

Design and Analysis of Algorithms



CS3230
C23530

Lecture 8 Dynamic Programming

Warut Suksompong

Fibonacci Number $F(n)$

- $F(0) = 0$
- $F(1) = 1$
- $F(n) = F(n-1) + F(n-2)$ for $n > 1$

Problem: Given n, m , compute $F(n) \bmod m$

- Recursive algorithm
- Iterative algorithm

Two algorithms for Fibonacci (mod m)

Recursive Algorithm

```
RFIB(n,m) {  
    if n=0 return 0;  
    else if n=1 return 1;  
    else return((RFIB(n-1) + RFIB(n-2)) mod m);  
}
```

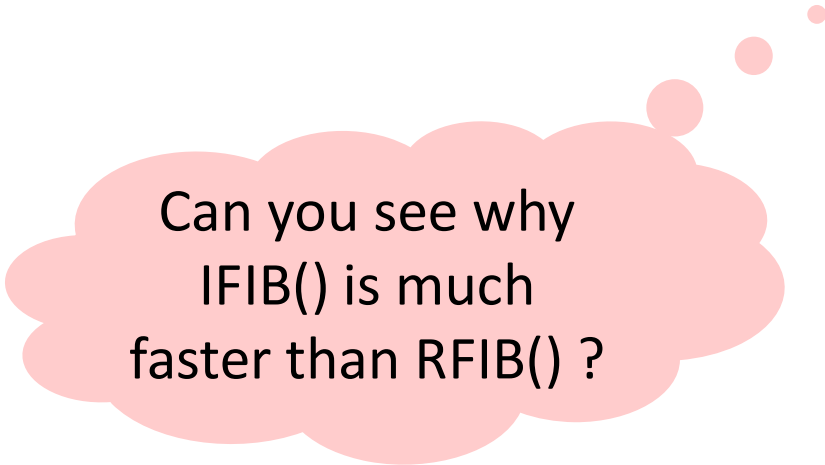
For memoization, build a table $T[0 \dots n]$ storing the values of F_i for $0 \leq i \leq n$.
Before calling RFIB each time, check first whether the value is already stored in T .
If it is already stored, use it.
Else call RFIB, and once the answer arrives, store it in T .

Iterative Algorithm

```
IFIB(n,m) {  
    if n=0 return 0;  
    else if n=1 return 1;  
    else {  
        a ← 0; b ← 1;  
        For(i=2 to n) do  
        {  
            temp ← b;  
            b ← (a+b) mod m;  
            a ← temp;  
        }  
    }  
    return b;  
}
```

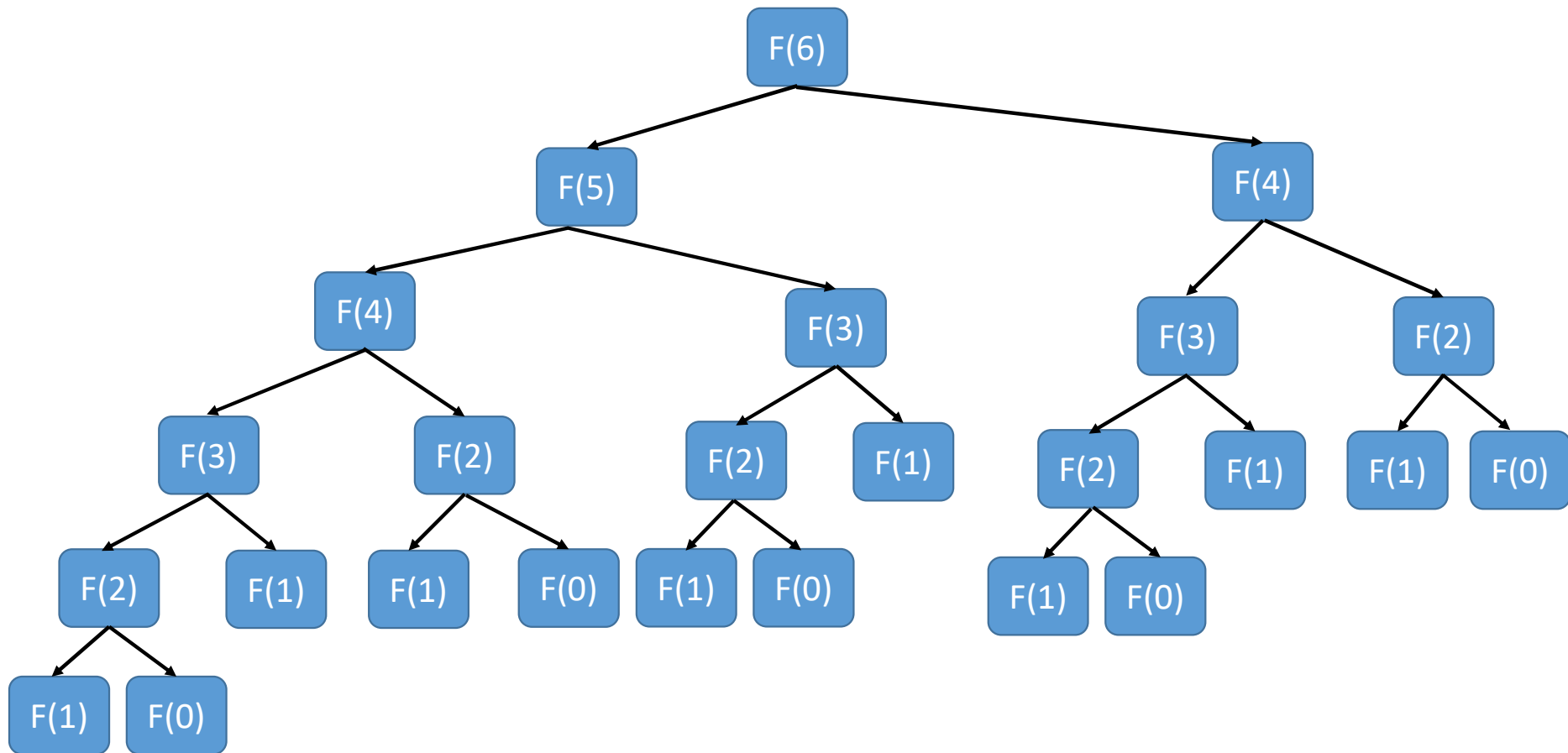
Compare two algorithms for $F(n) \bmod m$

- No. of instructions by recursive algorithm $\text{RFIB}(n,m)$ is $\geq 2^{(n-2)/2}$ (**exponential in n**)
- No. of instructions by iterative algorithm $\text{IFIB}(n,m)$ is $\approx 5n$ (**linear in n**)



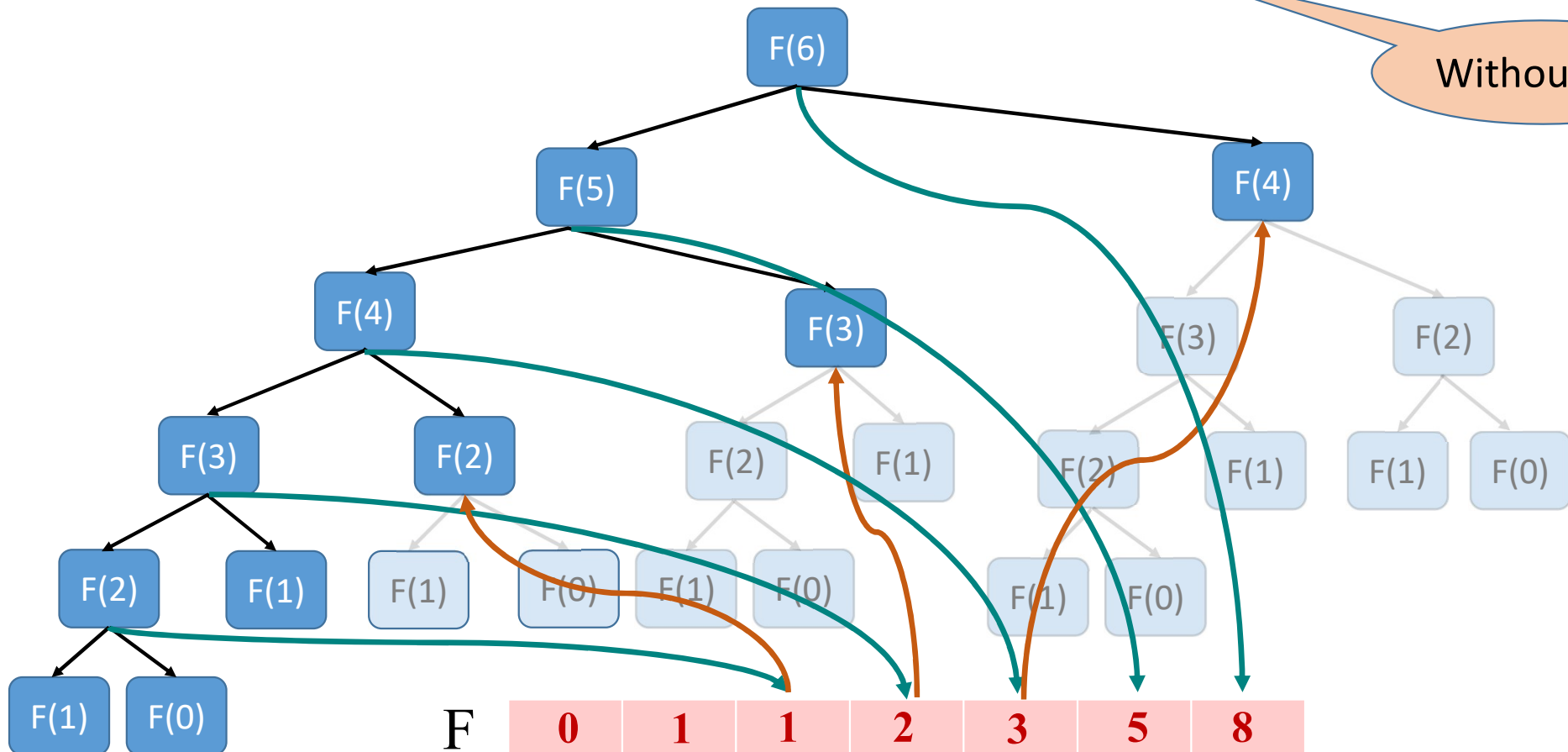
Can you see why
IFIB() is much
faster than RFIB() ?

Recursion tree for $F(n)$



Pruning recursion tree by **memoization**

Without "r"



Longest Common Subsequence

Applications in Computational Biology, Text Processing and many more

What is a **subsequence**?

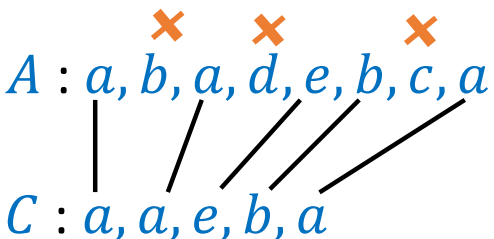
Sequence $A : a_1, a_2, \dots, a_n$

Can be stored in an array $A[1..n]$, $A[j] : a_j$

$A[1..k] : a_1, a_2, \dots, a_k$

Definition: C is said to be a **subsequence** of A if we can obtain C by removing zero or more elements from A .

Example: $A : a, b, a, d, e, b, c, a$
 $C : a, a, e, b, a$



A more formal definition:

C is a **subsequence** of A if there exists k integers: $1 \leq i_1 < \dots < i_k \leq n$ s.t.
for all $1 \leq j \leq k$ $C[j] = A[i_j]$

Longest Common Subsequence - Definition

Given : Two sequences $A[1..n]$ and $B[1..m]$,

Aim : To compute a (not “the”) longest sequence C such that C is subsequence of A as well as B

Example: $A : a a s b d e s c b d$
 $B : a c b s d c d e b$

Answer: $a s d e b$

Question : How to compute a **LCS** of A and B efficiently.

Finding LCS: Trivial Brute-Force Solution

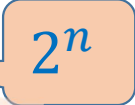
Given : two sequences $A[1..n]$ and $B[1..m]$


$A : a_1, a_2, \dots, a_n$

$B : b_1, b_2, \dots, b_m$

- Check all the possible subsequences of A to see if it is also a subsequence of B , and then output a longest one.

Analysis:

- Checking whether a particular subsequence of A is a subsequence of B takes $O(m)$ time.
- How many possible subsequences of A are there? 
(Each bit-vector of length n determines a distinct subsequence)
- So total time = $O(m2^n)$



Can we do better?

Finding LCS: Recursive Formulation

Given : two sequences $A[1..n]$ and $B[1..m]$

$A : a_1, a_2, \dots, a_n$

$B : b_1, b_2, \dots, b_m$

Notation for recursive formulation:

$\text{LCS}(i, j)$: Longest common subsequence of $A[1..i]$ and $B[1..j]$

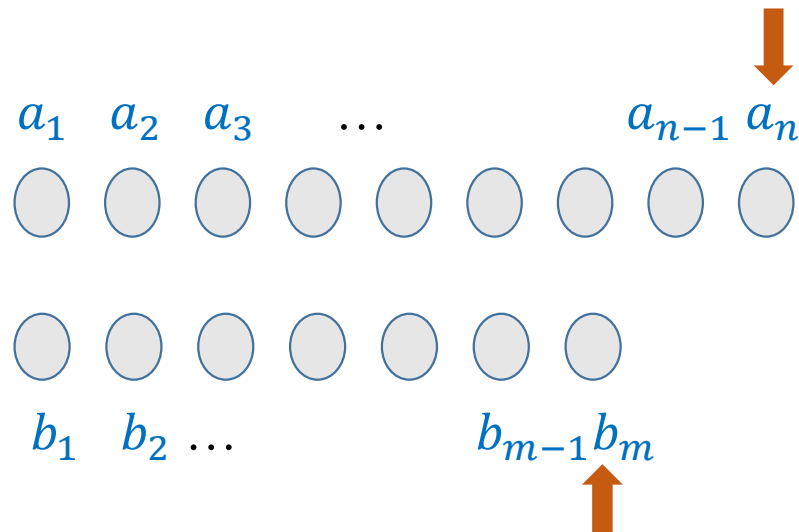
Aim : To express $\text{LCS}(i, j)$ recursively.

Base Case:

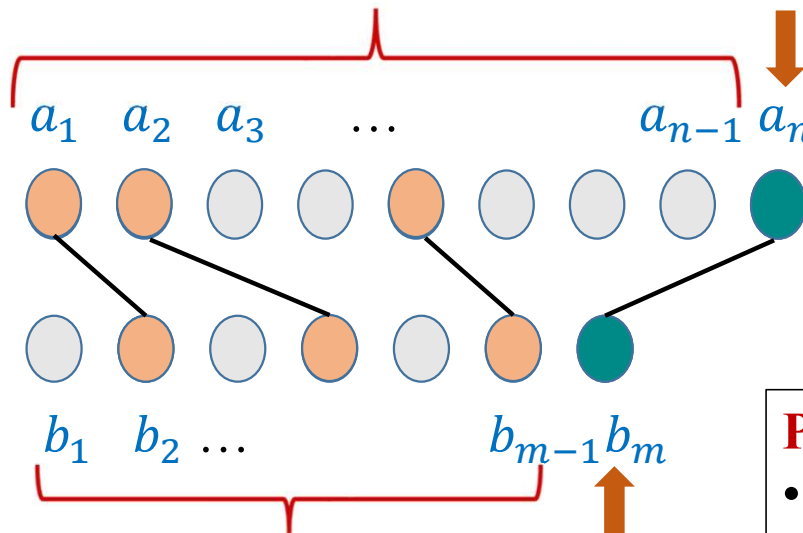
$\text{LCS}(i, 0) = \emptyset$ for all i
 $\text{LCS}(0, j) = \emptyset$ for all j

Since one of the sequences is **empty**

Recursive Formulation of LCS(n, m)



How does $\text{LCS}(n, m)$ look like when $a_n = b_m$?



Intuition: $\text{LCS}(n, m)$ should terminate with a_n

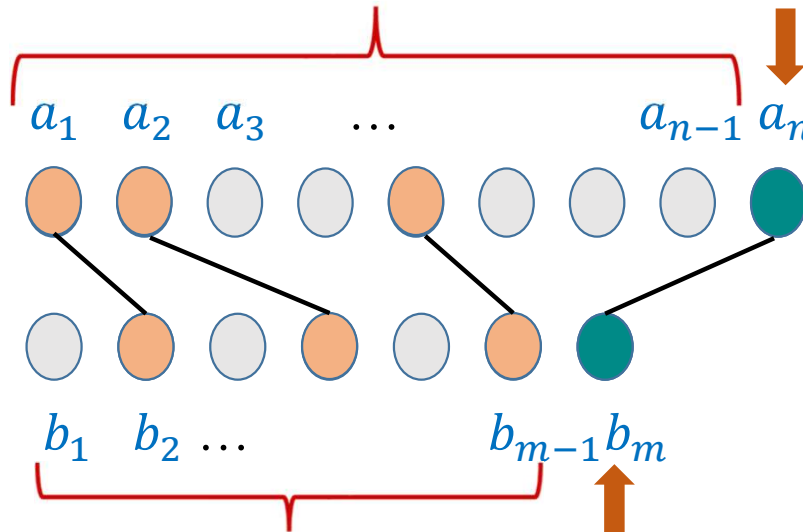
Lemma: If $a_n = b_m$ then

$$\text{LCS}(n, m) = \text{LCS}(n - 1, m - 1) :: a_n$$

Proof Idea:

- $\text{LCS}(n, m)$ must terminate with the symbol same as a_n ; otherwise we could extend the solution by concatenating a_n
- Observe, it is fine to match a_n with b_m

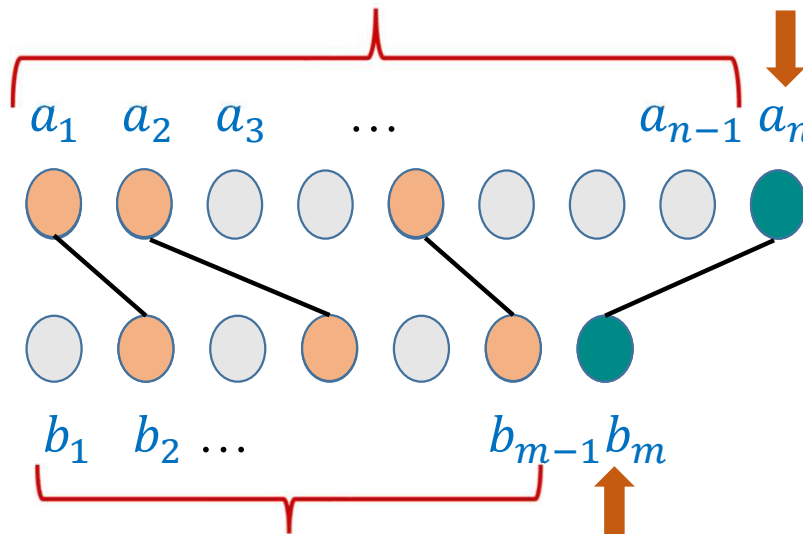
How does $\text{LCS}(n, m)$ look like when $a_n = b_m$?



Proof: (Proof by contradiction)

- If the last symbol in $S = \text{LCS}(n, m)$ is not the same as $a_n (= b_m)$, then that last symbol must be part of a_1, \dots, a_{n-1} and b_1, \dots, b_{m-1} .
- So, S is actually a subsequence of a_1, \dots, a_{n-1} and b_1, \dots, b_{m-1} .

How does $\text{LCS}(n, m)$ look like when $a_n = b_m$?

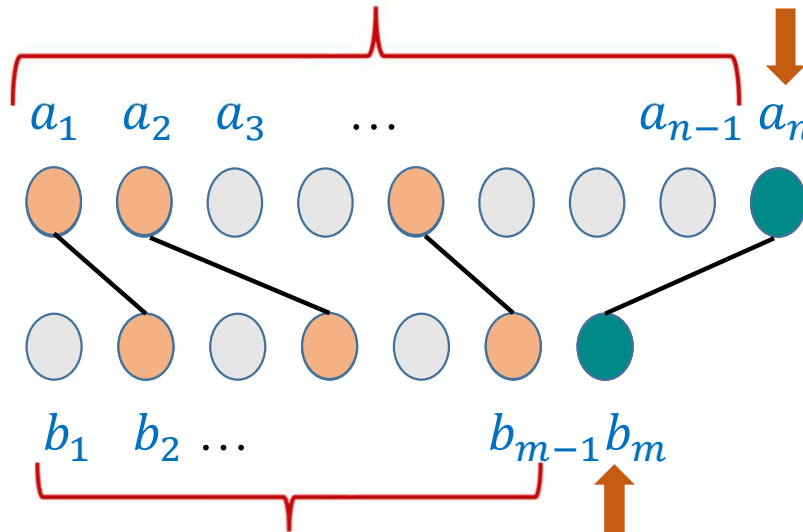


This type of argument is also referred to as **cut-and-paste** argument

Proof: (Proof by contradiction)

- Now we can append a_n with S (i.e., $S :: a_n$) and get a subsequence of length one more
- Thus S cannot be the largest subsequence of a_1, \dots, a_n and b_1, \dots, b_m (Contradiction)

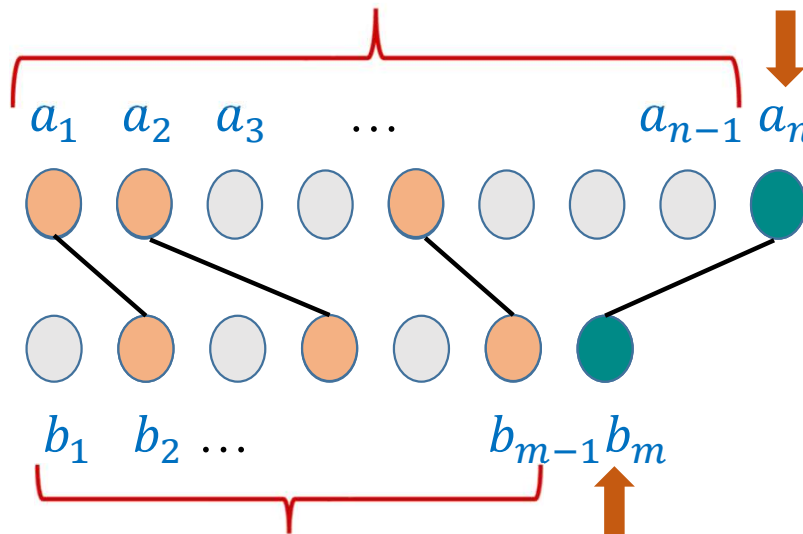
How does $\text{LCS}(n, m)$ look like when $a_n = b_m$?



Proof: (Proof by contradiction)

- Recall, we need to prove $\text{LCS}(n, m) = \text{LCS}(n - 1, m - 1) :: a_n$
- So far, we only argued that a_n must be the last symbol in $\text{LCS}(n, m)$

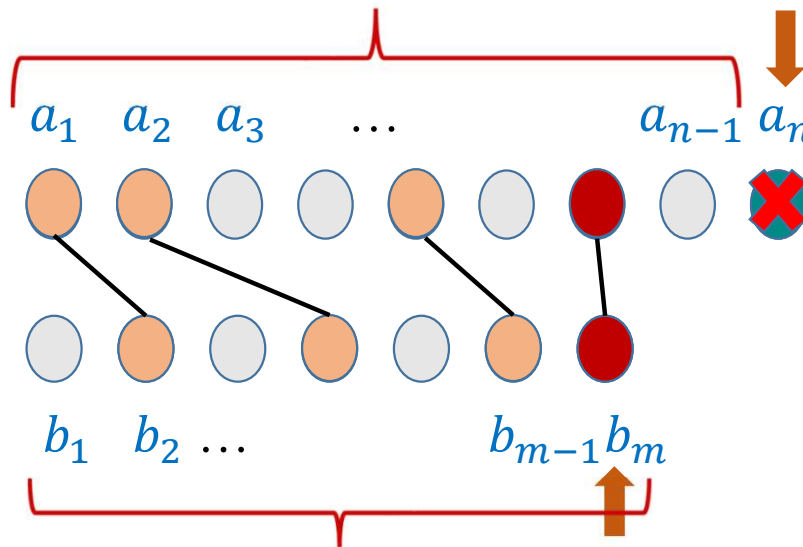
How does $\text{LCS}(n, m)$ look like when $a_n = b_m$?



Proof: (Proof by contradiction)

- Observe, it is fine to match a_n with b_m (since a_n is the last symbol in the $\text{LCS}(n, m)$)
- So we conclude $\text{LCS}(n, m) = \text{LCS}(n - 1, m - 1) :: a_n$

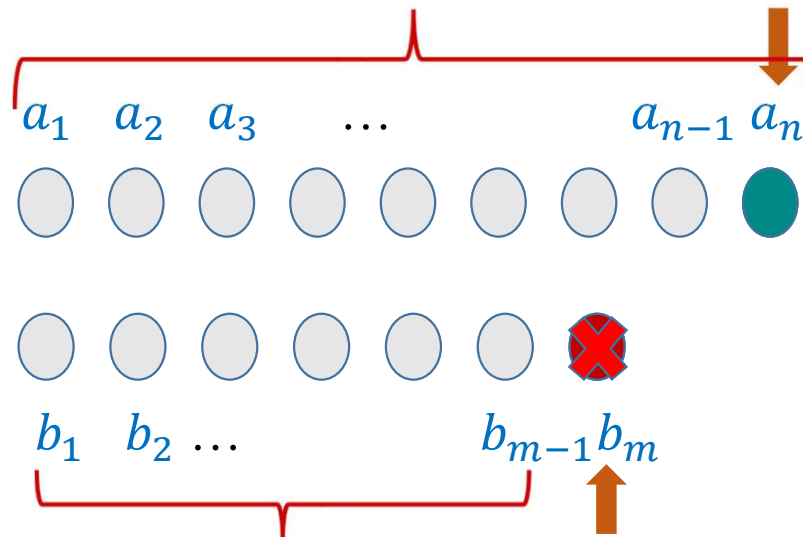
How does $\text{LCS}(n, m)$ look like when $a_n \neq b_m$?



Intuition: Either a_n or b_m is not the last symbol of $\text{LCS}(n, m)$

Observation: If a_n is not the last symbol of $\text{LCS}(n, m)$ $\text{LCS}(n, m) = \text{LCS}(n - 1, m)$

How does $\text{LCS}(n, m)$ look like when $a_n \neq b_m$?

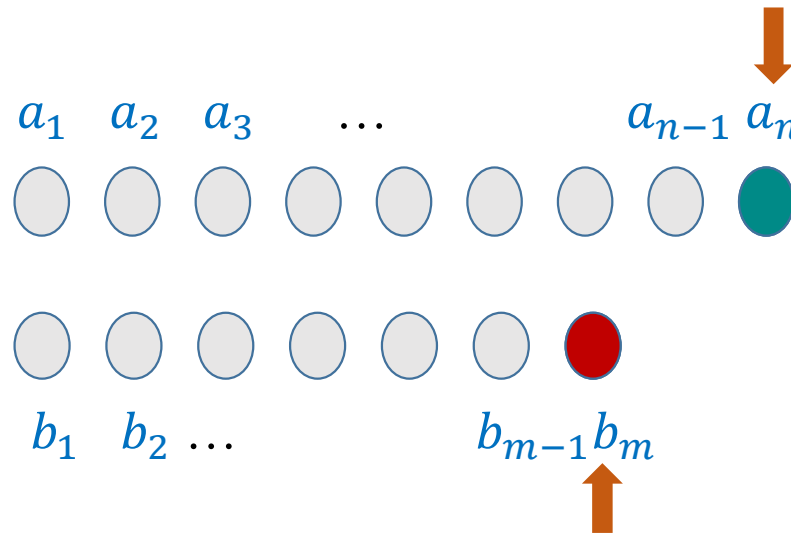


Intuition: Either a_n or b_m is not the last symbol of $\text{LCS}(n, m)$

Observation: If a_n is not the last symbol of $\text{LCS}(n, m)$ $\text{LCS}(n, m) = \text{LCS}(n - 1, m)$

Observation: If b_m is not the last symbol of $\text{LCS}(n, m)$ $\text{LCS}(n, m) = \text{LCS}(n, m - 1)$

How does $\text{LCS}(n, m)$ look like when $a_n \neq b_m$?



Intuition: Either a_n or b_m is not the last symbol of $\text{LCS}(n, m)$

Lemma: If $a_n \neq b_m$ then

$\text{LCS}(n, m)$ is either $\text{LCS}(n - 1, m)$ or $\text{LCS}(n, m - 1)$

Finding LCS: Recursive Formulation

Base Case:

$\text{LCS}(i, 0) = \emptyset$ for all i

$\text{LCS}(0, j) = \emptyset$ for all j

General Case:

If $a_n = b_m$ then $\text{LCS}(n, m) = \text{LCS}(n - 1, m - 1) :: a_n$

If $a_n \neq b_m$ then $\text{LCS}(n, m) = \underline{\text{bigger}}$ of $\text{LCS}(n, m - 1)$ or $\text{LCS}(n - 1, m)$

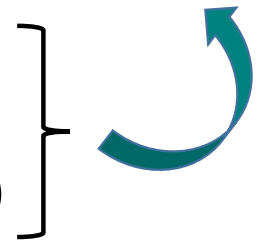
Simplified Problem: Find the length of LCS

Let $L(n, m)$: Length of LCS of $A[1..n]$ and $B[1..m]$

$L(n, m) = 0$ if n or m is 0

Optimal substructure

An optimal solution to a problem (instance) contains optimal solutions to subproblems



Finding LCS: Recursive Formulation

Base Case:

$\text{LCS}(i, 0) = \emptyset$ for all i

$\text{LCS}(0, j) = \emptyset$ for all j

General Case:

If $a_n = b_m$ then $\text{LCS}(n, m) = \text{LCS}(n - 1, m - 1) :: a_n$

If $a_n \neq b_m$ then $\text{LCS}(n, m) = \text{bigger of } \text{LCS}(n, m - 1) \text{ or } \text{LCS}(n - 1, m)$

Simplified Problem: Find the length of LCS

Let $L(n, m)$: Length of LCS of $A[1..n]$ and $B[1..m]$

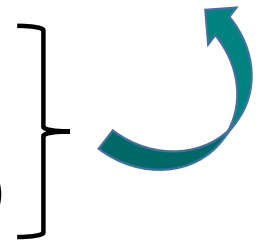
$L(n, m) = 0$ if n or m is 0

If $a_n = b_m$ then $L(n, m) = L(n - 1, m - 1) + 1$

If $a_n \neq b_m$ then $L(n, m) = \text{Max}(L(n, m - 1), L(n - 1, m))$

Optimal substructure

An optimal solution to a problem (instance) contains optimal solutions to subproblems



Recursive algorithm for $L(n, m)$

```
 $L(n, m)$ 
{  If ( $n = 0$  or  $m = 0$ )
    return 0;

    Else
    {  If  $a_n = b_m$  then
        return ( $L(n - 1, m - 1) + 1$ );
    Else
    {     $l_1 \leftarrow L(n - 1, m)$  ;
         $l_2 \leftarrow L(n, m - 1)$  ;
        return Max( $l_1, l_2$ );
    }
    }
}
```

$T(n, m)$: Worst case running time of $L(n, m)$

$$T(n, m) = T(n - 1, m) + T(n, m - 1)$$

A simple exercise from discrete math (not important, you can skip):

$$T(n, m) \geq \binom{n+m}{n} > 2^n \text{ (assuming } m \approx n)$$

Exponential !!



But why ?

Let us explore

Recursive algorithm for $L(n, m)$

$L(n, m)$

{ If ($n = 0$ or $m = 0$)

return 0;

Else

{ If $a_n = b_m$ then

return ($L(n - 1, m - 1) + 1$);

Else

{ $l_1 \leftarrow L(n - 1, m)$;

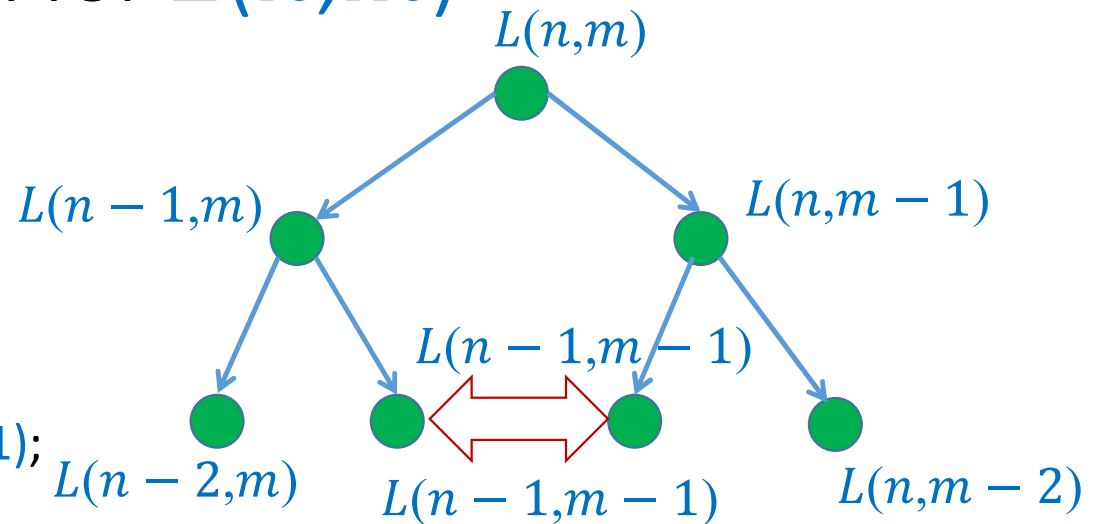
$l_2 \leftarrow L(n, m - 1)$;

return **Max**(l_1, l_2);

}

}

}



- Solving same sub-problem multiple times !!
- But how many **distinct** sub-problems are there ?
- Only $(n + 1) \times (m + 1)$

Overlapping subproblems

A recursive solution contains a “small” number of distinct subproblems repeated many times

Recursive algorithm for $L(n, m)$

$L(n, m)$

{ If $(n = 0 \text{ or } m = 0)$

return 0;

Else

{ If $a_n = b_m$ then

return $(L(n - 1, m - 1) + 1)$;

Else

{ $l_1 \leftarrow L(n - 1, m)$;

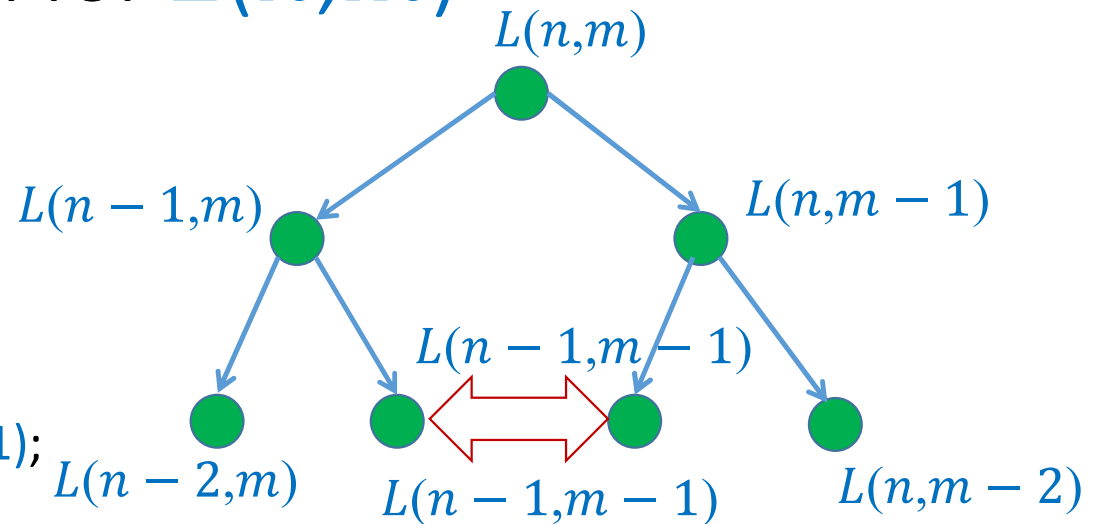
$l_2 \leftarrow L(n, m - 1)$;

return **Max**(l_1, l_2);

}

}

}



- Solving same sub-problem multiple times !!
- But how many sub-problems are there ?
- Only $(n + 1) \times (m + 1)$
- Can we compute them efficiently ?
- Get inspiration from algorithm for Fibonacci number !

Recursive algorithm for $L(n,m)$

```

 $L(n,m)$ 
{  If ( $n = 0$  or  $m = 0$ )
    return 0;
  Else
    {  If  $a_n = b_m$  then
        return ( $L(n - 1, m - 1) + 1$ );
      Else
        {   $l_1 \leftarrow L(n - 1, m)$ ;
             $l_2 \leftarrow L(n, m - 1)$ ;
            return Max( $l_1, l_2$ );
          }
        }
    }
}

```

$T[i,j] = L(i,j)$

m	0						
	0						
	0						
	0						
\vdots	0						
1	0						
0	0	0	0	0	0	0	0
	0	1	...				n

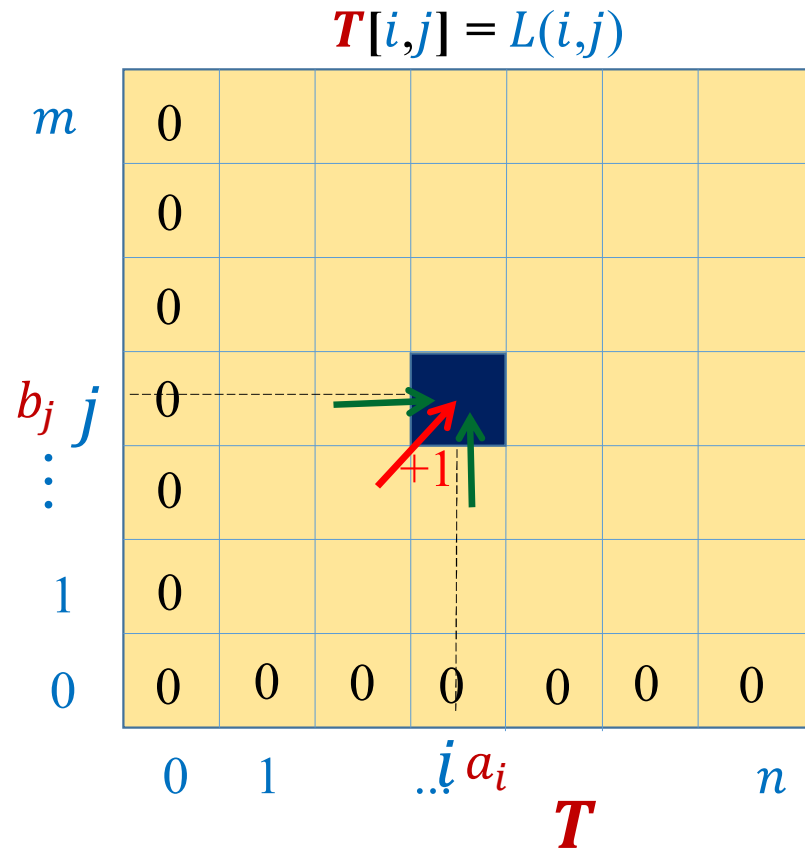
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Dynamic Programming algorithm for $L(n,m)$

$L(n,m)$

```

{  For ( $i = 0$  to  $n$ )  $T[i,0] \leftarrow 0$ ;
    For ( $j = 0$  to  $m$ )  $T[0,j] \leftarrow 0$ ;
    For ( $j = 1$  to  $m$ ){
        For ( $i = 1$  to  $n$ ){
            If  $a_i = b_j$  then
                 $T[i,j] \leftarrow T[i-1,j-1] + 1$ ;
            Else {
                 $l_1 \leftarrow T[i-1,j]$ ;
                 $l_2 \leftarrow T[i,j-1]$ ;
                 $T[i,j] \leftarrow \text{Max}(l_1, l_2)$ ;
            }
        }
    }
}
    
```



Dynamic Programming algorithm for $L(n,m)$

$L(n,m)$

{ For ($i = 0$ to n) $T[i,0] \leftarrow 0$;

For ($j = 0$ to m) $T[0,j] \leftarrow 0$;

For ($j = 1$ to m) {

For ($i = 1$ to n) {

If $a_i = b_j$ then

$T[i,j] \leftarrow T[i-1,j-1] + 1$;

Else {

$l_1 \leftarrow T[i-1,j]$;

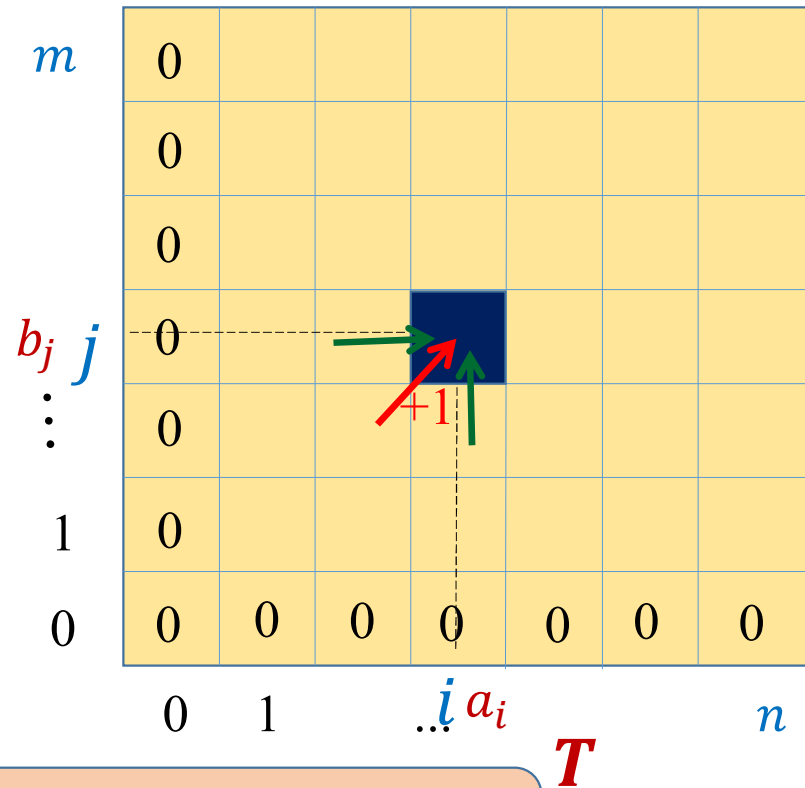
$l_2 \leftarrow T[i,j-1]$;

$T[i,j] \leftarrow \text{Max}(l_1, l_2)$;

} }

}

$T[i,j] = L(i,j)$



Time per table entry = $O(1)$

Total time = $O(nm)$

Dynamic Programming algorithm for $L(n,m)$

$L(n,m)$

```

{  For ( $i = 0$  to  $n$ )  $T[i,0] \leftarrow 0$ ;
    For ( $j = 0$  to  $m$ )  $T[0,j] \leftarrow 0$ ;
    For ( $j = 1$  to  $m$ ){
        For ( $i = 1$  to  $n$ ){
            If  $a_i = b_j$  then
                 $T[i,j] \leftarrow T[i-1,j-1] + 1$ ;
            Else {
                 $l_1 \leftarrow T[i-1,j]$ ;
                 $l_2 \leftarrow T[i,j-1]$ ;
                 $T[i,j] \leftarrow \text{Max}(l_1, l_2)$ ;
            }
        }
    }
}
    
```

$T[i,j] = L(i,j)$

a	0	1	2	2	3	3	4
d	0	1	2	2	3	3	3
c	0	1	1	2	2	2	3
a	0	1	1	1	2	2	3
d	0	0	1	1	2	2	2
d	0	0	1	1	1	1	1
	0	0	0	0	0	0	0
		a	d	c	d	s	a

T

Dynamic Programming algorithm for $L(n,m)$

$L(n,m)$

```
{ For ( $i = 0$  to  $n$ )  $T[i,0] \leftarrow 0$ ;  
  For ( $j = 0$  to  $m$ )  $T[0,j] \leftarrow 0$ ;  
  For ( $j = 1$  to  $m$ ) {  
    For ( $i = 1$  to  $n$ ) {  
      If  $a_i = b_j$  then  
         $T[i,j] \leftarrow T[i-1,j-1] + 1$ ;  
      Else {  
         $l_1 \leftarrow T[i-1,j]$  ;  
         $l_2 \leftarrow T[i,j-1]$  ;  
         $T[i,j] \leftarrow \text{Max}(l_1, l_2)$ ;  
      } } }  
}
```

Note, you need to store the table T .
So space requirement is $O(mn)$.

Exercise:

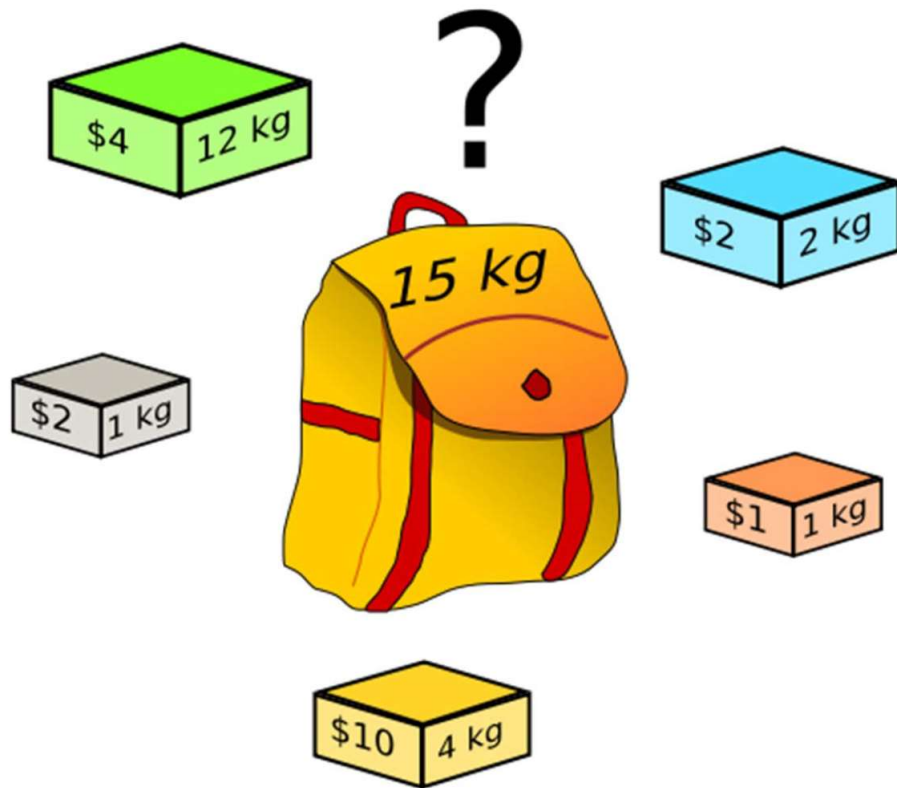
- How can you reduce the space requirement to $O(\min\{m, n\})$?
Keep 2 rows (or columns) at a time
- Can you modify the algorithm so that you can output a LCS ?

Dynamic Programming algorithm paradigm

- Expressing the solution recursively
- Overall there are only polynomial number of subproblems
- But there is a huge overlap among the subproblems. So the recursive algorithm takes exponential time (solving same subproblem multiple times)
- So we compute the recursive solution iteratively in a bottom-up fashion (like in case of Fibonacci numbers). This avoids wastage of computation and leads to an efficient implementation

Knapsack Problem

Knapsack Problem



What is the maximum value you can get?

\$15 (take all items except 12kg item)

Formal Definition

KNAPSACK

Input:

$(w_1, v_1), (w_2, v_2), \dots, (w_n, v_n)$, and W

Output: A subset $S \subseteq \{1, 2, \dots, n\}$ that maximizes

$\sum_{i \in S} v_i$ such that $\sum_{i \in S} w_i \leq W$

2^n subsets, so
naïve algorithm is
too costly!

Dynamic Programming

Problem: $(w_1, v_1), \dots, (w_n, v_n), W$

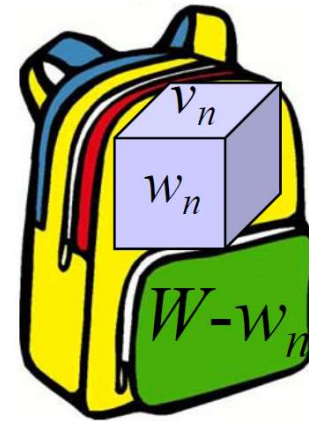
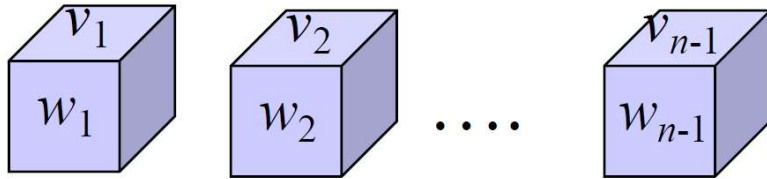


Is there optimal substructure?

Dynamic Programming

Case 1: Item n (the last one) is taken

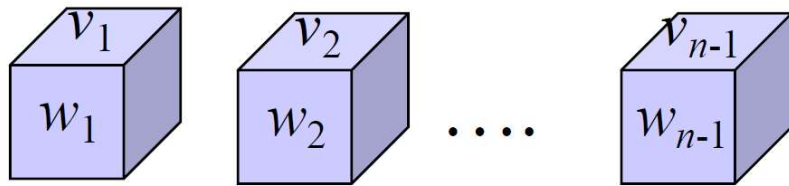
Have optimal solution to subproblem defined by $(w_1, v_1), \dots, (w_{n-1}, v_{n-1}), W-w_n$



Dynamic Programming

Case 2: Item n (the last one) is **not** taken

Have optimal solution to subproblem defined by $(w_1, v_1), \dots, (w_{n-1}, v_{n-1}), W$



Otherwise, by *cut and paste* argument, we can get a better solution

Recursive Solution

Let $m[i, j]$ be the maximum value that can be obtained using:

- a subset of items in $\{1, 2, \dots, i\}$
- with total weight no more than j

$$m[i, j] = \begin{cases} 0, & \text{if } i = 0 \text{ or } j = 0 \\ \max\{m[i-1, j-w_i] + v_i, m[i-1, j]\}, & \text{if } w_i \leq j \\ m[i-1, j], & \text{otherwise} \end{cases}$$

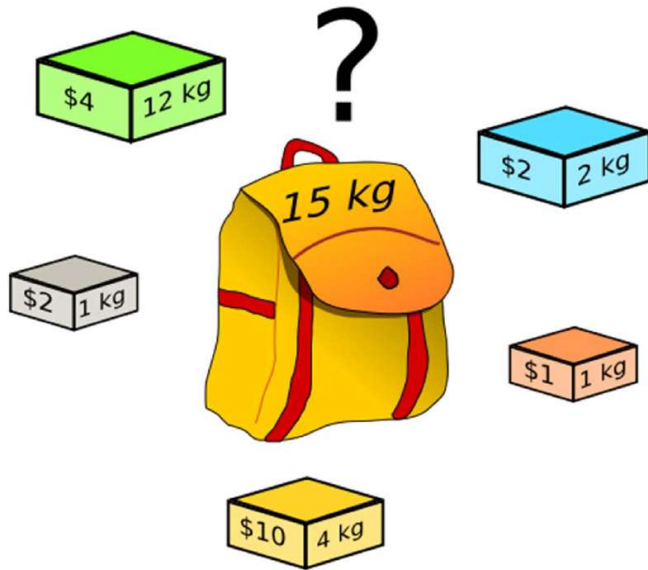
Pseudocode

```
KNAPSACK( $v, w, W$ ):  
    for  $j = 0, \dots, W$ :  
         $m[0, j] \leftarrow 0$   
    for  $i = 1, \dots, n$ :  
         $m[i, 0] \leftarrow 0$   
        ⟨Recursive cases⟩  
  
    return  $m[n, W]$ 
```

Pseudocode

⟨Recursive cases⟩

```
for  $i = 1, \dots, n$ :  
    for  $j = 0, \dots, W$ :  
        if  $j \geq w[i]$ :  
             $m[i, j] \leftarrow \max(m[i - 1, j - w[i]] + v[i], m[i - 1, j])$   
        else:  
             $m[i, j] \leftarrow m[i - 1, j]$ 
```

$$v[1..5] = \{4, 2, 10, 1, 2\}$$

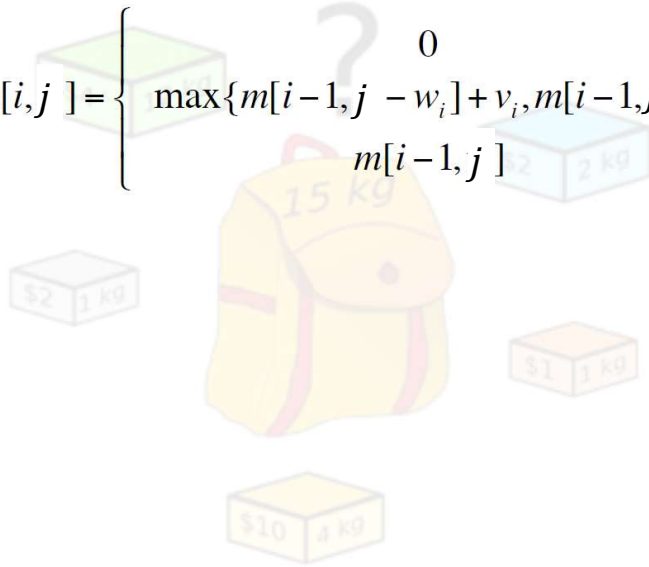
$$w[1..5] = \{12, 1, 4, 1, 2\}$$

$$W = 15$$

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	j
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
1	0																
2	0																
3	0																
4	0																
5	0																

i

$$m[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ \max\{m[i-1, j - w_i] + v_i, m[i-1, j]\} & \text{if } w_i \leq j \\ m[i-1, j] & \text{otherwise} \end{cases}$$



$v[1..5] = \{4, 2, 10, 1, 2\}$

$w[1..5] = \{12, 1, 4, 1, 2\}$

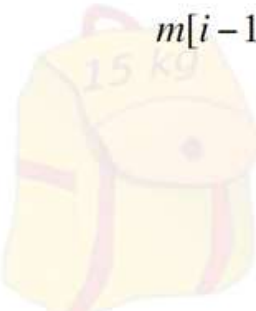
$W = 15$

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	j
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
1	0	0	0	0	0	0	0	0	0	0	0	0	4				
2	0																
3	0																
4	0																
5	0																

0

$$[i, j] = \begin{cases} \max\{m[i-1, j - w_i] + v_i, m[i-1, j]\} & \text{if } j \geq w_i \\ m[i-1, j] & \text{if } j < w_i \end{cases}$$

$$w[1..5] = \{12, 1, 4, 1, 2\}$$
$$W = 15$$
[illegible]

$$[i, j] = \begin{cases} 0 & \text{if } j < 0 \\ \max \{ m[i-1, j - w_i] + v_i, m[i-1, j] \} & \text{if } j \geq 0 \end{cases}$$


$$w[1..5] = \{12, 1, 4, 1, 2\}$$
$$W = 15$$
[illegible]

Diagram illustrating the 0/1 Knapsack Problem. A yellow backpack (capacity 15 kg) is shown. Five items are available, each with a value and weight:

- Item 1: Value \$2, Weight 1 kg (Green box)
- Item 2: Value \$2, Weight 2 kg (Blue box)
- Item 3: Value \$2, Weight 1 kg (Grey box)
- Item 4: Value \$1, Weight 1 kg (Red box)
- Item 5: Value \$10, Weight 4 kg (Yellow box)

The goal is to maximize the total value within the 15 kg capacity.

$$w[1..5] = \{12, 1, 4, 1, 2\}$$
$$W = 15$$
[illegible]

Pseudocode

representing W takes only $O(\log W)$ bits

Recursive cases

for $i = 1, \dots, n$:

for $j = 0, \dots, W$:

if $j \geq w[i]$:

$m[i, j] \leftarrow \max(m[i - 1, j - w[i]] + v[i], m[i - 1, j])$

else:

$m[i, j] \leftarrow m[i - 1, j]$

Time per table entry = $O(1)$

Total time = $O(nW)$

NOT a polynomial-time algorithm

but "pseudo polynomial-time" polynomial in the value (not in the size)

Example: Changing Coins

We have n cents and need to get change in terms of denominations d_1, d_2, \dots, d_k . Goal is to use the fewest total number of coins.

Example: If denominations are 25c, 10c, and 1c, then solution for $n = 30c$ should be 10c+10c+10c.

Let $M[j]$ be the fewest number of coins needed to change j cents. Write a recursive formula for $M[j]$ in terms of $M[i]$ with $i < j$.

Example: Changing Coins

Optimal substructure: Suppose $M[j] = t$, meaning that

$$j = d_{i_1} + d_{i_2} + \cdots + d_{i_t}$$

for some $i_1, \dots, i_t \in \{1, \dots, k\}$. Then, if $j' = d_{i_1} + d_{i_2} + \cdots + d_{i_{t-1}}$, $M[j'] = t - 1$, because otherwise if $M[j'] < t - 1$, by **cut-and-paste** argument, $M[j] < t$.

$$M[j] = \begin{cases} 1 + \min_{i \in [k]} M[j - d_i], & j > 0 \\ 0, & j = 0 \\ \infty, & j < 0 \end{cases}$$

Example: Changing Coins

Using the above, derive a DP algorithm to compute the minimum number of coins of denomination d_1, \dots, d_k needed to change n cents.

Example: Changing Coins

NUM-COINTS-DP(n, d):

for $j = 0, \dots, n$:

$M[j] \leftarrow \infty$

$M[0] \leftarrow 0$

for $j = 1, \dots, n$:

for $i = 1, \dots, k$:

if $(j - d_i \geq 0) \wedge (M[j - d_i] + 1 < M[j])$:

$M[j] \leftarrow M[j - d_i] + 1$

return $M[n]$

Running time = $O(nk)$

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