



Week2_Course_part1

Principles of Data Layout and Index

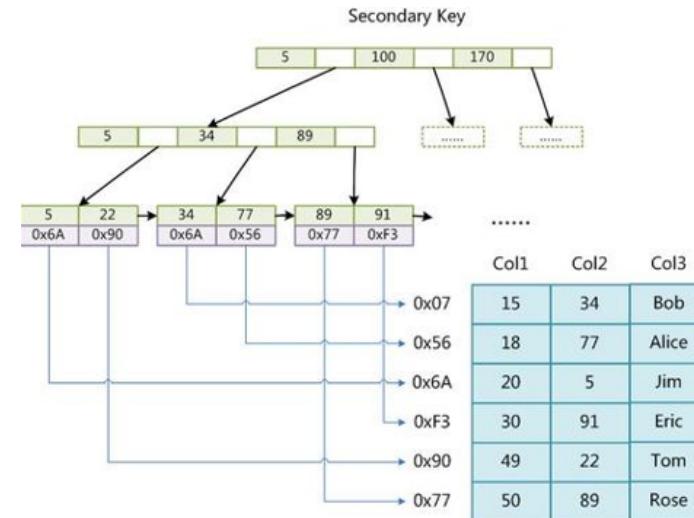
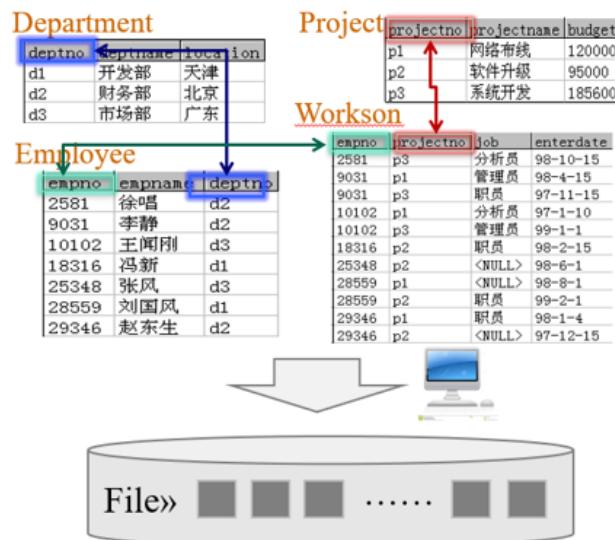
Relation Model

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Principles of Data Layout and Index

- How to lay out data on disk?
- How to find a record quickly?



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Principles of Data Layout

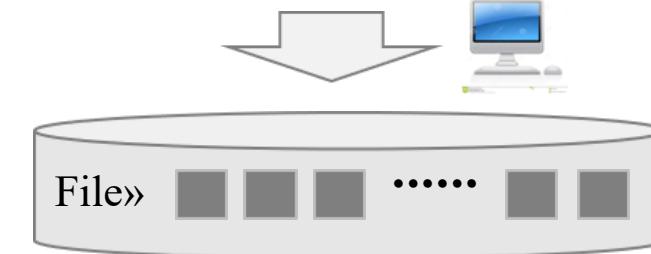
- How to lay out data on disk
- How to move it to memory

Principles are rather simple, but
there are lots of variations in
the details

Department		
deptno	deptname	Location
d1	开发部	天津
d2	财务部	北京
d3	市场部	广东

Project			
projectno	projectname	budget	
p1	网络布线	120000	
p2	软件升级	95000	
p3	系统开发	185600	

Employee			
empno	empname	deptno	
2581	徐唱	d2	
9031	李静	d2	
10102	王闻刚	d3	
18316	冯新	d1	
25348	张风	d3	
28559	刘国风	d1	
29346	赵东生	d2	



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主要讲授内容

Department

deptno	deptname	location
d1	开发部	天津
d2	财务部	北京
d3	市场部	广东

Employee

empno	empname	deptno
2581	徐唱	d2
9031	李静	d2
10102	王闻刚	d3
18316	冯新	d1
25348	张风	d3
28559	刘国风	d1
29346	赵东生	d2

Project

projectno	projectname	budget
p1	网络布线	120000
p2	软件升级	95000
p3	系统开发	185600

Workson

empno	projectno	job	enterdate
2581	p3	分析员	98-10-15
9031	p1	管理员	98-4-15
9031	p3	职员	97-11-15
10102	p1	分析员	97-1-10
10102	p3	管理员	99-1-1
18316	p2	职员	98-2-15
25348	p2	<NULL>	98-6-1
28559	p1	<NULL>	98-8-1
28559	p2	职员	99-2-1
29346	p1	职员	98-1-4
29346	p2	<NULL>	97-12-15

关系模式与关系

属性(Attribute) → 字段(Field)?

元组(tuple) → 记录(Record)?

记录集合 → 存储块 (Block)

块的集合 → 存储关系(Relation)?

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The Relational Data Model

Department		
deptno	deptname	location
d1	开发部	天津
d2	财务部	北京
d3	市场部	广东

Employee		
empno	empname	deptno
2581	徐唱	d2
9031	李静	d2
10102	王闻刚	d3
18316	冯新	d1
25348	张风	d3
28559	刘国风	d1
29346	赵东生	d2

Project

projectno	projectname	budget
p1	网络布线	120000
p2	软件升级	95000
p3	系统开发	185600

Workson

empno	projectno	job	enterdate
2581	p3	分析员	98-10-15
9031	p1	管理员	98-4-15
9031	p3	职员	97-11-15
10102	p1	分析员	97-1-10
10102	p3	管理员	99-1-1
18316	p2	职员	98-2-15
25348	p2	<NULL>	98-6-1
28559	p1	<NULL>	98-8-1
28559	p2	职员	99-2-1
29346	p1	职员	98-1-4
29346	p2	<NULL>	97-12-15

Table name

Attribute names

Workson

tuples

empno	projectno	job	enterdate
2581	p3	分析员	98-10-15
9031	p1	管理员	98-4-15
9031	p3	职员	97-11-15
10102	p1	分析员	97-1-10
10102	p3	管理员	99-1-1
18316	p2	职员	98-2-15
25348	p2	<NULL>	98-6-1
28559	p1	<NULL>	98-8-1
28559	p2	职员	99-2-1
29346	p1	职员	98-1-4
29346	p2	<NULL>	97-12-15

Tables → Relations ; Columns → Attributes ; Rows → Tuples ;

Schema (e.g.: Workson = (empno, projectno, job, enterdate))

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mathematical relations (i.e., sets)

S#: $\{S_1, S_2, \dots, S_m\}$

C#: $\{C_1, C_2, \dots, C_n\}$

G: $\{1, 2, \dots, 100\}$

$$SCGG = S\# \times C\# \times G \times G$$

SCGG: $\{(S_1, C_1, 85, 92), (S_1, C_1, 70, 55), \dots, (S_i, C_j, 60, 74) \dots\}$ ($m \times n \times 100 \times 100$ 个元素)

Relation: $SC \subseteq SCGG$

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Why Are They Called Relations?

Relation:

- Each attribute has a type, must be atomic type, called domain
- D_1, D_2, \dots, D_n are domains
- $R \subseteq D_1 \times \dots \times D_n$

Example: $project \subseteq String \times String \times Integer$

Tuple: $t \in R$

Example: $t = ("p1", "网络布线", 95000)$

Schema: associates labels to domains

Example: $project: \{ [projectno:string, projectname:string, budget:integer] \}$

project

projectno	projectname	budget
p1	网络布线	120000
p2	软件升级	95000
p3	系统开发	185600

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Why Are They Called Relations?

Relations

projectno	projectname	budget
p1	网络布线	120000
p2	软件升级	95000
p3	系统开发	185600

Considered equivalent to...

{ (p1, 网络布线, 120000) ,
(p2, 软件升级, 95000) ,
(p3, 软件开发, 185600) }

Relational database semantics are defined in terms of mathematical relations (i.e., sets)

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KEYS AND RELATIONS

- **Superkeys:** (超键、超码)

set of attributes of table for which every row has distinct set of values

- **Candidate keys:** (候选键、候选码)

“minimal” superkeys

- **Primary keys:** (主键、主码)

DBA-chosen candidate key (marked in schema by underlining)

e.g., workson = (empno, projectno, job, enterdate)

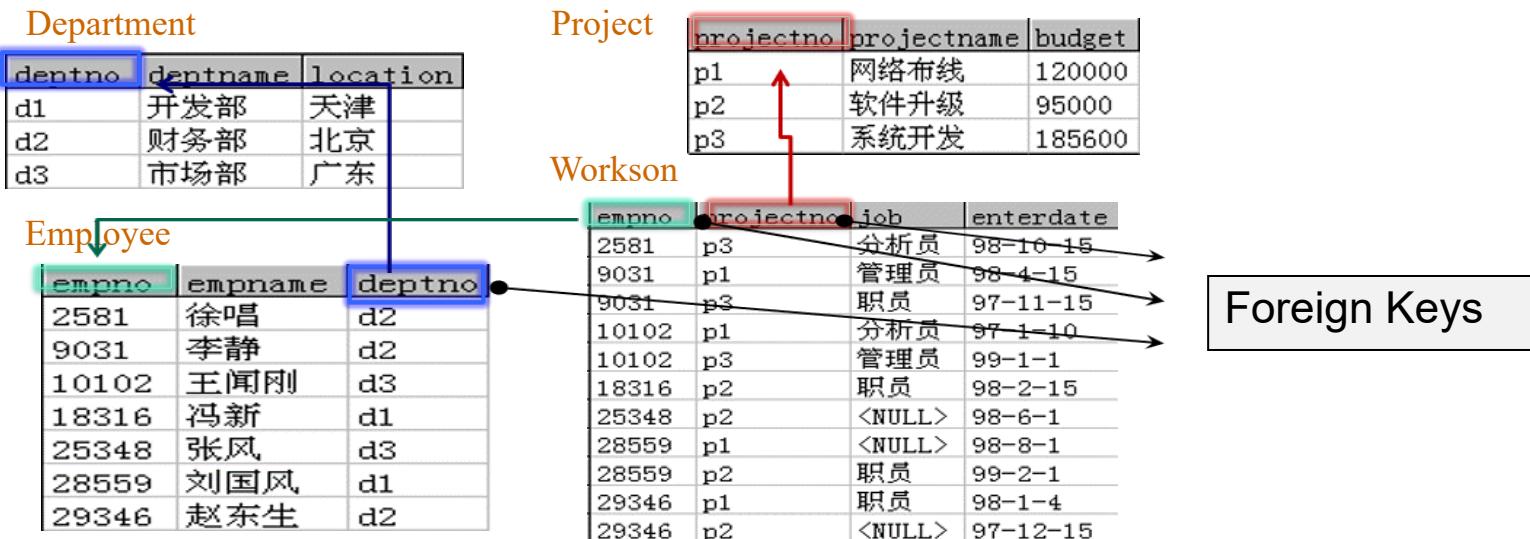
workson

empno	projectno	job	enterdate
2581	p3	分析员	98-10-15
9031	p1	管理员	98-4-15
9031	p3	职员	97-11-15
10102	p1	分析员	97-1-10
10102	p3	管理员	99-1-1
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25348	p2	<NULL>	98-6-1
28559	p1	<NULL>	98-8-1
28559	p2	职员	99-2-1
29346	p1	职员	98-1-4
29346	p2	<NULL>	97-12-15

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Foreign Keys and Integrity



实体完整性(Entity Integrity) - 主键不允许为空值，也不允许出现重复值

参照完整性(Referential Integrity) - 外键如果取值，一定要取主键中出现的值

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The Relational Data Model- Summary

- Relational Data Model (关系数据模型)
- Relational Schema (关系模式)
- Relation (关系)
- Superkey (超键、超码)
- Candidate keys (候选键、候选码)
- Primary keys (主键、主码)
- Entity Integrity (实体完整性)
- Referential Integrity (参照完整性)

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单选题 1分



互动交流一

关系表中的行 (row) 称为

workson

- A 属性
- B 元组
- C 关系
- D 关系模式

empno	projectno	job	enterdate
2581	p3	分析员	98-10-15
9031	p1	管理员	98-4-15
9031	p3	职员	97-11-15
10102	p1	分析员	97-1-10
10102	p3	管理员	99-1-1
18316	p2	职员	98-2-15
25348	p2	<NULL>	98-6-1
28559	p1	<NULL>	98-8-1
28559	p2	职员	99-2-1
29346	p1	职员	98-1-4
29346	p2	<NULL>	97-12-15

提交

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单选题 1分



互动交流二

右表中employee(empno, empname,deptno)称为

employee

- A 属性
- B 元组
- C 关系
- D 关系模式

empno	empname	deptno
2581	徐唱	d2
9031	李静	d2
10102	王闻刚	d3
18316	冯新	d1
25348	张风	d3
28559	刘国风	d1
29346	赵东生	d2

提交

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填空题 1分



互动交流三

以下关系表的候选码(Candidate keys)为 [填空1]

employee

empno	empname	deptno
2581	徐唱	d2
9031	李静	d2
10102	王闻刚	d3
18316	冯新	d1
25348	张风	d3
28559	刘国风	d1
29346	赵东生	d2

提交

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填空题 1分



互动交流四

下图关系表的候选码(Candidate keys)为 [填空1]

workson

empno	projectno	job	enterdate
2581	p3	分析员	98-10-15
9031	p1	管理员	98-4-15
9031	p3	职员	97-11-15
10102	p1	分析员	97-1-10
10102	p3	管理员	99-1-1
18316	p2	职员	98-2-15
25348	p2	<NULL>	98-6-1
28559	p1	<NULL>	98-8-1
28559	p2	职员	99-2-1
29346	p1	职员	98-1-4
29346	p2	<NULL>	97-12-15

提交

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填空题 3分



互动交流五

有三个关系表：

学生(学号, 姓名, 出生日期)；

课程 (课号, 课名, 学分)；

选课 (学号, 课号, 成绩)；

请问选课表的候选码有 [填空1]，选课表中有几个外键 [填空2]，外键分别为 [填空3]

提交

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Week2_Course_part2

Principles of Data Layout and Index

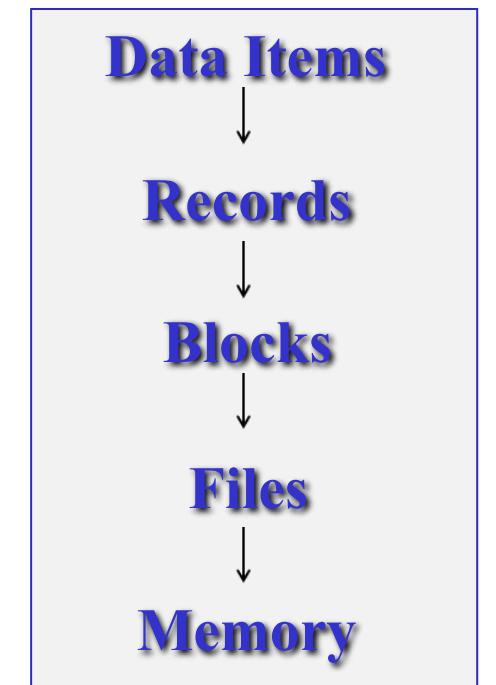
Data Layout - Data Items & Records

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Principles of Data Layout

- Attributes of relational tuples (or objects) represented by sequences of bytes called **fields** (e.g., p1, 网络布线, 120000)
- Fields grouped together into **records**
 - representation of tuples or objects
- Records stored in **blocks**
- **File:** collection of blocks that forms a relation (or the extent of an object class)



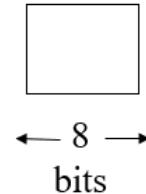
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What are the data items we want to store?

- a salary, a name
- a date, a picture
- ...

→ What we have available: Bytes



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To represent:

- Integer (short): 2 bytes (~ -32000...+32000)
e.g., 35 is

00000000	00100011
----------	----------
- Real, floating point
 - n bits for mantissa, m for exponent….
- arithmetic interpretation by hardware

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To represent:

- Characters

various coding schemes suggested,
most popular is ASCII

Example (8 bit ASCII):

A:	01000001
a:	01100001
5:	00110101
LF:	00001010

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To represent:

- Boolean

e.g., TRUE

1111 1111

FALSE

0000 0000

- Application specific

e.g., RED → 1 GREEN → 2

BLUE → 3 YELLOW → 4 ...

⇒ Can we use less than 1 byte/code?

Yes, but only if desperate...

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To represent:

- Dates, e.g.:
 - Integer: # days since Jan 1, 1900
 - 8 chars: YYYYMMDD
 - 7 chars: YYYYDDD
 - 10 chars: YYYY-MM-DD (SQL2)
(not YYMMDD! Why?)
- Time, e.g.
 - Integer: seconds since midnight
 - chars: HH:MM:SS[.FF…] (SQL2)

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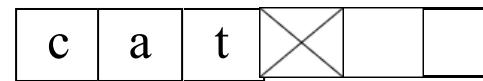


To represent:

- String of characters

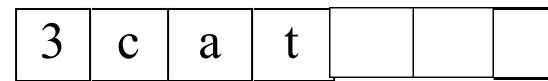
- Null terminated

- e.g.,



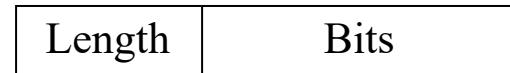
- Length given

- e.g.,



- Fixed length

- **Bag of bits**



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Record - Collection of related fields

E.g.: Employee record:

name field,
salary field,
date-of-hire field, ...

Types of records:

- **Main choices:**
 - **FIXED vs VARIABLE FORMAT**
 - **FIXED vs VARIABLE LENGTH**

empno	projectno	job	enterdate
2581	p3	分析员	98-10-15
9031	p1	管理员	98-4-15
9031	p3	职员	97-11-15
10102	p1	分析员	97-1-10
10102	p3	管理员	99-1-1
18316	p2	职员	98-2-15
25348	p2	<NULL>	98-6-1
28559	p1	<NULL>	98-8-1
28559	p2	职员	99-2-1
29346	p1	职员	98-1-4
29346	p2	<NULL>	97-12-15

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Fixed Format and Length

A SCHEMA (not record) contains following information

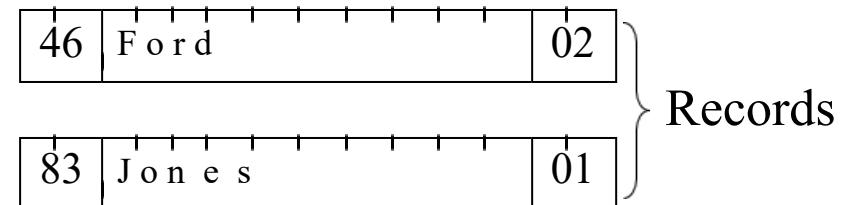
- number of fields
- type of each field
- order in record
- meaning of each field

Example: fixed format and length

Employee record

- (1) E#, 2 byte integer
- (2) E.name, 10 char.
- (3) Dept, 2 byte code

Schema



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Variable format

- Record itself contains format;
“Self Describing”

2	5	I	46	4	S	4	F	o	r	d
↑	↑	↑	↑	↑	↑	↑	↑			
# Fields	Code identifying field as E#	Integer type	Code for Ename	String type	Length of str.					

A	B	C	D	E	F	...	X	Y
1								
2				0.5				
3							0.7	
4								
...				12				
...								

Field name codes could also be strings, i.e. **tags**
(→ XML as a data interchange format)

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Variant between FIXED/VAR format

- Hybrid format
 - one part is fixed, other variable

E.g.: All employees have E#, name, dept;
Other fields vary.

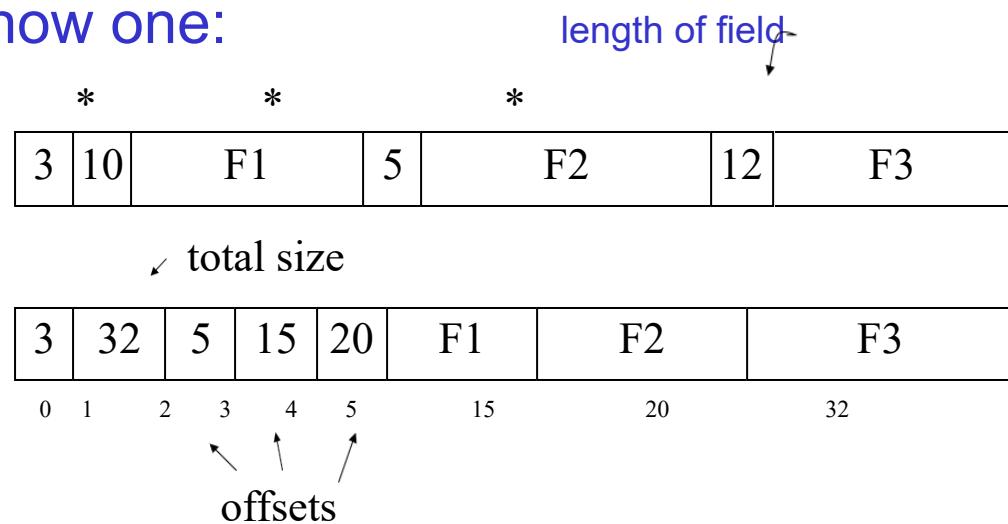
25	Smith	Toy	2	Hobby:chess	retired
↑ # of var fields					

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Many variations in internal organization of record

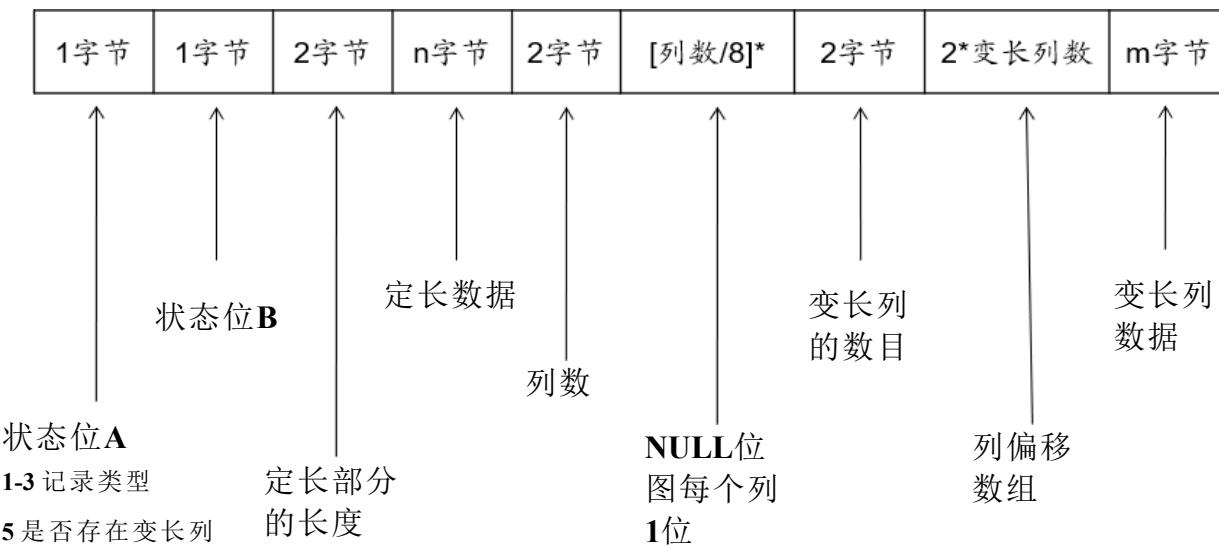
Just to show one:



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SQL Server 数据行结构



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Data Items & Records - Summary

Data Items – 数值型、日期型、字符型.....

Records – 格式：固定/可变，长度：固定/可变

Blocks – 下一节介绍

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填空题 1分



互动交流一

学生记录采用固定格式和定长记录方式存储，如右图所示。存储100个这样的学生记录，占磁盘空间 [填空 1] 个字节。

record: fixed format and length

student record

- (1) sno, char(8)
- (2) name, char(10)
- (3) birth, date --(3 bytes)

提交

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单选题 1分



互动交流二

State if the following statements are true or false:

Fixed-size records should always be fixed-format

- A true
- B false

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Week2_Course_part3

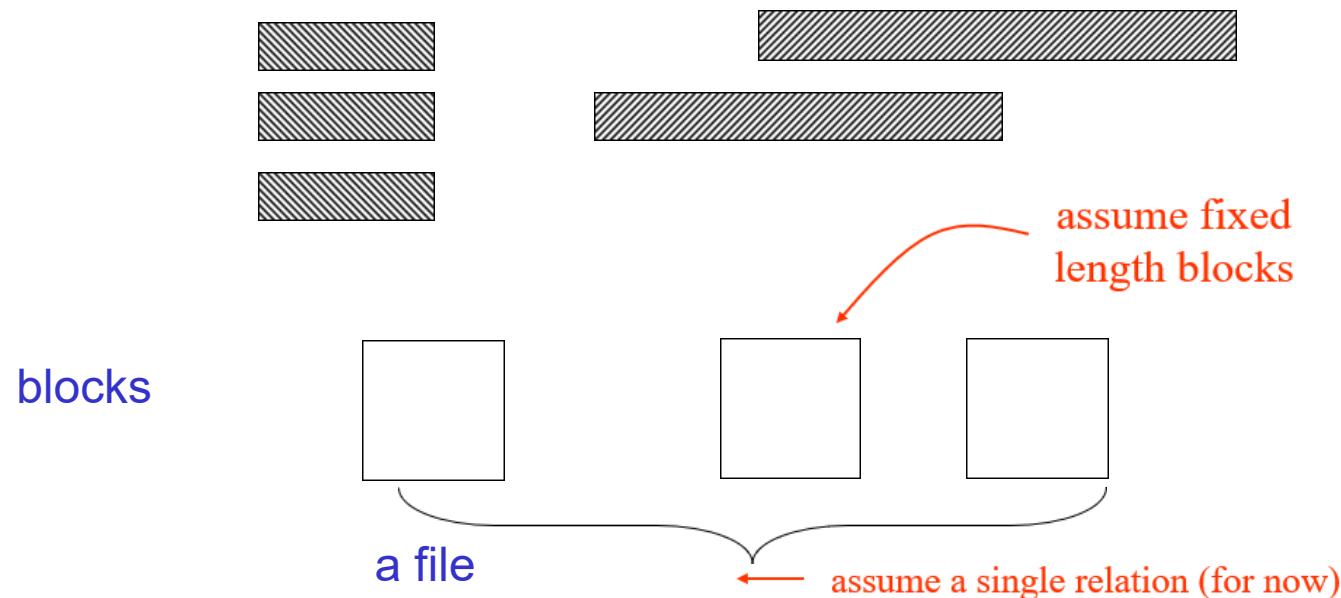
Principles of Data Layout and Index

Data Layout - Blocks & Column Store

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Next: placing records into blocks



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Issues in storing records in blocks:

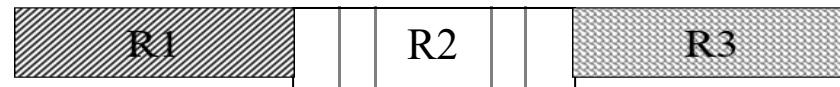
- (1) separating records
- (2) spanned vs. unspanned
- (3) mixed record types – clustering
- (4) split records
- (5) sequencing
- (6) addressing records

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(1) Separating records

Block



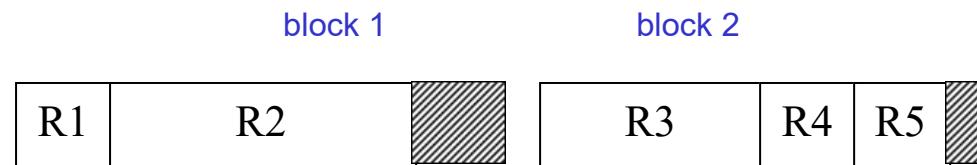
- (a) fixed size recs. -> no need to separate
- (b) special marker
- (c) give record lengths (or offsets)
 - within each record
 - in block header (see later)

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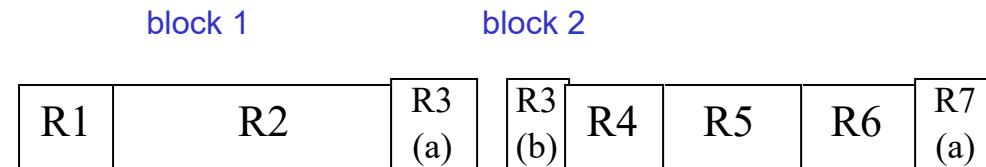


(2) Spanned vs. Unspanned

- Unspanned: records are within one block



- Spanned: records span block boundaries



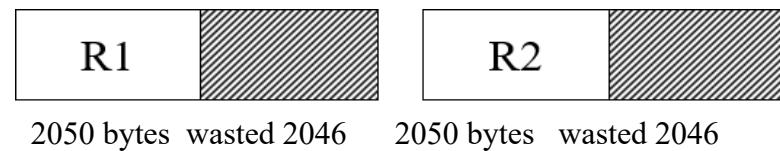
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Spanned vs. unspanned

- Unspanned is much simpler, but may waste space...
- Spanned necessary if
 - record size > block size
(e.g., fields containing large "BLOB"s
for, say, MPEG video clips)

10^6 records
each of size 2,050 bytes (fixed)
block size = 4096 bytes



Space used about 4×10^9 B,
about half wasted

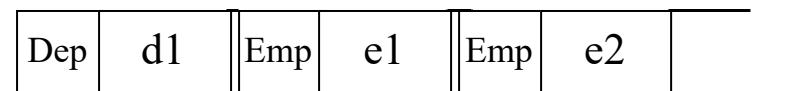
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(3) Mixed record types

- Mixed - records of different types
(e.g. DEPT, EMPLOYEE)
allowed in same block

e.g., a block:



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Why do we want to mix?

Answer: CLUSTERING

Records that are frequently accessed together should be in the same block

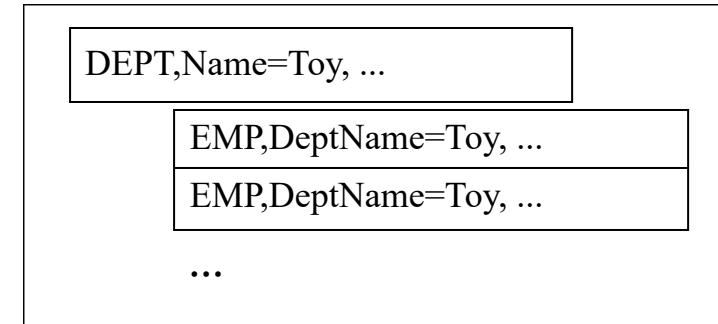
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Example

Q1: select DEPT.Name, EMP.Name, ...
from DEPT, EMP
where DEPT. Name = EMP.DeptName

a block



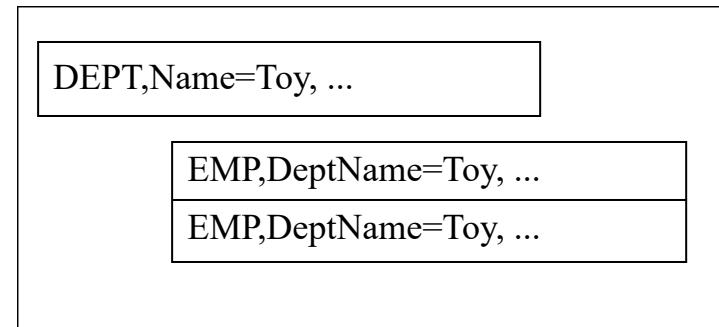
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- If Q1 frequent, clustering is good
- But consider Q2:

```
SELECT *  
FROM DEPT
```

If Q2 is frequent, clustering is counter-productive



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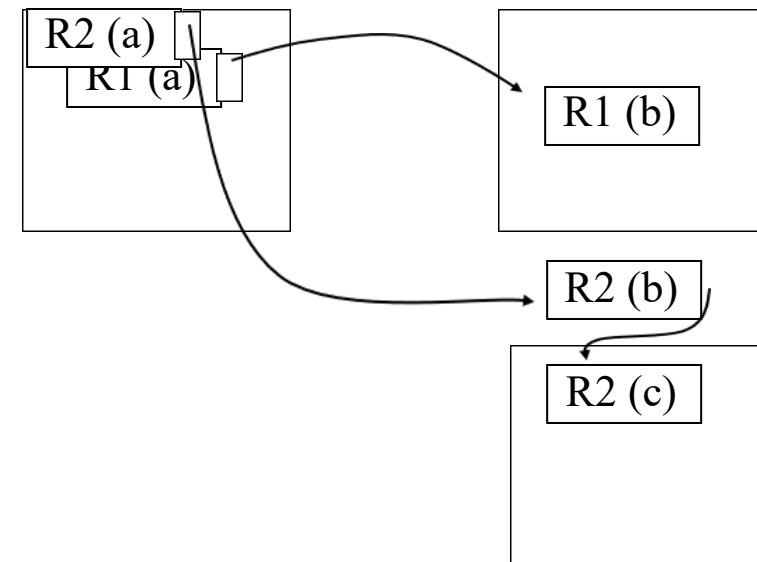
(4) Split records

Typically for
hybrid format

Fixed part in
one block

Variable part in
another block

Block with fixed recs.

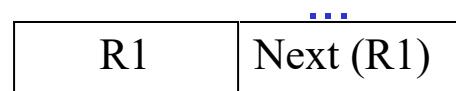


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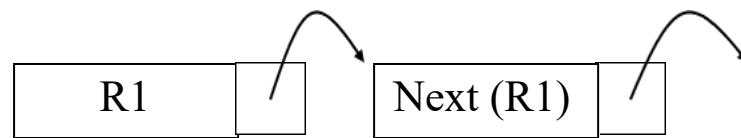


Sequencing Options

(a) Next record physically contiguous



(b) Linked

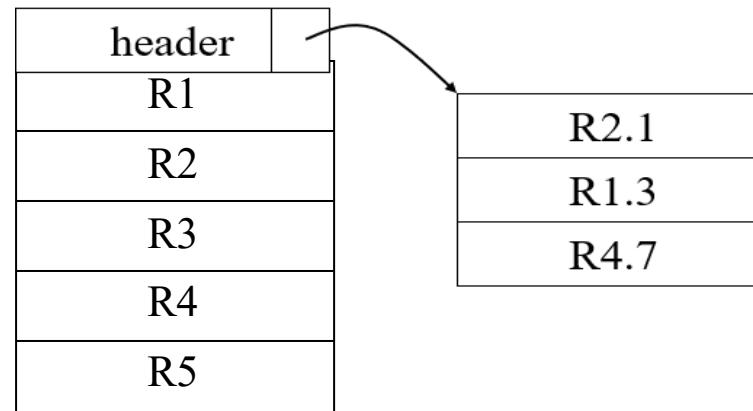




Sequencing Options

(c) Overflow area

Records
in sequence



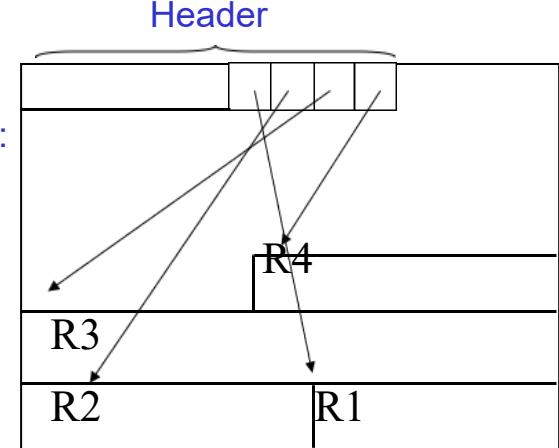


Ex #1 Indirection in block

Block header May contain:

- File ID (or RELATION or DB ID); the ID of this block - Record directory;
- Pointer to free space
- Type of block (e.g. contains records of type 4; is overflow, ...)
- Pointer to other blocks "like it" (say, if part of an index structure)
- Timestamp ...

A block:



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SQL Server 的数据页

- 数据页是8K，包括三部分：页头、数据行和行偏移数组
- 页头96个字节
 - pageID, nextpage, prevpage, objID, lsn, slotCnt, level, indexID, freeData, pminlen……
- 数据行，最大长度8060个字节
 - 数据行不能跨页存储
- 行偏移数组，每行2个字节
- DBCC PAGE

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Row vs Column Store (Knowledge expansion)

- So far we assumed that fields of a record are stored contiguously (row store)...
- Another option is to store like fields together (column store)

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Row Store

- Example: Order consists of
 - id, cust, prod, store, price, date, qty

id1	cust1	prod1	store1	price1	date1	qty1
-----	-------	-------	--------	--------	-------	------

id2	cust2	prod2	store2	price2	date2	qty2
-----	-------	-------	--------	--------	-------	------

id3	cust3	prod3	store3	price3	date3	qty3
-----	-------	-------	--------	--------	-------	------

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Column Store

- Example: Order consists of
 - id, cust, prod, store, price, date, qty

id1	cust1
id2	cust2
id3	cust3
id4	cust4
...	...

id1	prod1
id2	prod2
id3	prod3
id4	prod4
...	...

id1	price1	qty1
id2	price2	qty2
id3	price3	qty3
id4	price4	qty4
...

ids may or may not be stored explicitly

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Row vs Column Store

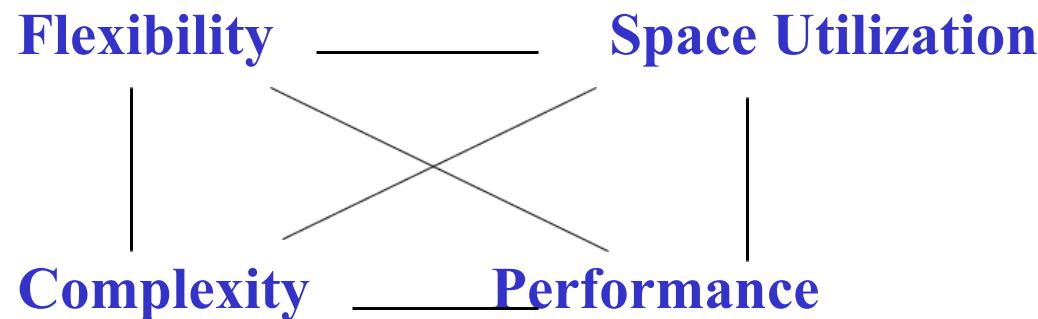
- Advantages of Column Store
 - more compact storage (fields need not start at byte boundaries)
 - efficient reads on data mining operations
 - Advantages of Row Store
 - writes (multiple fields of one record)more efficient
 - efficient reads for record access (OLTP)
- Mike Stonebreaker, Elizabeth (Betty) O'Neil, Pat O' Neil, Xuedong Chen, et al. " C-Store: A Column-oriented DBMS," Presented at the 31st VLDB Conference, September 2005.

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Data Layout - Summary

- About 10,000,000 ways to organize my data on disk...
Which one is right for me?



Data Items

Records

Blocks

请同学们在学堂云上完成本节课后作业！！！

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