

# CSCI 435/MCS9435 Computer Vision

## Image Segmentation (I)

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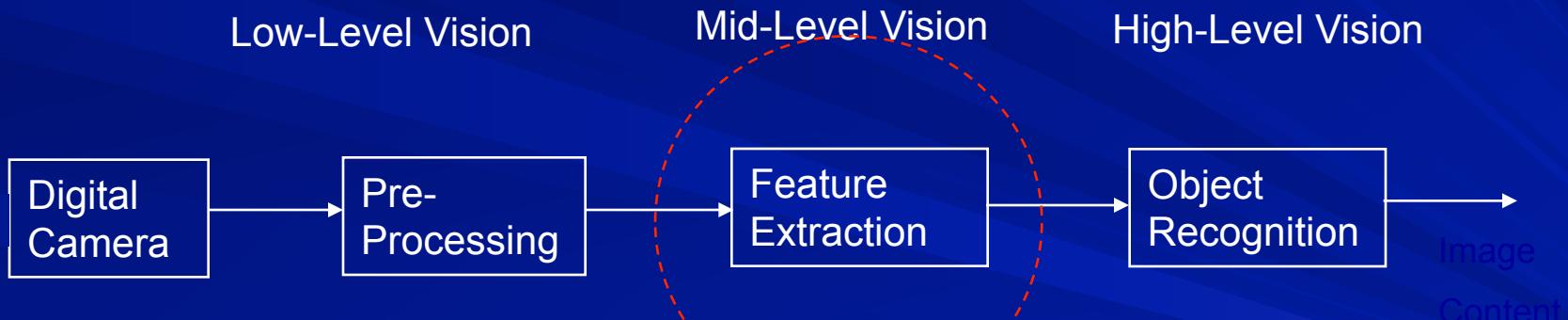
<http://www.uow.edu.au/~wanqing>

# Outline

- What is segmentation
- Region segmentation
  - Clustering based approach
  - Contour based approach
- Applications of segmentation
- CV & IP tools

# Machine Vision Concept (review)

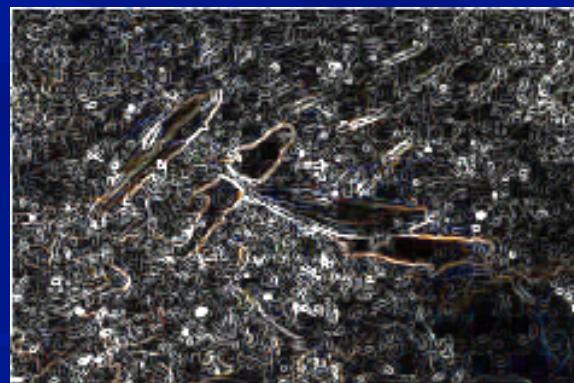
Machine Vision is a multistage process where each previous stage affects performance of all following stages



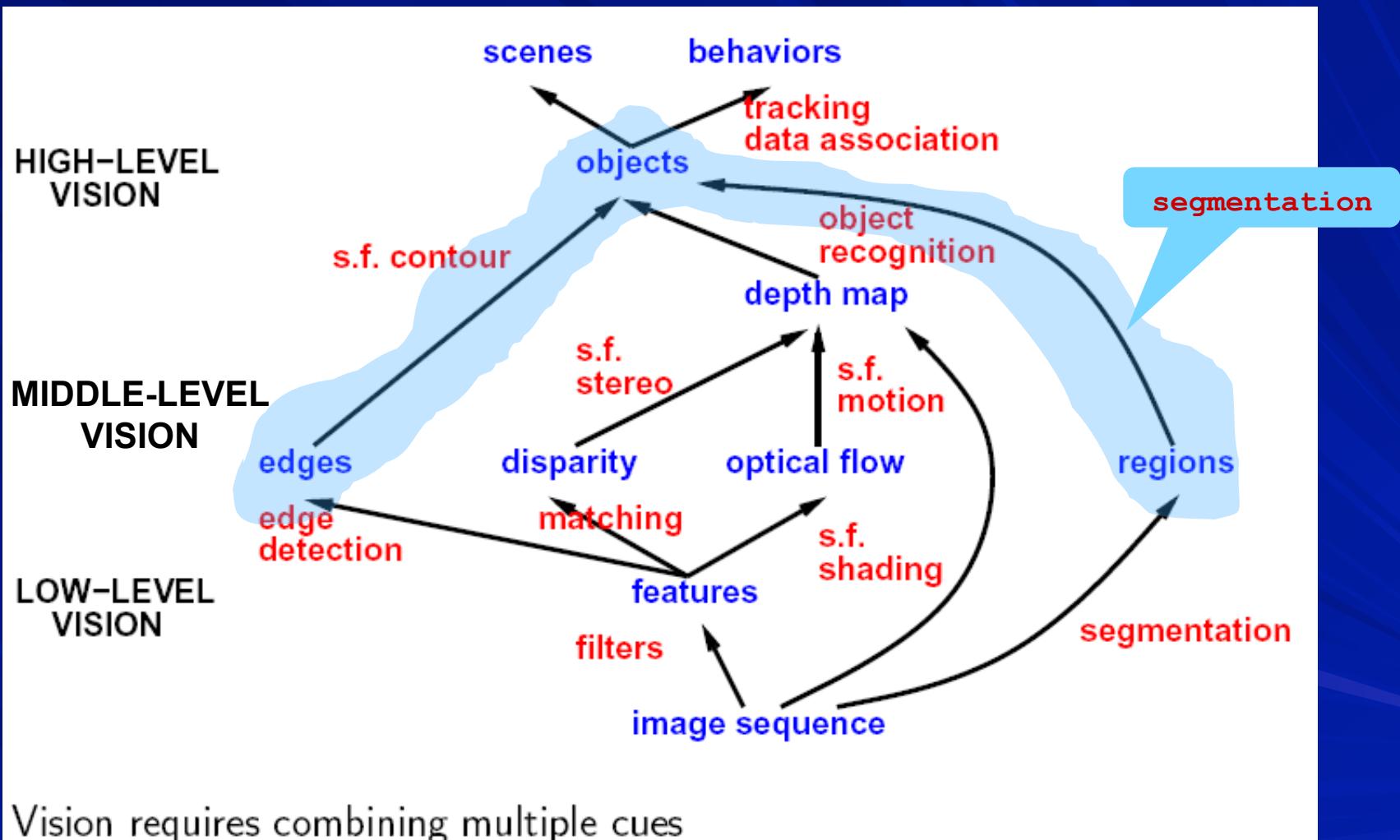
# Middle-Level Vision



# Middle-Level Vision



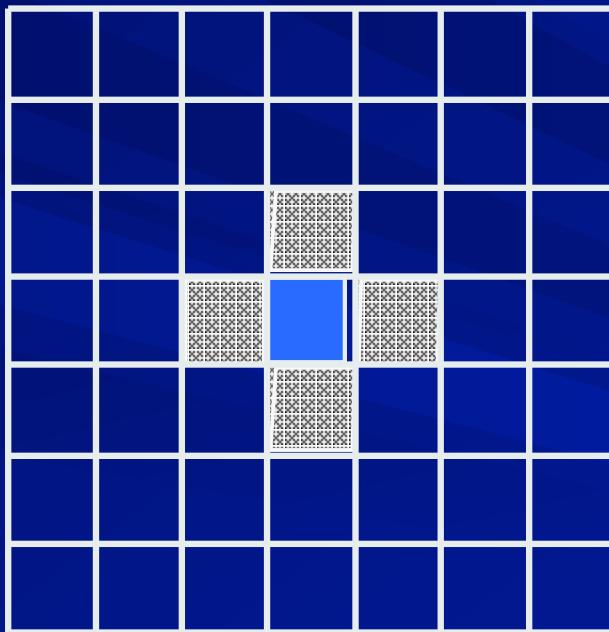
# Vision Subsystems



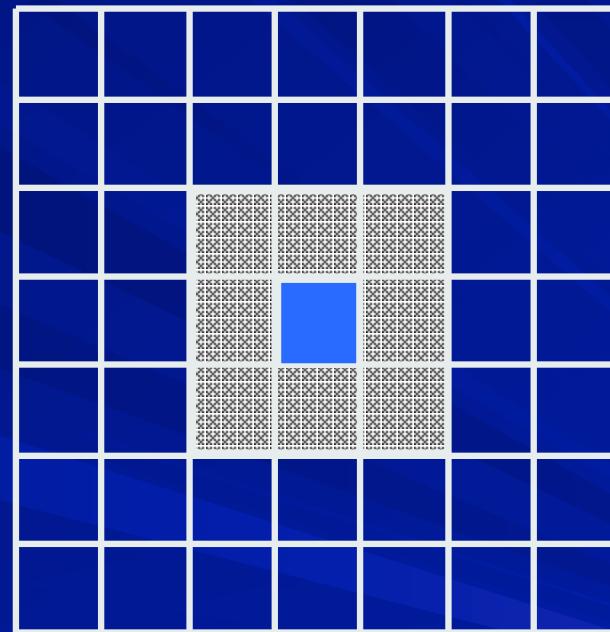
# What is Segmentation

- Segmentation is defined as a process to decompose an image into segments, regions or objects.
  - The segments are meaningful in the context of the environment in which the segmentation output is to be used.
  - The process stops when the segments of interest in an application have been isolated
- Segments are usually non-overlapping
- Pixels in a segment
  - have **similar visual properties**.
  - are **spatially connected**

# Spatial Connectivity



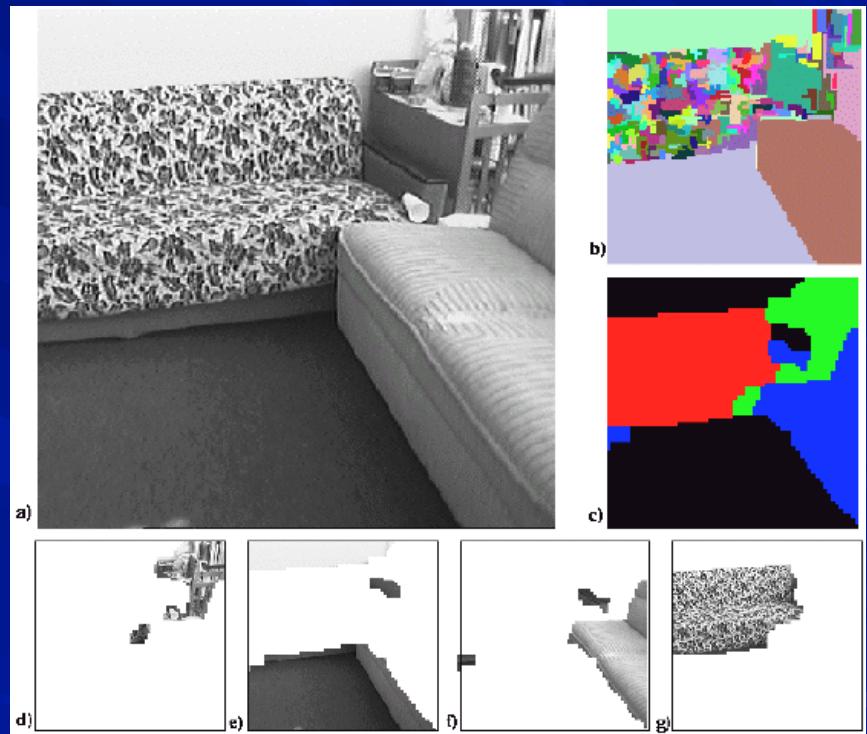
Four Connected



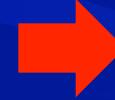
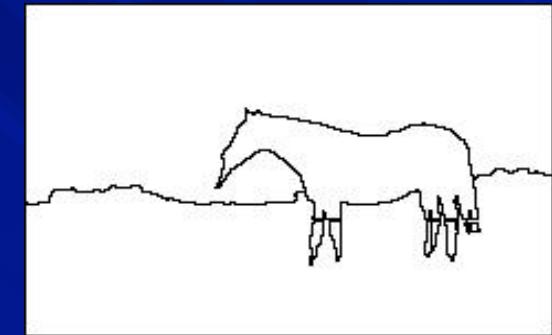
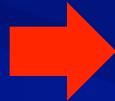
Eight Connected

# Visual Properties - Features

- Measurable features
  - Intensities or color
  - *Texture*
  - *Motion*
  - *Depth*



# Segmentation – How?



# General Approaches

- Clustering-based
  - group all pixels with similar visual property together
- Contour-based
  - Also known as edge-based
  - Detect edge between segments and form closed contours of segments
- Hybrid-approach

# Clustering-based Image Segmentation

# Clustering-based methods

Info from image:

- luminance/color
- texture
- motion



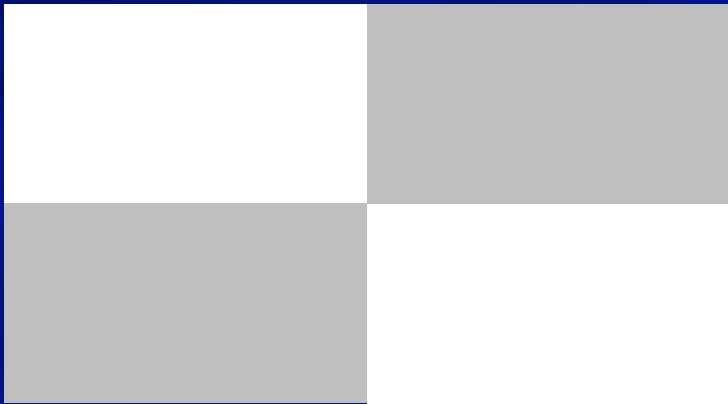
Contextual Info:  
• spatial/temporal coherence

Sufficient information: most time, depending on applications

# Grouping Pixels

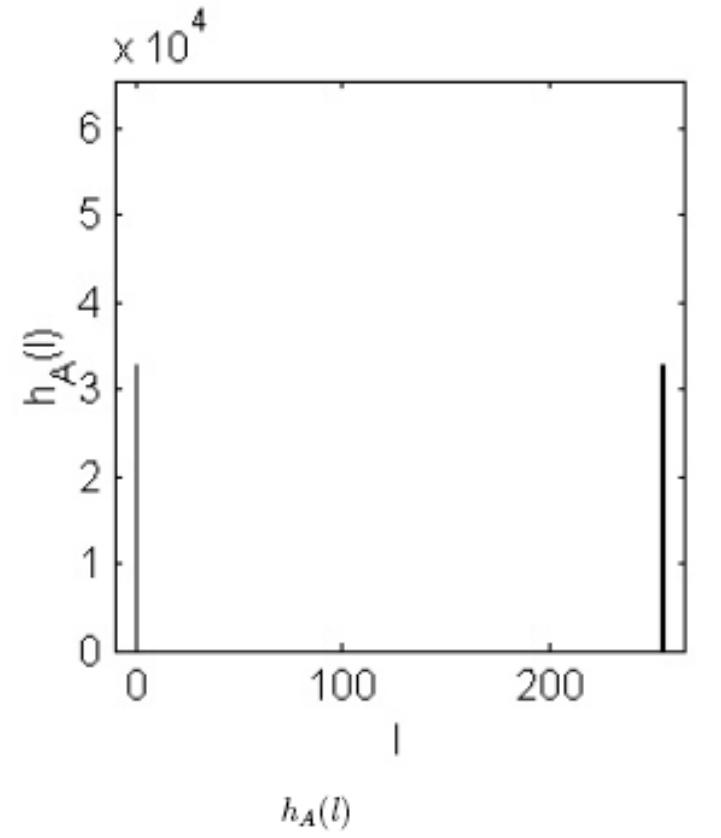
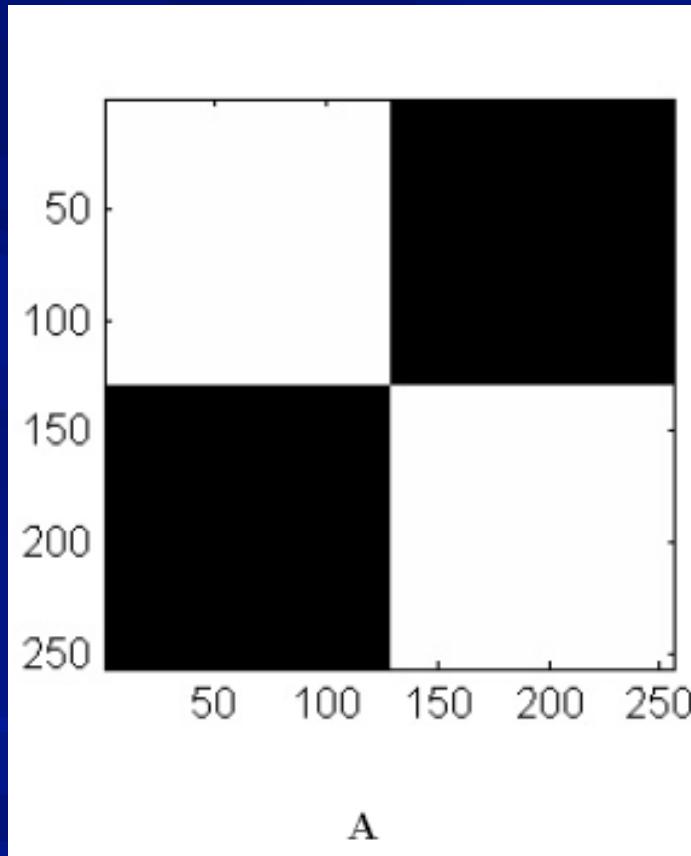
- Grouping the pixels into a K clusters such that
  - Pixels within a cluster have more **similar feature** than pixels across clusters
  - The spatial connectivity is not considered
  
- Questions
  - How to measure the similarity ?
  - What should the value of K be ?
  - How many segments or regions ?

# A Simple Example



- How many clusters?
- How many regions?
- How to group the pixels?

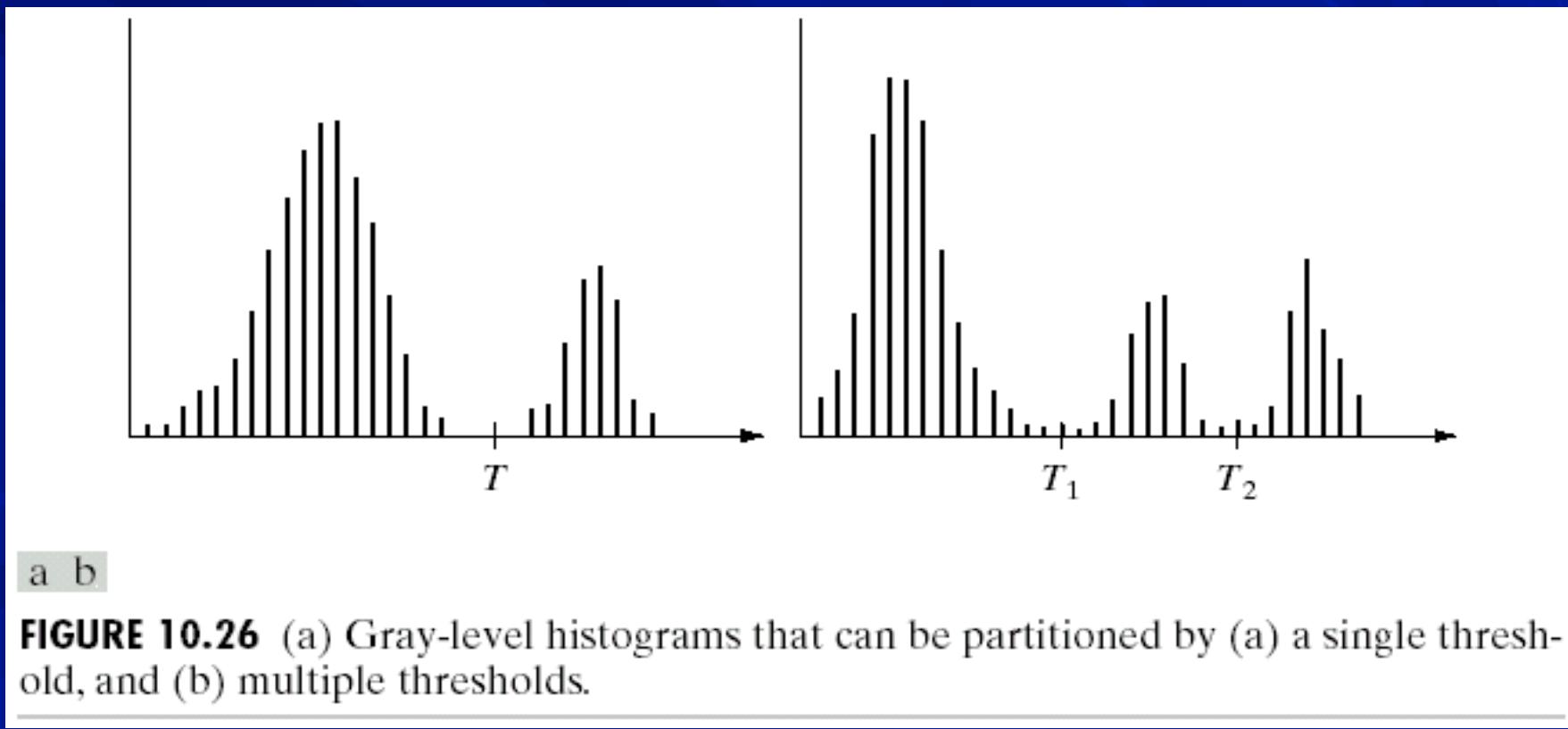
# Thresholding



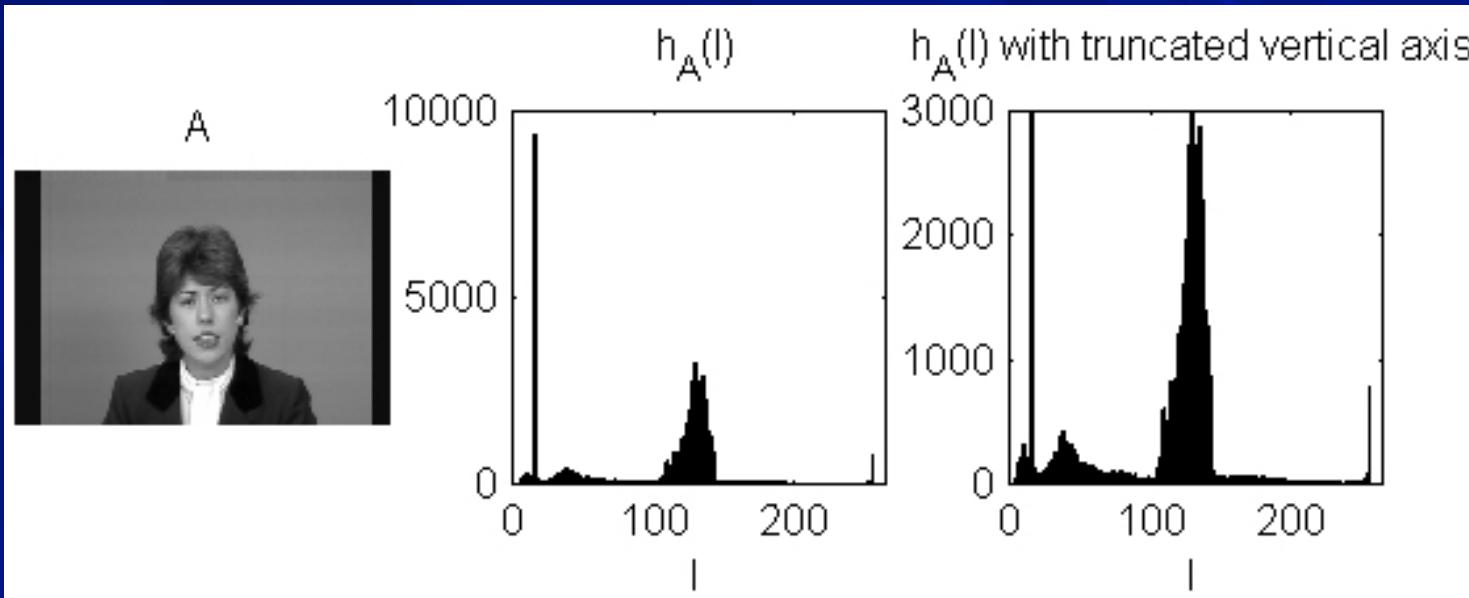
# Thresholding

Image with dark background  
and a light object

Image with dark background  
and two light objects

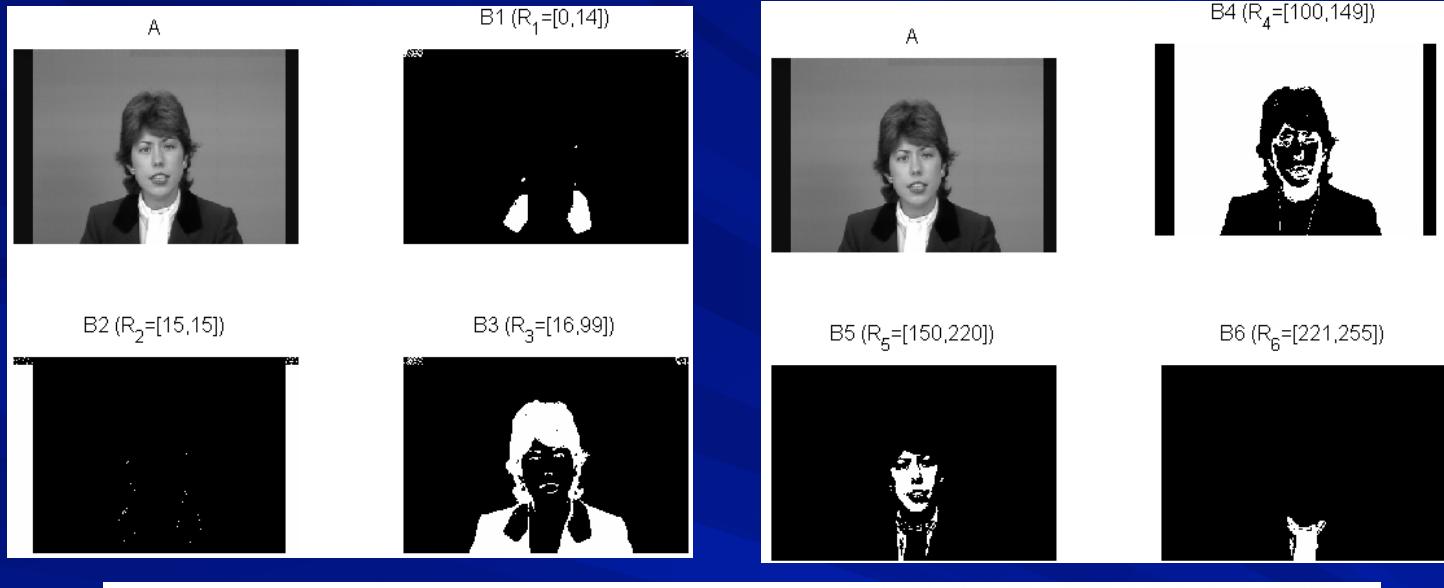


# An Example



$R1 = [0; 14]; R2 = [15; 15]; R3 = [16; 99];$   
 $R4 = [100; 149]; R5 = [150; 220]; R6 = [221; 255].$

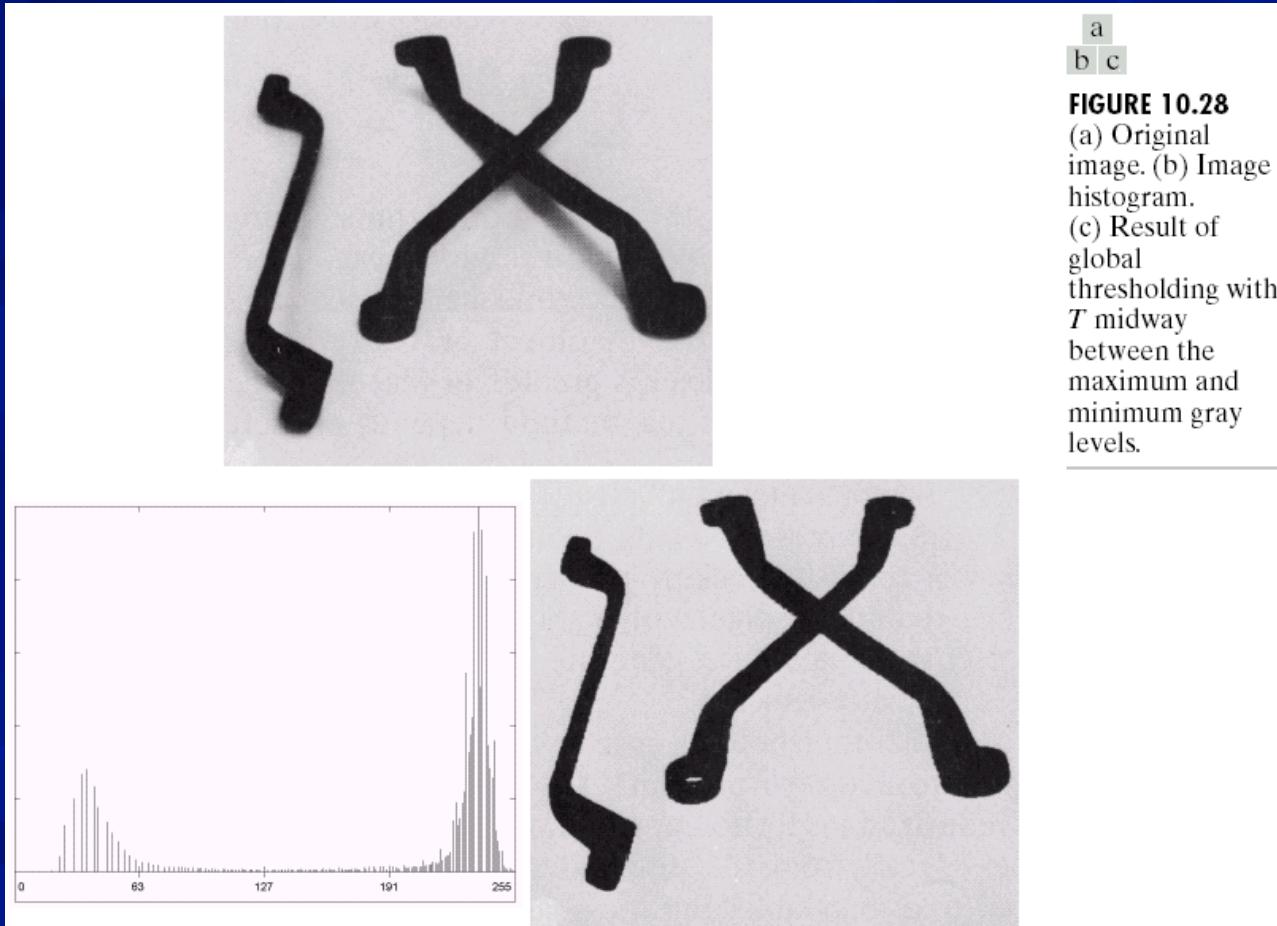
# Example...



# Selection of Thresholds

- Extensively researched questions
  - No absolute “optimal” solution
- Histogram based approaches
  - Interactive threshold
    - Visual inspection of the histogram
  - Heuristic
  - Minimisation methods
    - Otsu’s method
    - Method by Dong et al
    - Global optimal
  - Adaptive threshold

# Basic Global Thresholding

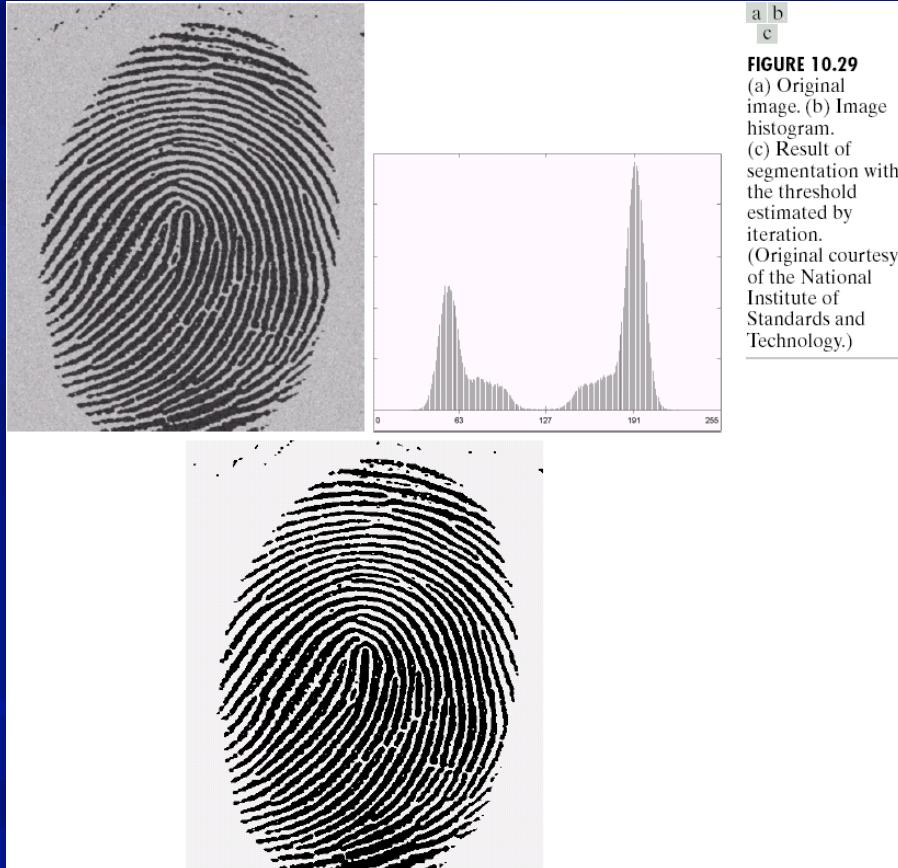


# Selection of Global Threshold

## ■ Heuristic method

- select the initial estimate for  $T$  (mid value)
- Group the pixels using  $T$  producing  $G_1$ , ( $>= T$ ) and  $G_2$ .
- compute average  $\mu_1$  and  $\mu_2$
- $T = 1/2 (\mu_1 + \mu_2)$
- repeat until the difference in  $T$  is small

# Example: Heuristic method



Note: the clear valley of the histogram and the effective of the segmentation between object and background

3 iterations  
with result  $T = 125$

# Otsu's Thresholding Method

(1979)

- Based on a very simple idea: Find the threshold that *minimizes the weighted within-class variance*.
- This turns out to be the same as *maximizing the between-class variance*.
- Operates directly on the gray level histogram [e.g. 256 numbers,  $P(i)$ ], so it's fast (once the histogram is computed).

# Otsu: Assumptions

- Histogram (and the image) are *bimodal*.
- No use of *spatial coherence*, nor any other notion of object structure.
- Assumes stationary statistics, but can be modified to be locally adaptive.  
(exercises)
- Assumes uniform illumination (implicitly), so the bimodal brightness behavior arises from object appearance differences only.

The *weighted within-class variance* is:

$$\sigma_w^2(t) = q_1(t)\sigma_1^2(t) + q_2(t)\sigma_2^2(t)$$

Where the class probabilities are estimated as:

$$q_1(t) = \sum_{i=1}^t P(i)$$

$$q_2(t) = \sum_{i=t+1}^I P(i)$$

And the class means are given by:

$$\mu_1(t) = \sum_{i=1}^t \frac{iP(i)}{q_1(t)}$$

$$\mu_2(t) = \sum_{i=t+1}^I \frac{iP(i)}{q_2(t)}$$

The individual class variances are:

$$\sigma_1^2(t) = \sum_{i=1}^t [i - \mu_1(t)]^2 \frac{P(i)}{q_1(t)}$$

$$\sigma_2^2(t) = \sum_{i=t+1}^I [i - \mu_2(t)]^2 \frac{P(i)}{q_2(t)}$$

Now, we could actually stop here. All we need to do is just run through the full range of  $t$  values [1,256] and pick the value that minimizes  $\sigma_w^2(t)$

But the relationship between the within-class and between-class variances can be exploited to generate a recursion relation that permits a much faster calculation.

# Otsu's method...

## ■ Text segmentation using Otsu's method

ponents or broken connection paths. There is no point past the level of detail required to identify those.

Segmentation of nontrivial images is one of the most processing. Segmentation accuracy determines the ev of computerized analysis procedures. For this reason, be taken to improve the probability of rugged segment such as industrial inspection applications, at least some the environment is possible at times. The experienced designer invariably pays considerable attention to suc

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# Extension (Dong et al.)

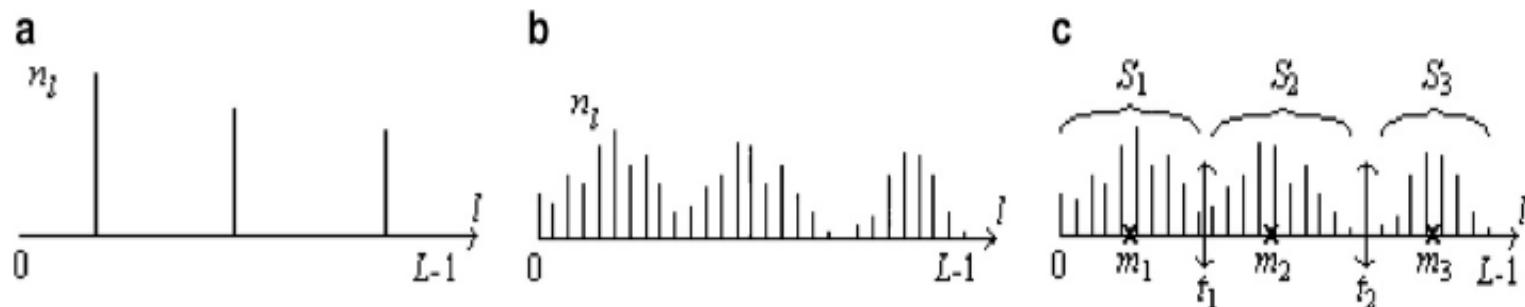
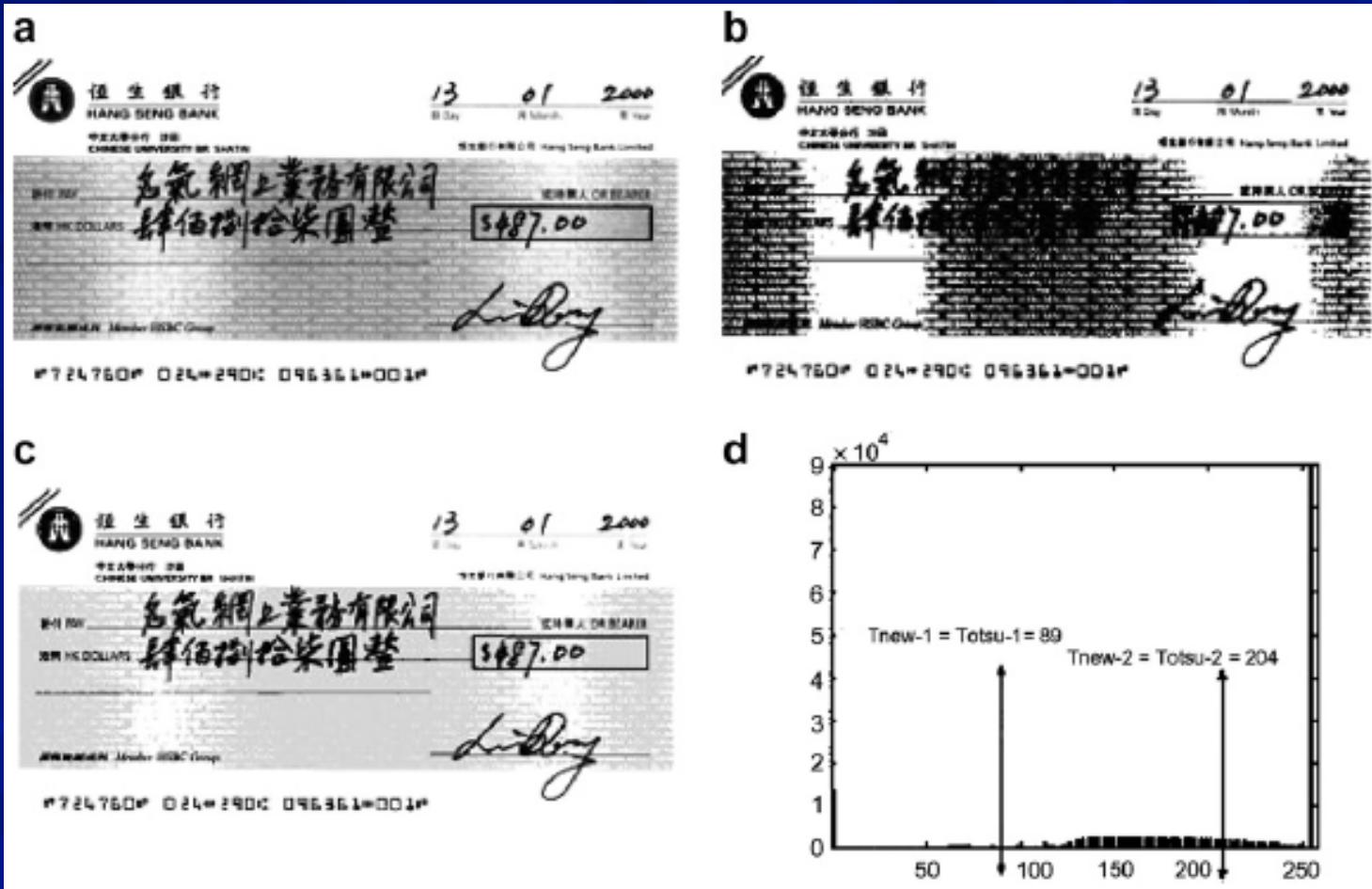


Fig. 1. (a) An ideal histogram with only three non-zero gray levels. (b) A practical histogram with three clusters. (c) One partition of three clusters of the gray levels,  $S_1, S_2, S_3$ , where the centroids of the clusters are  $m_1, m_2$ , and  $m_3$ , and the two thresholds are  $t_1$  and  $t_2$ .

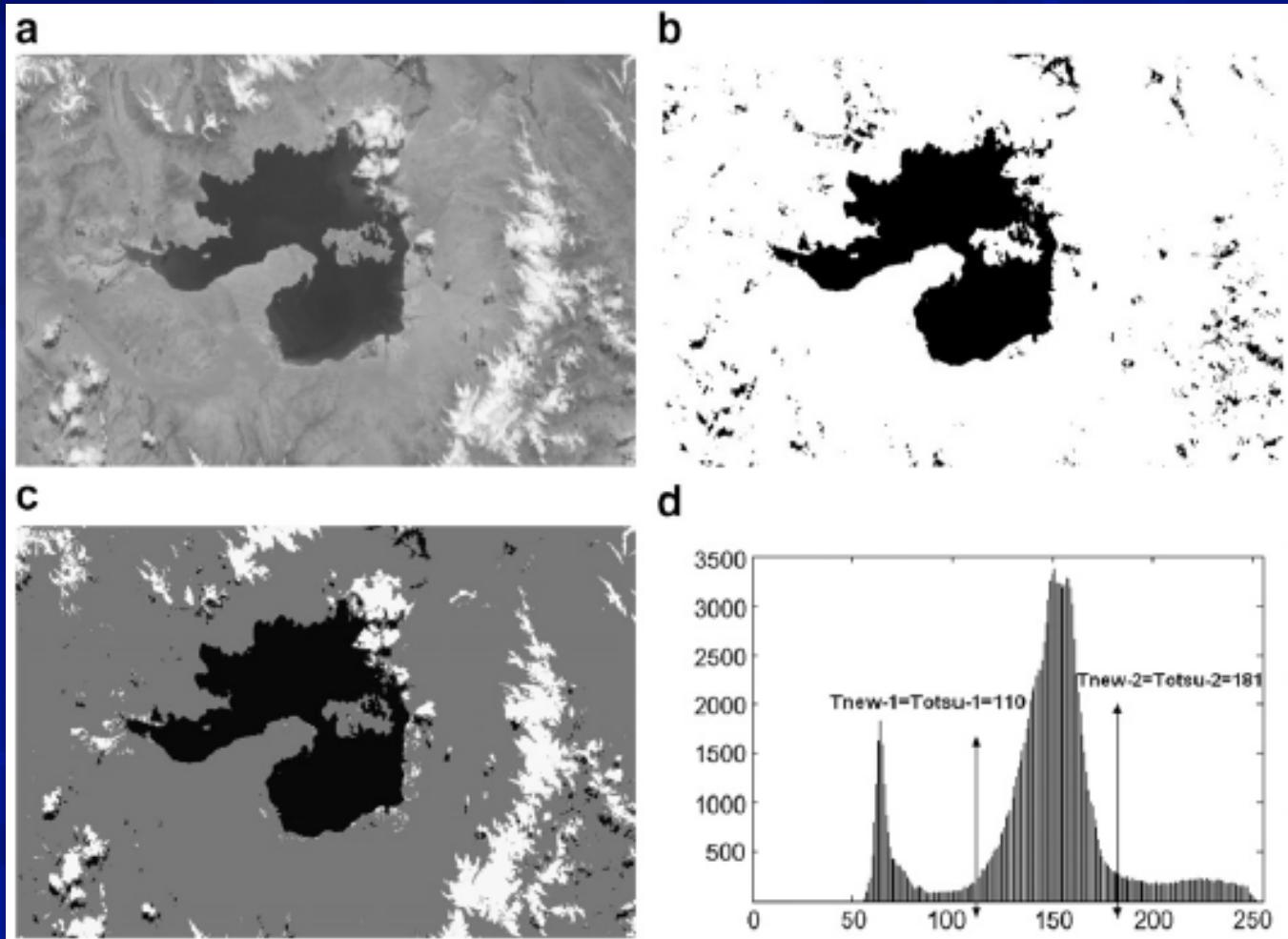
$$f(m_1, m_2, \dots, m_c) = \sum_{i=1}^c f_i = \sum_{i=1}^c \sum_{l \in S_i} n_l(l - m_i)^2.$$

L. Dong, G. Yu, P. Ogunbona and W. Li, *An efficient iterative algorithm for image thresholding*, Pattern Recognition Letter, 29 (2008) 1311–1316

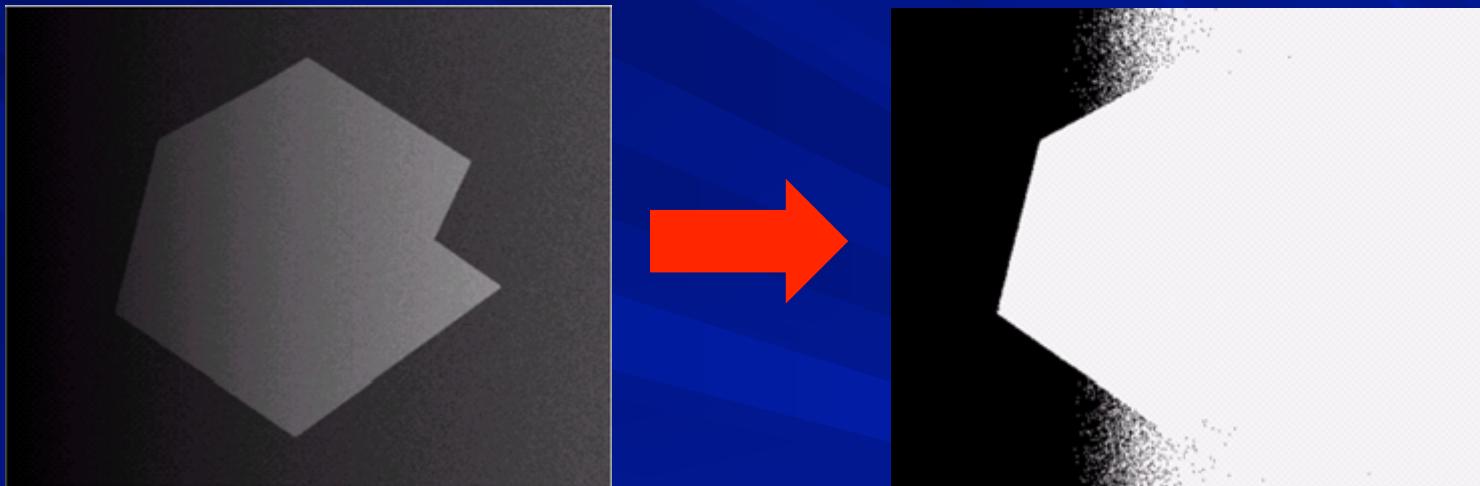
# Examples



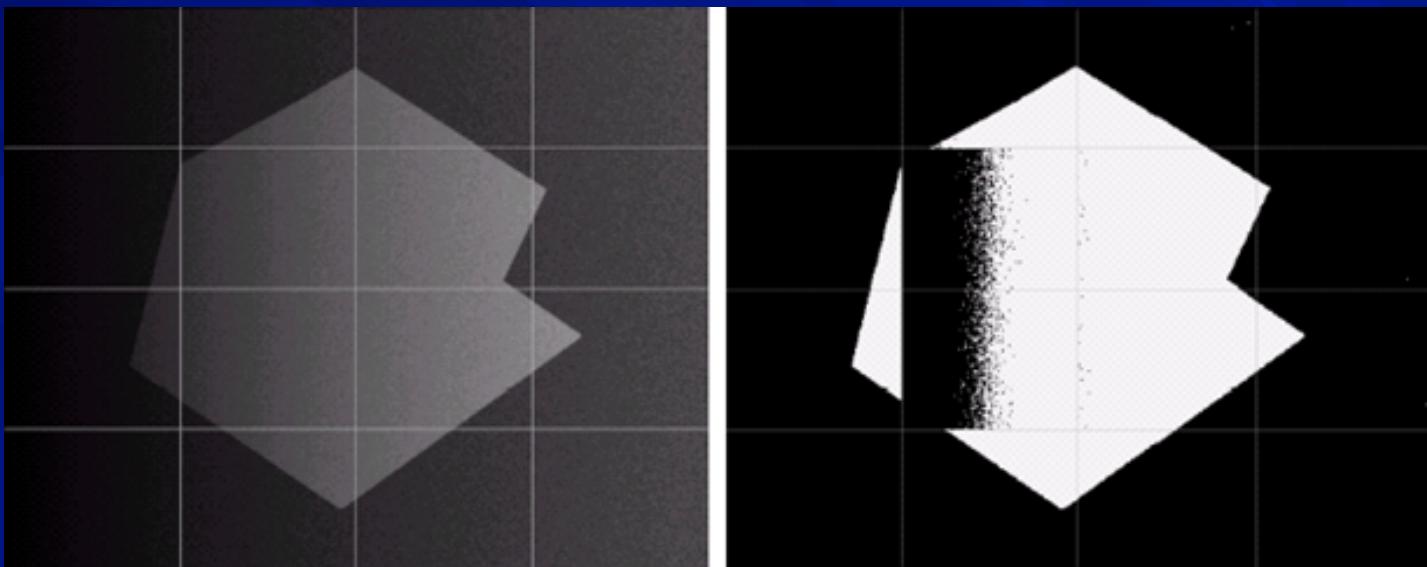
# Examples...



# Basic Adaptive Thresholding

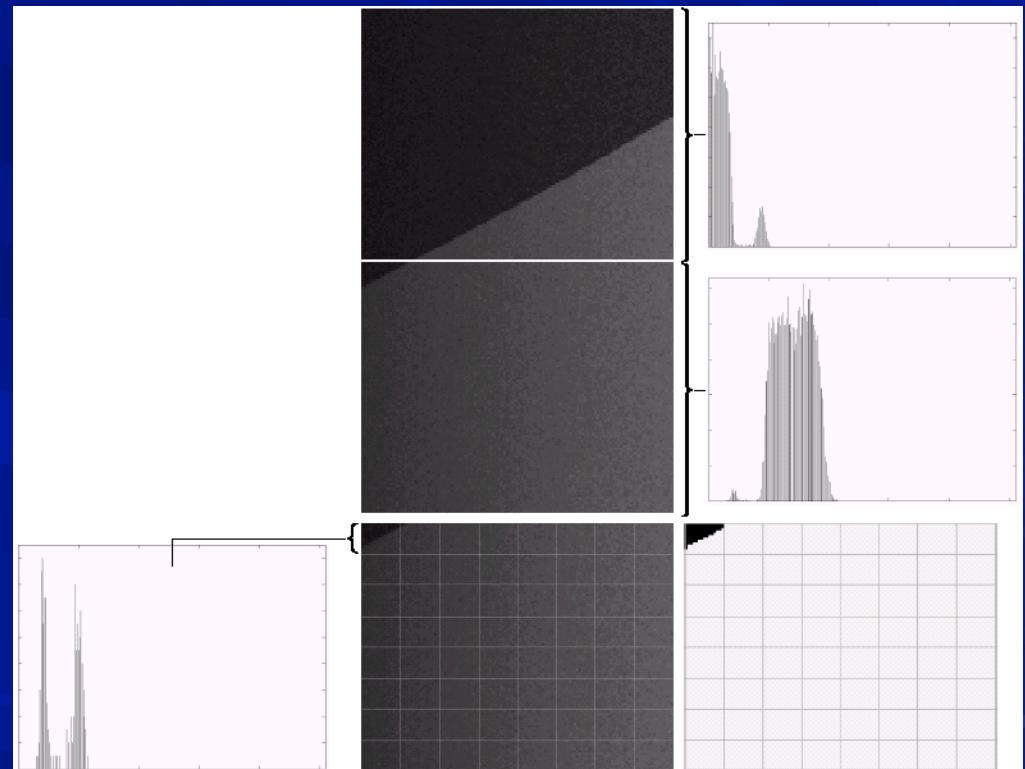


# Basic Adaptive Thresholding



# Further subdivision

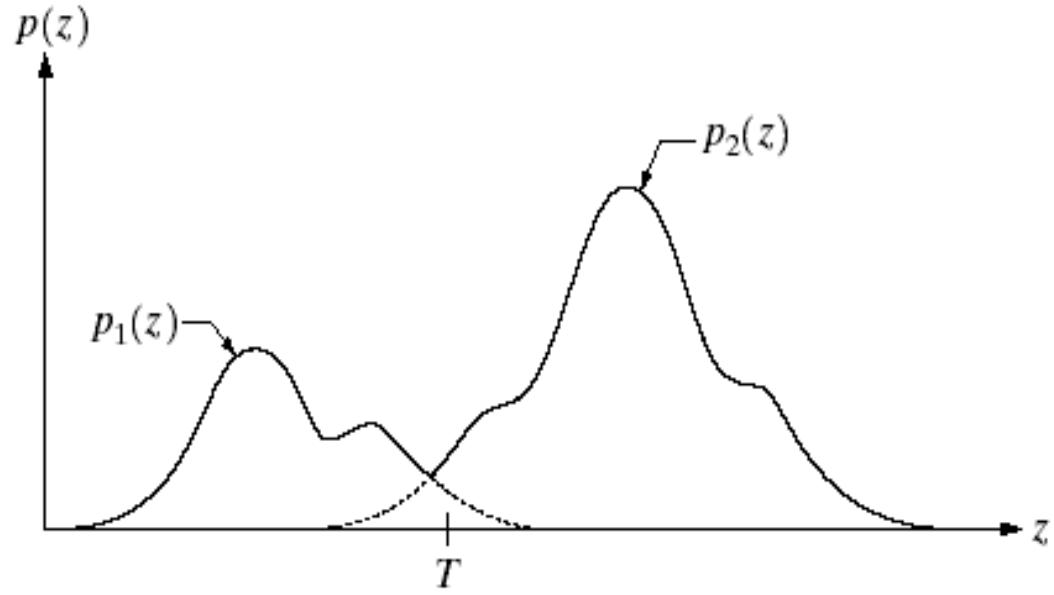
- a). Properly and improperly segmented subimages from previous example
- b)-c). corresponding histograms
- d). further subdivision of the improperly segmented subimage.
- e). histogram of small subimage at top
- f). result of adaptively segmenting d).



# Optimal Global and Adaptive Thresholding

**FIGURE 10.32**

Gray-level probability density functions of two regions in an image.



$$p(z) = P_1 p_1(z) + P_2 p_2(z)$$

$$P_1 + P_2 = 1$$

# Probability of erroneous classification

$$E_1(T) = \int_{-\infty}^T p_2(z) dz$$

$$E_2(T) = \int_T^{\infty} p_1(z) dz$$

$$E(T) = P_2 E_1(T) + P_1 E_2(T)$$

# Minimum error

Differentiating  $E(T)$  with respect to  $T$  (using Leibniz's rule) and equating the result to 0

$$\frac{dE(T)}{dT} = \frac{d(P_2 E_1(T) + P_1 E_2(T))}{dT} = 0$$

find  $T$  which makes



if  $P_1 = P_2$  then  
the optimum threshold  
is where the curve  
 $p_1(z)$  and  $p_2(z)$  intersect

$$P_1 p_1(T) = P_2 p_2(T)$$

# Gaussian density

Example: use PDF = Gaussian density :  $p_1(z)$  and  $p_2(z)$

$$\begin{aligned} p(z) &= P_1 p_1(z) + P_2 p_2(z) \\ &= \frac{P_1}{\sqrt{2\pi}\sigma_1} e^{-\frac{(z-\mu_1)^2}{2\sigma_1^2}} + \frac{P_2}{\sqrt{2\pi}\sigma_2} e^{-\frac{(z-\mu_2)^2}{2\sigma_2^2}} \end{aligned}$$

where

- $\mu_1$  and  $\sigma_1^2$  are the mean and variance of the Gaussian density of one object
- $\mu_2$  and  $\sigma_2^2$  are the mean and variance of the Gaussian density of the other object

# Quadratic equation

$$AT^2 + BT + C = 0$$

where  $A = \sigma_1^2 - \sigma_2^2$

$$B = 2(\mu_1\sigma_2^2 - \mu_2\sigma_1^2)$$

$$C = \sigma_1^2\mu_2^2 - \sigma_2^2\mu_1^2 + 2\sigma_1^2\sigma_2^2 \ln(\sigma_2 P_1 / \sigma_1 P_2)$$

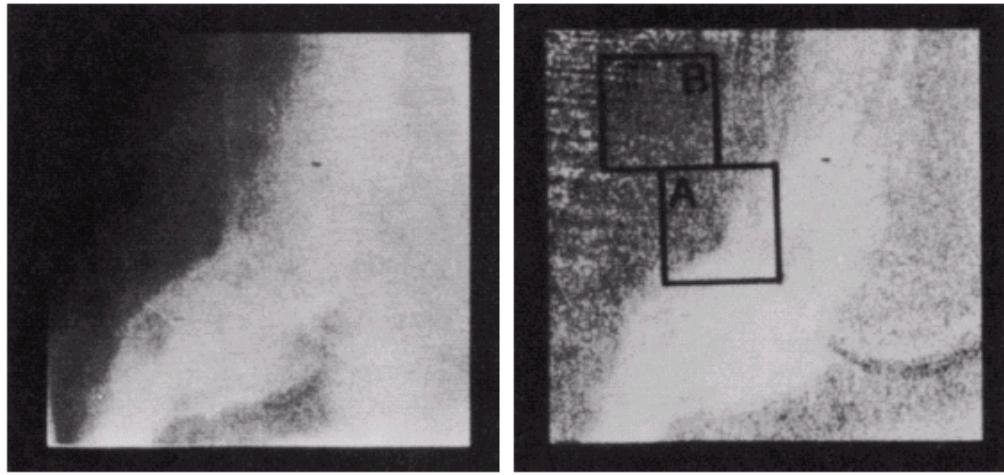
$$T = \frac{\mu_1 + \mu_2}{2} + \frac{\sigma^2}{\mu_1 - \mu_2} \ln\left(\frac{P_2}{P_1}\right)$$

if  $P_1 = P_2$  or  $\sigma = 0$   
then the optimal  
threshold is the  
average of the  
means

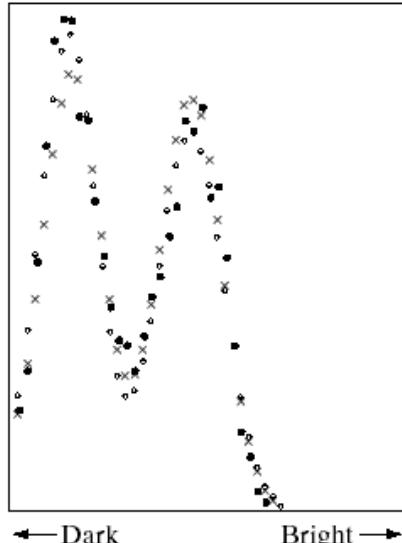
# Example

a b

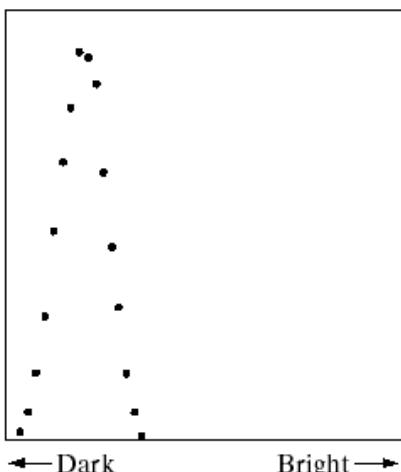
**FIGURE 10.33** A cardioangiogram before and after preprocessing.  
(Chow and Kaneko.)



Number of points



Number of points



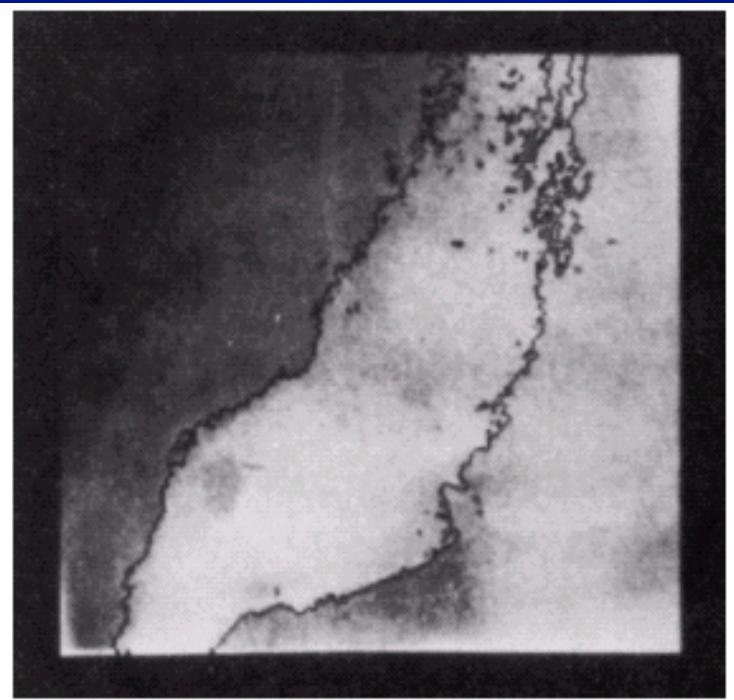
a b

**FIGURE 10.34**  
Histograms (black dots) of (a) region  
*A*, and (b) region  
*B* in Fig. 10.33(b).  
(Chow and  
Kaneko.)

# Example: Boundary superimposed

**FIGURE 10.35**  
Cardioangiogram  
showing  
superimposed  
boundaries.  
(Chow and  
Kaneko.)

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# Thresholding for Color Images?

Original image



# K-means

## ■ Notations

– Samples (pixels)

$$\mathbf{x}_1 = (x_{11}, x_{12}, \dots, x_{1d}), \mathbf{x}_2 = (\dots), \dots, \mathbf{x}_n = (x_{n1}, x_{n2}, \dots, x_{nd})$$

– Prototypes

$$\mu_1, \mu_2, \dots, \mu_K$$

– L<sub>2</sub>-distance

$$\| \mathbf{x}_i - \mu_j \|^2 = \sum_{k=1}^d (x_{ik} - \mu_{jk})^2$$

– membership

$$r_{ik} \in \{0,1\},$$

# K-means

## ■ Objective function

$$J = \sum_{n=1}^N \sum_{k=1}^K r_{nk} \|\mathbf{x}_n - \boldsymbol{\mu}_k\|^2$$

## ■ Two iterative phases

- Expectation

$$r_{nk} = \begin{cases} 1 & \text{if } k = \arg \min_j \|\mathbf{x}_n - \boldsymbol{\mu}_j\|^2 \\ 0 & \text{otherwise.} \end{cases}$$

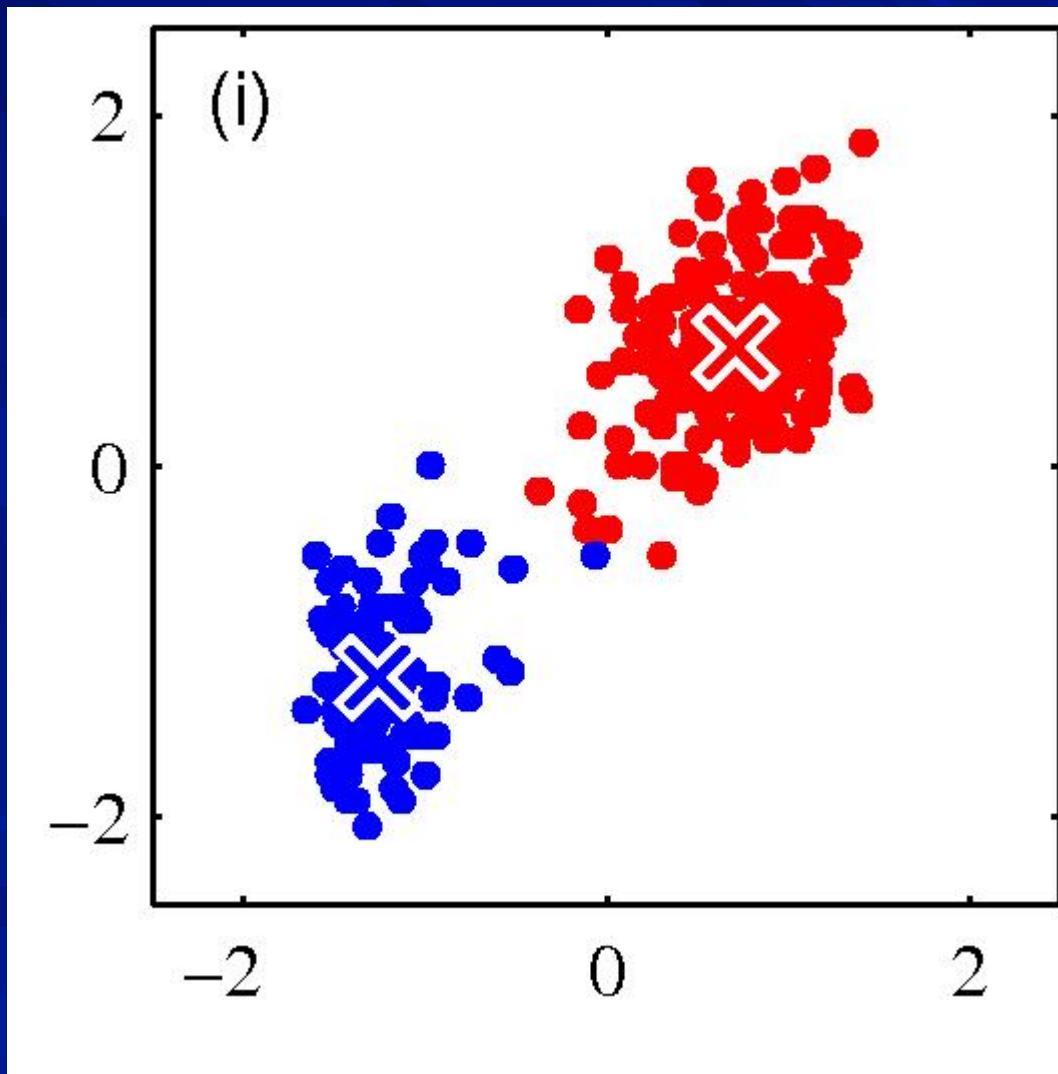
- Maximization

$$\boldsymbol{\mu}_k = \frac{\sum_n r_{nk} \mathbf{x}_n}{\sum_n r_{nk}}.$$

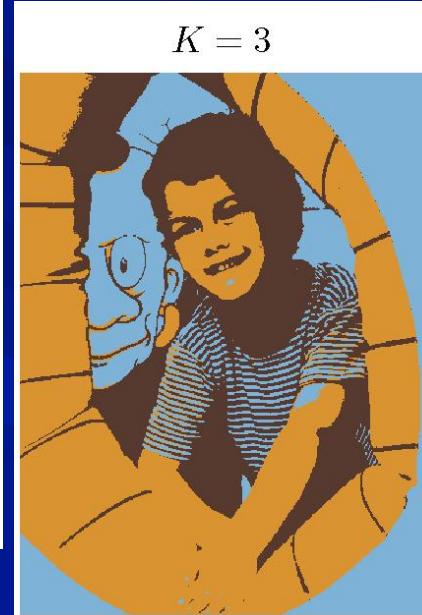
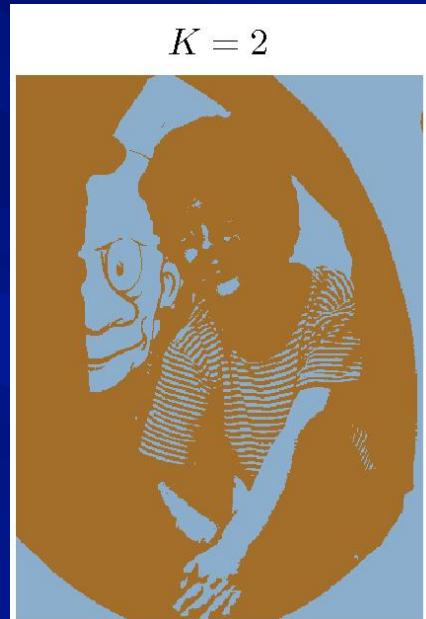
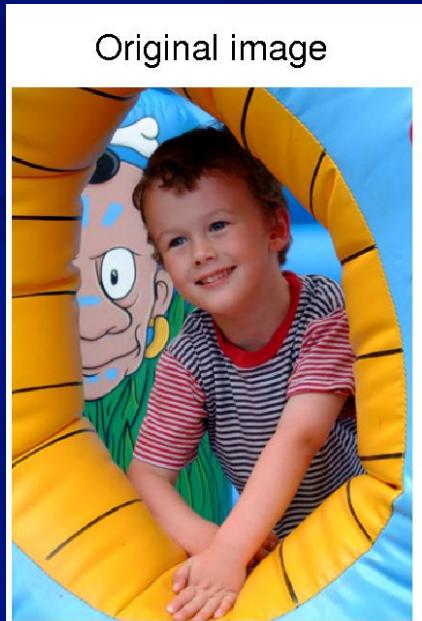
# K-means algorithm

- Choose some initial values for the prototypes (means)
- (E-step) Minimize the objective function with respect to the sample memberships
  - Re-assigning samples to clusters
- (M-step) Minimize the objective function with respect to the K prototypes
  - Re-computing the cluster means
- Repeat the EM steps until
  - there is no further change in the assignment, or
  - some maximum number of iterations is exceeded

# Illustration of K-means



# Color Image Segmentation

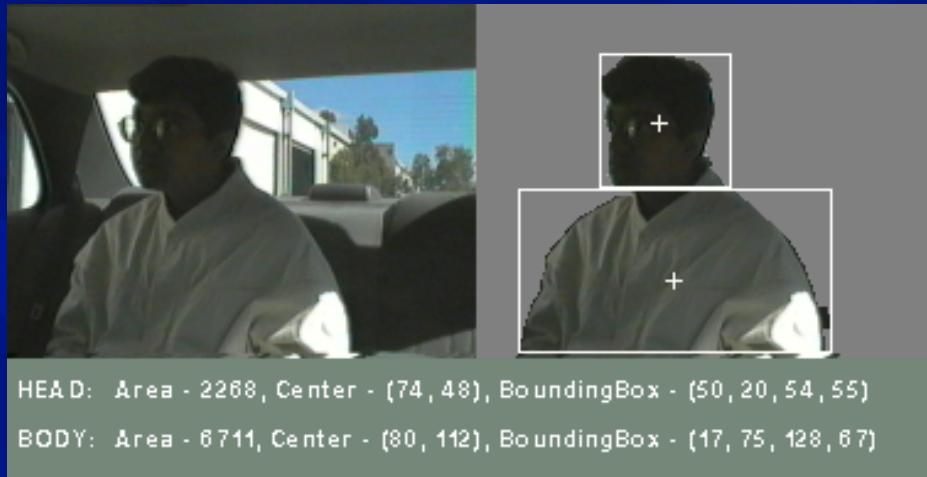


K-means

# Color Image Segmentation



# Color Image Segmentation



# Fuzzy C-Means (FCM)

- Membership matrix:  $\mathbf{U}_{c \times n}$ 
  - $U_{ij}$  is the grade of membership of sample  $j$  with respect to prototype  $i$
- *Crisp membership:*

$$u_{ij} = 1, \text{ if } \| \mathbf{p}_i - \mathbf{x}_j \|^2 = \min_k \| \mathbf{p}_k - \mathbf{x}_j \|^2$$
$$u_{ij} = 0, \text{ otherwise}$$

- *Fuzzy membership:*

$$\sum_{i=1}^c u_{ij} = 1, \forall j = 1, \dots, n$$

# Fuzzy $c$ -means (FCM)

- The objective function of FCM is

$$J = \sum_{i=1}^c \sum_{j=1}^n u_{ij}^m d_{ij}^2 = \sum_{i=1}^c \sum_{j=1}^n u_{ij}^m \| \mathbf{p}_i - \mathbf{x}_j \|^2$$

# FCM...

- Introducing the Lagrange multiplier  $\lambda$  with respect to the constraint

$$\sum_{i=1}^c u_{ij} = 1,$$

we rewrite the objective function as:

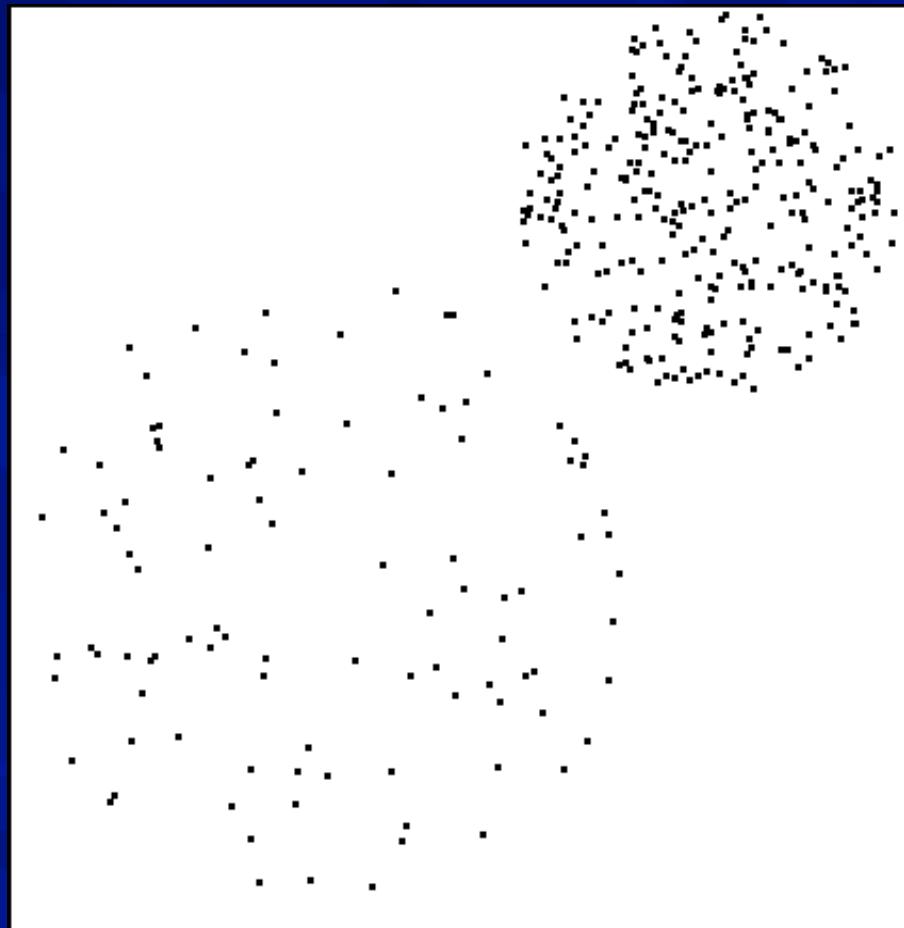
$$J = \sum_{i=1}^c u_{ij}^m d_{ij}^2 - \lambda \left( \left( \sum_{i=1}^c u_{ij} \right) - 1 \right)$$

# FCM...

## ■ EM updating rules

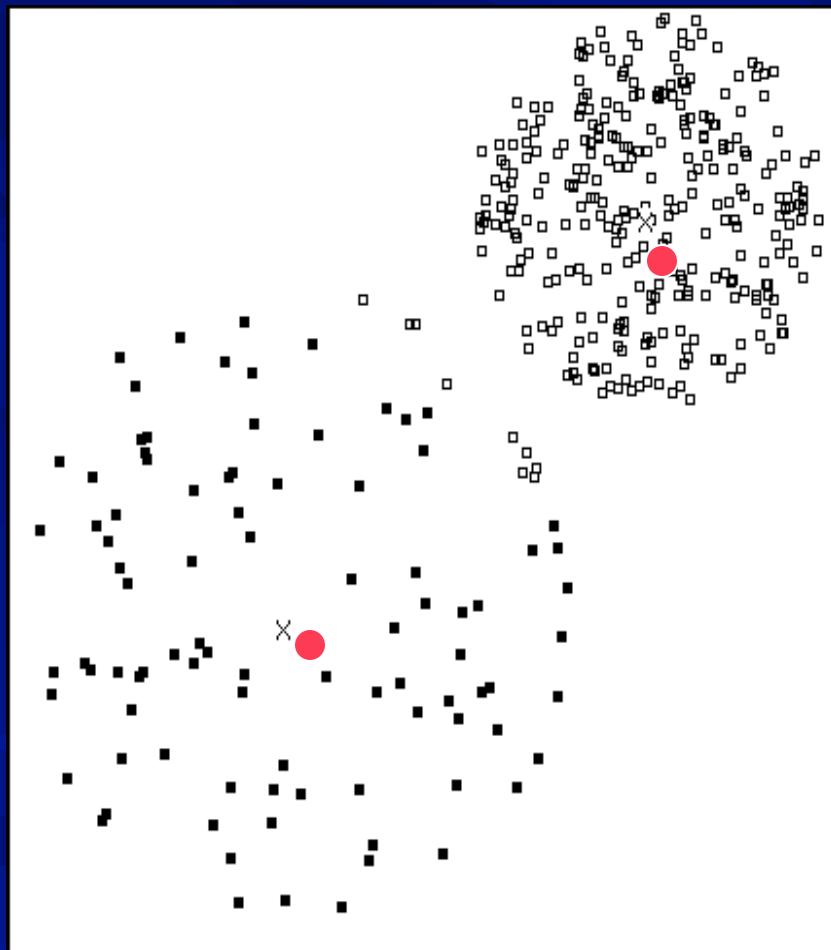
$$\begin{aligned} u_{ij} &= \frac{1}{\sum_{k=1}^c \left( \frac{d_{ij}}{d_{kj}} \right)^{1/(m-1)}} \\ \mathbf{p}_i &= \frac{\sum_{j=1}^n u_{ij}^m \mathbf{x}_j}{\sum_{j=1}^n u_{ij}^m} \end{aligned}$$

# K-means vs. Fuzzy $c$ -means



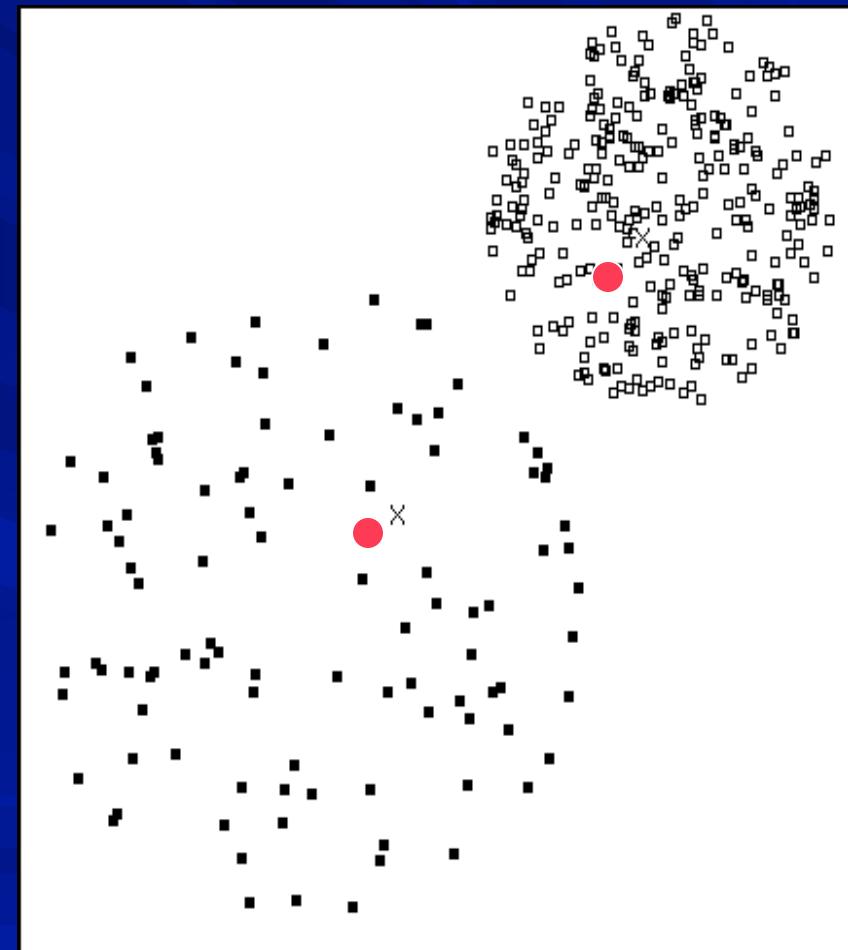
Sample Points

# K-means vs. Fuzzy c-means



K-means

9/09/16



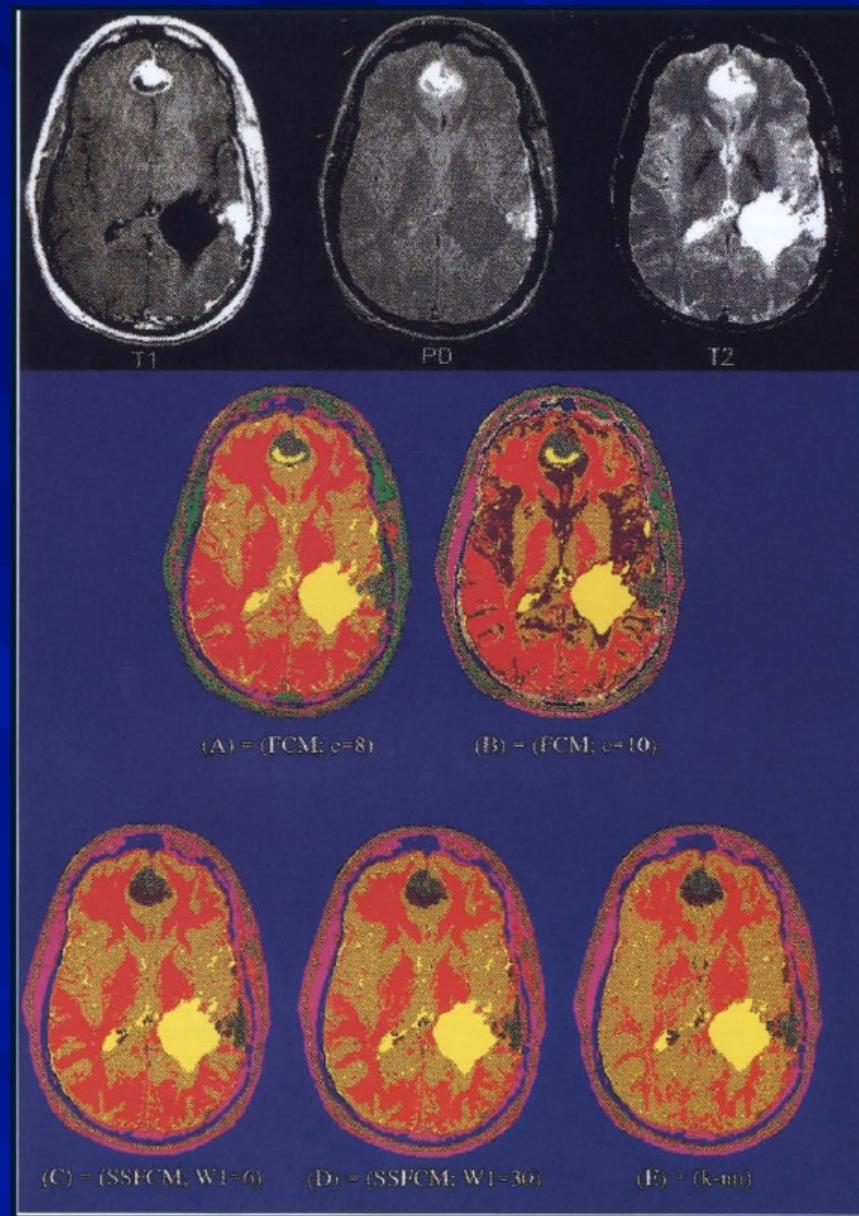
Fuzzy c-means

55

# FCM segmentation examples



# FCM



# Post Processing



# Post-Processing

- Binary Morphological Filters
- Majority voting

1	1	1	1	1	2	2
3	1	1	1	1	2	2
3	3	1	1	2	2	2
3	3	3	x	2	2	2
3	1	2	2	2	1	2
3	3	3	2	2	2	2
3	3	3	2	2	3	2

# Region-Based Method

- Consider *simultaneously*
  - the similarity of visual properties (features), and
  - spatial connectivity

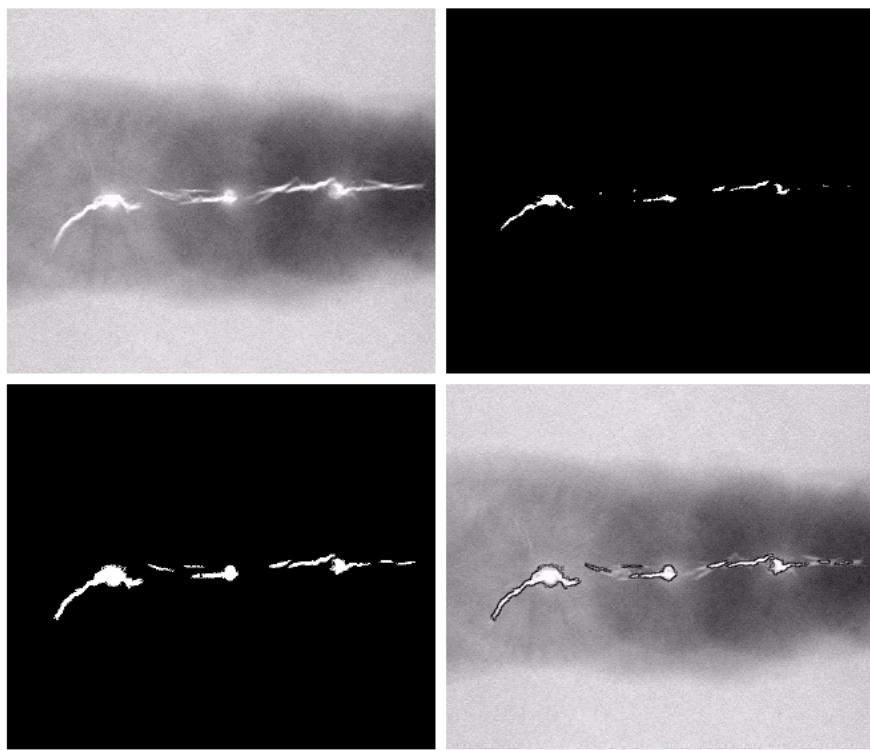
# Region-Based Method: Region Growing

## Region Growing

- Start from a seed, and let it grow (include similar neighborhood)

a  
b  
c  
d

**FIGURE 10.40**  
(a) Image showing defective welds. (b) Seed points. (c) Result of region growing. (d) Boundaries of segmented defective welds (in black). (Original image courtesy of X-TEK Systems, Ltd.).



Criteria:

1. the absolute gray-level difference between any pixel and the seed has to be less than 65
2. the pixel has to be 8-connected to at least one pixel in that region (if more, the regions are merged)

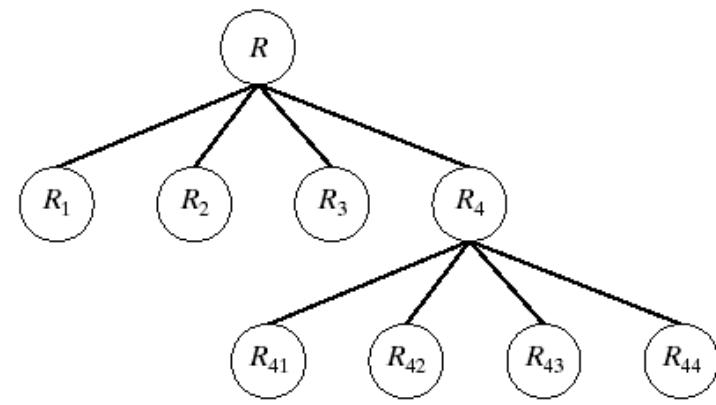
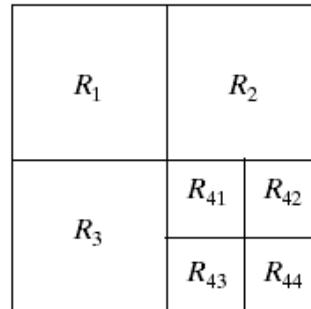
**Key: similarity measure**

# Region-Based Method: Split and Merge

## ■ Split and Merge

- Iteratively split (non-similar region) and merge (similar regions)
- Example: Quadtree approach

a b  
**FIGURE 10.42**  
(a) Partitioned image.  
(b) Corresponding quadtree.

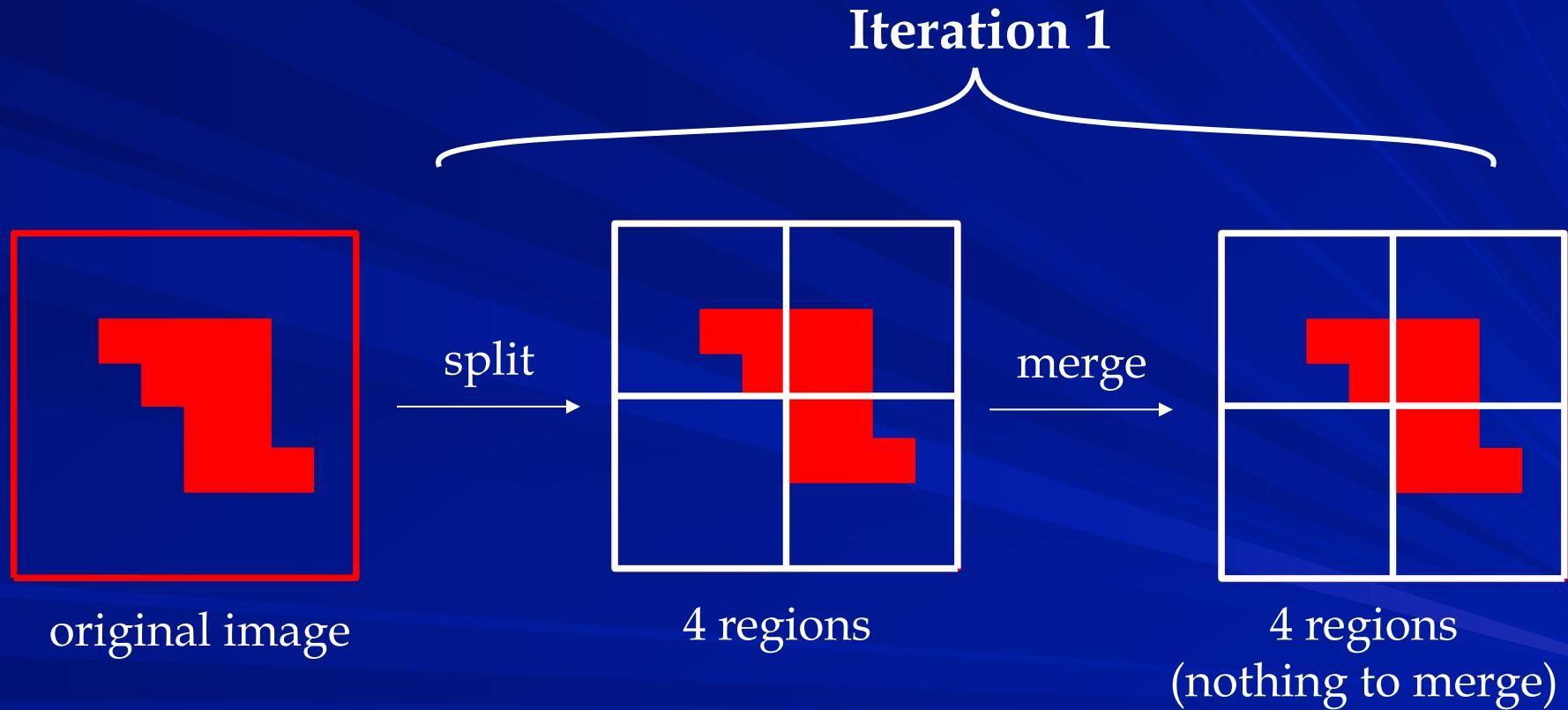


a b c  
**FIGURE 10.43**  
(a) Original image.  
(b) Result of split and merge procedure.  
(c) Result of thresholding (a).



# Region-Based Method: Split and Merge

- Example: Quadtree Split and Merge Procedure

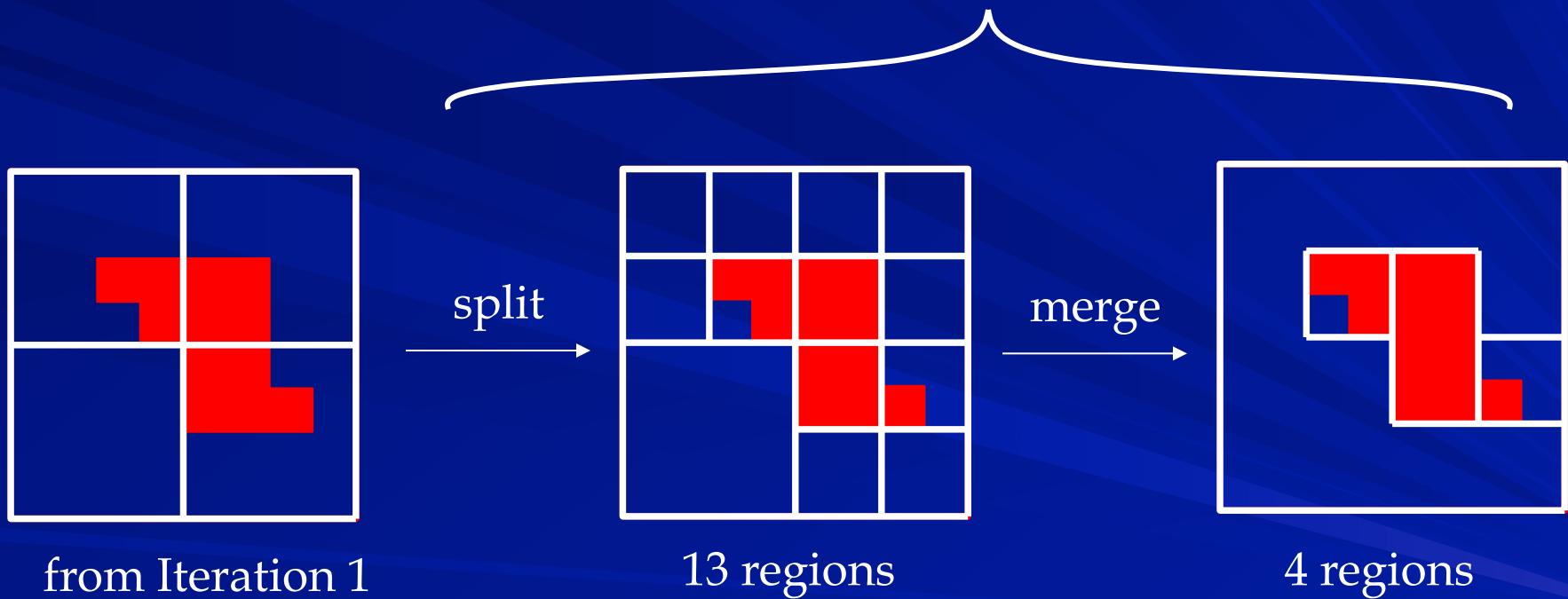


**Split Step** → split every non-uniform region to 4  
**Merge Step** → merge all uniform adjacent regions

# Region-Based Method: Split and Merge

## ■ Example: Quadtree Split and Merge Procedure

Iteration 2

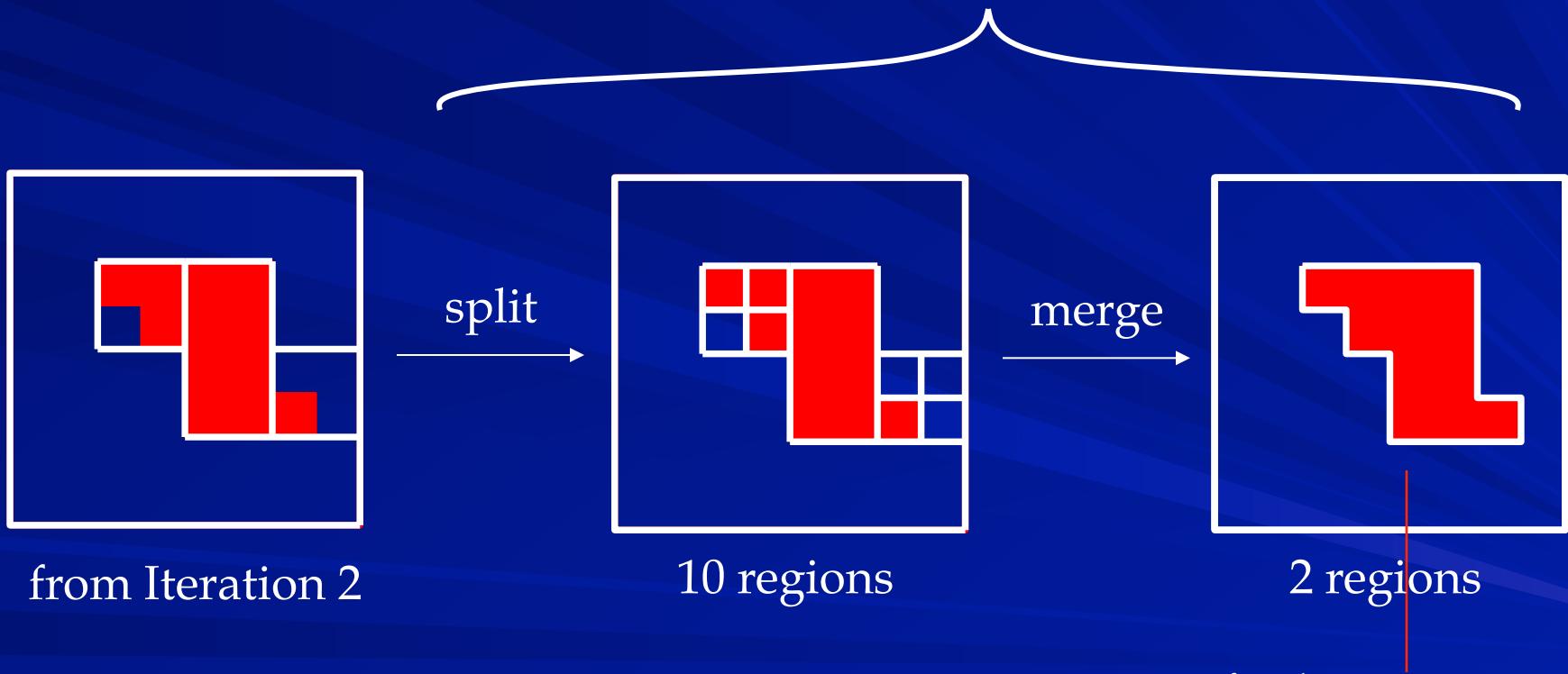


**Split Step** → split every non-uniform region to 4  
**Merge Step** → merge all uniform adjacent regions

# Region-Based Method: Split and Merge

## ■ Example: Quadtree Split and Merge Procedure

Iteration 3



**Split Step** → split every non-uniform region to 4  
**Merge Step** → merge all uniform adjacent regions

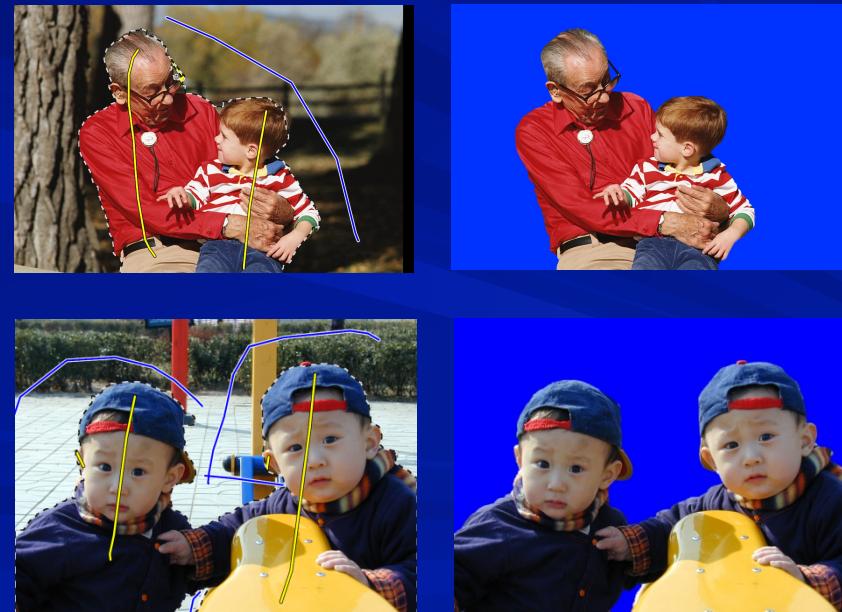
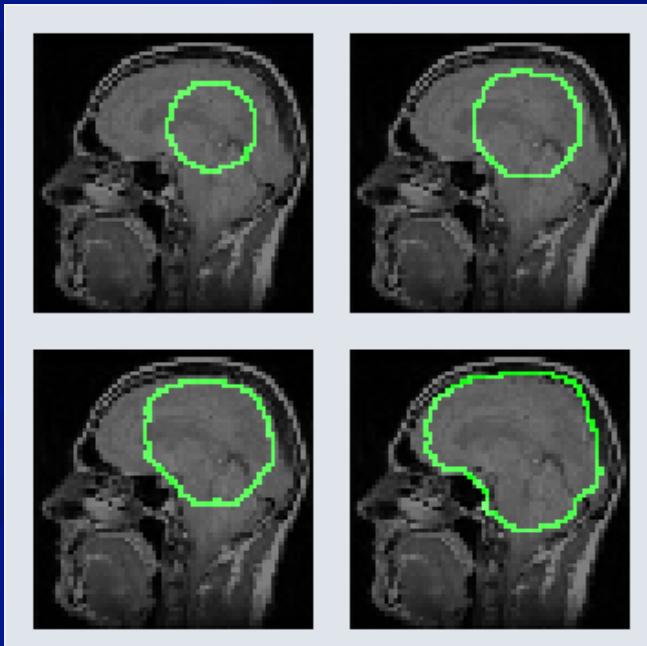
# Contour-based Image Segmentation

# Contour-Based Method



# Boundary-Based Method

- Advanced Method: Active Contour (Snake) Model
  - Iteratively update contour (region boundary)
  - Partial differential equation (PDE) based optimization
  - Lazy Snapping (MSRA)



# Applications of Image Segmentation

# Segmentation

## ■ Basic Formulation

$$(a) \bigcup_{i=1}^n R_i = R$$

(b)  $R_i$  is a connected region,  $i = 1, 2, \dots, n$

(c)  $R_i \cap R_j = \emptyset$  for all i and j,  $i \neq j$

(d)  $P(R_i) = \text{TRUE}$  for  $i = 1, 2, \dots, n$

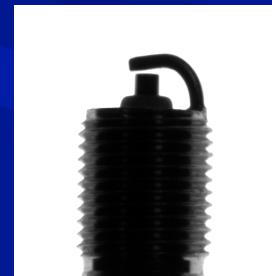
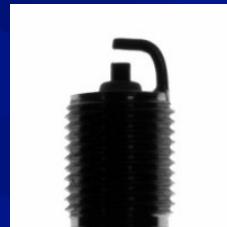
(e)  $P(R_i \cup R_j) = \text{FALSE}$  for  $i \neq j$

$P(R_i)$  is a logical predicate property defined over the points in set  $R_i$

ex.  $P(R_i) = \text{TRUE}$  if all pixel in  $R_i$  have the same gray level

# Applications of segmentation

- Spark Plug Gap Measurement



# Applications of segmentation ...

- **Blister Pack Inspection:** Ensuring that blister packs contain the correct number and type of pills before they reach pharmacies, ensuring the integrity of the product and increase the yield of production by automating the inspection of blister pack contents.

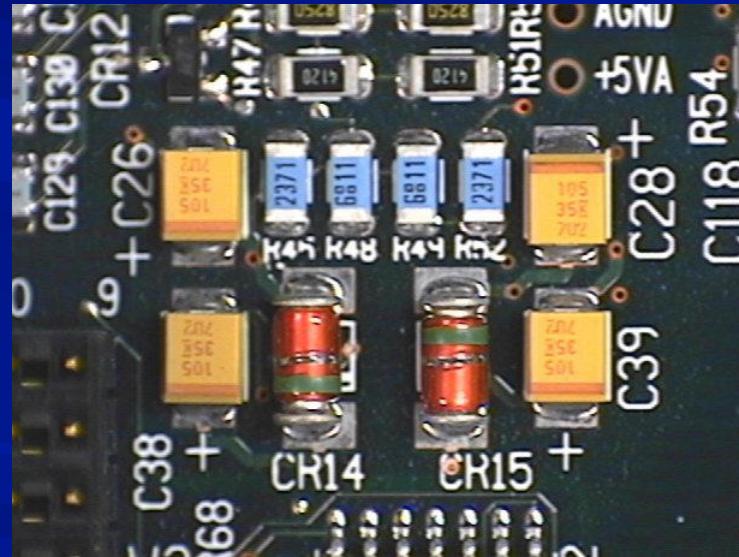


- Acquire colour images of the blister packs. Use colour location to count the number of green areas in the image. With colour location, you create a model or template that represents the colours that you are searching. Then the machine vision application searches for the model in each acquired image and calculates a score for each match. The surface area of each pill in the pack must be at least 50% green to pass inspection.

# Applications of segmentation ...

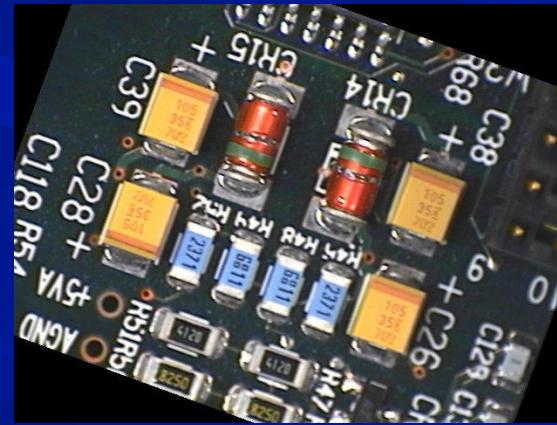
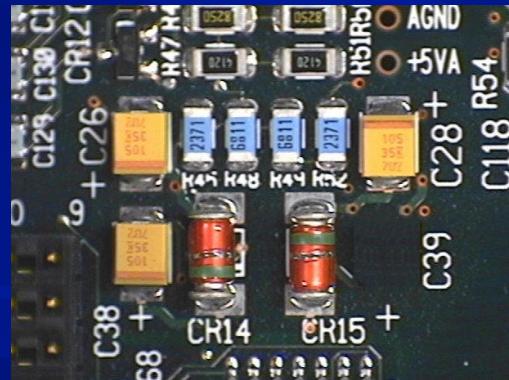
## ■ PCB Inspection

- To ensure that components are present and at the correct orientation on a PCB.



# Applications of segmentation ...

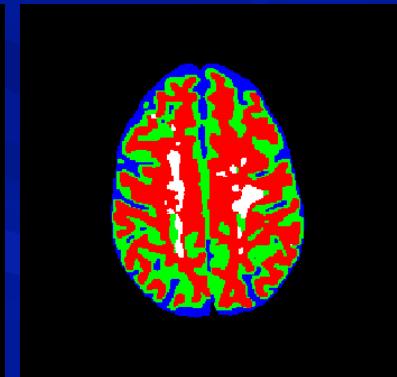
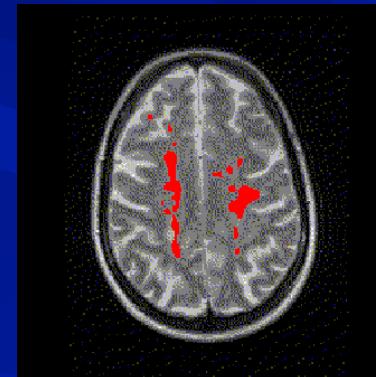
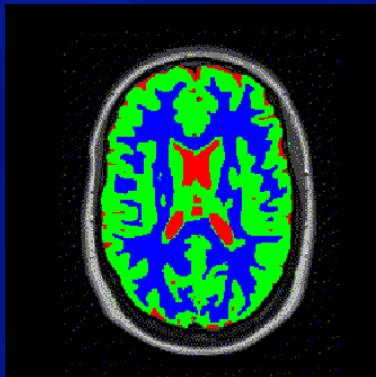
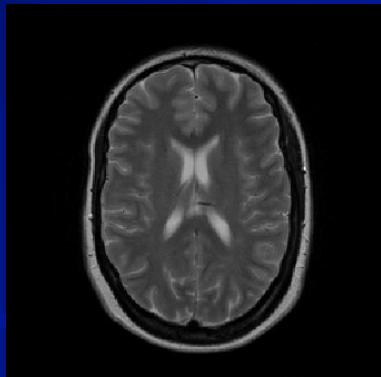
- **PCB Inspection (cont'd):** Colour information simplifies a monochrome problem by improving contrast or separation of the components from the background. Colour pattern matching can distinguish objects from the background more efficiently than grayscale pattern matching.
  - This example uses rotation-invariant pattern matching because it can detect the components regardless of their orientations. You can use the orientation information to determine the correct placement of orientation-sensitive components, such as capacitors or diodes.



# Applications of segmentation ...

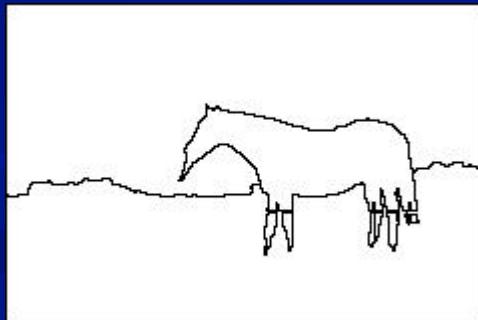
## ■ Computer assisted diagnosis

- Brain tissues
- MS lesions



# Applications of segmentation ...

## ■ Photo annotation and retrieval



# Applications of segmentation ...

- **Video surveillance**
  - Moving objects

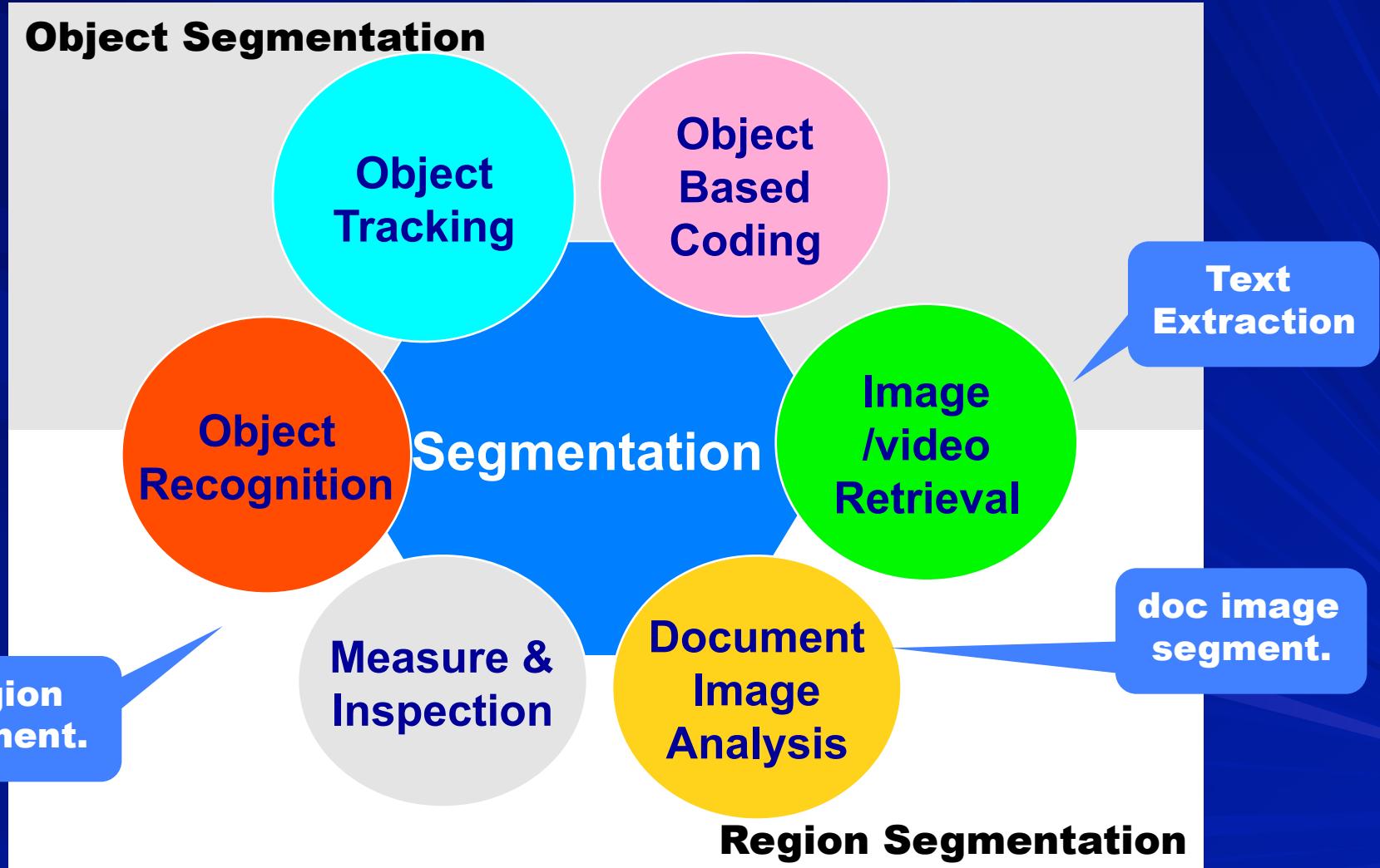


# Applications of segmentation ...

## ■ Recent applications

- Summarizing video
- Finding machine parts
- Finding people
- Finding buildings or specific targets from satellite images
- Searching a collection of images

# Summary



# Key References

- D. A. Forsyth and J. Ponce, “Computer Vision: A Modern Approach”, Prentice Hall, 2003 – Chapter 14
- R. C. Gonzalez and R. E. Woods, “Digital Image Processing”, 2<sup>nd</sup> Ed. Prentice Hall, 2002
- N. Otsu, “A Threshold Selection Method from Gray-Level Histograms”, IEEE Trans. SMC, SMC-9(1), 1979, pp.62-66

# CV & IP Tools

- Intel open source computer vision library [OpenCV](#)
  - C Libraries for CV
  - Available at Sourceforge  
<http://sourceforge.net/projects/opencvlibrary/>
- CVIPtools: is a UNIX/Win32-based software package
  - Collection of C-libraries
  - GUI-based interactive IP
  - Available from its home page  
<http://www.ee.siu.edu/CVIPtools/index.php>



# FCM (Cnt'd)

- Setting the partial derivatives to zero, we obtain

$$\frac{\partial J}{\partial \lambda} = \left( \sum_{k=1}^c u_{ik} \right) - 1 = 0$$

$$\frac{\partial J}{\partial u_{ij}} = m \cdot u_{ij}^{m-1} \cdot d_{ij}^2 - \lambda = 0$$

# FCM (Cnt'd)

- From the 2<sup>nd</sup> equation, we obtain

$$u_{ij} = \left( \frac{\lambda}{m \cdot d_{ij}^2} \right)^{\frac{1}{m-1}}$$

- From this fact and the 1<sup>st</sup> equation, we obtain

$$\begin{aligned} 1 &= \sum_{k=1}^C u_{ik} = \sum_{k=1}^C \left( \frac{\lambda}{m \cdot d_{ik}^2} \right)^{\frac{1}{m-1}} \\ &= \left( \frac{\lambda}{m} \right)^{\frac{1}{m-1}} \sum_{k=1}^C \left( \frac{1}{d_{ik}^2} \right)^{\frac{1}{m-1}} \end{aligned}$$

# FCM (Cnt'd)

■ Therefore,

$$\left(\frac{\lambda}{m}\right)^{\frac{1}{m-1}} = \frac{1}{\sum_{k=1}^c \left(\frac{1}{d_{ik}^2}\right)^{\frac{1}{m-1}}}$$

and

$$\begin{aligned} u_{ij} &= \frac{1}{\sum_{k=1}^c \left(\frac{1}{d_{ik}^2}\right)^{\frac{1}{m-1}}} \cdot \left(\frac{1}{d_{ij}^2}\right)^{\frac{1}{m-1}} \\ &= \frac{1}{\sum_{k=1}^c \left(\frac{d_{ij}^2}{d_{ik}^2}\right)^{\frac{1}{m-1}}} \end{aligned}$$

# FCM (Cnt'd)

- Together with the 2<sup>nd</sup> equation, we obtain the updating rule for  $u_{ij}$

$$\begin{aligned} u_{ij} &= \frac{1}{\sum_{k=1}^c \left( \frac{1}{d_{ik}^2} \right)^{\frac{1}{m-1}}} \cdot \left( \frac{1}{d_{ij}^2} \right)^{\frac{1}{m-1}} \\ &= \frac{1}{\sum_{k=1}^c \left( \frac{d_{ij}^2}{d_{ik}^2} \right)^{\frac{1}{m-1}}} \end{aligned}$$

# FCM (Cnt'd)

- On the other hand, setting the derivative of  $J$  with respect to  $\mathbf{p}_i$  to zero, we obtain

$$\begin{aligned} 0 &= \frac{\partial J}{\partial \mathbf{p}_i} = \frac{\partial}{\partial \mathbf{p}_i} \sum_{i=1}^c \sum_{j=1}^n u_{ij}^m \|\mathbf{p}_i - \mathbf{x}_j\|^2 \\ &= \sum_{j=1}^n u_{ij}^m \frac{\partial}{\partial \mathbf{p}_i} \|\mathbf{p}_i - \mathbf{x}_j\|^2 \\ &= \sum_{j=1}^n u_{ij}^m \frac{\partial}{\partial \mathbf{p}_i} (\mathbf{p}_i - \mathbf{x}_j)^T (\mathbf{p}_i - \mathbf{x}_j) \\ &= -2 \sum_{j=1}^n u_{ij}^m (\mathbf{p}_i - \mathbf{x}_j) \end{aligned}$$

# FCM (Cnt'd)

- It follows that

$$\frac{\partial J}{\partial \mathbf{p}_i} = \sum_{j=1}^n u_{ij}^m (\mathbf{p}_i - \mathbf{x}_j) = 0$$

- Finally, we can obtain the update rule of  $c_i$ :

$$\mathbf{p}_i = \frac{\sum_{j=1}^n u_{ij}^m \mathbf{x}_j}{\sum_{j=1}^n u_{ij}^m}$$

# Semi-supervised FCM (Bensaid et al.)

## ■ Samples

$$X = \left\{ \underbrace{\mathbf{x}_1^1, \dots, \mathbf{x}_{n_1}^1, \mathbf{x}_1^2, \dots, \mathbf{x}_{n_2}^2, \dots, \mathbf{x}_1^c, \dots, \mathbf{x}_{n_c}^c}_{\text{labeled 1 labeled 2 \dots labeled c}} \mid \underbrace{\mathbf{x}_1^u, \dots, \mathbf{x}_{n_u}^u}_{\text{unlabeled}} \right\}$$
$$= X^d \cup X^u, \quad (12)$$

## ■ Membership matrix

$$U = \left[ \left[ \underbrace{\mathbf{U}_{(1)}^1 \dots \mathbf{U}_{(n_1)}^1}_{\mathbf{e}_1} \underbrace{\mathbf{U}_{(1)}^2 \dots \mathbf{U}_{(n_2)}^2}_{\mathbf{e}_2} \dots \underbrace{\mathbf{U}_{(1)}^c \dots \mathbf{U}_{(n_c)}^c}_{\mathbf{e}_c} \right] \right. \\ \left. \underbrace{\left[ \mathbf{U}_{(1)}^u \dots \mathbf{U}_{(n_u)}^u \right]}_{\text{unlabeled}} \right], \quad (13a)$$

$$U = \left[ \begin{bmatrix} 1 & \cdots & 1 & 0 & \cdots & 0 & 0 & \cdots & 0 \\ 0 & 0 & 1 & \cdots & 1 & 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & & \vdots & \vdots & \vdots & & \vdots \\ 0 & 0 & 0 & \cdots & 0 & 1 & \cdots & 1 \end{bmatrix} \right. \\ \left. \begin{bmatrix} u_{11}^u & \cdots & u_{1n_u}^u \\ u_{21}^u & \cdots & u_{2n_u}^u \\ \vdots & & \vdots \\ u_{c1}^u & \cdots & u_{cn_u}^u \end{bmatrix} \right].$$

A. M. Bensaid et al., Partially supervised clustering for image segmentation, Pattern Recognition, 29(5), 1996, pp.859-871

# Semi-supervised FCM (Bensaid et al.)

## ■ Initializing $w$ and prototypes

$$\mathbf{v}_{i,0} = \frac{\sum_{k=1}^{n_d} (u_{ik,0}^d)^m \mathbf{x}_k^d}{\sum_{k=1}^{n_d} (u_{ik,0}^d)^m}, \quad 1 \leq i \leq c.$$

## ■ (E-step) Updating membership

$$u_{ik,t}^u = \left[ \sum_{j=1}^c \left( \frac{\|\mathbf{x}_k^u - \mathbf{v}_{i,t-1}\|_A}{\|\mathbf{x}_k^u - \mathbf{v}_{j,t-1}\|_A} \right)^{2/(m-1)} \right]^{-1}, \\ 1 \leq i \leq c; \quad 1 \leq k \leq n_u; \quad t = 1, 2, \dots, T.$$

## ■ (M-step) updating the prototypes

$$\mathbf{v}_{i,t} = \left( \frac{\sum_{k=1}^{n_d} w_k (u_{ik,t}^d)^m \mathbf{x}_k^d + \sum_{k=1}^{n_u} (u_{ik,t}^u)^m \mathbf{x}_k^u}{\sum_{k=1}^{n_d} w_k (u_{ik,t}^d)^m + \sum_{k=1}^{n_u} (u_{ik,t}^u)^m} \right), \\ 1 \leq i \leq c; \quad t = 1, 2, \dots, T.$$

Repeat until converge

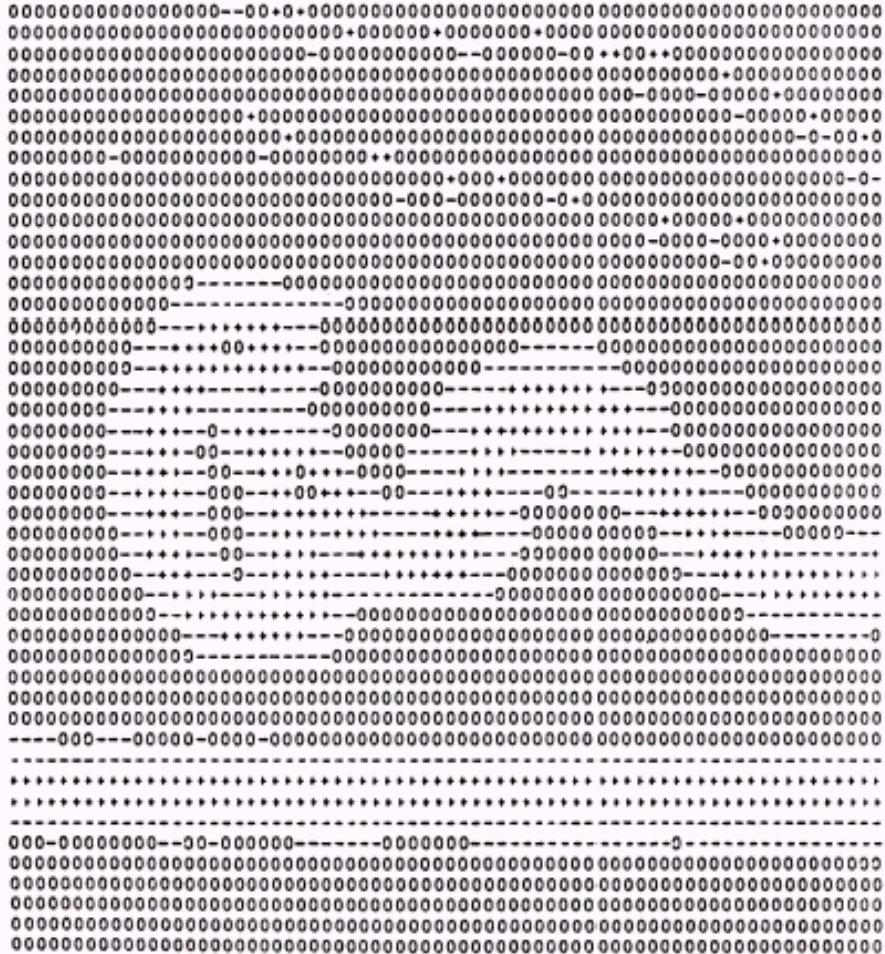
# Boundary Characteristic for Histogram Improvement and Local Thresholding

light object of dark background

$$s(x, y) = \begin{cases} 0 & \text{if } \nabla f < T \\ + & \text{if } \nabla f \geq T \text{ and } \nabla^2 f \geq 0 \\ - & \text{if } \nabla f \geq T \text{ and } \nabla^2 f < 0 \end{cases}$$

- local processing due to using gradient of each small area
- (...)(-,+)(0 or +)(+,-)(...)
- all pixels that are not on an edge are labeled 0
- all pixels that are on the dark side of an edge are labeled +
- all pixels that are on the light side an edge are labeled -

# Example

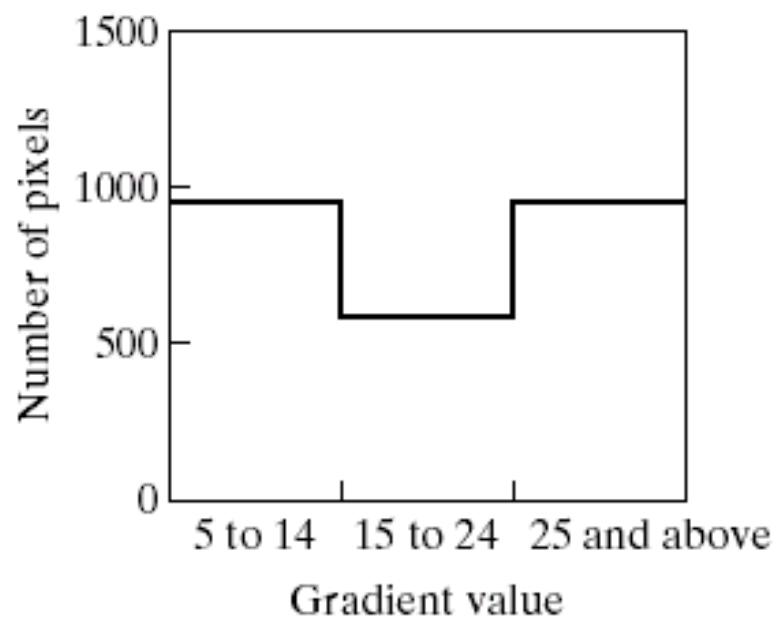
A binary-coded representation of a handwritten stroke. The image is composed of a grid of binary digits (0s and 1s) that form the outline of a handwritten character. The character appears to be a 'C' or a similar looped shape. The binary code uses a combination of 0s and 1s to represent the stroke's path through the grid.

**FIGURE 10.36**  
Image of a handwritten stroke coded by using Eq. (10.3-16).  
(Courtesy of IBM Corporation.)

# Histogram of Gradient

**FIGURE 10.38**

Histogram of pixels with gradients greater than 5. (Courtesy of IBM Corporation.)



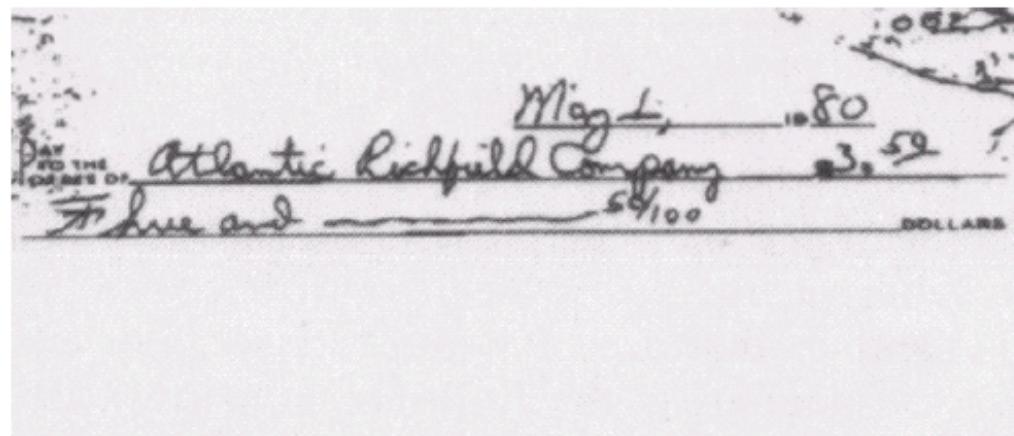
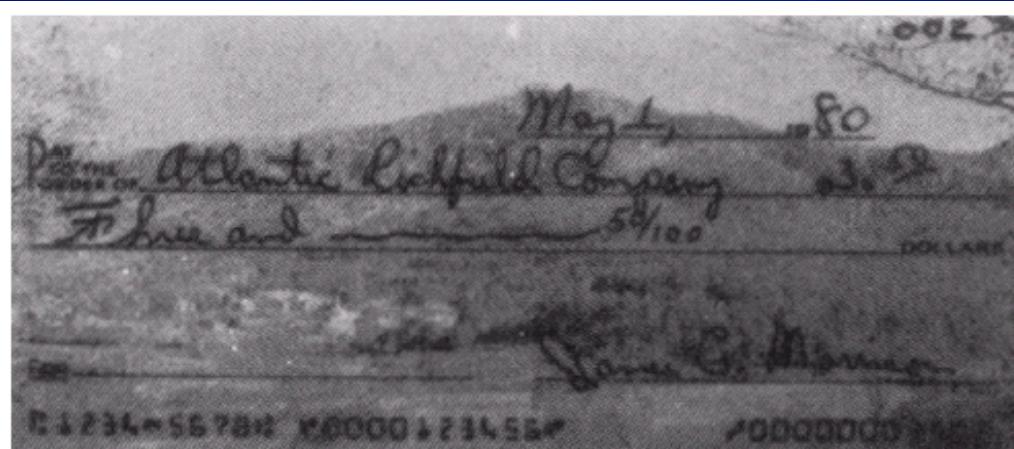
select T at the midpoint of the valley

# Result of applying T

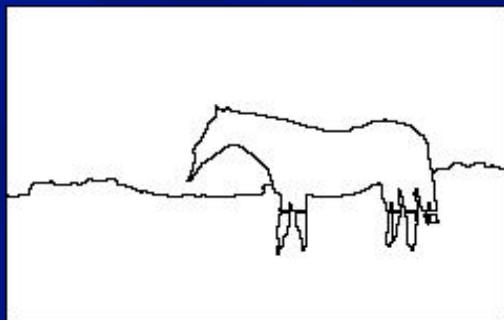
a  
b

**FIGURE 10.37**

(a) Original image. (b) Image segmented by local thresholding. (Courtesy of IBM Corporation.)



# General Approaches



- Contour-based
- Hybrid-approach

- Region-based
- Clustering-based

# Clustering-based methods

Info from image:

- luminance/color
- texture
- motion



Contextual Info:  
• spatial/temporal coherence



Sufficient information: most time, depending on applications

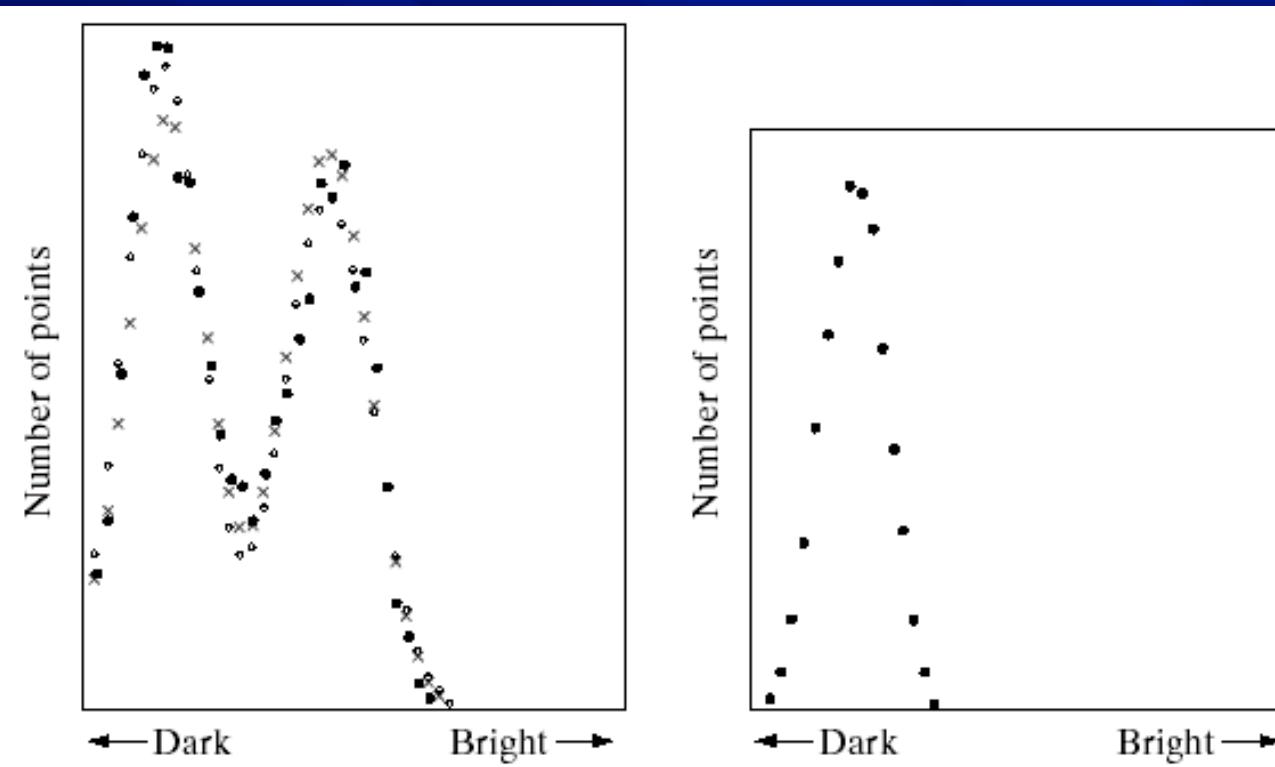
# Clustering based methods

- In principle, all data clustering algorithms can be used in clustering based image segmentation
  - Thresholding
  - K-means
  - Fuzzy C-means

# Basic Adaptive Thresholding

- Subdivide original image into small areas.
- Utilize a different threshold to segment each subimages.
- Since the threshold used for each pixel depends on the location of the pixel in terms of the subimages, this type of thresholding is ***adaptive***.

# Histogram of the example



a b

**FIGURE 10.34**  
Histograms (black dots) of (a) region *A*, and (b) region *B* in Fig. 10.33(b).  
(Chow and Kaneko.)