

# Special unipotent representations of real classical groups and theta correspondence

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# Classical groups and special unipotent representations

	$G$	$\mathbf{G}$	$\mathbf{G}^\vee$	
$D_n$	$O(p, 2n - p)$	$O(2n, \mathbb{C})$	$O(2n, \mathbb{C})$	$D_n$
$C_n$	$Sp(2n, \mathbb{R})$	$Sp(2n, \mathbb{C})$	$SO(2n + 1, \mathbb{C})$	$B_n$
$B_n$	$O(p, 2n + 1 - p)$	$O(2n + 1, \mathbb{C})$	$Sp(2n, \mathbb{C})$	$C_n$
$\tilde{C}_n$	$Mp(2n, \mathbb{R})$	$Sp(2n, \mathbb{C})$	$Sp(2n, \mathbb{C})$	$C_n$
$D_n$	$O^*(n)$	$SO(2n, \mathbb{C})$	$SO(2n, \mathbb{C})$	$D_n$
$C_n$	$Sp(p, q)$	$Sp(2n, \mathbb{C})$	$SO(2n + 1, \mathbb{C})$	$B_n$

$$\mathbf{Nil}(\mathbf{G}^\vee) := \{ \text{nilpotent orbit in } \mathbf{G}^\vee \}$$

## Theorem (Barbasch-M.-Sun-Zhu)

Arthur-Barbasch-Vogan's conjecture on special unipotent repn. holds:

All elements in  $\text{Unip}_{\tilde{O}}(G)$  are *unitarizable*.

# Barbasch-Vogan's definition of unipotent representation

nilpotent orbit  $\check{\mathcal{O}}$  in  $\mathbf{G}^\vee$ .

$\rightsquigarrow \phi: \mathrm{SL}(2, \mathbb{C}) \rightarrow \mathbf{G}^\vee$  (Jacobson-Morozov)

$\rightsquigarrow$  an infinitesimal character  $\frac{1}{2}d\phi\left(\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}\right) \leftrightarrow \chi_{\mathcal{O}^\vee}$

$\rightsquigarrow$  the maximal primitive ideal  $\mathcal{I}_{\check{\mathcal{O}}}$  with inf. char.  $\chi_{\check{\mathcal{O}}}$

■ **Definition** (Barbasch-Vogan):

An irreducible admissible  $G$ -representation is called *unipotent* if

$$\mathrm{Ann}_{\mathcal{U}(\mathfrak{g})}(\pi) = \mathcal{I}_{\check{\mathcal{O}}}.$$

■ **Theorem** (Barbasch-Vogan):

An irr.  $G$ -module has inf. char.  $\chi_{\check{\mathcal{O}}}$  is unipotent  $\iff$

$$\text{associated variety of } \mathrm{Ann}_{\mathcal{U}(\mathfrak{g})}(\pi) = \overline{\mathcal{O}}$$

Here  $\mathcal{O}$  is the Lusztig-Spaltenstein-Barbasch-Vogan dual of  $\check{\mathcal{O}}$ .

# Conjecture

- *Conjecture*:  $\text{Unip}_{\mathcal{O}}(G)$  consists of **unitary** representations.
- Question: How to construct elements in  $\text{Unip}_{\mathcal{O}}(G)$ ?
- Question: How many elements are there in  $\text{Unip}_{\mathcal{O}}(G)$ ?

# Nilpotent orbits with “good/bad parity”

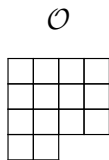
- Bad parity (must occur with even multiplicity in  $\check{\mathcal{O}}$ ):

$$\begin{cases} \text{even number,} & \text{when } \mathbf{G}^\vee \text{ is type } B, D \\ \text{odd number,} & \text{when } \mathbf{G}^\vee \text{ is type } C \end{cases}$$

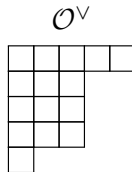
- $\check{\mathcal{O}}$  has “good parity” if  $\check{\mathcal{O}}$  only contains

$$\begin{cases} \text{odd rows} & \text{when } \mathbf{G}^\vee \text{ is type } B, D \\ \text{even rows} & \text{when } \mathbf{G}^\vee \text{ is type } C \end{cases}$$

- Example of good parity:



$\mathrm{Sp}(14, \mathbb{C})$



$\mathrm{SO}(15, \mathbb{C})$

# Reduction to the “good parity”

- Consider  $G = \mathrm{Sp}(2n, \mathbb{R})$ .
- $\check{\mathcal{O}}$  decompose into two parts  $\check{\mathcal{O}}_g$  (good parity) and  $\check{\mathcal{O}}_b$  (bad parity).
- Assume  $\check{\mathcal{O}}_b = \{r_1, r_1, \dots, r_k, r_k\}$ ,  $|\check{\mathcal{O}}_b| = 2n_1$  and  $|\check{\mathcal{O}}_g| = 2n_0$
- Theorem** (Let  $\check{\mathcal{O}}'_b = \{r_1, \dots, r_k\} \in \mathrm{GL}(2n_1, \mathbb{R})$ .)

$$\mathrm{Unip}_{\check{\mathcal{O}}'_b}(\mathrm{GL}(2n_1, \mathbb{R})) \times \mathrm{Unip}_{\check{\mathcal{O}}_g}(\mathrm{Sp}(2n_0, \mathbb{R})) \longrightarrow \mathrm{Unip}_{\check{\mathcal{O}}}(\mathrm{Sp}(2n, \mathbb{R}))$$

$$(\pi_1, \pi_0) \mapsto \mathrm{Ind}_{\mathrm{GL}(2n_1, \mathbb{R}) \times \mathrm{Sp}(2n_0, \mathbb{R})}^{\mathrm{Sp}(2n, \mathbb{R})} \pi_1 \otimes \pi_0$$

$$\mathrm{Unip}_{\check{\mathcal{O}}'_b}(\mathrm{GL}(2n_1, \mathbb{R})) = \left\{ \mathrm{Ind}_{\mathrm{GL}(r_1) \times \dots \times \mathrm{GL}(r_k)}^{\mathrm{GL}(2n_1, \mathbb{R})} \mathrm{sgn}^{\epsilon_1} \otimes \dots \otimes \mathrm{sgn}^{\epsilon_k} \mid \epsilon_1, \dots, \epsilon_k \in \mathbb{Z}/2\mathbb{Z} \right\}$$

- We assume  $\check{\mathcal{O}}$  has **good parity** from now on.
- Use *theta correspondence* to construct  $\mathrm{Unip}_{\check{\mathcal{O}}_g}(G)$ .

# Construct unipotent representations by theta lifting

- $\mathbf{G} = \mathrm{Sp}(2n, \mathbb{C})$ ,  $\mathbf{G}^\vee = \mathrm{SO}(2n+1, \mathbb{C})$ .
- **Good parity** orbit  $\check{\mathcal{O}} \in \mathbf{Nil}^{gp}(\mathfrak{g}^\vee) \subset \mathbf{Nil}(\mathfrak{g}^\vee)$  is an orbit satisfying
$$\check{\mathcal{O}} = (R_{2a} \geq R_{2a-1} \geq \cdots \geq R_0 > 0) \quad \text{all } R_i \text{ are odd}$$
- **Quasi-distinguished**:  $R_{2i} > R_{2i-1}$  for  $i = 1, \dots, a$ .
- $\{\text{good parity}\} \supset \{\text{quasi-distinguished}\} \supset \{\text{distinguished}\}$
- $\rightsquigarrow$  infinitesimal character  $\chi_{\check{\mathcal{O}}} : \mathcal{U}(\mathfrak{g})^{\mathbf{G}} \rightarrow \mathbb{C}$ :

$$\chi_{\check{\mathcal{O}}} := (\rho(R_{2a}), \rho(R_{2a-1}), \dots, \rho(R_0), 0, \dots, 0)$$

where

$$\rho(R) := (1, 2, \dots, \frac{R-1}{2}).$$

- When  $G = \mathrm{Sp}(p, q)$ ,  $\mathrm{Unip}_{\check{\mathcal{O}}}(G) \neq \emptyset \iff \check{\mathcal{O}}$  is quasi-dist.

# Descent of nilpotent orbits: $\mathbf{G} = \mathrm{Sp}(2n, \mathbb{C})$

- Take  $\check{\mathcal{O}} \in \mathbf{Nil}^{gp}(\mathfrak{g}^\vee)$  (nilpotent orbits with good parity).

- Descent sequence on the dual side:

$$\mathcal{O}^\vee = \mathcal{O}_{2a}^\vee \quad \mathcal{O}_{2a-1}^\vee \quad \cdots \quad \mathcal{O}_0^\vee$$

$\mathcal{O}_i^\vee =$  removing the first rows of  $\mathcal{O}_{i+1}^\vee$ .

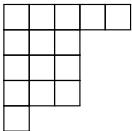
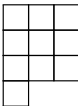


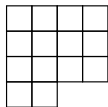
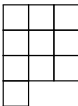

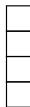
- A descent sequence for  $\mathcal{O}$  is a sequence of real classical groups

$$G = G_{2a} \quad G_{2a-1} \quad \cdots \quad G_0$$

- $G_{2k}$  is a symplectic group  
allow  $G_0 = \mathrm{Sp}(0, \mathbb{R}) =$  the trivial group.
- $G_{2k-1} = \mathrm{O}(p_k, q_k)$
- $\mathcal{O}_i^\vee$  is nilpotent orbit of  $\mathbf{G}_i^\vee$
- $(G_i, G_{i-1})$  forms a reductive dual pair.
- $\mathcal{O}_i =$  delete the first column of  $\mathcal{O}_{i+1}$  and may add one box back to the remaining longest column making the size correct.



# Example of descent sequences

$\mathbf{G}_i^\vee$	$\mathrm{SO}(15, \mathbb{C})$	$\mathrm{O}(10, \mathbb{C})$	$\mathrm{SO}(7, \mathbb{C})$	$\mathrm{O}(4, \mathbb{C})$
$\mathcal{O}_i^\vee$				
$\mathcal{O}_i$				
$\mathbf{G}_i$	$\mathrm{Sp}(14, \mathbb{C})$	$\mathrm{O}(10, \mathbb{C})$	$\mathrm{Sp}(6, \mathbb{C})$	$\mathrm{O}(4, \mathbb{C})$

# Construction of elements in $\text{Unip}_{\check{\mathcal{O}}}(G)$

- Let  $\chi = \chi_{2a-1} \otimes \mathbf{1} \otimes \chi_{2a-3} \otimes \cdots \otimes \chi_1 \otimes \mathbf{1}$  be a 1-dim repn. of  $G_{2a-1} \times G_{2a-2} \times \cdots \times G_0$ .
- $\chi_i \in \{\mathbf{1}, \text{sgn}^{+,-}, \text{sgn}^{-,+}, \det\}$
- Define a smooth representation of  $G = G_{2a}$  (the symplectic group).

$$\pi_\chi := (\omega_{G_{2a}, G_{2a-1}} \hat{\otimes} \omega_{G_{2a-2}, G_{2a-3}} \hat{\otimes} \cdots \hat{\otimes} \omega_{G_1, G_0} \otimes \chi)_{G_{2a-1} \times G_{2a-2} \times \cdots \times G_0}$$

## Theorem (Barbasch-M.-Sun-Zhu)

Let  $\check{\mathcal{O}}^\vee$  be an orbit with good parity. Then

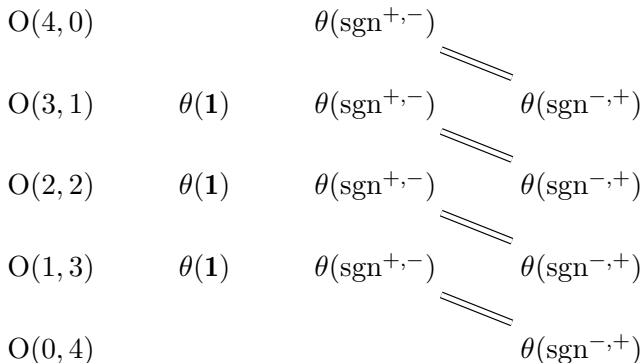
- $\pi_\chi = 0$  or
- $\pi_\chi$  is in  $\text{Unip}_{\check{\mathcal{O}}}(G)$  and *unitarizable*.
- Moreover,

$$\text{Unip}_{\check{\mathcal{O}}^\vee}(G) = \{\pi_\chi \mid \pi_\chi \neq 0\}.$$

## Example: Coincidences of theta lifting

Lift to  $G = \mathrm{Sp}(6, \mathbb{R})$  from real forms of  $\mathbf{G} = \mathrm{O}(4, \mathbb{C})$ .

$\check{\mathcal{O}} = [3, 3, 1]$  and  $\mathcal{O} = (3, 3)$ .



## Some comments

- Many people have studied the problem

Adams, Barbasch, He, Huang, Li, Loke, Mœglin, Paul, Przebinda, Trapa, ....

- **Unitarity:**

- Estimate of matrix coefficients using the explicit realization of the Weil representations.

Work of **Li, He**, and an idea of **Harris-Li-Sun** showing the **nonnegativity** of a matrix coefficient integral.

- **non-vanishing**, and compute associated cycle:

- **Geometry**: moment maps provide the upper bound.
- **Analysis**: degenerate principal series force the lower bound.
- **Geometry meets Analysis**: the equality.

- **Exhaustion**: Combinatorics (**very recent breakthrough!**)

- **Corollary**: (using [Gomez-Zhu]) The Whittaker cycle of  $\pi_\chi$  equals to its Wavefront cycle.

# Counting unipotent representations I

- $\check{\mathcal{O}} \in \mathbf{Nil}^{gp}(\mathbf{G}^\vee)$

$\rightsquigarrow$  special representation  $\tau \leftrightarrow \mathcal{O}$

Springer/Lusztig left cell  $\mathcal{C}_{\mathcal{O}} = \{\tau_1, \dots, \tau_{2^l}\}$  containing  $\tau$

- $\mathcal{K}_\rho(G)$ : the Grothendieck group of finite length Harish-Chandra modules with infinitesimal character  $\rho$ .

$$\# \text{Unip}_{\mathcal{O}^\vee}(G) = \sum_{\tau_i} [\tau_i : \mathcal{K}_\rho(G)]$$

- Example  $G = \text{Sp}(2n, \mathbb{R})$

$$\mathcal{K}_\rho(G) = \sum_{\substack{p,q,t,s, \\ \sigma \in \hat{S}_s}} \text{Ind}_{S_t \times W_{2s} \times W_p \times W_q}^{W_n} [\text{sgn} \otimes (\sigma \times \sigma) \otimes \mathbf{1} \otimes \mathbf{1}].$$

- RHS of blue part can be counted by  $\text{PBP}(\check{\mathcal{O}})$ .
- $LS(\mathcal{O}) \subset \mathcal{K}_{\mathcal{O}}(\mathbf{K})$ : all local systems could be obtained by theta lifting.
- When  $\mathcal{O}^\vee \in \mathbf{Nil}^{qd}(\mathfrak{g}^\vee)$ ,  $\# \text{PBP}(\mathcal{O}) = \# \text{AOD}(\mathcal{O}) = \# \text{LS}(\mathcal{O})$

# Counting unipotent representations II

- $\text{PBP}(\check{\mathcal{O}})$  is complicate.
- $\text{LS}(\check{\mathcal{O}})$  is also complicate.
- **Proof of Exhaustion**

Define a bijection (inductively)

$$\begin{array}{ccc} \text{PBP}(\check{\mathcal{O}}) & \longleftrightarrow & \text{Unip}_{\check{\mathcal{O}}}(G) \\ \downarrow & & \downarrow \text{associate cycle} \\ \text{MYD}(\check{\mathcal{O}}) & \longleftrightarrow & \text{LS}(\check{\mathcal{O}}) \end{array}$$

compatible with the theta lifting.

- The **injectivity** of theta lifting is crucial!

# Unipotent Arthur packet

- **Arthur parameter:**  $\psi: W_{\mathbb{R}} \times \mathrm{SL}_2(\mathbb{C}) \rightarrow \mathbf{G}^{\vee} \rtimes \mathrm{Gal}(\mathbb{C}/\mathbb{R})$ .

Here  $W_{\mathbb{R}} = \mathbb{C} \rtimes \langle j \rangle$ .

- **Arthur's Arthur packet**  $\Pi_{\psi}^A(G)$ :

{local components of automorphic representations}

They are unitary by definition!

- **Unipotent Arthur parameter:**  $\psi|_{\mathbb{C}^{\times}}$  is trivial.

Mœglin:  $\pi_{\psi,\eta}$  is zero or multiplicity free ( $\eta \in \mathrm{Irr}(\pi_1(Z_{\mathbf{G}^{\vee}}(\psi)))$ ).

Warning:  $\Pi_{\psi}^A(G) \cap \Pi_{\psi'}^A(G) \neq \emptyset$  in general.

- “Corollary”:

$$\Pi_{\psi}^A(G) = \Pi_{\psi}^{ABV}(G)$$

- **Question:** How to describe  $\pi_{\psi,\eta}$  explicitly?

**Thank you for your attention!**