

1. For  $i \in \{1, 2, 3\}$   $h_i: \{0, 1, 2, 3, 4\} \rightarrow \{0, 1, 2\}$  such that

$$h_i(x) = ix \pmod{3} \quad \text{for all } x \in \{0, 1, 2, 3, 4\}$$

	0	1	2	3	4
$h_1$	0	1	2	0	1
$h_2$	0	2	1	0	2
$h_3$	0	0	0	0	0

$$M=3$$

For elements 0 and 3, all 3 hash functions cause 0 and 3 to collide.

Since  $\frac{3}{3} > \frac{1}{3}$ ,  $H$  is not universal.

Elements 1 and 4 collide for all 3 hash functions as well.

2. a) Let  $X$  be the random variable representing the number of empty bins and  $X_i$  be the indicator random variable such that  $X_i$  is 1 if the  $i$ th bin is empty and 0 otherwise

$$X = X_1 + X_2 + \dots + X_n = \sum_{i=1}^n X_i$$

$$E[X_i] = 1 \cdot P(X_i=1) + 0 \cdot P(X_i=0) = P(\text{bin } i \text{ is empty after } n \text{ balls}) = \left(1 - \frac{1}{n}\right)^n$$

Expected fraction of empty bins:

$$E\left[\frac{X}{n}\right] = \frac{1}{n} E[X] = \frac{1}{n} E\left[\sum_{i=1}^n X_i\right]$$

$$= \frac{1}{n} \sum_{i=1}^n E[X_i]$$

$$= \frac{1}{n} \cdot n \left(1 - \frac{1}{n}\right)^n$$

$$= \left(1 - \frac{1}{n}\right)^n \quad \text{or} \quad \left(\frac{n-1}{n}\right)^n$$

$$\text{As } n \rightarrow \infty, \lim_{n \rightarrow \infty} \left(1 - \frac{1}{n}\right)^n = \frac{1}{e}$$

b) Let  $X$  be the random variable representing the number of balls that go in the bin with the same label

Let  $X_i$  be the indicator random variable such that  $X_i = 1$  if the  $i$ th ball goes into the  $i$ th bin and 0 otherwise

$$X = X_1 + X_2 + \dots + X_n = \sum_{i=1}^n X_i$$

$$E[X_i] = 1 \cdot P(X_i=1) + 0 \cdot P(X_i=0) = P(\text{ith ball goes into ith bin}) = \frac{1}{n}$$

$$\begin{aligned} E[X] &= E\left[\sum_{i=1}^n X_i\right] = \sum_{i=1}^n E[X_i] \\ &= \sum_{i=1}^n \frac{1}{n} \\ &= n \cdot \frac{1}{n} \\ &= \underline{\underline{1}} \end{aligned}$$

3. Let  $e_i$  be the  $i$ th smallest element in the array

Expected #pairs of integers such that left < right

$$= \sum_{\pi} \frac{1}{n!} Q(\pi)$$

where  $Q(\pi)$  is the # of pairs such that left < right for a particular permutation  $\pi$

$$= \frac{1}{n!} \left( \sum_{\pi} Q(\pi) \right)$$

#pairs such that left < right over all permutations  $n!$

For each pair where  $i < j$  and  $e_i$  is to the left of  $e_j$  in the array at fixed positions, there are  $(n-2)!$  such pairs across all  $n!$  permutations

e.g.  $e_1, e_2, \boxed{e_3 \dots e_n}$  —  $(n-2)!$  permutations

There are  $\binom{n}{2}$  choices of  $i, j$  such that  $i < j$

and  $\binom{n}{2}$  choices of positions to place  $e_i$  and  $e_j$  such that  $e_i$  is left of  $e_j$

$$\begin{aligned} \therefore \text{Expectation of \#pairs such that left < right} &= \frac{\binom{n}{2}^2 \cdot (n-2)!}{n!} = \frac{\binom{n}{2} \cdot \binom{n}{2}}{n \cdot (n-1)} = \frac{\frac{(n-1)(n)}{2} \cdot \frac{(n-1)(n)}{2}}{n \cdot (n-1)} \\ &= \underline{\underline{\frac{(n-1)(n)}{4}}} \end{aligned}$$