

# W04: Correctness and Divide & Conquer

CS3230 AY21/22 Sem 2

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the relevant sections!

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Show:

- **Initialisation:** Invariant is true before the first iteration of the loop
- **Maintenance:** If invariant true before an iteration, it remains true before the next iteration
- **Termination:** When the algorithm terminates, the invariant gives us a useful property for showing correctness

# Question 1: Dijkstra's Correctness



# Dijkstra's Algorithm

$G = (V, E)$  is an undirected graph. With  $s$  as the start node. **All edges in  $G$  are positive weights.**

```
def Dijkstra(G, s):
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1. For all node u except s, d(u) = inf
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2. d(s) = 0; R = {};
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3. while R != V:
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4.   pick u not in R, with the smallest d(u)
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5.   R = R union {u}
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- Start with an empty 'distance to everyone' -- I have no idea what's the distance to everyone - except myself!
- Use  $d(u)$  as an *estimate* -- "Right now, I can get to  $d(u)$  with this much cost. Can it be better?"
- Repeat:
  - Consider the vertex with *minimum* estimate
  - Add it to our  $R$  (results, what we are sure of the distance)
  - Relax all outgoing edges -- maybe we can go to other nodes faster!

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## Question 1

### Walkthrough

```
def Dijkstra(G, s):
```

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```
2.  $d(s) = 0$ ;  $R = \{\}$ ;
```

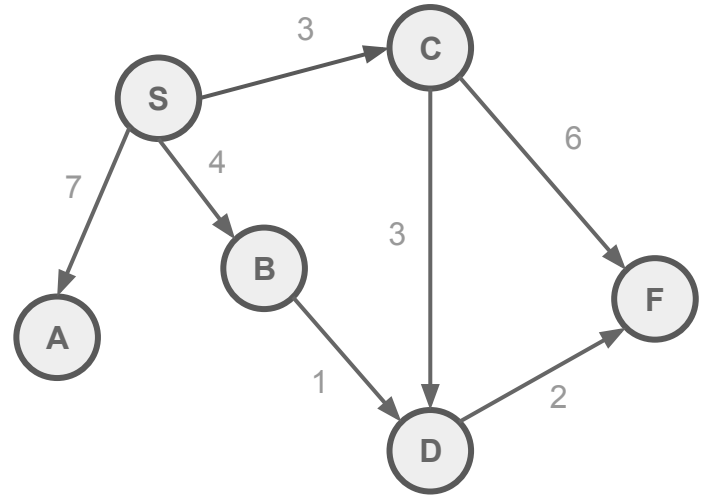
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## Question 1

Initialisation of  $d(u)$

```
def Dijkstra(G, s):
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2.  $d(s) = 0$ ;  $R = \{\}$ ;
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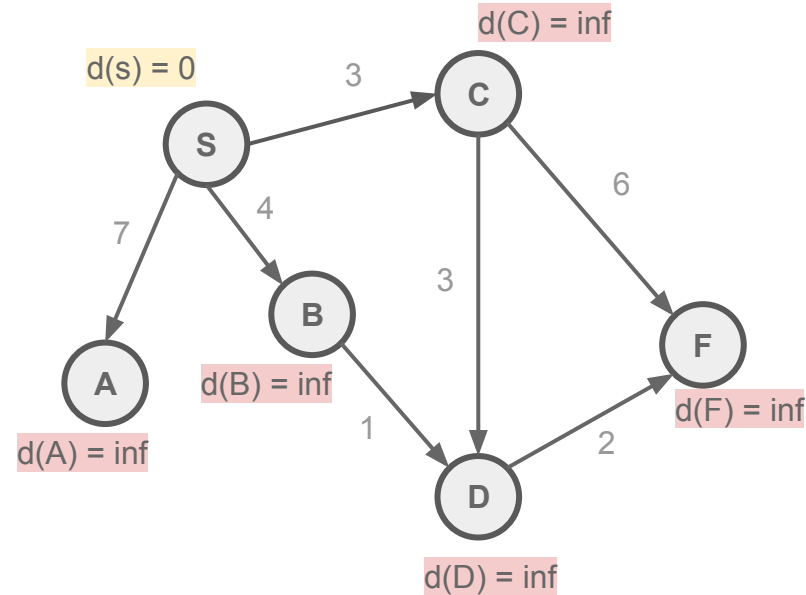
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## Question 1

From this slide onwards,  $R$  = set of red nodes

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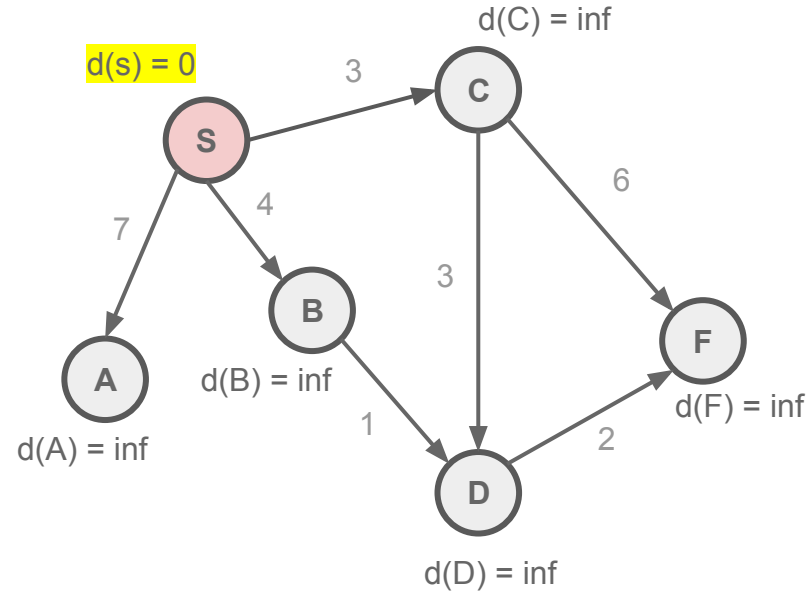
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## Question 1

Relax all the neighbours!

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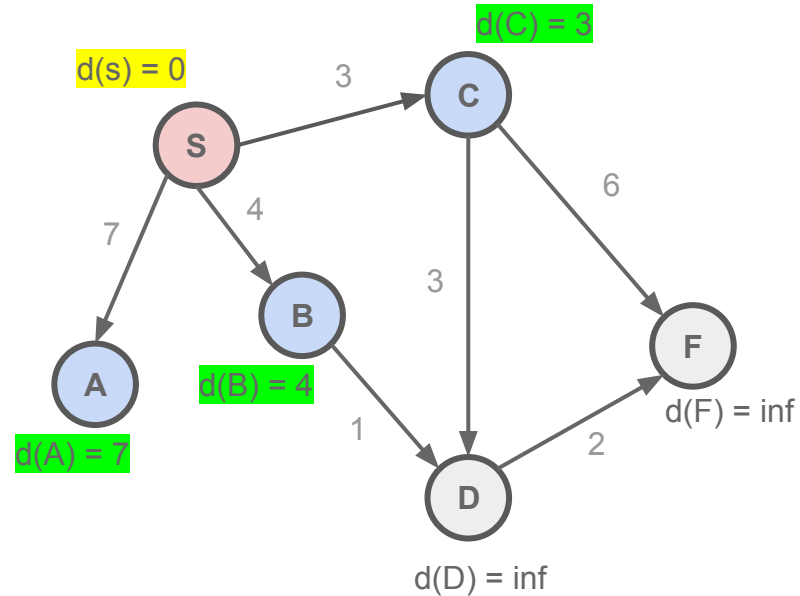
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```

Existing estimate is good

Can do better by going through node u



## Question 1

Repeat the process!

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def Dijkstra(G, s):
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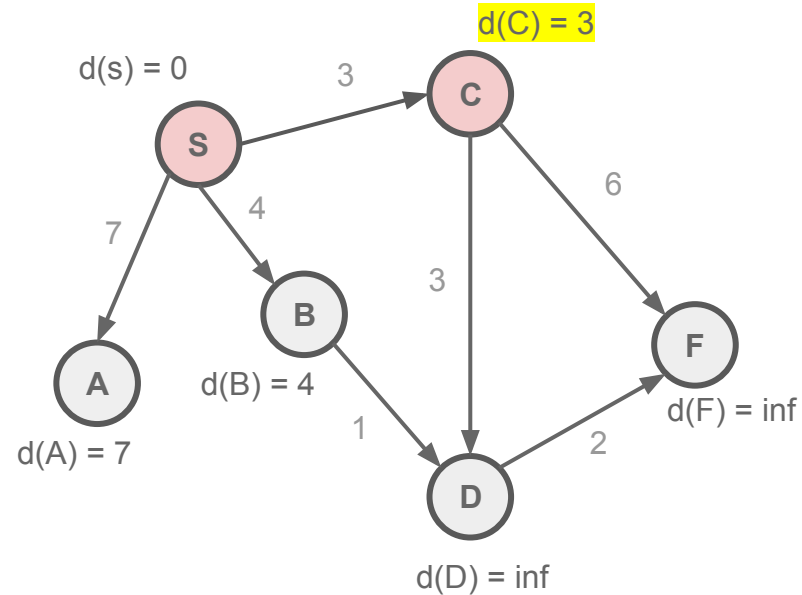
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### Question 1

Relax neighbours of C - now note that we \*think\* we can get to D and F with cost 6 and 9 respectively

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```
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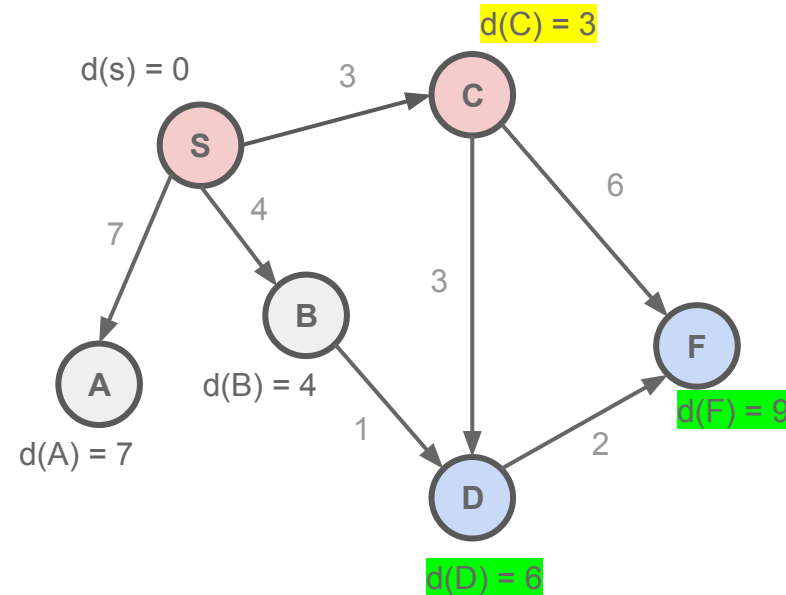
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5.    $R = R \cup \{u\}$ 
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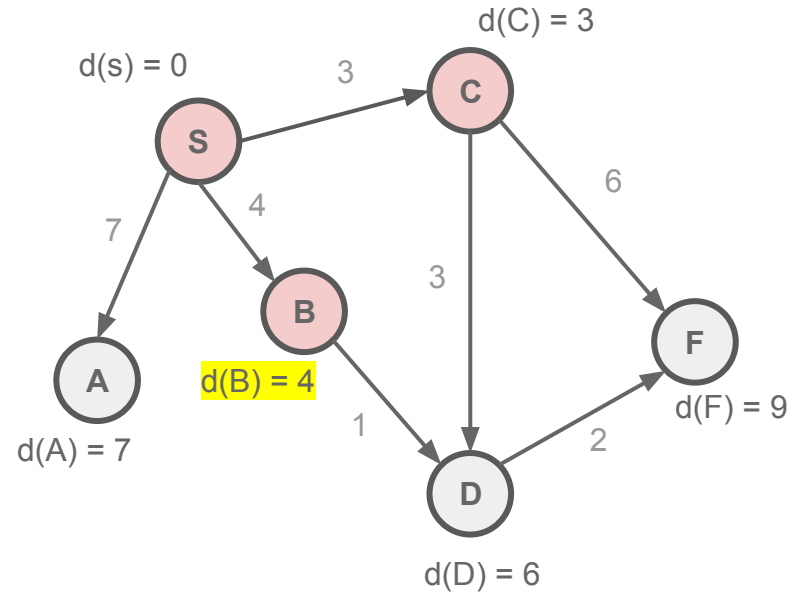
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## Question 1

IMPORTANT: Now we have a **better** estimate to reach node D. Instead of going through C (prev estimate)

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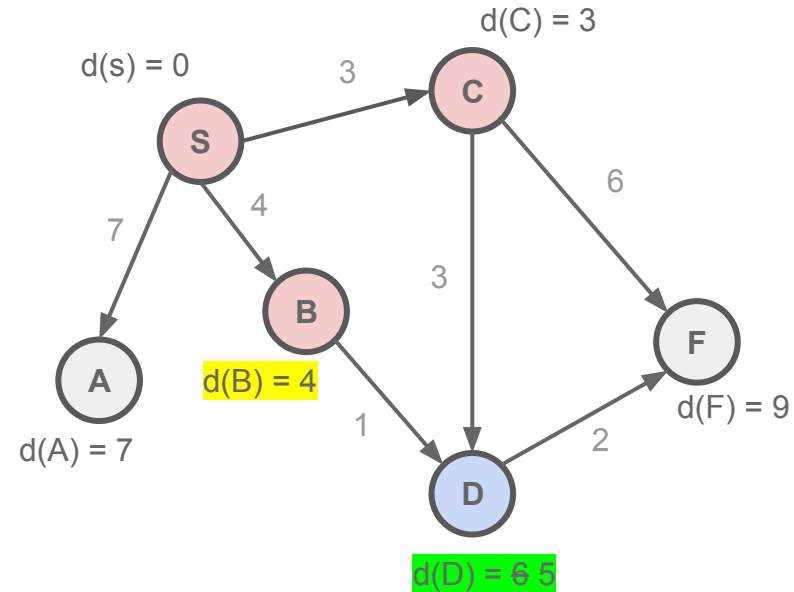
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# Question 1



**Dijkstra(G, s)**

1. For all  $u \in V \setminus \{s\}$ ,  $d(u) = \infty$ ;
2.  $d(s) = 0$ ;  $R = \{s\}$ ;
3. While  $R \neq V$
4.   pick  $u \notin R$  with the smallest  $d(u)$
5.    $R = R \cup \{u\}$
6.   for all neighbor  $v$  of  $u$ ,
7.      $d(v) = \min\{d(v), d(u) + w(u, v)\}$

$G=(V,E)$  is an undirected graph.

$s$  is the start node

Assume all edges in  $G$  are of positive weights.

What is the invariant for the while loop?

Can you show that this algorithm correctly compute the shortest distance from  $s$  to all nodes?



# Dijkstra's Correctness Proof (Intuition)

What happens when the algorithm terminates?

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5.    $R = R \text{ union } \{u\}$
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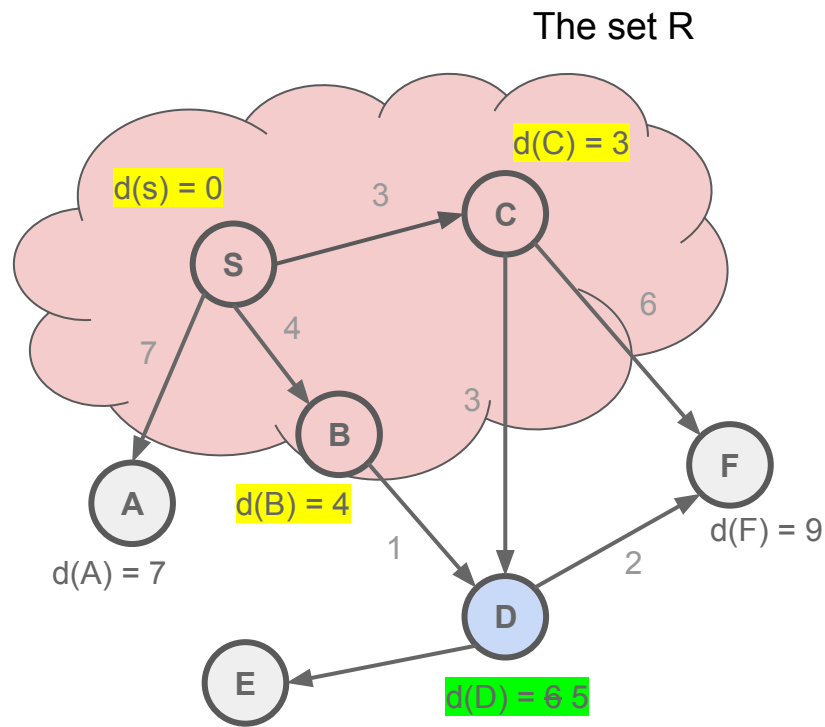
Invariant idea:

Relate the nodes already in  $R$  with “already have the correct shortest path cost”

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# Dijkstra's Correctness Proof (Intuition)

Notice that all the nodes in set R would already have their true shortest path cost set!

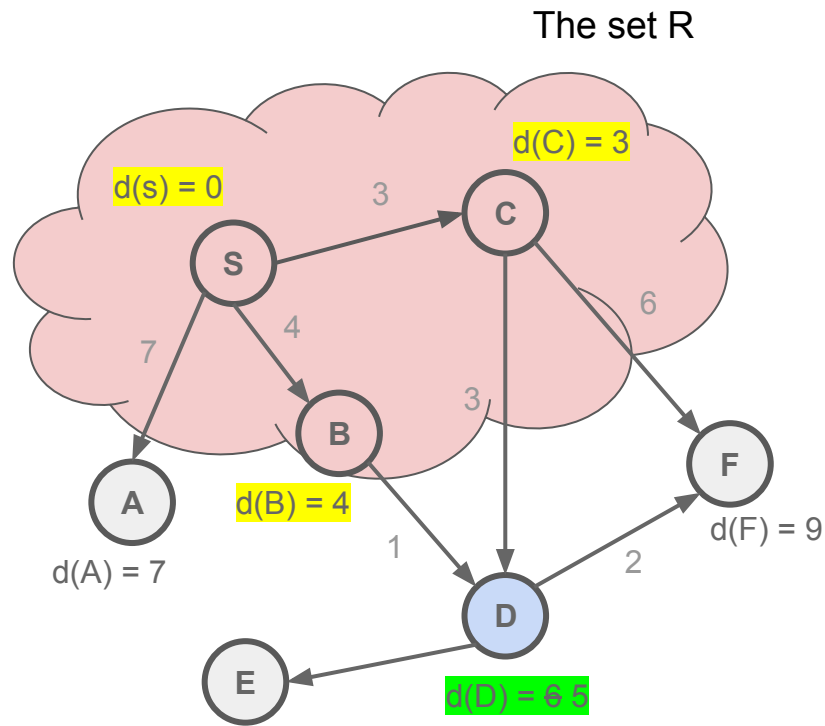


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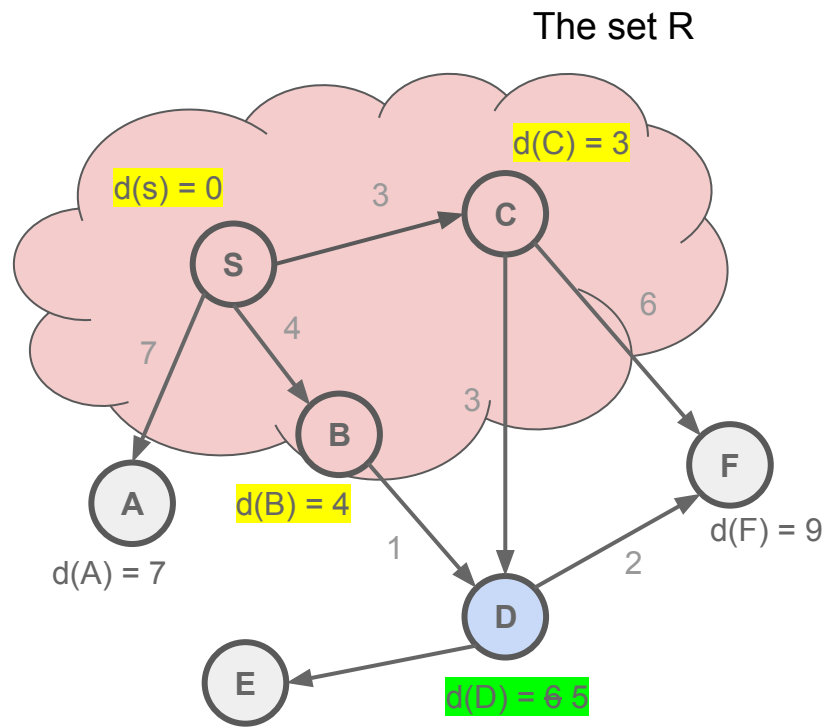
Furthermore, recall that in the algorithm, we **simply add** the next node with the minimum estimate

```
3. while  $R \neq V$ :  
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5.    $R = R \cup \{u\}$ 
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# Dijkstra's Correctness Proof (Formalisation)

Let  $d(a \rightarrow b)$  be the (true) shortest distance from  $a$  to  $b$  in  $G$



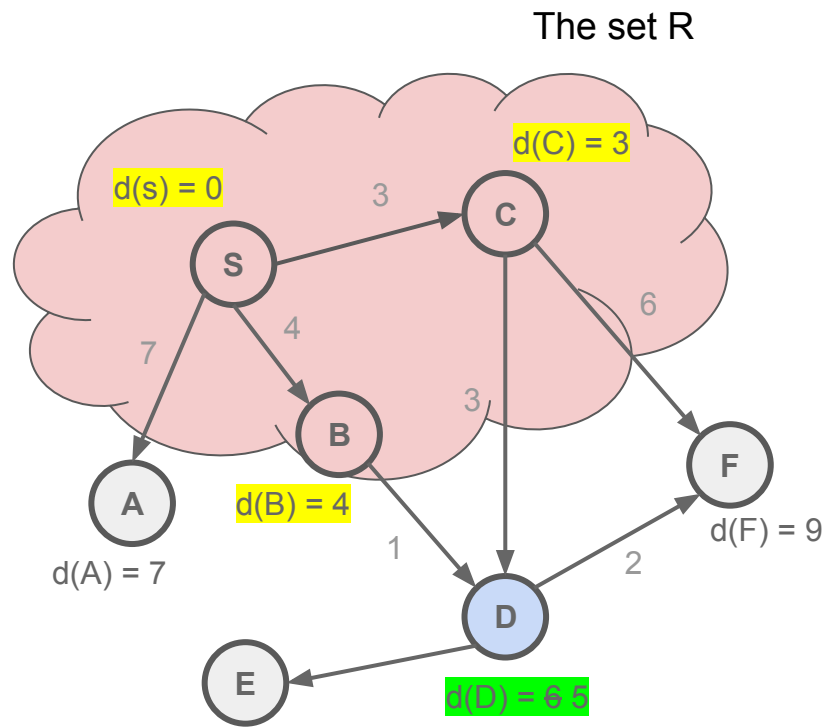


# Dijkstra's Correctness Proof (Formalisation)

Let  $\delta(a \rightarrow b)$  be the (true) shortest distance from  $a$  to  $b$  in  $G$

## Invariant 1 (INV1):

For all node  $u$  in  $R$ ,  $d(u) = \delta(s \rightarrow u)$  [ $d(u)$  is the shortest distance from  $s$  to  $u$  already]



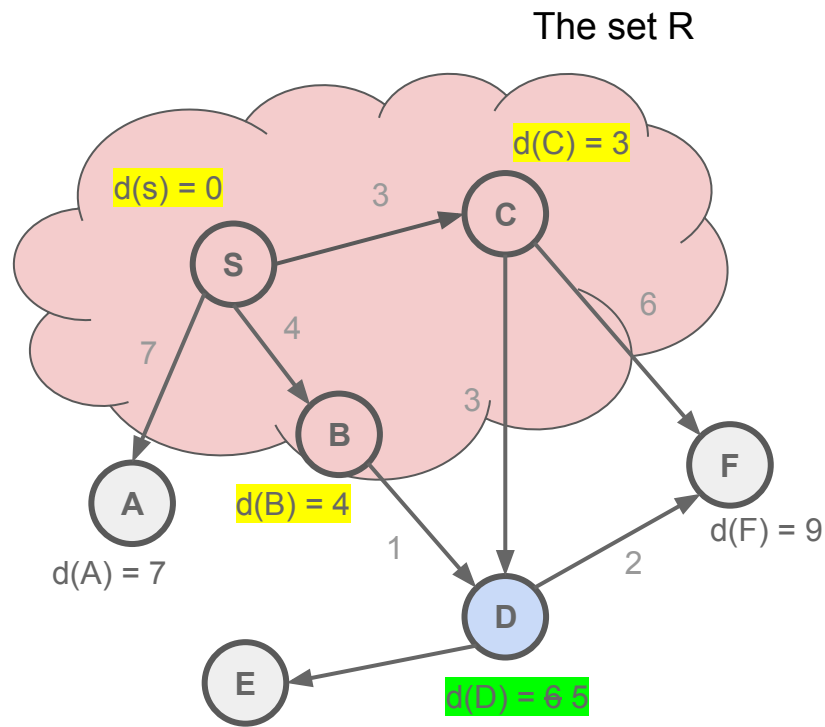
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Let  $\Delta(X, u)$  be  $\min\{\delta(s \rightarrow v) + w(v, u) \text{ for } v \text{ in } X\}$



# Dijkstra's Correctness Proof (Formalisation)

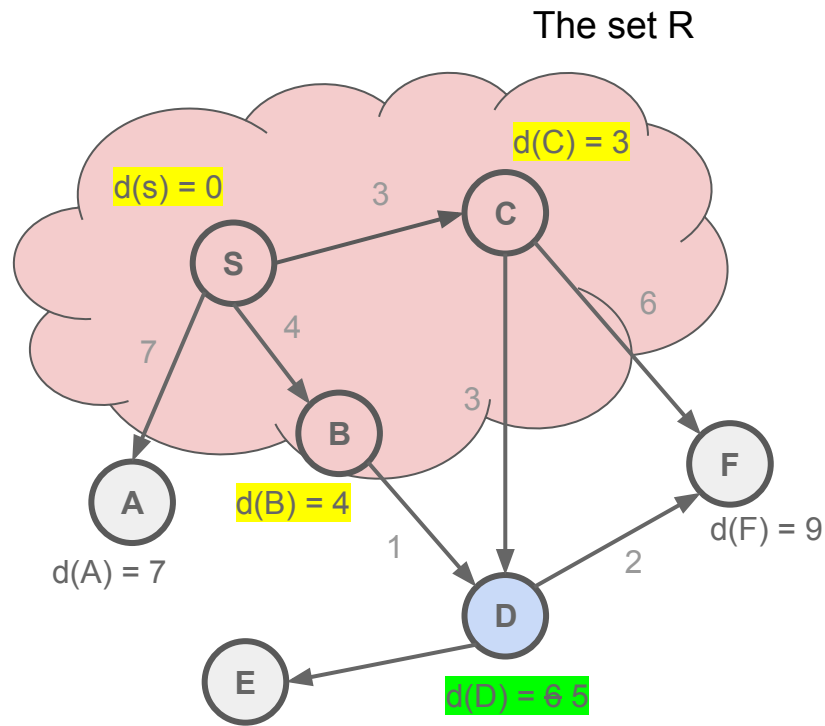
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Read it as: “from all the nodes in  $X$  to me, take the **best estimate**”. e.g. node  $D$  on the right can either have a distance 6 (through  $C$ ) or 5 (through  $B$ )



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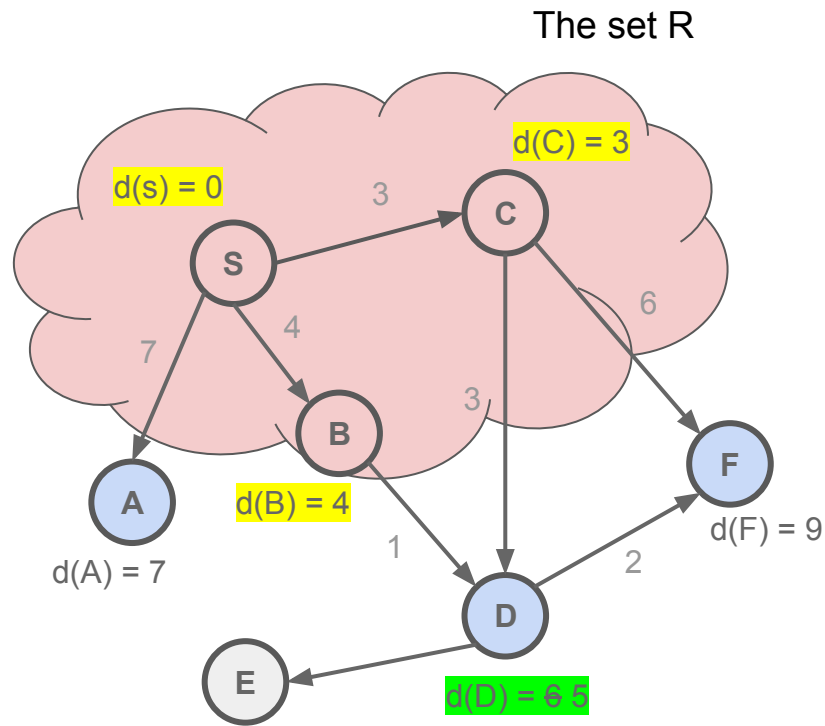
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Read it as: “from all the nodes in  $X$  to me, take the **best estimate**”. e.g. node  $D$  on the right can either have a distance 6 (through  $C$ ) or 5 (through  $B$ )

## Invariant 2 (INV2):

For every neighbour  $v$  of all nodes in  $R$ ,  
 $d(v) = \Delta(R, v)$



e.g.  $E$  is not a neighbour  
of node in  $R$

# Dijkstra Initialisation

Before the first iteration of the while loop, we set

$R = \{s\}$ .

INV1 and INV2 are trivially true (there is no node in  $R$ )

Let  $\delta(a \rightarrow b)$  be the (true) shortest distance from  $a$  to  $b$  in  $G$

**Invariant 1 (INV1):**

For all node  $u$  in  $R$ ,  $d(u) = \delta(s \rightarrow u)$  [ $d(u)$  is the shortest distance from  $s$  to  $u$  already]

Let  $\Delta(X, u)$  be  $\min\{\delta(s \rightarrow v) + w(v, u) \text{ for } v \text{ in } X\}$

**Invariant 2 (INV2):**

For every neighbour  $v$  of all nodes in  $R$ ,  $d(v) = \Delta(R, v)$

1. For all node  $u$  except  $s$ ,  $d(u) = \inf$
2.  $d(s) = 0$ ;  $R = \{s\}$ ;
3. while  $R \neq V$ :

# Dijkstra Maintenance (INV1)

In steps 4 and 5, we add the node  $u$  with **smallest  $d(u)$**  into  $R$

To maintain INV1, we need to show that this node  $u$  that we pick has  $d(u) = \delta(s \rightarrow u)$  [Recall what it means to be inside  $R$ ]

Let  $\delta(a \rightarrow b)$  be the (true) shortest distance from  $a$  to  $b$  in  $G$

**Invariant 1 (INV1):**

For all node  $u$  in  $R$ ,  $d(u) = \delta(s \rightarrow u)$  [ $d(u)$  is the shortest distance from  $s$  to  $u$  already]

Let  $\Delta(X, u)$  be  $\min\{\delta(s \rightarrow v) + w(v, u) \text{ for } v \text{ in } X\}$

**Invariant 2 (INV2):**

For every neighbour  $v$  of all nodes in  $R$ ,  $d(v) = \Delta(R, v)$

4. pick  $u$  not in  $R$ , **with the smallest  $d(u)$**

5.  $R = R \text{ union } \{u\}$

# Dijkstra Maintenance (INV1)

In steps 4 and 5, we add the node  $u$  with **smallest  $d(u)$**  into  $R$

To maintain INV1, we need to show that this node  $u$  that we pick has  $d(u) = \delta(s \rightarrow u)$  [Recall what it means to be inside  $R$ ]

Proof by Contradiction! Assume that the node  $u$  **does not have**  $d(u) = \delta(s \rightarrow u)$

Let  $\delta(a \rightarrow b)$  be the (true) shortest distance from  $a$  to  $b$  in  $G$

**Invariant 1 (INV1):**

For all node  $u$  in  $R$ ,  $d(u) = \delta(s \rightarrow u)$  [ $d(u)$  is the shortest distance from  $s$  to  $u$  already]

Let  $\Delta(X, u)$  be  $\min\{\delta(s \rightarrow v) + w(v, u) \text{ for } v \text{ in } X\}$

**Invariant 2 (INV2):**

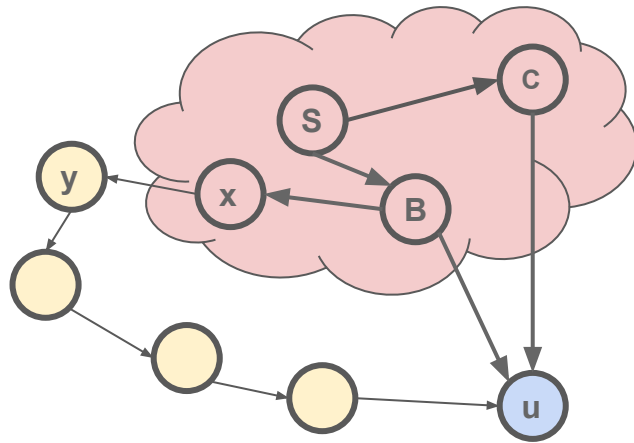
For every neighbour  $v$  of all nodes in  $R$ ,  $d(v) = \Delta(R, v)$

4. pick  $u$  not in  $R$ , **with the smallest  $d(u)$**

5.  $R = R \text{ union } \{u\}$

Proof by Contradiction! Assume that the node  $u$  **does not have**  $d(u) = \delta$  ( $s \rightarrow u$ ). There is **some other path** from  $S$  to reach  $u$  that is shorter (via edge  $x \rightarrow y$ ). Call this path  $Q$ .

The set  $R$



Let  $\delta(a \rightarrow b)$  be the (true) shortest distance from  $a$  to  $b$  in  $G$

Let  $\Delta(X, u)$  be  $\min\{\delta(s \rightarrow v) + w(v, u) \text{ for } v \text{ in } X\}$

**Invariant 2 (INV2):**

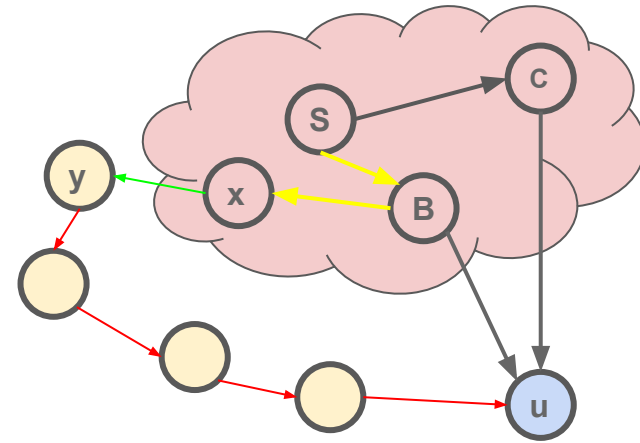
For every neighbour  $v$  of all nodes in  $R$ ,  
 $d(v) = \Delta(R, v)$



Proof by Contradiction! Assume that the node  $u$  **does not have**  $d(u) = \delta(s \rightarrow u)$ . There is **some other path** from  $S$  to reach  $u$  that is shorter (via edge  $x \rightarrow y$ ). Call this path  $Q$ .

Weight of  $Q$ :  $\delta(s \rightarrow x) + w(x, y) + \delta(y \rightarrow u) < d(u)$  [by assumption]

The set  $R$



Let  $\delta(a \rightarrow b)$  be the (true) shortest distance from  $a$  to  $b$  in  $G$

Let  $\Delta(X, u)$  be  $\min\{\delta(s \rightarrow v) + w(v, u) \text{ for } v \text{ in } X\}$

**Invariant 2 (INV2):**

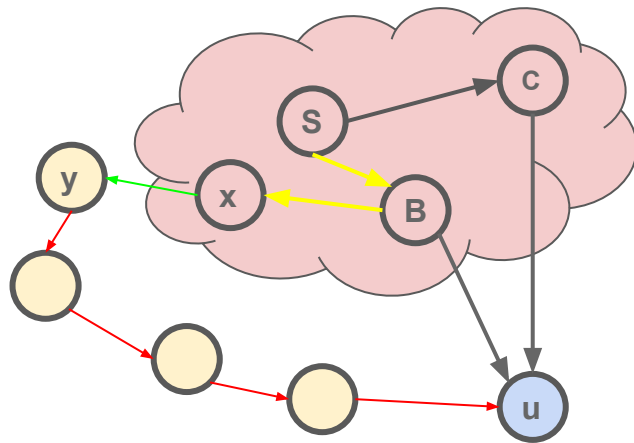
For every neighbour  $v$  of all nodes in  $R$ ,  
 $d(v) = \Delta(R, v)$

Proof by Contradiction! Assume that the node  $u$  **does not have**  $d(u) = \delta(s \rightarrow u)$ . There is **some other path** from  $S$  to reach  $u$  that is shorter (via edge  $x \rightarrow y$ ). Call this path  $Q$ .

Weight of  $Q$ :  $\delta(s \rightarrow x) + w(x, y) + \delta(y \rightarrow u) < d(u)$  [by assumption]

$d(y) = \Delta(R, y) = \min\{ \delta(s \rightarrow r) + w(r, y) \text{ for } r \text{ in } R \}$  [by invariant 2]

The set  $R$



Let  $\delta(a \rightarrow b)$  be the (true) shortest distance from  $a$  to  $b$  in  $G$

Let  $\Delta(X, u)$  be  $\min\{ \delta(s \rightarrow v) + w(v, u) \text{ for } v \text{ in } X \}$

**Invariant 2 (INV2):**

For every neighbour  $v$  of all nodes in  $R$ ,  
 $d(v) = \Delta(R, v)$

Proof by Contradiction! Assume that the node  $u$  **does not have**  $d(u) = \delta(s \rightarrow u)$ . There is **some other path** from  $S$  to reach  $u$  that is shorter (via edge  $x \rightarrow y$ ). Call this path  $Q$ .

Weight of  $Q$ :  $\delta(s \rightarrow x) + w(x, y) + \delta(y \rightarrow u) < d(u)$  [by assumption]

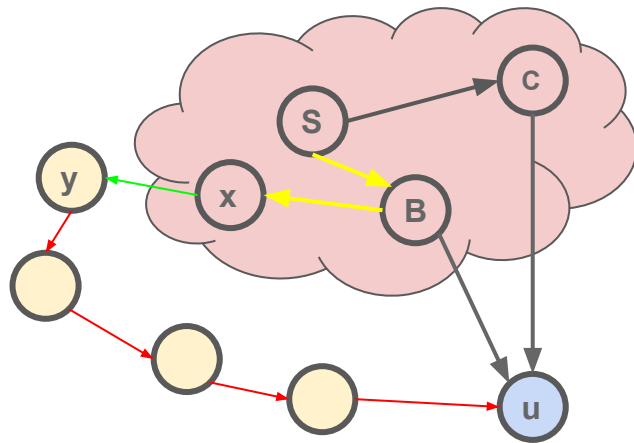
$d(y) = \Delta(R, y) = \min\{\delta(s \rightarrow r) + w(r, y) \text{ for } r \text{ in } R\}$  [by invariant 2]

$d(y) \leq \delta(s \rightarrow x) + w(x, y)$  [ $d(y)$  is the min, so anything else must be  $\leq$ ]

e.g.  $d(y) = \min\{100, 3, 50, 42\}$

so  $d(y) \leq 100$  or  $d(y) \leq 3$  or  $d(y) \leq 50$  or  $d(y) \leq 42$

The set  $R$



Let  $\delta(a \rightarrow b)$  be the (true) shortest distance from  $a$  to  $b$  in  $G$

Let  $\Delta(X, u)$  be  $\min\{\delta(s \rightarrow v) + w(v, u) \text{ for } v \text{ in } X\}$

**Invariant 2 (INV2):**

For every neighbour  $v$  of all nodes in  $R$ ,  
 $d(v) = \Delta(R, v)$

Proof by Contradiction! Assume that the node  $u$  **does not have**  $d(u) = \delta(s \rightarrow u)$ . There is **some other path** from  $S$  to reach  $u$  that is shorter (via edge  $x \rightarrow y$ ). Call this path  $Q$ .

Weight of  $Q$ :  $\delta(s \rightarrow x) + w(x, y) + \delta(y \rightarrow u) < d(u)$  [by assumption]

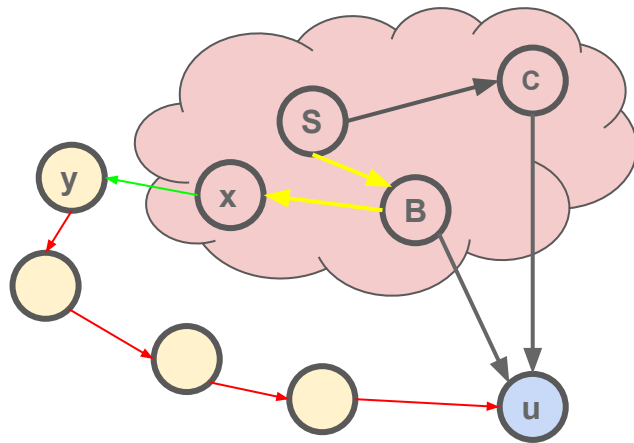
$d(y) = \Delta(R, y) = \min\{ \delta(s \rightarrow r) + w(r, y) \text{ for } r \text{ in } R \}$  [by invariant 2]

$d(y) \leq \delta(s \rightarrow x) + w(x, y)$  [ $d(y)$  is the min, so anything else must be  $\leq$ ]

$\leq \delta(s \rightarrow x) + w(x, y) + \delta(y \rightarrow u)$  [edges are positive. Just add them in]

It's like saying  $100 \leq 100 + 3$

The set  $R$



Let  $\delta(a \rightarrow b)$  be the (true) shortest distance from  $a$  to  $b$  in  $G$

Let  $\Delta(X, u)$  be  $\min\{ \delta(s \rightarrow v) + w(v, u) \text{ for } v \text{ in } X \}$

**Invariant 2 (INV2):**

For every neighbour  $v$  of all nodes in  $R$ ,  
 $d(v) = \Delta(R, v)$

Proof by Contradiction! Assume that the node  $u$  **does not have**  $d(u) = \delta(s \rightarrow u)$ . There is **some other path** from  $S$  to reach  $u$  that is shorter (via edge  $x \rightarrow y$ ). Call this path  $Q$ .

Weight of  $Q$ :  $\delta(s \rightarrow x) + w(x, y) + \delta(y \rightarrow u) < d(u)$  [by assumption]

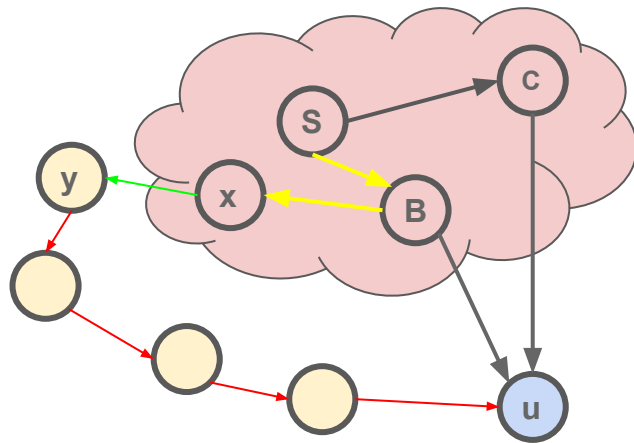
$d(y) = \Delta(R, y) = \min\{ \delta(s \rightarrow r) + w(r, y) \text{ for } r \text{ in } R \}$  [by invariant 2]

$d(y) \leq \delta(s \rightarrow x) + w(x, y)$  [ $d(y)$  is the min, so anything else must be  $\leq$ ]

$\leq \delta(s \rightarrow x) + w(x, y) + \delta(y \rightarrow u)$  [edges are positive. Just add them in]

$< d(u)$

The set  $R$



Let  $\delta(a \rightarrow b)$  be the (true) shortest distance from  $a$  to  $b$  in  $G$

Let  $\Delta(X, u)$  be  $\min\{ \delta(s \rightarrow v) + w(v, u) \text{ for } v \text{ in } X \}$

**Invariant 2 (INV2):**

For every neighbour  $v$  of all nodes in  $R$ ,  
 $d(v) = \Delta(R, v)$

Proof by Contradiction! Assume that the node  $u$  **does not have**  $d(u) = \delta(s \rightarrow u)$ . There is **some other path** from  $S$  to reach  $u$  that is shorter (via edge  $x \rightarrow y$ ). Call this path  $Q$ .

Weight of  $Q$ :  $\delta(s \rightarrow x) + w(x, y) + \delta(y \rightarrow u) < d(u)$  [by assumption]

$d(y) = \Delta(R, y) = \min\{ \delta(s \rightarrow r) + w(r, y) \text{ for } r \text{ in } R \}$  [by invariant 2]

$d(y) \leq \delta(s \rightarrow x) + w(x, y)$  [ $d(y)$  is the min, so anything else must be  $\leq$ ]

$\leq \delta(s \rightarrow x) + w(x, y) + \delta(y \rightarrow u)$  [edges are positive. Just add them in]

$< d(u)$

Our algorithm: **chooses smallest  $d(u)$**   $\rightarrow d(u) \leq d(y)$

Our math:  $d(y) < d(u)$

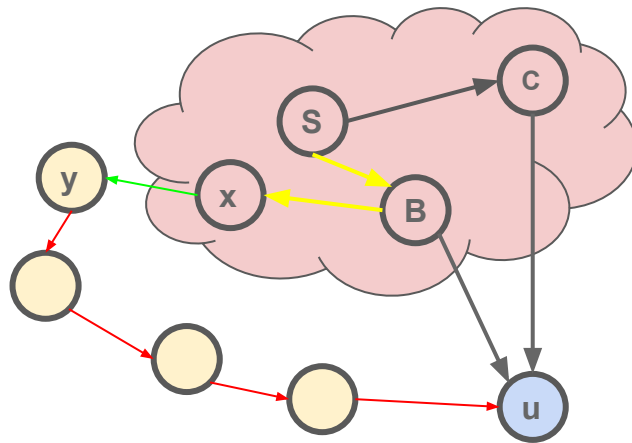
**Contradiction!**

Thus,  $d(u)$  is already the shortest distance ( $\delta(s \rightarrow u)$ )

4. pick  $u$  not in  $R$ , **with the smallest  $d(u)$**

5.  $R = R \text{ union } \{u\}$

The set  $R$



Let  $\delta(a \rightarrow b)$  be the (true) shortest distance from  $a$  to  $b$  in  $G$

Let  $\Delta(X, u)$  be  $\min\{ \delta(s \rightarrow v) + w(v, u) \text{ for } v \text{ in } X \}$

**Invariant 2 (INV2):**

For every neighbour  $v$  of all nodes in  $R$ ,  
 $d(v) = \Delta(R, v)$

# Maintenance - Aside

You can also derive the contradiction by starting off with the fact that  $d(y) \geq d(u)$  [by our algorithm].

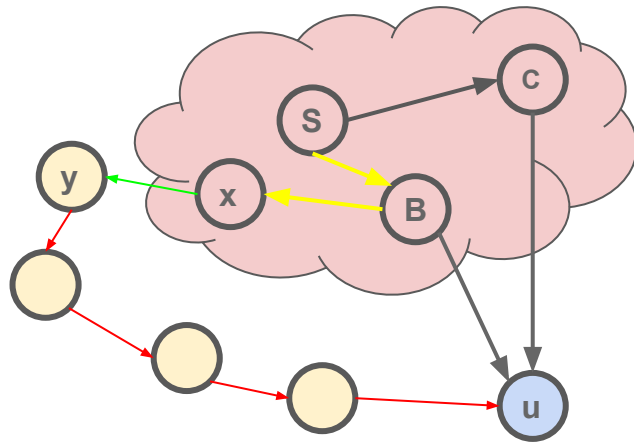
Then show that  $d(y) + \text{some edges} > d(u)$  [bcs the edge are positive]

This implies that the path via  $y$  is longer (contradicting that we assumed there was a shorter path via  $y$ )

4. pick  $u$  not in  $R$ , with the smallest  $d(u)$

5.  $R = R \cup \{u\}$

The set  $R$



Let  $\delta(a \rightarrow b)$  be the (true) shortest distance from  $a$  to  $b$  in  $G$

Let  $\Delta(X, u)$  be  $\min\{\delta(s \rightarrow v) + w(v, u) \text{ for } v \text{ in } X\}$

**Invariant 2 (INV2):**

For every neighbour  $v$  of all nodes in  $R$ ,  
 $d(v) = \Delta(R, v)$

# Dijkstra Maintenance (INV2)

To maintain INV2, observe that we **just added** node  $u$ . Now we need to make sure all the neighbours of  $u$  are correctly updated

Let  $\delta(a \rightarrow b)$  be the (true) shortest distance from  $a$  to  $b$  in  $G$

## Invariant 1 (INV1):

For all node  $u$  in  $R$ ,  $d(u) = \delta(s \rightarrow u)$  [ $d(u)$  is the shortest distance from  $s$  to  $u$  already]

Let  $\Delta(X, u)$  be  $\min\{\delta(s \rightarrow v) + w(v, u) \text{ for } v \text{ in } X\}$

## Invariant 2 (INV2):

For every neighbour  $v$  of all nodes in  $R$ ,  $d(v) = \Delta(R, v)$

```
6.   for v in neighbours(u):  
7.       d(v) = min(d(v), d(u) + w(u, v))
```



# Dijkstra Maintenance (INV2)

To maintain INV2, observe that we **just added** node  $u$ . Now we need to make sure all the neighbours of  $u$  are correctly updated

But hey! That's exactly our algorithm in steps 6-7

Let  $\delta(a \rightarrow b)$  be the (true) shortest distance from  $a$  to  $b$  in  $G$

**Invariant 1 (INV1):**

For all node  $u$  in  $R$ ,  $d(u) = \delta(s \rightarrow u)$  [ $d(u)$  is the shortest distance from  $s$  to  $u$  already]

Let  $\Delta(X, u)$  be  $\min\{\delta(s \rightarrow v) + w(v, u) \text{ for } v \text{ in } X\}$

**Invariant 2 (INV2):**

For every neighbour  $v$  of all nodes in  $R$ ,  $d(v) = \Delta(R, v)$

```
6.  for v in neighbours(u):  
7.      d(v) = min(d(v), d(u) + w(u, v))
```

# Dijkstra Termination (INV1)

After the last iteration of the loop, **R includes all vertices in G.**

Let  $\delta(a \rightarrow b)$  be the (true) shortest distance from  $a$  to  $b$  in  $G$

## Invariant 1 (INV1):

For all node  $u$  in  $R$ ,  $d(u) = \delta(s \rightarrow u)$  [ $d(u)$  is the shortest distance from  $s$  to  $u$  already]

Let  $\Delta(X, u)$  be  $\min\{\delta(s \rightarrow v) + w(v, u) \text{ for } v \text{ in } X\}$

## Invariant 2 (INV2):

For every neighbour  $v$  of all nodes in  $R$ ,  
 $d(v) = \Delta(R, v)$

```
3. while R != V:
```

# Dijkstra Termination (INV1)

After the last iteration of the loop, **R includes all vertices in G.**

So  **$d(u)$**  is the shortest distance from **s** for every node **u** in G (by INV1)

Let  $\delta(a \rightarrow b)$  be the (true) shortest distance from a to b in G

**Invariant 1 (INV1):**

For all node u in R,  $d(u) = \delta(s \rightarrow u)$  [ $d(u)$  is the shortest distance from s to u already]

Let  $\Delta(X, u)$  be  $\min\{\delta(s \rightarrow v) + w(v, u) \text{ for } v \text{ in } X\}$

**Invariant 2 (INV2):**

For every neighbour v of all nodes in R,  $d(v) = \Delta(R, v)$

```
3. while R != V:
```

## Question 2 - 2D Peak-finding

## Question 2 - 2D Peak-finding

Given a 2D-array  $A$  of size  $m$  rows and  $n$  columns.  $A[i][j]$  is called a “peak” if it is **greater than or equal to** its adjacent neighbours

Note: just a peak is enough, it does not have to be a global peak!

$n = 4$

$m = 3$

|   |    |    |   |
|---|----|----|---|
| 0 | 23 | 4  | 7 |
| 1 | 18 | 18 | 1 |
| 6 | 15 | 0  | 8 |

|   |    |    |   |
|---|----|----|---|
| 0 | 23 | 4  | 7 |
| 1 | 18 | 18 | 1 |
| 6 | 15 | 0  | 8 |

|   |    |    |   |
|---|----|----|---|
| 0 | 23 | 4  | 7 |
| 1 | 18 | 18 | 1 |
| 6 | 15 | 0  | 8 |

## Question 2 - Proposed Pseudocode

```
def Find2DPeak(A):  
    if num_of_cols(A) == 1:  
        return max(A)  
  
    else:  
        mid_col = middle column of A  
        candidate_peak = max(mid_col)  
  
        if candidate_peak is peak:  
            return candidate_peak  
        else:  
            p1 = Find2DPeak(A, but only the right side)  
            p2 = Find2DPeak(A, but only the left side)  
            if p1 is a peak return p1 else return p2
```

## Question 2

Let's try on this A

```
def Find2DPeak(A):  
    if num_of_cols(A) == 1:  
        return max(A)  
  
    else:  
        mid_col = middle column of A  
        candidate_peak = max(mid_col)  
  
        if candidate_peak is peak:  
            return candidate_peak  
        else:  
            p1 = Find2DPeak(A, but only the right side)  
            p2 = Find2DPeak(A, but only the left side)  
            if p1 is a peak return p1 else return p2
```

|   |    |    |   |    |    |   |
|---|----|----|---|----|----|---|
| 0 | 23 | 4  | 7 | 23 | 4  | 7 |
| 1 | 18 | 18 | 1 | 18 | 18 | 1 |
| 6 | 15 | 0  | 8 | 15 | 0  | 8 |

## Question 2

Take the middle column

```
def Find2DPeak(A):  
    if num_of_cols(A) == 1:  
        return max(A)  
  
    else:  
        mid_col = middle column of A  
        candidate_peak = max(mid_col)  
  
        if candidate_peak is peak:  
            return candidate_peak  
        else:  
            p1 = Find2DPeak(A, but only the right side)  
            p2 = Find2DPeak(A, but only the left side)  
            if p1 is a peak return p1 else return p2
```

|   |    |    |   |    |    |   |
|---|----|----|---|----|----|---|
| 0 | 23 | 4  | 7 | 23 | 4  | 7 |
| 1 | 18 | 18 | 1 | 18 | 18 | 1 |
| 6 | 15 | 0  | 8 | 15 | 0  | 8 |



## Question 2

8 is the max on this column

```
def Find2DPeak(A):  
    if num_of_cols(A) == 1:  
        return max(A)  
  
    else:  
        mid_col = middle column of A  
        candidate_peak = max(mid_col)  
  
        if candidate_peak is peak:  
            return candidate_peak  
        else:  
            p1 = Find2DPeak(A, but only the right side)  
            p2 = Find2DPeak(A, but only the left side)  
            if p1 is a peak return p1 else return p2
```

|   |    |    |   |    |    |   |
|---|----|----|---|----|----|---|
| 0 | 23 | 4  | 7 | 23 | 4  | 7 |
| 1 | 18 | 18 | 1 | 18 | 18 | 1 |
| 6 | 15 | 0  | 8 | 15 | 0  | 8 |

## Question 2

15 is greater than 8. 8 is not a peak!

```
def Find2DPeak(A):  
    if num_of_cols(A) == 1:  
        return max(A)  
  
    else:  
        mid_col = middle column of A  
        candidate_peak = max(mid_col)  
  
        if candidate_peak is peak:  
            return candidate_peak  
        else:  
            p1 = Find2DPeak(A, but only the right side)  
            p2 = Find2DPeak(A, but only the left side)  
            if p1 is a peak return p1 else return p2
```

|   |    |    |   |    |    |   |
|---|----|----|---|----|----|---|
| 0 | 23 | 4  | 7 | 23 | 4  | 7 |
| 1 | 18 | 18 | 1 | 18 | 18 | 1 |
| 6 | 15 | 0  | 8 | 15 | 0  | 8 |

## Question 2

Recurse on the right side

```
def Find2DPeak(A):  
    if num_of_cols(A) == 1:  
        return max(A)  
  
    else:  
        mid_col = middle column of A  
        candidate_peak = max(mid_col)  
  
        if candidate_peak is peak:  
            return candidate_peak  
        else:  
            p1 = Find2DPeak(A, but only the right side)  
            p2 = Find2DPeak(A, but only the left side)  
            if p1 is a peak return p1 else return p2
```

|   |    |    |   |    |    |   |
|---|----|----|---|----|----|---|
| 0 | 23 | 4  | 7 | 23 | 4  | 7 |
| 1 | 18 | 18 | 1 | 18 | 18 | 1 |
| 6 | 15 | 0  | 8 | 15 | 0  | 8 |

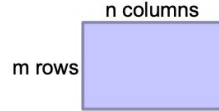
## Question 2

Recurse on the left side

```
def Find2DPeak(A):  
    if num_of_cols(A) == 1:  
        return max(A)  
  
    else:  
        mid_col = middle column of A  
        candidate_peak = max(mid_col)  
  
        if candidate_peak is peak:  
            return candidate_peak  
        else:  
            p1 = Find2DPeak(A, but only the right side)  
            p2 = Find2DPeak(A, but only the left side)  
            if p1 is a peak return p1 else return p2
```

|   |    |    |   |    |    |   |
|---|----|----|---|----|----|---|
| 0 | 23 | 4  | 7 | 23 | 4  | 7 |
| 1 | 18 | 18 | 1 | 18 | 18 | 1 |
| 6 | 15 | 0  | 8 | 15 | 0  | 8 |

## Question 2



Suppose we are given a 2D-array  $A$  of size  $m$  rows by  $n$  columns. An element in the array  $A[i][j]$  is called a "peak" if it is **greater than or equal to** its adjacent neighbours (if they exist -- an element is always considered greater than or equal to non-existent elements). For example, the 8 in the middle is a peak in the following array.

```
* * 5 *
* 8 8 3
* * 2 *
```

Consider the following algorithm to return any "peak":

`Find2DPeak(A):`

    If  $A$  only has a column, return the maximal element of the column

    Otherwise:

        Select the middle column of the  $A$

        Find the maximal element of the column

        If the maximal element is a peak, return that element

        Else

$p_1 = \text{Find2DPeak}(\text{right half of } A \text{ excluding middle col})$

$p_2 = \text{Find2DPeak}(\text{left half of } A \text{ excluding middle col})$

        If  $p_1$  or  $p_2$  is a peak, return either one, otherwise return None

What is the runtime of the algorithm?

- ☐  $\Theta(mn)$
- ☐  $\Theta(m \lg n)$
- ☐  $\Theta(\lg m \lg n)$
- ☐  $\Theta(m^2 \lg n)$



# Time Complexity - Intuition

- You are dividing the array into two, but you are doing recursion on **both sides**
- You are still checking every columns!

# Time Complexity - Method one

Recall:  $m$  rows and  $n$  columns.

Consider the **recurrence with two parameters**:

$n = 4$

|   |    |    |   |
|---|----|----|---|
| 0 | 23 | 4  | 7 |
| 1 | 18 | 18 | 1 |
| 6 | 15 | 0  | 8 |

$m = 3$

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Consider the **recurrence with two parameters**:

$$T(m, 1) = cm$$

$$T(m, n) = 2T(m, n/2) + cm$$

Possible to solve this with recursion tree!  
(Exercise)

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**Observation:** The time to process every column is the same:  $\theta(m)$

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**Idea:** Count the number of columns processed! Multiply processing time later

- In the divide step, the middle column is processed, and no column is processed in the combined step -  $\theta(1)$
- **Two** subproblems - each **half** the size!

Recurrence:

$$C(n) = 2C(n/2) + \theta(1)$$

1. If  $f(n) = O(n^{\log_b a - \epsilon})$  for some constant  $\epsilon > 0$ , then  $T(n) = \Theta(n^{\log_b a})$ .

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Therefore,  $C(n) = \theta(n^1)$

Total time:

$$\theta(mn)$$



# Time Complexity - Method two

Total time of  $\theta(mn)$  is ***no better*** than just iterating the 2D array normally! Does this mean that this algorithm is bad?

# Time Complexity - Method two

Total time of  $\theta(mn)$  is ***no better*** than just iterating the 2D array normally! Does this mean that this algorithm is bad?

Not exactly -- we can get **new ideas** from it on how to solve the problem!

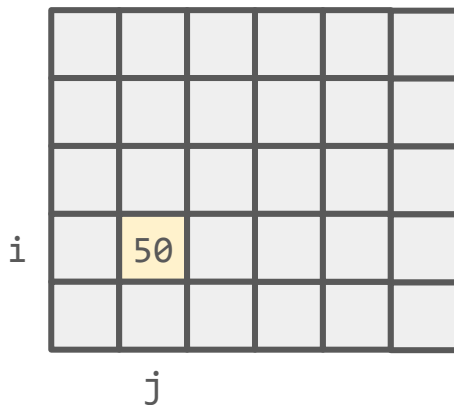
# Question 3: Finding useful property for better 2D Peak-finding

## Question 3

Let  $A[i][j]$  be the largest element in column  $j$ . Assume that  $A[i][j+1] \geq A[i][j]$ . Argue in the subarray  $B$ , where  $B$  is  $A$  but column  $j+1$  onwards, any peak that is the largest element in its column is also a peak of the entire array  $A$ .

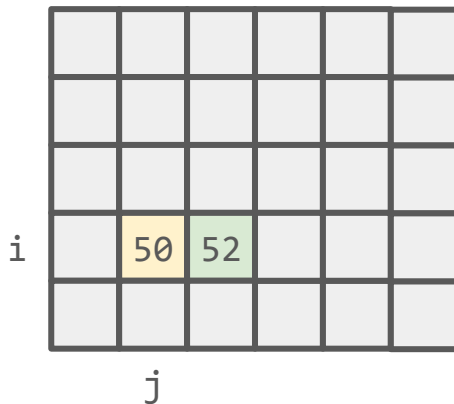
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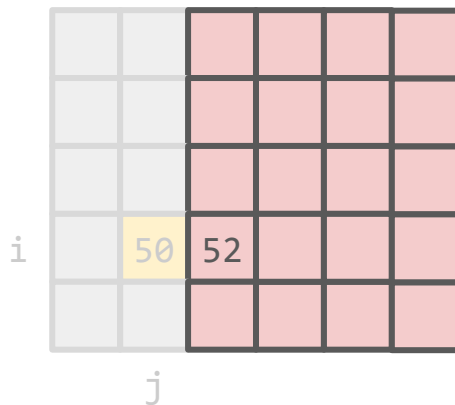
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## Question 3

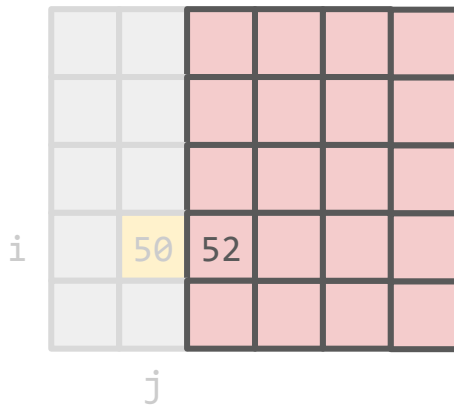
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This question was added later on for completeness of q4. Hence it was not in the qn sheet

## Question 3b (not in tutorial qn sheet)

Argue that this sub-array will always have a peak.



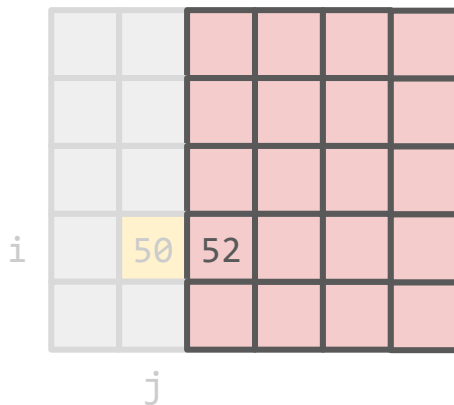


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## Question 3b (not in tutorial qn sheet)

Argue that this sub-array will always have a peak:

Any 2D array must have a peak. Simply take the maximum element in the 2D array, which will be a peak in this array.



## Question 3



Suppose we are given a 2D-array  $A$  of size  $m$  rows by  $n$  columns. An element in the array  $A[i][j]$  is called a "peak" if it is **greater than or equal to** its adjacent neighbours (if they exist -- an element is always considered greater than or equal to non-existent elements). For example, the 8 in the middle is a peak in the following array.

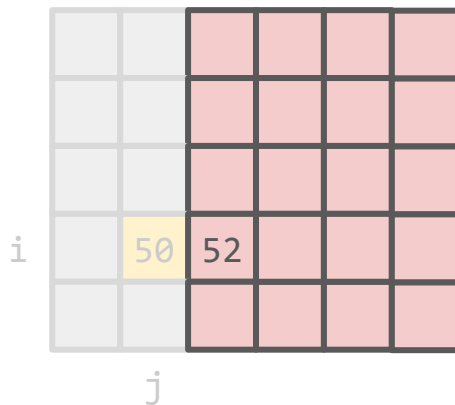
```
*   *   5   *  
*   8   8   3  
*   *   2   *
```

Let  $A[i][j]$  be the largest element in column  $j$ . Assume that  $A[i][j + 1] \geq A[i][j]$ . Argue that any peak in the subarray  $A[1..m][j+1..n]$  that is the largest element in its column is also a peak of the entire array  $A$ .



## Question 3

Let  $A[i][j]$  be the largest element in column  $j$ . Assume that  $A[i][j+1] \geq A[i][j]$ . Argue in the subarray  $B$ , where  $B$  is  $A$  but column  $j+1$  onwards, any peak that is the largest element in its column is also a peak of the entire array  $A$ .



Now back to the original question at hand!

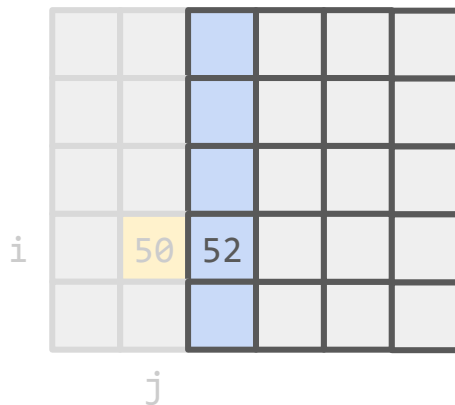


## Q3 - Case 2

Let  $A[i][j]$  be the largest element in column  $j$ . Assume that  $A[i][j+1] \geq A[i][j]$ . Argue in the subarray B, where B is A but column  $j+1$  onwards, any peak that is the largest element in its column is also a peak of the entire array A.

A peak in the column  $j+1$  needs a **bit more work**

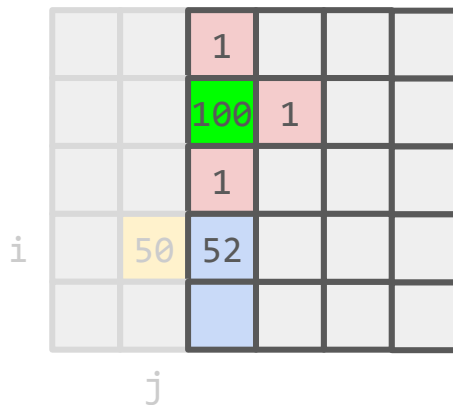
Intuition: If you add back the part of A that you removed, **will that peak still remain a peak?**



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Let's say that the element 100 (note, larger than 52) here is a peak. Within the subarray, it's clearly a peak



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Let's say that the element 100 (note, larger than 52) here is a peak. Within the subarray, it's clearly a peak

But what about after considering the element to its left?? Will 100 stay a peak?

|   |    |     |   |  |  |
|---|----|-----|---|--|--|
|   |    | 1   |   |  |  |
|   | ?? | 100 | 1 |  |  |
|   |    | 1   |   |  |  |
| i | 50 | 52  |   |  |  |
|   |    |     |   |  |  |
|   | j  |     |   |  |  |

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**Observe:** 50 is the largest element in column  $j$ . So '??' has to be  $\leq 50$

|     |    |     |   |  |  |
|-----|----|-----|---|--|--|
|     |    | 1   |   |  |  |
|     | ?? | 100 | 1 |  |  |
|     |    | 1   |   |  |  |
| $i$ | 50 | 52  |   |  |  |
|     |    |     |   |  |  |
|     |    |     |   |  |  |

$j$

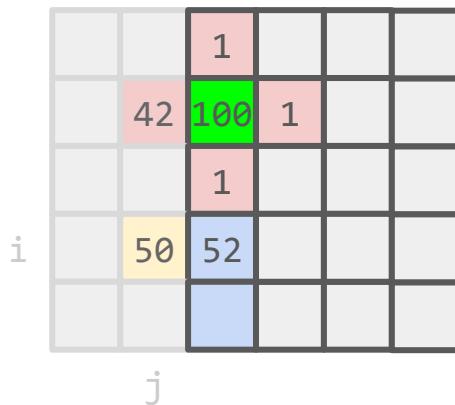


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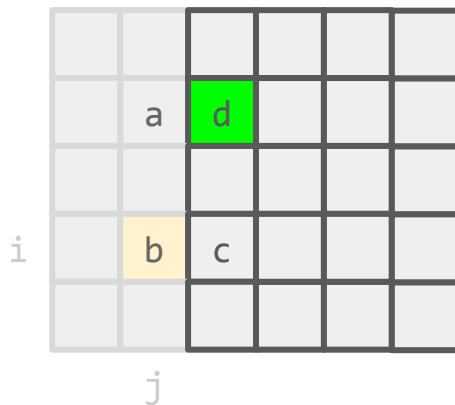
Let's say we put 42. Then 100 is **still a peak**, even when we consider the bigger array!



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**Generalising:** Let d be the peak in the subarray.

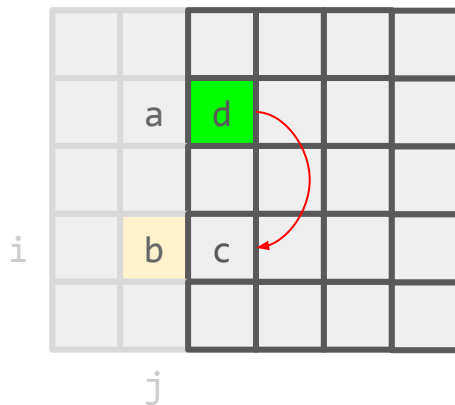


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d  
 $\geq c$  (the peak should be the **largest element** in the column)

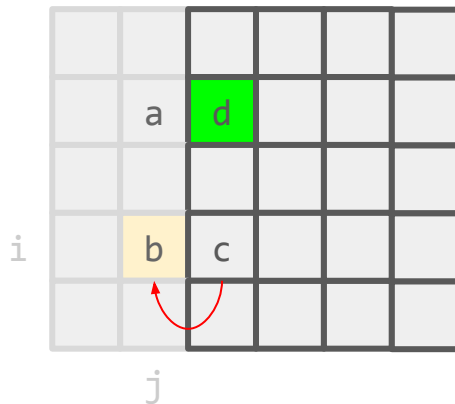


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**Generalising:** Let  $d$  be the peak in the subarray.

- $d$
- $\geq c$  (the peak should be the largest element in the column)
- $\geq b$  ( $A[i][j+1] \geq A[i][j]$ )



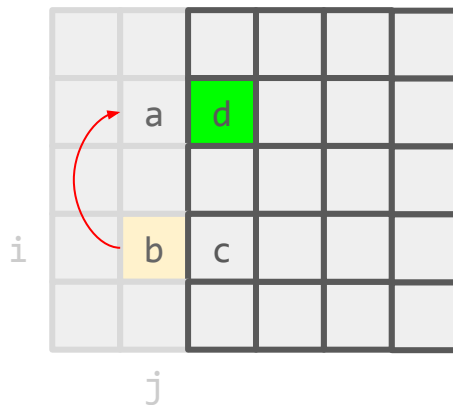
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**Generalising:** Let  $d$  be the peak in the subarray.

- $d$
- $\geq c$  (the peak should be the largest element in the column)
- $\geq b$  ( $A[i][j+1] \geq A[i][j]$ )
- $\geq a$  ( $A[i][j]$  is the largest in col  $j$ )

Thus,  $d \geq a$



## Question 4: Better 2D Peak-finding

## Question 4



Suppose we are given a 2D-array  $A$  of size  $m$  rows by  $n$  columns. An element in the array  $A[i][j]$  is called a "peak" if it is **greater than or equal to** its adjacent neighbours (if they exist -- an element is always considered greater than or equal to non-existent elements). For example, the 8 in the middle is a peak in the following array.

```
*  *  5  *
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*  *  2  *
```



Using the idea in Question 3, describe an algorithm that is asymptotically faster than the one given in Question 2. What is its runtime?

## Question 4 (Solution)

```
def Find2DPeak(A):  
    if num_of_cols(A) == 1:  
        return max(A)  
    else:  
        mid_col = middle column of A  
        candidate_peak = max(mid_col)  
        if candidate_peak is peak:  
            return candidate_peak  
        else if candidate_peak <= element to its right:  
            return Find2DPeak(A, but only the right side)  
        else:  
            return Find2DPeak(A, but only the left side)
```

Either side must contain  
a peak, by qn 3b



## Question 4 (Solution)

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```

If element to its left and to its right are smaller than candidate\_peak, this case has been accounted for by the check if candidate\_peak is peak

## Question 4 (Solution)

Let  $A[i][j]$  be the largest element in column  $j$ . Assume that  $A[i][j + 1] \geq A[i][j]$ . Argue in the subarray  $B$ , where  $B$  is  $A$  but column  $j + 1$  onwards, any peak that is the largest element in its column is also a peak of the entire array  $A$ .

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```

Important: return the max in the column! Otherwise, claim in Q3 does not hold

## Q4 Analysis

Similar as before, but this time the recurrence for the number of columns processed is:  $C(n) = C(n/2) + \theta(1)$

# Q4 Analysis

2.  $f(n) = \Theta(n^{\log_b a} \lg^k n)$  for some constant  $k \geq 0$ .

•  $f(n)$  and  $n^{\log_b a}$  grow at similar rates.

**Solution:**  $T(n) = \Theta(n^{\log_b a} \lg^{k+1} n)$ .

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By Master Theorem (case 2):

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So  $C(n) = \theta(n^0 (\log n)^{0+1}) = \theta(\log n)$  [the +1 is because of master theorem]

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So  $C(n) = \theta(n^0 (\log n)^{0+1}) = \theta(\log n)$  [the +1 is because of master theorem]

Total running time:  $\theta(m \log n)$