

# MATH 518 Notes

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**Definition 1.1.** Let  $M$  be a topological space. An *atlas* on  $M$  is a collection  $\{\varphi_\alpha : U_\alpha \rightarrow W_\alpha\}_{\alpha \in A}$  of homeomorphisms called *coordinate charts*, so that

1.  $\{U_\alpha\}_{\alpha \in A}$  is an open cover of  $M$ ,
2. for all  $\alpha \in A$ ,  $W_\alpha$  is an open subset of some  $\mathbb{R}^{n_\alpha}$ ,
3. for all  $\alpha, \beta \in A$ , the induced map  $\varphi_\beta \circ \varphi_\alpha^{-1}|_{U_\alpha \cap U_\beta}$  is  $C^\infty$ , i.e., smooth.

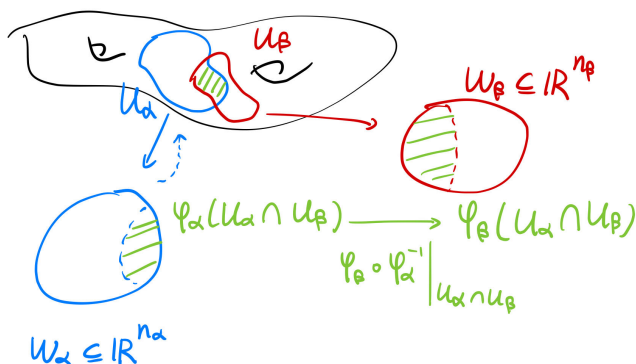


Figure 1: Atlas and Coordinate Chart

**Example 1.2.** Let  $M = \mathbb{R}^n$  be equipped with standard topology, and let  $A = \{*\}$ , so  $U_* = \mathbb{R}^n$  is the open cover of itself. Now the identity map

$$\begin{aligned} \varphi_* : U_* &\rightarrow \mathbb{R}^n \\ u &\mapsto u \end{aligned}$$

is an atlas on  $\mathbb{R}^n$ .

**Example 1.3.** Let  $M = S^1 = \{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 = 1\}$  be equipped with subspace topology. Let  $U_\alpha = S^1 \setminus \{(1, 0)\}$  and  $U_\beta = S^1 \setminus \{(-1, 0)\}$ , and let  $A = \{\alpha, \beta\}$ . Let  $W_\alpha = (0, 2\pi)$  and  $W_\beta = (-\pi, \pi)$ . We define  $\varphi_\alpha^{-1}(\theta) = (\cos(\theta), \sin(\theta))$  and  $\varphi_\beta^{-1}(\theta) = (\cos(\theta), \sin(\theta))$ , then

$$(\varphi_\beta \circ \varphi_\alpha^{-1})(\theta) = \begin{cases} \theta, & 0 < \theta < \pi \\ \theta - 2\pi, & \pi < \theta < 2\pi \end{cases}$$

is smooth.

**Example 1.4.** Let  $X$  be a topological space with discrete topology, and let  $A = X$ , then  $\{\varphi_x : \{x\} \rightarrow \mathbb{R}^0\}_{x \in X}$  gives an atlas.

**Example 1.5.** Let  $V$  be a finite-dimensional real vector space of dimension  $n$ . Pick a basis  $\{v_1, \dots, v_n\}$  of  $V$ , then there is a linear bijection  $\varphi$  with inverse

$$\begin{aligned} \varphi^{-1} : \mathbb{R}^n &\rightarrow V \\ (x_1, \dots, x_n) &\mapsto \sum_{i=1}^n x_i v_i. \end{aligned}$$

The topology on  $V$  needs to make  $\varphi^{-1}$  a homeomorphism, and the obvious choice is just the collection of preimages, namely

$$\mathcal{T} = \{\varphi^{-1}(W) \mid W \subseteq \mathbb{R}^n \text{ open}\},$$

then  $\varphi : V \rightarrow \mathbb{R}^n$  becomes an atlas.

**Definition 1.6.** Two atlases  $\{\varphi_\alpha : U_\alpha \rightarrow W_\alpha\}_{\alpha \in A}$  and  $\{\psi_\beta : V_\beta \rightarrow O_\beta\}_{\beta \in B}$  on a topological space  $M$  are *equivalent* if for all  $\alpha \in A$  and  $\beta \in B$ ,

$$\psi_\beta \circ \varphi_\alpha^{-1} : \varphi_\alpha(U_\alpha \cap V_\beta) \subseteq \mathbb{R}^{n_\alpha} \rightarrow \psi_\beta(U_\alpha \cap V_\beta) \subseteq \mathbb{R}^{n_\beta}$$

is always  $C^\infty$ , with  $C^\infty$ -inverses. Such continuous maps are called *diffeomorphisms*. Alternatively, the two atlases are equivalent if their union  $\{\varphi_\alpha\}_{\alpha \in A} \cup \{\psi_\beta\}_{\beta \in B}$  is always an atlas.

**Exercise 1.7.** Equivalence of atlases is an equivalence condition.

**Definition 1.8.** A (smooth) *manifold* is a topological space together with an equivalence class of atlases.

**Convention.** All manifolds are assumed to be smooth of  $C^\infty$ , but not necessarily *Haudorff* and/or *second countable*.

**Example 1.9.** Continuing from [Example 1.5](#), now suppose  $\{w_1, \dots, w_n\}$  gives another basis of  $V$ , with

$$\begin{aligned} \psi^{-1} : \mathbb{R}^n &\rightarrow V \\ (y_1, \dots, y_n) &\mapsto \sum_{i=1}^n y_i w_i. \end{aligned}$$

This gives a change-of-basis matrix, so it is automatically  $C^\infty$  as a multiplication of invertible matrices. Therefore, the topology here does not depend on the chosen basis.

**Recall.** A topological space  $X$  is *Hausdorff* if for all distinct points  $x, y \in X$ , there exists open neighborhoods  $U \ni x$  and  $V \ni y$  such that  $U \cap V = \emptyset$ .

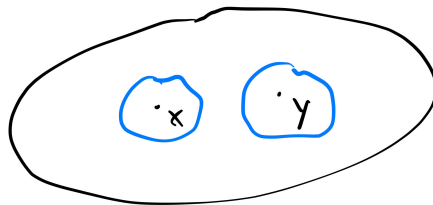


Figure 2: Hausdorff Condition

**Convention.** Via our definition ([Definition 1.8](#)), not all manifolds are Hausdorff.

**Example 1.10.** Let  $Y = \mathbb{R} \times \{0, 1\}$ , i.e., a space with two parallel lines, with a fixed topology. Define  $\sim$  to be the smallest equivalence relation on  $Y$  such that  $(x, 0) \sim (x, 1)$  for  $x \neq 0$ , and define  $X = Y / \sim$ .  $X$  is called the *line with two origins*, and it is second countable but not Hausdorff.

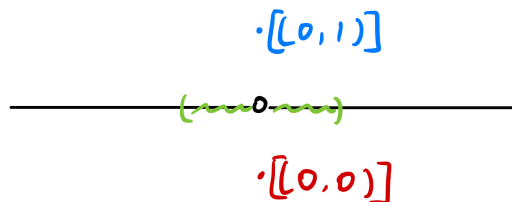


Figure 3: Line with Two Origins

**Example 1.11.** Take charts

$$\begin{aligned} \{\varphi : M = \mathbb{R} \rightarrow \mathbb{R}\} \\ x \mapsto x \end{aligned}$$

and

$$\begin{aligned} \{\psi : M = \mathbb{R} \rightarrow \mathbb{R}\} \\ x \mapsto x^3 \end{aligned}$$

on  $M = \mathbb{R}$ , then

$$\begin{aligned} \varphi \circ \psi^{-1} : \mathbb{R} \rightarrow \mathbb{R} \\ x \mapsto x^{\frac{1}{3}} \end{aligned}$$

is not  $C^\infty$ , so  $\varphi$  and  $\psi$  are two different charts, hence give two different manifolds.

**Definition 1.12.** A map  $F : M \rightarrow N$  between two manifolds is *smooth* if

1.  $F$  is continuous, and
2. for all charts  $\varphi : U \rightarrow \mathbb{R}^m$  on  $M$  and charts  $\psi : V \rightarrow \mathbb{R}^n$  on  $N$ ,  $\psi^{-1} \circ F \circ \varphi|_{\varphi(U \cap F^{-1}(V))}$  is  $C^\infty$ .

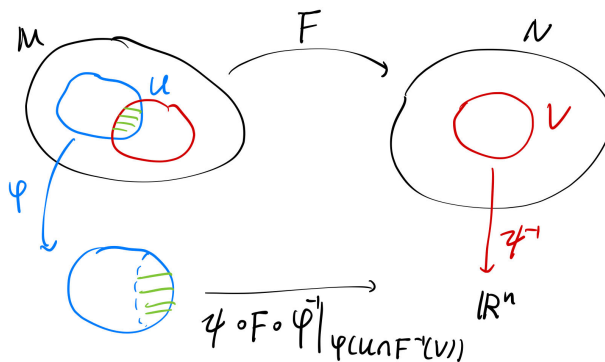


Figure 4: Smooth Map between Manifolds

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**Exercise 2.1.** 1.  $\text{id} : M \rightarrow M$  is smooth.

2. If  $f : M \rightarrow N$  and  $g : N \rightarrow Q$  are smooth maps between manifolds, then so is  $gf : M \rightarrow Q$ .

**Punchline.** The manifolds and the smooth maps between manifolds form a category.

**Recall.** A smooth map  $f : M \rightarrow N$  is called a *diffeomorphism*, as seen in [Definition 1.6](#), if it has a smooth inverse. This is the notion of an isomorphism in the category of manifolds.

**Warning.** 1. Following [Example 1.11](#),

$$\begin{aligned} f : \mathbb{R} &\rightarrow \mathbb{R} \\ x &\mapsto x^3 \end{aligned}$$

has an inverse

$$\begin{aligned} f^{-1} : \mathbb{R} &\rightarrow \mathbb{R} \\ x &\mapsto x^{\frac{1}{3}}, \end{aligned}$$

but  $f^{-1}$  is not differentiable at  $x = 0$ . Hence,  $f$  is not a diffeomorphism.

2. Take  $\mathbb{R}$  with discrete topology, then all singletons are open sets, then the map

$$\begin{aligned} f : \mathbb{R}_{\text{dis}} &\rightarrow \mathbb{R}_{\text{std}} \\ x &\mapsto x \end{aligned}$$

is a smooth bijection, but  $f^{-1}$  is not continuous.

**Example 2.2.** Consider  $M = (\mathbb{R}, \{\psi = \text{id} : \mathbb{R} \rightarrow \mathbb{R}\})$  and  $N = (\mathbb{R}, \{\psi : \mathbb{R} \rightarrow \mathbb{R}, x \mapsto x^3\})$  as two manifolds on  $\mathbb{R}$  with standard topology. To see that they are equivalent, consider the homeomorphism

$$\begin{aligned} f : \mathbb{R} &\rightarrow \mathbb{R} \\ x &\mapsto x^{\frac{1}{3}}, \end{aligned}$$

then  $(\psi \circ f \circ \varphi^{-1})(x) = \psi(f(x)) = (x^{\frac{1}{3}})^3 = x$ , so  $f$  is smooth, and  $(\psi \circ f \circ \varphi^{-1})^{-1} = \varphi \circ f^{-1} \circ \psi^{-1} = \text{id}$ , therefore  $f^{-1}$  is also smooth. Hence,  $f$  is a diffeomorphism.

We will now consider the real projective space  $\mathbb{R}P^{n-1}$  and the quotient map  $\pi : \mathbb{R}^n \setminus \{0\} \rightarrow \mathbb{R}P^{n-1}$ .

**Definition 2.3.** Define a binary relation on  $\mathbb{R}^n \setminus \{0\}$  by  $v_1 \sim v_2$  if and only if there exists  $\lambda \neq 0$  such that  $v_1 = \lambda v_2$ . This is an equivalence relation, and we identify the equivalence class  $[v]$  of  $v \in \mathbb{R}^n \setminus \{0\}$  as a line  $\mathbb{R}v = \text{span}_{\mathbb{R}}\{v\}$  through  $v$ . Then we define the *real projective space*  $\mathbb{R}P^{n-1} = (\mathbb{R}^n \setminus \{0\}) / \sim$ .

The natural topology on  $\mathbb{R}P^{n-1}$  is the quotient topology, where  $\pi : \mathbb{R}^n \setminus \{0\} \rightarrow \mathbb{R}P^{n-1}$  is surjective and continuous, so we define  $U \subseteq \mathbb{R}P^{n-1}$  to be open if and only if  $\pi^{-1}(U)$  is open in  $\mathbb{R}^n \setminus \{0\}$ .

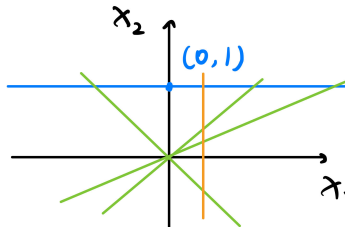


Figure 5: Stereographical Projection

**Claim 2.4.**  $\mathbb{R}P^{n-1}$  is a manifold.

*Proof.* Define

$$\begin{aligned} \varphi_i : U_i &\rightarrow \mathbb{R}^{n-1} \\ [v_1, \dots, v_n] &\mapsto \left( \frac{v_1}{v_i}, \dots, \frac{v_{i-1}}{v_i}, \frac{v_{i+1}}{v_i}, \dots, \frac{v_n}{v_i} \right), \end{aligned}$$

then

$$\begin{aligned}\varphi_i^{-1} : \mathbb{R}^{n-1} &\mapsto U_i \\ (x_1, \dots, x_{n-1}) &\mapsto [(x_1, \dots, x_{i-1}, 1, x_i, \dots, x_{n-1})],\end{aligned}$$

therefore

$$\begin{aligned}\varphi_j \circ \varphi_i^{-1} : \varphi_i(U_i \cap U_j) &\rightarrow \varphi_j(U_i \cap U_j) \\ (x_1, \dots, x_{n-1}) &\mapsto \varphi_j([(x_1, \dots, x_{i-1}, 1, x_i, \dots, x_{n-1})]) \\ &= \begin{cases} \left( \frac{x_1}{x_j}, \dots, \frac{x_{j-1}}{x_j}, \frac{x_{j+1}}{x_j}, \dots, \frac{x_{n-1}}{x_j} \right), & j < i \\ (x_1, \dots, x_{n-1}), & j = i \\ \left( \frac{x_1}{x_{j-1}}, \dots, \frac{1}{x_{j-1}}, \dots, \frac{x_{j-2}}{x_{j-1}}, \frac{x_j}{x_{j-1}}, \dots, \frac{x_{n-1}}{x_{j-1}} \right), & j > i \end{cases}\end{aligned}$$

Therefore, this is  $C^\infty$  as a rational map on  $\varphi_i(U_i \cap U_j)$ , and so this gives an atlas, hence  $\mathbb{R}P^{n-1}$  is a manifold.  $\square$

**Claim 2.5.**  $\pi : \mathbb{R}^n \setminus \{0\} \rightarrow \mathbb{R}P^{n-1}$  is smooth.

*Proof.* Note that

$$\begin{aligned}\psi : \mathbb{R}^n \setminus \{0\} &\hookrightarrow \mathbb{R}^n \\ x &\mapsto x\end{aligned}$$

is an atlas on  $\mathbb{R}^n \setminus \{0\}$ , and

$$\begin{aligned}\varphi_i \circ \pi \circ \varphi_i^{-1} : \mathbb{R}^n \setminus \{0\} &\rightarrow \mathbb{R}^{n-1} \\ (v_1, \dots, v_n) &\mapsto \varphi_i([(v_1, \dots, v_n)]) \\ &= \left( \frac{v_1}{v_i}, \dots, \frac{v_{i-1}}{v_i}, \frac{v_{i+1}}{v_i}, \dots, \frac{v_n}{v_i} \right).\end{aligned}$$

This is  $C^\infty$  on  $\pi^{-1}(U_i) = \{(v_1, \dots, v_n) \mid v_i \neq 0\}$ , so  $\pi$  is smooth.  $\square$

**Definition 2.6.** A *smooth function* on a manifold  $M$  is a function  $f : M \rightarrow \mathbb{R}$  so that for any coordinate chart  $\varphi : U \rightarrow \varphi(U)$  open in  $\mathbb{R}^m$ , the function  $f \circ \varphi^{-1} : \varphi(U) \rightarrow \mathbb{R}$  is smooth.

**Remark 2.7.**  $f : M \rightarrow \mathbb{R}$  is smooth if and only if  $f : M \rightarrow (\mathbb{R}, \{\text{id} : \mathbb{R} \rightarrow \mathbb{R}\})$ , usually called the *standard manifold structure* on  $\mathbb{R}$ , is smooth.

**Notation.** We denote  $C^\infty(M)$  to be the set of all smooth functions  $f : M \rightarrow \mathbb{R}$ .

**Remark 2.8.**  $C^\infty(M)$  is a smooth  $\mathbb{R}$ -vector space, that is, for all  $\lambda, \mu \in \mathbb{R}$  and  $f, g \in C^\infty(M)$ ,

- $(\lambda f + \mu g)(x) = \lambda f(x) + \mu g(x)$  for all  $x \in M$ ,
- $(f \cdot g)(x) = f(x)g(x)$  for all  $x \in M$ .

Therefore,  $C^\infty(M)$  becomes a (commutative, associative)  $\mathbb{R}$ -algebra.

**Fact.** Connecting manifolds have the notion of dimension. That is, the dimensions of open subsets induced by coordinate charts are the same.

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**Definition 3.1.** Let  $M$  be a manifold, then for every point  $q \in M$ , there exists a well-defined non-negative integer  $\dim_M(q)$ , so that for any coordinate chart  $\varphi : U \rightarrow \mathbb{R}^m$  for  $U \ni q$ , we have  $\dim_M(q) = m$  for some non-negative integer  $m$  that only depend on  $M$ . Consequently,  $\dim_M : M \rightarrow \mathbb{Z}^{\geq 0}$  is a locally constant function. This integer  $m$  is called the *dimension* of  $M$ .

*Proof.* Indeed, say  $\psi : V \rightarrow \mathbb{R}^n$  is another chart with  $U \cap V \ni q$ , then  $\psi \circ \varphi^{-1}|_{\varphi(U \cap V)} : \varphi(U \cap V) \subseteq \mathbb{R}^m \rightarrow \psi(U \cap V) \subseteq \mathbb{R}^n$  is a diffeomorphism, therefore the Jacobian  $D(\psi \circ \varphi^{-1})(\varphi(a)) : \mathbb{R}^m \rightarrow \mathbb{R}^n$  is a linear isomorphism, thus  $m = n$ .  $\square$

**Definition 3.2.** Suppose  $(M, \{\varphi_\alpha : U_\alpha \rightarrow \mathbb{R}^m\}_{\alpha \in A})$  and  $(N, \{\psi_\beta : V_\beta \rightarrow \mathbb{R}^n\}_{\beta \in B})$  are two manifolds. One can give a manifold structure to the product set  $M \times N$ , called the *product manifold*, as follows:

- give  $M \times N$  the product topology,
- let  $\{\varphi_\alpha \times \psi_\beta : U_\alpha \times V_\beta \rightarrow \mathbb{R}^m \times \mathbb{R}^n\}_{(\alpha, \beta) \in A \times B}$  to be the atlas on  $M \times N$ . This is well-defined since the transition maps of  $\alpha, \alpha' \in A$  and  $\beta, \beta' \in B$  are over  $(U_\alpha \times V_\beta) \cap U_{\alpha'} \times V_{\beta'} = (U_\alpha \cap U_{\alpha'}) \times (V_\beta \cap V_{\beta'})$  with  $(\varphi_{\alpha'} \times \psi_{\beta'}) \circ (\varphi_\alpha \times \psi_\beta)^{-1} = (\varphi_{\alpha'} \circ \varphi_\alpha^{-1}, \psi_{\beta'} \circ \psi_\beta^{-1})$ . This is smooth since products of smooth maps are smooth.

**Punchline.** The product construction of manifolds gives the categorical product in the category of manifolds.

**Property.** 1. The projection maps

$$\begin{aligned} p_M : M \times N &\rightarrow M \\ (m, n) &\mapsto m \end{aligned}$$

and

$$\begin{aligned} p_N : M \times N &\rightarrow N \\ (m, n) &\mapsto n \end{aligned}$$

are  $C^\infty$ .

2. *Universal Property of Product:* for any manifold  $Q$  and smooth maps  $f_M : Q \rightarrow M$  and  $f_N : Q \rightarrow N$ , there exists a unique map

$$\begin{aligned} g : Q &\rightarrow M \times N \\ q &\mapsto (f(q), g(q)) \end{aligned}$$

such that  $p_M \circ g = f_M$ , and  $p_N \circ g = f_N$ .

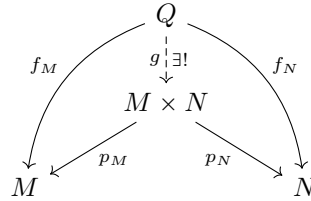


Figure 6: Universal Property of Product

**Recall.** • A topological space  $X$  is *second countable* if the topology has a countable basis: there exists a collection  $\mathcal{B} = \{B_i\}_{i \in \mathbb{N}}$  of open sets so that any open set of  $X$  is a union of some  $B_i$ 's.

- A cover  $\{U_\alpha\}_{\alpha \in A}$  of a topological space is *locally finite* if for all  $x \in X$ , there exists a neighborhood  $N$  of  $x$  such that  $N \cap U_\alpha = \emptyset$  for all but finitely many  $\alpha$ 's.

**Example 3.3.** Let  $X = \mathbb{R}$ , then

- $\{U_n = (-n, n)\}_{n \geq 0}$  is an open cover, but is not locally finite,
- $\{U_n = (n, n + 2)\}_{n \in \mathbb{Z}}$  is a locally finite open cover of  $\mathbb{R}$ ,
- $\{U_n = (n, n + 2]\}_{n \in \mathbb{Z}}$  is a locally finite cover of  $\mathbb{R}$ , but is not an open cover.

**Recall.** An (open) cover  $\{V_\beta\}_{\beta \in B}$  is a *refinement* of a cover  $\{U_\alpha\}_{\alpha \in A}$  if for all  $\beta$ , there exists  $\alpha = \alpha(\beta)$  such that  $V_\beta \subseteq U_{\alpha(\beta)}$ .

**Definition 3.4.** A Hausdorff topological space is *paracompact* if every open cover has a locally finite open refinement.

**Fact.** A connected Hausdorff manifold is paracompact if and only if it is second countable.

**Corollary 3.5.** A Hausdorff manifold is paracompact if and only if its connected components are second countable.

**Example 3.6.**  $\mathbb{R}$  with discrete topology is paracompact but not second countable.

**Convention.** Usually, we assume manifolds are paracompact, except when we need a non-Hausdorff manifold. This condition is required for the existence of *partition of unity* (i.e., constant function id).

**Recall.** If  $X$  is a space, and  $Y \subseteq X$  is a subset, then the *closure*  $\bar{Y}$  of  $Y$  is the smallest closed set containing  $Y$ .

**Definition 3.7.** Given a topological space  $X$  and a function  $f : X \rightarrow \mathbb{R}$ , the *support* of  $f$  over  $X$  is

$$\text{supp}(f) = \overline{\{x \in X \mid f(x) \neq 0\}}.$$

**Example 3.8.** The function

$$f(x) = \begin{cases} e^{-\frac{1}{x}}, & x > 0 \\ 0, & x \leq 0 \end{cases}$$

is  $C^\infty$ , with support  $\overline{(0, \infty)} = [0, \infty)$ .

**Definition 3.9.** Let  $M$  be a topological space and let  $\{U_\alpha\}_{\alpha \in A}$  be an open cover. A *partition of unity* subordinate to the cover is a collection of continuous functions  $\{\psi_\alpha : M \rightarrow [0, 1]\}_{\alpha \in A}$  such that

1.  $\text{supp}(\psi_\alpha) \subseteq U_\alpha$  for all  $\alpha \in A$ ,
2.  $\{\text{supp}(\psi_\alpha)\}_{\alpha \in A}$  is a locally finite closed cover of  $M$ ,
3.  $\sum_{\alpha \in A} \psi_\alpha(x) = 1$  for all  $x \in M$ .

**Remark 3.10.** For all  $x \in M$ , there exists  $\alpha_1, \dots, \alpha_n$  such that  $x \in \text{supp}(\psi_{\alpha_i})$ . Hence, for  $\alpha \neq \alpha_1, \dots, \alpha_n$ ,  $\psi_\alpha(x) = 0$ . Therefore, the summation in Definition 3.9 is finite.

**Theorem 3.11.** Let  $M$  be a paracompact manifold with open cover  $\{U_\alpha\}_{\alpha \in A}$ , then there exists a partition of unity  $\{\psi_\alpha : U_\alpha \rightarrow [0, 1]\}_{\alpha \in A} \subseteq C^\infty(M)$  subordinate to the cover.