

# Local Search: Goal Versus Path Search

---

CS3243: Introduction to Artificial Intelligence – Lecture 5a

6 February 2023

# Contents

---

1. Administrative Matters
2. Goal Versus Path Search
3. Local Search via Hill-Climbing
4. Local Beam Search
6. Constraint Satisfaction Problems (CSPs)
7. CSP Formulation
8. A First Look at an Algorithm for CSPs

# Administrative Matters

---

# Midterm Examination

---

- Schedule
  - Week 7 Lecture Slot
  - Monday (27 FEB), 1030-1130 hrs (Arrive by 1010 hrs)
- Venue
  - MPSH1a (Conducted in-person)
- Format
  - Duration = 1 hour
  - Total = 30 marks
  - Closed-book + Cheat Sheet (1 × Double-sided A4 Sheet)
  - Lectures 1-5 (i.e., everything up to and including this lecture)
- Practice Papers
  - Canvas > CS3244 > Files > Past Papers

# Consultations

---

- Project 1
  - Consultation recording → Canvas
  - Important notes on grid representation → Canvas
  - For more support → Message TA
  - Last resort → Email me ([dler@comp.nus.edu.sg](mailto:dler@comp.nus.edu.sg))
- Midterm
  - Review past midterm papers
  - Message TAs for clarifications

# Upcoming...

---

- Deadlines
  - TA3 (released last week)
    - *Due in your Week 5 tutorial session*
    - *Submit the a physical copy (more instructions on the Tutorial Worksheet)*
  - Prepare for the tutorial!
    - Participation marks = 5%
  - Project 1
    - *Due next Sunday (19 February), 2359 hrs*

# Goal Versus Path Search

---

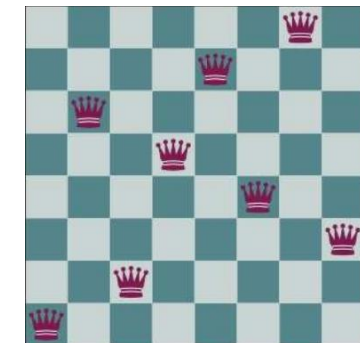
# Slightly Different Problems

- Thus far: finding a path to a goal
  - Algorithms track paths
  - Systematically search paths
- What if only interested in goal state?
  - Have goal test, but not values to satisfy it
  - Only want goal state values
- Optimisation problems
  - Vertex cover problems
  - Boolean satisfiability problems (SAT)
  - Travelling salesman problem
  - Timetabling / scheduling problems

- Sudoku

		3				9	
	1			7		2	4
4					1	5	
			9			3	
	8			1			7
		6			4		
	3		5				7
9		5		8			6
	7					4	

- n-queens





# Path Versus Goal

- Search problems – path planning
  - Path to a goal necessary
  - Path cost is important
- Local search – goal determination
  - Abandon systematic search – ignore path (and path cost)
  - Maintain “best” successor state – greedy search
- Advantages
  - Only store current and immediate successor states
    - Space complexity:  $O(b)$ 
      - Note that space complexity may be reduced to  $O(1)$  if successors may be processed one at a time
  - Applicable to very large or infinite search spaces

Path planning can satisfy the objective of goal search but does more than it needs to since we don't need the path

		3				9	
	1			7		2	4
4					1		5
			9			3	
	8			1			7
		6			4		
	3		5				7
9		5		8			6
	7					4	

Local Search is incomplete

# Local Search via Hill-Climbing

---

# Hill-Climbing Algorithm

```
current = initial_state
while true:
    neighbour = highest_valued_successor(current)
    if value(neighbour) ≤ value(current): return current
    current = neighbour
```

- How it works (steepest ascent – greedy strategy)
  - Starts with a *random* initial state (typically) – more on this later
  - Only store the current state
  - In each iteration, find a successor that *improves* on current state
    - Requires **actions** and **transition** to determine successors
    - Requires **value**; a way to value each state – e.g.,  $f(n) = -h(n)$
  - If none exists, return current state as the best option
    - This algorithm *can fail*; may return a non-goal state

Requires heuristic (similar to informed search heuristic)

# 8-Queens Example

- Given an 8×8 chess board
  - Place 8 queens
  - No queen must threaten another
  - $h$ : # *pairs of queens threatening each other*
- Search problem
  - State: 1 queen per column
  - Action: move 1 queen to different col. position
  - Goal: 0 pairs threatening
- Example  $h$ 
  - Consider top-most left-most cell ( $h$ -value is 18)

18	12	14	13	13	12	14	14
14	16	13	15	12	14	12	16
14	12	18	13	15	12	14	14
15	14	14	♙	13	16	13	16
♙	14	17	15	♙	14	16	16
17	♙	16	18	15	♙	15	♙
18	14	♙	15	15	14	♙	16
14	14	13	17	12	14	12	18

# 8-Queens Example

- Given an 8×8 chess board
  - Place 8 queens
  - No queen must threaten another
  - $h$ : # *pairs of queens threatening each other*
- Search problem
  - State: 1 queen per column
  - Action: move 1 queen to different col. position
  - Goal: 0 pairs threatening
- Example  $h$ 
  - Consider top-most left-most cell ( $h$ -value is 18)

C1 (now in top-most left-most cell) attacks C4, C5, C6, C7 [4]



# 8-Queens Example

- Given an 8×8 chess board
  - Place 8 queens
  - No queen must threaten another
  - $h$ : # *pairs of queens threatening each other*
- Search problem
  - State: 1 queen per column
  - Action: move 1 queen to different col. position
  - Goal: 0 pairs threatening
- Example  $h$ 
  - Consider top-most left-most cell ( $h$ -value is 18)

C1 (now in top-most left-most cell) attacks C4, C5, C6, C7 [4]

C2 attacks C3, C4, C6, C8 [4]



# 8-Queens Example

- Given an 8×8 chess board
  - Place 8 queens
  - No queen must threaten another
  - $h$ : # *pairs of queens threatening each other*
- Search problem
  - State: 1 queen per column
  - Action: move 1 queen to different col. position
  - Goal: 0 pairs threatening
- Example  $h$ 
  - Consider top-most left-most cell ( $h$ -value is 18)

C1 (now in top-most left-most cell) attacks C4, C5, C6, C7 [4]

C2 attacks C3, C4, C6, C8 [4]

C3 attacks C5, C7 [2]

18	12	14	13	13	12	14	14
14	16	13	15	12	14	12	16
14	12	18	13	15	12	14	14
15	14	14	♙	13	16	13	16
♙	14	17	15	♙	14	16	16
17	♙	16	18	15	♙	15	♙
18	14	♙	15	15	14	♙	16
14	14	13	17	12	14	12	18



# 8-Queens Example

- Given an 8×8 chess board
  - Place 8 queens
  - No queen must threaten another
  - $h$ : # *pairs of queens threatening each other*
- Search problem
  - State: 1 queen per column
  - Action: move 1 queen to different col. position
  - Goal: 0 pairs threatening
- Example  $h$ 
  - Consider top-most left-most cell ( $h$ -value is 18)

C1 (now in top-most left-most cell) attacks C4, C5, C6, C7 [4]

C4 attacks C5, C6, C7 [3]

C2 attacks C3, C4, C6, C8 [4]

C3 attacks C5, C7 [2]





# 8-Queens Example

- Given an 8×8 chess board
  - Place 8 queens
  - No queen must threaten another
  - $h$ : # *pairs of queens threatening each other*
- Search problem
  - State: 1 queen per column
  - Action: move 1 queen to different col. position
  - Goal: 0 pairs threatening
- Example  $h$ 
  - Consider top-most left-most cell ( $h$ -value is 18)

C1 (now in top-most left-most cell) attacks C4, C5, C6, C7 [4]

C4 attacks C5, C6, C7 [3]

C5 attacks C6, C7 [2]

C2 attacks C3, C4, C6, C8 [4]

C3 attacks C5, C7 [2]

18	12	14	13	13	12	14	14
14	16	13	15	12	14	12	16
14	12	18	13	15	12	14	14
15	14	14	♔	13	16	13	16
♔	14	17	15	♔	14	16	16
17	♔	16	18	15	♔	15	♔
18	14	♔	15	15	14	♔	16
14	14	13	17	12	14	12	18

# 8-Queens Example

- Given an 8×8 chess board
  - Place 8 queens
  - No queen must threaten another
  - $h$ : # *pairs of queens threatening each other*
- Search problem
  - State: 1 queen per column
  - Action: move 1 queen to different col. position
  - Goal: 0 pairs threatening
- Example  $h$ 
  - Consider top-most left-most cell ( $h$ -value is 18)

C1 (now in top-most left-most cell) attacks C4, C5, C6, C7 [4]

C4 attacks C5, C6, C7 [3]

C5 attacks C6, C7 [2]

C2 attacks C3, C4, C6, C8 [4]

C6 attacks C7, C8 [2]

C3 attacks C5, C7 [2]

18	12	14	13	13	12	14	14
14	16	13	15	12	14	12	16
14	12	18	13	15	12	14	14
15	14	14	♙	13	16	13	16
♙	14	17	15	♙	14	16	16
17	♙	16	18	15	♙	15	♙
18	14	♙	15	15	14	♙	16
14	14	13	17	12	14	12	18

# 8-Queens Example

- Given an 8×8 chess board
  - Place 8 queens
  - No queen must threaten another
  - $h$ : # *pairs of queens threatening each other*
- Search problem
  - State: 1 queen per column
  - Action: move 1 queen to different col. position
  - Goal: 0 pairs threatening
- Example  $h$ 
  - Consider top-most left-most cell ( $h$ -value is 18)

18	12	14	13	13	12	14	14
14	16	13	15	12	14	12	16
14	12	18	13	15	12	14	14
15	14	14	♙	13	16	13	16
♙	14	17	15	♙	14	16	16
17	♙	16	18	15	♙	15	♙
18	14	♙	15	15	14	♙	16
14	14	13	17	12	14	12	18

C1 (now in top-most left-most cell) attacks C4, C5, C6, C7 [4]

C4 attacks C5, C6, C7 [3]

C5 attacks C6, C7 [2]

C2 attacks C3, C4, C6, C8 [4]

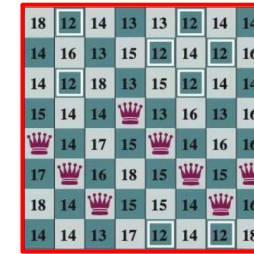
C6 attacks C7, C8 [2]

C3 attacks C5, C7 [2]

C7 attacks C8 [1]

# Complete-State Formulations

- States in the 8-Queens search problem have all 8 queens present
- Every state has all components of a solution
  - No partially completed states
  - All actions perturb current state by 1 move
- Each state is a potential solution
  - Apt for problems where path is not important
    - Simply “guess” a solution
    - “Check” its value
    - Make a “systemic guess” by moving to states of higher value (e.g., via  $f(n) = -h(n)$ )
      - Assumes that states with higher  $f$  values are closer to the goal (i.e., more likely to reach a goal)
- Most local search problems may be formulated in this manner



Practically, it is fine to use  $f(n) = h(n)$  and seek a local minima as well. In such cases, we simply replace the  $\leq$  in the algorithm with  $\geq$ .

# Hill-Climbing Algorithm (Revisited)

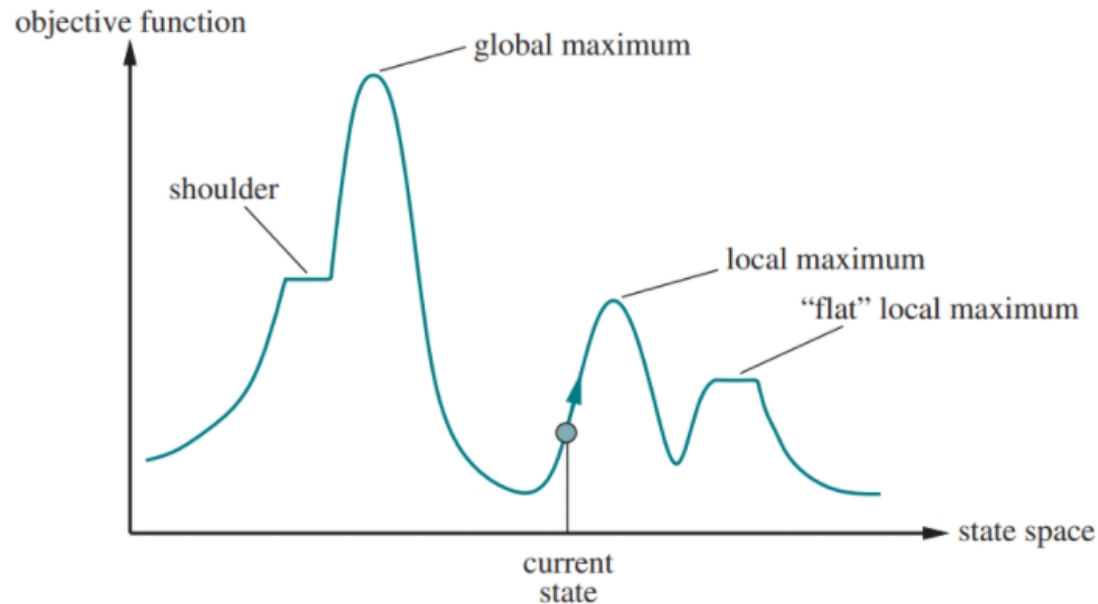
---

```
current = initial_state
while true:
    neighbour = highest_valued_successor(current)
    if value(neighbour) ≤ value(current): return current
    current = neighbour
```

- NOT guaranteed to find a goal!
  - **value** defined by informed search heuristic,  $h$ ; e.g.,  $f(n) = -h(n)$
  - Goal  $\rightarrow h(n) = 0$
- What happens if the returned state is not a goal state?
- When does this happen?

# Issues & the Potential for Failure

- Hill-climbing may not return a solution



- May get stuck at
  - Local Maxima
  - Shoulder or Plateau
  - Ridge (sequence of local maxima)
- Require strategies to counter these problems

# Hill-Climbing Variants

---

- Stochastic hill climbing
  - Changes **highest\_valued\_successor** ( . . )
  - Chooses randomly among states with values better than current
  - May take longer to find a solution but sometimes leads to better solutions
- First-choice hill climbing
  - Changes **highest\_valued\_successor** ( . . )
  - Handles high b by randomly generating successors until one with better value than current is found (instead of generating all possible successors)

# Hill-Climbing Variants

---

- Sideways move
  - Replaces  $\leq$  with  $<$ ; allows continuation when **value(neighbour) == value(current)**
  - Can traverse shoulders / plateaus
- Random-restart hill climbing
  - Different algorithm
  - Adds an outer loop which randomly picks a new starting state
  - Keeps attempting random restarts until a solution is found



# Random Restarts Hill-Climbing Algorithm

---

```
current = NULL
while current is NULL or not isGoal(current) :
    current = random_initial_state()
    while true:
        neighbour = highest_valued_successor(current)
        if value(neighbour) < value(current) :
            break
        current = neighbour
return current
```

- Changes from the Hill-Climbing Algorithm
  - Utilises **isGoal**; if goal not found then loops with a random restart
  - Requires function to generate random initial state: *random\_initial\_state*()
  - Considers sideways moves since it utilises < instead of  $\leq$

# Back to 8-Queens: Analysis

- Hill climbing (via steepest-ascent) with random restarts
  - Solution:  $p_1 = 14\%$  (expected solution in 4 steps; expected failure in 3 steps)
  - Expected computation =  $1 \times (\text{steps for success}) + ((1 - p_1) / p_1) \times (\text{steps for failure})$   
 $= 1 \times (4) + (0.86/0.14) \times (3)$   
 $= 22.428571428571427$  steps
- Adding sideways moves
  - Solution:  $p_2 = 94\%$  (expected solution in 21 steps; expected failure in 64 steps)
  - Expected computation =  $1 \times (\text{steps for success}) + ((1 - p_1) / p_1) \times (\text{steps for failure})$   
 $= 1 \times (21) + (0.06/0.94) \times (64)$   
 $= 25.085106382978722$  steps

$(1 - p_1) / p_1$  determines the expected number of failed attempts

- 8-Queens possible states =  $8^8 = 16777216$

Extremely efficient for such a large space

Expected values taken from AIMA pp. 131

# Local Beam Search

---

# Local Beam Search

---

- Store  $k$  states instead of 1
  - Hill climbing just stores the current state
  - Beam (window) stores  $k$
- Algorithm
  - Begins with  $k$  random starts
  - Each iteration generate successors for all  $k$  states
  - Repeat with best  $k$  among ALL generated successors unless goal found
- Better than  $k$  parallel random restarts
  - Since best  $k$  among ALL successors taken (not best from each set of successors,  $k$  times)
- Stochastic beam search
  - Original variant may still get stuck in a local cluster
  - Adopt stochastic strategy similar to stochastic hill climbing to increase state diversity

# Questions about the Lecture?

---

- Was anything unclear?
- Do you need to clarify anything?
- Ask on Archipelago
  - Specify a question
  - Upvote someone else's question



Invitation Link (Use NUS Email --- starts with E)  
<https://archipelago.rocks/app/resend-invite/12384352999>

# Constraint Satisfaction Problems: Generalising Goal Search I

---

CS3243: Introduction to Artificial Intelligence – Lecture 5b

6 February 2023

# Systematic Goal Search

- With local search we apply greedy search strategies
  - Are there more *systematic* search strategies applicable?
- Issues with systematic searching
  - Systematic approaches tend to be computationally expensive
    - Incorporating domain knowledge via heuristics helped direct the search such that less was searched
    - Need to reduce the search space to make a systematic search more viable
- A general solution
  - Use a factored representation for each state
    - State: set of variables  $X = \{x_1, \dots, x_n\}$ , where each variable  $x_i$  has a domain  $D_i = \{d_1, \dots, d_m\}$
  - Divide the goal test into a set of constraints
    - If a state satisfies all constraints, it is a goal state
  - Constraint satisfaction problem (CSP)
    - Any state that does not satisfy a constraint should not be further explored

CSPs systematically search for goal states by pruning invalid subtrees as early as possible

# CSP Formulation

---



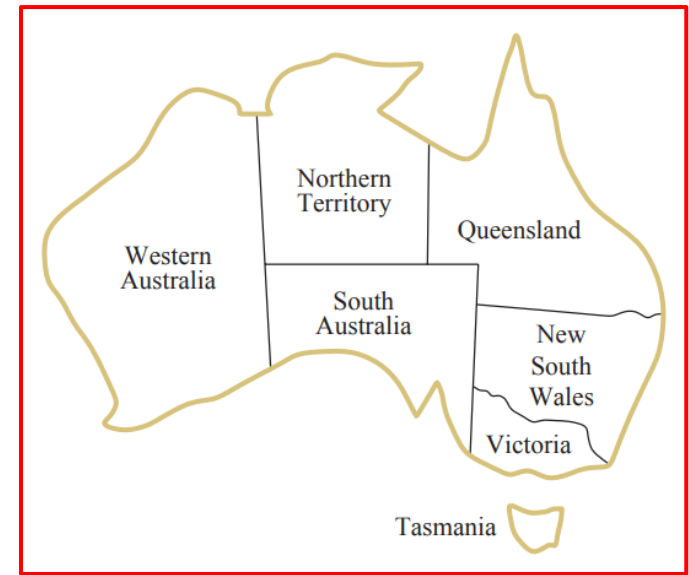
# Formulating CSPs

---

- State representation
  - Variables:  $X = \{x_1, \dots, x_n\}$
  - Domains:  $D = \{d_1, \dots, d_k\}$ 
    - Such that  $x_i$  has a domain  $d_i$
  - Initial state: all variables unassigned
  - Intermediate state: partial assignment
- Goal test
  - Constraints:  $C = \{c_1, \dots, c_m\}$ 
    - Defined via a constraint language
      - Algebra, Logic, Sets
    - Each  $c_i$  corresponds to a requirement on some subset of  $X$
  - Objective is a **complete** and **consistent** assignment
    - Find a legal assignment  $(y_1, \dots, y_n)$ 
      - $y_i \in d_i$  for all  $i \in [n]$
    - Complete: all variables assigned values
    - Consistent: all constraints  $C$  satisfied
- Actions, costs and transition
  - Assignment of values (within domain) to variables
  - Costs are not utilised

# CSP Formulation Example 1: Graph Colouring

- Colour each state of Australia such that no two adjacent states share the same colour
- Variables
  - $X = \{ WA, NT, Q, NSW, V, SA, T \}$
- Domains
  - $d_i = \{ \text{Red, Green, Blue} \}$
- Constraints
  - $\forall (x_i, x_j) \in E, \text{colour}(x_i) \neq \text{colour}(x_j)$



# CSP Formulation Example 2: Cryptarithmic Puzzle

- Given that each letter represents a digit, determine the letter-digit mapping that solves the given sum
- Variables
  - $X = \{ T, W, O, F, U, R, B_1, B_2, B_3 \}$
  - Where  $B_1, B_2, B_3$  are carry bits for  $(2O, 2W, 2T)$  respectively)
- Domains
  - $d_i = \{ 0, 1, 2, 3, 4, 5, 6, 7, 8, 9 \}$
  - Strictly,  $B_1, B_2, B_3$  should have domain  $\{0, 1\}$

$$\begin{array}{r} T \quad W \quad O \\ + \quad T \quad W \quad O \\ \hline F \quad O \quad U \quad R \end{array}$$

- Constraints
  - **alldiff**( $T, W, O, F, U, R$ )
  - $O + O = R + 10.B_1$
  - $B_1 + W + W = U + 10.B_2$
  - $B_2 + T + T = O + 10.B_3$
  - $B_3 = F$
  - $T, F \neq 0$

# CSP Formulation Example 3: Sudoku

- Variables
  - $X = \{ A_1, \dots, A_9, \dots, I_1, \dots, I_9 \}$
  - 81 variables
- Domains
  - $d_i = \{ 1, 2, 3, 4, 5, 6, 7, 8, 9 \}$
- Constraints
  - alldiff(...)**
    - 27 cases
      - 9 columns
      - 9 rows
      - 9 boxes

	1	2	3	4	5	6	7	8	9
A			3		2		6		
B	9			3		5			1
C			1	8		6	4		
D			8	1		2	9		
E	7								8
F			6	7		8	2		
G			2	6		9	5		
H	8			2		3			9
I			5		1		3		

	1	2	3	4	5	6	7	8	9
A	4	8	3	9	2	1	6	5	7
B	9	6	7	3	4	5	8	2	1
C	2	5	1	8	7	6	4	9	3
D	5	4	8	1	3	2	9	7	6
E	7	2	9	5	6	4	1	3	8
F	1	3	6	7	9	8	2	4	5
G	3	7	2	6	8	9	5	1	4
H	8	1	4	2	5	3	7	6	9
I	6	9	5	4	1	7	3	8	2

# Variable Domain Types & Constraint Types

- Variable domain types

- Continuous
- Discrete
- Continuous and Infinite
  - Real values
- Discrete and Infinite
  - All integers
- Discrete and finite
  - Sudoku

CS3243 focuses on  
discrete, finite domains

- Constraint types

- Linear
- Nonlinear

Continuous domain and linear  
constraints → linear programming

Not covered in CS3243

# More on Constraints

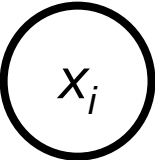

- A language is necessary to express the constraints
  - Arithmetic
  - Sets (of legal values)
  - Logic
- For example,  $x_1$  greater than  $x_2$  given  $d = \{1, 2, 3\}$  may be written
  - $\langle (x_1, x_2), x_1 > x_2 \rangle$
  - $\langle (x_1, x_2), \{ (2, 1), (3, 1), (3, 2) \} \rangle$
- Each constraint,  $c_i$ ,
  - Describes the necessary relationship, **rel**, between a set of variables, **scope**
    - For the example above, **scope** =  $(x_1, x_2)$ . **rel** =  $x_1 > x_2$
- Types of constraints
  - Unary:  $|\text{scope}| = 1$
  - Binary:  $|\text{scope}| = 2$
  - Global:  $|\text{scope}| > 2$  (i.e., higher-order constraints)

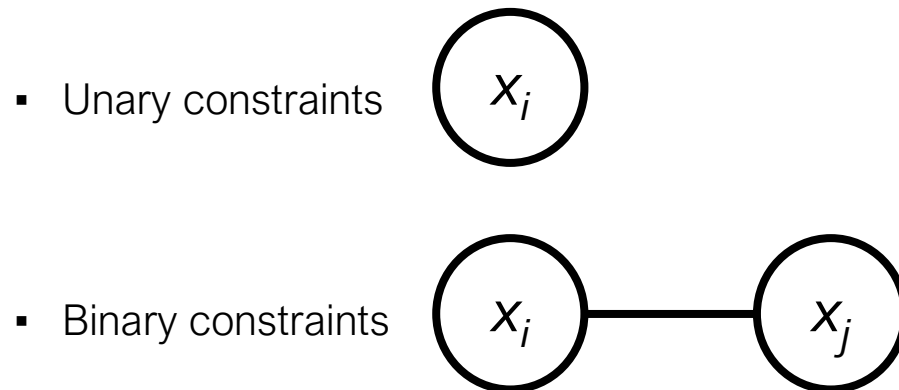
# Constraint Graphs

---

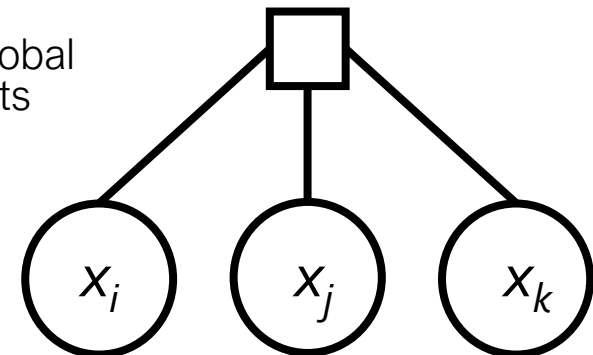
# Drawing Constraint Graphs and Hypergraphs

- Constraint graphs represent the constraints in a CSP

- Simple Vertex: variable 
- Linking Vertex: for global constraints 
- Edge: links all variables in the scope of a constraint (*rel*)

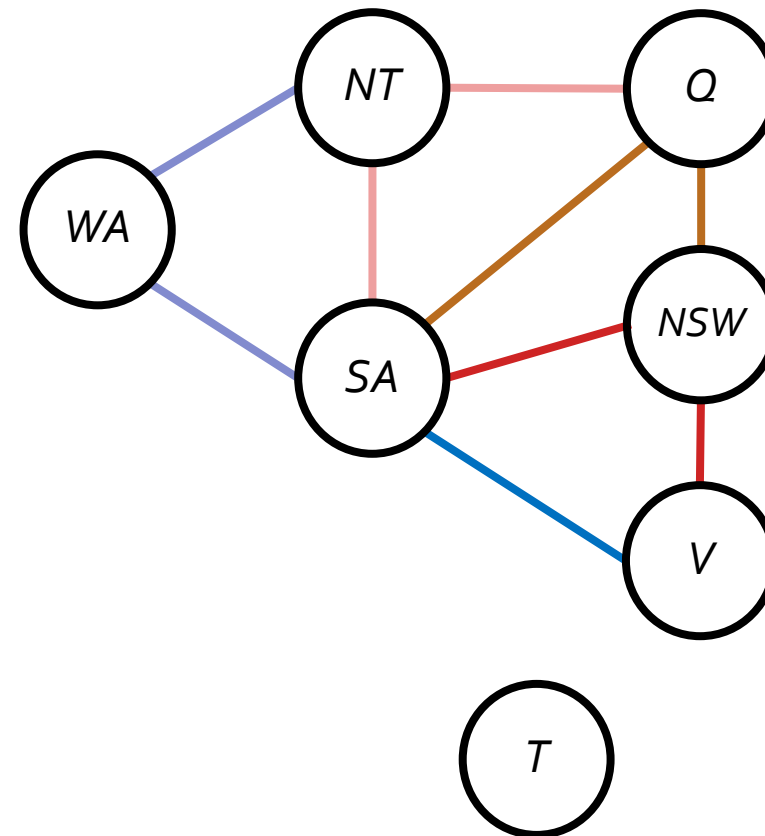
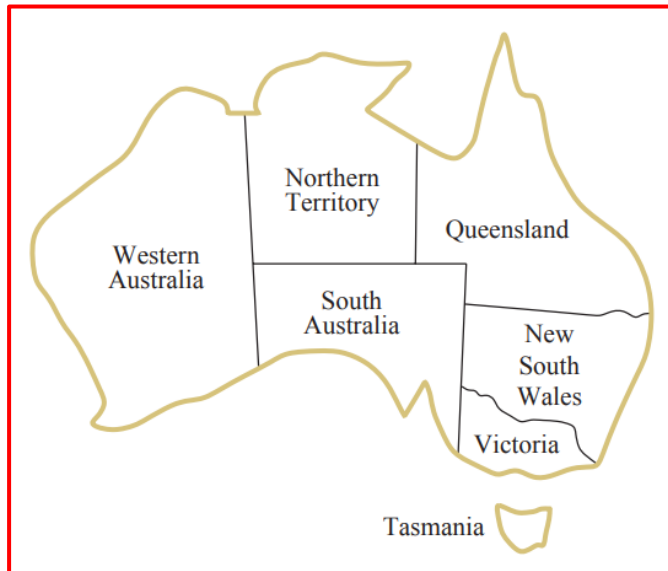


- Binary/Global constraints





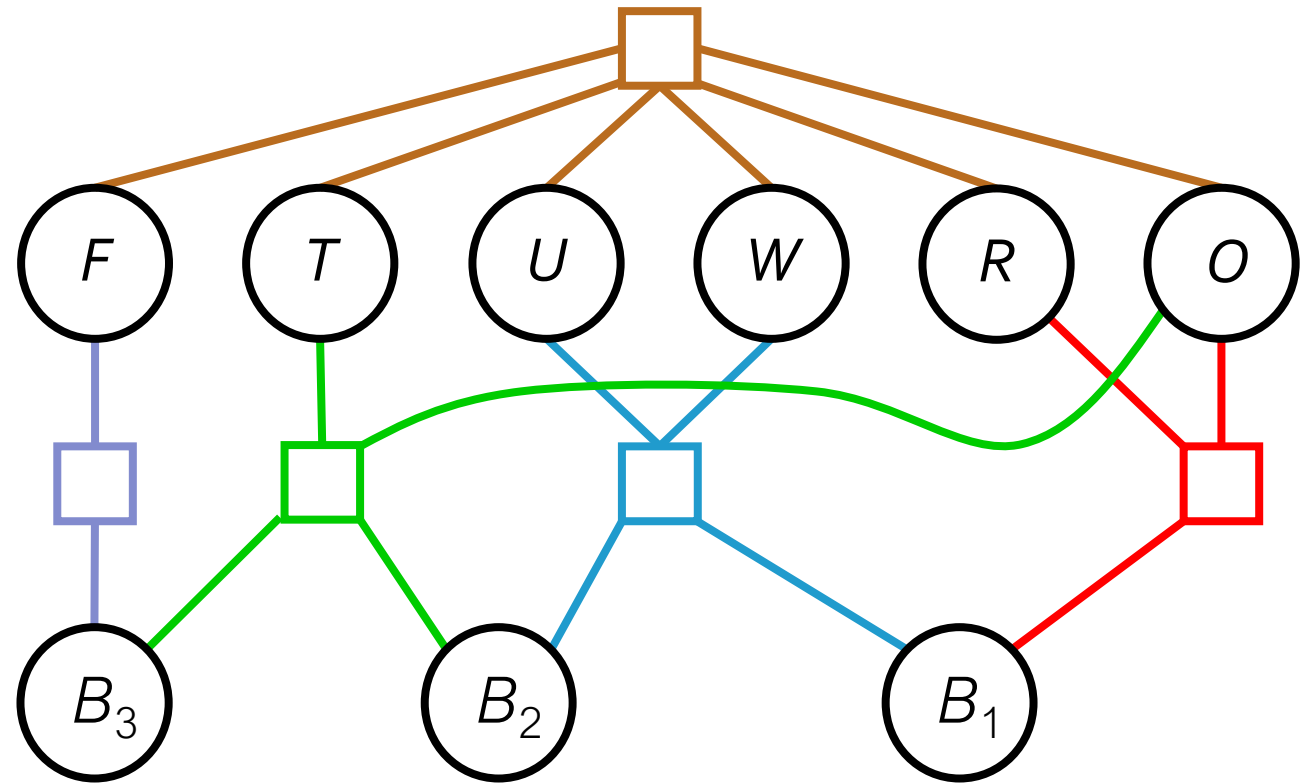
# Constraint Graph for Example 1: Graph Colouring



# Constraint Graph for Example 2: Cryptarithmic Puzzle

$$\begin{array}{r} T \ W \ O \\ + \ T \ W \ O \\ \hline F \ O \ U \ R \end{array}$$

- Constraints
  - alldiff**( $T, W, O, F, U, R$ )
  - $O + O = R + 10.B_1$
  - $B_1 + W + W = U + 10.B_2$
  - $B_2 + T + T = O + 10.B_3$
  - $B_3 = F$
  - $T, F \neq 0$



# A First Look at an Algorithm for CSPs

---

# General Idea for the Algorithm

```
assignments = initial state (no assignments made)
while assignments incomplete:
    if no possible assignments left return failure
    current = assign a value to non-assigned variable
    if current consistent then assignments.store(current)
return assignments
```

- Applicable to all CSPs
- Search path irrelevant
  - May use complete-state formulation
- All solutions require  $|X| = n$  assignments

Which algorithm should be used?

**DFS**

# Search Tree Size

- Example CSP

- $X = \{A, B, C, D\}$
- All domains:  $d = \{1, 2, 3\}$
- No constraints

- Analysis

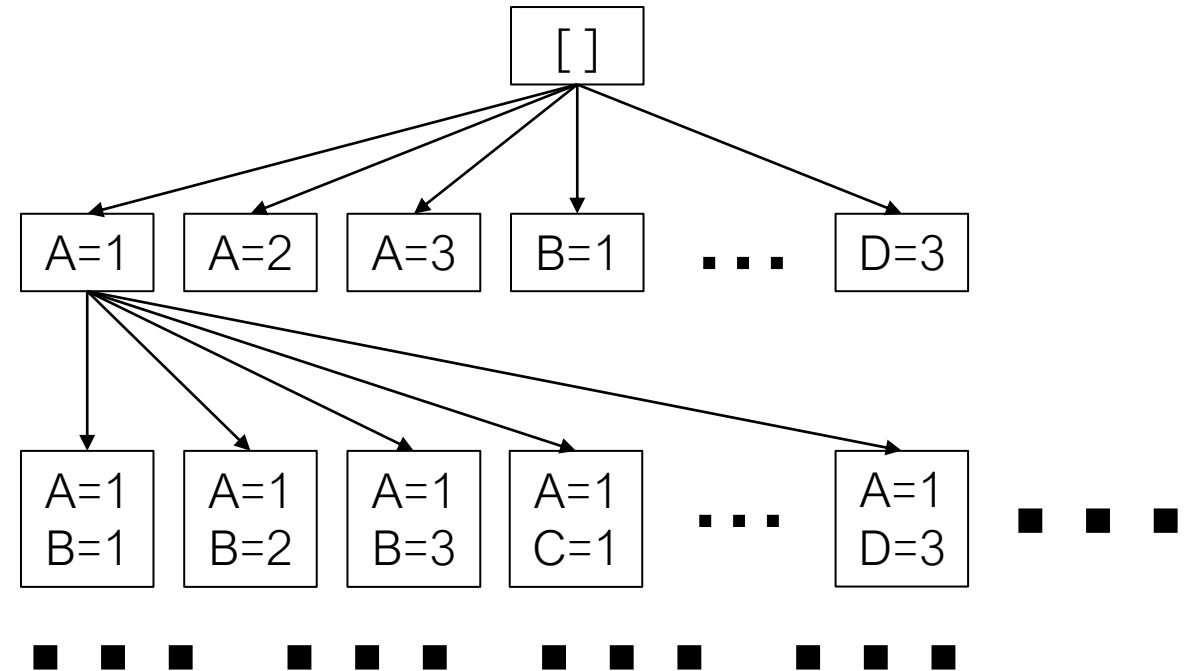
b at depth 1: 4 variables  $\times$  3 values = 12 states  
b at depth 2: 3 variables  $\times$  3 values = 9 states  
b at depth 3: 2 variables  $\times$  3 values = 6 states  
b at depth 4: 1 variables  $\times$  3 values = 3 states

At depth  $\ell$  :  $(|X| - \ell) \cdot |d|$  states

Total number of leaf states:

$$nm \times (n-1)m \times (n-2)m \times \dots \times 2m \times m = n!m^n$$

where  $n = |X|$  and  $m = |d|$



Order of variable assignments not important  
Just consider assignments to ONE variable per level ( $m^n$  leaves)

***Basic uninformed search for CSPs: Backtracking***

Backtrack when no legal assignments

# Backtracking Algorithm for CSPs

**function** BACKTRACKING-SEARCH(*csp*) **returns** a solution or *failure*  
    **return** BACKTRACK(*csp*, { })

**function** BACKTRACK(*csp*, *assignment*) **returns** a solution or *failure*

**if** *assignment* is complete **then return** *assignment*

*var* ← SELECT-UNASSIGNED-VARIABLE(*csp*, *assignment*)

Determine the variable to assign to

**for each** *value* **in** ORDER-DOMAIN-VALUES(*csp*, *var*, *assignment*) **do**

Determine the value to assign

**if** *value* is consistent with *assignment* **then**

            add {*var* = *value*} to *assignment*

*inferences* ← INFERENCE(*csp*, *var*, *assignment*)

Trying to determine if the chosen assignment will lead to a terminal state

**if** *inferences* ≠ *failure* **then**

                add *inferences* to *csp*

*result* ← BACKTRACK(*csp*, *assignment*)

Continues recursively as long as the *assignment* is *viable*

**if** *result* ≠ *failure* **then return** *result*

                remove *inferences* from *csp*

            remove {*var* = *value*} from *assignment*

**return** *failure*

We will look into making these choices in the next lecture

# Questions about the Lecture?

---

- Was anything unclear?
- Do you need to clarify anything?
- Ask on Archipelago
  - Specify a question
  - Upvote someone else's question



Invitation Link (Use NUS Email --- starts with E)  
<https://archipelago.rocks/app/resend-invite/12384352999>