

# Unipotent representations of real classical groups

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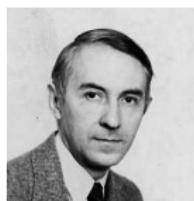
# Jordan-Chevalley decomposition

- For each  $g \in G := \mathrm{GL}_n(\mathbb{C})$ ,  $\exists!$  pair  $(s, u)$  such that

$$g = su = us,$$

- $s$  is semisimple, and  $u$  is unipotent ( $u - 1$  is nilpotent.)

Camille Jordan   Claude Chevalley



- Classification of conjugation classes in  $G$ :

$$G / \sim = \bigsqcup_{s \in G_{\text{s.s.}} / \sim} \{su \mid u \in G^s\} / \sim \xleftarrow{\text{bij.}} \bigsqcup_{s \in G_{\text{s.s.}} / \sim} \mathrm{unip}(G^s)$$

# Reductive groups and its representation

- $\mathbf{G}$ : a linear algebraic group:  $\mathrm{SL}_n, \mathrm{Sp}_{2n}, \mathrm{O}_n, G_2, F_4, E_6, E_7, E_8 \dots$ .
- *Question:* How to classify  $\mathrm{Irr}(G)$  where  $G = \mathbf{G}(k)$ ?
- What is the structure of  $\mathrm{Irr}(G)$ ?
- When  $G$  is abelian:

Lev S. Pontryagin



$$G \rightsquigarrow \mathrm{Irr}_{\mathrm{unit.}}(G) = \widehat{G}, \text{ an abelian group}$$

- Examples:  $\mathbb{R} \rightsquigarrow \mathbb{R}, \mathbb{Z} \rightsquigarrow S^1, \mathbb{Z}/n\mathbb{Z} \rightsquigarrow \mu_n$

# Finite group of Lie type: $G := \mathbf{G}(\mathbb{F}_q)$

- Deligne-Lusztig and Lusztig (1970s–1980s)
- *Jordan decomposition*:

Define dual group  $\widehat{\mathbf{G}}$ . E.g.  $\mathrm{Sp}_{2n} = \mathbf{G} \longleftrightarrow \widehat{\mathbf{G}} = \mathrm{SO}_{2n+1}$

Deligne



$$\mathrm{Irr}(G) = \bigsqcup_{s \in \widehat{\mathbf{G}}_{\mathrm{s.s.}} / \sim} \mathcal{E}(G, s).$$

Lusztig

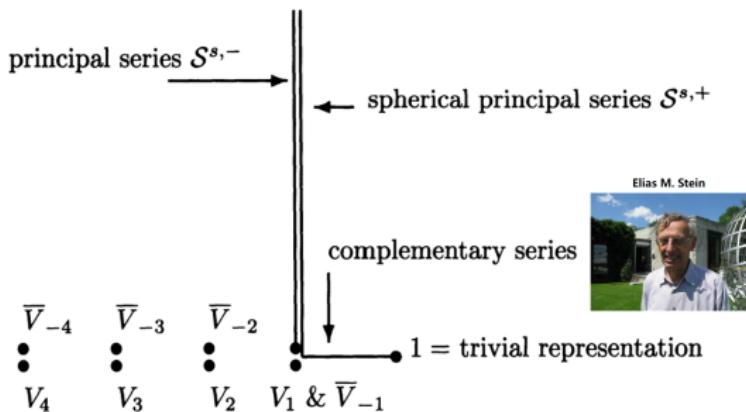


Lusztig's map to the unipotent packet.

$$\mathcal{E}(G, s) \xleftrightarrow{\text{bij.}} \mathcal{E}(\widehat{\mathbf{G}}_s, 1)$$

# Representations of Real Lie groups

- $G = \mathrm{GL}_n(\mathbb{R}), \mathrm{U}(p, q), \mathrm{O}(p, q), \mathrm{Sp}(2n, \mathbb{R}), \mathrm{Mp}(2n, \mathbb{R}), \dots$   
 $\{ \text{discrete series} \} \subset \{ \text{tempered} \} \subset \{ \text{unitary} \} \subset \mathrm{Irr}(G)$
- Unitary dual of  $\mathrm{SL}_2(\mathbb{R})$ :



- *Open problem:* Structure of the unitary dual!

# Langlands and Arthur

- $W_{\mathbb{R}} = \mathbb{C}^{\times} \cup j\mathbb{C}^{\times}$  such that  $jzj^{-1} = \bar{z}, j^2 = -1 \in \mathbb{C}^{\times}$ .
- Langlands dual group  ${}^L G = \widehat{G} \rtimes \langle j \rangle$ . Eg:

$$\mathrm{Sp}_{2n}(\mathbb{R}) = G \longleftrightarrow \widehat{G} = \mathrm{SO}_{2n+1}(\mathbb{C})$$

- *Langlands*



$$\mathrm{Irr}(G) \xrightarrow{\text{finite to one}} \{ \phi: W_{\mathbb{R}} \rightarrow {}^L G \} / \widehat{G}.$$

- *Arthur: (Classical group, 2013)*  $\mathrm{Irr}_{\mathrm{temp}}(G) \subset \mathrm{Irr}_A(G) \subset \mathrm{Irr}_{\mathrm{unit}}(G)$



$$\mathrm{Irr}_A(G) \xrightarrow{\text{finite to finite}} \{ \psi: W_{\mathbb{R}} \times \mathrm{SL}_2(\mathbb{C}) \rightarrow {}^L G \} / \widehat{G}.$$

# Arthur's unipotent representations

- $\psi : W_{\mathbb{R}} \times \mathrm{SL}_2(\mathbb{C}) \rightarrow {}^L G$
- Unipotent parameter:  $\psi|_{\mathbb{C}^\times} = \text{trivial}$   
 $\Leftrightarrow$  nilpotent orbit of a real form of  $\widehat{G}$ .

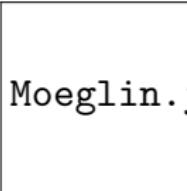


- *Conjecture:*  $\exists$  unipotent Arthur packets

“On some problems suggested by the trace formula” 1980’s

Moeglin

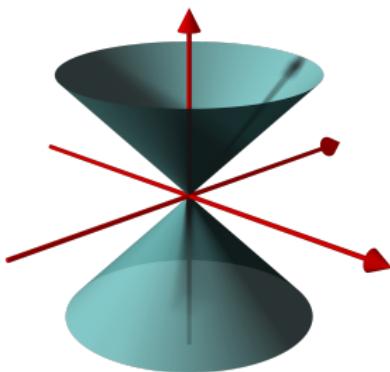
Renard

-  *Moeglin.jpg*  *Reduction to unipotent Arthur packet*

“Sur Les paquets d’Arthur des groupes classiques réels”  
(2020 JEMS)

# Barbasch-Vogan's definition of special unip. repn.

- Barbasch      Vogan
-    $\text{Unip}(G) \approx \text{repn. has smallest "size"}$
- Wavefront cycle/Associated cycle:  
 $\text{Irr}(G) \longrightarrow \mathcal{K}(\text{equiv. sheaves on nil-cone})$
- E.g.:  $\text{Lie}(\text{SL}_2(\mathbb{R})) \cong \mathbb{R}^3$ .



# Barbasch-Vogan's definition of special unip. repn. II

$G$ : a real reductive group.

- $\widehat{\mathcal{O}}$  : a nilpotent orbit in  $\widehat{G}$ .

Duflo      Joseph



$\rightsquigarrow$  the maximal primitive ideal  $\mathcal{I}_{\widehat{\mathcal{O}}} \subset \mathcal{U}(\mathfrak{g})$ .

- *Definition* (Barbasch-Vogan):

An irr. admissible  $G$ -repn. is called *special unipotent* if

$$\text{Ann}_{\mathcal{U}(\mathfrak{g})}(\pi) = \mathcal{I}_{\widehat{\mathcal{O}}}.$$

$\iff$   $\pi$  has inf. char.  $\chi_{\widehat{\mathcal{O}}}$  and  $\text{AV}_{\mathbb{C}}(\pi) = \overline{\mathcal{O}}$

- $\mathcal{O} \in \text{Nil}^{\text{special}}(\mathbf{G})$ :

Spaltenstein

the



dual of  $\widehat{\mathcal{O}}$ .

- $\text{Unip}_{\widehat{\mathcal{O}}}(G) := \{ \text{special unipotent repn. attached to } \widehat{\mathcal{O}} \}$ .

# Conjecture/Open problems

- *Major open problem:* Classify the **unitary dual**:

$$\text{Irr}_{\text{unit}}(G) = \{ \text{ irr. unitary repn. of } G \}.$$

- *Philosophy:*  $\text{Unip}_{\widehat{\mathcal{O}}}(G)$  = the **building blocks** of the unitary dual.
- *Conjecture (1980s):*  $\text{Unip}_{\widehat{\mathcal{O}}}(G)$  consists of **unitary** repn.
- **Question 1:** Size of  $\text{Unip}_{\widehat{\mathcal{O}}}(G)$ ?
- **Question 2:** Construction of unipotent repn.
- **Question 3:** Character formula?
- *Barbasch-Vogan* 1985: Complete answers for **complex groups**.
- *Barbasch* 1989: Proved the conj. for **complex classical groups**.

# Atlas of Lie groups

De Cloux, Adams



Leeuwen



Paul



Trapa



Vogan



Yee



```
atlas> set G = Sp(2,2)
Variable G: RealForm (overriding previous instance, which had type RealForm)
atlas> void: for v in up do for r in v do print(r,infinitesimal_character (r)) od od
(final parameter(x=12,lambda=[1,0,1,0]/1,nu=[0,0,0,0]/1),[ 1, 1, 1, 1 ]/2)
(final parameter(x=25,lambda=[2,1,0,-1]/1,nu=[1,0,0,-1]/2),[ 2, 1, 1, 0 ]/2)
(final parameter(x=36,lambda=[3,3,2,2]/1,nu=[1,1,1,1]/1),[ 3, 3, 1, 1 ]/2)
(final parameter(x=39,lambda=[4,3,1,0]/1,nu=[3,3,0,0]/2),[ 4, 2, 1, 1 ]/2)
(final parameter(x=35,lambda=[4,2,3,-1]/1,nu=[3,1,3,-1]/2),[ 2, 1, 1, 0 ]/1)
(final parameter(x=39,lambda=[4,3,1,0]/1,nu=[5,5,1,-1]/2),[ 3, 2, 1, 0 ]/1)
(final parameter(x=41,lambda=[4,3,2,1]/1,nu=[7,7,3,3]/2),[ 4, 3, 2, 1 ]/1)
```

~~ complete answer for *exceptional groups*.

# Classical groups and special unipotent representations

	$G$	$\mathbf{G}$	$\widehat{\mathbf{G}}$	
$D_n$	$O(p, 2n - p)$	$O(2n, \mathbb{C})$	$O(2n, \mathbb{C})$	$D_n$
$C_n$	$Sp(2n, \mathbb{R})$	$Sp(2n, \mathbb{C})$	$SO(2n + 1, \mathbb{C})$	$B_n$
$B_n$	$O(p, 2n + 1 - p)$	$O(2n + 1, \mathbb{C})$	$Sp(2n, \mathbb{C})$	$C_n$
$\tilde{C}_n$	$Mp(2n, \mathbb{R})$	$Sp(2n, \mathbb{C})$	$Sp(2n, \mathbb{C})$	$C_n$
$D_n$	$O^*(n)$	$SO(2n, \mathbb{C})$	$SO(2n, \mathbb{C})$	$D_n$
$C_n$	$Sp(p, n - p)$	$Sp(2n, \mathbb{C})$	$SO(2n + 1, \mathbb{C})$	$B_n$
$A_n$	$U(p, n - p)$	$GL(n, \mathbb{C})$	$GL(n, \mathbb{C})$	$A_n$
$A_m$	$U(r, m - r)$	$GL(m, \mathbb{C})$	$GL(m, \mathbb{C})$	$A_m$

Theorem (Barbasch-M.-Sun-Zhu 2021)

Arthur-Barbasch-Vogan's conj. on special unipotent repn. holds for  $G$ :

All *special unipotent repn.* of  $G$  are *unitarizable*.

# Theta lifting

Howe



- $\exists \theta$ -correspondence. (1970s)

*E.g.* a subset of  $\text{Irr}(\text{O}(p, q)) \xleftrightarrow{\text{bij.}} \text{a subset of } \text{Irr}(\text{Sp}(2n, \mathbb{R}))$

- $\theta$ -lifting  $\approx \sqrt{\text{induction}}$ : a effective tool for “*small*” repn.
- Towards the construction of unipotent repn.:

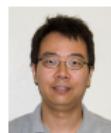
Adams



Barbasch



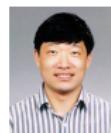
He



Huang



Li



Loke



Nishiyama



Mœglin



Paul



Przebinda



Trapa



...

# Inductive structure of nilpotent orbits

$\hat{G}_i$	$\mathrm{SO}(15, \mathbb{C})$	$\mathrm{O}(10, \mathbb{C})$	$\mathrm{SO}(7, \mathbb{C})$	$\mathrm{O}(4, \mathbb{C})$
$\hat{\mathcal{O}}_i$	A Young diagram consisting of a 5x3 rectangle with a 1x2 hook at the bottom right corner.	A Young diagram consisting of a 5x2 rectangle.	A Young diagram consisting of a 4x2 rectangle.	A Young diagram consisting of a 2x2 square.
$\mathcal{O}_i$	A Young diagram consisting of a 7x2 rectangle.	A Young diagram consisting of a 5x2 rectangle.	A Young diagram consisting of a 3x2 rectangle.	A Young diagram consisting of a 2x2 square.

*Relate to*  
Kraft



Procesi



Takuya Ohta  
太田 琢也 's study of singularities of  
a nilpotent orbit closure.

# About the proof

- $\theta$ -lifting  $\approx \sqrt{\text{parabolic induction}}$
- Structure of degenerate principle series (Algebra).
- Estimation of matrix coeff. integrals (Analysis)  $\rightsquigarrow$  unitarity
- Sharp formula of Asso. Cycles: **lower bound=upper bound**
  - *Algebra+Analysis:*  $\rightsquigarrow$  lower bound.
  - *Algebraic Geometry:* double fibration of moment maps  
 $\rightsquigarrow$  upper bound
- Exhaustion: **lower bound=upper bound** (Combinatorics)
  - Character theory (Kazhdan-Lusztig-Vogan theory)  
 $\rightsquigarrow$  upper bound by counting “tableaux”.
  - Asso. Cycle+Injectivity of  $\theta \rightsquigarrow$  lower bound.

# Preprints (Barbasch, M. , Sun and Zhu)

- *Definition for metaplectic groups*

On the notion of metaplectic Barbasch-Vogan duality

<https://arxiv.org/abs/2010.16089>

- *Construction using  $\theta$ -lifting, 76 pages*

Special unipotent representations: orthogonal and symplectic groups

<https://arxiv.org/abs/1712.05552v2>

- *Counting unipotent representations (in preparation)*

Barbasch



Sun



Zhu



# Thank you for your attention!

