

# UCLA Algebra Seminar

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## PRELIMINARY INFORMATION

This is a summary document for the UCLA Participating Algebra Seminar (i.e., MATH 290C, *Participating Seminar: Current Literature in Algebra*) in Winter 2023. The seminar is organized by Logan Hyslop, focusing on semisimple and reductive groups. Most of the information in this section are taken from the syllabus document.

*Resources:*

- Chapter 6 of *The Book of Involutions* ([boi])
- Milne's Notes on *Reductive Groups* ([rd])
- Milne's Book *Algebraic Groups: the theory of group schemes of finite type over a field* [(ag)], with a **preliminary version** available.

*Seminar Lineup:*

1. **Introduction.** Group schemes, subgroups, connected component of the identity, examples in group theory, c.f. section 20 of [boi].
2. **Specific Kinds of Groups and Lie Algebras.** Diagonalizable group schemes, groups of multiplicative type, Lie Algebra and smoothness, c.f. section 20 and 21 of [boi].
3. **Factor Groups.** Factor groups, representations, representations of diagonalizable group schemes, c.f. section 22 of [boi].
4. **Root Systems, Split Semisimple and Reductive Groups.** Root systems, semisimple, reductive, split groups, c.f. section 25 of [boi], section 14-15 of [rd].

5. **Root Systems, Split Semisimple and Reductive Groups.** Split semisimple groups, root systems for split semisimple and split reductive groups, c.f. section 25 of [boi], section 18-19 of [rd].
6. **Semisimple and Reductive Groups over Arbitrary Fields.** Classification of semisimple groups over an arbitrary field, classification of reductive groups, c.f. section 24 and 26 of [rd].

## 1 AFFINE GROUP SCHEME: FEB. 8, 2023

**Definition 1.1** (Group Scheme). An  $S$ -scheme  $G$  together with the unit map  $u : S \times G \rightarrow G$ , the inverse map  $i : G \times G \rightarrow G$ , and the multiplication map  $m : G \otimes_S G \rightarrow G$ , is a (affine) *group scheme* over  $S$  if the following diagrams commutes:

$$\begin{array}{ccc}
 G \times G \times G & \xrightarrow{\text{id} \times m} & G \times G \\
 m \times \text{id} \downarrow & & \downarrow m \\
 G \times G & \xrightarrow{m} & G
 \end{array}$$
  

$$\begin{array}{ccccc}
 G & \xrightarrow{\cong} & G \times S & \xrightarrow{\text{id} \times u} & G \times G \\
 & & \downarrow \cong & \searrow \text{id} & \downarrow \\
 & & S \times G & & \\
 & & u \times \text{id} \downarrow & & \\
 & & G \times G & \longrightarrow & G
 \end{array}$$
  

$$\begin{array}{ccccc}
 & G \times G & \xrightarrow{i \times \text{id}} & G \times G & \\
 \Delta \nearrow & & & & \searrow m \\
 G & \longrightarrow & S & \xrightarrow{u} & G \\
 \searrow & & & & \nearrow m \\
 & G \times G & \xrightarrow{\text{id} \times u} & G \times G &
 \end{array}$$

**Proposition 1.2.** If  $T$  is a  $S$ -scheme, then

$$G(T) := \mathbf{Hom}(T, G)$$

is a group.

**Remark 1.3.** A *group scheme homomorphism*  $\rho : G \rightarrow H$  over  $S$  is a morphism of schemes respecting the multiplication map. The group schemes and group scheme homomorphisms form a category, the category of group schemes over  $S$ .

**Definition 1.4** (Hopf Algebra). Let  $F$  be a field and  $A$  be a commutative  $F$ -algebra. Take  $G = \text{Spec}(A)$  and  $S = \text{Spec}(F)$ , then there are dual maps co-unit  $u : A \rightarrow F$ , co-inverse  $i : A \rightarrow A$ , and co-multiplication  $c : A \rightarrow A \otimes_F A$ . Suppose the dual diagrams of [Definition 1.1](#) commutes, then  $A$  is a *Hopf algebra* over  $F$ . In particular,  $\mathbf{Hom}_{F\text{-Alg}}(A, -)$  are groups.

**Example 1.5.** Let  $\mathbf{1} = \text{Spec}(F)$ . Note that  $F$  is trivially an  $F$ -Hopf algebra. In particular,  $\mathbf{1}(T) = \{e\}$ . We have  $c : F[x] \rightarrow F[y, z]$  via  $x \mapsto y + z$ ,  $u : x \mapsto 0$ , and  $i : x \mapsto -x$  over  $G_a = \text{Spec}(F[x])$ , where  $G_a(A)$  is the additive group  $(A, +)$ .

Let  $G_m = \text{Spec}(F[x, x^{-1}])$  with  $c : x \mapsto x \otimes x$ , we have  $G_m(A)$  as the multiplicative group  $(A^\times, \cdot)$ . Now  $G_a$  and  $G_m$  correspond to  $\mathbb{A}^1$  and  $\mathbb{A}^1 \setminus \{0\}$ , respectively.

**Example 1.6.** In general, let  $V$  be a  $F$ -vector space, then the symmetric algebra  $S(V^*)$  of the dual space  $V^*$  satisfies

$$\mathbf{Hom}_{\mathbf{Alg}_F}(S(V^*), R) = \mathbf{Hom}_F(V^*, R) = V \otimes_F R,$$

and  $S(V^*)$  represents  $R \mapsto (R \otimes_F V, +)$ , and so  $\text{Spec}(S(V^*))$  is a group scheme.

**Example 1.7.** Given an  $F$ -algebra  $A$ ,  $\text{GL}_1(A)$  is described  $\text{GL}_1(A)(R) = (A \otimes R)^\times$ , represented by  $S(A^*) \left[ \frac{1}{N} \right]$ . In general,  $\text{GL}_n(F)$  is given by  $F[X_{ij} \mid 1 \leq i, j \leq n] \left[ \frac{1}{\det(X)} \right]$ .

**Remark 1.8.** An (affine) group scheme  $G$  over  $F$  is a functor  $G : \mathbf{Alg}_F \rightarrow \mathbf{Grp}$  isomorphic to  $\mathbf{Hom}_{\mathbf{Alg}_F}(A, -)$  for some Hopf algebra  $A$  over  $F$ . By the Yoneda Lemma, the Hopf algebra  $A$  is uniquely determined by  $G$  (up to isomorphism), and is therefore denoted  $F[G]$ . In this sense, a group scheme homomorphism is a natural transformation of functors.

**Remark 1.9** (Correspondence).

Group Schemes over  $F \iff$  Commutative Hopf Algebras over  $F$

$$G \mapsto F[G]$$

$$G^A \leftarrow A$$

defines an equivalence of categories.

**Definition 1.10** (Algebraic Group Scheme). An (affine) group scheme  $A$  is algebraic if its coordinate ring is a finitely-generated  $F$ -algebra.

**Remark 1.11.** If  $L/K$  is a field extension, then any  $L$ -algebra is a  $K$ -algebra, and so we say  $A \otimes L$  is the restriction of  $A$  to  $L$ .

**Definition 1.12** (Closed Subgroup, Normal). A *closed subgroup*  $H$  of a group scheme  $G$  is just a closed subscheme such that  $u, i, m$  restrict down to  $H$ . In particular, if  $G$  is affine, i.e.,  $G = \text{Spec}(A)$ , then  $H = \text{Spec}(A/J)$  where  $J$  is a Hopf ideal, i.e.,  $c(J) \subseteq J \otimes A + A \otimes J$ .

We now denote  $H \subseteq G$ . We say  $H \subseteq G$  is *normal* if  $H(T) \subseteq G(T)$  is normal for all spectrums of  $F$ -algebras  $T$ .

**Remark 1.13** (Trivial Subgroup). Every Hopf algebra has an augmented ideal  $I = \ker(u : A \rightarrow F)$ , which is a Hopf ideal. Therefore,  $\mathbf{1} \rightarrow \text{Spec}(A)$  always gives a trivial normal subgroup.

**Remark 1.14** (Kernel). Let  $\varphi : G \rightarrow H$  be a morphism of group schemes (over discrete groups). The kernel is constructed as the pullback:

$$\begin{array}{ccc} \ker(\varphi) & \longrightarrow & G \\ \downarrow & & \downarrow \\ \mathbf{1} & \longrightarrow & H \end{array}$$

**Example 1.15.** Consider  $F[x, x^{-1}] \rightarrow F[x, x^{-1}]$  given by  $x \mapsto x^k$ , then by applying the spectrum functor, we obtain a morphism of group schemes  $\exp(k) : G_m \rightarrow G_m$ . The kernel of this map is the unit group  $\mu_k$ .

$$\text{Now } \mathcal{O}(\mu_k) = F[x, x^{-1}] \otimes_{F[x^k, x^{-k}]} F = F[x]/(x^k - 1).$$

Recall if  $G$  is a Lie group, then  $\pi_0(G)$  is a group and there is a surjection  $G \twoheadrightarrow \pi_0(G)$  whose kernel is the connected component of identity (in the Zariski sense). There is a similar story for group schemes.

**Definition 1.16** (étale). A finitely-generated  $F$ -algebra  $A$  is *étale* if  $A \cong L_1 \times \cdots \times L_n$  where  $L_i/F$  is separable.

**Example 1.17.**  $A \otimes_F F^{\text{sep}} \cong (F^{\text{sep}})^n$ .

**Proposition 1.18.** If  $A$  is a finitely-generated  $F$ -algebra, then  $A$  has a unique maximal étale subgroup  $\pi_0(A)$ .

If  $B \subseteq A$  is étale, then  $\dim_F(B)$  is bounded by the number of essential idempotents, and the compositum of two étale subalgebras is again étale. Therefore, there is a maximal étale subalgebra.

Further, if  $A$  is a Hopf algebra, then  $\pi_0(A)$  is also a Hopf algebra, then the identity of an affine group scheme is the kernel of the map

$$G \rightarrow \text{Spec}(\pi_0 \mathcal{O}(G)) =: \pi_0(G).$$

**Proposition 1.19.** If  $G$  is a finite group and  $A := \mathbf{Hom}(G, F) \cong F[e_g \mid g \in G]$ , then  $A$  has a Hopf algebra structure given by  $A \ni e_g \mapsto \sum_{hk=g} e_h \otimes e_k$ . Furthermore,  $\text{Spec}(A(T)) \cong G$  for Zariski connected  $T$ .

## 2 GROUPS AND LIE ALGEBRAS: FEB. 15, 2023

In this section, we construct all concepts upon a based field  $F$ .

### 2.1 DIAGONALIZABLE GROUPS

**Definition 2.1** (Diagonalizable Group Schemes). Let  $H$  be an Abelian group, then the functor  $R \rightarrow \mathbf{Hom}(H, R^\times)$  is representable by  $H_{\text{diag}}$ , for  $R \in \mathbf{Alg}_F$ . That is, we have  $H_{\text{diag}}(R) = \mathbf{Hom}(H, R^\times)$ . In particular,  $H_{\text{diag}}$  is the group scheme representing the group algebra  $F\langle H \rangle$  over  $F$  to be the the group algebra of  $H$ , given by  $c(h) = h \otimes h$ ,  $i(h) = h^{-1}$ , and  $u(h) = 1$ . Therefore, this gives a Hopf algebra structure on the group algebra. Group schemes of the form  $H_{\text{diag}}$  are called diagonalizable.

**Remark 2.2.** Note that the elements in  $F\langle H \rangle$  are given of the form  $h \otimes h$  for  $h \in H$ . Therefore, we have a natural isomorphism from  $(H_{\text{diag}})^*$  and  $H$ .

**Example 2.3.**  $\mathbb{Z}_{\text{diag}} = G_m$  and  $(\mathbb{Z}/n\mathbb{Z})_{\text{diag}} = \mu_n$ .

**Definition 2.4** (Multiplicative Type). We say a group scheme  $G$  is of multiplicative type if  $G_{\text{sep}} := G_{F_{\text{sep}}}$  is diagonalizable.

**Remark 2.5.** In particular, diagonalizable group schemes are of multiplicative type.

Let  $\Gamma = \text{Gal}(F^{\text{sep}}/F)$  and  $H$  be an Abelian group equipped with a continuous  $\Gamma$ -action, then  $R \rightarrow \mathbf{Hom}_\Gamma(H, (R \otimes_F F^{\text{sep}})^\times)$  is represented by  $H_{\text{mult}}$ . In cash,  $H_{\text{mult}}(R) = \mathbf{Hom}_\Gamma(H, (R \otimes_F F_{\text{sep}})^\times)$ .

**Proposition 2.6.** There is an equivalence of categories between

- group schemes of multiplicative type over  $F$ , and
- Abelian groups with a continuous  $\Gamma$ -action,

defined by two contravariant functors, with  $G \mapsto (G_{\text{sep}})^* = \mathbf{Hom}_{\mathbf{GrpSch}}(G_{\text{sep}}, G_m)$  for group scheme  $G$ , and with  $H \mapsto H_{\text{mult}}$  for Abelian group  $H$ .

**Remark 2.7.** In particular, diagonalizable group schemes correspond to Abelian groups with trivial  $\Gamma$ -action.

## 2.2 LIE ALGEBRA

Let  $G$  be an algebraic group scheme.

**Definition 2.8** (Lie Algebra). The Lie algebra of  $G$ , denoted  $\text{Lie}(G)$  is the tangent space of  $G$  at the identity.

**Proposition 2.9.**  $\text{Lie}(G) = (I/I^2)^*$  where  $I$  is the augmentation ideal of  $A$ , the Hopf Algebra of  $G$ , i.e.,  $G = \text{Spec}(A)$ .

*Proof.*  $A_I$  is the local ring at the identity, so  $\text{Lie}(A) = (IA_I/I^2A_I)^* = (I/I^2)^*$ .  $\square$

**Definition 2.10** (Derivator). If  $A$  is an  $F$ -algebra and  $M$  is an  $A$ -module, then a derivator  $D$  from  $A$  to  $M$  is a  $F$ -linear map  $A \rightarrow M$  satisfying  $D(fg) = fD(g) + gD(f)$ .

**Proposition 2.11.** There are natural isomorphisms between

1. Lie group  $G$ ,
2.  $\text{der}(A, F)$ , where  $F$  is an  $A$ -algebra using  $u : A \rightarrow F$  with  $D(fg) = u(f)D(g) + u(g)D(f)$ ,
3. The left-invariant derivations, given by  $\{D \in \text{Der}(A, A) \mid c \circ D = (\text{id} \otimes D) \circ c\}$ ,
4.  $\ker(G(F[\varepsilon]) \rightarrow G(F))$  where  $F[\varepsilon]$  is the dual numbers over  $F$  given by  $\varepsilon^2 = 0$ . This is a kernel of groups induced by  $F[\varepsilon] \rightarrow F$ . In particular, the kernel carries a natural  $F$ -vector space structure: the addition operation is the multiplication on  $G(F[\varepsilon])$ , and the scalar multiplication operation is defined by the following action: for  $a \in F$  and  $g$  in the kernel,  $a \cdot g = G(l_a)g$ , where  $l_a : F[\varepsilon] \rightarrow F[\varepsilon]$  is the multiplication map defined by  $\varepsilon \mapsto a\varepsilon$ .

**Proposition 2.12.**  $\text{Lie}(G)$  has finite dimension over  $F$ .

*Proof.* Note that  $A$  is Noetherian, so  $I$  is finite-dimensional, so  $I/I^2$  is finite-dimensional over  $A/I = F$ , so  $(I/I^2)^*$  is finite-dimensional over  $F$ .  $\square$

**Remark 2.13.** The Lie group has the following properties.

1.  $\text{Lie}(G_1 \times G_2) = \text{Lie}(G_1) \times \text{Lie}(G_2)$ .
2.  $\text{Lie}(G^0) = \text{Lie}(G)$ .
3. Given a field extension  $L/F$ ,  $\text{Lie}(G_L) = \text{Lie}(G) \otimes_F L$ .

**Remark 2.14.** In particular, the Lie bracket is induced via the structure on vector space and the bracket on deriviators given by  $[D_1, D_2] = D_1D_2 - D_2D_1$ .

**Example 2.15.** 1. For the general linear group  $\mathrm{GL}_n(R)$ , the associated Lie algebra is  $\mathfrak{gl}_n = M_n(F)$ .

2. For the standard linear group  $\mathrm{SL}_n(R)$ , the associated Lie algebra is  $\mathfrak{sl}_n = \{M \in M_n(F) \mid \mathrm{tr}(M) = 0\}$ .

3. For the orthogonal group  $\mathrm{O}_n(R)$ , the associated Lie algebra is  $\mathfrak{O}_n = \{M \in M_n(F) \mid M + M^T = 0\}$ .

In particular, for even  $n = 2m$ , we have the special linear group  $\mathfrak{sp}_n(R) = \{A \in M_n(R) \mid A^T \Omega A = \Omega\}$  for  $\Omega = \begin{bmatrix} 0 & I_n \\ -I_n & 0 \end{bmatrix}$ , then the associated Lie algebra is given by  $\mathfrak{sp}_n = \{M \in M_n(F) \mid \Omega M + M^T \Omega = 0\}$ .

### 2.3 DIMENSION AND SMOOTHNESS

**Definition 2.16** (Dimension). If  $G$  is a connected group, then the reduced structure  $F[G]_{\mathrm{red}}$  is a domain. In particular, the dimension  $\dim(G)$  of  $G$  is the transcendence degree of  $F$  over the field of fractions of  $F[G]_{\mathrm{red}}$ . If  $G$  is not connected, we define  $\dim(G) = \dim(G^0)$ .

**Example 2.17.** •  $\dim(V) = \dim_F(V)$ .

- $\dim(\mathrm{GL}_n(R)) = n^2$ .
- $\dim(\mathrm{SL}_n(R)) = n^2 - 1$ .
- $\dim(G_m) = \dim(G_a) = 1$ .
- $\dim(\mu_n) = 0$ .

**Remark 2.18.** The dimensions satisfy many important properties.

1.  $\dim(G) = \dim(F[G])$ , given by the Krull dimension.
2.  $G$  is finite if and only if  $\dim(G) = 0$ .
3. Given a field extension  $L/F$ ,  $\dim(G_L) = \dim(G)$ .
4.  $\dim(G_1 \times G_2) = \dim(G_1) + \dim(G_2)$ .

Recall that we say a commutative local ring  $R$  we have  $\dim_{R/\mathfrak{m}}(\mathfrak{m}/\mathfrak{m}^2) \geq \dim(R)$ . In particular, we say  $R$  is regular if the equality holds.



**Definition 2.19** (Smooth). We say a group  $G$  is smooth if  $\dim(\mathrm{Lie}(G)) = \dim(G)$ . Equivalently,  $F[G]_L$  is reduced for any field extension  $L/F$ .

**Proposition 2.20.** If  $F$  is a perfect field, then  $G$  is smooth if and only if  $F[G]$  is reduced.

**Remark 2.21.** Suppose  $F$  has characteristic 0, every algebraic group is smooth.

**Example 2.22.** Suppose  $F$  has characteristic  $p > 0$ , then  $\mu_p = \mathrm{Spec}(k[x]/x^p - 1) = k[x]/(x - 1)^p$ , which is not smooth.

### 3 FACTOR SCHEMES AND REPRESENTATIONS: FED. 22, 2023

#### 3.1 CRITERIA OF INJECTIVITY AND SURJECTIVITY

Recall that a homomorphism of group schemes  $f : F \rightarrow G$  is a natural transformation. We now try and describe what it means for this morphism to be injective or surjective.

**Definition 3.1** (Injective).  $f$  is injective if  $\ker(f) = 1$ , or equivalently, all the maps  $F(R) \rightarrow G(R)$  are injective.

**Proposition 3.2** (Injectivity Criterion). Let  $f : G \rightarrow H$  be a homomorphism, then the following are equivalent:

1.  $f$  is injective,
2.  $f$  is a closed embedding, i.e.,  $f^* : F[h] \rightarrow F[G]$  is surjective,
3.  $f_{\text{alg}} : G(F_{\text{alg}}) \rightarrow H(F_{\text{alg}})$  is injective, and  $df : \text{Lie}(G) \rightarrow \text{Lie}(H)$  is injective.

**Remark 3.3** (Facts about Hopf Algebras). Suppose  $A \subseteq B$  are Hopf algebras over  $F$ , then  $B$  is *faithfully flat* over  $A$ :

- $A \rightarrow B$  is flat, and  $\text{Spec}(B) \rightarrow \text{Spec}(A)$  is surjective.
- $M, N$  are  $A$ -modules,  $M \rightarrow N$  is an injective map if and only if  $M \otimes_A B \rightarrow N \otimes_A B$  is.

Let us see how we may apply this fact. Look at the maps  $B \rightrightarrows B \otimes_A B$  defined by  $b \mapsto b \otimes 1$  and  $b \mapsto 1 \otimes b$ , respectively, then the elements of  $B$  having the same images under  $B \rightrightarrows B \otimes_A B$  are precisely the elements of  $A$ .

*Proof.* We only prove  $(1) \Rightarrow (2)$  here.

By restricting the codomain, we can make sure  $f^*$  is injective. The elements of  $G(F[G] \otimes_{F[H]} F[G])$  corresponding to  $F[G] \rightrightarrows F[G] \otimes_{F[H]} F[G]$  have the same image in  $H(F[G] \otimes_{F[H]} F[G])$ , and therefore they have to be the same. Thus, for  $F[G] \rightrightarrows F[G] \otimes_{F[H]} F[G]$ , both maps have the same image, thus  $F[G] = F[H]$  as a consequence of the remark above.  $\square$

**Example 3.4.** •  $\mu_n \rightarrow G_m$ .

- $G_m \rightarrow \text{GL}_n$ .

**Definition 3.5** (Surjective).  $f : G \rightarrow H$  is surjective if  $f^* : F[H] \rightarrow F[G]$  is injective.

**Proposition 3.6** (Surjectivity Criterion). For  $f : G \rightarrow H$  a homomorphism of algebraic group schemes, and suppose  $H$  is smooth, then  $f$  is surjective if and only if  $f_{\text{alg}} : G(F_{\text{alg}}) \rightarrow H(F_{\text{alg}})$  is surjective.

**Example 3.7.** The  $n$ th power map  $G_m \rightarrow G_m$ .

### 3.2 CONSTRUCTION OF QUOTIENTS

The quotient structure behaves weirdly over group schemes. Consider the exact sequence of group schemes

$$1 \longrightarrow \mu_n \longrightarrow G_m \xrightarrow{\cdot n} G_m \longrightarrow 1$$

but on points we only have

$$1 \longrightarrow \mu_n(R) \longrightarrow G_m(R) \xrightarrow{\cdot n} G_m(R)$$

and we note that  $(G/N)(R) \not\cong G(R)/N(R)$  in general.

Let us have  $\mathrm{GL}_2$  acting on  $k^2 = ke_1 \oplus ke_2$ . Consider the subgroup scheme  $B$  of upper-triangulars, then  $B$  is the stabilizer of  $ke_1$ , and  $\mathrm{GL}_2$  acts transitively on the one-dimensional subspaces of  $k^2$ . Therefore, the coset space is  $P^1$ , which is not affine.

### 3.3 REPRESENTATIONS

A representation of a group scheme  $G$  is  $\rho : G \rightarrow \mathrm{GL}(V)$  for  $V$  a finite-dimensional vector space over  $F$ .

On points:  $R$  is a  $F$ -algebra, then  $G(R)$  acts on  $V \otimes_F R$  by  $R$ -linear automorphisms, for  $g \in G(R)$ ,  $v \in V \otimes_F R$ ,  $g \cdot v = \rho_R(g)(v)$ .

On the Hopf side, note that for  $A = F[G]$  we have  $\bar{\rho} : V \rightarrow V \otimes_F A$  such that  $\bar{\rho}(v) = \mathrm{id}_A \cdot v$ .

**Example 3.8.** For  $\dim(V) = 1$ ,  $\mathrm{GL}(V) = G_m$  (characters of  $G : G \rightarrow G_m$ ).

There is an adjoint representation from having  $G(R)$  acts on  $\mathrm{Lie}(G) \otimes_F R$  (with  $G = \mathrm{GL}(v)$  conjugation).

**Theorem 3.9.** If  $G \rightarrow H$  has kernel  $N$  and is surjective, then any group scheme map  $f' : G \rightarrow H'$  which vanishes on  $N$  factors uniquely through  $f$ .

If  $N$  is a normal subgroup scheme of  $G$ , then there is a surjection  $G \rightarrow H$  with kernel  $N$ . Here every structure is affine.

## 4 ROOT SYSTEMS, SPLIT SEMISIMPLE AND REDUCTIVE GROUPS: MAR. 1, 2023

### 4.1 ROOT SYSTEMS AND SEMISIMPLE LIE ALGEBRA

All concepts in this subsection are over  $\mathbb{C}$ . Recall that  $\mathbf{SL}_n$  is the kernel of the determinant map, and  $d(\det)$  is the trace. Therefore, we have  $\mathbf{SL}_n$  is the kernel of the trace, therefore as a subset of  $\mathfrak{gl}_n$ . We mainly discuss two examples,  $\mathfrak{sl}_2$  and  $\mathfrak{sl}_3$ .

In  $\mathfrak{sl}_2$ , there is a basis given by

$$e = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, f = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, h = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

and in particular this is a  $h$ -eigenbasis, with

$$[h, e] = 2e, [h, f] = -2f, [h, h] = 0.$$

Let  $V$  be a finite-dimensional irreducible representation of  $\mathfrak{sl}_2$ , so  $V = \bigoplus_{\alpha \in \mathbb{C}} V_\alpha$  where  $V_\alpha = \{v \in V : hv = \alpha v\}$ . In this sense,

$$e : V_\alpha \rightarrow V_{\alpha+2}$$

$$f : V_\alpha \rightarrow V_{\alpha-2}$$

We also need to make use of several facts:

- All  $h$ -eigenvalues of  $V$  are integers, and
- if we let  $n$  be the maximal  $h$ -eigenvalue with  $v \in V_n \setminus \{0\}$ , then  $\{v, f(v), \dots, f^n(v)\}$  is a basis for  $V$ . In particular, there is

$$0 \xrightarrow[f]{e} V_{-n} \xrightarrow[f]{e} \cdots \xrightarrow[f]{e} V_{n-2} \xrightarrow[f]{e} V_n \xrightarrow[f]{e} 0$$

and therefore  $V_n$  is the highest weight.

**Theorem 4.1.** Finite-dimensional irreducible representations of  $\mathfrak{sl}_2$  are totally and uniquely determined by the largest  $h$ -eigenvalue.

**Remark 4.2.** Note that  $\mathfrak{sl}_2$  is semisimple.

We now think of the embeddings  $\mathfrak{sl}_2 \hookrightarrow \mathfrak{sl}_3$ , and in particular there are three types of them:

(i)

$$\begin{pmatrix} \cdot & \cdot & \\ \cdot & \cdot & \\ & & \ddots \end{pmatrix}$$

$$e \mapsto e_{12}$$

$$f \mapsto f_{21}$$

$$h \mapsto h_{12}$$

(ii)

$$\begin{pmatrix} \cdot & & \cdot \\ & \ddots & \\ \cdot & & \cdot \end{pmatrix}$$

$$e \mapsto e_{13}$$

$$f \mapsto f_{31}$$

$$h \mapsto h_{13}$$

(iii)

$$\begin{pmatrix} \ddots & & \\ & \cdot & \cdot \\ & \cdot & \cdot \end{pmatrix}$$

$$e \mapsto e_{23}$$

$$f \mapsto f_{32}$$

$$h \mapsto h_{23}$$

**Definition 4.3.** Let  $\mathfrak{g} = \mathfrak{sl}_3$  and  $\mathfrak{h} = \{x \in \mathfrak{g} \mid x \text{ diagonal}\} \subseteq \mathfrak{g}$  the maximal Abelian subalgebra.

A few other crucial facts include:

- The adjoint matrix of  $(h_{ij})_{i,j}$  is diagonalizable,
- $v$  is an eigenvector of  $h$ , and

- consider the map

$$\mathfrak{h} \rightarrow \mathbb{C}$$

$$h \mapsto \text{eigenvalue of } h \text{ on } V$$

then  $\mathfrak{h}^* = \mathbb{C} \langle L_1, L_2, L_3 \rangle / (L_1 + L_2 + L_3 = 0)$  where  $L_i(x) = x_{ii}$ . Then

$$\begin{cases} \text{adj}(h)(e_{ij}) = (L_i - L_j)(h)e_{ij} \\ \text{adj}(h)(f_{ji}) = (L_j - L_i)(h)f_{ji} \end{cases}$$

for  $i < j$  and all  $h \in \mathfrak{h}$ .

**Proposition 4.4.**  $\mathfrak{g} = \mathfrak{h} \oplus \bigoplus_{\alpha \in R} g_\alpha$  where  $R$  is the set  $\{L_i - L_j \mid 1 \leq i \neq j \leq 3\}$  (as roots of  $\mathfrak{g}$  with respect to  $\mathfrak{h}$ ), and  $\mathfrak{g}_\alpha = \{v \in \mathfrak{g} \mid hv = \alpha(h)v \ \forall h \in \mathfrak{h}\}$ . For instance,  $g_{L_1 - L_2} = \text{span}(e_{12})$ .

**Definition 4.5** (Killing Form). The Killing form is defined by

$$k : \mathfrak{g} \otimes \mathfrak{g} \rightarrow \mathbb{C}$$

$$x \otimes y \mapsto \text{tr}(\text{adj}(x)\text{adj}(y))$$

A few facts to know include:

- $k$  is non-degenerate, then  $\mathfrak{g} \xrightarrow{\sim} \mathfrak{g}^k$ .
- For  $h \in \mathfrak{h}$ ,  $k(h, h) = \sum_{\alpha \in R} \alpha(h)^2$  where  $\alpha(h_\alpha) = 2$ . This is the inner product on  $\mathfrak{h}$ .

**Definition 4.6.**  $E = \mathbb{R} \langle R \rangle$  is the inner product space on the Killing form.

Given a root  $\alpha$ , let  $S_\alpha$  be (orthonormal) reflection in  $E$  about  $\text{span}(\alpha)^\perp$ .

**Definition 4.7** (Weil Group). We define  $W(\mathfrak{g}) = \langle S_\alpha \mid \alpha \in R \rangle$  to be the Weil group.

**Example 4.8.** For  $\mathfrak{g} = \mathfrak{sl}_3$ , then  $W(\mathfrak{g}) \cong S_3$ .

**Theorem 4.9.** Now let  $E$  be a finite-dimensional real vector space,  $K$  is an inner product space, and  $R \subseteq E$  be a finite subset, such that

- (i) for all  $\alpha \in R$ ,  $n\alpha \in R$  if and only if  $n = \pm 1$ ,
- (ii)  $S_\alpha$  preserves  $R$ ,
- (iii)  $\alpha, \beta \in R$ , then  $K(\alpha, \beta)/K(\alpha, \alpha) \in \frac{1}{2}\mathbb{Z}$ ,
- (iv)  $E = \text{span}(R)$ ,

then  $(E, K, R)$  is a root system.

## 4.2 REDUCTIVE GROUPS AND ROOT DATUM

Let  $k$  be a field in this subsection.

**Definition 4.10.** An algebraic group is called unipotent if all of its non-zero representations admit a non-zero fixed point.

**Definition 4.11.** Let  $G$  be a smooth, connected algebraic group over  $k$ .  $R_n(G)$  is the maximal smooth connected unipotent normal group, usually called the unipotent radical.

We say that  $G$  is reductive if  $R_n(G_{\bar{k}})$  is trivial.

**Example 4.12.**  $G_a$  is unipotent.  $\mathrm{GL}_n, \mathrm{SL}_n, \mathrm{PGL}_n$  are reductive.

Let  $G$  be a reductive group, and let  $T \subseteq G$  be the maximal torus.

**Definition 4.13.** • The lattice is defined by  $X^*(T) = \mathbf{Hom}(T, G_n)$ .

- Define the set of roots  $R \subseteq X^*(T)$  as the non-zero characters arising from the roots of  $\mathrm{adj}(A)$  on  $\mathfrak{g}$ .
- The colattice is defined by  $X_*(T) = \mathbf{Hom}(G_m, T)$ .
- The coroots  $R^\vee \subseteq X_*(T)$  are the cocharacters arising from the following proposition.

**Proposition 4.14.** For  $\alpha \in R$ , there exists maps

$$\begin{aligned} \mathrm{SL}_2 &\mapsto G \\ \mathfrak{sl}_2 &\mapsto \mathfrak{g} \\ e &\mapsto \mathfrak{g}_\alpha \setminus \{0\} \end{aligned}$$

restrict this to  $T \cong G_m \subseteq \mathrm{SL}_2$  then gives

$$\begin{array}{ccc} G_m & \longrightarrow & T \\ \downarrow & & \downarrow \\ \mathrm{SL}_2 & \longrightarrow & G \end{array}$$

Now there is a table of root datum:

	$\mathrm{SL}_2$	$\mathrm{SL}_3$
torus $T$	$\begin{pmatrix} \alpha & \\ & \alpha^{-1} \end{pmatrix}$	$\begin{pmatrix} \alpha & & \\ & 1 & \\ & & 1 \end{pmatrix}$
$X^\cdot(T)$	$\mathbb{Z} \xrightarrow{\sim} \mathbf{Hom}(T, G_m)$ $n \mapsto \left( \begin{pmatrix} \alpha & \\ & \alpha^{-1} \end{pmatrix} \mapsto \alpha^n \right)$	$\mathbb{Z} \xrightarrow{\sim} \mathbf{Hom}(T, G_m)$ $n \mapsto \left( \begin{pmatrix} \alpha & & \\ & 1 & \\ & & 1 \end{pmatrix} \mapsto \alpha^n \right)$
$R$	$\begin{pmatrix} \alpha & \\ & \alpha^{-1} \end{pmatrix}$ with $\alpha \mapsto \alpha^2, \alpha^{-1} \mapsto \alpha^{-2}$ , represented by $\{\pm 2\}$	$\begin{pmatrix} \alpha & & \\ & 1 & \\ & & 1 \end{pmatrix}$ with $\alpha \mapsto \alpha^2, 1 \mapsto \alpha^{-1}$ , represented by $\{\pm 1\}$
$X_*(T)$	$\mathbb{Z}$	$\mathbb{Z}$
$R^\vee$	$\begin{pmatrix} \alpha & \\ & \alpha^{-1} \end{pmatrix}$ with $\alpha \mapsto \begin{pmatrix} \alpha & \\ & \alpha^{-1} \end{pmatrix}$ , $\alpha^{-1} \mapsto \begin{pmatrix} \alpha^{-1} & \\ & \alpha \end{pmatrix}$ represented by $\{\pm 1\}$	$\begin{pmatrix} \alpha & & \\ & \alpha^{-1} & \\ & & 1 \end{pmatrix}$ with $\alpha \mapsto \begin{pmatrix} \alpha^2 & & \\ & 1 & \\ & & 1 \end{pmatrix} \sim \begin{pmatrix} \alpha & & \\ & \alpha^{-1} & \\ & & 1 \end{pmatrix}$ , $\alpha^{-1} \mapsto \begin{pmatrix} \alpha^{-2} & & \\ & 1 & \\ & & 1 \end{pmatrix}$ represented by $\{\pm 2\}$

**Example 4.15.**    •  $\mathrm{Lie}(T) = A = \mathfrak{h}$ ,

•  $\mathrm{Lie}(G_m) = G_a$ .

**Remark 4.16.** There is a correspondence between reductive groups over  $k = \bar{k}$  and the roots datum above, given by the map  $G \mapsto G^L$ , where  $(-)^L$  is the Langlands dual.