Assured Machine Learning

Xiaozhe Gu, Arvind Easwaran

School of Computer Science and Engineering Energy Research Institute (ERI@N)

Nanyang Technological University, Singapore

June, 2018



Machine Learning Applications in Safety-Critical Environments

 Decision making in life-threatening conditions (Machine-Learning (ML) based medical decision support systems)

Figure: ML Based Brain Disease Diagnosis¹





Machine Learning Applications in Safety-Critical Environments

- Decision making in life-threatening conditions (Machine-Learning (ML) based medical decision support systems)
- Robots (surgical robots, industrial robots, etc.)

Figure: ML Based Brain Disease Diagnosis¹



Figure: Surgical Robots²





Machine Learning Applications in Safety-Critical Environments

- Decision making in life-threatening conditions (Machine-Learning (ML) based medical decision support systems)
- Robots (surgical robots, industrial robots, etc.)
- Autonomous vehicles

Figure: Autonomous Shuttle



Figure: ML Based Brain Disease Diagnosis¹



Figure: Surgical Robots²





Non-transparency: It is difficult to assess the reliability if the reasoning behind these models cannot be understood



- Non-transparency: It is difficult to assess the reliability if the reasoning behind these models cannot be understood
- Error Rate: The estimate of error rate of a ML model with respect to the test data is not reliable



- Non-transparency: It is difficult to assess the reliability if the reasoning behind these models cannot be understood
- Error Rate: The estimate of error rate of a ML model with respect to the test data is not reliable
- Instability: A small change in the training process may produce a different result, and hence it is difficult to debug models or reuse parts of previous safety assessments.

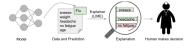


- Non-transparency: It is difficult to assess the reliability if the reasoning behind these models cannot be understood
- Error Rate: The estimate of error rate of a ML model with respect to the test data is not reliable
- Instability: A small change in the training process may produce a different result, and hence it is difficult to debug models or reuse parts of previous safety assessments.
- Difficulty in verification: Formal verification of ML components is a difficult, and somewhat ill-posed, problem due to the complexity of the underlying ML algorithms and large feature spaces



Potential Strategies for Safety Assurance

 Interpretability & Transparency: Improve the interpretability & transparency of the ML component Figure: Explanations improve trust in prediction [3]





Potential Strategies for Safety Assurance

- Interpretability & Transparency: Improve the interpretability & transparency of the ML component
- Fail-Safe: The model reports that it cannot reliably give a prediction and does not attempt to do so, thereby failing safely

Figure: Explanations improve trust in prediction [3]



Technique used in ML when predictions cannot be given confidently is the reject option [4]

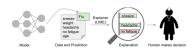
$$\hat{y}(x) = \begin{cases} -1 & \text{if } \phi(x) \le t \\ \text{reject, if } \phi(x) \in (-t, t) \\ 1 & \text{if } \phi(x) \ge t \end{cases}$$



Potential Strategies for Safety Assurance

- Interpretability & Transparency: Improve the interpretability & transparency of the ML component
- Fail-Safe: The model reports that it cannot reliably give a prediction and does not attempt to do so, thereby failing safely
- Abstract: Abstract the ML component and input feature space, and identify scenarios that could cause violation of safety specifications

Figure: Explanations improve trust in prediction [3]



Technique used in ML when predictions cannot be given confidently is the reject option [4]

$$\hat{y}(x) = \begin{cases} -1 & \text{if } \phi(x) \le t \\ \text{reject, if } \phi(x) \in (-t, t) \\ 1 & \text{if } \phi(x) \ge t \end{cases}$$





https://www.wired.com/2015/03/google-robot-surgery/

Ribeiro, Marco Tulio, Sameer Singh, and Carlos Guestrin. "Why should i trust you?: Explaining the predictions of any classifier." Proceedings of the 22nd ACM SIGKDD International Conference on Knowledge Discovery and Data Mining. ACM, 2016.

Bartlett P L, Wegkamp M H. Classification with a reject option using a hinge loss[J]. Journal of Machine Learning Research, 2008, 9(Aug): 1823-1840.

