

# Notes on Minimal Surfaces

Paul Tee

## 1 (5.26.23) Bernstein's Theorem

Follows 1.4 - 1.5 of [CM11].

**Theorem 1.0.1** (Bernstein). *If  $u : \mathbb{R}^2 \rightarrow \mathbb{R}$  is an entire solution to the minimal surface equation, then its graph must be an affine plane.*

*Remark 1.0.2.* It's interesting to compare this statement to *Liouville theorem*, namely bounded (or sublinear) entire harmonic functions are constant.

### 1.1 Preliminaries

For  $\Sigma^2 \subset \mathbb{R}^3$  be orientable and  $\nu$  be a choice of unit normal on  $\Sigma$ . We will define two maps, which will be identified with each other [Figure 1]. The first

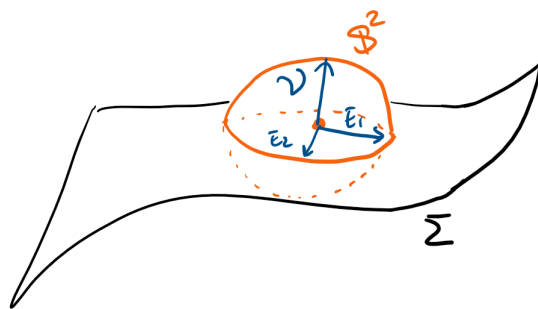


Figure 1: Weingarten map is the differential of Gauss map

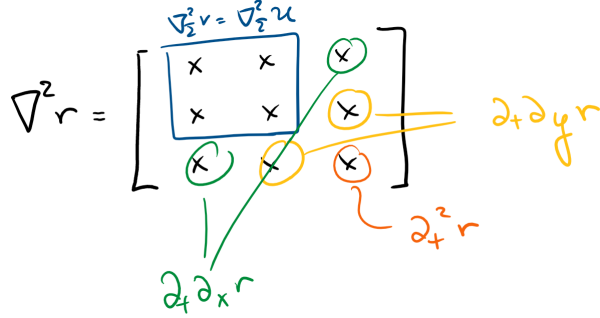


Figure 2: The full Hessian of  $r$

map is the *Weingarten map*,

$$\begin{aligned} T\Sigma &\rightarrow T\Sigma \\ X &\mapsto \nabla_X \nu. \end{aligned}$$

Note that changing this to the  $(0, 2)$  version gives the second fundamental form

$$\text{II}(X, Y) := \langle \nabla_X \nu, Y \rangle.$$

In particular, the Weingarten map is symmetric, real-valued so it diagonalizes with eigenvalues  $\kappa_1, \kappa_2$ . The second map is the differential of the *Gauss map*,

$$\begin{aligned} d\nu : T\Sigma &\rightarrow T\mathbb{S}^2 \\ X &\mapsto d\nu(X). \end{aligned}$$

The two maps can be identified since any orthonormal frame  $E_1, E_2$  on  $\Sigma$  can be carried to one on  $\mathbb{S}^2$ , so there is no point in distinguishing the codomain between  $T\Sigma$  or  $T\mathbb{S}^2$ . Furthermore assuming  $\Sigma$  is minimal forces  $\kappa_2 = -\kappa_1$  and gives anti-conformality of the Gauss map,

$$|d\nu|^2 = |\text{II}|^2 = \kappa_1^2 + \kappa_2^2 = -2\kappa_1\kappa_2 = -2\det(d\nu). \quad (1)$$

We briefly remark that  $\det(d\nu)$  is a common definition of *Gauss curvature*.



We observe if  $\Sigma$  is given as the regular level set of a function  $r : \mathbb{R}^3 \rightarrow \mathbb{R}$ , then its second fundamental form is proportional the surface Hessian. Recall for  $X, Y \in \mathfrak{X}(\Sigma)$ ,

$$\nabla_\Sigma^2 r(X, Y) := \langle \nabla_X \nabla r, Y \rangle.$$

Since the gradient is perpendicular to level sets, the claim follows

$$\Pi(X, Y) = \langle \nabla_X \frac{\nabla u}{|\nabla u|}, Y \rangle = \frac{\nabla_\Sigma^2 u(X, Y)}{|\nabla u|} + \underbrace{X|\nabla u| \langle \nabla u, Y \rangle}_{=0}.$$

We will apply this observation to Bernstein's theorem via the following procedure which turns any graph into a level set. Let  $u : \mathbb{R}^2 \rightarrow \mathbb{R}$  be a solution to the minimal surface equation, and consider  $\Sigma = \text{graph } u \subset \mathbb{R}^3$  with the induced metric. Consider the signed distance function  $r$  in a neighborhood of  $\Sigma$ ,

$$\begin{aligned} r : \Sigma \times (-\epsilon, \epsilon) &\rightarrow \mathbb{R} \\ (x, t) &\mapsto u(x) - t. \end{aligned}$$

We compute the norm of the gradient of  $r$  and the Hessian of  $r$  as

$$\begin{aligned} |\nabla r| &= \sqrt{(\partial_t r)^2 + |\nabla_\Sigma r|^2} = \sqrt{1 + |\nabla u|^2}, \\ \nabla_\Sigma^2 r &= \nabla_\Sigma^2 u. \end{aligned}$$

The Hessian identity follows since all derivatives in the direction of  $\Sigma$  fall onto  $u$  [Figure 2]. Therefore, the second fundamental form can be expressed purely in terms of  $u$ ,

$$\Pi = \frac{\nabla_\Sigma^2 r}{|\nabla r|} = \frac{\nabla_\Sigma^2 u}{\sqrt{1 + |\nabla u|^2}}.$$

In particular, if the second fundamental form vanishes, then so must the Hessian, so  $u$  will graph an affine plane.

## 1.2 Logarithm Cutoff

We begin our quest of showing  $\Pi \equiv 0$  on  $\Sigma := \text{graph } u$  by showing that the total curvature is bounded by the energy of any cutoff function.

**Lemma 1.2.1.** *Let  $u : \Omega \subset \mathbb{R}^2 \rightarrow \mathbb{R}$  be a solution to the minimal surface equation. For any non-negative, Lipschitz<sup>1</sup> function  $\eta$  with support contained in  $\Omega \times \mathbb{R}$ ,*

$$\int_\Sigma \eta^2 |\Pi|^2 \leq C \int_\Sigma |\nabla_\Sigma \eta|^2.$$

---

<sup>1</sup>It is helpful to recall Rademacher's theorem, which tells us that Lipschitz functions are differentiable almost everywhere.

*Proof.* Let  $\omega$  be the area form on  $\mathbb{S}^2$  and consider the upper hemisphere. Consider the 1-form  $\alpha$  such that  $d\alpha = \omega$  on the upper hemisphere. Equation 1 implies

$$|\mathbb{II}|^2 d\Sigma = -2 \det(d\nu) d\Sigma = 2\nu^* \omega = 2d\nu^* \alpha.$$

Furthermore, in local coordinates we have  $(\nu^* \alpha)_i = (d\nu)_i^j \alpha_j$ , so Cauchy-Schwarz implies

$$|\nu^* \alpha| \leq C |\mathbb{II}|,$$

with  $C = C(\alpha)$ . In total,

$$\begin{aligned} \int_{\Sigma} \eta^2 |\mathbb{II}|^2 d\Sigma &= 2 \int_{\Sigma} \eta^2 d\nu^* \alpha = \underbrace{-4 \int_{\Sigma} \eta d\eta \wedge \nu^* \alpha}_{\text{Stokes \& } \eta^2 \text{ vanishes on } \partial\Sigma} \\ &\leq 4C \int_{\Sigma} \eta |\nabla_{\Sigma} \eta| |\mathbb{II}| d\Sigma \leq 4C \left( \int_{\Sigma} \eta^2 |\mathbb{II}|^2 d\Sigma \right)^{\frac{1}{2}} \left( \int_{\Sigma} |\nabla_{\Sigma} \eta|^2 d\Sigma \right)^{\frac{1}{2}}, \end{aligned}$$

and so we reincorporate to get

$$\int_{\Sigma} \eta^2 |\mathbb{II}|^2 d\Sigma \leq 16C^2 \int_{\Sigma} |\nabla_{\Sigma} \eta|^2 d\Sigma.$$

■

*Remark 1.2.2.* I'm not convinced that non-negativity of  $\eta$  is used in any meaningful way in the above proof. This assumption can probably be dropped.

In light of Lemma 1.2.1, game now is to find a sequence of non-negative Lipschitz cutoff functions  $\eta_N$  tending to 1, with energy tending to 0. Let us first work heuristically. Define radial cutoff functions [Figure 3] for  $r = |x|$ ,

$$\eta_N(r) := \begin{cases} 1 & r \leq e^N \\ 2 - \frac{\log(r)}{N} & e^N < r \leq e^{2N} \\ 0 & e^{2N} < r. \end{cases}$$

Observe  $\eta_N \rightarrow 1$  as  $N \rightarrow \infty$ . We compute  $|\nabla \eta_N| = \frac{1}{Nr}$ ,<sup>2</sup> and by co-area formula we compute

$$\int_{\mathbb{R}^2} |\nabla \eta_N|^2 = \int_0^\infty \int_{\partial B_r} |\nabla \eta_N|^2 d\sigma dr = \int_{e^N}^{e^{2N}} \frac{2\pi r}{(Nr)^2} dr = \frac{2\pi}{N}.$$

---

<sup>2</sup>In fact, you probably start at this and define  $\eta$  from here.

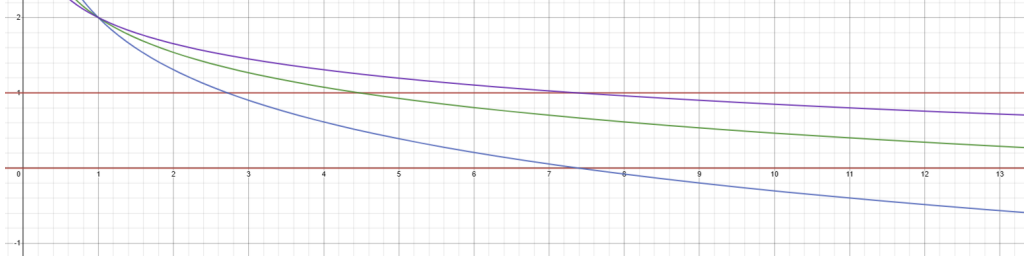


Figure 3:  $\eta_N$  for  $N = 1, 1.5, 2$

In particular, the energy of  $\eta_N$  vanishes as  $N \rightarrow \infty$ . The same computation holds with *linear perimeter growth*

$$\text{Length}(\partial B_r) \leq Cr,$$

as we may basically repeat the above argument

$$\int_{\Sigma} |\nabla \eta_N|^2 = \int_0^{\infty} \int_{\partial B_r} |\nabla \eta_N|^2 d\sigma dr = \int_{e^N}^{e^{2N}} \frac{\text{Length}(\partial B_r)}{(Nr)^2} dr \leq \frac{C}{N}.$$

However, what's important is that the same conclusion holds under the assumption of *quadratic area growth*

$$\text{Area}(B_r) \leq Cr^2.$$

This is important since by a calibration argument (Corollary 1.2 of [CM11]), a minimal surface  $\Sigma^2 \subset \mathbb{R}^3$  will always obey a quadratic area growth (with  $C = 2\pi$ ). To prove the energy bound under this assumption, first observe  $|\nabla \eta_N|$  is monotonically decreasing, so

$$\sup_{B_{e^k} \setminus B_{e^{k-1}}} |\nabla_N \eta|^2 = |\nabla \eta_N|^2 \Big|_{\partial B_{e^{k-1}}} = N^{-2} e^{2-2k}.$$

We break up the “middle section” into concentric annuli and compute

$$\begin{aligned} \int_{\Sigma} |\nabla \eta_N|^2 &\leq \sum_{k=N+1}^{2N} \int_{B_{e^k} \setminus B_{e^{k-1}}} N^{-2} e^{2-2k} d\sigma \\ &\leq \sum_{k=N+1}^{2N} N^{-2} e^{2-2k} \text{Area}(B_{e^k} \setminus B_{e^{k-1}}) \leq \sum_{k=N+1}^{2N} C N^{-2} e^2 = \frac{C e^2}{N}. \end{aligned}$$

*Remark 1.2.3.* Let's take a second to summarize what happened. The energy integrand decays like  $r^{-2}$ , while the domain grows like  $r^2$ . To get the desired decay rate, you associate a constant  $N$  with the energy integrand, which pops out as  $N^{-2}$ . This combats the linear  $N$  that pops out of the sum over the annuli, leaving a final rate of  $N^{-1}$ .

*Remark 1.2.4.* This whole heuristic can obviously be sharpened. Two immediate directions are replacing Cauchy-Schwarz with Hölder in Lemma 1.2.1, and requiring a decay on the energy of  $\eta_N$  of  $N^{-\alpha}$  for any  $\alpha > 0$ . Generalizing in these directions is the content of Chapter 2.



Inspired by these heuristic computations, we perform a similar logarithmic cutoff trick to conclude Bernstein's theorem.

**Corollary 1.2.5.** *If  $u : \Omega \subset \mathbb{R}^2 \rightarrow \mathbb{R}$  is a solution to the minimal surface equation,  $\kappa > 1$  and  $\Omega$  contains a ball of radius  $\kappa R$  centered at the origin, then*

$$\int_{B_{\sqrt{\kappa}R} \cap \Sigma} |II|^2 \leq \frac{C}{\log \kappa}.$$

*Remark 1.2.6.* Note that the parameter  $R$  is needed as we require  $\kappa > 1$  for taking log. But for Bernstein purposes, we can think of fixing  $R = 1$  and  $\kappa \rightarrow \infty$ .

*Proof.* Define  $\eta : \mathbb{R}^3 \rightarrow \mathbb{R}$  with support contained in  $B_{\kappa R}$ . Again for  $r = |x|$ , define

$$\eta(r) := \begin{cases} 1 & r \leq \sqrt{\kappa}R \\ 2 - \frac{2 \log(\frac{r}{\sqrt{\kappa}R})}{\log \kappa} & \sqrt{\kappa}R < r \leq \kappa R \\ 0 & \kappa R < r. \end{cases}$$

We compute  $|\nabla_\Sigma \eta| \leq \frac{2}{r \log \kappa}$ , and assuming for simplicity  $\log \sqrt{\kappa} = \log \kappa / 2$  is

an integer,

$$\begin{aligned}
\int_{B_{\sqrt{\kappa}R} \cap \Sigma} |\mathbb{I}|^2 &\leq \int_{\Sigma} \eta^2 |\mathbb{I}|^2 \leq C \int_{\Sigma} |\nabla_{\Sigma} \eta|^2 \leq \frac{4C}{(\log \kappa)^2} \underbrace{\int_{B_{\kappa R} \cap \Sigma} r^{-2} dr}_{\text{quadratic decay}} \\
&\leq \frac{4C}{(\log \kappa)^2} \sum_{k=\log \sqrt{\kappa}}^{\log \kappa} \int_{B_{e^k R} \setminus B_{e^{k-1} R} \cap \Sigma} r^{-2} dr \\
&\leq \frac{4C}{(\log \kappa)^2} \sum_{k=\log \sqrt{\kappa}}^{\log \kappa} (e^{k-1} R)^{-2} \cdot \underbrace{2\pi (e^k R)^2}_{\text{quadratic area growth}} \\
&= \frac{4C}{(\log \kappa)^2} \sum_{k=\log \sqrt{\kappa}}^{\log \kappa} 2e^2 \pi = \frac{4\pi e^2 C}{\log \kappa}.
\end{aligned}$$

■

## 2 (6.16.23) PDE Aspects of Stability

Follows 1.8.3 of [CM11]. It is interesting to compare the contents of this section with [Cha84, Ch 1.5].

### 2.1 Principal Eigenvalue of Stability

In this section, we mimic some classical computations with the Laplacian with the stability operator. Recall the (linear, elliptic) *stability operator*

$$L\eta := \Delta_{\Sigma} \eta + |A|^2 \eta + \text{Ric}(\nu, \nu) \eta,$$

for  $\Sigma^{n-1} \subset M^n$  a stable 2-sided minimal hypersurface with unit normal  $\nu$  and  $X = \eta \nu$ . The convention on the Laplacian is that that its eigenvalues are *negative*.

Recall that *stability* of a minimal hypersurface  $\Sigma$  is the requirement that the operator  $L$  is negative semi-definite (or equivalently,  $-L$  is positive semi-definite) over all subdomains  $\Omega \subset \Sigma$ . Equivalently, for fixed  $\Omega$ , we phrase stability as non-negativity of the principal (Dirichlet) eigenvalue  $\lambda_1$ , defined

as Rayleigh quotient

$$\begin{aligned}\lambda_1 &:= \inf \left\{ \frac{-\int \eta L \eta}{\int \eta^2} : \eta \in C_0^\infty(\Omega) \right\} \\ &= \inf \left\{ -\int \eta L \eta : \eta \in C_0^\infty(\Omega), \int \eta^2 = 1 \right\}.\end{aligned}\tag{2}$$

Here and throughout, all integrals will be taken over a bounded domain  $\Omega \subset \Sigma$ . Thus, our goal is to understand when  $\lambda_1 \geq 0$ .

We first show (2) admits a weak formulation, and run the standard elliptic machinery. By integration by parts, for every  $\eta \in C_0^\infty(\Omega)$

$$\int \Delta_\Sigma \eta = - \int |\nabla_\Sigma \eta|^2,\tag{3}$$

thereby weakly turning the 2<sup>nd</sup> order term of  $L$  into a 1<sup>st</sup> order one. Therefore, consider the problem of minimizing the Rayleigh quotient

$$I := \inf \left\{ \frac{\int |\nabla_\Sigma \eta|^2 - |A|^2 \eta^2 - \text{Ric}(N, N) \eta^2}{\int \eta^2} : \eta \in W_0^{1,2}(\Omega) \right\}$$

over the larger function space  $W_0^{1,2}(\Omega)$ .

**Lemma 2.1.1.** *In the notation above,  $\lambda_1 = I$ . Furthermore, if a weak solution  $u \in W^{1,2}(\Omega)$  achieves equality*

$$\lambda_1 = \frac{\int |\nabla_\Sigma u|^2 - |A|^2 u^2 - \text{Ric}(\nu, \nu) u^2}{\int u^2},$$

*then automatically  $u \in C_0^\infty(\Omega)$  and  $-Lu = \lambda_1 u$ .*

*Proof.* It is clear that  $\lambda_1 \geq I$  by (3) and  $C_0^\infty(\Omega) \subset W_0^{1,2}(\Omega)$  (since taking infimum over a larger space could only possibly give a lower value). For simplicity, denote the 0<sup>th</sup> order term of  $L$  by

$$V(x) := |A|^2(x) + \text{Ric}(\nu, \nu)(x).$$

For the other direction, consider  $\{\eta_j\} \subset W_0^{1,2}(\Omega)$  a minimizing sequence to  $I$  so that

$$I + \frac{1}{j} \geq \frac{\int |\nabla_\Sigma \eta_j|^2 - V \eta_j^2}{\int \eta_j^2}.\tag{4}$$



Observe the left hand side of (4) is preserved under scaling ( $\eta_j \mapsto c_j \eta_j$ ), so assume  $\int \eta_j^2 = 1$ . Since the sequence  $\{\eta_j\}$  is minimizing, it is bounded; sequential Banach-Alaoglu implies that it weakly converges to a function  $\eta \in W_0^{1,2}$ . Recall that inclusion  $W_0^{1,2}(\Omega) \subset L^2(\Omega)$  is compact by Rellich for  $p \leq n^3$  and Morrey for  $p > n$  as in [Eva10, Ch 5.7]. Therefore, the convergence to  $\eta$  is strong in  $L^2$ ; in particular

$$\liminf \int \eta_j^2 = \int \eta^2 = 1.$$

It follows from taking liminf on both sides of (4) that

$$\begin{aligned} I &\geq \liminf \int |\nabla_{\Sigma} \eta_j|^2 - \liminf \int V \eta_j^2 \\ &\geq \int |\nabla_{\Sigma} \eta|^2 - \int V \eta^2. \end{aligned}$$

In the second inequality, the first term follows from weak lower semi-continuity of energy. For the second terms first note that  $V \in L^\infty(\Omega)$  since it is continuous up to the boundary, and by Hölder  $V \eta_j^2$  and  $V \eta^2$  are integrable. The second term thus follows since  $\eta_j \xrightarrow{L^2} \eta$ , then  $\eta_j^2 \xrightarrow{L^1} \eta^2$ . The continuous evaluation pairing  $L^1(\Omega)^* \times L^1(\Omega) \rightarrow \mathbb{R}$  can be identified by Riesz representation theorem as

$$(V, \eta) \mapsto \int V \eta$$

for  $V \in L^\infty(\Omega)$  and  $\eta \in L^1(\Omega)$ . Equality follows by weak convergence of  $\eta_j^2 \rightarrow \eta^2$ . In particular, definition of  $I$  forces  $\eta \in W_0^{1,2}(\Omega)$  to be a minimizer, i.e.

$$I = \int |\nabla_{\Sigma} \eta|^2 - \int V \eta^2.$$

We now apply a Dirichlet principle to show such a minimizer satisfies  $L\eta = I\eta$  weakly; smoothness of  $\eta$  is immediate from elliptic regularity. Consider a perturbation of (the weak form of)  $L$  at the minimizer  $\eta$  by  $\psi \in C_0^\infty(\Omega)$ ;

---

<sup>3</sup>For the  $p = n$  case, we need to argue with the contravariant  $L^p$  inclusion on bounded domains. We know that  $W^{1,n} \subset W^{1,q}$  for each  $q \in [1, n)$  by applying the above to a function and its weak first derivative. We then use Rellich to get a compact embedding of  $W^{1,q} \subset L^n$  for  $q$  close enough to  $n$ . Close enough means choosing  $q < n$  to solve the inequality  $n^2 < 2nq$ , which comes from looking at  $n < q^* = \frac{nq}{n-q}$ .

by density, the same analysis will hold for  $\psi \in W_0^{1,2}(\Omega)$ . As minimizers are critical points,

$$0 = \frac{d}{dt} \Big|_{t=0} \frac{1}{2} \int |\nabla_{\Sigma}(\eta + t\psi)|^2 - V(\eta + t\psi)^2 = \int \langle \nabla_{\Sigma}\eta, \nabla_{\Sigma}\psi \rangle - V\eta\psi. \quad (5)$$

The RHS of (5) is the statement that  $\eta$  weakly solves  $L$ . Now, restrict to variations  $\psi \in W_0^{1,2}(\Omega)$  such that

$$\int \eta\psi = 0. \quad (6)$$

Given  $\phi \in W_0^{1,2}(\Omega)$ , set

$$\psi := \phi - \underbrace{\eta \left( \int \eta\phi \right)}_{\text{a constant!}}.$$

$\psi$  satisfies condition (6) as

$$\int \eta\psi = \int \eta\phi - \underbrace{\left( \int \eta^2 \right)}_{=1} \left( \int \eta\phi \right) = 0.$$

Plugging in this choice of  $\psi$  into (5),

$$\begin{aligned} 0 &= \int \langle \nabla_{\Sigma}\eta, \nabla_{\Sigma}\psi \rangle - V\eta\psi \\ &= \int \langle \nabla_{\Sigma}\eta, \nabla_{\Sigma}(\phi - \eta \left( \int \eta\phi \right)) \rangle - V\eta(\phi - \eta \left( \int \eta\phi \right)) \\ &= \int \langle \nabla_{\Sigma}\eta, \nabla_{\Sigma}\phi \rangle - \left( \int \eta\phi \right) |\nabla_{\Sigma}\eta|^2 - V\eta\phi + V\eta^2 \left( \int \eta\phi \right). \end{aligned}$$

In particular, we conclude the proof since

$$\int \langle \nabla_{\Sigma}\eta, \nabla_{\Sigma}\phi \rangle - V\eta\phi = \left( \int \eta\phi \right) \int |\nabla_{\Sigma}\eta|^2 - V\eta^2 = \left( \int \eta\phi \right) I.$$

■

Combining the previous result with Harnack's inequality, we get an analog of *Courant's nodal domain theorem*. In particular, the first eigenfunction of  $L$  has multiplicity one.

**Lemma 2.1.2.** *If  $u$  is a smooth function on  $\Omega$  that vanishes on  $\partial\Omega$  and  $Lu = -\lambda_1 u$ , then  $u$  cannot change sign.*

*Proof.* Assume  $u \not\equiv 0$ . It is easy to show  $|u| \geq 0$  is also a  $W_0^{1,2}$  solution, and Harnack implies that  $|u| > 0$ . ■

## 2.2 Minimal Graphs are Stable

The following is due to Barta.

**Lemma 2.2.1.** *Let  $\Sigma$  be a 2-sided minimal hypersurface, and  $\Omega \subset \Sigma$ . If there exists a positive solution  $u > 0$  on  $\Omega$  to  $Lu = 0$ , then  $\Omega$  is stable.*

*Proof.* Positivity of  $u$  allows us to consider  $\log u$ , and we make a computation  $\Delta_\Sigma \log u$ . Let  $i$  run through geodesic normal coordinates at a point on  $\Sigma$ ,

$$\begin{aligned} \Delta_\Sigma \log u &= \sum_i \partial_i \partial_i \log u = \sum_i \partial_i \left( \frac{u_i}{u} \right) = \sum_i -\frac{u_i^2}{u^2} + \frac{u_{ii}}{u} \\ &= \sum_i (\log u)_i^2 + \frac{\Delta_\Sigma u}{u} = -|\nabla_\Sigma \log u|^2 - V. \end{aligned}$$

The last equality uses  $Lu = 0$  on the second term; by positivity of  $u$ , all denominators are valid. For  $f \in C_0^\infty(\Omega)$ , we see

$$\begin{aligned} \int f^2 V + \int f^2 |\nabla_\Sigma \log u|^2 &= - \int f^2 \Delta_\Sigma \log u \\ &= \int \nabla_\Sigma f^2 \cdot \nabla_\Sigma \log u \\ &= 2 \int f \nabla_\Sigma f \cdot \nabla_\Sigma \log u \\ &\leq 2 \int |f| |\nabla_\Sigma f| |\nabla_\Sigma \log u| \\ &\leq \int |\nabla_\Sigma f|^2 + \int f^2 |\nabla_\Sigma \log u|^2 \end{aligned}$$

with the last inequality using  $|a \cdot b| \leq \frac{|a|^2}{2} + \frac{|b|^2}{2}$ . From integration by parts follows stability.  $\blacksquare$

As a corollary, we show minimal graphs are automatically stable, following [Sun16, Cor 6.1].

**Corollary 2.2.2.** *Minimal graphs are stable.*

*Proof.* For  $\Sigma = \text{graph } u$  with unit normal  $\nu$ , we claim

$$\langle \nu, \partial_z \rangle = \left\langle \frac{(-u_x, u_y, 1)}{\sqrt{1 + |\nabla u|^2}}, \partial_z \right\rangle = \frac{1}{\sqrt{1 + |\nabla u|^2}}$$

is a (positive) Jacobi field. Since the ambient manifold is  $\mathbb{R}^3$ , the Ricci term in the stability operator vanishes, and it suffices to show

$$\Delta_\Sigma \langle \nu, \partial_z \rangle = -|A|^2 \langle \nu, \partial_z \rangle.$$

Let  $i, j \in \{1, 2\}$  run through geodesic normal coordinates at a point on  $\Sigma$ . We pause to collect some basic observations used in the subsequent computation.

1.  $\partial_z$  is a parallel vector field (i.e.  $\nabla \partial_z \equiv 0$ ).
2. Recall the Bianchi identity for  $A_{ij} := \langle \nabla_i \nu, \partial_j \rangle$ ,

$$\begin{aligned} A_{ij,i} &= \partial_i \langle \nabla_i \nu, \partial_j \rangle \\ &= \langle \nabla_i \nabla_i \nu, \partial_j \rangle + \langle \nabla_i \nu, \nabla_i \partial_j \rangle \\ &= \langle \nabla_j \nabla_i \nu, \partial_i \rangle + \langle \nabla_i \nu, \nabla_j \partial_i \rangle \\ &= \partial_j \langle \nabla_i \nu, \partial_i \rangle = A_{ii,j}. \end{aligned}$$

In the third equality, the first term follows from symmetry of the Hessian, as we may locally solve  $\nabla_i \nu = \nabla u$  for a function  $u$ . The second follows from the torsion-free property of the connection.

3. Christoffel symbols vanish *along the surface*  $\Sigma$ , so

$$\nabla_i \partial_j = \langle \nabla_i \partial_j, \nu \rangle \nu = -A_{ij} \nu.$$

We use the above facts to compute

$$\begin{aligned}
\Delta_\Sigma \langle \nu, \partial_z \rangle &= \sum_i \partial_i \partial_i \langle \nu, \partial_z \rangle = \sum_i \partial_i \langle \nabla_i \nu, \partial_z \rangle \\
&= \sum_i \partial_i \langle \sum_j A_{ij} \partial_j, \partial_z \rangle \\
&= \sum_{i,j} \langle A_{ij,i} \partial_j, \partial_z \rangle + \sum_{i,j} \langle A_{ij} \nabla_i \partial_j, \partial_z \rangle \\
&= \underbrace{\sum_{i,j} \langle A_{ii,j} \partial_j, \partial_z \rangle}_{=0} - |A|^2 \langle \nu, \partial_z \rangle \\
&= -|A|^2 \langle \nu, \partial_z \rangle.
\end{aligned}$$

The last equality follows from minimality of  $\Sigma$ ,

$$\sum_{i,j} A_{ii,j} = \sum_j \partial_j \left( \sum_i \langle \nabla_i \nu, \partial_i \rangle \right) = \sum_j \partial_j H \equiv 0.$$

■

## References

- [Cha84] I. Chavel. *Eigenvalues in Riemannian Geometry*. ISSN. Elsevier Science, 1984.
- [CM11] T.H. Colding and W.P. Minicozzi. *A Course in Minimal Surfaces*. Graduate studies in mathematics. American Mathematical Society, 2011. URL: <https://bookstore.ams.org/gsm-121>.
- [Eva10] L.C. Evans. *Partial Differential Equations*. Graduate studies in mathematics. American Mathematical Society, 2010.
- [Sun16] A. Sun. *Minimal Surface*. 2016. URL: [https://math.mit.edu/~aosun/Minimal%20Surface\\_Minicozzi.pdf](https://math.mit.edu/~aosun/Minimal%20Surface_Minicozzi.pdf).