

ARK INNOVATION ETF (ARKK) HOLDINGS

As of 01/14/2021

Company	Ticker	CUSIP	Shares	Market Value(\$)	Weight(%)
1 TESLA INC	TSLA	88160R101	2,596,064	2,193,674,080.00	9.68
2 ROKU INC	ROKU	77543R102	4,062,702	1,700,078,278.92	7.50
3 CRISPR THERAPEUTICS AG	CRSP	H17182108	6,327,406	1,329,008,356.24	5.86
4 SQUARE INC - A	SQ	852234103	4,720,336	1,098,847,017.44	4.85
5 TELADOC HEALTH INC	TDOC	87918A105	4,274,627	964,099,373.58	4.25
6 INVITAE CORP	NVTA	46185L103	17,456,809	932,891,872.96	4.12
7 ZILLOW GROUP INC - C	Z	98954M200	4,634,766	666,015,874.20	2.94
8 BAIDU INC - SPON ADR	BIDU	056752108	2,583,371	643,259,379.00	2.84
9 SPOTIFY TECHNOLOGY SA	SPOT	L8681T102	1,697,367	580,957,803.09	2.56
10 PROTO LABS INC	PRLB	743713109	3,157,475	552,621,274.50	2.44
11 INTELLIA THERAPEUTICS INC	NTLA	45826J105	6,456,860	537,856,438.00	2.37
12 TWIST BIOSCIENCE CORP	TWST	90184D100	2,746,415	520,253,393.45	2.30
13 PURE STORAGE INC - CLASS A	PSTG	74624M102	22,255,488	519,665,644.80	2.29
14 EDITAS MEDICINE INC	EDIT	28106W103	6,655,763	505,305,526.96	2.23
15 IOVANCE BIOTHEAPUTICS INC	IOVA	462260100	9,504,343	494,510,966.29	2.18
16 TWILIO INC - A	TWLO	90138F102	1,260,035	485,239,478.50	2.14
17 EXACT SCIENCES CORP	EXAS	30063P105	3,204,562	471,999,936.98	2.08
18 ZOOM VIDEO COMMUNICATIONS-A	ZM	98980L101	1,207,305	462,687,568.20	2.04
19 MATERIALISE NV-ADR	MTLS	57667T100	6,006,937	441,209,522.65	1.95
20 SHOPIFY INC - CLASS A	SHOP	82509L107	359,346	423,618,625.56	1.87
21 DOCUSIGN INC	DOCU	256163106	1,660,866	415,631,716.50	1.83
22 PAYPAL HOLDINGS INC	PYPL	70450Y103	1,679,531	406,547,273.86	1.79
23 LENDINGTREE INC	TREE UW	52603B107	1,224,240	383,738,028.00	1.69
24 TAIWAN SEMICONDUCTOR-SP ADR	TSM	874039100	3,005,241	380,012,724.45	1.68
25 2U INC	TWOU	90214J101	8,055,366	355,080,533.28	1.57
26 PAGERDUTY INC	PD	69553P100	7,552,637	355,124,991.74	1.57
27 TENCENT HOLDINGS LTD-UNS ADR	TCEHY	88032Q109	4,299,119	347,368,815.20	1.53
28 INTERCONTINENTAL EXCHANGE IN	ICE	45866F104	2,858,560	330,506,707.20	1.46
29 KE HOLDINGS INC	BEKE	482497104	4,779,891	298,934,383.14	1.32
30 PACIFIC BIOSCIENCES OF CALIF	PACB	69404D108	7,257,224	273,742,489.28	1.21
31 SEA LTD-ADR	SE	81141R100	1,187,901	272,813,343.66	1.20
32 NINTENDO CO LTD-UNSPONS ADR	NTDOY	654445303	3,347,251	265,135,751.71	1.17
33 UNITY SOFTWARE INC	U	91332U101	1,719,148	258,577,050.68	1.14
34 VERACYTE INC	VCYT	92337F107	4,841,473	254,564,650.34	1.12
35 IRIDIUM COMMUNICATIONS INC	IRDM	46269C102	5,203,269	244,137,381.48	1.08
36 MORGAN STANLEY GOVT INSTL 8035		X9USDMORS	245,207,419	245,207,418.77	1.08
37 STRATASYS LTD	SSYS	M85548101	7,049,806	231,022,142.62	1.02
38 SERES THERAPEUTICS INC	MCRB	81750R102	8,118,442	216,843,585.82	0.96
39 SPLUNK INC	SPLK	848637104	1,160,158	198,329,010.10	0.87
40 SYNOPSIS INC	SNPS	871607107	723,065	186,485,694.15	0.82
41 10X GENOMICS INC-CLASS A	TXG	88025U109	994,562	178,911,758.18	0.79
42 PINTEREST INC- CLASS A	PINS	72352L106	2,449,561	173,820,848.56	0.77
43 HUYA INC-ADR	HUYA	44852D108	6,879,589	154,102,793.60	0.68
44 CERUS CORP	CERS	157085101	19,063,301	143,927,922.55	0.63
45 COMPUGEN LTD	CGEN	M25722105	10,344,668	139,756,464.68	0.62
46 NANOSTRING TECHNOLOGIES INC	NSTG	63009R109	1,880,890	136,985,218.70	0.60
47 NOVARTIS AG-SPONSORED ADR	NVS	66987V109	1,175,884	111,262,144.08	0.49
48 REGENERON PHARMACEUTICALS	REGN	75886F107	145,197	75,198,978.27	0.33
49 SYROS PHARMACEUTICALS INC	SYRS	87184Q107	5,056,505	69,931,464.15	0.31
50 FATE THERAPEUTICS INC	FATE	31189P102	269,092	31,591,400.80	0.14
51 ORGANOVO HOLDINGS INC	ONVO	68620A203	664,698	9,784,354.56	0.04

The principal risks of investing in ARK ETFs include equity, market, management and non-diversification risks, as well as fluctuations in market value and net asset value ("NAV"). Investors should carefully consider the investment objectives and risks as well as charges and expenses of an ETF before investing. This and other information are contained in each ETF's prospectus, which may be obtained by contacting the ETF's transfer agent The Bank of New York Mellon or by clicking here. Please read the prospectus carefully before you invest.

The market price of ETF shares may differ significantly from their NAV during periods of market volatility. ETF shares may only be redeemed directly with the ETF at NAV by Authorized Participants, in very large creation units.

Holdings are subject to change without notice and are not a recommendation to buy or sell any security.

There can be no guarantee that an active trading market for ETF shares will develop or be maintained, or that their listing will continue or remain unchanged. Buying or selling ETF shares on an exchange may require the payment of brokerage commissions and frequent trading may incur brokerage costs that detract significantly from investment returns.

©2021, ARK Investment Management LLC. All content is original and has been researched and produced by ARK Investment Management LLC unless otherwise stated. No part of this content may be reproduced in any form, or referred to in any other publication, without the express written permission of ARK Investment Management LLC. All statements made regarding companies, securities or other financial information on this site or any sites or articles relating to ARK Investment Management LLC are strictly beliefs and points of view held by ARK Investment Management and are subject to change without notice. Information contained herein has been obtained from sources believed to be reliable, but not guaranteed. This material has been distributed for informational purposes only and should not be considered as investment advice or a recommendation of any particular security, strategy or investment product.