) = (\star_i, p_i, q_i) \\ \star_i \in \{B, D\}, p_i + q_i > 0}} \text{O}_{p_i}(\mathbb{C}) \times \text{O}_{q_i}(\mathbb{C}).$$

Fix a one-dimensional character  $\chi$  of the connected component  $K_{\mathbf{e}, \mathbb{C}}^\circ$  of  $K_{\mathbf{e}, \mathbb{C}}$ , let

$$\text{LB}_\chi(\mathcal{O}) := \{ \text{line bundle } \mathcal{E} \text{ on } \mathcal{O} \text{ such that } K_{\mathbf{e}, \mathbb{C}}^\circ \text{ acts on the fiber } \mathcal{E}_x \text{ by } \chi \}.$$

By the structure of  $R_\mathbf{e}$ , a line bundle  $\mathcal{E} \in DCO\chi$  is completely determined by the restriction of the  $K_{\mathbf{e}, \mathbb{C}}$ -module  $\mathcal{E}_\mathbf{e}$  on the the orthogonal factors of  $R_\mathbf{e}$ .

Let

$$\text{MK} = \overline{\text{CS}} \cup \{ (\star, r, s) \mid \star \in \{B, D\}, r, s \in \mathbb{Z} \}.$$

We identify  $\mathcal{E} \in \text{LB}_\chi(\mathcal{O})$  with the map

$$\mathcal{E}: \mathbb{N}^+ \rightarrow \text{MK}$$

such that, for  $\mathcal{O}(i) = (\star_i, p_i, q_i)$ ,

- $\mathcal{E}(i) := \mathcal{O}(i)$  if  $\star_i \notin \{B, D\}$ ;
- $\mathcal{E}(i) := (\star_i, (-1)^{\epsilon_i^+} p_i, (-1)^{\epsilon_i^-} q_i)$  if  $\star_i \in \{B, D\}$ , and the factor  $K_{[\mathcal{E}(i)], \mathbb{C}} = \text{O}_{p_i}(\mathbb{C}) \times \text{O}_{q_i}(\mathbb{C})$  acts by  $\det^{\epsilon_i^+} \otimes \det^{\epsilon_i^-}$  on

$$\begin{cases} \mathcal{E}, & \text{when } \star = D, \\ \mathcal{E} \otimes \det_{\sqrt{-1}}^{-1}, & \text{when } \star = B. \end{cases}$$

Let  $\mathcal{F} : \text{MK} \rightarrow \overline{\text{CS}}$  be the map given by  $(\star, r, s) \mapsto (\star, |r|, |s|)$  and

$$\text{MYD}(\mathcal{O}) := \{ \mathcal{E} : \mathbb{N}^+ \rightarrow \text{MK} \mid \mathcal{F} \circ \mathcal{E} = \mathcal{O} \}.$$

Thanks to the simple structure of  $R_{\mathbf{e}}$ , the above recipe allows us to identify  $\text{MYD}(\mathcal{O})$  with the set  $\text{LB}_{\chi}(\mathcal{O})$  when the later set is non-empty.

We write  $\text{Sign}(\mathcal{E}) := \text{Sign}$

Let

$$\text{MYD}_s(\mathcal{O}) := \bigcup_{\mathcal{O} \in \text{Nil}_s(\mathcal{O})} \text{MYD}(\mathcal{O}), \quad \text{and} \quad \text{MYD}_{\star}(\mathcal{O}) := \bigcup_{\mathcal{O} \in \text{Nil}_{\star}(\mathcal{O})} \text{MYD}(\mathcal{O})$$

In particular, we identify  $\text{AOD}_s(\mathcal{O})$  with a subset of  $\text{MYD}_{\star}(\mathcal{O})$ , see Definition 1.9.

Set

$$\text{MYD}_{\star} := \bigcup_{\mathcal{O} \in \text{Nil}_{\star}} \text{MYD}_{\star}(\mathcal{O}),$$

In the next section, we will define some operations on the free abelian groups  $\mathbb{Z}[\text{SYD}_{\star}]$  and  $\mathbb{Z}[\text{MYD}_{\star}]$ .

Suppose  $\mathcal{E} \in \text{MYD}_{\star}$  such that  $\mathcal{E}(i) = (\mathbf{s}_i, p_i, q_i)$ . To ease the notation, we will use

$$(i_1^{(p_{i_1}, q_{i_1})}, i_2^{(p_{i_2}, q_{i_2})}, \dots, i_k^{(p_{i_k}, q_{i_k})})_{\star}$$

to represent  $\mathcal{E}$  where  $i_1, \dots, i_k$  are all the indices such that  $(p_i, q_i) \neq (0, 0)$ . A Young diagram in  $\text{YD}_{\star}$  is represented similarly.

We adopt the usual convention to draw a signed Young diagram where the signature  $(p_i, q_i)$  equals to the total signature for the most right parts of all length  $i$  rows. We use “ $\times$ ” and “ $\wedge$ ” insteads “ $+$ ” and “ $-$ ” to mark the rows when  $p_i$  or  $q_i$  are negative.

eg:MYD

**Example 10.2.** The expression  $(1^{(r,s)})_{\star}$  represents the marked Young diagram in  $\text{MYD}_{\star}$  such that

$$(1^{(r,s)})_{\star}(i) := \begin{cases} (\star, r, s) & \text{if } i = 1 \\ (\star_i, 0, 0) & \text{if } i > 0 \end{cases}$$

Here the symbols  $\star_i$  are uniquely determined by (10.2).

The marked Young diagram  $\mathcal{E} := (1^{(1,-1)} 2^{(2,0)} 3^{(0,-1)})_B$  is drew as the following.

/	×	/
—	+	
—	+	
+		
/		

The signed Young diagram  $\mathcal{O} := \mathcal{F} \circ \mathcal{E}$  is  $(1^{(1,1)} 2^{(2,0)} 3^{(0,1)})_B$  and the complexification of  $\mathcal{O}$  is  $(1^2 2^2 3^1)_B$ .

**10.2. Operations on diagrams.** In this section, we define some operations on the signed Young diagrams and marked Young diagram.

Suppose  $\star \in \{B, D\}$ . We define a  $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$  action on  $\text{MYD}_{\star}$ . Let  $(\epsilon^+, \epsilon^-) \in \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ ,  $\mathcal{E} \in \text{MYD}_{\star}$  such that  $(\star_i, p_i, q_i) := \mathcal{E}(i)$ , we define  $\mathcal{E} \otimes (\epsilon^+, \epsilon^-) \in \text{MYD}_{\star}$  by

$$(\mathcal{E} \otimes (\epsilon^+, \epsilon^-))(i) := \begin{cases} (\star_i, (-1)^{\frac{\epsilon^+(i+1)+\epsilon^-(i-1)}{2}} p_i, (-1)^{\frac{\epsilon^+(i-1)+\epsilon^-(i+1)}{2}} q_i) & \text{if } i \text{ is odd,} \\ (\star_i, p_i, q_i) & \text{otherwise.} \end{cases}$$

For each  $\mathcal{E} \in \text{AOD}_{\star}$ , its twist  $\mathcal{E} \otimes (1^{-,+})^{\epsilon^+} \otimes (1^{+,1})^{\epsilon^-}$  is also in  $\text{AOD}_{\star}$ . As elements in  $\text{MYD}_{\star}$ , we have

$$\mathcal{E} \otimes (1^{-,+})^{\epsilon^+} \otimes (1^{+,1})^{\epsilon^-} = \mathcal{E} \otimes (\epsilon^+, \epsilon^-).$$

Suppose  $\star \in \{C, \tilde{C}, D^*\}$ .

For  $\mathcal{E} \in \text{MYD}_\star$  such that  $(\star_i, p_i, q_i) := \mathcal{E}(i)$ , we define  $\boxtimes \mathcal{E} \in \text{MYD}_\star$  by

$$(\boxtimes \mathcal{E})(i) := \begin{cases} (\star_i, -p_i, -q_i) & \text{if } i \equiv 2 \pmod{4} \text{ and } \star_i \in \{B, D\}, \\ (\star_i, p_i, q_i) & \text{otherwise.} \end{cases}$$

Clearly, the  $\boxtimes$  operation defines an involution on  $\text{MYD}_\star$ . For each  $\mathcal{E} \in \text{LB}_\chi(\mathcal{O})$ , its twist  $\mathcal{E} \otimes \det_{\sqrt{-1}}^{-1}$  is a line bundle in  $\text{LB}_{\chi'}(\mathcal{O})$  where  $\chi'$  is a certain character. As elements in  $\text{MYD}_\star$ , we have

$$\mathcal{E} \otimes \det_{\sqrt{-1}} = \boxtimes(\mathcal{E}).$$

We define the argumentation of a marked Young diagram. Suppose that  $(p_0, q_0) \in \mathbb{Z} \times \mathbb{Z}$  and there is a  $\star'_0 \sim \star'$  such that  $\mathbf{s}_0 := (\star'_0, p_0, q_0) \in \overline{\text{CS}}$  with  $\star'_0 \sim \star'$ . For each  $\mathcal{E} \in \text{MYD}_\star$ , let  $\mathcal{E} \cdot (p_0, q_0)$  be the marked Young diagram in  $\text{MYD}_{\star'}$  given by

$$(\mathcal{E} \cdot (p_0, q_0))(i) := \begin{cases} \mathbf{s}_0 & \text{if } i = 1, \\ \mathcal{E}(i-1) & \text{if } i > 1. \end{cases}$$

We will use the same notation to denote the natural extension of above operations to  $\mathbb{Z}[\text{MYD}_\star]$ .

We define the partial order  $\geq$  on  $\mathbb{Z} \times \mathbb{Z}$  by

$$(a, b) \geq (c, d) \Leftrightarrow \begin{cases} a \geq c \geq 0 \\ b \geq d \geq 0 \end{cases} \quad \text{or} \quad \begin{cases} a \leq c \leq 0 \\ b \leq d \leq 0 \end{cases}.$$

For a  $\mathcal{E} \in \text{MYD}$  and  $(p_0, q_0) \in \mathbb{Z} \times \mathbb{Z}$ , we write

$$\mathcal{E} \supseteq (p_0, q_0) \Leftrightarrow (p_1, q_1) \geq (p_0, q_0) \text{ where } \mathcal{E}(1) = (\star_1, p_1, q_1).$$

Moreover, we define an involution on  $\mathbb{Z} \times \mathbb{Z}$  by  $(a, b) \mapsto (a, b)^- := (b, a)$ .

Suppose  $\mathcal{E} \supseteq (p_0, q_0)$ , we define the truncation of  $\mathcal{E}$  by

$$\Lambda_{(p_0, q_0)}(\mathcal{E}) = \begin{cases} (\star_1, p_1 - p_0, q_1 - q_0) & \text{if } i = 1, \\ \mathcal{E}(i) & \text{if } i > 1. \end{cases}$$

We extend the truncation operation  $\Lambda_{(p_0, q_0)}$  to  $\mathbb{Z}[\text{MYD}_\star]$  by setting  $\Lambda_{(p_0, q_0)}(\mathcal{E}) := 0$  when  $(p_0, q_0) \not\supseteq \mathcal{E} \in \text{MYD}_\star$ . Note that  $\Lambda_{(0,0)}$  is the identity map.

The augmentation and truncation of signed Young diagram are defined by the same formula.

**10.3. Theta lifts of local systems.** Let  $\mathcal{O} \in \text{Nil}_\star$  and  $\mathcal{O}' \in \text{Nil}_{\star'}$  such that  $\mathcal{O}'$  is a regular descent of  $\mathcal{O}'$ . Let  $\mathbf{s}$  and  $\mathbf{s}'$  be two fixed classical signature, the theta lifting of marked Young diagrams is a homomorphism between

$$\vartheta_{\mathbf{s}'}^{\mathbf{s}}: \mathbb{Z}[\text{MYD}_{\mathbf{s}'}(\mathcal{O}')] \rightarrow \mathbb{Z}[\text{MYD}_{\mathbf{s}}(\mathcal{O})]$$

It suffice to define  $\vartheta_{\mathbf{s}'}^{\mathbf{s}}(\mathcal{E}')$  for each  $\mathcal{E}' \in \text{MYD}_{\mathbf{s}'}$  case by case. We set

$$t := \mathbf{c}_1(\mathcal{O}') - \mathbf{c}_2(\mathcal{O}).$$

Suppose  $\mathbf{s}' = (\star', n, n)$  and  $\mathbf{s} = (\star, p, q)$  such that  $\star' \in \{C, \tilde{C}, D^*\}$  and  $\star \in \{D, B, C^*\}$ . In this case,  $t$  is always an even integer. We define

$$\text{\{eq:LCD\}} \quad (10.3) \quad \vartheta_{\mathbf{s}'}^{\mathbf{s}}(\mathcal{E}') := \begin{cases} \left( \boxtimes^{\frac{p-q}{2}} (\Lambda_{(\frac{t}{2}, \frac{t}{2})}(\mathcal{E}')) \right) \cdot (p_0, q_0) & \text{if } (p_0, q_0) \geq (0, 0) \text{ and } \star = D, \\ \left( \boxtimes^{\frac{p-q+1}{2}} (\Lambda_{(\frac{t}{2}, \frac{t}{2})}(\mathcal{E}')) \right) \cdot (p_0, q_0) & \text{if } (p_0, q_0) \geq (0, 0) \text{ and } \star = B, \\ \Lambda_{(\frac{t}{2}, \frac{t}{2})}(\mathcal{E}') \cdot (p_0, q_0) & \text{if } (p_0, q_0) \geq (0, 0) \text{ and } \star = C^*, \\ 0 & \text{otherwise} \end{cases}$$

where  $(p_0, q_0) := (p, q) - (n, n) - {}^l\text{Sign}(\mathcal{E}')^\# + (\frac{t}{2}, \frac{t}{2})$ .

Suppose  $\mathbf{s}' = (\star', p, q)$  and  $\mathbf{s} = (\star, n, n)$  such that  $\star' \in \{D, B, C^*\}$  and  $\star \in \{C, \tilde{C}, D^*\}$ . We define

$$\text{\{eq:LDC\}} \quad (10.4) \quad \vartheta_{\mathbf{s}'}^{\mathbf{s}}(\mathcal{E}') := \begin{cases} \boxtimes^{\frac{p-q}{2}} \left( \sum_{j=0}^t \Lambda_{(j, t-j)}(\mathcal{E}') \cdot (n_0, n_0) \right) & \text{if } \star = C, \\ \boxtimes^{\frac{p-q-1}{2}} \left( \sum_{j=0}^t \Lambda_{(j, t-j)}(\mathcal{E}') \cdot (n_0, n_0) \right) & \text{if } \star = \tilde{C}, \\ \sum_{j=0}^{\frac{t}{2}} \Lambda_{(2j, t-2j)}(\mathcal{E}') \cdot (n_0, n_0) & \text{if } \star = D^*, \end{cases}$$

where  $2n_0 = \mathbf{c}_1(\mathcal{O}) - \mathbf{c}_2(\mathcal{O})$ .

## 11. PROPERTIES OF PAINTED BIPARTITIONS

**11.1. Vanishing propotion.** Because of the following proposition, we assume in the rest of this paper that  $\check{\mathcal{O}}$  is quasi-distinguished when  $\star \in \{C^*, D^*\}$ .

**Proposition 11.1.** *Suppose that  $\star \in \{C^*, D^*\}$ . If the set  $\text{PBP}_\star(\check{\mathcal{O}})$  is nonempty, then  $\check{\mathcal{O}}$  is quasi-distinguished.*

*Proof.* Suppose that  $\tau = (\iota, \mathcal{P}) \times (j, \mathcal{Q}) \times \alpha \in \text{PBP}_\star(\check{\mathcal{O}})$ . If  $\star = C^*$ , then the definition of painted bipartitions implies that

$$\mathbf{c}_i(\iota) \leq \mathbf{c}_i(j) \quad \text{for all } i = 1, 2, 3, \dots$$

This forces that  $\check{\mathcal{O}}$  is quasi-distinguished.

If  $\star = D^*$ , then the definition of painted bipartitions implies that

$$\mathbf{c}_{i+1}(\iota) \leq \mathbf{c}_i(j) \quad \text{for all } i = 1, 2, 3, \dots$$

This also forces that  $\check{\mathcal{O}}$  is quasi-distinguished. □

sec:tail

**11.2. Tails of painted bipartitions.** In the rest of this subsection, we assume that  $\star \in \{B, D, C^*\}$ . Let  $(\iota, j) = (\iota_\star(\check{\mathcal{O}}), j_\star(\check{\mathcal{O}}))$ . Put

$$\star_t := \begin{cases} D, & \text{if } \star \in \{B, D\}; \\ C^*, & \text{if } \star = C^*. \end{cases} \quad \text{and} \quad k := \begin{cases} \frac{\mathbf{r}_1(\check{\mathcal{O}}) - \mathbf{r}_2(\check{\mathcal{O}})}{2} + 1 & \text{if } \star \in \{B, D\}; \\ \frac{\mathbf{r}_1(\check{\mathcal{O}}) - \mathbf{r}_2(\check{\mathcal{O}})}{2} - 1 & \text{if } \star = C^*. \end{cases}$$

Here  $k = \mathbf{c}_1(j) - \mathbf{c}_1(\iota) + 1$ ,  $\mathbf{c}_1(j) - \mathbf{c}_1(\iota)$ , and  $\mathbf{c}_1(\iota) - \mathbf{c}_1(j)$  when  $\star = B, C^*, D^*$ , respectively.

Let  $\check{\mathcal{O}}_t$  be the following Young diagram that is determined by the pair  $(\star, \check{\mathcal{O}})$ .

- If  $\star \in \{B, D\}$ , then  $\check{\mathcal{O}}_t$  consists of two rows with lengths  $2k - 1$  and  $1$ .
- If  $\star = C^*$ , then  $\check{\mathcal{O}}_t$  consists of one row with length  $2k + 1$ .

Note that in all these three cases  $\check{\mathcal{O}}_{\mathbf{t}}$  has  $\star_{\mathbf{t}}$ -good parity and every element in  $\text{PBP}_{\star_{\mathbf{t}}}(\check{\mathcal{O}}_{\mathbf{t}})$  has the form

$$\boxed{\text{tail0}} \quad (11.1) \quad \begin{array}{|c|} \hline x_1 \\ \hline x_2 \\ \hline \vdots \\ \hline \vdots \\ \hline x_k \\ \hline \end{array} \times \emptyset \times D, \quad \begin{array}{|c|} \hline x_1 \\ \hline x_2 \\ \hline \vdots \\ \hline \vdots \\ \hline x_k \\ \hline \end{array} \times \emptyset \times D \quad \text{or} \quad \emptyset \times \begin{array}{|c|} \hline x_1 \\ \hline x_2 \\ \hline \vdots \\ \hline \vdots \\ \hline x_k \\ \hline \end{array} \times C^*,$$

respectively if  $\star = B, D$  or  $C^*$ .

Let  $\tau = (\iota, \mathcal{P}) \times (j, \mathcal{Q}) \times \alpha \in \text{PBP}_{\star}(\check{\mathcal{O}})$  be as before.

**The case when  $\star = B$ .** In this case, we define the tail  $\tau_{\mathbf{t}}$  of  $\tau$  to be the first painted bipartition in (11.1) such that the multiset  $\{x_1, x_2, \dots, x_k\}$  is the union of the multiset

$$\{ \mathcal{Q}(j, 1) \mid \mathbf{c}_1(\iota) + 1 \leq j \leq \mathbf{c}_1(j) \}$$

with the set

$$\begin{cases} \{c\}, & \text{if } \alpha = B^+, \text{ and either } \mathbf{c}_1(\iota) = 0 \text{ or } \mathcal{Q}(\mathbf{c}_1(\iota), 1) \in \{\bullet, s\}; \\ \{s\}, & \text{if } \alpha = B^-, \text{ and either } \mathbf{c}_1(\iota) = 0 \text{ or } \mathcal{Q}(\mathbf{c}_1(\iota), 1) \in \{\bullet, s\}; \\ \{ \mathcal{Q}(\mathbf{c}_1(\iota), 1) \}, & \text{if } \mathbf{c}_1(\iota) > 0 \text{ and } \mathcal{Q}(\mathbf{c}_1(\iota), 1) \in \{r, d\}. \end{cases}$$

**The case when  $\star = D$ .** In this case, we define the tail  $\tau_{\mathbf{t}}$  of  $\tau$  to be the second painted bipartition in (11.1) such that the multiset  $\{x_1, x_2, \dots, x_k\}$  is the union of the multiset

$$\{ \mathcal{P}(j, 1) \mid \mathbf{c}_1(j) + 2 \leq j \leq \mathbf{c}_1(\iota) \}$$

with the set

$$\begin{cases} \{c\}, & \begin{aligned} & \text{if } \mathbf{r}_2(\check{\mathcal{O}}) = \mathbf{r}_3(\check{\mathcal{O}}), \\ & (\mathcal{P}(\mathbf{c}_1(j) + 1, 1), \mathcal{P}(\mathbf{c}_1(j) + 1, 2)) = (r, c) \\ & \text{and } \mathcal{P}(l, 1) \in \{r, d\}; \end{aligned} \\ \{ \mathcal{P}(\mathbf{c}_1(j) + 1, 1) \}, & \text{otherwise.} \end{cases}$$

**The case  $\star = C^*$ .** When  $k = 0$ , we define the tail  $\tau_{\mathbf{t}}$  of  $\tau$  to be  $\emptyset \times \emptyset \times C^*$ . When  $k > 0$ , we define the tail  $\tau_{\mathbf{t}}$  of  $\tau$  to be the third painted bipartition in (11.1) such that

$$(x_1, x_2, \dots, x_k) = (\mathcal{Q}(\mathbf{c}_1(\iota) + 1, 1), \mathcal{Q}(\mathbf{c}_1(\iota) + 2, 1), \dots, \mathcal{Q}(\mathbf{c}_1(j), 1)).$$

When  $\star \in \{B, D\}$ , the symbol in the last box of the tail  $\tau_{\mathbf{t}} \in \text{PBP}_{\star_{\mathbf{t}}}(\check{\mathcal{O}}_{\mathbf{t}})$  will be impotent for us. We write  $x_{\tau}$  for it, namely

$$x_{\tau} := \mathcal{P}_{\tau_{\mathbf{t}}}(k, 1).$$

The following lemma is easy to check.

**Lemma 11.2.** *If  $\star = B$ , then*

$$x_{\tau} = s \iff \begin{cases} \alpha = B^-; \\ \mathcal{Q}(\mathbf{c}_1(j), 1) \in \{\bullet, s\}, \end{cases}$$

and

$$x_{\tau} = d \iff \mathcal{Q}(\mathbf{c}_1(j), 1) = d.$$

If  $\star = D$ , then

$$x_{\tau} = s \iff \mathcal{P}(\mathbf{c}_1(\iota), 1) = s,$$

and

$$x_{\tau} = d \iff \mathcal{P}(\mathbf{c}_1(\iota), 1) = d.$$

□

**11.3. Some properties of the descent maps.** The key properties of the descent map when  $\star \in \{C, \tilde{C}, D^*\}$  are summarized in the following proposition.

**Proposition 11.3.** *Suppose that  $\star \in \{C, \tilde{C}, D^*\}$  and consider the descent map*

$$(11.2) \quad \nabla : \text{PBP}_\star(\check{\mathcal{O}}) \longrightarrow \text{PBP}_\star(\check{\mathcal{O}}').$$

- (a) *If  $\star = D^*$  or  $\mathbf{r}_1(\check{\mathcal{O}}) > \mathbf{r}_2(\check{\mathcal{O}})$ , then the map (11.2) is bijective.*  
 (b) *If  $\star \in \{C, \tilde{C}\}$  and  $\mathbf{r}_1(\check{\mathcal{O}}) = \mathbf{r}_2(\check{\mathcal{O}})$ , then the map (11.2) is injective and its image equals*

$$\{ \tau' \in \text{PBP}_\star(\check{\mathcal{O}}') \mid x_{\tau'} \neq s \}.$$

*Proof.* We give the detailed proof of part (b) when  $\star = \tilde{C}$ . The proofs in the other cases are similar and are left to the reader.

By the definition of descent map (see (2.1)), we have a well defined map

$$(11.3) \quad \nabla : \{ \tau \in \text{PBP}_\star(\check{\mathcal{O}}) \mid \mathcal{P}_\tau(\mathbf{c}_1(i), 1) \neq c \} \rightarrow \{ \tau' \in \text{PBP}_\star(\check{\mathcal{O}}') \mid \alpha_{\tau'} = B^+ \}.$$

Suppose that  $\tau'$  is an element in the codomain of the map (11.3). Similar to the proof of Lemma 2.1, there is a unique element in  $\tau := \nabla^{-1}(\tau') \in \text{PBP}_\star(\check{\mathcal{O}})$  such that for all  $i = 1, 2, \dots, \mathbf{c}_1(i)$ ,

$$\mathcal{P}_\tau(i, 1) \in \{\bullet, s\},$$

and for all  $(i, j) \in \text{Box}(\tau')$ ,

$$\mathcal{P}_\tau(i, j+1) = \begin{cases} \bullet \text{ or } s, & \text{if } \mathcal{P}_{\tau'}(i, j) \in \{\bullet, s\}; \\ \mathcal{P}_{\tau'}(i, j), & \text{if } \mathcal{P}_{\tau'}(i, j) \notin \{\bullet, s\}, \end{cases}$$

and

$$\mathcal{Q}_\tau(i, j) = \begin{cases} \bullet \text{ or } s, & \text{if } \mathcal{Q}_{\tau'}(i, j) \in \{\bullet, s\}; \\ \mathcal{Q}_{\tau'}(i, j), & \text{if } \mathcal{Q}_{\tau'}(i, j) \notin \{\bullet, s\}. \end{cases}$$

Note that  $\tau$  is in the domain of (11.3). It is then routine to check that the map

$$\nabla^{-1} : \{ \tau' \in \text{PBP}_\star(\check{\mathcal{O}}') \mid \alpha_{\tau'} = B^+ \} \rightarrow \{ \tau \in \text{PBP}_\star(\check{\mathcal{O}}) \mid \mathcal{P}_\tau(\mathbf{c}_1(i), 1) \neq c \}$$

and the map (11.3) are inverse to each other. Hence the map (11.3) is bijective.

Similarly, the map

$$\nabla : \{ \tau \in \text{PBP}_\star(\check{\mathcal{O}}) \mid \mathcal{P}_\tau(\mathbf{c}_1(i), 1) = c \} \rightarrow \{ \tau' \in \text{PBP}_\star(\check{\mathcal{O}}') \mid \alpha_{\tau'} = B^-, \mathcal{Q}_{\tau'}(\mathbf{c}_1(i), 1) \in \{r, d\} \}$$

is well-defined, and we show that it is bijective by explicitly constructing its inverse. In view of Lemma 11.2, this proves the proposition in the case we are considering.

[ Suppose  $\star = \tilde{C}$  and  $\mathbf{r}_1(\check{\mathcal{O}}) > \mathbf{r}_2(\check{\mathcal{O}})$ . Then  $\mathbf{c}_1(i) > \mathbf{c}_1(j)$  and the “inverse” of descent is constructed in an obvious way such that  $\mathcal{P}(\mathbf{c}_1(i), 1) = c \Leftrightarrow \alpha = B^-$ .

Suppose  $\star = C$  and  $\mathbf{r}_1(\check{\mathcal{O}}) = \mathbf{r}_2(\check{\mathcal{O}})$ . Then  $\mathbf{c}_1(i) = \mathbf{c}_1(j) + 1$ . So  $\mathcal{P}_\tau(\mathbf{c}_1(i), 1) \neq s$ . Hence  $\mathcal{P}_{\tau'}(\mathbf{c}_1(i), 1) = \mathcal{P}_\tau(\mathbf{c}_1(i), 1) \neq s$ . The “inverse” of descent is constructed in an obvious way.

Suppose  $\star = D^*$ . Then  $\mathbf{c}_1(i) \geq \mathbf{c}_1(j) + 1$ . The “inverse” of descent is constructed in an obvious way. ]  $\square$

As in the Introduction,  $\mathcal{O}$  denotes the Barbasch-Vogan dual of  $\check{\mathcal{O}}$ . The following proposition is easy to verify:



lem:D.sign

**Lemma 11.4.** Suppose  $k \in \mathbb{N}^+$ ,  $\star \in \{B, D, C^*\}$ . Let  $\check{\mathcal{O}}$  be the  $\star$ -good parity orbit such that  $\mathbf{r}_3(\check{\mathcal{O}}) = 0$  and

$$(\mathbf{r}_1(\check{\mathcal{O}}), \mathbf{r}_2(\check{\mathcal{O}})) = \begin{cases} (2k-2, 0) & \text{if } \star = B, \\ (2k-1, 1) & \text{if } \star = D, \\ (2k-1, 0) & \text{if } \star = C^*. \end{cases}$$

Then  $\check{\mathcal{O}}$  is the regular nilpotent orbit and  $\mathcal{O} = (1^{\mathbf{r}_1(\check{\mathcal{O}})+1})_{\star}$ .

When  $\star \in \{B, D\}$  the following map is bijective:

$$\begin{aligned} \text{PBP}_{\star}(\check{\mathcal{O}}) &\longrightarrow \{ (p, q, \varepsilon) \in \mathbb{N} \times \mathbb{N} \times \mathbb{Z}/2\mathbb{Z} \mid \varepsilon = 1 \text{ if } pq = 0, \text{ and } p + q = |\mathcal{O}| \}, \\ \tau &\mapsto (p_{\tau}, q_{\tau}, \varepsilon_{\tau}). \end{aligned}$$

When  $\star = C^*$ , the following map is bijective:

$$\text{PBP}_{\star}(\check{\mathcal{O}}) \longrightarrow \{ (p, q) \mid p, q \in 2\mathbb{N} \text{ and } p + q = |\mathcal{O}| \}, \quad \tau \mapsto (p_{\tau}, q_{\tau}).$$

□

For every painted bipartition  $\tau$ , write

$$\text{Sign}(\tau) := (p_{\tau}, q_{\tau}).$$

When  $\mathbf{r}_2(\check{\mathcal{O}}) > 0$ , the double descent  $\nabla^2(\tau) := \nabla(\nabla(\tau))$  is well-defined whenever  $\tau \in \text{PBP}_{\star}(\check{\mathcal{O}})$ .

The key properties of the descent map when  $\star \in \{D, B, C^*\}$  are summarized in the following two propositions.

lem:delta

**Lemma 11.5.** Assume that  $\star \in \{D, B, C^*\}$  and  $\mathbf{r}_2(\check{\mathcal{O}}) > 0$ . Write  $\check{\mathcal{O}}'' := \check{\nabla}(\check{\mathcal{O}}')$  and consider the map

{eq:delta}

$$(11.4) \quad \delta: \text{PBP}_{\star}(\check{\mathcal{O}}) \longrightarrow \text{PBP}_{\star}(\check{\mathcal{O}}'') \times \text{PBP}_{\star}(\check{\mathcal{O}}_{\mathbf{t}}), \quad \tau \mapsto (\nabla^2(\tau), \tau_{\mathbf{t}}).$$

(a) Suppose that  $\star = C^*$  or  $\mathbf{r}_2(\check{\mathcal{O}}) > \mathbf{r}_3(\check{\mathcal{O}})$ . Then the map <sup>eq:delta</sup>(11.4) is a bijective, and for every  $\tau \in \text{PBP}_{\star}(\check{\mathcal{O}})$ ,

{eq:sign.D}

$$(11.5) \quad \text{Sign}(\tau) = (\mathbf{c}_2(\mathcal{O}), \mathbf{c}_2(\mathcal{O})) + \text{Sign}(\nabla^2(\tau)) + \text{Sign}(\tau_{\mathbf{t}}).$$

(b) Suppose that  $\star \in \{B, D\}$  and  $\mathbf{r}_2(\check{\mathcal{O}}) = \mathbf{r}_3(\check{\mathcal{O}}) > 0$ . Then the map <sup>eq:delta</sup>(11.4) is injection and its image equals

{eq:delta.I}

$$(11.6) \quad \left\{ (\tau'', \tau_0) \in \text{PBP}_{\star}(\check{\mathcal{O}}'') \times \text{PBP}_D(\check{\mathcal{O}}_{\mathbf{t}}) \mid \begin{array}{l} \text{either } x_{\tau''} = d, \text{ or} \\ x_{\tau''} \in \{r, c\} \text{ and } \mathcal{P}_{\tau_0}^{-1}(\{s, c\}) \neq \emptyset \end{array} \right\}.$$

Moreover, for every  $\tau \in \text{PBP}_{\star}(\check{\mathcal{O}})$ ,

{eq:sign.GD}

$$(11.7) \quad \text{Sign}(\tau) = (\mathbf{c}_2(\mathcal{O}) - 1, \mathbf{c}_2(\mathcal{O}) - 1) + \text{Sign}(\nabla^2(\tau)) + \text{Sign}(\tau_{\mathbf{t}}).$$

*Proof.* We give the detailed proof of part (b) when  $\star = B$ . The proofs in the other cases are similar and are left to the reader. Let  $\tau = (i, \mathcal{P}) \times (j, \mathcal{Q}) \times \alpha$  and  $\tau'' = \nabla^2(\tau)$ .

According to the descent algorithm, we have

{eq:delta.1}

$$(11.8) \quad \begin{aligned} \mathcal{P}(i, j) &= \begin{cases} \bullet & \text{if } i < \mathbf{c}_1(i), j = 1; \\ \mathcal{P}_{\tau''}(i, j-1) & \text{if } i < \mathbf{c}_1(i) \text{ or } j > 1; \end{cases} \\ \mathcal{Q}(i, j) &= \begin{cases} \bullet & \text{if } i < \mathbf{c}_1(i), j = 1; \\ \mathcal{Q}_{\tau''}(i, j-1) & \text{if } i < \mathbf{c}_1(i) \text{ or } j > 2; \end{cases} \end{aligned}$$

We label the boxes which are not considered in (11.8) as the following:

$$(11.9) \quad \tau : \begin{array}{|c|} \hline x_0 \\ \hline \end{array} \times \begin{array}{|c|c|} \hline x_1 & x'' \\ \hline \end{array} \times \alpha \mapsto \tau'' : \begin{array}{|c|} \hline y'' \\ \hline \end{array} \times \begin{array}{|c|} \hline x_2 \\ \hline \end{array} \times \begin{array}{|c|} \hline \vdots \\ \hline \end{array} \times \begin{array}{|c|} \hline x_k \\ \hline \end{array} \times \alpha'',$$

where  $k := \mathbf{c}_1(j) - \mathbf{c}_1(i) + 1$ ,  $x_0$ ,  $x_1$  and  $y''$  has coordinate  $(\mathbf{c}_1(i), 1)$  in the corresponding painted Young diagram. By the descent algorithm,  $x'' = y''$ . Also note that  $\mathbf{c}_2(\mathcal{O}) = 2\mathbf{c}_1(i)$ .

We now discuss case by case according to the symbol  $x_1$ . When  $x_1 = s$ , we have  $(x_0, \alpha'') = (c, B^-)$ . Now

$$(11.10) \quad \begin{aligned} & \text{Sign}(\tau) - \text{Sign}(\tau'') \\ &= (2\mathbf{c}_1(i) - 2, 2\mathbf{c}_1(i) - 2) + (1, 1) + (0, 2) - (0, 1) + \text{Sign}(\alpha) + \text{Sign}(x_2 \cdots x_k) \\ &= (\mathbf{c}_2(\mathcal{O}) - 1, \mathbf{c}_2(\mathcal{O}) - 1) + \text{Sign}(\tau_t). \end{aligned}$$

Here  $\text{Sign}$  counts the signature of various symbols in the same way of (1.3). In second line of the above formula, the terms count the signatures of extra bullets,  $x_0$ ,  $x_1$ ,  $\alpha''$ ,  $\alpha$  and  $x_1 \cdots x_k$  respectively.

When  $x_1 = \bullet$ , we have  $(x_0, \alpha'') = (\bullet, B^+)$ . When  $x_1 \in \{r, d\}$ , we have  $x'' = y'' = d$   $(x_0, \alpha'') = (c, \alpha)$ . In these two cases, the signature formula can be checked similarly. It also clear that the image of  $\delta$  is in (11.6).

It is not hard to construct the inverse of  $\delta$  from (11.6) to  $\text{PBP}_\star(\check{\mathcal{O}})$ , and then the proposition follows. To illustrate the idea, we give the detail construction of  $\delta^{-1}(\tau'', \tau_0)$  when  $\mathcal{P}_{\tau_0}(k, 1) = c$ . Most of the entries in  $\mathcal{P}$  and  $\mathcal{Q}$  are defined by (11.8). We refer to (11.9) for the labeling of the rest of the entries, and set  $\alpha := B^-$ ,  $x'' := \mathcal{Q}_{\tau''}(\mathbf{c}_1(i), 1)$ ,

$$(x_0, x_1) := \begin{cases} (\bullet, \bullet) & \text{if } \alpha'' = B^+, \\ (c, s) & \text{if } \alpha'' = B^-, \end{cases} \quad \text{and} \quad x_i := \mathcal{P}_{\tau_0}(i - 1, 1) \quad \text{for } i = 2, 3, \dots, k.$$

[ In fact, it suffice to check the proposition for the orbit  $\check{\mathcal{O}}$  consists of three rows with lengths  $2k$ ,  $2$ , and  $2$ . ]

[ Suppose  $\star = C^*$ . Then  $\tau$  is obtained by attaching a column of  $\mathbf{c}_1(i)$  bullets on the left of  $\mathcal{P}$ , and a column of  $\mathbf{c}_1(i)$  bullets concatenated with  $\tau_t$  on the left of  $\mathcal{Q}$ . The bijectivity is clear. The signature formula follows from  $2\mathbf{c}_1(i) = \mathbf{c}_2(\mathcal{O})$ .

Suppose  $\star = D$  and  $\mathbf{r}_2(\check{\mathcal{O}}) > \mathbf{r}_3(\check{\mathcal{O}})$ . Then  $\tau$  is obtained by attaching a column of  $\mathbf{c}_1(j)$  bullets concatenated with  $\tau_t$  on the left of  $\mathcal{P}$ , and a column of  $\mathbf{c}_1(j)$  bullets on the left of  $\mathcal{Q}$ . The bijectivity is clear. The signature formula follows from  $2\mathbf{c}_1(j) = \mathbf{c}_2(\mathcal{O})$ .

]

**Proposition 11.6.** Suppose that  $\star \in \{D, B, C^*\}$  and  $\mathbf{r}_2(\check{\mathcal{O}}) > 0$ . Then the map

$$(11.11) \quad \delta' : \text{PBP}_\star(\check{\mathcal{O}}) \longrightarrow \text{PBP}_\star(\check{\mathcal{O}}') \times \text{PBP}_{\star_t}(\check{\mathcal{O}}_t) \quad \tau \mapsto (\nabla(\tau), \tau_t)$$

is injective. Moreover, (11.11) is bijective unless  $\star \in \{B, D\}$  and  $\mathbf{r}_2(\check{\mathcal{O}}) = \mathbf{r}_3(\check{\mathcal{O}}) > 0$ .

*Proof.* It follows from the injectivity results in Lemma 11.5 and Proposition 11.3.  $\square$

Combining the above propotion with Lemma 11.4, we get the following.

**Corollary 11.7.** If  $\star \in \{B, D, C^*\}$ , then the map

$$(11.12) \quad \begin{aligned} \text{PBP}_\star(\check{\mathcal{O}}) &\rightarrow \text{PBP}_{\star'}(\check{\mathcal{O}}') \times \mathbb{N} \times \mathbb{N} \times \mathbb{Z}/2\mathbb{Z}, \\ \tau &\mapsto (\nabla(\tau), p_\tau, q_\tau, \varepsilon_\tau) \end{aligned}$$

is injective. □

## 12. MARKED YOUNG DIAGRAMS ATTACHED TO THE PAINTED BIPARTITIONS: COMBINATORICS

sec:ACC

Recall that  $\text{AOD}_\star(\mathcal{O})$  can be parameterized by  $\text{MYD}_\star(\mathcal{O})$ .

We first define the combinatorial companion of  $\text{AC}(\tau)$ . Suppose  $|\check{\mathcal{O}}| = 0$ . For  $\tau \in \text{PBP}_\star(\check{\mathcal{O}})$ , we define

$$\mathcal{L}_\tau := \begin{cases} (1^{\text{Sign}(\tau)})_\star \otimes (0, 1) & \text{if } \star = B \\ (1^{(0,0)})_\star & \text{otherwise.} \end{cases}$$

Suppose  $|\check{\mathcal{O}}| > 0$ . For  $\tau = (\tau, \wp) \in \text{PBP}_\star(\check{\mathcal{O}})$ , let  $\tau' = \nabla(\tau)$  and we define

$$\mathcal{L}_\tau := \begin{cases} \vartheta_{\mathbf{s}_{\tau'}}^{\mathbf{s}_\tau}(\mathcal{L}_{\tau'} \otimes (\varepsilon_\wp, \varepsilon_\wp)) & \text{if } \star \in \{C, \tilde{C}\}, \\ \vartheta_{\mathbf{s}_{\tau'}}^{\mathbf{s}_\tau}(\mathcal{L}_{\tau'}) & \text{if } \star = D^*, \\ \vartheta_{\mathbf{s}_{\tau'}}^{\mathbf{s}_\tau}(\mathcal{L}_{\tau'}) \otimes (0, \varepsilon_\tau) & \text{if } \star \in \{B, D\}, \\ \vartheta_{\mathbf{s}_{\tau'}}^{\mathbf{s}_\tau}(\mathcal{L}_{\tau'}) & \text{if } \star = C^*. \end{cases}$$

See (10.3) and (10.4) for the definition of  $\vartheta_{\mathbf{s}}^{\mathbf{s}}$ .

For  $\star \in \{B, D\}$  and  $\mathcal{E} \in \mathbb{Z}[\text{MYD}_\star]$ , we write

$$\mathcal{E}^+ := \Lambda_{(1,0)}(\mathcal{E}) \quad \text{and} \quad \mathcal{E}^- := \Lambda_{(0,1)}(\mathcal{E}).$$

We adopt the convention that  $\mathcal{E} \cdot \emptyset = 0$  for  $\mathcal{E} \in \mathbb{Z}[\text{MYD}]$ .

Using the signature formulas in Lemma 11.5, we have the following more explicit formulas on  $\mathcal{L}_\tau$ .

**Lemma 12.1.** *Suppose  $\check{\mathcal{O}}$  such that  $\mathbf{r}_2(\check{\mathcal{O}}) > 0$ . Let  $\tau = (\tau, \wp) \in \text{PBP}_\star^{\text{ext}}(\check{\mathcal{O}})$  and  $\tau' = \nabla(\tau)$ .*

(a) *Suppose  $\star \in \{C, \tilde{C}\}$ . Then*

$$(12.1) \quad \mathcal{L}_\tau = \begin{cases} \mathbf{\boxtimes}^y((\mathcal{L}_{\tau'} \otimes (\varepsilon_\wp, \varepsilon_\wp)) \cdot (n_0, n_0)) & \text{if } \mathbf{r}_1(\check{\mathcal{O}}) > \mathbf{r}_2(\check{\mathcal{O}}) \\ \mathbf{\boxtimes}^y((\mathcal{L}_{\tau'}^+ + \mathcal{L}_{\tau'}^-) \cdot (0, 0)) & \text{if } \mathbf{r}_1(\check{\mathcal{O}}) = \mathbf{r}_2(\check{\mathcal{O}}) \end{cases}$$

where  $y$  is an integer determined by  $\text{Sign}(\tau')$  and  $n_0 = (\mathbf{c}_1(\mathcal{O}) - \mathbf{c}_2(\mathcal{O}))/2$ .

(b) *Suppose  $\star \in \{B, D\}$  and  $\mathbf{r}_2(\mathcal{O}) > \mathbf{r}_3(\mathcal{O})$ . Then*

$$(12.2) \quad \mathcal{L}_\tau = ((\mathbf{\boxtimes}^y \mathcal{L}_{\tau'}) \cdot (p_{\tau_t}, q_{\tau_t})) \otimes (0, \varepsilon_\tau)$$

where  $y$  is an integer determined by  $\text{Sign}(\tau)$ . In particular,

$$(12.3) \quad \mathcal{E}(1) = \mathcal{L}_{(\tau_t, \emptyset)}(1)$$

for each  $\mathcal{E} \in \text{MYD}_\star$  has nonzero multiplicity in  $\mathcal{L}_\tau$ .

(c) *When  $\mathbf{r}_2(\mathcal{O}) = \mathbf{r}_3(\mathcal{O})$ ,*

$$(12.4) \quad \mathcal{L}_\tau = ((\mathbf{\boxtimes}^t(\mathcal{L}_{\tau''}^+ \cdot (0, 0)) \cdot \mathcal{T}_\tau^+ + (\mathbf{\boxtimes}^t(\mathcal{L}_{\tau''}^- \cdot (0, 0))) \cdot \mathcal{T}_\tau^-) \otimes (0, \varepsilon_\tau)$$

where  $\tau'' = \nabla^2(\tau)$  and

$$t = \frac{|\text{Sign}(\tau_t)|}{2},$$

$$\mathcal{T}_\tau^+ = \begin{cases} (p_{\tau_t}, q_{\tau_t} - 1) & \text{when } q_{\tau_t} - 1 \geq 0, \\ \emptyset & \text{otherwise,} \end{cases}$$

$$\mathcal{T}_\tau^- = \begin{cases} (p_{\tau_t} - 1, q_{\tau_t}) & \text{when } p_{\tau_t} - 1 \geq 0, \\ \emptyset & \text{otherwise.} \end{cases}$$

(d) Suppose  $\star \in \{D^*\}$ . Then

$$\mathcal{L}_\tau = \mathcal{L}_{\tau'} \cdot (n_0, n_0),$$

where  $n_0 = (\mathbf{c}_1(\mathcal{O}) - \mathbf{c}_2(\mathcal{O}))/2$ .

(e) Suppose  $\star \in \{C^*\}$ . Then

$$\mathcal{L}_\tau = \mathcal{L}_{\tau'} \cdot (p_{\tau_t}, q_{\tau_t}).$$

□

[ Hint of the proof of (c). It suffice to consider the most left three columns of the peduncle part: this part has signature  ${}^l\text{Sign}(\mathcal{T}_\tau) + (1, 1) = \text{Sign}(\tau_t x_{\tau''}) = \text{Sign}(\tau_t) + {}^l\text{Sign}(\mathcal{D}_{\tau''})$ . Therefore,

$$\text{Sign}(\tau_t) = {}^l\text{Sign}(\mathcal{T}_\tau) - {}^l\text{Sign}(\mathcal{D}_{\tau''}) + (1, 1) = {}^l\text{Sign}(\mathcal{L}_\tau) - {}^l\text{Sign}(\mathcal{L}_{\tau''}) + (1, 1).$$

]

sec:ac

**12.1. Properties of  $\mathcal{L}_\tau$ .** In this section, we summarize the properties of  $\mathcal{L}_\tau$  in the following lemmas. Their proofs are given in the next three sections.

lem:ac0

**Lemma 12.2.** For each  $\tau \in \text{PBP}_\star^{\text{ext}}(\check{\mathcal{O}})$ ,  $\mathcal{L}_\tau \neq 0$ . Moreover, the multiplicity of each  $\mathcal{E} \in \text{MYD}_\star$  in  $\mathcal{L}_\tau$  is either 0 or 1.

lem:C

**Lemma 12.3.** Suppose  $\star \in \{C, \tilde{C}, D^*\}$  and  $\check{\mathcal{O}} \neq \emptyset$ .

(a) Let  $\tau_1 = (\tau_1, \wp_1)$  and  $\tau_2 = (\tau_2, \wp_2)$  be two elements in  $\text{PBP}_{\star'}^{\text{ext}}(\check{\mathcal{O}}')$  such that  $\mathcal{L}_{\tau_1} = \mathcal{L}_{\tau_2}$ . Then

$$\text{Sign}(\tau_1') = \text{Sign}(\tau_2') \quad \text{and} \quad \varepsilon_{\wp_1} = \varepsilon_{\wp_2},$$

where  $\tau_i' := \nabla(\tau_i)$  for  $i = 1, 2$ .

(b) When  $\check{\mathcal{O}}$  is weakly-distinguished, the following map is injective.

$$\tilde{\mathcal{L}}: \text{PBP}_\star^{\text{ext}}(\check{\mathcal{O}}) \longrightarrow \mathbb{Z}[\text{MYD}_\star(\mathcal{O})], \quad \tau \mapsto \mathcal{L}_\tau.$$

(c) When  $\check{\mathcal{O}}$  is quasi-distinguished, the image of the above map is  $\text{MYD}_\star(\mathcal{O})$ .

lem:BD

**Lemma 12.4.** Suppose  $\star \in \{B, D\}$  and  $(\star, \check{\mathcal{O}}) \neq (D, \emptyset)$ .

(a) Let  $\tau_i = (\tau_i, \wp_i) \in \text{PBP}_\star^{\text{ext}}(\check{\mathcal{O}})$  and  $\epsilon_i \in \mathbb{Z}/2\mathbb{Z}$  ( $i = 1, 2$ ) such that

$$\mathcal{L}_{\tau_1} \otimes (\epsilon_1, \epsilon_1) = \mathcal{L}_{\tau_2} \otimes (\epsilon_2, \epsilon_2).$$

Then

$$\epsilon_1 = \epsilon_2, \quad \varepsilon_{\tau_1} = \varepsilon_{\tau_2} \quad \text{and} \quad \mathcal{L}_{\tau_1} = \mathcal{L}_{\tau_2}.$$

(b) When  $\check{\mathcal{O}}$  is weakly-distinguished, the following map is injective.

$$\mathcal{L}: \text{PBP}_\star^{\text{ext}}(\check{\mathcal{O}}) \times \mathbb{Z}/2\mathbb{Z} \longrightarrow \mathbb{Z}[\text{MYD}_\star(\mathcal{O})], \quad (\tau, \epsilon) \mapsto \mathcal{L}_\tau \otimes (\epsilon, \epsilon).$$

(c) When  $\check{\mathcal{O}}$  is quasi-distinguished, the image of the above map is  $\text{MYD}_\star(\mathcal{O})$ .

lem:C\*

**Lemma 12.5.** Suppose  $\star = C^*$ . When  $\check{\mathcal{O}}$  is quasi-distinguished, the image of the map

$$\mathcal{L}: \text{PBP}_\star^{\text{ext}}(\check{\mathcal{O}}) \longrightarrow \mathbb{Z}[\text{MYD}_\star(\mathcal{O})], \quad \tau \mapsto \mathcal{L}_\tau$$

is  $\text{MYD}_\star(\mathcal{O})$ .

lem:BD2

**Lemma 12.6.** Suppose  $\star \in \{B, D\}$  and  $\check{\mathcal{O}} \neq \emptyset$ .

(a) If  $x_\tau = s$ , then  $\mathcal{L}_\tau^+ = 0$  and  $\mathcal{L}_\tau^- = 0$ .

(b) If  $x_\tau \in \{r, c\}$ , then  $\mathcal{L}_\tau^+ \neq 0$  and  $\mathcal{L}_\tau^- = 0$ .

(c) If  $x_\tau = d$ , then  $\mathcal{L}_\tau^+ \neq 0$  and  $\mathcal{L}_\tau^- \neq 0$ .

lem:BD3

**Lemma 12.7.** Suppose  $\star \in \{B, D\}$ ,  $\check{\mathcal{O}} \neq \emptyset$  and  $\check{\mathcal{O}}$  is weakly-distinguished.

(a) The map

$$(12.5) \quad \begin{aligned} \Upsilon_{\check{\mathcal{O}}}: \{ \mathcal{L}_{\tau} \mid \tau \in \text{PBP}_{\star}^{\text{ext}}(\check{\mathcal{O}}) \text{ s.t. } \mathcal{L}_{\tau}^{+} \neq 0 \} &\rightarrow \mathbb{Z}[\text{MYD}] \times \mathbb{Z}[\text{MYD}] \\ \mathcal{L}_{\tau} &\mapsto (\mathcal{L}_{\tau}^{+}, \mathcal{L}_{\tau}^{-}) \end{aligned}$$

is injective.

(b) When  $\mathbf{r}_1(\check{\mathcal{O}}) > \mathbf{r}_3(\check{\mathcal{O}})$ , the maps

$$\begin{aligned} \Upsilon_{\check{\mathcal{O}}}^{+}: \{ \mathcal{L}_{\tau} \mid \tau \in \text{PBP}_{\star}^{\text{ext}}(\check{\mathcal{O}}) \text{ s.t. } \mathcal{L}_{\tau}^{+} \neq 0 \} &\rightarrow \{ \mathcal{L}_{\tau}^{+} \} \\ \mathcal{L}_{\tau} &\mapsto \mathcal{L}_{\tau}^{+} \\ \Upsilon_{\check{\mathcal{O}}}^{-}: \{ \mathcal{L}_{\tau} \mid \tau \in \text{PBP}_{\star}^{\text{ext}}(\check{\mathcal{O}}) \text{ s.t. } \mathcal{L}_{\tau}^{-} \neq 0 \} &\rightarrow \{ \mathcal{L}_{\tau}^{-} \} \\ \mathcal{L}_{\tau} &\mapsto \mathcal{L}_{\tau}^{-} \end{aligned}$$

are injective.

(c) When  $\mathbf{r}_1(\check{\mathcal{O}}) > \mathbf{r}_3(\check{\mathcal{O}})$ , and  $x_{\tau} = d$ . Then there is  $\mathcal{E} \in \text{MYD}$  with non-zero coefficient in  $\mathcal{L}_{\tau}^{-}$  such that  $\mathcal{E} \equiv (1, 0)$ .

All the claims for  $\star \in \{C^*, D^*\}$  in the above lemmas are straight forward to verify. We will focus on the non-quaternionic cases in the next two sections.

**12.2. The initial cases.** Suppose  $\mathbf{c}_1(\mathcal{O}) = 0$ . Then the group  $G_{\mathbb{C}}$  is the trivial group, everything are clear.

We now assume  $\mathbf{c}_1(\mathcal{O}) > 0$  and  $\mathbf{c}_2(\mathcal{O}) = 0$ .

Suppose  $\star \in \{C, \tilde{C}, D^*\}$ . There there is  $k \in \mathbb{N}^+$  such that  $\mathcal{O} = (1^{2k})_{\star}$ , and  $\check{\mathcal{O}}$  is the regular nilpotent orbit in  $\check{\mathfrak{g}}$ . Now  $\text{PBP}_{\star}^{\text{ext}}(\check{\mathcal{O}})$  has only one element, say  $\tau_0$ , which maps to  $\mathcal{L}_{\tau_0} = (1^{(k,k)})_{\star}$ .

Suppose  $\star \in \{B, D, C^*\}$ . There is  $k \in \mathbb{N}^+$  such that  $\mathcal{O}$  and  $\check{\mathcal{O}}$  are given by Lemma 11.4. When  $\star \in \{B, D\}$ ,

$$\mathcal{L}_{\tau} = (1^{(p_{\tau}, (-1)^{\varepsilon_{\tau}} q_{\tau})})_{\star} \quad \text{for all } \tau \in \text{PBP}_{\star}^{\text{ext}}(\check{\mathcal{O}}).$$

Thanks to Lemma 11.4, it is easy to see that

$$\begin{aligned} \text{PBP}_{\star}^{\text{ext}}(\check{\mathcal{O}}) \times \mathbb{Z}/2\mathbb{Z} &\rightarrow \text{MYD}_{\star}(\mathcal{O}) \\ (\tau, \epsilon) &\mapsto \mathcal{L}_{\tau} \otimes (\epsilon, \epsilon) \end{aligned}$$

is a bijection.

When  $\star = C^*$ , for  $\tau \in \text{PBP}_{\star}^{\text{ext}}(\check{\mathcal{O}})$ ,

$$\mathcal{L}_{\tau} = (1^{(p_{\tau}, q_{\tau})})_{\star} \quad \text{for all } \tau \in \text{PBP}_{\star}^{\text{ext}}(\check{\mathcal{O}}).$$

Now

$$\text{PBP}_{\star}^{\text{ext}}(\check{\mathcal{O}}) \rightarrow \text{MYD}_{\star}(\mathcal{O}), \quad \tau \mapsto \mathcal{L}_{\tau}$$

is a bijection by Lemma 11.4.

In all the cases, the orbit  $\check{\mathcal{O}}$  is quasi-distinguished and all the lemmas in Section 12.1 are clear now.

**12.3. The general case for  $\star \in \{C, \tilde{C}\}$ .** We now assume  $\mathbf{c}_2(\mathcal{O}) > 0$  and retain the notation in Lemma 12.3.

For  $\sum_{j=1}^b m_i \mathcal{E}_i \in \mathbb{Z}[\text{MYD}_{\star}]$  with  $m_j > 0$  and  $\mathcal{E}_i \in \text{MYD}_{\star}$ , we define

$${}^d\text{Sign}\left(\sum_{j=1}^b m_i \mathcal{E}_i\right) := \{ \text{Sign}(\mathcal{O}'_j) \mid j = 1, 2, \dots, b \}.$$

where  $\mathcal{O}'_j \in \text{SYD}_{\star}$  is given by  $\mathcal{O}'_j(i) := \mathcal{F} \circ \mathcal{E}_j(i+1)$  for all  $i \in \mathbb{N}^+$ .

**The case when  $\mathbf{r}_1(\check{\mathcal{O}}) > \mathbf{r}_2(\check{\mathcal{O}})$ .**

*Proof of Lemma 12.2.* Since  $\mathcal{L}_{\tau'} \neq 0$ ,  $\mathcal{L}_{\tau} \neq 0$  by (12.1). This proves Lemma 12.2.

*Proof of Lemma 12.3 (a).* Suppose  $\mathcal{L}_{\tau_1} = \mathcal{L}_{\tau_2}$ . By (12.1),  $\{\text{Sign}(\tau'_1)\} = {}^d\text{Sign}(\mathcal{L}_{\tau_1}) = {}^d\text{Sign}(\mathcal{L}_{\tau_2}) = \{\text{Sign}(\tau'_2)\}$ . In particular,  $\text{Sign}(\tau'_1) = \text{Sign}(\tau'_2)$  and the integer “ $y$ ” in (12.1) are the same for  $\tau_1$  and  $\tau_2$ . We get  $\mathcal{L}_{\tau'_1} \otimes (\varepsilon_{\varphi_1}) = \mathcal{L}_{\tau'_2} \otimes (\varepsilon_{\varphi_2})$ . So  $\varepsilon_{\varphi_1} = \varepsilon_{\varphi_2}$  and  $\mathcal{L}_{\tau'_1} = \mathcal{L}_{\tau'_2}$  by Lemma 12.4 (a).

When  $\check{\mathcal{O}}$  is weakly-distinguished,  $\check{\mathcal{O}}'$  is also weakly-distinguished and we get  $\tau'_1 = \tau'_2$  by Lemma 12.4 (b). Hence  $\tau_1 = \tau_2$  by Proposition 11.3. This proves Lemma 12.3 (b).

When  $\check{\mathcal{O}}$  is quasi-distinguished,  $\check{\mathcal{O}}'$  is quasi-distinguished  $\mathcal{L}_{\tau'} \in \text{MYD}_{\star}(\check{\mathcal{O}}')$  by Lemma 12.4 (c). Hence  $\mathcal{L}_{\tau} \in \text{MYD}_{\star}(\check{\mathcal{O}})$  by (12.1). This proves Lemma 12.3 (c).

Now we consider the rest case, where  $\mathbf{r}_1(\check{\mathcal{O}}) = \mathbf{r}_2(\check{\mathcal{O}}) > 0$ .

According to Proposition 11.3,  $x_{\tau'} \neq s$ . Thanks to Lemma 12.6 and (12.1), we have  $\mathcal{L}_{\tau'}^+ \neq 0$  and

$$(12.6) \quad {}^d\text{Sign}(\mathcal{L}_{\tau}) = \begin{cases} \{\text{Sign}(\tau') - (1, 0)\} & \text{if } x_{\tau'} \in \{r, c\}, \\ \{\text{Sign}(\tau') - (1, 0), \text{Sign}(\tau') - (0, 1)\} & \text{if } x_{\tau'} = d, \end{cases}$$

In particular,  $\mathcal{L}_{\tau} \neq 0$  and Lemma 12.2 is proven.

Suppose  $\mathcal{L}_{\tau_1} = \mathcal{L}_{\tau_2}$ . By (12.6),  $\text{Sign}(\tau'_1) = \text{Sign}(\tau'_2)$ . Since we have  $\varepsilon_{\varphi_1} = \varepsilon_{\varphi_2} = 0$ , Lemma 12.3 (a) is proven.

Now (12.1) implies  $\mathcal{L}_{\tau'_1}^+ = \mathcal{L}_{\tau'_2}^+$  and  $\mathcal{L}_{\tau'_1}^- = \mathcal{L}_{\tau'_2}^-$ . By the injectivity of  $\Upsilon_{\check{\mathcal{O}}'}$  in Lemma 12.7 (a), we get  $\mathcal{L}_{\tau'_1} = \mathcal{L}_{\tau'_2}$ . Suppose  $\check{\mathcal{O}}$  is weakly-distinguished, then  $\check{\mathcal{O}}'$  is weakly-distinguished. So  $\tau'_1 = \tau'_2$  by Lemma 12.4 (b). Now Proposition 11.3 implies  $\tau_1 = \tau_2$ , which proves Lemma 12.3 (b).

Note that  $\check{\mathcal{O}}$  is not quasi-distinguished, Lemma 12.3 (c) is vacant.

**12.4. The general case for  $\star \in \{B, D\}$ .** To ease the following discussion, we adopt the following convention. Suppose  $\mathcal{A} \in \mathbb{Z}[\text{MYD}]$ . We write  $\mathcal{A} \triangleright (p_0, q_0)$  if  $\mathcal{E} \supseteq (p_0, q_0)$  for all  $\mathcal{E} \in \text{MYD}$  with non-zero coefficient in  $\mathcal{A}$ , and write  $\mathcal{A} \sqsupseteq (p_0, q_0)$  if there is an  $\mathcal{E} \in \text{MYD}$  with non-zero coefficient in  $\mathcal{A}$  such that  $\mathcal{E} \supseteq (p_0, q_0)$ .

We now assume  $\mathbf{c}_2(\check{\mathcal{O}}) > 0$  and retain the notation in Lemma 12.4, 12.6 and 12.7.

We first consider the case when  $\mathbf{r}_2(\check{\mathcal{O}}) > \mathbf{r}_3(\check{\mathcal{O}})$ . Lemma 12.2, i.e.  $\mathcal{L}_{\tau} \neq 0$ , follows from (12.2) directly.

Suppose  $\mathcal{L}_{\tau_1} \otimes (\epsilon_1, \epsilon_1) = \mathcal{L}_{\tau_2} \otimes (\epsilon_2, \epsilon_2)$ . By (12.3),  $\mathcal{L}_{(\tau_1)_t} \otimes (\epsilon_1, \epsilon_1) = \mathcal{L}_{(\tau_2)_t} \otimes (\epsilon_2, \epsilon_2)$ . By the result in the initial case, we get  $\epsilon_1 = \epsilon_2$  and  $\varepsilon_{\tau_1} = \varepsilon_{(\tau_1)_t} = \varepsilon_{(\tau_2)_t} = \varepsilon_{\tau_2}$ . This proves Lemma 12.4 (a).

Now assume  $\check{\mathcal{O}}$  is weakly-distinguished in addition. Then  $\check{\mathcal{O}}'$  is also weakly-distinguished. We get  $\mathcal{L}_{\tau'_1} = \mathcal{L}_{\tau'_2}$  by (12.3) and so  $\tau'_1 = \tau'_2$ . By Corollary 11.7, we conclude that  $\tau_1 = \tau_2$ . This proves Lemma 12.4 (b).

Suppose  $\check{\mathcal{O}}$  is quasi-distinguished. Then  $\mathcal{L}_{\tau} \in \text{MYD}_{\star}$  since  $\mathcal{L}_{\tau'} \in \text{MYD}_{\star'}$  by the quasi-distinguishedness of  $\check{\mathcal{O}}'$ . This proves Lemma 12.4 (c).

Using (12.3), all the claims in Lemma 12.6 and 12.7 follows from that of  $\check{\mathcal{O}}_t$ , see Section 11.2. (Note that we always have  $\mathbf{r}_1(\check{\mathcal{O}}) > \mathbf{r}_3(\check{\mathcal{O}})$ . So Lemma 12.6 (b) is not vacant.)

We now assume that  $\mathbf{r}_2(\check{\mathcal{O}}) = \mathbf{r}_3(\check{\mathcal{O}})$ .

Let  $\tau'' := (\tau'', \varphi'') := \nabla^2(\tau)$ . By Lemma 11.5,  $x_{\tau''} \neq s$ .

**Proof of Lemma 12.2.** When  $\text{Sign}(\tau_t) \geq (0, 1)$ , the first term in (12.4) does not vanish since  $\mathcal{L}_{\tau''}^+ \neq 0$  by Lemma 12.6. When  $\text{Sign}(\tau_t) \not\geq (0, 1)$ ,  $\text{Sign}(\tau_t) = (2k, 0)$  and  $\mathcal{P}_{\tau_t}^{-1}(\{s, c\}) = \emptyset$ . Hence  $x_{\tau''} = d$  by Lemma 11.5. Now  $\mathcal{L}_{\tau''}^- \neq 0$  by Lemma 12.6 and the second term in (12.4) does not vanish. This proves Lemma 12.2

**Proof of Lemma 12.6.** It is clear that  $\mathcal{L}_{\tau}^- = 0$  when  $x_{\tau} \in \{s, r, c\}$  because of the twist  $\otimes(0, 1)$  in Equation (12.4).

(i) Suppose  $x_{\tau} = s$ . We have  $\text{Sign}(\tau_t) = (0, 2k)$  where  $2k = |\check{\mathcal{O}}_t|$ . Therefore, only the first term in (12.4) is non-zero and  $\mathcal{E}(1) = (B, 0, -(2k - 1))$  for every  $\mathcal{E} \in \text{MYD}_{\star}$  with non-zero coefficient in  $\mathcal{L}_{\tau}$ . Hence  $\mathcal{L}_{\tau}^+ = 0$ .

(ii) Suppose  $x_{\tau} \in \{r, c\}$ . If  $\text{Sign}(\tau_t) > (1, 1)$ , the first term in (12.4) is non-zero and  $\mathcal{L}_{\tau} \supseteq (1, 0)$ . If  $\text{Sign}(\tau_t) \not> (1, 1)$ , then  $\mathcal{P}_{\tau_t}^{-1}(\{s, c\}) = \emptyset$ ,  $\text{Sign}(\tau_t) = (2k, 0)$  and  $x_{\tau''} = d$  by Lemma 11.5. Now the second term of (12.4) is non-zero and  $\mathcal{T}_{\tau}^- > (1, 0)$ . In both cases, we conclude that  $\mathcal{L}_{\tau}^+ \neq 0$ .

(iii) Suppose  $x_{\tau} = d$ . If  $\text{Sign}(\tau_t) > (1, 2)$ , then the first term in (12.4) is non-zero and so  $\mathcal{L}_{\tau} \supseteq (1, 1)$ . Hence  $\mathcal{L}_{\tau}^+ \neq 0$  and  $\mathcal{L}_{\tau}^- \neq 0$ . If  $\text{Sign}(\tau_t) \not> (1, 2)$ , then  $\text{Sign}(\tau_t) = (2k - 1, 1)$ <sup>1</sup> and  $x_{\tau''} = d$  by Lemma 11.5. So the both terms in (12.4) are non-zero, which leads to the conclusion.

**Proof of Lemma 12.4 (a).** The following proof only depends on Lemma 12.6. Suppose  $\mathcal{L}' = \mathcal{L}_{\tau} \otimes (\epsilon, \epsilon)$ . Let

$$\begin{aligned} \mathcal{L}'^+ &:= \Lambda_{(1,0)}(\mathcal{L}'), & \mathcal{L}'^- &:= \Lambda_{(0,1)}(\mathcal{L}'), \\ \tilde{\mathcal{L}}'^+ &:= \Lambda_{(1,0)}(\mathcal{L}' \otimes (1, 1)), \text{ and} & \tilde{\mathcal{L}}'^- &:= \Lambda_{(0,1)}(\mathcal{L}' \otimes (1, 1)). \end{aligned}$$

Note that  $\mathbf{c}_1(\mathcal{O}) - \mathbf{c}_2(\mathcal{O}) > 0$ . So  $\mathcal{L}_{\tau}^+$ ,  $\mathcal{L}_{\tau}^-$ ,  $\tilde{\mathcal{L}}'^+$  and  $\tilde{\mathcal{L}}'^-$  can not all vanish. Using Lemma 12.6, we have the following criteria for  $(\epsilon_{\tau}, \epsilon)$ :

$$\begin{aligned} (\epsilon_{\tau}, \epsilon) = (0, 0) &\Leftrightarrow \begin{cases} \mathcal{L}'^+ \neq 0 \\ \mathcal{L}'^- \neq 0 \end{cases} ; \\ (\epsilon_{\tau}, \epsilon) = (0, 1) &\Leftrightarrow \begin{cases} \tilde{\mathcal{L}}'^+ \neq 0 \\ \tilde{\mathcal{L}}'^- \neq 0 \end{cases} ; \\ (\epsilon_{\tau}, \epsilon) = (1, 0) &\Leftrightarrow \begin{cases} \mathcal{L}'^+ \neq 0 \\ \mathcal{L}'^- = 0 \end{cases} \quad \text{or} \quad \begin{cases} \tilde{\mathcal{L}}'^+ = 0 \\ \tilde{\mathcal{L}}'^- \neq 0 \end{cases} ; \\ (\epsilon_{\tau}, \epsilon) = (1, 1) &\Leftrightarrow \begin{cases} \tilde{\mathcal{L}}'^+ \neq 0 \\ \tilde{\mathcal{L}}'^- = 0 \end{cases} \quad \text{or} \quad \begin{cases} \mathcal{L}'^+ = 0 \\ \mathcal{L}'^- \neq 0 \end{cases} . \end{aligned}$$

This implies Lemma 12.4 (a).

**Proof of Lemma 12.4 (b).** First note that  $\mathbf{r}_1(\check{\mathcal{O}}'') = \mathbf{r}_3(\check{\mathcal{O}}) = \mathbf{r}_2(\check{\mathcal{O}}) > \mathbf{r}_5(\check{\mathcal{O}}) = \mathbf{r}_3(\check{\mathcal{O}}'')$ .

Suppose that  $\mathcal{L}_{\tau_i} \supseteq (0, 1)$  or  $\mathcal{L}_{\tau_i} \supseteq (0, -1)$ . The first term in (12.4) for both  $\tau_1$  and  $\tau_2$  must be non-zero and equal. This implies  $\mathcal{L}_{\tau_1}^+ = \mathcal{L}_{\tau_2}^+$ . By Lemma 12.6 (b) and Lemma 12.4 (b),  $\mathcal{L}_{\tau_1} = \mathcal{L}_{\tau_2}$  and  $\tau_1'' = \tau_2''$ . Since  $\text{Sign}(\tau_1) = \text{Sign}(\tau_2)$ , we get  $\tau_1 = \tau_2$  by Lemma 11.5.

Now consider the case when  $\mathcal{L}_{\tau_i} \not\supseteq (0, 1)$  and  $\mathcal{L}_{\tau_i} \not\supseteq (0, -1)$ . In particular,  $x_{\tau} \neq d$ .

By (12.4), we conclude that  $\text{Sign}((\tau_i)_t) \in \{(2k, 0), (2k - 1, 1)\}$ .

We consider case by case according to the set  $\{\text{Sign}((\tau_i)_t) \mid i = 1, 2\}$ :

<sup>1</sup> $\tau_t = d$  if it has length 1.

(i) Suppose  $\{\text{Sign}(\tau_{it}) \mid i = 1, 2\} = \{(2k-1, 1)\}$ . We have  $\mathcal{L}_{\tau_1'}^+ = \mathcal{L}_{\tau_2'}^+$ , and deduces  $\tau_1'' = \tau_2''$ .

(ii) Suppose  $\{\text{Sign}(\tau_{it}) \mid i = 1, 2\} = \{(2k, 0)\}$ . We have  $\mathcal{L}_{\tau_1'}^- = \mathcal{L}_{\tau_2'}^-$ , and deduces  $\tau_1'' = \tau_2''$  by Lemma 12.6 (b) and Lemma 12.4 (b).

(iii) Without loss of generality, we assume that  $\text{Sign}(\tau_{1t}) = (2k-1, 1)$  and  $\text{Sign}(\tau_{2t}) = (2k, 0)$ . By (12.4), we get

$$\mathcal{L}_{\tau_1'}^+ \cdot (0, 0) = \boxtimes(\mathcal{L}_{\tau_2'}^- \cdot (0, 0)),$$

which is contradict to Lemma 12.6 (c).

We finished the proof of Lemma 12.4 (b).

Lemma 12.4 (c) is vacant in the current case.

**Proof of Lemma 12.7 (b).** Note that the condition  $\mathbf{r}_1(\check{\mathcal{O}}) > \mathbf{r}_3(\check{\mathcal{O}}) = \mathbf{r}_2(\check{\mathcal{O}})$  implies  $2k = |\check{\mathcal{O}}_t| \geq 4$ .

We first prove the injectivity of  $\Upsilon_{\check{\mathcal{O}}}^+$ . Note that  $\mathcal{L}_{\tau} \supseteq (2, 0)$  is equivalent to  $\mathcal{L}_{\tau}^+ \supseteq (1, 0)$ . We clearly have the following bijection

$$\{\mathcal{L}_{\tau} \mid \tau \in \text{PBP}_{\star}^{\text{ext}}(\check{\mathcal{O}}), \mathcal{L}_{\tau} \supseteq (2, 0)\} \rightarrow \{\mathcal{L}_{\tau}^+ \mid \tau \in \text{PBP}_{\star}^{\text{ext}}(\check{\mathcal{O}}), \mathcal{L}_{\tau}^+ \supseteq (1, 0)\},$$

since  $\mathcal{L}_{\tau} \triangleleft (1, 0)$  for each element in the domain by (12.4).

Now we consider the set  $\{\mathcal{L}_{\tau} \mid \tau \in \text{PBP}_{\star}^{\text{ext}}(\check{\mathcal{O}}), \mathcal{L}_{\tau}^+ \neq 0, \mathcal{L}_{\tau} \not\supseteq (2, 0)\}$ . Let  $\mathcal{L}_{\tau_1}$  and  $\mathcal{L}_{\tau_2}$  be two elements in this set such that  $\mathcal{L}_{\tau_1}^+ = \mathcal{L}_{\tau_2}^+$ .

By (12.4), we have  $\text{Sign}(\tau_{it}) = (1, 2k-1)$  and the first term of (12.4) non-vanish.

Note that  $\mathcal{E}(1) = (B, 2k-2, (-1)^{\varepsilon_{\tau_1}} 1)$  for each  $\mathcal{E} \in \text{MYD}$  having non-zero coefficient in the first term of (12.4). So  $x_{\tau_1} = x_{\tau_2}$ . Comparing the first term of (12.4) gives  $\mathcal{L}_{\tau_1'}^+ = \mathcal{L}_{\tau_2'}^+$ . Note that  $\check{\mathcal{O}}''$  is weakly-distinguished and  $\mathbf{r}_1(\check{\mathcal{O}}'') > \mathbf{r}_3(\check{\mathcal{O}}'')$ , we deduce that  $\mathcal{L}_{\tau_1''} = \mathcal{L}_{\tau_2''}$  by the injectivity of  $\Upsilon_{\check{\mathcal{O}}''}^+$ . Now we conclude that  $\mathcal{L}_{\tau_1} = \mathcal{L}_{\tau_2}$  by (12.4) and finished the proof of the injectivity of  $\Upsilon_{\check{\mathcal{O}}}^+$ .

The injectivity of  $\Upsilon_{\check{\mathcal{O}}}^-$  is proved similarly.

Note that  $\mathcal{L}_{\tau} \supseteq (0, 2)$  is equivalent to  $\mathcal{L}_{\tau}^- \supseteq (0, 1)$ . We get the bijection

$$\{\mathcal{L}_{\tau} \mid \tau \in \text{PBP}_{\star}^{\text{ext}}(\check{\mathcal{O}}), \mathcal{L}_{\tau} \supseteq (0, 2)\} \rightarrow \{\mathcal{L}_{\tau}^- \mid \tau \in \text{PBP}_{\star}^{\text{ext}}(\check{\mathcal{O}}), \mathcal{L}_{\tau}^- \supseteq (0, 1)\}.$$

Now we consider the set  $\{\mathcal{L}_{\tau} \mid \tau \in \text{PBP}_{\star}^{\text{ext}}(\check{\mathcal{O}}), \mathcal{L}_{\tau}^- \neq 0, \mathcal{L}_{\tau} \not\supseteq (0, 2)\}$ . Let  $\mathcal{L}_{\tau_1}$  and  $\mathcal{L}_{\tau_2}$  be two elements in it such that  $\mathcal{L}_{\tau_1}^- = \mathcal{L}_{\tau_2}^-$ . By (12.4), we have  $\text{Sign}((\tau_i)_t) \in \{(2k-1, 1), (2k-2, 2)\}$ . We consider case by case according to the set  $\{\text{Sign}((\tau_i)_t) \mid i = 1, 2\}$ :

(i) Suppose  $\{\text{Sign}(\tau_{it}) \mid i = 1, 2\} = \{(2k-1, 1)\}$ . We have  $\mathcal{L}_{\tau_1'}^- = \mathcal{L}_{\tau_2'}^-$ , and so  $\mathcal{L}_{\tau_1''} = \mathcal{L}_{\tau_2''}$  by the injectivity of  $\Upsilon_{\check{\mathcal{O}}''}^-$ .

(ii) Suppose  $\{\text{Sign}(\tau_{it}) \mid i = 1, 2\} = \{(2k-2, 2)\}$ . We get  $\mathcal{L}_{\tau_1'}^+ = \mathcal{L}_{\tau_2'}^+$ , and so  $\mathcal{L}_{\tau_1''} = \mathcal{L}_{\tau_2''}$  by the injectivity of  $\Upsilon_{\check{\mathcal{O}}''}^+$ .

(iii) Without loss of generality, we assume that  $\text{Sign}(\tau_{1t}) = (2k-1, 1)$  and  $\text{Sign}(\tau_{2t}) = (2k-2, 2)$ . But this will leads to  $\boxtimes(\mathcal{L}_{\tau_1'}^- \cdot (0, 0)) = \mathcal{L}_{\tau_2'}^+ \cdot (0, 0)$  which is contradict to Lemma 12.7 (c).

Now we conclude that  $\mathcal{L}_{\tau_1} = \mathcal{L}_{\tau_2}$  by (12.4) and finished the proof of the injectivity of  $\Upsilon_{\check{\mathcal{O}}}^-$ .

**Proof of Lemma 12.7 (c).** Note that we have  $k \geq 2$  and  $x_{\tau} = d$  under the stated condition. Suppose  $\text{Sign}(\tau_t) > (1, 2)$ , the first term of (12.4) is non-vanish.

Otherwise,  $\text{Sign}(\tau_t) = (2k-1, 1) \geq (3, 1)$ . Since  $\mathcal{L}_{\tau}^- \neq 0$ , the second term of (12.4) must non-vanish.

In all the cases,  $\mathcal{L}_{\tau} \supseteq (1, 1)$  and so  $\mathcal{L}_{\tau}^- \supseteq (1, 0)$ .



**Proof of Lemma 12.7 (a).** Suppose  $\mathbf{r}_1(\check{\mathcal{O}}) > \mathbf{r}_2(\check{\mathcal{O}}) = \mathbf{r}_3(\check{\mathcal{O}})$ . The injectivity follows from the injectivity of  $\Upsilon_{\check{\mathcal{O}}}^+$ .

It left to consider the case when  $\mathbf{r}_1(\check{\mathcal{O}}) = \mathbf{r}_2(\check{\mathcal{O}})$ . Note that we have  $k = 1$  and  $\mathbf{r}_1(\check{\mathcal{O}}'') > \mathbf{r}_3(\check{\mathcal{O}}'')$ .

Suppose  $\Upsilon_{\check{\mathcal{O}}}(\mathcal{L}_{\tau_1}) = \Upsilon_{\check{\mathcal{O}}}(\mathcal{L}_{\tau_2})$ .

When  $\mathcal{L}_{\tau_i}^- \neq 0$ , we have  $x_{\tau_1} = x_{\tau_2} = d$  and  $\mathcal{L}_{\tau_1}^+ = \mathcal{L}_{\tau_2}^+$  by (12.4).

Suppose  $\mathcal{L}_{\tau_i}^- = 0$ . By the similar argument in the proof of Lemma 12.4 (b), we conclude that there are only two possibilities:

- $\mathcal{L}_{\tau_1}^+ = \mathcal{L}_{\tau_2}^+$  and  $x_{\tau_1} = x_{\tau_2} = c$ ;
- $\mathcal{L}_{\tau_1}^- = \mathcal{L}_{\tau_2}^-$  and  $x_{\tau_1} = x_{\tau_2} = r$ .

In all the cases, we get  $\mathcal{L}_{\tau_1} = \mathcal{L}_{\tau_2}$  by the injectivity of  $\Upsilon_{\check{\mathcal{O}}}^+$  or  $\Upsilon_{\check{\mathcal{O}}}^-$ . Hence  $\mathcal{L}_{\tau_1} = \mathcal{L}_{\tau_2}$  by (12.4).

13.

#### 14. COMBINATORICS

**Definition 14.1.** The orbit  $\mathcal{O} \in \text{Nil}(\mathfrak{g}_s)$  is good for  $\nabla_s^s$  if it is contained in the either

$$p' + q' - |\nabla_{\text{naive}}(\mathcal{O})| = 0,$$

or

$$p' + q' - |\nabla_{\text{naive}}(\mathcal{O})| > 0 \quad \text{and} \quad \mathbf{c}_1(\mathcal{O}) = \mathbf{c}_2(\mathcal{O}).$$

There is a totally complex polarization  $\mathbf{W} = \mathcal{X} \oplus \mathcal{Y}$  where  $\mathcal{X}$  and  $\mathcal{Y}$  are the  $+\mathbf{i}$  and  $-\mathbf{i}$  eigenspaces of  $L_{\mathbf{W}}$  respectively. We have a Cartan decomposition:

$$G_{\mathbf{W}} = \text{Sp}(W) = K_{\mathbf{W}} \times S_{\mathbf{W}}.$$

where  $S_{\mathbf{W}} := \{ \exp(X) \mid X \in \mathfrak{g}_{\mathbf{W}}^J, XL_{\mathbf{W}} + L_{\mathbf{W}}X = 0 \}$ .

Note that the first condition in ?? implies that the space  $\omega_{\mathbf{V}, \mathbf{V}'}^{\mathcal{X}}$  is one-dimensional. If  $G$  is a nontrivial real symplectic group, a quaternionic symplectic group, or a quaternionic orthogonal group which is not isomorphic to  $O^*(2)$ , then the first condition also implies that  $\tilde{K}$  acts on  $\omega_{\mathbf{V}, \mathbf{V}'}^{\mathcal{X}}$  through the character  $\varsigma_{\mathbf{V}, \mathbf{V}'}|_{\tilde{K}}$ . Similar result holds for  $\tilde{K}'$ . The smooth oscillator representation always exists and is unique up to isomorphism. From now on we fix a smooth oscillator representation  $\omega_{\mathbf{V}, \mathbf{V}'}$  for each rational dual pair  $(\mathbf{V}, \mathbf{V}')$ .

Let

$$\star, \quad \check{\mathcal{O}}, \quad \mathcal{O}, \quad s = (\star, p, q), \quad \star', \quad \check{\mathcal{O}'}, \quad \mathcal{O}', \quad s' = (\star', p', q')$$

be as in Section 3.4. Thus we have that

- $\star'$  is the Howe dual of  $\star$ ;
- the Young diagram  $\check{\mathcal{O}}$  has  $\star$ -good parity, and the Young diagram  $\check{\mathcal{O}'}$  has  $\star'$ -good parity;
- $\check{\mathcal{O}} \neq \emptyset$ , and  $\check{\mathcal{O}'}$  is the dual descent of  $\check{\mathcal{O}}$ ;
- $\mathcal{O}$  and  $\mathcal{O}'$  are respectively the Barbasch-Vogan duals of  $\check{\mathcal{O}}$  and  $\check{\mathcal{O}'}$ ;
- $p + q = |\mathcal{O}|$  and  $p' + q' = |\mathcal{O}'|$ .

In particular,,  $\mathcal{O}$  and  $\mathcal{O}'$  are respectively the Barbasch-Vogan duals of  $\check{\mathcal{O}}$  and  $\check{\mathcal{O}'}$ , and

$$p + q = |\mathcal{O}|, \quad p' + q' = |\mathcal{O}'|,$$

and view  $\mathcal{O}$  as an element of  $\text{Nil}(\mathfrak{g}_s)$ . Similar to (1.7), we define the following set of admissible orbit data in the sum of the Grothedieck groups:

$$\text{AOD}_{\mathcal{O}}(K_{s, \mathbb{C}}) := \bigsqcup_{\check{\mathcal{O}} \in \text{Nil}(\mathfrak{p}_s), \check{\mathcal{O}} \subset \mathcal{O}} \text{AOD}_{\check{\mathcal{O}}}(K_{s, \mathbb{C}}) \subset \mathcal{K}_s(\mathcal{O}) := \bigsqcup_{\check{\mathcal{O}} \in \text{Nil}(\mathfrak{p}_s), \check{\mathcal{O}} \subset \mathcal{O}} \mathcal{K}_s(\check{\mathcal{O}}).$$

For every algebraic character  $\chi$  of  $K_{s,\mathbb{C}}$ , the twisting map

$$\mathcal{K}_s(\mathcal{O}) \rightarrow \mathcal{K}_s(\mathcal{O}), \quad \mathcal{E} \mapsto \mathcal{E} \otimes \chi$$

is obviously defined.

In this section we will prove [Theorem 16.12](#) which gives a formula for the associated character of a certain theta lift in the convergent range.

Throughout this section, we fix a rational dual pair  $(\mathbf{V}, \mathbf{V}')$  and we retain the notation in [Section 15.7](#) and [Section 16.2](#). As in [Section 15.8](#), we also assume that  $\mathfrak{p}$  is the parity of  $\dim \mathbf{V}$  if  $\epsilon = 1$ , and the parity of  $\dim \mathbf{V}'$  if  $\epsilon' = 1$ .

**14.1. An upper bound on the associated character of certain theta lift.** Put

$$\delta_{\star} := \begin{cases} 1, & \text{if } \star \in \{C, \tilde{C}\}; \\ 0, & \text{if } \star = C^*; \\ -1, & \text{if } \star = D; \\ -2, & \text{if } \star = D^*. \end{cases}$$

**Definition 14.2.** A Casselman-Wallach representation of  $G_{s'}$  is convergent for  $\dot{s}$  if it is  $\nu$ -bounded for some  $\nu > 2\nu_{s'} - \dot{p} - \delta_{\star}$ .

Let  $\pi'$  be a Casselman-Wallach representation of  $G_{s'}$  that is convergent for  $\dot{s}$ . Let  $I$  be a subquotient of  $I(\dot{\chi})$ . Consider the integrals

$$(14.1) \quad \begin{aligned} (\pi' \times I) \times (\pi'^{\vee} \times I^{\vee}) &\rightarrow \mathbb{C}, \\ ((u, v), (u', v')) &\mapsto \int_{G_{s'}} \langle g.u, u' \rangle \cdot \langle g.v, v' \rangle dg. \end{aligned}$$

For each  $(-1, 1)$ -space  $\mathbf{V}'''$  of dimension  $\dim \mathbf{V}' - 2$ , by applying [Lemma 15.5](#) twice, we see that

$$(\pi \hat{\otimes} (\omega_{\mathbf{V}''', \mathbf{U}})_{G_{\mathbf{V}'''}})_G \cong ((\pi \hat{\otimes} \omega_{\mathbf{V}, \mathbf{V}'''}_G \hat{\otimes} \omega_{\mathbf{V}''', \mathbf{V}^{\perp}})_{G_{\mathbf{V}'''}})$$

is bounded by  $\vartheta_{\mathbf{V}''', \mathbf{V}^{\perp}}(\vartheta_{\mathbf{V}, \mathbf{V}'''}(\mathcal{O}))$ . Using formulas in [\[25, Theorem 5.2 and 5.6\]](#), one checks that the latter set is contained in the boundary of  $\mathcal{O}^{\perp}$ . Hence

$$\text{Ch}_{\mathcal{O}^{\perp}}(\mathcal{R}_{I_2}(\pi)/\mathcal{R}_{I_1}(\pi)) = 0$$

and the lemma follows.

[Lemma 5.9](#) ???. Note that  $\mathcal{R}_{I_2}(\pi)$  is  $\mathcal{O}^{\perp}$ -bounded by [Proposition 14.4](#), [Theorem 16.14](#) and [\[76, Theorem 1.4\]](#). By the definition in [\(14.6\)](#), we could view  $\mathcal{R}_{I_1}(\pi)$  as a subrepresentation of  $\mathcal{R}_{I_2}(\pi)$  which is also  $\mathcal{O}^{\perp}$ -bounded.

Since  $G$  acts on  $\mathcal{R}_{I_2}(\pi)$  trivially, the natural homomorphism

$$\pi \hat{\otimes} I_3 \cong \pi \hat{\otimes} I_2 / \pi \hat{\otimes} I_1 \longrightarrow \mathcal{R}_{I_2}(\pi) / \mathcal{R}_{I_1}(\pi)$$

descends to a surjective homomorphism

$$(\pi \hat{\otimes} I_3)_G \longrightarrow \mathcal{R}_{I_2}(\pi) / \mathcal{R}_{I_1}(\pi).$$

For each  $(-1, 1)$ -space  $\mathbf{V}'''$  of dimension  $\dim \mathbf{V}' - 2$ , by applying [Lemma 15.5](#) twice, we see that

$$(\pi \hat{\otimes} (\omega_{\mathbf{V}''', \mathbf{U}})_{G_{\mathbf{V}'''}})_G \cong ((\pi \hat{\otimes} \omega_{\mathbf{V}, \mathbf{V}'''}_G \hat{\otimes} \omega_{\mathbf{V}''', \mathbf{V}^{\perp}})_{G_{\mathbf{V}'''}})$$

is bounded by  $\vartheta_{\mathbf{V}''', \mathbf{V}^{\perp}}(\vartheta_{\mathbf{V}, \mathbf{V}'''}(\mathcal{O}))$ . Using formulas in [\[25, Theorem 5.2 and 5.6\]](#), one checks that the latter set is contained in the boundary of  $\mathcal{O}^{\perp}$ . Hence

$$\text{Ch}_{\mathcal{O}^{\perp}}(\mathcal{R}_{I_2}(\pi)/\mathcal{R}_{I_1}(\pi)) = 0$$

and the lemma follows.

**Lemma 14.3.** The integrals in [\(14.1\)](#) are absolutely convergent and the map [\(14.1\)](#) is continuous and multi-linear.

*Proof.* First assume that  $\star \neq D^*$ . Lemma 5.9, Lemma 5.10 and Proposition 4.11 implies that  $I$  is  $\dot{p} + \delta_\star$ -bounded. Note that  $\Psi_{\dot{s}}|_{G_{s'}} = \Psi_{s'}$ , and the lemma then easily follow by Lemmas 4.4.

Now we assume that  $\star = D^*$ . Let  $\mathbb{H}$  denote the quaternion division algebra of the Hamiltonians. As usual, identify  $X_{\dot{s}}$  with  $\mathbb{H}^k$ , and hence  $M_{\dot{s}}$  is identified with  $\mathrm{GL}_k(\mathbb{H})$ . Write  $B_k(\mathbb{H})$  for the standard minimal parabolic subgroup of  $\mathrm{GL}_k(\mathbb{H})$  consisting of the upper triangular matrices. Then  $(\mathbb{H}^\times)^k$  is a Levi subgroup of  $B_k(\mathbb{H})$ , and  $B_k(\mathbb{H}) \ltimes N_{\dot{s}}$  is a minima parabolic subgroup of  $G_{\dot{s}}$ .

Put

$$\nu_0 := (3 - 2k, 7 - 2k, \dots, 2k - 1) \in \mathbb{R}^k.$$

It determines a positive character  $\chi_0 : B_k(\mathbb{H}) \ltimes N_{\dot{s}} \rightarrow \mathbb{C}^\times$  whose restriction to  $(\mathbb{H}^\times)^k$  equals

$$|\cdot|_{\mathbb{H}}^{3-2k} \otimes |\cdot|_{\mathbb{H}}^{7-2k} \otimes \dots \otimes |\cdot|_{\mathbb{H}}^{2k-1},$$

where  $|\cdot|_{\mathbb{H}} : \mathbb{H}^\times \rightarrow \mathbb{C}^\times$  stands for the quaternion absolute value that extends the absolute value on  $\mathbb{R}^\times$ .

Note that  $I(\dot{\chi})$  is a subrepresentation of the spherical principal series

$$I(\chi_0) := \mathrm{Ind}_{B_k(\mathbb{H}) \ltimes N_{\dot{s}}}^{G_{\dot{s}}} \chi_0.$$

The representations  $I(\chi_0)$  and  $I(\chi_0^{-1})$  are contragredients of each other with the invariant paring

$$\langle \cdot, \cdot \rangle : I(\chi_0) \times I(\chi_0^{-1}) \rightarrow \mathbb{C}, \quad (f, f') \mapsto \int_{K_{\dot{s}}} f(g) \cdot f'(g) dg,$$

where the Haar measure  $dg$  is normalized so that  $K_{\dot{s}}$  has total volume 1. Define the elementary spherical function  $\Xi_{\nu_0}$  on  $G_{\dot{s}}$  by

$$\Xi_{\nu_0}(g) := \langle g \cdot f_0, f'_0 \rangle, \quad g \in G_{\dot{s}},$$

where  $f_0 \in I(\chi_0)$  is the normalized spherical vector so that  $f_0|_{K_{\dot{s}}} = 1$ , and likewise  $f'_0 \in I(\chi_0^{-1})$  is the normalized spherical vector so that  $f'_0|_{K_{\dot{s}}} = 1$ .

It is easy to see that

$$|\langle g \cdot f, f' \rangle| \leq \Xi_{\nu_0}(g) \cdot |(f|_{K_{\dot{s}}})|_\infty \cdot |(f'|_{K_{\dot{s}}})|_\infty \quad (|\cdot|_\infty \text{ indicates the super-norm}),$$

for all  $g \in G_{\dot{s}}$ ,  $f \in I(\chi_0)$  and  $f' \in I(\chi_0^{-1})$ . Therefore, there exist continuous seminorms  $|\cdot|_I$  on  $I$  and  $|\cdot|_{I^\vee}$  on  $I^\vee$  such that

$$|\langle g \cdot v, v' \rangle| \leq \Xi_{\nu_0}(g) \cdot |v|_I \cdot |v'|_{I^\vee}$$

for all  $g \in G_{\dot{s}}$ ,  $v \in I$  and  $v' \in I^\vee$ .

Suppose that  $\pi'$  is  $\nu$ -bounded for some  $\nu > 2\nu_{s'} - \dot{p} - \delta_\star$ . Using the well-known estimate of the elementary spherical functions ([86, Theorem 4.5.3 and Lemma 3.6.7]), as well as the integral formula for  $G_{s'}$  under the Cartan decomposition ([86, Lemma 2.4.2]), it is routine to check that the function

$$\Psi_{s'}^\nu \cdot (\Xi_{\nu_0})|_{G_{s'}}$$

is integrable with respect to the Haar measure. This implies the lemma as before.  $\square$

**14.2. The representation  $\pi' * I(\dot{\chi})$ .** In this subsection, we further assume that

$$|s'| \leq \dot{p}.$$

Put

$$\dot{s}_0 := (\star, \dot{p} - |s'|, \dot{p} - |s'|),$$

which is also a classical signature. As in (??), we have a complete polarization

$$(14.2) \quad V_{\dot{s}_0} = X_{\dot{s}_0} \oplus Y_{\dot{s}_0},$$

such that  $X_{\dot{s}_0}$  and  $Y_{\dot{s}_0}$  are  $J_{\dot{s}_0}$ -stable and totally isotropic, and  $Y_{\dot{s}_0} = L_{\dot{s}_0}(X_{\dot{s}_0})$ .

View  $V_{\dot{s}_0}$  as a subspaces of  $V_{s''}$  such that  $(\langle, \rangle_{s''}, J_{s''}, L_{s''})$  extends  $(\langle, \rangle_{\dot{s}_0}, J_{\dot{s}_0}, L_{\dot{s}_0})$ . Likewise, fix a linear embedding

$$(14.3) \quad V_{s'} \rightarrow V_{s''}, \quad v \mapsto v^-$$

such that  $(\langle, \rangle_{s''}, J_{s''}, L_{s''})$  extends  $(-\langle, \rangle_{s'}, J_{s'}, -L_{s'})$  via this embedding. Let  $V_{s'}^-$  denote the image of the embedding (14.3).

Write

$$P_{s''} = M_{s''} \ltimes N_{s''}$$

for the parabolic subgroup of  $G_{s''}$  stabilizing  $X_{\dot{s}_0}$ , where  $M_{s''}$  is the Levi subgroup stabilizing both  $X_{\dot{s}_0}$  and  $Y_{\dot{s}_0}$ , and  $N_{s''}$  is the unipotent radical. We have an obvious homomorphism

$$G_{s'} \times M_{\dot{s}_0} \rightarrow M_{s''},$$

where  $M_{\dot{s}_0}$  is the subgroup of  $G_{\dot{s}_0}$  stabilizing both  $X_{\dot{s}_0}$  and  $Y_{\dot{s}_0}$ , which is a general linear group.

Let  $\dot{\chi} : M_{\dot{s}} \rightarrow \mathbb{C}^\times$  be a character satisfying the following conditions: (5.17)

Write

$$P_{\dot{s}, \dot{s}_0} = M_{\dot{s}, \dot{s}_0} \ltimes N_{\dot{s}, \dot{s}_0}$$

for the parabolic subgroup of  $G_{\dot{s}}$  stabilizing  $X_{\dot{s}_0}$ , where  $M_{\dot{s}, \dot{s}_0}$  is the Levi subgroup stabilizing both  $X_{\dot{s}_0}$  and  $Y_{\dot{s}_0}$ , and  $N_{\dot{s}, \dot{s}_0}$  is the unipotent radical. Let  $\dot{\chi} : M_{\dot{s}} \rightarrow \mathbb{C}^\times$  be a character satisfying the following conditions: (5.17)

$(\langle, \rangle_{s''}, J_{s''}, L_{s''})$ , and  $V_{s'}$  and  $V_{s''}$  are perpendicular to each other under the form  $\langle, \rangle_{\dot{s}}$ . Then we have an orthogonal decomposition

$$V_{\dot{s}} = V_{s'} \oplus V_{s''},$$

and both  $G_{s'}$  and  $G_{s''}$  are identified with subgroups of  $G_{\dot{s}}$ .

$$(14.4) \quad \mathbf{V}^\perp = \mathbf{V}^- \oplus (\mathbf{E}_0 \oplus \mathbf{E}'_0), \quad \mathbf{E} = \mathbf{V}^\Delta \oplus \mathbf{E}_0 \quad \text{and} \quad \mathbf{E}' = \mathbf{V}^\nabla \oplus \mathbf{E}'_0,$$

where  $\mathbf{E}'_0 = L_{\mathbf{V}^\perp}(\mathbf{E}_0)$ ,

$$\mathbf{V}^\Delta := \{(v, v) \in \mathbf{V} \oplus \mathbf{V}^- \mid v \in \mathbf{V}\} \quad \text{and} \quad \mathbf{V}^\nabla := \{(v, -v) \in \mathbf{V} \oplus \mathbf{V}^- \mid v \in \mathbf{V}\}.$$

In particular,  $G := G_{\mathbf{V}}$  is identified with a subgroup of  $G_{\mathbf{V}^\perp}$ . Let  $\mathrm{GL}_{\mathbf{E}_0} := \mathrm{GL}(\mathbf{E}_0)^{J_{\mathbf{V}^\perp}|_{\mathbf{E}_0}}$  and let

$$P_{\mathbf{E}_0} = M_{\mathbf{E}_0} \ltimes N_{\mathbf{E}_0}$$

denote the parabolic subgroup of  $G_{\mathbf{V}^\perp}$  stabilizing  $\mathbf{E}_0$ , where  $N_{\mathbf{E}_0}$  denotes the unipotent radical, and  $M_{\mathbf{E}_0} = G \times \mathrm{GL}_{\mathbf{E}_0}$  is the Levi subgroup stabilizing the first decomposition in (14.4).

Let  $\chi_{\mathbf{E}_0}$  denotes the restriction of  $\chi_{\mathbf{E}}$  to  $\widetilde{\mathrm{GL}}_{\mathbf{E}_0}$ . Then  $\pi \otimes \chi_{\mathbf{E}_0}$ , which is preliminarily a representation of  $\tilde{G} \times \widetilde{\mathrm{GL}}_{\mathbf{E}_0}$ , descends to a representation of  $\widetilde{M}_{\mathbf{E}_0}$ .

The rest of this section is devoted to a proof of the following proposition.

**Proposition 14.4.** *There is an isomorphism*

$$\mathcal{R}_{\mathrm{I}(\chi_{\mathbf{E}})}(\pi) \cong \mathrm{Ind}_{\tilde{P}_{\mathbf{E}_0}}^{\tilde{G}_{\mathbf{V}^\perp}} (\pi \otimes \chi_{\mathbf{E}_0})$$

of representations of  $\tilde{G}_{\mathbf{V}^\perp}$ .

$$p' \leq q'' \quad \text{and} \quad q' \leq p''.$$

Now we assume that  $(\mathbf{V}, J, L)$  is a non-degenerate  $(\epsilon, \epsilon)$ -subspace of  $\mathbf{U}$  so that

$$J = J_{\mathbf{U}}|_{\mathbf{V}} \quad \text{and} \quad L = L_{\mathbf{U}}|_{\mathbf{V}}.$$

Then  $\tilde{G} := \tilde{G}_{\mathbf{V}}$  is naturally a closed subgroup of  $\tilde{G}_{\mathbf{U}}$ . Further assume that

$$\dim \mathbf{V} \leq \dim \mathbf{E}.$$

We are interested in the growth of  $\Xi_{\mathbf{U}}|_{\tilde{G}}$ .

Let  $\pi$  be a  $\mathfrak{p}$ -genuine Casselman-Wallach representation of  $\tilde{G}$ . Further assume that  $\dim^{\circ} \mathbf{V} > 0$  and

$$(14.5) \quad \pi \text{ is } \nu_{\pi}\text{-bounded for some } \nu_{\pi} > 2 - \nu_{\mathbf{U}, \mathbf{V}},$$

where  $\nu_{\mathbf{U}, \mathbf{V}}$  is as in [\(??\)](#).

Let  $\mathcal{J}$  be a subquotient of the degenerate principal series  $I(\chi_{\mathbf{E}})$ .

The orthogonal complement  $\mathbf{V}^{\perp}$  of  $\mathbf{V}$  in  $\mathbf{U}$  is naturally an  $(\epsilon, \epsilon)$ -space with  $J_{\mathbf{V}^{\perp}} := J_{\mathbf{U}}|_{\mathbf{V}^{\perp}}$  and  $L_{\mathbf{V}^{\perp}} := L_{\mathbf{U}}|_{\mathbf{V}^{\perp}}$ . Define

$$(14.6) \quad \mathcal{R}_{\mathcal{J}}(\pi) := \frac{\pi \hat{\otimes} \mathcal{J}}{\text{the left kernel of the bilinear map } (??)}$$

It is a smooth Fréchet representation of  $\tilde{G}_{\mathbf{V}^{\perp}}$  of moderate growth.

Let  $(\mathbf{V}^-, J_{\mathbf{V}^-}, L_{\mathbf{V}^-})$  denote the  $(\epsilon, \epsilon)$ -space which equals  $\mathbf{V}$  as a vector space, and is equipped with the form  $-\langle \cdot, \cdot \rangle_{\mathbf{V}}$ , the conjugate linear map  $J_{\mathbf{V}^-} = J$ , and the linear map  $L_{\mathbf{V}^-} = -L$ . Then we have an obvious identification  $G_{\mathbf{V}^-} = G_{\mathbf{V}}$ . We identify  $\mathbf{V}^-$  with an  $(\epsilon, \epsilon)$ -subspace of  $\mathbf{V}^{\perp}$  and fix a  $J_{\mathbf{U}}$ -stable subspace  $\mathbf{E}_0$  in  $\mathbf{E}$  such that

**14.3. Degenerate principal series and Rallis quotients.** We are in the setting of [Section 16.2](#) and [??](#). Assume that  $\dim^{\circ} \mathbf{V}' > 0$ . Recall  $\mathbf{U} = \mathbf{E} \oplus \mathbf{E}'$  from [\(??\)](#) and assume that

$$(14.7) \quad \dim \mathbf{E} = \dim \mathbf{V}' + \delta, \quad \text{where } \delta := \begin{cases} 1, & \text{if } G_{\mathbf{U}} \text{ is real orthogonal;} \\ -1, & \text{if } G_{\mathbf{U}} \text{ is real symplectic;} \\ 0, & \text{if } G_{\mathbf{U}} \text{ is quaternionic.} \end{cases}$$

The Rallis quotient  $(\omega_{\mathbf{V}', \mathbf{U}})_{\tilde{G}'}$  is an irreducible unitarizable representation of  $\tilde{G}_{\mathbf{U}}$  (cf. [\[46, 72, 89\]](#)). Let  $1_{\mathbf{V}'}$  denote the trivial representation of  $\tilde{G}'$ . Then  $(1_{\mathbf{V}'}, \mathbf{U})$  is in the convergent range.

Let  $\chi_{\mathbf{E}}$  be as in [??](#).

## 15. BOUNDING THE ASSOCIATED CYCLES

Denote by  $\omega_{\mathbf{s}, \mathbf{s}'}^{\text{alg}}$  the  $\mathfrak{h}_{\mathbf{s}, \mathbf{s}'}$ -submodule of  $\omega_{\mathbf{s}, \mathbf{s}'}$  generated by  $\omega_{\mathbf{s}, \mathbf{s}'}^{\mathcal{K}_{\mathbf{s}, \mathbf{s}'}}$ . This is an  $(\mathfrak{g}_{\mathbf{s}}, K_{\mathbf{s}}) \times (\mathfrak{g}_{\mathbf{s}'}, K_{\mathbf{s}'})$ -module. Given a  $(\mathfrak{g}_{\mathbf{s}'}, K_{\mathbf{s}'})$ -module  $\rho'$  of finite length, put

$$\check{\Theta}_{\mathbf{s}}^{\mathbf{s}'}(\rho') := (\omega_{\mathbf{s}, \mathbf{s}'}^{\text{alg}} \otimes \pi')_{\mathfrak{g}_{\mathbf{s}'}, K_{\mathbf{s}'}} \quad (\text{the coinvariant space}).$$

This is a  $(\mathfrak{g}_{\mathbf{s}}, K_{\mathbf{s}})$ -module of finite length.

**15.1. Good descents of nilpotent orbits.** Let  $\mathcal{O} \in \text{Nil}(\mathfrak{g}_s)$ . As in the Introduction, a finite length  $(\mathfrak{g}_s, K_s)$ -module  $\pi$  is said to be  $\mathcal{O}$ -bounded if the associated variety of its annihilator ideal in  $U(\mathfrak{g}_s)$  is contained in the Zariski closure of  $\mathcal{O}$ . When this is the case, we have the associated  $\text{AC}_{\mathcal{O}}(\pi) \in \mathcal{K}_s(\mathcal{O})$  as in the Introduction.

**Lemma 15.1.** *The orbit  $\mathcal{O}$  is contained in the image of the moment map  $\tilde{M}_s$  if and only if*

$$\delta := |\mathbf{s}'| - |\nabla_{\text{naive}}(\mathcal{O})| \geq 0.$$

*When this is the case, the Young diagram of  $\nabla_{s'}^s(\mathcal{O}) \in \text{Nil}(\mathfrak{g}_{s'})$  is obtained from that of  $\nabla_{\text{naive}}(\mathcal{O})$  by adding  $\delta$  boxes in the first column.*

**Definition 15.2.** *The orbit  $\mathcal{O} \in \text{Nil}(\mathfrak{g}_s)$  is good for  $\nabla_{s'}^s$  if either*

$$|\mathbf{s}'| = |\nabla_{\text{naive}}(\mathcal{O})|,$$

*or*

$$|\mathbf{s}'| > |\nabla_{\text{naive}}(\mathcal{O})| \quad \text{and} \quad \mathbf{c}_1(\mathcal{O}) = \mathbf{c}_2(\mathcal{O}).$$

The rest of this section is devoted to a proof of the following theorem.

**Theorem 15.3.** *Suppose that  $\mathcal{O} \in \text{Nil}(\mathfrak{g}_s)$  is good for  $\nabla_{s'}^s$ , and write  $\mathcal{O}' := \nabla_{s'}^s(\mathcal{O}) \in \text{Nil}(\mathfrak{g}_{s'})$ . Let  $\pi'$  be an  $\mathcal{O}'$ -bounded  $(\mathfrak{g}_{s'}, K_{s'})$ -module of finite length. Then  $\check{\Theta}_{s'}^s(\pi')$  is  $\mathcal{O}$ -bounded, and*

$$\text{AC}_{\mathcal{O}}(\check{\Theta}_{s'}^s(\pi')) \leq \check{\vartheta}_{\mathcal{O}'}^{\mathcal{O}}(\text{AC}_{\mathcal{O}'}(\pi')).$$

**15.1.1. Associated characters and associated cycles.** We recall fundamental results of Vogan [83]. Suppose  $\mathcal{O} \in \text{Nil}_{\mathbf{G}}(\mathfrak{g})$  is a nilpotent orbit. A finite length  $(\mathfrak{g}, \tilde{K})$ -module  $\pi$  is said to be  $\mathcal{O}$ -bounded (or bounded by  $\mathcal{O}$ ) if the associated variety of the annihilator ideal  $\text{Ann}(\pi)$  is contained in  $\overline{\mathcal{O}}$ . It follows from [83, Theorem 8.4] that  $\pi$  is  $\mathcal{O}$ -bounded if and only if its associated variety  $\text{AV}(\pi)$  is contained in  $\overline{\mathcal{O}} \cap \mathfrak{p}$ . Let  $\mathcal{M}_{\mathcal{O}}^{\mathbb{P}}(\mathfrak{g}, \tilde{K})$  denote the category of  $\mathfrak{p}$ -genuine  $\mathcal{O}$ -bounded finite length  $(\mathfrak{g}, \tilde{K})$ -modules, and write  $\mathcal{K}_{\mathcal{O}}^{\mathbb{P}}(\mathfrak{g}, \tilde{K})$  for its Grothendieck group. Recall the group  $\mathcal{K}_{\mathcal{O}}^{\mathbb{P}}(\tilde{\mathbf{K}})$  from Section 15.8. From [83, Theorem 2.13], we have a canonical homomorphism

$$\text{Ch}_{\mathcal{O}}: \mathcal{K}_{\mathcal{O}}^{\mathbb{P}}(\mathfrak{g}, \tilde{K}) \longrightarrow \mathcal{K}_{\mathcal{O}}^{\mathbb{P}}(\tilde{\mathbf{K}}).$$

For a  $\mathfrak{p}$ -genuine  $\mathcal{O}$ -bounded  $(\mathfrak{g}, \tilde{K})$ -module  $\pi$  of finite length, we call  $\text{Ch}_{\mathcal{O}}(\pi)$  the associated character of  $\pi$ .

Let  $\mu: \mathcal{K}_{\mathcal{O}}^{\mathbb{P}}(\tilde{\mathbf{K}}) \rightarrow \mathbb{Z}[(\mathcal{O} \cap \mathfrak{p})/\mathbf{K}]$  be the map of taking dimensions of the isotropy representations. Post-composing  $\mu$  with  $\text{Ch}_{\mathcal{O}}$  gives the associated cycle map:

$$\text{AC}_{\mathcal{O}}: \mathcal{M}_{\mathcal{O}}^{\mathbb{P}}(\mathfrak{g}, \tilde{K}) \longrightarrow \mathbb{Z}[(\mathcal{O} \cap \mathfrak{p})/\mathbf{K}].$$

For any  $\pi$  in  $\mathcal{M}_{\mathcal{O}}^{\mathbb{P}}(\mathfrak{g}, \tilde{K})$  and a nilpotent  $\mathbf{K}$ -orbit  $\mathcal{O} \subset \mathcal{O} \cap \mathfrak{p}$ , let  $c_{\mathcal{O}}(\pi)$  denote the multiplicity of  $\mathcal{O}$  in  $\text{AC}_{\mathcal{O}}(\pi)$ . For a  $\mathfrak{p}$ -genuine Casselman-Wallach representation of  $\tilde{G}$ , the notion of  $\mathcal{O}$ -boundedness, and its associated character is defined by using its Harish-Chandra module.

**15.1.2. Algebraic theta lifting.** Write  $\mathcal{V}_{\mathbf{V}, \mathbf{V}'}$  for the subspace of  $\omega_{\mathbf{V}, \mathbf{V}'}$  consisting of all the vectors which are annihilated by some powers of  $\mathcal{X}$ . This is a dense subspace of  $\omega_{\mathbf{V}, \mathbf{V}'}$  and is naturally a  $((\mathfrak{g} \times \mathfrak{g}') \ltimes \mathfrak{h}(W), \tilde{K} \times \tilde{K}')$ -module. Here  $\mathfrak{h}(W)$  denotes the complexified Lie algebra of  $H(W)$ .

**Definition 15.4.** *For each  $\mathfrak{p}$ -genuine  $(\mathfrak{g}', \tilde{K}')$ -module  $\pi'$  of finite length, define*

$$\check{\Theta}_{\mathbf{V}', \mathbf{V}}(\pi') := (\mathcal{V}_{\mathbf{V}, \mathbf{V}'} \otimes \pi')_{\mathfrak{g}', \tilde{K}'}, \quad (\text{the coinvariant space}).$$

For each  $\mathfrak{p}$ -genuine finite length  $(\mathfrak{g}', \tilde{K}')$ -module  $\pi'$ , the  $(\mathfrak{g}, \tilde{K})$ -module  $\check{\Theta}_{\mathbf{V}', \mathbf{V}}(\pi')$ , also abbreviated as  $\check{\Theta}(\pi')$ , is  $\mathfrak{p}$ -genuine and of finite length [40]. Recall the notations in Section 15.7.1, in particular the map  $\vartheta_{\mathbf{V}', \mathbf{V}}$  in (15.12). We have the following estimate of the size of  $\check{\Theta}_{\mathbf{V}', \mathbf{V}}(\pi')$ .

**Lemma 15.5** ([53, Theorem B and Corollary E]). *For each  $\mathfrak{p}$ -genuine  $(\mathfrak{g}', \tilde{K}')$ -module  $\pi'$  of finite length,*

$$\mathrm{AV}(\check{\Theta}(\pi')) \subset M(M'^{-1}(\mathrm{AV}(\pi'))).$$

*Consequently, if  $\pi$  is  $\mathcal{O}'$ -bounded for a nilpotent orbit  $\mathcal{O}' \in \mathrm{Nil}_{\mathbf{G}'}(\mathfrak{g}')$ , then  $\check{\Theta}(\pi')$  is  $\vartheta_{\mathbf{V}', \mathbf{V}}(\mathcal{O}')$ -bounded.*

15.1.3. *The bound in the descent and good generalized descent cases.* We will prove an upper bound of associated characters in the descent and good generalized descent cases.

**Theorem 15.6.** *Let  $\mathcal{O} \in \mathrm{Nil}_{\mathbf{G}}(\mathfrak{g})$  and  $\mathcal{O}' \in \mathrm{Nil}_{\mathbf{G}'}(\mathfrak{g}')$ . Suppose that*

- (a)  *$\mathcal{O}'$  is a descent of  $\mathcal{O}$ , that is,  $\mathcal{O}' = \nabla(\mathcal{O})$ , or*
- (b)  *$\mathcal{O}$  is good for generalized descent (see Definition 15.20) and  $\mathcal{O}' = \nabla_{\mathbf{V}, \mathbf{V}'}^{\mathrm{gen}}(\mathcal{O})$ .*

*Then for every  $\mathfrak{p}$ -genuine  $\mathcal{O}'$ -bounded  $(\mathfrak{g}', \tilde{K}')$ -module  $\pi'$  of finite length,  $\check{\Theta}(\pi')$  is  $\mathcal{O}$ -bounded and*

$$\mathrm{Ch}_{\mathcal{O}} \check{\Theta}(\pi') \leq \vartheta_{\mathcal{O}', \mathcal{O}}(\mathrm{Ch}_{\mathcal{O}'}(\pi')).$$

Suppose  $T \in \mathcal{X}^\circ$  realizes the descent from  $X = M(T) \in \mathcal{O} \in \mathrm{Nil}_{\mathbf{K}}(\mathfrak{p})$  to  $X' = M'(T) \in \mathcal{O}' \in \mathrm{Nil}_{\mathbf{K}'}(\mathfrak{p}')$ . Let  $\alpha: \mathbf{K}_X \rightarrow \mathbf{K}_{X'}$  be the homomorphism as in (15.15).

Let  $\rho'$  be a  $\mathfrak{p}$ -genuine algebraic representation of  $\tilde{\mathbf{K}}_{X'}$ . Then the representation  $\varsigma_{\mathbf{V}, \mathbf{V}'}|_{\tilde{\mathbf{K}}_{X'}} \otimes \rho'$  of  $\tilde{\mathbf{K}}_{X'}$  descends to a representation of  $\mathbf{K}_{X'}$ . Define

$$(15.1) \quad \vartheta_T(\rho') := \varsigma_{\mathbf{V}, \mathbf{V}'}|_{\tilde{\mathbf{K}}_X} \otimes (\varsigma_{\mathbf{V}, \mathbf{V}'}|_{\tilde{\mathbf{K}}_{X'}} \otimes \rho') \circ \alpha,$$

which is a  $\mathfrak{p}$ -genuine algebraic representation of  $\tilde{\mathbf{K}}_X$ .

Clearly  $\vartheta_T$  induces a homomorphism from  $\mathcal{R}^{\mathbb{P}}(\tilde{\mathbf{K}}_{X'})$  to  $\mathcal{R}^{\mathbb{P}}(\tilde{\mathbf{K}}_X)$ . In view of (15.17), we thus have a homomorphism

$$(15.2) \quad \vartheta_{\mathcal{O}', \mathcal{O}}: \mathcal{K}_{\mathcal{O}'}^{\mathbb{P}}(\tilde{\mathbf{K}}') \longrightarrow \mathcal{K}_{\mathcal{O}}^{\mathbb{P}}(\tilde{\mathbf{K}}).$$

This is independent of the choice of  $T$ .

Attached to a rational dual pair  $(\mathbf{V}, \mathbf{V}')$ , there is a distinguished character  $\varsigma_{\mathbf{V}, \mathbf{V}'}$  of  $\tilde{\mathbf{K}} \times \tilde{\mathbf{K}}'$  arising from the oscillator representation, which we shall describe.

When  $G$  is a real symplectic group, let  $\mathcal{X}_{\mathbf{V}}$  denote the  $\mathbf{i}$ -eigenspace of  $L$ . Then  $\tilde{\mathbf{K}}$  is identified with

$$\{ (g, c) \in \mathrm{GL}(\mathcal{X}_{\mathbf{V}}) \times \mathbb{C}^\times \mid \det(g) = c^2 \}.$$

It has a character  $(g, c) \mapsto c$ , which is denoted by  $\det_{\mathcal{X}_{\mathbf{V}}}^{\frac{1}{2}}$ .

When  $G$  is a quaternionic orthogonal group, still let  $\mathcal{X}_{\mathbf{V}}$  denote the  $\mathbf{i}$ -eigenspace of  $L$ . Then  $\tilde{\mathbf{K}} = \mathrm{GL}(\mathcal{X}_{\mathbf{V}})$ . Let  $\det_{\mathcal{X}_{\mathbf{V}}}$  denote its determinant character.

Write  $\mathrm{Sign}(\mathbf{V}') = (n'^+, n'^-)$ . Then the character  $\varsigma_{\mathbf{V}, \mathbf{V}'}|_{\tilde{\mathbf{K}}}$  is given by the following formula:

$$\varsigma_{\mathbf{V}, \mathbf{V}'}|_{\tilde{\mathbf{K}}} := \begin{cases} \left( \det_{\mathcal{X}_{\mathbf{V}}}^{\frac{1}{2}} \right)^{n'^+ - n'^-}, & \text{if } G \text{ is a real symplectic group;} \\ \det_{\mathcal{X}_{\mathbf{V}}}^{\frac{n'^+ - n'^-}{2}}, & \text{if } G \text{ is a quaternionic orthogonal group;} \\ \text{the trivial character,} & \text{otherwise.} \end{cases}$$

The character  $\varsigma_{\mathbf{V}, \mathbf{V}'}|_{\tilde{\mathbf{K}}'}$  is given by a similar formula with  $n^{++} - n'^{-}$  replaced by  $n^{-} - n^{+}$ , where  $(n^{+}, n^{-}) = \text{Sign}(\mathbf{V})$ .

Let  $(\mathbf{V}, \mathbf{V}')$  be a complex dual pair. Define a  $(-1)$ -symmetric bilinear space

$$\mathbf{W} := \text{Hom}_{\mathbb{C}}(\mathbf{V}, \mathbf{V}'),$$

with the form

$$\langle T_1, T_2 \rangle_{\mathbf{W}} := \text{tr}(T_1^{\star} T_2), \quad \text{for all } T_1, T_2 \in \mathbf{W}.$$

Here  $\star: \text{Hom}_{\mathbb{C}}(\mathbf{V}, \mathbf{V}') \xrightarrow{\cong} \text{Hom}_{\mathbb{C}}(\mathbf{V}', \mathbf{V})$  is the adjoint map induced by the non-degenerate forms on  $\mathbf{V}$  and  $\mathbf{V}'$ :

$$\langle Tv, v' \rangle_{\mathbf{V}'} = \langle v, T^{\star} v' \rangle_{\mathbf{V}}, \quad \text{for all } v \in \mathbf{V}, v' \in \mathbf{V}', T \in \text{Hom}_{\mathbb{C}}(\mathbf{V}, \mathbf{V}').$$

The pair of groups  $(\mathbf{G}, \mathbf{G}') := (\mathbf{G}_{\mathbf{V}}, \mathbf{G}_{\mathbf{V}'})$  is a *complex reductive dual pair* in  $\text{Sp}(\mathbf{W})$  in the sense of Howe [38].

Further suppose that  $(\mathbf{V}, J, L)$  and  $(\mathbf{V}', J', L')$  form a rational dual pair. Then the complex symplectic space  $\mathbf{W} = \text{Hom}_{\mathbb{C}}(\mathbf{V}, \mathbf{V}')$  is naturally a  $(-1, -1)$ -space by defining

$$J_{\mathbf{W}}(T) := J' \circ T \circ J^{-1} \quad \text{and} \quad L_{\mathbf{W}}(T) := L' \circ T \circ L^{-1}, \quad \text{for all } T \in \mathbf{W}.$$

The pair of groups  $(G, G') = (\mathbf{G}_{\mathbf{V}}^J, \mathbf{G}_{\mathbf{V}'}^{J'})$  is then a (real) reductive dual pair in  $\text{Sp}(W)$ , where  $W := \mathbf{W}^{J_{\mathbf{W}}}$ .

For the rest of this section, we work in the setting of rational dual pairs and introduce a notion of descent and lift of nilpotent orbits in this context.

15.1.4. *Moment maps.* We define the following *moment maps*  $\mathbf{M}$  and  $\mathbf{M}'$  with respect to a complex dual pair  $(\mathbf{V}, \mathbf{V}')$ :

$$\begin{array}{ccccc} \mathfrak{g} & \xleftarrow{\mathbf{M}} & \mathbf{W} & \xrightarrow{\mathbf{M}'} & \mathfrak{g}', \\ T^{\star}T & \longleftarrow & T & \longrightarrow & TT^{\star}. \end{array}$$

When we have a rational dual pair, we decompose

$$\mathbf{W} = \mathcal{X} \oplus \mathcal{Y}$$

where  $\mathcal{X}$  and  $\mathcal{Y}$  are  $+\mathbf{i}$  and  $-\mathbf{i}$  eigenspaces of  $L_{\mathbf{W}}$ , respectively. Restriction on  $\mathcal{X}$  induces a pair of maps (see (15.7) for the definition of  $\mathfrak{p}$  and  $\mathfrak{p}'$ ):

$$\mathfrak{p} \xleftarrow{M:=\mathbf{M}|_{\mathcal{X}}} \mathcal{X} \xrightarrow{M':=\mathbf{M}'|_{\mathcal{X}}} \mathfrak{p}',$$

which are also called *moment maps* (with respect to the rational dual pair). By classical invariant theory (see [88] or [63, Lemma 2.1]), the image  $M(\mathcal{X})$  is Zariski closed in  $\mathfrak{p}$ , and the moment map  $M$  induces an isomorphism

$$(15.3) \quad \mathbf{K}' \backslash \mathcal{X} \cong M(\mathcal{X})$$

of affine algebraic varieties, where  $\mathbf{K}' \backslash \mathcal{X}$  denotes the affine quotient.<sup>2</sup> Thus [69, Corollary 4.7] implies that  $M$  maps every  $\mathbf{K}'$ -stable Zariski closed subset of  $\mathcal{X}$  onto a Zariski closed subset of  $\mathfrak{p}$ . Similar statement holds for  $M'$ . We will use these basic facts freely.

In the rest of this section, we assume that  $|\check{\mathcal{O}}| > 0$  unless otherwise mentioned. As before, write  $\check{\mathcal{O}}'$  for the dual descent of  $\check{\mathcal{O}}$  which has  $\star'$ -good parity. Let  $\mathcal{O}'$  be the Barbarsch-Vogan dual of  $\check{\mathcal{O}}'$ .

Write  $\mathbf{s}' := (\star', p', q')$ , where  $(p', q')$  is a signature of type  $\star'$ . In what follows we will define a homomorphism

$$\check{\Theta} : \mathcal{K}_{\mathcal{O}'}(K_{\mathbf{s}', \mathbb{C}}) \rightarrow \mathcal{K}_{\mathbf{s}}(\mathcal{O}),$$

to be called the geometric theta lift.

<sup>2</sup>See [69, Section 4.4] for the definition of affine quotient.



15.1.5. *An algebraic character.*

15.1.6. *Lift of algebraic vector bundles.* In the rest of this section, we assume that  $\mathfrak{p}$  is the parity of  $\dim \mathbf{V}$  if  $\epsilon = 1$ , and the parity of  $\dim \mathbf{V}'$  if  $\epsilon' = 1$ . Then  $\varsigma_{\mathbf{V}, \mathbf{V}'}|_{\tilde{\mathbf{K}}}$  and  $\varsigma_{\mathbf{V}, \mathbf{V}'}|_{\tilde{\mathbf{K}}'}$  are  $\mathfrak{p}$ -genuine.

Suppose  $\mathcal{O} \in \text{Nil}_{\mathbf{G}}(\mathfrak{g})$  and  $\mathcal{O}' = \nabla(\mathcal{O}) \in \text{Nil}_{\mathbf{G}'}(\mathfrak{g}')$ . Using decomposition (15.18), we define a homomorphism

$$(15.4) \quad \vartheta_{\mathcal{O}', \mathcal{O}} := \sum_{\substack{\mathcal{O} \subset \mathcal{O} \cap \mathfrak{p} \\ \mathcal{O}' = \nabla(\mathcal{O}) \subset \mathfrak{p}'}} \vartheta_{\mathcal{O}', \mathcal{O}} : \mathcal{K}_{\mathcal{O}'}^{\mathbb{P}}(\tilde{\mathbf{K}}') \longrightarrow \mathcal{K}_{\mathcal{O}}^{\mathbb{P}}(\tilde{\mathbf{K}})$$

where the summation is over all pairs  $(\mathcal{O}, \mathcal{O}')$  such that  $\mathcal{O}' \subset \mathfrak{p}'$  is the descent of  $\mathcal{O} \subset \mathcal{O} \cap \mathfrak{p}$ .

**15.2. Geometric theta lift.** Recall that  $\star'$  is the Howe dual of  $\star$ . In the rest of this section, we assume that  $|\check{\mathcal{O}}| > 0$  unless otherwise mentioned. As before, write  $\check{\mathcal{O}}'$  for the dual descent of  $\check{\mathcal{O}}$  which has  $\star'$ -good parity. Let  $\mathcal{O}'$  be the Barbarsch-Vogan dual of  $\check{\mathcal{O}}'$ .

Write  $\mathbf{s}' := (\star', p', q')$ , where  $(p', q')$  is a signature of type  $\star'$ . In what follows we will define a homomorphism

$$\check{\Theta} : \mathcal{K}_{\mathcal{O}'}(K_{\mathbf{s}', \mathbb{C}}) \rightarrow \mathcal{K}_{\mathbf{s}}(\mathcal{O}),$$

to be called the geometric theta lift.

For every  $\epsilon$ -real form  $J$  of  $\mathbf{V}$ , up to conjugation by  $\mathbf{G}^J$ , there exists a unique  $\epsilon$ -Cartan form  $L$  of  $\mathbf{V}$  such that

Let  $\epsilon \in \{\pm 1\}$ . Let  $\mathbf{V}$  be a finite dimensional complex vector space equipped with an  $\epsilon$ -symmetric non-degenerate bilinear form  $\langle \cdot, \cdot \rangle_{\mathbf{V}}$ , to be called an  $\epsilon$ -symmetric bilinear space. Denote its isometry group by

$$\mathbf{G} := \mathbf{G}_{\mathbf{V}} := \{g \in \text{End}_{\mathbb{C}}(\mathbf{V}) \mid \langle g \cdot v_1, g \cdot v_2 \rangle_{\mathbf{V}} = \langle v_1, v_2 \rangle_{\mathbf{V}}, \quad \text{for all } v_1, v_2 \in \mathbf{V}\}.$$

The Lie algebra of  $\mathbf{G}$  is given by

$$\mathfrak{g} := \mathfrak{g}_{\mathbf{V}} := \{X \in \text{End}_{\mathbb{C}}(\mathbf{V}) \mid \langle X \cdot v_1, v_2 \rangle_{\mathbf{V}} + \langle v_1, X \cdot v_2 \rangle_{\mathbf{V}} = 0, \quad \text{for all } v_1, v_2 \in \mathbf{V}\}.$$

Note that  $\mathbf{G}_{\mathbf{V}}$  is a trivial group if and only if  $\mathbf{V} = \{0\}$ .

**15.3. Real form, Cartan involution, and  $(\epsilon, \dot{\epsilon})$ -space.** We recall some facts about real structures and Cartan involutions on the complex classical group  $\mathbf{G}$ . See [65, Section 1.2-1.3]. Let  $\dot{\epsilon} \in \{\pm 1\}$ .<sup>3</sup>

**Definition 15.7.** An  $\dot{\epsilon}$ -real form of  $\mathbf{V}$  is a conjugate linear automorphism  $J$  of  $\mathbf{V}$  such that

$$J^2 = \epsilon \dot{\epsilon} \quad \text{and} \quad \langle Jv_1, Jv_2 \rangle_{\mathbf{V}} = \overline{\langle v_1, v_2 \rangle_{\mathbf{V}}}, \quad \text{for all } v_1, v_2 \in \mathbf{V}.$$

For  $J$  as in Definition 15.7, write  $\mathbf{G}^J$  for the centralizer of  $J$  in  $\mathbf{G}$  (we will use similar notation without further explanation). It is a real form of  $\mathbf{G}$  as in Table 1.

$\epsilon \backslash \dot{\epsilon}$	1	-1
1	real orthogonal group	quaternionic orthogonal group
-1	quaternionic symplectic group	real symplectic group

TABLE 1. The real classical group  $\mathbf{G}^J$

<sup>3</sup>In [65],  $\epsilon$  is denoted by  $\omega$ .

*Remarks.* 1. The real form  $\mathbf{G}^J$  may also be described as the isometry group of a  $\epsilon$ -Hermitian space over a division algebra  $D$ , where  $D$  is  $\mathbb{R}$  if  $\epsilon\dot{\epsilon} = 1$  and the quaternion algebra  $\mathbb{H}$  if  $\epsilon\dot{\epsilon} = -1$ .

2. Every real form of  $\mathbf{G}$  is of the form  $\mathbf{G}^J$  for some  $J$ .

3. The conjugate linear map  $J$  is an equivalent formulation of Adams-Barbasch-Vogan's notion of strong real forms [2, Definition 2.13].

def:L

**Definition 15.8.** An  $\epsilon$ -Cartan form of  $\mathbf{V}$  is a linear automorphism  $L$  of  $\mathbf{V}$  such that

$$L^2 = \epsilon \quad \text{and} \quad \langle Lv_1, Lv_2 \rangle_{\mathbf{V}} = \langle v_1, v_2 \rangle_{\mathbf{V}}, \quad \text{for all } v_1, v_2 \in \mathbf{V}.$$

Given an  $\epsilon$ -Cartan form  $L$  of  $\mathbf{V}$ , conjugation by  $L$  yields an involution of the algebraic group  $\mathbf{G}$ . Thus we have a symmetric subgroup  $\mathbf{G}^L$  of  $\mathbf{G}$ .

For  $L$  as in Lemma 3.1, conjugation by  $L$  induces a Cartan involution on  $\mathbf{G}^J$ .

fn:eespace

**Definition 15.9.** An  $\epsilon$ -symmetric bilinear space  $\mathbf{V}$  with a pair  $(J, L)$  as in Lemma 3.1 is called an  $(\epsilon, \dot{\epsilon})$ -space.

In view of Lemma 3.1 and when no confusion is possible, we also name the pairs  $(\mathbf{V}, L)$  and  $(\mathbf{V}, J)$  as  $(\epsilon, \dot{\epsilon})$ -spaces. We define the notion of a non-degenerate  $(\epsilon, \dot{\epsilon})$ -subspace and isomorphisms of  $(\epsilon, \dot{\epsilon})$ -spaces in an obvious way.

def:Vsign

**Definition 15.10.** The signature of an  $(\epsilon, \dot{\epsilon})$ -space  $(\mathbf{V}, J, L)$  is defined by the following recipe:

$$\text{Sign}(\mathbf{V}) := \text{Sign}(\mathbf{V}, L) := (n^+, n^-),$$

where  $n^+$  and  $n^-$  are respectively the dimensions of  $+1$  and  $-1$  eigenspaces of  $L$  if  $\dot{\epsilon} = 1$ , and the dimensions of  $+\mathbf{i}$  and  $-\mathbf{i}$  eigenspaces of  $L$  if  $\dot{\epsilon} = -1$ . For every  $L$ -stable subquotient  $\mathbf{E}$  of  $\mathbf{V}$ , the signature  $\text{Sign}(\mathbf{E})$  is defined analogously.

For a fixed pair  $(\epsilon, \dot{\epsilon})$ , the isomorphism class of an  $(\epsilon, \dot{\epsilon})$ -space  $(\mathbf{V}, J, L)$  is determined by  $\text{Sign}(\mathbf{V})$ . In view of Lemma 3.1, we define the signatures of the pairs  $(\mathbf{V}, L)$  and  $(\mathbf{V}, J)$  as that of  $(\mathbf{V}, J, L)$ . The value of  $\text{Sign}(\mathbf{V})$  is listed in Table 2 for the real classical group  $\mathbf{G}^J$ .

$\mathbf{G}^J$	$\text{Sign}(\mathbf{V})$
$\text{O}(p, q)$	$(p, q)$
$\text{Sp}(2n, \mathbb{R})$	$(n, n)$
$\text{O}^*(2n)$	$(n, n)$
$\text{Sp}(p, q)$	$(2p, 2q)$

tab:sign

TABLE 2. Signature of  $(\epsilon, \dot{\epsilon})$ -spaces

sec:MC

**15.4. Metaplectic cover.** Let  $(J, L)$  be as in Lemma 3.1. Put  $G := \mathbf{G}^J$  and  $\mathbf{K} := \mathbf{G}^L$ . Then  $K := G \cap \mathbf{K}$  is a maximal compact subgroup of both  $G$  and  $\mathbf{K}$ . As a slight modification of  $G$ , we define

$$\tilde{G} := \begin{cases} \text{the metaplectic double cover of } G, & \text{if } G \text{ is a real symplectic group;} \\ G, & \text{otherwise.} \end{cases}$$

Here “ $G$  is a real symplectic group” means that  $(\epsilon, \dot{\epsilon}) = (-1, -1)$ , and similar terminologies will be used later on. In the case of a real symplectic group, we use  $\varepsilon_G$  to denote the nontrivial element in the kernel of the covering homomorphism  $\tilde{G} \rightarrow G$ . In general, we use “ $\sim$ ” over a subgroup of  $G$  to indicate its inverse image under the covering map  $\tilde{G} \rightarrow G$ .

For example,  $\tilde{K}$  is the a maximal compact subgroup of  $\tilde{G}$  with a covering map  $\tilde{K} \rightarrow K$  of degree 1 or 2. Similar notation will be used for other covering groups similar to  $\tilde{G}$ .

When there is a need to indicate the dependence of various objects introduced on the  $(\epsilon, \epsilon)$ -space  $\mathbf{V}$ , we will add the subscript  $\mathbf{V}$  in various notations (e.g.  $\tilde{G}_{\mathbf{V}}$  and  $\tilde{K}_{\mathbf{V}}$ ).

**15.5. Young diagrams and complex nilpotent orbits.** Let  $\text{Nil}_{\mathbf{G}}(\mathfrak{g})$  be the set of nilpotent  $\mathbf{G}$ -orbits in  $\mathfrak{g}$ . For  $n \in \mathbb{N} := \{0, 1, 2, \dots\}$ , let  $\mathcal{P}_{\epsilon}(n)$  be the set of Young diagrams of size  $n$  such that

- i) rows of even length appear in even times if  $\epsilon = 1$ ;
- ii) rows of odd length appear in even times if  $\epsilon = -1$ .

In this paper, a Young diagram  $\mathbf{d}$  will be labeled by a sequence  $[c_0, c_1, \dots, c_k]$  of integers enumerating its columns, where  $k \geq -1$ . By convention,  $[c_0, c_1, \dots, c_k]$  denotes the empty sequence  $\emptyset$  which labels the empty Young diagram when  $k = -1$ . It is easy to see that  $\mathcal{P}_{\epsilon}(n)$  consists of sequences of the form  $[c_0, c_1, \dots, c_k]$  such that

$$\begin{cases} c_0 \geq c_1 \geq \dots \geq c_k > 0, \\ \sum_{l=0}^k c_l = n, \text{ and} \\ \sum_{l=i}^k c_l \text{ is even, when } i \equiv \frac{1+\epsilon}{2} \pmod{2} \text{ and } 0 \leq i \leq k, \end{cases}$$

and  $\mathcal{P}_{\epsilon}(0) := \{\emptyset\}$  by convention.

**Definition 15.11.** For a nilpotent element  $X$  in  $\mathfrak{g}$ , set

$$(15.5) \quad \text{depth}(X) := \begin{cases} \max \{l \in \mathbb{N} \mid X^l \neq 0\}, & \text{if } \mathbf{V} \neq \{0\}; \\ -1, & \text{if } \mathbf{V} = \{0\}. \end{cases}$$

Given  $\mathcal{O} \in \text{Nil}_{\mathbf{G}}(\mathfrak{g})$ , pick any  $X \in \mathcal{O}$ . Set  $\text{depth}(\mathcal{O}) := \text{depth}(X)$  and define the Young diagram

$$\mathbf{d}_{\mathcal{O}} := [c_0, c_1, \dots, c_k], \quad (k := \text{depth}(\mathcal{O}) \geq -1)$$

where

$$c_l := \dim(\text{Ker}(X^{l+1}) / \text{Ker}(X^l)), \quad \text{for all } 0 \leq l \leq k.$$

We have the one-one correspondence: ([23, Chapter 5])

$$(15.6) \quad \begin{aligned} \text{Nil}_{\mathbf{G}}(\mathfrak{g}) &\longrightarrow \mathcal{P}_{\epsilon}(\dim \mathbf{V}), \\ \mathcal{O} &\longmapsto \mathbf{d}_{\mathcal{O}}. \end{aligned}$$

Let

$$\text{Nil}_{\epsilon} := \left( \bigsqcup_{\mathbf{V}} \text{Nil}_{\mathbf{G}_{\mathbf{V}}}(\mathfrak{g}_{\mathbf{V}}) \right) / \sim$$

where  $\mathbf{V}$  runs over all  $\epsilon$ -symmetric bilinear spaces, and  $\sim$  denotes the equivalence relation induced by isomorphisms of  $\epsilon$ -symmetric bilinear spaces. Let

$$\mathcal{P}_{\epsilon} := \bigsqcup_{n \geq 0} \mathcal{P}_{\epsilon}(n).$$

The correspondence (15.6) induces a bijection

$$\mathbf{D}: \text{Nil}_{\epsilon} \longrightarrow \mathcal{P}_{\epsilon}.$$

**Definition 15.12.** Define the descent of a Young diagram by

$$\begin{aligned} \nabla: \mathcal{P}_{\epsilon} &\longrightarrow \mathcal{P}_{-\epsilon} \\ [c_0, c_1, \dots, c_k] &\longmapsto [c_1, \dots, c_k], \end{aligned}$$

namely by removing the left most column of the diagram. By convention,  $\nabla(\emptyset) := \emptyset$ .

Fix  $\mathfrak{p} \in \mathbb{Z}/2\mathbb{Z}$  as in the Introduction. As highlighted in the Introduction, we will consider the following set of partitions/Young diagrams/nilpotent orbits.

**Definition 15.13.** Denote  $\epsilon_l := \epsilon(-1)^l$ , for  $l \geq 0$ . Let

$$\mathcal{P}_\epsilon^\mathfrak{p} := \left\{ \mathbf{d} = [c_0, \dots, c_k] \in \mathcal{P}_\epsilon \left| \begin{array}{l} \bullet \text{ all } c_i \text{'s have parity } \mathfrak{p}; \\ \bullet c_l \geq c_{l+1} + 2, \text{ if } 0 \leq l \leq k-1 \text{ and } \epsilon_l = 1. \end{array} \right. \right\}.$$

By convention,  $\emptyset \in \mathcal{P}_\epsilon^\mathfrak{p}$ . Denote by  $\text{Nil}_\mathbf{G}^\mathfrak{p}(\mathfrak{g})$  the subset of  $\text{Nil}_\mathbf{G}(\mathfrak{g})$  corresponding to partitions in  $\mathcal{P}_\mathbf{G}^\mathfrak{p} := \mathcal{P}_\epsilon^\mathfrak{p} \cap \mathcal{P}_\epsilon(\dim \mathbf{V})$ .

**15.6. Signed Young diagrams and rational nilpotent orbits.** Recall that  $(\mathbf{V}, J, L)$  is an  $(\epsilon, \dot{\epsilon})$ -space. Under conjugation by  $L$ , the complex Lie algebra  $\mathfrak{g}$  decomposes into  $\pm 1$ -eigenspaces:

$$(15.7) \quad \mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p} = \mathfrak{k}_\mathbf{V} \oplus \mathfrak{p}_\mathbf{V}.$$

Let  $\text{Nil}_\mathbf{K}(\mathfrak{p})$  be the set of nilpotent  $\mathbf{K}$ -orbits in  $\mathfrak{p}$ .

**15.6.1. Parametrization.** In this section, we explain the parameterization of  $\text{Nil}_\mathbf{K}(\mathfrak{p})$ .

**Definition 15.14.** Let  $\mathbb{Z}_{\geq 0}^2$  be the set of pairs of non-negative integers, whose elements are called signatures. For a signature  $n = (n^+, n^-)$ , its dual signature is defined to be  $\check{n} := (n^-, n^+)$ . Define a partial order on  $\mathbb{Z}_{\geq 0}^2$  by

$$(n_1^+, n_1^-) \geq (n_2^+, n_2^-) \quad \text{if and only if} \quad n_1^+ \geq n_2^+ \text{ and } n_1^- \geq n_2^-.$$

Recall that a signed Young diagram is a Young diagram in which every box is labeled with a  $+$  or  $-$  sign in such a way that signs alternate across rows. For a signed Young diagram which contains  $n_i^+$  number of “ $+$ ” signs and  $n_i^-$  number of “ $-$ ” signs in the  $i$ -th column, we will label it by the sequence of signatures  $[d_0, d_1, \dots, d_k]$ , where  $k \geq -1$  and  $d_i = (n_i^+, n_i^-)$ . By convention  $[d_0, d_1, \dots, d_k]$  labels the empty diagram when  $k = -1$ .

The set of all signed Young diagrams will then correspond to the set

$$\ddot{\mathcal{P}} := \bigsqcup_{k \geq -1} \{ [d_0, \dots, d_k] \in (\mathbb{Z}_{\geq 0}^2 \setminus \{(0, 0)\})^{k+1} \mid d_l \geq \check{d}_{l+1} \text{ for all } 0 \leq l \leq k-1 \}.$$

Similar to [Definition 15.12](#), we define the descent map

$$(15.8) \quad \nabla: \ddot{\mathcal{P}} \longrightarrow \ddot{\mathcal{P}}, \quad [d_0, d_1, \dots, d_k] \mapsto [d_1, \dots, d_k].$$

**Definition 15.15.** Given  $\mathcal{O} \in \text{Nil}_\mathbf{K}(\mathfrak{p})$ , pick any  $X \in \mathcal{O}$ . Set  $\text{depth}(\mathcal{O}) := \text{depth}(X)$  (see (15.5)) and define the signed Young diagram

$$\ddot{\mathbf{d}}_\mathcal{O} := [d_0, \dots, d_k], \quad (k := \text{depth}(\mathcal{O}) \geq -1)$$

where

$$d_l := \text{Sign}(\text{Ker}(X^{l+1}) / \text{Ker}(X^l)), \quad \text{for all } 0 \leq l \leq k.$$

We parameterize  $\text{Nil}_\mathbf{K}(\mathfrak{p})$  via the injective map (cf. [\[26\]](#))

$$\ddot{\mathbf{D}}: \text{Nil}_\mathbf{K}(\mathfrak{p}) \longrightarrow \ddot{\mathcal{P}}, \quad \mathcal{O} \mapsto \ddot{\mathbf{d}}_\mathcal{O}.$$

sec:KX

15.6.2. *Stabilizers.* Let  $\mathfrak{sl}_2(\mathbb{C})$  be the complex Lie algebra consisting of  $2 \times 2$  complex matrices of trace zero. Write

$$\mathring{L} := \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \quad \text{and} \quad \mathring{\mathbf{e}} := \begin{pmatrix} 1/2 & \mathbf{i}/2 \\ \mathbf{i}/2 & -1/2 \end{pmatrix}.$$

Let  $\mathcal{O} \in \text{Nil}_{\mathbf{K}}(\mathfrak{p})$  with  $\ddot{\mathbf{D}}(\mathcal{O}) = [d_0, \dots, d_k]$  and pick any element  $X \in \mathcal{O}$ . By [75] (also see [83, Section 6]), there is a Lie algebra homomorphism (unique up to  $\mathbf{K}$ -conjugation)

$$\phi_{\mathfrak{k}}: \mathfrak{sl}_2(\mathbb{C}) \rightarrow \mathfrak{g}$$

such that

- $\phi_{\mathfrak{k}}$  intertwines the conjugation of  $\mathring{L}$  on  $\mathfrak{sl}_2(\mathbb{C})$  and the conjugation of  $L$  on  $\mathfrak{g}$ ; and
- $\phi_{\mathfrak{k}}(\mathring{\mathbf{e}}) = X$ ;

We call  $\phi_{\mathfrak{k}}$  an  $L$ -compatible  $\mathfrak{sl}_2(\mathbb{C})$ -triple attached to  $X$ .

For each  $l \geq 0$ , let  $\phi_l: \text{SL}_2(\mathbb{C}) \rightarrow \text{GL}_{l+1}(\mathbb{C})$  denote an irreducible representation of  $\text{SL}_2(\mathbb{C})$  realized on  $\mathbb{C}^{l+1}$ . Fix an  $\text{SL}_2(\mathbb{C})$ -invariant  $(-1)^l$ -symmetric non-degenerate bilinear form  $\langle \cdot, \cdot \rangle_l$  on  $\mathbb{C}^{l+1}$ . As an  $\mathfrak{sl}_2(\mathbb{C})$ -module via  $\phi_{\mathfrak{k}}$ , we have

$$(15.9) \quad \mathbf{V} = \bigoplus_{l=0}^k {}^l\mathbf{V} \otimes_{\mathbb{C}} \mathbb{C}^{l+1}, \quad (k := \text{depth}(\mathcal{O}) \geq -1)$$

where  $\mathbb{C}^{l+1}$  is viewed as an  $\mathfrak{sl}_2(\mathbb{C})$ -module via the differential of  $\phi_l$ , and

$${}^l\mathbf{V} := \text{Hom}_{\mathfrak{sl}_2(\mathbb{C})}(\mathbb{C}^{l+1}, \mathbf{V})$$

is the multiplicity space.

Let

$$L_l := (-1)^{\lfloor l/2 \rfloor} \phi_l(\mathring{L}).$$

Then  $(\mathbb{C}^{l+1}, L_l)$  is a  $((-1)^l, (-1)^l)$ -space and the  $L_l$ -stable subspace

$$(\mathbb{C}^{l+1})^{\mathring{\mathbf{e}}} := \{v \in \mathbb{C}^{l+1} \mid \mathring{\mathbf{e}} \cdot v = 0\}$$

has signature  $(1, 0)$ .

Define the  $(-1)^l$ -symmetric bilinear form  $\langle \cdot, \cdot \rangle_{{}^l\mathbf{V}}$  on  ${}^l\mathbf{V}$  by requiring that

$$\langle \cdot, \cdot \rangle_{\mathbf{V}} = \bigoplus_l \langle \cdot, \cdot \rangle_{{}^l\mathbf{V}} \otimes \langle \cdot, \cdot \rangle_l.$$

Define

$${}^lL(T) := L \circ T \circ L_l^{-1}, \quad \text{for all } T \in {}^l\mathbf{V} = \text{Hom}_{\mathfrak{sl}_2(\mathbb{C})}(\mathbb{C}^{l+1}, \mathbf{V}).$$

It is routine to check that  $({}^l\mathbf{V}, {}^lL)$  is a  $((-1)^l, (-1)^l)$ -space and it has signature  $d_l - \check{d}_{l+1}$  if  $l$  is even and has signature  $\check{d}_l - d_{l+1}$  if  $l$  is odd.

Let  $\mathbf{K}_X := \text{Stab}_{\mathbf{K}}(X)$  be the stabilizer of  $X$  in  $\mathbf{K}$ , and  $\mathbf{R}_X$  the stabilizer of  $\phi_{\mathfrak{k}}$  in  $\mathbf{K}$ . Then  $\mathbf{K}_X = \mathbf{R}_X \ltimes \mathbf{U}_X$ , where  $\mathbf{U}_X$  denotes the unipotent radical of  $\mathbf{K}_X$ .

Set  ${}^l\mathbf{K} := (\mathbf{G}_{{}^l\mathbf{V}})^{{}^lL}$ . Using the decomposition (15.9), we get the following lemma from the discussion above.

lem:KX1

**Lemma 15.16.** *There is a canonical isomorphism*

$$\mathbf{R}_X \cong \prod_{l=0}^k {}^l\mathbf{K}.$$

Let  $A := G/G^\circ$  be the component group of  $G$ , where  $G^\circ$  denotes the identity connected component of  $G$ . It is isomorphic to the component group of  $\mathbf{K}$  and is trivial unless  $G$  is a real orthogonal group.

Suppose we are in the case when  $G = \mathrm{O}(p, q)$  ( $p, q \geq 0$ ) is a real orthogonal group. Then  $\mathbf{K} = \mathrm{O}(p, \mathbb{C}) \times \mathrm{O}(q, \mathbb{C})$  is a product of two complex orthogonal groups. For every pair  $\eta := (\eta^+, \eta^-) \in \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ , let  $\mathrm{sgn}^\eta$  denote the character  $\det^{\eta^+} \boxtimes \det^{\eta^-}$  of  $\mathrm{O}(p, \mathbb{C}) \times \mathrm{O}(q, \mathbb{C})$ , where  $\det$  denotes the sign character of an orthogonal group. It obviously induces characters on  $\mathrm{O}(p) \times \mathrm{O}(q)$ ,  $\mathrm{O}(p, q)$  and  $A$ , which are still denoted by  $\mathrm{sgn}^\eta$ . Then

$$\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2 \rightarrow \hat{A}, \quad \eta \mapsto \mathrm{sgn}^\eta$$

is a surjective homomorphism. Here and henceforth, “ $\hat{\phantom{x}}$ ” indicates the group of characters of a finite abelian group.

We record the following lemma.

**Lemma 15.17.** *Suppose  $G = \mathrm{O}(p, q)$  ( $p, q \geq 0$ ). Write  $\mathrm{Sign}({}^l\mathbf{V}) = ({}^lp^+, {}^lp^-)$  for  $0 \leq l \leq k$ . Then there is an obvious isomorphism*

$$(15.10) \quad {}^l\mathbf{K} \cong \begin{cases} \mathrm{O}({}^lp^+, \mathbb{C}) \times \mathrm{O}({}^lp^-, \mathbb{C}), & \text{if } l \text{ is even;} \\ \mathrm{GL}({}^lp^+, \mathbb{C}), & \text{if } l \text{ is odd.} \end{cases}$$

Moreover for  $\eta := (\eta^+, \eta^-) \in \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ , the character  $\mathrm{sgn}^\eta$  of  $\mathbf{K}$  has trivial restriction to  $\mathbf{U}_X$ , and with respect to the isomorphism (15.10),

$$\mathrm{sgn}^\eta|_{{}^l\mathbf{K}} = \begin{cases} \det^{\eta^+} \boxtimes \det^{\eta^-}, & \text{if } l \text{ is even;} \\ 1, & \text{if } l \text{ is odd.} \end{cases}$$

*Proof.* The first assertion follows by using Table 2. Since  $\mathbf{U}_X$  is unipotent, it has no nontrivial algebraic character. Thus  $\mathrm{sgn}^\eta$  of  $\mathbf{K}$  has trivial restriction to  $\mathbf{U}_X$ . The last assertion of the lemma is routine to check, which we omit.  $\square$

### 15.7. Dual pairs, descent and lift of nilpotent orbits.

**Definition 15.18** (Dual pair). (i) A complex dual pair is a pair consisting of an  $\epsilon$ -symmetric bilinear space and an  $\epsilon'$ -symmetric bilinear space, where  $\epsilon, \epsilon' \in \{\pm 1\}$  with  $\epsilon\epsilon' = -1$ .

(ii) A rational dual pair is a pair consisting of an  $(\epsilon, \dot{\epsilon})$ -space and an  $(\epsilon', \dot{\epsilon}')$ -space, where  $\epsilon, \epsilon', \dot{\epsilon}, \dot{\epsilon}' \in \{\pm 1\}$  with  $\epsilon\epsilon' = \dot{\epsilon}\dot{\epsilon}' = -1$ .

15.7.1. *Lifts and descents of nilpotent orbits.* Let

$$\mathbf{W}^\circ := \{T \in \mathbf{W} \mid T \text{ is a surjective map from } \mathbf{V} \text{ onto } \mathbf{V}'\}.$$

Clearly  $\mathbf{W}^\circ \neq \emptyset$  only if  $\dim \mathbf{V} \geq \dim \mathbf{V}'$ .

Suppose  $\mathbf{e} \in \mathcal{O} \in \mathrm{Nil}_{\mathbf{G}}(\mathfrak{g})$  and  $\mathbf{e}' \in \mathcal{O}' \in \mathrm{Nil}_{\mathbf{G}'}(\mathfrak{g}')$ . We call  $\mathbf{e}'$  (resp.  $\mathcal{O}'$ ) a descent of  $\mathbf{e}$  (resp.  $\mathcal{O}$ ), if there exists  $T \in \mathbf{W}^\circ$  such that

$$\mathbf{M}(T) = \mathbf{e} \quad \text{and} \quad \mathbf{M}'(T) = \mathbf{e}'.$$

Put

$$\mathcal{X}^\circ := \mathbf{W}^\circ \cap \mathcal{X},$$

and write  $\mathbf{K} := \mathbf{K}_{\mathbf{V}}$  and  $\mathbf{K}' := \mathbf{K}_{\mathbf{V}'}$ . Suppose  $X \in \mathcal{O} \in \mathrm{Nil}_{\mathbf{K}}(\mathfrak{p})$  and  $X' \in \mathcal{O}' \in \mathrm{Nil}_{\mathbf{K}'}(\mathfrak{p}')$ . We call  $X'$  (resp.  $\mathcal{O}'$ ) a descent of  $X$  (resp.  $\mathcal{O}$ ), if there exists  $T \in \mathcal{X}^\circ$  such that

$$M(T) = X \quad \text{and} \quad M'(T) = X'.$$

In all cases, we will say that  $T$  realizes the descent, and  $\mathcal{O}$  (resp.  $\mathcal{O}'$ ) is the lift of  $\mathcal{O}'$  (resp.  $\mathcal{O}'$ ). In the notation of Definition 15.12, we then have

$$\nabla(\mathbf{d}_{\mathcal{O}}) = \mathbf{d}_{\mathcal{O}'} \quad \text{and} \quad \nabla(\ddot{\mathbf{d}}_{\mathcal{O}}) = \ddot{\mathbf{d}}_{\mathcal{O}'}.$$

Hence the notion of descent (for nilpotent orbits) defined here agrees with that of Definition 15.12 and (15.8) (for Young diagrams). We will thus write

$$\mathcal{O}' = \nabla(\mathcal{O}) = \nabla_{\mathbf{V}, \mathbf{V}'}(\mathcal{O}) \quad \text{and} \quad \mathcal{O}' = \nabla(\mathcal{O}') = \nabla_{\mathbf{V}, \mathbf{V}'}(\mathcal{O}').$$

We record a key property on descent and lift:

$$(15.11) \quad \mathbf{M}(\mathbf{M}'^{-1}(\overline{\mathcal{O}'})) = \overline{\mathcal{O}} \quad \text{and} \quad M(M'^{-1}(\overline{\mathcal{O}'})) = \overline{\mathcal{O}},$$

where “ $\overline{\phantom{x}}$ ” means taking Zariski closure. This is checked by using explicit formulas in [25, 45] (for complex dual pairs) and [65, Lemma 14] (for rational dual pairs).

In fact by [25, Theorem 1.1], the notion of lift can be extended to an arbitrary complex dual pair and an arbitrary complex nilpotent orbit: for any  $\mathcal{O}' \in \text{Nil}_{\mathbf{G}'}(\mathfrak{g}')$ ,  $\mathbf{M}(\mathbf{M}'^{-1}(\overline{\mathcal{O}'}))$  equals to the closure of a unique nilpotent orbit  $\mathcal{O} \in \text{Nil}_{\mathbf{G}}(\mathfrak{g})$ . We call  $\mathcal{O}$  the *theta lift* of  $\mathcal{O}'$ , written as

$$(15.12) \quad \mathcal{O} = \mathfrak{v}_{\mathbf{V}', \mathbf{V}}(\mathcal{O}').$$

15.7.2. *Generalized descent of nilpotent orbits.* Let

$$\mathbf{W}^{\text{gen}} := \{ T \in \mathbf{W} \mid \text{the image of } T \text{ is a non-degenerate subspace of } \mathbf{V}' \}$$

and

$$\mathcal{X}^{\text{gen}} := \mathbf{W}^{\text{gen}} \cap \mathcal{X}.$$

Suppose  $X \in \mathcal{O} \in \text{Nil}_{\mathbf{K}}(\mathfrak{p})$  and  $X' \in \mathcal{O}' \in \text{Nil}_{\mathbf{K}'}(\mathfrak{p}')$ . We call  $X'$  (resp.  $\mathcal{O}'$ ) a generalized descent of  $X$  (resp.  $\mathcal{O}$ ), if there exists  $T \in \mathcal{X}^{\text{gen}}$  such that

$$M(T) = X \quad \text{and} \quad M'(T) = X'.$$

As before, we say that  $T$  realizes the generalized descent.

It is easy to see that for each nilpotent orbit  $\mathcal{O} \in \text{Nil}_{\mathbf{K}}(\mathfrak{p})$ , the following three assertions are equivalent (cf. [26, Table 4]).

- The orbit  $\mathcal{O}$  has a generalized descent.
- The orbit  $\mathcal{O}$  is contained in the image of the moment map  $M$ .
- Write  $\ddot{\mathbf{d}}_{\mathcal{O}} = [d_0, d_1, \dots, d_k] \in \ddot{\mathcal{P}}$ , then

$$\text{Sign}(\mathbf{V}') \geq \sum_{i=1}^k d_i.$$

When this is the case,  $\mathcal{O}$  has a unique generalized descent  $\mathcal{O}' \in \text{Nil}_{\mathbf{K}'}(\mathfrak{p}')$ , and

$$(15.13) \quad \ddot{\mathbf{d}}_{\mathcal{O}'} = [d_1 + s, d_2, \dots, d_k], \quad \text{where } s := \text{Sign}(\mathbf{V}') - \sum_{i=1}^k d_i.$$

We write  $\mathcal{O}' = \nabla_{\mathbf{V}, \mathbf{V}'}^{\text{gen}}(\mathcal{O})$ . On the other hand, different nilpotent orbits may map to a same nilpotent orbit under  $\nabla_{\mathbf{V}, \mathbf{V}'}^{\text{gen}}$ .

Analogously, suppose  $\mathbf{e} \in \mathcal{O} \in \text{Nil}_{\mathbf{G}}(\mathfrak{g})$  and  $\mathbf{e}' \in \mathcal{O}' \in \text{Nil}_{\mathbf{G}'}(\mathfrak{g}')$ . We call  $\mathbf{e}'$  (resp.  $\mathcal{O}'$ ) a generalized descent of  $\mathbf{e}$  (resp.  $\mathcal{O}$ ), if there exists an element  $T \in \mathbf{W}^{\text{gen}}$  such that

$$\mathbf{M}(T) = \mathbf{e} \quad \text{and} \quad \mathbf{M}'(T) = \mathbf{e}'.$$

When this is the case,  $\mathcal{O}'$  is determined by  $\mathcal{O}$  and we write  $\mathcal{O}' = \nabla_{\mathbf{V}, \mathbf{V}'}^{\text{gen}}(\mathcal{O})$ .

gendec

**Lemma 15.19.** Assume that  $\mathcal{O} \in \text{Nil}_{\mathbf{K}}(\mathfrak{p})$  has a generalized descent  $\mathcal{O}' \in \text{Nil}_{\mathbf{K}'}(\mathfrak{p}')$ . Let  $X \in \mathcal{O}$  and  $T \in \mathcal{X}^{\text{gen}}$  such that  $M(T) = X$ . Then  $\mathbf{K}' \cdot T$  is the unique closed  $\mathbf{K}'$ -orbit in  $M^{-1}(X)$ . Moreover,

$$(15.14) \quad \mathcal{O}' = M'(M^{-1}(\mathcal{O})) \cap \mathcal{O}' = M'(M^{-1}(\mathcal{O})) \cap \overline{\mathcal{O}'},$$

where  $\mathcal{O}' := \mathbf{G}' \cdot \mathcal{O}'$ , which is the generalized descent of  $\mathcal{O} := \mathbf{G} \cdot \mathcal{O}$ .

*Proof.* It is elementary to check that  $\mathbf{K}' \cdot T$  is Zariski closed in  $\mathcal{X}$ . Then the first assertion follows by using the isomorphism (15.3). Note that  $\mathcal{O}'$  is the only  $\mathbf{K}'$ -orbit in  $\overline{\mathcal{O}'} \cap \mathfrak{p}'$  whose Zariski closure contains  $\mathcal{O}'$ . Thus the first assertion implies the second one.  $\square$

In this article, we will need to consider the following special types of nilpotent orbits.

ef:GD.good

**Definition 15.20.** A nilpotent orbit  $\mathcal{O} \in \text{Nil}_{\mathbf{G}}(\mathfrak{g})$  with  $d_{\mathcal{O}} = [c_0, c_1, \dots, c_k] \in \mathcal{P}_{\epsilon}$  is said to be good for generalized descent if  $k \geq 1$  and  $c_0 = c_1$ . A nilpotent orbit  $\mathcal{O} \in \text{Nil}_{\mathbf{K}}(\mathfrak{p})$  is said to be good for generalized descent if the nilpotent orbit  $\mathbf{G} \cdot \mathcal{O} \in \text{Nil}_{\mathbf{G}}(\mathfrak{g})$  is good for generalized descent.

The following lemma exhibits a certain maximality property of nilpotent orbits which are good for generalized descent.

em:GDS.set

**Lemma 15.21.** If  $\mathcal{O} \in \text{Nil}_{\mathbf{G}}(\mathfrak{g})$  is good for generalized descent, and  $\mathcal{O}' = \nabla_{\mathbf{V}, \mathbf{V}'}^{\text{gen}}(\mathcal{O})$ , then  $\mathbf{M}(\mathbf{M}'^{-1}(\overline{\mathcal{O}'})) = \overline{\mathcal{O}}$ . Consequently, if  $\mathcal{O} \in \text{Nil}_{\mathbf{K}}(\mathfrak{p})$  is good for generalized descent and  $\mathcal{O}' = \nabla_{\mathbf{V}, \mathbf{V}'}^{\text{gen}}(\mathcal{O})$ , then  $\mathcal{O}$  is an open  $\mathbf{K}$ -orbit in  $M(\mathbf{M}'^{-1}(\overline{\mathcal{O}'}))$ .

*Proof.* This is easy to check using the explicit description of  $\mathbf{M}(\mathbf{M}'^{-1}(\overline{\mathcal{O}'}))$  in [25, Theorem 5.2 and 5.6].  $\square$

sec:alpha

15.7.3. *Map between isotropy groups.* Suppose  $\mathcal{O} \in \text{Nil}_{\mathbf{K}}(\mathfrak{p})$  admits a descent  $\mathcal{O}' \in \text{Nil}_{\mathbf{K}'}(\mathfrak{p}')$ . According to [45, Proposition 11.1] and [65, Lemmas 13 and 14],  $M^{-1}(\mathcal{O})$  is a single  $\mathbf{K} \times \mathbf{K}'$ -orbit contained in  $\mathcal{X}^{\circ}$  and  $M'(M^{-1}(\mathcal{O})) = \mathcal{O}'$ . Moreover  $\mathbf{K}'$  acts on  $M^{-1}(\mathcal{O})$  freely.

Fix  $T \in M^{-1}(\mathcal{O})$  which realizes the descent from  $X := M(T) \in \mathcal{O}$  to  $X' := M'(T) \in \mathcal{O}'$ . Denote the respective isotropy subgroups by

$$\mathbf{S}_T := \text{Stab}_{\mathbf{K} \times \mathbf{K}'}(T), \quad \mathbf{K}_X := \text{Stab}_{\mathbf{K}}(X) \quad \text{and} \quad \mathbf{K}'_{X'} := \text{Stab}_{\mathbf{K}'}(X').$$

Then there is a unique homomorphism

{eq:alpha}

$$(15.15) \quad \alpha: \mathbf{K}_X \mapsto \mathbf{K}'_{X'}$$

such that  $\mathbf{S}_T$  is the graph of  $\alpha$ :

$$\mathbf{S}_T = \{ (k, \alpha(k)) \in \mathbf{K}_X \times \mathbf{K}'_{X'} \mid k \in \mathbf{K}_X \}.$$

The homomorphism  $\alpha$  is uniquely determined by the requirement that

$$\alpha(k)(Tv) = T(kv) \quad \text{for all } v \in \mathbf{V}, k \in \mathbf{K}_X.$$

We recall the notation in Section 15.6.2 where  $\phi_{\mathfrak{k}}$  is an  $L$ -compatible  $\mathfrak{sl}_2(\mathbb{C})$ -triple attached to  $X$ . Let  $\mathring{H} := \begin{pmatrix} 0 & -\mathbf{i} \\ \mathbf{i} & 0 \end{pmatrix}$ . Then there is a unique  $L'$ -compatible  $\mathfrak{sl}_2(\mathbb{C})$ -triple  $\phi_{\mathfrak{k}'}$  attached to  $X'$  such that (see [29, Section 5.2])

$$\phi_{\mathfrak{k}'}(\mathring{H}) \circ T - T \circ \phi_{\mathfrak{k}}(\mathring{H}) = T.$$

As an  $\mathfrak{sl}_2(\mathbb{C})$ -module via  $\phi_{\mathfrak{k}'}$ ,

$$\mathbf{V}' = \bigoplus_{l \geq 0}^{k-1} {}^l \mathbf{V}' \otimes \mathbb{C}^{l+1}.$$



We adopt notations in [Section 15.6.2](#) to  $X' \in \mathcal{O}'$ , via  $\phi_{\mathfrak{t}'}$ . In particular, we have  $\mathbf{K}_X = \mathbf{R}_X \ltimes \mathbf{U}_X$  and  $\mathbf{K}'_{X'} = \mathbf{R}_{X'} \ltimes \mathbf{U}_{X'}$ . Here  $\mathbf{U}_X$  and  $\mathbf{U}'_{X'}$  are the unipotent radicals, and  $\mathbf{R}_X = \prod_{l=0}^k {}^l\mathbf{K}$  and  $\mathbf{R}_{X'} = \prod_{l=0}^{k-1} {}^l\mathbf{K}'$  are Levi factors of  $\mathbf{K}_X$  and  $\mathbf{K}'_{X'}$ , respectively.

For each irreducible  $\mathfrak{sl}_2(\mathbb{C})$ -module  $\mathbb{C}^{l+1}$  fix a nonzero vector  $v_l \in (\mathbb{C}^{l+1})^{\mathfrak{e}}$ . For each  $l \geq 0$ , the map  $\nu \mapsto \nu(v_l)$  identifies  ${}^l\mathbf{V} = \text{Hom}_{\mathfrak{sl}_2(\mathbb{C})}(\mathbb{C}^{l+1}, \mathbf{V})$  (resp.  ${}^l\mathbf{V}'$ ) with a subspace  ${}^l\mathbf{V}_0$  of  $\mathbf{V}$  (resp.  ${}^l\mathbf{V}'_0$  of  $\mathbf{V}'$ ). For  $1 \leq l \leq k$ ,  $T^*$  induces a vector space isomorphism<sup>4</sup>

$$\tau_l: {}^{l-1}\mathbf{V}' = {}^{l-1}\mathbf{V}'_0 \xrightarrow{v \mapsto T^*(v)} {}^l\mathbf{V}_0 = {}^l\mathbf{V}.$$

This results in an isomorphism (which is independent of the choices of  $v_l$  and  $v_{l-1}$ )

$$(15.16) \quad \alpha_l: {}^l\mathbf{K} \xrightarrow{\cong} {}^{l-1}\mathbf{K}', \quad h_l \mapsto (\tau_l)^{-1} \circ h_l \circ \tau_l.$$

**Lemma 15.22.** *The homomorphism  $\alpha$  maps  $\mathbf{R}_X$  into  $\mathbf{R}_{X'}$  and maps  $\mathbf{U}_X$  into  $\mathbf{U}_{X'}$ . Moreover, the map  $\alpha|_{\mathbf{R}_X}$  is given by*

$$\begin{aligned} \alpha|_{\mathbf{R}_X}: \prod_{l=0}^k {}^l\mathbf{K} &\longrightarrow \prod_{l=0}^{k-1} {}^l\mathbf{K}', \\ (h_0, h_1, \dots, h_k) &\longmapsto (\alpha_1(h_1), \dots, \alpha_k(h_k)), \quad h_l \in {}^l\mathbf{K}, \end{aligned}$$

where  $\alpha_l$  ( $1 \leq l \leq k$ ) is given in [\(15.16\)](#).

*Proof.* Note that  $\alpha$  is a surjection since  $M^{-1}(X)$  is a  $\mathbf{K}'$ -orbit (see [Lemma A.3](#) (ii) or [\[65, Lemma 13\]](#)). So  $\alpha(\mathbf{U}_X)$  is a unipotent normal subgroup in  $\mathbf{K}'_{X'}$ , which must be contained in  $\mathbf{U}_{X'}$ . Note that (see [\[23, Lemma 3.4.4\]](#))

$$\mathbf{R}_X = \text{Stab}_{\mathbf{K}}(\phi_{\mathfrak{t}}(\mathring{\mathbf{e}})) \cap \text{Stab}_{\mathbf{K}}(\phi_{\mathfrak{t}}(\mathring{H})).$$

By the definition of  $\alpha$  and  $\phi_{\mathfrak{t}'}$ , we see

$$\alpha(\mathbf{R}_X) \subset \text{Stab}_{\mathbf{K}'}(\phi_{\mathfrak{t}'}(\mathring{\mathbf{e}})) \cap \text{Stab}_{\mathbf{K}'}(\phi_{\mathfrak{t}'}(\mathring{H})) = \mathbf{R}_{X'}.$$

The rest follows from the discussions before the lemma. □

Let  $A$ ,  $A_X$  and  $A'_{X'}$  be the component groups of  $G$ ,  $\mathbf{K}_X$  and  $\mathbf{K}'_{X'}$ , respectively. We will identify  $A$  with the component group of  $\mathbf{K}$  and let  $\chi|_{A_X}$  denote the pullback of  $\chi \in \widehat{A}$  via the natural map  $A_X \rightarrow A$ . The homomorphism  $\alpha: \mathbf{K}_X \rightarrow \mathbf{K}'_{X'}$  induces a homomorphism  $\alpha: A_X \rightarrow A'_{X'}$ , which further yields a homomorphism

$$\widehat{A'_{X'}} \rightarrow \widehat{A_X}, \quad \rho' \mapsto \rho' \circ \alpha.$$

**Lemma 15.23.** *The following map is surjective:*

$$\begin{aligned} \widehat{A} \times \widehat{A'_{X'}} &\twoheadrightarrow \widehat{A_X}, \\ (\chi, \rho') &\longmapsto \chi|_{A_X} \cdot (\rho' \circ \alpha). \end{aligned}$$

*Proof.* This follows easily from [Lemma 15.22](#) and [Lemma 15.17](#). □

<sup>4</sup>In fact, it is a similitude between the two formed spaces and satisfies  $L \circ \tau_l = \mathbf{i} \tau_l \circ L'$ .

sec:LVB

**15.8. Lifting of equivariant vector bundles and admissible orbit data.** Recall from the Introduction the complexification  $\tilde{\mathbf{K}}$  of  $\tilde{K}$ , which is  $\mathbf{K}$  except when  $G$  is a real symplectic group. For a nilpotent  $\mathbf{K}$ -orbit  $\mathcal{O} \in \text{Nil}_{\mathbf{K}}(\mathfrak{p})$ , let  $\mathcal{K}_{\mathcal{O}}^{\mathbb{P}}(\tilde{\mathbf{K}})$  denote the Grothendieck group of  $\mathfrak{p}$ -genuine  $\tilde{\mathbf{K}}$ -equivariant coherent sheaves on  $\mathcal{O}$ . This is a free abelian group with a free basis consisting of isomorphism classes of irreducible  $\mathfrak{p}$ -genuine  $\tilde{\mathbf{K}}$ -equivariant algebraic vector bundles on  $\mathcal{O}$ . Taking the isotropy representation at a point  $X \in \mathcal{O}$  yields an identification

$$(15.17) \quad \mathcal{K}_{\mathcal{O}}^{\mathbb{P}}(\tilde{\mathbf{K}}) = \mathcal{R}^{\mathbb{P}}(\tilde{\mathbf{K}}_X),$$

where the right hand side denotes the Grothendieck group of the category of  $\mathfrak{p}$ -genuine algebraic representations of the stabilizer group  $\tilde{\mathbf{K}}_X$ .

For  $\mathcal{O} \in \text{Nil}_{\mathbf{G}}(\mathfrak{g})$ , let  $\mathcal{K}_{\mathcal{O}}^{\mathbb{P}}(\tilde{\mathbf{K}})$  denote the Grothendieck group of  $\mathfrak{p}$ -genuine  $\tilde{\mathbf{K}}$ -equivariant coherent sheaves on  $\mathcal{O} \cap \mathfrak{p}$ . Since  $\mathcal{O} \cap \mathfrak{p}$  is the finite union of its  $\mathbf{K}$ -orbits, we have

$$(15.18) \quad \mathcal{K}_{\mathcal{O}}^{\mathbb{P}}(\tilde{\mathbf{K}}) = \bigoplus_{\mathcal{O} \text{ is a } \mathbf{K}\text{-orbit in } \mathcal{O} \cap \mathfrak{p}} \mathcal{K}_{\mathcal{O}}^{\mathbb{P}}(\tilde{\mathbf{K}}).$$

There is a natural partial order  $\geq$  on  $\mathcal{K}_{\mathcal{O}}^{\mathbb{P}}(\tilde{\mathbf{K}})$  and  $\mathcal{K}_{\mathcal{O}}^{\mathbb{P}}(\tilde{\mathbf{K}})$ : we say  $c_1 \geq c_2$  (or  $c_2 \leq c_1$ ) if  $c_1 - c_2$  is represented by a  $\mathfrak{p}$ -genuine  $\tilde{\mathbf{K}}$ -equivariant coherent sheaf. The same notation obviously applies to other Grothendieck groups.

**15.8.1. An algebraic character.** Attached to a rational dual pair  $(\mathbf{V}, \mathbf{V}')$ , there is a distinguished character  $\varsigma_{\mathbf{V}, \mathbf{V}'}$  of  $\tilde{\mathbf{K}} \times \tilde{\mathbf{K}}'$  arising from the oscillator representation, which we shall describe.

When  $G$  is a real symplectic group, let  $\mathcal{X}_{\mathbf{V}}$  denote the  $\mathbf{i}$ -eigenspace of  $L$ . Then  $\tilde{\mathbf{K}}$  is identified with

$$\{ (g, c) \in \text{GL}(\mathcal{X}_{\mathbf{V}}) \times \mathbb{C}^{\times} \mid \det(g) = c^2 \}.$$

It has a character  $(g, c) \mapsto c$ , which is denoted by  $\det_{\mathcal{X}_{\mathbf{V}}}^{\frac{1}{2}}$ .

When  $G$  is a quaternionic orthogonal group, still let  $\mathcal{X}_{\mathbf{V}}$  denote the  $\mathbf{i}$ -eigenspace of  $L$ . Then  $\tilde{\mathbf{K}} = \text{GL}(\mathcal{X}_{\mathbf{V}})$ . Let  $\det_{\mathcal{X}_{\mathbf{V}}}$  denote its determinant character.

Write  $\text{Sign}(\mathbf{V}') = (n'^+, n'^-)$ . Then the character  $\varsigma_{\mathbf{V}, \mathbf{V}'}|_{\tilde{\mathbf{K}}}$  is given by the following formula:

$$\varsigma_{\mathbf{V}, \mathbf{V}'}|_{\tilde{\mathbf{K}}} := \begin{cases} \left( \det_{\mathcal{X}_{\mathbf{V}}}^{\frac{1}{2}} \right)^{n'^+ - n'^-}, & \text{if } G \text{ is a real symplectic group;} \\ \det_{\mathcal{X}_{\mathbf{V}}}^{\frac{n'^+ - n'^-}{2}}, & \text{if } G \text{ is a quaternionic orthogonal group;} \\ \text{the trivial character,} & \text{otherwise.} \end{cases}$$

The character  $\varsigma_{\mathbf{V}, \mathbf{V}'}|_{\tilde{\mathbf{K}}'}$  is given by a similar formula with  $n'^+ - n'^-$  replaced by  $n^- - n^+$ , where  $(n^+, n^-) = \text{Sign}(\mathbf{V})$ .

**15.8.2. Lift of algebraic vector bundles.** In the rest of this section, we assume that  $\mathfrak{p}$  is the parity of  $\dim \mathbf{V}$  if  $\epsilon = 1$ , and the parity of  $\dim \mathbf{V}'$  if  $\epsilon' = 1$ . Then  $\varsigma_{\mathbf{V}, \mathbf{V}'}|_{\tilde{\mathbf{K}}}$  and  $\varsigma_{\mathbf{V}, \mathbf{V}'}|_{\tilde{\mathbf{K}}'}$  are  $\mathfrak{p}$ -genuine.

Suppose  $T \in \mathcal{X}^{\circ}$  realizes the descent from  $X = M(T) \in \mathcal{O} \in \text{Nil}_{\mathbf{K}}(\mathfrak{p})$  to  $X' = M'(T) \in \mathcal{O}' \in \text{Nil}_{\mathbf{K}'}(\mathfrak{p}')$ . Let  $\alpha: \mathbf{K}_X \rightarrow \mathbf{K}'_{X'}$  be the homomorphism as in (15.15).

Let  $\rho'$  be a  $\mathfrak{p}$ -genuine algebraic representation of  $\tilde{\mathbf{K}}'_{X'}$ . Then the representation  $\varsigma_{\mathbf{V}, \mathbf{V}'}|_{\tilde{\mathbf{K}}'_{X'}} \otimes \rho'$  of  $\tilde{\mathbf{K}}'_{X'}$  descends to a representation of  $\mathbf{K}'_{X'}$ . Define

$$(15.19) \quad \vartheta_T(\rho') := \varsigma_{\mathbf{V}, \mathbf{V}'}|_{\tilde{\mathbf{K}}_X} \otimes (\varsigma_{\mathbf{V}, \mathbf{V}'}|_{\tilde{\mathbf{K}}'_{X'}} \otimes \rho') \circ \alpha,$$

{idenkr}

eq:dec.K0}

ec:lift.AC

tlift.rho}

which is a  $\mathfrak{p}$ -genuine algebraic representation of  $\tilde{\mathbf{K}}_X$ .

Clearly  $\vartheta_T$  induces a homomorphism from  $\mathcal{R}^{\mathfrak{p}}(\tilde{\mathbf{K}}'_{X'})$  to  $\mathcal{R}^{\mathfrak{p}}(\tilde{\mathbf{K}}_X)$ . In view of (15.17), we thus have a homomorphism

$$(15.20) \quad \vartheta_{\mathcal{O}', \mathcal{O}}: \mathcal{K}_{\mathcal{O}'}^{\mathfrak{p}}(\tilde{\mathbf{K}}') \longrightarrow \mathcal{K}_{\mathcal{O}}^{\mathfrak{p}}(\tilde{\mathbf{K}}).$$

This is independent of the choice of  $T$ .

Suppose  $\mathcal{O} \in \text{Nil}_{\mathbf{G}}(\mathfrak{g})$  and  $\mathcal{O}' = \nabla(\mathcal{O}) \in \text{Nil}_{\mathbf{G}'}(\mathfrak{g}')$ . Using decomposition (15.18), we define a homomorphism

$$(15.21) \quad \vartheta_{\mathcal{O}', \mathcal{O}} := \sum_{\substack{\mathcal{O} \subset \mathcal{O} \cap \mathfrak{p} \\ \mathcal{O}' = \nabla(\mathcal{O}) \subset \mathfrak{p}'}} \vartheta_{\mathcal{O}', \mathcal{O}}: \mathcal{K}_{\mathcal{O}'}^{\mathfrak{p}}(\tilde{\mathbf{K}}') \longrightarrow \mathcal{K}_{\mathcal{O}}^{\mathfrak{p}}(\tilde{\mathbf{K}})$$

where the summation is over all pairs  $(\mathcal{O}, \mathcal{O}')$  such that  $\mathcal{O}' \subset \mathfrak{p}'$  is the descent of  $\mathcal{O} \subset \mathcal{O} \cap \mathfrak{p}$ .

Now suppose  $\mathcal{O}' = \nabla_{\mathbf{V}, \mathbf{V}'}^{\text{gen}}(\mathcal{O}) \in \text{Nil}_{\mathbf{K}'}(\mathfrak{p}')$  is the generalized decent of  $\mathcal{O} \in \text{Nil}_{\mathbf{K}}(\mathfrak{p})$ . From the discussion in Section 15.7.2, there is an  $(\epsilon', \epsilon')$ -space decomposition  $\mathbf{V}' = \mathbf{V}'_1 \oplus \mathbf{V}'_2$  and an element

$$T \in \mathcal{X}_1^{\circ} := \{w \in \text{Hom}(\mathbf{V}, \mathbf{V}'_1) \mid w \text{ is surjective}\} \cap \mathcal{X} \subseteq \mathcal{X}^{\text{gen}}$$

such that  $\mathcal{O}'_1 := \mathbf{K}'_1 \cdot X' \in \text{Nil}_{\mathbf{K}'_1}(\mathfrak{p}'_1)$  is the descent of  $\mathcal{O}$ . Here  $X' := M'(T) \in \mathcal{O}'$ ,  $\mathbf{K}'_i := \mathbf{G}_{\mathbf{V}'_i}^{L'}$  is a subgroup of  $\mathbf{K}'$  for  $i = 1, 2$ , and  $\mathfrak{p}'_1 := \mathfrak{p}_{\mathbf{V}'_1}$ .

Let  $X := M(T) \in \mathcal{O}$  and

$$(15.22) \quad \alpha_1: \mathbf{K}_X \rightarrow \mathbf{K}'_{1, X'}$$

be the (surjective) homomorphism defined in (15.15) with respect to the descent from  $\mathcal{O}$  to  $\mathcal{O}'_1$ . Then the stabilizer  $\mathbf{S}_T := \text{Stab}_{\mathbf{K} \times \mathbf{K}'}(T)$  of  $T$  is given by

$$(15.23) \quad \mathbf{S}_T = \{ (k, \alpha_1(k)k'_2) \in \mathbf{K} \times \mathbf{K}' \mid k \in \mathbf{K} \text{ and } k'_2 \in \mathbf{K}'_2 \}.$$

For a  $\mathfrak{p}$ -genuine algebraic representation  $\rho'$  of  $\tilde{\mathbf{K}}'_{X'}$ , define a representation

$$(15.24) \quad \vartheta_T^{\text{gen}}(\rho') := \varsigma_{\mathbf{V}, \mathbf{V}'}|_{\tilde{\mathbf{K}}_X} \otimes \left( (\varsigma_{\mathbf{V}, \mathbf{V}'}|_{\tilde{\mathbf{K}}'_{X'}} \otimes \rho')^{\mathbf{K}'_2} \right) \circ \alpha_1.$$

Clearly, (15.24) is a generalization of (15.19), as  $\mathbf{K}'_2$  is the trivial group in the latter case. As in the descent case,  $\vartheta_T^{\text{gen}}$  induces a homomorphism

$$\vartheta_{\mathcal{O}', \mathcal{O}}: \mathcal{K}_{\tilde{\mathbf{K}}'}^{\mathfrak{p}}(\mathcal{O}') \rightarrow \mathcal{K}_{\tilde{\mathbf{K}}}^{\mathfrak{p}}(\mathcal{O}).$$

Furthermore, (15.21) is extended to the generalized descent case: for every pair  $(\mathcal{O}, \mathcal{O}') \in \text{Nil}_{\mathbf{G}}(\mathfrak{g}) \times \text{Nil}_{\mathbf{G}'}(\mathfrak{g}')$  with  $\mathcal{O}' = \nabla_{\mathbf{V}, \mathbf{V}'}^{\text{gen}}(\mathcal{O})$ , we define a homomorphism

$$\vartheta_{\mathcal{O}', \mathcal{O}} := \sum_{\substack{\mathcal{O} \subset \mathcal{O} \cap \mathfrak{p}, \\ \mathcal{O}' = \nabla_{\mathbf{V}, \mathbf{V}'}^{\text{gen}}(\mathcal{O}) \subset \mathfrak{p}'}} \vartheta_{\mathcal{O}', \mathcal{O}}: \mathcal{K}_{\tilde{\mathbf{K}}'}^{\mathfrak{p}}(\mathcal{O}') \rightarrow \mathcal{K}_{\tilde{\mathbf{K}}}^{\mathfrak{p}}(\mathcal{O}).$$

**15.8.3. Lift of admissible orbit data.** Now let  $\mathcal{O}$  be a  $\mathbf{K}$ -orbit in  $\mathcal{O} \cap \mathfrak{p}$ , where  $\mathcal{O} \in \text{Nil}_{\mathbf{G}}^{\mathfrak{p}}(\mathfrak{g})$ . Let  $X \in \mathcal{O}$ . The component group  $A_X := \mathbf{K}_X / \mathbf{K}_X^{\circ}$  is an elementary abelian 2-group by Section 15.6.2. Let  $\mathfrak{k}_X$  be the Lie algebra of  $\mathbf{K}_X$ . Denote by  $\tilde{\mathbf{K}}_X \rightarrow \mathbf{K}_X$  the covering map induced by the covering  $\tilde{\mathbf{K}} \rightarrow \mathbf{K}$ .

We make the following definition.

**Definition 15.24** ([83, Definition 7.13]). *Let  $\gamma_X$  denote the one-dimensional  $\mathbf{K}_X$ -module  $\bigwedge^{\text{top}} \mathfrak{k}_X$  and let  $d\gamma_X$  be its differential.*<sup>5</sup> *An irreducible representation  $\rho$  of  $\tilde{\mathbf{K}}_X$  is called admissible if*

<sup>5</sup> $d\gamma_X$  is the same as  $d\gamma_{\mathfrak{k}}$  in [83, Theorem 7.11] since  $\mathfrak{k}$  is reductive.

(i) its differential  $d\rho$  is isomorphic to a multiple of  $\frac{1}{2}d\gamma_X$ , equivalently,

$$\rho(\exp(x)) = \gamma_X(\exp(x/2)) \cdot \text{id}, \quad \text{for all } x \in \mathfrak{k}_X, \text{ and}$$

(ii) it is  $\mathfrak{p}$ -genuine.

Let  $\Phi_X$  denote the set of all isomorphism classes of admissible irreducible representations of  $\tilde{\mathbf{K}}_X$ .

Definition 15.24 is obviously consistent with Definition 1.9, since a representation  $\rho \in \Phi_X$  determines an admissible orbit datum  $\mathcal{E} \in \mathcal{K}_{\mathcal{O}}^{\text{aod}}(\tilde{\mathbf{K}})$ , where  $\mathcal{E}$  is a  $\tilde{\mathbf{K}}$ -equivariant algebraic vector bundle on  $\mathcal{O}$  whose isotropy representation  $\mathcal{E}_X$  at  $X$  is isomorphic to  $\rho$ . We therefore have an identification

$$(15.25) \quad \mathcal{K}_{\mathcal{O}}^{\text{aod}}(\tilde{\mathbf{K}}) = \Phi_X.$$

From the structure of  $\mathbf{K}_X$  in Lemma 15.16 and Lemma 15.17, it is easy to see that  $\Phi_X$  consists of one-dimensional representations.

**Lemma 15.25.** *The tensor product yields a simply transitive action of the character group  $\widehat{A}_X$  on the set  $\Phi_X$ .*

*Proof.* It is clear that we only need to show that  $\Phi_X$  is nonempty. Consider a rational dual pair  $(\mathbf{V}, \mathbf{V}')$  such that  $\mathcal{O}' = \nabla(\mathcal{O}) \in \text{Nil}_{\mathbf{K}'}(\mathfrak{p}')$  is the descent of  $\mathcal{O} \in \text{Nil}_{\mathbf{K}}(\mathfrak{p})$ . Suppose that  $T \in \mathcal{X}^{\circ}$  realizes the descent from  $X$  to  $X' \in \mathcal{O}'$ . The proof of [53, Proposition 6.1] shows that if  $\rho' \in \Phi_{X'}$  is admissible, then  $\vartheta_T(\rho')$  is admissible. Therefore, we may do reductions and eventually reduce the problem to the case when  $\mathbf{V}$  is the zero space. It is clear that  $\Phi_X$  is a singleton in this case.  $\square$

*Remark.* By Lemma 15.25, the set  $\mathcal{K}_{\mathcal{O}}^{\text{aod}}(\tilde{\mathbf{K}})$  of admissible orbit data over  $\mathcal{O}$  has  $2^r$  elements, where  $r$  is the number of orthogonal groups appearing in the decomposition of  $\mathbf{R}_X$  in Lemma 15.16.

As in the proof of Lemma 15.25, the homomorphism (15.20) restricts to a map

$$(15.26) \quad \vartheta_{\mathcal{O}', \mathcal{O}}: \mathcal{K}_{\mathcal{O}'}^{\text{aod}}(\tilde{\mathbf{K}}') \rightarrow \mathcal{K}_{\mathcal{O}}^{\text{aod}}(\tilde{\mathbf{K}}).$$

**Lemma 15.26.** *Let  $A$  be the component group of  $G$  which is identified with the component group of  $\mathbf{K}$ . Then the following map is surjective.<sup>6</sup>*

$$\begin{aligned} \hat{A} \times \mathcal{K}_{\mathcal{O}'}^{\text{aod}}(\tilde{\mathbf{K}}') &\longrightarrow \mathcal{K}_{\mathcal{O}}^{\text{aod}}(\tilde{\mathbf{K}}), \\ (\chi, \mathcal{E}') &\longmapsto \chi \otimes \vartheta_{\mathcal{O}', \mathcal{O}}(\mathcal{E}'). \end{aligned}$$

In particular,  $\mathcal{K}_{\mathcal{O}}^{\text{aod}}(\tilde{\mathbf{K}})$  is a singleton if  $G$  is a quaternionic group.

*Proof.* This follows from Lemma 15.23 and Lemma 15.25.  $\square$

## 16. MATRIX COEFFICIENT INTEGRALS AND DEGENERATE PRINCIPAL SERIES

In this section, we define the notion of a  $\nu$ -bounded representation and investigate the matrix coefficient integrals of such representations against the oscillator representation as well as certain degenerate principle series.

<sup>6</sup>Under the identification (15.25), the tensor product of a character  $\chi \in \hat{A}$  on  $\mathcal{K}_{\mathcal{O}}^{\text{aod}}(\tilde{\mathbf{K}})$  is identified with the tensor product of  $\chi|_{A_X}$  with the isotropy representation.

**16.1. Matrix coefficients: growth and positivity.** In this subsection, let  $G$  be an arbitrary real reductive group.

**Definition 16.1.** A (complex valued) function  $\ell$  on  $G$  is said to be of logarithmic growth if there is a continuous homomorphism  $\sigma: G \rightarrow \mathrm{GL}_n(\mathbb{R})$  for some  $n \geq 1$ , and an integer  $d \geq 0$  such that

$$|\ell(g)| \leq (\log(1 + \mathrm{tr}((\sigma(g))^t \cdot \sigma(g))))^d \quad \text{for all } g \in G.$$

Assume that a maximal compact subgroup  $K$  of  $G$  is given. Let  $\Xi_G$  denote Harish-Chandra's  $\Xi$ -function on  $G$  associated to  $K$ .

**Definition 16.2.** Let  $\nu \in \mathbb{R}$ . A function  $f$  on  $G$  is said to be  $\nu$ -bounded if there is a positive function  $\ell$  on  $G$  of logarithmic growth such that

$$|f(g)| \leq \ell(g) \cdot (\Xi_G(g))^\nu \quad \text{for all } g \in G.$$

We remark that the definition is independent of the choice of  $K$ .

integrability

**Lemma 16.3.** Assume that the identity connected component of  $G$  has a compact center. Then for all  $\nu > 2$ , every  $\nu$ -bounded continuous function on  $G$  is integrable (with respect to a Haar measure).

*Proof.* This follows easily from the well-known estimate of Harish-Chandra's  $\Xi$ -function, see [86, Theorem 4.5.3].  $\square$

**Definition 16.4.** Let  $\nu \in \mathbb{R}$ . A Casselman-Wallach representation  $\pi$  of  $G$  is said to be  $\nu$ -bounded if there is a  $\nu$ -bounded positive function  $f$  on  $G$ , and continuous seminorms  $|\cdot|_\pi$  on  $\pi$  and  $|\cdot|_{\pi^\vee}$  on  $\pi^\vee$  such that

$$|\langle g \cdot u, v \rangle| \leq f(g) \cdot |u|_\pi \cdot |v|_{\pi^\vee},$$

for all  $g \in G$ ,  $u \in \pi$ ,  $v \in \pi^\vee$ .

We also recall the following positivity result on diagonal matrix coefficients, which is a special case of [32, Theorem A. 5].

positivity

**Proposition 16.5.** Let  $G$  be a real reductive group with a maximal compact subgroup  $K$ . Let  $\pi_1$  and  $\pi_2$  be two unitary representations of  $G$  such that  $\pi_2$  is weakly contained in the regular representation. Let

$$u := \sum_{i=1}^s u_i \otimes v_i \in \pi_1 \otimes \pi_2.$$

Assume that

- (a) for all  $i, j = 1, 2, \dots, s$ , the function  $g \mapsto \langle g \cdot u_i, u_j \rangle \Xi_G(g)$  on  $G$  is absolutely integrable with respect to a Haar measure  $dg$  on  $G$ ;
- (b)  $v_1, v_2, \dots, v_s$  are all  $K$ -finite.

Then the integral

$$\int_G \langle g \cdot u, u \rangle dg$$

absolutely converges to a nonnegative real number.

sec:MCI

**16.2. Theta lifting via matrix coefficient integrals.** Let  $\Psi_{\mathbf{W}}$  be the positive function on  $G_{\mathbf{W}}$  which is bi- $K_{\mathbf{W}}$ -invariant such that

$$\Psi_{\mathbf{W}}(g) = \prod_a (1+a)^{-\frac{1}{2}}, \quad \text{for every } g \in S_{\mathbf{W}},$$

where  $a$  runs over all eigenvalues of  $g$ , counted with multiplicities. By abuse of notation, we still use  $\Psi_{\mathbf{W}}$  to denote the pullback function through the covering map  $\tilde{G}_{\mathbf{W}} \rightarrow G_{\mathbf{W}}$ .

estosc

**Lemma 16.6.** *Extend the irreducible representation  $\omega_{\mathbf{V}, \mathbf{V}'}|_{\mathbf{H}(W)}$  to the group  $\tilde{G}_{\mathbf{W}} \ltimes \mathbf{H}(W)$ . Then there exists continuous seminorms  $|\cdot|_1$  on  $\omega_{\mathbf{V}, \mathbf{V}'}$  and  $|\cdot|_2$  on  $\omega_{\mathbf{V}, \mathbf{V}'}$  such that*

$$|\langle g \cdot \phi, \phi' \rangle| \leq \Psi_{\mathbf{W}}(g) \cdot |\phi|_1 \cdot |\phi'|_2, \quad \text{for all } g \in \tilde{G}_{\mathbf{W}}, \phi \in \omega_{\mathbf{V}, \mathbf{V}'}, \phi' \in \omega_{\mathbf{V}, \mathbf{V}'},$$

*Proof.* This is in the proof of [Li89, Theorem 3.2].  $\square$

Define

$$\dim^{\circ} \mathbf{V} := \begin{cases} \dim \mathbf{V}, & \text{if } G \text{ is real symplectic;} \\ \dim \mathbf{V} - 1, & \text{if } G \text{ is quaternionic symplectic;} \\ \dim \mathbf{V} - 2, & \text{if } G \text{ is real orthogonal;} \\ \dim \mathbf{V} - 3, & \text{if } G \text{ is quaternionic orthogonal.} \end{cases}$$

Let  $\Psi_{\mathbf{W}}|_{\tilde{G} \times \tilde{G}'}$  denote the pull back of  $\Psi_{\mathbf{W}}$  through the natural homomorphism  $\tilde{G} \times \tilde{G}' \rightarrow \tilde{G}_{\mathbf{W}}$ .

estosc2

**Lemma 16.7.** *Assume that both  $\dim^{\circ} \mathbf{V}$  and  $\dim^{\circ} \mathbf{V}'$  are positive. Then the pointwise inequality*

$$\Psi_{\mathbf{W}}|_{\tilde{G} \times \tilde{G}'} \leq (\Xi_{\tilde{G}})^{\frac{\dim \mathbf{V}'}{\dim^{\circ} \mathbf{V}}} \cdot (\Xi_{\tilde{G}'})^{\frac{\dim \mathbf{V}}{\dim^{\circ} \mathbf{V}'}}$$

*holds.*

*Proof.* This is easy to check, by using the well-known estimate of Harish-Chandra's  $\Xi$ -function (cf. [W81, Theorem 4.5.3].)  $\square$

**16.2.1. Matrix coefficient integrals against oscillator representations.** Let  $\pi$  be a Casselman-Wallach representation of  $\tilde{G}$ .

defn:CR

**Definition 16.8.** *Assume that  $\dim^{\circ} \mathbf{V} > 0$ . The pair  $(\pi, \mathbf{V}')$  is said to be in the convergent range if*

- $\pi$  is  $\nu_{\pi}$ -bounded for some  $\nu_{\pi} > 2 - \frac{\dim \mathbf{V}'}{\dim^{\circ} \mathbf{V}}$ ;
- if  $G$  is a real symplectic group, then  $\varepsilon_G$  acts on  $\pi$  through the scalar multiplication by  $(-1)^{\dim \mathbf{V}'}$ .

In the rest of this section, assume that  $\dim^{\circ} \mathbf{V} > 0$  and the pair  $(\pi, \mathbf{V}')$  is in the convergent range. Consider the following integral:

$$(16.1) \quad (\pi \hat{\otimes} \omega_{\mathbf{V}, \mathbf{V}'} \times (\pi^{\vee} \hat{\otimes} \omega_{\mathbf{V}, \mathbf{V}'}^{\vee}) \longrightarrow \mathbb{C}, \\ (u, v) \longmapsto \int_{\tilde{G}} \langle g \cdot u, v \rangle dg.$$

intpi

**Lemma 16.9.** *The integrals in (16.1) are absolutely convergent and yield a continuous bilinear map.*

*Proof.* This is implied by Lemma 16.7 and Lemma 16.3.  $\square$

In view of Lemma 16.9, we define

$$(16.2) \quad \bar{\Theta}_{\mathbf{V}, \mathbf{V}'}(\pi) := \frac{\pi \hat{\otimes} \omega_{\mathbf{V}, \mathbf{V}'}}{\text{the left kernel of (16.1)}}$$

This is a Casselman-Wallach representation of  $\tilde{G}'$ , since it is a quotient of the full theta lift  $(\pi \hat{\otimes} \omega_{\mathbf{V}, \mathbf{V}'})_G$  (the Hausdorff coinvariant space).

**Lemma 16.10.** *Assume that  $\dim^\circ \mathbf{V}' > 0$ . Then the representation  $\bar{\Theta}_{\mathbf{V}, \mathbf{V}'}(\pi)$  is  $\frac{\dim \mathbf{V}}{\dim^\circ \mathbf{V}'}$ -bounded.*

*Proof.* This is also implied by Lemma 16.7 and Lemma 16.3.  $\square$

16.2.2. *Unitarity.*

**Theorem 16.11.** *Assume that  $\dim \mathbf{V}' \geq \dim^\circ \mathbf{V}$  and  $\pi$  is  $\nu_\pi$ -bounded for some*

$$\nu_\pi > \begin{cases} 2 - \frac{\dim \mathbf{V}'}{\dim^\circ \mathbf{V}}, & \text{if } \dim^\circ \mathbf{V} \text{ is even,} \\ 2 - \frac{\dim \mathbf{V}' - 1}{\dim^\circ \mathbf{V}}, & \text{if } \dim^\circ \mathbf{V} \text{ is odd.} \end{cases}$$

*Then  $\bar{\Theta}_{\mathbf{V}, \mathbf{V}'}(\pi)$  is unitarizable if  $\pi$  is unitarizable. Moreover, when  $\pi$  is irreducible and unitarizable, and  $\bar{\Theta}_{\mathbf{V}, \mathbf{V}'}(\pi)$  is nonzero, then  $\bar{\Theta}_{\mathbf{V}, \mathbf{V}'}(\pi)$  is irreducible unitarizable.*

*Proof.* Assume that  $\pi$  is unitarizable. For the first claim, it suffices to show that

$$(16.3) \quad \int_{\tilde{G}} \langle g \cdot u, u \rangle dg \geq 0 \quad \text{for all } u \text{ in a dense subspace of } \pi \hat{\otimes} \omega_{\mathbf{V}, \mathbf{V}'}.$$

Here  $\langle \cdot, \cdot \rangle$  denotes a Hermitian inner product on  $\pi \hat{\otimes} \omega_{\mathbf{V}, \mathbf{V}'}$  which is invariant under  $\tilde{G} \times ((\tilde{G} \times \tilde{G}') \ltimes H(W))$ .

Take an orthogonal decomposition

$$\mathbf{V}' = \mathbf{V}'_1 \oplus \mathbf{V}'_2$$

which is stable under both  $J'$  and  $L'$  such that

$$\dim \mathbf{V}'_2 = \begin{cases} \dim^\circ \mathbf{V}, & \text{if } \dim^\circ \mathbf{V} \text{ is even.} \\ \dim^\circ \mathbf{V} + 1, & \text{if } \dim^\circ \mathbf{V} \text{ is odd.} \end{cases}$$

(When  $\dim^\circ \mathbf{V}$  is odd,  $\dim \mathbf{V}'$  is always even.) Write

$$\pi \hat{\otimes} \omega_{\mathbf{V}, \mathbf{V}'} = (\pi \hat{\otimes} \omega_{\mathbf{V}, \mathbf{V}'_1}) \hat{\otimes} \omega_{\mathbf{V}, \mathbf{V}'_2}.$$

Note that the Hilbert space completions of  $\pi \hat{\otimes} \omega_{\mathbf{V}, \mathbf{V}'_1}$  and  $\omega_{\mathbf{V}, \mathbf{V}'_2}$ , viewed as unitary representations of  $\tilde{G}$ , satisfy the hypothesis for  $\pi_1$  and  $\pi_2$  in Proposition 16.5. For the latter, see [50, Theorem 3.2]. Thus (16.3) follows by Proposition 16.5.

The second claim follows from the fact that  $\bar{\Theta}_{\mathbf{V}, \mathbf{V}'}(\pi)$  is a quotient of  $(\omega_{\mathbf{V}, \mathbf{V}'} \hat{\otimes} \pi)_G$  which is of finite length and has a unique irreducible quotient [40].  $\square$

**16.3. An equality of associated characters in the convergent range.** In this subsection, we assume that  $\dim^\circ \mathbf{V} > 0$ . The purpose of this section is to prove the following theorem.

**Theorem 16.12.** *Let  $\mathcal{O} \in \text{Nil}_{\mathbf{G}}(\mathfrak{g})$  and  $\mathcal{O}' \in \text{Nil}_{\mathbf{G}'}(\mathfrak{g}')$  such that  $\mathcal{O}$  is the descent of  $\mathcal{O}'$ . Write  $\mathbf{D}(\mathcal{O}') = [c_0, c_1, \dots, c_k]$  ( $k \geq 1$ ). Assume that  $c_0 > c_1$  when  $G$  is a real symplectic group. Then for every  $\mathcal{O}$ -bounded Casselman-Wallach representation  $\pi$  of  $\tilde{G}$  such that  $(\pi, \mathbf{V}')$  is in the convergent range (see Definition 16.8),  $\bar{\Theta}_{\mathbf{V}, \mathbf{V}'}(\pi)$  is  $\mathcal{O}'$ -bounded and*

$$(16.4) \quad \text{Ch}_{\mathcal{O}'}(\bar{\Theta}_{\mathbf{V}, \mathbf{V}'}(\pi)) = \vartheta_{\mathcal{O}, \mathcal{O}'}(\text{Ch}_{\mathcal{O}}(\pi)).$$

*Remark.* When  $(\mathbf{V}, \mathbf{V}')$  is in the stable range, Theorem 16.12 is proved for unitary representations  $\pi$  in [53].

We keep the setting of Theorem 16.12. First observe that the Harish-Chandra module of  $\bar{\Theta}_{\mathbf{V}, \mathbf{V}'}(\pi)$  is isomorphic to a quotient of  $\check{\Theta}(\pi^{\text{al}})$ , where  $\pi^{\text{al}}$  denotes the Harish-Chandra module of  $\pi$ . Thus Theorem 15.6 implies that  $\bar{\Theta}_{\mathbf{V}, \mathbf{V}'}(\pi)$  is  $\mathcal{O}'$ -bounded and

$$(16.5) \quad \text{Ch}_{\mathcal{O}'} \bar{\Theta}_{\mathbf{V}, \mathbf{V}'}(\pi) \leq \vartheta_{\mathcal{O}, \mathcal{O}'}(\text{Ch}_{\mathcal{O}}(\pi)).$$

We will devote the rest of this section to prove that the equality in (16.5) holds.

**16.3.1. Doubling.** We consider a two-step theta lifting. Let  $\mathbf{U}$  be as in (14.7). We realize  $\mathbf{V}$  as a non-generate  $(\epsilon, \epsilon)$ -subspace of  $\mathbf{U}$  and write  $\mathbf{V}^\perp$  for the orthogonal complement of  $\mathbf{V}$  in  $\mathbf{U}$ , which is also an  $(\epsilon, \epsilon)$ -space.

Note that  $\dim^\circ \mathbf{V}' > 0$  and Lemma 16.10 implies that  $(\bar{\Theta}_{\mathbf{V}, \mathbf{V}'}(\pi), \mathbf{V}^\perp)$  is in the convergent range. Comparing (??) with (14.7), we have  $\nu_{\mathbf{U}, \mathbf{V}} = \frac{\dim \mathbf{V}'}{\dim^\circ \mathbf{V}}$ . Thus (14.5) holds and  $\mathcal{R}_{\bar{\Theta}_{\mathbf{U}(1_{\mathbf{V}'})}}(\pi)$  is defined by ??.

**Lemma 16.13.** *One has that*

$$(16.6) \quad \bar{\Theta}_{\mathbf{V}', \mathbf{V}^\perp}(\bar{\Theta}_{\mathbf{V}, \mathbf{V}'}(\pi)) \cong \mathcal{R}_{\bar{\Theta}_{\mathbf{V}', \mathbf{U}(1_{\mathbf{V}'})}}(\pi).$$

*Proof.* Note that the integral in

$$(16.7) \quad (\pi \hat{\otimes} \omega_{\mathbf{V}, \mathbf{V}'} \hat{\otimes} \omega_{\mathbf{V}', \mathbf{V}^\perp}) \times (\pi^\vee \hat{\otimes} \omega_{\mathbf{V}, \mathbf{V}'}^\vee \hat{\otimes} \omega_{\mathbf{V}', \mathbf{V}^\perp}^\vee) \longrightarrow \mathbb{C}$$

$$(u, v) \longmapsto \int_{\tilde{G} \times \tilde{G}'} \langle g \cdot u, v \rangle dg$$

is absolutely convergent and defines a continuous bilinear map. In view of Fubini's theorem and Lemma 5.10, the lemma follows as both sides of (16.6) are isomorphic to the quotient of  $\pi \hat{\otimes} \omega_{\mathbf{V}, \mathbf{V}'} \hat{\otimes} \omega_{\mathbf{V}', \mathbf{V}^\perp}$  by the left radical of the pairing (16.7).  $\square$

We will use (16.6) freely in the rest of this section.

**16.3.2. On certain induced orbits.** The main step in the proof of Theorem 16.12 consists of comparison of bound of the associated cycle of  $\bar{\Theta}_{\mathbf{V}', \mathbf{V}^\perp}(\bar{\Theta}_{\mathbf{V}, \mathbf{V}'}(\pi))$  with the formula (due to Barbasch) of the wavefront cycle of a certain parabolically induced representation.

In this section, let  $\mathfrak{g}_{\mathbb{R}} = \mathfrak{g}^J$  be the Lie algebra of  $G$ , which is identified with its dual space  $\mathfrak{g}_{\mathbb{R}}^*$  under the trace form. Let  $\text{Nil}_G(\mathfrak{ig}_{\mathbb{R}})$  denote the set of nilpotent  $G$ -orbits in  $\mathfrak{ig}_{\mathbb{R}}$ . Similar notation will be used without further explanation. For example, for every Levi subgroup  $M$  of  $G$ ,  $\mathfrak{m}_{\mathbb{R}}$  denotes its Lie algebra, which is identified with the dual space  $\mathfrak{m}_{\mathbb{R}}^*$  by using the trace form on  $\mathfrak{g}$ .

Let

$$\text{KS}: \text{Nil}_G(\mathfrak{ig}_{\mathbb{R}}) \rightarrow \text{Nil}_{\mathbf{K}}(\mathfrak{p})$$

be the natural bijection given by the Kostant-Sekiguchi correspondence (cf. [76, Equation (6.7)]). By abuse of notation, we also let  $\check{\mathbf{D}}$  denote the map  $\check{\mathbf{D}} \circ \text{KS}: \text{Nil}_G(\mathfrak{ig}_{\mathbb{R}}) \rightarrow \check{\mathcal{P}}$ . See Section 15.6 for the parametrization map  $\check{\mathbf{D}}: \text{Nil}_{\mathbf{K}}(\mathfrak{p}) \rightarrow \check{\mathcal{P}}$ .

**Theorem 16.14** (cf. Barbasch [10, Corollary 5.0.10]). *Let  $G_1$  be an arbitrary real reductive group and let  $P_1$  be a real parabolic subgroup of  $G_1$ , namely a closed subgroup of  $G_1$  whose Lie algebra is a parabolic subalgebra of  $\mathfrak{g}_{1, \mathbb{R}}$ . Let  $N_1$  be the unipotent radical of  $P_1$  and  $M_1 := P_1/N_1$ . Write*

$$r_1: \mathfrak{i}(\mathfrak{g}_{1, \mathbb{R}}/\mathfrak{n}_{1, \mathbb{R}})^* \longrightarrow \mathfrak{i} \mathfrak{m}_{1, \mathbb{R}}^*$$



for the natural map. Let  $\pi_1$  be a Casselman-Wallach representation of  $M_1$  with the wavefront cycle

$$\mathrm{WF}(\pi_1) = \sum_{\mathcal{O}_{\mathbb{R}} \in \mathrm{Nil}_{M_1}(\mathbf{im}_{1,\mathbb{R}}^*)} c_{\mathcal{O}_{\mathbb{R}}}[\mathcal{O}_{\mathbb{R}}].$$

Then

$$\mathrm{WF}(\mathrm{Ind}_{P_1}^{G_1} \pi) = \sum_{(\mathcal{O}_{\mathbb{R}}, \mathcal{O}'_{\mathbb{R}})} c_{\mathcal{O}_{\mathbb{R}}} \frac{\#C_{G_1}(v')}{\#C_{P_1}(v')} [\mathcal{O}'_{\mathbb{R}}],$$

where the summation runs over all pairs  $(\mathcal{O}_{\mathbb{R}}, \mathcal{O}'_{\mathbb{R}})$  such that  $\mathcal{O}_{\mathbb{R}} \in \mathrm{Nil}_{M_1}(\mathbf{im}_{1,\mathbb{R}}^*)$  and  $\mathcal{O}'_{\mathbb{R}} \in \mathrm{Nil}_{G_1}(\mathbf{ig}_{1,\mathbb{R}}^*)$  is an induced orbit of  $\mathcal{O}_{\mathbb{R}}$ ,  $v'$  is an element of  $\mathcal{O}'_{\mathbb{R}} \cap r_1^{-1}(\mathcal{O}_{\mathbb{R}})$ , and  $C_{G_1}(v')$  and  $C_{P_1}(v')$  are the component groups of the centralizers of  $v'$  in  $G_1$  and  $P_1$ , respectively.

*Remarks.* 1. While Barbasch proved the theorem when  $G_1$  is the real points of a connected reductive algebraic group, his proof still works in the slightly more general setting of Theorem 16.14.

2. The notion of induced nilpotent orbits was introduced by Lusztig and Spaltenstein for complex reductive groups [54]. For a real reductive group  $G_1$ , a nilpotent orbit  $\mathcal{O}'_{\mathbb{R}} \in \mathrm{Nil}_G(\mathbf{ig}_{1,\mathbb{R}}^*)$  is called an induced orbit of a nilpotent orbit  $\mathcal{O}_{\mathbb{R}} \in \mathrm{Nil}_{M_1}(\mathbf{im}_{1,\mathbb{R}}^*)$  if  $\mathcal{O}'_{\mathbb{R}} \cap r_1^{-1}(\mathcal{O}_{\mathbb{R}})$  is open in  $r_1^{-1}(\mathcal{O}_{\mathbb{R}})$  (see [10, Definition 5.0.7] or [66]). We write  $\mathrm{Ind}_{P_1}^{G_1} \mathcal{O}_{\mathbb{R}}$  for the set of all induced orbits of  $\mathcal{O}_{\mathbb{R}}$ . Similar notation applies for a complex reductive group.

3. According to the fundamental result of Schmid-Vilonen [76], the wave front cycle and the associated cycle agree under the Kostant-Sekiguchi correspondence. We thank Professor Vilonen for confirming that their result extends to nonlinear groups. Therefore the associated cycle of a parabolically induced representation as in the above theorem of Barbasch is also determined.

We now consider induced orbits appearing in our cases. Retain the setting in Section 5.2 (see (14.4) and onwards), where

$$\mathbf{V}^{\perp} = \mathbf{E}_0 \oplus \mathbf{V}^{-} \oplus \mathbf{E}'_0,$$

$M_{\mathbf{E}_0} = G \times \mathrm{GL}_{\mathbf{E}_0}$  and the parabolic subgroup of  $G_{\mathbf{V}^{\perp}}$  stabilizing  $\mathbf{E}_0$  is  $P_{\mathbf{E}_0} = M_{\mathbf{E}_0} \ltimes N_{\mathbf{E}_0}$ .

Recall that  $\mathbf{D}(\mathcal{O}') = [c_0, \dots, c_k]$  and  $\mathbf{D}(\mathcal{O}) = [c_1, \dots, c_k]$ . Put  $l := \dim \mathbf{E}_0$ . In the notation of (14.7), we have

$$(16.8) \quad l = c_0 + \delta \geq c_1.$$

Note that if  $\mathbf{G}$  is an orthogonal group, then  $c_0 - c_1$  is even. Hence  $l - c_1$  is odd if  $G$  is real orthogonal and  $l - c_1$  is even if  $G$  is quaternionic orthogonal. View  $\mathcal{O}$  as a nilpotent orbit in  $\mathfrak{m}_{\mathbf{E}_0}$  via inclusion. The following lemma is clear (cf. [23, Section 7.3]).

**Lemma 16.15.** (i) If  $G$  is a real orthogonal group, then

$$\mathbf{D}(\mathrm{Ind}_{P_{\mathbf{E}_0}}^{\mathbf{G}_{\mathbf{V}^{\perp}}} \mathcal{O}) = [l + 1, l - 1, c_1, \dots, c_k].$$

(ii) Otherwise,

$$\mathbf{D}(\mathrm{Ind}_{P_{\mathbf{E}_0}}^{\mathbf{G}_{\mathbf{V}^{\perp}}} \mathcal{O}) = [l, l, c_1, \dots, c_k].$$

By [25, Theorem 5.2 and 5.6], the complex nilpotent orbit

$$\mathcal{O}^{\perp} := \mathfrak{v}_{\mathbf{V}', \mathbf{V}^{\perp}}(\mathcal{O}') = \mathfrak{v}_{\mathbf{V}', \mathbf{V}^{\perp}}(\mathfrak{v}_{\mathbf{V}, \mathbf{V}'}(\mathcal{O}))$$

is given by

$$\mathbf{D}(\mathcal{O}^{\perp}) = \begin{cases} [c_0 + 2, c_0, c_1, \dots, c_k], & \text{if } G \text{ is a real orthogonal group;} \\ [c_0 - 1, c_0 - 1, c_1, \dots, c_k], & \text{if } G \text{ is a real symplectic group;} \\ [c_0, c_0, c_1, \dots, c_k], & \text{otherwise.} \end{cases}$$

This implies that

$$\mathcal{O}^\perp = \text{Ind}_{\mathbf{P}_{\mathbf{E}_0}}^{G_{\mathbf{V}^\perp}} \mathcal{O}.$$

View each orbit in  $\text{Nil}_G(\mathbf{ig}_{\mathbb{R}})$  as an orbit in  $\text{Nil}_{M_{\mathbf{E}_0}}(\mathbf{im}_{\mathbf{E}_0}^J)$  via inclusion. We state the result for the induction of real nilpotent orbits in the following lemma. The proof will be given in Appendix A.3, which is by elementary matrix manipulations.

**Lemma 16.16.** *Let  $\mathcal{O}_{\mathbb{R}} \in \text{Nil}_G(\mathbf{ig}_{\mathbb{R}})$  be a real nilpotent orbit in  $\mathcal{O}$  with  $\ddot{\mathbf{D}}(\mathcal{O}_{\mathbb{R}}) = [d_1, \dots, d_k]$ .*

(i) *Suppose  $G$  is a real orthogonal group. Then  $\text{Ind}_{P_{\mathbf{E}_0}}^{G_{\mathbf{V}^\perp}} \mathcal{O}_{\mathbb{R}}$  consists of a single orbit  $\mathcal{O}_{\mathbb{R}}^\perp$  with*

$$\ddot{\mathbf{D}}(\mathcal{O}_{\mathbb{R}}^\perp) = [d_1 + s + (1, 1), \check{d}_1 + \check{s}, d_1, \dots, d_k],$$

where  $s := (\frac{l-c_1-1}{2}, \frac{l-c_1-1}{2}) \in \mathbb{Z}_{\geq 0}^2$ . Moreover, the natural map  $C_{P_{\mathbf{E}_0}}(X) \rightarrow C_{G_{\mathbf{V}^\perp}}(X)$  is injective and its image has index 2 in  $C_{G_{\mathbf{V}^\perp}}(X)$  for  $X \in \mathcal{O}_{\mathbb{R}}^\perp \cap (\mathcal{O}_{\mathbb{R}} + \mathbf{in}_{\mathbf{E}_0}^J)$ .

(ii) *Otherwise,  $\text{Ind}_{P_{\mathbf{E}_0}}^{G_{\mathbf{V}^\perp}} \mathcal{O}_{\mathbb{R}}$  equals the set*

$$\left\{ \mathcal{O}_{\mathbb{R}}^\perp \in \text{Nil}_{G_{\mathbf{V}^\perp}}(\mathbf{ig}_{\mathbf{V}^\perp}^J) \mid \begin{array}{l} \ddot{\mathbf{D}}(\mathcal{O}_{\mathbb{R}}^\perp) = [d_1 + s, \check{d}_1 + \check{s}, d_1, \dots, d_k] \text{ for a signature} \\ s \text{ of a } (-\epsilon, -\epsilon)\text{-space of dimension } l - c_1 \end{array} \right\}.$$

Moreover, the natural map  $C_{P_{\mathbf{E}_0}}(X) \rightarrow C_{G_{\mathbf{V}^\perp}}(X)$  is an isomorphism for  $X \in \mathcal{O}_{\mathbb{R}}^\perp \cap (\mathcal{O}_{\mathbb{R}} + \mathbf{in}_{\mathbf{E}_0}^J)$ .

Here  $C_{P_{\mathbf{E}_0}}(X)$  and  $C_{G_{\mathbf{V}^\perp}}(X)$  are the component groups as in Theorem 16.14. Under the setting of Lemma 16.16, we see that  $\text{Ind}_{P_{\mathbf{E}_0}}^{G_{\mathbf{V}^\perp}} \mathcal{O}_{\mathbb{R}}$  consists of a single orbit when  $G$  is a real orthogonal group or a quaternionic symplectic group.

For each  $\mathcal{O} \in \text{Nil}_{\mathbf{K}}(\mathfrak{p})$ , let

$$\text{Ind}_{P_{\mathbf{E}_0}}^{G_{\mathbf{V}^\perp}} \mathcal{O} := \left\{ \text{KS}(\mathcal{O}_{\mathbb{R}}) \mid \mathcal{O}_{\mathbb{R}} \in \text{Ind}_{P_{\mathbf{E}_0}}^{G_{\mathbf{V}^\perp}} (\text{KS}^{-1}(\mathcal{O})) \right\}.$$

**16.3.3. Finishing the proof when  $G$  is a real orthogonal group.** Let  $\text{sgn}_{\mathbf{V}}$  and  $\text{sgn}_{\mathbf{V}^\perp}$  be the sign character of the orthogonal groups  $G$  and  $G_{\mathbf{V}^\perp}$  respectively. By Proposition 14.4, Lemma 5.9 ?? and Lemma 5.10, we have

$$\begin{aligned} & \text{Ind}_{\tilde{P}_{\mathbf{E}_0}}^{\tilde{G}_{\mathbf{V}^\perp}} (\pi \otimes \chi_{\mathbf{E}_0}) \\ (16.9) \quad & \cong \mathcal{R}_{I(\chi_{\mathbf{E}})}(\pi) \cong \mathcal{R}_{\bar{\Theta}_{\mathbf{V}', \mathbf{U}}(1_{\mathbf{V}'})}(\pi) \oplus \mathcal{R}_{\bar{\Theta}_{\mathbf{V}', \mathbf{U}}(1_{\mathbf{V}'} \otimes \text{sgn}_{\mathbf{U}})}(\pi) \\ & \cong \bar{\Theta}_{\mathbf{V}', \mathbf{V}^\perp}(\bar{\Theta}_{\mathbf{V}, \mathbf{V}'}(\pi)) \oplus (\bar{\Theta}_{\mathbf{V}', \mathbf{V}^\perp}(\bar{\Theta}_{\mathbf{V}, \mathbf{V}'}(\pi \otimes \text{sgn}_{\mathbf{V}}))) \otimes \text{sgn}_{\mathbf{V}^\perp}. \end{aligned}$$

By Theorem 16.14, Lemma 16.15, Lemma 16.16 (i) and [76, Theorem 1.4], the representation  $\text{Ind}_{\tilde{P}_{\mathbf{E}_0}}^{\tilde{G}_{\mathbf{V}^\perp}} (\pi \otimes \chi_{\mathbf{E}_0})$  is  $\mathcal{O}^\perp$ -bounded, and

$$(16.10) \quad \text{AC}_{\mathcal{O}^\perp}(\text{Ind}_{\tilde{P}_{\mathbf{E}_0}}^{\tilde{G}_{\mathbf{V}^\perp}} (\pi \otimes \chi_{\mathbf{E}_0})) = 2 \sum_{\mathcal{O}} c_{\mathcal{O}}(\pi) [\mathcal{O}^\perp],$$

where the summation runs over all  $\mathbf{K}$ -orbits  $\mathcal{O}$  in  $\mathcal{O} \cap \mathfrak{p}_{\mathbf{V}}$ , and  $\mathcal{O}^\perp$  is the unique induced orbit in  $\text{Ind}_{P_{\mathbf{E}_0}}^{G_{\mathbf{V}^\perp}} \mathcal{O}$ .

On the other hand, by the explicit formula for the descents of nilpotent orbits, we have

$$\nabla_{\mathbf{V}', \mathbf{V}}(\nabla_{\mathbf{V}^\perp, \mathbf{V}'}(\mathcal{O}^\perp)) = \mathcal{O}.$$

Applying [Theorem 15.6](#) twice, we have

$$\begin{aligned} \text{AC}_{\mathcal{O}^\perp}(\bar{\Theta}_{\mathbf{V}', \mathbf{V}^\perp}(\bar{\Theta}_{\mathbf{V}, \mathbf{V}'}(\pi))) &\leq \sum_{\mathcal{O}} c_{\mathcal{O}}(\pi)[\mathcal{O}^\perp], \quad \text{and} \\ \text{AC}_{\mathcal{O}^\perp}(\bar{\Theta}_{\mathbf{V}', \mathbf{V}^\perp}(\bar{\Theta}_{\mathbf{V}, \mathbf{V}'}(\pi \otimes \text{sgn}_{\mathbf{V}}))) \otimes \text{sgn}_{\mathbf{V}^\perp} &\leq \sum_{\mathcal{O}} c_{\mathcal{O}}(\pi)[\mathcal{O}^\perp] \end{aligned} \quad (16.11)$$

where the summations run over the same set as the right hand side of (16.10).

In view of (16.9) to (16.11), we conclude that both inequalities in (16.11) are equalities. Thus (16.4) follows.

16.3.4. *Finishing the proof when  $G$  is a real symplectic group.* Let  $\mathbf{V}'_1, \mathbf{V}'_2, \dots, \mathbf{V}'_s$  be a list of representatives of the isomorphic classes of all  $(1, 1)$ -spaces with dimension  $\dim \mathbf{V}'$ .

By Proposition 14.4, Lemma 5.9 ?? and Lemma 5.10, we have

$$\begin{aligned} \text{Ind}_{\tilde{P}_{\mathbf{E}_0}}^{\tilde{G}_{\mathbf{V}^\perp}}(\pi \otimes \chi_{\mathbf{E}_0}) \oplus \text{Ind}_{\tilde{P}_{\mathbf{E}_0}}^{\tilde{G}_{\mathbf{V}^\perp}}(\pi \otimes \chi'_{\mathbf{E}_0}) \\ \cong \mathcal{R}_{I(\chi_{\mathbf{E}})}(\pi) \oplus \mathcal{R}_{I(\chi'_{\mathbf{E}})}(\pi) \\ \cong \bigoplus_{i=1}^s \mathcal{R}_{\bar{\Theta}_{\mathbf{V}'_i, \mathbf{U}(1_{\mathbf{V}'_i})}}(\pi) \cong \bigoplus_{i=1}^s \bar{\Theta}_{\mathbf{V}'_i, \mathbf{V}^\perp}(\bar{\Theta}_{\mathbf{V}, \mathbf{V}'_i}(\pi)). \end{aligned} \quad (16.12)$$

By [Theorem 16.14](#), [Lemma 16.15](#), [Lemma 16.16](#) (ii) and [76, Theorem 1.4],  $\text{Ind}_{\tilde{P}_{\mathbf{E}_0}}^{\tilde{G}_{\mathbf{V}^\perp}}(\pi \otimes \chi_{\mathbf{E}_0})$  and  $\text{Ind}_{\tilde{P}_{\mathbf{E}_0}}^{\tilde{G}_{\mathbf{V}^\perp}}(\pi \otimes \chi'_{\mathbf{E}_0})$  are  $\mathcal{O}^\perp$ -bounded, and

$$\text{AC}_{\mathcal{O}^\perp}(\text{Ind}_{\tilde{P}_{\mathbf{E}_0}}^{\tilde{G}_{\mathbf{V}^\perp}}(\pi \otimes \chi_{\mathbf{E}_0})) = \text{AC}_{\mathcal{O}^\perp}(\text{Ind}_{\tilde{P}_{\mathbf{E}_0}}^{\tilde{G}_{\mathbf{V}^\perp}}(\pi \otimes \chi'_{\mathbf{E}_0})) = \sum_{\mathcal{O}, \mathcal{O}^\perp} c_{\mathcal{O}}(\pi)[\mathcal{O}^\perp], \quad (16.13)$$

where the summation runs over all pairs  $(\mathcal{O}, \mathcal{O}^\perp)$ , where  $\mathcal{O}$  is a  $\mathbf{K}$ -orbit in  $\mathcal{O} \cap \mathfrak{p}_{\mathbf{V}}$  and  $\mathcal{O}^\perp \in \text{Ind}_{P_{\mathbf{E}_0}}^{G_{\mathbf{V}^\perp}} \mathcal{O}$ .

Applying [Theorem 15.6](#) twice, we see that  $\bar{\Theta}_{\mathbf{V}'_i, \mathbf{V}^\perp}(\bar{\Theta}_{\mathbf{V}, \mathbf{V}'_i}(\pi))$  is  $\mathcal{O}^\perp$ -bounded ( $1 \leq i \leq s$ ), and

$$\sum_{i=1}^s \text{AC}_{\mathcal{O}^\perp}(\bar{\Theta}_{\mathbf{V}'_i, \mathbf{V}^\perp}(\bar{\Theta}_{\mathbf{V}, \mathbf{V}'_i}(\pi))) \leq \sum_{i=1}^s \sum_{\mathcal{O}, \mathcal{O}^\perp} c_{\mathcal{O}}(\pi)[\mathcal{O}^\perp],$$

where the inner summation runs over all pairs of orbits  $(\mathcal{O}, \mathcal{O}^\perp)$  such that

$$\nabla_{\mathbf{V}'_i, \mathbf{V}}(\nabla_{\mathbf{V}^\perp, \mathbf{V}'_i}^{\text{gen}}(\mathcal{O}^\perp)) = \mathcal{O}. \quad (16.14)$$

By [Lemma 16.16](#) (ii) and (15.13), such kind of pairs  $(\mathcal{O}, \mathcal{O}^\perp)$  are the same as those of the right hand side of (16.13). Suppose  $\ddot{\mathbf{D}}(\mathcal{O}^\perp) = [d, \check{d}, d_1, \dots, d_k]$ , then  $\ddot{\mathbf{D}}(\mathcal{O}) = [d_1, \dots, d_k]$  and (16.14) holds for exactly two  $\mathbf{V}'_i$ , having signature  $\check{d} + \text{Sign}(\mathbf{V}) + (1, 0)$  and  $\check{d} + \text{Sign}(\mathbf{V}) + (0, 1)$  respectively. Hence we have

$$\sum_{i=1}^s \text{AC}_{\mathcal{O}^\perp}(\bar{\Theta}_{\mathbf{V}'_i, \mathbf{V}^\perp}(\bar{\Theta}_{\mathbf{V}, \mathbf{V}'_i}(\pi))) \leq \sum_{\mathcal{O}, \mathcal{O}^\perp} 2c_{\mathcal{O}}(\pi)[\mathcal{O}^\perp], \quad (16.15)$$

where the summation is as in the right hand side of (16.13).

In view of (16.12), (16.13) and (16.15), the equality holds in (16.15). Thus (16.4) holds.

16.3.5. *Finishing the proof when  $G$  is a quaternionic symplectic group.* Using Proposition 14.4, Lemma 5.9, Lemma 5.10, Theorem 16.14, Lemma 16.16 (ii), [76, Theorem 1.4] and Theorem 15.6, and a similar argument as in Section 16.3.3 shows that

$$(16.16) \quad \text{Ind}_{\tilde{P}_{\mathbf{E}_0}}^{\tilde{G}_{\mathbf{V}^\perp}} (\pi \otimes \chi_{\mathbf{E}_0}) \cong \mathcal{R}_{\mathbf{I}(\chi_{\mathbf{E}})}(\pi) \cong \bar{\Theta}_{\mathbf{V}', \mathbf{V}^\perp}(\bar{\Theta}_{\mathbf{V}, \mathbf{V}'}(\pi))$$

$$(16.17) \quad \text{AC}_{\mathcal{O}^\perp}(\text{Ind}_{\tilde{P}_{\mathbf{E}_0}}^{\tilde{G}_{\mathbf{V}^\perp}} (\pi \otimes \chi_{\mathbf{E}_0})) = \sum_{\mathcal{O}} c_{\mathcal{O}}(\pi)[\mathcal{O}^\perp], \quad \text{and}$$

$$(16.18) \quad \text{AC}_{\mathcal{O}^\perp}(\bar{\Theta}_{\mathbf{V}', \mathbf{V}^\perp}(\bar{\Theta}_{\mathbf{V}, \mathbf{V}'}(\pi))) \leq \sum_{\mathcal{O}} c_{\mathcal{O}}(\pi)[\mathcal{O}^\perp],$$

Here the summations run over all  $\mathbf{K}_{\mathbf{V}}$ -orbit  $\mathcal{O}$  in  $\mathcal{O} \cap \mathfrak{p}_{\mathbf{V}}$ , and  $\mathcal{O}^\perp$  is the unique induced orbit in  $\text{Ind}_{\tilde{P}_{\mathbf{E}_0}}^{\tilde{G}_{\mathbf{V}^\perp}} \mathcal{O}$ . Note that

$$\nabla_{\mathbf{V}', \mathbf{V}}(\nabla_{\mathbf{V}^\perp, \mathbf{V}'}(\mathcal{O}^\perp)) = \mathcal{O}.$$

Now (16.16), (16.17) and (16.18) imply that (16.18) is an equality and so (16.4) holds.

16.3.6. *Finishing the proof when  $G$  is a quaternionic orthogonal group.* Let  $\mathbf{V}'_1, \mathbf{V}'_2, \dots, \mathbf{V}'_s$  be a list of representatives of the isomorphic classes of all  $(-1, 1)$ -spaces with dimension  $\dim \mathbf{V}'$ .

**Lemma 16.17.** *One has that*

$$\text{Ch}_{\mathcal{O}^\perp}(\mathcal{R}_{\mathbf{I}(\chi_{\mathbf{E}})}(\pi)) = \sum_{i=1}^s \text{Ch}_{\mathcal{O}^\perp}(\mathcal{R}_{\bar{\Theta}_{\mathbf{V}'_i, \mathbf{U}}(1_{\mathbf{V}'_i})}(\pi)).$$

*Proof.* For simplicity, write  $0 \rightarrow I_1 \rightarrow I_2 \rightarrow I_3 \rightarrow 0$  for the exact sequence in Lemma 5.9. Note that  $\mathcal{R}_{I_2}(\pi)$  is  $\mathcal{O}^\perp$ -bounded by Proposition 14.4, Theorem 16.14 and [76, Theorem 1.4]. By the definition in (14.6), we could view  $\mathcal{R}_{I_1}(\pi)$  as a subrepresentation of  $\mathcal{R}_{I_2}(\pi)$  which is also  $\mathcal{O}^\perp$ -bounded.

Since  $G$  acts on  $\mathcal{R}_{I_2}(\pi)$  trivially, the natural homomorphism

$$\pi \hat{\otimes} I_3 \cong \pi \hat{\otimes} I_2 / \pi \hat{\otimes} I_1 \longrightarrow \mathcal{R}_{I_2}(\pi) / \mathcal{R}_{I_1}(\pi)$$

descends to a surjective homomorphism

$$(\pi \hat{\otimes} I_3)_G \longrightarrow \mathcal{R}_{I_2}(\pi) / \mathcal{R}_{I_1}(\pi).$$

For each  $(-1, 1)$ -space  $\mathbf{V}'''$  of dimension  $\dim \mathbf{V}' - 2$ , by applying Lemma 15.5 twice, we see that

$$(\pi \hat{\otimes} (\omega_{\mathbf{V}''', \mathbf{U}})_{G_{\mathbf{V}'''}})_G \cong ((\pi \hat{\otimes} \omega_{\mathbf{V}, \mathbf{V}'''})_G \hat{\otimes} \omega_{\mathbf{V}''', \mathbf{V}^\perp})_{G_{\mathbf{V}'''}}$$

is bounded by  $\mathfrak{v}_{\mathbf{V}''', \mathbf{V}^\perp}(\mathfrak{v}_{\mathbf{V}, \mathbf{V}'''}(\mathcal{O}))$ . Using formulas in [25, Theorem 5.2 and 5.6], one checks that the latter set is contained in the boundary of  $\mathcal{O}^\perp$ . Hence

$$\text{Ch}_{\mathcal{O}^\perp}(\mathcal{R}_{I_2}(\pi) / \mathcal{R}_{I_1}(\pi)) = 0$$

and the lemma follows.  $\square$

Using Theorem 16.14, Lemma 16.16 (ii), [76, Theorem 1.4], Theorem 15.6 and a similar argument as in Section 16.3.4, we have

$$(16.19) \quad \text{AC}_{\mathcal{O}^\perp}(\text{Ind}_{\tilde{P}_{\mathbf{E}_0}}^{\tilde{G}_{\mathbf{V}^\perp}} (\pi \otimes \chi_{\mathbf{E}_0})) = \sum_{\mathcal{O}, \mathcal{O}^\perp} c_{\mathcal{O}}(\pi)[\mathcal{O}^\perp], \quad \text{and}$$

$$(16.20) \quad \bigoplus_{i=1}^s \text{AC}_{\mathcal{O}^\perp}(\bar{\Theta}_{\mathbf{V}'_i, \mathbf{V}^\perp}(\bar{\Theta}_{\mathbf{V}, \mathbf{V}'_i}(\pi))) \leq \sum_{\mathcal{O}, \mathcal{O}^\perp} c_{\mathcal{O}}(\pi)[\mathcal{O}^\perp].$$

Here the summations run over all pairs  $(\mathcal{O}, \mathcal{O}^\perp)$  such that  $\mathcal{O}^\perp$  is a  $\mathbf{K}_{\mathbf{V}^\perp}$ -orbit in  $\mathcal{O}^\perp \cap \mathfrak{p}_{\mathbf{V}^\perp}$  and  $\mathcal{O} = \nabla_{\mathbf{V}'_i, \mathbf{V}}(\nabla_{\mathbf{V}^\perp, \mathbf{V}'_i}(\mathcal{O}^\perp))$  for a unique  $(-1, 1)$ -space  $\mathbf{V}'_i$ .

In view of Proposition 14.4, Lemma 16.17, (16.19) and (16.20), we see that the equality holds in (16.20). Thus (16.4) holds.

## 17. THE UNIPOTENT REPRESENTATIONS CONSTRUCTION AND ITERATED THETA LIFTING

In this section, we will prove ???. Recall that  $\mathbf{V}$  is an  $(\epsilon, \dot{\epsilon})$ -space and  $\mathcal{O} \in \text{Nil}_{\mathbf{G}}^{\mathbf{p}}(\mathfrak{g})$ . Since ??? is obvious when  $\mathcal{O}$  is the zero orbit, we assume without loss of generality that  $\mathcal{O}$  is not the zero orbit.

**17.1. The construction.** Suppose there is a  $\mathbf{K}$ -orbit  $\mathcal{O} \in \mathcal{O} \cap \mathfrak{p}$ . Define a sequence  $(\mathbf{V}_0, \mathcal{O}_0), (\mathbf{V}_1, \mathcal{O}_1), \dots, (\mathbf{V}_k, \mathcal{O}_k)$  ( $k \geq 1$ ) such that

- $\mathbf{V}_j$  is a nonzero  $((-1)^j \epsilon, (-1)^j \dot{\epsilon})$ -space for all  $0 \leq j \leq k$ ;
- $(\mathbf{V}_0, \mathcal{O}_0) = (\mathbf{V}, \mathcal{O})$ , and  $\mathcal{O}_j = \nabla_{\mathbf{V}_{j-1}, \mathbf{V}_j}(\mathcal{O}_{j-1}) \in \text{Nil}_{\mathbf{K}_{\mathbf{V}_j}}(\mathfrak{p}_{\mathbf{V}_j})$  for all  $1 \leq j \leq k$ ;
- $\mathcal{O}_k$  is the zero orbit.

Let  $\mathcal{O}_j$  denote the nilpotent  $G_{\mathbf{V}_j}$ -orbit containing  $\mathcal{O}_j$  ( $0 \leq j \leq k$ ). To ease the notation, we also let  $\mathbf{V}_{k+1}$  be the zero  $((-1)^{k+1} \epsilon, (-1)^{k+1} \dot{\epsilon})$ -space and let  $\mathcal{O}_{k+1} \in \text{Nil}_{\mathbf{K}_{\mathbf{V}_{k+1}}}(\mathfrak{p}_{\mathbf{V}_{k+1}})$  and  $\mathcal{O}_{k+1} \in \text{Nil}_{\mathbf{G}_{\mathbf{V}_{k+1}}}(\mathfrak{g}_{\mathbf{V}_{k+1}})$  be  $\{0\}$ .

Let

$$(17.1) \quad \eta = \chi_0 \boxtimes \chi_1 \boxtimes \cdots \boxtimes \chi_k$$

be a character of  $G_{\mathbf{V}_0} \times G_{\mathbf{V}_1} \times \cdots \times G_{\mathbf{V}_k}$ . For  $0 \leq j \leq k$ , put

$$\eta_j := \chi_j \boxtimes \chi_{j+1} \boxtimes \cdots \boxtimes \chi_k.$$

For  $0 \leq j < k$ , write

$$\omega_{\mathcal{O}_j} := \omega_{\mathbf{V}_j, \mathbf{V}_{j+1}} \hat{\otimes} \omega_{\mathbf{V}_{j+1}, \mathbf{V}_{j+2}} \hat{\otimes} \cdots \hat{\otimes} \omega_{\mathbf{V}_{k-1}, \mathbf{V}_k},$$

and one checks that the integrals in

$$(17.2) \quad (\omega_{\mathcal{O}_j} \otimes \eta_j) \times (\omega_{\mathcal{O}_j}^\vee \otimes \eta_j^{-1}) \longrightarrow \mathbb{C},$$

$$(u, v) \longmapsto \int_{\tilde{G}_{\mathbf{V}_{j+1}} \times \tilde{G}_{\mathbf{V}_{j+2}} \times \cdots \times \tilde{G}_{\mathbf{V}_k}} \langle g \cdot u, v \rangle dg,$$

are absolutely convergent and define a continuous bilinear map using Lemma 16.6. Define

$$\pi_{\mathcal{O}_j, \eta_j} := \frac{\omega_{\mathcal{O}_j} \otimes \eta_j}{\text{the left kernel of (17.2)}}$$

which is a Casselman-Wallach representation of  $\tilde{G}_{\mathbf{V}_j}$ , as in (16.2). Set  $\pi_{\mathcal{O}_k, \eta_k} := \chi_k$  by convention.

For  $0 \leq j \leq k$ , let  $\chi_i|_{\tilde{\mathbf{K}}_{\mathbf{V}_i}}$  denote the algebraic character whose restriction to  $\tilde{K}_{\mathbf{V}_i}$  equals the pullback of  $\chi_i$  through the natural homomorphism  $\tilde{K}_{\mathbf{V}_i} \rightarrow G_{\mathbf{V}_i}$ . Let  $\mathcal{E}_{\mathcal{O}_k, \chi_k}$  denote the  $\tilde{\mathbf{K}}_{\mathbf{V}_k}$ -equivariant algebraic line bundle on the zero orbit  $\mathcal{O}_k$  corresponding to  $\chi_k|_{\tilde{\mathbf{K}}_{\mathbf{V}_k}}$ . Inductively define

$$\mathcal{E}_{\mathcal{O}_j, \eta_j} := \chi_j|_{\tilde{\mathbf{K}}_{\mathbf{V}_j}} \otimes \vartheta_{\mathcal{O}_{j+1}, \mathcal{O}_j}(\mathcal{E}_{\mathcal{O}_{j+1}, \eta_{j+1}}), \quad 0 \leq j < k.$$

This is an admissible orbit datum over  $\mathcal{O}_i$  by (15.26).

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**Theorem 17.1.** *For each  $0 \leq j \leq k$ ,  $\pi_{\mathcal{O}_j, \eta_j}$  is an irreducible, unitarizable,  $\mathcal{O}_j$ -unipotent representation whose associated character*

{eqchj}

$$(17.3) \quad \text{Ch}_{\mathcal{O}_j}(\pi_{\mathcal{O}_j, \eta_j}) = \mathcal{E}_{\mathcal{O}_j, \eta_j}.$$

Moreover,

$$(17.4) \quad \bar{\Theta}_{\mathbf{v}_{j+1}, \mathbf{v}_j}(\pi_{\mathcal{O}_{j+1}, \eta_{j+1}}) \otimes \chi_j = \pi_{\mathcal{O}_j, \eta_j} \quad \text{for all } 0 \leq j < k-1.$$

*Proof.* Since  $\mathcal{O} \in \text{Nil}_{\mathbf{G}}^{\mathbb{P}}(\mathfrak{p})$ , one verifies that

- $\dim^{\circ} \mathbf{V}_j > 0$  for  $0 \leq j < k$ ,
- $\dim \mathbf{V}_{j+1} + \dim \mathbf{V}_{j-1} > 2 \dim^{\circ} \mathbf{V}_j$  for  $1 \leq j \leq k$ , and
- $\pi_{\mathcal{O}_j, \eta_j}$  is  $\mathbb{P}$ -genuine for  $0 \leq j \leq k$ .

The representation  $\pi_{\mathcal{O}_k, \eta_k}$  clearly satisfies all claims in the theorem. For  $j = k-1$ ,  $\pi_{\mathcal{O}_{k-1}, \eta_{k-1}}$  is the twist by  $\chi_{k-1}$  of the theta lift of character  $\chi_k$  in the stable range. It is known that the statement of Theorem 17.1 holds in this case (cf. [50, Section 2] and [53, Section 1.8]).

We now prove the theorem by induction. Assume the theorem holds for  $j+1$  with  $1 \leq j+1 \leq k-1$ . Applying Lemma 16.9 and Lemma 16.10, we see that  $(\pi_{\mathcal{O}_{j+1}, \eta_{j+1}}, \mathbf{V}_j)$  is in the convergent range. Thus (17.4) holds by Fubini's theorem. By [70, Theorem 1.19],  $U(\mathfrak{g}_{\mathbf{v}_j})^{\mathbf{G}_{\mathbf{v}_j}}$  acts on  $\pi_{\mathcal{O}_j, \eta_j}$  through the character  $\lambda_{\mathcal{O}_j}$ . By Theorem 16.12,  $\pi_{\mathcal{O}_j, \eta_j}$  is  $\mathcal{O}_j$ -bounded and (17.3) holds. The unitarity and irreducibility of  $\pi_{\mathcal{O}_j, \eta_j}$  follows from Theorem 16.11.

By ??,  $\pi_{\mathcal{O}_j, \eta_j}$  is thus  $\mathcal{O}_j$ -unipotent. This finishes the proof of the theorem.  $\square$

## 18. CONCLUDING REMARKS

We record the following result, which is a form of automatic continuity.

**Proposition 18.1.** *Retain the setting in Section 17.1. The representation  $\pi_{\mathcal{O}, \eta}$  is isomorphic to*

$$(18.1) \quad (\omega_{\mathbf{v}_0, \mathbf{v}_1} \hat{\otimes} \omega_{\mathbf{v}_1, \mathbf{v}_2} \hat{\otimes} \cdots \hat{\otimes} \omega_{\mathbf{v}_{k-1}, \mathbf{v}_k} \otimes \eta)_{\tilde{G}_{\mathbf{v}_1} \times \tilde{G}_{\mathbf{v}_2} \times \cdots \times \tilde{G}_{\mathbf{v}_k}},$$

and its underlying Harish-Chandra module is isomorphic to

$$(18.2) \quad (\mathcal{Y}_{\mathbf{v}_0, \mathbf{v}_1} \otimes \mathcal{Y}_{\mathbf{v}_1, \mathbf{v}_2} \otimes \cdots \otimes \mathcal{Y}_{\mathbf{v}_{k-1}, \mathbf{v}_k} \otimes \eta)_{(\mathfrak{g}_{\mathbf{v}_1} \times \mathfrak{g}_{\mathbf{v}_2} \times \cdots \times \mathfrak{g}_{\mathbf{v}_k}, \tilde{\mathbf{K}}_{\mathbf{v}_1} \times \tilde{\mathbf{K}}_{\mathbf{v}_2} \times \cdots \times \tilde{\mathbf{K}}_{\mathbf{v}_k})}.$$

*Proof.* Note that the representation  $\pi_{\mathcal{O}, \eta}$  is a quotient of the representation (18.1), and the underlying Harish-Chandra module of (18.1) is a quotient of (18.2). Thus it suffices to show that (18.2) is irreducible. We prove by induction on  $k$ . Assume that  $k \geq 1$  and

$$\pi_1 := (\mathcal{Y}_{\mathbf{v}_1, \mathbf{v}_2} \otimes \cdots \otimes \mathcal{Y}_{\mathbf{v}_{k-1}, \mathbf{v}_k} \otimes \eta_1)_{(\mathfrak{g}_{\mathbf{v}_2} \times \cdots \times \mathfrak{g}_{\mathbf{v}_k}, \tilde{\mathbf{K}}_{\mathbf{v}_2} \times \cdots \times \tilde{\mathbf{K}}_{\mathbf{v}_k})}$$

is irreducible. Then  $\pi_1$  is isomorphic to the Harish-Chandra module of  $\pi_{\mathcal{O}_1, \eta_1}$ . The representation (18.2) is isomorphic to

$$\pi_0 := \chi_0 \otimes (\mathcal{Y}_{\mathbf{v}_0, \mathbf{v}_1} \otimes \pi_1)_{(\mathfrak{g}_{\mathbf{v}_1}, \tilde{\mathbf{K}}_{\mathbf{v}_1})}.$$

We know that  $U(\mathfrak{g})^{\mathbf{G}}$  acts on  $\pi_{\mathcal{O}, \eta}$  through the character  $\lambda_{\mathcal{O}}$  (cf. [70]), and by Lemma 15.5,  $\pi_0$  is  $\mathcal{O}$ -bounded. Thus every irreducible subquotient of  $\pi_0$  is  $\mathcal{O}$ -unipotent. Theorem 15.6 implies that  $\text{AC}_{\mathcal{O}}(\pi_0)$  is bounded by  $1 \cdot [\mathcal{O}]$ . Now ?? implies that  $\pi_0$  must be irreducible.  $\square$

Finally, we remark that the Whittaker cycles (attached to  $G$ -orbits in  $\mathcal{O} \cap \mathbf{ig}_{\mathbb{R}}$ ) of all unipotent representations in  $\Pi_{\mathcal{O}}^{\text{unip}}(\tilde{G})$  can be calculated by using [29, Theorem 1.1]. These agree with the associated cycles under the Kostant-Sekiguchi correspondence.

## APPENDIX A. A FEW GEOMETRIC FACTS

## A.1. Geometry properties of regular descent. .

sec:GM

A.2. **Geometry of moment maps.** In this section, let  $(\mathbf{V}, \mathbf{V}')$  be a rational dual pair. We use the notation of Section 15.7. Write  $\check{\mathfrak{p}} := \mathfrak{p} \oplus \mathfrak{p}'$  and

$$\check{M} := M \times M': \mathcal{X} \longrightarrow \check{\mathfrak{p}} = \mathfrak{p} \times \mathfrak{p}'.$$

When there is a morphism  $f: A \rightarrow B$  between smooth algebraic varieties, let  $df_a: T_a A \rightarrow T_{f(a)} B$  denote the tangent map at a closed point  $a \in A$ , where  $T_a A$  and  $T_{f(a)} B$  are the tangent spaces. For a sub-scheme  $S$  of  $B$ , let  $A \times_f S$  denote the scheme theoretical inverse image of  $S$  under  $f$ , which is a subscheme of  $A$ . Along the proof, we will use the Jacobian criterion for regularity in various places (see [52, Theorem 2.19]).

[

sec:F.M

A.2.1. *Fiber of the moment map.* We will study the fiber  $M^{-1}(X)$  for an element  $X \in \text{Im}(M)$ .

From the general theory of affine quotient, we know that  $M^{-1}(X)$  contains a unique closed  $\mathbf{K}'$ -orbit. Set

$$\mathcal{X}_r = \{ w \in \mathcal{X} \subset \text{Hom}(\mathbf{V}, \mathbf{V}') \mid \text{rank } w \leq r \}.$$

The following lemma is a easy exercise in linear algebra, which we leave to the reader.

lem:F.cl

**Lemma A.1.** *Let  $X \in \text{Im}(M)$ . Suppose  $\text{rank } X = r$ . Then  $\mathcal{X}_r \cap M^{-1}(X)$  is the unique closed  $\mathbf{K}'$ -orbit in  $M^{-1}(X)$ . Moreover, for any  $w \in \mathcal{X}_r \cap M^{-1}(X)$  its image is a non-degenerate  $L'$ -invariant subspace of  $\mathbf{V}'$ .*  $\square$

*Proof.* Clearly  $\mathbf{W}_r \cap M^{-1}(X)$  is closed. Suppose it is non-empty then it would be a single  $\mathbf{K}'$ -orbit by Witt's theorem [41, 3.7.1] and the explicit formula of moment maps (see for example [53, Appendix A]) since  $\text{Ker } w_1 = \text{Ker } X = \text{Ker } w_2$  for any two  $w_1, w_2 \in \mathbf{W}_r \cap M^{-1}(X)$ .

Now we show that  $\mathcal{X}_r \cap M^{-1}(X)$  is non-empty.

Take a  $L$ -invariant decomposition  $\mathbf{V} = \mathbf{V}_+ \oplus \mathbf{V}_- \oplus \mathbf{V}_1 \oplus \mathbf{V}_0$ , such that i)  $\text{Ker } X = \mathbf{V}_+ \oplus \mathbf{V}_1$ ; ii)  $\text{Im } X = (\text{Ker } X)^\perp = \mathbf{V}_0 \oplus \mathbf{V}_+$ ; iii)  $\mathbf{V}_0, \mathbf{V}_1$  and  $\mathbf{V}_+ \oplus \mathbf{V}_-$  are non-degenerate subspaces.

**Claim.** (a)  $w|_{\mathbf{V}_0 \oplus \mathbf{V}_-}$  is injective, its image  $\mathbf{V}'_0 := w(\mathbf{V}_0 \oplus \mathbf{V}_-)$  is a non-degenerate subspace of  $\mathbf{V}'$ .

(b)  $\mathbf{V}'_+ := w|_{\mathbf{V}_1 \oplus \mathbf{V}_+}$  is in the radical of  $\text{Im } w$ .

(c) We have  $w\mathbf{V} = \mathbf{V}'_0 \oplus \mathbf{V}'_+$  and  $\dim \mathbf{V}'_0 = \text{rank } X$ .

(d)  $\mathbf{V}'_0$  and  $\mathbf{V}'_+$  are  $L'$ -invariant.

*Proof.* Note that  $X|_{\mathbf{V}_0 \oplus \mathbf{V}_-}: \mathbf{V}_0 \oplus \mathbf{V}_- \rightarrow \mathbf{V}_0 \oplus \mathbf{V}_+$  is an isomorphism. For any  $0 \neq v_1 \in \mathbf{V}_0 \oplus \mathbf{V}_-$ , there exists  $v_2 \in \mathbf{V}_0 \oplus \mathbf{V}_-$  such that  $0 \neq \langle v_1, Xv_2 \rangle = \langle wv_1, wv_2 \rangle'$ . In particular,  $w^*v_1 \neq 0$ .

On the other hand,  $v_1 \in \mathbf{V}_1 \oplus \mathbf{V}_+ = \text{Ker } X$ ,  $v \in \mathbf{V}$ , we have  $\langle wv, wv_1 \rangle' = \langle v, Xv \rangle = 0$ . The rest is clear.  $\square$

Now take a  $L'$ -invariant decomposition  $\mathbf{V}' = \mathbf{V}'_0 \oplus (\mathbf{V}'_+ \oplus \mathbf{V}'_-)$  and write  $w = (w_0, w_+) \in \text{Hom}(\mathbf{V}, \mathbf{V}'_0) \oplus \text{Hom}(\mathbf{V}, \mathbf{V}'_+)$ . It is easy to check that  $w_0 \in \mathcal{X}_r \cap M^{-1}(X)$  and the rest part of the lemma is clear. [ Note that  $w^* = w_0^* + w_+^*$  with  $w_0^* \in \text{Hom}(\mathbf{V}'_0, \mathbf{V})$  and  $w_+^* \in \text{Hom}(\mathbf{V}'_+, \mathbf{V})$ . Now  $\text{rank } w_0 = \dim \mathbf{V}'_0 = \text{rank } X$  and  $M(w_0) = w_0^*w_0 = (w_0^* + w_+^*)(w_0 + w_+) = M(w) = \Gamma$ . Hence we have constructed an element  $w_0 \in \mathcal{X}_s \cap M^{-1}(X)$ . ]  $\square$

]

[ Fix  $w \in \mathcal{X}_r \cap M^{-1}(X)$ . By [\[lem:F.cl\]](#) Lemma A.1 we have an orthogonal decomposition

$$\mathbf{V}' = \mathbf{V}'_0 \oplus \mathbf{V}'_1 \quad \text{with} \quad \mathbf{V}'_1 := w \mathbf{V}.$$

Now the stabilizer  $\mathbf{K}'_w$  of  $w$  in  $\mathbf{K}'$  is naturally identified with  $\mathbf{G}_{\mathbf{V}'_0}^{L'}$ .

Fix a  $L$ -invariant decomposition  $\mathbf{V} = \mathbf{V}_1 \oplus \mathbf{V}_0$  where  $\mathbf{V}_0 := \text{Ker } X$  and  $\mathbf{V}_1$  is a complement of  $\mathbf{V}_0$ . We have a decomposition  $\mathcal{X} = {}^{10}\mathcal{X} \oplus {}^{01}\mathcal{X} \oplus {}^1\mathcal{X} \oplus {}^0\mathcal{X}$  where

- i)  ${}^{10}\mathcal{X} = \mathcal{X} \cap \text{Hom}(\mathbf{V}_1, \mathbf{V}'_0)$  and  ${}^{01}\mathcal{X} = \mathcal{X} \cap \text{Hom}(\mathbf{V}_0, \mathbf{V}'_1)$ ,
- ii)  ${}^i\mathcal{X} = \mathcal{X} \cap \text{Hom}(\mathbf{V}_i, \mathbf{V}'_i)$  for  $i = 0, 1$ .

Let  $\boxtimes \mathfrak{k}' = \mathfrak{k}' \cap (\text{Hom}(\mathbf{V}'_1, \mathbf{V}'_0) \oplus \text{Hom}(\mathbf{V}'_0, \mathbf{V}'_1))$ . Since  $\mathbf{V}'_1 \perp \mathbf{V}'_0$ ,  $\boxtimes \mathfrak{k}' \xrightarrow{\cdot w} \text{Hom}(\mathbf{V}_1, \mathbf{V}') \cap \mathcal{X} =: {}^{10}\mathcal{X}$ . Now the tangent space of the orbit  $\mathbf{K}'w$  at  $w$  is  $T_w(\mathbf{K}'w) = \mathfrak{k}' \cdot w = T_1 \oplus {}^{10}\mathcal{X}$  where  $T_1 \subset {}^1\mathcal{X}$ . Let  $N_1$  be any complement of  $T_1 \subset {}^1\mathcal{X}$ . Therefore,

$$N := N_1 \oplus {}^{01}\mathcal{X} \oplus {}^0\mathcal{X}$$

is a  $\mathbf{K}'_w$ -invariant complement of  $T_w(\mathbf{K}'w)$  in  $\mathcal{X}$ . As  $\mathbf{K}'_w$ -module,  $N$  is the direct sum of trivial modules with  ${}^0\mathcal{X}$ . Therefore, its null cone  $\mathfrak{N}_w$  is precisely the null cone of  ${}^0\mathcal{X}$  under  $\mathbf{K}'_w$ .

By [\[69, Section 6.3\]](#), we have the following diagram where the horizontal morphisms are étale and the vertical morphisms are affine quotients.

$$\begin{array}{ccc} \mathbf{K}' \times_{\mathbf{K}'_w} N & \longrightarrow & \mathcal{X} \\ \textcircled{1} \downarrow & & \downarrow M \\ N // \mathbf{K}'_w = \mathbf{K}' \times_{\mathbf{K}'_w} N // \mathbf{K}' & \longrightarrow & \text{Im}(M) \end{array}$$

Fix a slice  $S$  at the identity of the right  $\mathbf{K}'_w$ -action on  $\mathbf{K}'$ . Now the morphism  $\textcircled{1}$  étale locally looks like

$$S \times N_1 \times {}^{01}\mathcal{X} \times {}^0\mathcal{X} \longrightarrow N_1 \times {}^{01}\mathcal{X} \times ({}^0\mathcal{X} // \mathbf{K}'_w).$$

The fiber  $M^{-1}(X)$  is equivariantly isomorphic to  $\mathbf{K}' \times_{\mathbf{K}'_w} \mathfrak{N}_w$  (cf. [\[69, Theorem 6.6\]](#)).

[

**Definition A.2.** For any  $L$ -invariant subspace  $\mathbf{A} \subset \mathbf{V}$ . Define  $\text{Sign} \mathbf{A} = (\dim \mathbf{A}^{L,1}, \dim \mathbf{A}^{L,-1})$  (resp.  $(\dim \mathbf{A}^{L,i}, \dim \mathbf{A}^{L,-i})$ ) if  $\epsilon = 1$  (resp.  $\epsilon = -1$ ).

] ]

sec:Sdes

A.2.2. Scheme theoretical results on descents.

**Lemma A.3** (cf. [\[65, Lemma 13 and Lemma 14\]](#)). Suppose  $\mathcal{O}' \in \text{Nil}_{\mathbf{K}'}(\mathfrak{p}')$  is the descent of  $\mathcal{O} \in \text{Nil}_{\mathbf{K}}(\mathfrak{p})$ . Fix  $X \in \mathcal{O}$  and let

$$\mathcal{Z}_X := \mathcal{X} \times_M \{X\}$$

be the scheme theoretical inverse image of  $X$  under the moment map  $M : \mathcal{X} \rightarrow \mathfrak{p}$ . Then

- (i)  $\mathcal{Z}_X$  is smooth (and hence reduced);
- (ii)  $\mathcal{Z}_X$  is a single free  $\mathbf{K}'$ -orbit in  $\mathcal{X}^\circ$ ;
- (iii)  $M'(\mathcal{Z}_X) = \mathcal{O}'$  and  $\mathcal{Z}_X = \mathcal{X} \times_{\check{M}} (\{X\} \times \overline{\mathcal{O}'})$ .

*Proof.* Fix a point  $w \in \mathcal{X}^\circ$  such that  $M(w) = X$ . It is elementary to see that

$$M^{-1}(X) = \mathbf{K}' \cdot w$$

is a single  $\mathbf{K}'$ -orbit. This orbit is clearly Zariski closed and the stabilizer  $\text{Stab}_{\mathbf{K}'}(w)$  of  $w$  under the  $\mathbf{K}'$ -action is trivial. This proves part (ii) and the first assertion of part (iii).

it:DS.G1

it:DS.G3

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We identify the tangent space  $T_w\mathcal{X}$  with  $\mathcal{X}$ . Let  $N \subset \mathcal{X}$  be a complement of  $T_w(\mathbf{K}' \cdot w)$  in  $T_w\mathcal{X}$ . By [69, Section 6.3], there is an affine open subvariety  $N^\circ$  of  $N$  containing 0 such that the diagram

$$\begin{array}{ccc} \mathbf{K}' \times N^\circ & \xrightarrow{(k',n) \mapsto k' \cdot (w+n)} & \mathcal{X} \\ \text{projection} \downarrow & & \downarrow M \\ N^\circ & \xrightarrow{n \mapsto M(w+n)} & M(\mathcal{X}) \end{array}$$

is Cartesian and the horizontal morphisms are étale. Therefore the scheme  $\mathcal{X} \times_M \{X\}$  is a smooth algebraic variety. This proves part (i), and the second assertion of (iii) then easily follows.  $\square$

*Remark.* Retain the setting of Lemma A.3. Suppose  $w \in \mathcal{X}^\circ$  realizes the descent from  $X$  to  $X' \in \mathfrak{p}'$ . Let  $\check{X} := (X, X') \in \mathfrak{p} \oplus \mathfrak{p}'$  and  $\mathbf{K}'_{X'}$  be the stabilizer of  $X'$  under the  $\mathbf{K}'$ -action. By the  $\mathbf{K}'$ -equivariance, the map  $\mathcal{Z}_X \rightarrow \mathcal{O}'$  is a smooth morphism between smooth schemes. Hence the scheme theoretical fibre

$$\mathcal{Z}_{\check{X}} := \mathcal{X} \times_{\check{M}} \{\check{X}\} = \mathcal{Z}_X \times_{M'|_{\mathcal{Z}_X}} \{X'\}$$

is smooth and equals the orbit  $\mathbf{K}'_{X'} \cdot w$ . Consequently, we have an exact sequence by the Jacobian criterion for regularity:

$$(A.1) \quad 0 \longrightarrow T_w \mathcal{Z}_{\check{X}} \longrightarrow T_w \mathcal{X} \xrightarrow{d\check{M}_w} T_X \mathfrak{p} \oplus T_{X'} \mathfrak{p}'.$$

**Lemma A.4.** Retain the setup in Lemma A.3. Let

$$U := \mathfrak{p} \setminus ((\overline{\mathcal{O}} \cap \mathfrak{p}) \setminus \mathcal{O})$$

and  $\mathcal{Z}_{U, \overline{\mathcal{O}'}} := \mathcal{X} \times_{\check{M}} (U \times \overline{\mathcal{O}'})$ . Then

- (i)  $U$  is a Zariski open subset of  $\mathfrak{p}$  such that  $U \cap M(M'^{-1}(\overline{\mathcal{O}'})) = \mathcal{O}$ ;
- (ii)  $\mathcal{Z}_{U, \overline{\mathcal{O}'}}$  is smooth and it is a single  $\mathbf{K} \times \mathbf{K}'$ -orbit.

*Proof.* Part (i) is clear by (15.11). It is also clear that the underlying set of  $\mathcal{Z}_{U, \overline{\mathcal{O}'}}$  is a single  $\mathbf{K} \times \mathbf{K}'$ -orbit by Lemma A.3 (iii).

Note that  $M'|_{\mathcal{X}^\circ}$  is smooth because  $dM'_w: T_w \mathcal{X}^\circ \rightarrow T_{M'(w)} \mathfrak{p}'$  is surjective for each  $w \in \mathcal{X}^\circ$  (cf. [33, Proposition 10.4]). Since smooth morphisms are stable under base changes and compositions, the scheme  $\mathcal{X}^\circ \times_{M'} \mathcal{O}'$  is a smooth algebraic variety. Also note that  $\mathcal{Z}_{U, \overline{\mathcal{O}'}}$  is an open subscheme of  $\mathcal{X}^\circ \times_{M'} \mathcal{O}'$ . Thus it is smooth.  $\square$

*Remark.* Suppose  $w \in \mathcal{X}^\circ$  realizes the descent from  $X \in \mathcal{O}$  to  $X' \in \mathcal{O}' \subset \mathfrak{p}'$ . By the proof of Lemma A.4,

$$\mathcal{Z}_{U, X'} := \mathcal{X} \times_{\check{M}} (U \times \{X'\}) = \mathbf{K} \mathbf{K}'_{X'} \cdot w$$

is smooth. Similar to the remark of Lemma A.3, this yields an exact sequence:

$$(A.2) \quad \mathfrak{k} \oplus \mathfrak{k}'_{X'} \xrightarrow{(A, A') \mapsto A'w - wA} \mathcal{X} \xrightarrow[b \mapsto bw^* + wb^*]{dM'_w} \mathfrak{p}'.$$

### A.2.3. Scheme theoretical results on generalized descents of good orbits.

**Lemma A.5.** Suppose  $\mathcal{O} \in \text{Nil}_{\mathbf{K}}(\mathfrak{p})$  is good for generalized descent (see Definition 15.20) and  $\mathcal{O}' = \nabla_{\mathbf{V}, \mathbf{V}'}^{\text{gen}}(\mathcal{O}) \in \text{Nil}_{\mathbf{K}'}(\mathfrak{p}')$ . Fix  $X \in \mathcal{O}$  and let  $\mathcal{Z}_X := \mathcal{X} \times_{\check{M}} (\{X\} \times \mathcal{O}')$ . Then

- (i)  $\mathcal{Z}_X$  is smooth;
- (ii)  $\mathcal{Z}_X$  is a single  $\mathbf{K}'$ -orbit;
- (iii)  $\mathcal{Z}_X$  is contained in  $\mathcal{X}^{\text{gen}}$  and  $M'(\mathcal{Z}_X) = \mathcal{O}'$ .

*Proof.* Part (ii) follows from [26, Table 4]. Part (iii) follows from part (ii). By the generic smoothness, in order to prove part (i), it suffices to show that  $\mathcal{Z}_X$  is reduced. Note that the  $\mathbf{K}'$ -equivariant morphism  $\mathcal{Z}_X \xrightarrow{M'} \mathcal{O}'$  is flat by generic flatness [30, Théorème 6.9.1]. By [31, Proposition 11.3.13], to show that  $\mathcal{Z}_X$  is reduced, it suffices to show that

$$\mathcal{Z}_{\check{X}} := \mathcal{Z}_X \times_{M'|_{\mathcal{Z}_X}} \{X'\} = \mathcal{X} \times_{\check{M}} \{\check{X}\}$$

is reduced, where  $X' \in \mathcal{O}'$  and  $\check{X} := (X, X')$ . Let  $w \in \mathcal{Z}_{\check{X}}$  be a closed point. Then  $\mathbf{K}' \cdot w$  is the underlying set of  $\mathcal{Z}_X$ , and  $\mathcal{Z}_{\check{X}} := \mathbf{K}'_{X'} \cdot w$  is the underlying set of  $\mathcal{Z}_{\check{X}}$ . By the Jacobian criterion for regularity, to complete the proof of the lemma, it remains to prove the following claim.

**Claim.** *The following sequence is exact:*

$$0 \longrightarrow T_w \mathcal{Z}_{\check{X}} \longrightarrow T_w \mathcal{X} \xrightarrow{d\check{M}} T_X \mathfrak{p} \oplus T_{X'} \mathfrak{p}'.$$

We prove the above claim in what follows. Identify  $T_w \mathcal{X}$ ,  $T_X \mathfrak{p}$  and  $T_{X'} \mathfrak{p}'$  with  $\mathcal{X}$ ,  $\mathfrak{p}$  and  $\mathfrak{p}'$  respectively and view  $T_w \mathcal{Z}_{\check{X}}$  as a quotient of  $\mathfrak{k}'_{X'}$ . It suffices to show that the following sequence is exact:

$$(A.3) \quad \mathfrak{k}'_{X'} \xrightarrow{A' \mapsto A'w} \mathcal{X} \xrightarrow[b \mapsto (b^*w + w^*b, bw^* + wb^*)]{d\check{M}_w} \mathfrak{p} \oplus \mathfrak{p}' = \check{\mathfrak{p}}.$$

In fact, we will show that the following sequence

$$(A.4) \quad \mathfrak{g}'_{X'} \longrightarrow \mathbf{W} \longrightarrow \mathfrak{g} \oplus \mathfrak{g}'$$

is exact, where  $\mathfrak{g}'_{X'}$  is the Lie algebra of the stabilizer group  $\text{Stab}_{\mathbf{G}'}(X')$  and the arrows are defined by the same formulas in (A.3). Then the exactness of (A.3) will follow since (A.4) is compatible with the natural  $(L, L')$ -actions.

We now prove the exactness of (A.4). Let  $\mathbf{V}'_1 := w\mathbf{V}$  and  $\mathbf{V}'_2$  be the orthogonal complement of  $\mathbf{V}'_1$  so that

$$\mathbf{V}' = \mathbf{V}'_1 \oplus \mathbf{V}'_2.$$

Let  $\mathbf{W}_i := \text{Hom}(\mathbf{V}, \mathbf{V}'_i)$  and  $\mathfrak{g}'_i := \mathfrak{g}_{\mathbf{V}'_i}$  for  $i = 1, 2$ . Let  $*$ :  $\text{Hom}(\mathbf{V}'_1, \mathbf{V}'_2) \rightarrow \text{Hom}(\mathbf{V}'_2, \mathbf{V}'_1)$  denote the adjoint map. We have  $\mathbf{W} = \mathbf{W}_1 \oplus \mathbf{W}_2$  and  $\mathfrak{g}' = \mathfrak{g}'_1 \oplus \mathfrak{g}'_2 \oplus \mathfrak{g}'^{\square}$ , where

$$\mathfrak{g}'^{\square} := \left\{ \begin{pmatrix} 0 & -E^* \\ E & 0 \end{pmatrix} \middle| E \in \text{Hom}(\mathbf{V}'_1, \mathbf{V}'_2) \right\}.$$

Now  $w$  and  $X'$  are naturally identified with an element  $w_1$  in  $\mathbf{W}_1$  and an element  $X'_1$  in  $\mathfrak{g}'_1$ , respectively. We have  $\mathfrak{g}'_{X'} = \mathfrak{g}'_{1, X'_1} \oplus \mathfrak{g}'_2 \oplus \mathfrak{g}'^{\square}_{X'_1}$ , where

$$\mathfrak{g}'^{\square}_{X'_1} = \left\{ \begin{pmatrix} 0 & -E^* \\ E & 0 \end{pmatrix} \middle| E \in \text{Hom}(\mathbf{V}'_1, \mathbf{V}'_2), EX'_1 = 0 \right\}.$$

Now maps in (A.4) have the following forms respectively:

$$\begin{aligned} \mathfrak{g}'_{X'} \ni A' &= (A'_1, A'_2, \begin{pmatrix} 0 & -E^* \\ E & 0 \end{pmatrix}) \mapsto A'w = (A'_1 w_1, E w_1) \in \mathbf{W} \quad \text{and} \\ \mathbf{W} \ni (b_1, b_2) &\mapsto \left( b_1^* w_1 + w_1^* b_1, \begin{pmatrix} b_1 w_1^* + w_1 b_1^* & w_1 b_2^* \\ b_2 w_1^* & 0 \end{pmatrix} \right) \in \mathfrak{g} \oplus \mathfrak{g}'. \end{aligned}$$

Applying (A.1) to the complex dual pair  $(\mathbf{V}, \mathbf{V}'_1)$ , we see that the sequence

$$\mathfrak{g}'_{1, X'_1} \xrightarrow{A'_1 \mapsto A'_1 w_1} \mathbf{W}_1 \xrightarrow{b_1 \mapsto (b_1^* w_1 + w_1^* b_1, b_1 w_1^* + w_1 b_1^*)} \mathfrak{g} \oplus \mathfrak{g}'_1$$

is exact. The task is then reduced to show that the following sequence is exact:

$$(A.5) \quad \mathfrak{g}_{X'_1}^{\square} \xrightarrow[\textcircled{1}]{\begin{pmatrix} 0 & -E^* \\ E & 0 \end{pmatrix} \mapsto Ew_1} \mathbf{W}_2 \xrightarrow[\textcircled{2}]{b_2 \mapsto b_2 w_1^*} \text{Hom}(\mathbf{V}'_1, \mathbf{V}'_2).$$

Note that  $w_1$  is a surjection, hence  $\textcircled{1}$  is an injection. We have

$$\dim \mathfrak{g}_{X'_1}^{\square} = \dim \mathbf{V}'_2 \cdot \dim \text{Ker}(X'_1)$$

and

$$\dim \text{Ker}(\textcircled{2}) = \dim \mathbf{V}'_2 \cdot (\dim \mathbf{V} - \dim \text{Im}(w_1)) = \dim \mathbf{V}'_2 \cdot (\dim \mathbf{V} - \dim \mathbf{V}'_1).$$

Note that  $\dim \text{Ker}(X'_1) = c_1$ , and  $\dim \mathbf{V} - \dim \mathbf{V}'_1 = c_0$ . Since we are in the setting of good generalized descent (Definition 15.20), we have  $c_0 = c_1$ . Now the exactness of (A.5) follows by dimension counting.  $\square$

*Remark.* Suppose  $\mathcal{O}$  is not good for generalized descent. Then the underlying set of  $\mathcal{Z}_X$  may not be a single  $\mathbf{K}'$ -orbit. Even if it is a single orbit, the scheme  $\mathcal{Z}_X$  may not be reduced.

**Lemma A.6.** Retain the notation in Lemma A.5. Let

$$U := \mathfrak{p} \setminus ((\overline{\mathcal{O}} \cap \mathfrak{p}) \setminus \mathcal{O})$$

and  $\mathcal{Z}_{U, \overline{\mathcal{O}'}} := \mathcal{X} \times_{\check{M}} (U \times \overline{\mathcal{O}'})$ . Then

- (i)  $U$  is a Zariski open subset of  $\mathfrak{p}$  such that  $U \cap M(M'^{-1}(\overline{\mathcal{O}'})) = \mathcal{O}$ ;
- (ii)  $\mathcal{Z}_{U, \overline{\mathcal{O}'}}$  is smooth and it is a single  $\mathbf{K} \times \mathbf{K}'$ -orbit.

*Proof.* Part (i) follows from Lemma 15.21. By Lemma A.5 (ii), the underlying set of  $\mathcal{Z}_{U, \overline{\mathcal{O}'}}$  is a single  $\mathbf{K} \times \mathbf{K}'$ -orbit whose image under  $M'$  is contained in  $\mathcal{O}'$ . By generic flatness [30, Théorème 6.9.1], the morphism  $\mathcal{Z}_{U, \overline{\mathcal{O}'}} \rightarrow \mathcal{O}'$  is flat. To prove the scheme theoretical claim in part (ii), it suffices to show that

$$\mathcal{Z}_{U, X'} := \mathcal{X} \times_{\check{M}} (U \times \{X'\})$$

is reduced, where  $X' \in \mathcal{O}'$ .

Let  $w \in \mathcal{Z}_{U, X'}$  be a closed point. As in the proof of Lemma A.5, by the Jacobian criterion for regularity, it suffices to show that the following sequence is the exact:

$$\mathfrak{k} \oplus \mathfrak{k}'_{X'} \xrightarrow{(A, A') \mapsto A'w - wA} \mathcal{X} \xrightarrow[b \mapsto bw^* + wb^*]{dM'_w} \mathfrak{p}'.$$

The proof of the exactness follows the same line as the proof of Lemma A.5 utilizing (A.5) and the established exact sequence (A.2) in the descent case. We leave the details to the reader.  $\square$

**A.3. Proof of Lemma 16.16.** We will use notations of Section ?? and Section 16.3.2. Let  $\text{Hom}_J$  denote the space of homomorphisms commuting with the  $\epsilon$ -real from  $J$ , and  $\mathfrak{n}_{\mathbf{R}}$  denote the Lie algebra of  $N_{\mathbf{E}_0}$ .

We first explicitly construct some elements in the induced orbits in  $\text{Ind}_{P_{\mathbf{E}_0}}^{G_{\mathbf{V}^\perp}} \mathcal{O}_{\mathbf{R}}$ . Fix an element  $\mathbf{i}X \in \mathcal{O}_{\mathbf{R}}$  and a  $J$ -invariant complement  $\mathbf{V}_+$  of  $\text{Im}(X)$  in the vector space  $\mathbf{V}^- = \mathbf{V}$  so that

$$\mathbf{V}^- = \mathbf{V}_+ \oplus \text{Im}(X).$$

Fix any  $J$ -invariant decompositions

$$\mathbf{E}_0 = \mathbf{L}_1 \oplus \mathbf{L}_0 \quad \text{and} \quad \mathbf{E}'_0 = \mathbf{L}'_1 \oplus \mathbf{L}'_0$$

such that  $\dim \mathbf{L}_1 = \dim \mathbf{V}_+$ , and  $(\mathbf{L}_1 \oplus \mathbf{L}'_1) \perp (\mathbf{L}_0 \oplus \mathbf{L}'_0)$ . (This is possible due to the dimension inequality in (16.8).)

Fix a linear isomorphism

$$S \in \text{Hom}_J(\mathbf{L}'_1, \mathbf{V}_+),$$

and view it as an element of  $\text{Hom}_J(\mathbf{E}'_0, \mathbf{V}^-)$  via the aforementioned decompositions. Put

$$\begin{aligned} \mathcal{T} &:= \{ T \in \text{Hom}_J(\mathbf{L}'_0, \mathbf{L}_0) \mid T^* + T = 0 \} \quad \text{and} \\ \mathcal{T}^\circ &:= \{ T \in \mathcal{T} \mid T \text{ has maximal possible rank} \} \end{aligned}$$

to be viewed as subsets of  $\text{Hom}_J(\mathbf{E}'_0, \mathbf{E}_0)$  as before, where  $T^* \in \text{Hom}_J(\mathbf{L}'_0, \mathbf{L}_0)$  is specified by requiring that

$$(A.6) \quad \langle T \cdot u, v \rangle_{\mathbf{V}^\perp} = \langle u, T^* v \rangle_{\mathbf{V}^\perp}, \quad \text{for all } u, v \in \mathbf{L}'_0,$$

and  $\langle \cdot, \cdot \rangle_{\mathbf{V}^\perp}$  denotes the  $\epsilon$ -symmetric bilinear form on  $\mathbf{V}^\perp$ .

Each  $T \in \mathcal{T}$  defines a  $(-\epsilon)$ -symmetric bilinear form  $\langle \cdot, \cdot \rangle_T$  on  $\mathbf{L}'_0$  where

$$\langle v_1, v_2 \rangle_T := -\langle v_1, T v_2 \rangle, \quad \text{for all } v_1, v_2 \in \mathbf{L}'_0.$$

Put

$$(A.7) \quad X_{S,T} := \begin{pmatrix} 0 & S^* & T \\ & X & S \\ & & 0 \end{pmatrix} \in X + \mathfrak{n}_{\mathbb{R}}$$

according to the decomposition  $\mathbf{V}^\perp = \mathbf{E}_0 \oplus \mathbf{V}^- \oplus \mathbf{E}'_0$ , where  $S^* \in \text{Hom}_J(\mathbf{V}^-, \mathbf{E}_0)$  is similarly defined as in (A.6).

Now suppose that  $T \in \mathcal{T}^\circ$ .

In case (ii) of Lemma 16.16, the form  $\langle \cdot, \cdot \rangle_T$  must be non-degenerate and  $((\mathbf{L}'_0, \langle \cdot, \cdot \rangle_T), J|_{\mathbf{L}'_0})$  becomes a  $(-\epsilon, -\epsilon)$ -space. Conversely, up to isomorphism, every  $(-\epsilon, -\epsilon)$ -space of dimension  $l - c_1$  is isomorphic to  $((\mathbf{L}'_0, \langle \cdot, \cdot \rangle_T), J|_{\mathbf{L}'_0})$  for some  $T \in \mathcal{T}$ .

Let  $s$  be the signature of  $((\mathbf{L}'_0, \langle \cdot, \cdot \rangle_T), J|_{\mathbf{L}'_0})$  and let  $G_T := \mathbf{G}_{\mathbf{L}'_0}^J$  denote the corresponding real group. Then  $\mathbf{i}X_{S,T}$  generates an induced orbit of  $\mathcal{O}_{\mathbb{R}}$  with signed Young diagram  $[d_1 + s, \check{d}_1 + \check{s}, d_1, \dots, d_k]$ .<sup>7</sup> One checks that the reductive quotient of  $\text{Stab}_{P_{\mathbf{E}_0}}(\mathbf{i}X_{S,T})$  and  $\text{Stab}_{G_{\mathbf{V}^\perp}}(\mathbf{i}X_{S,T})$  are both canonically isomorphic to  $R \times G_T$ , where  $R$  is the reductive quotient of  $\text{Stab}_G(X)$ . Hence  $C_{P_{\mathbf{E}_0}}(\mathbf{i}X_{S,T}) \cong C_{G_{\mathbf{V}^\perp}}(\mathbf{i}X_{S,T})$ .

In case (i) of Lemma 16.16,  $\langle \cdot, \cdot \rangle_T$  is skew symmetric, and  $T$  has rank  $\dim \mathbf{L}_0 - 1 = l - c_1 - 1$  since  $l - c_1$  is odd. One checks that  $\mathbf{i}X_{S,T}$  generates an induced orbit of  $\mathcal{O}_{\mathbb{R}}$  with the signed Young diagram prescribed in (i) of Lemma 16.16.

Fix decompositions

$$\mathbf{L}_0 = \mathbf{L}_2 \oplus \mathbf{L}_3 \quad \text{and} \quad \mathbf{L}'_0 = \mathbf{L}'_2 \oplus \mathbf{L}'_3$$

which are dual to each other such that  $\text{Ker}(T) = \mathbf{L}'_3$  and  $\langle \cdot, \cdot \rangle_T|_{\mathbf{L}'_2 \times \mathbf{L}'_2}$  is a non-degenerate skew symmetric bilinear form on  $\mathbf{L}'_2$ . Let  $G_T := \mathbf{G}_{\mathbf{L}'_2}^J$ . Then  $G_T$  is a real symplectic group. The reductive quotient of  $\text{Stab}_{P_{\mathbf{E}_0}}(\mathbf{i}X_{S,T})$  is canonically isomorphic to  $R \times G_T \times \text{GL}(\mathbf{L}_3)^J$  and the reductive quotient of  $\text{Stab}_{G_{\mathbf{V}^\perp}}(\mathbf{i}X_{S,T})$  is canonically isomorphic to  $R \times G_T \times \mathbf{G}_{\mathbf{L}_3 \oplus \mathbf{L}'_3}^J$ , where  $R$  is the reductive quotient of  $\text{Stab}_G(\mathbf{i}X)$  and  $\mathbf{G}_{\mathbf{L}_3 \oplus \mathbf{L}'_3}^J \cong \text{O}(1, 1)$ . The homomorphism

$$C_{P_{\mathbf{E}_0}}(\mathbf{i}X_{S,T}) \longrightarrow C_{G_{\mathbf{V}^\perp}}(\mathbf{i}X_{S,T})$$

is therefore an injection whose image has index 2.

<sup>7</sup>The signed Young diagram may be computed using the explicit description of Kostant-Sekiguchi correspondence in [26, Propositions 6.2 and 6.4].

To finish the proof of the lemma, it suffices to show that

$$(A.8) \quad \text{Ind}_{P_{\mathbf{E}_0}}^{G_{\mathbf{V}^\perp}} \mathcal{O}_{\mathbb{R}} = \{ G_{\mathbf{V}^\perp} \cdot \mathbf{i} X_{S,T} \mid T \in \mathcal{T}^\circ \}.$$

Consider the set

$$\mathfrak{A} := \left\{ \begin{pmatrix} 0 & B^* & C \\ & X & B \\ & & 0 \end{pmatrix} \in X + \mathfrak{n}_{\mathbb{R}} \mid X \oplus B \in \text{Hom}_J(\mathbf{V}^- \oplus \mathbf{E}'_0, \mathbf{V}^-) \text{ is surjective} \right\}.$$

Clearly  $P'_{\mathbf{E}_0} := \text{GL}_{\mathbf{E}_0} \ltimes N_{\mathbf{E}_0}$  acts on  $\mathfrak{A}$  (cf. ??). By suitable matrix manipulations, one sees that every element in  $\mathfrak{A}$  is conjugated to an element in  $\{ X_{S,T} \mid T \in \mathcal{T}^\circ \}$  under the  $P'_{\mathbf{E}_0}$ -action. Hence  $P'_{\mathbf{E}_0} \cdot \{ X_{S,T} \mid T \in \mathcal{T}^\circ \}$  is open dense in  $\mathfrak{A}$ . Now (A.8) follows since  $P_{\mathbf{E}_0} \cdot \mathbf{i}\mathfrak{A}$  is open dense in  $\mathcal{O}_{\mathbb{R}} + \mathfrak{in}_{\mathbb{R}}$ ,  $\square$

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