Handwritten Recognition via Convolutional Neural Network

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Jian Sun DUID: 873397832

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2 Introduction

In this project, we implement two methods to recognize MNIST dataset.

One is to code the VGG-16 from scratch and train it with the MNIST from the very beginning, another method is to train MNISR with existing VGG-16 weight drawn from Imagenet dataset. Specifically, we will discuss the prediction capability after freezing all convolutional layers or freezing all fully connected layers. This will help us to learn the power of different layers in convolutional neural network. Before doing the experiment, we hypothesize that fully connected layer is vital crucial. Without it, the whole nerual network will perform extremely worse. Conversely, convolional layer doesn't play an important layer for MNIST dataset, since it is a binery image dataset, not the colorful one.

3 Model

3.1 Data Processing

The whole MNIST is splitted as the following three parts. The training set has 48000 28X28 images; the validation set has 12000 28X28 images; the testing set has 10000 28X28 images.

Then, given that the VGG-16 doesn't accept image which size is smaller than 32X32, the current images have to be enlarged. The method here is adding paddings. And the final size is 34X34, which fits for VGG-16 model.

3.2 Train VGG-16 with MNIST from Scratch

This part starts from stacking layers. With the help of Sequence() from keras, we build the network up. And we set the batch size as 16, epoch as 500, optimizer as adam, loss as categorical cross entropy loss.

Callbacks function is used here to reduce the learning rate automatically and stop the training when validation loss doesn't change for 10 epochs, this function saves us lots of time and is very convenient.

3.3 Predict MNIST by Trained Imagenet Weight in VGG-16

A very powerful function, VGG16() from keras.applications helps to construct the neural network here. And we set the batch size as 16, epoch as 100, optimizer as adam, loss as categorical cross entropy loss.

Callbacks funciton is also used here to reduce the learning rate automatically and stop the training when validation loss doesn't change for 8 epochs, this function saves us lots of time and is very convenient.

3.4 Freeze All The Convolutional Layers Retrain VGG-16 Network On MNIST

A very powerful function, VGG16() from keras.applications helps to construct the neural network here. And we set the batch size as 16, epoch as 100, optimizer as adam, loss as categorical cross entropy loss. Additionally, the convolutional layers are frozen by code: layer.trainable = False.

Callbacks funciton is also used here to reduce the learning rate automatically and stop the training when validation loss doesn't change for 8 epochs, this function saves us lots of time and is very convenient.

3.5 Freeze All The Fully Connected Layers Retrain VGG-16 Network On MNIST

A very powerful function, VGG16() from keras.applications helps to construct the neural network here. And we set the batch size as 16, epoch as 100, optimizer as adam, loss as categorical cross entropy loss. Additionally, the fully connected layers are frozen by code: layer.trainable = False.

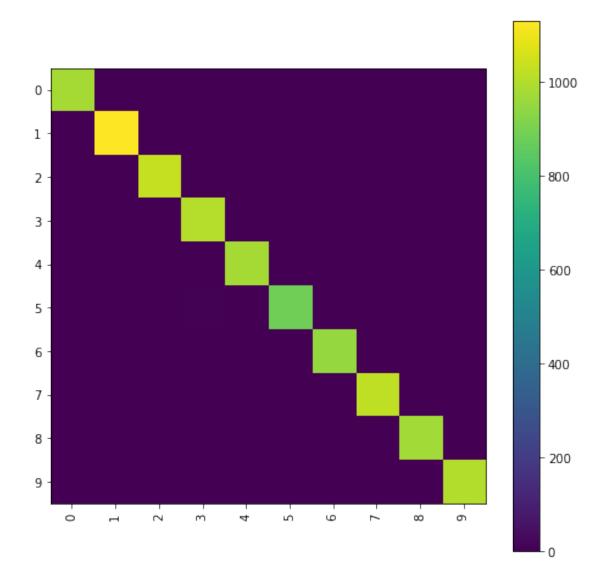
Callbacks funciton is also used here to reduce the learning rate automatically and stop the training when validation loss doesn't change for 8 epochs, this function saves us lots of time and is very convenient.

4 Train VGG-16 with MNIST from Scratch

The training stops at epoach 11 with the training loss of 4.9966e-04, the training accuracy of 0.9999, the validation loss of 0.0688 and the validation accuracy of 0.9934, when the learning rate is 7.999999979801942e-07.

Next, we use this weight to predict testing set, the prediction loss is 0.0492 and the prediction accuracy is 0.9953.

```
In [111]: print('Got %d / %d correct' % (num_correct, num_test))
          print('Accuracy = %f' % (np.mean(y_test == y_pred)))
          print(confusion_matrix(y_test, y_pred))
          print(classification_report(y_test, y_pred,
                                       target_names=list(label_dict.values()),digits=3))
          plt.figure(figsize=(8,8))
          cnf_matrix = confusion_matrix(y_test, y_pred)
          classes = list(label_dict.values())
          plt.imshow(cnf_matrix, interpolation='nearest')
          plt.colorbar()
          tick_marks = np.arange(len(classes))
          = plt.xticks(tick_marks, classes, rotation=90)
          _ = plt.yticks(tick_marks, classes)
Got 9953 / 10000 correct
Accuracy = 0.995300
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    accuracy
   macro avg
                  0.995
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weighted avg
                   0.995
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                                                  10000
```

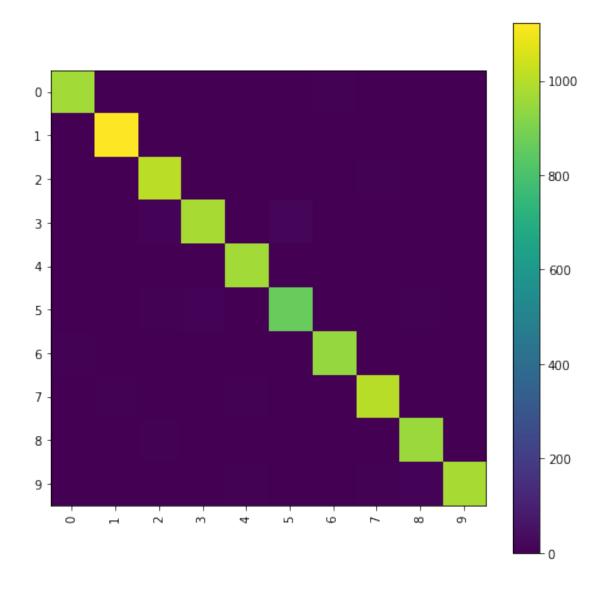


5 Predict MNIST by Trained Imagenet Weight in VGG-16

The training stops at epoach 37 with the training loss of 0.0303, the training accuracy of 0.9920, the validation loss of 0.0724 and the validation accuracy of 0.9778, when the learning rate is 6.400000529538374e-08.

Next, we use this weight to predict testing set, the prediction loss is 0.0672 and the prediction accuracy is 0.9788.

```
plt.figure(figsize=(8,8))
        cnf_matrix = confusion_matrix(y_test, y_pred)
        classes = list(label_dict.values())
        plt.imshow(cnf_matrix, interpolation='nearest')
        plt.colorbar()
        tick_marks = np.arange(len(classes))
        _ = plt.xticks(tick_marks, classes, rotation=90)
        _ = plt.yticks(tick_marks, classes)
Got 9788 / 10000 correct
Accuracy = 0.978800
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    accuracy
   macro avg
                   0.979
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                                                   10000
weighted avg
                   0.979
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```

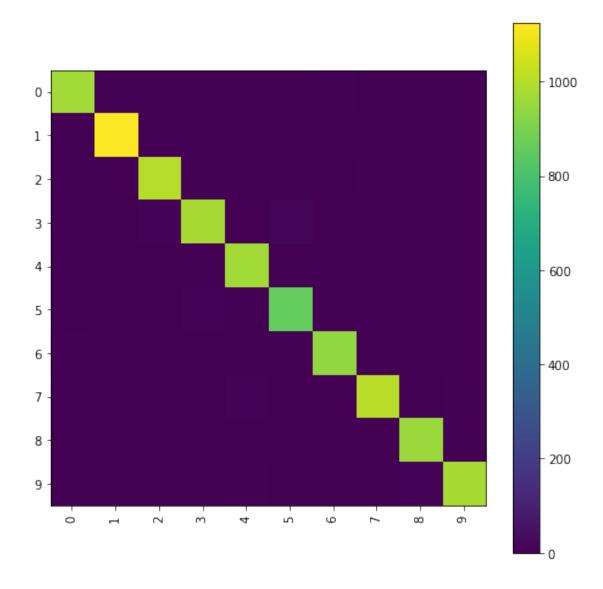


6 Freeze All The Convolutional Layers Retrain VGG-16 Network On MNIST

The training stops at epoach 22 with the training loss of 0.0272, the training accuracy of 0.9924, the validation loss of 0.0723 and the validation accuracy of 0.9788, when the learning rate is 6.400000529538374e-08.

Next, we use this weight to predict testing set, the prediction loss is 0.0693 and the prediction accuracy is 0.9771.

```
print(classification_report(y_test, y_pred,
                                     target_names=list(label_dict.values()),digits=3))
        plt.figure(figsize=(8,8))
        cnf_matrix = confusion_matrix(y_test, y_pred)
        classes = list(label_dict.values())
        plt.imshow(cnf_matrix, interpolation='nearest')
        plt.colorbar()
        tick_marks = np.arange(len(classes))
        _ = plt.xticks(tick_marks, classes, rotation=90)
        _ = plt.yticks(tick_marks, classes)
Got 9771 / 10000 correct
Accuracy = 0.977100
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    accuracy
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   macro avg
weighted avg
                  0.977
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```



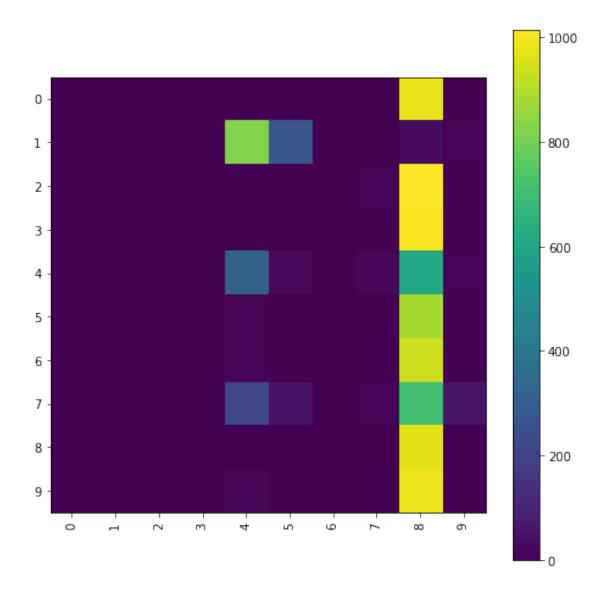
7 Freeze All The Fully Connected Layers Retrain VGG-16 Network On MNIST

The training stops at epoach 9 with the training loss of 2.4916, the training accuracy of 0.1330, the validation loss of 2.5009 and the validation accuracy of 0.1352, when the learning rate is 8.000000525498762e-06.

Next, we use this weight to predict testing set, the prediction loss is 2.4918 and the prediction accuracy is 0.1296.

```
print(classification_report(y_test, y_pred,
                                     target_names=list(label_dict.values()),digits=3))
        plt.figure(figsize=(8,8))
        cnf_matrix = confusion_matrix(y_test, y_pred)
        classes = list(label_dict.values())
        plt.imshow(cnf_matrix, interpolation='nearest')
        plt.colorbar()
        tick_marks = np.arange(len(classes))
        _ = plt.xticks(tick_marks, classes, rotation=90)
        _ = plt.yticks(tick_marks, classes)
Got 1296 / 10000 correct
Accuracy = 0.129600
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           8
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                                                    974
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    accuracy
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                  0.057
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   macro avg
weighted avg
                             0.130
                                       0.049
                                                  10000
                  0.057
```

/usr/local/lib/python3.6/dist-packages/sklearn/metrics/classification.py:1437: UndefinedMetric 'precision', 'predicted', average, warn_for)



7.1 DiscussionHere is a detailed table for all 4 parts.

Methods	Scratch	Retrain	Freeze Conv	Freeze Fully Con
Train Acc	99.99%	99.20%	99.24%	13.30%
Train Loss	5e^-4	0.0303	0.0272	2.4916
Valid Acc	99.34%	97.78%	97.88%	13.52%
Valid Loss	0.0688	0.0724	0.0723	2.5009
Test Acc	99.53%	97.88%	97.71%	12.96%
Test Loss	0.0492	0.0672	0.0693	2.4918
Time Per Epoch	143s	5s	5.5s	3s
Learning Rate	8e^-7	6e^-8	6e^-8	8e^-6

As we can see, the total rank for training part is Scratch > Freeze Conv > Retrain > Freeze Fully Con. Scratch performs best on training part. VGG-16 freezing all convolutional layers performs bettern than Retrain it on MNIST. It is a little weird, but it is truth. Meanwhile, VGG-16 freezing all full connected layers performs worst.

The total rank for testing part is Scratch > Retrain > Freeze Conv > Freeze Fully Con.

In general, from this comparison, we recognize that full connected layer is so important for CNN, however, if we close convolutional layer, our result will not be influenced a lot. Perhaps, it is due to MNIST dataset as the binery image dataset, not the colorful one. Thus lossing convolutional layers doesn't affect a lot on CNN's performance. However, lossing fully connected layer means different, it will ruin your prediction, since we need softmax to do classification.

CNN is a powerful model, there is huge CNN families. We hope to dig more.

8 Appendix

8.1 Load Packages

```
In [0]: # import dataset and seperate them as train set and test set
        # index x represents image, index y represents label
        import tensorflow as tf
        import keras
        from numpy.linalg import *
        from tensorflow import keras
        import matplotlib.pyplot as plt
        from keras.optimizers import Adam
        from keras.models import Sequential
        from keras.applications import VGG16
        from keras.utils import to_categorical
        from keras.layers.convolutional import *
        from keras import callbacks, layers, optimizers, models
        import os, cv2, random, sklearn, sklearn.metrics, numpy as np
        from sklearn.preprocessing import StandardScaler
        from keras.metrics import categorical_crossentropy
        from sklearn.model_selection import train_test_split
        from keras.layers.normalization import BatchNormalization
        from keras.layers import Input, Dense, Conv2D, MaxPooling2D, Activation, Dropout, Flat
        from sklearn.metrics import classification_report, confusion_matrix
        from keras.layers.convolutional import Convolution2D, MaxPooling2D, ZeroPadding2D
        from __future__ import absolute_import, division, print_function, unicode_literals
```

8.2 Load Dataset and Data Preparing

```
label_dict = {0: '0', 1: '1', 2: '2', 3: '3', 4: '4',
                      5: '5', 6: '6', 7: '7', 8: '8', 9: '9'}
In [86]: # Verify that the data is in the correct format.
        plt.figure(figsize=(10,10))
        for i in range(25):
            plt.subplot(5,5,i+1)
            plt.xticks([])
            plt.yticks([])
            plt.grid(False)
            plt.imshow(x_train[i], cmap=plt.cm.binary)
            plt.xlabel(label_dict[y_train[i]])
        plt.show()
```

```
In [186]: x_train = x_train.reshape(np.shape(x_train)[0], 28*28)
          x_test = x_test.reshape(np.shape(x_test)[0], 28*28)
          # Convert the images into 3 channels
          X_train=np.dstack([x_train] * 3)
          X_test=np.dstack([x_test] * 3)
          print(np.shape(X_train))
          print(np.shape(X_test))
          # Reshape images as per the tensor format required by tensorflow
          X_{train} = X_{train.reshape}(-1, 28, 28, 3)
          X_{\text{test}} = X_{\text{test.reshape}} (-1,28,28,3)
          print(np.shape(X_train))
          print(np.shape(X_test))
          # Resize the images 34*34 as required by VGG16
          X_{tr} = \text{np.pad}(X_{train}, ((0,0),(3,3),(3,3),(0,0)), 'constant')
          X_{te} = np.pad(X_{test}, ((0,0),(3,3),(3,3),(0,0)), 'constant')
          X_{tr} = np.float32(X_{tr})/255.
          X_{te} = np.float32(X_{te})/255.
          print(np.shape(X_tr))
          print(np.shape(X_te))
(60000, 784, 3)
(10000, 784, 3)
(60000, 28, 28, 3)
(10000, 28, 28, 3)
(60000, 34, 34, 3)
(10000, 34, 34, 3)
In [187]: # Splitting train data as train and validation data
          train_X,valid_X,train_label,valid_label = train_test_split(X_tr,
                                                                        y_train_labels,
                                                                        test_size=0.2,
                                                                        random_state=13)
          # Finally check the data size whether it is as per tensorflow and VGG16 requirement
          train_X.shape,valid_X.shape,train_label.shape,valid_label.shape
Out[187]: ((48000, 34, 34, 3), (12000, 34, 34, 3), (48000, 10), (12000, 10))
In [0]: # Define the parameters for instanitaing VGG16 model.
        IMG\ WIDTH = 34
        IMG_HEIGHT = 34
        IMG DEPTH = 3
        BATCH_SIZE = 16
   Train VGG-16 with MNIST from Scratch
In [176]: input_tensor = Input(shape=(IMG_WIDTH, IMG_HEIGHT, IMG_DEPTH))
          #input_tensor = input_tensor
          vg16_m = VGG16(weights=None,input_tensor = input_tensor)
```

type(vg16_m)
model = Sequential()
for layer in vg16_m.layers[0:22]:
 model.add(layer)
model.summary()

Layer (type)	Output Shape	Param #
block1_conv1 (Conv2D)	(None, 34, 34, 64)	1792
block1_conv2 (Conv2D)	(None, 34, 34, 64)	36928
block1_pool (MaxPooling2D)	(None, 17, 17, 64)	0
block2_conv1 (Conv2D)	(None, 17, 17, 128)	73856
block2_conv2 (Conv2D)	(None, 17, 17, 128)	147584
block2_pool (MaxPooling2D)	(None, 8, 8, 128)	0
block3_conv1 (Conv2D)	(None, 8, 8, 256)	295168
block3_conv2 (Conv2D)	(None, 8, 8, 256)	590080
block3_conv3 (Conv2D)	(None, 8, 8, 256)	590080
block3_pool (MaxPooling2D)	(None, 4, 4, 256)	0
block4_conv1 (Conv2D)	(None, 4, 4, 512)	1180160
block4_conv2 (Conv2D)	(None, 4, 4, 512)	2359808
block4_conv3 (Conv2D)	(None, 4, 4, 512)	2359808
block4_pool (MaxPooling2D)	(None, 2, 2, 512)	0
block5_conv1 (Conv2D)	(None, 2, 2, 512)	2359808
block5_conv2 (Conv2D)	(None, 2, 2, 512)	2359808
block5_conv3 (Conv2D)	(None, 2, 2, 512)	2359808
block5_pool (MaxPooling2D)	(None, 1, 1, 512)	0
flatten (Flatten)	(None, 512)	0
fc1 (Dense)	(None, 4096)	2101248

fc2	(Dense)	(None,	4096)	10/81312
f a O	(Domas)	(None	4006)	16701210

Total params: 33,597,248 Trainable params: 33,597,248 Non-trainable params: 0

Layer (type)	Output Shape	Param #
block1_conv1 (Conv2D)	(None, 34, 34, 64)	1792
block1_conv2 (Conv2D)	(None, 34, 34, 64)	36928
block1_pool (MaxPooling2D)	(None, 17, 17, 64)	0
block2_conv1 (Conv2D)	(None, 17, 17, 128)	73856
block2_conv2 (Conv2D)	(None, 17, 17, 128)	147584
block2_pool (MaxPooling2D)	(None, 8, 8, 128)	0
block3_conv1 (Conv2D)	(None, 8, 8, 256)	295168
block3_conv2 (Conv2D)	(None, 8, 8, 256)	590080
block3_conv3 (Conv2D)	(None, 8, 8, 256)	590080
block3_pool (MaxPooling2D)	(None, 4, 4, 256)	0
block4_conv1 (Conv2D)	(None, 4, 4, 512)	1180160
block4_conv2 (Conv2D)	(None, 4, 4, 512)	2359808
block4_conv3 (Conv2D)	(None, 4, 4, 512)	2359808
block4_pool (MaxPooling2D)	(None, 2, 2, 512)	0
block5_conv1 (Conv2D)	(None, 2, 2, 512)	2359808
block5_conv2 (Conv2D)	(None, 2, 2, 512)	2359808
block5_conv3 (Conv2D)	(None, 2, 2, 512)	2359808

```
flatten (Flatten) (None, 512)
                      (None, 4096)
fc1 (Dense)
_____
fc2 (Dense)
                       (None, 4096)
                                            16781312
_____
dense_10 (Dense)
               (None, 10)
_____
Total params: 33,638,218
Trainable params: 33,638,218
Non-trainable params: 0
In [0]: NB_EPOCHS = 100
      # Compile the model.
      model.compile(Adam(lr=0.0001),
                  loss='categorical_crossentropy',
                 metrics=['accuracy'])
In [105]: from keras import callbacks
        # Incorporating reduced learning and early stopping for callback
        reduce_learning = callbacks.ReduceLROnPlateau(
           monitor='val_loss',
           factor=0.2,
           patience=2,
           verbose=1,
           mode='auto',
           epsilon=0.0001,
           cooldown=2,
           min_lr=0)
        eary_stopping = callbacks.EarlyStopping(
           monitor='val_loss',
           min_delta=0.0003,
           patience=6,
           verbose=1,
           mode='auto')
        callbacks = [reduce_learning, eary_stopping]
/usr/local/lib/python3.6/dist-packages/keras/callbacks.py:1065: UserWarning: `epsilon` argumen
 warnings.warn('`epsilon` argument is deprecated and '
```

block5_pool (MaxPooling2D) (None, 1, 1, 512)

```
In [106]: mt=model.fit(train_X, train_label,
                       batch_size=16, epochs=NB_EPOCHS,
                       verbose=2, callbacks=callbacks,
                       validation_data=(valid_X, valid_label)
Train on 48000 samples, validate on 12000 samples
Epoch 1/100
- 147s - loss: 0.0311 - acc: 0.9933 - val_loss: 0.0585 - val_acc: 0.9894
Epoch 2/100
 - 144s - loss: 0.0332 - acc: 0.9933 - val_loss: 0.0518 - val_acc: 0.9914
Epoch 3/100
- 144s - loss: 0.0261 - acc: 0.9943 - val_loss: 0.0554 - val_acc: 0.9905
Epoch 4/100
- 143s - loss: 0.0269 - acc: 0.9948 - val loss: 0.0533 - val acc: 0.9892
Epoch 00004: ReduceLROnPlateau reducing learning rate to 1.9999999494757503e-05.
Epoch 5/100
- 143s - loss: 0.0080 - acc: 0.9984 - val_loss: 0.0374 - val_acc: 0.9930
Epoch 6/100
 - 143s - loss: 0.0030 - acc: 0.9992 - val_loss: 0.0491 - val_acc: 0.9936
Epoch 7/100
- 143s - loss: 0.0039 - acc: 0.9994 - val_loss: 0.0528 - val_acc: 0.9937
Epoch 00007: ReduceLROnPlateau reducing learning rate to 3.999999898951501e-06.
Epoch 8/100
- 143s - loss: 0.0015 - acc: 0.9997 - val loss: 0.0550 - val acc: 0.9934
Epoch 9/100
- 143s - loss: 7.9653e-04 - acc: 0.9999 - val_loss: 0.0622 - val_acc: 0.9932
Epoch 10/100
- 143s - loss: 6.3383e-04 - acc: 0.9999 - val_loss: 0.0677 - val_acc: 0.9933
Epoch 00010: ReduceLROnPlateau reducing learning rate to 7.999999979801942e-07.
Epoch 11/100
- 143s - loss: 4.9966e-04 - acc: 0.9999 - val_loss: 0.0688 - val_acc: 0.9934
Epoch 00011: early stopping
In [110]: test_loss, test_acc = model.evaluate(X_te, y_test_labels)
          print('Test Accuracy:%4f, Test Loss:%4f.' %(test_acc,test_loss))
          # Make Prediction
          pred_result = model.predict(X_te)
          y_pred=[]
          for i in range(np.shape(y_test)[0]):
           num = np.where(pred_result[i] == max(pred_result[i]))
            y_pred.append(num[0][0])
          y_pred = np.transpose(y_pred)
          # calculate accuracy
```

```
num_test = len(y_test)
          num_correct = np.sum(y_pred == y_test)
          print('Got %d / %d correct' % (num_correct, num_test))
          print('Accuracy = %f' % (np.mean(y_test == y_pred)))
          from sklearn.metrics import classification_report, confusion_matrix
          print(confusion_matrix(y_test, y_pred))
          print(classification_report(y_test, y_pred,
                                       target_names=list(label_dict.values()),digits=3))
10000/10000 [=========== ] - 3s 310us/step
Test Accuracy: 0.995300, Test Loss: 0.049209.
Got 9953 / 10000 correct
Accuracy = 0.995300
[[ 977
          0
               0
                    0
                         0
                               0
                                    2
                                         1
                                              0
                                                   0]
 0 1130
               1
                    2
                         0
                                    0
                                                   0]
                               0
                                         1
                                              1
 0
          0 1029
                    1
                         0
                               0
                                    0
                                         2
                                              0
                                                   0]
 Γ
          0
               0 1006
                         0
                               3
                                                   0]
     0
                                    0
                                         0
                                              1
 0
          0
                    0
                       977
                               0
                                         0
                                                   4]
               0
                                    1
                                              0
 0
          0
               0
                    5
                         0
                             886
                                    1
                                         0
                                              0
                                                   0]
 4
          2
               0
                    0
                         0
                               0
                                  952
                                                   0]
 2
                                                   17
     0
               1
                    0
                         0
                               0
                                    0 1024
                                              0
 0
          0
               0
                    1
                         0
                               0
                                    0
                                         0
                                            972
                                                   1]
 Γ
     0
               0
                    0
                               1
                                    0
                                         2
                                              2 1000]]
              precision
                           recall f1-score
                                               support
           0
                  0.996
                            0.997
                                       0.996
                                                   980
           1
                  0.996
                            0.996
                                       0.996
                                                  1135
           2
                  0.998
                            0.997
                                       0.998
                                                  1032
           3
                  0.991
                            0.996
                                       0.994
                                                  1010
           4
                  0.996
                            0.995
                                       0.995
                                                   982
           5
                  0.996
                            0.993
                                       0.994
                                                   892
           6
                  0.996
                            0.994
                                       0.995
                                                   958
           7
                  0.994
                            0.996
                                       0.995
                                                  1028
           8
                  0.996
                            0.998
                                       0.997
                                                   974
           9
                  0.994
                            0.991
                                       0.993
                                                  1009
                                       0.995
                                                 10000
    accuracy
                                       0.995
                                                 10000
                  0.995
                             0.995
  macro avg
weighted avg
                             0.995
                                       0.995
                                                 10000
                  0.995
```

8.4 Predict MNIST by Trained Imagenet Weight in VGG-16

```
input_tensor = input_tensor)
         type(conv_base)
Out[195]: '\n#conv_base.summary()\nmodel = Sequential()\nfor layer in conv_base.layers:\n
In [0]: # Extracting features
       train_features = conv_base.predict(np.array(train_X), batch_size=BATCH_SIZE, verbose=1
       test_features = conv_base.predict(np.array(X_te), batch_size=BATCH_SIZE, verbose=1)
       val_features = conv_base.predict(np.array(valid_X), batch_size=BATCH_SIZE, verbose=1)
48000/48000 [=========== ] - 18s 381us/step
10000/10000 [========== ] - 4s 381us/step
12000/12000 [=========== ] - 5s 383us/step
In [0]: np.savez("train_features", train_features, train_label)
       np.savez("test_features", test_features, y_test_labels)
       np.savez("val_features", val_features, valid_label)
In [0]: # Current shape of features
       print(train_features.shape, "\n", test_features.shape, "\n", val_features.shape)
(48000, 1, 1, 512)
 (10000, 1, 1, 512)
 (12000, 1, 1, 512)
In [0]: # Flatten extracted features
       train_features_flat = np.reshape(train_features, (48000, 1*1*512))
       test_features_flat = np.reshape(test_features, (10000, 1*1*512))
       val_features_flat = np.reshape(val_features, (12000, 1*1*512))
In [0]: from keras import models
       from keras.models import Model
       from keras import layers
       from keras import optimizers
       from keras import callbacks
       from keras.layers.advanced_activations import LeakyReLU
       model_c = models.Sequential()
       model_c.add(layers.Dense(512, activation='relu', input_dim=(1*1*512)))
       model_c.add(layers.LeakyReLU(alpha=0.1))
       model_c.add(layers.Dense(10, activation='softmax'))
In [0]: NB_TRAIN_SAMPLES = train_features_flat.shape[0]
       NB_VALIDATION_SAMPLES = val_features_flat.shape[0]
       NB_EPOCHS = 100
        # Compile the model.
       model_c.compile(optimizer='adam',
                     loss='categorical_crossentropy',
                     metrics=['accuracy'])
```

```
In [0]: # Incorporating reduced learning and early stopping for callback
    reduce_learning = callbacks.ReduceLROnPlateau(
      monitor='val_loss',
      factor=0.2,
      patience=2,
      verbose=1,
      mode='auto',
      epsilon=0.0001,
      cooldown=2,
      min_lr=0)
    eary_stopping = callbacks.EarlyStopping(
      monitor='val_loss',
      min_delta=0.0003,
      patience=8,
      verbose=1,
      mode='auto')
    callbacks = [reduce_learning, eary_stopping]
/usr/local/lib/python3.6/dist-packages/keras/callbacks.py:1065: UserWarning: `epsilon` argumen
 warnings.warn('`epsilon` argument is deprecated and '
In [0]: # Train the the model
    mt=model_c.fit(
      train_features_flat,
      train_label,
      epochs=NB_EPOCHS,
      validation_data=(val_features_flat, valid_label),
      callbacks=callbacks
    )
Train on 48000 samples, validate on 12000 samples
Epoch 1/100
Epoch 2/100
Epoch 3/100
Epoch 4/100
Epoch 5/100
Epoch 6/100
Epoch 7/100
```

```
Epoch 8/100
Epoch 9/100
Epoch 00009: ReduceLROnPlateau reducing learning rate to 0.00020000000949949026.
Epoch 10/100
Epoch 11/100
Epoch 12/100
Epoch 13/100
Epoch 14/100
Epoch 15/100
Epoch 16/100
Epoch 17/100
Epoch 18/100
Epoch 19/100
Epoch 20/100
Epoch 21/100
Epoch 00021: ReduceLROnPlateau reducing learning rate to 4.0000001899898055e-05.
Epoch 22/100
Epoch 23/100
Epoch 24/100
Epoch 00024: ReduceLROnPlateau reducing learning rate to 8.000000525498762e-06.
Epoch 25/100
Epoch 26/100
Epoch 27/100
Epoch 28/100
```

```
Epoch 29/100
Epoch 00029: ReduceLROnPlateau reducing learning rate to 1.6000001778593287e-06.
Epoch 30/100
Epoch 31/100
Epoch 32/100
Epoch 00032: ReduceLROnPlateau reducing learning rate to 3.200000264769187e-07.
Epoch 33/100
Epoch 34/100
Epoch 35/100
Epoch 00035: ReduceLROnPlateau reducing learning rate to 6.400000529538374e-08.
Epoch 36/100
Epoch 37/100
Epoch 00037: early stopping
In [0]: # Evaluate accuracy
    test_loss, test_acc = model_c.evaluate(test_features_flat, y_test_labels)
    print('Test Accuracy:%4f, Test Loss:%4f.' %(test_acc,test_loss))
10000/10000 [============ ] - 0s 36us/step
Test Accuracy: 0.978800, Test Loss: 0.067221.
In [0]: # Make Prediction
    pred_result = model_c.predict(test_features_flat)
    y_pred=[]
    for i in range(np.shape(y_test)[0]):
      num = np.where(pred_result[i] == max(pred_result[i]))
      y_pred.append(num[0][0])
    y_pred = np.transpose(y_pred)
In [0]: # calculate accuracy
    num_test = len(y_test)
    num_correct = np.sum(y_pred == y_test)
    print('Got %d / %d correct' % (num_correct, num_test))
    print('Accuracy = %f' % (np.mean(y_test == y_pred)))
    from sklearn.metrics import classification_report, confusion_matrix
```

```
print(confusion_matrix(y_test, y_pred))
       print(classification_report(y_test, y_pred,
                                 target_names=list(label_dict.values()),digits=3))
Got 9788 / 10000 correct
Accuracy = 0.978800
[[ 969
         0
             1
                  0
                       0
                          4
                                5
                                         0
                                              0]
Γ
    0 1123
             0
                  0
                       3
                           0
                                              07
                                4
                                         1
         1 1008
1
                  4
                       2
                          4
                                    5
                                              1]
Γ
         0
                                              21
    0
            10 977
                       0
                         16
                                0
0
         1
             1
                  0 967
                         1
                                              3]
7
                  9
                      1 864
                                             1]
         0
                               0
7 0
                  0
                      0
                           2 944
                                    0
                                        1
                                              01
                              0 1004
Γ
      5 4
                  2
                    8
                           0
                                        1
                                              4]
    0
0 0
             6
                  2 3
                           4
                                1
                                     1 956
                                              1]
                  2 7
 3
         0
             3
                           2
                                0
                                     5
                                        11 976]]
            precision
                        recall f1-score
                                          support
          0
                0.987
                         0.989
                                   0.988
                                              980
                         0.989
          1
                0.994
                                   0.992
                                             1135
          2
                0.966
                         0.977
                                  0.971
                                             1032
          3
                0.981
                        0.967
                                  0.974
                                            1010
          4
                0.976
                        0.985
                                 0.980
                                             982
          5
                0.963
                         0.969
                                 0.966
                                             892
          6
                                             958
                0.983
                        0.985
                                  0.984
          7
                0.977
                        0.977
                                 0.977
                                             1028
          8
                0.972
                        0.982
                                  0.977
                                             974
          9
                0.988
                         0.967
                                  0.977
                                             1009
                                  0.979
                                            10000
   accuracy
                0.979
                         0.979
                                   0.979
                                            10000
  macro avg
weighted avg
                0.979
                         0.979
                                   0.979
                                            10000
```

8.5 Freeze All The Convolutional Layers Retrain VGG-16 Network On MNIST

<pre>input_4 (InputLayer)</pre>	(None, 34, 34, 3)	0
block1_conv1 (Conv2D)	(None, 34, 34, 64)	1792
block1_conv2 (Conv2D)	(None, 34, 34, 64)	36928
block1_pool (MaxPooling2D)	(None, 17, 17, 64)	0
block2_conv1 (Conv2D)	(None, 17, 17, 128)	73856
block2_conv2 (Conv2D)	(None, 17, 17, 128)	147584
block2_pool (MaxPooling2D)	(None, 8, 8, 128)	0
block3_conv1 (Conv2D)	(None, 8, 8, 256)	295168
block3_conv2 (Conv2D)	(None, 8, 8, 256)	590080
block3_conv3 (Conv2D)	(None, 8, 8, 256)	590080
block3_pool (MaxPooling2D)	(None, 4, 4, 256)	0
block4_conv1 (Conv2D)	(None, 4, 4, 512)	1180160
block4_conv2 (Conv2D)	(None, 4, 4, 512)	2359808
block4_conv3 (Conv2D)	(None, 4, 4, 512)	2359808
block4_pool (MaxPooling2D)	(None, 2, 2, 512)	0
block5_conv1 (Conv2D)	(None, 2, 2, 512)	2359808
block5_conv2 (Conv2D)	(None, 2, 2, 512)	2359808
block5_conv3 (Conv2D)	(None, 2, 2, 512)	2359808
block5_pool (MaxPooling2D)	(None, 1, 1, 512)	0
Total params: 14.714.688		

Total params: 14,714,688
Trainable params: 14,714,688
Non-trainable params: 0

Out[0]: '\n for layer in freeze.layers[3:4]:\n layer.trainable = False\nfor layer in freeze.

```
In [0]: # Extracting features
       train_features = freeze.predict(np.array(train_X), batch_size=BATCH_SIZE, verbose=1)
       test_features = freeze.predict(np.array(X_te), batch_size=BATCH_SIZE, verbose=1)
       val_features = freeze.predict(np.array(valid_X), batch_size=BATCH_SIZE, verbose=1)
48000/48000 [=========== ] - 18s 383us/step
10000/10000 [========== ] - 4s 383us/step
12000/12000 [========== ] - 5s 383us/step
In [0]: np.savez("train_features", train_features, train_label)
       np.savez("test_features", test_features, y_test_labels)
       np.savez("val_features", val_features, valid_label)
In [0]: # Current shape of features
       print(train_features.shape, "\n", test_features.shape, "\n", val_features.shape)
(48000, 1, 1, 512)
 (10000, 1, 1, 512)
 (12000, 1, 1, 512)
In [0]: # Flatten extracted features
       train_features_flat = np.reshape(train_features, (48000, 1*1*512))
       test_features_flat = np.reshape(test_features, (10000, 1*1*512))
       val_features_flat = np.reshape(val_features, (12000, 1*1*512))
In [0]: from keras import models
       from keras.models import Model
       from keras import layers
       from keras import optimizers
       from keras import callbacks
       from keras.layers.advanced_activations import LeakyReLU
       frz_con = models.Sequential()
       frz_con.add(layers.Dense(512, activation='relu', input_dim=(1*1*512)))
       frz_con.add(layers.LeakyReLU(alpha=0.1))
       frz_con.add(layers.Dense(512, activation='relu', input_dim=(1*1*512)))
       frz_con.add(layers.Dense(10, activation='softmax'))
In [0]: NB_TRAIN_SAMPLES = train_features_flat.shape[0]
       NB_VALIDATION_SAMPLES = val_features_flat.shape[0]
       NB EPOCHS = 100
       # Compile the model.
       frz_con.compile(optimizer='adam',
                     loss='categorical_crossentropy',
                     metrics=['accuracy'])
In [0]: # Incorporating reduced learning and early stopping for callback
       reduce_learning = callbacks.ReduceLROnPlateau(
```

```
factor=0.2,
      patience=2,
      verbose=1,
      mode='auto',
      epsilon=0.0001,
      cooldown=2,
      min_lr=0)
    eary_stopping = callbacks.EarlyStopping(
      monitor='val_loss',
      min_delta=0,
      patience=8,
      verbose=1,
      mode='auto')
    callbacks = [reduce_learning, eary_stopping]
/usr/local/lib/python3.6/dist-packages/keras/callbacks.py:1065: UserWarning: `epsilon` argumen
 warnings.warn('`epsilon` argument is deprecated and '
In [0]: # Train the the model
    mt=frz_con.fit(
      train_features_flat,
      train_label,
      epochs=NB_EPOCHS,
      validation_data=(val_features_flat, valid_label),
      callbacks=callbacks
    )
Train on 48000 samples, validate on 12000 samples
Epoch 1/100
Epoch 2/100
Epoch 3/100
Epoch 4/100
Epoch 5/100
Epoch 6/100
Epoch 00006: ReduceLROnPlateau reducing learning rate to 0.00020000000949949026.
Epoch 7/100
```

monitor='val_loss',

```
Epoch 8/100
Epoch 9/100
Epoch 10/100
Epoch 11/100
Epoch 12/100
Epoch 00012: ReduceLROnPlateau reducing learning rate to 4.0000001899898055e-05.
Epoch 13/100
Epoch 14/100
Epoch 15/100
Epoch 00015: ReduceLROnPlateau reducing learning rate to 8.000000525498762e-06.
Epoch 16/100
Epoch 17/100
Epoch 18/100
Epoch 19/100
Epoch 00019: ReduceLROnPlateau reducing learning rate to 1.6000001778593287e-06.
Epoch 20/100
Epoch 21/100
Epoch 22/100
Epoch 00022: ReduceLROnPlateau reducing learning rate to 3.200000264769187e-07.
Epoch 23/100
Epoch 24/100
Epoch 25/100
Epoch 00025: ReduceLROnPlateau reducing learning rate to 6.400000529538374e-08.
```

Epoch 00025: early stopping

```
In [0]: # Evaluate accuracy
        test_loss, test_acc = frz_con.evaluate(test_features_flat, y_test_labels)
        print('Test Accuracy:%4f, Test Loss:%4f.' %(test_acc,test_loss))
10000/10000 [===========] - Os 40us/step
Test Accuracy: 0.977100, Test Loss: 0.069289.
In [0]: # Make Prediction
        pred_result = frz_con.predict(test_features_flat)
        y_pred=[]
        for i in range(np.shape(y_test)[0]):
          num = np.where(pred_result[i] == max(pred_result[i]))
          y_pred.append(num[0][0])
        y_pred = np.transpose(y_pred)
In [0]: # calculate accuracy
        num_test = len(y_test)
        num_correct = np.sum(y_pred == y_test)
        print('Got %d / %d correct' % (num_correct, num_test))
        print('Accuracy = %f' % (np.mean(y_test == y_pred)))
        from sklearn.metrics import classification_report, confusion_matrix
        print(confusion_matrix(y_test, y_pred))
        print(classification_report(y_test, y_pred,
                                     target_names=list(label_dict.values()),digits=3))
Got 9771 / 10000 correct
Accuracy = 0.977100
[[ 967
          0
               1
                    0
                         0
                               3
                                    5
                                         1
                                              2
                                                   1]
 Γ
     0 1125
               0
                    0
                         3
                              0
                                    4
                                              1
                                                   07
 Γ
     1
          2 1000
                    8
                         2
                               6
                                    3
                                         6
                                              3
                                                   17
 Γ
                  976
                                              2
     0
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                                             12 976]]
              precision
                           recall f1-score
                                               support
           0
                  0.987
                            0.987
                                       0.987
                                                   980
                  0.994
                            0.991
                                       0.993
           1
                                                  1135
           2
                  0.975
                            0.969
                                       0.972
                                                  1032
           3
                  0.969
                            0.966
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                                                  1010
           4
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                                                   982
                            0.986
                                       0.981
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                  0.958
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           6
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                                                   958
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                  0.978
                            0.975
                                       0.976
                                                  1028
           8
                  0.969
                            0.980
                                       0.974
                                                   974
```

9	0.984	0.967	0.976	1009
accuracy			0.977	10000
macro avg	0.977	0.977	0.977	10000
weighted avg	0.977	0.977	0.977	10000

8.6 Freeze All The Full Connected Layers Retrain VGG-16 Network On MNIST

Layer (type)	Output Shape	Param #
input_9 (InputLayer)	(None, 34, 34, 3)	0
block1_conv1 (Conv2D)	(None, 34, 34, 64)	1792
block1_conv2 (Conv2D)	(None, 34, 34, 64)	36928
block1_pool (MaxPooling2D)	(None, 17, 17, 64)	0
block2_conv1 (Conv2D)	(None, 17, 17, 128)	73856
block2_conv2 (Conv2D)	(None, 17, 17, 128)	147584
block2_pool (MaxPooling2D)	(None, 8, 8, 128)	0
block3_conv1 (Conv2D)	(None, 8, 8, 256)	295168
block3_conv2 (Conv2D)	(None, 8, 8, 256)	590080
block3_conv3 (Conv2D)	(None, 8, 8, 256)	590080
block3_pool (MaxPooling2D)	(None, 4, 4, 256)	0
block4_conv1 (Conv2D)	(None, 4, 4, 512)	1180160
block4_conv2 (Conv2D)	(None, 4, 4, 512)	2359808
block4_conv3 (Conv2D)	(None, 4, 4, 512)	2359808

```
block4_pool (MaxPooling2D) (None, 2, 2, 512)
block5_conv1 (Conv2D) (None, 2, 2, 512) 2359808
block5_conv2 (Conv2D) (None, 2, 2, 512)
                                                2359808
block5_conv3 (Conv2D) (None, 2, 2, 512) 2359808
block5_pool (MaxPooling2D) (None, 1, 1, 512)
______
Total params: 14,714,688
Trainable params: 14,714,688
Non-trainable params: 0
In [0]: # Extracting features
       train_features = freeze.predict(np.array(train_X), batch_size=BATCH_SIZE, verbose=1)
       test_features = freeze.predict(np.array(X_te), batch_size=BATCH_SIZE, verbose=1)
       val_features = freeze.predict(np.array(valid_X), batch_size=BATCH_SIZE, verbose=1)
48000/48000 [============ ] - 19s 401us/step
10000/10000 [============ ] - 4s 400us/step
12000/12000 [============ ] - 5s 403us/step
In [0]: np.savez("train_features", train_features, train_label)
       np.savez("test_features", test_features, y_test_labels)
       np.savez("val_features", val_features, valid_label)
In [0]: # Current shape of features
       print(train_features.shape, "\n", test_features.shape, "\n", val_features.shape)
(48000, 1, 1, 512)
 (10000, 1, 1, 512)
(12000, 1, 1, 512)
In [0]: # Flatten extracted features
       train_features_flat = np.reshape(train_features, (48000, 1*1*512))
       test_features_flat = np.reshape(test_features, (10000, 1*1*512))
       val_features_flat = np.reshape(val_features, (12000, 1*1*512))
In [0]: from keras import models
       from keras.models import Model
       from keras import layers
       from keras import optimizers
       from keras import callbacks
```

```
from keras.layers.advanced_activations import LeakyReLU
        frz_fcn = models.Sequential()
        frz_fcn.add(layers.Dense(512, activation='relu', input_dim=(1*1*512)))
        frz_con.add(layers.Dense(512, activation='relu', input_dim=(1*1*512)))
        frz_fcn.add(layers.Dense(10, activation='softmax'))
In [0]: # Freeze the layers which you don't want to train. Here I am freezing the first 5 laye
        for layer in frz_fcn.layers[0:2]:
            layer.trainable = False
In [0]: NB_TRAIN_SAMPLES = train_features_flat.shape[0]
        NB_VALIDATION_SAMPLES = val_features_flat.shape[0]
        NB_EPOCHS = 100
        # Compile the model.
        frz_fcn.compile(optimizer='adam',
                      loss='categorical_crossentropy',
                      metrics=['accuracy'])
In [0]: # Incorporating reduced learning and early stopping for callback
        reduce_learning = callbacks.ReduceLROnPlateau(
            monitor='val_loss',
            factor=0.2,
            patience=2,
            verbose=1,
            mode='auto',
            epsilon=0.0001,
            cooldown=2,
            min_lr=0)
        eary_stopping = callbacks.EarlyStopping(
            monitor='val_loss',
            min_delta=0,
            patience=8,
            verbose=1,
            mode='auto')
        callbacks = [reduce_learning, eary_stopping]
/usr/local/lib/python3.6/dist-packages/keras/callbacks.py:1065: UserWarning: `epsilon` argumen
  warnings.warn('`epsilon` argument is deprecated and '
In [0]: # Train the the model
        mt=frz_fcn.fit(
            train_features_flat,
```

train_label,

epochs=NB_EPOCHS,

```
validation_data=(val_features_flat, valid_label),
      callbacks=callbacks
    )
Train on 48000 samples, validate on 12000 samples
Epoch 2/100
Epoch 3/100
Epoch 00003: ReduceLROnPlateau reducing learning rate to 0.00020000000949949026.
Epoch 4/100
Epoch 5/100
Epoch 6/100
Epoch 00006: ReduceLROnPlateau reducing learning rate to 4.0000001899898055e-05.
Epoch 7/100
Epoch 8/100
Epoch 9/100
Epoch 00009: ReduceLROnPlateau reducing learning rate to 8.000000525498762e-06.
Epoch 00009: early stopping
In [0]: # Evaluate accuracy
    test_loss, test_acc = frz_fcn.evaluate(test_features_flat, y_test_labels)
    print('Test Accuracy:%4f, Test Loss:%4f.' %(test_acc,test_loss))
10000/10000 [=========== ] - 0s 43us/step
Test Accuracy:0.129600, Test Loss:2.491812.
In [0]: # Make Prediction
    pred_result = frz_fcn.predict(test_features_flat)
    for i in range(np.shape(y_test)[0]):
     num = np.where(pred_result[i] == max(pred_result[i]))
     y_pred.append(num[0][0])
    y_pred = np.transpose(y_pred)
In [0]: # calculate accuracy
    num_test = len(y_test)
```

```
num_correct = np.sum(y_pred == y_test)
        print('Got %d / %d correct' % (num_correct, num_test))
        print('Accuracy = %f' % (np.mean(y_test == y_pred)))
        from sklearn.metrics import classification_report, confusion_matrix
        print(confusion_matrix(y_test, y_pred))
        print(classification_report(y_test, y_pred,
                                     target_names=list(label_dict.values()),digits=3))
Got 1296 / 10000 correct
Accuracy = 0.129600
0
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                                          0
                                            980
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 821
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              precision
                            recall f1-score
                                                support
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                                                    980
           1
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                                                   1135
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                                                   1010
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                                                    892
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                                                    958
           7
                  0.216
                             0.008
                                        0.015
                                                   1028
           8
                   0.120
                             0.998
                                        0.214
                                                    974
           9
                  0.012
                             0.001
                                        0.002
                                                   1009
                                        0.130
                                                  10000
    accuracy
                                                  10000
   macro avg
                   0.057
                             0.133
                                        0.050
weighted avg
                   0.057
                             0.130
                                        0.049
                                                  10000
```

/usr/local/lib/python3.6/dist-packages/sklearn/metrics/classification.py:1437: UndefinedMetric 'precision', 'predicted', average, warn_for)